

1 **Assessing the degree of plug flow in oxidation flow reactors (OFRs): a study on a Potential**
2 **Aerosol Mass (PAM) reactor**

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24 **Abstract**

25

26 Oxidation flow reactors (OFRs) have been developed to achieve high degrees of oxidant exposures
27 over relatively short space times (defined as the ratio of reactor volume to the volumetric flowrate).

28 While, due to their increased use, attention has been paid to their ability to replicate realistic
29 tropospheric reactions by modeling the chemistry inside the reactor, there is a desire to customize

30 flow patterns. This work demonstrates the importance of decoupling tracer signal of the reactor
31 from that of the tubing when experimentally obtaining these flow patterns. We modeled the

32 residence time distributions (RTDs) inside the Washington University Potential Aerosol Mass
33 (WU-PAM) reactor, an OFR, for a simple set of configurations by applying the tank-in-series (TIS)

34 model, a one parameter model, to a deconvolution algorithm. The value of the parameter, N , is
35 close to unity for every case except one having the highest space time. Combined, the results

36 suggest that volumetric flowrate affects mixing patterns more than use of our internals. We
37 selected results from the simplest case, at 78s space time with one inlet and one outlet, absent of

38 baffles and spargers, and compared the experimental F-Curve to that of a computational fluid
39 dynamics (CFD) simulation. The F-Curves, which represents the cumulative time spent in the

40 reactor by flowing material, match reasonably well. We value that the use of a small aspect ratio
41 reactor such as the WU-PAM reduces wall interactions, and suggest applying the methodology of

42 tracer testing described in this work to investigate RTDs in OFRs and modify inlets, outlets, and
43 use of internals prior to applications (e.g., field deployment vs. laboratory study).

44

45 **1 Introduction**

46

47 Tubular reactors were first introduced to the field of atmospheric science by means of small flow
48 cell reactors developed to study the kinetics of stratospheric reactions (Brune et al., 1983; Howard,
49 1979; Keyser, 1980; Lamb et al., 1983). Accurate kinetic measurements were possible due to the
50 high pipe aspect ratios, which encouraged a high degree of plug flow behavior (Keyser, 1984).
51 The design of these miniature tubular reactors, with volumes on the order of a few cm³, was
52 different from that of significantly larger, batch-type or semi-continuous type well mixed reactors,
53 with volumes on the order of several m³, built to understand aerosol formation in the troposphere
54 (Crump et al., 1982; Crump and Seinfeld, 1980; Leone et al., 1985). To study aerosol formation
55 and growth chemistry, the dynamics of atmospheric circulation and transport needed to be
56 excluded. It was therefore convenient to mimic the troposphere by treating it as an enormous, well
57 mixed reactor, which led to the development of larger well mixed reactors. The discovery of
58 secondary processes preceding aerosol formation led to significant emphasis on the study of
59 secondary organic aerosol (SOA) formation (Haagen-Smit, 1952, 1963, 1970; Went, 1960). The
60 approach of using large, well mixed batch-style environmental chambers eventually helped
61 elucidate chemical mechanisms for model compounds (Claeys, 2004; Kamens et al., 1982; Kroll
62 et al., 2006; Nozière et al., 1999; Paulson et al., 1990; Pereira et al., 2015; Volkamer et al., 2001),
63 and, with improved instrumentation (Canagaratna et al., 2007; Crounse et al., 2006; de Gouw and
64 Warneke, 2007; Hansel et al., 1995; Jayne et al., 2000; Williams et al., 2006; Zhao et al., 2013),
65 the community gained a better understanding of SOA formation. Unfortunately, low levels of
66 conversion and high wall losses seen in these large reactors did not allow simulated exposures that
67 exceeded a day at most, which is just a short glimpse into the average two week lifespan of an
68 atmospheric aerosol (Seinfeld and Pandis, 2006). Due to such limitations, oxidation flow reactors
69 (OFRs) with short spacetimes (ratio of reactor volume to the volumetric flowrate) are being

70 developed (Cazorla and Brune, 2010; Ezell et al., 2010; George et al., 2007; Huang et al., 2016;
71 Kang et al., 2007).

72
73 OFRs can be viewed as tubular reactors due to their pipe aspect. They have been widely used for
74 over a decade to study heterogeneous reactions on organic aerosol surfaces involving gas-phase
75 oxidants such as hydroxyl radicals and ozone (George et al., 2007; George and Abbatt, 2010;
76 Katrib et al., 2005; Kessler et al., 2010, 2012; Knopf et al., 2005; Kroll et al., 2012; Smith et al.,
77 2009). These reactors are able to generate very high concentrations of hydroxyl (OH) radicals, tens
78 to thousands times higher than typical tropospheric levels, which accelerates the rate of gas-phase
79 oxidation reactions. Within spacetimes of a few minutes, it is possible to achieve integrated oxidant
80 exposures equivalent to multiple days or weeks of atmospheric oxidation. It is important to
81 distinguish OFRs from modern day conventional flow tube reactors, which stem from designs of
82 old flow tube reactors (e.g., Keyser 1984) but employed in the study of gas uptake kinetics on
83 aerosol surfaces rather than homogeneous gas-phase reactions, as described in the previous
84 paragraph. Beyond the original application of heterogeneous oxidation studies, Kang et al.
85 introduced the potential aerosol mass (PAM) OFR which, alongside newer OFR designs, was
86 intended specifically for studies of SOA physicochemical properties (Kang et al., 2007, 2011;
87 Keller and Burtscher, 2012; Lambe et al., 2011b, 2012, 2013; Massoli et al., 2010; Ortega et al.,
88 2013; Slowik et al., 2012). This application therefore altered the study of SOA formation,
89 previously dominated by the traditional large, well mixed reactors (Kroll and Seinfeld, 2008;
90 Rudich et al., 2007; Turpin et al., 2000), by allowing to generate laboratory data beyond first
91 simulated day of exposure. Because the mechanism of exposure between traditional chambers
92 OFRs was different, validating the OFR concept began by replicating data obtained from

93 traditional chambers (Chhabra et al., 2015; Lambe et al., 2015; Liu et al., 2015), and to assess
94 whether the chemistry was realistic (Li et al., 2015; McNeill et al., 2008; Peng et al., 2015;
95 Renbaum and Smith, 2011). Consequently, much modeling work has focused on pure chemical
96 reactions and comparison of SOA yields between the two (Bruns et al., 2015; Lambe et al., 2015;
97 Li et al., 2015; Ortega et al., 2016; Peng et al., 2015). However, little modeling work has been
98 done on understanding hydrodynamics or flow fields inside OFRs so that the flow patterns can be
99 improved. In a study from Li et al., it appears that residence time distributions (RTDs) that deviate
100 significantly from plug flow in the PAM result only in a ~10% error of reported values such as
101 OH exposure (Li et al., 2015), which is conducive to OFRs being viewed as tubular reactors.
102 Following an experimentally determined RTD (Lambe et al., 2011) in a PAM OFR, Peng et al.
103 extend the model developed by Li et al., to include this non-ideal RTD, suggesting model
104 disagreement at high exposures. Ortega et al. employ FLUENT to show that removal of the inlet
105 plate (resulting in a less pronounced aperture to the reactor) significantly decreases recirculation
106 regions; and Palm et al. then extend the simulation to show that the FLUENT-derived RTD (Palm
107 et al., 2017) has a narrower distribution than the experimentally-derived RTD by Lambe et al.
108 Finally, Peng and Jimenez lay an initial framework for the possibility of OFRs investigating NO
109 chemistry (Peng and Jimenez, 2017), where initial sensitivity analysis on RTDs suggest
110 considerable model disagreement at high exposures. The fundamental caveat in this recent work
111 is the reliance on an accurately determined experimental RTD, that provides the basis for error
112 analysis.

113

114 In both single and multiphase reactors, contact patterns and the degree of mixing determine reactor
115 performance, e.g., selectivity and yield (Bourne, 2003; Deckwer, 1976; Levenspiel, 1999). This

116 implies that upon desired contacting, chemical pathways that would be otherwise suppressed can
117 become more competitive. For example, if during a mixed OH / ozonolysis heterogeneous
118 reaction, a fresh biomass burning aerosol is introduced in the centerline port of an OFR and ozone
119 is introduced along a side port, most of the aerosol may travel ballistically through the chamber
120 having limited contact with ozone or OH, and chemical reaction is less competitive with photolysis
121 / photobleaching reactions of the aerosol. RTDs describe the probability of a fluid element's age
122 inside the reactor: one can think of those as the probability distribution function (PDF) of a fluid
123 element in the reactor (Fogler, 2006; Levenspiel, 1999). Tools are available to diagnose or predict
124 flow behavior. These tools fall in two categories: tracer tests (diagnostics) and computational fluid
125 dynamics (CFD) simulations (predictions).

126
127 We present a technique to assess the degree of plug flow in an OFR, that can be in principle
128 extended to any vessel. The rigor of the technique is tested by varying use of internals and flowrate
129 and observing the resulting RTD curves in the Washington University PAM (WU-PAM) reactor.
130 We begin by introducing an experimental method for obtaining the reactor RTD, which can be
131 applied to any other OFR, via inert tracer injections. From raw data, we explain how to obtain
132 PDFs. We chose to run CFD on the simplest design (a base case configuration) of the WU-PAM
133 reactor to gain hydrodynamics information. Finally, we compare results from tracer tests and CFD
134 for the base case. We compare this approach to that of previous studies by Lambe et al. (2011a),
135 Huang et al. (2016), and Simonen et al. (2016), which to the best of our knowledge are the only
136 other studies to date that report experimentally-derived RTDs in OFRs. We do not provide
137 predictive configurations for the PAM reactor because there are many avenues different groups
138 can take depending on their focus, and this study is central to the current design.

139

140 **2 Methods**

141

142 The WU-PAM reactor is an iridite-treated aluminum cylinder, 18 inches in length and 8 inches in
143 inner diameter, giving it a total volume of 13 L. It has two 12 inch mercury lamps with peak
144 wavelengths at 185 nm and 254 nm (BHK Inc. Analamp Model No. 82-9304-03) housed in Teflon
145 sheaths, directly opposite each other, along the axial direction. Annular flow of N₂ (Airgas)
146 through the sheaths prevents direct contact with the lamps and purges any outgas products when
147 the lamps are turned on. The mercury lamps are left in place with their housing to mimic simple
148 OFR internals; they have not been turned on during this study. Details of their mode of operations
149 for oxidant formation can be found elsewhere (Li et al., 2015; Peng et al., 2015, 2016). OFRs like
150 the WU-PAM have removable internals, face plates, and peripheral inlets and outlets that allow a
151 wide variety of configurations. For example, Ortega et al. removed the inlet plate of their PAM
152 reactor during a deployment in the Fire Lab at Missoula Experiment (FLAME-3) while keeping
153 the inlet baffle to reduce particle loss, and in doing so observed a reduction in jetting of centerline
154 flow (Ortega et al., 2013). In a different study, Lambe et al. ran experiments keeping the inlet plate
155 on the PAM coupled with a sparger (a cap with large holes in the side in fixed onto the inlet, so
156 that the flow does not jet into the chamber), because laboratory experiments required a closed
157 system (Lambe et al., 2011a).

158

159 In this work, we chose four configurations: I (one inlet, one outlet, two lamp housings as internals),
160 II (one inlet, one outlet, two lamp housings with sparger and baffles as internals), III (multiple
161 inlets, multiple outlets, two lamp housings as internals), and IV (multiple inlets, one outlet, two

162 lamp housings with sparger and baffles as internals). Configuration I at 78s spacetime was subject
163 to a CFD simulation as a simple scenario where the simulation could capture hydrodynamics
164 accurately.

165

166 **2.1 Tracer studies**

167

168 The laboratory setup to determine RTDs experimentally is shown in Fig. 1. N₂ (Airgas) was the
169 carrier fluid and SO₂ (3 ppm; Air Liquide) was the inert tracer. Both flow rates were controlled by
170 mass flow controllers (MFCs) (Pneucleus Technologies, LLC). All experiments began by allowing
171 one hour to achieve a steady state of the carrier gas' flow profile inside the reactor, after which
172 SO₂ was introduced in a single step-up manner. A tracer flowrate of 100 cm³ min⁻¹ allowed good
173 detection in the measurement and minimized perturbation of the flow field. Analogously, the flow
174 of the carrier fluid was stepped down to maintain a constant desired total volumetric flowrate. SO₂
175 mixing ratios were determined by a Trace level-Enhanced SO₂ Analyzer (Thermo Scientific Model
176 43i, Thermo Scientific) via pulsed fluorescence, and the instrument was set to an averaging time
177 of 10s. This setting was the highest frequency over which the instrument could average the signal.
178 Obtaining high frequency data simplifies data analysis by avoiding the need for interpolation
179 techniques, as discussed in Sect. S1.

180

181 We expected that the tracer would experience an associated spacetime and RTD in places other
182 than the reactor, between the exit of the flow controller and the SO₂ detection chamber in the gas
183 analyzer. We therefore ran two experiments for every WU-PAM reactor configuration. The first
184 incorporated both the reactor and the inlet and outlet plumbing, and the second bypassed the

185 reactor. From these two signals we could extract the actual reactor RTD as described in Sect. 3.2.
186 Both experiments were operated by allowing the formation of fully developed flow before
187 injecting the tracer stepwise, as mentioned previously. Appendix A describes in detail how we
188 obtained a PDF and a cumulative distribution function (CDF) from raw data.

189

190 The WU-PAM reactor has peripheral inlets and outlets to optionally create a ring (annular) flow
191 around the centerline. Ideally, a uniformly distributed flow around the centerline helps stabilize
192 the flow, avoids recirculation, and reduces wall losses. To create ring flow, we formed a three-
193 eighth inch Teflon tube into a circle, and drilled six one-sixteenth inch diameter holes evenly
194 spaced along the side of the tube facing in the direction of flow. A similar Teflon tube circle was
195 created for the outflow. The ring flow setup required additional plumbing internals (Fig. 1b).
196 Tracer tests were accomplished for configuration I at three different spacetimes (of 52s, 78s, and
197 152s), for three different configurations (I, II, and III) at a 78s spacetime, and an arbitrary special
198 case for configuration IV at 411s spacetime (configuration and spacetime not commonly used).

199

200 **2.2 Simulations**

201

202 While tracer studies are a powerful diagnostic tool and result, if done correctly, in accurate RTDs,
203 they cannot capture the full hydrodynamics details, or the state of mixing in the reactor (i.e., the
204 exchange of mass between the fluid elements). Both hydrodynamics and mixing can significantly
205 influence the reactor performance (Fogler, 2006; Villiermaux, 1986). For configuration I at 78s
206 spacetime, we ran a CFD simulation to visualize the hydrodynamics inside the WU-PAM. This

207 comparative analysis seeks to provide validation prior to using the CFD platform as a predictive
208 tool for mixing patterns in OFRs with more complex geometry or internals.

209
210 As a solver, we used OpenFOAM, an open source CFD toolbox available at www.openfoam.com
211 or www.openfoam.org. The reactor geometries were constructed on FreeCAD, an open source
212 computer aided design (CAD) software available at www.freecadweb.org, and Onshape, available
213 at www.onshape.com, prior to being exported into OpenFOAM. To discretize the volume elements
214 in the geometry, a mesh was created using the snappyHexMesh tool in OpenFOAM either directly
215 or in the HELYX-OS GUI. By generating mainly hexahedral meshes, this tool can mesh objects
216 of irregular shape. Then, additional layers of different geometry are added to the surface to improve
217 the mesh quality. A figure and details of the mesh can be found in Figure S1 and Table S1,
218 respectively. The hydrodynamics were calculated using simpleFoam, a steady-state solver for
219 single phase incompressible laminar or turbulent flow. We used first-order schemes, and specified
220 the boundary conditions in each simulation case. The outlets had zero gradient for velocity and
221 fixed values for pressure, while the walls had fixed value for velocity and zero gradient for
222 pressure. After the flow field is obtained, a tracer experiment is simulated by scalarTransportFoam
223 for one of the simulations, which solves the transient convection-diffusion transport equation of a
224 passive scalar (dimensionless tracer concentration in this case). The initial condition is zero
225 concentration, and the boundary condition at the inlet is that the dimensionless tracer concentration
226 is equal to 1. After the simulation, the exit concentration is mixing-cup averaged to output a
227 representative of a cumulative RTD (explained in the next section). We added a modification to
228 the existing solver to account for turbulent diffusivity, which had a non-negligible effect on mixing
229 in the WU-PAM reactor, particularly at the entrance jet for high flowrates. We found that the

230 turbulent diffusivity was on the same order of magnitude as the molecular diffusivity within the
231 jet region near the inlet, suggesting turbulence in the jet was significant. It is worthwhile to note
232 that the inlet sparger and baffles (i.e., internals present in configuration II and IV) left out of the
233 simulation could significantly affect this outcome. However, resolving the simulation mesh size
234 to account for these internals significantly extended the computational requirements, to the point
235 that running these simulations was not possible on our computer system and would require a
236 computing cluster to perform.

237

238 **3 Results**

239

240 **3.1 The RTD function, $E(t)$, and the cumulative RTD function, $F(t)$**

241

242 Tracer tests give us fast qualitative information about the reactor, but mathematical manipulation
243 (e.g., normalizing the data and scaling the axes) of the data provide quantitative information and
244 offers a basis for comparing reactor behaviors on a universal scale. The main mathematical
245 descriptors of a fluid element residing in a chamber are its PDF and its CDF. For a chemical
246 reactor, the PDF is more commonly referred to as the RTD function, $E(t)$, in the dimensional
247 domain, or $E(\theta)$ in the dimensionless domain (referred to as E-Curves). Similarly, the CDF is
248 called the cumulative RTD function, $F(t)$, in the dimensional domain, or $F(\theta)$ in the
249 dimensionless domain (referred to as F-Curves) (Danckwerts, 1953; MacMullin and Weber Jr.,
250 1935). The relations between E-Curves and F-Curves are derived for the reader in this Appendix
251 A, but are well established and available on the internet and in classical textbooks (Fogler, 2006;
252 Levenspiel, 1999, 2002).

253

254 Figure 2 gives an example of how mathematical processing of the data looks. The shape of the
255 curve does not change, but the axes do. Section S1 explains how we obtained a pulse response
256 equivalent of concentration data from stepwise addition of the tracer.

257

258 In the WU-PAM, advective flow should be the main form of transport (we do not consider
259 convective effects due to thermal gradients from lamp activity in this work). Modeling real reactors
260 can be challenging, but approximations are possible using ideal reactor concepts (Levenspiel,
261 2002). The two most common examples of ideal reactors are the plug flow reactor (PFR), where
262 the flow is perfectly plugged or piston-like, and the continuously stirred tank reactor (CSTR),
263 where the flow is perfectly mixed. Mathematically, their E-Curves are represented by Equations
264 1-4:

265

$$E_{PFR}(t) = \delta(t - \bar{t}) \quad (1)$$

$$E_{PFR}(\theta) = \delta(\theta - 1) \quad (2)$$

$$E_{CSTR}(t) = \frac{1}{\bar{t}} e^{-\frac{t}{\bar{t}}} \quad (3)$$

$$E_{CSTR}(\theta) = e^{-\theta}. \quad (4)$$

266

267 Examples of how RTDs look like based on compartmental modeling using both ideal reactors are
268 available in chemical engineering textbooks (Fogler, 2006; Levenspiel, 1999) and, although not
269 discussed here, a variety of phenomenological models can be applied to describe or compare
270 OFRs. It is then open to interpretation whether the combination of ideal reactors chosen for an E-
271 Curve (e.g., a PFR and CSTR in series, or two CSTRs in parallel) describes the hydrodynamics of

272 the reactor as well. The RTD of an OFR should be obtained experimentally, if possible, before
273 deciding what model to use to describe it. Development of a phenomenological model to describe
274 the WU-PAM RTD is beyond the scope of this study, whose aim is to develop a robust
275 methodology to assess degree of plug flow in any OFR, however is an avenue that should be
276 pursued in the future. Given our current setup at Washington University, the true reactor RTD is
277 impossible to measure accurately by a single tracer injection. The tubing length, pressure drop
278 inside the filter holder upstream of the SO₂ detector, and location of the SO₂ detector have not
279 been minimized, thus we expect that collectively they could perturb our measurements
280 significantly. We choose not to simply subtract the theoretical space time of the tubing, because
281 non-ideal tracer injection or detection are most likely not represented by a Dirac function of a
282 perfect impulse (or derived from a perfect stepwise injection, represented by the Heaviside
283 function). Therefore we need to deconvolute the RTD signal due to the reactor from the signal due
284 to additional plumbing.

285

286 **3.2 Tank-in-Series model for indirect deconvolution**

287

288 Levenspiel describes the convolution integral (Levenspiel, 1999) in his textbook “Chemical
289 Reaction Engineering”, which has been adapted to solve previous problems of decoupling RTD
290 signals (Hamed, 2012; Han, 2007; Mills and Duduković, 1988; Simonen et al., 2016; Sun, 2010).
291 This integral focuses on packets of the tracer that enter t' seconds before t , that is $(t - t')$, and
292 stay t' seconds in the reactor:

$$C_{out}(t) = \int_0^t C_{in}(t') \cdot E(t - t') dt', \quad (5)$$

293 or

$$C_{out}(t) = C_{in} * E \quad (6)$$

294 where E is the true E-Curve of the reactor, and C_{in} and C_{out} are the time-dependent concentration
295 profiles of the measured tracer at the injection port and outlet port respectively. This equation is
296 based on assumptions of mass conservation (i.e., no wall loss inside the reactor) and memory loss
297 (i.e., the fluid elements in fast-moving fluid in a region are not bound to behave as fast-moving in
298 another region). We separate two regions in our setup, and identify three E-Curves. These
299 correspond to curves for the reactor, the plumbing (including filters, instrument plumbing, and the
300 instrument detector chamber), and the two together. Respectively, we denote them as $E_0(t)$, $E_1(t)$,
301 and $E_2(t)$. We are able to accurately measure $E_2(t)$ and $E_1(t)$, but not $E_0(t)$. Thus, Eq. (6) now
302 takes the form

$$E_2(t) = E_0(t) * E_1(t), \quad (7)$$

303 and we need to solve for $E_0(t)$. Details of the deconvolution approach can be found in Appendix
304 B, however direct application of this technique failed to get the solution to converge. It is a robust
305 protocol to accurately determine a numerical RTD, and should be applied whenever a stable
306 solution is available.

307

308 What we propose is an indirect application, i.e., to guess $E_0(t)$ so that the convolution integral
309 yields a curve that matches that of $E_2(t)$. This requires a formidable number of guesses and
310 iterations and could be a lengthy process if done numerically. One workaround is to assume a form
311 of $E_0(t)$, ideally with one variable parameter, that can be tuned to give the $E_2(t)$ that best matches
312 the experimental $E_2(t)$ curve. The CSTR and PFR forms should not be considered since they are
313 ideal extremes of reactor behavior. We chose to apply the tank-in-series (TIS) model (MacMullin
314 and Weber Jr., 1935), also referred to as N-CSTR model, to the convolution integral since it is a

315 one parameter model that, although not specific to flowtube, tubular, laminar, or plug-flow
 316 reactors, gives an idea of where the reactor lies on the spectrum of mixed flow vs. plugged flow
 317 based on the value of a parameter, N . N refers to the fictitious number of equivalent CSTRs that,
 318 in series, describe the E-Curve for the reactor. This function is

319

$$E(t) = \frac{t^{N-1}}{(N-1)! \left(\frac{\bar{t}}{N}\right)^N} e^{-\left(\frac{N}{\bar{t}}\right)t} \quad (8)$$

$$E(\theta) = \frac{N(N\theta)^{N-1}}{(N-1)!} e^{-N\theta}. \quad (9)$$

320

321 For a value of $N = 1$, the E-Curve becomes that of a perfect CSTR; for a value of $N = \text{infinity}$, it
 322 becomes that of a perfect PFR, as shown in Fig. S2. Using this model, the convolution integral
 323 takes the form

$$E_2^*(t) = \int_0^t E_1(t-t') \cdot \frac{t'^{N-1}}{(N-1)! \left(\frac{\bar{t}}{N}\right)^N} e^{-\left(\frac{N}{\bar{t}}\right)t'} dt', \quad (10)$$

324 where $E_1(t-t')$ is an array of accurate experimental data already obtained, and $E_2^*(t)$ is the
 325 output guess. $E_2^*(t)$ is then matched to $E_2(t)$ by varying N in an iterative fashion. Using this form,
 326 the algorithm in Appendix B is still valid. We used MATLAB to solve this for all cases. The results
 327 are displayed in Fig. 3.

328

329 **4 Discussion**

330

331 The small aspect ratio of the WU-PAM limits wall interactions, preventing laminar flow
 332 development due to absence of a boundary layer. This suggests the flow field would then depend

333 on inlet/outlet geometries or volumetric flowrate. Though, for a fixed spacetime of 78s, we
334 observed that different configurations had no significant effect on the RTD (Figs. 3b, d, e). Further,
335 for configuration I, different spacetimes also had no significant effect. The only case with a marked
336 change in the signal was for configuration IV at 411s spacetime (Fig. 3f). We attribute this
337 difference to the low volumetric flowrate, implying that advective transport begins to be less
338 dominant than turbulent or molecular diffusivity as mode of transport. Such a low spacetime, while
339 increasing the degree of plug flow, would result in a potentially significant loss of semivolatile or
340 low volatility gases. Additionally, other modes of transport such as convective effects (vertical
341 mixing for non-isothermal conditions) could become more apparent, as revealed by Huang et al.
342 for the Caltech photooxidation flow tube (CPOT) reactor. As mentioned earlier, a detailed
343 phenomenological modeling study of RTDs in the WU-PAM is beyond the scope of this study,
344 however at more conventional spacetimes, it would be helpful to visualize hydrodynamics to
345 assess what contacting patterns and state of mixing the reactor exhibits. We thus chose a simple
346 scenario as a base case for simulation: configuration I at 78s spacetime.

347

348 CFD reveals that the hydrodynamics inside the PAM are far from that of a well-mixed reactor (Fig.
349 4). This is insightful because the F-Curve of the simulation matches reasonably well with that of
350 the experiment (Fig. 5) and alone would imply CSTR-like mixing. This is the caveat associated
351 with interpreting RTDs, and further supports investigation in phenomenological modeling.
352 Snapshots of the simulation displayed in Fig. 4a-c show there is jetting (short-circuiting),
353 recirculation, and dead zones. Jetting leads to fluid elements that have a very short residence time
354 and cause high values of $E(t)$ at $t > 0s$. Recirculation leads to fluid elements spending more time
355 in the reactor, yielding middle values of $E(t)$ as elements exit at $t \sim \bar{t}$. Stagnation (dead zones) at

356 the inlet of the reactor cause fluid elements to remain entrained in the reactor for a long time before
357 exiting the reactor at $\sim 2-3$ times \bar{t} at low values of $E(t)$, leading to a long tail in the E-Curve. These
358 three effects together lead to an E-Curve that looks similar to that of a CSTR, but mixing in CSTRs
359 is dominated by recirculation; meaning that the local concentration of tracer at the exit is identical
360 to all other locations in the reactor (Zwietering, 1959). Therefore, while tracer tests give a general
361 idea about contacting patterns, CFD visualizes the hydrodynamics, and help model the reactor.
362 Plotting the WU-PAM OFR's E-Curves for this scenario on a semilog plot does not yield different
363 gradients, which would otherwise indicate different volumes for the compartmental modeling of
364 the jetting, recirculation, and dead volumes (Levenspiel, 2002). The limitation to that statement is
365 that the E-Curves in this work have been obtained by fitting a one-parameter model, consequences
366 of which should be the focus of future work in conjunction with phenomenological modeling.
367 Furthermore, our simulations are limited to isothermal conditions, therefore cannot predict
368 buoyancy effects that could explain spread in the RTD at low flowrates (or low Reynolds numbers)
369 (Fig. 3f), as observed by Huang et al. (2016).

370
371 Lambe et al. (2011a) modeled the Pennsylvania State University PAM (PSU-PAM) reactor using
372 a compartmental model consisting of two parallel tubular reactors that exhibit Taylor dispersion
373 (Taylor, 1953), suggesting that their reactor (whose geometry is identical to that of the WU-PAM
374 OFR) has two main volumes: an active reactor volume, and another volume with entrainment. The
375 model output matches their experimental data reasonably well, but, they did not decouple the
376 reactor's E-Curve from that of the setup, implying the match may include phenomena occurring
377 in other pipes of the setup. Lambe et al. describe RTDs for the two volumes using the axial
378 dispersion model (ADM) (Taylor, 1953, 1954a, 1954b), which is based on modeling plug or

379 laminar flow with axial dispersion of material. Generally, as also stated by Huang et al. (2016),
380 the ADM is valid for regions where the radial Péclet number (Pe_r) is less than ~ 4 times the aspect
381 ratio (length of reactor divided by its cross sectional area), or if Pe_r is greater than $\sqrt{48}$ (Aris, 1956;
382 Taylor, 1954b). Both the PSU-PAM OFR and the WU-PAM OFR meet these requirements under
383 typical flowrates (see SI, Sect. S4). If the reactor could be described by the ADM, CFD would
384 show that the entrance and exit effects would be separate from the main flow in the tube – which
385 is not the case for the simplified geometry of configuration I. We do not know how well they apply
386 to the other configurations. At no point inside the reactor does pipe flow fully develop, so the high
387 aspect ratio concept (Kang et al., 2007) does not allow a velocity profile to become established
388 with the current end caps used. Thus, although Pe_r appears acceptable, the inlet and outlet regions
389 should be re-engineered to allow formation of fully developed pipe flow in the main cylinder for
390 the ADM to be valid. While the E-Curve for configuration II is similar to that of configuration I at
391 78s spacetime, it would be helpful to run CFD on that configuration at different spacetimes to
392 observe if, and if so at what spacetime, the sparger and baffles efficiently suppress jetting.
393 Unfortunately, our CFD mesh could not be refined enough to capture the geometry of those without
394 sacrificing valuable computational time.

395
396 Instead, we chose to apply the use of an inlet cone (45° angle, 4.94'' length) and outlet peripherals
397 to simulate a more attenuated inlet and exit from sudden aperture. The results are displayed in Fig.
398 6. While the size of the jet appears to be broader compared to simulations in Fig. 5 (unaltered
399 PAM geometry), it is nonetheless present. Furthermore, recirculation in the form of backmixing is
400 evident towards the front, and stagnation close to the walls and corners persists. From the velocity

401 field (Fig. 6 center figure), a smaller cone angle that follows the contour of the light blue velocity
402 field could prevent backmixing.

403

404 **5 Potential implications**

405

406 Initial PAM modeling work assumed plug flow behavior in OFRs (Li et al., 2015). Li et al. stated
407 that correcting for the non-ideal E-Curve in their OFR would account for ~10% error in their
408 oxidant exposure results, which is less than the overall model uncertainty. However, recent work
409 incorporates the effect of non-ideal RTDs on model outputs (Palm et al., 2017, 2018, Peng et al.,
410 2015, 2016; Peng and Jimenez, 2017). Peng et al. (2015) show that for three OFR operational
411 modes (that is, modes of different oxidant formation mechanisms denoted by ‘OFR185’,
412 ‘OFR254-70’, and ‘OFR254-7’), a comparison between model output for ideal plug flow vs. non-
413 ideal RTDs (using the RTD experimentally obtained by Lambe et al., 2011a) for OH exposure
414 (OH_{exp}) generally agree within a factor of 2 for low OH_{exp} ; the model disagreement exacerbates at
415 high OH_{exp} beyond a factor of ~4. Peng and Jimenez then extend OFR operational modes to include
416 N-containing chemistry (in modes referred to therein as ‘OFR185-iNO’, ‘OFR185-7-iNO’, and
417 ‘OFR185-70-iNO’) where at moderate-to-high OH_{exp} , the deviations exacerbate significantly,
418 although the authors argue those conditions represent unrealistic chemical pathways. It is
419 worthwhile noting that the chemistry modeled by Peng and Jimenez may find a workaround by
420 utilizing N_2O as NO precursor (Lambe et al., 2017) rather than NO itself, potentially minimizing
421 RTD-related errors. Palm et al. (2018) report data from OFR field deployment where the same
422 comparison (ideal plug flow vs. the RTD experimentally obtained by Lambe et al., 2011a) suggests
423 RTD-related errors overpredict (for CO) or underpredict (for toluene and monoterpenes)

424 photochemical age (that is, the ratio of OH_{exp} to tropospheric average OH number concentrations)
425 in the reactor, generally within a factor of 3 of model error. Considering this work employs the
426 compartmental model RTD described by Lambe et al. (2011a), which for reasons mentioned in the
427 previous section may not be the true PAM RTD, and given that non-ideality in RTDs affects certain
428 OFRs more than others, implementing the method presented here to obtain a more representative
429 reactor RTD can either help constrain error uncertainty in the models, or possibly extend the OH_{exp}
430 range in which OFRs can be operated, a reportedly nontrivial task (Palm et al., 2018). Considering
431 our results indicate that OFRs like the WU-PAM exhibit an RTD closely matching that of an ideal
432 CSTR, which is more well-mixed than the Lambe et al. RTD, the sensitivity analysis conducted
433 so far could represent a lower bound for error analysis because the Lambe et al. RTD is closer to
434 a PFR-like RTD than a CSTR-like RTD.

435
436 For compounds with low lifetimes to OH, contact time could influence the model results to a greater
437 extent (e.g., field deployment monoterpene decay reported by Palm et al., 2018). By taking a ratio
438 of characteristic reaction time to the characteristic transport time, one can define the Damköhler
439 number (Da_n). Considering spacetimes of 52-411s (as per this study), the value of Da_n can be
440 between 0.52 and 4.11 for a compound with lifetimes of ~ 100 s. Since reaction timescales are on
441 the order of transport timescales, contact patterns may play an important role, as seen in Palm et
442 al. (2018). This could also be the case for heterogeneous reactions, diffusion-limited reactions, or
443 semivolatile compound (SVOC) oxidation that exhibit slow gas-particle partitioning. Furthermore,
444 combining a phenomenological model to an associated RTD can impact kinetics (and yields)
445 further. The RTD generated by Lambe et al. (2011a) employed in Li et al. (2015) may lead to
446 greater than 10% error if the 2 PFRs in parallel model suggested by Lambe et al. (2011a) is not

447 applicable. In these scenarios, ensuring a high degree of plug flow can not only maximize
448 exposure, but minimize the distribution of aged compounds (e.g., first or second generation
449 compounds) that are due to different exit ages because of recirculation or stagnation. However,
450 this configuration may not suit a field deployment where trace compounds have short lifetimes to
451 OH and can be easily lost to reactor walls, in which case ensuring a high degree of mixing would
452 be beneficial.

453
454 We do recognize that OFR (or any environmental chemical reactor) users may have a preference
455 to rapidly obtain an RTD profile perhaps using an improvised setup with very short sample lines
456 and a fast time-response gas analyzer. However, the accuracy to which the profile is obtained
457 should be carefully examined. If the reactor is considerably large, or if it is an OFR to be deployed
458 for low levels of exposure, then the influence of plumbing is minimal. If the reactor of choice is
459 small, the oxidant exposure is high, or the reactor has more than one inlet/outlet or other peripheral
460 components, it would be recommended to use the method described here to obtain the most
461 representative RTD, since all sources of bias are removed.

462
463 **6 Conclusion**

464
465 The WU-PAM reactor's hydrodynamics are complex, and even though the E-Curve looks simple,
466 applying a compartmental model (phenomenological modeling) to obtain an analytical E-Curve
467 (rather than the empirically-based TIS E-Curve) can be challenging. Having too sudden an aperture
468 at the entrance zone leads to dead volumes at the inlet corners. We cannot confirm if the sparger
469 design helps reduce dead volume, but tracer tests suggest it doesn't appear to affect the degree of

470 plug flow under standard operating spacetimes (52-156s). The reactor is described neither by back
471 mixing, plug flow, nor by the ADM in any configuration. However, for configuration IV at 411s
472 spacetime, a noticeable shift towards plug flow behavior is observed, perhaps due to a combined
473 effect of internals and low inlet velocity. We note that the E-Curves we obtain are not as accurate
474 as an E-Curve numerically obtained by direct deconvolution, since we are forcing a closed form
475 solution on our data. We further note the need for phenomenological modeling.

476
477 Tapered ends on the inlet and the outlet would help to develop a steady flow profile at the inlet
478 and avoid recirculation at the outlet, however the cone angle should be predetermined by CFD if
479 possible. By improving simulations to include temperature gradients induced when the internal
480 lamps are on, and refining the mesh to capture internals, the ADM should be revisited as a model
481 to describe the PAM reactor. If the ADM satisfactorily describes the PAM reactor's RTD, kinetics
482 should be easier to obtain, and diffusivity values using the Aris-Taylor relationship (Aris, 1956)
483 can even be obtained. This could help assess whether processes are reaction limited or diffusion
484 limited, arguing the reactor validity in experimental setups. At that point, the reactors would be
485 regulated by only one parameter, their flowrate. This parameter would be adjusted to achieve
486 desired spacetimes depending on OHR_{ext} . Finally, to obtain accurate experimental RTDs,
487 achieving a functional direct deconvolution code should be a focus of future development. The
488 implementation of this technique can be extended to drift tubes in mass spectrometers, as those are
489 essentially flow tube reactors where ionization efficiency can be strongly influenced by mixing.

490

491 **Acknowledgements**

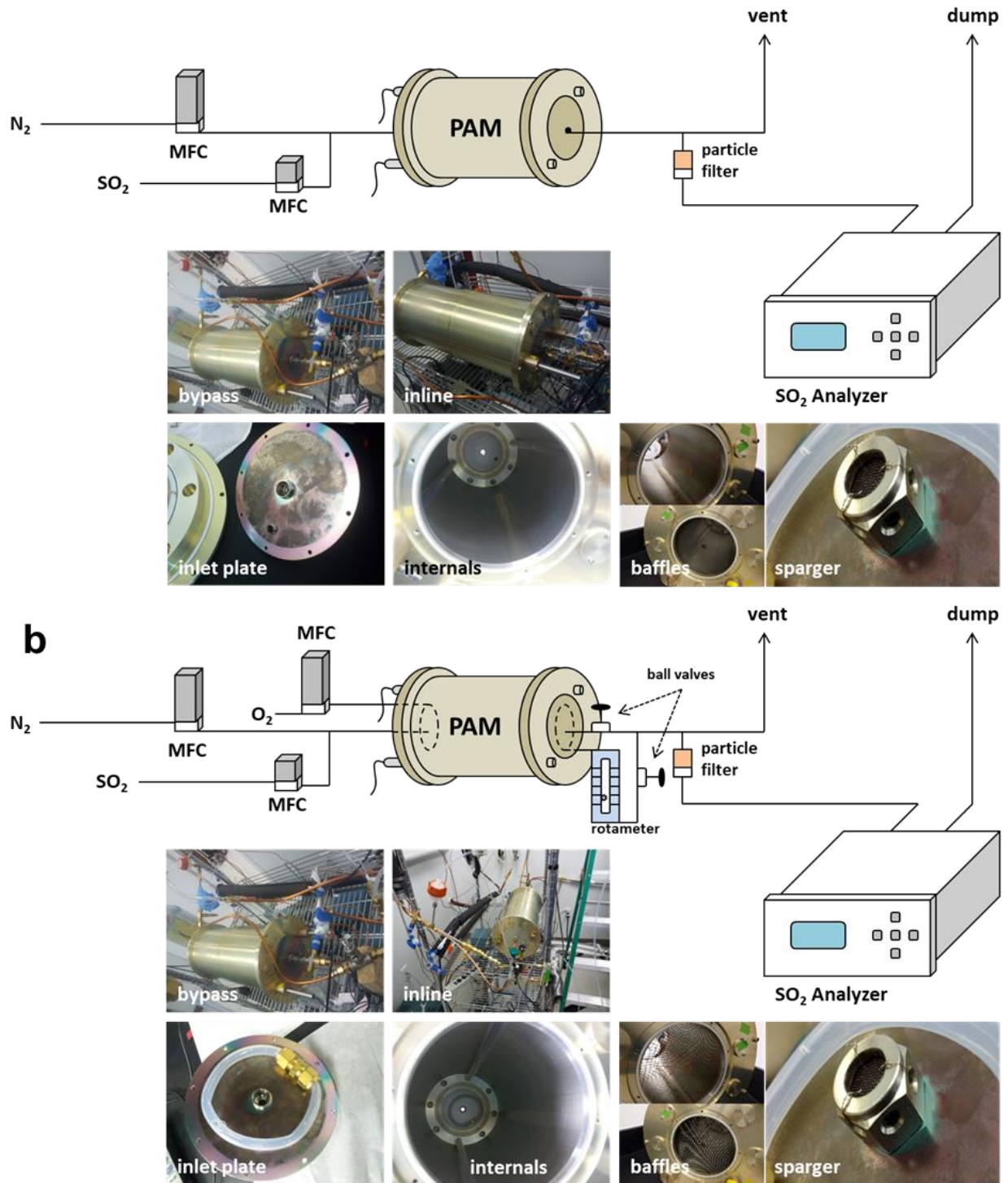
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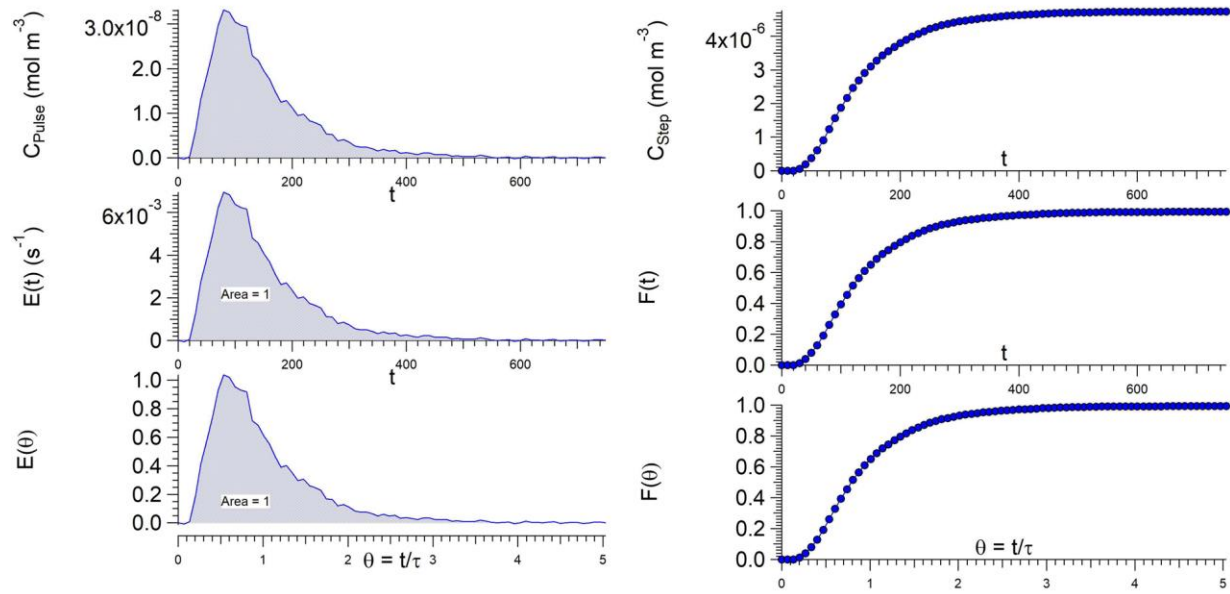
501 **Figures**

502



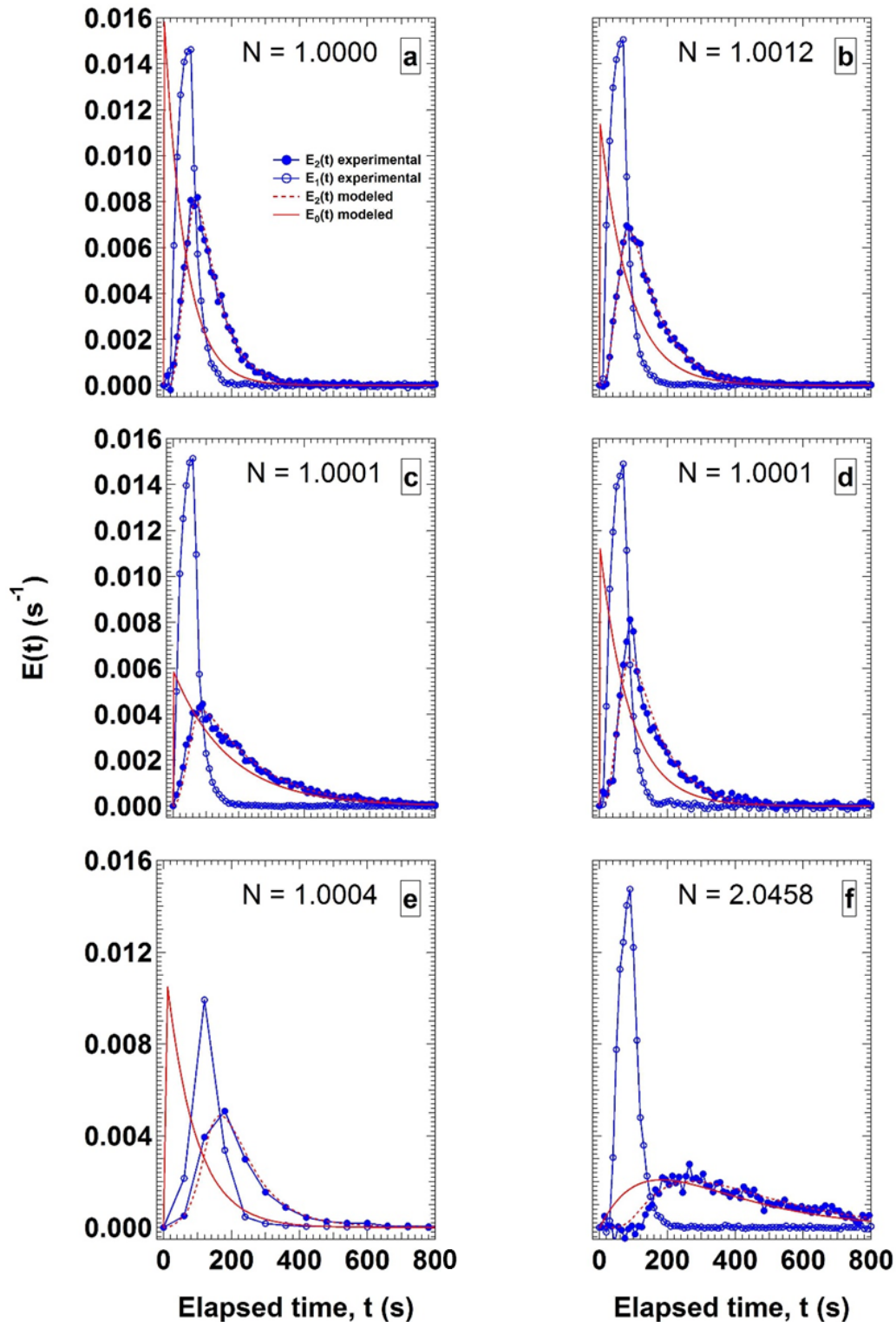
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Figure 1: Experimental setup for tracer studies for a) one inlet and one outlet and b) peripheral inlets and outlets. The main difference is the presence of the ring sparger in b).

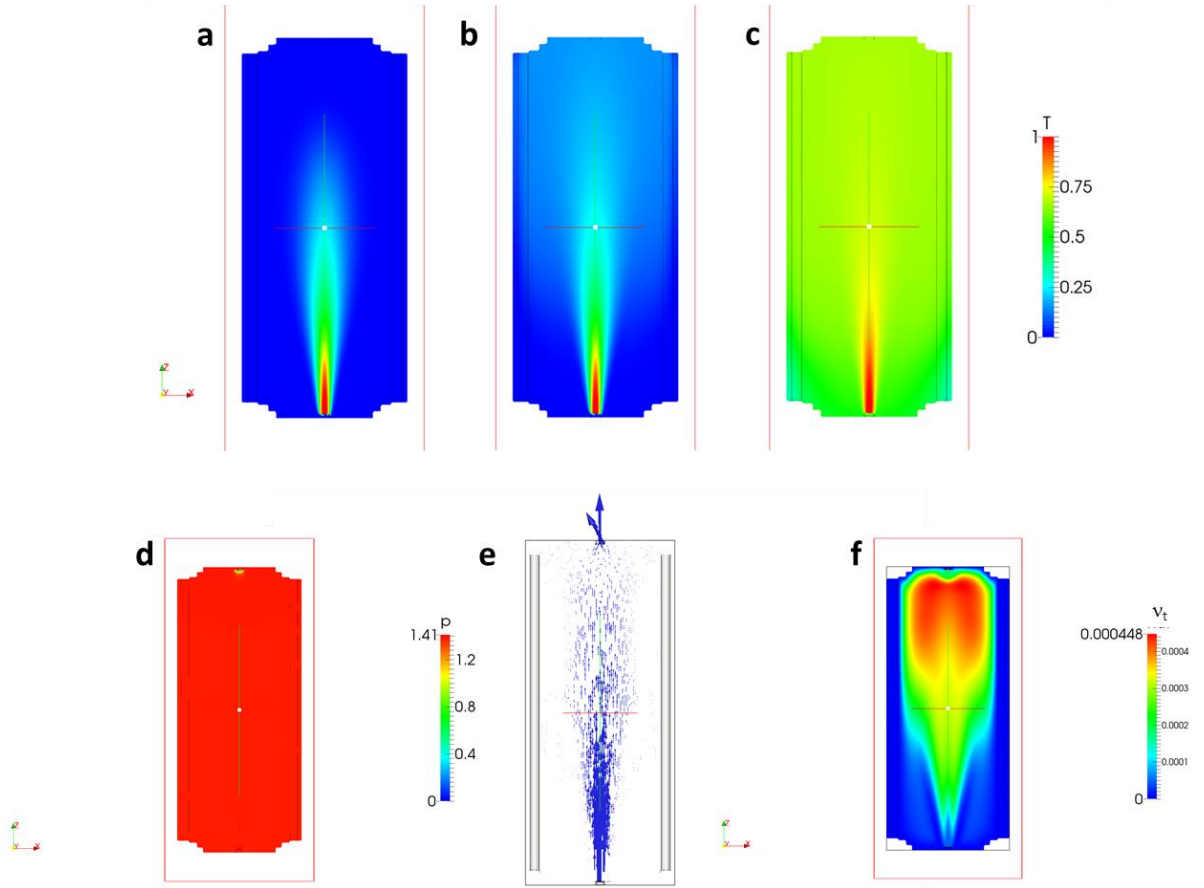


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 509 Figure 2: Tracer tests at 10 L min^{-1} (78s spacetime) through the reactor for configuration I. This
 510 figure serves as an illustrative example for non-dimensionalizing tracer response curves.
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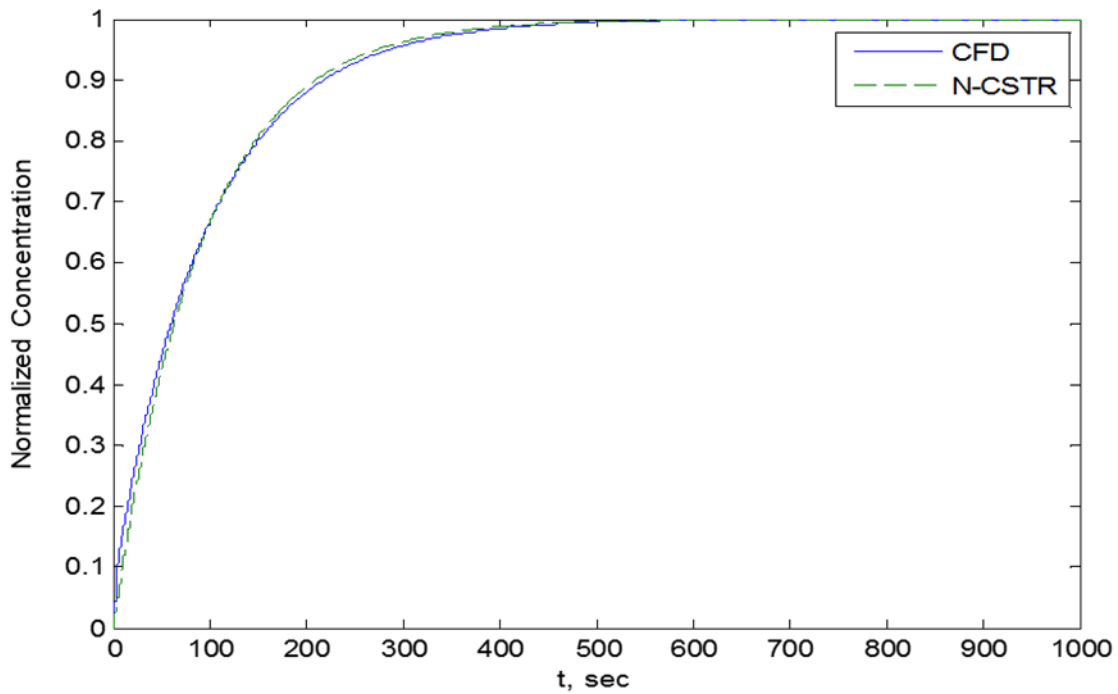


538
 539 Figure 3: E-Curves for the WU-PAM configuration I at a) 52s b) 78s c) 156s spacetimes, at 78s
 540 spacetimes for d) configuration II e) configuration III, and f) for configuration IV at 411s
 541 spacetime. Details on the configurations are in the Methods section. Lower frequency data for
 542 panel e) was due to instrument repair, and temporarily set on longer averages.

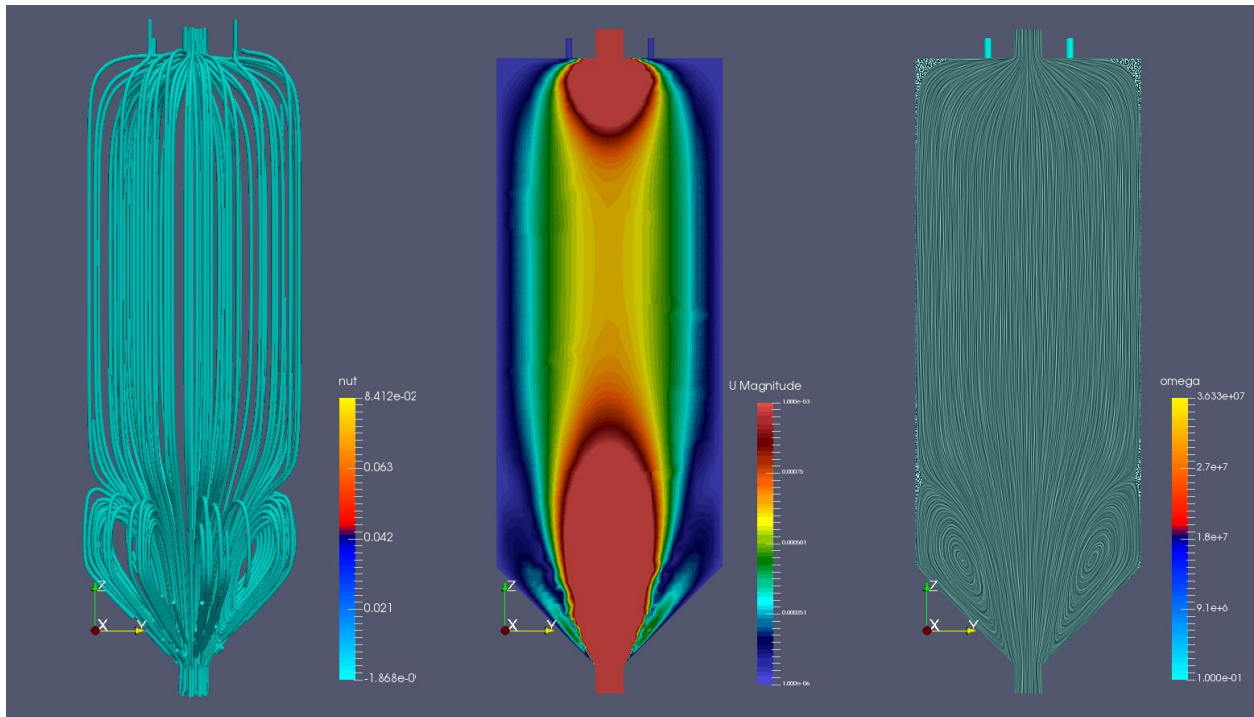


543
 544 Figure 4: CFD output for configuration I at 78s spacetime: snapshots at a) 1s b) 10s and c) 100s of
 545 runtime, and d) pressure field, e) velocity (vector) field, and f) turbulent diffusivity field. Color
 546 scales are dimensionless scalar concentration for the tracer (a-c), Bar for the pressure field (d), and
 547 cSt for the kinematic viscosity (f).

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 564 Figure 5: Comparison of F-Curve output between simulation (CFD) and tracer test (N-CSTR) for
 565 configuration I at 78s spacetime. N-CSTR is an acronym (describing ‘N’ CSTRs in series)
 566 equivalent to TIS: both refer to the Tank-in-Series model (see Section 3.2).
 567



568
 569 Figure 6: CFD analysis on the effect of inlet cone and peripheral outlets on fluid flow. All figures
 570 represent a visualization of the flow field, with color scales representing (from left to right):

571 kinematic viscosity, velocity, and ω . The 3D representation on the leftmost figure highlights the
572 uniformity of the recirculation region.
573

574 **Appendix A: The use of E-Curves and F-Curves**

575

576 To determine RTDs, we injected tracer in a steady stream rather than a single pulse. This prolonged
577 and constant injection, which we call a step input, gave us $F(t)$, from which we can derive $E(t)$ as
578 follows:

$$F(t) = \frac{v}{m} C_{step} \quad (\text{A1})$$

$$E(t) = \frac{dF(t)}{dt}, \quad (\text{A2})$$

579 where v is the volumetric flowrate in m^3s^{-1} , m is the molar flowrate of the tracer in mol s^{-1} , and
580 C_{step} is the concentration of the tracer for a step input in mol m^{-3} . Therefore, $F(t)$ is dimensionless,
581 and $E(t)$ in this example has units of s^{-1} . The area under the E-Curve is unity, representing the PDF
582 of the system:

$$\int_0^{\infty} E(t) dt = 1. \quad (\text{A3})$$

583 Similarly, for the dimensionless domain

$$\int_0^{\infty} E(\theta) d\theta = 1. \quad (\text{A4})$$

584 And if we take \bar{t} to be the mean residence time of the reactor, then

$$\theta = \frac{t}{\bar{t}}. \quad (\text{A5})$$

585 The additional utility of the dimensionless domain is that for reactors of different sizes, built to
586 behave the same, the RTD is numerically identical. For example, if PAM OFRs are operated in
587 different ways (e.g., they operate at different flowrates) or are built in different sizes but display
588 the same E-Curve in the dimensionless domain, then their performance will be identical, and their

589 mean residence time will always occur at $\theta = 1$. This identity would apply for the F-Curve as well
 590 in both domains, where from Eq. (A2) we can see that

591

$$F(t) = \int_0^t E(t)dt \quad (A6)$$

$$F(\theta) = \int_0^t E(\theta)d\theta. \quad (A7)$$

592 The mathematical properties of interest for PDFs are their moments: These have quantitative
 593 meanings in E-Curve analysis. A general equation for the moments of a function $f(x)$ is

$$\mu_n = \int_{-\infty}^{\infty} x^n \cdot f(x)dx, \quad (A8)$$

594 where μ_n is the n th moment of the distribution. If we consider a raw $C(t)$ dataset from our tracer,
 595 we can derive the moments:

$$\frac{\int_0^{\infty} C(t)dt}{\int_0^{\infty} C(t)dt} = \int_0^{\infty} E(t)dt = 1 = \mu_0 \quad (A9)$$

$$\frac{\int_0^{\infty} t \cdot C(t)dt}{\int_0^{\infty} C(t)dt} = \int_0^{\infty} t \cdot E(t)dt = \bar{t} = \mu_1. \quad (A10)$$

596 Here, we are interested in the first moment, which represents the mean residence time. For higher
 597 moments, we use the central moments of the distribution since we are interested in quantities like
 598 variance, skewness, and kurtosis around the mean (and not around zero). This alters Eq. (A8) as
 599 follows:

$$\mu_n = \int_{-\infty}^{\infty} (x - a)^n \cdot f(x)dx; n \geq 2, \quad (A11)$$

600 where a is a constant, and is generally the mean of the distribution (\bar{t} in this case). Thus, the second
 601 (central) moment of the E-Curve becomes

$$\frac{\int_0^{\infty} (t-\bar{t})^2 \cdot C(t) dt}{\int_0^{\infty} C(t) dt} = \int_0^{\infty} (t-\bar{t})^2 \cdot E(t) dt = \sigma^2 =$$

$\mu_2,$

602 where σ^2 has a clear physical meaning, and is the variance around the mean. Higher moments
 603 (skewness and kurtosis) can be of use, and require additional math, but are not addressed in this
 604 work.

605

606 **Appendix B: Algorithm for direct deconvolution**

607

608 Here, we perform an inverse operation to Eq. (7) (Sun, 2010) and work towards an output curve:

$$E_2(t) = \int_0^t E_1(t-t')E_0(t')dt'$$

610 , where E_0 is the RTD of interest, E_1 is the RTD of another component in series with E_0 , and E_2 is
 611 the convoluted RTD. The deconvolution task is to solve for E_0 with measured E_1 and E_2 . Due to
 612 the unknown function forms of E_1 and E_2 , the integral is most easily resolved numerically. Thus,
 613 the time is discretized into $t_{i-1} \leq t \leq t_i$, where $t_i = i\Delta t$, $i = 0 \dots \infty$. The time interval Δt is
 614 determined by the data acquisition frequency for E_1 and E_2 , and is small enough to resolve the
 615 RTD's in fine detail. An even smaller Δt is also feasible by interpolating the data on the finer
 616 temporal resolution. Eq. (B1) is now rewritten as (B2):

$$E_2(t_i) = \int_0^{t_i} E_1(t_i-t')E_0(t')dt' = \sum_{j=1}^i \int_{t_{j-1}}^{t_j} E_1(t_i-t')E_0(t')dt'$$

619

620 Within the small interval between t_{j-1} and t_j , we can assume E_1 and E_0 to be either constant (0th
621 order) or linear with time (1st order). Obviously the 1st order approximation is more accurate than
622 the 0th order with a little more complexity in the integration. Both methods have been tested and
623 proven to result in similar deconvoluted RTD, indicating that 0th order is good enough with
624 sufficiently small Δt . Thus the following derivation takes the 0th order simplification, i.e. for
625 $t_{j-1} \leq t' \leq t_j$:

$$\begin{aligned}
626 \quad E_1(t_i - t') &= \frac{1}{2} \left(E_1(t_i - t_{j-1}) + E_1(t_i - t_j) \right) = \frac{1}{2} \left(E_1(t_{i-j+1}) + E_1(t_{i-j}) \right) \\
627 \quad &= \frac{1}{2} (E_1|_{i-j+1} + E_1|_{i-j}) \\
628 \quad & \hspace{20em} \text{(B3)}
\end{aligned}$$

$$\begin{aligned}
629 \quad E_0(t') &= \frac{1}{2} \left(E_0(t_{j-1}) + E_0(t_j) \right) = \frac{1}{2} (E_0|_{j-1} + E_0|_j) \\
630 \quad & \hspace{20em} \text{(B4)}
\end{aligned}$$

631 , which are Eq. (B3) and (B4) with simplified notation (e.g. from $E_0(t_j)$ to $E_0|_j$). Thus Eq. (B5):

$$\begin{aligned}
632 \quad \int_{t_{j-1}}^{t_j} E_1(t_i - t') E_0(t') dt' &= \frac{1}{4} (E_1|_{i-j+1} + E_1|_{i-j}) (E_0|_{j-1} + E_0|_j) \Delta t \\
633 \quad & \hspace{20em} \text{(B5)}
\end{aligned}$$

634 Eq. (B2) becomes (B6):

$$\begin{aligned}
635 \quad E_2|_i &= \sum_{j=1}^i \frac{\Delta t}{4} (E_1|_{i-j+1} + E_1|_{i-j}) (E_0|_{j-1} + E_0|_j) \\
636 \quad & \hspace{20em} \text{(B6)}
\end{aligned}$$

637 , where i starts at 1 as $E_2|_0 = E_1|_0 = E_0|_0 = 0$ (except for RTD of an ideal CSTR). Again, E_2 and
638 E_1 are known by measurements, and E_0 is the unknown to be solved. Let x be

639 $[E_0|_1, E_0|_2, \dots, E_0|_n]$, where n is an integer sufficiently large beyond which E_0 is considered to
 640 have converged to zero. Let

$$641 \quad a_{i,j} = \frac{\Delta t}{4} (E_1|_{i-j+1} + E_1|_{i-j})$$

642 (B7)

$$643 \quad E_2|_1 - a_{1,1}E_0|_0 = a_{1,1}E_0|_1$$

$$644 \quad E_2|_2 - a_{2,1}E_0|_0 = (a_{2,1} + a_{2,2})E_0|_1 + a_{2,2}E_0|_2$$

$$645 \quad E_2|_3 - a_{3,1}E_0|_0 = (a_{3,1} + a_{3,2})E_0|_1 + (a_{3,2} + a_{3,3})E_0|_2 + a_{3,3}E_0|_3$$

646 ...

647 Therefore, define the coefficient matrix A in Eq. (B8) where

$$648 \quad A_{i,j} = \begin{cases} a_{i,i} & \text{if } j = i \\ a_{i,j} + a_{i,j+1} & \text{if } j < i \\ 0 & \text{if } j > i \end{cases}$$

649 (B8)

650 And define the vector b in Eq. (B9) where

$$651 \quad b_i = E_2|_i - a_{i,1}E_0|_0$$

652 (B9)

653 In this way, the integral Eq. (B1) is converted to a linear algebra problem in Eq. (B10):

$$654 \quad Ax = b$$

655 (B10)

656 Therefore, E_0 can be obtained by solving

$$657 \quad x = A^{-1}b$$

658 (B11)

659 This is called “direct deconvolution” which requires taking inverse of the coefficient matrix A .
660 However, in some cases A is ill conditioned and numerical inversion method like “inv(A)” in
661 MATLAB does not converge. This non-ideality results primarily from measurement uncertainties.
662 Thus, instead of directly solving Eq. (B10), it is proposed in this work to first assume a reasonable
663 function form for x , e.g. the tanks-in-series (TIS) model, and then iteratively update the model
664 parameters to minimize the residual of Eq. (B10). This “indirect deconvolution” method always
665 works to yield a stable and accurate solution of E_0 , the accuracy being judged by comparing b and
666 $b' = Ax'$, where x' represents the converged solution. The validity of the “indirect deconvolution”
667 depends on the reactor model being assumed. The TIS model is one of the two mostly used non-
668 ideal reactor models (the other one is the axial dispersion model), which has proven to work well
669 for the PAM reactor under investigation. The model parameter N (the number of CSTR’s)
670 indicates the non-ideality of the reactor, i.e. the larger N is than 1, the more differently the reactor
671 behaves from an ideal CSTR. The mathematical form of the TIS model can be found in Section
672 3.2.

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