

1 **A novel method for calculating ambient aerosol**  
2 **liquid water content based on measurements of a**  
3 **humidified nephelometer system**

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13 **Abstract**

14 Water condensed on ambient aerosol particles plays significant roles in atmospheric  
15 environment, atmospheric chemistry and climate. Before now, no instruments were available for  
16 real-time monitoring of ambient aerosol liquid water contents (ALWC). In this paper, a novel

17 method is proposed to calculate ambient ALWC based on measurements of a three-wavelength  
18 humidified nephelometer system, which measures aerosol light scattering coefficients and  
19 backscattering coefficients at three wavelengths under dry state and different relative humidity  
20 (RH) conditions, providing measurements of light scattering enhancement factor  $f(\text{RH})$ . The  
21 proposed ALWC calculation method includes two steps. The first step is the estimation of the dry  
22 state total volume concentration of ambient aerosol particles,  $V_a(\text{dry})$ , with a machine learning  
23 method called random forest model based on measurements of the “dry” nephelometer. The  
24 estimated  $V_a(\text{dry})$  agrees well with the measured one. The second step is the estimation of the  
25 volume growth factor  $V_g(\text{RH})$  of ambient aerosol particles due to water uptake, using  $f(\text{RH})$  and  
26 Ångström exponent. The ALWC is calculated from the estimated  $V_a(\text{dry})$  and  $V_g(\text{RH})$ . To  
27 validate the new method, the ambient ALWC calculated from measurements of the humidified  
28 nephelometer system during the Gucheng campaign was compared with ambient ALWC  
29 calculated from ISORROPIA thermodynamic model using aerosol chemistry data. A good  
30 agreement was achieved, with a slope and intercept of 1.14 and  $-8.6 \mu\text{m}^3/\text{cm}^3$  ( $r^2=0.92$ ),  
31 respectively. The advantage of this new method is that the ambient ALWC can be obtained solely  
32 based on measurements of a three-wavelength humidified nephelometer system, facilitating the  
33 real-time monitoring of the ambient ALWC and promoting the study of aerosol liquid water and  
34 its role in atmospheric chemistry, secondary aerosol formation and climate change.

35

## 36 **1. Introduction**

37 Atmospheric aerosol particles play significant roles in atmospheric environment, climate,  
38 human health and the hydrological cycle, and have received much attention in recent decades. One

39 of the most important constituents of ambient atmospheric aerosol is liquid water. The content of  
40 condensed water on ambient aerosol particles depends mostly on the aerosol hygroscopicity and  
41 the ambient relative humidity (RH). Results of previous studies demonstrate that liquid water  
42 contributes greatly to the total mass of ambient aerosol particles when the ambient RH is higher  
43 than 60% (Bian et al., 2014). Aerosol liquid water also has large impacts on aerosol optical  
44 properties and aerosol radiative effects (Tao et al., 2014;Kuang et al., 2016). Liquid water  
45 condensed on aerosol particles can also serves as a site for multiphase reactions which perturb  
46 local chemistry and further influence the aging processes of aerosol particles (Martin, 2000).  
47 Recent studies have shown that aerosol liquid water serves as a reactor, which can efficiently  
48 transform sulphur dioxide to sulphate during haze events, aggravating atmospheric environment  
49 in the North China Plain (NCP) (Wang et al., 2016;Cheng et al., 2016). Hence, to gain more insight  
50 into the role of aerosol liquid water in atmospheric chemistry, aerosol aging processes and aerosol  
51 optical properties, the real-time monitoring of ambient aerosol liquid water content (ALWC) is of  
52 crucial importance.

53 Few techniques are currently available for measuring the ALWC. The humidified tandem  
54 differential mobility analyser systems (HTDMAs) are useful tools and widely used to measure  
55 hygroscopic growth factors of ambient aerosol particles (Rader and McMurry, 1986;Wu et al.,  
56 2016;Meier et al., 2009). Hygroscopicity parameters retrieved from measurements of HTDMAs  
57 can be used to calculate the volume of liquid water. Nevertheless, HTDMAs cannot be used to  
58 measure the total aerosol water volume, because they are not capable of measuring the hygroscopic  
59 properties of the entire aerosol population. With size distributions of aerosol particles in their  
60 ambient state and dry state, the aerosol water volume can be estimated. Engelhart et al. (2011)  
61 deployed a Dry-Ambient Aerosol Size Spectrometer to measure the aerosol liquid water content

62 and volume growth factor of fine particulate matter. This system provides only aerosol water  
63 content of aerosol particles within certain size range ( particle diameter less than 500 nm for the  
64 setup of Engelhart et al. (2011)). In addition, in conjunction with aerosol thermodynamic  
65 equilibrium models, ALWC can also be estimated with detailed aerosol chemical information.  
66 However, simulations of aerosol hygroscopicity and phase state by using thermodynamic  
67 equilibrium models are still very complicated even under the thermodynamic equilibrium  
68 hypothesis and these models may cause large bias when used for estimating ALWC (Bian et al.,  
69 2014).

70 The idea of using the humidified nephelometer system for the study of aerosol hygroscopicity  
71 has already been proposed very early on (Covert et al., 1972). The instrument measures aerosol  
72 light scattering coefficient ( $\sigma_{sp}$ ) under dry state and different RH conditions, providing  
73 information on aerosol light scattering enhancement factor  $f(RH)$ . One advantage of this method  
74 is that it has a fast response time and continuous measurements can be made, facilitating the  
75 monitoring of changes in ambient conditions. Another advantage of this method is that it provides  
76 information on the overall aerosol hygroscopicity of the entire aerosol population (Kuang et al.,  
77 2017a). Both measured  $\sigma_{sp}$  of aerosol particles in dry state and  $f(RH)$  vary strongly with  
78 parameters of particle number size distribution (PNSD), making it difficult to directly link them  
79 with the dry state aerosol particle volume ( $V_a(\text{dry})$ ) and the volume growth factor  $Vg(RH)$  of the  
80 entire aerosol population. So far, the ALWC could not be directly estimated based solely on  
81 measurements of the humidified nephelometer system. Several studies have shown that given the  
82 PNSDs at dry state, an iterative algorithm together with the Mie theory can be used to calculate an  
83 overall aerosol hygroscopic growth factor  $g(RH)$  based on measurements of  $f(RH)$  (Zieger et al.,  
84 2010; Fierz-Schmidhauser et al., 2010). In such an iterative algorithm, the  $g(RH)$  is assumed to be

85 independent of the aerosol diameter. Then ALWC at different RH levels can be calculated based  
86 on derived  $g(\text{RH})$  and the measured PNSD. This method not only requires additional  
87 measurements of PNSD, but also may result in significant deviations of the estimated ALWC,  
88 because  $g(\text{RH})$  should be a function of aerosol diameter rather than a constant value. Another  
89 method, which directly connects  $f(\text{RH})$  to  $Vg(\text{RH})$  ( $Vg(\text{RH}) = f(\text{RH})^{1.5}$ ), is also used for  
90 predicting ALWC based on measurements of the humidified nephelometer system and mass  
91 concentrations of dry aerosol particles (Guo et al., 2015). This method assumes that the average  
92 scattering efficiency of aerosol particles at dry state and different RH conditions are the same, and  
93 requires additional measurements of PNSD or mass concentrations of dry aerosol particles (Guo  
94 et al., 2015). However, the scattering efficiency of aerosol particles vary with particle diameters,  
95 which will change under ambient conditions due to aerosol hygroscopic growth.

96 In this paper, we propose a novel method to calculate the ALWC based only on  
97 measurements of a humidified nephelometer system. The proposed method includes two steps.  
98 The first step is calculating  $V_a(\text{dry})$  based on measurements of the “dry” nephelometer using a  
99 machine learning method called random forest model. With measurements of PNSD and BC, the  
100 six parameters measured by the nephelometer can be simulated using the Mie theory, and the  
101  $V_a(\text{dry})$  can also be calculated based on PNSD. Therefore, the random forest model can be trained  
102 with only regional historical datasets of PNSD and BC. In this study, datasets of PNSD and BC  
103 measured from multiple sites are used in the machine learning model to characterize a regional  
104 aerosol and these datasets have covered a wide range of aerosol loadings. The second step is  
105 calculating  $Vg(\text{RH})$  based on the Ångström exponent and  $f(\text{RH})$  measured by the humidified  
106 nephelometer system. In this step, both the influences of the variations in PNSD and aerosol  
107 hygroscopicity are both considered to derive  $Vg(\text{RH})$  from measured  $f(\text{RH})$ . Finally, based on

108 calculated  $V_a(\text{dry})$  and  $V_g(\text{RH})$ , ALWCs at different RH points can be estimated. The used  
109 datasets are introduced in Sect.2. Calculation method of  $V_a(\text{dry})$  based only on measurements of  
110 the nephelometer, which measures optical properties of aerosols in dry state, is described in  
111 Sect.3.2. The way of deriving  $V_g(\text{RH})$  based on measurements of the humidified nephelometer  
112 system is introduced and discussed in Sect.3.3. The final formula of calculating ambient ALWC  
113 is described in Sect.3.4. The verification of the  $V_a(\text{dry})$  predicted by using the machine learning  
114 method is described in Sect.4.1. The validation of ambient ALWC calculated from measurements  
115 of the humidified nephelometer system is presented in Sect.4.2. The contribution of ambient  
116 ALWC to the total ambient aerosol volume is discussed in Sect.4.3.

## 117 **2. Instruments and datasets**

118 Datasets from six field campaigns were used in this paper. The six campaigns were conducted  
119 at four different measurement sites (Wangdu, Gucheng and Xianghe in Hebei province and  
120 Wuqing in Tianjin) of the North China Plain (NCP), the locations of these field campaign sites are  
121 displayed in Fig.S1. Time periods and datasets used from these field campaigns are listed in Table  
122 1. During these field campaigns, aerosol particles with aerodynamic diameters less than  $10\ \mu\text{m}$   
123 were sampled (by passing through an impactor). The PNSDs in dry state, which range from 3nm  
124 to  $10\ \mu\text{m}$ , were jointly measured by a Twin Differential Mobility Particle Sizer (TDMPS, Leibniz-  
125 Institute for Tropospheric Research, Germany; Birmili et al. (1999)) or a scanning mobility  
126 particle size spectrometer (SMPS) and an Aerodynamic Particle Sizer (APS, TSI Inc., Model 3321)  
127 with a temporal resolution of 10 minutes. The mass concentrations of black carbon (BC) were  
128 measured using a Multi-Angle Absorption Photometer (MAAP Model 5012, Thermo, Inc.,  
129 Waltham, MA USA) with a temporal resolution of 1 minute during field campaigns of F1 to F5,

130 and using an aethalometer (AE33) (Drinovec et al., 2015) during field campaign F6. The aerosol  
131 light scattering coefficients ( $\sigma_{sp}$ ) at three wavelengths (450 nm, 550 nm, and 700 nm) were  
132 measured using a TSI 3563 nephelometer (Anderson and Ogren, 1998) during field campaigns of  
133 F1 to F5, and using an Aurora 3000 nephelometer (Müller et al., 2011) during field campaign F6.

134 Datasets of PNSD, BC and  $\sigma_{sp}$  from campaigns F2, F4 and F5 are referred to as D1.  
135 Measurements of PNSD and measurements from the humidified nephelometer system during  
136 campaign F6 (Gucheng campaign) are used to verify the proposed method of calculating the  
137 ambient ALWC. Details about the humidified nephelometer system during Wangdu and Gucheng  
138 campaigns are introduced in detail in (Kuang et al., 2017a). During the Gucheng campaign, an In  
139 situ Gas and Aerosol Compositions Monitor (IGAC, Fortelice International Co., Taiwan) was used  
140 for monitoring water-soluble ions ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{NH}_4^+$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{Cl}^-$ ) of  $\text{PM}_{2.5}$  and their  
141 precursor gases:  $\text{NH}_3$ ,  $\text{HCl}$ , and  $\text{HNO}_3$ . The time resolution of IGAC measurements is one hour.  
142 Ambient air was drawn into the IGAC system through a stainless-steel pipe wrapped with thermal  
143 insulation at a flow rate of 16.7 L/min. The ambient RH and temperature were observed using an  
144 automatic weather station with a time resolution of one minute.

### 145 **3. Methodology**

#### 146 **3.1 Closure calculations**

147 To ensure the datasets of  $\sigma_{sp}$  and PNSD used are of high quality, a closure study between  
148 measured  $\sigma_{sp}$  and that calculated based on measured PNSD and BC with Mie theory (Bohren and  
149 Huffman, 2008) is first performed. Measured  $\sigma_{sp}$  bears uncertainties introduced by angular  
150 truncation errors and nonideal light source. To achieve consistency between measured and

151 modelled  $\sigma_{sp}$ , modelled  $\sigma_{sp}$  are calculated according to practical angular situations of the  
152 nephelometer (Anderson et al., 1996). During the  $\sigma_{sp}$  modelling process, BC was considered to  
153 be half externally and half coreshell mixed with other aerosol components. The mass size  
154 distribution of BC used in Ma et al. (2012), which was also observed in the NCP, was used in this  
155 research to account for the mass distributions of BC at different particle sizes. The applied  
156 refractive index and density of BC were  $1.80 - 0.54i$  and  $1.5\text{g cm}^{-3}$  (Kuang et al., 2015). The  
157 refractive index of non light-absorbing aerosol components (other than BC) was set to  $1.53 -$   
158  $10^{-7}i$  (Wex et al., 2002). For the Mie theory calculation details please refer to Kuang et al. (2015).

159 The closure results between modelled  $\sigma_{sp}$  and  $\sigma_{sp}$  measured by TSI 3563 or Aurora 3000  
160 using datasets observed during six field campaigns (Table 2) are depicted in Fig.1. In general, for  
161 all six field campaigns, modelled  $\sigma_{sp}$  values correlate very well with measured  $\sigma_{sp}$  values.  
162 Considering the measured PNSD has an uncertainty of larger than 10% (Wiedensohler et al., 2012),  
163 and the measured  $\sigma_{sp}$  has an uncertainty of about 9% (Sherman et al., 2015), modelled  $\sigma_{sp}$  values  
164 agree well with measured  $\sigma_{sp}$  values in campaigns F1, F4, F5 and F6, with all points lying nearby  
165 the 1:1 line, and most points falling within the 20% relative difference lines. For the closure results  
166 of field campaign F2, the modelled  $\sigma_{sp}$  values are systematically lower than measured  $\sigma_{sp}$  values.  
167 For the closure results of field campaign F3, most points also lie nearby 1:1 line, but points are  
168 relatively more dispersed.

### 169 **3.2 Calculation of $V_a$ (dry) based on measurements of the “dry” nephelometer**

#### 170 **3.2.1 Theoretical relationship between $V_a$ (dry) and $\sigma_{sp}$**



171 Previous studies demonstrated that the  $\sigma_{sp}$  of aerosol particles is roughly proportional to  
172  $V_a(\text{dry})$  (Pinnick et al., 1980). Here, the quantitative relationship between  $V_a(\text{dry})$  and  $\sigma_{sp}$  is  
173 analyzed.

174 The  $\sigma_{sp}$  and  $V_a(\text{dry})$  can be expressed as the following:

$$175 \quad \sigma_{sp} = \int \pi r^2 Q_{sca}(m, r) n(r) dr \quad (1)$$

$$176 \quad V_a(\text{dry}) = \int \frac{4}{3} \pi r^3 n(r) dr \quad (2)$$

177 where  $Q_{sca}(m, r)$  is scattering efficiency for a particle with refractive index  $m$  and particle radius  
178  $r$ , while  $n(r)$  is the aerosol size distribution. As presented in equation (1) and (2), relating  $V_a(\text{dry})$   
179 with  $\sigma_{sp}$  involves the complex relation between  $Q_{sca}(m, r)$  and particle diameter, which can be  
180 simulated using the Mie theory. According to the aerosol refractive index at visible spectral range,  
181 aerosol chemical components can be classified into two categories: the light absorbing component  
182 and the almost light non-absorbing components (inorganic salts and acids, and most of the organic  
183 compounds). Near the visible spectral range, the light absorbing component can be referred to as  
184 BC. BC particles are either externally or internally mixed with other aerosol components. In view  
185 of this,  $Q_{sca}$  at 550 nm, as a function of particle diameter for four types of aerosol particles, is  
186 simulated using Mie theory: almost non-absorbing aerosol particle, BC particle, BC particle core-  
187 shell mixed with non-absorbing components with the radius of the inner BC core being 50 nm and  
188 70 nm, respectively. Same with those introduced in Sect.2.2, the refractive indices of BC and light  
189 non-absorbing components used here are  $1.80 - 0.54i$  and  $1.53 - 10^{-7}i$ , respectively.

190 The simulated results are shown in Fig.2a. Near the visible spectral range, most of the ambient  
191 aerosol components are almost non-absorbing, and their  $Q_{sca}$  varies more like the blue line shown  
192 in Fig.2a. In that case, aerosol particles have diameters less than about 800 nm and  $Q_{sca}$  increases

193 almost monotonously with particle diameter and can be approximately estimated as a linear  
 194 function of diameter. Fig.2b shows the simulated size-resolved accumulative contribution to the  
 195 scattering coefficient at 550 nm for all PNSDs measured during the Wangdu campaign. The results  
 196 indicate that, for continental aerosol particles without influences of dust, in most cases, all particles  
 197 with diameter less than about 800 nm contribute more than 80% to the total  $\sigma_{sp}$ . Therefore, for  
 198 equation (1), if we express  $Q_{sca}(m, r)$  as  $Q_{sca}(m, r) = k \cdot r$ , then equation (1) can be expressed  
 199 as the following:

$$200 \quad \sigma_{sp} = k \cdot \int \pi r^3 n(r) dr \quad (3)$$

201 This explains why  $\sigma_{sp}(550 \text{ nm})$  is roughly proportional to  $V_a(\text{dry})$ . However, the value  $k$  varies  
 202 greatly with particle diameter. The ratio  $\sigma_{sp}(550 \text{ nm})/V_a(\text{dry})$  (hereinafter referred to as  $R_{Vsp}$ ) is  
 203 mostly affected by the PNSD, which determines the weight of influence different particle  
 204 diameters have on  $R_{Vsp}$ . The discrepancy between the blue line and black line shown in Fig.2a  
 205 indicates that the fraction of externally mixed BC particles and their sizes has large impact on  $R_{Vsp}$ .  
 206 The difference between the black line and the red line as well as the difference between the solid  
 207 red line and the dashed red line shown in Fig.2a indicate that the way and the amount of BC mixed  
 208 with other components also exert significant influences on  $R_{Vsp}$ . In summary, the variation of  
 209  $R_{Vsp}$  is mainly determined by variations in PNSD, mass size distribution and the mixing state of  
 210 BC. It is difficult to find a simple function describing the relationship between measured  $\sigma_{sp}$  and  
 211  $V_a(\text{dry})$ .

212 Based on PNSD and BC datasets of field campaigns F1 to F6, the relationship between  $\sigma_{sp}$  at  
 213 550 nm and  $V_a(\text{dry})$  of  $PM_{10}$  or  $PM_{2.5}$  are simulated using the Mie theory. The results are shown

214 in Fig.3. The results demonstrate that the  $\sigma_{sp}$  at 550 nm is highly correlated with the  $V_a(\text{dry})$  of  
215  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$ . The square of the correlation coefficient ( $r^2$ ) between  $\sigma_{sp}$  at 550 nm and  $V_a(\text{dry})$   
216 of  $\text{PM}_{10}$  or  $\text{PM}_{2.5}$  are 0.94 and 0.99, respectively. A roughly proportional relationship exists  
217 between  $V_a(\text{dry})$  and  $\sigma_{sp}(550 \text{ nm})$ , especially for  $V_a(\text{dry})$  of  $\text{PM}_{2.5}$ . However, both  $R_{Vsp}$  of  
218  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  vary significantly.  $R_{Vsp}$  of  $\text{PM}_{10}$  mainly ranges from 2 to 6  $\text{cm}^3/(\mu\text{m}^3 \cdot \text{Mm})$ ,  
219 with an average of 4.2  $\text{cm}^3/(\mu\text{m}^3 \cdot \text{Mm})$ .  $R_{Vsp}$  of  $\text{PM}_{2.5}$  mainly ranges from 3 to 6.5  
220  $\text{cm}^3/(\mu\text{m}^3 \cdot \text{Mm})$ , with an average of 5.1  $\text{cm}^3/(\mu\text{m}^3 \cdot \text{Mm})$ . Simulated size-resolved  
221 accumulative contributions to  $\sigma_{sp}$  at 550 nm for all PNSDs measured during campaigns F1 to F6  
222 and corresponding size-resolved accumulative contributions to  $V_a(\text{dry})$  of  $\text{PM}_{10}$  are shown in  
223 Fig.S2. The results indicate that particles with diameter larger than 2.5  $\mu\text{m}$  usually contribute  
224 negligibly to  $\sigma_{sp}$  at 550 nm but contribute about 20% of the total  $\text{PM}_{10}$  volume. Hence  $\sigma_{sp}$  at 550  
225 nm is insensitive to changes in particles mass of diameters between 2.5 to 10  $\mu\text{m}$ . This may  
226 partially explain why  $V_a(\text{dry})$  of  $\text{PM}_{2.5}$  correlates better with  $\sigma_{sp}$  at 550 nm than  $V_a(\text{dry})$  of  $\text{PM}_{10}$ .

### 227 3.2.2 Machine learning

228 Based on analyses in Sect.3.2.1,  $R_{Vsp}$  varies a lot with PNSD being the most dominant  
229 influencing factor. The “dry” nephelometer provides not only one single  $\sigma_{sp}$  at 550 nm, it  
230 measures six parameters including  $\sigma_{sp}$  and back scattering coefficients ( $\sigma_{bsp}$ ) at three wavelengths  
231 (for TSI 3563: 450 nm, 550 nm, 700 nm). The Ångström exponent calculated from spectral  
232 dependence of  $\sigma_{sp}$  provides information on the mean predominant aerosol size and is associated  
233 mostly with PNSD. The variation of the hemispheric backscattering fraction (HBF), which is the  
234 ratio between  $\sigma_{bsp}$  and  $\sigma_{sp}$ , is also essentially related to the PNSD. HBFs at three wavelengths

235 (450 nm, 550 nm, 700 nm) and the Ångström exponents calculated from  $\sigma_{sp}$  at different  
236 wavelengths (450-550 nm, 550-700 nm, 450-700 nm) for typical non-absorbing aerosol particles  
237 with their diameters ranging from 100 nm to 3  $\mu\text{m}$  are simulated using the Mie theory. The results  
238 are shown in Fig.4a and Fig.4b. HBF values at three different wavelengths and their differences  
239 are more sensitive to changes in PNSD of particle diameters less than about 400 nm. Ångström  
240 exponents calculated from  $\sigma_{sp}$  at different wavelengths almost decrease monotonously with  
241 particle diameter when particle diameter is less than about 1  $\mu\text{m}$ , however, they differ distinctly  
242 when particle diameter is larger than 300 nm. These results indicate that HBFs at three wavelengths  
243 and Ångström exponents calculated from  $\sigma_{sp}$  at different wavelengths are sensitive to different  
244 diameter ranges of PNSD.

245 Thus, all six parameters measured by the “dry” nephelometer together can provide valuable  
246 information about variations in  $R_{Vsp}$ . However, no explicit formula exists between these six  
247 parameters and  $V_a(\text{dry})$ . How to use these six optical parameters is a problem. Machine learning  
248 methods which can handle many input parameters are capable of learning from historical datasets  
249 and then make predictions, and strict relationships among variables are not required. Machine  
250 learning methods are powerful tools for tackling highly nonlinear problems and are widely used  
251 in different areas. In the light of this, predicting  $V_a(\text{dry})$  based on six optical parameters measured  
252 by the “dry” nephelometer might be accomplished by using a machine learning method. In this  
253 study, random forest is chosen for this purpose.

254 Random forest is a machine learning technique that is widely used for classification and  
255 non-linear regression problems (Breiman, 2001). For non-linear regression cases, random forest  
256 model consists of an ensemble of binary regression decision trees. Each tree has a randomized

257 training scheme, and an average over the whole ensemble of regression tree predictions is used for  
258 final prediction. In this study, the function RandomForestRegressor from the Python Scikit-Learn  
259 machine learning library (<http://scikit-learn.org/stable/index.html>) is used. This model has several  
260 strengths. First, by averaging over an ensemble of decision trees, there is a significantly lower risk  
261 of overfitting. Second, it involves fewer assumptions about the dependence between inputs and  
262 outputs when compared with traditional parametric regression models. The random forest model  
263 has two parameters: the number of input variables ( $N_{in}$ ) and the number of trees grown ( $N_{tree}$ ). In  
264 this study,  $N_{in}$  and  $N_{tree}$  are six and eight, respectively. The six input parameters the three  
265 scattering coefficients, three backscattering coefficients.

266 The quality of input datasets is critical to the prediction accuracy of the machine learning  
267 method. As discussed in Sect.3.1, modeled  $\sigma_{sp}$  during some field campaigns are not completely  
268 consistent with measured  $\sigma_{sp}$ , large bias might exist between them due to the measurement  
269 uncertainties of PNSD and  $\sigma_{sp}$ . To avoid that the measurements uncertainties are involved in the  
270 training processes of the random forest model. In this study, both the required datasets of six optical  
271 parameters which corresponding to measurements of TSI 3563 and  $V_a(\text{dry})$  for training the  
272 random forest model are calculated or simulated based on measurements of PNSD and BC from  
273 field campaigns F1 to F4 and F6. Datasets of PNSD and six optical parameters measured by the  
274 nephelometer during campaign F5 are used to verify the prediction ability of the trained random  
275 forest model. The performance of this random forest model on predicting both  $V_a(\text{dry})$  of  $\text{PM}_{10}$   
276 and  $\text{PM}_{2.5}$  are investigated. A schematic diagram of this method is shown in Fig.5.

### 277 **3.3 Connecting $f(\text{RH})$ to $V_g(\text{RH})$**

#### 278 **3.3.1 $\kappa$ -Köhler theory**

279  $\kappa$ -Köhler theory is used to describe the hygroscopic growth of aerosol particles with different  
 280 sizes, and the formula expression of  $\kappa$ -Köhler theory can be written as follows (Petters and  
 281 Kreidenweis, 2007):

$$282 \quad RH = \frac{D^3 - D_d^3}{D^3 - D_d^3(1 - \kappa)} \cdot \exp\left(\frac{4\sigma_{s/a} \cdot M_{water}}{R \cdot T \cdot D_p \cdot g \cdot \rho_w}\right) \quad (4)$$

283 where  $D$  is the diameter of the droplet,  $D_d$  is the dry diameter,  $\sigma_{s/a}$  is the surface tension of  
 284 solution/air interface,  $T$  is the temperature,  $M_{water}$  is the molecular weight of water,  $R$  is the  
 285 universal gas constant,  $\rho_w$  is the density of water, and  $\kappa$  is the hygroscopicity parameter. By  
 286 combining the Mie theory and the  $\kappa$ -Köhler theory, both  $f(RH)$  and  $Vg(RH)$  can be simulated. In  
 287 the processes of calculations for modelling  $f(RH)$  and  $Vg(RH)$ , the treatment of BC is same with  
 288 those introduced in Sect.2.2. As aerosol particle grow due to aerosol water uptake, the refractive  
 289 index will change. In the Mie calculation, impacts of aerosol liquid water on the refractive index  
 290 are considered based on volume mixing rule. The used refractive index of liquid water is  $1.33 -$   
 291  $10^{-7}i$  (Seinfeld and Pandis, 2006).

### 292 3.3.2 Parameterization schemes for $f(RH)$ and $Vg(RH)$

293 The  $f(RH)$  is defined as  $f(RH) = \sigma_{sp}(RH, 550 \text{ nm}) / \sigma_{sp}(dry, 550 \text{ nm})$  where  
 294  $\sigma_{sp}(RH, 550 \text{ nm})$  and  $\sigma_{sp}(dry, 550 \text{ nm})$  represents  $\sigma_{sp}$  at wavelength 550 nm under certain RH  
 295 and dry conditions. Additionally,  $Vg(RH)$  is defined as  $Vg(RH) = V_a(RH) / V_a(dry)$ , where  
 296  $V_a(RH)$  represents total volume of aerosol particles under certain RH conditions.

297 A physically based single-parameter representation is proposed by Brock et al. (2016) to  
 298 describe  $f(RH)$ . The parameterization scheme is written as:

299 
$$f(\text{RH}) = 1 + \kappa_{sca} \frac{\text{RH}}{100 - \text{RH}} \quad (5)$$

300 where  $\kappa_{sca}$  is the parameter which fits  $f(\text{RH})$  best. Here, a brief introduction is given about the  
 301 physical understanding of this parameterization scheme. For aerosol particles whose diameters  
 302 larger than 100 nm, regardless of the Kelvin effect, the hygroscopic growth factor for a aerosol  
 303 particle can be approximately expressed as  $g(\text{RH}) \cong (1 + \kappa \frac{\text{RH}}{100 - \text{RH}})^{1/3}$  (Brock et al., 2016).  
 304 Enhancement factor in volume can be expressed as the cube of  $g(\text{RH})$ . Aerosol particles larger  
 305 than 100 nm contribute the most to  $\sigma_{sp}$  and  $V_a(\text{dry})$  (as shown in Fig.S2). If a constant  $\kappa$  which  
 306 represents the overall aerosol hygroscopicity of ambient aerosol particles, is used as the  $\kappa$  of  
 307 different particle sizes, then  $Vg(\text{RH})$  can be approximately expressed as  $Vg(\text{RH}) = 1 + \kappa \frac{\text{RH}}{100 - \text{RH}}$ .  
 308 In addition,  $\sigma_{sp}$  is usually proportional to  $V_a(\text{dry})$  which indicates that the relative change in  $\sigma_{sp}$   
 309 due to aerosol water uptake is roughly proportional to relative change in aerosol volume. Therefore,  
 310  $f(\text{RH})$  might also be well described by using the formula form of equation (5). Previous studies  
 311 have shown that this parameterization scheme can describe  $f(\text{RH})$  well (Brock et al., 2016; Kuang  
 312 et al., 2017b).

313 During processes of measuring  $f(\text{RH})$ , the sample RH in the “dry” nephelometer ( $\text{RH}_0$ ) is  
 314 not zero. According to equation (5), the measured  $f(\text{RH})_{\text{measure}} = \frac{f(\text{RH})}{f(\text{RH}_0)}$  should be fitted using  
 315 the following formula:

316 
$$f(\text{RH})_{\text{measure}} = (1 + \kappa_{sca} \frac{\text{RH}}{100 - \text{RH}}) / (1 + \kappa_{sca} \frac{\text{RH}_0}{100 - \text{RH}_0}) \quad (6)$$

317 Based on this equation,  $\kappa_{sca}$  can be calculated from measured  $f(\text{RH})$  directly. The typical value  
 318 of  $\text{RH}_0$  measured in the “dry” nephelometer during Wangdu campaign is about 20%. The

319 importance of the  $RH_0$  correction changes under different aerosol hygroscopicity and  $RH_0$   
320 conditions. The parameter  $\kappa_{sca}$  is fitted with and without consideration of  $RH_0$  for  $f(RH)$   
321 measurements during Wangdu campaign, and the results are shown in Fig.S3. The results  
322 demonstrate that, overall, the  $\kappa_{sca}$  will be underestimated if the influence of  $RH_0$  is not considered,  
323 and the larger the  $\kappa_{sca}$ , the more that the  $\kappa_{sca}$  will be underestimated.

324 In addition, based on discussions about the physical understanding of equation (5), the  
325  $Vg(RH)$  should be well described by the following equation:

$$326 \quad Vg(RH) = 1 + \kappa_{Vf} \frac{RH}{100-RH} \quad (7)$$

327 where  $\kappa_{Vf}$  is the parameter which fits  $Vg(RH)$  best. To validate this conclusion, a simulative  
328 experiment is conducted. In the simulative experiment, average PNSD in dry state and mass  
329 concentration of BC during the Haze in China (HaChi) campaign (Kuang et al., 2015) are used.  
330 During HaChi campaign, size-resolved  $\kappa$  distributions are derived from measured size-segregated  
331 chemical compositions (Liu et al., 2014) and their average is used in this experiment to account  
332 the size dependence of aerosol hygroscopicity. Modelled results of  $f(RH)$  and  $Vg(RH)$  are shown  
333 in Fig.7. Results demonstrate that modelled  $f(RH)$  and  $Vg(RH)$  can be well parameterized using  
334 the formula form of equation (5) and (7). Fitted values of  $\kappa_{sca}$  and  $\kappa_{Vf}$  are 0.227 and 0.285,  
335 respectively. This result indicates that if linkage between  $\kappa_{sca}$  and  $\kappa_{Vf}$  is established,  
336 measurements of  $f(RH)$  can be directly related to  $Vg(RH)$ .

### 337 **3.3.3 Bridge the gap between $f(RH)$ and $Vg(RH)$**

338 Many factors have significant influences on the relationships between  $f(RH)$  and  $Vg(RH)$ ,  
339 such as PNSD, BC mixing state and the size-resolved aerosol hygroscopicity. To gain insights into



340 the relationships between  $\kappa_{sca}$  and  $\kappa_{vf}$ , a simulative experiment using Mie theory and  $\kappa$ -Köhler  
341 theory is designed. In this experiment, all PNSDs at dry state along with mass concentrations of  
342 BC from D1 are used, characteristics of these PNSDs can be found in Kuang et al. (2017b). As to  
343 size-resolved aerosol hygroscopicity, a number of size-resolved  $\kappa$  distributions were derived from  
344 measured size-segregated chemical compositions during HaChi campaign (Liu et al., 2014).  
345 Results from other researches also show similar size dependence of aerosol hygroscopicity (Meng  
346 et al., 2014). In view of this, the shape of the average size-resolved  $\kappa$  distribution during HaChi  
347 campaign (black line shown in Fig.S5) is used in the designed experiment. Other than the shape  
348 of size-resolved  $\kappa$  distribution, the overall aerosol hygroscopicity which determines the magnitude  
349 of  $f(\text{RH})$  also have large impacts on the relationship between  $\kappa_{sca}$  and  $\kappa_{vf}$ . In view of this, ratios  
350 range from 0.05 to 2 with an interval of 0.05 are multiplied with the average size-resolved  $\kappa$   
351 distribution (the black line shown in Fig.S5) to produce a number of size-resolved  $\kappa$  distributions  
352 which represent aerosol particles from nearly hydrophobic to highly hygroscopic. During  
353 simulating processes, each PNSD is modelled with all produced size-resolved  $\kappa$  distributions. In  
354 the following, the ratio  $\kappa_{vf}/\kappa_{sca}$  termed as  $R_{vf}$  is used to indicate the relationship between  $\kappa_{sca}$   
355 and  $\kappa_{vf}$ .

356 In consideration of that values of Ångström exponent contain information about PNSD  
357 (Kuang et al., 2017b) and values of  $\kappa_{sca}$  represent overall hygroscopicity of ambient aerosol  
358 particles, and both the two parameters can be directly calculated from measurements of a three-  
359 wavelength humidified nephelometer system (Kuang et al., 2017b). Simulated  $R_{vf}$  values are  
360 spread into a two-dimensional gridded plot. The first dimension is Ångström exponent with an  
361 interval of 0.02 and the second dimension is  $\kappa_{sca}$  with an interval of 0.01. Average  $R_{vf}$  value

362 within each grid is represented by color and shown in Fig.6a. Values of Ångström exponent  
363 corresponding to used PNSDs are calculated from simultaneously measured  $\sigma_{sp}$  values at 450 nm  
364 and 550 nm from TSI 3563 nephelometer. Results shown in Fig.6a exhibit that both PNSD and  
365 overall aerosol hygroscopicity have significant influences on  $R_{Vf}$ . Simulated values of  $R_{Vf}$  range  
366 from 0.8 to 1.7 with an average of 1.2. Overall,  $R_{Vf}$  value is lower when value of Ångström  
367 exponent is larger. The percentile value of standard deviation of  $R_{Vf}$  values within each grid  
368 divided by its average is shown in Fig.6b. In most cases, these percentile values are less than 10%  
369 (about 90%) which demonstrates that  $R_{Vf}$  varies little within each grid shown in Fig.6a. Figure 6  
370 shows the influence of aerosol size and chemistry on  $R_{Vf}$ . For Ångström exponent less than  $\sim 1.1$ ,  
371  $R_{Vf}$  varies strongly with  $\kappa_{sca}$ . However, for Ångström exponent values greater than  $\sim 1.1$ , the  
372  $R_{Vf}$  relative standard deviation exhibits a higher variability with the Ångström exponent. Thus,  
373 showing the sensitivity of  $R_{Vf}$  to changes in aerosol size for small particles. In general, results  
374 shown in Fig.6 imply that results of Fig.6a can serve as a look up table to estimate  $R_{Vf}$  and thereby  
375  $\kappa_{Vf}$ , such that these values can be directly predicted from measurements of a three-wavelength  
376 humidified nephelometer system.

377 For the look up table shown in Fig.6a, a fixed size-resolved  $\kappa$  distribution is used, which  
378 might not be able to capture variations of  $R_{Vf}$  induced by different types of size-resolved  $\kappa$   
379 distributions under different PNSD conditions. A simulative experiment is conducted to  
380 investigate the performance of this look up table. In this experiment, the following datasets are  
381 used: PNSDs and mass concentrations of BC from D1 (the number of used PNSD is 11996), and  
382 size-resolved  $\kappa$  distributions from HaChi campaign (Liu et al., 2014) which are presented in Fig.7a  
383 (the number is 23). Results shown in Fig.7a imply that the shape of size-resolved  $\kappa$  distribution is

384 highly variable yet has no apparent correlation with aerosol loading. During the simulating  
 385 processes, for each PNSD, it is used to simulate  $R_{Vf}$  values corresponding to all used size-resolved  
 386  $\kappa$  distributions, therefore, 275908  $R_{Vf}$  values are modelled. Also, modelled values of  $\kappa_{sca}$  and  
 387 corresponding values of modelled Ångström exponent are together used to estimate  $R_{Vf}$  values  
 388 using the look up table shown in Fig.7a. Results of relative differences between estimated and  
 389 modelled  $R_{Vf}$  values under different pollution conditions are shown in Fig.7b. Overall, 88% of  
 390 points have absolute relative differences less than 15%, and 68% of points have absolute relative  
 391 differences less than 10%. This look up table performs better when the air is relatively polluted.

### 392 **3.4 Calculation of ambient ALWC**

393 According to the equation  $Vg(RH) = 1 + \kappa_{Vf} \frac{RH}{100-RH}$ , volume concentrations of aerosol  
 394 liquid water (ALWC) at different RH points can be expressed as:

$$395 \quad ALWC = V_a(\text{dry}) \times (Vg(RH) - 1) = V_a(\text{dry}) \cdot \kappa_{sca} \cdot R_{Vf} \cdot \frac{RH}{100-RH}. \quad (7)$$

396 According to discussions of Sect.3.2,  $V_a(\text{dry})$  can be predicted based only on measurements from  
 397 the “dry” nephelometer by using a random forest model. The training of the random forest model  
 398 requires only regional historical datasets of simultaneously measured PNSD and BC. The  $\kappa_{sca}$  is  
 399 directly fitted from  $f(RH)$  measurements. The  $R_{Vf}$  can be estimated using the look up table  
 400 introduced in Sect.3.3. Thus, based only on measurements from a three-wavelength humidified  
 401 nephelometer system, ALWCs of ambient aerosol particles at different RH points can be estimated.  
 402 If both measurements from the humidified nephelometer system and ambient RH are available,  
 403 ambient ALWC can be calculated. The flowchart of calculating ambient ALWC based on  
 404 measurements of the humidified nephelometer system is shown in Fig.8. The used nephelometer

405 corresponding to this flowchart should be TSI 3563. If nephelometer of the used humidified  
406 nephelometer system is Aurora 3000, wavelengths in this flowchart will change but other steps are  
407 totally the same.

#### 408 **4. Results and discussions**

##### 409 **4.1 Validation of the random forest model for predicting $V_a(\text{dry})$ based on measurements of** 410 **the “dry” nephelometer**

411 The machine learning method, random forest model, is proposed to predict  $V_a(\text{dry})$  based  
412 only on  $\sigma_{sp}$  and  $\sigma_{bsp}$  at three wavelengths measured by the “dry” nephelometer. Datasets of PNSD  
413 and BC from field campaigns F1 to F4 and F6 are used to train the random forest model. Datasets  
414 of PNSD and optical parameters measured by the “dry” nephelometer from field campaign F5 are  
415 used to verify the trained random forest model. The schematic diagram of this method is shown in  
416 Fig.5. The comparison results between calculated and predicted  $V_a(\text{dry})$  of  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  are  
417 shown in Fig.9. The square of correlation coefficient between predicted and calculated  $V_a(\text{dry})$   
418 of  $\text{PM}_{10}$  is 0.96. And almost all points lie between or near 20% relative difference lines. The square  
419 of correlation coefficient between predicted and calculated  $V_a(\text{dry})$  of  $\text{PM}_{2.5}$  is 0.997. And almost  
420 all points lie between or near 10% relative difference lines. The standard deviations of relative  
421 differences between predicted and calculated  $V_a(\text{dry})$  of  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  are 10% and 4% ,  
422 respectively. These results indicate that  $V_a(\text{dry})$  of  $\text{PM}_{2.5}$  can be well predicted by using the  
423 machine learning method. While  $V_a(\text{dry})$  of  $\text{PM}_{10}$  predicted by using the machine learning  
424 method has a relatively larger bias.

425 Machine learning methods do not explicitly express relationships between many variables,  
426 however, they learn and implicitly construct complex relationships among variables from

427 historical datasets. Many different and comprehensive machine learning methods are developed  
428 for diverse applications and can be directly used as a tool for solving a lot of nonlinear problems  
429 which may not be mathematically well understood. We suggest that using machine learning  
430 method for estimating  $V_a(\text{dry})$  based on measurements of the “dry” nephelometer. The way of  
431 estimating  $V_a(\text{dry})$  with machine learning method might be applicable for different regions around  
432 the world if used estimators are trained with corresponding regional historical datasets.

#### 433 **4.2 Comparison between ambient ALWC calculated from ISORROPIA and measurements** 434 **of the humidified nephelometer system.**

435 So far, widely used tools for prediction of ambient ALWC are thermodynamic models.  
436 ISORROPIA-II thermodynamic model ([http://nenes.eas.gatech.edu/ISORROPIA/index\\_old.html](http://nenes.eas.gatech.edu/ISORROPIA/index_old.html))  
437 is a famous one, and is widely used in researches for predicting pH and ALWC of ambient aerosol  
438 particles (Guo et al., 2015; Cheng et al., 2016; Liu et al., 2017; Fountoukis and Nenes, 2007). Water  
439 soluble ions and gaseous precursors are required as inputs of thermodynamic model. During  
440 Gucheng campaign, measurements from both the humidified nephelometer system and IGAC are  
441 available. Thus, the ambient ALWC can be calculated through two independent methods:  
442 thermodynamic model based on IGAC measurements and the method proposed in Sect.3.4 which  
443 is based on measurements of the humidified nephelometer system. In this study, the forward mode  
444 in ISORROPIA-II is used, and water-soluble ions in PM<sub>2.5</sub> and gaseous precursors (NH<sub>3</sub>, HNO<sub>3</sub>,  
445 HCl) measured by the IGAC instrument along with simultaneously measured RH and T are used  
446 as inputs. The aerosol water associated with organic matter are not considered in the method of  
447 ISORROPIA model, due to the lack of measurements of organic aerosol mass. However, results  
448 from previous studies indicate that organic matter induced particle water only account for about  
449 5% of total ALWC (Liu et al., 2017). For the ALWC calculated from the humidified nephelometer

450 system. The needed  $V_a$ (dry) of  $PM_{2.5}$  in equation (7) is calculated from simultaneously measured  
451 PNSD.

452 The comparison results between ambient ALWC calculated from these two independent  
453 methods are shown in Fig.10a. The square of correlation coefficient between them is 0.92, most  
454 of the points lie within or nearby 30% relative difference lines. The slope is 1.14, and the intercept  
455 is  $-8.6 \mu m^3/cm^3$ . When ambient RH is higher than 80%, the ambient ALWCs calculated from  
456 measurements of the humidified nephelometer system are relatively higher than those calculated  
457 based on ISORROPIA- II . When ambient RH is lower than 60%, the ambient ALWCs calculated  
458 from measurements of the humidified nephelometer system are relatively lower than those  
459 calculated based on ISORROPIA- II . Overall, a good agreement is achieved between ambient  
460 ALWC calculated from measurements of the humidified nephelometer system and ISORROPIA  
461 thermodynamic model.

462 Guo et al. (2015) conducted the comparison between ambient ALWC calculated from  
463 ISORROPIA model and ambient ALWC calculated from measurements of the humidified  
464 nephelometer system by assuming  $V_g(RH) = f(RH)^{1.5}$ . Thus, the comparison results between  
465 ambient ALWC calculated based on ISORROPIA and ambient ALWC calculated by assuming  
466  $V_g(RH) = f(RH)^{1.5}$  are also shown in Fig.10b. The square of correlation coefficient between them  
467 is also 0.92. However, the slope and intercept are 1.7 and  $-21 \mu m^3/cm^3$ , respectively. When the  
468 ambient RH is higher than about 80%, calculated ambient ALWC will be significantly  
469 overestimated if assumes that  $V_g(RH) = f(RH)^{1.5}$ . This method assumes that average scattering  
470 efficiency of aerosol particles at dry state and different RH conditions are the same. When ambient  
471 RH is high, the particle diameters changes a lot. As the results shown in Fig.S6, for non-absorbing

472 particle, when diameter of aerosol particle in dry state is less than 500 nm, the aerosol scattering  
473 efficiency increase almost monotonously with increasing RH especially when RH is higher than  
474 80%. Therefore, it is not suitable to assume that average scattering efficiency of aerosol particles  
475 at dry state and different RH conditions are the same.

#### 476 **4.3 Volume fractions of ALWC in total ambient aerosol volume**

477 During Wangdu campaign,  $\kappa_{sca}$  ranges from 0.05 to 0.3 with an average of 0.19. Estimated  
478 values of  $R_{Vf}$  ranges from 0.86 to 1.47, with an average of 1.15. Estimated values of  $\kappa_{Vf}$  ranges  
479 from 0.05 to 0.35, with an average of 0.22. The calculated volume fractions of water in total  
480 volume of ambient aerosols during Wangdu campaign are shown in Fig.11a. The results indicate  
481 that during Wangdu campaign, when ambient RH is higher than 70%, the  $\kappa_{Vf}$  values are relatively  
482 higher. The volume fractions of water is always higher than 50% when ambient RH is higher than  
483 80%.

484 During Gucheng campaign,  $\kappa_{sca}$  ranges from 0.008 to 0.22 with an average of 0.1,  $\kappa_{Vf}$  ranges  
485 from 0.01 to 0.21 with an average of 0.12. The aerosol hygroscopicity during Gucheng campaign  
486 is much lower than aerosol hygroscopicity during Wangdu campaign. The calculated volume  
487 fractions of water in total volume of ambient aerosols during Gucheng campaign are shown in  
488 Fig.11b. During Gucheng campaign, the maximum volume fraction of water in ambient aerosol is  
489 42% when ambient RH is at 80%. On average, when ambient RH is higher than 90%, the volume  
490 fraction of water in ambient aerosols reaches higher than 50%.

#### 491 **4.4 Discussions about the applicability of the proposed method**

492 The method proposed in this research is based on datasets of PNSD,  $\sigma_{sp}$  and size-resolved  $\kappa$   
493 distribution which are measured on the NCP without influences of dust events and sea salt.  
494 Cautions should be exercised if using the proposed method to estimate the ALWC when the air  
495 mass is significantly influenced by sea salt or dust. The way of estimating  $V_a(\text{dry})$  with machine  
496 learning method might be applicable for different regions around the world. However, the used  
497 predictor from machine learning should be trained with corresponding regional historical datasets  
498 of PNSD and BC. The way of connecting  $f(\text{RH})$  to  $V_g(\text{RH})$  might also be applicable for other  
499 continental regions. Still, we suggest that the used look up table is simulated from regional  
500 historical datasets.

501 Note that the humidified nephelometer usually operates with RH less than 95%. Aerosol  
502 water, however, increase dramatically with increasing RH when RH is greater than 95%. Such  
503 high RH conditions can occur during the haze events. This may limit the usage of the proposed  
504 method when ambient RH is extremely high. As discussed in Sect.3.3, the proposed way of  
505 connecting  $f(\text{RH})$  and  $V_g(\text{RH})$  is based on the  $\kappa$ -Köhler theory. If  $\kappa$  does not change with RH, the  
506 proposed method should be applicable when RH is higher than 95%, even the measurements of  
507 humidified nephelometer system are conducted when RH is less than 95%. Many studies have  
508 done researches about the change of  $\kappa$  with the changing RH (Rastak et al., 2017; Renbaum-Wolff  
509 et al., 2016), their results demonstrate that the  $\kappa$  changes with increasing RH. However, few  
510 studies have investigated the variation of  $\kappa$  of ambient aerosol particles with changing RH when  
511 RH is less than 100%. Liu et al. (2011) have measured  $\kappa$  of ambient aerosol particles at different  
512 RHs (90%, 95%, 98.5%) on the NCP. Their results demonstrated that  $\kappa$  at different RHs differ  
513 little for ambient aerosol particles with different diameters. Results of Kuang et al. (2017a)  
514 indicated that  $\kappa$  values retrieved from  $f(\text{RH})$  measurements agree well with  $\kappa$  values at RH of 98%



515 of aerosol particles with diameter of 250 nm. In this respect, the proposed method might be  
516 applicable even when ambient RH is extremely high for ambient aerosol particles on the NCP.  
517 Moreover, for calculating the ambient ALWC, the measured ambient RH is required. If the  
518 ambient RH is higher than 95%, the measured ambient RH with current techniques is highly  
519 uncertain. Given this, cautions should be exercised if the ambient ALWC is calculated when the  
520 ambient RH is higher than 95%.

## 521 **5. Conclusions**

522 In this paper, a novel method is proposed to calculate ALWC based on measurements of a  
523 three-wavelength humidified nephelometer system. Two critical relationships are required in this  
524 method. One is the relationship between  $V_a(\text{dry})$  and measurements of the “dry” nephelometer.  
525 Another one is the relationship between  $V_g(\text{RH})$  and  $f(\text{RH})$ . The ALWC can be calculated from  
526 the estimated  $V_a(\text{dry})$  and  $V_g(\text{RH})$ .

527 Previous studies have shown that an approximate proportional relationship exists between  
528  $V_a(\text{dry})$  and corresponding  $\sigma_{sp}$ , especially for fine particles (particle diameter less than 1  $\mu\text{m}$ ).  
529 However, PNSD and other factors still have significant influences on this proportional relationship.  
530 It is difficult to directly estimate  $V_a(\text{dry})$  from measured  $\sigma_{sp}$ . In this paper, a random forest  
531 predictor from machine learning procedure is used to estimate  $V_a(\text{dry})$  based on measurements of  
532 a three-wavelength nephelometer. This random forest predictor is trained based on historical  
533 datasets of PNSD and BC from several field campaigns conducted on the NCP. This method is  
534 then validated using measurements from Wangdu campaign. The square of correlation coefficient  
535 between measured and estimated  $V_a(\text{dry})$  of  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$  are 0.96 and 0.997, respectively.

536 The relationship between  $V_g(\text{RH})$  and  $f(\text{RH})$  is investigated in Sect.3 by conducting a  
537 simulative experiment. It is found that the complicated relationship between  $V_g(\text{RH})$  and  $f(\text{RH})$   
538 can be disentangled by using a look up table, and parameters required in the look up table can be  
539 directly calculated from measurements of a three-wavelength humidified nephelometer system.  
540 Given that the  $V_a(\text{dry})$  can be estimated from a three-wavelength “dry” nephelometer, the ambient  
541 ALWC can be estimated from measurements of a three-wavelength humidified nephelometer  
542 system in conjunction with measured ambient RH. We have conducted the comparison between  
543 ambient ALWC calculated from ISORROPIA and ambient ALWC calculated from measurements  
544 of the humidified nephelometer system. The square of correlation coefficient between them is 0.92,  
545 and most of the points lie within or nearby 30% relative difference lines. The slope and intercept  
546 are 1.14 and  $-8.6 \mu\text{m}^3/\text{cm}^3$ , respectively. Overall, a good agreement is achieved between ambient  
547 ALWC calculated from measurements of the humidified nephelometer system and ISORROPIA  
548 thermodynamic model.

549 Results introduced in this research have bridged the gap between  $f(\text{RH})$  and  $V_g(\text{RH})$ . The  
550 advantage of using measurements of a humidified nephelometer system to estimate ALWC is that  
551 this technique has a fast response time and can provide continuous measurements of the changing  
552 ambient conditions. The new method proposed in this research will facilitate the real-time  
553 monitoring of the ambient ALWC and further our understanding of roles of ALWC in atmospheric  
554 chemistry, secondary aerosol formation and climate change.

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696 Table 1 Abbreviations

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RH	relative humidity
PM <sub>2.5</sub>	particulate matter with aerodynamic diameter of less than 2.5 µm
PM <sub>10</sub>	particulate matter with aerodynamic diameter of less than 10 µm
<i>f</i> (RH)	aerosol light scattering enhancement factor at 550 nm
ALWC	aerosol liquid water content: volume concentrations of water in ambient aerosols
<i>V<sub>a</sub></i> (dry)	total volume of ambient aerosol particles in dry state
<i>V<sub>g</sub></i> (RH)	aerosol volume enhancement factor due to water uptake
NCP	North China Plain
HTDMA	humidified tandem differential mobility analyser system
PNSD	particle number size distribution
BC	black carbon
<i>g</i> (RH)	hygroscopic growth factor
APS	Aerodynamic Particle Sizer
SMPS	scanning mobility particle size spectrometer
$\sigma_{sp}$	aerosol light scattering coefficient
$\sigma_{bsp}$	aerosol back scattering coefficient
$\sigma_{ext}$	aerosol extinction coefficient
$R_{Vsp}$	$\sigma_{sp}(550\text{ nm})/V_a(\text{dry})$
F1 to F6	referred as to five field campaigns listed in Table 2
D1	PNSD, BC and nephelometer measurements from F2, F4 and F5

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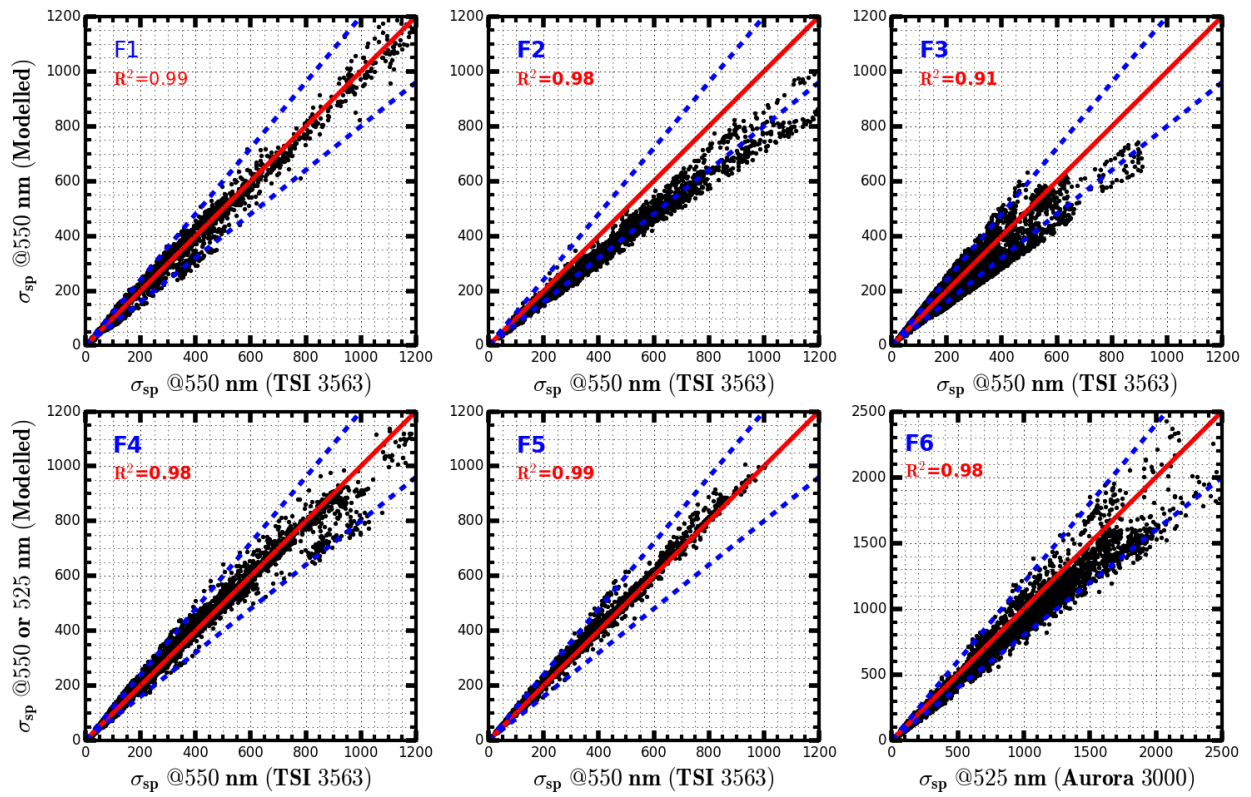
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**Table 2.** Locations, time periods and used datasets of six field campaigns

Location	Wuqing	Wuqing	Xianghe	Xianghe	Wangdu	Gucheng
Time period	7 march to 4 April, 2009	12 July to 14 August, 2009	22 July to 30 August, 2012	9 July to 8 August, 2013	4 June to 14 July, 2014	15 October to 25 November, 2016
PNSD	TSMPS+APS	TSMPS+APS	SMPS+APS	TSMPS+APS	TSMPS+APS	SMPS+APS
BC	MAAP	MAAP	MAAP	MAAP	MAAP	AE33
$\sigma_{sp}$	TSI 3563	TSI 3563	TSI 3563	TSI 3563	TSI 3563	Aurora 3000
$f(RH)$					Humidified nephelometer system	Humidified nephelometer system
Water soluble Ions						IGAC
Campaign Name	F1	F2	F3	F4	F5	F6

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704 **Figure 1.** Comparisons between measured and calculated  $\sigma_{sp}$  ( $Mm^{-1}$ ), solid red lines are 1:1 references lines.  
 705 Dashed blue lines are 20% relative difference lines.  $R^2$  is square of correlation coefficient between measured  
 706 and modelled  $\sigma_{sp}$ . Blue texts at the upper left corners are corresponding field campaigns as listed in Table2.  
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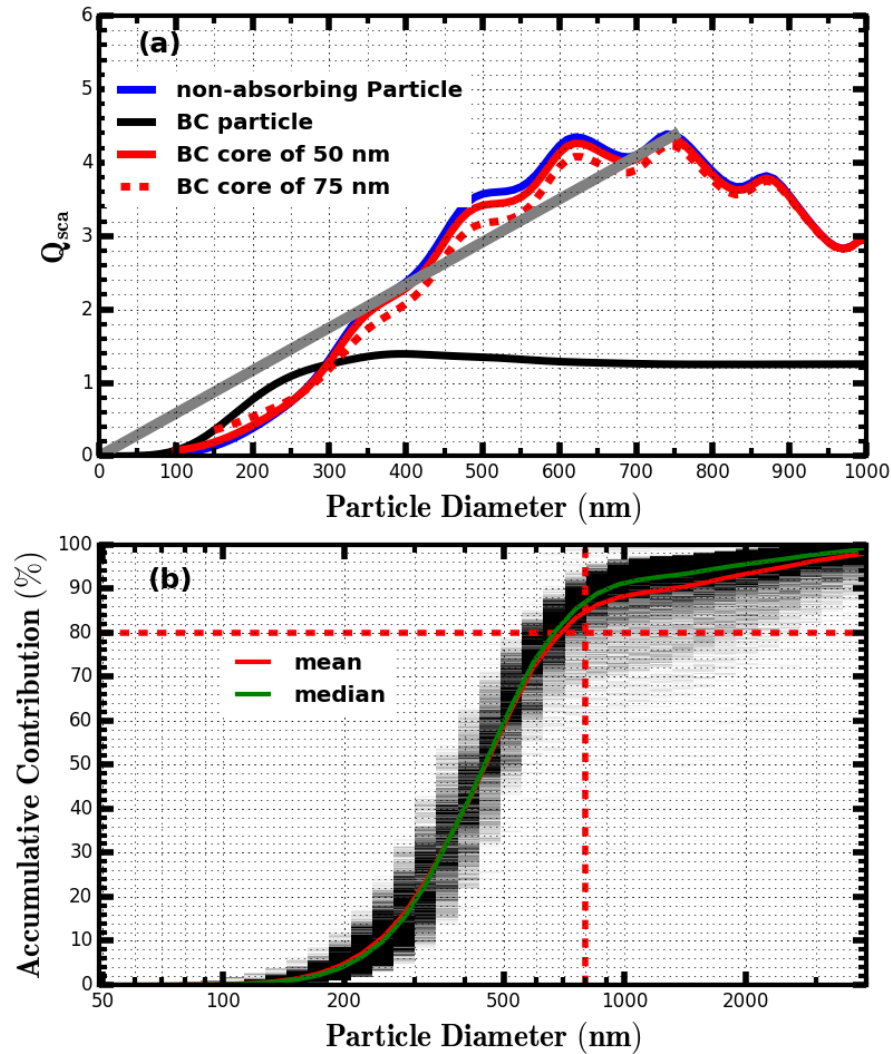
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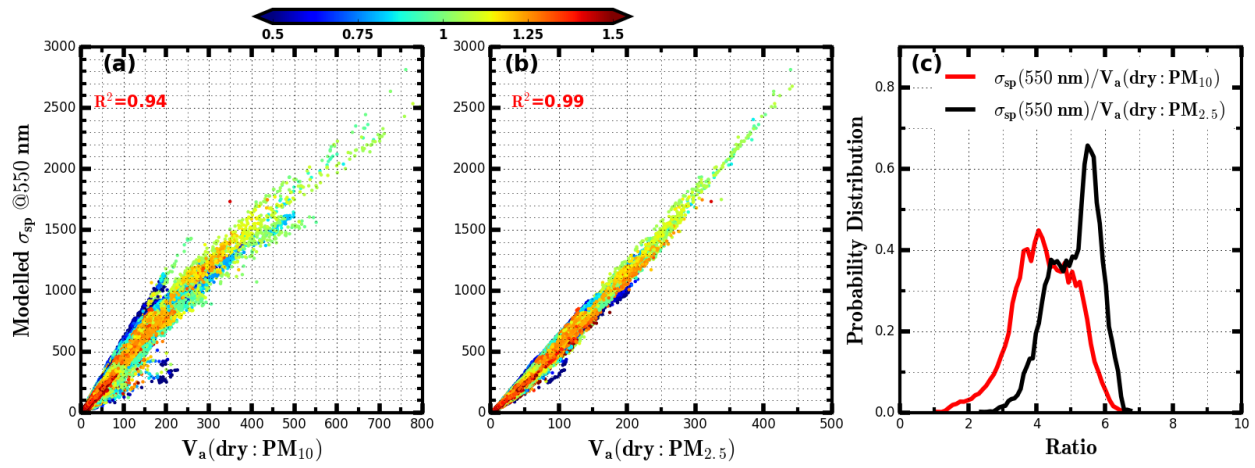


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717 **Figure 2.** (a)  $Q_{sca}$  at 550 nm as a function of particle diameter for four types of aerosol particles: almost non-  
 718 absorbing aerosol particle, BC particle, BC particle core-shell mixed with non-absorbing components and the  
 719 radius of inner BC core are 50 nm and 70 nm. The gray line corresponds to the fitted linear line for the case of  
 720 non-absorbing particle when particle diameter is less than 750 nm. (b) Simulated size-resolved accumulative  
 721 contribution to  $\sigma_{sp}$  at 550 nm for all PNSDs measured during Wangdu campaign, the color scales (from light  
 722 gray to black) represent occurrences. The dashed dotted lines in (b) represents the position of 800 nm and 80%  
 723 contribution, respectively.

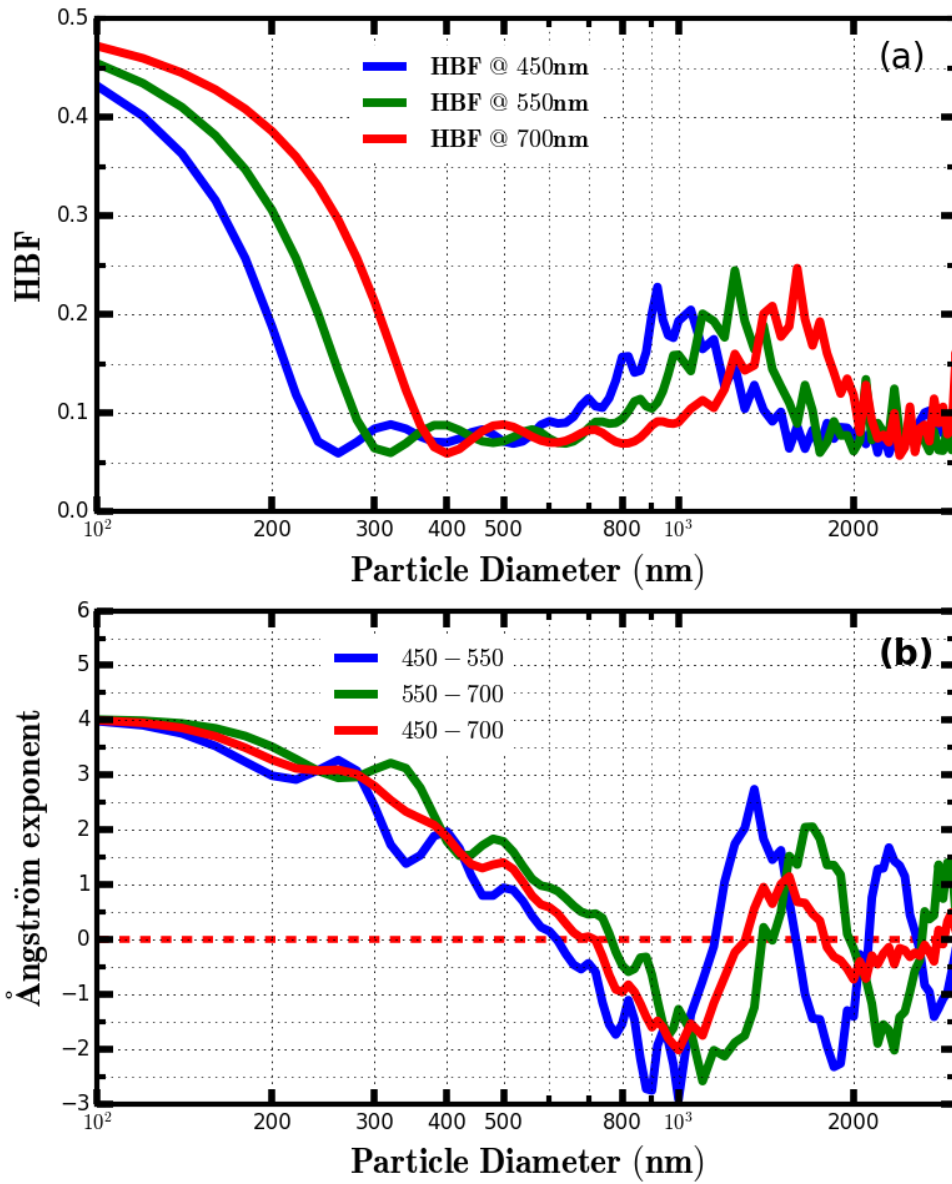
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727 **Figure 3.** (a) and (b): Modelled  $\sigma_{sp}$  at 550 nm based on PNSD and BC versus  $V_a(\text{dry})$  of PM<sub>10</sub> or PM<sub>2.5</sub>  
 728 calculated from measured PNSD. PNSD and BC datasets from six field campaigns listed in Table 2 are used.  
 729 The unit of  $V_a(\text{dry})$  is  $\mu\text{m}^3/\text{cm}^3$ , the unit of  $\sigma_{sp}$  is  $\text{Mm}^{-1}$ . Colors of scattered points in (a) and (b) represent  
 730 corresponding values of Ångström exponent.  $R^2$  is the square of correlation coefficient. (c) The probability  
 731 distribution of the modelled ratio between  $\sigma_{sp}$  at 550 nm and  $V_a(\text{dry})$  of PM<sub>10</sub> or PM<sub>2.5</sub>.



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 733 **Figure 4.** (a) Simulated HBF at three wavelengths as a function particle diameter. (b) Simulated Ångström  
 734 exponent values as a function a particle diameter.  
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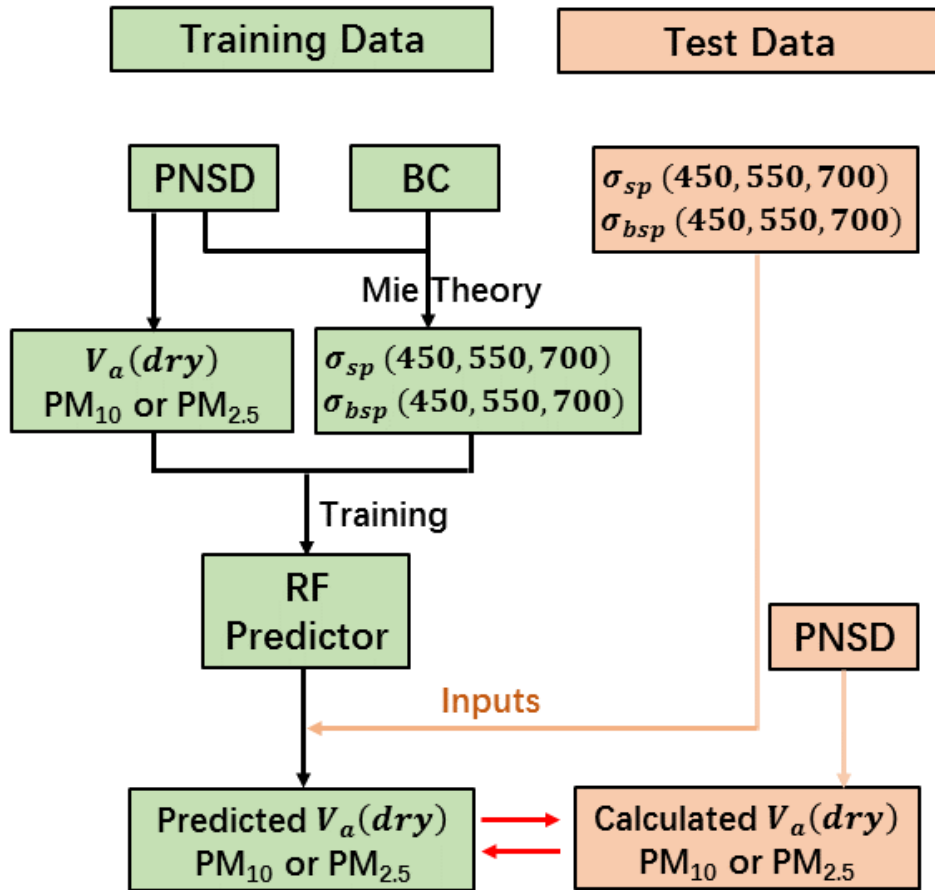
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742 **Figure 5.** Schematic diagram of training the random forest (RF) model and verifying the performance of trained  
 743 RF predictor. The trained datasets of PNSD and BC are from field campaigns F1 to F4 and F6, the test datasets  
 744 of PNSD and optical parameters are from campaign F5,  $\sigma_{bsp}$  is the back scattering coefficient.  
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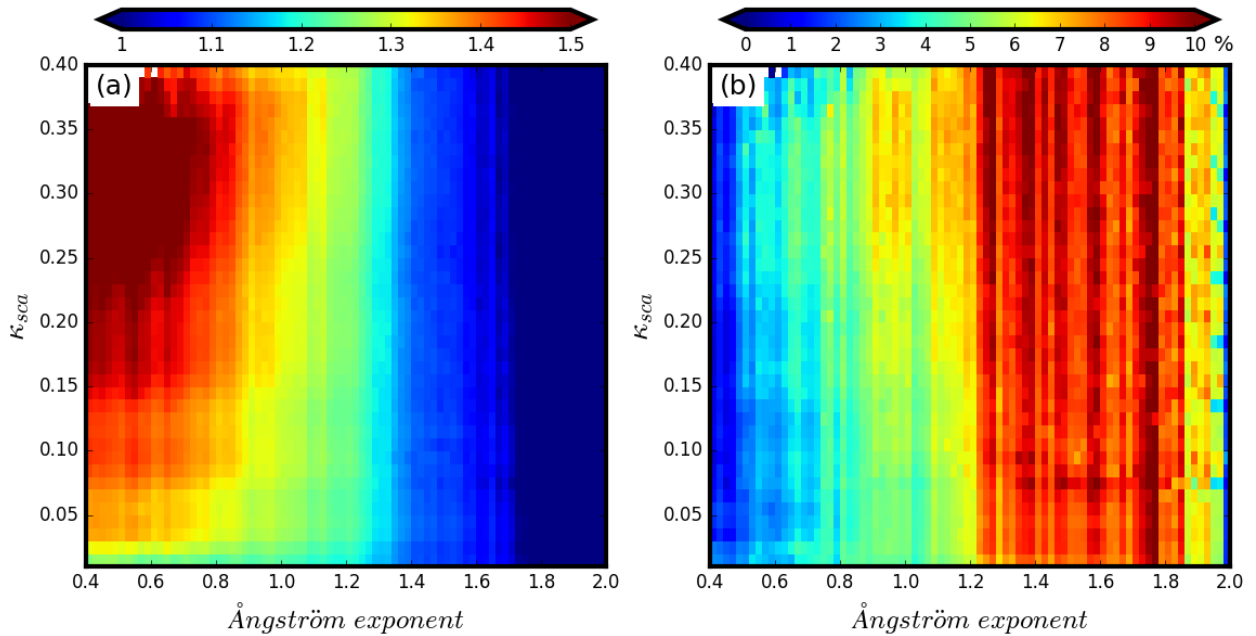
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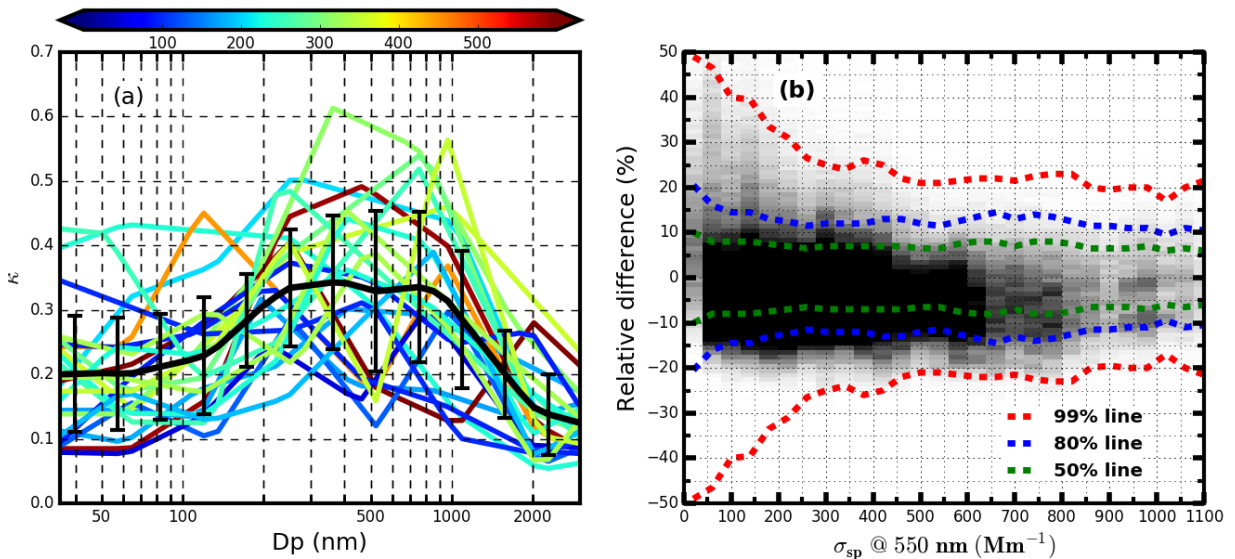
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753 **Figure 6.** (a) Colors represent  $R_{Vf}$  values and the colorbar is shown on the top of this figure, x-axis represents  
 754  $\text{\AA}$ ngström exponent and y-axis represents  $\kappa_{sca}$ . (b) Meanings of x-axis and y-axis are same with them in (a),  
 755 however, color represents the percentile value of the standard deviation of  $R_{Vf}$  values within each grid divided  
 756 by their average.

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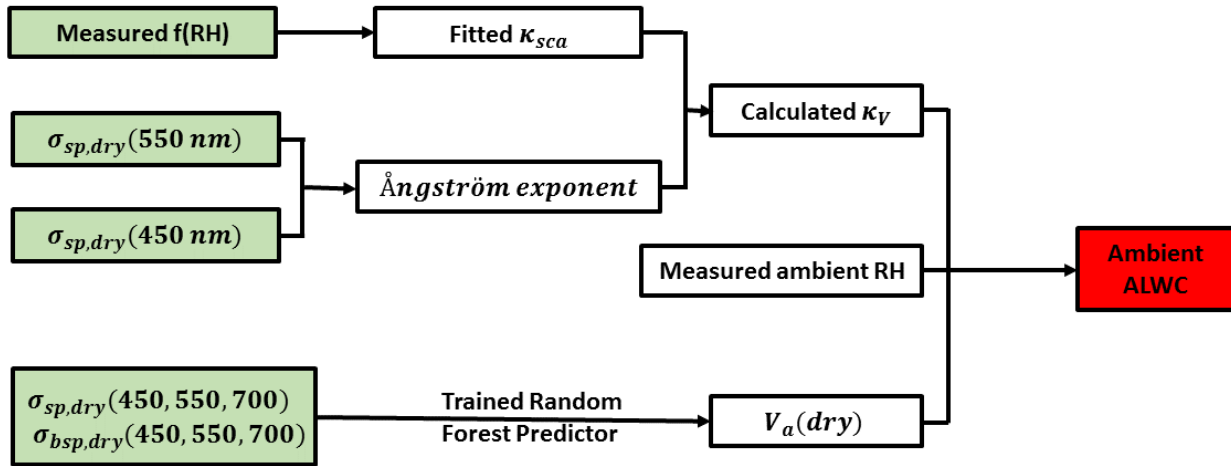


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759 **Figure 7.** (a) All size-resolved  $\kappa$  distributions which are derived from measured size-segregated chemical  
 760 compositions during HaChi campaign, colors represent corresponding values of average  $\sigma_{sp}$  at 550 nm ( $Mm^{-1}$ ),  
 761 black solid line is the average size-resolved  $\kappa$  distribution and error bars are standard deviations ; (b) The gray

762 colors represent the distribution of relative differences between modelled and estimated  $R_{Vf}$  values, darker grids  
 763 have higher frequency, dashed lines with the same color mean that corresponding percentile of points locate  
 764 between the two lines.

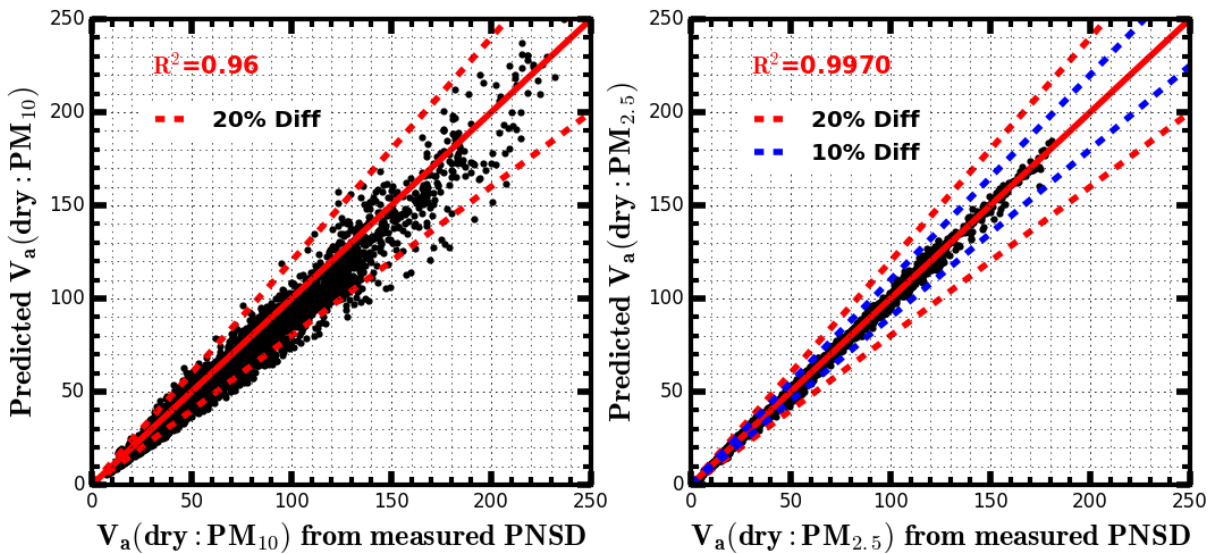
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767 **Figure 8.** The flowchart of calculating ambient aerosol liquid water contents based on measurements of a  
 768 three-wavelength humidified nephelometer system.

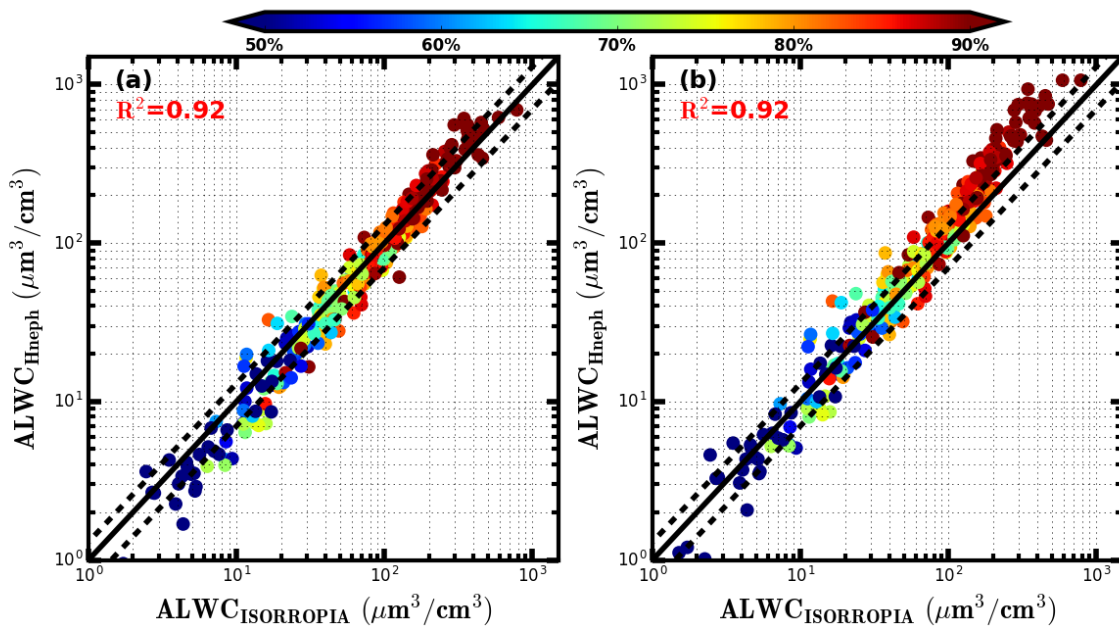
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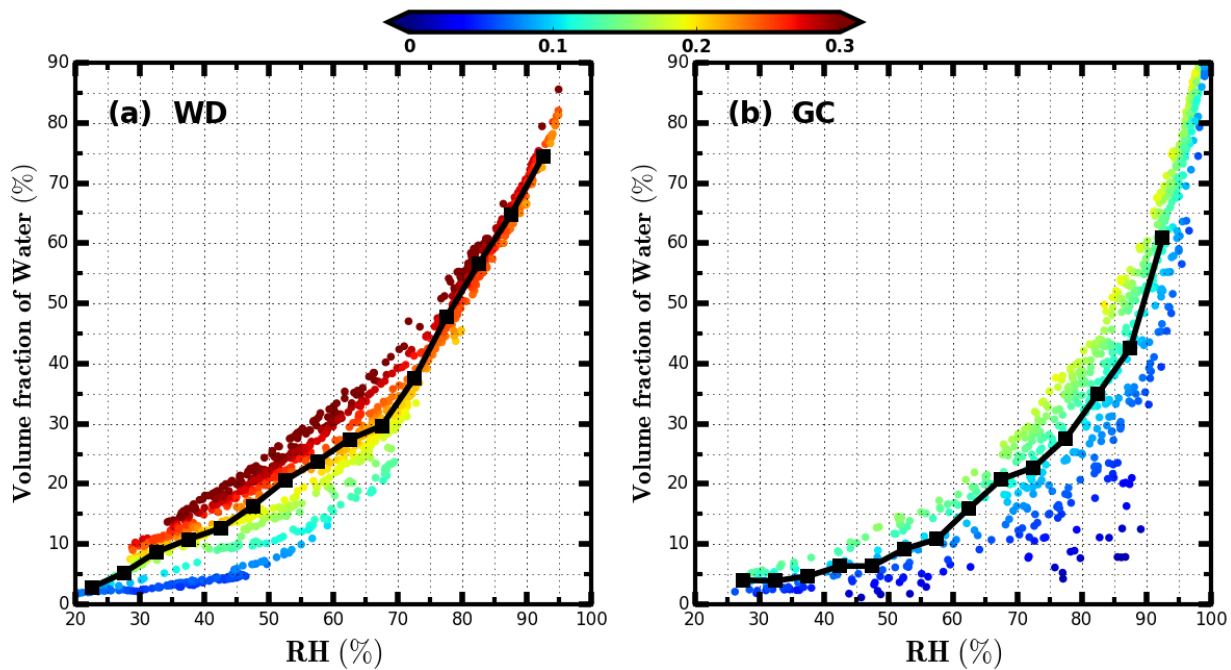
771 **Figure 9.** The comparison between  $V_a(\text{dry})$  ( $\mu\text{m}^3/\text{cm}^3$ ) of  $\text{PM}_{10}$  or  $\text{PM}_{2.5}$  calculated from measured PNSD  
 772 and  $V_a(\text{dry})$  of  $\text{PM}_{10}$  or  $\text{PM}_{2.5}$  which are predicted based on six optical parameters measured by the “dry”  
 773 nephelometer by using the random forest model.  $R^2$  is the square of correlation coefficient. Solid red line is  
 774 the 1:1 line, dashed red lines and dashed blue lines represent 20% and 10% relative difference lines.

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**Figure 10.** The comparison between ALWC calculated from ISORROPIA thermodynamic model ( $ALWC_{ISORROPIA}$ ) and ALWC calculated from measurements of the humidified nephelometer system ( $ALWC_{Hneph}$ ). The black solid line is the 1:1 line, the two dashed black lines are 30% relative difference lines.  $R^2$  is the square of correlation coefficient. Colors of scatter points represent ambient RH. (a)  $ALWC_{Hneph}$  is calculated using the method proposed in this research. (b)  $ALWC_{Hneph}$  is calculated by assuming  $Vg(RH) = f(RH)^{1.5}$  (Guo et al., 2015).



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793 **Figure 11.** Volume fractions of water in total volume of ambient aerosols during Wangdu (WD) and Gucheng  
 794 (GC) campaigns. X-axis represents measured ambient RH. Y-axis represents volume fractions of water. Colors  
 795 of scatter points represent corresponding  $\kappa_{vf}$ . Black solid lines in (a) and (b) show the average volume  
 796 fractions of water under different ambient RH conditions.

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