

1 **Comparison of aerosol optical depth from satellite (MODIS),**  
2 **sun photometer and broadband pyrhelimeter ground-based**  
3 **observations in Cuba.**  
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5 Juan Carlos Antuña-Marrero<sup>1</sup>, Victoria Cachorro Revilla<sup>2</sup>, Frank García Parrado<sup>1</sup>, Ángel de  
6 Frutos Baraja<sup>2</sup>, Albeth Rodríguez Vega<sup>1</sup>, David Mateos<sup>2</sup>, René Estevan Arredondo<sup>3,1</sup>, Carlos  
7 Toledano<sup>2</sup>  
8

9 <sup>1</sup> Atmospheric Optics Group of Camagüey (GOAC), Meteorological Institute of Cuba, Camagüey, Cuba

10 <sup>2</sup> Atmospheric Optics Group (GOA), University of Valladolid (UVA), Valladolid, Spain

11 <sup>3</sup> Huancayo Observatory, Geophysical Institute of Peru, Huancayo, Peru  
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25 Corresponding author:

26 Juan Carlos Antuña-Marrero

27 Atmospheric Optics Group of Camagüey

28 Meteorological Institute of Cuba

29 Camagüey, Cuba

30 Email: [jcam45@gmail.com](mailto:jcam45@gmail.com)  
31  
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### 33 **Abstract**

34           In the present study, we report the first comparison between the aerosol optical depth  
35 (AOD) and Angstrom exponent (AE) of the MODerate resolution Imaging Spectroradiometer  
36 (MODIS) instruments on the Terra (AOD<sub>t</sub>) and Aqua (AOD<sub>a</sub>) satellites and those measured using  
37 a sun photometer (AOD<sub>SP</sub>) at Camagüey, Cuba, for the period 2008 to 2014. The comparison of  
38 Terra and Aqua data includes AOD derived with both Deep Blue (DB) and Dark Target (DT)  
39 algorithms from MODIS Collection 6. Combined Terra and Aqua (AOD<sub>ta</sub>) data were also  
40 considered. Assuming an interval of  $\pm 30$  minutes around the overpass time and the area of 25 km  
41 around the sun photometer site, two coincidence criteria were considered: individual pairs of  
42 observations, and both spatial and temporal mean values, which we call collocated daily means.  
43 The usual statistics (BIAS, MAE, RMSE) together with linear regression analysis are used for this  
44 comparison. Results show very similar values for both coincidence criteria: DT algorithm  
45 generally displays better statistics and higher homogeneity than the DB algorithm in the behaviour  
46 of AOD<sub>t</sub>, AOD<sub>a</sub>, AOD<sub>ta</sub> as compared to AOD<sub>SP</sub>. For collocated daily means: a) mean square errors  
47 (RMSE) of 0.060 and 0.062 were obtained for Terra and Aqua with the DT algorithm and 0.084  
48 and 0.065 for the DB algorithm; b) MAE follows the same patterns; c) BIAS for both Terra and  
49 Aqua presents positive and negative values but its absolute values are lower for the DT algorithm;  
50 d) Combined AOD<sub>ta</sub> data also give lower values of these three statistical indicators for the DT  
51 algorithm; e ) both algorithms present good correlations for comparing AOD<sub>t</sub>, AOD<sub>a</sub>, AOD<sub>ta</sub> vs.  
52 AOD<sub>SP</sub>, with a slight overestimation of satellite data compared to AOD<sub>SP</sub>, f) DT algorithm yields  
53 better figures with slopes of 0.96 (Terra), 0.96 (Aqua) and 0.96 (Terra+Aqua) compared to the  
54 DB algorithm (1.07, 0.90, 0.99) that displays greater variability. Multiannual monthly means of  
55 AOD<sub>ta</sub> establish a first climatology more comparable to that given by the sun-photometer and their

56 statistical evaluation reveals better agreement with  $AOD_{SP}$  for the DT algorithm. Results of the  
57 AE comparison showed similar results to those reported in the literature concerning the two  
58 algorithms' capacity for retrieval. A comparison between broadband optical depths (BAOD),  
59 derived from broadband pyrheliometer observations at the Camagüey site and three other  
60 meteorological stations in Cuba, and AOD observations from MODIS on board Terra and Aqua  
61 show a poor correlation with slopes below 0.4 for both algorithms. Aqua (Terra) showed RMSE  
62 values of 0.073(0.080) and 0.088(0.087) for the DB and DT algorithms. As expected, RMSE  
63 values are higher than those from the MODIS/sun photometer comparison, but within the same  
64 order of magnitude. Results from the BAOD, derived from solar radiation measurements,  
65 demonstrate its reliability to describe climatological AOD series estimates.

66

67 **KEY WORDS:** atmosphere, remote sensing, aerosols, Aerosol Optical Depth (AOD), Broadband  
68 Aerosol optical depth (BAOD), AERONET, MODIS

69

## 70 **1. Introduction**

71 Atmospheric aerosols play an important role in weather and climate (IPPC 2013). Depending on  
72 the physical/chemical and optical properties of atmospheric aerosols together with their origin and  
73 spatial and temporal distribution, they can affect the Earth's radiative budget, as well as dynamic,  
74 biogeochemical and chemical processes (Knippertz and Stuut, 2014; Seinfeld and Pandis, 2016).  
75 All of these processes play a key role at a global and regional scale due to the high spatio-temporal  
76 variability of aerosol properties. Aerosols can also affect the biosphere and, in particular, humans  
77 in several ways: for example, the Saharan dust transported to America across the Atlantic supplies  
78 nutrients to the Amazon forest (Swap et al., 1992; Yu et al., 2015). Moreover, in the Caribbean, in

79 addition to aerosols of local origin, dust makes the amount of aerosol exceed air quality standards  
80 associated to human health (Prospero and Lamb, 2003; Prospero et al., 2014). The great variability  
81 of Saharan dust transported to the Caribbean basin has been documented using long-term  
82 observations in Barbados (Prospero and Lamb, 2003; Prospero and Mayol-Bracero, 2013) and  
83 more recently in Miami, Guadeloupe and Cayenne (Prospero et al., 2014). The Caribbean region  
84 is thus of great importance for aerosol studies due to its low aerosol background, which helps  
85 aerosol transport studies (Kaufman et al., 2005; Denjean et al., 2016; Velasco et al., 2018). One  
86 difficulty, however, is that it is an area where land and water make up a mixed pixel when remote  
87 satellite aerosol studies are carried out.

88 In order to improve calculations of aerosol climatology for Cuban land areas, which  
89 remains ongoing, we compared aerosol ground-based observations and available satellite data, as  
90 a first step towards assessing this climatology. This involves a comparison between all the  
91 available Camagüey sun photometer aerosol optical depth (AOD) data and the BAOD provided  
92 by solar radiation measurements with the series of AOD (550 nm) from the MODerate resolution  
93 Imaging Spectroradiometer (MODIS) instruments on board the Terra (2001 to 2015) and Aqua  
94 (2002 to 2015) satellites. Selected observations were those spatially and temporally collocated  
95 between satellite instruments and ground-based sites. In addition to the aerosol load given by the  
96 AOD, we also evaluated the Ångström exponent (AE) as a parameter providing information about  
97 particle size for MODIS and sun photometer data.

98 One of the challenges we faced was the low amount of potential coincident AOD and AE  
99 from MODIS and the Sun photometer. The same is true for AOD from MODIS and broadband  
100 pyrhelimeter derived BAOD, in both cases due to existing gaps in the ground-based time series  
101 and also because this area is strongly affected by clouds (mainly partially cloud cover). In order to

102 maximize the number of satellite and surface measurement pairs, we used primary AOD and AE  
103 L2 products without any averaging as well as combined AOD and AE from Terra and Aqua  
104 MODIS sensors as a whole dataset. We also used Deep Blue (DB) and Dark Target (DT)  
105 algorithms to evaluate the reliability of satellite AOD and AE retrievals to select the most  
106 appropriate data set to derive the climatology of both AOD/AE aerosol parameters in Cuba.

107         The earliest attempt to measure aerosol optical properties at ground level in Cuba recorded  
108 in a scientific publication dates back to 1988 (Martinez, 1988) where the Linke turbidity factor  
109 and the Ångström  $\beta$  turbidity coefficient were derived from solar direct normal irradiance (DNI)  
110 measurements. Twenty years later, a cooperation agreement between scientific institutions in  
111 Spain and Cuba enabled a Cimel CE-318 sun photometer to be installed at Camagüey (Cuba) and  
112 for it to be included in the Aerosol Robotic Network (AERONET, Holben et al., 1998). Several  
113 aerosol studies have been conducted using the Aerosol Optical Depth (AOD) and AE from  
114 Camagüey's sun photometer observations (see, Antuña-Marrero et al., 2016;  
115 <http://www.goac.cu/uva/>).

116         Broadband pyrheliometric DNI observations allow the Broadband Aerosol Optical Depth  
117 (BAOD) to be determined, which complements sun photometer aerosol observations at Camagüey,  
118 and provides aerosol information at three other locations in Cuba. The main purpose of  
119 determining BAOD is to offer information concerning aerosol variability over the island, also  
120 making it possible to extend aerosol records back in time. The first BAOD calculations used for  
121 DNI measurement were conducted at Camagüey under clear sky conditions for the period 1985-  
122 2007 using Gueymard's (1998) improved parameterizations (Fonte and Antuña, 2011). García et  
123 al. (2015) used this kind of DNI observation for a longer period (1981-2013) and compared this  
124 BAOD to sun-photometer AOD data. They used observations under the clear line of sight between

125 the broadband pyrheliometer and a region of 5° around the Sun, as well as improved climatological  
126 values of the integrated water vapor.

127 This comparative analysis does not aim to be a validation study of the MODIS sensor since  
128 many works during the long history of the MODIS sensor on the Terra and Aqua platforms have  
129 sought to improve its features (these include: Kaufman et al., 1997a, b; Tanré et al., 1997; Remer  
130 et al., 2002, 2005, 2006; Hsu, et al., 2004,2006, 2013; Levy et al., 2007; 2009; 2010, 2013, 2015;  
131 Sayer et al., 2013, 2014; <https://darktarget.gsfc.nasa.gov/atbd/overview>). However, compared to  
132 other areas of the world, no studies have been reported in the Caribbean region and in Cuba in  
133 particular (Papadimas et al., 2009; Mishchenko, et al., 2010; Kahn et al., 2011; Bennouna et al.,  
134 2011, 2013; Witte et al., 2011; Gkikas et al., 2013; 2015; Levy et al., 2015).

135 As mentioned, our aim is to establish reliable aerosol climatology in Cuba based on satellite  
136 and ground-based instruments. By making a detailed comparison of similarities and differences  
137 between available data sets, the present work seeks to make a contribution to said aim.

138 The article is structured as follows. Section 2 begins with the description of the datasets,  
139 followed by the explanation of the coincidence criteria between the AOD and AE MODIS L2  
140 products and the same two variables from the sun photometer and broadband pyrheliometer  
141 BAOD. This section ends with the explanation of the statistical indices used. Section 3 is composed  
142 of various sections designed to explain and discuss the large volume of results to emerge from the  
143 comparison given by taking two different retrieval AOD aerosol algorithms, for both the Terra and  
144 Aqua platforms, with the sun photometer and BAOD. Section 4 contains a summary of the  
145 conclusions.

146

## 147 **2. Materials and Methods**

148 **2.1 MODIS satellite instruments**

149           The twin MODIS instruments on board the Terra and Aqua satellites have accumulated  
150 over 15 years of observations of several atmospheric parameters, including AOD at several  
151 wavelengths and the AE parameter, the two most common parameters for describing atmospheric  
152 aerosol optical properties. Based on the assumptions about the properties of the Earth’s surface  
153 and the aerosol type expected over these surfaces, the MODIS Atmosphere team developed three  
154 algorithms for processing MODIS observations (Levy et al., 2013). Regions which appear visually  
155 “dark” from space, referred to as Dark Target (DT), include the algorithm assumptions for  
156 vegetated land surfaces (Kaufman et al., 1997a, b) and for remote ocean regions (Tanré et al.,  
157 1997). The third algorithm, called the Deep Blue (DB) algorithm, includes assumptions for  
158 surfaces which are visually “bright” from space and uses near-UV wavelengths (DB band near 410  
159 nm). Under these conditions, the DB band provides a better signal than the visible wavelengths,  
160 improving the information content for aerosol retrievals (Hsu et al., 2004; 2006) due to lower  
161 surface albedo at this short wavelength. Levy et al. (2013) provide a detailed explanation of basic  
162 MODIS retrieval concepts and improvements to the DT algorithm in Collection 6 for aerosol  
163 products. In addition, Hsu et al. (2013) give a detailed explanation of the DB algorithm  
164 improvements in Collection 6.

165           Following Levy et al. (2013), we summarize the MODIS calculus chain. MODIS Level 0  
166 (L0) is the basic data file containing raw observations from the sensors. Observations grouped in  
167 five-minute swath scans (called granules) are Level 1A (L1A), which after calibration becomes  
168 Level 1B (L1B). L1B data feed the MODIS geophysical retrieval algorithms, generating the  
169 primary geophysical observations, which include AOD and AE, designated Level 2 (L2). This is  
170 followed by Level 3 (L3), consisting of daily and monthly statistics of geophysical products, in 1°

171 x 1° latitude\longitude grid boxes. L2 aerosol products are stored in the MOD04 (Terra) and  
172 MYD04 (Aqua) files.

173 We selected AOD at 550 nm from MODIS (both on Terra and Aqua satellites) Collection  
174 6, L2 data level derived using the two algorithms; DB for land with the highest data quality  
175 (Quality flag = 2, 3) and DT for land, corrected (Quality flag = 3). In addition, we selected the AE  
176 retrieved over land from the DB algorithm using the corresponding pairs of AOD values (412/470  
177 nm or 470/650 nm) with the highest quality (Quality flag = 2, 3), since the DT algorithm only  
178 retrieves the AE over the ocean (Table B1 in Levy et al., 2013). Table 1 lists the aerosol products  
179 used in the present study.

180 At a global scale, it has been established that using the DT algorithm over land, MODIS-  
181 retrieved aerosol size parameters evidence poor quantitative capacity, particularly AE (e.g., Levy  
182 et al., 2010; Mielonen et al., 2011). However, for the DB algorithm, AE capacity increases for  
183 moderate or high aerosol loadings,  $AOD > 0.3$  (Sayer et al., 2013). We therefore decided to  
184 conduct the comparison between the AE from the MODIS DB algorithm and the AE from the  
185 Camagüey sun photometer to estimate its uncertainty. The enhanced DB algorithm methodology  
186 for deriving AE in Collection 6 is the same as in Collection 5. It uses the Ångström power law and  
187 AOD values at 412, 470 and 650 nm. Under non-vegetated surfaces, AE is derived using the AOD  
188 from pair 412/470 nm. For vegetated surfaces, AE is derived from the 470/650 nm pair. In the case  
189 of a surface with mixed vegetated and non-vegetated areas, AE is derived using the AOD at the  
190 three wavelengths mentioned (Hsu et al., 2013).

191

192

193 ***2.2 Camagüey AERONET sun-photometer***



194           The Camagüey sun photometer, installed thanks to an agreement between the University  
195 of Valladolid (UVA), Spain, and the Meteorological Institute of Cuba (INSMET) for joint aerosol  
196 research, contributes to the NASA Aerosol Robotic Network (AERONET) (Antuña et al., 2012).  
197 Annual replacement of the instrument for one calibrated, sent from Valladolid to Camagüey,  
198 encountered numerous transportation and customs delays, causing gaps in the observation series.  
199 However, the collected series of observations does represent a valuable dataset of aerosol columnar  
200 optical properties in the Caribbean, enabling GOAC-INSMET and GOA-UVA to conduct  
201 preliminary aerosol research (Antuña-Marrero et al, 2016).

202           The AERONET Cimel sun photometers have been conducting aerosol observations at nine  
203 spectral narrow band filters for over two decades, producing spectral AOD and column effective  
204 particle properties (Holben et al., 1998). In general, Cimel sun photometer nominal wavelengths  
205 are 340, 380, 440, 500, 675, 870, 935, 1020 and 1640 nm. In some cases, the 1640 nm is replaced  
206 by a 1240 nm. Its processing algorithm, based on the Beer-Lambert-Bouguer law, allows spectral  
207 OD values at an uncertainty level of approximately 0.01 to 0.02 to be determined (Holben et al.,  
208 1998; Eck et al., 1999). Because of this low level of uncertainty, AERONET AOD observations  
209 commonly serve as reference values (“ground truth”) to validate AOD measured by other remote  
210 sensing sensors (Zhao et al., 2002). AERONET AE are derived for five different wavelength  
211 intervals; 340-440 nm, 380-500 nm, 440-675 nm, 440-870 nm and 500-870 nm. In the present  
212 study, the selected AE is the one in the 440-675 nm range (AE<sub>SP</sub>).

213           We used Camagüey sun photometer Level 2.0 data as processed by AERONET, i.e., cloud  
214 screened and quality-assured (Smirnov et al., 2000), covering the period from 7 October 2008 to  
215 1 August 2014. This consisted of 29,940 single AOD (340 to 1640nm) and AE<sub>SP</sub> observations.

216 Applying the Ångström power law, we converted single sun photometer AOD observations at 500  
217 nm wavelength to AOD at 550nm, ( $AOD_{SP}$ ) using the  $AE_{SP}$  from the same measurement:

218

$$AOD_{SP} = AOD_{500} \left( \frac{\lambda_{550}}{\lambda_{500}} \right)^{-AE_{SP}} \quad (1)$$

219

### 220 ***2.3 Solar direct irradiance measurements and derived Broadband Aerosol Optical*** 221 ***Depth(BAOD)***

222 Four actinometrical stations belonging to the “Diagnostic Service for Solar Radiation in Cuba”  
223 provided the DNI observations used to derive the BAOD (Antuña et al., 2008; 2011). Table 2 lists  
224 the WMO code of the four stations, the geographical location and the number of observations  
225 available for the periods at each station. Figure 1 shows the geographical location of the four  
226 stations. The stations are equipped with Yanishevsky manual broadband solar radiation  
227 instruments supplied between the 1970s and 1980s by the Hydrometeorological Service of the  
228 Soviet Union. The Yanishevski broadband pyrliometer is the M-3 model, a thermo-battery  
229 system with a 5° field of view connected to an analogic galvanometer, GSA-1MA or GSA-1MB  
230 model (GGO, 1957).

231 Calibrations of all the actinometrical instruments are conducted periodically by comparison  
232 with a master broadband pyrliometer and a master pyranometer. Trained observers perform  
233 hourly manual observations from sunset to sunrise, following the standard methodologies and  
234 quality control procedures established for this set of instruments (GGO, 1957). Once manual  
235 measurement is conducted and recorded in a notebook designed for the purpose, all the  
236 measurement information is digitized using Actino version 2.0 software (Estevan, 2010; Antuña  
237 et al., 2008) of the “Diagnostic Service of the Broadband Aerosol & Clouds Optical Depth for

238 Cuba” (<http://www.goac.cu/eoc/>), a public service provided by GOAC. The software includes a  
239 robust quality control of input data, its processing and output quality control (Antuña et al., 2011).  
240 Because of the ageing of the Soviet era instruments, the magnitude of the error associated to the  
241 broadband pyrheliometers currently operating in Cuba is estimated to be around 10 %.

242 Based on the model parameterization of solar broadband irradiances, the integrated aerosol  
243 optical depth  $\delta_a$ , BAOD, can be obtained using equation (2), where direct normal solar irradiance  
244 (DNI) is measured and the remaining variables are determined independently (Gueymard, 1998).

245

$$246 \quad \delta_a = \left( \frac{1}{m_a} \right) \left[ \ln \left( \frac{E_{0n}}{DNI} \right) - m_R \delta_c - m_w \delta_w - m_{nt} \delta_{nt} \right] \quad (2)$$

247 The individual atmospheric processes considered are: Rayleigh scattering, absorption by  
248 ozone ( $O_3$ ), stratospheric and tropospheric nitrogen dioxide ( $NO_2$ ), uniformly mixed gases, water  
249 vapor, and extinction (mostly scattering) by aerosols. The variables in equation (2) are: optical air  
250 mass of aerosols ( $m_a$ ), Rayleigh scattering, uniformed mixed gases,  $O_3$  absorption and  
251 stratospheric  $NO_2$  ( $m_R$ ), water vapor ( $m_w$ ) and tropospheric  $NO_2$  ( $m_{nt}$ ) and similarly the  
252 corresponding broadband optical depths  $\delta$ . The method makes a series of assumptions, i.e.,  
253 Bouguer’s law; in the strict sense that it is only valid for monochromatic radiation and is applied  
254 to define broadband transmittance. For a detailed description of the derivation of equation (2) and  
255 the parameterization of the variables, see Gueymard, (1998), and Fonte and Antuña (2012) and  
256 García et al. (2015) for the method’s application to our data.

257 In order to avoid cloud contamination in BAOD retrieval, we used only DNI observations  
258 with the cloud-free condition in the line of sight to the sun, in other words with a clear line of sight  
259 between the broadband pyrheliometer and a region of  $5^\circ$  around the sun (GOAC, 2010).  
260 Furthermore, to avoid errors associated with high elevation zenith angles, causing larger air

261 masses, DNI observations performed at 6:00 and 18:00 Local Time (LT) were not used in the  
262 present study.

263 The main errors of the method for determining BAOD are associated to instrumental errors  
264 and the error when estimating the precipitable water (PW) component (Gueymard, 2013). In the  
265 first case, in order to ensure the quality of the solar radiation dataset from the four actinometrical  
266 stations used in this study, including DNI, they are regularly subject to a two-step quality control  
267 (Estevan et al., 2012). The first step applies the standard procedures designed for Yanishevski type  
268 actinometrical instruments from the former Soviet Hydro-Meteorological Service (Kirilov et al.,  
269 1957). Data that pass this quality procedure are then evaluated following the standards set by the  
270 Baseline Solar Radiation Network - BSRN (Ohmura 1998, Long and Shi, 2006; 2008; Estevan et  
271 al., 2012).

272 The size of the field of view of the broadband pyrhemometers is another potential source  
273 of error since, in certain cases, circumsolar radiation causes more radiation to be measured than  
274 expected. In such cases, the effect is an underestimation of BAOD. Nevertheless, this effect is low  
275 in general, except in specific conditions such as large air masses, in the presence of high aerosol  
276 loads or of large-particle aerosols (Gueymard, 1998).

277 Monthly mean PW values at the four actinometrical stations were used as input to derive  
278 monthly mean  $\delta_w$  values (Gueymard, 1998). For Camagüey, we calculated the monthly mean PW  
279 values from the sun photometer PW observations from 2008 to 2014 (García et al., 2015). For  
280 each of the three other stations, we calculated the monthly mean PW values using the vertical  
281 integrated water vapor ( $\text{kg m}^{-2}$ ) from spatially coincident ERA-Interim reanalysis between 1979  
282 and 2013 (Barja et al., 2015). Taking into account all the above-mentioned errors, the total  
283 uncertainty of the method used to determine BAOD is in the order of  $10^{-2}$  (Gueymard, 1998).

## 284 **2.4 Coincidence criteria for MODIS and Sun photometer observations**

285           Obtaining sufficient AOD satellite observations over land for climatological studies in  
286 insular areas poses a challenge when compared to the amount of data usually available over  
287 continental regions such as the US, Europe or China. The reason tends to be the small size of the  
288 islands. In the case of Cuba, its particular narrow latitudinal and elongated longitudinal extension  
289 combined with its irregular coasts renders the MODIS L3 product unsuitable for climatological  
290 studies. As can be seen in Figure 1, most of the 1° by 1° grid cells consist of both land and sea  
291 areas, resulting from the merging AOD measured over the two surfaces. The red grid cell in Figure  
292 1 is an example of the limitations of MODIS L3 products to represent land areas in the case of  
293 Cuba. In response to this, we plan to use the MODIS L2 product to produce aerosol climatology  
294 for Cuba rather than L3, which is commonly used for this type of studies. In this regard, it is vital  
295 to validate the single observations from MODIS L2 with the single sun photometer observations.  
296 We designed and applied a method to maximize the available pairs of MODIS L2 and sun  
297 photometer AOD and AE observations coincident in space and time, avoiding duplicating the use  
298 of any of them. Additionally, in an effort to increase the amount of data, we tested the differences  
299 between Terra and Aqua L2 MODIS AOD and AE observations in order to determine the possible  
300 combination of both Terra and Aqua in a single dataset.

301           Hereinafter,  $AOD_t$ ,  $AOD_a$ ,  $AOD_{ta}$  and  $AOD_{SP}$  will denote spatio-temporal AOD from  
302 collocated MODIS (Terra, Aqua and Terra + Aqua) and AERONET sun photometer data,  
303 respectively. Unless otherwise indicated, “AOD” refers to AOD at 550 nm wavelength. Similarly,  
304 AE from Terra, Aqua and Terra + Aqua derived using only the DB algorithm will be denoted as  
305  $AE_t$ ,  $AE_a$  and  $AE_{ta}$ .

306           Given the challenges arising from the small amount of potential coincident spatial and  
307 temporal AOD<sub>t</sub> and AOD<sub>a</sub> with AOD<sub>SP</sub> and BAOD, as explained above, we used MODIS L2 data  
308 to maximize the amount of available MODIS observations for comparison. Hereinafter, we call  
309 these observations “single observation values”; using the same denomination for the instantaneous  
310 sun photometer observations on each day and for hourly broadband pyrheliometer observations.  
311 Another way to increase the amount of data was to combine AOD<sub>t</sub> and AOD<sub>a</sub> (AOD<sub>ta</sub>) for  
312 comparison with AOD<sub>SP</sub> and BAOD. In these cases, different observations of AOD<sub>SP</sub> and BAOD  
313 match AOD<sub>t</sub> and AOD<sub>a</sub> because the time difference established for coincidence ( $\pm 30$  min) is lower  
314 than the difference between the Terra and Aqua daily overpass times.

315           Spatial coincidence criteria were guaranteed by selecting all the AOD<sub>t</sub> and AOD<sub>a</sub> measured  
316 inside the 25 km radius around the sun photometer site for the whole data period from each satellite  
317 sensor. Table 3 shows the amount of spatial coincident information for non-negative AOD<sub>t</sub> and  
318 AOD<sub>a</sub> values. It shows the amount of data available for the whole period 2001 to 2015, when  
319 broadband pyrheliometer observations at Camagüey are available, and 2008 to 2014, the period of  
320 available sun photometer observations. There are at least twice as many available observations  
321 from Terra as from Aqua for the two periods. The greater number of available data from Terra  
322 compared to Aqua is associated to the different overpass times of the two satellites over Cuba.  
323 Figure 2 shows that Terra overpasses occur in the mid to late morning before convective activity  
324 begins, while the Aqua overpasses take place in the early afternoon when convection has already  
325 begun, causing a higher number of observations to be discarded in AOD retrievals due to cloud  
326 presence.

#### 327 **2.4.1 Collocated “*Single observation*” values and “*daily mean*” values**

328 All Aqua and Terra overpass times in a radius of 25 km around Camagüey for the periods  
329 2001 to 2015 (Terra) and 2002 to 2015 (Aqua) are shown in Figure 2. Overpass times, defined by  
330 the maximum and minimum values of all the 25 km spatially coincident MODIS observations, are  
331 10:12 – 11:49 (LT) for Terra and 12:47 – 14:20 (LT) for Aqua. In addition, Figure 2 shows the  
332 diurnal frequency of sun photometer observations from 2008 to 2014, and the diurnal frequency  
333 of the BAOD observations for Camagüey for the period 1981 to 2015. Note that the BAOD  
334 histogram shows only hourly frequency values, since that is the time interval between the manual  
335 pyrhelimetric observations.

336 For each day, we compared the corresponding time of each single sun photometer  
337 measurement with the time of each single AOD<sub>t</sub> and AOD<sub>a</sub> observation located in a radius of 25  
338 km around the sun photometer site (an area of almost 2,000 km<sup>2</sup>) and in the time window of  $\pm 30$   
339 minutes between both types of observations. The former selection process includes, for each  
340 satellite, the AOD<sub>t</sub> and AOD<sub>a</sub> values derived both with the DB and DT processing algorithms  
341 separately, producing four independent bulk datasets, two for Aqua and two for Terra. We then  
342 identified four different cases of matching data per day in the bulk coincident datasets. The first  
343 consisted of days with only one AOD<sub>SP</sub> value and one AOD<sub>t</sub> (AOD<sub>a</sub>) coincident value, and the  
344 second, only one AOD<sub>SP</sub> value coincident with multiple AOD<sub>t</sub> (AOD<sub>a</sub>) values each day. In the  
345 third case, only one AOD<sub>t</sub> (AOD<sub>a</sub>) value coincided with multiple AOD<sub>SP</sub> values. Finally, the fourth  
346 case consisted of multiple AOD<sub>SP</sub> values coincident with multiple AOD<sub>t</sub> (AOD<sub>a</sub>) values.

347 Coincident cases were then selected for comparison, case by case. In the first instance, we  
348 selected all cases. In the second case, because of the MODIS instruments spatiotemporal sampling  
349 geometry, time differences between MODIS and sun photometer observations are in the order of  
350 one minute. As a result, only the criterion of the minimum distance between the positions of the

351 AOD<sub>t</sub> (AOD<sub>a</sub>) and the sun photometer was applied to determine the pair of coincident values,  
352 therefore not allowing any repeated AOD<sub>SP</sub> and AOD<sub>t</sub> (AOD<sub>a</sub>) values to be selected. Since it  
353 consists of only one AOD<sub>t</sub> (AOD<sub>a</sub>) measurement and multiple AOD<sub>SP</sub> observations, in the third  
354 case the distance is the same; hence the selection criteria was the minimum of the time differences  
355 between AOD<sub>SP</sub> and AOD<sub>t</sub> (AOD<sub>a</sub>) observations. The fourth case, the most complicated, allowed  
356 both criteria to be applied; the minimum in distance and time. No differences in the amount of  
357 coincident data were found when testing whether the order in which the two criteria were applied  
358 had any impact.

359 Another approach, the most commonly used for comparison (Bennouna et al., 2011; Sayer  
360 et al., 2014), involves the average of all the AOD<sub>SP</sub> values in the interval of  $\pm 30$  minutes compared  
361 to MODIS instrument overpass time (note that AOD<sub>t</sub> and AOD<sub>a</sub> averages are really the daily values  
362 of MODIS) located in a radius of 25 km around the sun photometer. At least two single AOD<sub>SP</sub>  
363 and two single AOD<sub>t</sub> (AOD<sub>a</sub>) observations were required to calculate the spatio-temporal average.  
364 We applied a similar approach to calculate collocated daily means AE<sub>SP</sub>, AE<sub>t</sub> and AE<sub>a</sub>. The  
365 procedures described above generated a series of collocated daily means of AOD<sub>SP</sub> versus AOD<sub>t</sub>  
366 (AOD<sub>a</sub>) and AE<sub>SP</sub> vs. AE<sub>t</sub> (AE<sub>a</sub>). Hence, by combining the former generated series of AOD (AE)  
367 for Terra and Aqua we produced the coincident (Terra + Aqua) dataset. The term *collocated daily*  
368 *mean AOD* will be used hereinafter although it should be stressed that this approach reduces the  
369 number of observations generated by virtually a third.

370 After explaining the coincidence criteria adopted here, it is well known that this type of  
371 comparison shows major differences depending on the spatial and or temporal resolution taken for  
372 the MODIS sensor in relation to the ground-based instruments used (Santese et al., 2007; Levy et  
373 al., 2009; Bennouna et al., 2011, 2013). The justification for using a “single observations” dataset



374 and a “collocated daily means” dataset separately to analyze this comparison is based on: a) the  
375 characteristics of the surface area under study, with nearby areas of water and land; b) the  
376 difference concerning how cloud cover affects data during the overpass time of the Terra and Aqua  
377 platforms; and c) the possibility of including the largest amount of data; d) the fact that only single  
378 observations can be compared in the case of BAOD pyrheliometer measurements.

## 379 **2.5 Statistics**

380 The statistics used in the present study are those commonly used (e.g., Sayer et al., 2014).  
381 These are the root mean square error (RMSE), mean absolute error (MAE), median bias (BIAS),  
382 the Pearson linear correlation coefficient (R), the number of coincident MODIS and sun  
383 photometer cases (Cases) and the fraction (f) of the MODIS/AERONET AOD retrievals in  
384 agreement within the expected uncertainty. Expected uncertainty, defined as a one standard  
385 deviation confidence interval entails the sum of the absolute and relative AOD errors. Usually  
386 referred to as “expected error, EE”, it was applied in accordance with equation 3 (Sayer et al.,  
387 2014)

$$388 \quad EE_{DT} = \pm(0.05 + 0.15 AOD) \quad (3)$$

389 The aim is to compare the performance of the DB and DT algorithms directly (Sayer et al.,  
390 2014). All of these statistical indicators were evaluated for the whole set of collocated AOD<sub>t</sub>,  
391 AOD<sub>a</sub>, AOD<sub>ta</sub> with AOD<sub>SP</sub>, and BAOD; AE<sub>t</sub>, AE<sub>a</sub>, AE<sub>ta</sub> with AE<sub>SP</sub>; as well as time frequencies  
392 (Figure 2) and histograms of these quantities. We also evaluated these statistics on a monthly scale  
393 for the AOD values.

394

## 395 **3. Results and Discussion.**

396 This section is divided into four subsections. In the first subsection, we analyze in detail  
397 the main results from comparing the AOD satellite MODIS sensors and the sun photometer data  
398 given by the statistical indicators and linear correlations, as a result of taking two different criteria,  
399 two different retrieval AOD aerosol algorithms both for the Terra and Aqua platforms. Section 3.2  
400 analyzes the same type of results but under the perspective of monthly values since they represent  
401 the climatology of AOD and the associated uncertainties. Section 3.3 shows AE behavior and  
402 Section 3.4 analyzes the comparison of satellite MODIS data in relation to broadband aerosol  
403 optical depth from solar radiation.

### 404 ***3.1 Comparison of AOD retrievals from sun photometer and MODIS satellite instruments.***

405 As explained, we selected MODIS  $AOD_t$  ( $AOD_a$ ) and sun photometer  $AOD_{SP}$  data based  
406 on two different criteria for their comparison. Results are shown in Tables 4 and 5, corresponding  
407 to collocated daily means and single observations, respectively. The values of all the statistics of  
408 these two tables are extraordinarily similar, with analogous behavior for the different algorithm  
409 and platforms. In truth, no substantial differences are found. It must be noted that Table 4 for  
410 collocated daily means contains a third less data than Table 5 based on single observations. In  
411 contrast, however, the latter data have a higher associated error than daily mean data. This result  
412 cannot be foreseen a priori but clearly demonstrates that either criterion may be taken, since the  
413 result is basically the same.

414 Taking Table 5 together with Figure 3 of collocated daily mean values, we then analyze  
415 the different behavior of the two algorithms for the Terra and Aqua platforms, when  $AOD_t$  ( $AOD_a$ )  
416 from satellite are compared with the sun photometer,  $AOD_{SP}$ . Figure 3 shows the density plots of  
417 the collocated daily mean AOD values from the sun photometer versus those of MODIS  
418 instruments for Terra, Aqua and combined, for DB (top plots) and DT (bottom plots) algorithms.

419 The least squares linear fit lines and equations are also shown in the figure while the correlation  
420 coefficients (R values) are in Table 5. In general, the plots show that low loading aerosols  
421 predominate and that scatter increases for higher aerosol loadings, with a slight overestimation of  
422  $AOD_t$  ( $AOD_a$ ) satellite data compared to  $AOD_{SP}$ . In all cases, the slopes are between 1 and 0.9 and  
423 the intercepts are in the order of  $10^{-2}$  (with lower values for the DT algorithm), showing very good  
424 values of these parameters for Terra and Aqua for both the DT and DB algorithms.

425 Figure 3 shows that the DT algorithm displays generally better behavior than the DB  
426 algorithm. The DT algorithm evidences more unified behavior as can be seen for the slope values  
427 (0.96 for both Aqua and Terra) while DB changes, giving a value above 1 (1.069) for Terra and  
428 below 1 for Aqua (0.901). However, these differences are not very relevant since both algorithms  
429 give almost identical R values, and the difference appears for the platforms, with higher values for  
430 Aqua than for Terra (~0.78 and ~0.73, respectively). A compensation effect can be observed when  
431 data are combined, since in this case the slope of the DB algorithm is closer to 1 than the DT  
432 algorithm, although the intercept is higher (closer to 0 for DT algorithm). For combined data, the  
433 two algorithms show a more similar behavior than for separate Aqua or Terra results. Analyzing  
434 Table 5, the magnitudes of the RMSE, MAE, BIAS and f statistics are lower for the DT than for  
435 the DB algorithm (see the higher values of DB for Terra, column 1, and the more similar values in  
436 the other columns). As mentioned, the values of these four parameters show that the DT algorithm  
437 presents a more unified behavior for both platforms than the DB, which has similar values for  
438 Aqua but which change significantly for Terra.

439 Although the statistical numbers in the comparison depend on the area under study,  
440 comparisons between areas are always possible. A recent validation of MODIS Collection 6  $AOD_a$   
441 (Aqua), derived using the DB algorithm, with  $AOD_{SP}$  from six AERONET stations in

442 Central/South America (CSA) and seven in Eastern North America (ENA) was reported by Sayer  
443 et al. (2013). The number of pairs of collocated MODIS and AERONET daily averaged  
444 observations for CSA (ENA) was 3,032 (4155). Sun photometer data were averaged within the 30  
445 minute MODIS overpass time and MODIS data were averaged in the 25 km radius around the sun  
446 photometer site, which makes the comparison appropriate. We selected the BIAS and R statistics  
447 in Table 1, which were defined as in the present study (Sayer et al., 2013).

448 We compare those statistics with the ones given in Tables 4 and 5, calculated for  
449 Camagüey. The BIAS for the CSA (ENA) stations is -0.016 (0.0094), although those of Camagüey  
450 for both single observations and collocated daily means are (-0.027 and -0.033), thus showing  
451 higher values for Camagüey and similar signs for CSA and the opposite for ENA. R values for  
452 Camagüey for single observations and collocated daily means are 0.82 and 0.79, respectively,  
453 lower by around 10 % (5 %) than the R values of 0.96 (0.86) for the CSA (ENA). However, it  
454 should be noted that the number of cases used for the statistics at Camagüey was 419 for single  
455 observation and 169 for collocated daily means, representing 6 % and 14 % of the 3,032 cases  
456 used in the cited study. In addition, none of the stations in the CSA (ENA) regions were located in  
457 the Caribbean, but south and north (Sayer, 2018). Despite the significant difference in the amount  
458 of cases used in both studies and the location of the six stations, results show reasonable agreement.

### 459 *3.2 Monthly means values and statistics*

460 Given the close similarity in the results from single observations and collocated daily  
461 means data, it seems reasonable to evaluate monthly mean values based on only one of them, i.e.,  
462 for the collocated daily means data. Figure 4 shows the monthly means (based on the mean of each  
463 month for every year of the measured period) and the statistics resulting from the comparison  
464 between  $AOD_{SP}$  and  $AOD_{ta}$  for both the DB and DT algorithms. Tables S1 and S2 (see

465 supplementary material) also illustrate this comparison although they add separate information for  
466 Terra and Aqua (see supplementary material). In Figure 4a, the multiannual monthly means from  
467 the combined AOD<sub>ta</sub> and AOD<sub>SP</sub> for both the MODIS DB and DT algorithm are shown, providing  
468 an initial overview of aerosol AOD climatology in Camaguey. It can also be seen that the DT  
469 algorithm gives the best match with monthly mean AOD<sub>SP</sub>.

470         The monthly RMSE and MAE plots in Figures 4b and 4c generally show increases, with  
471 the increase in the AOD<sub>ta</sub> for the DT algorithm and also for the DB algorithm, the exception being  
472 the minimum in April for the DT algorithm (this means greater differences between satellite and  
473 sunphotometer in summer than in winter). These results are consistent with the fact that AOD  
474 uncertainty depends on the AOD itself (see eq. 3) and greater AOD variability in summer. The  
475 AOD<sub>ta</sub> peaks for the DT algorithm in March in both RMSE and MAE are also present in the results  
476 for AOD<sub>t</sub> and AOD<sub>a</sub>, separately, and the amount of cases available for the statistics is among the  
477 highest of all the months seen in Tables S1 and S2 (see supplementary material). In Table S2, for  
478 the DT algorithm, we can see that the number of cases of AOD<sub>ta</sub> from March to April drops by 55  
479 %. However, something similar happens for the DB algorithm in Table S1, with the number of  
480 AOD<sub>ta</sub> cases falling from March to April by 61 %. Sampling cannot therefore be seen as the cause  
481 of the RMSE and MAE peaks for the DT algorithm. We plan to revisit this feature in future studies.  
482 In summer, RMSE and MAE show their maximum values associated to the maximum values of  
483 the AOD resulting from Saharan dust reaching Cuba from across the Atlantic. The BIAS is  
484 negative in summer for both Terra and Aqua AOD, showing that AOD<sub>t</sub> and AOD<sub>a</sub> observations  
485 have higher magnitudes than AOD<sub>SP</sub>.

486         Tabulated results of the comparison between AOD<sub>t</sub>, AOD<sub>a</sub> and AOD<sub>ta</sub> with AOD<sub>SP</sub> on a  
487 monthly scale also show better results for the DB (see Table S1) than for the DT (Table S2)

488 algorithm. Here, we only discuss the results of the joint  $AOD_{ta}$  dataset using both the DT and DB  
489 algorithms. In Figures 4d, the BIAS for the DT algorithm is positive from December to May, a  
490 period of the year with predominant lower  $AOD_{ta}$  and  $AOD_{SP}$  values. During this period,  $AOD_{ta}$   
491 underestimates  $AOD_{SP}$ . BIAS then becomes negative from June to November, which is when  
492 Saharan dust reaches the Caribbean basins. At the same time, the BIAS of the  $AOD_{ta}$  derived with  
493 the DB algorithm is negative for the whole year, with higher absolute values than those from the  
494 DT algorithm.

495 The correlation coefficient,  $R$ , in Figure 4e is the statistic which shows almost the same  
496 agreement for the DB and DT algorithm. However, the DT shows a higher number of  $R$ -values  
497 bearing higher magnitudes.  $R$  magnitudes remain over 0.5 almost the whole year round except in  
498 December and January when lower AOD values occur.

499 Figure 4f shows the fraction of the  $AOD_{ta}$  ( $f$ ), in agreement with  $AOD_{SP}$  within the expected  
500 uncertainty, showing its higher values over 80 % from November to January, in general for both  
501 algorithms. This is the period of the year with the lowest monthly mean values of both  $AOD_{ta}$  and  
502  $AOD_{SP}$ . During the rest of the year, including the period of the Saharan dust arrivals, it shows its  
503 lowest values between 60 % and 75 % for the DT algorithm while values for DB below 50 % occur  
504 in four of the months between June and October. The discontinuous blue line at  $f = 68$  % denotes  
505 a one standard deviation confidence interval, selected to describe EE. The  $f$  values above that value  
506 mean the algorithm works better than expected. All the statistics demonstrate that the DT algorithm  
507 performs better than the DB for the region of study. However, the lowest  $R$  values for those months  
508 with the highest  $f$  values would seem to be contradictory. At present, we have no explanation for  
509 this.

### 510 *3.3 Comparison of Ångström Exponent by sun photometer and MODIS satellite instruments:*

511 Figure 5 shows the frequency distribution of the coincident  $AE_{SP}$  with both  $AE_t$  and  $AE_a$   
512 using the DB algorithm, as explained. As can be seen in the literature, the Ångström Exponent  
513 varies between 0 and 2. Our Ångström Exponent data obtained from the AERONET sun  
514 photometer measurements are within this range with a wide and smooth frequency distribution of  
515 values and with a not well-defined maximum in the range 1.2 and 1.6. Neither  $AE_t$  nor  $AE_a$  present  
516 any real distribution shape because there are practically no values below 1, with most being around  
517  $AE = 1.5$ , followed by a second maximum at  $AE = 1.8$ . The first, 1.5, is a regional default value  
518 for  $AE_t$  and  $AE_a$  (Hsu et al., 2013; Sayer et al., 2013) assumed by the DB algorithm in the case of  
519 low AOD values ( $AOD_t$  or  $AOD_a < 0.2$ ). The second is associated with the fact that the  $AE_t$  and  
520  $AE_a$  values allowed by the aerosol optical models in Collection 6 are constrained between 0 and  
521 1.8 to avoid unrealistic values (Sayer et al., 2013).

522 Table 6 shows the results of the comparison of coincident  $AE_t$ ,  $AE_a$  and  $AE_{ta}$  with  $E_{SP}$ . For  
523 both single observations and collocated daily mean data the statistics were calculated for the two  
524 options: the first including all values and the second excluding cases with  $AE = 1.5$  and 1.8. The  
525 statistics in Table 6 for all values present similar values considering those derived by single  
526 observation or for collocated daily mean values as expected once we know the results for AOD,  
527 although similar values also appear for Terra and Aqua (no clear distinction appears between Terra  
528 and Aqua). These statistics present very high values if compared with those shown for AOD.  
529 Obviously, the R correlation coefficient presents very low values, which are below 0.5 (the poor  
530 correlation is observed in the scatter plots similar to those in Figure 6, not shown here). Excluding  
531  $AE_t$  and  $AE_a$  values equal to 1.5 or 1.8 entails no substantial difference, only lower BIAS values.  
532 Overall, the results of the comparison showed the low quantitative skill of the  $AE_t$  and  $AE_a$  for this  
533 site. One factor contributing to this result is that the AE from the MODIS DB algorithm displays

534 great uncertainty for low-AOD conditions, since AE is obtained as a gradient between two small  
535 AOD numbers (Wagner and Silva, 2008).

### 536 *3.4 Comparison of AOD between MODIS products and BAOD for the four Cuban* 537 *actinometrical stations.*

538 Two main facts limit the number of available BAOD values coincident in time with AOD<sub>t</sub>  
539 and AOD<sub>a</sub>: the hourly time step between manual DNI observations and the required condition of  
540 a clear line of sight between the pyrheliometer and a region of 5° around the Sun. Consequently,  
541 only one BAOD measurement could coincide each day with AOD<sub>t</sub>, and another with AOD<sub>a</sub> given  
542 the time coincidence criteria. Table 7 lists the number of coincident AOD<sub>t</sub>, AOD<sub>a</sub>, AOD<sub>ta</sub>  
543 observations in space and time with BAOD both for the DB and DT algorithms for each of the  
544 actinometrical stations. Since the amount of coincident observations at each station is low, we  
545 decided to combine all the pairs of AOD<sub>t</sub>, AOD<sub>a</sub> and AOD<sub>ta</sub> coincident with BAOD in the four  
546 sites together in order to conduct the comparison. In addition, we did not consider the very few  
547 cases with values of BAOD > 0.6, around 1 % of all cases, so as to avoid the possibility of  
548 inadvertent cloud contamination.

549 Table 8 contains almost the same statistics used in previous comparison satellite-sun  
550 photometer data (see Table 4 and 5), both for the DB and for DT algorithms for the four  
551 actinometrical stations together. The only statistic not included in Table 8 is f, the fraction of the  
552 MODIS/AERONET AOD retrievals in agreement within the expected uncertainty, because such  
553 uncertainty still has to be established for BAOD. We highlighted the best performing algorithm in  
554 bold for each of the statistics. The AOD<sub>a</sub> derived with the DB algorithm performs better than the  
555 other three combinations of AOD<sub>t</sub>, AOD<sub>a</sub>, for DT and DB in accordance with all four statistics,  
556 except for BIAS, where the best performing is still the DB algorithm, but for AOD<sub>t</sub>. However, in



557 general and taking into account the low number of data and the fact that we have single  
558 observations, the RMSE, MAE and BIAS for  $AOD_t$ ,  $AOD_a$ ,  $AOD_{ta}$  derived with both DB and DT  
559 algorithms remain in the same order of magnitude as earlier Tables 4 and 5, with the exception of  
560 the low values of the correlation coefficient R. The BIAS shows almost similar behavior except  
561 for its best performing value. This different behavior of algorithms and platforms with respect to  
562 the earlier results of Table 4-5 is clearly shown by Figure 6 where the scatter plots of the BAOD  
563 vs.  $AOD_t$ ,  $AOD_a$ , and  $AOD_{ta}$  are depicted. What is clear is the poor correlation given by the very  
564 low values of the slope with respect to the value 1 and also the relatively high values of the intercept  
565 in relation to 0, and hence the resulting low values of the R coefficient. BAOD shows a high  
566 uncertainty for low values of AOD (below 2, see this range over the X axis in the plots) which are  
567 those prevalent in this area (1).

568

#### 569 **4. Conclusions**

570 This study addresses the comparisons of different sources of AOD and AE from ground-  
571 based sun photometer (AERONET level 2.0 data), MODIS instruments (Terra, Aqua, and Terra +  
572 Aqua) and retrievals from direct normal solar irradiance observations in Cuba.

573 The comparison of spatial and temporal coincident single observations and collocated daily  
574 means of  $AOD_t$ ,  $AOD_a$ ,  $AOD_{ta}$  vs.  $AOD_{SP}$  shows, in general, a better performance for the Dark  
575 Target (DT) than for the Deep Blue (DB) algorithm for Camagüey. In particular we found: 1) small  
576 differences were found between  $AOD_t$  and  $AOD_a$ , thus justifying the combination of these  
577 observations in a single dataset for climatological studies; 2) Both DT and DB algorithms are better  
578 than expected ( $f$  around 80%) between November and January, but in other months  $f$  is on the  
579 order of one standard deviation ( $f = 68\%$ ) for DT and significantly lower for DB; 3) from linear

580 correlation analysis, MODIS slightly overestimates AOD compared to the sun photometers; 4)  
581 data from both MODIS instruments are well correlated with AERONET AOD with regression  
582 slopes close to 1, with the DT algorithm outperforming the DB algorithm; In addition, the  
583 comparison of multi-annual monthly means of AOD<sub>ta</sub> with AOD<sub>sp</sub> indicate better agreement with  
584 results from the DT algorithm (compared to DB), consistent with the findings above.

585 The Ångström exponents  $AE_t$ ,  $AE_a$  and  $AE_{ta}$  do not show good agreement with the spatial  
586 and temporal coincident  $AE_{SP}$  values when the default-1.5 and the constrained-1.8 values are or  
587 are not considered. Those results corroborate the limited skills of the MODIS derived AE, as  
588 indicated in previous studies.

589 In the comparison of BAOD vs. AOD<sub>t</sub>, AOD<sub>a</sub>, AOD<sub>ta</sub>, where only individual observations  
590 can be compared, the statistics indicate larger uncertainties but of the same order of magnitude as  
591 the statistics of MODIS-photometer. Although correlations are very poor, these results support the  
592 potential of BAOD as a reliable source of aerosol information for climatological studies in areas  
593 that lack a sun photometer or any other surface aerosol measurement.

594

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604

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811 **Tables:**

812

813 Table 1: Aerosol products from the MODIS Collection 6 dataset used in the present study

<b>Product</b>	<b>Description</b>
Deep_Blue_Aerosol_Optical_Depth_550_Land_Best_Estimate	Deep Blue AOT at 0.55 micron for land with higher quality data (Quality flag=2,3)
Deep_Blue_Angstrom_Exponent_Land	Deep Blue Angstrom Exponent for land with all quality data (Quality flag=1,2,3)
Optical_Depth_Land_And_Ocean	AOT at 0.55 micron for both ocean (Average) (Quality flag=1,2,3) and land (corrected) (Quality flag=3)

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816 Table 2: Information about Cuban actinometrical stations operating under the Solar Radiation Diagnostic Service

817 (SRDS). Available number of BAOD observations included in column 6 and the period covered in the last column.

<b>Code</b>	<b>Station Name</b>	<b>Latitude</b>	<b>Longitude</b>	<b>Height (m)</b>	<b>No. Obs.</b>	<b>Period</b>
<b>78355</b>	Camagüey (CMW)	21.42	-77.85	122 m	2495	2001-2015
<b>78330</b>	Jovellanos (JVN)	22.80	-81.14	23 m	1182	2010-2015
<b>78342</b>	Topes de Collantes (TPC)	21.92	-80.02	766 m	1358	2011-2015
<b>78321</b>	Santa Fé (LFE)	21.73	-82.77	32 m	1756	2011-2015

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820 Table 3: Number of available non-negative AOD<sub>a</sub>, AOD<sub>t</sub>, AE<sub>a</sub> and AE<sub>t</sub> data spatially coincident with the Camagüey

821 sunphotometer in a radius of 25 km for each retrieval algorithm, DB and DT for the whole period 2001-2015, as well

822 as the period 2008-2014, when sunphotometer data, AOD<sub>SP</sub> and AE<sub>SP</sub>, are available.

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<b>Period</b>	<b>2001-2015</b>			<b>2008-2014</b>		
<b>Algorithm</b>	<b>DB</b>		<b>DT</b>	<b>DB</b>		<b>DT</b>
<b>Parameter</b>	<b>AOD</b>	<b>AE</b>	<b>AOD</b>	<b>AOD</b>	<b>AE</b>	<b>AOD</b>
<b>Terra</b>	6884	8111	6311	3418	4024	3166
<b>Aqua</b>	2445	3909	2869	1329	1534	2093

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830 Table 4: Statistics of the comparison between collocated daily means of AOD<sub>t</sub> and AOD<sub>a</sub> with AOD<sub>SP</sub> and the  
 831 combined AOD<sub>ta</sub> .

	AOD <sub>SP</sub> vs. AOD <sub>t</sub>		AOD <sub>SP</sub> vs. AOD <sub>a</sub>		AOD <sub>SP</sub> vs. AOD <sub>ta</sub>	
	DB	DT	DB	DT	DB	DT
<b>RMSE</b>	0.084	0.060	0.065	0.062	0.078	0.061
<b>MAE</b>	0.062	0.045	0.046	0.047	0.056	0.046
<b>BIAS</b>	-0.053	-0.001	-0.033	0.006	-0.046	0.002
<b>R</b>	0.730	0.729	0.785	0.779	0.741	0.753
<b>f</b>	0.656	0.803	0.763	0.795	0.694	0.800
<b>Cases</b>	311	335	169	254	480	589

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834 Table 5: Statistics of the comparison between collocated single observation of AOD<sub>t</sub> and AOD<sub>a</sub> with AOD<sub>SP</sub> and  
 835 combined AOD<sub>ta</sub> .

	AOD <sub>SP</sub> vs. AOD <sub>t</sub>		AOD <sub>SP</sub> vs. AOD <sub>a</sub>		AOD <sub>SP</sub> vs. AOD <sub>ta</sub>	
	DB	DT	DB	DT	DB	DT
<b>RMSE</b>	0.081	0.061	0.063	0.064	0.076	0.062
<b>MAE</b>	0.059	0.046	0.044	0.050	0.054	0.047
<b>BIAS</b>	-0.048	0.007	-0.027	0.017	-0.042	0.010
<b>R</b>	0.716	0.701	0.817	0.794	0.744	0.742
<b>f</b>	0.664	0.773	0.773	0.784	0.699	0.777
<b>Cases</b>	880	900	419	500	1299	1400

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838 Table 6: Statistics of the comparison between AE<sub>t</sub>, AE<sub>a</sub> and AE<sub>ta</sub> with AE<sub>SP</sub> for single observations and daily mean  
 839 values.

	Single observations			Single observations (Except AE 1.5 & 1.8)			Collocated daily means			Collocated daily means (Except AE 1.5 & 1.8)		
	AE <sub>t</sub>	AE <sub>a</sub>	AE <sub>ta</sub>	AE <sub>t</sub>	AE <sub>a</sub>	AE <sub>ta</sub>	AE <sub>t</sub>	AE <sub>a</sub>	AE <sub>ta</sub>	AE <sub>t</sub>	AE <sub>a</sub>	AE <sub>ta</sub>
<b>RMSE</b>	0.637	0.692	0.658	0.575	0.609	0.587	0.637	0.659	0.645	0.548	0.578	0.561
<b>MAE</b>	0.494	0.553	0.516	0.446	0.496	0.464	0.490	0.512	0.498	0.431	0.466	0.445
<b>BIAS</b>	-0.327	-0.337	-0.331	-0.129	-0.101	-0.119	-0.398	-0.384	-0.393	-0.189	-0.139	-0.167
<b>R</b>	-0.187	-0.426	-0.272	-0.191	-0.444	-0.269	-0.259	-0.414	-0.308	-0.124	-0.400	-0.236
<b>Cases</b>	615	374	989	353	189	542	311	169	480	172	120	292

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842 Table 7: Number of coincident cases of AOD<sub>t</sub>, AOD<sub>a</sub>, AOD<sub>ta</sub> with BAOD both for the DB and for DT algorithms.

Station:	BAOD vs. AOD <sub>t</sub>		BAOD vs. AOD <sub>a</sub>		BAOD vs. AOD <sub>ta</sub>	
	DB	DT	DB	DT	DB	DT
Camagüey	166	171	66	79	232	250
Topes de Collantes	112	138	49	76	161	214
Jovellanos	65	65	35	34	100	99
La Fe	34	66	46	85	80	151
All combined	377	440	196	274	573	714

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844 Table 8: Statistics of the comparison between the single observations of BAOD at the four actinometrical stations  
 845 coincident in space and time with the single observation (L2) of AOD<sub>t</sub>, AOD<sub>a</sub> and AOD<sub>ta</sub>. In bold, the values of best  
 846 agreement.

Camagüey, La Fe, Topes de Collantes & Jovellanos						
	BAOD vs. AOD <sub>t</sub>		BAOD vs. AOD <sub>a</sub>		BAOD vs. AOD <sub>ta</sub>	
	DB	DT	DB	DT	DB	DT
RMSE	0.080	0.087	<b>0.073</b>	0.088	0.078	0.088
MAE	0.055	0.063	<b>0.048</b>	0.066	0.052	0.064
BIAS	<b>0.001</b>	0.027	0.014	0.049	0.005	0.035
R	0.455	0.325	<b>0.501</b>	0.417	0.468	0.355
Cases	373	436	191	268	564	704

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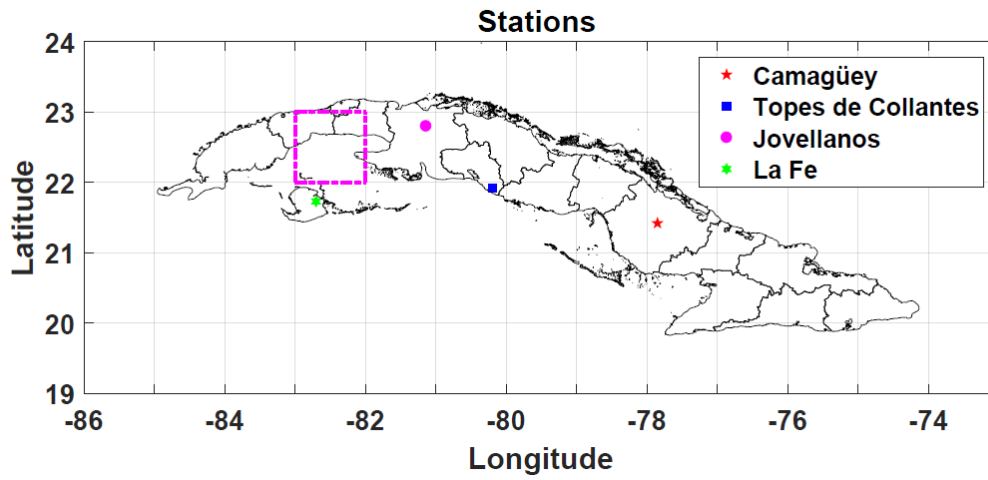
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857 **Figure and Captions:**

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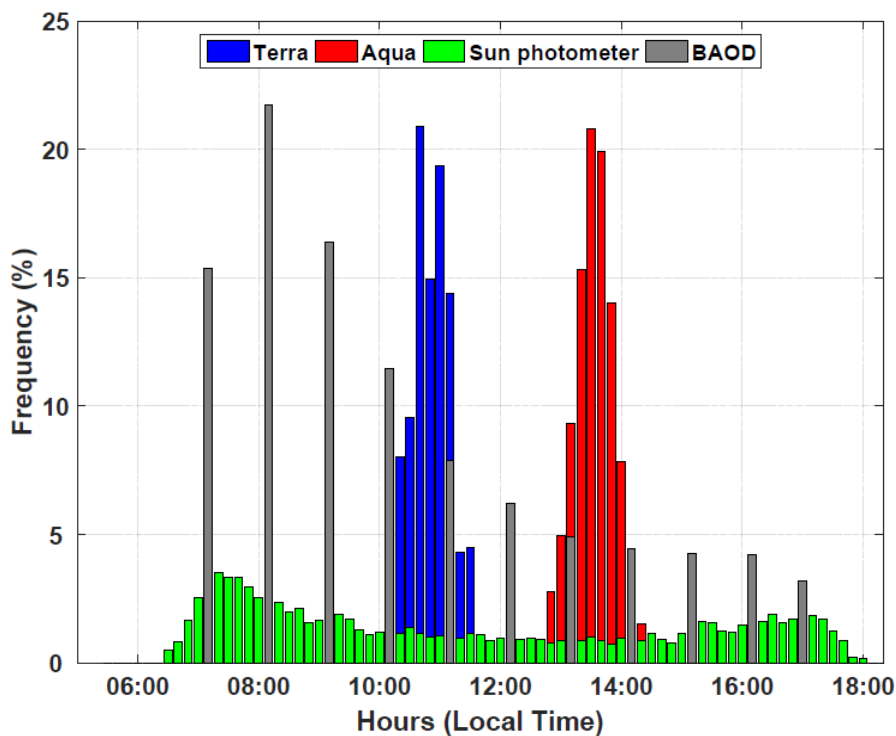


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860 **Figure 1:** Map of Cuba locating the stations where the sun photometer and the four broadband  
861 pyrliometer observations are conducted.

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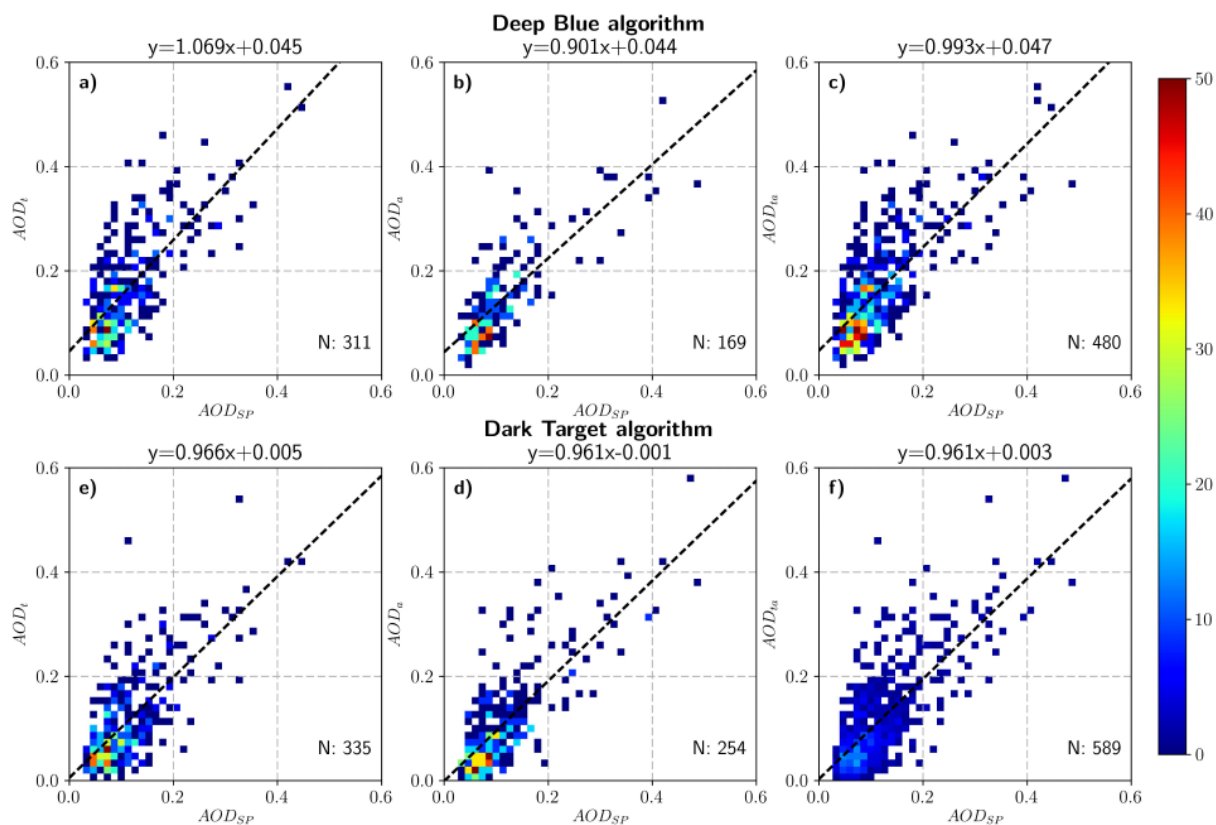


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865 **Figure 2:** Frequencies of the time of the day (Local Time) overpass of Terra and Aqua (blue and  
 866 red respectively) Camagüey's sun photometer site in a radius of 25 km for the period  
 867 2001 to 2015. In green the time frequencies for the Camagüey's sun photometer  
 868 observations in the period 2008 to 2014. In addition, the time frequencies for the direct  
 869 radiation observations used to calculate the BAOD. The bar width is 10 minutes for  
 870 Terra, Aqua and the sun photometer and 1 hour for the BAOD.

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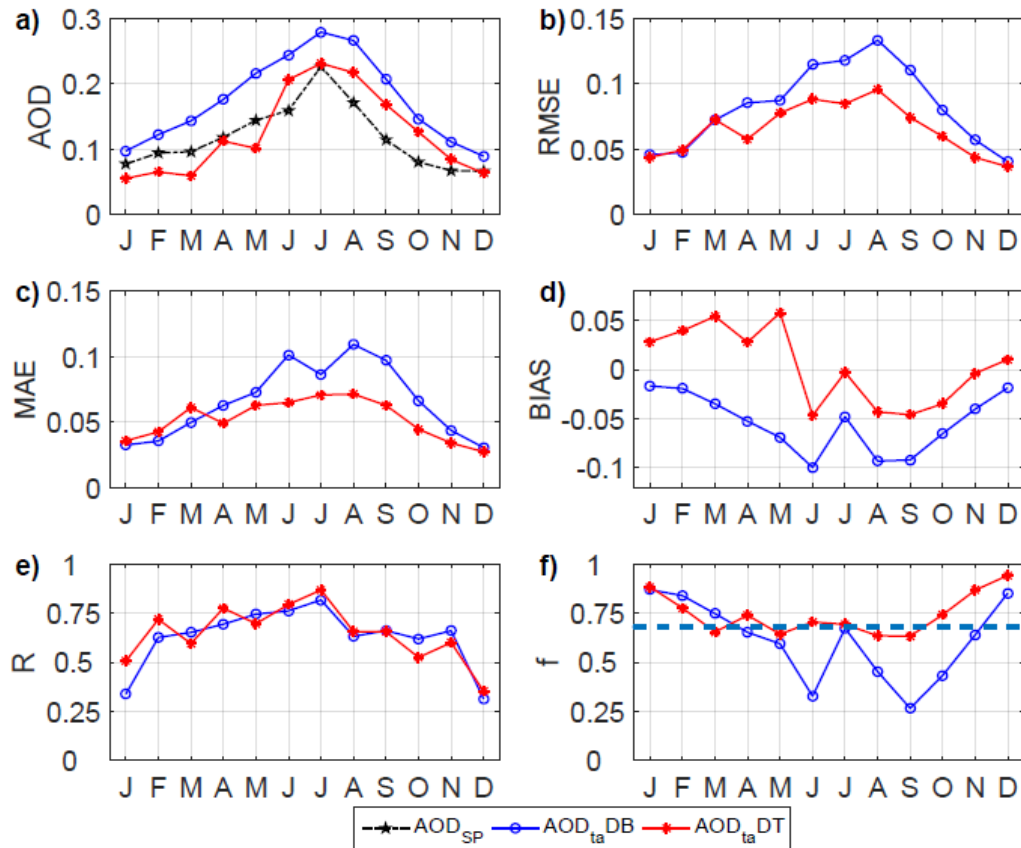


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874 **Figure 3:** Collocated “daily mean” density scatter plots of the coincident AOD observations from  
875 the sun photometer and Terra and Aqua MODIS instruments for DB and DT  
876 algorithms.: a) to c) AOD<sub>SP</sub> vs AOD<sub>t</sub> , AOD<sub>a</sub> and AOD<sub>ta</sub> respectively for DB  
877 algorithm; d) to f) Idem for DT algorithm. The data density is represented by the color  
878 scale, showing the number of data points located in a particular area of the plot. Linear  
879 regression is given by the black discontinuous line and the corresponding equation.  
880 The number of data points appears in the right bottom.

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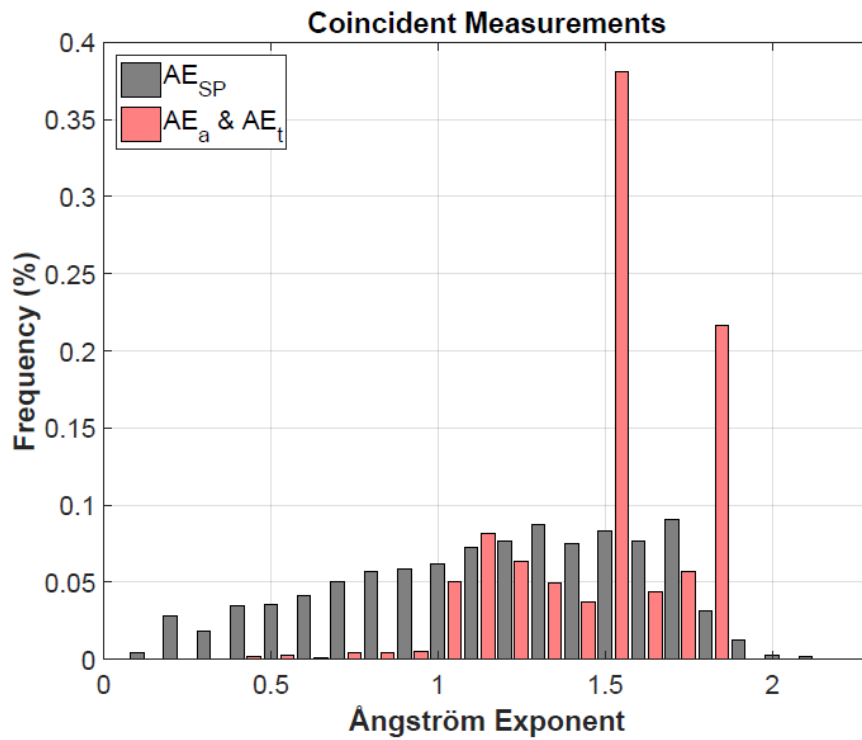
885 **Figure 4:** Monthly means and statistics (RMSE, MAE.....) resulting from the comparison  
 886 between AOD<sub>SP</sub> and AOD<sub>ta</sub> for both DB and DT algorithms: a) Monthly means of the  
 887 AOD<sub>SP</sub> and AOD<sub>ta</sub> for both DB and DT algorithms; b) RMSE for the comparison  
 888 between AOD<sub>SP</sub> and AOD<sub>ta</sub> for both DB and DT algorithms; c) Idem for MAE, d) for  
 889 BIAS, e) for R and f) for f. The blue discontinuous line at f= 68 % represent one  
 890 standard deviation confidence interval for the EE indicator.

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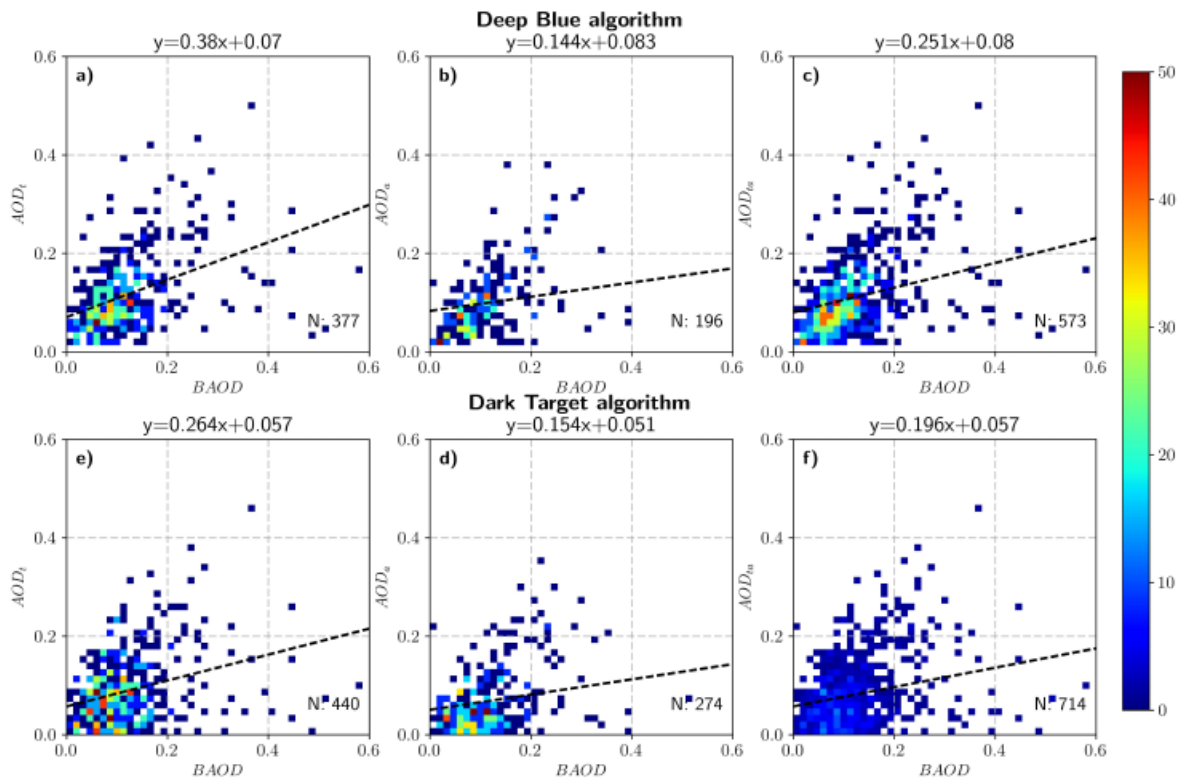


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897 **Figure 5:** Frequency distribution of the Angstrom exponent (AE) values from both MODIS  
 898 instruments Terra and Aqua and the sun photometer coincident in  $\pm 30$  minutes and 25  
 899 km radius around Camagüey.

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903 **Figure 6:** Single observations density scatter plots of the coincident BAOD observations from the  
904 broadband pyrheliometer and Terra and Aqua MODIS instruments for DB and DT  
905 algorithms.: a) to c) BAOD vs.  $AOD_t$ ,  $AOD_a$  and  $AOD_{ta}$  respectively for DB  
906 algorithm; d) to f) Idem for DT algorithm. The data density is represented by the color  
907 scale, showing the number of data points located in a particular area of the plot.  
908 Linear regression line is shown by the black discontinuous line and the corresponding  
909 equation. The number of data points appears in the right bottom.

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