

Answers to Reviews of Lampel et al, 'The tilt-effect in DOAS observations', AMTD 2017

Johannes Lampel, Yang Wang, Andreas Hilboll, Steffen Beirle, Holger Sihler, Janis Puķīte, Ulrich Platt, Thomas Wagner

1 Introduction and general comments

We would like to thank the editor and the three reviewers for their comments, suggestions and corrections. All comments together improved greatly the discussion manuscript. We considered each of the points, which are answered below together with a description of the related changes to the manuscript.

(Numbers of equations, figures, lines and pages refer to the discussion manuscript, if not mentioned otherwise. **Authors' reponses are written in bold face**, the referees' text is shown in normal face.)

2 Review #1

This paper is in good shape, but here are a few suggestions:

We thank the reviewer for the overall positive assesement.

2.1 Scientific comments

1. Eqs.1-2: Why are the authors integrating over λ_0 rather than λ ?

λ is used on the left side of the equations, thus we integrate over λ_0 . Which one of both λ s is used as the first argument of the instrument slit function $h(\lambda_0, \lambda)$ is then a matter of definition, we chose here $h(\lambda_0, \lambda)$.

2. Eq. 18: Where does the factor of 2 come from? Is it because the optical depth is quantified peak-to-peak?

Yes, it is indeed because the proportionality factor was intended here for the relation between shift and peak-to-peak optical depth within the fitting interval. We added 'peak-to-peak' to the text before this equation.

3. Table 2: Relative uncertainty on the dSCD is larger for case 4-6 than case 1. If the relative errors are improved by accounting for the tilt-effect when measuring glyoxal, this might be the better molecule for Table 2.

As the tilt-effect is a general effect under the given circumstances, we don't see any reason to chose another absorber, as this yields similar results in terms of the apparent spectral shift due to the tilt-effect. HONO was chosen as the related publication ([Wang et al., 2017]) is published and relies on the same synthetic data. The MAD-CAT glyoxal intercomparison is still in preparation by I. Ortega et al.

4. p2: I suggest a rewording of not directly related to spectral undersampling. How about not related to Doppler shifts? I think spectral undersampling worsens the tilt-effect as spectrally wider pixels are equivalent to worsening the spectral resolution and this study already shows the dependence on spectral resolution. If the authors could test the effect of coarser spectral binning, this would improve the paper (relates to p2L14-15). Currently it appears as if the authors dispute the conclusion of Haley et al. of a relationship with between tilt-effect and spectral undersampling, based on p2L22. In any case, this statement at p2L22 (As it turns out,) should be in the conclusions section, not in the introduction. Also there should be a caveat stated that the derivation has some limits: if the spectral resolution is so poor that the solar/telluric absorption features are no longer resolved, I would expect the tilt-effect to be less important for such an extreme situation.

The reviewer is correct that both the spectral binning due to the pixel size as well as the representation of the finite spectral resolution of the instrument can be realized by a convolution operation. For both of these operations the considerations made here for the tilt-effect due to the finite spectral resolution of the instrument apply. However for practical purposes and typical current DOAS instruments which are not suffering from significant spectral undersampling, the tilt-effect due to spectral undersampling is a minor effect compared to the tilt-effect due to the finite spectral resolution of the instrument. It has to be however separated from the effects of spectral undersampling which has as pointed out in ([Chance et al., 2005]) other reasons than the tilt-effect itself.

We move the statement at p2L22 to the conclusions.

It should be noted that the undersampling correction as described in [Slijkhuis et al., 1999] could be rather named 'Linearization of the spectral shift between measurement and reference spectra for the special case of undersampled spectral data'. The spectral shift here was primarily motivated by Doppler shifts between Sun and earthshine spectra, but simultaneously also corrected (in parts) for the tilt-effect. We therefore added the following paragraph as a new subsection to the revised manuscript:

Previously the tilt-effect was also related to spectral undersampling ([Chance et al., 2005]): As e.g. described in [Slijkhuis et al., 1999] for spectral data from satellite, a spectral shift between the observed measurement and reference spectra was introduced in order to correct for Doppler-shifts between these. As these shifts are small compared to the spectral resolution of the instrument (typically $< 5\text{pm}$), the spectral shift can be linearized and directly calculated from a high-resolution sun spectrum (such as e.g. [Chance and Kurucz, 2010]) in order to include also artefacts of spectral undersampling. In [Slijkhuis et al., 1999] the DOAS fit finally contained this linearized shift as well as the non-linear shift and squeeze parameters of the measurement spectrum relative to the reference spectrum. Also the tilt-effect

introduces a spectral shift of similar magnitude, and is corrected (in first order approximation) in the same way. This potentially led to confusion in the available literature. The derivation of the tilt-effect shown above does however not depend at all on the properties of the spectral binning of the instrument and can therefore be considered independent of the undersampling effects.

5. Conclusion: Do the authors think the tilt-effect could extend to lab spectroscopy where extinction is important (e.g. high pressure measurements, or in cases where there is some condensation/aerosol)?.

This could also play a role there. However, lab measurements are often done at higher spectral resolution, where the the apparent shift then significantly smaller (Eq. 13). We added a paragraph to the conclusions:

It affects any medium resolution spectroscopic application where the spectral evaluation involves a step where the convolution and effects like scattering, which lead to a broad-band variation of the shape of the spectrum, are commuted. Laboratory measurements of trace gas absorptions are however often done at higher spectral resolution, which minimizes the apparent shift of the tilt-effect due to the relation shown in Eq. 13.

2.2 Minor corrections

1. p3L14: "In section 2" → "In section 2,"

Changed

2. p3L23: might be appropriate and more consistent with the start of the sentence to refer to g1 and g2 rather than "dashed, red and blue".

This point is now obsolete, as the figure has been redesigned as suggested by reviewer #2, see Figure 1.

3. Eq. 5: Even though this is a well-known equation, σ should be defined. Note that σ_{fit} fit appears later (p11). This could be confusing.

σ is now defined as property of the Gaussian function and σ_{fit} is now explicitly mentioned in the caption of Table 2.

4. p9, Eq. 17. I assume that eq: shiftdef is some kind of leftover from latex conversion? Otherwise this notation is strange to me.

Fixed.

5. p11: Table 2 caption: defination → definition

Fixed.

6. dSCD should be defined as differential slant column density in this caption since this is the first appearance of the abbreviation. σ_{fit} should be defined and maybe a symbol other than σ_{fit} should be used (see earlier comment relating to Gaussian equation). The last two sentences of the current caption should be moved into the main body of the paper. I am confused

whether 1.14 ppm is the shift for case 1 since an extra significant digit is provided. To which case does the squeeze value in the last sentence of the caption apply? It seems like it is case 1, but then the squeeze values are inconsistent (10-6 vs 10-7). Most importantly, extra words are needed somewhere in the caption. What I have understood is that the first row below the header row indicates whether a tilt-effect calculated correction has been applied (i.e. cases where this correction has been applied are marked with an x). If I have understood correctly, then it should be stated in the caption or in the text when Table 2 is first referenced (not at p15L22) that any case with an x has been corrected for the tilt-effect before the DOAS fit.

We modified the table and extended the caption in order to clarify the meaning and the results shown in this table. The value 1.14 ppm is calculated from the DOAS polynomial as already stated in the caption. The table itself shows DOAS fit results. The squeeze values are consistent within the DOAS fit error. We added the meaning of the crosses (×) to the caption of the table instead of p15L22.

7. p12L5: This sentence mentions 5 cases where a DOAS fit is performed without considering the tilt-effect. Yet, in Table 2, certainly cases 4-5 appear to include the tilt-effect in the fit.

The DOAS polynomial itself was determined without considering the tilt-effect. This DOAS polynomial was then used to calculate the tilt-effect correction spectrum used in cases 4–6. p17L1-4 we stated that the effect of the tilt-effect on the DOAS polynomial itself is practically negligible. We split the sentence to make this more clear.

8. P12L5: The presentation would be improved if the 19th equation in the current manuscript appeared before P12L5.

Actually Eq. 19 just follows from Eq. 4. on page 5.

9. Section 4 title: tilt-Effect → tilt-effect

Fixed.

10. p14L25: corrected for → corrected

Fixed.

11. p15L20: fit shown → fit results shown

Fixed.

12. p17L27: function → functions

Fixed.

13. p18L17: is with 0.07 ppm → is 0.07 ppm,

Fixed.

14. p19L15: simulated, synthetic → simulated

Fixed.

15. [Haley et al., 2004] ref: O3 and NO2 should contain subscripted numbers.
Fixed.
16. [Sioris et al., 2006] ref: NO2 → NO2
Fixed.

3 Review #2

Lampel et al. present an important study showing the relationship between the so-called tilt-effect and the DOAS shift. It is an important work which is of interest for the DOAS community. The methodology is scientifically sound. I think this study should be published in AMT and I have only few minor comments:

3.1 Minor comments

1. I find the paper could be improve in its structure. The author sometimes refer to figures or equations that are only properly introduced few pages later and I found it hard to follow.

We restructured parts of the manuscripts slightly according to the suggestions by all three reviewers and especially improved the description of Table 2.

2. Eq.3 : the optical density commonly refers to the log-ratio of t and s. Please harmonize throughout the paper

This basic is of course true and later used in a correct way (eq 12,18) and surprising that nobody saw that except you. This is now fixed here.

3. Eq. 17: there is an editing issue eq:shiftdef
fixed.

4. Table 1: For O3 cross-sections, I guess $S_0=1e19$ molec. cm^{-2} and not $1e18$ molec. cm^{-2} . It is not clear what is Add. Polynomial degree.

As the general settings were applied using a current reference Fraunhofer spectrum, typical ozone column densities are in the 10^{18} molec cm^{-2} range (the dSCD for 40ppbv ozone along 10km close to the ground are of this order of magnitude). Add. Polynomial degree means additive polynomial degree and is now explicitly mentioned. We added 'e.g. [Peters et al., 2016]' as a reference, even though it is mentioned in various previous publications.

5. Table 2: typo: (squeeze definition→ squeeze definition)
fixed.

4 Review #3

4.1 General comments

The manuscript The tilt-effect in DOAS observations concerns with the effect of the non-commutativity of atmospheric absorption and convolution. The authors could show that this effect, labeled tilt-effect, manifests itself as wavelength shift which was, up to now, solely attributed to instrumental shifts. This manuscript hence offers new insights in understanding and interpreting this error source when doing DOAS like data analysis. This well structured and fluently written manuscript is ideally suitable to be published in the journal Atmospheric Measurement Techniques. Only a few comments and minor suggestions need to be addressed before publication.

4.2 Specific comments

1. P2, L27 2.5e-3 peak-to-peak residual structure. Where is this coming from? Is it related to section 5.2?

The value is actually taken from Figure 5. The estimate of the magnitude of the tilt-effect calculated from the DOAS polynomial was based on typical DOAS polynomials and not extreme cases, which explains the difference between this number and the number given at the beginning of section 3.

2. P4, Figure 1 Figure 1 in the manuscript has the goal to illustrate the tilt-effect. The good concept behind the figure is, however, not straight forward catchable (lines, colors, label size are not chosen adequately). I attached a re-design sketch, which could serve as a motivation.

Thank you for this nice plot, this really looks better. We applied your ideas and inserted a new and improved figure.

3. P9, L8 It would be clearer for the reader to mention at this point that the visualized instrumental spectral shift is rectified from the tilt-effect already.

We added 'which was already corrected for the shift introduced by the tilt-effect' to this sentence.

4. P10, L8 ... the shift due to the tilt-effect (up to 2 pm)... Where is the 2 pm coming from? How relates this number to the estimation of ≈ 1 pm based on equation (13), mentioned in P7, L7?

see above

5. P11, Table 2 From section 5.2 the difference between case 4 and 6 becomes clear, but not before. The reader would benefit from a more explicit description of the cases in the table and the caption.

We now explicitly mention the differences between the cases as indicated by row 2+3 of the table in its caption.

6. P11, L8 review: the tilt-effect in doas observations How is the percentage impact of instrument function width and shift weighting estimated?

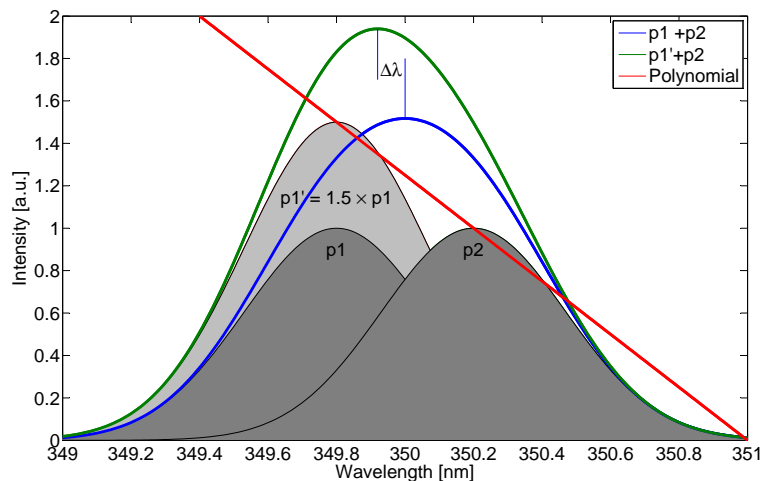


Figure 1: New version of Figure 1

1. The variation of the instrument slit function within the fit interval was determined from the recorded mercury emission line spectra. 2. The effect of the weighting according to the depth of the Fraunhofer structures was estimated by calculating the weighted and the normal mean of the shift due to the tilt-effect within the fit interval. We added this as a short description prior to this estimate.

7. P12, Figure 3 caption The reader would benefit from a more detailed description to subfigure 4.

We added 'The tilt-effect correction spectrum is shown in red, the sum of it and the residual is show in grey.'

8. P13, L13 At which wavelength are the aerosol parameters defined?

We added 'All aerosol parameters were assumed to be constant over the whole wavelength range.'

9. P17, L1 to L4 If I have understood this correctly, the authors want to explain the iterative process to calculate the final tilt-correction spectrum, by first utilizing the tilt-uncorrected DOAS polynomial to get the first tilt-correction spectrum, and so on. I suggest to reformulate this paragraph to make the procedure more clear.

We only estimated the differences of DOAS polynomials from fits which do correct for the tilt-effect and do not correct for it in order to estimate the error made in the calculation. We also wrote this in the introducing sentence of this paragraph. We modified 'The absolute magnitude of the resulting DOAS polynomials differed relative to each other by up to 3%.' to 'The absolute magnitude of the resulting DOAS polynomials with and without

correcting for the tilt-effect differed relative to each other by up to 3%.'

10. P17, L9 to L19 The authors state that calculating the correction spectra is in most cases obsolete when shift and squeeze is implemented in the fitting anyway. Also the change in the RMS is discussed for the cases in Table 2. Providing that I have got the correct meaning of Table 2, cases 1, 2 (shift and squeeze applied) yield significantly different dSCD HONO values than cases 4, 5, 6 (with tilt-correction spectrum applied). Based on this the method used to correct for the tilt-effect (shift, squeeze or correction spectrum) seems to be rather important considering trace gas dSCD (usually the primary retrieval product). What is the authors comment about that?

The changes of the observed dSCDs are clearly within the fit error for all cases in which the tilt-effect was corrected for. When not corrected for, the change in dSCD is of similar magnitude as the fit error.

11. P18, section The impact of the tilt-effect on the spectral retrieval of trace-gases This relates to comment 10. In my opinion the impact on the retrieved trace-gas amount is the most important aspect. Thus I think the manuscript would benefit if this section would be outlined with a little bit more detail, especially the way how the impact of the tilt-effect on trace gas retrievals is quantified.

We added the following text to this paragraph, which hopefully also answers this comment:

For the case of HONO and a spectrum with a apparent shift due to the tilt-effect of 1 pm the results are shown in ???. It becomes clear that the overall effect of the tilt-effect on the retrieved HONO dSCDs is small and within the measurement error in this case, for this absorber and for this instrument. However, as the residual RMS and thus the fit error is significantly reduced, the correction of this effect is crucial for a correct determination of measurement errors and detection limits. If the shape of the structures caused by the tilt-effect shows more similarities with an absorber, the changes in its dSCDs might however be larger. This depends on the fitting interval, spectral resolution and the respective absorber and cannot be answered in general.

12. P19, section Conclusions It would further round up the conclusions section if the authors conclusion about the impact of the tilt-effect on the accuracy of dSCD of trace gases would be added.

As stated in the previous answer, a general answer on the effect of the tilt-effect on the retrieved dSCDs cannot be given in general and depends on various parameters. We added this to the conclusions.

subsection Technical corrections

1. P3, L23 ... around each of the lines (dashed, cyan and red) ... (possibly obsolete, see comment 2. in former section)

see above

2. P8, Figure 2 When I am not mistaken, the bottom figure is never mentioned (or referred to) in the text explicitly. I suggest rectify this or to remove the bottom figure.

We added a reference to the bottom figure of Figure 2 to the paragraph about the connection between tilt-effect and colour-index (2.4).

3. P9, L10 Instrument slit function H should be lowercase h as introduced earlier in the text.

fixed.

4. P9, L20 Table 1 is not mentioned yet in the text. This could be a place to do this.

done.

5. P9, L29 Eq (17), LaTeX typesetting issue

fixed.

6. P11, Table 2 caption Last sentence: squeeze definition

fixed.

7. P17, L27 To these Gaussian instrument functions the derivation ...

fixed.

8. P18, L28 ..., can result in a

fixed.

9. P19, L2 ... artificial shifts and enhanced residuals ...

fixed.

10. P19, L24 ... approximated from a given difference ...

fixed.

References

- [Chance et al., 2005] Chance, K., Kurosu, T. P., and Sioris, C. E. (2005). Under-sampling correction for array detector-based satellite spectrometers. *Appl. Opt.*, 44(7):1296–1304.
- [Chance and Kurucz, 2010] Chance, K. and Kurucz, R. (2010). An improved high-resolution solar reference spectrum for earth’s atmosphere measurements in the ultraviolet, visible, and near infrared. *Journal of Quantitative Spectroscopy and Radiative Transfer*, 111(9):1289 – 1295. Special Issue Dedicated to Laurence S. Rothman on the Occasion of his 70th Birthday.
- [Haley et al., 2004] Haley, C. S., Brohede, S., Sioris, C., Griffioen, E., Murtaugh, D., McDade, I., Eriksson, P., Llewellyn, E., Bazureau, A., and Goutail, F. (2004). Retrieval of stratospheric O₃ and NO₂ profiles from odin optical spectrograph and infrared imager system (osiris) limb-scattered sunlight measurements. *Journal of Geophys. Res.*, 109:D16303.

- [Peters et al., 2016] Peters, E., Pinardi, G., Seyler, A., Richter, A., Wittrock, F., Bösch, T., Burrows, J. P., Van Roozendael, M., Hendrick, F., Drosoglou, T., Bais, A. F., Kanaya, Y., Zhao, X., Strong, K., Lampel, J., Volkamer, R., Koenig, T., Ortega, I., Piders, A., Puentedura, O., Navarro, M., Gómez, L., Yela González, M., Remmers, J., Wang, Y., Wagner, T., Wang, S., Saiz-Lopez, A., García-Nieto, D., Cuevas, C. A., Benavent, N., Querel, R., Johnston, P., Postylyakov, O., Borovski, A., Elokhov, A., Bruchkouski, I., Liu, C., Hong, Q., Liu, H., Rivera, C., Grutter, M., Stremme, W., Khokhar, M. F., and Khayyam, J. (2016). Investigating differences in doas retrieval codes using mad-cat campaign data. *Atmospheric Measurement Techniques Discussions*, 2016:1–31.
- [Sioris et al., 2006] Sioris, C. E., Kovalenko, L. J., McLinden, C. A., Salawitch, R. J., Van Roozendael, M., Goutail, F., Dorf, M., Pfeilsticker, K., Chance, K., von Savigny, C., Liu, X., Kurosu, T. P., Pommereau, J.-P., Bösch, H., and Frerick, J. (2006). Latitudinal and vertical distribution of bromine monoxide in the lower stratosphere from scanning imaging absorption spectrometer for atmospheric cartography limb scattering measurements. *Journal of Geophysical Research: Atmospheres*, 111(D14). D14301.
- [Slijkhuis et al., 1999] Slijkhuis, S., von Bargaen, A., Thomas, W., and Chance, K. (1999). Calculation of undersampling correction spectra for doas spectral fitting.
- [Wang et al., 2017] Wang, Y., Beirle, S., Hendrick, F., Hilboll, A., Jin, J., Kyuberis, A. A., Lampel, J., Li, A., Luo, Y., Lodi, L., Ma, J., Navarro, M., Ortega, I., Peters, E., Polyansky, O. L., Remmers, J., Richter, A., Rodriguez, O. P., Roozendael, M. V., Seyler, A., Tennyson, J., Volkamer, R., Xie, P., Zbov, N. F., and Wagner, T. (2017). Max-doas measurements of hono slant column densities during the mad-cat campaign: inter-comparison and sensitivity studies on spectral analysis settings. *Atmospheric Measurement Techniques Discussions*, 2017:1–38.

The tilt-effect in DOAS observations

Johannes Lampel^{1,*}, Yang Wang^{1,2}, Andreas Hilboll^{3,4}, Steffen Beirle¹, Holger Sihler^{1,3}, Janis Pukite¹, Ulrich Platt^{1,5}, and Thomas Wagner¹

¹Max Planck Institute for Chemistry, Mainz, Germany

²Anhui Institute of Optics and Fine Mechanics, Chinese Academy Sciences, Hefei, China

³Institute of Environmental Physics (IUP), University of Bremen, Bremen, Germany

⁴Center for Marine Environmental Sciences (MARUM), University of Bremen, Bremen, Germany

⁵Institute of Environmental Physics, University of Heidelberg, Germany

* now at: Institute of Environmental Physics, University of Heidelberg, Germany

Correspondence to: J. Lampel (johannes.lampel@iup.uni-heidelberg.de), Yang Wang (y.wang@mpic.de)

Abstract.

Experience of differential atmospheric absorption spectroscopy (DOAS) shows that a spectral shift between measurement and reference spectrum is frequently required in order to achieve optimal fit results, while the straight forward calculation of the optical density proves inferior. The shift is often attributed to temporal instabilities of the instrument, but implicitly solved the problem of the 'tilt-effect' discussed/explained in this paper.

Spectral positions of Fraunhofer and molecular absorption lines are systematically shifted for different measurement geometries due to an overall slope – or 'tilt' – of the intensity spectrum. The phenomenon has become known as 'tilt effect' for limb satellite observations, where it is corrected for in a first order approximation, whereas the remaining community is less aware of its cause and consequences.

It is caused by the measurement process because atmospheric absorption and convolution in the spectrometer do not commute. Highly resolved spectral structures in the spectrum first will be modified by absorption and scattering processes in the atmosphere. before they are recorded with a spectrometer, which convolves it with a specific instrument function. In the DOAS spectral evaluation process, however, the polynomial (or other function used for this purpose) accounting for broadband absorption is applied after the convolution is performed.

In this paper, we derive that changing the order of the two modifications of the spectra leads to different results. Assuming typical geometries for the observations of scattered sunlight and a spectral resolution of 0.6 nm, this effect can be interpreted as a spectral shift of up to 1.5 pm, which is confirmed in actual the analysis of the ground based measurements of scattered sunlight as well as in numerical radiative transfer simulations. If no spectral shift is allowed by the fitting routine, residual structures of up to 2.5×10^{-3} peak-to-peak are observed. Thus, this effect needs to be considered for DOAS application aiming at RMS of the residual of 10^{-3} and below.

1 Introduction

For a measured structured spectrum $s(\lambda)$ (e.g. scattered Sun light), the tilt effect emerges, because structures do not cancel out completely in the ratio of a measured spectrum $t(\lambda)$ relative to another spectrum with a different colour, which denotes the broad band spectral dependence. We show later that this can be interpreted as a spectral shift. This is due to the fact that the
25 broad-band shape of the atmospheric transmission and the convolution with the instrument function do not commute (Wenig et al., 2005).

The tilt-effect was previously described and is explicitly corrected for by Sioris et al. (2003, 2004); Haley et al. (2004); Sioris et al. (2006); Krecl et al. (2006); McLinden and Haley (2008); McLinden et al. (2010); Rozanov et al. (2011) by including one additional tilt-effect pseudo absorber in the spectral analyses, whose magnitude was determined from the spectral fit. In their
30 pioneering work, Sioris et al. (2003) named this effect 'tilt-effect' and corrected its impact by including a correction spectrum calculated using a radiative transfer model in the spectral analysis. However, Sioris et al. (2003) do not provide a mathematical derivation and rather estimates the effect's magnitude. It remains unclear if this effect is related to spectral undersampling and if it is also significant for other observations of scattered sunlight. Haley et al. (2004) also provide formulae for the correction spectrum, state that the effect is directly related to spectral undersampling and note that the effect is stronger for NO_2 retrievals
35 in the blue spectral range than for O_3 in the green spectral range, due to smaller Fraunhofer lines. Rozanov et al. (2011) provide a mathematical derivation for the tilt effect correction spectrum (in Appendix B) and state that the tilt effect can be interpreted as a spectral shift. However, also here, only one fixed correction spectrum is used, which is scaled accordingly by the fitting routine.

We will derive here that the tilt-correction can be interpreted as a spectral shift for Gaussian instrument functions, which
40 can however also vary with wavelength. We show that the calculated spectral shifts due to the tilt-effect agree with the observed shifts from DOAS analyses of ground-based measurements. ~~As it turns out, the effect is not related directly to spectral undersampling (Chance et al., 2005) and not restricted to a certain wavelength range.~~

We observed, that for Multi Axis - Differential Optical Absorption Spectroscopy (MAX-DOAS) evaluations (e.g. Hönninger and Platt, 2002), which allow for a spectral shift of the measurement spectrum relative to a reference spectrum, systematic
45 spectral shifts of up to 2 pm at a spectral resolution of the instrument of 0.6 nm are observed as shown in Figure 2 with the exact magnitude depending on the observation geometry. If no spectral shift is allowed in the fitting routine, residual structures of up to 2.5×10^{-3} peak-to-peak are observed ([see Figure 5](#)). Thus this effect needs to be considered for any DOAS application using a structured light source (such as the Sun) aiming at RMS of the residual of 10^{-3} and below. This is done implicitly in many DOAS retrieval codes by allowing for a spectral shift between measurement and reference spectrum. This option was
50 originally introduced in the different analysis softwares to account for real shifts caused by instrumental instabilities (compare e.g. Peters et al., 2016). And in fact, the observed shifts derived from the spectral analysis were usually attributed to such instrumental stabilities only.

MAX-DOAS instruments typically contain thermally stabilized spectrometers, in order to avoid changes in their pixel to wavelength calibration. For such instruments, the spectral stability within one day has often a similar magnitude as the tilt-

55 effect (often less than a few pm). So called Fraunhofer reference spectra are recorded regularly at zenith viewing direction: these are used as reference for the spectral analysis. If Fraunhofer reference spectra are recorded each 10–15 minutes, then the change in spectral calibration of the instrument for the measurement spectrum relative to the Fraunhofer reference spectrum becomes small (typically < 0.1 pm, cf. Figure 2) and no larger spectral shift in the DOAS analysis can be explained by instrumental instabilities any more. However, significant spectral shifts are still observed and are furthermore related to the
60 telescope elevation angle of the MAX-DOAS observation. These can be explained in such cases by the tilt-effect as shown in subsection 3.4.

When a measured spectrum is evaluated relative to another spectrum of the same setup, instrumental effects on the tilt are expected to cancel, as both spectra are influenced in the same way, e.g. by the efficiency of the grating and the detector. However, if a measured spectrum is evaluated relative to a so-called Kurucz sun spectrum (as e.g. in Burton and Sawyer, 2016; 5 Lübecke et al., 2016), the instrumentally induced tilt change can also lead to an apparent relative spectral shift.

Another interesting aspect is that correction of the measured shifts including the tilt-effect will allow to estimate the spectral stability of passive DOAS instruments more precisely as shown in subsection 3.4.

In section 2, we mathematically derive the expected spectral shift for a simplified instrument function. The expected spectral shifts are compared in section 3 to field measurements. For these, as also in section 4 for the case of synthetic spectra, good
10 agreement is found. Finally we discuss in section 5 different ways of how the tilt effect can be corrected. We provide examples and estimate its impact on the spectral retrieval.

2 Mathematical Derivation

2.1 Principle

A sketch of the principle of the tilt-effect is shown in Figure 1 where two individual δ -shaped emission lines are used instead
15 of a Sun spectrum.

~~The two~~ Two emission lines δ_1 and δ_2 at 349.8 and 350.2 nm are observed using a (virtual) spectrometer with a spectral resolution of 0.6 nm. ~~This is~~ These are shown as Gaussian peaks (p_1 and p_2) around each of the lines (~~dashed, blue and red~~ grey areas). If both lines have the same intensity, the resulting total observed intensity (~~yellow~~ blue) has its maximum in the middle of the two lines at 350 nm. If the lines are attenuated by ~~a~~ the polynomial $p(\lambda)$ (~~purple~~ drawn in red, in intensity
20 space), the resulting total observed intensity (~~dark-grey~~ green) appears to be shifted in wavelength ~~. This by~~ $\Delta\lambda = -0.08$ nm. The unrealistically steep broad band slope in $p(\lambda)$ was chosen to illustrate the effect, typically the slope of the polynomial in intensity space in DOAS observations is two orders of magnitudes smaller, as is the spectral shift due to the tilt-effect (see section 3).

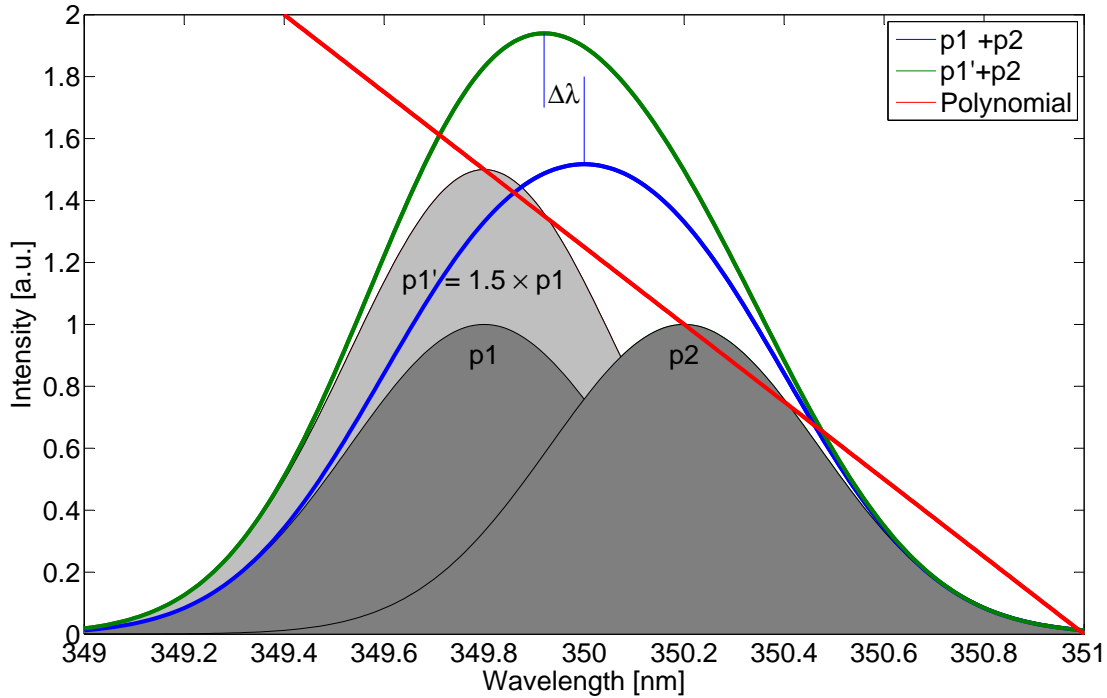


Figure 1. Illustration of the tilt-effect: an explanation is found in subsection 2.1. *Note that all intensities except the polynomial are normalized to a maximum value of unity, for clarity.*

2.2 Definitions

- 25 The instrument response function or instrument slit function $h(\lambda_0, \lambda)$ describes the response of the spectrometer for incoming radiation of wavelength λ_0 at the response wavelength λ on the detector.

Let $p(\lambda)$ be a polynomial in intensity space describing the broad-band change in the shape of the spectrum due to scattering processes and broadband absorption in the atmosphere.

Finally $k(\lambda)$ is a high resolution sun spectrum, e.g. from Chance and Kurucz (2010).

- 30 A low-resolution sun spectrum $s(\lambda)$ can be calculated from these quantities, where \otimes denotes here the convolution operator. For simplicity, $s(\lambda)$ is assumed to be direct sunlight with neither extinction nor absorption.

$$s(\lambda) = k(\lambda) \otimes h(\lambda_0, \lambda) = \int d\lambda_0 h(\lambda_0, \lambda) k(\lambda_0) \quad (1)$$

and with the wavelength dependent attenuation $p(\lambda)$, we obtain

$$t(\lambda) = (k(\lambda)p(\lambda)) \otimes h(\lambda_0, \lambda) = \int d\lambda_0 h(\lambda_0, \lambda) p(\lambda_0) k(\lambda_0) \quad (2)$$

35 The optical density which is typically fitted in DOAS applications (Platt and Stutz, 2008) is then

$$\tau(\lambda) = \ln \frac{t(\lambda)}{s(\lambda)} \quad (3)$$

The tilt-effect as described in current literature describes the fact, that absorption structures in $s(\lambda)$ and $t(\lambda)$ (Fraunhofer lines and atmospheric absorbers on Earth) do not cancel completely when calculating the optical depth τ , even if $p(\lambda)$ is smooth. We will show that it produces an apparent shift $\Delta\lambda$ of $t(\lambda)$ with respect to $s(\lambda)$. It is caused by the broad-band spectral
 40 variation $p(\lambda)$, typically approximated by a polynomial in optical density, which does not commute with the convolution with the instrument function: $p(\lambda)s(\lambda) - t(\lambda) \neq 0$. In the next subsection, we therefore want to show the following equation:

$$p(\lambda)s(\lambda) \stackrel{!}{\approx} t(\lambda - \Delta\lambda) = t(\lambda) - \Delta\lambda \frac{\partial t(\lambda)}{\partial \lambda} + \mathcal{O}(\Delta\lambda^2) \quad (4)$$

Apart from the shift $\Delta\lambda$, higher orders $\mathcal{O}(\Delta\lambda^2)$ are neglected here. Note that the right-hand side of Equation 4 is similar to the 'tilt' definition in (Haley et al., 2004, equation 20), even though it is there not directly connected to a spectral shift.

45 2.3 Derivation

Without restriction of generality, a Gaussian instrument function is used in the following, as it has some useful analytical properties. [\$\sigma\$ is here the standard deviation and \$\lambda_0\$ the center wavelength.](#)

$$g(\lambda_0, \lambda) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(\lambda-\lambda_0)^2}{2\sigma^2}} \quad (5)$$

Note that any instrument function can be represented by a sum of Gaussian functions (subsection 5.4) and that many instru-
 50 ment function are indeed close to Gaussian shape as e.g. in Beirle et al. (2016). To show a useful relation which is needed later, we set for simplicity $\lambda_0 = 0$ and we use a first order polynomial

$$q(\lambda) = 1 - w\lambda \quad (6)$$

We use $\frac{\partial g(\lambda)}{\partial \lambda} = -\frac{\lambda}{\sigma^2}g(\lambda)$ to reformulate:

$$q(\lambda)g(\lambda) = g(\lambda) - w\lambda g(\lambda) = g(\lambda) + w\sigma^2 \frac{\partial g(\lambda)}{\partial \lambda} \quad (7)$$

55 which then is $g(\lambda + \Delta\lambda) + \mathcal{O}(\Delta\lambda^2)$ with $\Delta\lambda = w\sigma^2$. We find that the spectral shift $\Delta\lambda$ is indeed proportional to the product of the tilt w of the spectrum and the square of the width of the instrument function. $\mathcal{O}(\Delta\lambda^2)$ represents second order effects. This can also change of the effective shape of the instrument function (see subsection 5.3).

The average w from Equation 6 (or later $\frac{\partial}{\partial \lambda} d(\lambda)$ with the DOAS polynomial $d(\lambda)$ from Equation 13) was found within 0.025–0.01 nm⁻¹ averaged over the fit interval using a fixed Fraunhofer reference spectrum for the MADCAT campaign
60 described in section 3.

For measurements, $p(\lambda)$ is normally not linear in λ due to the characteristics of Mie and Rayleigh scattering. Therefore the derivative $\frac{\partial}{\partial \lambda} p(\lambda)$ is not constant and the spectral shift $\Delta\lambda$ also depends on the wavelength λ itself.

With Equation 7 we can calculate $t(\lambda)$ from Equation 2:

$$t(\lambda) = (k(\lambda)p(\lambda)) \otimes g(\lambda_0, \lambda) = \int d\lambda_0 g(\lambda_0, \lambda) p(\lambda_0) k(\lambda_0) \quad (8)$$

65 Taylor expansion of $p(\lambda_0)$ around λ yields:

$$t(\lambda) = \int d\lambda_0 g(\lambda_0, \lambda) [p(\lambda) + (\lambda_0 - \lambda) \frac{\partial}{\partial \lambda'} p(\lambda')|_{\lambda'=\lambda} + \mathcal{O}(\lambda_0 - \lambda)^2] k(\lambda_0) \quad (9)$$

which is, with the shift from Equation 7 at wavelength λ and neglecting higher order terms:

$$\approx p(\lambda) \int d\lambda_0 g(\lambda_0, \lambda + \Delta\lambda(\lambda)) k(\lambda_0) = p(\lambda) s(\lambda + \Delta\lambda(\lambda)) \quad (10)$$

with

$$70 \quad \Delta\lambda(\lambda) = \sigma^2 \frac{1}{p(\lambda)} \frac{\partial}{\partial \lambda} p(\lambda) = \sigma^2 \frac{\partial}{\partial \lambda} \ln(p(\lambda)) \quad (11)$$

Using that $p(\lambda)$ is defined in intensity space and is related to the DOAS polynomial $d(\lambda)$ in optical density space (logarithm of intensity) via

$$p(\lambda) = e^{-d(\lambda)} \quad (12)$$

we get

$$75 \quad \Delta\lambda(\lambda) = -\sigma^2 \frac{\partial}{\partial \lambda} d(\lambda) \quad (13)$$

This is the more general case of Equation 7 for non-linear DOAS polynomials.

2.4 Relation to undersampling

Previously the tilt-effect was also associated with spectral undersampling (Chance et al., 2005): As e.g. described in Slijkhuis et al. (1999) for spectral data from satellite, a spectral shift between the observed measurement and reference spectra was introduced in order to

80 correct for Doppler-shifts between these. As these shifts (typically < 5 pm) are small compared to the spectral resolution of the instrument (typically ≈ 0.5 nm), the spectral shift can be linearized and directly calculated from a high-resolution sun spectrum (such as e.g. Chance and Kurucz (2010)) in order to include also artefacts of spectral undersampling. In Slijkhuis et al. (1999) the DOAS fit finally contained this linearized shift as well as the non-linear shift and squeeze parameters of the measurement spectrum relative to the reference spectrum. Also the tilt-effect introduces a spectral shift of similar magnitude, and is corrected
 85 (in first order approximation) in the same way. This potentially led to confusion in the available literature. The derivation of the tilt-effect shown above does however not depend at all on the properties of the spectral binning of the instrument and can therefore be considered independent of the undersampling effects.

2.5 Relation to the colour index

The colour index $CI(\lambda_a, \lambda_b)$ is defined by the ratio of intensities I_a and I_b at two distinct wavelengths λ_a and λ_b (see e.g. Sarkissian et al., 1991) and can be used to describe the 'tilt' of a spectrum in first order approximation.

$$CI(\lambda_a, \lambda_b) = \frac{I_a}{I_b} \quad (14)$$

Instead of analysing the DOAS polynomial, it is often sufficient to look at the difference in colour indices of measurement and reference spectrum, or in other words: at the 'tilt' of the spectrum (or part of a spectrum) as in Sioris et al. (2003). For a measurement spectrum I' with a DOAS polynomial $d(\lambda)$ relative to the reference spectrum I , we get

$$95 \quad CI'(\lambda_a, \lambda_b) = \frac{I_a e^{-d(\lambda_a)}}{I_b e^{-d(\lambda_b)}} \quad (15)$$

and thus we obtain using Equation 11

$$\begin{aligned} CI' - CI &= \frac{I_a (e^{-d(\lambda_a)} - e^{-d(\lambda_b)})}{I_b e^{-d(\lambda_b)}} \\ &= \frac{I_a}{I_b} \frac{\lambda_a - \lambda_b}{e^{-d(\lambda_b)}} \frac{\partial}{\partial \lambda} e^{-d(\lambda)} \Big|_{\lambda=\lambda_c}; \lambda_c \in [\lambda_a, \lambda_b] \\ &\approx - \frac{I_a (\lambda_a - \lambda_b)}{I_b} \frac{\partial}{\partial \lambda} d(\lambda) \Big|_{\lambda=\lambda_c}; \lambda_c \in [\lambda_a, \lambda_b] \end{aligned} \quad (16)$$

This means that the difference of colour indices between different spectra is proportional to the derivative of the DOAS polynomial at a certain point λ_c within the fit interval. The derivative of the DOAS polynomial is again proportional to the
 100 apparent spectral shift due to the tilt-effect (Equation 13). [As an example the colour indices for one day of MAX-DOAS measurements are shown in Figure 2 in the lower panel.](#)

3 Measurements

For a spectral resolution of ≈ 0.6 nm and typical DOAS polynomials, shifts of up to around 1 pm are expected using Equation 13. In this section, we will set the expected spectral shift due to the tilt-effect in relation to the spectral shift of the measurement spectrum in DOAS fits.

3.1 Measurement site

The Multi Axis DOAS - Comparison campaign for Aerosols and Trace gases (MAD-CAT) in Mainz/Germany took place on the roof of the Max Planck Institute for Chemistry (MPIC) during June and July 2013¹. The measurement site is located in the outskirts of Mainz and close to Frankfurt as well as several smaller towns. 11 research groups participated with the MAX-DOAS instruments. The intercomparison's aim primarily at the spectral retrieval of nitrogen dioxide (NO_2), formaldehyde (HCHO), nitrous acid (HONO) and glyoxal (CHOCHO), their azimuthal distributions and the retrieval of their respective vertical concentration profiles. Data from this campaign has been already published e.g. in Ortega et al. (2014), Lampel et al. (2015), Peters et al. (2016) and Wang et al. (2017).

3.2 Instrument description

We apply data obtained by an EnviMeS² MAX-DOAS instrument during the MAD-CAT campaign. It is based on two Avantes ultra-low stray-light AvaSpec-ULS2048x64 spectrometers ($f = 75$ mm) using a back-thinned Hamamatsu S11071-1106 detector. The spectrometer is temperature stabilized at 20.00°C with deviations of $\Delta T < 0.02^\circ\text{C}$ at the temperature sensor. The UV spectrometer covered a spectral range of 294–458 nm at a FWHM spectral resolution of ≈ 0.6 nm or ≈ 7 pixels. The spectral stability was determined from the position of the Ca lines at around 393 and 397 nm and was typically better than ± 2 pm per day and better than ± 5 pm for the duration of the measurements from 6 June 2013 until 31 July 2013.

Mercury discharge lamp spectra recorded at different spectrometer temperatures yield a shift of the spectral calibration of this spectrometer type of about 4.5 pm/K. The maximum deviation of the spectrometer temperature from the nominal temperature was $\Delta T < 0.02$ K, thus less than 0.1 pm spectral shift can be attributed to temperature instability close to thermal equilibrium under ideal conditions.

During laboratory test measurements, a change of the spectral calibration of the instrument over time was found to be proportional to the temperature difference outside the thermally insulated spectrometer box and the spectrometer temperature and is therefore attributed to the residual temperature differences due to heat flux from the Peltier element through the spectrometer and the thermal insulation. We assume that this is the main reason for the variation of the inferred instrumental spectral shift in Figure 2-, which was already corrected for the shift introduced by the tilt-effect. This observation later led to an improved mechanical setup of the spectrometer box to reduce these internal temperature differences.

¹http://joseba.mpch-mainz.mpg.de/mad_cat.htm

²now continued by Airyx GmbH, Eppelheim, Germany, <http://www.airyx.de>

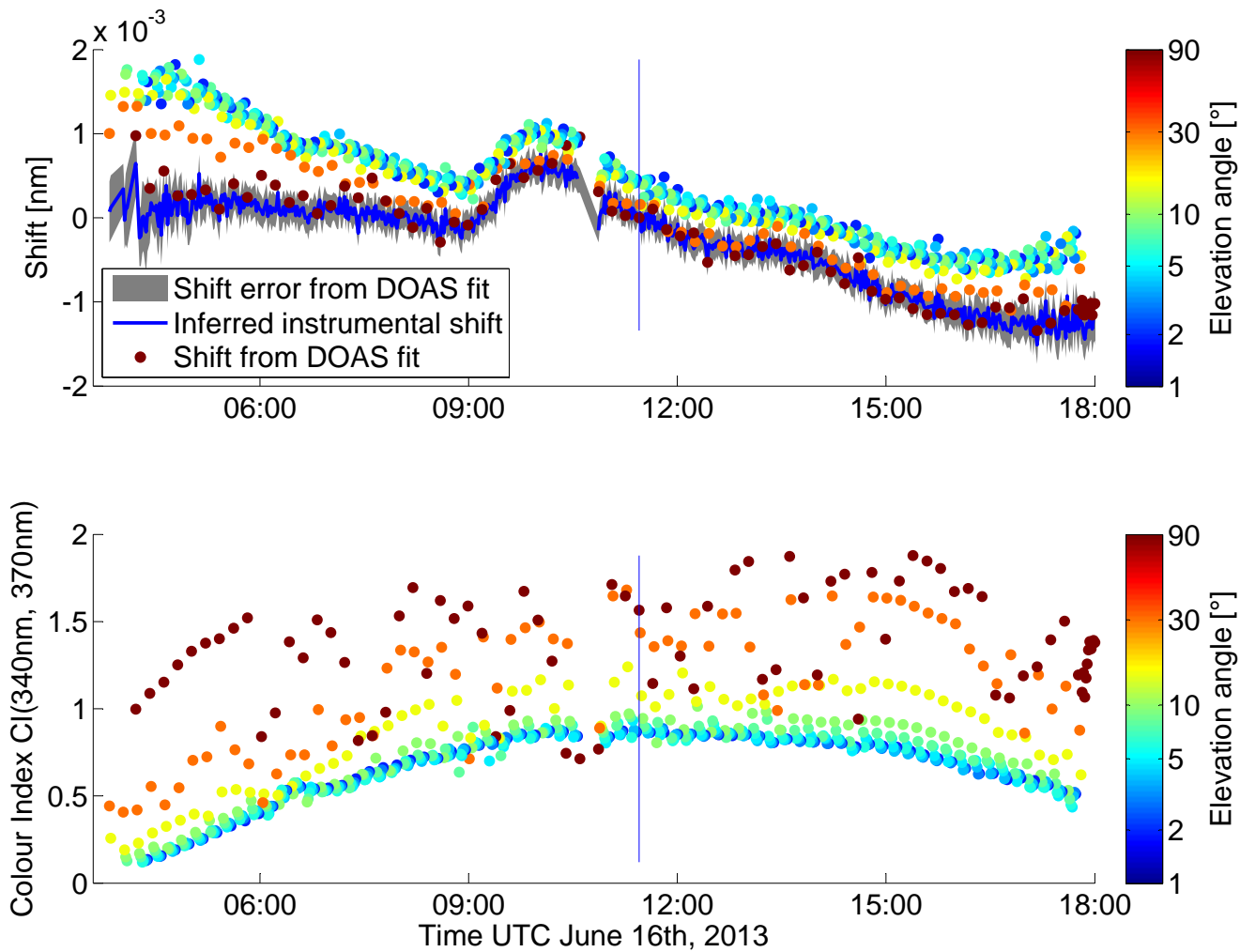


Figure 2. Measured shift (top panel) and colour index (340 nm, 370 nm) (bottom panel) as a function of time and observation elevation (colour coded) for one day (June 16th, 2013) during the MAD-CAT campaign relative to a Fraunhofer reference spectrum recorded close to local noon (thin blue vertical line). The thick blue line in the upper panel represents the pure instrumental shift after the shift introduced by the tilt-effect was removed (see text)

Mercury discharge lamp spectra to obtain the instrument slit function $H(\lambda_0, \lambda)$ $h(\lambda_0, \lambda)$ were recorded manually. No significant change of the instrument slit function shape was observed during the campaign.

The 1-D-telescope unit measures its elevation angle constantly using a MEMS acceleration sensor to determine the true vertical direction, and corrects the elevation angle, when it deviates from the nominal elevation angle. It has a vertical/horizontal field of view (FOV) of 0.2/0.8°. During daylight, spectra were recorded for 1 min each at 11 elevation angles of 90° (zenith), 30, 15, 10, 8, 6–1° respectively, as long as solar zenith angles (SZA) were smaller than 87°. Until a SZA of 100° zenith sky spectra were recorded at 90° telescope elevation. The exposure time was adjusted within the DOASIS (Kraus, 2006) measurement script to obtain spectra at a typical saturation of 50 %.

3.3 Analysis

Even though the tilt-effect is a general effect and not restricted to a certain wavelength range, we adapted here the HONO retrieval settings suggested by Wang et al. (2017) for the spectral analysis (see Table 1). Similar results were obtained in other wavelength intervals (e.g. a glyoxal retrieval window from 432 to 458 nm).

The analysis of measured and synthetic spectra (see section 4) was done using the DOASIS software using a noon Fraunhofer reference spectrum. The literature cross-sections were convolved using the measured instrument slit function at 334 nm.

3.3.1 Shift and Squeeze parameters

The shift a and squeeze b (also called stretch) allow the DOAS fit to shift and squeeze cross-sections in order to minimize the fit RMS and e.g. compensate for instrumental instabilities and other factors which can influence the spectral calibration of the instrument.

This is parametrized typically in the following way:

$$\Delta\lambda_{shift}(\lambda) = a + b(\lambda - \lambda_0) + c(\lambda - \lambda_0)^2 + \mathcal{O}((\lambda - \lambda_0)^3) \quad (17)$$

Higher orders such as c are often not used and set to zero.

The choice of λ_0 depends on the implementation, it is the minimum wavelength of the fit interval in DOASIS and the middle of the fit interval in the QDOAS software package (Danckaert et al., 2012). Choosing λ_0 in the middle of the fit range has the advantage that the corresponding base functions for shift and squeeze are linearly independent, which can be favourable in terms of numerical stability.

3.4 Results

The variation of the observed spectral shifts of the measurement spectrum e.g. during June 16th, 2013 is less than 4 pm, as can be seen from Figure 2. This translates to a spectral shift of less than 0.3 pm h⁻¹ or less than 0.06 pm per elevation angle sequence. This accuracy allows to distinguish the shift due to the tilt-effect (up to 2 pm) within each elevation angle sequence from instrumental instabilities. The resulting correlation of measured shift and calculated shift determined from the DOAS

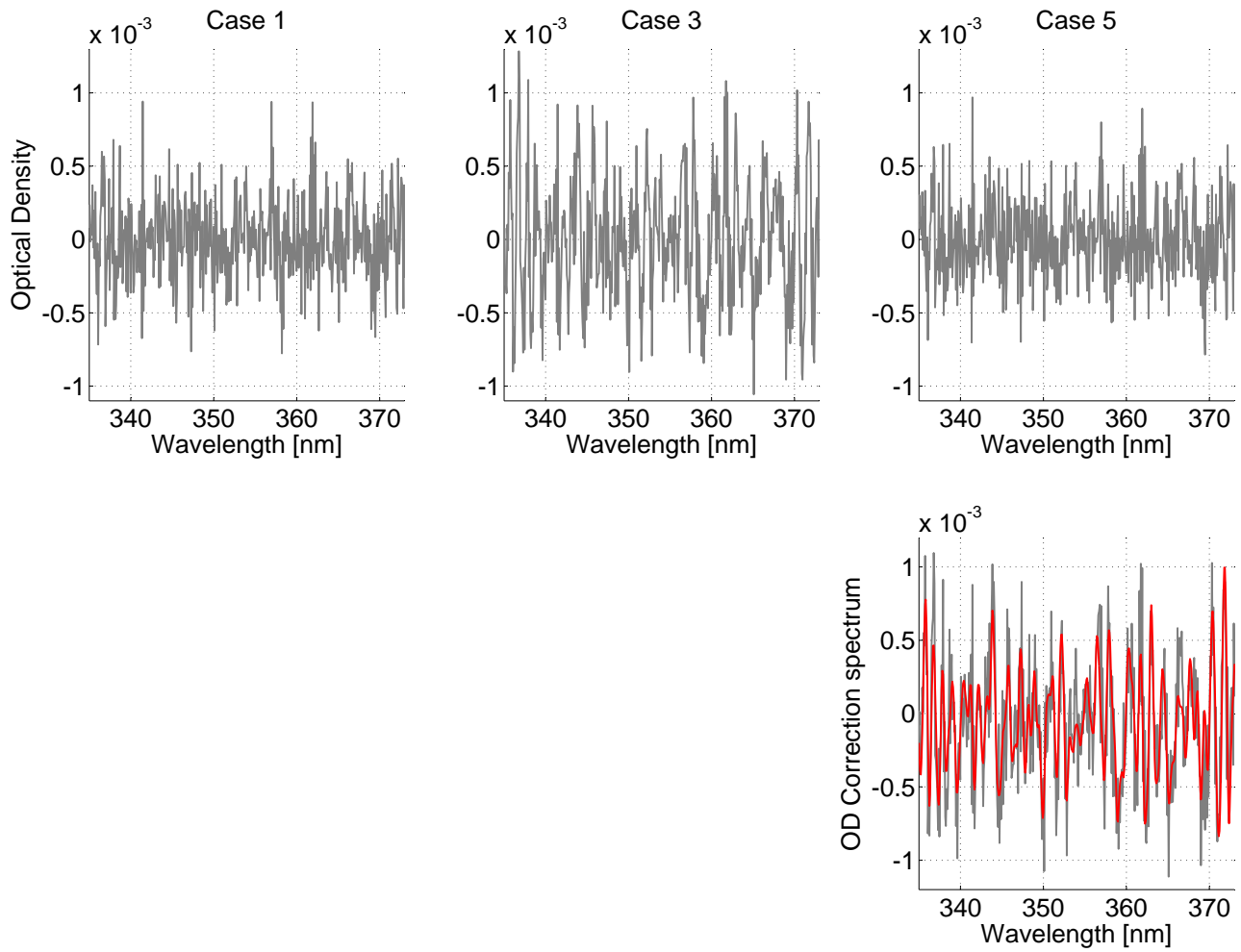


Figure 3. Corresponding plots of fit residuals to the cases 1,3 and 5 from Table 2 and the tilt-effect correction spectrum for case 5. [The tilt-effect correction spectrum is shown in red, the sum of it and the residual is show in grey.](#)

	T	S ₀	HONO	
Wavelength interval [nm]	Start		335	
	End		373	
H ₂ O vapour	298K		×	(*) Lampel et al. (2017); Polyansky et al. (2017)
O ₄	293K		×	Thalman and Volkamer (2013)
O ₃	223K	1 × 10 ¹⁸ molec cm ⁻²	×	Serdyuchenko et al. (2014)
	243K	1 × 10 ¹⁸ molec cm ⁻²	×	
HCHO			×	Meller and Moortgat (2000)
HONO			×	Stutz et al. (2000)
BrO			×	Fleischmann (2004)
NO ₂	293K	1 × 10 ¹⁶ molec cm ⁻²	×	Vandaele et al. (1998)
			×	Linear and square terms according to Puķīte et al. (2010)
	220K	1 × 10 ¹⁶ molec cm ⁻²	×	
Ring Spectrum at	273K		×	DOASIS (Kraus, 2006)
	243K		×	based on Bussemer (1993)
Ring Spectrum · λ ⁴			×	Wagner et al. (2009)
Polynomial degree			5	
Add. Polynomial -Additive pol. degree			1	e.g. Peters et al. (2016)

Table 1. Retrieval wavelength intervals and reference spectra for the MAX-DOAS. S₀ denotes the SCD used for the I₀-correction during convolution, if applicable. (*) Water vapour absorption around 363 nm was not considered for the calculation and analysis of synthetic spectra.

polynomial is shown for the complete dataset (June and July 2013) in Figure 4 evaluated relative to the next zenith Fraunhofer reference spectrum. The shift due to the tilt-effect was calculated from the DOAS polynomial using Equation 13. As the shift varies with wavelength, we used here the average shift calculated on an equidistant grid of 0.1 nm within the fit interval.

A correlation coefficient $R^2 = 0.83$ and slope of 0.95 ± 0.02 was observed. The y-axis intercept of the fitted polynomial was small and amounted to 0.05 pm, which is less than 1/1000 of the spectral width of a detector pixel. The small deviation of the fitted slope of the correlation from unity can result from a slightly varying instrument function width within the fit interval (< 2%, [estimated from widths of recorded mercury emission line spectra](#)) and effective weighting of the shift at different wavelengths due to variable depth of the Fraunhofer lines (< 3% [estimated from tilt-effect calculations of spectra with and without weighting due to Fraunhofer lines to be less than 3%](#)). The average measurement error of the shift (estimated by

Case	1	2	3	4	5	6
Free shift parameters	shift, squeeze	shift	none	corr. spectrum	corr. spectrum and shift	none none
Tilt-effect correction spectrum				×	×	×
Shift [pm]	1.1 ± 0.1	0.99 ± 0.04	(0)	(0)	0.019 ± 0.04	(0)
Squeeze	$1.00 \pm 3.4 \times 10^{-6}$	(1)	(1)	(1)	(1)	(1)
RMS [10^{-4}]	2.83	2.84	4.30	2.79	2.79	2.82
dSCD HONO [10^{14} molec cm^{-2}]	2.64	2.48	0.18	1.99	2.01	2.03
σ_{fit} HONO [10^{14} molec cm^{-2}]	2.46	2.47	3.67	2.39	2.44	2.46

Table 2. DOAS fit results from June 16th, 2013 at 04:46 UTC for a spectrum at 2° elevation for different settings of the spectral shift [and squeeze](#) of the reference spectrum ([see second row](#)) and with and without a tilt-effect correction spectrum [marked by crosses in the first row](#) (subsection 5.2). To minimize photon [shot](#) noise, four subsequent elevation angle sequences were co-added. Values in round brackets denote fixed values for shift and squeeze. Fit residuals and a fit of the correction spectrum are shown in Figure 3. The average shift within the fit interval due to the tilt-effect calculated from the DOAS polynomial itself amounts to 1.14 pm and a squeeze of $1 + 8 \times 10^{-7}$, which could not be resolved from the measurement data. [The row named 'σ_{fit}' lists the respective fit errors of the obtained differential slant column densities \(dSCDs\) from the DOAS fit.](#) (squeeze ~~definition~~ [definition](#) from DOASIS, $\lambda_0 = \lambda_{min}$, see Equation 17)

twice the fit error following Stutz and Platt (1996)) amounts to 0.03 pm ($< 1.5\%$). Furthermore the instrument function of the spectrometer used here is not exactly Gaussian.

Having shown that the shifts are mostly caused by the tilt-effect, this allows to correct the measured shift of the reference spectrum for the shift by the tilt-effect to obtain the instrumental shift at higher precision, also during unsupervised field measurements and without the need for calibration lamps. This is also shown in Figure 2. The resulting instrumental shift is stable until about 9:00 UTC (with a standard deviation of less than 0.1 pm), a time after which the room temperature changed, as probably the door was opened and the temperature outside the instrument started changing. The gap in measurement data around noon is caused by a restart of the measurement routine. As also the temperature stabilization routine was restarted, the gap is followed by a shift in the spectral calibration of 0.4 pm, as the temperature control needed a few minutes to stabilize. This effect would not have been as clearly visible without correction for the tilt-effect.

For an individual spectrum recorded at an elevation angle of 2° the fit results are shown in Table 2 using a reference spectrum recorded in the same elevation angle sequence at an elevation angle of 90° . Here [five-six](#) cases are distinguished with different numbers of free parameters for shift, squeeze and the explicitly calculated tilt-effect correction spectrum according to

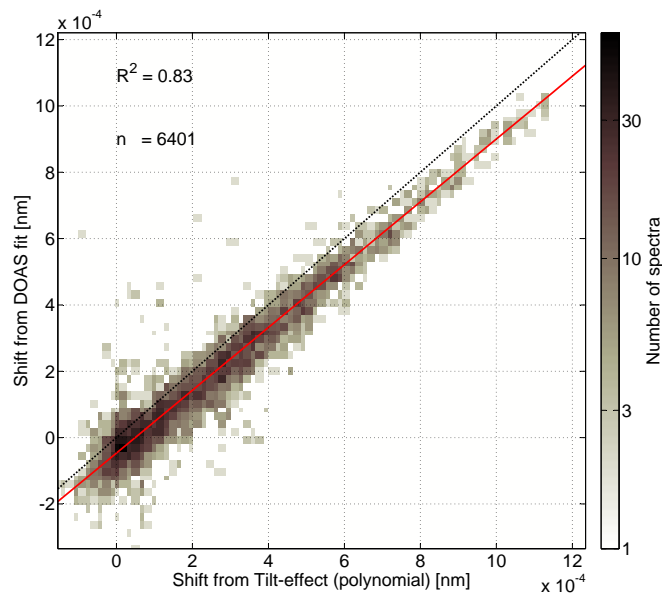


Figure 4. Correlation of the shift determined from the DOAS polynomial according to the tilt-effect and measured relative shift of the measurement spectrum to the following zenith sky spectrum (in order to minimize the influence of instrumental instabilities). To reduce the scatter of the data points further, four subsequent elevation angle sequences were co-added.

Equation 19 ~~using the~~. For the calculation of the tilt-effect correction spectrum we used a DOAS polynomial obtained from a fit without considering the tilt-effect (see also subsection 5.2).

4 The 'tilt-Effecttilt-effect' in synthetic Spectra

25 Additionally the tilt-effect is demonstrated for synthetic spectra, in order to exclude any instrumental influences.

4.1 Calculation of synthetic spectra

All simulations were conducted with the radiative transfer model SCIATRAN Rozanov et al. (2014), version 3.6.0 (03 Dec 2015). SCIATRAN was operated in `raman` mode to simulate intensities of scattered sunlight in Mainz, Germany (49.99°N, 8.23°E), including the effect of rotational Raman scattering in the Earth's atmosphere. The scalar radiative transfer problem
 30 was solved in a pseudo-spherical atmosphere (i.e., the solar beam is treated in spherical geometry, while the scattered or reflected beam is treated in plane-parallel geometry) using the discrete ordinate method. The simulations from 330 to 395 nm
 were conducted with 0.01nm spectral sampling, and Raman lines were calculated using the forward-adjoint approach and binned to the 0.01nm wavelength grid (Rozanov and Vountas, 2014).

Absorptions by the trace gases ozone (O_3), nitrogen dioxide (NO_2), formaldehyde ($HCHO$), bromine oxide (BrO), nitrous
 35 acid ($HONO$), and by the O_2-O_2 collision complex (O_4) were considered; the respective cross-section references are the ones

also used for analysis in Table 1. Aerosols were assumed to be mostly scattering, having an optical depth (AOD) of 0.135, an asymmetry factor of 0.68, and a single scattering albedo (SSA) of 0.94. [All aerosol parameters were assumed to be constant over the whole wavelength range.](#)

40 The simulated observation geometry was similar to the measurement sequences as described in subsection 3.2. A more detailed description, also of the concentration height profiles, can be found in Wang et al. (2017).

Water vapour absorption according to Lampel et al. (2017) and Polyansky et al. (2017) was not considered for the synthetic spectra, but was compensated in the measured data. A detailed analysis can be found in Wang et al. (2017).

4.2 Results

45 The spectral analysis was performed in analogy to subsection 3.3. The absorption of water vapour in the UV was not considered for the calculation of the spectra and thus also not in the spectral analysis.

The synthetic spectra represent measurements of an ideal instrument without any changes of the wavelength calibration due to external influences. Therefore the initial expectation of the analysis of the synthetic spectra was that no shift is needed in the spectral analysis between reference spectrum and measurement spectrum. However, as described in section 2, some spectral shift was found and needed to be compensated for.

50 Fits with a RMS of more than 4×10^{-4} during twilight were filtered out, as saturation and radiative transfer effects of stratospheric ozone absorption increased the residuals of the fits significantly and have the potential to modify the calculated shift values. The correlation of calculated and fitted shift for the remaining 120 spectra due to the tilt-effect was very good with $R^2 = 0.9993$. Shifts of up to 1.2 pm due to the tilt-effect were found. The shift from the DOAS fit was about 2.1% larger than from the calculation of the tilt-effect. However, the average measurement error of the shift amounts to 0.02 pm and is thus of
55 similar magnitude.

The small discrepancy could be also caused by the fact that the influence of rotational Raman scattering is calculated differently in DOASIS (according to Bussemer (1993); Platt and Stutz (2008) from the convolved, synthetic spectrum itself) and SCIATRAN (according to Rozanov and Vountas (2014) at the higher spectral resolution of 0.01 nm before convolution).

60 Overall the very good agreement of theoretically expected and calculated spectral shifts shows also the validity of the derivation of the tilt-effect.

5 Discussion - Correction of the tilt-effect

Even for a perfect MAX- or zenith sky DOAS instrument (as shown in section 4), the tilt-effect needs to be considered and corrected. Typically it is corrected ~~for~~ by allowing a shift between measurement and reference spectrum. As the shift at each wavelength depends on the derivative of the broad band spectral dependence, which is usually corrected by the DOAS
65 polynomial, an additional squeeze (and higher orders of the spectral shift) of the measurement spectrum can be necessary, depending on the desired magnitude of the residual. This is discussed in subsection 5.1.

The spectral shift depends on the spectral resolution of the instrument (see Equation 13), in fact it is proportional to the square to the spectral resolution.

Another approach is to calculate the effective shift spectrum from the explicit calculation of the commutator of polynomial and convolution, or in other words the difference between $p(\lambda)s(\lambda)$ and $t(\lambda)$. This approach is discussed in subsection 5.2.

5.1 Shift and squeeze

The apparent change in the wavelength determination due to the tilt-effect can be determined from the DOAS polynomial using Equation 13. The shift, squeeze and higher order parameters can then be determined by a polynomial fit of $\Delta\lambda(\lambda)$ using the QDOAS definition of λ_0 of squeeze and higher orders (see Equation 17). For each of the parameters of the polynomial (corresponding to shift, squeeze, quadratic squeeze etc.), the maximum shift inside the fit range can be determined and can then be used for estimating the residual structure which is caused by the tilt-effect. This shift, converted to the corresponding optical depth, is shown in Figure 5. For typical applications (FWHM= 0.6 nm, RMS> 1×10^{-4}) it is therefore sufficient to allow shift and squeeze between measurement and reference spectrum in order to correct for this effect. The conversion factor α_{OD} from shift to optical density peak-to-peak optical density within the fitting interval was determined from the pseudo-absorber of the spectral shift within the fit interval for the given spectral resolution of the instrument and amounted in this case to 1.5 nm^{-1} .

$$\alpha_{OD} = 2 \times \left| \frac{\partial s(\lambda)}{\partial \lambda} \frac{1}{s(\lambda)} \right|_{max} \quad (18)$$

5.2 Tilt-effect correction spectrum

For a known DOAS polynomial $d(\lambda)$, a correction spectrum $c(\lambda)$ can be calculated to compensate for the tilt-effect. This implies that an iterative fit process is performed and means thus higher computational costs. The correction spectrum $c(\lambda)$ is the difference between two synthetic sun spectra calculated from a highly resolved solar atlas, one where the attenuation with the $p(\lambda) = e^{-d(\lambda)}$ in intensity space is applied before and one after the convolution operation:

$$c(\lambda) = p(\lambda)s(\lambda) - t(\lambda) \quad (19)$$

To use it in the DOAS fit as a pseudo-absorber (PA), it can be converted (in a first order approximation) to optical density space by division with $s(\lambda)$

$$c_{PA}(\lambda) = \frac{c(\lambda)}{s(\lambda)} \quad (20)$$

This correction spectrum, introduced in the fit results shown in Table 2, was indeed found in the spectral fit and reduced the shift of the reference spectrum from $1.1 \pm 0.1 \text{ pm}$ (case 2) to $0.019 \pm 0.04 \text{ pm}$ (case 5). As the calculation from Equation 19 also provides the absolute magnitude of the effect, this correction spectrum does not even need to be fitted as in previous

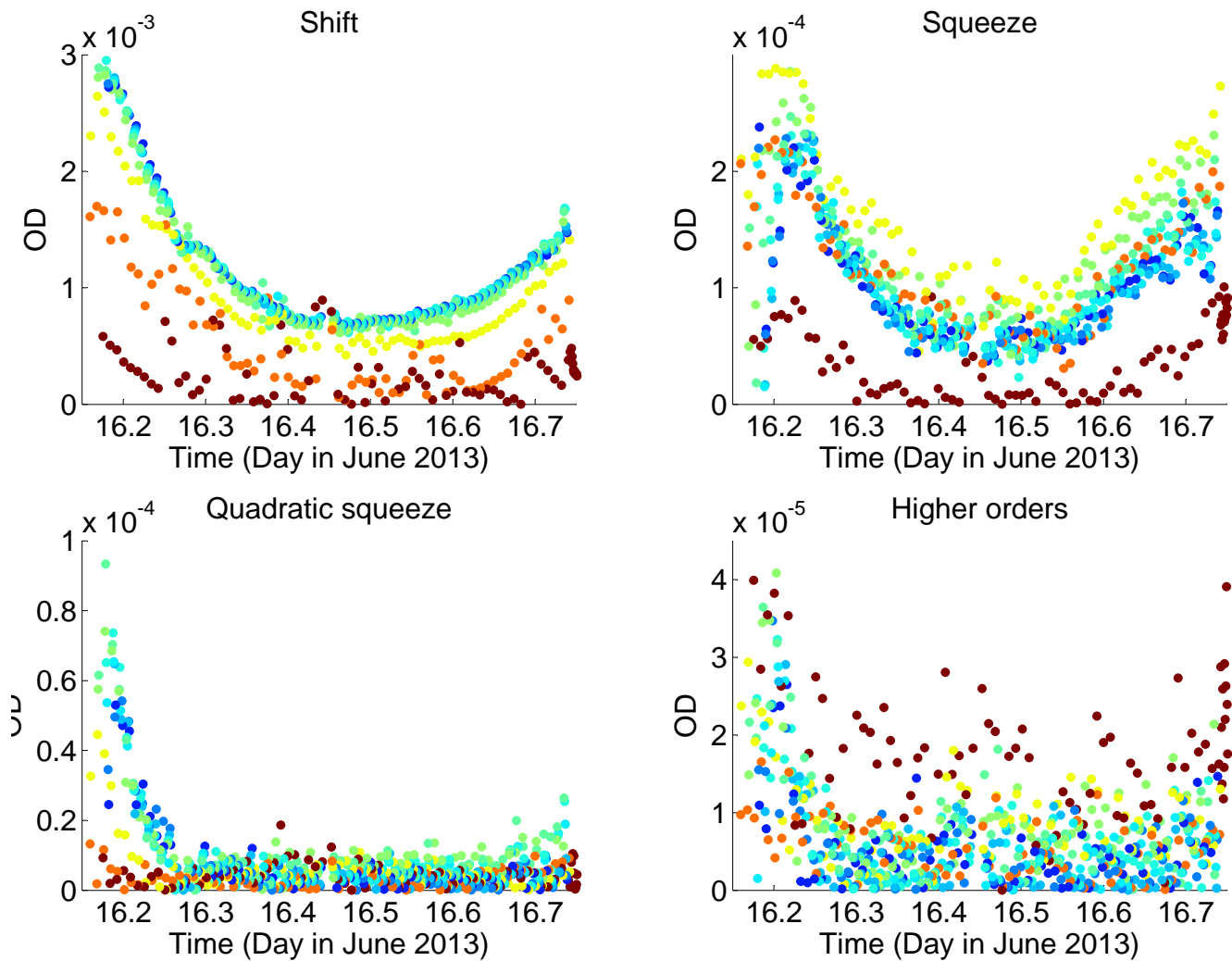


Figure 5. Peak-to-peak optical density caused by shift, squeeze and higher order squeeze due to the tilt-effect, using the dataset from Figure 2. The shift due to the tilt-effect was calculated from the DOAS polynomial for the corresponding mean wavelength of each pixel within the fit interval. Then a polynomial of third order was fitted to this data to calculate the corresponding shift, squeeze and higher order terms and thus the corresponding peak-to-peak ODs caused by the tilt-effect. It can be seen that shift and squeeze already compensate for most of the effect. The colour scale is the same as in Figure 2.

25 publications, but can be applied directly (case 6). This can, if the instrument itself is stable, potentially reduce the degrees of freedom of the fit and thus result in lower detection limits. This could however not be observed here for measurement data.

The DOAS polynomial can be determined with sufficient precision without correcting the tilt-effect, as a small spectral shift can be represented via Taylor expansion by an individual spectrum, which is dominated by narrowband contributions (Beirle et al., 2013) as it is defined via the derivative with respect to wavelength of the respective spectrum. To test this, the DOAS
30 polynomial was determined for the spectrum shown in Table 2. This polynomial was used to calculate the correction spectrum. The absolute magnitude of the resulting DOAS polynomials [with and without correcting for the Tilt-effect](#) differed relative to each other by up to 3%. Calculating the correction spectrum from the second DOAS polynomial results in a second correction spectrum which differs absolutely with an OD of 6×10^{-5} . Therefore further iterations of the fitting process are not needed in this case.

35 Note that this approach might need to consider also strong absorbers present in the observed spectra, which are not present in the solar atlas spectrum. This can play a role for ozone and sulfur dioxide absorption in the UV range and strong absorbers such as H₂O and O₂ in the red and near-IR spectral range. A potential disadvantage is that this calculation requires knowledge of the exact instrument slit function, which is implicitly included in the first approach (subsection 5.1 and see also subsection 5.3). As often the spectral shift of the instrument also needs to be accounted for, shift and squeeze need to be implemented in any
40 case, which can make the calculation of explicit correction spectra in most cases obsolete. This choice depends on the desired precision of the result for very small RMS (compare Figure 5).

The correction spectra calculated using the DOAS polynomial include apart from the tilt-effect induced shift also the effect of the squeeze parameter and higher orders. Therefore a correction spectrum needs to be calculated corresponding to each fit. As seen from Figure 5 applying shift and squeeze is sufficient for most applications, but calculation of the correction spectrum
45 can reduce the impact of the tilt-effect even further, as seen in Table 2. Here the RMS of the default fit using shift and squeeze of the reference spectrum (1) is reduced by using an explicitly calculated correction spectrum slightly by 1% (4), even though the number of degrees of freedom of the fit stayed constant (cases 1+5). When only the correction spectrum was used, and the shift fixed to zero, assuming no shift between Fraunhofer reference and measurement spectrum (cases 1+4), the RMS is the same, but the HONO fit error is reduced.

50 5.3 The influence of the instrument slit function

As shown in Equation 13 for the case of a Gaussian instrument response function, the spectral shift depends on the spectral resolution of the instrument, in fact it is proportional to the square to the spectral resolution. A real instrument function $h(\lambda_0, \lambda)$ is in general not a Gaussian function, but can be approximated by N Gaussian functions of different widths σ_i shifted by $\Delta\lambda_{0i}$ and weighted by w_i , as it is typically also measured at finite spectral resolution.

$$55 \quad h(\lambda_0, \lambda) = \sum_i^N w_i g_{\sigma_i}(\lambda_0 + \Delta\lambda_{0i}, \lambda) \quad (21)$$

As summing and convolution are interchangeable, Equation 1 can be written as a sum over different $s_i(\lambda) = k(\lambda) \otimes g_{\sigma_i}(\lambda_0 + \Delta\lambda_{0i}, \lambda)$ using Equation 21. To these Gaussian instrument ~~function~~ function-functions the derivation of the tilt-effect applies individually. However, as the derivative with respect to λ in the Taylor series for the spectral shift in Equation 4 also commutes with the sum, the shift calculated from $s(\lambda)$ also correctly compensates for the tilt-effect for non-Gaussian instrument functions.

60 5.4 Instrument slit function changes due to tilt-effect

As already pointed out for Equation 7, the squeeze and second order effects of the tilt-effect also lead to a slight modification of the effective instrument slit function's shape, apart from the spectral shift. Based on the DOAS polynomials obtained from the fits of measurements from June 16th (compare Figure 2) and using an initial Gaussian instrument slit function, the effective instrument slit function was numerically calculated and fitted again with a Gaussian function. The first order tilt-effect shift
65 was reproduced within 2×10^{-8} nm. The relative width of the instrument function varied by up to $5 \times 10^{-4}\%$. For an absorber with a differential OD of unity, this results in an OD of less than 5×10^{-6} and is therefore negligible.

5.5 Pixel-wavelength calibration of spectra

As the tilt-effect will influence all spectra recorded at low resolution, it will also have an effect on the spectral calibration of scattered sunlight spectra, if done by fitting it to a high-resolution solar atlas, as e.g. Chance and Kurucz (2010). As will be
70 shown in subsection 5.6, the effect on retrieved trace gases is typically negligible, as the expected shifts due to the tilt-effect are also here of the order of less than a few pm.

Note that also other calibration methods, as e.g. the calibration using line emission spectra, have uncertainties: If the position of the emission lines is determined by fitting Gaussian peaks, the fit error of the center of the peak also typically amounts to 2-3 pm, as the shape of the observed emission line is rarely Gaussian (e.g. Liu et al., 2015; Beirle et al., 2016). The width of a
75 single pixel for the measurements shown above is typically 60 pm or larger. The variation between different mercury emission lamps is with about 0.07 pm and thus significantly smaller than the tilt-effect itself (Sansonetti and Reader, 2001).

The center-of-mass of an emission peak can be more accurately determined when the emission peaks are not undersampled.

5.6 The impact of the 'tilt-effect' on the spectral retrieval of trace-gases

The impact of the 'tilt-effect' on the spectral retrieval of trace gases is twofold: If the tilt-effect is not corrected for, the
80 remaining residual structures can cause deviations for retrieved trace gases. The shift induced on the measurement spectrum is the same as for the absorbers, as similar considerations apply as well to the convolution of trace gases as to the convolution of the Fraunhofer spectrum. However, if the shift of the trace gases is not determined from the fit, but from a fit of the Fraunhofer reference spectrum to a solar atlas (typically with a different 'tilt' or colour indices), small shifts of the order of a few pm can occur, which are not the same for the absorbers.

85 Using a pseudo-absorber for the spectral shift of NO_2 $\partial/\partial\lambda\sigma_{\text{NO}_2}(\lambda)$, we obtain a residual OD for a shift of 2 pm due to the tilt-effect of the NO_2 absorption cross-section of 0.2%. Thus 1.5% differential absorption by NO_2 , which corresponds to

a ~~dSCD~~-differential slant column density (dSCD) of 1×10^{17} molec cm⁻², can result in a systematic residual structure due to the tilt-effect of 3×10^{-4} (2% of the original absorption), which is often acceptable.

90 For the case of HONO and a spectrum with a apparent shift due to the Tilt-effect of 1 pm the results are shown in Table 2. It becomes clear that the overall influence of the Tilt-effect on the retrieved HONO dSCDs is small and within the measurement error in this case, for this absorber and for this instrument. However, as the residual RMS and thus the fit error is significantly reduced, the correction of this effect is crucial for a correct determination of measurement errors and detection limits (c.f. e.g. Stutz and Platt (1996)). If the shape of the structures caused by the tilt-effect shows more similarities with an absorber, the changes in its dSCDs might however be larger. This depends on the fitting interval, spectral resolution and the respective absorber and cannot be answered in general.

95

6 Conclusions

Based on a theoretical analysis as well as on measured and simulated scattered sunlight spectra we have shown that the tilt-effect can cause artificial shifts and ~~and~~-enhanced residuals, which are introduced by the the fact that any modification of the broadband spectral variation of a spectrum (e.g. caused by atmospheric scattering processes) does not commute with the convolution with the instrument slit function. Thus an effective shift between measurement and reference spectra can

5 be observed. This effect is called 'tilt-effect' according to Sioris et al. (2003). In the context of limb satellite observations, this effect was mathematically described by Rozanov et al. (2011). We showed that the spectral shift due to this effect is proportional to the square of the instrument resolution σ and the slope of the broad band spectral shape, which can be described by the so called DOAS polynomial, which accounts for broad band spectral differences between the measured spectrum and the Fraunhofer reference spectrum (e.g. caused by Mie and Rayleigh scattering and broadband absorptions). ~~It~~In

10 contrast to previous publications (e.g. Sioris et al., 2003), it is not directly connected to spectral undersampling as-stated-in previous-publications(Chance et al., 2005) and is not restricted to a certain wavelength range. It affects any medium resolution spectroscopic application where the spectral evaluation involves a step where the convolution and effects like scattering, which lead to a broad-band variation of the shape of the spectrum, are commuted. Lab measurements of trace gas absorptions are however often done at higher spectral resolution, which minimizes the apparent shift of the tilt effect due to the relation shown

15 in Equation 13.

A shift between measurement and reference spectrum is typically allowed for in DOAS retrievals and motivated by instrumental instabilities. We show that the shift caused by the tilt-effect is significantly larger than typical instrument shifts within one elevation sequence and that the main reason to allow for this shift is eventually the tilt-effect. For measured as well as for simulated ~~,synthetic~~-spectra a good correlation between fitted and calculated shifts due to the tilt-effect is found.

20 For ground-based passive DOAS instruments with a spectral resolution of 0.6 nm ~~and~~we find apparent spectral shifts of more than 1 pm due to the Tilt-effect. This shift can result in residual optical depths of 2.5×10^{-3} if not corrected for. This will increase the calculated fit and measurement errors and can also lead to deviations of retrieved dSCDs, depending on the settings of the spectral retrieval and the instrument's properties. For DOAS fits with a residual RMS of more than 10^{-4} , we estimate

that the tilt-effect can be compensated for by allowing for a shift and squeeze term. For DOAS fits with a residual RMS of
25 less than 10^{-4} , which can be obtained by co-adding a large number of spectra, higher order terms for the parametrization of
the wavelength shift might be necessary. The shift due to the tilt-effect is typically not constant with respect to wavelength λ
within the fit intervals, as it is proportional to the derivative of the so called DOAS polynomial (Equation 13). For observation
geometries which show larger differences in colour indices, such as satellite limb observations, such corrections might even be
necessary if the requirements on the magnitude of the residual are less strict. As the spectral shift due to the tilt-effect can be
30 calculated from the DOAS polynomial, the remaining observed spectral shift can be attributed to instrumental properties and
it thus can be used for monitoring purposes, e.g..

Alternatively, using the known instrument function, correction spectra can be explicitly calculated for a given DOAS poly-
nomial or approximated from a given ~~the~~ difference in colour indices between measurement and reference spectrum, similarly
as suggested in previous publications.

The effect is generally present for spectroscopic measurements at medium spectral resolution with wavelength dependent
5 attenuation. Therefore the same effect can be expected for active measurements (e.g. Cavity-enhanced or Long-Path DOAS
measurements) as well.

Acknowledgements. We thank the MAD-CAT-Team for support during the MAD-CAT campaign. We thank Klaus Pfeilsticker and Alexey
RozaNov for helpful comments during the preparation of the manuscript. We thank EnviMeS/Airyx for providing the MAX-DOAS instrument
during the MAD-CAT campaign.

10 References

- Beirle, S., Sihler, H., and Wagner, T.: Linearisation of the effects of spectral shift and stretch in DOAS analysis, *Atmospheric Measurement Techniques*, 6, 661–675, doi:10.5194/amt-6-661-2013, <http://www.atmos-meas-tech.net/6/661/2013/>, 2013.
- Beirle, S., Lampel, J., Lerot, C., Sihler, H., and Wagner, T.: Parameterizing the instrumental spectral response function and its changes by a Super-Gaussian and its derivatives, *Atmospheric Measurement Techniques Discussions*, 2016, 1–29, doi:10.5194/amt-2016-307, <http://www.atmos-meas-tech-discuss.net/amt-2016-307/>, 2016.
- Burton, M. R. and Sawyer, G. M.: iFit: An intensity-based retrieval for SO₂ and BrO from scattered sunlight ultraviolet volcanic plume absorption spectra, *Atmospheric Measurement Techniques Discussions*, 2016, 1–47, doi:10.5194/amt-2015-380, <http://www.atmos-meas-tech-discuss.net/amt-2015-380/>, 2016.
- Bussemer, M.: Der Ring- Effekt: Ursachen und Einfluß auf die spektroskopische Messung stratosphärischer Spurenstoffe, Diploma thesis, Heidelberg University, Heidelberg, Germany, <http://katalog.ub.uni-heidelberg.de/titel/59803137>, 1993.
- Chance, K. and Kurucz, R.: An improved high-resolution solar reference spectrum for earth’s atmosphere measurements in the ultraviolet, visible, and near infrared, *Journal of Quantitative Spectroscopy and Radiative Transfer*, 111, 1289 – 1295, doi:<http://dx.doi.org/10.1016/j.jqsrt.2010.01.036>, special Issue Dedicated to Laurence S. Rothman on the Occasion of his 70th Birthday., 2010.
- Chance, K., Kurosu, T. P., and Sioris, C. E.: Undersampling correction for array detector-based satellite spectrometers, *Appl. Opt.*, 44, 1296–1304, doi:10.1364/AO.44.001296, <http://ao.osa.org/abstract.cfm?URI=ao-44-7-1296>, 2005.
- Danckaert, T., Fayt, C., Roozendael, M. V., Smedt, I. D., Letocart, V., Merlaud, A., and Pinardi, G.: QDOAS Software user manual, http://uv-vis.aeronomie.be/software/QDOAS/QDOAS_manual_2.1_201212.pdf, 2012.
- Fleischmann, O.: New ultraviolet absorption cross-sections of BrO at atmospheric temperatures measured by time-windowing Fourier transform spectroscopy, *Journal of Photochemistry and Photobiology A: Chemistry*, 168, 117–132, 2004.
- Haley, C. S., Brohede, S. M., Sioris, C. E., Griffioen, E., Murtagh, D. P., McDade, I. C., Eriksson, P., Llewellyn, E. J., Bazureau, A., and Goutail, F.: Retrieval of stratospheric O₃ and NO₂ profiles from Odin Optical Spectrograph and Infrared Imager System (OSIRIS) limb-scattered sunlight measurements, *Journal of Geophysical Research: Atmospheres*, 109, 2004.
- Hönninger, G. and Platt, U.: Observations of BrO and its vertical distribution during surface ozone depletion at Alert, *Atmospheric Environment*, 36, 2481–2489, 2002.
- Kraus, S.: DOASIS - A Framework Design for DOAS, Dissertation, Heidelberg University, 2006.
- Krecl, P., Haley, C. S., Stegman, J., Brohede, S. M., and Berthet, G.: Retrieving the vertical distribution of stratospheric OCIO from Odin/OSIRIS limb-scattered sunlight measurements, *Atmospheric Chemistry and Physics*, 6, 1879–1894, doi:10.5194/acp-6-1879-2006, <http://www.atmos-chem-phys.net/6/1879/2006/>, 2006.
- Lampel, J., Frieß, U., and Platt, U.: The impact of vibrational Raman scattering of air on DOAS measurements of atmospheric trace gases, *Atmospheric Measurement Techniques*, 8, 3767–3787, doi:10.5194/amt-8-3767-2015, <http://www.atmos-meas-tech.net/8/3767/2015/>, 2015.
- Lampel, J., Pöhler, D., Polyansky, O. L., Kyuberis, A. A., Zobov, N. F., Tennyson, J., Lodi, L., Frieß, U., Wang, Y., Beirle, S., Platt, U., and Wagner, T.: Detection of water vapour absorption around 363 nm in measured atmospheric absorption spectra and its effect on DOAS evaluations, *Atmospheric Chemistry and Physics*, 17, 1271–1295, doi:10.5194/acp-17-1271-2017, <http://www.atmos-chem-phys.net/17/1271/2017/>, 2017.

- Liu, C., Liu, X., Kowalewski, M. G., Janz, S. J., González Abad, G., Pickering, K. E., Chance, K., and Lamsal, L. N.: Characterization and verification of ACAM slit functions for trace-gas retrievals during the 2011 DISCOVER-AQ flight campaign, *Atmospheric Measurement Techniques*, 8, 751–759, doi:10.5194/amt-8-751-2015, <http://www.atmos-meas-tech.net/8/751/2015/>, 2015.
- Lübcke, P., Lampel, J., Arellano, S., Bobrowski, N., Dinger, F., Galle, B., Garzón, G., Hidalgo, S., Chacón Ortiz, Z., Vogel, L., Warnach, S., and Platt, U.: Retrieval of absolute SO₂ column amounts from scattered-light spectra – Implications for the evaluation of data from automated DOAS Networks, *Atmospheric Measurement Techniques Discussions*, 2016, 1–33, doi:10.5194/amt-2016-24, <http://www.atmos-meas-tech-discuss.net/amt-2016-24/>, 2016.
- McLinden, C., Haley, C., Lloyd, N., Hendrick, F., Rozanov, A., Sinnhuber, B.-M., Goutail, F., Degenstein, D., Llewellyn, E., Sioris, C., et al.: Odin/OSIRIS observations of stratospheric BrO: Retrieval methodology, climatology, and inferred Bry, *Journal of Geophysical Research: Atmospheres*, 115, 2010.
- 5 McLinden, C. A. and Haley, C. S.: Odin/OSIRIS observations of stratospheric NO₃ through sunrise and sunset, *Atmospheric Chemistry and Physics*, 8, 5529–5534, doi:10.5194/acp-8-5529-2008, <http://www.atmos-chem-phys.net/8/5529/2008/>, 2008.
- Meller, R. and Moortgat, G. K.: Temperature dependence of the absorption cross sections of formaldehyde between 223K and 323K in the wavelength range 225–375 nm, *Journal of Geophysical Research: Atmospheres*, 105, 7089–7101, doi:10.1029/1999JD901074, <http://dx.doi.org/10.1029/1999JD901074>, 2000.
- 10 Ortega, I., Koenig, T., Sinreich, R., Thomson, D., and Volkamer, R.: The CU 2-dimensional MAX-DOAS instrument – Part 1: Retrieval of NO₂ in 3 dimensions and azimuth dependent OVOC ratios, *Atmospheric Measurement Techniques Discussions*, 7, 11 653–11 709, doi:10.5194/amtd-7-11653-2014, <http://www.atmos-meas-tech-discuss.net/7/11653/2014/>, 2014.
- Peters, E., Pinardi, G., Seyler, A., Richter, A., Wittrock, F., Bösch, T., Burrows, J. P., Van Roozendael, M., Hendrick, F., Drosoglou, T., Bais, A. F., Kanaya, Y., Zhao, X., Strong, K., Lampel, J., Volkamer, R., Koenig, T., Ortega, I., PETERS, A., Puentedura, O., Navarro, M., Gómez, L., Yela González, M., Remmers, J., Wang, Y., Wagner, T., Wang, S., Saiz-Lopez, A., García-Nieto, D., Cuevas, C. A., Benavent, N., Querel, R., Johnston, P., Postlyakov, O., Borovski, A., Elokhov, A., Bruchkouski, I., Liu, C., Hong, Q., Liu, H., Rivera, C., Grutter, M., Stremme, W., Khokhar, M. F., and Khayyam, J.: Investigating differences in DOAS retrieval codes using MAD-CAT campaign data, *Atmospheric Measurement Techniques Discussions*, 2016, 1–31, doi:10.5194/amt-2016-358, <http://www.atmos-meas-tech-discuss.net/amt-2016-358/>, 2016.
- 15 Platt, U. and Stutz, J.: *Differential optical absorption spectroscopy*, Springer, Berlin, Heidelberg, 2008.
- Polyansky, O. L., Kyuberis, A. A., Lodi, L., Tennyson, J., Ovsyannikov, R. I., and Zobov, N.: ExoMol molecular line lists XX: high accuracy computed line list for hot H₂¹⁶O, *Mon. Not. R. Astron. Soc.*, 2017.
- Puķīte, Janis, J., Kühl, S., Deutschmann, T., Platt, U., and Wagner, T.: Extending differential optical absorption spectroscopy for limb measurements in the UV, *Atmospheric Measurement Techniques*, 3, 631–653, doi:10.5194/amt-3-631-2010, <http://www.atmos-meas-tech.net/3/631/2010/>, 2010.
- 20 Rozanov, A., Kühl, S., Doicu, A., McLinden, C., Puķīte, J., Bovensmann, H., Burrows, J. P., Deutschmann, T., Dorf, M., Goutail, F., Grunow, K., Hendrick, F., von Hobe, M., Hrechanyy, S., Lichtenberg, G., Pfeilsticker, K., Pommereau, J. P., Van Roozendael, M., Stroh, F., and Wagner, T.: BrO vertical distributions from SCIAMACHY limb measurements: comparison of algorithms and retrieval results, *Atmospheric Measurement Techniques*, 4, 1319–1359, doi:10.5194/amt-4-1319-2011, <http://www.atmos-meas-tech.net/4/1319/2011/>, 2011.
- 30 Rozanov, V. V. and Vountas, M.: Radiative transfer equation accounting for rotational Raman scattering and its solution by the discrete-ordinates method, *Journal of Quantitative Spectroscopy and Radiative Transfer*, 133, 603–618, doi:10.1016/j.jqsrt.2013.09.024, <http://www.sciencedirect.com/science/article/pii/S0022407313004044>, 2014.

- Rozanov, V. V., Rozanov, A. V., Kokhanovsky, A. A., and Burrows, J. P.: Radiative transfer through terrestrial atmosphere and ocean: Software package SCIATRAN, *J. Quant. Spectrosc. Rad. Transfer*, 133, 13–71, doi:10.1016/j.jqsrt.2013.07.004, <http://www.sciencedirect.com/science/article/pii/S0022407313002872>, 2014.
- 35 Sansonetti, C. J. and Reader, J.: Spectrum and Energy Levels of Singly-Ionized Mercury (Hg II), *Physica Scripta*, 63, 219, <http://stacks.iop.org/1402-4896/63/i=3/a=007>, 2001.
- Sarkissian, A., Pommereau, J., and Goutail, F.: Identification of polar stratospheric clouds from the ground by visible spectrometry, *Geophysical Research Letters*, 18, 779–782, 1991.
- 40 Serdyuchenko, A., Gorshchev, V., Weber, M., Chehade, W., and Burrows, J. P.: High spectral resolution ozone absorption cross-sections - Part 2: Temperature dependence, *Atmospheric Measurement Techniques*, 7, 625–636, doi:10.5194/amt-7-625-2014, <http://www.atmos-meas-tech.net/7/625/2014/>, 2014.
- Sioris, C., Kurosu, T., Martin, R., and Chance, K.: Stratospheric and tropospheric NO₂ observed by SCIAMACHY: first results, *Advances in Space Research*, 34, 780 – 785, doi:<http://dx.doi.org/10.1016/j.asr.2003.08.066>, <http://www.sciencedirect.com/science/article/pii/S0273117704003643>, trace Constituents in the Troposphere and Lower Stratosphere, 2004.
- 45 Sioris, C. E., Haley, C. S., McLinden, C. A., von Savigny, C., McDade, I. C., McConnell, J. C., Evans, W. F., Lloyd, N. D., Llewellyn, E. J., Chance, K. V., et al.: Stratospheric profiles of nitrogen dioxide observed by Optical Spectrograph and Infrared Imager System on the Odin satellite, *Journal of Geophysical Research: Atmospheres*, 108, 2003.
- Sioris, C. E., Kovalenko, L. J., McLinden, C. A., Salawitch, R. J., Van Roozendaal, M., Goutail, F., Dorf, M., Pfeilsticker, K., Chance, K., von Savigny, C., Liu, X., Kurosu, T. P., Pommereau, J.-P., Bösch, H., and Frerick, J.: Latitudinal and vertical distribution of bromine monoxide in the lower stratosphere from Scanning Imaging Absorption Spectrometer for Atmospheric Chartography limb scattering measurements, *Journal of Geophysical Research: Atmospheres*, 111, doi:10.1029/2005JD006479, <http://dx.doi.org/10.1029/2005JD006479>, d14301, 2006.
- 50 Slijkhuis, S., von Barga, A., Thomas, W., and Chance, K.: CALCULATION OF UNDERSAMPLING CORRECTION SPECTRA FOR DOAS SPECTRAL FITTING, http://wdc.dlr.de/sensors/gome/gdp4/slijkhuis_1999.pdf, 1999.
- Stutz, J. and Platt, U.: Numerical Analysis and Estimation of the Statistical Error of Differential Optical Absorption Spectroscopy Measurements with Least-Squares methods, *Appl. Opt.*, 35, 6041–6053, 1996.
- Stutz, J., Kim, E. S., Platt, U., Bruno, P., Perrino, C., and Febo, A.: UV-visible absorption cross sections of nitrous acid, *Journal of Geophysical Research*, 105, 14 585, doi:10.1029/2000JD900003, <http://doi.wiley.com/10.1029/2000JD900003>, 2000.
- 60 Thalman, R. and Volkamer, R.: Temperature dependent absorption cross-sections of O₂–O₂ collision pairs between 340 and 630 nm and at atmospherically relevant pressure, *Physical Chemistry Chemical Physics*, 15, 15 371–15 381, doi:10.1039/C3CP50968K, <http://dx.doi.org/10.1039/C3CP50968K>, 2013.
- Vandaele, A., Hermans, C., Simon, P., Carleer, M., Colin, R., Fally, S., Merienne, M., Jenouvrier, A., and Coquart, B.: Measurements of the NO₂ absorption cross-section from 42 000 cm⁻¹ to 10 000 cm⁻¹ (238–1000 nm) at 220 K and 294 K, *Journal of Quantitative Spectroscopy and Radiative Transfer*, 59, 171 – 184, doi:10.1016/S0022-4073(97)00168-4, *atmospheric Spectroscopy Applications* 96, 1998.
- 65 Wagner, T., Deutschmann, T., and Platt, U.: Determination of aerosol properties from MAX-DOAS observations of the Ring effect, *Atmospheric Measurement Techniques*, 2, 495–512, doi:10.5194/amt-2-495-2009, <http://www.atmos-meas-tech.net/2/495/2009/>, 2009.
- Wang, Y., Beirle, S., Hendrick, F., Hilboll, A., Jin, J., Kyuberis, A. A., Lampel, J., Li, A., Luo, Y., Lodi, L., Ma, J., Navarro, M., Ortega, I., Peters, E., Polyansky, O. L., Remmers, J., Richter, A., Rodriguez, O. P., Roozendaal, M. V., Seyler, A., Tennyson, J., Volkamer, R., Xie, P., Zbov, N. F., and Wagner, T.: MAX-DOAS measurements of HONO slant column densities during the MAD-CAT Campaign:
- 70

inter-comparison and sensitivity studies on spectral analysis settings, *Atmospheric Measurement Techniques Discussions*, 2017, 1–38, doi:10.5194/amt-2016-387, <https://www.atmos-meas-tech-discuss.net/amt-2016-387/>, 2017.

Wenig, M., Jähne, B., and Platt, U.: Operator representation as a new differential optical absorption spectroscopy formalism, *Applied optics*, 44, 3246–3253, 2005.