



1 Regional uncertainty of GOSAT XCO₂ retrievals in China: 2 Quantification and attribution

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14 **Abstract.** The regional uncertainty of XCO₂ (column-averaged dry air mole fraction of CO₂) retrieved using different
15 algorithms from the Greenhouse gases Observing SATellite (GOSAT) and its attribution are still not well understood. This
16 paper investigates the regional performance of XCO₂ within a band of 37°N~ 42°N segmented into 8 cells in a grid of 5°
17 from west to east (80°E ~120°E) in China, where there are typical land surface types and geographic conditions. The former
18 include the various land covers of desert, grassland and built-up areas mixed with cropland, and the latter include
19 anthropogenic emissions that tend to be small to large from west to east, including those from the megacity of Beijing. For
20 these specific cells, we evaluate the regional uncertainty of GOSAT XCO₂ retrievals by quantifying and attributing the
21 consistency of XCO₂ retrievals from five algorithms (ACOS, NIES, EMMA, OCFP, and SRFP) by intercomparison and
22 particularly by comparing these with simulated XCO₂ from the Goddard Earth Observing System 3-D chemical transport
23 model (GEOS-Chem), the nested model in East Asia. We introduce the anthropogenic CO₂ emissions data generated from
24 the investigation of surface emitting point sources that was conducted by the Ministry of Environmental Protection of China
25 to GEOS-Chem simulations of XCO₂ over the Chinese mainland. The results indicate that (1) regionally, the five algorithms
26 demonstrate smaller absolute biases between 0.9-1.5 ppm in eastern cells, which are covered by built-up areas mixed with
27 cropland with intensive anthropogenic emissions, than those in the western desert cells with a high-brightness surface, 1.2-
28 2.2 ppm from the pairwise comparison results of XCO₂ retrievals. The inconsistency of XCO₂ from the five algorithms tends
29 to be high in the Taklimakan Desert in western cells, which is likely induced by high surface albedo in addition to dust
30 aerosols in this region. (2) Compared with XCO₂ simulated by GEOS-Chem (GEOS-XCO₂), the XCO₂ values of ACOS and
31 SRFP better agree with GEOS-XCO₂, while OCFP is the least consistent with GEOS-XCO₂. (3) Viewing attributions of
32 XCO₂ in the spatio-temporal pattern, ACOS, SRFP and EMMA demonstrate similar patterns, while OCFP is largely
33 different from the others. In conclusion, the discrepancy in the five algorithms is the smallest in eastern cells in the
34 investigated band where the megacity of Beijing is located and where there are strong anthropogenic CO₂ emissions, which



35 implies that XCO₂ from satellite observations could be reliably applied in the assessment of atmospheric CO₂ enhancements
36 induced by anthropogenic CO₂ emissions. The large inconsistency among the five algorithms presented in western deserts
37 with a high albedo and dust aerosols, moreover, demonstrates that further improvement is still necessary in such regions,
38 even though many algorithms have endeavored to minimize the effects of aerosols and albedo.

39

40 Key words: GOSAT, XCO₂ retrieval algorithms, simulated XCO₂ by GEOS-Chem, regional uncertainty, anthropogenic
41 emission, and desert

42 1 Introduction

43 The column-averaged dry air mole fraction of CO₂ (XCO₂) derived from satellite observations, such as the SCanning
44 Imaging Absorption spectroMeter of Atmospheric CHartography (SCIAMACHY) (Burrows et al., 1995; Bovensmann et al.,
45 1999), the Greenhouse gases Observing SATellite (GOSAT) (Yokoda et al., 2004), Orbiting Carbon Observatory (OCO-2)
46 (Crisp et al., 2004), and Chinese Carbon Satellite (TanSat) (Liu et al., 2013), have largely improved our understanding of
47 the variation in atmospheric CO₂ concentration and carbon sources and sinks at a global and regional scale. There have been
48 several full-physics retrieval algorithms specially developed for retrieving XCO₂ from the GOSAT spectrum, mainly
49 including the NASA Atmospheric CO₂ Observations from Space (ACOS) (O'Dell et al., 2012), the National Institute for
50 Environmental Studies (NIES) (Yoshida et al., 2013), University of Leicester full-physics XCO₂ (OCFP) (Cogan et al., 2012)
51 and RemoTeC XCO₂ Full Physics (SRFP) (Butz et al., 2011). Additionally, the ensemble median algorithm EMMA was put
52 forward as a combination of retrieval products from independent algorithms including ACOS, NIES, OCFP, and SRFP
53 (Reuter et al., 2013).

54 Satellite-retrieved XCO₂ is susceptible to the effects of the light path, observed spectrum, surface states, and so
55 on (O'Dell et al., 2012; Oshchepkov et al., 2013). The bias and performance of XCO₂ from an algorithm could change in
56 different regions with differing land surfaces and anthropogenic emissions. Spatio-pattern attributions of XCO₂ viewed from
57 different algorithms are also different, even in the same region, due to the different physical approaches of the algorithms,
58 assumptions of atmospheric conditions (aerosol, surface pressure, CO₂ profile, etc.), and pre- and post-processing filters. To
59 date, the validation of XCO₂ retrievals from these algorithms focuses on using ground-based measurements from Total
60 Carbon Column Observing Network (TCCON) sites (Wunch et al., 2011; Yoshida et al., 2013; Hewson, 2016; Buchwitz et
61 al., 2015; Detmers et al., 2015; Oshchepkov et al., 2013) and their consistency evaluation and cross-comparison both at a
62 global scale and in continental regions (Kulawik et al., 2016; Lindqvist et al., 2015; Lei et al., 2014). The precision and
63 uncertainty of satellite-retrieved XCO₂ outside TCCON stations, most of which are located remote from abundant biosphere
64 fluxes and human activities, are still not well evaluated. The sparseness of TCCON stations over the globe, moreover, means
65 a lack of enough ground observations to validate satellite retrievals. Specifically, there are no good TCCON data available in
66 China, and only a few satellite retrievals were validated using ground-based Fourier Transform Spectrometer (FTS) XCO₂



67 measurements in Hefei (Wang et al., 2016). In the analysis and application of XCO₂ data from ACOS, NIES, OCFP, SRFP
68 and EMMA, we found that unreasonably high XCO₂ was demonstrated in the Taklimakan desert in China (Bie et al., 2016;
69 Liu et al., 2015). For this reason, we extended the scope to select a larger study period and to further assess the overall
70 performance of these five algorithms at a regional scale.

71 With the advantage of continuity in space and time, atmospheric transport model simulation of CO₂ has been widely
72 used in assessing the performance of satellite-retrieved XCO₂ (Cogan et al., 2012; Lindqvist et al., 2015; Kulawik et al.,
73 2016). As anthropogenic emission of CO₂ is the major contributor to increases of CO₂ in the atmosphere, many studies have
74 been involved in deriving estimates of anthropogenic CO₂ emissions (Oda et al., 2011; Andres et al., 2011). It is known that
75 there exists high uncertainty in estimates of CO₂ emissions from both the burning of fossil fuel and cement production (FF
76 CO₂ emissions) throughout China (Guan et al., 2012; Liu et al., 2015). As noted by Andrews et al. (2012), there exist many
77 kinds of restrictions (e.g., commercial competitiveness reasons) in obtaining accurate data on sub-national (e.g., large-point-
78 source or provincial) FF CO₂ emissions. Furthermore, the assumption of uniform per-capita emissions within a country has
79 also been shown to be unreliable for large countries with diversified economies and electricity-generation methods (Nassar et
80 al., 2013). In the previous study of Keppel-Aleks (2013), the simulated Chinese XCO₂ data was increased by a national
81 uniform ratio for the corresponding XCO₂ contributed by fossil sources to account for the underestimation in Chinese
82 emissions, in which way the spatial variability of Chinese FF emissions was not considered sufficient.

83 In this paper, we focus on a latitude band of 37°N-42°N from 80°E to 120°E in China, where there are various typical
84 land covers such as desert, including the Taklimakan desert, and grassland and built-up areas mixed with croplands,
85 including the megacity of Beijing, and there are anthropogenic emissions that trend from small amounts to large amounts
86 from west to east. In this band, the inconsistencies of XCO₂ values derived from five algorithms including ACOS V3.5,
87 NIES V02.21, OCFP 6.0, SRFP V2.3.7, and EMMA V2.1c are compared and evaluated in this paper. Moreover, a forward
88 model simulation data set from GEOS-Chem is also used for intercomparison. To improve the simulation of CO₂
89 concentration, we introduced a new emission data set, the Chinese High Resolution Emission Gridded Data (CHRED),
90 which is produced by the Ministry of Environmental Protection, China (MEP) based on investigations of emitting point
91 sources from approximately 150 million enterprises throughout the country in 2012 (Wang et al., 2014; Cai et al., 2014).

92 First, we aim to reveal the regional uncertainty of XCO₂ observed by GOSAT for the different land covers and
93 anthropogenic CO₂ emission regions through the inconsistency of five algorithms, and second, we aim to give a reasonable
94 and valuable reference for the analysis and application of XCO₂ data when using these XCO₂ data from the five algorithms.
95 Sec. 2 in this paper describes the XCO₂ retrievals data from five algorithms and the implementation of XCO₂ simulated by
96 GEOS-Chem using CHRED. Inconsistencies of XCO₂ datasets among the five algorithms are quantified and evaluated as
97 follows: pairwise comparisons of XCO₂ between algorithms and comparisons with GEOS-Chem simulations in Sec. 3. The
98 spatio-temporal patterns of XCO₂ from each algorithm are investigated using a combination of sine and cosine trigonometric
99 functions to fit monthly averaged XCO₂ from March 2010 to February 2013 in Sec. 4. Furthermore, the most likely
100 attribution-affecting factors on regional inconsistency, including aerosol and surface albedo, are described in Sec. 5. The

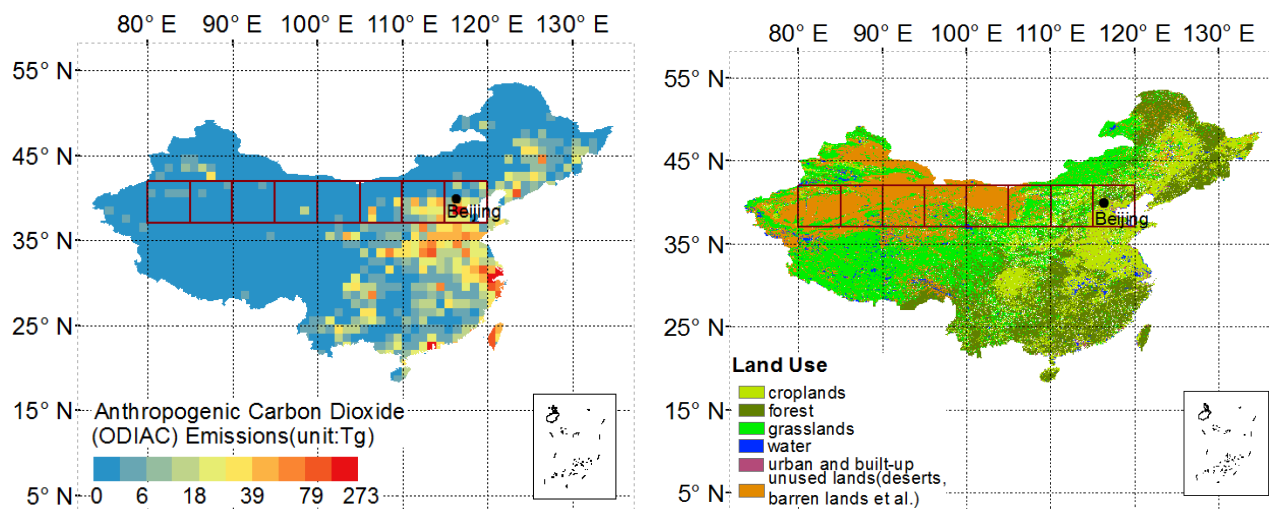


101 latest ACOS V7.3 dataset, moreover, is also evaluated by cross-comparisons with GEOS-Chem and other algorithms
102 including ACOS V3.5, NIESV02.XX, OCFP V6.0, SRFP V2.3.7 and EMMA v2.1.C, as shown in subsections of Sec. 5.
103 Finally, the regional performances of five algorithms and the regional uncertainty of GOSAT XCO₂ retrievals from the
104 results above are summarized, and conclusions are given in Sec. 6.

105 **2 Study area and data**

106 **2.1 Study area**

107 The latitude band of 37°N~42°N from 80°E to 120°E in China is selected as the study area, which is segmented into eight
108 cells in a grid of 5°x5° units for comparison and evaluation. The study area has two typical surface characteristics as shown
109 in Fig. 1, supporting our assessment of the performance of XCO₂ retrievals from five algorithms: (1) the difference of
110 anthropogenic CO₂ emissions from west to east is significant going from small amounts to large amounts as shown in Fig.
111 1(left), where data are from the Open-source Data Inventory for Anthropogenic CO₂ (ODIAC), a global annual fossil fuel
112 CO₂ emission inventory developed by combining a worldwide point-source database and satellite observations of the global
113 nightlight distribution (Oda et al., 2011). There are almost no anthropogenic CO₂ emissions in the western cells ending at
114 105°E, while there is high anthropogenic emission located in the cells on the eastern end. (2) There are typical land covers
115 from west to east mainly composed of desert (sand in two cells from 80°E to 90°E, Gobi in two cells from 90°E to 100°E,
116 sand in a cell from 100°E to 105°E), grassland in a cell from 105 to 110°E, and cropland and built-up areas in two cells from
117 110°E to 120°E as shown in Fig. 1 (right). These characteristics may bring about complicated aerosol composition and
118 concentration. One of the main reasons for focusing on this band, however, is the greater availability of high-quality GOSAT
119 scans in this area compared to other areas in China.



120

121 **Fig. 1.** Location of the study area segmented into cells (deep red cells) in China and annual fossil fuel CO₂ emission in 2012 (left) (1
122 x 1 degree) from ODIAC and land use mapping (right) in 2010, where the black dot represents Beijing, the capital of China.

123

124 2.2 GOSAT XCO₂ dataset derived from five algorithms

125 We collected XCO₂ data from March 2010 to February 2013 derived from five algorithms: ACOS V3.5
126 (<http://CO2.jpl.nasa.gov>), NIES V02.xx (RA version with GU screening scheme) ([http://data.gosat.nies.go.jp/
127 GosatUserInterfaceGateway/guig/GuigPage/open.do?lang=en](http://data.gosat.nies.go.jp/GosatUserInterfaceGateway/guig/GuigPage/open.do?lang=en)), OCFP V6.0, SRFP V2.3.7 and EMMA V2.1c ([http://www.
128 esa-ghg-cci.org/sites/default/files/documents/public/documents/GHG-CCI_DATA.html](http://www.esa-ghg-cci.org/sites/default/files/documents/public/documents/GHG-CCI_DATA.html)), the version of EMMA without
129 SCIAMACHY data included. The major characteristics of the five algorithms and the data sources are listed in Table 1. The
130 validation at TCCON sites for all algorithms indicates that the bias is less than 1.2 ppm and that the standard deviation is less
131 than 2.0 ppm. All algorithms take aerosol optical depth (AOD) into consideration in their data screening scheme but in
132 slightly different manners. The recommended bias corrections are applied to the collected XCO₂ data from ACOS, OCFP
133 and SRFP. Data observed with high gain and passing the corresponding recommended quality control are used in ACOS,
134 NIES, OCFP and SRFP while all data from EMMA are used.



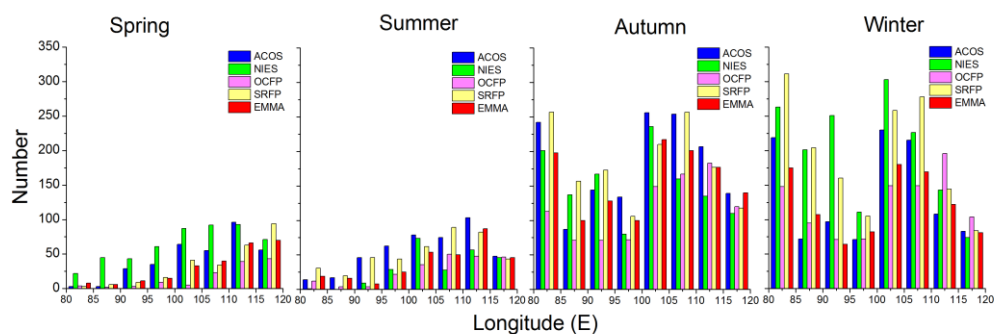
135 **Table 1 Summary of validating results, data screening schemes, bias corrections and consideration in scattering among algorithms.**

	ACOS	NIES	OCFP	SRFP	EMMA
Validation with TCCON*	0.3 ppm 1.7 ppm	-1.2 ppm 2.0 ppm	0.04 ppm 1.78ppm	0.01 ppm 1.93 ppm	0.28 ppm 1.9 ppm
Data screening scheme	Aerosol_total_aod: 0.015 to 0.25 Sounding_altitude:<3000 0.55<XCO ₂ _uncer<2.0 ppm Aod_dust<0.15 The difference of the retrieved and priori surface pressure from the A-band cloud-screen Δ P _{s,cld} :(-12,4.1) hPa	Retrieved aerosol optical thickness:<=0.1 Difference of retrieved and a priori surface pressure:<=20 hpa Blended albedo: <1	Retrieved type 1 (small) AOD: <=0.3 Retrieved type 2 (large) AOD: <=0.15 Retrieved ice type AOD: <=0.025 Error on retrieved XCO ₂ :<=2.15	Aerosol optical thickness :<0.3 3<aero_size<5 0<aerosol_filter<300 Error on retrieved XCO ₂ : <1.2 ppm standard deviation of surface elevation within GOSAT ground pixel: <80m Blended albedo: <0.9	-
Consideration in scattering	4 extinction profiles (two aerosol types , water and ice cloud)	logarithms of the mass mixing ratios of fine-mode aerosols and coarse mode aerosols with aerosols optical properties based on SPRINTARS V3.84	Aerosol profile scaling of 2 different aerosol types; cloud extinction profile scaling	Aerosol particle number concentration, aerosol size parameter, aerosol height	-
Bias correction	$X'_{CO_2} = X_{CO_2} - 0.5 - 0.155 * (\Delta P_{s,cld} + 2.7) + 10.6 * (\alpha'_3 - 0.204) + 0.0146 * (\Delta GRAD_{CO_2} - 35) + 12.8 * (AOD_{DUST} - 0.01)$	-	Via a regression analysis of the difference between GOSAT and TCCON XCO ₂ land observations. See details in the product user guide	$X'_{CO_2} = X_{CO_2} * (1.002837 + 2.1176e - 5 * \phi)$ ϕ: the aerosol filter	-
Sources	Osterman et al., 2016; O'Dell et al., 2012; D.Wunch et al., 2011b.	NIES (GOSAT Project Office), 2015; Yoshida et al., 2013; D.Wunch et al., 2011b.	Hew, 2016; GHG-CCI group at University of Leicester, 2014.	Detmers et al., 2015; Hasekamp et al., 2015	Buchwitz et al. 2016. Reuter et al., 2013.

136 *The first represents mean biases, and the second represents overall standard deviations.



137 Within the study area, the total numbers of valid GOSAT XCO₂ observations are 3345, 3556, 2282, 3685 and 2796 in
 138 ACOS, NIES, OCFP, SRFP and EMMA, respectively. Figure 2 shows the number of available XCO₂ retrievals during the 4
 139 seasons (spring: MAM; summer: JJA; autumn: SON; winter: DJF). It can be seen that the number of available XCO₂
 140 retrievals is clearly smaller in spring and summer than that in autumn and winter due to different meteorological conditions
 141 and data-screening processes. The cloudiness in spring and summer caused by the monsoon climate disturbs satellite
 142 observation, while the smaller data number in the west of 110 °E is due to frequent dust storm in the Taklimakan Desert.



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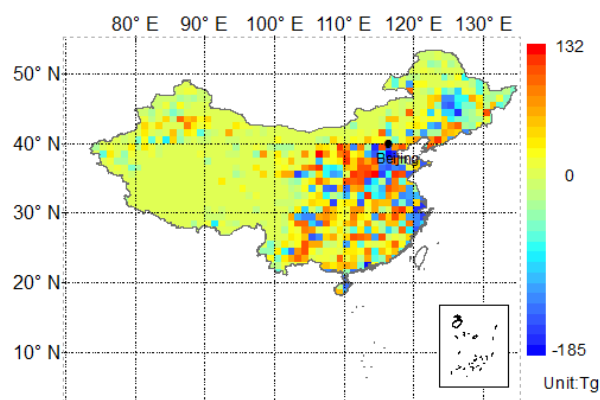
144 **Fig. 2.** Number of single scans from five GOSAT-XCO₂ data sets over each 5x5 ° cells in spring, summer, autumn and winter from
 145 March 2010 to February 2013. Spring: MAM; summer: JJA; autumn: SON; winter: DJF.

146 2.3 XCO₂ simulations from GEOS-Chem

147 We use GEOS-Chem version 10-01 driven by GEOS-5 and the details of the main input emissions are as follows: 1)
 148 Fossil fuel fluxes are taken from ODIAC version 2013. On the other hand, we also introduce the new emission data set
 149 CHRED for the Chinese mainland. 2) The balanced biosphere CO₂ uptake and emission fluxes are taken from the Simple
 150 Biosphere Model version 3 (SiB3) [Messerschmidt et al. 2012]. 3) Biomass emissions are taken from Global Fire Emission
 151 Database version 4 (GFEDv4) (Giglio et al., 2013). 4) Ocean fluxes are taken as Takahashi et al. (2009) suggested. The input
 152 emissions for the GEOS-Chem CO₂ simulation are described in Nassar et al. (2010), although we have used some of the
 153 most recent updates available in the GEOS-Chem version 10-01 and the Harvard–NASA Emission Component version 1.0
 154 (HEMCO) module (Keller et al., 2014), a versatile component for emissions in atmospheric models. Higher model resolution
 155 is very important in the calculation of the concentrations of atmospheric gases, especially over land where topography
 156 smoothing (compared to reality) is determined by horizontal resolution (Ciais et al., 2010). Considering this, GEOS-Chem at
 157 0.5 °(latitude) x 0.666 °(longitude) horizontal resolution, the nested grid model in China, was taken for the CO₂ simulation
 158 with boundary conditions provided by the global model at 2 °(latitude) x 2.5 °(longitude) resolution. We made a restart file
 159 with 386.4 ppm for both the global simulation and the nested simulation on 1 January 2009 based on NOAA ESRL data.
 160 Both the global model and the nested-grid model were run twice, driven by the same CO₂ fluxes from January 2009 to
 161 February 2013 except that the ODIAC was chosen for the first run and CHRED for the second as the input fossil-fuel fluxes
 162 over the Chinese mainland. With an average for local hours between 12 pm and 13:30 pm, model CO₂ profiles were



163 presented from January 2010 to February 2013, allowing sufficient time for the high-resolution model to adjust to transients
164 introduced by the initialization of the model on 1 January 2009. The pressure-weighting function described in Connor (2008)
165 was applied to translate level-based modeling CO_2 to XCO_2 . Figure 3 presents the spatial difference of emissions over the
166 Chinese mainland between CHRED and ODIAC at a horizontal resolution of $1^\circ \times 1^\circ$. The values of emissions are mostly
167 larger in CHRED than in ODIAC, as shown in Fig. 3, and this difference tends to be large in the eastern part of our study
168 area. In addition, the difference in their total emissions, 10.38 Pg CO_2 (CHRED) to 9.64 Pg CO_2 (ODIAC), is not small.
169 ODIAC is also found to exhibit an overestimation of emissions in large cities compared to CHRED.

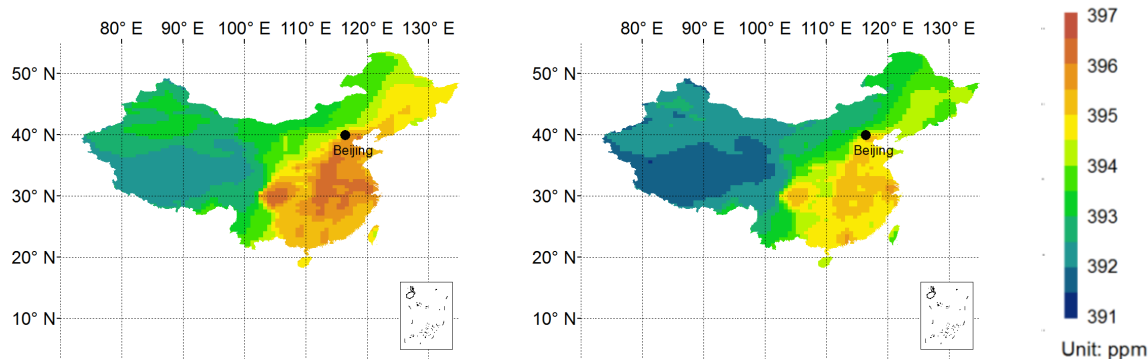


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171 **Fig. 3. Difference of annual total anthropogenic CO_2 emissions between CHRED and ODIAC in 2012 in China, where the black**
172 **dot represents Beijing, the capital of China.**

173 For each $1^\circ \times 1^\circ$ grid, the corresponding annual CO_2 emissions in the years from 2009 to 2012 were allocated by the ratio
174 of emissions in CHRED to that in ODIAC in 2012. We acquired the new input inventory of CO_2 emissions, CHRED, by
175 scaling the obtained yearly emissions with the ratio of monthly emissions to the yearly ones in ODIAC. In this way, we have
176 altered the spatial and temporal distribution, but not at temporal scales finer than monthly. This is expected to be an
177 improvement upon the current ODIAC emission values.

178 The annually averaged XCO_2 driven by both CHRED and ODIAC are calculated and shown in Fig. 4. The impact of
179 emission deviations of CHRED from ODIAC is significant, with an average XCO_2 increase of 0.7 ppm over China. There
180 are also obvious differences in spatial patterns, especially in Northwest China, Northeast China, North China and South
181 China. Modeling CO_2 from CHRED increases values up to 0.7 ppm in most parts east of 100°E with a maximum at 1.4
182 ppm compared to that from ODIAC. The increase in the annual mean, which should not be ignored, is approximately 1 ppm
183 east of 110°E in the latitude zone of $37^\circ \text{N} \sim 42^\circ \text{N}$. Modeling the XCO_2 data set from CHRED is used to compare with
184 satellite-retrieved XCO_2 in our following experiment.



185

186 **Fig. 4. The annual mean XCO₂ concentrations driven by CHRED (left) and by ODIAC (right) in 2012 with GEOS-Chem in China,**
187 **where the black dot represents Beijing, the capital of China.**

188 **2.4 Aerosol optical depth and surface albedo data**

189 The monthly mean aerosol data was collected from the NASA Earth Observing System's Multi-angle Imaging Spectro-
190 radiometer (MISR) Level 3 Component Global Aerosol Product, downloaded from the website [https://eosweb.larc.nasa.gov](https://eosweb.larc.nasa.gov/project/misr)
191 /project/misr. The released GLASS (Glass Land Surface Satellites) albedo is used, which is a gapless, long-term continuous
192 and self-consistent data-set with accuracy similar to that of the Moderate Resolution Imaging Spectrometer (MODIS)
193 MCD43 product (Liu et al., 2013). Data were downloaded from the website <http://glcf.umd.edu/data/abd/>.

194 **3 Quantification of agreement of XCO₂ retrievals from five algorithms in the same footprints**

195 We focus on the difference of each footprint XCO₂ retrieval in this section. Comparison of XCO₂ from the five algorithms
196 with GEOS-Chem simulations driven by CHRED, and pairwise comparisons of XCO₂ between algorithms were calculated
197 as a quantified indicator of their differences.

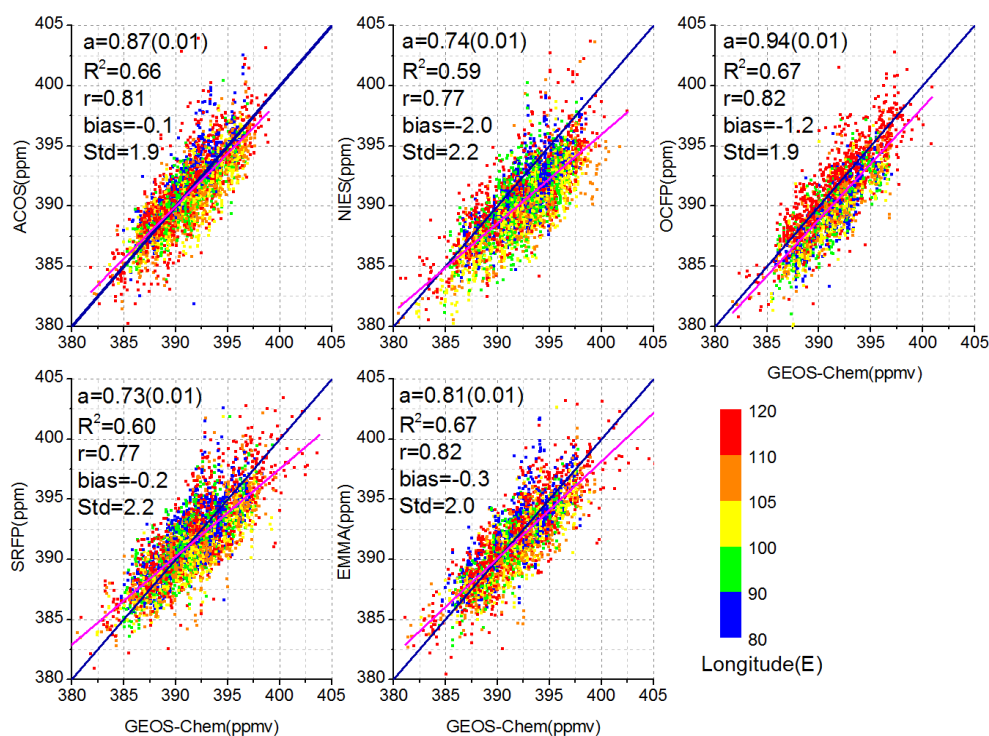
198 **3.1 Comparisons with GEOS-Chem simulations**

199 We used the nested GEOS-Chem simulation XCO₂ as a baseline to quantify the regional consistency of the five algorithms.
200 Our output model CO₂ profile is the averaged concentration during the local hours 12:00-13:30 pm corresponding to the
201 local time of overpass and locations (latitude and longitude) of GOSAT. To compare XCO₂ retrievals from ACOS, NIES,
202 OCFP, SRFP and EMMA, corresponding GEOS-Chem XCO₂ data were created by applying averaging kernels from each
203 algorithm to model CO₂ profiles as suggested by Rodgers (2003). Correlation diagrams of XCO₂ between GEOS-Chem (X)
204 and GOSAT (Y) for the five algorithms are shown in Fig. 5. The regression slope (a), the coefficient of determination (R²),
205 the correlation coefficient (r), and biases of GOSAT (Y) from GEOS-Chem(X) are also shown in the inset of each panel.

206 It can be found that the linear fits and the correlations with GEOS-Chem are better for ACOS, OCFP and EMMA (R²
207 approximately 0.66) than for either NIES or SRFP (R² approximately 0.59). The regression slope is the closest to unity in the



208 OCFP panel (0.94), which means the best similarity in variation. The slope of GEOS-Chem vs ACOS ranks second ($a=0.87$)
 209 while it is less than 0.8 vs NIES and SRFP. The bias relative to GEOS-Chem is within 0.5 ppm for ACOS, SRFP, and
 210 EMMA, while it is 2 ppm and 1.2 ppm for NIES and OCFP, respectively.



211

212 **Fig. 5: Correlation diagrams of GOSAT XCO₂ (Y) for the five algorithms versus GEOS-Chem (X) XCO₂ and linear fit statistics**
 213 **(insets of panels). GEOS-Chem data are selected corresponding to locations and time of XCO₂ from the five algorithms in cells.**
 214 **Deep blue solid lines represent a 1:1 line, and the magenta lines demonstrate the best fit for all observations. Colored points**
 215 **represent XCO₂ for different cells: blue-[80 E, 90 E], green-[90 E, 100 E], yellow-[100 E, 105 E], orange-[105 E, 110 E], and red-**
 216 **[110 E, 120 E] in the latitude zone [37 N, 42 N].**

217 Table 2 shows the biases and number of samples used between each algorithm and GEOS-Chem in each cell. It can be
 218 seen that the biases relative to GEOS-Chem in all cells are below 1 ppm for ACOS, SRFP and EMMA, which implies better
 219 consistency with GEOS-Chem regionally than NIES and OCFP. NIES presents values 1.2-3.1 ppm lower than GEOS-Chem
 220 in all cells excluding the cell of 115 E, which is because no corrections were implemented to reduce the existing systematic
 221 biases in the NIES data set (Yoshida et al., 2013). The bias of OCFP relative to GEOS-Chem is larger than 1.2 ppm toward
 222 the west of 110 E, while it is 0.1 ppm toward the east of 110 E. The standard deviations of the five algorithms with GEOS-
 223 Chem range from 1.4 ppm to 2.7 ppm in all cells.

224



225 **Table 2. The biases relative to GEOS-Chem for five algorithms in each cell. The values in parentheses are the biases and their**
 226 **standard deviations (upper values) and the number of samples (lower values) for each algorithm.**

Left longitude of cells(°E)	80	85	90	95	100	105	110	115
ACOS	0.7(1.6) 478	0.5(1.6) 179	-0.4(1.4) 316	-0.3(1.5) 303	-0.7(1.7) 629	-0.7(1.7) 599	0.0(2.2) 515	0.5(2.1) 326
NIES	-1.4 (1.7) 487	-1.6 (1.8) 383	-1.6 (1.8) 470	-2.3 (2.5) 281	-3.0 (1.9) 700	-3.1 (2.2) 506	-1.6 (2.5) 428	-0.7(2.4) 301
OCFP	-1.8 (1.4) 277	-1.8 (1.5) 172	-2.2 (1.4) 149	-1.2 (2.0) 175	-2.3 (1.6) 339	-1.5 (1.6) 390	-0.1(1.9) 466	-0.1(2.1) 314
SRFP	0.1(1.9) 602	0.0(1.8) 387	0.2(1.7) 388	-0.2(2.0) 271	-1.2 (1.9) 571	-0.6(2.7) 659	0.2(2.4) 467	0.0(2.4) 340
EMMA	0.6(1.8) 400	0.2(2.0) 229	-0.4(1.4) 211	-0.2(1.7) 222	-0.8(1.8) 484	-1.0(2.0) 460	-0.1(2.1) 453	-0.1(2.1) 337

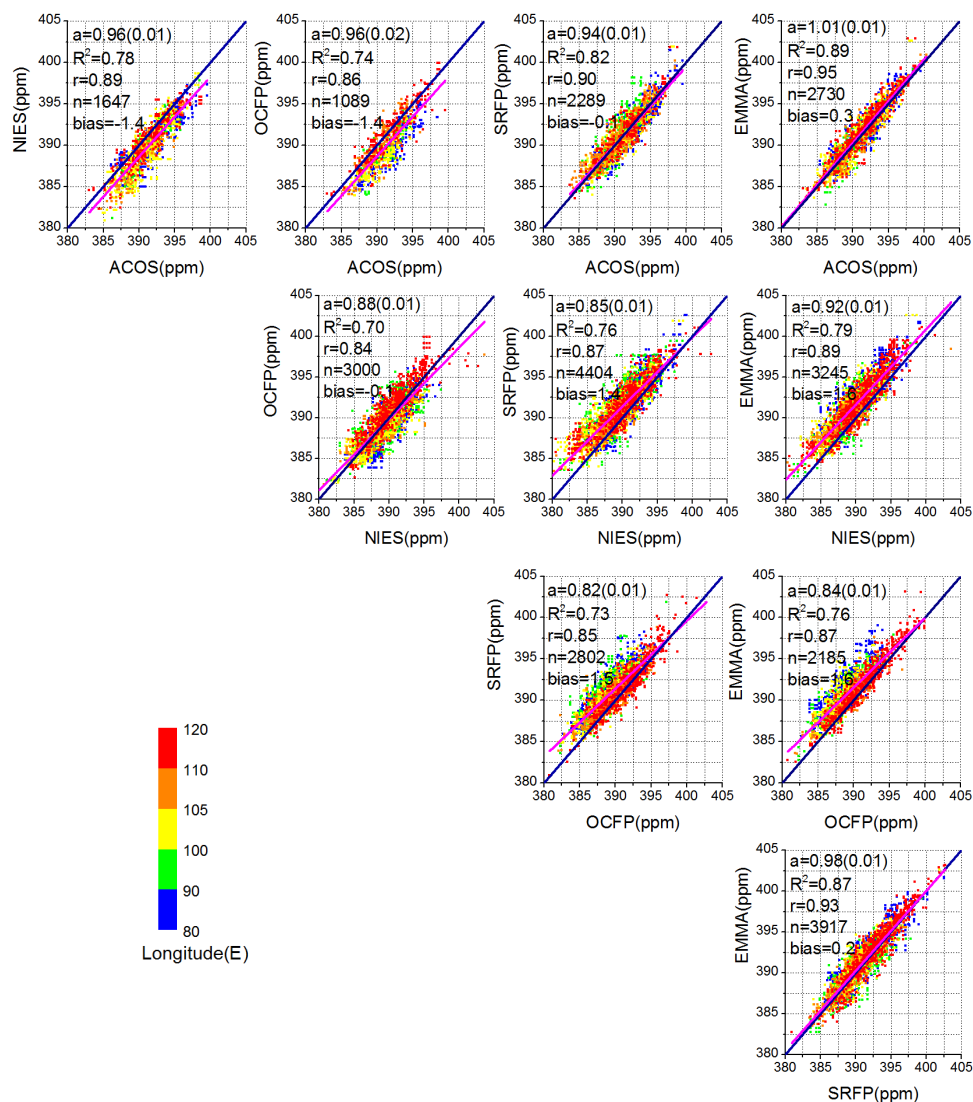
227 3.2 Pairwise comparisons of XCO₂ between algorithms

228 We made comparisons of geometric and timely matching pairs XCO₂ between algorithms in each cell. The pairs of XCO₂
 229 retrievals were matched between two algorithms timely in the same day, geometrically located within $\pm 0.01^\circ$ in latitude and
 230 longitude. Figure 6 shows pairwise comparisons of XCO₂ retrievals between two algorithms that demonstrate the regression
 231 slope (a), the coefficient of determination (R^2), the correlation coefficient (r), the number of matching pairs (n) and the biases
 232 between every pair of algorithms.

233 It can be seen from Fig. 6 that ACOS generally demonstrates the best agreement with other algorithms (top panel) and
 234 the best agreement with EMMA with the greatest correlation of 0.95, a slope of 1.0 and bias of 0.3 ppm among all the
 235 pairwise comparisons between algorithms. OCFP generally presents biases larger than 1.4 ppm with other algorithms except
 236 for a value of 0.1 ppm compared to NIES. EMMA vs. ACOS and EMMA vs. SRFP present better agreement, with a
 237 coefficient of determination greater than 0.87, as EMMA integrates products from seven individual algorithms [Reuter et al.,
 238 2013], and the fractions in our study area are 36.7%, 30% and less than 18% from SRFP, ACOS and others, respectively.

239 It can also be seen from the colored points in Fig. 6 that matching pairs of XCO₂ for OCFP versus ACOS, SRFP and
 240 EMMA mostly concentrated along the 1:1 line in the eastern cells of 105-120 °E (orange and red points) but drifted from the
 241 1:1 line in the western cells of 80-100 °E (blue and green points).

242



243

244 **Fig. 6: Algorithm correlation diagrams and statistical characteristics (insets of panels). GOSAT-Y observations were selected over**
 245 **land within $\pm 0.01^\circ$ latitude/longitude of each GOSAT-X observation and in the same day. Deep blue solid lines represent a 1:1 line,**
 246 **and the magenta ones display the best fit for all observations. Colored points represent XCO₂ for different cells: blue-[80 °E, 90 °E],**
 247 **green-[90 °E, 100 °E], yellow-[100 °E, 105 °E], orange-[105 °E, 110 °E], and red-[110 °E, 120 °E] in the latitude zone [37 °N, 42 °N].**

248 The differences (biases) of matching pairs (the number ranging from 11 to 945) of XCO₂ between two algorithms,
 249 moreover, were calculated for each cell as shown in Table 3, and the totally averaged absolute differences of matching pairs
 250 of XCO₂ for an algorithm with the other algorithms were also calculated in each cell as shown in Table 4.

251 It can be found from Table 3 that the difference is mostly less than 1 ppm in those eastern cells with a longitude greater
 252 than 105 °E, and their consistency can be seen in Fig. 6 (red points between 110-120 °E) as well. The differences that are
 253 larger than 2 ppm are located in western cells with longitudes less than 105 °E, and these differences are mostly shown in
 254 OCFP vs. other algorithms. The total differences shown in Table 4, moreover, indicate that the differences of the five



255 algorithms tend to be similar to the results of matching pairs of XCO₂ (Table 3), and OCFP presents the largest difference up
 256 to 2 ppm in the western cells of 80-90 °E.

257 **Table 3. Differences (ppm) between two algorithms (column algorithm minus row algorithm) for each cell, where values in**
 258 **parentheses are the corresponding standard deviations.**

	*	NIES	OCFP	SRFP	EMMA	*	NIES	OCFP	SRFP	EMMA
ACOS		-1.4(1.2)	-2.6 (1.2)	-0.5(1.2)	0.2(1.0)		-1.6 (1.6)	-2.0 (1.1)	-0.2(1.2)	0.2(1.1)
NIES	80		-0.9(1.4)	1.1(1.4)	1.7 (1.5)	100		-0.4(1.4)	1.4(1.5)	1.6 (1.4)
OCFP	°E			2.0 (1.2)	2.6 (1.5)	°E			1.7 (1.3)	1.9 (1.4)
SRFP					0.4(1.1)					0.3(1.1)
ACOS		-2.0 (1.3)	-1.9 (1.2)	-0.1(1.2)	0.5(0.9)		-1.6 (1.3)	-0.6(1.4)	0.2(1.2)	0.2(0.9)
NIES	85		-0.4(1.6)	1.5(1.3)	2.0 (1.5)	105		0.2(1.5)	1.2(1.3)	1.5(1.3)
OCFP	°E			2.3 (1.4)	2.7 (1.5)	°E			1.0(1.3)	1.0(1.0)
SRFP					0.2(1.2)					0.2(0.9)
ACOS		-1.2(1.1)	-1.7 (1.1)	0.8(1.4)	0.5(0.8)		-1.2(1.3)	-0.9(1.4)	0.0(1.4)	0.4(1.1)
NIES	90		-0.8(1.4)	2.0 (1.4)	1.5(1.2)	110		0.7(1.3)	1.5(1.6)	1.5(1.3)
OCFP	°E			2.4 (1.5)	2.0 (1.3)	°E			0.5(1.2)	0.7(1.0)
SRFP					-0.1(1.1)					0.0(1.3)
ACOS		-3.0 (1.1)	-0.9(1.7)	-0.3(1.2)	0.0(1.1)		-0.6(1.3)	0.1(1.0)	-0.1(1.0)	0.5(1.0)
NIES	95		0.5(2.1)	1.3(2.0)	1.7 (1.9)	115		0.8(1.5)	0.9(1.3)	1.3(1.5)
OCFP	°E			1.8 (1.6)	1.4(1.1)	°E			0.2(1.3)	0.5(1.0)
SRFP					0.2(1.3)					0.6(0.9)

259 The columns labeled with * represent the left longitude of cells (°E).

260 **Table 4. The average (ppm) of the absolute differences of the target algorithm (in column) matching all other algorithms for each**
 261 **cell. Values in parentheses are the corresponding standard deviations.**

Left longitude of cells(°E)	80	85	90	95	100	105	110	115
ACOS	1.5(0.8)	1.4(0.7)	1.2(0.4)	1.6(1.0)	1.4(0.6)	1.1(0.4)	1.1(0.2)	0.9(0.2)
NIES	1.6(0.2)	1.8(0.4)	1.6(0.4)	2.2 (0.6)	1.6(0.3)	1.5(0.3)	1.5(0.3)	1.3(0.2)
OCFP	2.2 (0.6)	2.1 (0.6)	1.9(0.5)	1.7(0.2)	1.7(0.4)	1.2(0.1)	1.1(0.1)	1.0(0.2)
SRFP	1.3(0.5)	1.4(0.7)	1.6(0.8)	1.4(0.6)	1.3(0.5)	1.1(0.3)	1.2(0.4)	1.0(0.2)
EMMA	1.6(0.9)	1.6(1.0)	1.3(0.6)	1.3(0.6)	1.3(0.6)	1.1(0.5)	1.1(0.4)	1.0(0.4)

262

263 To summarize the quantification and analysis in this section, XCO₂ retrievals from three algorithms, ACOS, EMMA and
 264 SRFP are mostly consistent, and the bias of ACOS relative to GEOS-Chem is the least among the five algorithms. The
 265 difference of XCO₂ from cross-comparing five algorithms likely tends to be less in cells east of 100°E than that in the cells
 266 west of 100°E.



267 **4 Comparison of the spatio-temporal pattern revealed by XCO₂ from the five algorithms and simulation**

268 We used a combination of sine and cosine trigonometric functions to statistically fit the seasonal variation of XCO₂, which
269 was originally proposed by Keeling et al. (1976) and has been applied extensively in many studies (Thoning et al. 1989;
270 Kulawik et al., 2016; Lindqvist et al., 2015; Zeng et al., 2016; He et al., 2017). Better attributions are thus obtained for XCO₂
271 variation in the seasonal cycle and in spatial background patterns by filtering the noise and filling gaps in the original XCO₂
272 data.

273 First, the monthly averaged XCO₂ was calculated in each cell using XCO₂ retrievals; then, the fit function (Keeling,
274 1976), expressed as the following equation [1], was applied to the monthly averaged XCO₂ from March, 2010 to February,
275 2013 for the five algorithms and GEO-Chem.

$$276 \quad X(t) = A_1 \sin 2\pi t + A_2 \cos 2\pi t + A_3 \sin 4\pi t + A_4 \cos 4\pi t + A_5 + A_6 t \quad [1]$$

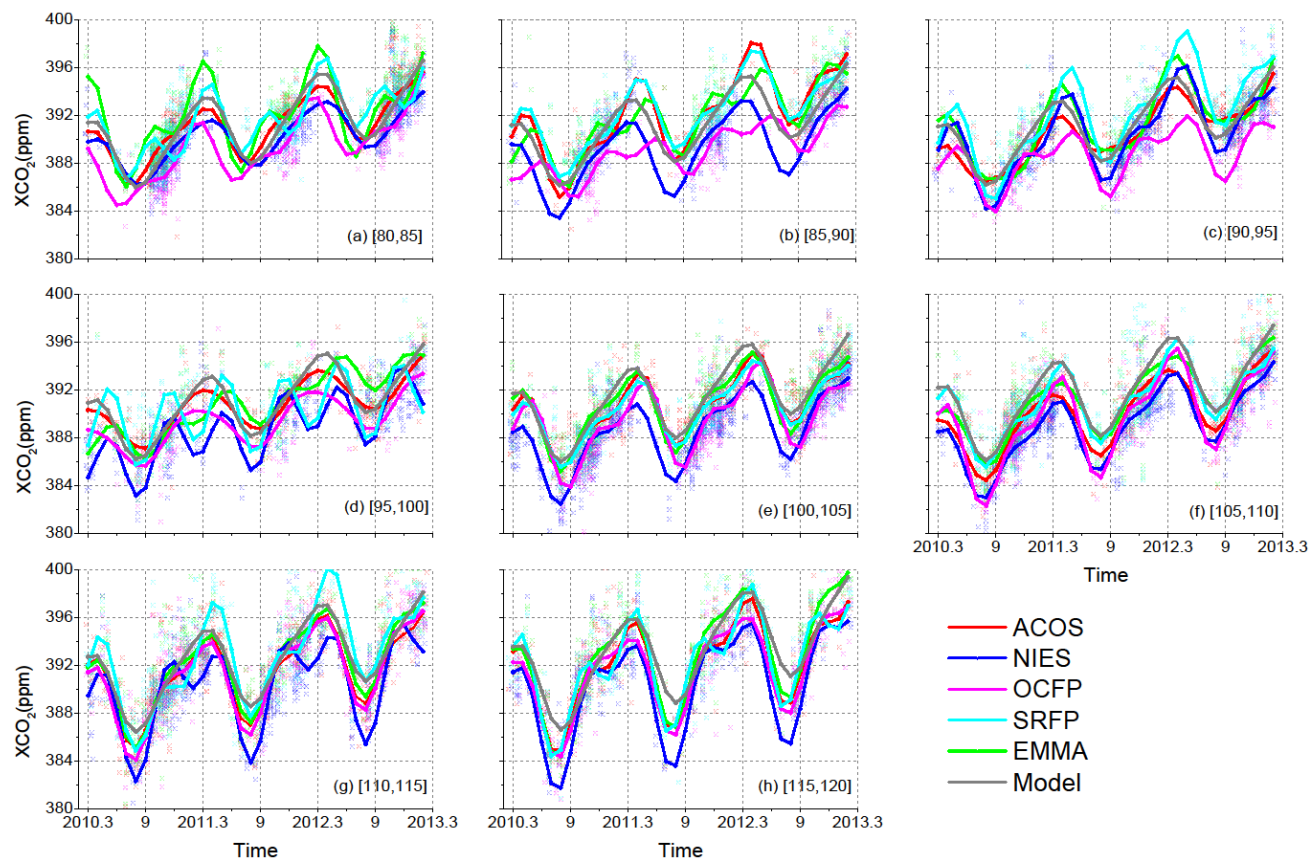
277 where t represents elapsed time in years, A_1 - A_4 are the coefficients determining the seasonal cycle, A_5 represents the initial
278 state of XCO₂ with seasonal variation removed, which can be regarded as the corresponding background concentration, and
279 A_6 is the slope of the linear part in the yearly increase ignoring the minor non-linear part. To derive A_1 - A_6 with the above
280 formula, least squares were applied to fit the input monthly weighted means with the corresponding standard deviations as
281 measures of errors. The monthly weighted means (e.g., $X(t)$) and the corresponding standard deviations in each cell were
282 calculated with the weights inversely proportional to the square of retrieval uncertainty in each observation point.

283 The accuracy of fitting $X(t)$ depends on the number of gaps in the available XCO₂ retrievals in time and in space
284 resulting from the filtering mechanism for quality controlling. We introduce the Pearson's correlation, hereafter referred to
285 as R , between the input and the predicted results from equation [1] and the unit weight mean square error hereafter referred
286 to as σ , in fitting as an uncertainty to judge whether the fitting results are reasonable or not. In addition, we applied equation
287 [1] to the GEOS-Chem dataset. Since atmospheric transport models do not share the same error sources with satellite-
288 retrieved algorithms and without data gaps, GEOS-Chem provides helpful a priori information for reference.

289 **4.1 Seasonal variation of XCO₂ retrievals**

290 The time series in each cell are acquired for each algorithm with the above formula [1]. The monthly fitted XCO₂ from
291 March 2010 to February 2013 in each cell for five algorithms as well as GEOS-Chem is shown in Fig. 7. The seasonal
292 amplitudes (the difference between seasonal cycle maximums and minimums) and uncertainty of the fitting function as
293 described by R and σ above are demonstrated in Table 5.

294



295

296 **Fig. 7:** The time series from March 2010 to February 2013 in eight cells from the western cell of (a) to the eastern end cell of (h),
 297 where colored lines represent the fitting seasonal change trend of the five GOSAT-XCO₂ datasets from five algorithms, and the
 298 colored points represent single XCO₂ retrievals corresponding to five algorithms according to line color: red is for ACOS, blue for
 299 NIES, magenta for OCFP, cyan for SRFP and green for EMMA. The gray line is the fitting seasonal change trend of XCO₂
 300 simulated by GEOS-Chem.



301 **Table 5: Results of fitted seasonal cycle trend and uncertainty of fitting results in each cell for five algorithms and GEOS-Chem,**
 302 **The symbols “–” means that filtered results are not available due to large uncertainty judged by R and σ**

Left longitude of cells (°E)	80	85	90	95	100	105	110	115
Seasonal cycle amplitude (ppm)								
ACOSv3.5	5.1	7.8	3.7	4.0	6.6	5.9	8.0	9.3
NIES	4.3	6.9	7.8	-	7.1	6.4	9.5	10.7
OCFP	5.3	3.5	-	3.9	7.7	9.2	8.4	8.6
SRFP	6.3	6.5	8.9	-	5.9	7.4	10.4	10.7
EMMA	-	-	6.6	-	7.3	5.4	8.1	10.1
GEOS-Chem	6.3	5.9	5.7	5.6	6.5	6.9	7.2	7.9
σ(Unit weight mean square error in fitting)(ppm)								
ACOSv3.5	1.2	1.6	1.6	0.6	1.1	1.2	0.4	1.0
NIES	0.7	1.1	1.0	3.0	1.1	1.1	1.5	1.3
OCFP	0.7	0.9	1.5	1.4	1.9	1.1	0.8	0.9
SRFP	1.6	0.7	1.3	3.3	0.8	0.8	1.0	1.0
EMMA	2.7	1.5	1.1	2.1	1.2	0.9	0.6	0.6
GEOS-Chem	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
R (Correlations between fitted XCO₂ and monthly averaged original XCO₂ in each cell)								
ACOSv3.5	0.92	0.92	0.91	0.95	0.91	0.91	0.98	0.94
NIES	0.89	0.91	0.94	0.68	0.96	0.95	0.89	0.92
OCFP	0.90	0.84	0.79	0.84	0.93	0.93	0.93	0.96
SRFP	0.83	0.94	0.92	0.40	0.95	0.94	0.93	0.90
EMMA	0.84	0.75	0.86	0.78	0.93	0.93	0.97	0.97
GEOS-Chem	1.00	1.00	0.99	0.99	0.99	0.99	0.99	0.99

303

304 Viewing the attribution of XCO₂ in each cell from Fig. 7 and Table 5, we can generally find that the seasonal variations
 305 from all XCO₂ retrievals show similar changing trends, except for one extra seasonal cycle maximum being misidentified in
 306 some cases mainly due to weaker data constraints for fitting. The timely changing patterns (seasonal cycle phases) of all
 307 algorithms demonstrate better agreement in the eastern four cells from 100°E to 115°E than those in the western four cells
 308 from 80°E to 95°E.. The correlation coefficients of fitting XCO₂ in Table 5 are also significantly greater in the eastern four
 309 cells than those in the western four cells. As a result, the longitude 100°E tends to be a regional border presenting better
 310 consistency of XCO₂ among the five algorithms in its eastern cells than those in western cells.



311 Comparing the five algorithms with GEOS-Chem, one specific result is presented in the eastern-most two cells from
312 110°E to 120°E, in which the seasonal amplitudes of XCO₂ are significantly higher from the five algorithms while the
313 magnitudes of XCO₂ in summer are lower than those from GEOS-Chem as shown in Table 5 and Fig. 7. There is strong CO₂
314 absorption from farming activities of wheat and corn in the summer (Lei et al., 2010) and anthropogenic CO₂ emission from
315 extra winter heating in these eastern cells. This result is in agreement with an investigation of results in the whole Chinese
316 mainland (Lei et al., 2014) and at 120-180°E over the globe (Lindqvist et al., 2015), which is likely due to the
317 underestimated widespread bio-ecological CO₂ uptake changes that occurred over the past 50 years in atmospheric transport
318 models (Graven et al., 2013).

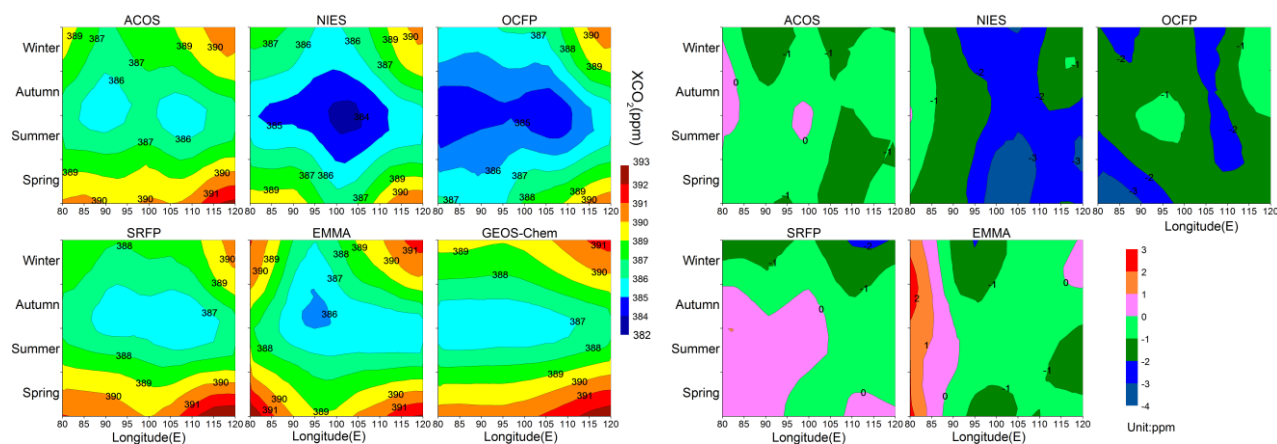
319 The XCO₂ values from NIES (blue in Fig. 7) are overall lower than those from the other algorithms, which is due to the
320 uncorrected systematic errors -1.2 ppm (refer to Table 1). The seasonal variations from OCFP (magenta in Fig. 7) are of the
321 overall seasonal changing trend of XCO₂ in cells west of 100°E. The seasonal amplitudes of OCFP presented in Table 5,
322 moreover, are abnormally the lowest in a cell (85-90°E) and the highest in a cell (105-110°E). SRFP and NIES show two
323 abnormal peaks in a cycle of a year in the cell of 95°E, while some great values of σ and small values of R, shown in bold in
324 Table 5, indicate poor fitting mostly in the same cell (95-110°E). These results are likely induced by large gaps in the
325 available XCO₂ data in time series, which produces a poor fitting constraint.

326 4.2 Spatio-temporal pattern of detrended XCO₂

327 We calculated the seasonal averages of the XCO₂ background concentration in each cell after removing the linear yearly
328 increase using the fitting time series of XCO₂ for the five algorithms and GEOS-Chem. The spatio-temporal continuous
329 pattern of background XCO₂ was mapped by Linearly Interpolate Triangulation (Watson et al., 1984) using the seasonal
330 averages of XCO₂ background concentration in each cell for five algorithms and GEOS-Chem, as shown in Fig. 8 (on the
331 left). The spatio-temporal patterns of the differences in XCO₂ between the five algorithms and GEOS-Chem were mapped
332 and are also shown in Fig. 8 (on the right).



333



334

335 **Fig. 8: The spatial (in the study latitude band) and temporal (in seasons) changing patterns of detrended XCO₂ from ACOS,**
 336 **EMMA, NIES, OCFP, SRFP and GEOS-Chem (left) and the differences of detrended XCO₂ between ACOS, EMMA, NIES,**
 337 **OCFP and SRFP and GEOS-Chem.**

338 It can be seen from Fig. 8 (on the left) that the spatio-temporal patterns from the four algorithms of ACOS, EMMA,
 339 NIES and SRFP are generally the same, with an increase spreading outward from the center of each diagram and with the
 340 lowest XCO₂ located approximately 95 °E-105 °E and in the period of summer-autumn; meanwhile, OCFP and GEOS-Chem
 341 show a similar spatio-temporal pattern where the lowest value is not the center. Two common characteristics of XCO₂
 342 spatio-temporal changes from five algorithms and GEOS-Chem can also be found: (1) the seasonal changes of XCO₂ are the
 343 same in any spatial cells, with lower XCO₂ in summer and autumn than that in spring and winter; and (2) spatial changes of
 344 XCO₂ generally demonstrate larger XCO₂ in the eastern cells than those in the western cells in any season.

345 Compared to those of GEOS-Chem, the spatio-temporal differences of ACOS and SRFP generally demonstrate the
 346 smallest values mostly ranging from -1 ppm to 1 ppm. XCO₂ values from both NIES and OCFP are lower than GEOS-Chem
 347 in space and time, while the XCO₂ difference from GEOS-Chem is mostly 1-3 ppm for NIES and 2 ppm for OCFP. As a
 348 combination of products including the other four algorithms, EMMA demonstrates the largest difference with GEOS-Chem
 349 in most of the western cells in all four seasons where the difference is mostly less than 1 ppm.

350 To summarize the quantification and analysis in this section, the spatio-temporal pattern of ACOS tends to be
 351 inconsistent with SRFP. Figure 8 shows two common characteristics among ACOS, NIES, SRFP and EMMA: (1) XCO₂ is
 352 lower in summer and autumn but higher in spring and winter. (2) XCO₂ is higher west of 90 °E and east of 110 °E, while it is
 353 lower in cells 90 °E-110 °E. In addition, XCO₂ values from NIES and OCFP are lower than those from other algorithms,
 354 especially in summer and autumn. A similarly high level is captured by ACOS, EMMA, NIES and SRFP generally in the
 355 western deserts with lower CO₂ emissions compared to the east, which has abundant emissions. This is distinct from ACOS
 356 and EMMA, while OCFP and GEOS-Chem both show an increasing trend from west to east in any season.



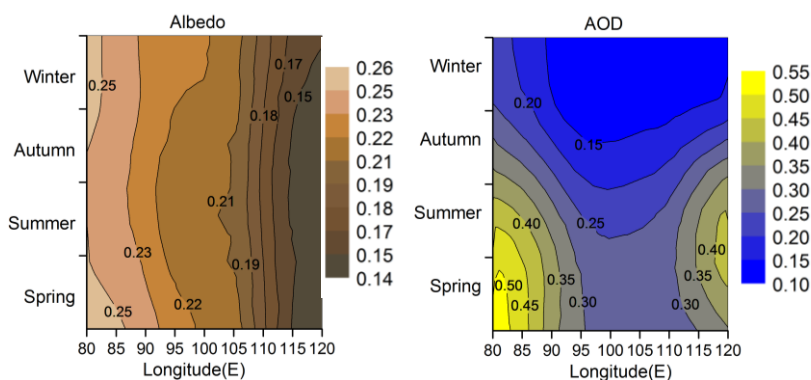
357 5 Discussion

358 In this section, an investigation was made into the most likely attribution of regional inconsistency, i.e., aerosols and albedo,
359 and an additional evaluation was made of the latest released ACOS V7.3, the newer version of ACOS data retrieved by the
360 OCO-2 algorithm.

361 5.1 Discussion of albedo and aerosol effects for XCO₂ retrieval

362 The above quantification and analyses indicate that generally good agreements are achieved among the five data sets in
363 the eastern cells, while four out of five GOSAT-XCO₂ data sets present abnormal high concentrations in the western cells. It
364 has been known that aerosols are the most important factor inducing errors in satellite-retrieved XCO₂ (Guerlet et al., 2013;
365 Oshchepkov et al., 2013; Yoshida et al., 2013; O'Dell et al., 2012), while Aerosol Optical Depth (AOD) is greatly affected
366 by high surface albedo because of the optical lengthening effect. For that reason, we investigate the spatial and temporal
367 characteristics of aerosols and albedo in our study latitude band to probe the reason why high inconsistency of XCO₂
368 retrieval algorithms appears in western cells rather than in eastern cells with intensive human activities.

369 We collected MISR aerosol products (AOD at 555 nm) and GLASS albedo products. The spatial and temporal
370 characteristics of albedo and AOD with seasons in the study area are revealed as shown in Fig. 9, in which they are mapped
371 by the same method as Fig. 8. The seasonal mean AOD and albedo were calculated in spring (MAM), summer (JJA), autumn
372 (SON), and winter (DJF) using the monthly mean AOD and black sky shortwave albedo from January 2010 to December
373 2012 for every cell.



374

375 **Fig. 9: The temporal and spatial patterns of black sky short wave albedo (left) and aerosol optical depth at 555 nm (right). Colors**
376 **represent albedo (left) and AOD (right).**

377 Albedo shows little temporal variation with a decreasing trend from west to east as shown in Fig. 9. In contrast with
378 albedo, AOD follows a clear seasonal pattern of a higher level in spring and summer than in autumn and winter. The uplift of
379 AOD in spring and summer is due to the higher frequency of Asian sand and dust storms for cells west of 105 °E. The main
380 contributors to aerosol loading east of 110 °E are emissions from urban fugitive dust/fly ash, dust plumes from deserts in the
381 western and northern China such as the Taklimakan deserts, industrial activities and residential heating (Zhang et al., 2012).



382 The inconsistency of XCO₂ from the five algorithms tends to be higher in spring and summer than in autumn and winter
383 in the Taklimakan Deserts in western cells, which is likely the combined effect of high aerosol and high brightness surface
384 (high albedo) on retrieval uncertainty.

385 From the above quantification and analysis, the pairwise differences between OCFP and other algorithms are 1 ppm
386 higher west of 105 °E than east of that, with a difference of 1.6 ppm over the whole study area. The obvious regional
387 characteristic probably relates to the assumption of a cirrus profile according to latitude (GHG-CCI group at University of
388 Leicester, 2014), which is unlikely to be reasonable in our study area. There exists a large amount of high clouds over the
389 Tibetan Plateau (Chen et al., 2005), which is located south of the study cells of 80 °E to 105 °E. The humidity and
390 atmospheric structure are mainly affected by the Tibetan Plateau, and there is a large difference in the cirrus profile between
391 the western cells and the eastern cells over our study area (Wang et al., 2012), which indicates that a uniform profile by
392 latitude will inevitably introduce errors.

393 The pairwise difference between NIES and other algorithms is 1.6 ppm on average, which is distinct among the
394 algorithms. Considering the complicated geographic environment in the study area, this distinct difference is likely related to
395 the presumptions of NIES in aerosol profiles and properties from an aerosol transport model (Table 1), by which cirrus
396 clouds are ignored and little information from observations is used in the retrieving process. Values from EMMA are found
397 to be abnormally large in spring and winter in the western cells from the spatio-temporal patterns (Fig. 8), which is not
398 exactly the same as with the other algorithms. Since data in EMMA are a combination of retrievals from multiple algorithms,
399 it may indicate the uncertainty in all algorithms under the circumstance of high albedo and AOD.

400 With the satellite-observed spectrum used for water and clouds, ACOS sets the initial aerosol types and AOD based on
401 a priori information. On the other hand, SRFP handles aerosol based on the property of number, size and height. Both of the
402 above two mechanisms function well since ACOS and SRFP are generally demonstrated to provide relatively better
403 performance.

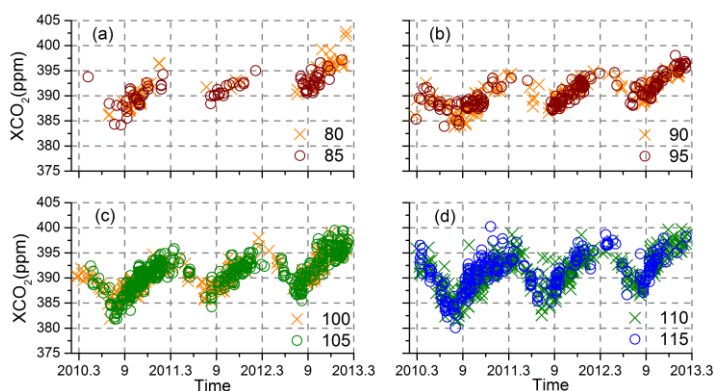
404 Noticing that all algorithms differ in simulating scattering in the atmosphere, such as in the aerosol models, the
405 influence of scattering on retrieved XCO₂ is too significant to be ignored. Since it is possible for products from different
406 algorithms to agree with each other, there is no denying that satellite XCO₂ retrievals have the potential to provide more
407 accurate XCO₂ data. Optimization in the handling of aerosol scattering will improve the precision and accuracy of satellite
408 XCO₂ retrievals.

409 5.2 Additional evaluation of the latest released ACOS V7.3

410 The ACOS/OCO-2 research team released the latest version of the ACOS data ACOS V7.3 during the implementation and
411 completion of this study. We add the cross-comparisons of this version of the data set and other data sets including GEOS-
412 Chem, ACOS V3.5, NIES V02.XX, OCFP 6.0, SRFP V2.3.7 and EMMA V2.1.c in this section. ACOS V7.3 was created by
413 applying the XCO₂ retrieval algorithms of OCO-2 to GOSAT. Within the algorithm code of ACOS V3.5, the OCO-2
414 algorithm generating ACOS V7.3 data makes some changes in parameter settings, such as the surface pressure a priori



415 constraint and cloud ice properties, and it updates the manners of data processing, for example, the bias corrections and
 416 filtering mechanism. The available data points, a total of 1980, were shown from March 2010 to February 2013 in Fig. 10,
 417 where different colors and symbols in each panel represent the left longitude of cells into which retrievals fall. In cells west
 418 of 90 °E, there are a few data points showing abnormal concentrations as high as above 400.0 ppm, which is higher than that
 419 of data points in the east, where there are strong anthropogenic CO₂ emissions.



420

421 **Fig. 10. The time series of data points from ACOS V7.3 during the period from March 2010 to February 2013. Different symbols**
 422 **in each panel represent the left longitude of the cell into which a data point falls.**

423 We made cross-comparisons between ACOS V7.3 and other data sets. No bias was found in ACOS V7.3 from GEOS-Chem
 424 with a standard deviation of 1.6 ppm and $R^2=0.77$. The comparison results in the cells are shown in Table 6. Generally,
 425 ACOS V7.3 is in good agreement with all of them, which is reflected by correlation coefficients r that are above 0.85 and
 426 greater than others, as shown in Table 6. The biggest differences up to 3.0 ppm for ACOS V7.3 are found from NIES and
 427 OCFP in deserts cells, whereas differences from SRFP and EMMA are mostly within 1.0 ppm. This is similar to ACOS V3.5.
 428 The total absolute difference from other algorithms (not including ACOS V3.5) is within 1.0 ppm in cells east of 110 °E but
 429 above 2.0 ppm in cells west of 90 °E. It can also be found from Table 6 that the bias of ACOS V7.3 relative to GEOS-Chem
 430 is within 0.3 ppm but above 1.3 ppm, in cells east and west of 90 °E, respectively.

431 Compared to the previous version, ACOS V3.5, ACOS V7.3 increases the average by approximately 0.2 ppm. In
 432 comparison with the difference patterns with ACOS V3.5, the averages of the absolute differences between ACOS V7.3 and
 433 the other four algorithms are similar (<0.1 ppm) and increase by an average of 0.6 ppm (2.1 ppm vs. 1.5 ppm) in cells east of
 434 110 °E and west of 90 °E, respectively, while the biases relative to GEOS-Chem decrease approximately 0.3 ppm and increase
 435 approximately 0.9 ppm in cells east and west of 90 °E, respectively.

436 The comparison results further demonstrate inconsistency of XCO₂ among different datasets in the desert cells.



437

438 **Table 6. Differences between ACOS V7.3 and others (including GEOS-Chem and five other algorithms including ACOS V3.5,**
 439 **NIES, OCFP, SRFP and EMMA) in each cell (subtraction from ACOS V7.3). Values in parentheses are the corresponding**
 440 **standard deviations.**

Left longitude of cells(°E)	80	85	90	95	100	105	110	115	r
GEOS-Chem	-1.7 (1.5) 64	-1.3(1.3) 85	0.1(1.2) 167	0.1(1.2) 191	-0.1(1.3) 294	0.3(1.6) 448	0(1.7) 487	0(1.6) 244	0.88
ACOS V3.5	-0.4(0.9) 103	-0.1(1.0) 48	-0.1(1.0) 133	-0.2(1.0) 189	0.0(1.1) 350	-0.5(1.1) 391	0.2(1.2) 244	-0.1(1.1) 126	0.93
NIES	-3.2 (1.2) 61	-1.9 (1.5) 100	-1.6 (1.2) 251	-1.2(1.9) 123	-1.9 (1.4) 541	-1.8 (1.5) 317	-1.2(1.6) 397	-0.7(1.5) 277	0.87
OCFP	-3.1 (1.0) 66	-3.4 (0.9) 41	-2.2 (1.1) 157	-2.5 (1.5) 114	-2.1 (1.2) 297	-1.5(1.1) 329	-0.5(1.1) 396	-0.1(1.0) 202	0.86
SRFP	-0.8(1.3) 138	-0.7(1.4) 145	0.3(1.3) 345	-0.6(1.3) 337	-0.4(1.3) 466	-0.5(1.4) 631	0.3(1.4) 447	0.1(1.2) 247	0.89
EMMA	-0.3(1.3) 113	-0.5(1.4) 90	0.0(1.0) 190	-0.4(1.4) 241	-0.2(1.3) 405	-0.3(1.2) 383	0.3(1.1) 390	0.5(1.1) 233	0.91
Average absolute difference ¹ for four algorithms above	2.2(1.1)	2.0(1.0)	1.4(0.7)	1.7(0.7)	1.6(0.6)	1.4(0.4)	1.1(0.3)	1.0(0.2)	

441 ^{*1} represents the average of absolute differences of ACOS V7.3 matching other algorithms including NIES, OCFP, SRFP and
 442 EMMA for each cell.

443 6 Conclusion

444 Although TCCON has been widely accepted as the standard for validation of satellite-based XCO₂ data, it is necessary
 445 to better understand the performance of XCO₂ in spatial and timely variations at a regional scale and especially for those
 446 regions where ground-based measurements of XCO₂ are not available, such as for the TCCON stations in China. We
 447 implement the quantification and assessment of the agreement of multiple algorithms for typical regions with various land
 448 covers and enhancement of anthropogenic CO₂ emissions including the megacity of Beijing from 80 °E to 120 °E in the same
 449 latitude band of 40 °N to get better knowledge of the regional uncertainty and performance of GOSAT XCO₂ retrievals in
 450 China. Regional performance of XCO₂ products from six algorithms (ACOS, NIES, OCFP, SRFP, EMMA, OCO-2) as well
 451 as GEOS-Chem simulated XCO₂ are probed to obtain the regional uncertainty and attributions of GOSAT XCO₂ retrievals.
 452 In particular, we apply simulated XCO₂ at a high spatial resolution of 0.5 ° (latitude) x 0.666 ° (longitude) for a nested grid
 453 obtained by GEOS-Chem to assess the regional uncertainty of XCO₂ derived from satellite observations in China. In
 454 connection with the inconsistency of algorithms in eight cells, the characteristics of aerosol and albedo are investigated to
 455 discuss the further attribution of regional inconsistency of algorithms.



456 Summarizing the performance of five algorithms (ACOS, NIES, OCFP, SRFP and EMMA) in each cell based on the
 457 above quantification and analysis from comparisons with GEOS-Chem, pairwise differences between algorithms and
 458 agreement in time series among algorithms, we can obtain the following results in general: (1)The consistency among
 459 algorithms is better in the east than in the west as the absolute difference from pairwise comparisons presents values of 0.9-
 460 1.5 ppm in eastern cells covered by grassland, cropland and built-up areas and values of 1.2-2.2 ppm in western cells covered
 461 by desert with a high-brightness surface; (2) ACOS and SRFP are more satisfying in characterizing spatio-temporal
 462 patterns than other algorithms. To conclude, Table 7 presents the regional characteristics and a summary of the results above.
 463 **Table 7. Summaries of our analyses above, including uncertainty, emissions, albedo, aerosol optical depth, regional differences in**
 464 **footprint retrievals compared to GEOS-Chem, differences in footprint retrievals and agreement in time series among algorithms,**
 465 **general differences in footprint retrievals and agreement in detrended XCO₂ compared to GEOS-Chem for algorithms.**

Left longitude of cells (°E)	80	85	90	95	100	105	110	115
CO ₂ emissions (Tg/year)* ¹	20.1 (24.1)	11.2 (7.8)	1.2 (2.7)	35.8 (20.7)	57.1 (15.6)	515.2 (199.0)	801.3 (600.3)	821.9 (893.3)
Surface type	High brightness desert			Gobi desert		Grassland	Cropland and built-up	
Albedo	0.24- 0.26	0.23- 0.26	0.22-0.24	0.19-0.21	0.21-0.22	0.20-0.21	0.15-0.17	0.14-0.16
AOD* ²	0.22- 0.53	0.16-0.42	0.12-0.32	0.10-0.29	0.12-0.28	0.12-0.28	0.10-0.32	0.10-0.37
Regional Summary in pairwise differences between algorithms	Less Consistency (mean absolute differences 1.2-2.2 ppm) The difference of OCFP is the greatest with most of the other algorithms (1.7-2.2 ppm); next is NIES (1.6-2.2 ppm).					Good consistency (mean absolute differences 0.9-1.5 ppm) ACOS is relatively the least (0.9-1.1 ppm)		
Regional Summary compared to GEOS-Chem	Large biases, of which NIES is the greatest (1.4-3.1 ppm) and next is OCFP (1.2-2.2 ppm)						lesser biases (0.0-0.5 ppm) excluding NIES	
	Similar in seasonal amplitude;						Seasonal amplitude from GEOS-Chem is lower than all of satellite retrieval algorithms.	
Regional pairwise comparisons of ACOS V7.3	Greater biases are presented with OCFP (1.5-3.4 ppm) and NIES (1.2-3.2 ppm)						Lesser biases (0.0-0.5 ppm) excluding NIES	
General differences compared to GEOS-Chem	ACOS presents lowest values (bias -0.1 ppm Std* ³ 1.9 ppm), next is SRFP (bias -0.2 ppm Std 2.2 ppm) NIES presents the greatest (bias -2.0 ppm, Std 2.2 ppm).							
Spatio-temporal patterns of XCO ₂ compared to GEOS-Chem	ACOS and SRFP are similar to GEOS-Chem. OCFP is in better agreement with GEOS-Chem but the bias is larger.							

466 *¹ represents the total emissions of CO₂ from CHRED (values without parentheses) and from ODIAC (values in parentheses) in
 467 each cell in 2012. *² is the range of averaged seasonal aerosol optical depth over a year. *³ is the standard deviation.



468 The results, indicating that the discrepancies among algorithms are the smallest in eastern cells, which are the strongest
469 anthropogenic emitting source regions in China, implies that the uncertainty of XCO₂ is likely low in this area, which will be
470 sufficiently rigorous for us to apply it to GOSAT XCO₂ in assessment of anthropogenic emissions. Moreover, it was likely
471 that uncertainty in satellite-retrieved XCO₂ is attributed to the combined effects of aerosol and albedo. The large uncertainty
472 of XCO₂ must be improved further, even though many algorithms have endeavored to minimize the effects of aerosol and
473 albedo. With the launch of OCO-2 in 2014 and GOSAT-2 scheduled for 2018, the prospect of a large amount of useful
474 retrieved XCO₂ products is promising. Since low regional XCO₂ biases are necessary for accurately estimating regional
475 carbon sources and sinks, regional uncertainty should be paid more attention in the future.

476 Acknowledgements: This research was supported by the National Research Program on Global Changes and Adaptation:
477 “Big data on global changes: data sharing platform and recognition” (Grant No. 2016YFA0600303, 2016YFA0600304). We
478 are grateful for NIES products from NIES GOSAT Project, albedo data from Beijing Normal University and supports from
479 GEOS-Chem team. ACOS V3.5 and ACOS V7.3 were produced by the ACOS/OCO-2 project at the Jet Propulsion
480 Laboratory, California Institute of Technology, and obtained from the JPL website, co2.jpl.nasa.gov. We are grateful for
481 aerosol data from Aeronautics and Space Administration (NASA). The satellite XCO₂ products OCFP, SRFP and EMMA
482 have been obtained from the ESA project GHG-CCI website (<http://www.esa-ghg-cci.org/>) and the data providers Univ.
483 Leicester (OCFP product), SRON & KIT (SRFP) and Univ. Bremen (EMMA) have granted permission to use these data for
484 peer-reviewed publications.

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