# Laser ablation aerosol particle time-of-flight mass spectrometer (LAAPTOF): Performance, reference spectra and classification of atmospheric samples

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12 Abstract. The laser ablation aerosol particles time-of-flight mass spectrometer (LAAPTOF, Aeromegt GmbH) is able to identify 13 the chemical composition and mixing state of individual aerosol particles, and thus is a tool for elucidating their impacts on 14 human health, visibility, ecosystem and climate. The overall detection efficiency (ODE) of the instrument we use was 15 determined to range from  $\sim (0.01 \pm 0.01)\%$  to  $\sim (6.574.23 \pm 2.3836)\%$  for polystyrene latex (PSL); in the size rage of 200 to 16 2000 nm,  $\sim (0.44 \pm 0.19)\%$  to  $\sim (6.57 \pm 2.38)\%$  for ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>), and  $\sim (0.14 \pm 0.02)\%$  to  $\sim (1.46 \pm 0.08)\%$  for 17 sodium chloride (NaCl) particles in the size rage of 300 to 1000 nm-in the size rage of 200 to 2000 nm. Reference mass spectra 18 of 32 different particle types relevant for atmospheric aerosol (e.g. pure compounds NH4NO3, K2SO4, NaCl, oxalic acid, pinic 19 acid, and pinonic acid; internal mixtures of e.g. salts, secondary organic aerosol, and metallic core-organic shell particles; more 20 complex particles such as soot and dust particles) were determined. Our results show that internally mixed aerosol particles can 21 result in spectra with new clusters of ions, rather than simply a combination of the spectra from the single components. An 22 exemplary one-day ambient data set was analysed by both classical Fuzzy clustering and a reference spectra based classification 23 method. Resulting identified particle types were generally well correlated. We show how a combination of both methods can 24 greatly improve the interpretation of single particle data in field measurementsAn exemplary one day ambient data set was 25 analysed by both classical Fuzzy\_ clustering and a reference spectra based classification method, generating results (Pearson's 26 correlation coefficients of 0.76 to 0.95) with complementary advantages. Identifying main particle types without reference by 27 Fuzzy clustering and identifying target particle types even with little abundance and potential sources by reference spectra based 28 classification improved the interpretation of field measurements significantly. 29 leading to six different particle classes. Correlating these particle classes with the reference spectra as well as direct comparison

- 30 of the ambient data with the reference spectra has proven how useful they are for the interpretation of field measurements, for e.g.
- 31 grouping data, and identifying special particle types and potential sources.
- 32

# 33 1 Introduction

34 Atmospheric aerosol particles impact visibility, interact with trace gases, can act as cloud condensation and ice nuclei, and 35 influence the Earth's radiation budget (Seinfeld and Pandis, 2006). Especially the continuously evolving chemical composition 36 of aerosol particles is of scientific interest, as it influences all aerosol effects (Burkholder et al., 2017; Pöschl, 2005). However, large knowledge gaps still exist related to the chemical composition of the organic and inorganic components and their mutual
interaction (Jimenez et al., 2009; Murphy et al., 2006; Schill and Tolbert, 2013; Zhang et al., 2007).

39 Aerosol particles can contain various components ranging from volatile (e.g. nitrate, sulphate, ammonium salts, and many 40 organic compounds), to refractory species (e.g. elemental carbon, minerals, and sea salt) (Pratt and Prather, 2012). The global 41 aerosol mass burden was estimated to consist of 73.6% dust, 16.7% sea salt, 2.8% biogenic secondary organic aerosols (SOA), 42 2.3% primary organic aerosols (POA), 1.3% sulphate, 1.3% ammonium, 1.2% nitrate, 0.4% black carbon (soot), 0.2% 43 anthropogenic SOA, and 0.2% methane sulphonic acid (Tsigaridis et al., 2006). SOA is estimated to account for the major 44 fraction of the total organic aerosol mass with dicarboxylic acids, such as oxalic acid suggested to be the main contributors 45 Ervens et al., 2004). Ambient aerosols, either directly emitted (primary aerosols) or formed in the atmosphere (secondary 46 aerosols) from oxidation of gas phase precursors or chemical reactions on particles, have typical lifetimes ranging from hours to 47 a few weeks (Pöschl, 2005). During their the lifetime ambient aerosols' lifetime, ranging from hours to a few weeks (Pöschl, 48 2005), the complexity of their chemical composition usually increases by coagulation, cloud processing, and or chemical 49 reactions\_: Sea salt, POA, soot, or dust particles can e.g. heterogeneously react with secondary organic compounds like organic 50 acids and secondary inorganic compounds like sulfuric or nitric acid (Seinfeld and Pandis, 2006; Usher et al., 2003).- This 51 modifies the particles' mixing state, with both internal (individual particles consisting of mixed compounds, e.g. coating 52 structures) and external mixtures (e.g. mixture of particles consisting of different compounds) (Li et al., 2016). This-The 53 aforementioned findings underscores the importance of measuring aerosol chemical composition and its changes on short 54 timescales and on a single particle basis, which can be realized by on-line mass spectrometry.

55 One-line mass spectrometry includes bulk and single-particle measurements (Pratt and Prather, 2012). Single particle mass 56 spectrometry, which can be dated back to the 1970s, aims at in situ and real time identification of the chemical composition of 57 individual aerosol particles, hereby elucidating a particle's external and internal mixing properties (Noble and Prather, 2000). 58 Online single particle mass spectrometers (SPMS) commonly use pulsed lasers for particle desorption and ionization (LDI), with 59 the advantage of ionizing nearly all atmospheric particle components, including both non-refractory and refractory materials 60 (Kulkarni et al., 2011). To the best of our knowledge, so far there is no quantitative analysis of particle composition by SPMSno 61 SPMS analysis is yet capable of providing a quantitative composition analysis, since the ablation/ionization laser cannot interact 62 with the entire particle, and the resulting generated ion fragments and/clusters are susceptible to matrix effects (Ramisetty et al., 63 2017). In addition, ionization mechanisms are not fully understood (Murphy, 2007). The first commercial SPMS combined LDI 64 with a Time of Flight Mass Spectrometer (aerosol time of flight mass spectrometer, ATOFMS, TSI GmbH) (Gard et al., 1997; 65 Su et al., 2004). Several other home build research SPMS were developed, each with different advantages: Particle Analysis by 66 Laser Mass Spectrometry (PALMS) (Brands et al., 2011; Erdmann et al., 2005; Gaie Levrel et al., 2012; Murphy, 2007; Murphy 67 and Thomson, 1995; Trimborn et al., 2000; Zelenyuk and Imre, 2005; Zelenyuk et al., 2009), Laser Mass Analyser for Particles 68 in the Airborne State (LAMPAS) (Trimborn et al., 2000), Single Particle Analysis and Sizing System (SPASS) (Erdmann et al., 69 2005), Single Particle Laser Ablation Time of Flight Mass Spectrometer (SPLAT) (Zelenyuk and Imre, 2005; Zelenyuk et al., 70 2009), Aircraft based Laser ABlation Aerosol Mass spectrometer (ALABAMA) (Brands et al., 2011), and Single Particle Laser 71 Ablation Mass Spectrometer (SPLAM) (Gaie Levrel et al., 2012) to name some of them. SPMS have identified many different 72 ambient particle types in different regions of the atmosphere, such as an elemental carbon/organic carbon (ECOC), organic-73 sulphate, aged sea salt, biological, soil dust, and different metal dominated types (Dall'Osto et al., 2016; Moffet et al., 2008; 74 Murphy et al., 2006; Schmidt et al., 2017). These measurements all confirmed the complexity of individual particles' mixing 75 state, and demonstrated the usefulness of single particle mass spectra for apportionment of individual particle sources, including 76 e.g. fossil fuel and biomass burning combustion, cooking, marine, and shipping sources (Arndt et al., 2016; Schmidt et al., 2017).

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77 Currently, there are only two commercially available SPMSs, i.e. the Single Particle Aerosol Mass Spectrometer (SPAMS, 78 Hexin Analytical Instrument Co., Ltd., China) (Li et al., 2011; Lin et al., 2017) and is the Laser Ablation Aerosol Particles Time-79 of-Flight mass spectrometer (LAAPTOF, Aeromegt GmbH, Germany). It-LAAPTOF uses two laser diodes\_(wave length 405 80 nm, ~40 mW, ~50 µm beam spot diameter (wave length 405 nm, ~40 mW, ~50 µm beam spot diameter, Marsden et al., 2016; 81 Zawadowicz et al., 2017)) for optical counting and size recording by light scattering, and one excimer laser (ArF, 193 nm, ~4 82 mJ) for one step ablation/ionization. The overall detection efficiency (ODE) of this instrument, defined as the number of single 83 particle mass spectra obtained from the total number of aerosol particles in the sampled air, was determined to range from ~0.15% 84 to ~2.2% for polystyrene latex (PSL) particles with geometric diameters (dp) between 350 nm and 800 nm (Gemayel et al., 2016; 85 Marsden et al., 2016). The instrument used by Gemayel et al. (2016) exhibited a maximum ODE of ~2.2% for PSL particle 86 diameters of 450 nm, while ~1% at 600 nm was the peak ODE reported by Marsden et al. (2016); but only afterbefore the 87 instrument modification-of the instrument. The response of the LAAPTOF to spherical PSL particles smaller than 350 nm and 88 bigger than 800 nm, and the response to other particle types with different shapes, have not been investigated systematically. The 89 scattering efficiency (SE), defined as the number percentage fraction of particles detected by light scattering compared to the 90 number of particles in the sampled air in front of the aerodynamic inlet lens (ADL) of the instrument (refer to ef. Fig. 1), is 91 determined by the laser diodes, the detection optics, as well as the photomultiplier tubes (PMT), and has a strong influence on the 92 ODE of the instrument. Therefore, several groups tried to improve this part of the instrument. Marsden et al. (2016) modified the 93 detection stage geometry by replacing the detection laser with a fiber coupled 532 nm, 1 W Nd:YAG solid state laser system 94 with a collimated laser beam, accomplishing an order of magnitude improvement in light detection sensitivity to PSL particles 95 with 500-800 nm diameter. Zawadowicz et al. (2017) modified the optical path of the laser diodes with a better laser beam of <1 96 mrad full angle divergence and 100 µm detection beam spot size, and applied light guides to enhance the scattered light 97 collection. This resulted in 2-3 orders of magnitude improvement in optical counting efficiency of incident PSL particles with 98 500-2000 nm vacuum aerodynamic diameter (dya). Zawadowicz et al. (2017) modified the optical path of the laser diodes with a 99 better laser beam of <1 mrad full angle divergence and 1000 µm detection beam spot size, and applied light guides to enhance 100 the scattered light collection, resulting in 2-3 orders of magnitude improvement in optical counting efficiency to PSL particles 101 with 500-2000 nm vacuum aerodynamic diameter (dvm). There are only very few studies so far that discuss mass spectral patterns 102 of different particle types measured by LAAPTOF. Gemayel et al. (2016) presented spectra from ambient particles collected in 103 the city centre of Marseille, France; spectra (positive only) from pure soot and SOA coated soot particles were shown by Ahern 104 et al. (2016)-: Spectra spectra from potassium rich feldspar, soot, Argentinian soil dust, and Snomax (commercial ice nuclei) 105 were shown by Zawadowicz et al. (2017), and : PSL and potassium rich feldspar spectra were measured by Marsden et al. (2017). 106 and radiolytically formed particles spectra were measured by Wonaschuetz et al. (2017). Reitz et al. (2016) presented peak 107 assignments for pure ammonium nitrate and sulphate particles, as well as for ambient particles measured at a suburban site of 108 Düsseldorf, Germany, but did not show any spectra. Marker ions generated from SPMS are likely instrument specific, as pointed 109 out by Schmidt et al. (2017). Therefore, there is a need for publicly available spectral information of this relatively new 110 instrument.

The number of the classes is chosen manually (Hinz et al., 1999; Reitz et al., 2016). There also exist target (reference

Formatiert: Schriftart: 10 Pt., Nicht Kursiv, Schriftfarbe: Text 1 Formatiert: Schriftart: 10 Pt., Nicht Kursiv, Schriftfarbe: Text 1 Feldfunktion geändert spectra/predefined clusters)-oriented methods that are used for analysing single particle mass spectrometer data, especially for ambient monitoring (Hinz et al., 1999; Gleanta GmbH; LAAPTOF AnalysisPro, Aeromegt GmbH).

120 In this paper we have characterized our LAAPTOF instrument with respect to its ODE for PSL, NH<sub>4</sub>NO<sub>3</sub>, and sodium 121 chloride (NaCl) particles for a wide size range (dm: 200-2000 nm PSL; 300-1000 nm NH4NO3 and NaCl). We present 122 laboratory based reference spectra for aerosol particles containing atmospherically relevant major-components, which were 123 grouped in three categories: 1) particles consisting of pure compounds, e.g. NH4NO3, K2SO4, and organic acids; 2) particles 124 consisting of well-defined mixtures of pure salts and mixtures of organic compounds, e.g. a-pinene SOA, and PSL internally 125 mixed with K<sub>2</sub>SO<sub>4</sub>, and as well as other core-shell type of particles; and 3) particles consisting of complex mixtures, e.g. soot and 126 dust particles. These reference spectra may also provide also other users comprehensive references for comparison purposes, and 127 thus help better interpretation of ambient data. An example for field data analysis based on reference spectra as well as Fuzzy c-128 means clustering will be given in chapter 3.3A one day example of field data interpretation, based onaccording to the these 129 reference mass spectra based classification as well as the Fuzzy c means clustering approach, will be given in chapter 3.3 and 130 compared to a Fuzzy clustering approach.

# 131 2 Methods

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# 132 2.1 The LAAPTOF instrument

133 The LAAPTOF has been described in several recent publications (Ahern et al., 2016; Gemayel et al., 2016; Marsden et al., 2016, 134 2017; Reitz et al., 2016; Wonaschuetz et al., 2017; Zawadowicz et al., 2017). Therefore, we only briefly review the general 135 operation steps that yield size and composition information of individual aerosol particles. The LAAPTOF instrument used in 136 this study was delivered in April 2015 and may differ in a few technical aspects from earlier or later versions. A schematic of the 137 main LAAPTOF components is given in Fig. 1 Particles with a vacuum aerodynamic diameter (dva) between ~70 nm and 2.5 µm 138 are sampled with a sampling flowrate of ~80 standard cubic centimetre per minute (SCCM), focused and accelerated by an 139 aerodynamic lens, ADL (LPL-2.5, Aeromegt GmbH) with- close to 100% transmission efficiency for particles with dva 100 nm to 140 2 µm (http://www.aeromegt.com/#products?LPL-2.5\_details), then pass through the particle time-of-flight (PTOF) chamber in 141 which the individual particle can be detected by two sizing laser beams (405 nm continuous wave, 40 mW) separated by 11.3 cm. 142 Based on the particle time of flight between the two laser beams, its  $d_{va}$  can be determined and recorded. After detection by the 143 second sizing laser, a nanosecond (ns) excimer laser pulse (wave length: 193 nm, pulse duration: 4 to 8 ns, maximum pulse 144 energy: ~8 mJ, beam diameter: ~ 300 μm when it hits the particle (Ramisetty et al., 2017), power density: ~10<sup>9</sup> W·cm<sup>-2</sup>, ATLEX-145 S, ATL Lasertechnik GmbH) can be triggered to desorb and ionize particle compounds. A laser pulse energy of 4 mJ was used 146 for all the measurements in this study. More details about the ionization region geometry are given by Ramisetty et al. (2017). 147 The resulting ions are analysed by a bipolar time-of-flight mass spectrometer (BTOF-MS; TOFWERK AG; mass resolution of 148  $m/\Delta m \sim 600$  to 800 at 184 Th, mass range m/q=1 up to  $\sim 2000$  Th). The resulting cations and anions are detected by 149 corresponding microchannel plate arrays (MCPs), producing a pair of positive and negative spectra for each single particle.

For each type of laboratory generated aerosol particle, we measured at least 300 mass spectra. Data analysis is done via the LAAPTOF Data Analysis Igor software (Version 1.0.2, Aeromegt GmbH). There are five main steps for the basic anaylsis procedure: a) removal of the excimer laser ringing signal from the raw mass spectra; b) determination of the signal baseline; c) filtering for empty spectra; d) mass calibration; and e) stick integration. Spectra to spectra differences in peak positions due to

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154 variance in the position of particle laser interaction complicate the mass calibrations. Details can be found in the supplementary 155 information (SI). Spectra presented in this paper were typically normalized to the sum of ion signal before further aggregation. 156 For the grouping of ambient data, we used two different classification methods. The Fuzzy c means clustering algorithm is 157 embeded in the LAAPTOF Data Analysis Igor software and starts from random class centres. Particle spectra with a minimum 158 distance between their data vectors and a cluster centre will be grouped into this specific class (Hinz et al., 1999). Since each 159 spectrum can belong to multiple classes (Reitz et al., 2016) the resulting fraction/percentage for each class represents the 160 information about the degree of similarity between aerosol particles in one particular class, and not a number percentage. The 161 second method developed in this study is based on the correlation between each ambient spectrum and our reference spectra. The 162 resulting Pearson's correlation coefficient (r) is used as the criteria to group particles into different types (here we use "types" 163 instead of "classes" in order to differentiate these two classification methods). When r is above the threshold value 0.6, the 164 ambient spectrum is considered to have high correlation with the corresponding reference spectra. For simplification we chose 10 165 positive and 7 negative reference spectra. For example, we only use German soil dust as the reference for arable soil dust rather 166 than using four arable soil dust samples from different places. More details about the procedure for this method as well as the 167 corresponding equations and uncertainties estimation can be found in the supplementary information. 168 2.2 Aerosol particle generation and experimental set up in the laboratory

<u>The laboratory based aerosol particles measured in this study (summarized in Table 1) were generated in four different ways (A, 170 B1, B2, and S).</u>

#### 171 Method A: Samples for pure particles and homogeneous and heterogeneous mixtures were dissolved/suspended in purified 172 water and nebulized (ATM 221; Topas GmbH) with dry synthetic air, passed through two diffusion dryers (cylinder filled with 173 Silica gel, Topas GmbH), and then size selected by a Differential Mobility Analyser (DMA 3080, TSI GmbH) before being 174 sampled by LAAPTOF. 175 Method B1: Particles were sampled from the 84.5 m<sup>3</sup> simulation chamber AIDA (Aerosol Interactions and Dynamics in the 176 Atmosphere) of KIT (Saathoff et al., 2003). SOA particles were formed in the 3.7 m<sup>3</sup> stainless steel Aerosol Preparation and 177 Characterization (APC) chamber via ozonolysis (~6 ppm ozone) of α-pinene (~2.2 ppm) and then transferred into AIDA. Soil 178 dust samples were dispersed by a rotating brush generator (RGB1000, PALAS) and injected via cyclones into the AIDA 179 chamber. Sea salt particles were generated and injected into AIDA by ultrasonically nebulizingartificial seawater (Sigma Aldrich) 180 and highly concentrated skeletonema marinoi culture (in artificial seawater), respectively, via a droplet separator and 2 diffusion 181 dryers (Wagner et al., 2017), 182 Method B2: Used only for soot particles, which were generated with a propane burner (RSG miniCAST; Jing Ltd.) and 183 injected into and sampled from a stainless steel cylinder of 0.2 m<sup>3</sup> volume. 184 Method S: Silica, Hematite, Illite\_NX, Arizona test dust, desert and urban dust, black carbon from Chestnut wood 185 (University of Zürich, Switzerland), and diesel soot reference particles from NIST were suspended in their reservoir bottles by 186 shaking them and sampled directly from the headspace (upper part) of these reservoirs through a tube connecting it with the 187 LAAPTOF. 188 For all the measurements, except measuring the method S-generated particles, a condensation particle counter (CPC 3010, TSI 189 GmbH) was used to record the particle number concentration in parallel with the LAAPTOF inlet. Setup in Fig. 1 was specific

for particles generated from method A. The laboratory based aerosol particles measured measured in this study (summarized in
 Table S1Table 1) were generated in three four different ways (cf. Fig.1A, B1, B2, and S). Method A. Ssamples for pure particles

(except SiO<sub>2</sub>) and homogeneous and heterogeneous mixtures (except SOA) were dissolved/suspended in purified water and

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193 nebulized (ATM 221; Topas GmbH) with dry synthetic air, passed through two diffusion dryers (cylinder filled with Silica gel, 194 Topas GmbH), and then size selected by a Differential Mobility Analyser (DMA 3080, TSI GmbH) before being sampled by 195 LAAPTOF, Method B1, the particles finally formed in the 84.5 m<sup>3</sup> simulation chamber AIDA (Aerosol Interactions and 196 Dynamics in the Atmosphere) of KIT (Saathoff et al., 2003). (setup A). A condensation particles counter (CPC 3010, TSI GmbH) 197 was used to record the particle number concentration. SOA particles from ozonolysis (~6 ppm ozone) of α pinene (~2.2 ppm), a 198 common laboratory-based surrogate for biogenic SOA (Saathoff et al., 2009), were formed in the 3.7 m3-stainless steel Aerosol 199 Preparation and Characterization (APC) chamber and then transferred into the AIDA chamber the 84.5 m<sup>3</sup> simulation chamber 200 AIDA (Aerosol Interactions and Dynamics in the Atmosphere) of KIT (Saathoff et al., 2003). Soil dust samples were dispersed 201 by a rotating brush generator (RGB1000, PALAS) and injected via cyclones into the AIDA chamber. Sea salt particles were 202 generated in different ways Pure and the organics containing sea salt particles were generated and injected into AIDA by adding 203 theultrasonically nebulizing artificial seawater (Sigma Aldrich) and highly concentrated skeletonema marinoi culture (in artificial 204 seawater) ultrasonically nebulized, respectively, via a droplet separator and 2 diffusion dryers (Wagner et al., 2017) and sampled 205 from the AIDA chamber (setup B in Fig. 1).. Method B2 iswas used only for Scoot particles, which were from incomplete 206 combustion of propane were , generated with a propane burner (RSG miniCAST; Jing Ltd.) and injected into and sampled from a 207 stainless steel cylinder of 0.2 m<sup>2</sup> volume. Method S corresponds to particles mobilized by shaking in a reservoir, which was used 208 for sSilica, Hematite, Illite\_NX, Arizona test dust, desert and urban dust, black carbon from Chestnut wood (University of Zürich, 209 Switzerland), and diesel soot reference particles from NIST were sampled directly from a reservoir (e.g. bottle) through a tube 210 connecting it with the LAAPTOF after having been suspended by shaking the reservoir (Method S).S were mobilized in their 211 servoir bottles by shaking and sampled directly from the headspace (upper part) of these reservoirs through a tube connecting it 212 with the LAAPTOF (Method S) 213 Ambient aerosol particles from a rural site near Leopoldshafen, Germany (refer to section 2.3) were sampled through a PM2s 214 inlet (SH 2.5-16, Comde Derenda GmbH) with 1 m3 h4, a fraction of which was guided into the LAAPTOF (set up C in Fig. 1). 215 Silica, Hematite, Illite\_NX, mineral dust, black carbon from Chestnut wood (University of Zürich, Switzerland), and urban dust 216 and diesel soot reference particles from NIST, were directly sampled from the headspace of their reservoirs. The aforementioned 217 particles were then sampled and measured by LAAPTOF. Method S generated particles in the headspace (upper part) of their

218 reservoirs were directly sampled through a tube connected with the instrument inlet before their sedimentation to the bottom. For

the other measurements, a condensation particles counter (CPC 3010, TSI GmbH) was used to record the particle number

200 concentration in front of the LAAPTOF inlet. TABE in Fig. 1 was specific for particles generated from method A.

(setup A). A condensation particles counter (CPC 3010, TSI GmbH) was used to record the particle number concentration.

# 222 2.3 Field measurement

223 Unusually high particle number concentrations, similar to downtown Karlsruhe (a city in southwest Germany), were observed 224 frequently northeast of Karlsruhe by particle counters on-board a tram wagon (www.aero-tram.kit.edu) intersecting the city 225 (Hagemann et al., 2014). To study the nature and to identify possible sources of these particles, their number, size, chemical 226 composition, associated trace gases, and meteorological conditions were measured from July 15th to September 1st, 2016 at a 227 rural location (49°6'10.54"N, 8°24'26.07"E), next to the tram line north of the village of Leopoldshafen, Germany. Ambient 228 aerosol particles were sampled through a PM2.5 inlet (SH 2.5 - 16, Comde-Derenda GmbH) with 1 m3 h1, a fraction of which was 229 guided into the LAAPTOF, which was deployed for ~5 weeks from July 26 to August 31. LAAPTOF measurements provided 230 information on size and mass spectral patterns for individual particles. In this paper we use data from one day as an example for 231 the potential interpretation of LAAPTOF spectral data using reference spectra.

#### 232 <u>2.4 Efficiency calculations</u>

233	In the literature there are two definitions of detection efficiency (DE) of SPMS used: one is equa	al to the scattering efficiency (SE)
234	of the detection lasers (Brands et al., 2011; Gaie-Levrel et al., 2012; Su et al., 2004; Zelenyuk	and Imre, 2005; Zelenyuk et al.,
235	2009), which is the fraction of particles detected by the scattering optics in the detection region of	of the instrument; the other one is
236	the product of SE and hit rate (HR) of the ablation/ionization laser (Su et al., 2004; Gemayel et	al., 2016; Marsden et al., 2016).
237	The hit rate is the fraction of particles detected leading actually to a useful mass spectrum. In the	is paper we use overall detection
238	efficiency (ODE), defined by the following equations:	
	$\underline{ODE=SE \times HR \times 100\%}$	<u>(1)</u>
	<u>SE=N<sub>d</sub>/N<sub>0</sub> × 100% (transmission efficiency of ADL is included)</u>	<u>(2)</u>
	$HR=N_{s}/N_{d} \times 100\%$ (ionization efficiency is included)	<u>(3)</u>
	$\underline{N_0} = \underline{C_n} \times flowrate \times time$	<u>(4)</u>
239	where Nd is the number of particles detected by light scattering, No is the number of particles in	front of the ADL, Ns the number

of bipolar spectra,  $C_n$  is the particle number concentration (cm<sup>-3</sup>) measured by a CPC in front of the ADL and the flowrate is the

241 <u>LAAPTOF sample flowrate.</u>

#### 242 <u>2.5 Spectral and size data analysis</u>

243 For each type of laboratory generated aerosol particle, we measured at least 300 mass spectra. Data analysis is done via the 244 LAAPTOF Data Analysis Igor software (Version 1.0.2, Aeromegt GmbH). There are five main steps for the basic analysis 245 procedure: a) removal of the excimer laser ringing signal from the raw mass spectra; b) determination of the signal baseline; c) 246 filtering for empty spectra; d) mass calibration; and e) stick integration, that is the integration of nominal masses for peaks. It 247 should be noted that spectrum-to-spectrum differences in peak positions for the same ion fragments/clusters complicate the mass 248 calibrations. This may be caused by differences in kinetic energy of the ions produced, however this effect is typically 249 compensated in the TOFs with reflectron (Kulkarni et al., 2011). Spectrum-to-spectrum peak shifts, especially in the positive 250 spectra in our study, are mainly because of variance in the position of particle-laser interaction, which cannot be corrected with 251 the existing Aeromegt software or the LAAPTOF instrument (Ramisetty et al., 2017). Details can be found in 'Procedure 1' in 252 the supplementary information(Kulkarni et al., 2011). Spectra presented in this paper were typically normalized to the sum of ion 253 signal before further aggregation. 254 For ambient data analysis, we used two different classification methods. The first one is Fuzzy c-means clustering algorithm 255 embedded in the LAAPTOF Data Analysis Igor software, commonly used to do classification based on the similarities of the 256 individual spectra. The number of the classes is chosen manually, afterwards the particle spectra with a minimum distance 257 between their data vectors and a cluster centre will be grouped into a specific class (Hinz et al., 1999; Reitz et al., 2016). Since 258 each spectrum can belong to multiple classes (Reitz et al., 2016) the resulting fraction/percentage for each class represents the

259 information about the degree of similarity between aerosol particles in one particular class, and not a number percentage. The

second method developed in this study is based on the correlation between each ambient spectrum and our reference spectra. The

- resulting Pearson's correlation coefficient (r) is used as the criterion to group particles into different types (here we use "types"
   instead of "classes" in order to differentiate these two classification methods). When r is higher than a threshold value of 0.6, the
- ambient spectrum is considered to have high correlation with the corresponding reference spectrum The resulting Pearson's
- 264 <u>correlation coefficient (r) is used as the criteria to group particles into different types (here we use "types" instead of "classes" in</u>
- order to differentiate these two classification methods). When r is above the threshold value 0.6, the ambient spectrum is

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considered to have high correlation with the corresponding reference spectra. For simplification we chose 10 positive and 7

267 negative reference spectra. For example, we only use German soil dust as the reference for arable soil dust rather than using four

arable soil dust samples from different places. More details about the procedure for this method as well as the corresponding

equations and uncertainties estimation can be found in "Procedure 2" in the supplementary information.

270 In addition, particle size  $(d_{va})$  was recorded for individual particles. The corresponding size distribution can be plotted as  $d_{va}$ 

histogram, a Gaussian fit of which yields number mean d<sub>va</sub> values and the standard deviation (width). In addition, particle size

272 (dw) was recorded for individual particles and the corresponding size distribution can be shown from the dw histogram, which

273 also provides the expected development and the standard deviation (width) from Gaussian fitting.

### 274 3 Results and Discussion

#### 275 3.1 Determination of LAAPTOF performance parameters

# 3.1.1 <u>Hit rate, Scattering scattering efficiency, hit rate and overall detection efficiency for standard samples</u>

277 In the literature there are two definitions of detection efficiency (DE) of SPMS used: one is equal to the scattering efficiency (SE)

of the detection lasers (Brands et al., 2011; Gaie Levrel et al., 2012; Su et al., 2004; Zelenyuk and Imre, 2005; Zelenyuk et al.,

279 2009), while the other one is the product of SE and hit rate (HR) of the ablation/ionization laser (Su et al., 2004; Gemayel et al.,

280 2016; Marsden et al., 2016). The hit rate (HR) is the fraction of particles detected by the scattering optics leading actually to a

useful mass spectrum. In this paper we use overall detection efficiency (ODE), defined by the following equations:

$ODE=SE \leftrightarrow HR \times 100\%$	(1)
SE=N <sub>d</sub> /N <sub>0</sub> $\times$ 100% (transmission efficiency of ADL is included)	(2)
$HR=N_{e}/N_{d} \times 100\%$ (ionization efficiency is included)	(3)
$N_0=C_{*} \times flowrate \times time$	(4)

Hit rate (where  $N_d$  is the number of particles detected by light scattering,  $N_0$  is the number of particles in front of the ADL,  $N_c$  the

number of bipolar spectra, and  $C_n$  is the particle number concentration (cm<sup>-2</sup>) measured by a CPC in front of the ADL. The sample flowrate of the LAAPTOF is ~80 cm<sup>2</sup> min<sup>-1</sup>.

285 HR), scattering efficiency (SE), and overall detection efficiency (ODE) for spherical PSL particles as a function of electrical\* 286 mobility equivalent diameter d<sub>m</sub>, are plotted in Fig. 2. It should be noted that the LAAPTOF detection behaviour may vary 287 depending on the alignment of the ADL and the optical components (especially the detection laser diodes), which is difficult to 288 reproduce. We therefore show results for PSL particles based on 2 repeated experiments after 3 alignments each, and thus a total 289 of 6 experiments for each data point. The uncertainty intervals in Fig. 2 are the difference between the maximum/minimum and 290 the average values obtained from these 6 experiments. As shown in panel A of Fig. 2, for particle diameters from 200 to 400 nm, 291 HRPSL exhibits an increase from 69% to 94%, decreases to 83% for 700 nm particles, and then becomes stable at ~85% for 292 particles with diameters up to 2  $\mu$ m. The average HR<sub>PSL</sub> ( $\overline{HR}_{PSL}$ ) is ~84%. SE<sub>PSL</sub> and ODE<sub>PSL</sub> show an M-like shape with two 293 peaks, at 500 nm (SEPSL 3.0%, ODEPSL 2.7%), and at 1000 nm (SEPSL 4.8%, ODEPSL 4.2) (see panel B and C of Fig. 2). We 294 attribute this behaviour to a combined effect of the spherical shape of PSL particles and the optical system of this instrument, e.g. 295 Mie resonances related to particle size and laser wavelength (see section 3.1.2 for details). As shown in panel C of Fig. 2, values 296 and trends of ODE<sub>PSL</sub> in the size range of 300-800 nm of our instrument are similar to those reported by Gemayel et al. (2016) 297 and Marsden et al. (2016) -for their LAAPTOF instruments. A recent LAAPTOF study by Zawadowicz et al. (2017) shows

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comparable results for PSL particles with  $d_p \le 500$  nm, and an M-like shape of ODE in the size range of 200–2000 nm (after instrument modification).

We also measured mass spectra of non-spherical NH<sub>4</sub>NO<sub>3</sub> ( $\chi$ =0.8, Williams et al., 2013) and NaCl particles (cubic,  $\chi$ =1.06 to 1.17, Zelenyuk et al., 2006a). Similar as for PSL particles, NH<sub>4</sub>NO<sub>3</sub>, and NaCl particles show relatively high and stable HR with average values of 80% and 66% (see panel D in Fig. 2), thus SE and ODE have a similar trend. No M-like shape of ODE as a function of particle size is observed due to the different light scattering properties of the non-spherical salt particles (Bohren and Huffman, 2007) (see panels E and F in Fig. 2). Comparable results were shown for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles ( $\chi$ =1.03 to 1.07, Zelenyuk et al., 2006a) by Zawadowicz et al. (2017). As shown in Fig. 2 E–F, SE and ODE decrease with increasing shape factor for salt particles of the same size. We will discuss this in more detail in the following section.

#### 307 3.1.2 Factors influencing overall detection efficiency

808 There are various factors that can influence the ODE of LAAPTOF. One of these is particle size. For particles with diameters 309 below 200 nm, the scattered light becomes too weak to be detected due to the strong dependence of the scattering intensity on 310 particle size (Bohren and Huffman, 2007). For particles with diameters larger than 2 µm, focusing by the ADL is much less 811 efficient, resulting in a higher divergence of the particle beam\_(Schreiner et al., 1999). This lowers the probability of larger 312 particles to be detected by the detection/scattering laser and/or to be hit by the ionization laser. In addition, light scattering of 313 spherical particles like PSL changes from Rayleigh to Mie to Geometric scattering as the size parameter  $\alpha = \pi d_p / \lambda$  increases from **B**14 <<1 to ~1 to >>1 (Seinfeld and Pandis, 2006). The scattering efficiencies of PSL particles, based on Mie calculation at the 815 particle sizes and detection laser wavelength relevant to our LAAPTOF measurement, validate the a ranges from ~1.5 to 19 for B16 200-2500 nm PSL particles, and is thus in the Mie scattering regime and the reason for the M-like shape of SEPSL (refer to Fig. 817 <u>S1) and ODE<sub>PSL</sub></u>. As long as the particle diameter ( $d_p$ ) is smaller than the wavelength of the detection laser light, here 405 nm, the 318 scattered radiation intensity (proportional to  $d_p^6$ ) will rapidly decrease with decreasing particle sizes, resulting in low ODE. ODE 319 is e.g. 0.01% for 200 nm PSL particles. For non-spherical particles like salts, their SE and ODE are also size dependent (panel F B20 in Fig. 2), due to size-dependent light scattering ability and particle beam divergence. However, in the size range of 300 to 1000 B21 nm studied here, they don't exhibit Mie resonance, and thus don't show an M-like shape in their scattering efficiency. B22 Optical properties of the particles have a strong impact on how light is scattered and absorbed, and thus it should be noted 823 that the optical properties do not only influence scattering efficiency, but also absorption and ionization efficiency (or hit rate). 824 As shown in Fig. 2F, ODE for NH<sub>4</sub>NO<sub>3</sub> is higher than that for NaCl at any size we studied. This is mainly caused by differences 825 in their optical properties of scattering. Relative fresh soot particles scatter only little light due to their black colour and small size (typically ~ 20 nm) of the primary particles forming their agglomerates, and are thus hardly detected by the detection laser. B26 827 However they are good light absorbers and thus relatively easy to ablate and ionize. The reference spectra of pure NH<sub>4</sub>NO<sub>3</sub> and 828 (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles showed intensive prominent peaks for pure NH<sub>4</sub>NO<sub>3</sub> particles (refer to Fig. 3A) but only one weak peak of

m/z 30 NO<sup>+</sup> for pure (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles. This indicates that NH<sub>4</sub>NO<sub>3</sub> is a better absorber than (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, and thus easier to
 ablate and ionize. For homogeneous mixtures of these two ammonium salts, the sulphate species are ablated and ionized much
 more easily (refer to section 3.2.2), due to increased UV light absorption by the nitrate component. Some small organic
 compounds with weak absorption properties are hard to ablate and ionize, e.g. oxalic acid (C<sub>2</sub>H<sub>2</sub>O<sub>4</sub>), pinic acid, and cis-pinonic
 acid. They exhibited much weaker signals (~80% lower) than macromolecular organic compounds in PSL or humic acid

334 particles.

Optical properties of the particles have a strong impact on how light is scattered and absorbed, and thus also greatly influence scattering efficiency and ionization efficiency (or hit rate), respectively. As shown in Fig. 2, ODE for NH<sub>4</sub>NO<sub>2</sub> is higher than that Feldfunktion geändert Feldfunktion geändert Formatiert: Nicht Hervorheben

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for NaCl at any size we studied (panel F). This is caused by differences in their optical properties and shapes. <u>Soot particles are</u>
 good light absorbers and thus relatively easy to ablate and ionize. However they scatter only little light due to the small size
 (typically ~ 20 nm) of the primary particles forming their agglomerates, and are thus hardly detected. Their usually small size is
 an additional disadvantage for their detection.

841 acid. The reference spectra of pure NH<sub>4</sub>NO<sub>2</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> particles showed intensive prominent peaks for pure NH<sub>4</sub>NO<sub>2</sub> B42 particles but only one weak peak for pure (NH4)2SO4- particles. This is indicating that NH4NO3- is a better absorber than 843 (NH4)2SO4, and thus easier to ablate and ionize. For homogeneous mixtures of these two ammonium salts, the sulphate species 844 are detected much more easily due to increased light absorption by the nitrate component (refer to section 3.2.2). Soot particles 845 are good light absorbers and thus relatively easy to ablate and ionize. However they scatter only little light due to the small size 846 (typically ~ 20 nm) of the primary particles forming their agglomerates, and are thus hardly detected. Their usually small size is B47 an additional disadvantage for their detection. Some small organic compounds with weak absorption properties are hard to ablate 848 and ionize as well, e.g. oxalic acid (C2H2O4), pinic and cis pinonic acids measured in this study had much weaker signals in the 849 spectra (~80% lower) than macromolecular organic compounds in PSL or humic acid particles.

Particle morphology is another important factor. The scattering efficiency for non-spherical NH<sub>4</sub>NO<sub>3</sub> is higher than for spherical PSL particles in the size rage of 300–800 nm (Fig. 2 B–E) (Ackerman et al., 2015). For larger particle sizes (d<sub>m</sub>> 800 nm), beam divergence offsets the shape effect (Murphy, 2007). Apart from that, the increase of surface roughness and inhomogeneity can promote the scattering capability of particles (Ackerman et al., 2015).

854 The incident intensity of radiation, which is another parameter that influences the light scattered by particles (as well as 855 background signal caused by stray light), is related to power and beam dimensions of the detection laser. Corresponding 856 instrument modifications were doneThe incident intensity of radiation, which is another parameter that influences the light B57 scattered by particles (as well as background signal caused by stray light), is related to power and beam dimensions of the 858 detection laser. Corresponding instrument modifications were done by Marsden et al. (2016) and Zawadowicz et al. (2017) (refer 859 to section 1). In addition, alignment of the excimer laser focus in x, y, and z position influences optimum hit rates (Ramisetty et 860 al., 2017),"The incident intensity of radiation, which is another parameter that influences the light scattered by particles (as well 861 as background signal caused by stray light), is related to power and beam dimensions of the laser. A laser power of 40 mW was 862 used in this study. Marsden et al. (2016) replaced the detection laser with a fibre coupled 532 nm, 1 W Nd:YAG solid state laser 863 system that has a collimated laser beam, resulting in an order of magnitude improved sensitivity to PSL particles with 500-800 864 nm diameter. Zawadowicz et al. (2017) used laser diodes with a laser beam of <1 mrad full angle divergence and 1000 um 865 detection beam spot size, and applied light guides to enhance the scattered light collection, resulting in 2-3 orders of magnitude improvement in optical counting efficiency of PSL particles with dy 500-2000 nm. In addition, alignment of the excimer laser B66 867 focus in x, y, and z position influences optimum hit rates (Ramisetty et al., 2017).

There are further instrumental aspects that affect the detection efficiency. High number concentrations of the incoming particles influence the ODE, since there can be more than one particle present between the two detection lasers. The transmission efficiency of the ADL is included in the scattering efficiency, and thus directly influences it. The size range of particles focused in the lens, and the particle beam width strongly depend on the configuration of the ADL (Canagaratna et al., 2007; Johnston, 2000). Liu lenses and Schreiner lenses can focus the particles in the size range of 80–800 nm, and 300–3000 nm, respectively (Kamphus et al., 2008; Liu et al., 1995; Schreiner et al., 1999). The ADL transmission efficiency of our instrument, as determined by the manufacturer (Aeromegt GmbH), is close to 100% for particles with dva 100–2000 nm.

375 3.2 LAAPTOF reference spectra of laboratory generated particle types

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Particles for which reference spectra are presented here are listed in Table S1Table 1. For each type of these aerosol particles, we

present averaged spectra for typically 300 to 500 single particles. The relative standard deviations (RSD, SD normalized to signal)
for the characteristic peaks are in the range of 15–186%, median value 77%.

Despite the lack of full quantitativeness of the LAAPTOF, mass spectral signal amplitudes show an increase with particle size (refer to Fig. S2). However, no systematic changes in the mass spectral signatures were observed for different particle sizes. Therefore, for the samples passing through the DMA, particles in the optimum size range of the LAAPTOF ( $d_m = 800$  nm) and with good signal-to-noise ratio were chosen to generate reference spectra. For polydisperse particles generated in the AIDA chamber, the corresponding average spectra include particles of broader size distributions compared to those preselected by the DMA. Information on particle generation or source as well as the sizes is listed in Table S1Table 1.

A qualitative comparison between the relative peak intensity ratios within an single particle spectrum and those in another spectrum can yield relative quantitation information, as suggested by Gross et al. (2000). We add information on typical peak ratios to some of our reference spectra to help identify specific species.

# 388 3.2.1 Pure compound particles

Although particles consisting of one single species only are rarely sampled in the atmosphere, interpretation of mass spectra of ambient samples is supported by the knowledge about the mass spectra of pure compounds. In the following mass spectra for a few typical ambient aerosol constituents are discussed.

892 Figure 3 shows average spectra for pure compound aerosol particles. For NH4NO3 particles (panel A), we observed the4 393 positive ions m/z 18 NH<sub>4</sub><sup>+</sup> and m/z 30 NO<sup>+</sup>; and the negative ions m/z 46 NO<sub>2</sub><sup>-</sup> and m/z 62 NO<sub>3</sub>, similar to Reitz et al. (2016). 394 The LAAPTOF is much less sensitive to ammonium than nitrate fragments, leading to a weak NH4+ signal and prominent NO+, NO2<sup>-</sup> and NO3<sup>-</sup> peaks. The ratio of NO<sup>+</sup> to NH4<sup>+</sup> is ~48, and the ratio of NO2<sup>-</sup> to NO3<sup>-</sup> is ~4. The prominent peak of NO<sup>+</sup> arises not 395 396 only from nitrate (majority), but also from ammonium (Murphy et al., 2006). In our ammonium nitrate spectra, there are weaker 397 signatures of m/z 46 NO<sub>2</sub><sup>+</sup> and m/z 125 HNO<sub>3</sub><sup>-</sup> (not shown here, but visible and reproducible), which were also observed in 398 PALMS mass spectra (Zawadowicz et al., 2015). For K<sub>2</sub>SO<sub>4</sub> particles, we observed the potassium signals at m/z 39 K<sup>+</sup> and m/z 899 41 K<sup>+</sup>, and a sulphate signature with ion clusters grouped around m/z 32 S<sup>-</sup>, m/z 64 SO<sub>2</sub><sup>-</sup>, m/z 80 SO<sub>3</sub><sup>-</sup> and m/z 96 SO<sub>4</sub><sup>-</sup>. Note that 400 the extra peak at m/z 40<sup>+</sup> besides m/z 39 K<sup>+</sup> in Fig. 3 (B) is likely due to the incorrect mass assignments as a result of peak shifts 401 (refer to section 2.5 and "Procedure 1" in the supplementary information). For high-intensity peaks such as sodium chloride 402 NaCl, extra peaks next to the main peak (Fig. S23) may have an additional reason: "Ringing" due to partial saturation of the data 403 acquisition system or signal reflections within the data acquisition circuitry (Gross et al., 2000). Note that the extra peak at m/z 404 40<sup>+</sup> besides m/z 39 K<sup>+</sup> in Fig. 3 (B) is likely due to the incorrect mass assignments for the some stick spectra as a result of peak 405 shifts (refer to section 2.5 and "Procedure 1" in supplementary information). For other cases such as sodium chloride NaCl, there 406 is an extra peak at m/z 36<sup>-</sup> besides the main one at m/z 35 Cl<sup>-</sup>(Fig. S2). This is most likely because of that the peaks with high 407 intensity may exhibit some "ringing", resulting in multiple peaks for a particular ion in a mass spectrum peaks with high 408 intensity exhibit "ringing" in the raw spectra, resulting in small peaks beside the main ones in the integrated stick spectra(Gross 409 et al., 2000), such as m/z 40+ besides m/z 39 K+ in Fig. 3 (B), and \_m/z 36- besides m/z 35 Cl- in the spectra for sodium chloride 410 NaCl (Fig. S1). Therefore, tThe real intensities of m/z 39 K+ and of m/z 35 Cl should include their its corresponding side ringing 411 peaks. For The ratio of m/z 39 K<sup>+</sup> to m/z 41 K<sup>+</sup> is ~13.2, close to the natural isotopic ratio of ~13.9 for <sup>39</sup>K/<sup>41</sup>K. For pure NaCl 412 particles, the ratio of m/z 35 Cl<sup>-</sup> to m/z 37 Cl<sup>-</sup> is ~3.2, similar to the natural isotopic ratio of ~3.1 for <sup>35</sup>Cl/<sup>37</sup>Cl. Therefore, these 413 two isotopic ratios can be used as markers to identify K and Cl measured by LAAPTOF. Another inorganic compound measured

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here is silica (Fig. S2Fig. S4) and its with the typical peak ratio of ( $\sim$ 1.0) of (m/z 76 SiO<sub>3</sub><sup>-</sup> + m/z 77 HSiO<sub>3</sub><sup>-</sup>) to m/z 60 SiO<sub>2</sub><sup>-</sup> is  $\sim$ 1.0. The corresponding histograms of such ratios for different particle samples can be found in Fig. S3Fig. S5.

High signal intensities in oxalic acid spectra are observed at m/z 18 H<sub>2</sub>O<sup>+</sup>, 28 CO<sup>+</sup>, and 30 CH<sub>2</sub>O<sup>+</sup>, as well as some weaker
peaks at m/z 40<sup>+</sup>, 44<sup>+</sup>, 56<sup>+</sup>, and 57<sup>+</sup>. M/z 89 C<sub>2</sub>O<sub>4</sub>H<sup>-</sup> is used as signature ion for oxalic acid in other SPMS studies (Roth et al.,
2016). In our study, a distinct signal at around m/z 89<sup>-</sup> is observed as well, indicating oxalate fragment formation after laser
ablation.

In order to identify humic like substances in the ambient particles, we measured humic acid particles (Fig. S4Fig. S6) and found hydrocarbon and elemental carbon fragments, with very prominent peaks at m/z 24<sup>-</sup>, 25<sup>-</sup>, and 26<sup>-</sup> suggested to be organic ions (Silva et al., 2000), as well as peaks at m/z 25<sup>-</sup>, 26<sup>-</sup>, 49<sup>-</sup>, and 73<sup>-</sup> for unsaturated organic compounds.

#### 423 3.2.2 Particles consisting of well-defined internal mixtures

424 Figure 4 shows average spectra from homogeneously internally mixed particles. The spectrum from the mixture of NH<sub>4</sub>NO<sub>3</sub> and 425 (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (panel A) contains the signature from pure NH<sub>4</sub>NO<sub>3</sub> particles, but with lower relative intensities (each peak intensity 426 is normalized to the sum of ion signal) for NO2<sup>-</sup> and NO3<sup>-</sup>, due to the formation of anion clusters at ~m/z=80 SO3<sup>-</sup> and 97 HSO4<sup>-</sup>. 427 In addition, eCompared to the pure NH4NO3 particles, the ratio of NO+ to NH4+ (~34) is ~30% lower in the spectrum for the 428 mixture, due to its lower molar ratio of nitrate/ammonium, whereas the ratio of NO<sub>2</sub><sup>-</sup> to NO<sub>3</sub><sup>-</sup> (~7) is 80% higher. In addition, as 429 already discussed in section 3.1.2, the better UV light absorber NH4NO3 Nitrate is believed to assists in light absorbing for the 430 mixed particles, resulting in a sulphate signature that could not be observed for pure (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. This exemplifies potential 431 effects of individual particle chemical composition on mass spectral performance of the LAAPTOF. For the mixture of K<sub>2</sub>SO<sub>4</sub> 432 and NaCl (panel B), similar signatures as for the pure particles were observed. Compared to the pure NaCl particle spectra, the 433 signal intensity of Na+ is decreased. This can be explained by more cations formed from the mixed particles, including from 434 potassium, which has a higher ionization potential and lower lattice energy than NaCl. For the mixed particles, expected clusters 435 such as 113/115 K<sub>2</sub>Cl<sup>+</sup>, 109 KCl<sub>2</sub><sup>-</sup>, and 119 NaSO<sub>4</sub><sup>-</sup> and a minor fragment 97 KNaCl<sup>+</sup> were observed, but not 81/83 Na<sub>2</sub>Cl<sup>+</sup> as 436 found in pure NaCl particles. These results show that compared to pure compounds, mass spectra from aerosol particles 437 consisting of mixtures can feature new ions, while some marker ions for the pure compounds may disappear. These spectra are 438 thus not simply a combination of the spectra from single component particles. Another example for an inorganic mixture of 439 NH<sub>4</sub>NO<sub>3</sub> and K<sub>2</sub>SO<sub>4</sub> is provided in Fig. S5Fig. S7. The  $\alpha$ -pinene SOA spectrum is shown in panel (C) of Fig. 4. Ablation of  $\alpha$ -440 pinene SOA particles forms different types of organic fragments: 1) hydrocarbon and oxygenated organic fragments CxHyOz, 441 (x=1-6, y=0-9, z=0-3, details about the peak assignments can be find-found in Table S2Table S1), except for m/z 59<sup>+</sup>, 83<sup>+</sup>, 85<sup>+</sup>, 442 and 95+, are comparable to the combination mass spectral patterns for cis-pinonic and pinic acids (refer to Fig. S8) which 443 are oxidation products from α-pinene ozonolysis (Saathoff et al., 2009; Yu et al., 1999); 2) Carbon clusters 12 C<sup>+</sup>, 24 C<sub>2</sub><sup>+</sup>, 36 C<sub>3</sub><sup>+</sup>, 444 and 60 C5+, with the most prominent peak in 12\_C+, assigned to both soot and organic matter fragments in another LAAPTOF 445 study (Ahern et al., 2016); 3) Carboxylic acid groups in the negative spectra, e.g. 45 COOH<sup>-</sup>, 59 CH<sub>2</sub>COOH<sup>-</sup>, 73 C<sub>2</sub>H<sub>4</sub>COOH<sup>-</sup>, 85 446 C<sub>3</sub>H<sub>4</sub>COOH<sup>-</sup> and 99 C<sub>4</sub>H<sub>6</sub>COOH<sup>-</sup>.

Figure 5 (A) shows the spectrum for heterogeneously internally mixed  $K_2SO_4$  and PSL particles (PSL core,  $K_2SO_4$  shell). All signatures for PSL particles, i.e. hydrocarbon fragments in positive spectra, intensive organic signature m/z 24<sup>o</sup>, 25<sup>o</sup>, and 26<sup>o</sup>, carbon clusters  $C_n^{+/o}$ , and m/z 49<sup>o</sup> and 73<sup>o</sup> fragments arising from unsaturated structures such as aromatic structures are retained in this spectra (grey labels), and the corresponding peak intensities are similar to the pure PSL particles (refer to Fig. S7Fig. S9). However, the intensities of most of the K<sub>2</sub>SO<sub>4</sub> fragments <u>are</u> weaker compared to pure K<sub>2</sub>SO<sub>4</sub> particles, likely due to the quite thin or only partial coating layer of K<sub>2</sub>SO<sub>4</sub> on the PSL core (the nominal geometric size of the PSL particles mixed with the

453 aqueous solution of  $K_2SO_4$  was 800 nm which is the same size that was selected by the DMA prior to sampling by the mass 454 spectrometer.). The most prominent peak at m/z 39<sup>+</sup> with a normalized intensity of ~0.46, containing both K<sup>+</sup> and C<sub>3</sub>H<sub>3</sub><sup>+</sup> 455 fragments, is mainly attributed to K<sup>+</sup> (intensity ~0.73 for pure K<sub>2</sub>SO<sub>4</sub>), since the intensity of  $C_3H_3^+$  (~0.06) for pure PSL is much 456 lower (refer to Fig. S9Fig. S9). The still intensive signal from 39 K<sup>+</sup> despite the weaker sulphate peaks corresponds to the high 457 sensitivity of the instrument for potassium. Fig. 5 (B) shows the average spectrum for poly(allylamine hydrochloride) coated 458 gold particles. Prominent signatures of nitrogen containing compounds (NOCs) is-are\_observed at m/z 58 C2H5-NH-CH2+, 15 459 NH<sup>+</sup>, 26 CN<sup>-</sup>, and 42 CNO<sup>-</sup>, as well as the signatures for unsaturated organic compounds at m/z 25<sup>-</sup>, 26<sup>-</sup>, 49<sup>-</sup>, and 73<sup>-</sup>. Strong 460 intensities for m/z (35<sup>-</sup> plus 36<sup>-</sup>) and 37<sup>-</sup> with ratio a of ~3.1 can be assigned to Cl isotopes derived from the hydrochloride. We 461 also observed small gold peaks at m/z 197+/- both in positive and negative spectra.

Mass spectra for other well-defined compounds, i.e. synthetic hematite and pure sea salt particles, are also provided in the supplementary information (Fig. S8Fig. S10 and S911).

#### 464 3.2.3 Particles consisting of complex mixtures

465 Figure 6 shows the average spectra for different types of soot particles. All of them show characteristic patterns for elemental 466 carbon (EC) Cn<sup>+/-</sup>. For soot1 with high organic carbon (OC) content from propane combustion in the laboratory (panel B), 467 prominent peaks were observed at m/z 28 CO<sup>+</sup> and 27 C<sub>2</sub>H<sub>3</sub><sup>+</sup>, as well as some other organic carbon signatures at m/z 39<sup>+</sup>, 40<sup>+</sup>, 44<sup>+</sup> 468 and 56+. All the organic signatures in soot1 with high OC were also observed for soot3, lignocellulosic char from Chestnut wood 469 (panel D), indicating that biomass burning soot contains a significant fraction of OC. It should be noted that biomass burning will 470 also form potassium, thus m/z 39+ contains both K+ and C3H3+ fragments. M/z 24+, 25- and 26- can be observed in all the soot 471 types, but with a bit different patterns: 1) soot with high EC content shows very high m/z 24<sup>-</sup> (~2 to 3 times than m/z 25<sup>-</sup>), while 472 2) soot with high OC shows comparable or even higher m/z 25<sup>-</sup> to/than m/z 24<sup>-</sup>. These patterns might provide help to distinguish 473 EC and OC contributions in the spectra from ambient particles.

474 Figure 7 shows spectra for Arizona test dust (milled desert dust) (penal-panel\_A), arable soil SDGe01 sampled from 475 Gottesgabe in Germany (B), and agricultural soil dust collected from harvesting machines after rye and wheat harvest (C). For 476 Arizona test dust, we observed high mineral signatures of aluminium and silicon containing clusters, namely 27 Al<sup>+</sup>, 28 Si<sup>+</sup>, 44 477 SiO<sup>+</sup>, 43 AlO<sup>-</sup>, 59 AlO<sub>2</sub><sup>-</sup>, 60 SiO<sub>2</sub><sup>-</sup>, 76 SiO<sub>3</sub><sup>-</sup>, 119 AlSiO<sub>4</sub><sup>-</sup>, 179 AlSiO<sub>4</sub>.SiO<sub>2</sub><sup>-</sup>, 136 (SiO<sub>2</sub>)<sub>2</sub>O<sup>-</sup>. It should be noted that high 16 O<sup>-</sup> and 478 17 OH accompany the intensive mineral signatures, attributed to the adsorbed water on the active surface of mineral particles. In 479 addition, In spectra (A), we also observed the following peaks: other mineral related metal clusters, e.g. 7 Li<sup>+</sup>, 23 Na<sup>+</sup>, 24 Mg<sup>+</sup>, 480 40 Ca+, 39/41 K+, 55 Mn+, 56 Fe+, 58 Ni+, 64 Cu+, metal oxides and hydroxides, e.g. 56 CaO+, 57 CaOH+, 96 Ca<sub>2</sub>O+, 112 481 (CaO)2+, and 88 FeO2', as well as weak anion clusters of organic signature (m/z 24 C2-, 25 C2H-, 26 C2H2, and 42 C2H2O-), 482 NOCs (m/z 26 CN- and 42 CNO-), chloride (m/z 35- and 37-), sulphate (m/z 32-, 48-, 64-, 80-, and 97-), phosphate (63 PO<sub>2</sub>- and 79 483 PO3'), diacids (oxalate 89 (CO)200H' and 117(CO)300H') and an unknown fragment m/z 148-were observed in the spectra (A). 484 M/z 26<sup>+</sup> in panels (B) and (C) is much higher than m/z 24<sup>-</sup> and 25<sup>-</sup>, due to the contribution of CN fragments from NOCs. Similar 485 signatures can also be observed in the spectra for Saharan desert dust (Fig. S10Fig. S12).

For soil dust, most <u>Most</u> of their the mineral and organic fragments <u>of soil dust</u> are similar as to those of desert dust, however with different intensities, e.g. m/z 24<sup>-</sup>, 25<sup>-</sup>, 26<sup>-</sup>, and 42<sup>-</sup> (labelled in green) are more intensive than those in desert dust, indicating higher organic compound content. Some peak ratios of fragments are similar across the different dust types, e.g. 40 Ca<sup>+</sup> to 56 CaO<sup>+</sup> is 2.2, 1.1, and 2 for desert dust, arable soil dust and agricultural soil dust, respectively. Compared with desert dust, there are different fragments from soil dust particles, e.g. EC patterns (labelled in grey), organic acids signatures (blue), ammonium signatures (orange), unsaturated organic fragments (m/z 49<sup>-</sup> and 73<sup>-</sup>) and some other unknown fragments (Weimer et al.). For Formatiert: Hochgestellt

492 arable soil dust particles, we also measured samples from Paulinenaue in Germany (SDPA01), Argentina (SDAr08) and 493 Wyoming in USA (SDWY01) (refer torefer to Fig. S11Fig. S13). Dominant mass spectral peak patterns are similar across all soil 494 dust samples. They are located at around m/z 27<sup>+</sup>, 39<sup>+</sup>, and 56<sup>+</sup> in the positive spectra; and 26<sup>-</sup>, 42<sup>-</sup>, 60<sup>-</sup>, and 76<sup>-</sup> in negative 495 spectra. Less prominent but reproducibly detected are carboxylic acid groups (e.g. COOH) and EC patterns. The German soil 496 dust, however, contains more organic species than soil dust from Argentina and USA, reflected in higher intensities at m/z 24°, 497 25°, and 26°. Argentinian soil dust contains much less mineral species, expressed in much lower intensities of mineral signatures, 498 e.g. m/z 27<sup>+</sup>, 28<sup>+</sup>, 40<sup>+</sup>, 44<sup>+</sup>, and 56<sup>+</sup>The German soil dust, however, contains more organic species than the soil dusts from 499 Argentina and the USA, according tosince it has reflected in higher the intensities of at\_m/z 24<sup>-</sup>, 25<sup>-</sup>, and 26<sup>-</sup>, while the 500 Argentinean soil dust contains much less mineral species, due to theexpressed in much lower comparing the intensities of 501 mineral signatures, e.g. m/z 27+, 28+, 40+, 44+, and 56+. The ratios of m/z 39 K+ and 41 K+ (3.6, 3.8, 3.5, 5.3 for SDGe01, 502 SDPA01, SDAr08, and SDWY01, respectively) are much lower than the typical peak ratio (~10.6) for potassium (Table 1 Table 503 2), indicating that they are likely contributed to by both potassium isotopes and hydrocarbon fragments.

504 For agricultural soil dust particles, obviously ammonium (m/z 18 NH4+ and 30 NO+), phosphate (m/z 63 PO2+, 79 PO3+, and 505 95 PO5<sup>-</sup>) and potassium signatures (m/z 39 K<sup>+</sup> and 41 K<sup>+</sup>) can be found in the spectra, attributed to fertilization. Apart from that, 506 typical biological signatures were observed: 1) the strong m/z 26°, 42°, and 39<sup>+</sup> pattern is similar to the potassium organo-507 nitrogen particle type observed by an ATOFMS at an urban site in Barcelona (Dall'Osto et al., 2016), and which were assigned to 508 carbohydrates, arising from biogenic species (Schmidt et al., 2017; Silva et al., 2000). 2) 26<sup>-</sup> and 42<sup>-</sup> could also be contributed by 509 CN<sup>-</sup> and CNO<sup>-</sup> derived from NOCs, i.e. amines, as well as m/z 30 CH<sub>3</sub>NH<sup>+</sup>, 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, and 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>. These biological 510 signatures have also been observed by ALABAMA in the field (Schmidt et al., 2017). 3) Some weak but reproducibly detected 511 fragment pattern at around m/z 77 C<sub>6</sub>H<sub>5</sub><sup>+</sup>, 91 C<sub>7</sub>H<sub>7</sub><sup>+</sup>, 103 C<sub>8</sub>H<sub>7</sub><sup>+</sup>, 105 C<sub>8</sub>H<sub>9</sub><sup>+</sup>, and 115 C<sub>9</sub>H<sub>7</sub><sup>+</sup> might be originate from aromatic 512 compounds. Similar patterns can also be found for PSL particles (Fig. S7).

513 Other examples for complex mixtures, i.e. illite and sea salt particles with biological components are provided in the 514 supplementary information (Fig. S12Fig. S14 and S9S11).

All the peak assignments and mass spectral patterns like signature peaks as well as some stable peak ratios mentioned above have been summarized in <u>Table S2Table S1</u> and <u>Table 1Table 2</u>, respectively. We consider these laboratory-based reference spectra as useful for the analysis of data obtained also by other LAAPTOF versions and to some extend even for other single particle mass spectrometers. Similar mass spectra are to be expected as long as they use similar ablation & ionization laser pulses (4 mJ, 193 nm), inlet regions for the mass spectrometer, and mass spectrometer types. In the near future, we plan to make these laboratory-based reference spectra publicly available via the EUROCHAMP-2020 data base (www.eurochamp.org).

#### 521 3.3 Interpretation of field data

522 Figure 8 shows an example of bipolar mass spectra for six different particle classes measured in the field campaign at a rural site 523 near Leopoldshafen in southwest Germany. On July 29th, 2016 within 24 hours, 7314 particles were detected, successfully 524 ablated and mass spectra generated by LAAPTOF. The 7314 pairs of spectra were then clustered by the Fuzzy c-means 525 algorithm, resulting in six classes. The resulting number of classes with clearly different features depends on the experience of 526 the operating scientist to identify them (please refer to the details of Fuzzy clustering procedure in Procedure 1 in the 527 supplementary information). The Fuzzy results are compared with the laboratory-based reference spectra by calculating their 528 correlation coefficients (ef.-Fig. 9). All classes exhibit a sulphate signature with m/z 97 HSO4<sup>-</sup> and m/z 80 SO3<sup>-</sup>; a nitrate 529 signature with m/z 46 NO2<sup>-</sup> and 62 NO3<sup>-</sup>; an organic compound signature with m/z 24 C2<sup>-</sup>, 25 C2H<sup>-</sup>, and 26 C2H2 /CN<sup>-</sup>; and a 530 NOC signature with m/z 26 CN<sup>-</sup> and 42 CNO<sup>-</sup> in the negative spectra. More characteristic signatures for each particle class can

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be observed in the positive spectra. All particles measured on this day show a 35% similarity to class 5 with obvious signatures 531 532 for potassium (K) and sulphate, with significant correlation with the reference particles containing potassium and sulphate (Fig. 533 9). Besides, class 5 also has significant correlation with some other cations arising from ammonium, organic compounds, and 534 dust. The ratio of m/z  $(39^+ + 40^+)$  to  $41^+$  is ~11, close to the value for pure K<sub>2</sub>SO<sub>4</sub> particles (~13.5), thus we assigned them to K<sup>+</sup> 535 rather than organic fragments. Further, there is a 15% similarity to class 4 with prominent ammonium signatures at m/z 18 NH4<sup>+</sup> 536 and 30 NO+, sulphate signatures, as well as a relatively weaker but reproducible nitrate signature. The corresponding spectrum is 537 similar as the spectrum for the homogeneous mixtures of NH4NO3 and (NH4)2SO4 (panel A in Fig. 4). This class also has strong 538 correlation with both positive and negative reference spectra for the mixture of ammonium nitrate and ammonium sulphate 539 particles. Ammonium, nitrate and sulphate are the major secondary inorganic species in atmospheric aerosol particles (Seinfeld 540 and Pandis, 2006), thus we name this class "secondary inorganic". It should be noted that this class has significant correlation 541 with ammonium and cations arising from oxalic acid, however class 4 has weak correlation with the signature cation, i.e. m/z 89 542 C2O4H (oxalate), of oxalic acid. Therefore, we can rule out a significant contribution of oxalic acid. There is also a 15% 543 similarity to class 2 (sodium rich), with a characteristic pattern of a strong signal at m/z 23 Na<sup>+</sup> accompanied by two weaker 544 peaks at m/z 39 K<sup>+</sup> (with typical potassium peak ratio of ~12) and  $63^+$  (might contain both Cu<sup>+</sup> and C<sub>5</sub>H<sub>3</sub><sup>+</sup> fragments). Class 2 has 545 significant correlation with the cations (i.e. Na and K) arising from sea salt, but weak correlation with its anions, such as m/z 35-546 and 37 chloride isotopes. A sea salt contribution can thus be ruled out. Its negative spectrum significantly correlates with nitrate, 547 sulphate, and dust particles. Besides sodium rich dust aged sea salt may be an appropriate classification. Class 3 is named "aged 548 soot", since it has significant correlation with soot particles, especially diesel soot, and a prominent sulphate signal. This class 549 has an EC pattern with m/z 12n  $C_{n^+}$ , similar to those in the reference spectra for soot particles (Fig. 6) as well as the reference 550 spectra for PSL particles (Fig. S9Fig. S9). The patterns at m/z 27 C<sub>2</sub>H<sub>3</sub><sup>+</sup> and 28 CO<sup>+</sup>, m/z 36 C<sub>3</sub><sup>+</sup> and 39 C<sub>3</sub>H<sub>3</sub><sup>+</sup> as well as the m/z 24°, 25° and 26° with higher m/z 26°, indicate an OC contribution. This is supported by the correlations especially with PSL 551 552 particles but also several other organic compounds, suggesting that this class of particles contains organic species. Class 6 is 553 dominated by calcium (Ca) and sulphate with characteristic calcium signature peaks at m/z 40 Ca+ and 56 CaO, also found in the 554 spectra for dust particles (Fig. 7, Fig. S10Fig. S12, and S11S13). M/z 40<sup>+</sup> and 56<sup>+</sup> may also contain 40 C<sub>2</sub>O<sup>+</sup> and 56 Fe/C<sub>4</sub>H<sub>8</sub><sup>+</sup> 555 fragments, respectively. Class 1 contains almost all fragments observed in other classes, and is thus named "more aged /mixed 556 particles". As shown in Fig. 9, class 6 is consequently correlated with almost all of the reference spectra (both positive and 557 negative ones).

558 In order to further interpret the field data, we also classified the ambient mass spectra only based on correlation with 17 559 selected laboratory-based reference spectra (10 positive + 7 negative spectra) listed in Table S3Table S2. This approach resulted 560 in 13 particle types, 7 more than were distinguished by Fuzzy clustering. It should be mentioned that at the beginning we were 561 able to identify all but the Ca rich particle class resulting from Fuzzy clustering, since initially we did not have a reference for 562 this type. We therefore used class 6 as an additional reference spectrum for this type of particles, which is among one of the 13 563 types . Initially, using a Pearson's correlation coefficient r of  $\geq 0.6$  as threshold for classification resulted in 21 main types of 564 particles (here we use "types" instead of "classes" in order to differentiate these two classification methods), with particle 565 number fractions >1%. The corresponding histogram of these 21 particle types is shown Fig. \$13. These 21 types were then 566 manually aggregated after observing their spectra and reduced to 13. Similar as the Fuzzy class number, the resulting number of 567 characteristic types also strongly depends on the expert experience to identify them (please refer to the details of reference 568 spectra oriented grouping procedure in the supplementary information)-Their corresponding spectra are shown in Fig. 10. All 569 the types above the dashed line (A to I) exhibit more prominent secondary inorganic signatures (m/z 97 HSO4-) and higher 570 number fractions than the ones below the dashed line. Although particle types A-I all exhibit a more prominent sulphate pattern

571 with m/z 80 and 97 than nitrate pattern with m/z 46 and 62, they are higher correlated with the mixture of nitrate and sulphate 572 than either of them. Therefore, we assign the corresponding types to nitrate and sulphate. All the types in the lower panels (J to 573 M) have significant correlation with arable soil dust in the negative spectra, which have organic signatures, e.g. m/z 24<sup>-</sup>, 25<sup>-</sup>, and 574 26, as well as some mineral signatures like m/z 119. Compared with the negative spectra, the positive spectra are more 575 characteristic, which was also observed in the Fuzzy results. Type A, B, C, D, and E are comparable with Fuzzy class 5, 4, 2, 6, 576 and 3, respectively (the correlation coefficients are 0.89 for type A and class 5, 0.95 for type B and class 4, 0.84 for type C and 577 class 2, 0.76 for type D and class 6, and 0.81 for type E and class 3). Types F to I are more similar to aged/mixed particles, with 578 more fragments compared to types A to E. Type H is comparable with Fuzzy class 1. About 10% of the particles cannot be 579 grouped into any type. This is most likely because of an incorrect mass assignment for the stick spectra, resulting from too large 580 spectrum-to-spectrum peak shifts for the same ion fragments/clusters which cannot be corrected on a single particle basis with 581 the existing software (Ramisetty et al., 2017)~10% of the particles cannot be grouped into any type due to spectrum to spectrum 582 peak shifts. As shown in the spectra in both Fig. 9-8 and 10, all organic species were internally mixed with inorganic species.

This reference spectra\_based classification can also be used for identification of particles with low number fractions among the huge amount of ambient data, and for selection of particles containing particular species e.g. for which the instrument has a lower sensitivity. This can be achieved by e.g. excluding peaks with high signal such as m/z 39 K/C<sub>3</sub>H<sub>3</sub><sup>+</sup>, or selecting a certain particle size range, or mass range. As an example, 55 lead containing particles (Pb, with isotopes at m/z 206, 207, and 208) (details are given in the Procedure 3 in the supplementary information) were identified among the 7314 ambient aerosol particles. The resulting spectra of particle classes/types in one field study can also be used as reference for other studies. More applications of these procedures for field data interpretation will be presented in an upcoming paper.

In short, Fuzzy and reference spectra\_based classifications have some comparable results with high correlations (r: 0.76–0.95) and also have different advantages: Fuzzy classification can identify special ambient particle types without any existing reference if they have a significant abundance and signal strength, while reference spectra-based methods can identify target particle types even with little abundance. They are complementary to some extend and thus their combination has the potential to improve interpretation of field data.

#### 595 4 Conclusions

596 In this study, the overall detection efficiency (ODE) of LAAPTOF was determined to range from  $\sim$  (0.01  $\pm$  0.01)% to  $\sim$  (4.23  $\pm$ 597 2.36)% ~ $(6.57 \pm 2.38)$ % for polystyrene latex (PSL) with the size of 200 to 2000 nm, ~ $(0.44 \pm 0.19)$ % to ~ $(6.57 \pm 2.38)$ % for 598 ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) and ~(0.14  $\pm$  0.02)% to ~(1.46  $\pm$  0.08)% for sodium chloride (NaCl) particles in the size range 599 between 200 and 2000 nm.in the size rage of 300 to 1000 nm. -This is a relative good detection efficiency compared to earlier 600 versions of the instruments especially when considering the good reproducibility and stability even during field measurements. A 601 comparison to other single particle mass spectrometers is subject of another study and will be discussed in a separate publication. 602 In any case mMatrix effects from aerosol particles (e.g. size, morphology and optical property) and certain instrument influences 603 (e.g. aerodynamic lens, detection system) and their interaction must be taken into account to evaluate the LAAPTOF 604 performance.

In order to facilitate the interpretation of single particle mass spectra from field measurements, we have measured various well defined atmospherically relevant aerosol particles in the laboratory and provide here laboratory-based reference spectra for aerosol particles of different complexity with comprehensive spectral information about the components (such as organic compounds, elemental carbon, sulphate, nitrate, ammonium, chloride, mineral compounds, metals, etc. as commonly observed in 609 atmospheric aerosol particles). Our results show that the interpretation of spectra from unknown particle types is significantly 610 supported by using known mass spectral patterns like signature peaks for ammonium, nitrate, sulphate, and organic compounds 611 as well as typical peak ratios for e.g. potassium, silicon, and chlorides. Spectra for internally mixed particles may show new 612 clusters of ions, rather than simply a combination of the ions from single component particles. This may be a complication for 613 data interpretation which can be overcome if suitable reference spectra for correspondingly mixed particles are available. 614 Organic compounds generally have some ions in common but exhibit variations depending on the compound. Several peaks can 615 originate from different fragments; for example, m/z 26° and 42° could be CN° and CNO° and/or C2H2° and C2H2O°, m/z 39° and 616 41<sup>+</sup> could e.g. originate from K<sup>+</sup> isotopes or organic fragments, and organic matter can also be ionized to form the typical 617 elemental carbon pattern with  $C_n^{+/-}$  ions. Hence the interpretation is not always unambiguously possible for such particles but 618 may require additional information (e.g. size, additional marker peaks, or even higher resolution spectra) or comparison to data 619 from other instruments like on-line aerosol mass spectrometers (e.g. AMS) or chemical ionization mass spectrometers (e.g. 620 FIGAERO-CIMS).

621 A set of 7314 mass spectra obtained during one day of field measurements was used for particle type classification by both 622 Fuzzy clustering and reference spectra. Fuzzy clustering-yielded six different classes, which could then be identified with the 623 help of reference spectra. Classification of the mass spectra based on comparison with 17 reference spectra resulted in 13 624 different particle types, six of which exhibited high correlation with the Fuzzy clusters (r: 0.76-0.95). Compared with the 625 reference spectra, we found that each particle class/type has a sulphate signature at m/z 80 SO<sub>3</sub><sup>-</sup> and 97 HSO<sub>4</sub><sup>-</sup>, a nitrate signature 626 at m/z 46 NO2<sup>-</sup> and 62 NO3<sup>-</sup>, an organic compound signature at m/z 24 C2<sup>-</sup>, 25 C2H<sup>-</sup> and 26 C2H2/CN<sup>-</sup> and a nitrogen-containing 627 organic signature at m/z 26 CN<sup>-</sup> and 42 CNO<sup>-</sup>. Furthermore, we performed a target-oriented classification by using selected 628 reference spectra, allowing for the identification of particles with low number fraction in the ambient aerosol, e.g. lead-629 containing particles. Based on our results we advise using a combination of both methods for the analysis of SPMS field data.A 630 set of mass spectra obtained in one day of field measurements was used for particle type classification based on Fuzzy clustering 631 and on the new reference spectra presented in this work. The corresponding 7314 spectra were clustered by a Fuzzy c means 532 algorithm, resulting in six different similarity classes which can be better identified with the help of reference spectra. The 633 independent classification of the ambient mass spectra based on 17 selected reference spectra resulted in 13 different particle 634 types\_ which included including sixthose classes highly correlated with obtained by Fuzzy clusteringresults (r: 0.76-0.95). 635 Compared with the reference spectra, we found that each class has a sulphate signature at m/z 80 SO3- and 97 HSO4-, a nitrate 636 signature at m/z 46 NO2<sup>-</sup> and 62 NO3<sup>-</sup>, an organic compound signature at m/z 24 C2<sup>-</sup>, 25 C2H<sup>-</sup> and 26 C2H2/CN<sup>-</sup> and a nitrogen containing organic signature at m/z 26 CN<sup>-</sup> and 42 CNO<sup>-</sup>. Furthermore, we have performed target oriented classification by using 637 638 a selected reference spectrum, which demonstrates the possibility to identify particles with low number fraction among the huge 639 amount of ambient data\_in the ambient aerosol, e.g. lead-containing particles.\_Taken together, the application of the 640 aforementioned classification methods with complementary features has advanced our understanding of the field measurements. 641

We conclude that the reference spectra presented in this paper are useful for interpretation of field measurements and for understanding the impact of mixing on typical mass spectral signatures. Furthermore, the reference spectra should be useful for interpretation of data obtained by other LAAPTOF versions or other single particle mass spectrometers using a similar ionization method and comparable mass spectrometers. For future experiments using the LAAPTOF, systematic studies on its sensitivity to different species, distinguishing the organic and inorganic contribution to the same peak in the spectra, and investigating peak ratios are still required. Formatiert: Schriftart: Nicht Kursiv, Schriftfarbe: Text 1

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#### 648 Data availability

649 The reference spectra are available upon request from the authors and will be made available in electronic format via the650 EUROCHAMP-2020 data base (<u>www.eurochamp.org</u>).

#### 651 Author contributions

X.S. characterised the LAAPTOF, measured all the particles samples, did the data analysis, produced all figures, and wrote the
manuscript. R.R. helped to characterise the LAAPTOF and to measure some of the particle samples. C.M. provided technical and
scientific support for characterising the LAAPTOF as well as data analysis, and for interpretation and discussion of the results.
WH provided scientific support for interpretation and discussion of the results. T.L. gave general advices and comments for this
paper. H.S. provided technical and scientific support for characterising the LAAPTOF, as well as suggestions for the data
analysis, interpretation and discussion. All authors contributed to the final text.

## 658 Competing interests

659 The authors declare that they have no conflicts of interest.

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- 827

Formatiert: Ebene 4

# 828 <u>Table 1: Overview of laboratory generated aerosol particles for reference mass spectra</u>

A	erosol particle types	Size/nm		Morphology	Source	Generation	No. of
	-	dva	widtha		_	method	spectra
<u>1.</u>	Particles consisting of pure compounds						
-	Ammonium nitrate, NH4NO3	1160	101	aspherical	<u>≥ 99.5%, Fluka</u>	<u>A</u>	497
_	Ammonium sulphate <sup>b</sup> , (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	<u>611</u>	<u>79</u>	aspherical	≥99.5%, Merck	A	537
_	Potassium sulphate, K <sub>2</sub> SO <sub>4</sub>	1465	232	aspherical	$\geq$ 99%, Merck	A	300
_	Sodium chloride, NaCl	1202	133	cubic	≥99.5%, Merck	A	250
_	Silica, SiO <sub>2</sub> (Glass beads)	2097	44	spherical	Palas GmbH	<u>s</u>	347
	Oxalic acid, C <sub>2</sub> H <sub>4</sub> O <sub>2</sub>	1081	322	spherical	Merck	А	773
	Pinic acid, C <sub>9</sub> H <sub>14</sub> O <sub>4</sub>	902	94	spherical	University of Mainz	A	683
	Cis-pinonic acid, C <sub>10</sub> H <sub>16</sub> O <sub>3</sub>	702	88	spherical	98%, ACROS ORGANICS	А	600
	Humic acid	1221	126	spherical	100%, Alfa Aesar	A	773
-	Polystyrene latex (PSL)	818	3	spherical	Thermo scientific	A	235
2.	Particles consisting of well-defined mixtu	res	-			_	
-	Ammonium nitrate & ammonium sulfate (mass ratio = 1:1)	<u>1102</u>	<u>165</u>	aspherical	single component samples are from the same source	<u>A</u>	454
-	Potassium sulfate & sodium chloride (mass ratio = 1:1)	1375	<u>197</u>	aspherical	as the corresponding pure compounds		<u>259</u>
-	Ammonium nitrate & potassium sulfate (mass ratio = 2:1)	<u>854</u>	<u>112</u>	aspherical			<u>576</u>
	Hematite	<u>1091</u>	<u>817</u>	spherical	Karlsruhe Institute of Technology (KIT)	<u>S</u>	<u>320</u>
	Pure sea salt	1205	218	<u>cubic</u>	Sigma Aldrich	<u>B1</u>	422
-	<u>α-Pinene secondary organic aerosols</u> (SOA)	<u>505</u>	<u>84</u>	spherical	<u>(1S)-(-)-α-pinene (99%)</u> from Aldrich	<u>B1°</u>	<u>1938</u>
-	Potassium sulfate coated PSL	<u>805</u>	<u>58</u>	partially coated	Merck & Thermo scientific	A	<u>609</u>
-	Poly(allylamine hydrochloride) coated	400 <sup>d</sup>		300 nm core-	Nanopartz Inc.	Nebulized	<u>417</u>
3	goid Particles consisting of complex mixtures			<u>30 min sneii</u>		without sizing	
<u>J.</u>	Seet1 with law arranic asther	2076	275		in a second star a second s	<b>D</b> 2	617
	Sooti with low organic carbon	380-	213	aggiomerates	propane, C/O=0.29	<u>B2</u>	017
	Soot1 with high organic carbon	<u>120<sup>e</sup></u>	<u>58</u>	agglomerates	incomplete combustion of propane $C/Q=0.54$	<u>B2</u>	<u>347</u>
	Soot2 Lignocellulosic char	<u>828</u>	766	agglomerates	Lignocellulosic char from Chestnut wood; University	<u>s</u>	<u>390</u>
	Soot3 Diesel particles	<u>624±980<sup>f</sup></u>		agglomerates	of Zürich, Switzerland NIST (2975)	<u>s</u>	533
_	Arizona test dust	1169	874	aspherical	Powder Technology Inc.	<u>s</u>	261
	Saharan desert dust 1	890	1230	aspherical	Konrad Kandler, TU	<u>s</u>	338
_	(Morocco) Saharan desert dust 2	<u>1334±145</u>	4 <sup>f</sup>	aspherical	<u>Darmstadt</u> Khaled Megahed, KIT	<u>S</u>	<u>396</u>
	(Cairo) Arable soil dust SDGe01	912	392	aspherical	Roger Funk <sup>g</sup>	B1 <sup>h</sup>	583
-	(Gottesgabe, Germany)	214	374	asphericar	Rogor 1 unk-	<u></u>	<u>505</u>
-	Arable soil dust SDPA01	<u>787</u>	334	aspherical	Roger Funk <sup>g</sup>	<u>B1<sup>h</sup></u>	<u>385</u>
-	Arable soil dust SDAr08 (Argentina)	<u>910</u>	<u>407</u>	aspherical	Roger Funk <sup>g</sup>	<u>B1<sup>h</sup></u>	<u>592</u>
-	Arable soil dust SDWY01 (Wyoming, USA)	<u>864</u>	<u>430</u>	aspherical	Tom Hill <sup>i</sup>	<u>B1<sup>h</sup></u>	<u>623</u>
-	Agricultural soil dust (Northern Germany)	<u>561</u>	<u>249</u>	aspherical	Roger Funks	<u>B1<sup>h</sup></u>	<u>583</u>
_	Urban dust	1329	1266	aspherical	NIST(1649a)	<u>S</u>	375
_	Illite_NX	<u>825</u>	260	sheet	Arginotec	<u>S</u>	807
_	Sea salt with skeletonema marinoi j	1212	338	cubic	Matt Salter <sup>j</sup>	<u>B1</u>	526

Formatierte Tabelle

- 829 Note: For aerosol generation methods:
- 830 <u>"A" represents for the method by using a nebulizer and a DMA (refer to the setup in Fig. 1) for sizing dm=800 nm;</u>
- 831 "B1" and "B2" represent the methods in which particles were sampled from AIDA and a stainless steel cylinder, respectively;
- 832 "S" corresponds to particles mobilized by shaking in a reservoir.
- For particles size information, dva values represent the expected values from Gaussian fitting to the particle sizes measured by LAAPTOF.
- 834 Spectra number is the number of averaged spectra.
- 835 <u>a: These values represent the standard deviation from Gaussian fitting to the measured particle sizes (dva).</u>
- 836 b. There is only one weak but reproducible peak m/z 30 NO<sup>+</sup> in the positive spectra. Therefore we don't give the reference spectra in this paper.
- 837 SOA particles were formed in the Aerosol Preparation and Characterization (APC) chamber and then transferred into the AIDA chamber.
- <sup>d</sup>: The nominal geometric size given by the manufacture Nanopartz Inc.
- 839 <u>e: Electrical mobility equivalent diameter</u>, d<sub>m</sub>, measured by a scanning mobility particle sizer (SMPS).
- 840 <u>f: The sizes (dva) of Diesel particles and Saharan desert dust 2 are average values with their standard deviation.</u>
- 841 8: Institute of Soil Landscape Research, Leibniz Centre for Agricultural Landscape Research, Germany.
- 842 <u>h: Soil dust samples were dispersed by a rotating brush generator and injected via cyclones into the AIDA chamber.</u>
- 843 <sup>i</sup>: Department of Atmospheric Science, Colorado State University, Fort Collins, Colorado, USA.
- <sup>3</sup> Samples, provided by Elena Gorokhova and Matt Salter at Stockholm University, they were prepared by diluting a pure skeletonema marinoi
- 845 <u>culture with artificial seawater (sigma sea salt) to conditions representative of a bloom in the ocean.</u>
- 846

# Formatiert: Standard

#### 849 Table 1<u>Table 2</u>: Summary of mass spectral patterns

Species	Signature peaks in positive spectra	Signature peaks in negative spectra	Typical Peak Ratios histogram x <sub>0</sub> (width)*
potassium	39 K+, 41 K+		(I39+I40):I41=~ <b>13.5</b> (0.9)
calcium	40 Ca+, 56 CaO+		
aluminium	27 Al+	43 AlO <sup>-</sup> , 59 AlO <sub>2</sub> <sup>-</sup>	
silicon	28 Si <sup>+</sup> , 44 SiO <sup>+</sup>	60 SiO2 <sup>-</sup> , 76 SiO3 <sup>-</sup> , 77 HSiO3 <sup>-</sup>	(I76+I77):I60=~1.0 (0.33)
silicon & aluminium	27 Al <sup>+</sup> , 28 Si <sup>+</sup> , 44 SiO <sup>+</sup>	43 AlO <sup>-</sup> , 59 AlO <sub>2</sub> <sup>-</sup> , 60 SiO <sub>2</sub> <sup>-</sup> , 76 SiO <sub>3</sub> <sup>-</sup> , 77 HSiO <sub>3</sub> <sup>-</sup> , 119 AlSiO <sub>4</sub> <sup>-</sup> , 179 AlSiO <sub>4</sub> .SiO <sub>2</sub> <sup>-</sup>	
ammonium	18 NH <sub>4</sub> /H <sub>2</sub> O <sup>+</sup> , 30 NO <sup>+</sup>		
nitrate	30 NO <sup>+</sup>	46 NO <sub>2</sub> <sup>-</sup> , 62 NO <sub>3</sub> <sup>-</sup>	
sulphate		32 S <sup>-</sup> , 48 SO <sup>-</sup> , 64 SO <sub>2</sub> <sup>-</sup> , 80 SO <sub>3</sub> <sup>-</sup> , 81HSO <sub>3</sub> <sup>-</sup> , 96 SO <sub>4</sub> <sup>-</sup> , 97 HSO <sub>4</sub> <sup>-</sup> ,	
chloride		35 Cl <sup>-</sup> , 37 Cl <sup>-</sup>	(I35+I36):I37=~ <b>3.21</b> (0.9 <u>5</u> )
elemental carbon	$12_n C_n^+$	$12nCn^{-1}$	
organics		24 C2 <sup>-</sup> , 25 C2H <sup>-</sup> , 26 C2H2/CN <sup>-</sup>	
organic acids		45 COOH <sup>-</sup> , 59 CH <sub>2</sub> COOH <sup>-</sup> , 71 CCH <sub>2</sub> COOH <sup>-</sup> , 73 C <sub>2</sub> H <sub>4</sub> COOH <sup>-</sup> , 85 C <sub>3</sub> H <sub>4</sub> COOH <sup>-</sup> , 99 C <sub>4</sub> H <sub>6</sub> COOH <sup>-</sup> , 117 (CO) <sub>3</sub> OOH <sup>-</sup>	
nitrogen-containing organics		26 CN <sup>-</sup> , 42 CNO <sup>-</sup>	
unsaturated organics		25 $C_2H^2$ , 26 $C_2H_2^-$ unknown fragments 49- and 73-	
aromatic compounds	77 C <sub>6</sub> H <sub>5</sub> +, 91C <sub>7</sub> H <sub>7</sub> +, 103 C <sub>8</sub> H <sub>7</sub> +/105 C <sub>8</sub> H <sub>9</sub> +, 115 C <sub>9</sub> H <sub>7</sub> +	25 C <sub>2</sub> H <sup>-</sup> , 26 C <sub>2</sub> H <sub>2</sub> <sup>-</sup> unknown fragments 49- and 73-	

850

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Note:

852 853

\*We have made histograms for the three typical peak ratios, respectively (ref. Fig. S3Fig. S5). Histogram  $x_0$  is the expected value that indicates the position of the peak resulting from Gaussian fit, and the width is the corresponding standard deviation. I is short for the intensity of the 854 corresponding peak in LAAPTOF spectra; typical peak ratios for potassium and chloride are based on pure and mixed salt that containing K

855 and Cl; typical peak ratios for silicon are based on pure SiO2.

## 848

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858 859 860 861 862 863 863 864 Figure 1: Schematic of the LAAPTOF instrument and three different the experimental setups for measuring standard samples (method A); setup (A), e.g. PSL, NH4NO3 and K2SO4 particles, which were generated from a nebulizer, passed through two dryers, were size-selected by a differential mobility analyzer (DMA), and then measured by LAAPTOF; setup (B) was used for samples the setup (C) of the tDNA of the transformation of the transformatio generated in or dispersed into the AIDA chamber (-84.5 m<sup>3</sup>), or samples dispersed into a stainless steel cylinder (-0.18 m<sup>3</sup>), setup (C) was used for measuring ambient aerosols in field campaigns. In addition, some particles, e.g. mineral dust, were sampled directly from the headspace of their reservoirs.



Formatiert: Keine



Formatiert: Beschriftung, Links, Zeilenabstand: einfach

Figure 2: Hit rate (HR, panel A and D), scattering efficiency (SE, panel B and E), and overall detection efficiency (ODE, panel C and F) for PSL, ammonium nitrate (NH4NO3) and sodium chloride (NaCl) salt particles as a function of mobility diameter, d<sub>m</sub>. Aerosol particles in this study were generated from a nebulizer and size-selected by DMA. In panel (B) and (E), optical counting efficiencies (OCE) for PSL and ammonium sulphate ((NH4)<sub>2</sub>SO4) at the detection beam from the study by Zawadowicz et al. (2017), corresponding to the SE defined in this study, are plotted for comparison. In panel (C) and (F), ODE for PSL and salt particles from other studies (Gemayel et al., 2016; Marsden et al., 2016; Zawadowicz et al., 2017) are plotted for comparison. In this figure, dashed lines are used only for guiding the eyes.





Figure 3: Average mass spectra for pure compound aerosol particles: (A) NH4NO3 (dva=1160 nm), 497 single spectra averaged, (B)
 K<sub>2</sub>SO<sub>4</sub> (dva=1465 nm), 300 single spectra averaged, and (C) oxalic acid particles (dva=1081 nm), 736 single spectra averaged.





<sup>880</sup> 881 882

Figure 4: Average mass spectra for particles of internal mixtures of (A) NH4NO3 and (NH4)2SO4, (dva= 1102 nm), 454 single spectra averaged and (B) NaCl and K2SO4, (dva= 1375 nm), 259 single spectra averaged as well and (C) secondary organic aerosol (SOA) 883 884 885 886 887 particles from a-pinene ozonolysis, which was performed in the APC chamber, then the resulting particles were transferred into the AIDA chamber at 263 K and 95% RH, dva= 505 nm, 1938 single spectra averaged. In panel (A), red, blue and orange label shadings represent fragments of sulphate, nitrate and ammonium, respectively. In panel (B), green and purple label\_shadings represent fragments from NaCl and K2SO4 components (see section 3.2.1) in the mixed particles, respectively; yellow label shadings represent the fragments only in the internal mixture of NaCl and K2SO4. In panel (C), labels with blue text represent fragments of organic acids.





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Figure 5: Average mass spectra for core-shell particles of (A) PSL coated with K<sub>2</sub>SO<sub>4</sub>,  $d_{va}$ = 805 nm, 609 single spectra averaged, and (B) poly(allylamine hydrochloride) coated gold (Au) particles with geometric 300 nm gold core and 50 nm thick organic shell, 417 single spectra averaged. In panel (A), grey and purple label <u>shadings</u> represent the fragments arising from pure PSL and pure K<sub>2</sub>SO<sub>4</sub> components, respectively; box labels represent the fragments with contributions from core and shell compounds. In panel (B) orange and blue label <u>shadings</u> represent the fragments arising from nitrogen-containing and unsaturated organic compounds, respectively, and yellow label <u>shadings</u> represent gold.





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Figure 6: Average mass spectra for soot particles with (A) high elemental carbon (EC), low organic carbon (OC) content and (B) low EC and high OC from combustion of propane in a soot generator and transferred to a stainless steel cylinder of  $\sim 0.2 \text{ m}^3$  volume, as well 899 as soot particles of (C) diesel particles (NIST) and (D) lignocellulosic char from Chestnut wood. In panel (A) and (C), the numbers in brackets beside peak 36\* and 24 are the exact intensity values for them. The OC signatures are labeledlabelled in green. The numbers of spectra averaged for each spectrum are 617 (A), 347 (B), 533 (C) and 390 (D).





Figure 7: Average mass spectra for particles of complex mixtures: (A) Arizona test dust (desert dust), directly sampled into the LAAPTOF from a shaked bottle (B) arable soil dust, collected from Gottesgabe in Germany, was dispersed by a rotating brush generator and injected via cyclones into the AIDA chamber at 256 K and 80% RH, and (C) agricultural soil dust, collected from harvesting machines after rye and wheat harvest, were generated by using the same method as (B). For panel (B) and (C), fragments labelled in green represent more intensive organic signatures in soil dust particles; grey labels represent EC patterns; blue labels represent organic acids; orange labels represent ammonium salts; red labels represent unknown fragments. The numbers of spectra averaged for each spectrum are 261 (A), 583 (B), and 286 (C).



Figure 8: Mass spectra for six classes of particles measured on July 29<sup>th</sup>, 2016 during the field campaign TRAM01, based on classification according to Fuzzy c-means algorithm. The percentage in each pair of spectra (A to F) gives us information about the similarity of the total aerosols to different classes. The red tags represent the signatures for each typical class, but there is no red tag in spectra B, since this class is more aged particles that containing signatures for different classes. Mean particle size: dva (676±165) nm.







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924

SS-pure

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 Figure 9: Correlation between Fuzzy classification results (6 classes, C1 to C6) and laboratory-based reference spectra. Panel (A) and

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 (B) are the correlation results for the positive and negative spectra, respectively. AN is short for ammonium nitrate, PAH is short for

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 poly(allylamine hydrochloride), PS-potassium subplate, SC-sodium chloride, PinA-pinic acid, Pino-pinonic acid, HA-humid acid, OA 

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 oxalic acid, ATD-Arizona test dust, CD-Cairo dust, MD-Morroco dust, UD-urban dust, SDGe01 and SDPA01-soil dusts sampled at two

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 sites from Germany, SDAr08-soil dust from Argentina, SDWY01-soil dust from Wyoming in USA, ASD agricultural soil dust, ECS

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 EC rich soot1, OCS-OC rich soot1, DS- diesel soot, BS- biomass burning soot\_which is the lignocellulosic char from Chestnut wood.

salt,

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SSO-sea

with

organics.

salt

Formatiert: Links: 2,36 cm, Rechts: 0,99 cm, Oben: 1,65 cm, Unten: 1,65 cm, Breite: 27,94 cm, Höhe: 21,59 cm



#### Formatiert: Standard, Block, Zeilenabstand: einfach

Figure 10: Mass spectra for 13 different types of particles measured on July 29<sup>th</sup>, 2016 during the field campaign TRAM01, based on the classification according to laboratory-based reference spectra. The 4\_digits codes in the brackets represent particle types (e.f.refer to Table S3Table S2). The % values are the particle number fractions. For panel A to E and J to L, there are two lines for the names, the first and second lines correspond to the highly correlated positive and negative references, respectively.