Dear Editor,

Please find enclosed the revised version of the manuscript and the replies to the two anonymous referees. We have addressed all their comments and would like to thanks them for their constructive reviews.

We also made two additional changes:

- 1. During the discussion period, further analyses have demonstrated that the bias correction applied over sea should also be applied over land. This was shown by the negative biases observed over islands in the tropics. Applying this correction improves the obtained distributions. We therefore describe this in the manuscript by changing the paragraph (P6, L14-16 and P7, L1-2, numbering of updated manuscript) to "A final change introduced in v2 is a HRI bias correction over the seas, where the HRI was found to be slightly negative overall, and decreasing with increasing H₂O total column amount. As this was identified to be also the case over land, the same correction was applied over land in v2.1. A H₂O dependent bias was determined from a region assumed NH₃-free by calculating the median over sea for 30 days in 2015 over bins of 0.1 · 10²³ molec.cm⁻² of H2O total column. These median values are then used to correct the HRIs before using them as an input in the neural network."
 - All the figures have been adapted following this change and now present the ANNI-NH₃-v2.1 dataset. Additional textual changes to v2.1 have been done all over the manuscript.
- 2. A correction was made P9 L32-33 where the sentence "The inputs of this neural network consist of 105 IASI channels and the satellite zenith angle." has been changed to "The inputs of this neural network consist of 105 IASI channels, the satellite zenith angle and the emissivity."

Small textual improvements were also made (numbering of the updated manuscript):

- P1 L9: "built-in surface temperature" to "surface temperature retrieved from a dedicated network".
- P4 L10: "properly training the network" to "training the network properly".
- P4 L10: "In order to analyse this" to "To see this".
- P7 L17: "So" was removed.
- P9 L19 "meter" to "m".

The responses to each of the referee's comments are provided below (in blue). Corresponding changes in the updated manuscript are also detailed.

With these changes, which have improved the manuscript, we hope that you will find it suitable for AMT.

Sincerely yours,

Martin Van Damme

Anonymous Referee #1 Received and published: 31 August 2017

We would like to thank the referee for the constructive remarks and comments on this manuscript. Taking into account them greatly improved the manuscript.

REFEREE COMMENTS on 'Version 2 of the IASI NH3 neural network retrieval algorithm; near-real time and reanalysed datasets' by van Damme et al.

SUMMMARY

The paper describes updates to an operational near-real time neural network algorithm to retrieve NH3 from IASI measurements, as well as an alternative product based on ECMWF reanalyses which produces a more stable long-term dataset. This follows on from an earlier publication in JGR (Whitburn et al, 2016) which gave a detailed description of the original algorithm, including some validation.

The paper itself seems more of an appendix to the the original paper, which is unfortunately in a different journal (JGR, although apparently open access) and might therefore have made more sense if this paper was also submitted to JGR rather than AMT. However, since this paper describes the algorithms used to generate a publicly available dataset, it should be published somewhere.

GENERAL COMMENTS

1) I understand the authors not wishing simply to repeat much of what was written in the original paper, however I feel just a few extra lines describing atmospheric NH3, the IASI instrument and the concepts of HRI and the neural network approach, as part of the introduction, would help make the paper stand alone as an independent publication (especially given the change of journal). On the other hand, the algorithm changes themselves are actually quite well explained and I didn't feel the need to read the original paper to understand those parts.

As noted by the referee, we made some effort to make this paper readable by itself. It was a redaction choice to avoid description of the IASI instrument and the retrieval method used which are thoroughly described in other papers (especially in Van Damme et al. (2014) and Whitburn et al. (2016)). The focus was made on the algorithm improvements and the impact of the input data on the retrieved columns.

2) Despite reading the original paper, I'm still somewhat confused as to what the 'error' associated with the NH3 product is supposed to represent (which also arises from the point made on p7 L14). As I understand it there are two possible contributions. Firstly, there is the propagation of the instrument noise and various systematic error terms through the covariance matrix in the HRI component. Secondly there is the residual error from the neural network fit (for example, as shown in Fig 1), which may, or may not, be represented as an error in the scaling factor f.

The "error" represents how the uncertainty of each input parameter of the NN propagates to the retrieved column. In other words, it evaluates how a variation of the parameters will affect the retrieved column.

The uncertainty associated with the HRI (equal to one by definition since the HRI of spectra without NH3 have a mean of 0 and a standard deviation of 1) is mainly caused by the random instrumental noise. For the other parameters, the error terms are set using uncertainty taken from earlier validation of the IASI Level 2 meteorological fields.

The residual error or bias from the neural network fit is here not taken into account in the calculation of the error on retrieved column from real IASI observations.

3) As well as the maps in Fig 2 and Fig 3 it would be useful to have extra panels showing, for the common locations, a scatter plot of the differences between the retrievals in question. Also, relating to point 2, whether such differences can be explained in terms of the associated errors assigned to the product (bearing in mind that many such error components will cancel out when changing just the algorithm rather than the measurements). Section 3 contains a fairly lengthy qualitative discussion on how changes in the input data (surface and air temperature) lead to expected changes in sign in the retrieved NH3, but it seems this could quite easily be quantified via linear error propagation of the mean difference profiles shown in Fig 4 through the HRI and application of the neural network for the associated scaling factor.

As mentioned in point (2), the "error" associated to the retrievals is calculated by propagating the uncertainty of the different parameters used as input by the neural network. As the parameters driving the error (mainly HRI and temperature profile) are identical between the NN-v1 and NN-v2.1, the error is similar. What drives the changes is the better performance of the NN, which is determined by the improved set of simulations and the better coverage of the range of thermal contrasts.

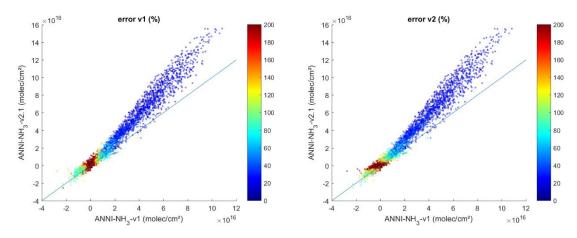


Figure 1: Scatter plots of the ANNI-NH3-v1 versus ANNI-NH3-v2 (molec/cm²). The color scale represents the error of v1 (left) and v2 (right).

The error does not vary significantly from one dataset to another in contrast with the retrieved column (see Figure 1). If this was the case, the more important changes (in relative value) on the retrievals would be observed for low columns while high columns would be less impacted (since the NH₃ signal in the IASI spectrum is better and the influence of small changes in the value of the input parameters are therefore less pronounced). What we observe is the opposite, with the biggest changes found for the highest retrieved columns. We made the choice to not add these scatter plots in the manuscript, as they do not provide substantial information. However, we have added a sentence P7 L21-23 to clarify this: "It is worth noting that the uncertainty associated with v1 and with v2.1 does not vary substantially for source regions, as it is mainly driven by the HRI and the temperature profile".

Figure 4 (5 in the updated manuscript) presents case studies for specific days. We cannot derive a mean difference profile representative for difference between meteorological L2 data and ECMWF data. Similarly, we cannot deduce a mean profile used by the NN.

SPECIFIC COMMENTS

a) P5 L4: It would be useful to have a list of these 20 input parameters.

We agree with the referee and have added a table listing all the changes between v1 and v2.1 (Table 1, P6 and here below) which includes the 20 input parameters of v2.1.

Table 1. List of changes from ANNI-NH₃-v1 to ANNI-NH₃-v2.1.

	NN-v1	NN-v2.1		
Output parameter	[NH ₃]	HRI		
	HRI	$\overline{\mathrm{[NH_3]}}$		
Input parameters*	31: T (12 levels), T_{surf} , P (11 levels), H_2O (7 levels),	20: T (12 levels), T_{surf} , P_{surf} , H_2O total column, σ ,		
	σ , z_0 , ϵ , angle	z_0 , ϵ , angle, HRI		
Training set	250000 simulations 450000 simulations			
Land/sea treatment	One network Separate networks			
Angle treatment	Angle dependent HRIs 1^{st} order correction of the HRIs by the			
		zenith angle		
		Angle as input parameter for 2^{nd} order corrections		
Bias correction	No	Over sea (v2 dataset) / Over land and sea (v2.1 dataset)		
Pre-filtering**	Cloud cover > 25 %	Cloud cover > 25 %		
Post-filtering**	$[\mathrm{NH_3}] < 0$ and HRI > 1.5 in absolute value	$\ensuremath{\left[\mathrm{NH_{3}}\right]}<0$ and HRI >1.5 in absolute value		
	$rac{ m [NH_3]}{ m HRI}$ $> 3 \cdot 10^{16}~{ m molec.cm^{-2}}$ in absolute value	$\frac{\rm [NH_3]}{\rm HRI} > 1.75 \cdot 10^{16} \; \rm molec.cm^{-2} \; in \; absolute \; value$		

^{*} σ and z_0 are parameters characterizing the shape of the NH₃ vertical profile; ϵ represents the emissivity.

b) P5 L11: Of course, if the simulated spectra fail to take account of the proper treatment of surface reflections at high angles of incidence then there will always be an issue with real data at such angles. Could this be part of the problem? Certainly high angles seem to problematic for all retrievals, not just a neural-network problem.

In our case, what we want to correct is the higher signal to noise ratio at high viewing angle. It is a bias inherent to this HRI method and not related to radiative transfer issue at high angle.

c) P5 L14: by 'cosine of the viewing angle' I suspect you mean 'secant of the zenith angle'-the viewing angle, as measured from the satellite, generally relating less well to air mass than the zenith angle as measured on the ground once the earth's curvature is taken into consideration.

Thank you for pointing this out. We indeed used the cosine of the zenith angle (the text has been updated accordingly P6 L2 & L11). This is a first order correction on the HRI and was found to work better than with the satellite viewing angle. However, any remaining angle dependency is addressed by adding the zenith angle to the network. It has been clarified in the text P6 L11-12: "Note that the zenith angle is still kept as a parameter in the neural network, to allow the neural network to perform second order corrections to address any remaining angle dependency."

d) P7L14: Even unweighted averages can be skewed by outliers. Have you considered simply recommending using a median value instead?

Thank you for this suggestion. We have added in the manuscript. P8 L4-5: "Using median values is also an option to decrease the importance of outliers."

^{**}An observation is removed as soon as one of the criteria is met.

f) P7 L27: Is there some simple way to demonstrate these discontinuities in the time series (eg a plot of the median value over a large area) and, equally, the resulting 'improvement' from using reanalysis data?

We agree with the referee and to show the discontinuities, we have added an additional figure (Figure 3, P10 and here below). It presents ANNI-NH3-v2.1 and ANNI-NH3-v2.1R-I timeseries over the Northern Hemisphere and clearly shows the added value of the reanalysis. While an increase of NH₃ columns is observed in 2015 with the introduction of the meteorological L2 in ANNI-NH3-v2.1 dataset, the ANNI-NH3-v2.1R-I dataset presents a more consistent NH₃ column record over time.

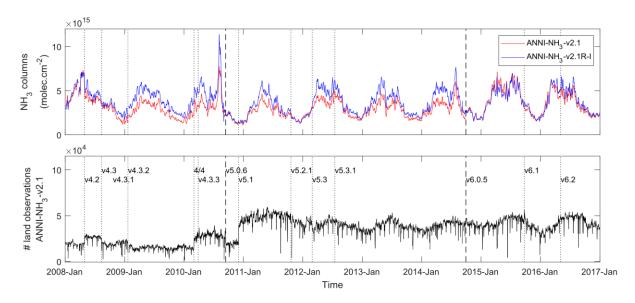


Figure 3. (Top) 5-day moving average timeseries of the morning NH_3 columns (molec.cm $^{-2}$) over the Northern Hemisphere for the near-real time retrieval (ANNI- NH_3 -v2.1, red) and the reanalysed retrieval (ANNI- NH_3 -v2.1R-I, blue). (Bottom) Number of land observations available for the Northern Hemisphere using the Eumetsat L2 data. The corresponding version number is indicated as a function of time.

We updated the text P9 (updated manuscript) and the first paragraph now reads:

"Top panel of Figure 3 presents daily timeseries (5-day moving average) of the NH₃ columns for the reanalysed retrieval (ANNI-NH₃-v2.1R-I, blue), and the near-real time retrieval (ANNI-NH₃-v2.1, red) over the Northern Hemisphere. For its part, Figure 4 shows morning distributions over South Asia for 3 days corresponding to v5.3.1, v6.0.5 and v6.2 of the IASI Eumetsat L2 (see Table 2 and bottom panel of Figure 3). Taking the ANNI-NH₃-v2.1R-I as reference, it can be seen that prior to v6, retrieved columns are much lower. With v6.0.5, the retrieved columns are slightly higher in magnitude. Finally, with v6.2, the retrieved columns are again a bit lower than the reanalysis, but still higher than with v5.3.1. From this, it can be deduced that the use of v6.0.5 resulted in a rather large increase of the NH₃ columns, while v6.2 resulted in a slight drop of the columns. Several different regions were studied, and these statements appear equally applicable elsewhere."

A new sentence was also added P9 L2-3: "This is illustrated for land observations over the Northern Hemisphere in the bottom panel of Figure 3."

TECHNICAL CORRECTIONS

We thank the anonymous referee to have taken his time to list these technical corrections.

P4 Eq 1: f not defined.

f represents the ratio, this has been clarified in the text P4 L6.

P6 L7: 'built' rather than 'build'.

It has been corrected accordingly.

P6 L22: 'third criterion' rather than 'third criteria'.

It has been corrected accordingly.

P7 L16: 'retrieval algorithms' rather than 'retrieval algorithm'.

It has been corrected accordingly.

P7 L19: (pedantically) 'data were' rather than 'data was'.

It has been corrected accordingly (2 times in this paragraph). To be consistent we also changed:

"The relevant L2 data consists..." to "The relevant L2 data consist..."

"We now detail how the ERA data is..." to" We now detail how the ERA data are..."

Table 1: 30 Sep 2014. "IASI meteorological L2 data is..." to "IASI meteorological L2 data are..."

Anonymous Referee #2 Received and published: 21 August 2017

We would like to thank the anonymous referee for the review and comments on the manuscript, which was greatly improved after considering them.

Van Damme et al. present the version 2 of the IASI NH3 neural network retrieval algorithm (ANNI-NH3-v2). This version is an improved version of previous developed and published, version 1 (Whitburn et al., JGR, 2016). The main improvements concern: separated land and sea neural networks, extended training dataset (including more representative scenes for high thermal contrasts and low latitudes), and change in the NN output to avoid overtraining the low sensitivity scenes. Thanks to the expertise acquired with the previous NH3 algorithms developed by the ULB group, improvements and simplifications of the input parameters have been made as well as for the postfiltering process. Performances of the new version are compared to the previous version and recommendations for use are made. Finally, the authors present another version of the ANNI-NH3-v2, ANNI-NH3-V2R-I. This version allows the correction of errors and biases introduced by changes in the EUMETSAT version of meteorological parameters needed for the NH3 retrieval. These changes introduce discontinuities in the NH3 timeseries. To avoid this, the authors based their retrievals on the ECMWF ERA-Interim reanalysis for temperature profiles and the development of a NN for surface temperature retrieval. They discuss the implication of the changes in the meteorological parameters on the NH3 retrieval and recommend the use of ANNI-NH3-v2R-I for long timeseries analyses, when the product will be available. The paper is well written and structured with detailed discussions of the major changes in the algorithm and their implications in terms of NH3 retrieval for both the versions ANNI-NH3-v2 and ANNI-NH3-v2R-I. This work is suitable for AMT publication and the recommendations made for the use of the different products is very useful for the users, especially considering the warning and improvements for the long timeseries. I recommend this paper for publication in AMT after the following comments are addressed.

- The authors should consider introducing a table summarizing the changes between the 2 versions 1 and 2 and listing the different inputs parameters and their description used in the NN (page 5).

We agree with the referee and have added a table listing all the changes between v1 and v2.1 (Table 1, P6 and here below) which includes the 20 input parameters of v2.1.

Table 1. List of changes from ANNI-NH $_3$ -v1 to ANNI-NH $_3$ -v2.1.

	NN-v1	NN-v2.1	
Output parameter	[NH ₃] HRI	HRI [NH ₃]	
Input parameters*	31: T (12 levels), T _{surf} , P (11 levels), H ₂ O (7 levels),	20: T (12 levels), T_{surf} , P_{surf} , H_2O total column, σ ,	
	$\sigma, z_0, \epsilon, $ angle	z_0 , ϵ , angle, HRI	
Training set	250000 simulations 450000 simulations		
Land/sea treatment	One network Separate networks		
Angle treatment	Angle dependent HRIs 1st order correction of the HRIs by the		
		zenith angle	
		Angle as input parameter for 2^{nd} order corrections	
Bias correction	No	Over sea (v2 dataset) / Over land and sea (v2.1 dataset)	
Pre-filtering**	Cloud cover > 25 %	Cloud cover $>$ 25 %	
Post-filtering**	g^{**} [NH ₃] < 0 and HRI > 1.5 in absolute value	$[\mathrm{NH_3}] < 0$ and HRI > 1.5 in absolute value	
	$\frac{\mathrm{[NH_3]}}{\mathrm{HRI}} > 3 \cdot 10^{16} \; \mathrm{molec.cm^{-2}} \; \mathrm{in} \; \mathrm{absolute} \; \mathrm{value}$	$\frac{\rm [NH_3]}{\rm HRI} > 1.75 \cdot 10^{16} \; \rm molec.cm^{-2} \; in \; absolute \; value$	

^{*} σ and z_0 are parameters characterizing the shape of the NH_3 vertical profile; ϵ represents the emissivity.

^{**}An observation is removed as soon as one of the criteria is met.

- Page 7, lines27-33: it would be interesting to show series for one or more regions to illustrate the discontinuities and to show how the ANNI-NH3-v2R-I reduces these discontinuities.

We agree with the referee and have added a figure (Figure 3, P10 and here below) to illustrate the discontinuities. It presents ANNI-NH3-v2.1 (red) and ANNI-NH3-v2.1R-I (blue) timeseries over the Northern Hemisphere and clearly shows the added value of the reanalysis. While an increase of NH₃ columns is observed in 2015 with the introduction of the meteorological L2 in ANNI-NH₃-v2.1 dataset, the ANNI-NH3-v2.1R-I dataset present a more consistent NH3 column record over time.

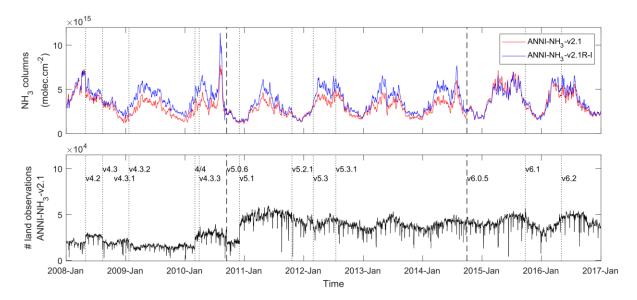


Figure 3. (Top) 5-day moving average timeseries of the morning NH_3 columns (molec.cm $^{-2}$) over the Northern Hemisphere for the near-real time retrieval (ANNI- NH_3 -v2.1, red) and the reanalysed retrieval (ANNI- NH_3 -v2.1R-I, blue). (Bottom) Number of land observations available for the Northern Hemisphere using the Eumetsat L2 data. The corresponding version number is indicated as a function of time.

The first paragraph of P9 (updated manuscript) now reads:

"Top panel of Figure 3 presents daily timeseries (5-day moving average) of the NH₃ columns for the reanalysed retrieval (ANNI-NH₃-v2.1R-I, blue), and the near-real time retrieval (ANNI-NH₃-v2.1, red) over the Northern Hemisphere. For its part, Figure 4 shows morning distributions over South Asia for 3 days corresponding to v5.3.1, v6.0.5 and v6.2 of the IASI Eumetsat L2 (see Table 2 and bottom panel of Figure 3). Taking the ANNI-NH₃-v2.1R-I as reference, it can be seen that prior to v6, retrieved columns are much lower. With v6.0.5, the retrieved columns are slightly higher in magnitude. Finally, with v6.2, the retrieved columns are again a bit lower than the reanalysis, but still higher than with v5.3.1. From this, it can be deduced that the use of v6.0.5 resulted in a rather large increase of the NH₃ columns, while v6.2 resulted in a slight drop of the columns. Several different regions were studied, and these statements appear equally applicable elsewhere."

A new sentence was also added P9 L2-3: "This is illustrated for land observations over the Northern Hemisphere in the bottom panel of Figure 3."

- In the data availability statement, it would be useful for the readers/users to have the information about the period from when the authors expect that the new data will be publicly available.

The data availability statement has been changed to: "The near-real time ANNI-NH₃-v2.1 data used in this work are freely available for all users through the AERIS database http://iasi.aeris-data.fr/NH3/.

The ANNI- NH_3 -v2.1R- dataset will also be made available at the same place and its delivery is planned for the beginning of 2018."

Technical comments: - Page 2, line 20-21: "detailed" and "introduced" should be inverted.

This has been corrected accordingly.

Version 2 of the IASI NH₃ neural network retrieval algorithm; near-real time and reanalysed datasets

Martin Van Damme¹, Simon Whitburn¹, Lieven Clarisse¹, Cathy Clerbaux^{1,2}, Daniel Hurtmans¹, and Pierre-François Coheur¹

Correspondence to: Martin Van Damme (martin.van.damme@ulb.ac.be)

Abstract. Recently, Whitburn et al. (2016) presented a neural network-based algorithm for retrieving atmospheric ammonia (NH₃) columns from IASI satellite observations. In the past year, several improvements have been introduced and the resulting new baseline version, ANNI-NH₃-v2.1, is documented here. One of the main changes to the algorithm is that separate neural networks were trained for land and sea observations, resulting in a better training performance for both groups. By reducing and transforming the input parameter space, performance is now also better for observations associated with favourable sounding conditions (i.e. enhanced thermal contrasts). Other changes relate to the introduction of a bias correction over land and sea and the treatment of the satellite zenith angle. In addition to these algorithmic changes, new recommendations for post-filtering the data and for averaging data in time or space are formulated. We also introduce a second dataset (ANNI-NH₃-v2R-L-y2.1R-I) which relies on ERA-Interim ECMWF meteorological input data, along with built-in surface temperature surface temperature retrieved from a dedicated network, rather than the operationally provided Eumetsat IASI L2 data used for the standard near-real time version. The need for such a dataset emerged after a series of sharp discontinuities were identified in the NH₃ timeseries, which could be traced back to incremental changes in the IASI L2 algorithms for temperature and clouds. The reanalysed dataset is coherent in time and can therefore be used to study trends. Furthermore, both datasets agree reasonably well in the mean on recent data, after the date when the IASI meteorological L2 version 6 became operational (30 September 2014).

1 Introduction

Ammonia measurements from space have come a long way since the first observations were reported (Beer et al., 2008; Coheur et al., 2009). It is now globally, and routinely measured with the main hyperspectral infrared sounders in orbit: TES, IASI, AIRS and CrIS (Shephard et al., 2011; Whitburn et al., 2016; Warner et al., 2016; Shephard and Cady-Pereira, 2015). For the retrieval of column abundances, two main approaches are followed. Iterative retrievals are based on fitting a calculated spectrum onto the observed spectrum. These can include the use of a priori information and typically provide a comprehensive uncertainty budget characterisation. They have the disadvantage of being computationally demanding as for a single retrieval a forward model has to be run several times.

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The atmospheric spectroscopy group at ULB has developed several retrieval approaches based on the conversion of spectral NH₃ indices. These are indices that quantify the magnitude of the NH₃ absorption/emission lines in the spectrum. First Brightness Temperature Differences (BTDs) were used (Clarisse et al., 2009), later Hyperspectral Range Indices (HRIs) (Van Damme et al., 2014a). These type of methods rely on the fact that the indices can be converted to a column by taking into account the spectral sensitivity to the NH₃ abundance in the observed scene. For low to moderately high columns, both BTDs and HRIs are correlated linearly to column abundances, with the conversion factor depending on the thermal contrast (Van Damme et al., 2014a) and a host of other parameters. HRIs are derived from linear retrievals using a constant gain matrix which includes a generalised error covariance matrix. Background and full discussion of this index can be found in the following papers: Walker et al. (2011, 2012); Clarisse et al. (2013); Van Damme et al. (2014a); Whitburn et al. (2016). Van Damme et al. (2014a) used 2D look-up tables to convert HRIs to columns, while Whitburn et al. (2016) used a neural network (NN) to perform the conversion. The advantage of the latter is that it allows a much larger number of input parameters to be taken into account. It has a number of significant other advantages, which are outlined in the original paper.

In the first part of the present paper we report and detail several improvements that have been introduced to the original neural network based retrieval, here referred to as 'Artificial Neural Network for IASI'-NH₃-v1 (ANNI-NH₃-v1). In addition, we formulate a new set of recommendations on how to post-process, treat and interpret the data. In the final part we introduce a new dataset ANNI-NH₃-v2R-I-v2.1R-I, which differs from the baseline dataset ANNI-NH₃-v2.1 in that it uses different input data. While our baseline version uses operationally provided meteorological level 2 (L2) data, this reanalysed dataset relies on input data from the ERA-Interim ECMWF reanalysis (Dee et al., 2011) and a secondary neural network for surface temperature retrieval. The need for such a dataset arose after discontinuities were found in the analysis of timeseries which could be traced back to version changes in the IASI L2 processing chain for temperature and clouds. This new self-consistent dataset is detailed and introduced introduced and detailed in Section 3.

2 The baseline version (ANNI-NH₃-v2.1)

2.1 Neural network setup and training

Thermal Contrast (TC) is a key quantity for infrared remote sounding of the lower troposphere (Clarisse et al., 2010; Bauduin et al., 2017). It is defined as the temperature difference between the surface and the air at a given altitude. Here we calculate the TC with respect to the 500 m air temperature (note that in Whitburn et al. (2016) it was defined with respect to the 1.5 km air temperature). The ANNI-NH₃-v1 has a rather poor performance for observations with a thermal contrast larger than 10 K, both above land and sea (see Figure 1). This is somewhat surprising as exactly the opposite would be expected. A first reason for this behaviour is that such high TC are under-represented in the v1 training dataset. To remedy this, a large amount of simulations were added to the training set, in such a way that a uniform distribution in terms of TC was achieved. Because TC varies much more over land than over sea, it was decided to make a separate training set (and neural network) for land and sea scenes. Additionally, it was observed that lower latitudes were under-represented in the sea training set due to the way the atmospheres were selected (from random IASI observations, for which the higher latitudes are overrepresented due to the

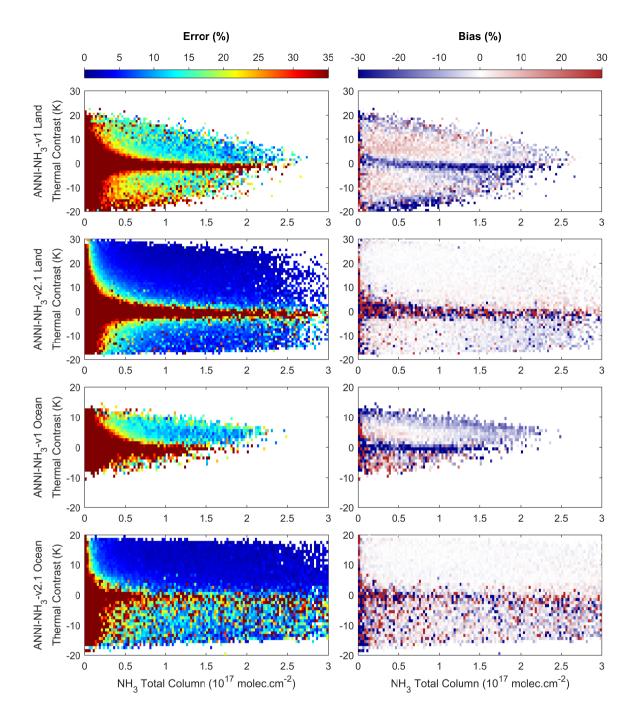


Figure 1. Neural network training performance in terms of mean error (left, %) and bias (right, %), for land (first two rows) and sea (bottom two rows) and for ANNI-NH₃-v1 (first and third row) vs ANNI-NH₃-v2.1 (second and fourth row).

Metop polar orbit). And so, over 36000 simulations were added for the lower latitudes. All in all, the complete training dataset is now almost double compared to the previous set, with around 450000 simulations (273000 over land and 172500 over sea).

Another reason for the relatively poor performance of the higher TC observations in ANNI-NH₃-v1 is associated with related to the way the neural network was setup. As explained in Whitburn et al. (2016) the output variable of the neural network is not the total column of NH₃, but rather the ratio of the column, $[NH_3]_{v1}$ to the HRI. The retrieved column is thus the (observation dependent) ratio f multiplied by the HRIsHRI. Thus we have

$$\text{output}_{v1} = f_{v1}(\text{input}_{v1}) = \frac{[\text{NH}_3]_{v1}}{\text{HRI}_{v1}} \to [\text{NH}_3]_{v1} = \text{HRI}_{v1} \times f_{v1}$$
(1)

The main rationale of using a ratio is that the neural network can be trained on noise free data and that the instrumental noise is propagated to the column in a transparent (linear) way. There is one catch though: for scenes with almost no sensitivity to NH $_3$ (therefore HRI ≈ 0), the ratio can assume very large values, which can be problematic for properly training the network. In order to analyse properly. To see this, first note that as the ratio is large and the sensitivity poor, the absolute error of the output will tend to infinity. The total cost function, defined as the mean squared error of the training dataset will therefore be dominated by these. The end result is that the part of the training set corresponding to HRIs very close to zero leads to non-convergent training or a badly performing network. In Whitburn et al. (2016), this situation was remedied by excluding those observations of the training set with a ratio larger than $7 \cdot 10^{16}$ molec.cm $^{-2}$. However, the fact that observations with a poor sensitivity (lower HRI for a constant NH $_3$ column) have a higher weight in the training cost function than those with a high sensitivity still makes the training focus on that part of the training set with the lowest sensitivity. This would not be a problem if one can train the neural network perfectly for the complete input space, but this is clearly not the case. In the new version we have reversed the ratio on which the training is performed:

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$$\operatorname{output}_{v2} = f_{v2}(\operatorname{input}_{v2}) = \frac{\operatorname{HRI}_{v2}}{[\operatorname{NH}_3]_{v2}} \to [\operatorname{NH}_3]_{v2} = \frac{\operatorname{HRI}_{v2}}{f_{v2}}$$
 (2)

Therefore, with this change, observations with an associated good sensitivity to NH_3 should be trained much better than before. The performance might get worse for the ones associated with a poor sensitivity, but these observations already have large uncertainties. Figure 1 shows the actual neural network training performance of both $ANNI-NH_3-v1$ and $ANNI-NH_3-v2.1$. In this figure, note that land and sea cases have been separated for v1, even though a single neural network was used to retrieve NH_3 . As in Whitburn et al. (2016), these plots are representative for real observations since they include the most important observational error (the uncertainty on the HRI). The theoretically expected performance improvements outside the blind spot region of $TC \approx 0$ are evident. In the v1, the average training error started from 15 %; while in the v2, this drops to around 5 %. Also, the biases mostly disappear: v1 was biased both inside and outside the blind spot. Note finally that these plots also demonstrate the much larger range of TCs covered by the v2.

The overall training of the v2.1 network is largely improved thanks to this simple change of output variable outlined above; however other changes also played a role in improving the performance on the training dataset. The main one is the addition of the HRI as an input parameter. While the ratio f is independent of the column in the linear regime, linearity is only valid for low to medium columns. The departure from linearity can actually be observed as gradients in the v1 bias plots of Figure 1.

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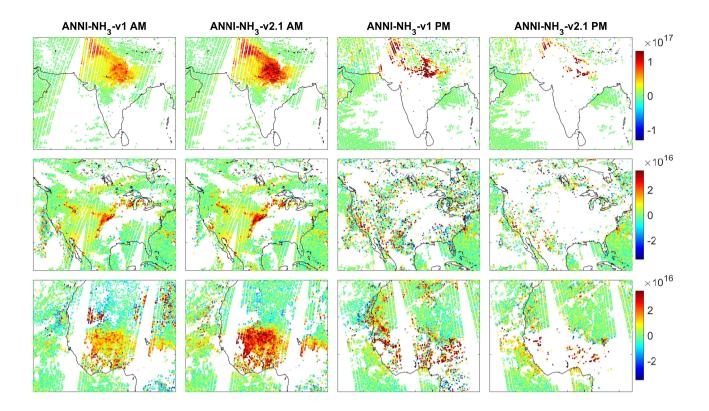


Figure 2. Example retrievals of the NH_3 column (molec.cm⁻²) on 17 June 2015 for ANNI- NH_3 -v1 (first and third column) and ANNI- NH_3 -v2.1 (second and fourth column), morning (AM, left two columns) and evening overpass (PM, right two columns), over South Asia (top row), North America (middle row) and the western part of North and Central Africa (bottom row).

Adding the HRI as an input parameter allows the NN to correct for this. A number of input parameters have also been removed in v2, to keep the network as simple as possible and to avoid over-fitting. In particular, the input vertical profiles of H_2O and the pressure have been replaced respectively by a single H_2O total column and the surface pressure. With these changes the total number of input parameters is now reduced from 35 in v1 to 20 in v2. Table 1 lists these parameters and summarizes the changes between NN-v1 and NN-v2.1.

Another change introduced in v2, which also contributes to making the NN simpler, is the way the viewing angle of the satellite is taken into account. In v1, angle dependent error covariance matrices (and therefore HRIs) were used following Bauduin et al. (2016). The reason for this was that earlier experience had shown that a straightforward angle correction on the airmass can result in biases on the final column (Van Damme et al., 2014a). In v2.1 the angle problem has been re-evaluated after it emerged that the columns in v1 still showed an angle dependence, especially noticeable for the larger angles. This could for instance be seen by looking at the correlation between angle and total column over a small area over one season. The reason for this is unclear, and having effectively many different HRIs to train makes it difficult to trace the problem.

Table 1. List of changes from ANNI-NH₃-v1 to ANNI-NH₃-v2.1.

	NN-v1	NN-v2.1	
	[NH ₃]	HRI	
Output parameter	HRI	$\overline{\mathrm{[NH_3]}}$	
Input parameters*	31: T (12 levels), T_{surf} , P (11 levels), H_2O (7 levels),	20: T (12 levels), T_{surf} , P_{surf} , H_2O total column, σ ,	
	$\sigma, z_0, \epsilon, $ angle	z_0 , ϵ , angle, HRI	
Training set	250000 simulations 450000 simulations		
Land/sea treatment	One network Separate networks		
Angle treatment	Angle dependent HRIs 1^{st} order correction of the HRIs by the content		
		zenith angle	
		Angle as input parameter for 2^{nd} order corrections	
Bias correction	No	Over sea (v2 dataset) / Over land and sea (v2.1 dataset)	
Pre-filtering**	Cloud cover > 25 %	Cloud cover > 25 %	
Post-filtering**	$[\mathrm{NH_3}] < 0$ and HRI > 1.5 in absolute value	$[\mathrm{NH_3}] < 0$ and HRI > 1.5 in absolute value	
	$\frac{\rm [NH_3]}{\rm HRI} > 3 \cdot 10^{16} \; \rm molec.cm^{-2} \; in \; absolute \; value$	$\frac{\mathrm{[NH_3]}}{\mathrm{HRI}} > 1.75 \cdot 10^{16} \; \mathrm{molec.cm^{-2}} \; \mathrm{in \; absolute \; value}$	

^{*} σ and z_0 are parameters characterizing the shape of the NH₃ vertical profile; ϵ represents the emissivity.

For ANNI-NH₃-v2.1 we therefore decided to adopt again the approach introduced in Van Damme et al. (2014a) of simply correcting the HRI by the cosine of the viewing zenith angle. An HRI consists of two components, the NH₃ signal component and a noise component. Clearly, the cosine correction only makes sense on the signal component, but applying the correction on the entire HRI value should in principle not cause any biases, apart from a compression of the instrumental noise for large angle observations. The reason why this approach caused the introduction of biases in Van Damme et al. (2014a) lies in the fact that in that retrieval only positive values were retrieved. Especially for retrievals dominated by noise, applying a cosine factor will lead on average to lower values for larger angles. The neural network retrieval scheme maps the instrumental noise, which is on average one HRI unit, symmetrically around 0 to the NH₃ column space; so that no such bias effect is expected. We now therefore decided to correct the HRI value with a cosine factor, prior to feeding it to the network. This HRI is the same as introduced in Van Damme et al. (2014a), for which the error covariance matrix was build built using observations with all possible viewing angles. Note that viewing the zenith angle is still kept as a parameter in the neural network, to allow the neural network to perform second order corrections to address any remaining angle dependency. The v2.1 angle correction was deemed satisfactory after analysis over different land and sea regions, at different times of the year.

A final change introduced in v2 is a HRI bias correction over the seas, where the HRI was found to be slightly negative overall, and decreasing with increasing H_2O total column amount. To achieve this, the As this was identified to be also the case over land, the same correction was applied over land in v2.1. A H_2O dependent bias was determined from a region

^{**}An observation is removed as soon as one of the criteria is met.

assumed NH_3 -free by calculating the median over sea was calculated for 30 days in 2015 over bins of $0.1 \cdot 10^{23}$ molec.cm⁻² of H_2O total column. These median values are then used to correct the HRI value over sea HRIs before using them as an input in the neural network.

2.2 Performance on real data and recommendation for use

- In Whitburn et al. (2016), a post-filter was applied to the retrieved data to remove the unphysical measurements (e.g. large negative columns associated with a large positive HRI). For ANNI-NH₃-v2.1, we have extended this post-filtering process to remove more of the blatantly erroneous retrievals. The current filtering procedure removes the observations for which:
 - 1. The cloud coverage exceeds 25 %

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- 2. The column is negative and HRI is larger than 1.5 in absolute value
- 10 3. $\frac{\rm [NH_3]}{\rm HRI}$ is larger than $1.75\cdot 10^{16}~\rm molec.cm^{-2}$ in absolute value

The first two criteria were already present in this form in v1, but the third eriteria criterion was weaker.

Example NH₃ total column retrievals are shown in Figure 2 for IASI morning and evening overpasses on 17 June 2015 over South Asia, North America and the western part of North and Central Africa for both v1 and v2.1 datasets. Overall it can be observed that both retrievals highly correlate, in particular, that the elevated and background columns occur in the same places. One noticeable difference is the effect of the extended post-filter in v2, which removes more of the larger negative columns over sea and over land on the evening overpass. Looking at the evening overpass over India it could be argued that the filtering is too aggressive. However, the observations that were removed there, are associated with extremely large uncertainties due to an almost zero sensitivity (TC very close to zero). So for For these observations that were removed, all that one can realistically say is that the NH₃ columns are enhanced. The observations over Africa on the other hand suggest that the post filtering procedure is still not strict enough. The current post-filtering flags were set by looking at a lot of different scenes from different parts of the year, and we consider them to be reasonable. The second important difference is that v2.1 columns are larger than v1 over the source regions (on average about 20 % for TCs above 10 K and columns higher than 1 · 10¹⁶ molec.cm⁻²). It is worth noting that the uncertainty associated with v1 and with v2.1 does not vary substantially for source regions, as it is mainly driven by the HRI and the temperature profile.

Measurements of NH_3 from space have a very large variability in their associated uncertainty, due to the variable sensitivity of the infrared outgoing radiation to the lower troposphere, as determined primarily by the TC (Clarisse et al., 2010; Bauduin et al., 2017). Summer-daytime is typically the best time to measure ammonia, while nighttime and/or winter are the worst, but the sensitivity can vary greatly even from one day to the next. Uncertainty estimates on the column range from 5% to over 1000%. Averaging such heterogeneous data is in general problematic, as the average can very easily be dominated by the data with the largest uncertainty, rendering the 'average measurement' meaningless. Van Damme et al. (2014b, 2015); Whitburn et al. (2015) therefore employed weighted averages, where the measurements with lowest uncertainty have the most weight in the average. Still, there are many approaches to weighting, and there is no uniquely best way of doing it. For a given TC, a

Table 2. Updates to Eumetsat's operationally distributed IASI L2 temperature and cloud products.

Release date	Version	Comment
27 Nov 2007	4.0	Initial release of IASI/Metop-A L2, provided for even pixels only.
29 Apr 2008	4.2	Major changes in cloud coverage, surface temperature and temperature profiles.
12 Aug 2008	4.3	
21 Jan 2009	4.3.2	Surface temperature only provided for the cloud free observations.
3 Mar 2010		L2 provided for both even and odd IASI pixels.
29 Mar 2010	4.3.3	
14 Sep 2010	5.0.6	Improved T profiles, but available for fewer observations. From this version onwards, tempera-
		ture profiles and surface temperatures are provided for the same observations. Increased number
		of cloud free observations.
2 Dec 2010	5.1	Temperature information is now also provided for cloudy pixels (more than half of the IASI
		observations now have this info).
20 Oct 2011	5.2.1	Improved cloud screening for T retrievals.
28 Feb 2012	5.3	Major change in the cloud detection algorithm resulting in a decrease of the number of cloud
		free observations. Temperature information is now provided for observations with a cloud cov-
		erage below 25 %.
16 July 2012	5.3.1	
8 Mar 2013		Initial release of IASI/Metop-B L2.
30 Sep 2014	6.0.5	Major update in the processing algorithm with the arrival of a new IASI L2 processor. IASI
		meteorological L2 data is are now provided for nearly all IASI observations.
24 Sep 2015	6.1	Updates to the surface temperature algorithm.
4 May 2016	6.2	Important improvements to the temperature retrieval algorithms.

larger column will always have a smaller relative error than a smaller column, so that weighting measurement measurements with the relative error will always bias the result high (similarly, weighting with the absolute error tends to bias the result low). We refer to Whitburn et al. (2016) for examples and a discussion on the pros and cons of weighting measurements. With the extended post-filtering introduced in v2, we no longer recommend using weighted averages. Instead, if averages have to be performed we would now suggest to use unweighted averages. Using median values is also an option allowing to decrease the importance of outliers. However, it is always better wherever possible to use the individual measurements with their associated uncertainty, and avoid averaging.

3 An ERA-Interim reanalysis (ANNI-NH₃-v2R-I-v2.1R-I)

Up to now, for all our retrieval algorithmal gorithms, we have only relied on IASI L2 meteorological data (August et al., 2012) to be used as input data (see e.g. Clarisse et al. (2012); Hurtmans et al. (2012); Van Damme et al. (2014a)). The relevant L2 data

consists consist of the surface temperature, surface pressure, temperature and water vapour vertical profiles and cloud coverage fractions. Since the first L2 meteorological data was were operationally disseminated in 2007, a series of updates have been released, of which the most relevant are summarised in Table 2. Some of the updates led to changes in L2 data availability. This is illustrated for land observations over the Northern Hemisphere in the bottom panel of Figure 3. For instance, before 3 March 2010, L2 data was were only provided for one in two pixels. Also, between January 2009 and up until October 2010, surface temperature was only provided for observations with a 0 % cloud coverage. Other changes directly improved the quality of the L2. The NOAA PROducts Validation System (NPROVS, www.star.nesdis.noaa.gov/smcd/opdb/nprovs/NPROVS_trends.php) demonstrates convincingly that the agreement of L2 temperature profiles with radiosonde data improves markedly over time, both in the standard deviation and in the bias. The largest jump in improvement came after the introduction of IASI L2 v6 on 30 September 2014, but also with v6.2 on 4 May 2016.

The analysis of ANNI-NH₃-v2.1 timeseries revealed several rather sharp discontinuities which seemed to coincide with IASI L2 version changes (see top panel of Figure 3). In particular, a noticeable overall increase in the NH₃ columns was found to correspond with the v5 to v6 change, and a smaller decrease was observed with the introduction of v6.2. As we will show below these are a direct consequence of algorithmic changes to the retrieved temperature of the surface and lower troposphere. Following these findings, the need arose for a self-consistent IASI NH₃ dataset, which uses stable and uniform input data. The ECMWF ERA-Interim reanalysis (Dee et al., 2011) is very suitable for this purpose, as it provides all the necessary meteorological parameters and covers the whole IASI time period. We now detail how the ERA data is are prepared for the neural network, and some of the assumptions that are made.

Two separate datasets are used: the first set consists of $0.25^{\circ} \times 0.25^{\circ}$ grids at a 3-hour temporal resolution and includes the following parameters: total water vapour column, surface pressure, temperature at 2 m and dew point temperature at 2meter m. The dew point temperature is used to calculate the specific humidity at the surface (Bolton, 1980). Temperature and specific humidity profiles are obtained at 37 pressure levels, on $0.25^{\circ} \times 0.25^{\circ}$ grids and at a 6-hour temporal resolution. For each IASI observation the closest grid cell is found, and for each parameter its value was linearly interpolated to the IASI overpass time. These parameters are then converted and/or interpolated to the required input format of the neural network.

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Two parameters, namely cloud coverage and surface temperature, were judged to be too variable in space and time to rely on gridded data. With respect to cloud coverage, we decided to continue to use the cloud information provided in the IASI L2 Eumetsat data, but instead of the standard 25 % threshold value, we now filter out all observations with a cloud coverage above 10 % (this leaves about 30 % of all observations for the latest version of IASI L2). Fortunately, except between 14 Sep 2010 and 28 Feb 2012 where a lot more observations were flagged as clear (see Table 2), the IASI L2 algorithm seems to be consistent enough for this approach to make sense. This choice also only affects data filtering, and not the retrieval itself. For the surface temperature, this is not the case, and so the decision was made to setup a secondary neural network, dedicated to the retrieval of the surface temperature. The inputs of this neural network consist of 105 IASI channelsand, the satellite zenith angle and the emissivity. For the training and validation dataset, 37 days of the latest IASI L2 version (v6.2) ranging from June 2016 and June 2017 were used. Overall, the performance of this secondary NN yielded a standard deviation of 1.3 K and a

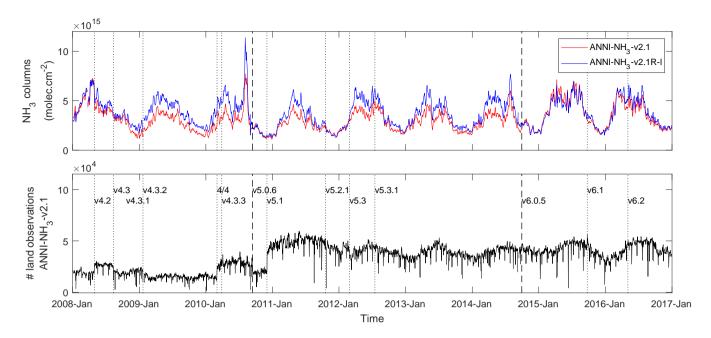


Figure 3. (Top) 5-day moving average timeseries of the morning NH₃ columns (molec.cm⁻²) over the Northern Hemisphere for the near-real time retrieval (ANNI-NH₃-v2.1, red) and the reanalysed retrieval (ANNI-NH₃-v2.1R-I, blue). (Bottom) Number of land observations available for the Northern Hemisphere using the Eumetsat L2 data. The corresponding version number is indicated as a function of time.

mean surface temperature difference of -0.02 K (considering observations between -60° and 60° of latitude). This in-house retrieved surface temperature was therefore considered good enough to be used as a retrieval parameter in the neural network.

Figure 4 illustrates the Top panel of Figure 3 presents daily timeseries (5-day moving average) of the NH₃ columns for the reanalysed retrieval (ANNI-NH₃-v2R-I-v2.1R-I, blue), and the near-real time retrieval (ANNI-NH₃-v2) over 1, red) over the Northern Hemisphere. Figure 4 shows morning distributions over South Asia for 3 days corresponding to v5.3.1, v6.0.5 and v6.2 of the IASI Eumetsat L2 (see Table 2 and bottom panel of Figure 3). Taking the ANNI-NH₃-v2R-I-v2.1R-I as reference, it can be seen that prior to v6, retrieved columns are much lower. With v6.0.5, the retrieved columns are very similar slightly higher in magnitude. Finally, with v6.2 the retrieved columns are again a bit lower than the reanalysis, but still higher than with v5.3.1. From this, it can be deduced that the use of v6.0.5 resulted in a rather large increase of the NH₃ columns, while v6.2 resulted in a slight drop of the columns. Several different regions were studied, and these statements appear equally applicable elsewhere.

The observed biases can be attributed to changes in the IASI L2 retrieved temperature profile and surface temperatures, as we will now demonstrate. Figure 5 shows standard deviations and mean temperature differences between IASI L2 v5.3.1, v6.0.5 and v6.2 and the reanalysis over land and sea (IASI observations between -60° and 60° of latitude on 29 September 2014, 1 October 2014 and 1 October 2016 respectively). These figures show both the temperature profile difference (coloured lines with respect to the ERA reanalysis) and the surface temperature difference (coloured crosses with respect to the NN

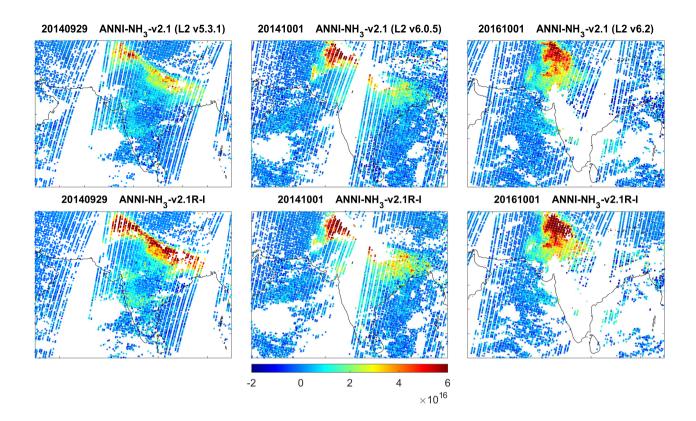


Figure 4. Example retrievals of the morning NH_3 column (molec.cm $^{-2}$) over South Asia for the reanalysed retrieval (ANNI- NH_3 -v2.1R-I, bottom) versus the standard near-real time retrieval (ANNI- NH_3 -v2.1, top) on 29 September 2014 (input data from Eumetsat L2 v5.3.1), 1 October 2014 (L2 v6.0) and 1 October 2016 (L2 v6.2).

retrieved surface temperature). From the standard deviation plots, it can be seen that the agreement of the L2 products with the reanalysis improves with each version, except for the surface temperature of v6.0.5, which seemed to regress after v5.3.1 over land. Discontinuities and offset in the NH_3 product cannot be explained by changes in standard deviation, we therefore focus our attention to the mean difference.

We first discuss the observations over land. For the morning overpass of v5.3.1 we see a large negative offset of the air temperature in the lower troposphere (-5 K at the surface) and a much smaller negative offset in the surface temperature (-0.7 K). Overall, this implies that the IASI L2 v5.3.1 had an average high bias in the thermal contrast compared to the reanalysis, and therefore a low bias in retrieved NH₃ columns as was illustrated before with Figure 4. The air temperature offsets are highly reduced in v6.0.5 to about -2 K while the surface temperature offset regresses slightly to about the same -2 K value. The net result is that the thermal contrast decreases, and as consequence that an increase on average of the retrieved NH₃ columns is obtained. The fact that the offsets in the air and surface temperatures are almost identical, explains why the retrieved NH₃ columns of v2.1 with L2 v6.0.5 and the reanalysis are so similar. The main change in v6.2 was the improved surface temperature

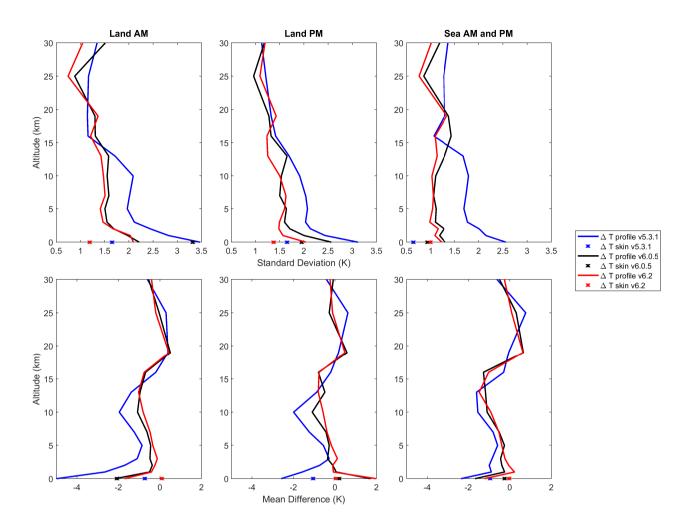


Figure 5. Standard deviations (top, K) and mean differences (bottom, K) of air and surface temperatures between IASI L2 v5.3.1 (29 September 2014), v6.0.5 (1 October 2014) and v6.2 (1 October 2016) and the reanalysis for the morning overpass over land (left), the evening overpass over land (middle) and the morning and evening overpasses over sea (right).

retrieval, which resolved the regression introduced in v6.0.5. However the overall increase in surface temperature causes the thermal contrast to increase, leading to lower retrieved NH₃ columns on average. The remaining offset in the surface air temperature (-1.7 K) implies that the ANNI-NH₃-v2.1 with the latest version of the L2 presents a low biased with respect to the reanalysis for morning observations over land. For the evening overpass, the air temperature of the IASI L2 v5.3.1 is characterised by a negative offset at the surface of -2.6 K, which combined with the negative mean difference of the surface temperature of -1.1 K results in a moderate high bias of the TC (1.5 K). In contrast, the air temperature offset becomes positive with v6 (and below 2 K) while the bias in surface temperature is close to 0, resulting therefore in a moderate low bias in TC. This implies, for land evening observations using L2 v5.3.1, a low bias of the v2.1 columns compared to the reanalysis; after

version 6 of the L2, we find a high bias. Over sea, differences between the L2 datasets are smaller, both with respect to the surface temperatures and air temperature profiles, leading to smaller differences between the different products.

4 Concluding remarks

This paper presents the ANNI-NH₃-v2.1 retrieval, an improved version from the v1 detailed in Whitburn et al. (2016). The main changes are (1) a simplification of the input parameters and (2) the development of separate neural networks for land and sea observations, resulting in a better retrieval performance. As discontinuities are observed in the near-real time processing, a reanalysis of this version 2 was also introduced, namely the ANNI-NH₃-v2R-I -v2.1R-I which uses input generated from the ECMWF ERA-Interim dataset and a surface temperature retrieved by a secondary neural network. While further enhancements to the ANNI-NH₃ product are foreseen in the future (e.g., improved NH₃ columns could be achieved by using a distinct HRI for land and sea scene as input parameter), the neural network design described here is not expected to undergo major changes.

The presented analysis illustrates well the large impact that the (meteorological) input data can have on the retrieved NH₃ column. In particular, small absolute errors in the TC can lead to very large inaccuracies on the retrieved columns, especially when the TC itself is small. Incremental improvements in IASI L2 temperature and cloud algorithms and/or ECMWF ERA-Interim data are therefore expected to have a positive impact on the quality of the NH₃ datasets. While certain biases might still be present, the ANNI-NH₃-v2R-I-v2.1R-I is self-consistent in time, and after the full IASI dataset has been processed, it

Data availability. The near-real time ANNI-NH₃-v2.1 data used in this work are freely available for all users through the AERIS database http://iasi.aeris-data.fr/NH₃/. The ANNI-NH₃-v2.1R-I dataset will also be made available at the same place and its delivery is planned for the beginning of 2018.

20 Competing interests. No competing interests are present.

and is expected to be highly suitable to study long-term trends.

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