Comparisons of the tropospheric specific humidity from GPS radio occultations with ERA–Interim, NASA MERRA and AIRS data

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Abstract. We construct a 9–year data record (2007-2015) of the tropospheric specific humidity using Global Positioning System radio occultation (GPS RO) observations from the Constellation Observing System for Meteorology, Ionosphere, and Climate (COSMIC) mission. This record covers the ±40^{o} latitude belt and includes estimates of the zonally averaged monthly mean specific humidity from 700 hPa up to 400 hPa. It includes three major climate zones: a) the deep tropics (±15^{o}), b) the trade winds belts (±15–30^{o}), and c) the subtropics (±30–40^{o}). We find that the RO observations agree very well with the European Center for Medium-range Weather Forecasts Re-Analysis Interim (ERA-Interim), the Modern-Era Retrospective analysis for Research and Applications (MERRA), and the Atmospheric Infrared Sounder (AIRS) by capturing similar magnitudes and patterns of variability in the monthly zonal mean specific humidity and interannual anomaly over annual and interannual timescales. The JPL and UCAR specific humidity climatologies differ by less than 15% (depending on location and pressure level), primarily due to differences in the retrieved refractivity. In the middle-to-upper troposphere, in all climate zones, JPL is the wettest of all data sets, AIRS is the driest of all data sets, and UCAR, ERA-Interim, and MERRA are in very good agreement lying in between the JPL and AIRS climatologies. In the lower-to-middle troposphere, we present a complex behavior of discrepancies, and we speculate that this might be due convection and entrainment. Conclusively, the RO observations could potentially be used as a climate variable, but more thorough analysis is required to assess the structural uncertainty between centers and its origin.

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1 Introduction

The Intergovernmental Panel on Climate Change (IPCC) Fifth Assessment Report (AR5) [*Flato et al.*, 2013] reported that identifying the vertical structure of humidity is subject to great uncertainty, because dynamical processes that cannot be captured by one sensor alone drive water vapor. Hence, we ought to quantify and understand the degree of agreement of the water vapor concentration ~~throughout the vertical extent of~~ in the troposphere among different sensors, in order to improve the representation of the Earth's atmospheric humidity content that is key to predicting future climate [*Hegerl et al.,* 2015].

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To-date, ground- and space-based platforms, reanalyses, and model simulations do not provide precise knowledge of the water vapor's concentration, or its trends over time, in multiple regions of the Earth's atmosphere [*Sherwood et al.*, 2010]. This is because of a combination of different reasons that include: (a) sampling bias due to cloudiness, deep convection, or surface emissivity variations; (b) biases due to limited local time coverage, or random observations versus volume-filling scans; (c) coarse spatial resolution, and (d) misrepresentation of the planetary boundary layer's (PBL) moisture content [*Hannay et al.*, 2009] that induces errors in the lower-to-middle troposphere moist convection.

In particular, infrared (IR) space-based platforms have a relatively coarse vertical resolution (e.g., 2.0–3.0 km), are prone to cloud contamination [*Fetzer et al.,* 2006], and tend to be biased low over wet and dry humidity extremes [*Fetzer et al.,* 2008; *Chou et al.*, 2009]. The use of IR observations in the lower troposphere still remains a challenge, due to the decreasing information content and the difficulty of detecting low-cloud contamination [*Schreier et al.,* 2014]. Space-based microwave (MW) limb sounders, despite having low sensitivity to precipitation and clouds, have a coarse vertical resolution (e.g., 3.0 km in case of the Microwave

Limb Sounder (MLS) [*Waters et al.,* 2006]) and are sensitive to the a–*priori* solution that could cause unsuccessful limb-viewing radiance retrievals (e.g., of up to 30% in the case of MLS [*Read et al.,* 2007]) under clear sky but moist conditions. Heavy cloudiness, especially in the middle-to-upper troposphere can also introduce biases in the upwelling MW radiation from water vapor due to the presence of ice particles that can contaminate the MW retrievals [*Fetzer et al.,* 2008]. Global Circulation Models (GCMs) do not properly represent the middle troposphere moist convection [*Sherwood et al.,* 2004; *Holloway and Neelin,* 2009; *Frenkel et al.,* 2012], and large discrepancies in the tropospheric humidity among different reanalyses [*Chen et al.,* 2008] and among reanalyses, models, and satellite observations [*Chuang et al.,* 2010; *Jiang et al.,* 2012; *Tian et al.,* 2013; *Wang and Su,* 2013] still persist.

The path towards constraining the models, reanalyses, and satellite water vapor observational uncertainties is to compare them against data sets that are as independent from their *a-priori* information as possible. Here, we use the multi-year observational record from Global Positioning System Radio Occultation (GPS RO) observations as such a data set, offering all–weather sensing, high vertical resolution (100–200 m; *Kursinski et al.* [2000]; *Schmidt et al.* 2005]), high specific humidity accuracy (< 1.0 g/Kg), and full diurnal cycle sampling (depending on the orbit and number of the RO spacecrafts).

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Our primary objective is to create a short-term specific humidity data record (9 years) based on RO observations and compare it against NASA's Modern Era Retrospective Analysis for Research and Applications (MERRA), the European Center for Medium-range Weather Forecasts Reanalysis Interim (ERA–Interim), and Atmospheric Infrared Sounder (AIRS) data sets. Our goal is to evaluate the consistency of the RO specific humidity retrievals with respect to state-of-the-art reanalyses and satellite observations by quantifying the RO differences with the rest of the data sets over the tropics and subtropics. We anticipate gaining new insights about the specific humidity distribution over different convective regions, which could provide guidelines for future model improvements. The uniqueness of this investigation is that this is the first study to compare nearly a decade long data record of RO specific humidity information and their interannual variability against MERRA, ERA–Interim, and AIRS. The description of the humidity retrieval process from RO observations is discussed in detail in *Kursinski et al.* [1997], *Kursinski and Hajj* [2001], and *Collard and Healey* [2003]. Of importance is the fact that we use MERRA, instead of MERRA-2, because MERRA does not assimilate ROs (unlike ERA–Interim), providing an independent data set when comparing the RO specific humidity observations.

Section 2 presents the data sets we use in this analysis together with their retrieval characteristics. In Section 3, we present and discuss the RO specific humidity climatologies with respect to the rest of the data sets and Section 4 summarizes our current research.

2 Methodology

We create time series of tropospheric specific humidity climatologies using the COSMIC observations (both the UCAR and the JPL retrievals), the MERRA and ERA-Interim data sets, and the Atmospheric Infrared Sounder (AIRS) observations. These climatologies contain a 9-year measurement record from January 2007 until December 2015 and represent monthly zonal mean averages. We study the geographic region between ±40^o latitude, which we divide into three distinct dynamical regions: a) the deep tropics (±15^o), b) the middle tropics (±15^o–30^o), and c) the subtropics (±30^o–40^o). In each region, we study the annual and interannual variability and trend of the specific humidity from all data sets, and then we quantify the mean differences and standard deviations of all climatologies with respect to the JPL climatology (that we use as a reference). The time series represent monthly zonal averages of the specific humidity at individual pressure levels from the lower to the middle troposphere: 700 hPa, 600 hPa, 500 hPa, and 400 hPa.

We are particularly interested in investigating the performance of the RO specific humidity climatologies with respect to other databases within ±40° latitude, as it is a key region for climate research [*IPCC,* 2007], and because models and observations exhibit large differences in the middle and upper troposphere in this band [e.g., *Jiang et al.,* 2012; *Tian et al.,* 2013; *Wang and Su,* 2013]. We focus between 700 hPa and 400 hPa, because although tracking of the GPS signals in the lower troposphere (e.g., below 700 hPa) has been greatly improved with the use of open loop tracking techniques [*Sokolovskiy et al.,* 2006], the presence of the water vapor and small signal-to-noise ratio could still cause loss of lock for lower altitudes. Additionally, atmospheric ducting at and below the planetary boundary layer could also lead to negative refractivity biases [*Ao et al.,* 2003; *Xie et al.,* 2010]. Above 400 hPa, the signature of water vapor on the atmospheric refractivity is small, leading to larger retrieval errors.

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2.1 Constellation Observing System for Meteorology, Ionosphere and Climate

The COSMIC constellation of six microsatellites were launched in April 2006 orbiting the Earth at an altitude of ~800 km in near-circular Low Earth Orbit (LEO) [*Anthes et al.,* 2008]. They measure the phase and amplitude of the transmitted dual frequency *L*-band GPS signals (f_1=1.57542 GHz; f_2=1.22760 GHz) as a function of time. The relative motion of the COSMIC satellites with respect to the GPS satellites and the presence of the atmosphere cause a Doppler frequency shift on the transmitted GPS signals received by the COSMIC satellites. The magnitude of the Doppler frequency shift is estimated as the time derivative of the recorded GPS signal phases, which together with precise knowledge of the position and velocity information of both the COSMIC and the GPS satellites allows for estimation of the amount of bending of the transmitted GPS signals due to the presence of the atmosphere, from which one can infer the air refractive index [*Kursinski et al.,* 1997]. In the lower troposphere, the bending angle is retrieved using radioholographic methods (such as canonical transform or full spectrum inversion) that eliminate errors due to atmospheric multipath [e.g., *Ao et al.,* 2003]. The relative motion of the COSMIC and GPS satellite pair allows for the vertical scanning of the atmosphere providing vertical profiles of atmospheric refractivity, which contain temperature and humidity information.

We use RO-derived specific humidity products from both the UCAR and the JPL processing centers, which follow different processing techniques. Although this study does not focus on these differences, we note that UCAR adopts a variational assimilation method, which requires *a-priori* estimates of the atmospheric water vapor content (provided by ERA-Interim), implying that the derived specific humidity products may be subject to the error characteristics of the humidity initialization. On the other hand, JPL uses the refractivity equation (along with the hydrostatic equation and equation of state) to estimate the water vapor pressure given *a-priori* knowledge of ~~air~~ temperature [*Hajj et al.*, 2002]:

$$N = 77.6\frac{P}{T} + 3.73 \cdot 10^5 \frac{e}{T^2} \Leftrightarrow e = \frac{1}{3.73 \cdot 10^5}(NT^2 - 77.6PT) \quad [1]$$

Where N (unitless) is the refractivity, P (mbar) is the pressure, T (K) is the temperature, and e (mbar) is the RO-derived water vapor pressure. The equation we use to convert the water vapor

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pressure into specific humidity is given by:

$$q = 621.9907 \cdot \frac{e}{(P - e)} \quad [2]$$

Where q (g kg^{-1}) is the specific humidity, P (mbar) is the pressure, and e (mbar) is the RO-derived water vapor pressure. The retrieval errors of the JPL SH products do not contain *a-priori* humidity information, but are subject to errors in the *a-priori* temperature information, which is provided by the ECMWF Tropical Ocean and Global Atmosphere (TOGA) database. Because Eq. (1) requires that both the RO and the ECMWF TOGA data sets be reported at the same pressure levels, we interpolate the temperature profiles into the vertical grid of the RO profiles using linear interpolation in the log pressure domain. Currently, the JPL-retrieved COSMIC ~~air~~ refractivity profiles are provided at 200 m vertical resolution in the lower to middle troposphere.

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2.2 Modern-Era Retrospective Analysis for Research and Application

We use the MERRA (v5.2.0) analysis that employs a 3-D variational assimilation technique based on the Gridpoint Statistical Interpolation (GIS) scheme with a 6-hour update cycle [e.g., *Wu et al.,* 2002]. It did not yet assimilate RO observations, and therefore, it is an independent dataset from COSMIC. Besides MERRA-2 assimilating GPS RO bending angle observations, it also includes significant changes with respect to MERRA in regards to moisture analysis that have a direct affect on the water cycle [*Gelaro et al.,* 2016; *Takacs et al.,* 2016; *Bosilovich et al.,* 2017]. Although GPS RO comparisons with MERRA-2 could provide valuable statistics, they would not represent a clear picture of the effect of assimilating GPS RO observations, unless the impact of all other improvements on the humidity climatology is first determined. We analyze the monthly gridded specific humidity products given in a 1/2-degree x 2/3-degree latitude–longitude grid and 42 vertical pressure levels. In the troposphere, the vertical pressure resolution from the surface up to 700 hPa is 25 hPa, whereas from 700 hPa until 300 hPa the vertical resolution is 50 hPa. MERRA is a NASA analysis that assimilates satellite observations using Goddard's Earth Observing System (GOES) version 5.2.0 Data Assimilation System (DAS) [*Rienecker et al.,* 2008]. Primarily, it assimilates radiances from AIRS, the Advanced Television and Infrared Observatory Spacecraft Operational Vertical Sounder (ATOVS), and the Special Sensor Microwave Imager (SSM/I), and figure 4 in *Rienecker et al.* [2011] provides a detailed list of the rest of the data sets that are assimilated.

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2.3. European Center for Medium-Range Weather Forecasts Re-Analysis Interim

We use the ERA-Interim [*Dee et al.,* 2011], which uses a 4-D variational assimilation technique [*Simmons et al.*, 2005] to analyze a variety of observational data sets to predict the state of the atmosphere with accuracy similar to what is theoretically possible based on the error characteristics of the assimilated data [*Simmons and Hollingsworth*, 2002]. We analyze the monthly gridded SH products given in a 0.75 degree x 0.75 degree latitude-longitude grid and 20 pressure levels from 1000 hPa up to 300 hPa. The vertical resolution from the surface up to 750 hPa is 25 hPa, but the vertical resolution decreases to 50 hPa between 750 hPa and 300 hPa. The primary data sets assimilated in ERA-Interim are radiosonde humidity observations, AIRS and microwave radiances, and as of November 2006, the GPS RO bending angle profiles.

2.4. Atmospheric Infrared Sounder

We use the AIRS/AMSU v6 Level-3 data [*Tian et al.,* 2013a] and analyze the monthly gridded SH product given in a 1-degree x 1-degree latitude-longitude grid, which extend from the surface up to 100 hPa in 12 vertical pressure levels (~ 2.0 km vertical resolution). The latest AIRS v6 SH products are now available at standard pressure levels. The vertical resolution between the surface up to 850 hPa is 75 hPa; between 700 hPa and 300 hPa the vertical resolution decreases to 100 hPa, and above the 300 hPa pressure level up to 100 hPa the vertical resolution is 50 hPa. The AIRS physical retrievals use an IR–microwave neural net solution [*Blackwell et al.*, 2008] as the first guess for temperature and water vapor profiles based on MIT's stochastic cloud-clearing and neural network solution described in *Khan et al.* [2014].

2.5. Establishing Data Set Accuracy

Kursinski et al. [1995] estimated that occultation water vapor pressure profiles at the tropics have a precision between 10 and 20% below 7.0 km altitude assuming temperature errors of 1.5 K, surface pressure errors of 3 mbar, and refractivity errors of < 0.2%, which translate to a specific humidity precision of < 0.25 g kg^{-1} at 700 hPa and < 0.03 g kg^{-1} at 400 hPa, given a mean specific humidity of 4.0 g kg^{-1} at 700 hPa and 1.0 g kg^{-1} at 400 hPa between 01/2007 and 21/2015. *Kursinski and Hajj* [2001] determined that the precision of individual occultation specific humidity profiles is ~0.20–0.50 g kg^{-1} in the middle-to-lower troposphere. *Ho et al.* [2007] combined AIRS and RO data retrieving specific humidity profiles in the lower troposphere with root-mean-square-error (RMSE) between 0.40 g kg^{-1} (at 700 hPa) and 0.05 g kg^{-1} (at 400 hPa). *Ho et al.,* [2010] collocated RO and ECMWF profiles near radiosonde locations and estimated that the standard deviation of the differences between the two data sets is < 0.50 g kg^{-1} above 3.0 km altitude. *Kishore et al.,* [2011] estimated that the differences between the ERA-Interim and COSMIC are -0.15±0.22 g kg^{-1} at 3.0 km and -0.07±0.06 g kg^{-1} at 7.0 km, in the deep tropics (±20°). They also estimated that the differences between the Japanese Re-Analysis 25-year (JRA-25) and COSMIC are about -0.10±0.23 g kg^{-1} at 3.0 km and -0.20±0.06 g kg^{-1} at 7.0 km. *Ao et al.* [2012] estimated that the specific humidity precision is ~0.15 g kg^{-1} per degree kelvin error in temperature. *Vergados et al.* [2014] reported that RO specific humidity is retrieved within ~0.20–0.40 g kg^{-1} accuracy at the tropics, provided the RO refractivity accuracy is ~1.0% at an altitude of 2.0 km decreasing to ~0.2% at an altitude of 8.0 km [*Kuo et al.*, 2005] and a temperature error of ±1.0 K. Recently, *Kursinski and Gebhardt* [2014] proposed a novel approach to further improve the retrieved humidity accuracy and precision from RO observations in the middle troposphere.

Conclusively, the specific humidity accuracy and precision from RO observations depends on altitude and we determine it to be ~10–20%. MERRA assimilates various observational data sets and the SH accuracy is a function of the accuracy of the assimilated products. In general, the MERRA specific humidity retrievals are accurate to ~20% [*Rienecker et al.*, 2011]. AIRS estimated specific humidity product accuracies are typically ~25% at p > 200 hPa [*Fetzer et al.,* 2008], and ERA-Interim specific humidity products have an estimated accuracy of ~7–20% in the tropical lower-to-middle troposphere [*Dee et al.,* 2011]. The RO retrievals seem to have better accuracy than the AIRS retrievals, which could be attributed to the fact that the RO observations are based on precise time measurements and have very low sensitivity to clouds (unlike the IR observations). In general, the RO observations seem to have similar accuracy and precision with both the MERRA and ERA-Interim reanalyses.

3. Results and Discussion

3.1. Analysis of the specific humidity in the deep tropics

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Note: We deleted this text, because we have already mentioned this details in the Methodology Section.

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The latitude belt within ±15^{o} encompasses the ascending branch of the Hadley cell circulation. Near to the surface, moist air masses from both hemispheres converge within this narrow equatorial region, collide, and lead to heavy precipitation. The amount of the latent heat released during rainfall warms the air driving strong rising motions, deep convection, and high cloud formation.

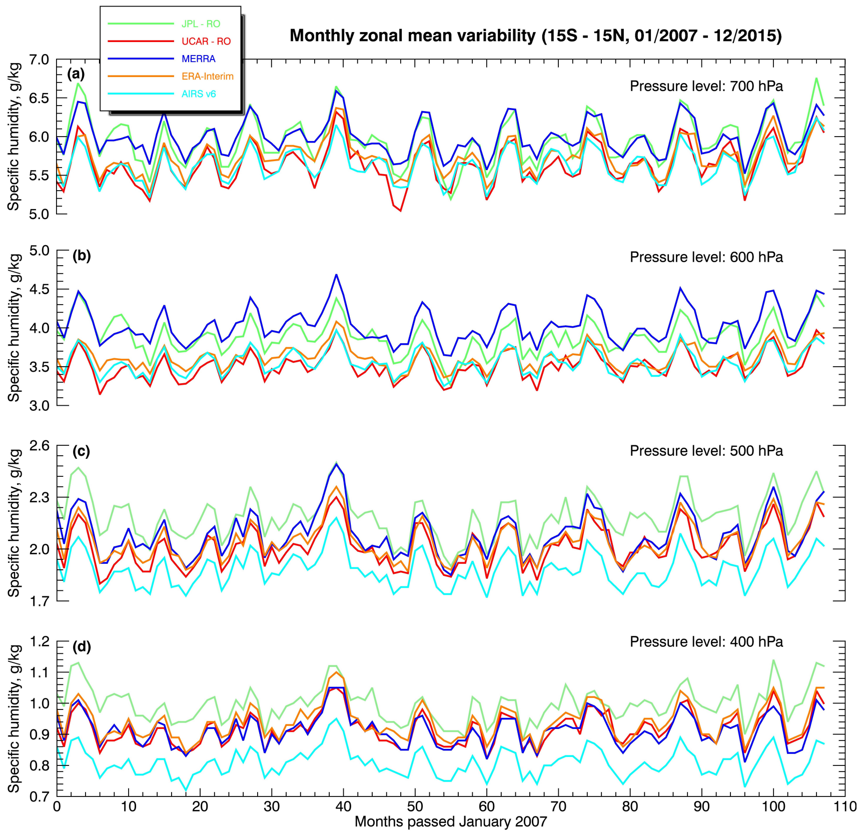

Figure 1. Times series of the monthly zonal averages of the specific humidity from January 1, 2007 until December 31, 2015 from JPL (green), UCAR (red), ERA–Interim (orange), MERRA (blue) and AIRS (cyan) at (a) 500 hPa, (b) 400 hPa, (c) 700 hPa, and (d) 600 hPa pressure levels.

Figure 1 shows the monthly zonal mean specific humidity as a function of time from January 2007 until December 2015 from 700 hPa up to 400 hPa. Qualitatively, all data sets capture the same variability pattern, exhibiting clear signatures of an annual and interannual cycle at all pressure levels. Quantitatively, the magnitude of the specific humidity varies among data sets having a minimum value of 5.0 g kg^{-1} (summer and winter) and a maximum value of 6.5 g kg^{-1} (spring and autumn) at 700 hPa. Its value decreases with altitude and at 400 hPa fluctuates between 0.7 g kg^{-1} (during summer and winter) and 1.0 g kg^{-1} (during spring and autumn). Table 1 shows that the 9-year mean differences among all climatologies are < 20%, falling within the level of retrieval uncertainty of individual RO specific humidity profiles.

Table 1. Mean climatology, deviation of the mean climatology from JPL, and linear regression fits of the specific humidity time series from JPL, UCAR, ERA–Interim, MERRA, and AIRS over the ±15° climate region. The 2-sigma uncertainties are estimated for each statistical metric, and their statistical significance is evaluated at $p < 0.05$ confidence level. Boxes filled with red are statistically insignificant.

PART I: 9–year long mean of specific humidity climatology with 2-sigma uncertainty, g kg^{-1}					
Data Records	**JPL**	**UCAR**	**ERA–Interim**	**MERRA**	**AIRS**
400 hPa	0.99 ± 0.12	0.92 ± 0.10	0.94 ± 0.12	0.91 ± 0.10	0.81 ± 0.08
500 hPa	2.18 ± 0.26	2.01 ± 0.22	2.04 ± 0.22	2.08 ± 0.26	1.88 ± 0.20
600 hPa	3.88 ± 0.44	3.51 ± 0.30	3.62 ± 0.30	4.03 ± 0.44	3.55 ± 0.32
700 hPa	5.95 ± 0.60	5.64 ± 0.52	5.74 ± 0.46	5.99 ± 0.46	5.64 ± 0.44
PART II: 9–year long mean of specific humidity deviations from JPL–RO, g kg^{-1}					
400 hPa	n/a	- 0.08	- 0.06	- 0.08	- 0.19
500 hPa	n/a	- 0.17	- 0.14	- 0.10	- 0.31
600 hPa	n/a	- 0.37	- 0.27	+ 0.15	- 0.33
700 hPa	n/a	- 0.31	- 0.22	+ 0.04	- 0.32
PART III: Linear regression of specific humidity anomalies with 2-sigma uncertainty, g kg^{-1} $month^{-1}$					
400 hPa	$(1.0±3.0)x10^{-4}$	$(3.7±2.2)x10^{-4}$	$(2.4±2.2)x10^{-4}$	$(0.1±2.1)x10^{-4}$	$(0.3±2.0)x10^{-4}$
500 hPa	$(2.3±6.0)x10^{-4}$	$(9.6±4.4)x10^{-4}$	$(6.2±4.6)x10^{-4}$	$(3.3±5.4)x10^{-4}$	$(2.1±4.2)x10^{-4}$
600 hPa	$(-1.8±10)x10^{-4}$	$(15.1±6.6)x10^{-4}$	$(6.3±6.8)x10^{-4}$	$(8.4±8.0)x10^{-4}$	$(6.3±5.4)x10^{-4}$
700 hPa	$(6.1±12)x10^{-4}$	$(17.2±9.0)x10^{-4}$	$(14.1±8.8)x10^{-4}$	$(1.3±7.2)x10^{-4}$	$(12.9±7.2)x10^{-4}$

Due to averaging over 9 years, random and systematic errors in the time series are significantly reduced, representing the degree of disagreement among climatologies. Despite these differences, figure 2 shows that all interannual anomaly climatologies not only capture the same variability patterns but they also have almost similar magnitudes. Their amplitude fluctuates around ± 0.4 g kg^{-1} at 700 hPa and decreases with altitude to ± 0.1 g kg^{-1} at 400 hPa.

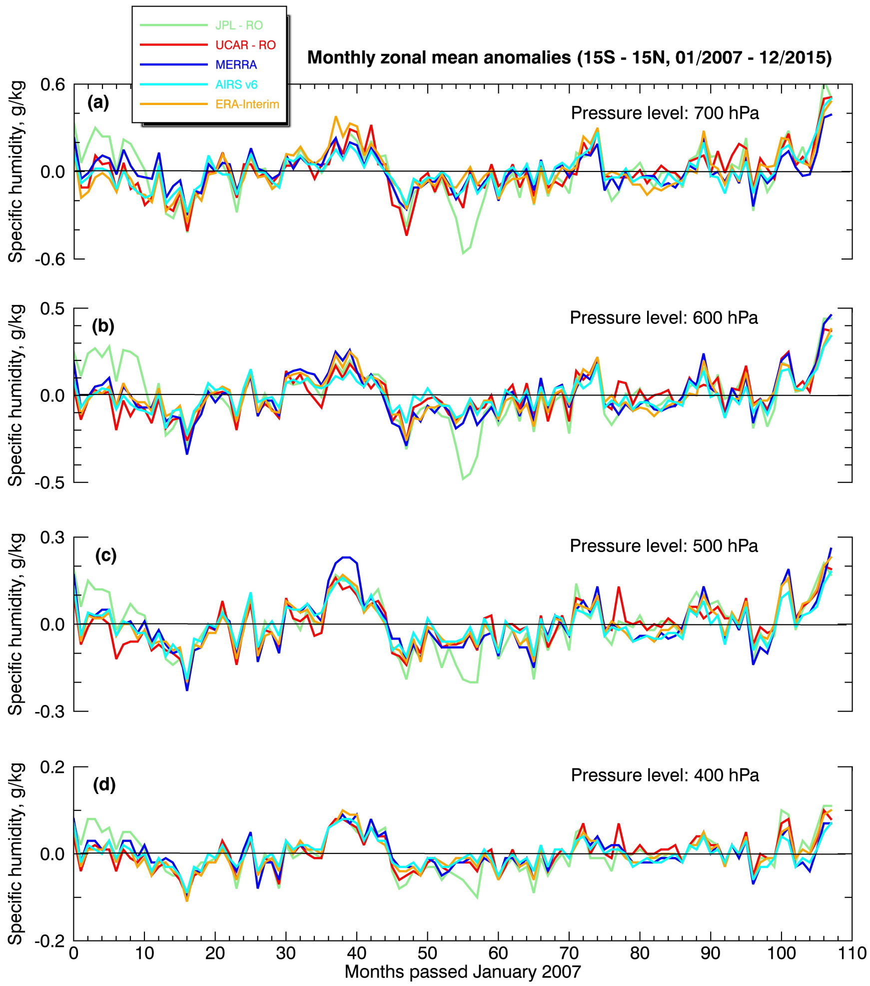

Figure 2. This is the same as figure 1, but for the specific humidity interannual anomalies.

During the strong La Niña event in 2010–2011 all interannual anomaly climatologies captured an enhancement in specific humidity with respect to the background, which is more pronounced at 500 hPa and 400 hPa marking the highest values in the time series. An even stronger El Niño event occurred in 2015–2016 and the interannual anomalies in all climatologies also started showing a pronounced increase in specific humidity. Interestingly, during the strong La Niña event in 2007–2008, only the JPL climatology displayed increased specific humidity values compared to the rest of the rest climatologies. The interannual anomaly variations for all data sets in the middle troposphere correlate strongly (> 0.8) with those in the lower troposphere, but have smaller amplitude.

A linear regression fit and a Student *t*-test on the specific humidity interannual anomalies shows that the JPL and MERRA series do not suggest an increase in specific humidity with time between 700 hPa and 400 hPa (cf., Table 1). However, the UCAR and ERA–Interim data sets show an increase of the tropospheric specific humidity, with slower increase rate with increasing altitude. The difference between the two data sets is that UCAR-RO suggests faster moistening of the troposphere than ERA–Interim. The AIRS data sets also show an increase of the specific humidity at 700 hPa and 600 hPa at a rate similar to that of ERA–Interim, but no SH increase at 500 hPa and above.

We statistically analyze the 9-year time series of the absolute specific humidity (cf., figure 1) and interannual anomaly climatologies (cf., figure 2) by estimating their respective interquartile ranges as shown in figures 3 and 4. In these box plots, the solid black line inside the boxes represents the median value of the 9-year climatologies. The length of the box represents the value range within which we find 50% of the values around the median. The top and bottom whiskers define the largest and the lowest monthly zonal mean values of the time series.

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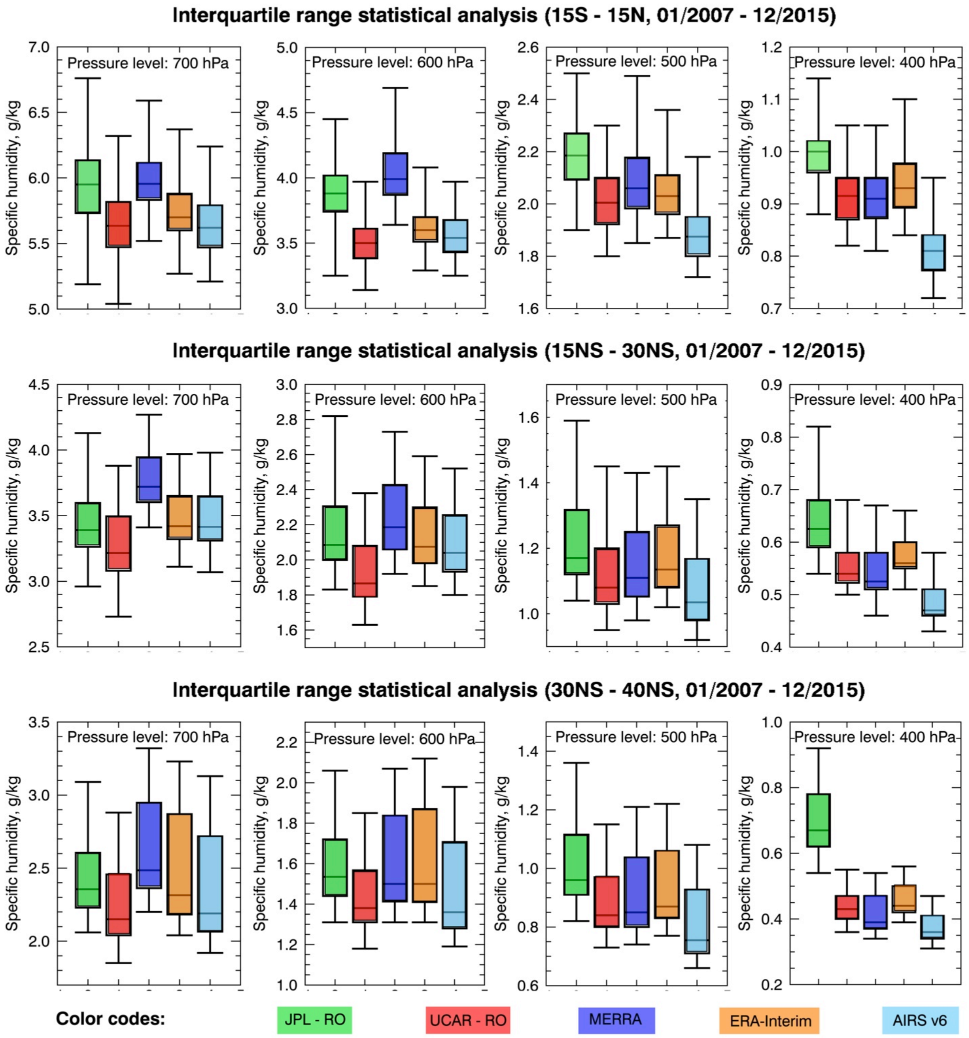

Figure 3. Boxplots of the monthly zonal mean specific humidity throughout the 2007–2015 time period for the 700 hPa, 600 hPa, 500 hPa, and 400 hPa over the ascending branch of Hadley cell (±15°) (top row), the trade winds belt (±15–30°NS) (middle), and the descending branch of Hadley cell at the subtropics (±30–40°) from JPL (green), UCAR (red), MERRA (blue), ERA–Interim (orange), and AIRS (cyan).

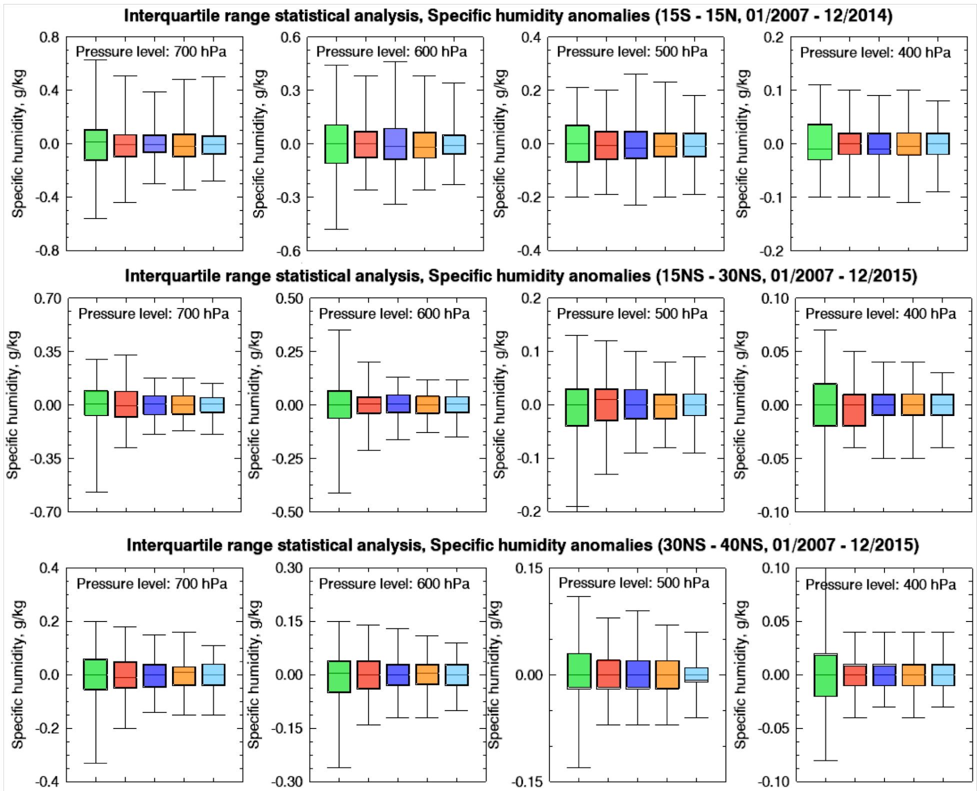

Figure 4. This is the same as figure 3, but for the specific humidity interannual anomalies.

~~The top row in figure 1 presents statistical information about the median, the interquartile range (IQR), and the minimum and maximum values of the specific humidity time series over the entire observational record for all data sets throughout the vertical extent of the troposphere~~. ~~Figure 2 shows details about the variability of the monthly zonal mean SH and Table 1 summarizes the results of figure 2.~~

Figure 3 shows that in the lower troposphere, above the planetary boundary layer, the JPL and MERRA products show almost the same median value of ~6.0 g kg^{-1} (at 700 hPa) and ~4.0 g

Comment [15]: Reviewer #2. Minor Comment #14. **Addressed and completed.**

kg^{-1} (at 600 hPa). Their difference is < 1.0% and < 4.0% at 700 hPa and 600 hPa, respectively (cf., Table 1) marking their excellent agreement. The UCAR, AIRS, and ERA–Interim data sets are in a very good agreement with one another differing by < 3.0%, and they are drier than the JPL and MERRA products by ~7.0–10%. This dryness is more pronounced at 600 hPa. In the middle troposphere, at 500 hPa and 400 hPa, the MERRA, ERA–Interim, and UCAR climatologies start agreeing very well with each other capturing 2.0 g kg^{-1} at 500 hPa and 0.9 g kg^{-1} at 400 hPa. JPL appears to be the moistest of all data sets by < 10%, whereas AIRS is the driest of all data sets by ~15–25% and its dryness is more apparent at 400 hPa.

Figure 4 summarizes the statistics of all specific humidity interannual anomaly climatologies. Despite the differences in the absolute values, the interannual anomalies: a) have almost the same median value, b) have similar IQRs, and c) exhibit similar scattering around the median with almost the same maximum and minimum values. This behavior is seen at 700 hPa up to 400 hPa, with the scattering around the median to be more consistent among the climatologies at higher altitudes. We should point out that the pronounced AIRS dry bias over the deep tropics ITCZ [*Hearty et al.* 2014], due to sampling limitations over cloud-covered regions, can explain the observed systematic lower specific humidity values with respect to all data sets from 700 hPa up to 400 hPa. This suggests that IR observations over deep convective environments do not properly capture the amount of water vapor in the atmosphere.

~~ERA–Interim underestimates the total cloud fraction over the ±15° region compared to MERRA [*Dolinar et al.,* 2016; figure 1] and is also colder than MERRA by ~1.0 K in the 2006–2011 time period at the tropics at 700 hPa [*Simmons et al.,* 2014; figure 18]. Given the definition of specific humidity (as the product between the relative humidity and the saturation vapor pressure), it is evident why MERRA shows a wetter air than ERA–Interim in the lower~~

~~troposphere. However, the cold bias in the ERA-Interim becomes small with altitude and reduces to almost zero at 500 hPa, and ERA-Interim starts showing a warm bias with respect to MERRA at 300 hPa by ~ 0.1–0.3 K [*Simmons et al.,* 2014]. This temperature bias between the two reanalyses could possibly explain why the two reanalyses begin to estimate similar SH values at 500 hPa and 400 hPa.~~

Comment [16]:

Note: We decided to remove this detail, because this manuscript does not focus on the differences between the re-analyses.

3.2. Analysis of the specific humidity at the trade winds zones

The ±15-30^{o} latitudinal belt, in both hemispheres, defines the trade winds zones, where dry air masses descending from the Hadley cell at the subtropics travel towards the equator. These regions exhibit shallower convection compared to the deep tropics, as clouds forming in these regions are typically cumulus and do not extend above 4.0 km.

Figures S1 and S2 (cf., supplementary material) show that the specific humidity climatology and the respective interannual anomaly for all data sets capture distinct annual and interannual variability patterns at all pressure levels. The specific humidity is lower in the trade winds zone than in the deep tropics ranging from 2.5–4.5 g kg^{-1} at 700 hPa to 0.45–0.75 g kg^{-1} at 400 hPa and the amplitude of the interannual anomalies is ~50% smaller in the 700–400 hPa pressure range. The interannual anomalies are also correlated between 700 hPa and 400 hPa (> 0.6), but their degree of correlation is weaker than that over the deep tropics, and we do not observe enhanced values during the strong La Niña and El Niño events as we observe over the deep tropics. We suggest that this may be due to weaker convection over the trade winds zone compared to the deep tropics; thus, establishing a weaker vertical connection. In the trade winds zone, all data sets do not suggest a statistically significant increase in specific humidity (cf., Table S1), but we ought to point out that the linear regression fit slopes are negative.

Table S1 shows that the mean differences of the specific humidity over the 9-year period, between JPL and the rest of the data sets, is smaller at 700 hPa, 600 hPa, and 500 hPa than the differences in the deep tropics, except at 400 hPa where it remains almost the same. These differences are smaller than 20% and fall within the retrieval uncertainty of the data sets. It appears that over less convective regions the climatologies agree better with one another suggesting that convection could may be a limiting factor in properly sensing the amount of water vapor in the atmosphere.

Figure 3 (middle row) and figure S1 show that the specific humidity climatologies in the trade winds zone have similar characteristics with the deep tropics at 500 hPa and 400 hPa. The JPL data set appears to be again the wettest and the AIRS the driest compared to all climatologies, whereas UCAR, ERA-Interim, and MERRA show a very good agreement in between. The reason JPL appears to be the wettest at 500 hPa is because the summer season in all years is wetter by ~4.0% than the rest of the data sets, but this difference is within the systematic uncertainty of the retrievals. However, at 700 hPa and 600 hPa, we notice a different behavior in terms of the data sets' agreement compared to our analysis in the deep tropics. Specifically, the JPL, ERA-Interim, and AIRS data sets agree very well with one another having differences of ~ 1.0% (at 700 hPa) and ~ 2.0–3.0% (at 600 hPa); but, these differences are statistically insignificant. UCAR is the driest of all data sets by ~15% (with respect to MERRA) and ~ 5.0–10% (with respect to JPL), and MERRA seems to overestimate the specific humidity particularly at 700 hPa.

Figure 4 (middle row) and figure S2 show that the specific humidity interannual anomalies are in excellent agreement with one another having almost the same median value, similar IQR, and exhibit similar scattering around the median. The exception is the JPL climatology, which shows larger scattering towards negative anomaly values. This could be due to outliers in the data, which push down the lowest negative value. This behavior is seen at 700 hPa up to 400 hPa and unlike the deep tropics, we do not observe enhanced specific humidity anomaly values in the climatologies during the strong La Niña and El Niño events (Figure S2).

3.3. Analysis of the specific humidity at the subtropics

The ±30-40^{o} latitude belt, in both hemispheres, defines the subtropics where dry air descends from the Hadley cell. These moderate-to-strong subsidence regions exhibit low cloud formation (especially during the summer months), while favoring formation of low-altitude marine boundary layer (MBL) clouds.

Comment [17]: Reviewer #1. Specific Comment #8. **Addressed and completed.**

Figures S3 and S4 (cf., supplementary material) show that the specific humidity climatology shows a distinct annual cycle signature at all pressure levels, with lower values ~2.0–3.5 g kg^{-1} at 700 hPa to 0.3–0.6 g kg^{-1} at 400 hPa (except for the JPL climatology that appears wet biased) than the trade winds zones and the deep tropics. The amplitudes of the specific humidity interannual anomalies are also smaller by ~50% (cf., figure S8) than those estimated over the trade winds zone and the deep tropics. The specific humidity interannual anomalies show the same degree of correlation (~0.65) with altitude as the one estimated in the trade winds zones, suggesting again that the strength of the convection defines the correlation strength of the specific humidity anomalies throughout the vertical extent of the troposphere. Table S2 shows that ERA–Interim and UCAR (at all pressure levels) as well as AIRS (at 500 hPa and 400 hPa) capture a moistening of the subtropics, except from the AIRS at 700 hPa and 600 hPa pressure levels where the data set indicates a decrease in the SH over time. JPL does not show a decrease/increase of specific humidity with time, and MERRA shows moistening of the middle troposphere. Compared to the deep tropics and the trade winds zones, Table S2 shows that the mean differences of the specific humidity values between JPL and the rest of the data sets are smaller than in the deep tropics and similar to the trade winds zone, except at the 400 hPa where it remains almost the same. Again, this hints towards the notion that different data sets agree better with one another over regions characterized by less convection.

Figure 3 (bottom row) and figure S3 show that the specific humidity climatologies in the subtropics in the middle troposphere show the exact same behavior as in the deep tropics and the trade winds zone at all pressure levels. Specifically, JPL captures moister air than all other data sets and this wetness is more pronounced at 400 hPa. The AIRS is systematically the driest among all climatologies, and MERRA, ERA-Interim, and UCAR show an excellent agreement being in between the JPL and the AIRS data sets. At 700 hPa, MERRA and UCAR are the wettest and driest climatologies respectively, with JPL, ERA-Interim, and AIRS having a very good agreement lying in between. At 600 hPa, JPL agrees very well with both reanalyses differing by < 2.0%, and UCAR agrees very well with AIRS being drier than by ~7.0%. All these differences are smaller than each data set's retrieval uncertainty, except that of JPL at 400 hPa which is > 30%. Similar to the deep tropics and the trade winds zone, the specific humidity interannual anomalies in the subtropics exhibit the same behaviors being in excellent agreement with one another having almost the same median value, similar IQR, and similar scattering around the median (cf., figure 4 – bottom row and figure S8).

3.4. Differences between JPL and UCAR specific humidity retrievals

Comment [18]:

Reviewer #1. General Comment #2.

Reviewer #2. Minor Comment #13.

Addressed and completed.

To begin establishing the RO-derived specific humidity as a climate product, we must investigate the origin of the observed differences between the JPL and UCAR specific humidity statistics. One of the possible reasons for the observed discrepancies in figure 1 could be the difference in the refractivity products generated by each center. Here, we investigate this possibility by analyzing the JPL and UCAR refractivity climatologies in the deep tropics.

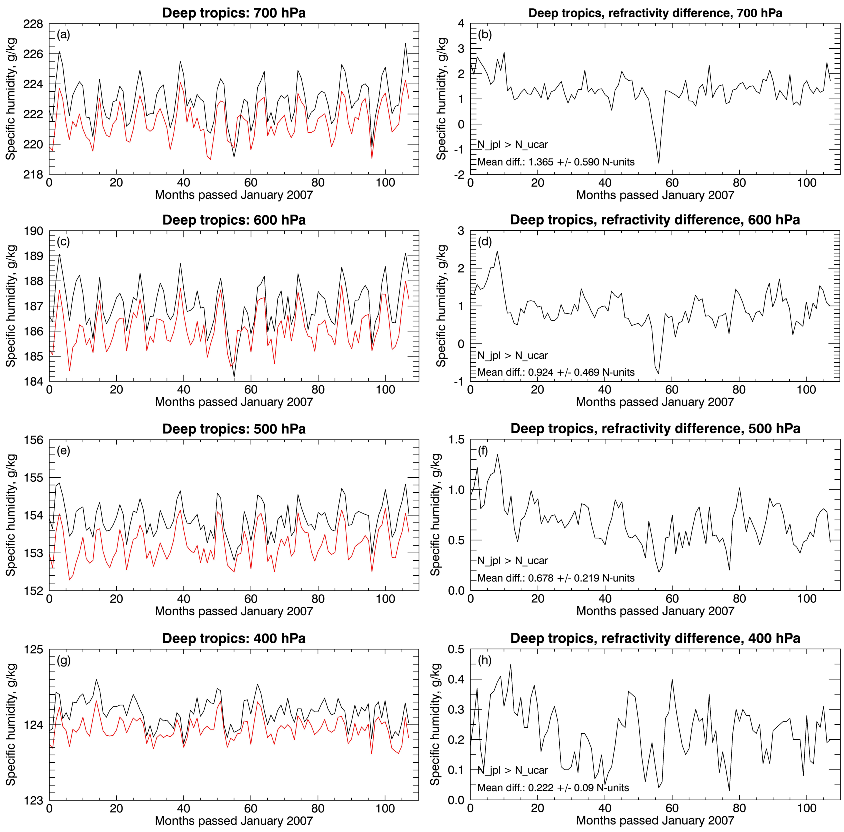

Figure 5. Times series of the monthly zonal averages of the refractivity from January 1, 2007 until December 31, 2015 in the deep tropics (±15°) from JPL (black) and UCAR (red) at (a) 700 hPa, (b) 600 hPa, (c) 500 hPa, and (d) 400 hPa pressure levels. The time series of the refractivity differences between JPL minus UCAR are shown at (e) 700 hPa, (f) 600 hPa, (g) 500 hPa, and (h) 400 hPa.

Figure 5 shows that the monthly zonal averages of the JPL-derived refractivity are systematically larger than those estimated by UCAR and this is noticeable at all pressure levels. The JPL and UCAR climatologies are in excellent agreement, which becomes better with increasing altitude. Interestingly, we notice a sharp dip in the JPL refractivity in figure 5 during the summer of 2011 at 700 hPa and 600 hPa, which explains the JPL specific humidity interannual anomaly dip during the same period at 700 hPa and 600 hPa in figure 2. Quantitatively, the 9-year mean differences are 1.365±0.590 N-units (or 0.6% with respect to UCAR) at 700 hPa, 0.924±0.469 N-units (or 0.5% with respect to UCAR) at 600 hPa, 0.678±0.217 N-units (or 0.4% with respect to UCAR) at 500 hPa, and 0.222±0.09 N-units (or 0.2% with respect to UCAR) at 400 hPa. From equation (1), we can derive an expression that relates refractivity changes into water vapor pressure changes, assuming a constant temperature:

$$\delta N \equiv (N' - N) = a \cdot \frac{P}{T} + b \cdot \frac{(e + \delta e)}{T^2} - a \cdot \frac{P}{T} - b \cdot \frac{e}{T^2} = \frac{b}{T^2} \cdot \delta e \Leftrightarrow \frac{\delta N}{\delta e} = \frac{b}{T^2} \qquad [3]$$

Where δN and δe represent the refractivity and water vapor pressure changes. We convert these water vapor changes into specific humidity changes using equation (2). The mean refractivity differences from figure 5 correspond to specific humidity differences of the order of: a) 0.26±0.11 g kg^{-1} at 700 hPa, b) 0.19±0.10 g kg^{-1} at 600 hPa, c) 0.16±0.05 g kg^{-1} at 500 hPa, and d) 0.06±0.02 g kg^{-1} at 400 hPa. Comparing these values with the mean differences in Table 1, we argue that the majority of the specific humidity differences between JPL and UCAR at all pressure levels results from the refractivity differences between the two centers.

Another factor that could cause the JPL and UCAR specific humidity climatologies to deviate is the different retrieval approaches adopted by JPL and UCAR. JPL uses equation (1) to solve for the water vapor pressure by assuming a background temperature from the ECMWF TOGA operational analysis. Comparisons of ECMWF operational products with rawinsondes over the Pacific and Indian oceans reveal a systematic warm bias in the operational analysis of the order of 0.5 K with an RMSE of 1.0 K [*Nuret and Chong,* 1996; *Nagarajan and Aiyyer,* 2004]. This bias leaks through the JPL retrievals, causing JPL to overestimate the specific humidity (e.g., by ~0.10 g kg^{-1} at 500 hPa and 400 hPa). UCAR uses a variational assimilation approach that takes ERA–Interim temperature and humidity information as *a-priori*. This could explain why UCAR climatologies appear to be consistent with ERA–Interim at all altitudes in the deep tropics and in the middle troposphere at the trade winds zone and the subtropics. Additionally, the different quality control used by the two centers leads to a different number of available occultations, which could also introduce a small bias in the specific humidity comparisons. However, this effect would be small as we analyze monthly zonal averages.

Comment [19]: Reviewer #1. Specific Comment #6. **Addressed and completed.**

4. Conclusions

Based on statistical tests using a 2-sigma uncertainty and 95% confidence level criteria the RO observations agree very well with the MERRA, ERA-Interim, and AIRS climatologies by capturing similar magnitudes and patterns of variability in the monthly zonal mean specific humidity and interannual anomaly over annual and interannual timescales. The specific humidity differences between RO and all other climatologies fall within the expected specific humidity retrieval uncertainty. The JPL and UCAR specific humidity climatologies differ by less than 15% in the median (depending on location and pressure level) and these differences are primarily due to the differences in the retrieved refractivity. Although we could explain these differences, we cannot speculate which center is closer to the truth, we demonstrate that both JPL and UCAR

Comment [20]: Reviewer #1. General Comment #2. **Addressed and completed.**

essentially provide similar specific humidity climatologies within the retrieval uncertainty. At 500 hPa and 400 hPa, in all climate zones, JPL appears to be the wettest of all data sets; AIRS is the driest of all data sets, and UCAR, ERA-Interim, and MERRA are in very good agreement lying in between the JPL and AIRS climatologies. In the lower-to-middle troposphere, we present a complex behavior of discrepancies, as we speculate that this might be because the 700 hPa and 600 hPa pressure levels are closest to the planetary boundary layer that interfaces with the free troposphere via convection and entrainment. This implies that the specific humidity measured by each data set could be susceptible to the degree which each data set represents this vertical coupling. Weather models are known to be less accurate over convective regions, and recent studies indicate that RO observations could be positively biased by only 2% over cloudy regions [*Yang and Zou*, 2017].

Given the above, the RO observations could augment the reanalyses and satellite observations by providing an ~~independent~~ additional complementary data set to study short-term SH variations, which are critical to the study of water vapor trends, and climate sensitivity, variability, and change. More detailed statistical analysis is required between the SH products between different RO processing centers to define its structural uncertainty. The reduced daily sampling of the COSMIC mission may be also a limiting factor in properly establishing differences between the RO and other platforms. We expect that the increased sampling rate of the COSMIC-2 follow-on mission will provide a much better picture of the tropical and subtropical climatology, which will help us extend the current short-term RO record.

Comment [21]:
Reviewer #1. Specific Comment #9.
Addressed and completed.

Comment [22]:
Reviewer #1. Specific Comment #10.
Addressed and complete.

Comment [23]:
Reviewer #2. Minor Comment #15.
Addressed and completed.

Comment [24]:
Reviewer #2. Minor Comment #17.
Addressed and completed.

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Comment [25]:

Reviewer #2. Minor Comment #10.

Addressed and completed.

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