# Statement on the Revision of (AMT 2017-182) Based on the Referees' Report

S. Lolli	F. Madonna	M. Rosoldi	J. R. Campbell,
E. J Welt	on J.R. L	ewis Y. Gu	G. Pappalardo

December 10, 2017

This statement concerns our revision of the  $\langle AMT 2017-182 \rangle$  paper, entitled " $\langle Fu-Liou \ Gu \ radiative \ transfer \ model \ used \ as \dots \rangle$ ", based on the referees' report.

# Comments by Reviewer #1

Although the paper deals with lidar observations of cirrus extinction profiles, there is no information on the laser beam pointing (zenith or off zenith to avoid specular reflection) and no information about the receiver field of view which has an impact on the multiple scattering contribution. On the other side, the depolarization technique is explained (even the 45 deg calibration) although not used. Please re-write this section, update the instrument part to meet the requirements for this paper.

The information about instrument depolarization channel was suppressed as not relevant for the paper, being the channel not used. On the contrary, we added a paragraph regarding measurement configuration and multiple scattering effects. Now, I come to my most important point: The authors use both, the Raman lidar method and the Klett retrieval to determine particle extinction profiles. And EARLINET members (experts in the field of Raman lidars) probably know that the optimum Klett solutions of the backscatter and the extinction profiles are obtained with the 'actual' lidar ratio (profile) from the Raman lidar observations. Ideally, Klett and Raman backscatter and extinction profiles coincide, ... but usually the available Klett codes cannot handle lidar ratio profiles. However, if you apply the method to such a rather rather thin cirrus as done in this paper, then we may have a problem. I would recommend to use a visible, very well developed cirrus cloud deck (not this subvisible cirrus with an optical depth Of about 0.02). Is there a reason why this quite unusual cirrus is taken, and not a very normal one?

In the manuscript new version two more cases are reported and discussed, a thicker cirrus cloud and a case with biomass burning aerosol. Regarding the first part of the comment, we changed the text accordingly to make clear that the goal of this study it is to start a relevant discussion from a quantitative point of view, about the discrepancies of aerosol and cloud direct radiative effect calculated using the Raman technique or the simpler lidar elastic technique retrievals. Inconsistencies may arise also using a mixture of lidar techniques from multiple networks or within the same network. As example, what is the difference in retrieval if, we have data from an MPLNET permanent observation station vs. a more sophisticated (like those operating in the frame of EARLINET) instrument? This first work put the basis for a successive study where a much larger dataset will be analyzed to assess quantitatively how much the different techniques/data processing affect the retrieval of the optical and geometrical properties.

Nevertheless, by just taking a climatological value for the dust lidar ratio of 45 sr and for the cirrus of 25 sr in the Klett retrievals, and in this way by completely ignoring the reality, i.e., the 'actual' Raman lidar observations of the lidar ratio . . . . it is not surprizing that you obtain different Klett and Raman extinction profiles. The true ones are, by the way, the Raman solutions. The Klett solutions are wrong. If your Klett code cannot handle lidar ratio profiles (from the Raman lidar observations), then you should at least take the dust layer optical depth from the Raman lidar observations to constrain the Klett solution. The Klett column backscatter times the used input lidar ratio must match the Raman solution for the dust optical depth. By playing around with the Klett solutions to find the best lidar ratio, you finally end up with the most appropriate column dust layer lidar ratio. After optimizing the Klett/Raman solution set you may continue with radiation calculations and show remaining differences in terms of TOA and SFC forcings. I am sure they are small.

Thanks for pointing it out but again, we think that we didn't state clearly enough the scope of our manuscript. We revised the text to avoid any possible confusion or misunderstanding. This study is preparatory for a future standardization of existing or future ground-based lidar network using different techniques as well space missions. The used metric for this evaluation is the net radiative effect calculation at TOA and SFC by the Fu-Liou-Gu radiative transfer model. The manuscript focuses on discrepancies between lidar techniques/data processing, not on the assumptions of the single retrieval of aerosol/cloud geometrical optical properties. Theoretically, the analysis can be performed on synthetic signals where all the geometrical, optical and microphysical cloud and aerosol properties are well known. In future work, a quantitative assessment of the differences will be evaluated on real cases taken from a climatological significant database

# Comments by Reviewer #2

1) I am afraid that my main concern is the substance of the manuscript. I strongly support the idea of using radiation as an ultimate evaluation metric, but I feel that the manuscript was submitted too early and that the content is very much on the thin side. To make this manuscript useful, it would be good to address the following issues: a. The representativeness of cases: I agree it is not necessary to present overwhelming cases, but a synthesis from many cases is needed. This issue becomes even more crucial when the manuscript claims to be \in view of next and current lidar space mission", which is about a global scale and a longer time scale. I like grand statements like that to tell readers what the paper is about, but we also need to be careful not to oversell it. To be scientifically rigorous, I would think that the authors need to get the climatology of dust layer and cirrus clouds (either doing analyses on their own or taking information from the literature) to provide context of whether these two cases represent the majority of the observations, or they are actually outliers. Without that context, we really cannot say much from two cases. Once the climatology is available, then the authors can carefully select cases and think about a strategy how to best cover a wide range of dust/cirrus characteristics.

We would like to thank the reviewer for the meaningful comment. However, if from one side we agree that two cases are not enough (for this reason we added two more cases with an opaque cirrus clouds and a biomass burning event) on the other, we we were not trying to oversell our research but think that our manuscript lacks of clarity because the main goal is to evaluate the differences in term of net radiative effects among that the more sophisticated and simpler different lidar techniques. In theory, for the purpose of this manuscript, it can be used synthetic signals instead of real measurements, where the optical and geometrical aerosol and cloud properties are well known and quantify how the lidar technique/data processing affects the raidative transfer calculation, using FLG as metric. Our cases aims to show the existence of these not negligible differences arising from the diversity of lidar techniques/data processing, for the first time quantitatively. The statement that the reviewer is happy with the use of an RTM as the metric to assess the systematic effects in the retrieval of aerosol forcing using lidar is a strong encouragement for us to continue this work and assess the impact on much larger dataset.

b. The methodology: The authors recognize the need of actual radiation measurements for their work, but unfortunately, they didn't go further to do it. For ice clouds, there is a BAMS paper http://journals.ametsoc.org/doi/pdf/10.1175/BAMS-88-2-191 talking about radiation closure. Although that paper focused on intercomparison of various retrieval methods and had a different purpose from the manuscript, it shows how sensitive shortwave/longwave fluxes and radiances are to ice cloud properties. Without comparing with radiation measurements, it is hard to know if the retrieval shown in the manuscript is good enough to be used to provide any recommendation. Additionally, the current form very much just reports numbers of \net radiative forcing" without any discussions. Note that there can be compensating errors from input variables in radiation calculations, so the resulting radiative effects should be discussed in more detail.

We agree that the microphysics parameterization of the cirrus cloud plays a fundamental role in calculating the net radiative effects of cirrus clouds and aerosol layers. For our calculations we used the empiric parameterization as found in Heymsfield et al., 2014. As stated in the paper mentioned in the comment, each parameterization shows pros and cons. However, as stated in the previous answer, our analysis can be carried out in principle on synthetic signal where the microphysics is fully known and still quantitatively describe the differences for the different retrievals. In fact, we are interested in the relative values between different retrieval and calculate the relative discrepancies (we applied the same parameterization for all the retrieved profiles). In future analysis we are going to take into consideration different parameterizations. Nevertheless we added some additional paragraphs where we clarify our choice and state how different parameterizations can affect the results citing properly the suggested BAMS paper.

2). The manuscript title is unnecessarily complicated and does not capture the key points. Essentially, this manuscript uses various input aerosol/cirrus properties (from retrieval) to compute radiative fluxes at TOA and at the surface, and then uses these fluxes to evaluate whether retrieval itself or the vertical resolution of profiles plays a more important role in the resulting fluxes. With this objective, radiative effect is the important component, not the choice of the radiative transfer code. Any decent radiative transfer code can do the work. I also don't think proxy is the right word to use. A title is supposed to be precise and to grab attention. For the sake of the authors, I will strongly recommend changing the current title to a simple yet effective one that truly reflects what has been discussed.

Agreed that the word "proxy" is misused and generates confusion. For this reason we changed completely the manuscript title into a simpler form:"Impact of the different lidar measurement techniques and data processing on evaluating cirrus cloud and aerosol direct radiative effects.". This new title version we think is simple and clear and really reflects what has been done in the paper.

3). Following the comment above, it will be better to highlight why the Fu-Liou-Gu code works well for this study. My guess is that it has a rather sophisticated way to characterize optical properties for both aerosol and ice clouds, which is worth mentioning.

Agreed, we added a paragraph to describe in detail how the Fu-Liou-Gu radiative transfer model works and why it works good for reach the objective stated in the manuscript.

4) The misuse of radiative forcing. While some people loosely use radiative forcing and radiative effects and treat them like they are the same, they are, by definition, not the same. I believe what the authors did in the manuscript is calculating radiative effects, not forcing, although no description is ever given in the manuscript. Please clarify and describe it clearly.

We agree that the word "forcing" is often misused. Of course we calculate the net radiative effect of cirrus clouds and aerosol layers. We added a paragraph to describe the computation we performed and we substitute in the entire manuscript the word "forcing" with "effect".

5). Referencing could be better. For example, the first paragraph in Introduction should use some proper, more specific citations. And, Page 2, Line 4: Surely, Holben et al. (1998) is the standard citation for AERONET. But to demonstrate \Cloud and aerosol optical properties have been studied. . . \, papers using AERONET for studying cloud and aerosol should be added here. Also, it would be better to recognize and include studies using ARM or Cloudnet or ACTRiS observations. Same comments for satellite observations.

We agree and we changed accordingly the manuscript adding and acknowledging ARM, Cloudnet and ACTRIS work.

# Comments by Reviewer #3

General comments The main objective of this paper, entitled \Fu-Liou Gu radiative transfer model used as proxy to evaluate he impact of data processing and different lidar measurement techniques in view of next and current lidar space missions" is to quantify inconsistences in aerosol (one case in this study : dense dust aerosol event) and cloud (one case in this study : thin cirrus) radiative forcing at Top Of the Atmosphere and at surface due to two different ground lidar techniques (elastic and Raman lidar, i.e. the Multi-wavelengh System for Aerosols (MUSA) Lidar (Madonna et al., 2011)) and/or data processing (i.e. effect lidar measurement with different vertical resolution together with smoothing techniques). Vertical profiles of aerosols and cloud optical properties (i.e. extinction) are retrieved with classical algorithm (lidar ratio is set to 45 Sr for the aerosol event and to 25 Sr for the cirrus) and with the more accurate Raman lidar techniques. Then radiative forcing is computed with the help of Fu-Liou Gu radiative transfer. Sensitivity of radiative forcing to input parameters (extinction) is evaluated applying a Monte Carlo technique. Aerosol type is the number 17 in the radiative transfer model, and effective diameter of cirrus crystals is computed from Heymsfield et al. (2014) parametrisation. Finally, on the basis of this two study cases, authors conclude that radiative forcing is affected by the measurement and retrieval techniques as well as on the data processing constrainst/assumptions from 0.5% percent to 35% This paper address relevant scientific topics within the scope of AMT. Scientific methodologies and assumptions are valid but not always clearly outlined (see my specific comments). Description of experiments and calculations are rather complete. The overall presentation is rather structured and clear.

We thank the reviewer for the positive comments

Nevertheless, I have two problems when I review this paper. Firstly, even if scientific methodology and calculation are interesting, scientific contribution of this work is not very novel. This paper is rather a sensitivity study of radiative forcing to vertical profiles of extinction retrieved by two different lidar techniques (classic and Raman lidar) but for only two specific two cases (an aerosol event and a thin cirrus). It is also obvious that vertical resolution of lidar measurement (and smoothing techniques) affects computed radiative forcing. I don't understand why these only two cases are representative of the numerous atmospheric conditions. I have the feeling that this paper presents early results and do not reach the scientific level of AMT. Maybe authors could go further in their investigations by, for example, analysing typical atmospheric conditions and/or more extreme atmospheric conditions (cirrus with large optical depth, with different effective radius, altitude, different aerosols, etc. . .).

We agree that it is already known that different lidar techniques and data processing produce different results, but in literature a discussion on the uncertainty/impact due to the use of different lidar techniques to validate the radative forcing inferred from satellite platform or modeling measurements is indeed missing. As metric we used the Fu-Liou-Gu radiative transfer model net radiative effect at the Top of the Atmosphere (for satellite based measurements) and at surface (for ground based measurements). Even if in literature many studies are based on case studies, we agree that the presented case are not enough. For this reason we added two more cases: one including a biomass burning event and another a thick cirrus cloud.

Secondly, there is no coherency between the work and results presented in this paper and the title that do not reflect the contents of this paper. Fist off all, the title talk about \next and current lidar space missions". When I read this title and introduction, I expected that authors investigate also the sensitivity of radiative forcing due to the difficulty (spatial and temporal averaging scale) of retrievals of extinctions with CALIOP/CALIPSO or with CATS or with EarthCARE. However, authors refer this fact in the introduction but not in their computations and analyses. Moreover, EarthCARE lidar is a high spectral resolution lidar, witch is not exactly the same technique as the Raman technique. Next, I do not understand why authors make emphasis on the Fu- Liou Gu radiative transfer model. Certainly, this model is a good model. But why this model is considered by the authors as a proxy? Why it is stressed in the title like that?

We agree that the title can generate confusion and the manuscript lacks of clarity in this sense. For this reason we specified it in the title and changed the text accordingly. The rationale behind the title is that we would like to raise awareness on how much the different lidar techniques/data processing affect the retrieval of the optical and geometrical properties of the aerosol and cloud layers, bearing in mind that also several space missions are going on and other are ready to be launched using these techniques/data processing. We changed completely the title into:"Impact of the different lidar measurement techniques and data processing on evaluating cirrus cloud and aerosol direct radiative effects."

Specific comments Page 1, line 17 (and further in the text) : Please give the mathematic definition of the net radiative forcing. In general we talk about radiative forcing defined as the change in the net (down minus up) irradiance.

We provided in the text the definition of direct radiative effect accordingly. For this study we used the difference between the total sky (when cloud and/or aerosols are present) and the pristine sky (clear atmosphere)

Page2, line 2-3 : references are not appropriate.

The provided references investigate how the sign in net radiative effect of cirrus clouds can change daytime. Then, the net forcing is still uncertain.

Page2, line 3 : Cloud and aerosols have been also studied with POLDER/PARASOL.

References were added

Page2, line 21 : Please give other references on the retrievals of aerosol and cloud properties with Raman lidar. By the way, what are the effects of multiple scattering with Raman lidar ? References ?

References were added. Multiple scattering is of course playing an important role mostly for clouds. However, investigating multiple scattering is beyond the scope of the manuscript as we start our analysis using the available products. As the answer given for another reviewer, we try to quantify only the technique/data processing discrepancy, not other effects. For the purpose of the manuscript, also synthetic signals can be used.

Page2, line 26, eq 1 : This equation is not well written (exp)

Changed accordingly

Page 3, line 2 : Please give other references.

Additional references are provided

```
Page 4, line3 : Reference of Campbell et al., 2016 is not provided.
```

The reference is now provided

Page 4, line 7 : You talk about CATS and EarthCARE. What about the high spectral resolution technique compared to Raman technique?

That's an interesting point. Unfortunately, in this first study we don't have co-located HSRL measurements to compare.

Page 4 , line 18 : Heymsfield et al. (2014) is not appropriate.

Fixed

```
Page 4, line 24 : Why aerosol type number 17. What are optical properties of this aerosol?
```

This type of aerosol is labeled as transported dust. However, we are interested in relative discrepancies, as we use for all the cases this aerosol type. We agree that the absolute value may be incorrect.

```
Page 5, line 3 : MUSA seem a great lidar, with polarization measurement. Why do not use polarization information in this study ?
```

Actually all the information obtained from MUSA lidar observations, i.e. the geometrical and optical properties of aerosols and clouds at different wavelengths together with depolarization and ancillary information (e. g. back-trajectories) were used to identify aerosol type and cloud phase. While only the aerosol/cloud extinction profile is used as input for the FLG radiative transfer model.

```
Page 5, line 2 : What is the crystal shape of the cirrus ? What is the effect of changing effective diameter on the computed radiative forcing ?
```

We use Heymsfield et al., 2014 empirical parameterization. Again, as we are interested in relative values of the net radiative effect, the parameterization is not fundamental for our analysis because it is the same for the considered lidar techniques/data processing.

Page 6, line 8 : This cirrus is very optically thin. What is the vertically optical depth ? Why do you choose such a small optical thickness? What is append if optical depth is large (1.5 to 3) ? What about the effect of multiple scattering? Do the retrieval algorithms (classic and Raman) take account of multiple scattering? For space mission lidar data, multiple scattering effects can be not negligible.

We added a case with an optically thicker cirrus cloud. For sure, the multiple scattering affects mainly the cirrus cloud net radiative effect calculations, as the multiple scattering is modifying the cloud atmospheric extinction profile. However, in this first study, the different techniques and data processing profiles are not corrected by multiple scattering effects, as we are interested in quantifying the relative differences. For the scope it can be used a synthetic cloud signal where multiple scattering effects are not present.

# Comments by Reviewer #4

This work deals with the use of different lidar techniques and configurations for studying radiative forcing of aerosol and clouds. In particular, authors analyze the use of backscatter and Raman lidar signals.Backscattering lidar needs the assumption of a constant extinction-to backscatter lidar ratio for the entire profile while combination of backscattering and Raman signals allow independent retrievals of aerosol and clouds extinction and backscattering profiles. Authors show that different lidar techniques and different data processing produce different results, and in this research advance in showing quantitatively how much are those discrepancies. The novelty of this work is then in quantifying the impact of each technique on radiative forcing calculations at TOA and SFC. Due to the large number of backscattering lidar, e.g. MPLNET network uses such systems and very few EARLINET instruments do have Raman lidar during daytime, the results of this analysis are of great interest for the scientific community and valuable for its publication in Atmospheric Measurement Techniques. Nevertheless, I agree with other reviewers that major revisions are needed as the publication suffers from hasty writing and more cases should be considered. Other concerns should be addressed before publication: 1.- I think that a single case thin cirrus cloud is not exhaustive for the analysis. I would rather extend the research at least for three cases: thin cirrus clouds (as already studied) with COD<0.03, Opaque cirrus clouds, with a COD in between 0.03 and 0.3 and thick cirrus cloud case, with a COD>0.3

Thanks for the meaningful comment. We added a thicker cirrus cloud in the analysis and a biomass burning aerosol event.

It comes from the analyis that there is a different behavior between cirrus cloud and aerosols (cf. fig. 3 and fig. 4) It could be very intresting to add in the analysis cases where there is a simultaneous presence of a cirrus cloud on top of an aerosol layer, like dust or biomass-burning. In those cases it would be interesting to verify if technique or data processing are critical

We agree with the reviewer that a simultaneous presence of clouds and aerosol layers could be very interesting, but in our analysis is limited to single layer analysis to avoid any error compensation due to multiple mode.

3.- The description of lidar signals and the different ways of resolving the equations should be in a methodology section.

We added it accordingly

4.- Page 2, line 22: Traditional lidar Raman are expensive but the development of the rotational Raman techniques make it cheaper and improve signal-to-noise. Please include it in your discussion.

Even if we didn't go further in the analysis, we added a paragraph describing rotational Raman lidar adding also a reference: Veselovskii, I., Whiteman, D.N., Korenskiy, M., Suvorina, A., Pérez-Ramírez, D., (2015) Use of rotational Raman measurements in multiwavelength aerosol lidar for evaluation of particle backscattering and extinction. Atmospheric Measurement Techniques 8, 4111-4122.

5.- Page 2, line 23: The High Spectral Resolution Lidar and Dial techniques should be commented and cited.

Added accordingly to the text.

6.- The NASA Aerosol-Clouds-Ecosystems mission does plan to implement a multiwavelength HSRL system in the space allowing retrievals of aerosol microphysical parameters. Please include it in your discussion.

A short paragraph was added describing ACE and referenced (Whiteman, D.N., Pérez-Ramírez, D., Veselovskii, I., Colarco, P., Buchard, V. (2017) Simulations

of spaceborne multiwavelength lidar measurements and retrievals of aerosol microphysics. Journal of Quantitative Spectroscopy and Radiative Transfer, submitted.)

7.- Radiative transfer codes do assume certain aerosol properties for each specie. The Fu-Liou-Gu model assumes OPAC aerosol module, which may differ from real measurements. Retrievals of aerosol microphysical properties can improve retrievals of radiative forcing if aerosol effective radius and single scattering albedo are introduced. Please discuss the use of an aerosol model

We agree with the referee. However, retrievals of aerosol microphysical properties require multi-wavelength lidar (e.g. Veselovskii et al., 2002, 2015), which are very sophisticated instrument sensitive to systematic and random errors in the optical data (Perez-Ramirez et al., 2013). Because we focus on lidar systems that can operate continuously in different networks, and our radiative forcing calculations do not vary much when changing effective radius and single scattering albedo.

```
8.- I agree with the previous referees that the current title does not macth approately with the goal of the manuscript. Please consider to change it.
```

Changed accordingly.

### RELEVANT CHANGES TO MANUSCRIPT AMTD 2017-182

Title: it has been changed accordingly as suggested by the reviewers.

We specified in the manuscript introduction that the main goal of the paper is to assess how much change the aerosol/cloud direct radiative effects when different lidar techniques and data processing are considered (e.g. simpler elastic lidar networks versus more sophisticated networks, i.e. MPLNET vs. EARLINET)

As suggested by the reviewers, we analyzed and added two more cases: a biomass burning event, and an opaque cirrus cloud. For the biomass burning case, as there is not any available climatological value for lidar ratio at 355nm, we used an averaged value obtained from the Raman channel (S=63sr). This was also partially suggested by the Reviewer #1.

In the new abstract were added the results from the new cases. The discrepancies between lidar techniques/data processing were specified in  $W/m^2$  instead of percentage. This choice is more consistent with IPCC guidelines.

Lines 45-70 We added a more exhaustive reference list of the different passive/active methods to retrieve aerosol and cloud optical and geometrical properties both from ground and from satellite.

Lines 156-186 We added two paragraphs explaining more in detail the radiative transfer model, adding formulas that show how the direct radiative effect is calculated. Also, we added few rows to explain the aerosol and cloud parameterizations that we used in the model.

Lines 194-200 We added a paragraph to describe how the multiple scattering is affecting the calculations and the assumptions we made.

Conclusions and figures were modified with the updated results from the new cases.

1	Impact of Varying Lidar Measurement and Data Processing Techniques in
2	evaluating Cirrus Cloud and Aerosol Direct Radiative Effects.
3	S. Lolli <sup>1,2, 1</sup> , F. Madonna <sup>1</sup> , M. Rosoldi <sup>1</sup> , J. R. Campbell <sup>3</sup> , E. J Welton <sup>4</sup> J. R. Lewis <sup>2</sup> , Y.
4	Gu <sup>5</sup> , G. Pappalardo <sup>1</sup>
5	<sup>1</sup> CNR-IMAA, Istituto di Metodologie Ambientali Tito Scalo (PZ), Italy
6	<sup>2</sup> NASA GSFC-JCET, Code 612, 20771 Greenbelt, MD, USA
7	<sup>3</sup> Naval Research Laboratory, Monterey, CA, USA
8	<sup>4</sup> NASA GSFC, Code 612, 20771 Greenbelt, MD, USA
9	<sup>5</sup> UCLA, University of California Los Angeles, Los Angeles, USA
10	ABSTRACT
11	During the last two decades, ground-based lidar networks have drastically increased in
12	scope and relevance, thanks primarily to the advent of lidar observations from space and
13	need for validation. Lidar observations of aerosol and cloud geometrical and optical
14	atmospheric properties are used to evaluate their direct radiative effects on climate.
15	However, the retrievals are strongly dependent on the employed lidar instrument
16	measurement technique and subsequent data processing methodologies. In this paper, we
17	evaluate discrepancies between the use of Raman and elastic lidar measurement

18 techniques and corresponding data processing methods for two aerosol layers in the free

19 troposphere and for thin versus opaque cirrus clouds. The different lidar techniques are

20 responsible of larger discrepancies in direct radiative effects for biomass burning (0.05

21  $W/m^2$  at surface and 0.007  $W/m^2$  at top of the atmosphere) and dust aerosol layers (0.7

22  $W/m^2$  at surface and 0.85  $W/m^2$  at top of the atmosphere).

<sup>&</sup>lt;sup>1</sup> Corresponding author: <u>simone.lolli@imaa.cnr.it</u>

23 On the contrary, data processing is responsible for larger discrepancies on both thin (0.55 24  $W/m^2$  at surface and 2.7  $W/m^2$  at top of the atmosphere) and opaque (7.7  $W/m^2$  at surface and 11.8 W/m<sup>2</sup> at top of the atmosphere) cirrus clouds. Direct radiative effect 25 26 discrepancies can be attributed to the larger variability of the lidar ratio for aerosols (20-27 150 sr) with respect to clouds (25-35 sr). For this reason, the influence of lidar technique 28 applied plays a more fundamental role in aerosol monitoring because the lidar ratio must 29 be retrieved with relatively high accuracy. On the contrary, for cirrus clouds, as the lidar 30 ratio is much less variable, the data processing is of fundamental importance because 31 different processing is modifying the extinction profile that translates into ice crystal 32 creation/suppression ice crystals with consequent different direct radiative effect values.

33

#### 34 1. Introduction

35 According to the International Panel for Climate Change (IPCC, 2014), the major sources of uncertainty relating to current climate studies include direct and indirect 36 37 radiative effects caused by anthropogenic and natural aerosols. Further, current estimates 38 of the global aerosol direct radiative effect remain subject to large relative uncertainties 39 affecting even the actual sign (indicating either net cooling or heating of the earth-40 atmosphere system), which may change from positive to negative diurnally (e.g., Campbell et al., 2016, Lolli et al., 2017a). This depends on the so-called albedo effect (or 41 42 the capability of aerosols of reflecting the incoming solar light) and whether or not it is 43 outweighing the greenhouse effect (or the capability of trapping/absorbing outgoing 44 longwave radiation; Campbell et al., 2016)

45 Studies on cloud and aerosol optical and geometrical properties largely increased in 46 the last two decades through the increasing abundance of passive ground-based measurements (i.e., AErosol RObotic NETwork Network; AERONET Holben et al., 47 1998, Dubovik et al., 2000, Smirnov et al., 2005, Eck et al., 2014; the Atmospheric 48 49 Radiation Measurement program, Campbell et al., 2002, Ferrare et al., 2006, Perez-50 Ramirez et al., 2014, McComiskey et al., 2016; Aerosols, Clouds and Trace gases 51 Research Infrastructure, Asmi et al., 2013, Pappalardo et al., 2014) or using satellite 52 sensors (i. e. MODerate resolution Infrared Spectroradiometer; MODIS, Tanré et al., 53 1997, King et al., 2003, Remer et al., 2005; i. e. Multi-angle Imaging Spectro-54 Radiometer; MISR, Diner et al., 1998, Di Girolamo et al., 2004, Kahn et al., 2009; i.e. 55 Polarization and Anisotropy of Reflectances for Atmospheric science coupled with Observations from a Lidar; PARASOL, Tanré et al., 2011; NASA Aerosol-Cloud 56 57 Ecosystem, Whiteman et al., 2017). Nevertheless, these measurements provide only an estimate of the columnar aerosol (or cloud) optical properties. 58

59 On the other hand, the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP; 60 Winker et al., 2007), on board of the Cloud-Aerosol Lidar and Infrared Pathfinder 61 Satellite Observations (CALIPSO) satellite launched by the National Aeronautics and 62 Space Administration (NASA) in 2006, is capable of estimating range-resolved aerosol 63 and cloud physical properties. However, the sun-synchronous orbit limits spatial and 64 temporal coverage (orbital revisit time period of 16 days) that make the datasets difficult 65 to apply and interpret for specific forms of process study. The vertical structure of cloud 66 and aerosol properties can also be retrieved through combined lidar and radar groundbased measurements as proposed in the frame of the CloudNet European Project 67

(Illingworth et al., 2015). Still, the radar technique proves capable of characterizing only
the relatively extreme fraction of the aerosol size distribution (Madonna et al., 2010,
Madonna et al., 2013).

71 Based on the progress in optical technologies in the late 1990's and the beginning of 72 2000's, federated ground networks of lidars were established [NASA Micro Pulse Lidar NETwork(MPLNET), Campbell et al., 2002, Welton et al., 2002, Lolli et al., 2013; 73 74 European Aerosol Research LIdar NETwork, (EARLINET) Pappalardo et al., 2014, 75 Asian Dust NETwork (ADNET), Sugimoto et al., 2010, Latin American Lidar NETwork 76 (LALINET), Antuña-Marrero et al., 2015, Lolli et al., 2015], the bulk of which are based 77 on single or dual-channel elastic and Raman lidar instruments. The Eulerian viewpoint of 78 ground-based lidars is providing important contextual measurements relative to satellite 79 profiling, like from CALIOP (Winker et al., 2007).

80 The emerging prominence of ground-based lidar, however, strengthens the necessity 81 for further studies of optical and geometrical aerosols and clouds properties resolved 82 from multi-spectral lidar techniques, as claimed by several papers (Pappalardo et al., 83 2004, Mona et al., 2006, Wang et al., 2012, Pani et al., 2016, Lolli et al., 2013, Campbell 84 et al., 2016, Lolli et al., 2017). Multi-spectral and Raman lidars can retrieve aerosol and 85 cloud properties with much better accuracy without many fundamental assumptions, 86 (e.g., Grund and Eloranta, 1991; Ansmann et al., 1992; Goldsmith et al. 1998, Mona et 87 al., 2012, Pappalardo et al., 2014), thought with greater operational expenses. In contrast, 88 elastic-scattering lidar instruments require such assumptions and careful consideration of 89 measurement strategies to constrain the lidar equation (Eq. 1), defined as

90 
$$P_r(r) = K \frac{\beta(r)}{r^2} exp^{-2\int_0^r \alpha(r')dr'}$$

91

92

where  $P_r(r)$  is the received power at a range r, K is the so-called lidar constant

93 (instrument dependent, function of detector quantum and optical efficiencies, telescope 94 diameter, instrument overlap function, etc.), followed by the two unknown variables,  $\beta(r)$ 95 the total backscattering coefficient and  $\alpha(r)$  the total extinction coefficient.

A classical method to solving Eq. (1) for single-channel elastic-backscatter lidars (Fernald, 1984) is based on the assumption of the columnar-averaged value of the ratio between the two unknown coefficients, typically indicated by *S* and called "lidar ratio". The method, due to the large variability of *S* (i.e., 20-150 sr for aerosols; Ackermann, 1998) translates into large uncertainties associated with the retrieval of  $\alpha$  and  $\beta$  (Lolli et al., 2013).

102 Through a greater spectral complexity, it is possible to retrieve  $\alpha$  and  $\beta$  with multi-103 spectra lidars without relying too heavily on fundamental assumptions. For instance, the 104 combined detection of the elastic-backscattered radiation and inelastic backscattering 105 from the Raman roto-vibrational spectrum of nitrogen (or oxygen), using the Raman lidar 106 technique, permits solving Eq. (1) by substitution of *a through* the analytical solution of 107 Eq. [2] as

108

109 
$$a_{I_{L}}^{par}(r) = \frac{d/dr \{ \ln[n_{R}(r)/P_{r}(r)r^{2}] \} - a_{I_{L}}^{mol}(r) - a_{I_{R}}^{mol}(r)}{1 + (I/I_{R})^{a}} , \qquad (2)$$

110 where  $l_L$  is the elastic wavelength while  $l_R$  is the wavelength of the Raman scattering, 111  $a_{l_L}^{par}(r)$  represents the particle (aerosols or clouds) extinction coefficient at elastic 112 wavelength at range r while  $a_{I_L}^{mol}(r)$  and  $a_{I_R}^{mol}(r)$  are the molecular extinction coefficients 113 at wavelengths  $I_L$  and  $I_R$  respectively,  $P_r(r)r^2$  is the detected range corrected Raman 114 signal from range r, while  $n_R(r)$  represents the number density of range-resolved 115 scatters. The wavelength dependence of the particle extinction coefficient is described by 116 the Ångström coefficient, å, defined from the relation

117 
$$\frac{a_{I_L}^{par}(r)}{a_{I_R}^{par}(r)} = \left(\frac{I_R}{I_L}\right)^{\hat{a}}$$
(3)

Eq. (2) allows for independently retrieving vertically-resolved optical coefficients with only very limited *a-priori* assumptions (the Ángström coefficient should be estimated or assumed, but this estimate or assumption, involving a ratio, typically amounts to less than 5% of total error; Ansmann and Müller, 2005). The particle backscattering coefficient,  $\beta_{\lambda_L}^{par}(r) \ b_{I_0}^{par}(r)$ , can be derived directly from the ratio of the Raman signal at  $I_R$  and the elastic signal at  $\lambda_L$ 

However, the Raman technique exhibits instabilities in retrieving the particle extinction coefficient (Ansmann et al., 1992, Wandinger et al, 1995), and in order to reduce the random uncertainty affecting the retrieval, a smoothing of the profile is required. In turn, smoothing decreases the effective vertical resolution (Pappalardo et al., 2004, Iarlori et al., 2015) of the aerosol extinction coefficient profile.

In summary, employing different lidar techniques and/or processing algorithms lead to differences of the retrieved vertically-resolved aerosol optical properties, affecting the apparent significance, position and the geometry of observed aerosol and cloud layers.

132 The impact of these differences on various end-user applications has never been 133 extensively evaluated. Since lidar-derived optical properties obtained from different instrument techniques are being more and more frequently used to assess the direct 134 135 radiative effects of clouds and aerosols (e.g., Campbell et al., 2016, Lolli et al., 2017a), 136 corresponding uncertainties in determining direct radiative effects, which may help 137 reconcile inconsistencies in studies carried out at the global scale based on different lidar 138 techniques, are compulsory, especially now that several new space missions with lidar on 139 board have been launched (Cloud-Aerosol Transport System; CATS, McGill et al., 2015) 140 or are scheduled very soon (European Space Agency Earth Care mission; Illingworth et 141 al., 2015).

142 The objective of this paper is to evaluate the relative differences between the aerosol/cloud direct radiative effects both at surface (SFC) and at the top-of the-143 144 atmosphere (TOA) retrieved using the aerosol/cloud optical properties estimated using a 145 more sophisticated versus simpler lidar technique (i.e., Raman vs. elastic lidar). To reach 146 this goal, we use the Fu-Liou-Gu (FLG; Fu and Liou, 1992, Fu and Liou, 1993, Gu et al., 147 2003, Gu et al., 2011, Lolli et al, 2017b) radiative transfer model to calculate the 148 difference in net direct radiative effect for aerosols and clouds at TOA and SFC for 149 profiles derived from both elastic and combined Raman/elastic lidar techniques.

- 150
- 151 2. Method
- 152 2.1 Fu-Liou-Gu radiative Transfer Model

153 To calculate aerosol and cloud direct radiative effects, we use the one-dimensional 154 FLG radiative transfer model, developed in the early 1990's. The original code has 155 recently been adapted to retrieve cloud and aerosol direct radiative effects using the 156 aerosol and cloud vertical profile of lidar extinction as input. There exist several parameterizations that provide the vertical profile of cloud microphysics using lidar-157 158 retrieved cloud extinction profile, each one with pros and cons, as showed in Comstock et 159 al., (2007). For the purpose of this study and also considering authors past experience 160 (Campbell et al., 2016, Lolli et al., 2017a), we parameterize cirrus clouds through the 161 Heymsfield et al., (2014) empirical relationship conceived expressly for lidar 162 measurements. Here, the cirrus cloud ice crystal average diameter is directly proportional 163 to the absolute atmospheric temperature (obtained through a radiosonde, regularly 164 launched at measurement site, or numerical reanalysis dataset). Cirrus cloud optical depth 165 and crystal size profiles are used to calculate the single scattering albedo (SSA), phase 166 function and asymmetry factor (AF) at each level.

167 Similarly, FLG calculates the direct radiative effect of aerosols as a function of the partial contribution of each aerosol species to the total optical depth at each altitude level. 168 169 FLG uses a lookup table (LUT) with single scattering properties for eighteen different 170 types of aerosols coming from the OPAC (Optical Properties of Aerosol and Clouds) 171 database (d'Almeida et al., 1991; Tegen and Lacis, 1996; Hess et al., 1998). Among all 172 the aerosol species, for the first of the cases discussed in Section 2.2 we assume that the dust layer is constituted by pure dust advected from Saharan region (aerosol type 17 in 173 174 FLG), while in the second case we assume pure biomass burning aerosol (aerosol type 11 175 in FLG). Nevertheless, if the measured aerosol atmospheric profiles do not match exactly 176 the two-selected aerosol types this does not affect the results interpretation because we are interested in evaluating the relative discrepancies among the different lidar 177

techniques/data processing. Therefore, what is most relevant in the approach is the
application of the same parameterization to each of the different techniques/data
processing.

181 The aerosol/cloud direct radiative effect is calculated subtracting from the FLG total
182 sky run (where aerosols or clouds are present) the FLG run with a pristine atmosphere
183 (control), as reported in Eq. 4:

184

 $DRE = FLG^{TotalSky} - FLG^{Pristine}$ (4)

186

187 2.2 Analysis of direct radiative effect

188 For the analysis in this study, we analyzed lidar data collected with the MUlti-189 wavelength System for Aerosols (MUSA) Lidar (Madonna et al., 2011), deployed at 190 Consiglio Nazionale delle Ricerche (CNR), Istituto di Metodologie per l'Analisi 191 Ambientale (IMAA) Atmospheric Observatory (CIAO) in Potenza, Italy (40.60N, 192 15.72E, 760m a.s.l.). MUSA is a mobile multi-wavelength lidar system based on a 193 Nd:YAG laser source equipped with second and third harmonic generators and on a 194 Cassegrain telescope with a primary mirror of 300mm diameter. MUSA full angle field-195 of-view (FOV) is large enough (about 1.5 mrad) to add important multiple scattering 196 (MS) contributions to the retrieved extinction profile. However, for the purpose of this 197 study we are interested in evaluating the relative discrepancies between different lidar 198 techniques/data processing, and therefore, at this stage, do not correct for any MS 199 contributions since we assume that this effect impacts equally both techniques and 200 subsequent data processing.

201 The three laser beams at 1064, 532 and 355nm are simultaneously and coaxially 202 transmitted into the atmosphere in biaxial configuration. The receiving system has 3 203 channels for the detection of the radiation elastically backscattered from the atmosphere 204 and 2 channels for the detection of the Raman radiation backscattered by the atmospheric 205 N<sub>2</sub> molecules at 607 and 387 nm. The elastic channel at 532 nm is split into parallel and 206 perpendicular polarization components by means of a polarizer beamsplitter cube. The 207 backscattered radiation at all the wavelengths is acquired both in analog and photon 208 counting mode. The typical vertical resolution of the raw profiles is 3.75 m with a 209 temporal resolution of 1 min. The system is compact and transportable. It has operated 210 since 2009, and it is one of the reference systems used for the intercomparison of lidar 211 systems within EARLINET (Pappalardo et al., 2014; Wandinger et al., 2016) Quality 212 Assurance program. In this paper, the data analysis has been carried out considering four 213 observation scenarios at night, as the Raman channel signal shows a much higher signal-214 to-noise ratio during nighttime:

215 1) Dense Dust Aerosol and Biomass Burning Events. The aerosol extinction 216 profiles are retrieved using the UV (355nm) channel. For each case, the extinction 217 profile is retrieved both with the Raman technique (Ansmann et al., 1990, 218 Whiteman et al., 1992, Veselovskii et al., 2015) and estimated using the sole 219 elastic channel, applying an iterative algorithm (Di Girolamo et al., 1999) with an 220 assigned lidar ratio (S=45 sr for dust case, Mona et al., 2006 and S=63 sr for 221 biomass burning, retrieved averaging the lidar ratio from MUSA Raman channel). 222 Both the Raman and elastic lidar signals have been smoothed by performing a 223 binning of 16 range gates, resulting in a vertical resolution of 60 m. For the Raman channel retrieval, the extinction profile has been calculated using the sliding linear fit technique, with a bin number resulting in an effective vertical resolution of 360 m (Pappalardo et al., 2004). For the elastic channel retrieval, the estimated extinction profile has been first calculated with the signal full vertical resolution of 60 m and then smoothed to the same effective vertical resolution as the Raman extinction profile (360m), using a 2<sup>nd</sup> order Savitzky-Golay smoothing filter (Press et al., 1992; Iarlori et al., 2015).

231 2) Thin and Opaque Cirrus Clouds. Like aerosols, cirrus cloud extinction profiles 232 are retrieved using the UV (355nm) channel with the Raman technique. The 233 elastic channel retrieval for thin cirrus cloud is obtained applying the same 234 iterative algorithm followed for dust and biomass burning. Although, for the opaque cirrus cloud, due to convergence problems of the iterative method for 235 236 higher cloud optical depths, we used the MPLNET Level 1.5 cloud product algorithm (Lewis et al., 2016) based on a Klett inversion (Klett, 1985). For both 237 238 cases (iterative and MPLNET), we assumed a fix lidar ratio value of 25sr 239 (Campbell et al., 2016, Lolli et al., 2017a). The Raman extinction profile has been 240 calculated with an effective vertical resolution of 420 m (thin cirrus cloud) and 241 780 m (opaque cirrus cloud), respectively. The iterative (thin cirrus) and 242 MPLNET Level 1.5 cloud algorithm (opaque cirrus; Lewis et al., 2016) extinction 243 profiles are calculated with the original signal vertical resolution of 60 m and 244 smoothed at a resolution of 420 m (thin cirrus) and 780 m (opaque cirrus), respectively, using the Savitzky-Golay filter to match Raman channel spatial 245 resolution. 246

247 3) The thermodynamic profile of the atmosphere, needed to calculate the direct radiative effect, is estimated using a standard thermodynamic profile (USS976) 248 249 mid-latitude model. Emissivity and albedo values are taken from the MODIS 250 Bidirectional Reflectance Distribution Function (BRDF)/Albedo algorithm product (Strahler et al., 1999), with a spatial resolution of 0.1 degrees averaged 251 over a 16-day temporal window (Campbell et al., 2016). As each measured cloud 252 253 and aerosol extinction profile comes with a relative uncertainty per range bin, the 254 sensitivity of FLG to the input parameters is evaluated applying a Monte Carlo 255 technique. Each extinction profile is replicated 30 times (i.e. a number statistically 256 meaningful), running the MonteCarlo code on the original profile random 257 uncertainty. Likewise, for each replicated extinction profile, the Monte Carlo technique gives a value of surface albedo and profile temperature, based on their 258 259 respective uncertainties. The direct radiative effect parameters derived for each profile are then represented with a boxplot. It is possible then to quantify the 260 261 effect of the smoothing calculating the uncertainty from the mean and the 262 standard deviation of the values of net forcing.

263

### 264 3. Results

# 265 3.1 Dust and Biomass Burning Event

The analyzed dust event is retrieved from measurements taken on 3 July 2014 at CIAO. Figure 1 shows both the range-corrected composite signal at 1064nm (Fig. 1a, left panel), and the lidar aerosol extinction profiles at 355nm (Fig. 1b, left panel) obtained using the Raman technique with an effective resolution of 360m and estimated using the elastic lidar technique at two different resolutions (60m and 360m) using a fixed S value
obtained analyzing climatological data (S=45sr; Mona et al., 2006). It can be immediately
recognized that the Raman extinction profile is noisier with respect to those obtained with
the iterative method. All the profiles, calculated with an integration time of 121 minutes,
in the time window from 19:34UT to 21:40UT, show no significant aerosol loading
above 5.5 km.

276 Figure 3a shows the difference between the estimation of the direct radiative effect 277 using the two considered lidar techniques and data processing at TOA (Fig 3a, left panel) 278 and at SFC (Fig. 3a right panel). The most important contribution to this difference in 279 FLG calculations for this case is related to the adopted lidar technique (red arrows in Fig. 280 3a, left and right panels) and not to the effective vertical resolution determined by the 281 smoothing (blue arrows in Fig. 3a, left and right panels). This characteristic is invariant 282 switching from TOA (Fig. 3a right panel) to SFC (Fig. 3a left panel) and it is mainly the result of the assumption of a fixed lidar ratio to estimate the aerosol extinction profile 283 284 using the elastic technique.

For the dust case, the net direct radiative effect determined with the two different lidar techniques differs by 0.7 W/m<sup>2</sup> (5%) at SFC and 0.85 W/m<sup>2</sup> (6%) at TOA. In absolute value, those net total forcing values are larger than the uncertainty on average estimated direct effect by IPCC (mean -0.5 W/m<sup>2</sup>, range -0.9 to -0.1). The contribution due to smoothing is negligible in comparison.

The analyzed biomass burning case study is retrieved from measurements taken on 19 June 2013 at CIAO integrating the signal temporally from 19:27UT to 20:48 UT. The extinction profiles used as input into the FLG radiative transfer model was retrieved in 293 the same way as for the dust case, but being unavailable a climatological lidar ratio value 294 at 355nm, we used S=63 sr, obtained averaging the retrieved Raman channel lidar ratio in 295 the biomass burning layer. In Figure 1b (right panel) are the extinction profiles obtained 296 from both the Raman and iterative methods (full resolution and smoothed over 360m 297 window). Figure 3b shows the difference in biomass burning direct radiative effects with respect to the different lidar techniques and data processing. Similar to the dust case 298 299 event, the bigger differences are found to be related to the different lidar techniques both 300 at SFC (0.05 W/m<sup>2</sup> or 5%; red arrows, Fig. 3b right panel) and at TOA (0.007 W/m<sup>2</sup> or 301 5%; Fig. 3b left panel).

The analysis shows how the mixing of different lidar techniques in a specific study or in the routine operations of an aerosol network at regional or global scale must take into account of the uncertainties related to the assumptions that are behind the retrieval of the optical properties. This is important not only to provide a complete assessment of the total uncertainty budget for each lidar product but also to enable a physically consistent use of the lidar data in the estimation of the direct radiative effect and, likely, for many other user-oriented applications based on lidar data.

309

### 310 *3.2 Cirrus cloud*

Similar to Fig.1, Fig. 2a and 2b shows the composite range-corrected signal and three extinction profiles retrieved from lidar measurements of cirrus cloud obtained with Raman channel with a vertical resolution of 420m (thin cirrus, Fig 2a,b left panel) and 780m (opaque cirrus, Fig 2a,b right panel) and with the elastic channel at two vertical resolutions (60m and 420m iterative method for thin cirrus cloud; 60m and 780m MPLNET Level 1.5 cloud product algorithm for opaque cirrus cloud) using a lidar ratio
of 25sr. The obtained cloud extinction profiles with the different lidar techniques and data
processing techniques are averaged over 42 minutes, in the time window from 01:29UT
to 02:13UT on 17 February 2014 (thin cirrus) and from 19:40UT to 20:44UT in 09 May
2016 (opaque cirrus), respectively.

321 Figure 4a depicts the results obtained for cirrus cloud measurements taken on 17 322 February 2014. Here we have a completely different situation with respect to the aerosol 323 cases. That is, the discrepancies between the Raman and elastic lidar techniques (red 324 arrows in Fig. 4a, left and right panels) are much smaller than the discrepancies due to the 325 effective vertical resolution of the aerosol extinction coefficient profile both at TOA and 326 SFC (blue arrows in Fig. 4a, left and right panels). This is related to the typically much 327 stronger extinction for clouds than for aerosols. In the considered cirrus cloud case, the 328 direct radiative effect determined with the two different lidar techniques differs of about 329 0.5 W/m<sup>2</sup> (9%) at TOA and 0.11 W/m<sup>2</sup> (10%) at SFC, while the effect of smoothing on a 330 window of 420 m provides an additional difference of 2.7  $W/m^2$  (47%) at the TOA and of about 0.55 W/m<sup>2</sup> (53%) at SFC. 331

Results from the opaque cirrus cloud (Fig. 4b, left and right panels) exhibit a similar behavior to the thin cirrus cloud, with signal smoothing being outweighing lidar technique (blue arrow). The order of magnitude is similar to the thin cirrus cloud, with a difference at TOA between techniques of 0.8 W/m<sup>2</sup> (3%) and 0.38 W/m<sup>2</sup> (3%) at SFC. In contrast, the difference in data processing is of 11.8 W/m<sup>2</sup> (39%) at TOA and 7.7 W/m<sup>2</sup> (64%) at SFC. The results are evidence of the critical need to study cirrus clouds using 338 high-resolution profiles of the optical properties to provide an accurate estimation of the 339 cloud direct radiative effect.

- 340
- 341

# 4. Conclusions and future perspectives

We applied the adapted Fu-Liou-Gu (FLG) radiative transfer model to quantitatively 342 343 evaluate how much the lidar technique and/or data processing influence the net direct 344 radiative effect exerted by two different upper atmospheric aerosol layers (dust and 345 biomass burning) and a thin and opaque cirrus cloud layer, both at top-of-the-atmosphere 346 (TOA) and surface (SFC). The evaluation has been made using the aerosol/cloud 347 extinction atmospheric profile as inputs into FLG radiative transfer model retrieved using 348 the Raman/elastic technique and estimated by lidar elastic measurements only (iterative 349 method for aerosol layers and thin cirrus cloud; MPLNET Level 1.5 cloud algorithm for 350 opaque cirrus cloud). Because the Raman measurement retrieval is unstable due to the 351 derivative of the signal at the numerator (see Eq. 2), a smoothing of the range-corrected 352 signal is necessary to reduce the associated random uncertainty. The same processing 353 treatment has been applied also to the elastic measurement signals.

354 The results show that the difference in direct radiative effect between the techniques 355 and data processing/smoothing applied is mostly unvaried at TOA and SFC. For the dust 356 and biomass burning episodes, the data processing/smoothing does not play a major role, 357 but instead the lidar measurement technique is more important with respect to the final 358 result. This can be explained by the large variability of the lidar ratio (i.e., the unknown 359 extinction-to-backscatter ratio used to constrain the single-solution lidar equation) 360 compared to the assumed value. The opposite is true for cirrus clouds, where the applied 361 data processing/smoothing play a fundamental role in determining sensitivities in the 362 final results. This is due to the smoothing effect on the observed sharp structures that 363 strongly alters the vertical structure and the extinction of the cloud.

364 Summarizing, we found that for the aerosol cases, the main difference both at 365 TOA and SFC is driven by the different lidar technique and not the data processing with a difference on dust direct radiative effect of 0.7 W/m<sup>2</sup> (5%) at SFC and 0.85 W/m<sup>2</sup> (6%) at 366 367 TOA. Similarly, for biomass burning we found a discrepancy  $0.05 \text{ W/m}^2$  (5%) at SFC 368 and  $0.007 \text{ W/m}^2$  (5%) at TOA. On the contrary, for the cirrus clouds, the data smoothing 369 is producing larger differences with respect to the lidar technique. On the contrary, using 370 a different data processing/smoothing implies a larger difference in cirrus cloud direct radiative effect. A discrepancy of 0.55 W/m<sup>2</sup> (53%) is found at SFC while about 2.7 371  $W/m^2$  (47%) at TOA for the thin cirrus cloud. Similarly, for the opaque cirrus the 372 373 discrepancies produced by data processing/smoothing is larger with respect to the 374 different lidar technique. At SFC we have a difference of 7.7  $W/m^2$  (64%) and 11.8  $W/m^2$ 375 at TOA (39%). A possible explanation of this different behavior is that the FLG radiative 376 transfer model calculations are strongly dependent on the optical depth of the examined 377 atmospheric layer. At coarse resolution (cloud) the smoothing is producing changes in the 378 extinction profile that translates into creation/suppression of ice crystals that have a 379 strong influence on direct radiative effect. At finer resolution, as in the case of aerosol 380 case studies, the smoothing is just producing fluctuations that do not influence the total 381 radiative effect. In this case, the lidar technique is making a big difference, as an assumed 382 wrong value for lidar ratio (S) that has a much larger variability with respect to the clouds, will amplify or suppress the aerosol peak that will translate into a higher/lowerradiative effect.

With this study, we wish to draw attention in speculating how much derived aerosol 385 386 and cloud radiative effect behaviors are dependent on lidar measurement and retrieval 387 techniques as well as on the data processing constraints/assumptions. This dependence 388 looks relevant for existing and future space missions involving lidar instrument, as well 389 as for the GAW Atmospheric LIdar Observation Network (GALION; Hoff et al., 2008) 390 project, which has as main objective to federate all the existing ground-based lidar 391 networks to provide atmospheric measurement profiles of the aerosol and cloud optical 392 and microphysical properties with sufficient coverage, accuracy and resolution. For 393 future work, it is imperative on the community to continue understanding and refining 394 what are the limits of the each lidar technique along with the related retrieval algorithms 395 adopted in each ground-based network. FLG or any other well-established radiative 396 transfer model then can be used as diagnostic tool to assure data quality through 397 continued intercomparisons with real observation both at ground (using flux measurements), in situ (aircraft measurements) and at TOA (using satellite-based 398 399 measurements).

400

### 401 References

- 402 Ackermann J., 1998: The Extinction-to-Backscatter Ratio of Tropospheric Aerosol: A
  403 Numerical Study. *J. Atmos. Oceanic Technol.*, 15, 1043–1050.
- Ansmann, A., M. Riebesell, and C. Weitkamp, 1990: Measurement of atmospheric
  aerosol extinction profiles with a Raman lidar. *Opt. Lett*, 15, 746–748

- Ansmann, A., Wandinger, U., Riebesell, M., Weitkamp, C., Michaelis, W., 1992,"
  Independent measurement of extinction and backscatter profiles in cirrus clouds
  by using a combined raman elastic-backscatter lidar", Applied Optics, 31 (33), pp.
  7113-7131
- Ansmann, A. and Müller, D.,2005 "Lidar and atmospheric aerosol particles", in: *LIDAR Range–resolved optical remote sensing of the atmosphere*, edited by: Weitkamp,
  C., Springer, New York, USA, 105–141
- Antuña-Marrero, J.C., E. Landulfo, R. Estevan, B. Barja, A. Robock, E. Wolfram, P.
  Ristori, B. Clemesha, F. Zaratti, R. Forno, E. Armandillo, Á.E. Bastidas, Á.M. de
  Frutos Baraja, D.N. Whiteman, E. Quel, H.M. Barbosa, F. Lopes, E. Montilla-
- 416 Rosero, and J.L. Guerrero-Rascado, 0: LALINET: The first Latin American-born
  417 regional atmospheric observational network. *Bull. Amer. Meteor. Soc.*, 0, doi:
  418 10.1175/BAMS-D-15-00228.1.
- Asmi, A., Collaud Coen, M., Ogren, J. A., Andrews, E., Sheridan, P., Jefferson, A., ... &
  Kivekäs, N. (2013). Aerosol decadal trends–Part 2: In-situ aerosol particle
  number concentrations at GAW and ACTRIS stations. *Atmospheric Chemistry and Physics*, 13(2), 895-916.
- 423 Bösenberg, J and R Hoff ,2008 GAW Aerosol Lidar Observation Network (GALION),
  424 WMO GAW Report (WMO, Geneva, Switzerland)
- 425 Campbell, J. R., D. L. Hlavka, E. J. Welton, C. J. Flynn, D. D. Turner, J. D. Spinhirne, V.
- S. Scott III, and I. H. Hwang, 2002. "Full-time, eye-safe cloud and aerosol lidar
  observation at atmospheric radiation measurement program sites: Instruments and
  data processing." *Journal of Atmospheric and Oceanic Technology* 19, no. 4: 431-

- Campbell, J.R., S. Lolli, J.R. Lewis, Y. Gu, and E.J. Welton, 2016, "Daytime Cirrus
  Cloud Top-of-the-Atmosphere Radiative Forcing Properties at a Midlatitude Site
  and Their Global Consequences", Journal of Applied Meteorology and
  Climatology, 55, 8, 1667-1679
- 433 Comstock, J. M., S. A. McFarlane, R. d'Entremont, D. DeSlover, D.D. Turner, G.G.
- 434 Mace, S.Y. Matrosov, M.D. Shupe, P. Minnis, D. Mitchell, K. Sassen, and Z.
- 435 Wang, 2007:<u>An Intercomparison of Microphysical Retrieval Algorithms for</u>
- 436 <u>Upper-Tropospheric Ice Clouds. Bull. Amer. Meteor. Soc.</u>, 88, 191–
   437 204, <u>https://doi.org/10.1175/BAMS-88-2-191</u>
- d'Almeida, G. A., Koepke, P., and Shettle, E. P.: Atmospheric aerosols global
  climatology and radiative characteristics, A. Deepak Publishing, Hampton,
  Virginia, 561 pp., 1991.
- Di Girolamo, P., P. F. Ambrico, A. Amodeo, A. Boselli, G. Pappalardo, and N. Spinelli,
  Aerosol observations by lidar in the nocturnal boundary layer, Appl. Opt., 38
  (21), 4585–4595, 1999.
- 444 Illingworth, A. J., Hogan, R. J., O'connor, E. J., Bouniol, D., Delanoë, J., Pelon, J., ... &
- 445 Donovan, D. P. (2007). Cloudnet: Continuous evaluation of cloud profiles in
  446 seven operational models using ground-based observations. *Bulletin of the*447 *American Meteorological Society*, 88(6), 883-898.
- 448 Diner, D.J., Beckert, J.C., Reilly, T.H., Bruegge, C.J., Conel, J.E., Kahn, R.A.,
- 449 Martonchik, J.V., Ackerman, T.P., Davies, R., Gerstl, S.A.W., Gordon, H.R.,
- 450 Muller, J.-P., Myneni, R.B., Sellers, P.J., Pinty, B., Verstraete, M.M. Multi-angle
- 451 imaging spectroradiometer (MISR) instrument description and experiment

- 452 overview (1998) IEEE Transactions on Geoscience and Remote Sensing, 36 (4),
  453 pp. 1072-1087
- Di Girolamo, L., T. C. Bond, D. Bramer, D. J. Diner, F. Fettinger, R. A. Kahn, J. V.
  Martonchik, M. V. Ramana, V. Ramanathan, and P. J. Rasch. "Analysis of Multiangle Imaging SpectroRadiometer (MISR) aerosol optical depths over greater
  India during winter 2001–2004." *Geophysical Research Letters* 31, no. 23 (2004).
- 458 Dubovik, O., Smirnov, A., Holben, B. N., King, M. D., Kaufman, Y. J., Eck, T. F., &
  459 Slutsker, I. (2000). Accuracy assessments of aerosol optical properties retrieved
  460 from Aerosol Robotic Network (AERONET) Sun and sky radiance
  461 measurements. *Journal of Geophysical Research: Atmospheres*, *105*(D8), 9791462 9806.
- Eck, T. F., Holben, B. N., Reid, J. S., Arola, A., Ferrare, R. A., Hostetler, C. A., ... &
  Lyapustin, A. (2014). Observations of rapid aerosol optical depth enhancements
  in the vicinity of polluted cumulus clouds. *Atmospheric Chemistry and Physics*, 14(21), 11633.
- Ferrare, R., Feingold, G., Ghan, S., Ogren, J., Schmid, B., Schwartz, S.E. and Sheridan,
  P., 2006. Preface to special section: Atmospheric Radiation Measurement
  Program May 2003 Intensive Operations Period examining aerosol properties and
  radiative influences. *Journal of Geophysical Research: Atmospheres*, *111*(D5).
- 471 Fu Q, Liou KN, 1992: On the correlated k-distribution method for radiative transferin
  472 nonhomogeneous atmospheres. J. Atmos. Sci. 49:2139 2156.
- 473 Fu Q, Liou KN, 1993: Parametrization of the radiative properties of cirrus clouds. J.
  474 Atmos. Sci. 50:2008-2025.

- Goldsmith, J. E. M., F. H. Blair, S. E. Bisson, and D. D. Turner, 1998: Turn-Key Raman
  lidar for profiling atmospheric water vapor, clouds, and aerosols. *Appl. Opt.*, 37,
  4979–4990.
- Grund, C. J. and E. W.Eloranta, 1991 "University of Wisconsin High Spectral Resolution
  Lidar," *Optical Engineering*, **30**, 6--12, 1991.
- 480 Gu Y, Farrara J, Liou KN, Mechoso CR, 2003: Parametrization of cloud481 radiativeprocesses in the UCLA general circulation model. *J. Climate* 16:3357482 3370.
- 483 Gu Y, Liou KN, Ou SC, Fovell R, 2011: Cirrus cloud simulations using WRF
  484 withimproved radiation parametrization and increased vertical resolution. J.
  485 *Geophys. Res.*116:D06119.
- 486 Hess, M., Koepke, P., and Schult, I.: Optical properties of aerosols and clouds: The
  487 software package OPAC, B. *Am. Meteorol. Soc.*, 79, 831–844, 1998.
- Heymsfield, A., D. Winker, M. Avery, M. Vaughan, G. Diskin, M. Deng, V. Mitev, and
  R. Matthey, 2014: Relationships between ice water content and volume extinction
  coefficient from in situ observations for temperatures from 0° to -86°C:
  Implications for spacebornelidar retrievals. *J. Appl. Meteor. Climatol.*, 53, 479–
  505
- Hoff, R. M., Bösenberg, J., & Pappalardo, G. (2008, June). The GAW Aerosol Lidar
  Observation Network (GALION). In Reviewed and Revised Papers Presented at
  the 24th International Laser Radar Conference (pp. 23-27).

- Iarlori, M., Madonna, F., Rizi, V., Trickl, T., and Amodeo, A., 2015: Effective resolution
  concepts for lidar observations, Atmos. Meas. Tech., 8, 5157-5176,
  doi:10.5194/amt-8-5157-2015.
- 499 Illingworth, A.J., Barker, H.W., Beljaars, A., Ceccaldi, M., Chepfer, H., Clerbaux, N., 500 Cole, J., Delanoë, J., Domenech, C., Donovan, D.P., Fukuda, S., Hirakata, M., Hogan, R.J., Huenerbein, A., Kollias, P., Kubota, T., Nakajima, T., Nakajima, 501 502 T.Y., Nishizawa, T., Ohno, Y., Okamoto, H., Oki, R., Sato, K., Satoh, M., 503 Shephard, M.W., Velázquez-Blázquez, A., Wandinger, U., Wehr, T., Van 504 Zadelhoff, G.-J. The earthcare satellite: The next step forward in global 505 measurements of clouds, aerosols, precipitation, and radiation (2015) Bulletin of 506 the American Meteorological Society, 96 (8), pp. 1311-1332
- IPCC, 2012: Managing the Risks of Extreme Events and Disasters to Advance Climate
  Change Adaptation. A Special Report of Working Groups I and II of the
  Intergovernmental Panel on Climate Change [Field, C.B., V. Barros, T.F. Stocker,
  D. Qin, D.J. Dokken, K.L. Ebi, M.D. Mastrandrea, K.J. Mach, G.-K. Plattner,
  S.K. Allen, M. Tignor, and P.M. Midgley (eds.)]. Cambridge University Press,
  Cambridge, UK, and New York, NY, USA, 582 pp.
- 513 IPCC, 2014: Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A:
  514 Global and Sectoral Aspects. Contribution of Working Group II to the Fifth
  515 Assessment Report of the Intergovernmental Panel on Climate Change [Field,
  516 C.B., V.R. Barros, D.J. Dokken, K.J. Mach, M.D. Mastrandrea, T.E. Bilir, M.
  517 Chatterjee, K.L. Ebi, Y.O. Estrada, R.C. Genova, B. Girma, E.S. Kissel, A.N.

518 Levy, S. MacCracken, P.R. Mastrandrea, and L.L. White (eds.)]. *Cambridge* 519 *University Press, Cambridge, United Kingdom and New York, NY, USA*, 1132 pp.

520

Kahn, R. A., David L. Nelson, Michael J. Garay, Robert C. Levy, Michael A. Bull, David

- J. Diner, John V. Martonchik, Susan R. Paradise, Earl G. Hansen, and Lorraine A.
  Remer. "MISR aerosol product attributes and statistical comparisons with
  MODIS." *IEEE Transactions on Geoscience and Remote Sensing*47, no. 12
  (2009): 4095-4114
- Khor W. Y, Matjafri M. Z., Lim H.; Hee W. S ; Lolli S., 2015: One-year monitoring of
  the atmosphere over Penang Island using a ground-based lidar *Proc. SPIE 9645*, *Lidar Technologies, Techniques, and Measurements for Atmospheric Remote Sensing* XI, 96450M (October 20, 2015); doi:10.1117/12.2195440.
- King, Michael D., W. Paul Menzel, Yoram J. Kaufman, Didier Tanré, Bo-Cai Gao,
  Steven Platnick, Steven A. Ackerman, Lorraine A. Remer, Robert Pincus, and
  Paul A. Hubanks, 2003 "Cloud and aerosol properties, precipitable water, and
  profiles of temperature and water vapor from MODIS." *IEEE Transactions on Geoscience and Remote Sensing* 41, no. 2: 442-458.
- J. Klett, 1985, "Lidar inversion with variable backscatter/extinction ratios," Appl. Opt.
  24, 1638-1643.
- Lewis, J. R., J. R. Campbell, E. J. Welton, S. A. Stewart, and P. C. Haftings, 2016:
  Overview of MPLNET, version 3, cloud detection. J. Atmos. Oceanic Technol.,
  33, 2113–2134, doi: 10.1175/JTECH-D-15-0190.1
- Lolli S. et al, 2013, "Evaluating light rain drop size estimates from multiwavelength
  micropulse lidar network profiling.,"*J. Atmos. Oceanic Technol.*, **30**, 2798–2807.

- 541 Lolli S.; E. J. Welton; A. Benedetti; L. Jones; M. Suttie; S-H. Wang. 2014." MPLNET
- lidar data assimilation in the ECMWF MACC-II Aerosol system: evaluation of
  model performances at NCU lidar station." *Proc. SPIE 9246, Lidar Technologies, Techniques, and Measurements for Atmospheric Remote Sensing* X, 924601
  (October 20, 2014); doi:10.1117/12.2068201
- 546 S. Lolli; P. Di Girolamo. 2015." Principal component analysis approach to evaluate
  547 instrument performances in developing a cost-effective reliable instrument
  548 network for atmospheric measurements." Journal of Atmospheric and Oceanic
  549 Technology, Vol. 32 (9), 1642-1649.
- Lolli, S., J.R. Campbell, J.R. Lewis, Y. Gu, J.W. Marquis, B.N. Chew, S. Liew, S.V.
  Salinas, and E.J. Welton, 2017a: Daytime Top-of-the-Atmosphere Cirrus Cloud
  Radiative Forcing Properties at Singapore. *J. Appl. Meteor. Climatol.*, 56, 1249–
  1257, doi: 10.1175/JAMC-D-16-0262.1.
- Lolli, S., Campbell, J. R., Lewis, J. R., Gu, Y., and Welton, E. J. 2017b: Technical note:
  Fu–Liou–Gu and Corti–Peter model performance evaluation for radiative
  retrievals from cirrus clouds, Atmos. Chem. Phys., 17, 7025-7034,
  https://doi.org/10.5194/acp-17-7025-2017.
- Madonna, F., Amodeo, A., Boselli, A., Cornacchia, C., Cuomo, V., D'Amico, G., Giunta,
  A., Mona, L., and Pappalardo, G.: CIAO: the CNR-IMAA advanced observatory
  for atmospheric research, *Atmos. Meas. Tech.*, 4, 1191-1208, doi:10.5194/amt-41191-2011, 2011.

562	Madonna, F., A. Amodeo, G. D'Amico, and G. Pappalardo (2013), A study on the use of
563	radar and lidar for characterizing ultragiant aerosol, Journal of Geophys. Res.,
564	DOI: 10.1002/jgrd.50789.

- ----

- Madonna F., A. Amodeo, G. D'Amico, L. Mona, and G. Pappalardo (2010), Observation
  of non-spherical ultragiant aerosol using a microwave radar, Geophys. Res. Lett.,
  37, L21814, doi:10.1029/2010GL044999.
- McComiskey, A. and R.A. Ferrare, 2016: <u>Aerosol Physical and Optical Properties and</u>
  Processes in the ARM Program. *Meteorological Monographs*, 57, 21.1–21.17,
- McGill, M.J., Yorks, J.E., Scott, V.S., Kupchock, A.W., Selmer, P.A. The Cloud-Aerosol
  Transport System (CATS): A technology demonstration on the International
  Space Station (2015) *Proceedings of SPIE The International Society for Optical Engineering*, 9612, art. no. 96120A
- Mona, L., Amodeo, A., Pandolfi, M., & Pappalardo, G., 2006. Saharan dust intrusions in
  the Mediterranean area: Three years of Raman lidar measurements. *Journal of Geophysical Research: Atmospheres*, 111(D16).
- Mona, L., Amodeo, A., D'Amico, G., Giunta, A., Madonna, F., & Pappalardo, G. 2012.
  Multi-wavelength Raman lidar observations of the Eyjafjallajökull volcanic cloud
  over Potenza, southern Italy. *Atmospheric Chemistry and Physics*, *12*(4), 22292244.
- Pappalardo, G., Amodeo, A., Mona, L., Pandolfi, M., Pergola, N., & Cuomo, V. (2004).
  Raman lidar observations of aerosol emitted during the 2002 Etna
  eruption. *Geophysical Research Letters*, *31*(5).

584	Pappalardo, G., Amodeo, A., Apituley, A., Comeron, A., Freudenthaler, V., Linné, H.,
585	Ansmann, A., Bösenberg, J., D'Amico, G., Mattis, I., Mona, L., Wandinger, U.,
586	Amiridis, V., Alados-Arboledas, L., Nicolae, D., and Wiegner, M, 2014.
587	EARLINET: towards an advanced sustainable European aerosol lidar network,
588	Atmos. Meas. Tech., 7, 2389-2409, doi:10.5194/amt-7-2389-2014,

- Pappalardo G., A. Amodeo, M. Pandolfi, U. Wandinger, A. Ansmann, J. Bosenberg, V.
  Matthias, V. Amiridis, F. De Tomasi, M. Frioud, M. Iarlori, L. Komguem, A.
  Papayannis, F. Rocadenbosch, and X. Wang, 2004 "Aerosol lidar intercomparison
  in the framework of the EARLINET, project. 3. Raman lidar algorithm for aerosol
  extinction, backscatter and lidar ratio", *Appl. Opt.*, 43(28), 5370–5385.
- 594 Pérez-Ramírez, D., Whiteman, D.N., Smirnov, A., Lyamani, H., Holben, B.N., Pinker,
- R., Andrade, M. and Alados-Arboledas, L., 2014. Evaluation of AERONET
  precipitable water vapor versus microwave radiometry, GPS, and radiosondes at
  ARM sites. *Journal of Geophysical Research: Atmospheres*, *119*(15), pp.95969613.
- Press, W.H., B. P. Flannery, S. A. Teukolsky, and W. T. Vetterling, 1992 "Numerical
  Recipes in FORTRAN: The Art of Scientific Computing", *2nd ed., Cambridge, U. Press, Cambridge*, pp. 127–128 and 644–647.
- 602 Remer, L.A., Kaufman, Y.J., Tanré, D., Mattoo, S., Chu, D.A., Martins, J.V., Li, R.-R.,
- 603 Ichoku, C., Levy, R.C., Kleidman, R.G., Eck, T.F., Vermote, E., Holben, B.N.
- The MODIS aerosol algorithm, products, and validation 2005, *Journal of Atmospheric Sciences*, 62 (4), pp. 947-973

606	Strahler, A. H., C. B. Schaaf, JP. Muller, W. Warmer, M. J. Barnsley, R. d'Entremont,
607	B. Hu, P. Lewis, X. Li, and E. V. Ruiz de Lope, 1999: MODIS BRDF/albedo
608	product: Algorithm theoretical basis document. NASA EOS-MODIS Doc. ATBD-
609	MOD-09, version 5.0
610	Sugimoto, N., Matsui, I., Shimizu, A., Nishizawa, T., Hara, Y., Uno, I. Lidar network
611	observation of tropospheric aerosols (2010) Proceedings of SPIE - The
612	International Society for Optical Engineering, 7860, art. no. 78600J
613	Smirnov, A., Holben, B. N., Eck, T. F., Slutsker, I., Chatenet, B., & Pinker, R. T. (2002).
614	Diurnal variability of aerosol optical depth observed at AERONET (Aerosol
615	Robotic Network) sites. Geophysical Research Letters, 29(23).
616	Tanré, D., Y. J. Kaufman, M. Herman, and S. Mattoo. "Remote sensing of aerosol

- 616 Tanle, D., Y. J. Kaulman, M. Herman, and S. Mattoo. Remote sensing of aerosof
  617 properties over oceans using the MODIS/EOS spectral radiances." *Journal of*618 *Geophysical Research: Atmospheres* 102, no. D14 (1997): 16971-16988.
- Tanré, D., F. M. Bréon, J. L. Deuzé, O. Dubovik, F. Ducos, P. François, P. Goloub, M.
  Herman, A. Lifermann, and F. Waquet. "Remote sensing of aerosols by using
  polarized, directional and spectral measurements within the A-Train: the
  PARASOL mission." *Atmospheric Measurement Techniques* 4, no. 7 (2011):
  1383-1395.
- Tegen, I. and Lacis, A. A.: Modeling of particle size distribution and its influence on the
  radiative properties of mineral dust aerosol, *J. Geophys. Res.*, 101, 19237–19244,
  1996.
- 627 Veselovskii, I., Whiteman, D.N., Korenskiy, M., Suvorina, A., Pérez-Ramírez, D., (2015)
  628 Use of rotational Raman measurements in multiwavelength aerosol lidar for

630

evaluation of particle backscattering and extinction. Atmospheric Measurement Techniques 8, 4111-4122.

631	Wandinger, U., Ansmann, A., Reichardt, J., Deshler, T. Determination of stratospheric
632	aerosol microphysical properties from independent extinction and backscattering
633	measurements with a Raman lidar (1995) Applied Optics, 34 (36), pp. 8315-8329.
634	Wandinger, U., Freudenthaler, V., Baars, H., Amodeo, A., Engelmann, R., Mattis, I.,
635	Gross., S., Pappalardo, G., Giunta, A., D'Amico, G., Chaikovsky, A., Osipenko,
636	F., Slesar, A., Nicolae, D., Belegante, L., Talianu, C., Serikov, I., Linn., 491 H.,
637	Jansen, F., Apituley, A., Wilson, K. M., de Graaf, M., Trickl, T., Giehl, H., Adam,

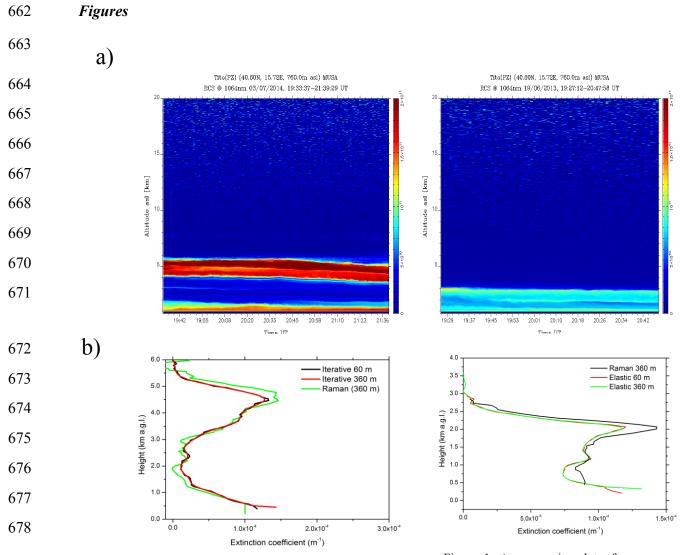
- 638 M., Comer.n, A., Mu.oz-Porcar, C., Rocadenbosch, F., Sicard, M., Tom.s, S.,
- 639 Lange, D., Kumar, D., Pujadas, M., Molero, F., Fern.ndez, A. J., Alados-
- 640 Arboledas, L., Bravo-Aranda, J. A., Navas-Guzm.n, F., Guerrero-Rascado, J. L.,
- 641 Granados- Munoz, M. J., Prei.ler, J., Wagner, F., Gausa, M., Grigorov, I.,
- 642 Stoyanov, D., Iarlori, M., Rizi, V., Spinelli, N., Boselli, A., Wang, X., Lo Feudo,
- T., Perrone, M. R., De Tomasi, F., and Burlizzi, P., 2016: EARLINET instrument
  intercomparison campaigns: overview on strategy and results, Atmos. Meas.
- 645 Tech., 9, 1001-1023, doi:10.5194/amt-9-1001-2016
- Whiteman, D. N., Melfi, S. H., & Ferrare, R. A. (1992). Raman lidar system for the
  measurement of water vapor and aerosols in the Earth's atmosphere. *Applied Optics*, *31*(16), 3068-3082.
- Whiteman, D.N. Examination of the traditional Raman lidar technique. II. Evaluating the
  ratios for water vapor and aerosols (2003) *Applied Optics*, 42 (15), pp. 2593-2608

651	Whiteman, D.N., Pérez-Ramírez, D., Veselovskii, I., Colarco, P., Buchard, V. (2017)
652	Simulations of spaceborne multiwavelength lidar measurements and retrievals of
653	aerosol microphysics. Journal of Quantitative Spectroscopy and Radiative
654	Transfer, submitted.

- Winker, D. M., W. H. Hunt, and M. J. McGill (2007), Initial performance assessment of
  CALIOP, Geophys. Res. Lett., 34, L19803, doi:10.1029/2007GL030135.
- 657 Welton, E. J., Voss, K. J., Quinn, P. K., Flatau, P. J., Markowicz, K., Campbell, J. R.,

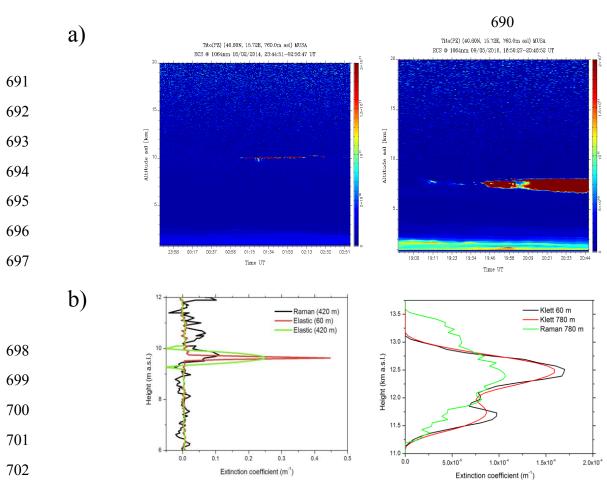
558 Johnson, J. E. 2002. Measurements of aerosol vertical profiles and optical

- 659 properties during INDOEX 1999 using micropulse lidars. *Journal of Geophysical*
- 660 *Research: Atmospheres*, *107*(D19).



סו Figure 1 a): composite plot of

the range corrected signal at 1064nm showing a well-defined dust layer at about 5 km a.s.l. (left panel) and for a biomass burning aerosol layer at about 2 km (right panel). b): aerosol lidar extinction profiles at 355nm retrieved with the Raman and the elastic lidar techniques with different spatial resolutions (60m and 360m) for dust outbreak on 3 July 2014 (left panel) and for biomass burning on 19 June 2013 (right panel). The iterative method used a fixed lidar ratio value of S=45sr, determined by climatological measurements (Mona et al., 2006) for the dust aerosol layer. For the biomass burning we used the averaged value of S=63sr obtained from MUSA Raman lidar.



703 Figure 2: a) composite plot of the range corrected signal at 1064nm showing a thin cirrus cloud at 704 about 10km (right panel) and an opaque cirrus cloud at about 12.5 km. b) left panel: lidar extinction 705 profiles at 355nm from Raman and elastic channel respectively a cirrus cloud on 17 February 2014. 706 The iterative method at the two different resolutions (60m and 420m) used a fixed S value (25sr), 707 determined by climatological measurement. Figure 2a, b) right panels: same as Figure 2a, b) left panels 708 but for a cirrus cloud detected on 09 May 2016. The Raman is retrieved over a 780m spatial window 709 while the elastic channel is retrieved using MPLNET algorithm (Lewis et al., 2016) with S=25sr at 710 60m and 780m respectively.

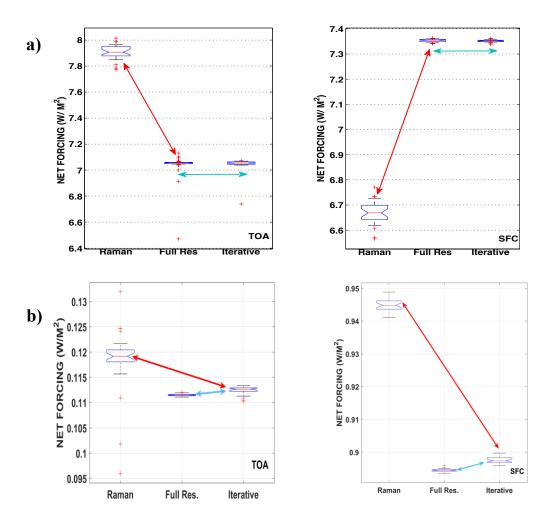


Figure 3. The direct radiative effect, for the dust aerosol case study (Figure 3a) on 03 July 2014 and biomass burning case on 19 June 2013(Figure 3b) represented as a distribution of values obtained with the MonteCarlo simulations by the boxplots, is calculated at TOA (left panel) and SFC (right panel) respectively. As it is clearly visible, the larger discrepancy in forcing is related mostly to the lidar measurements technique (red arrows), not on the data processing constraints/assumptions (blue arrows).

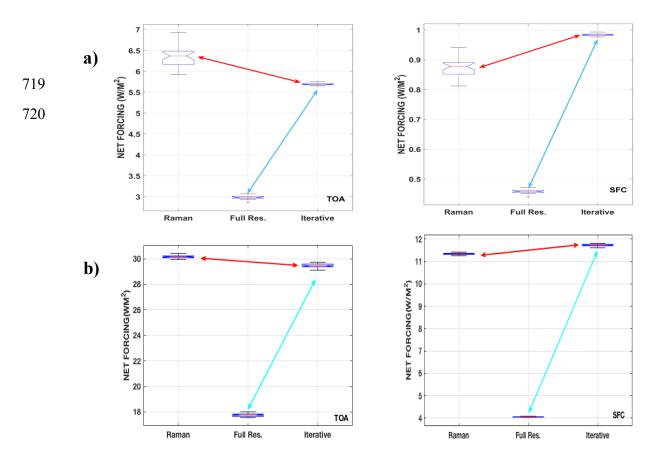


Figure 4 Same as Figure 3 but for two cirrus cloud cases (Fig. 4a, 17 Feb 2014, Fig 4b, 09 May 2016). The net radiative effect is calculated at TOA (left panel) and SFC (right panel) respectively. As it is clearly visible, in both cases the larger discrepancy in radiative effect is related mostly to the data processing (blue arrows), not on lidar technique (red arrows).