

**amt-2017-380**

**Authors' combined response file**

Dear Dr. Stelios Kazadzis,

Following our interactive discussion, our paper is now revised for your consideration for publication in Atmospheric Measurement Techniques.

We have included below detailed point-by-point response to all referee comments and a mark-up manuscript showing the changes made in response to referees' suggestions.

This is original research that has not been submitted to any journal for publication in any language.

All co-authors agree with the changes and this final version.

I look forward to your decision.

Yours sincerely,

Jungbin Mok

**amt-2017-380**

**Point-by-point response to the reviews**

Mok et al., “Comparisons of spectral aerosol absorption in Seoul, South Korea”

[Reviewer comments are in black, responses in red]

Anonymous Referee #1

Received and published: 30 November 2017

This manuscript by Mok et al., "Comparisons of spectral aerosol absorption in Seoul, South Korea", presents a comparison of SKYNET-retrieved SSA in the UV with the SSA derived from a combination of AERONET, MFRSR, and Pandora retrievals in Seoul, South Korea in spring and summer of 2016. There have been only a limited number of measurements / measurement campaigns focusing on absorption at UV wavelengths, therefore, the topic of this study is of great interest and relevance. The scope of the paper is both concise and specific, and my minor comments are mainly related to the need to clarify some of the issues.

**We thank the reviewer for the positive assessment and summary**

Before publication, the following points should be addressed:

**GENERAL COMMENTS:**

I was missing some more information and details about the measurements, for instance regarding the following two points.

1. Figure 5 (and 7) shows a large variability for each SKYNET mean value, so apparently it is shown based on several measurements within 32 minutes, but what is the temporal resolution of SKYNET measurements? I think this was never mentioned.

**Like the AMP retrievals, we used the same temporal resolution of the SKYNET measurements, which are averaged within  $\pm 16$  minutes from the AERONET retrieval time for consistency.**

For the clarification, we added the following statements at Page 3, Line 22:

“are retrieved every 10 minutes using standard processing software SKYRAD.pack”

The data shown in Fig. 5 and Fig. 7 are  $\pm 16$  minute averages around AERONET inversion time for both MFRSR and SKYNET.

We clarified in the caption of Figure 5:

“Figure 5. Comparisons of AMP-retrieved with SKYNET-retrieved SSA ( $\pm 16$  minute average)”

2. Page 9, Line 25, here solar aureole corrections are mentioned. Please include few sentences to explain this correction in some detail. Also, this same issue applies also, to some extent, to Cimel measurements (diffuse light in FOV). Could you discuss the relative importance of this kind of uncertainty in both measurements?

We agree with suggestion. We added the following sentences on page 9 after line 26:

“The aureole correction is less important to the AERONET measurements because of the small FOV  $\sim 1.2^\circ$  (Sinyuk et al., 2012) than to the shadowing measurements from MFRSR (Krotkov et al., 2005a). The empirical MFRSR aureole correction (Harrison et al., 1994) tends to underestimate aureole contribution to the diffuse irradiance for coarse aerosol particles and cirrus clouds (Min et al., 2004; Yin et al., 2015).”

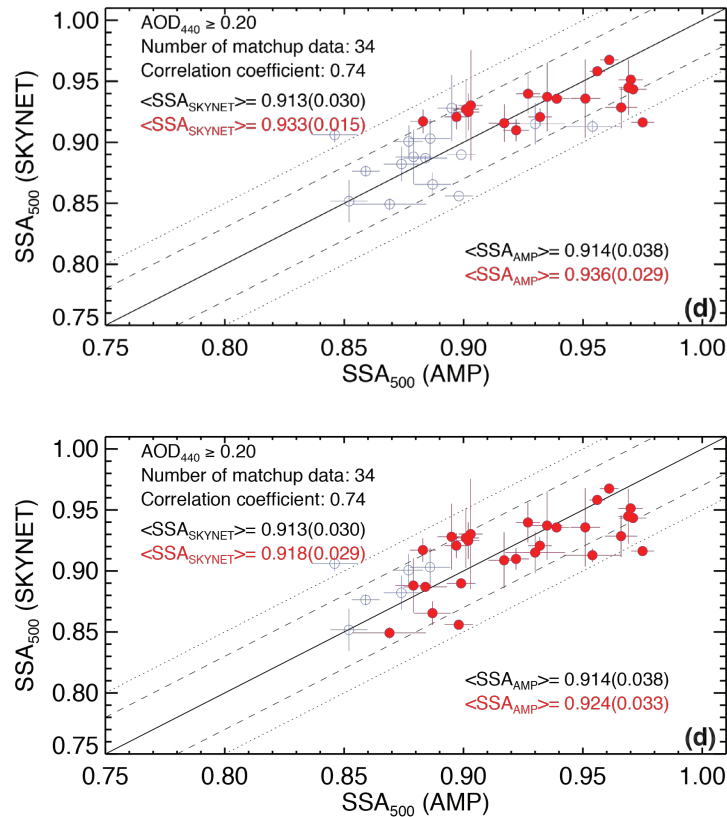
Sinyuk, A., Holben, B. N., Smirnov, A., Eck, T. F., Slutsker, I., Schafer, J. S., Giles, D. M. and Sorokin, M.: Assessment of error in aerosol optical depth measured by AERONET due to aerosol forward scattering, *Geophys. Res. Lett.*, 39, L23806, doi:10.1029/2012GL053894, 2012.

Min, Q. L., Joseph, E. and Duan, M.: Retrievals of thin cloud optical depth from a multifilter rotating shadowband radiometer, *J. Geophys. Res.*, 109, D02201, doi:10.1023/2003JD003964, 2004.

Yin, B., Min, Q. and Joseph, E.: Retrievals and uncertainty analysis of aerosol single scattering albedo from MFRSR measurements, *J. Quant. Spectrosc. Radiat. Transf.*, 150, 95-106, doi:10.1016/j.jqsrt.2014.08.012, 2015.

3. The focus of the paper is on UV wavelengths and thus one should not perhaps concentrate too much on the longer wavelengths, however I cannot help wondering about the comparison in the Figure 5 and at the wavelengths  $> 400\text{nm}$ . Now the explanation was given that the larger scatter is due to the lower AOD and related larger uncertainty. However, it is not only the larger scatter, but also the systematic behavior that stands out, e.g. at 500 nm for largest AOD (red points) SKYNET is showing very little SSA variability. SKYNET value is close to 0.93, when AERONET SSA varies from 0.88 to 0.98. AOD is not very low in these cases at 500nm, when it is larger than 0.4 at 440nm. Is there any idea why this happens?

As a reviewer mentioned, the  $\text{SSA}_{500}(\text{SKYNET})$  values are  $\sim 0.909$  to  $\sim 0.968$ , whereas the  $\text{SSA}_{500}(\text{AMP})$  values are  $\sim 0.883$  to  $\sim 0.975$  in Figure 5(d), thus SKYNET SSA values show less variation compared to AMP SSA at 500 nm. However, the amount of data for comparison with  $\text{AOD}_{440} \geq 0.4$  is only 19. Therefore, it is difficult to determine if this variation is significant with such a small number of data points. For example, if we change the AOD threshold from 0.4 to 0.3 and comparisons have more matchup samples, the SKYNET SSA shows similar variations as below.



(upper panel) original Figure 5(d). Red dots are filtered using  $\text{AOD}_{440} \geq 0.4$ .

(lower panel) same with Figure 5(d) except red dots are filtered using  $\text{AOD}_{440} \geq 0.3$

Similar pattern and poor agreement seems to be true also at 675nm. Also, the vertical error bars are sometimes strikingly large. In your Figure 8 you show that AODs match well, so what could be the main reason to cause a variability this large SSA variability within a short period of measurements?

The horizontal bars in Fig. 5 and 7 show estimated uncertainties of the AMP SSA mean values (i.e. excluding natural variability) within  $\pm 16$  minute time window. Because SKYNET retrievals do not provide SSA uncertainties for the individual retrievals, the vertical bars show one standard deviation of the SKYNET retrieved individual SSA values within  $\pm 16$  minute time window (i.e. including natural variability). Natural SSA short-term variability makes vertical bars typically larger.

We added clarification in the captions of Fig. 5 and Fig. 7.

“The horizontal bars show estimated uncertainties of the AMP SSA mean values (i.e. excluding natural variability) within  $\pm 16$  minute time window. The vertical bars show one standard deviation of the SKYNET retrieved individual SSA values within  $\pm 16$  minute time window (i.e. including natural variability).”

4. Page 9, Lines 14-26. Here are several possible explanations given for a larger scatter between AERONET and MFRSR-based SSA (Figure 3b and 3c), if compared to UV-MFRSR and VIS-MFRSR (in Figure 3a). Could you please discuss the potential sources of absolute difference as well. For instance, your points 1 and 2 would both contribute so that MFRSR SSA is larger than AERONET SSA. Now, the scatter includes mainly points when MFRSR SSA is smaller than AERONET SSA. So a quantitative discussion about the possible sources of systematic biases, which differ between these measurements, would be helpful for the reader to better understand not only the scatter, but also the mean overall differences.

We agree with suggestion. We added the following quantitative discussion at the end of page 9:

“The aureole correction is less important to the AERONET measurements because of the small FOV  $\sim 1.2^\circ$  (Sinyuk et al., 2012) than to the shadowing measurements from MFRSR (Krotkov et al., 2005a). The empirical MFRSR aureole correction (Harrison et al., 1994) tends to underestimate the aureole contribution to the diffuse irradiance for coarse aerosol particles and cirrus clouds (Min et al., 2004; Yin et al., 2015). The aureole undercorrection causes systematic underestimation of the diffuse irradiance and retrieved SSA by the MFRSR. Quantitatively, the bias varies for different locations: e.g., from +0.004 at the Santa Cruz, Bolivia (Mok et al., 2016) to -0.005 in Greenbelt, Maryland with fine mode dominated aerosols (Krotkov et al., 2009). We estimate that aureole SSA bias should be less than  $\sim 0.01$  at Seoul.”

5. Related to the above point and to your first point (fractional clouds). Would you see a reduced scatter between MFRSR and AERONET SSA, if you narrowed the 32 minutes averaging window? Given your arguments there, it should happen, so perhaps the role of this effect can be estimated?

Although the MFRSR can provide 1-minute retrievals of SSA, the AERONET standard (Dubovik) algorithm requires 32 minutes of the almucantar scan time to retrieve SSA. So, it is not possible to narrow the 32 minutes averaging window for comparison between MFRSR and AERONET SSA.

#### SPECIFIC COMMENTS:

6. Figure 7, you list the wavelengths there in the caption, 673/675nm is missing.

We do not include 675 nm in the caption on purpose. As L4 in Page 11, the spectrally invariant SKYNET-assumed surface albedo~0.1 is close to the AERONET surface albedo at 675 nm (Figure 6). Thus, we do not re-process the SKYNET inversion at 675 nm.

For the clarification, we added the following sentence in the caption of Figure 7: SKYNET SSA at 675 nm is the same with Figure 5(e).

Anonymous Referee #3

Received and published: 6 February 2018

#### GENERAL COMMENTS

The paper by Mok et al. focuses on the comparison of aerosol single scattering albedo (SSA) retrieved by SKYNET (POM-02) and by a combination of instruments (AERONET, MFRSR and Pandora). The broad spectral range, including the ultraviolet band, covered by the comparison make this study original. Surface albedo is found to be one of the main sources of discrepancy (underestimation) in SKYNET compared to AMP.

The paper covers a very interesting research topic and is generally well written. I recommend the publication on AMT after addressing the following minor issues.

We thank the reviewer for the positive assessment and summary

#### SPECIFIC COMMENTS

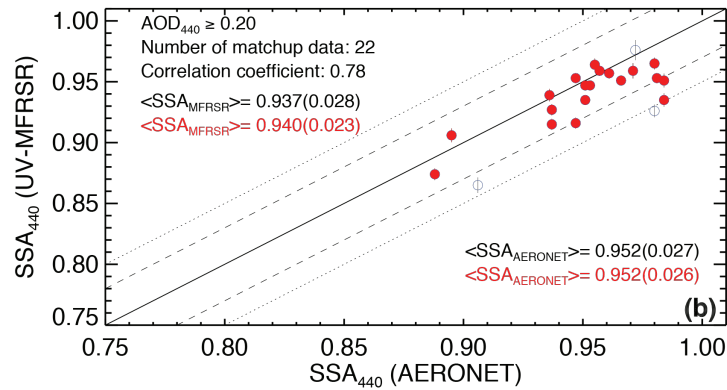
I have two remarks about the internal consistency of AMP retrievals.

1. Equation 1: in principle, to preserve consistency within the AMP triad, the gaseous optical depths used in MFRSR retrievals ( $\tau_R$ ,  $\tau_{NO_2}$  and  $\tau_{O_3}$ ), included in the right-hand side of Eq. 1, should be the same as the ones used by AERONET for the retrieval of the aerosol optical depth ( $\tau_a$ ) from the measurements of total optical atmospheric depth. Otherwise, slight differences in  $NO_2$  or  $O_3$  concentrations, pressure or used cross-sections could introduce some noise or fictitious biases (especially in the UV-VIS part of the spectrum). Can you discuss this point?

During MFRSR calibration, we correct AERONET AOD to account for differences between measured and climatological  $NO_2$ , ozone, and surface pressure values, making AMP retrievals internally consistent (Krotkov et al., 2009). We compare AERONET climatology with actual Pandora measurement in Seoul and see large underestimation (up to a factor of  $\sim 2$ ) for high polluted episodes. Combining AERONET, MFRSR, and Pandora (AMP) retrievals ensures most accurate partitioning between aerosol and gaseous absorption, although this is not yet possible for all of  $\sim 400$  AERONET sites.

2. At page 7, the authors affirm that PSD retrievals from AERONET (which accounts for non-spherical aerosols) are used to calculate SSA from MFRSR assuming spherical particles. Isn't it an inconsistency? The authors should explain that most aerosol are spherical at the measuring site or that non-spherical aerosol were excluded from the analysis (e.g., based on some AERONET output parameters).

We acknowledge the inconsistency of assuming spherical particles in MFRSR retrievals. Below Figure shows similar comparison (Figure 3b) for cases with AERONET sphericity exceeding 95%. We see similar results but this leads to much smaller statistical sample size, not allowing us to compare with SKYNET SSA retrievals.



On a different note, do the authors have an explanation why they do not find the SSA overestimation as the previous studies at VIS and IR ranges? Since emphasis is laid on this contrast with the previous literature (e.g., page 10. 4-5 and 21-23), some explanations should be provided.

The lack of overestimation is due, at least partly, to improved quality checks for the solar disk scan data used to determine FOV (referred to as SVA in previous literatures). In addition, the present study uses a slightly different approach for the determination of the calibration constant  $\langle F_0 \rangle$ . As mentioned in Section 3.3, while daily  $\langle F_0 \rangle$  values for entire UV-VIS-NIR channels have not been given in previous studies, we think that reanalysis of their observation data by this approach is preferable to confirm the consistency.

We added the following statements at L5 in P10:

“Differently from previous studies, we found that average SKYNET SSA is in good agreement with average AMP SSA at VIS and NIR ranges (Figure 5 and Table 3). This is at least partly because we used the improved quality checks for the solar disk scan data used to determine the



FOV. In addition, we used daily  $\langle F_0 \rangle$  values for entire UV-VIS-NIR channels have not been given in previous studies (See details in Section 3.3).”

3. Finally, I would suggest to expand the conclusions, e.g. by including a special remark for terrains covered by snow and recommendations on how to determine the optimal surface albedo to be used in SKYNET inversions if no other co-located instrument is available at a specified measuring station.

We agree with reviewer’s suggestions.

Since the surface albedo has a significant impact on SSA retrievals, future studies relevant to SKYNET inversions might determine the optimal surface albedo from the MODIS climatology (Moody et al., 2008) combined with bidirectional reflectance distribution function (BRDF) models to account for change as a function of solar zenith angle, like AERONET inversions.

In the Version 3 database the AERONET input for surface reflectance is based on the BRDF determined from MODIS data (V005 product) for all locations as described in:

Wang, Z., Schaaf, C. B., Sun, Q., Shuai, Y. and Román, M. O.: Capturing rapid land surface dynamics with Collection V006 MODIS BRDF/NBAR/Albedo (MCD43) Products, *Remote Sens. Environ.*, 207, 50–64, doi: 10.1016/j.rse.2018.02.001, 2018.

In presence of snow and ice, the global daily surface albedo from the National Snow and Ice Data Center can be used. However, the snow/ice albedos have very high uncertainty due to very dynamic nature of snow and ice reflectance. This will be addressed in our future paper.

We added the following sentence in conclusion (L8 in P13) as reviewer suggested.

“Future studies relevant to SKYNET SSA inversions might determine the optimal surface albedo from the MODIS climatology (Moody et al., 2008) and/or combined with BRDF models (Wang et al., 2018) if no other co-located instrument is available.”

## TECHNICAL CORRECTIONS

4. page 1 title: the title refers to "spectral aerosol absorption" without mentioning explicitly the "single scattering albedo", which is the main topic of the paper and the only physical quantity provided as a result (apart from AOD and Angstrom exponent). I would suggest to change the title accordingly and not to mention in the abstract the quantities that are not directly discussed in the paper (column effective imaginary refractive index ( $k$ ) and aerosol absorption optical depth (AAOD));

We agree with suggestion. We changed the title as

“Comparisons of spectral aerosol single scattering albedo in Seoul, South Korea”

Also, we removed column effective imaginary refractive index ( $k$ ) and AAOD in the abstract as:

“Measurements of column average atmospheric aerosol single scattering albedo (SSA) are performed on the ground by the NASA AERONET in the visible (VIS) and near-infrared (NIR) wavelengths and in the UV-VIS-NIR by the SKYNET networks.”

5. page 2. 1-16: this first paragraph puts together too many topics that should be dealt with separately (radiative effects - consisting in scattering and absorption (not only absorption), health effects, photochemical smog, etc.). The result is a bit confusing for the reader and somehow disconnected from the main topic of the paper. I would suggest to rewrite this whole paragraph;

We agree with suggestion. We rewrite this paragraph to show clear message of the main topic of this paper to the reader as below.

“Aerosols affect both the surface and outgoing radiation affecting Earth's radiative balance. To quantify the radiative effects of aerosols, the aerosol optical depth (AOD) and single scattering albedo (SSA) are monitored using ground-based, orbital and sub-orbital platforms. The potential climate effects of absorbing aerosols have received considerable attention lately (Myhre et al., 2013). In addition to climatic effects, aerosol absorption effects on surface UV irradiance and photolysis rates have important implications for tropospheric photochemistry, human health, and agricultural productivity (Dickerson et al., 1997; Krotkov et al., 1998; He and Carmichael, 1999; Castro et al., 2001; Mok et al., 2016). Measurements of column atmospheric aerosol absorption and its spectral dependence in the UV remain one of the most difficult tasks in atmospheric radiation measurements due to the lack of co-incident measurements of aerosol and gaseous absorption properties in the UV.”

6. page 2. 15: "in the UV remain one of the most difficult tasks..." -> this is a key point.

Explain why it is a difficult task;

Compared to longer visible and NIR wavelengths, the gaseous absorption of ozone and NO<sub>2</sub> becomes important when trying to retrieve the column aerosol absorption in the UV. This problem occurs because there are lack of co-incident measurements of aerosol and gaseous absorption properties in the UV.

We changed the statements:

“Measurements of column atmospheric aerosol absorption and its spectral dependence in the UV remain one of the most difficult tasks in atmospheric radiation measurements due to the lack of co-incident measurements of aerosol and gaseous absorption properties in the UV.”

7. page 3. 23: "equipped with" -> "mounted on" or "fitted to";

We agree with suggestion:

“The ability for UV (340 and 380 nm) channels mounted on the PREDE POM-02 sky radiometer used by SKYNET is investigated in this study.”

8. page 5. Eq. 1: the equation should be introduced by a sentence;

We agree with suggestion. We changed the location of this sentence to L21 in P5 to show the equation is introduced by a sentence as below.

We use an estimate of the calibration constant for each individual 1-minute MFRSR measurement at each wavelength (i.e., extraterrestrial voltage,  $V_0(\lambda,t)$ ) calculated using equation (1) to normalize measured direct and diffuse voltages (same calibration in shadowing technique) and as a quality assurance tool to retain only the best quality measurements consistent with the AERONET AOD measurements.

$$\ln V_0(\lambda,t) = \ln(V_{\text{dim}}(\lambda,t)) + \sec(\text{SZA}(t)) [\tau_a(\lambda,t) + \tau_R(\lambda,t) + \tau_{\text{NO}_2}(\lambda,t) + \tau_{\text{O}_3}(\lambda,t)] , \quad (1)$$

9. page 5. 29: "second order polynomial interpolation/extrapolation least-squares fit in logarithmic space..." -> replace this complex sentence with a formula;

We agree with suggestion. We changed the statement by adding a formula as below.

“ $\tau_a(\lambda, t)$  is gaseous corrected and spectrally interpolated/extrapolated AOD to the MFRSR wavelengths applying a least-squares fit of the equation ( $\ln \tau_a = a_0 + a_1 \ln \lambda + a_2 (\ln \lambda)^2$ ) (Eck et al., 1999) using AERONET spectral level 2 AOD”

10. page 5. 31: "a Pandora" -> "Pandora";

We agree with suggestion:

“For cases when NO<sub>2</sub> and O<sub>3</sub> values are not available from Pandora spectrometer,”

11. page 6. 3: "from the OMI" -> include a link to the data or explain which product was used;

We agree with suggestion:

“For cases when NO<sub>2</sub> and O<sub>3</sub> values are not available from Pandora spectrometer, satellite NO<sub>2</sub> (OMNO2 L2 v3.0) and ozone (OMTO3 L2 v8.5) measurements from the OMI are used (data are available at <http://avdc.gsfc.nasa.gov> under the Aura sub-menu).”

12. page 6. 32: "either from MFRSR... or AERONET" -> explain how either one or the other quantity is chosen;

We can manually choose which AOD retrievals (MFRSR or AERONET) are used for the AMP SSA inversion. In this study, we only used gaseous corrected AERONET AOD for consistency.

We added the statement to L1 in P7:

“In this study, we only used gaseous corrected AERONET AOD for consistency.”

13. page 7. 23: "the static calibration" -> "the so-called static calibration";

We agree with suggestion:

“The first approach is to use the so-called static calibration constants.”

14. page 7. 25: "use dynamic ... method" -> "use the dynamic ... method";

We agree with suggestion:

“The second approach is to use the dynamic on-site calibration method, based on the Improved Langley method (Campanelli et al., 2007; Khatri et al., 2016).”

15. page 7. 27-28: "during very hot summer" -> does this mean that the agreement is better in the cold season because of lower temperature? Also, I do not understand how the daily temperature variations (line 27) can be taken into account using a two-month average period (page 8);

Yes, it means that the agreement is better in the cold season because of lower temperature. However, this would not be the case, when the temperature is too low. Since the present study focuses on the season from spring to summer, we state "during very hot summer for instance". We also agree with the reviewer that the daily temperature variations cannot be taken into account.

Accordingly, the sentence has been rewritten as "... on a monthly time scale ...".

16. page 8. 1-2: "to minimize the temporal stability" -> "to account for the temporal variability" ? "consider the consistency with the above-mentioned static calibration constants" -> what do you mean? Could you rephrase?

Considering the reviewer's comments, the sentence has been rephrased to

"To account for the temporal variability of  $\langle F_{\theta} \rangle$  by  $\pm 1-3\%$  caused by temperature variation, the following method was used in this study."

17. page 8. 6: "the known field of view of the instrument" -> this seems to be a key point from previous literature. Could you explain what method you used to determine the FOV?

The FOV was determined by the solar disk scan method (Nakajima et al., 1996; Uchiyama et al., 2018). For the present study, careful quality check for the solar disk scan data was made by

identifying and excluding apparent low-quality data, in which the measured normalized intensity showed an unexpected increase as the scattering angle increases.

Nakajima, T., Tonna, G., Rao, R., Boi, P., Kaufman, Y. and Holben, B.: Use of sky brightness measurements from ground for remote sensing of particulate polydispersions, *Appl. Opt.*, 35, 2672–2686, doi:10.1364/AO.35.002672, 1996.

Uchiyama A., Matsunaga, T. and Yamazki, A.: The instrument constant of sky radiometers (POM-02), Part II: Solid view angle, *Atmos. Meas. Tech. Discuss.*, doi:10.5194/amt-2017-432, 2018.

We added the following statement at L4 in P8:

"Assuming the field of view (FOV) of the SKYNET instrument is known by the solar disk scan method (Nakajima et al., 1996; Uchiyama et al., 2018),"

18. page 8. 21: "UV- and VIS-MFRSR retrieved SSA at 440 nm" -> "SSA retrieved at 440 nm by the UV- and VIS-MFRSR instruments";

We agree with suggestion:

“First, the individual 1-minute SSA retrieved at 440 nm ( $SSA_{440}$ ) by the UV- and VIS-MFRSR instruments are compared to demonstrate the high degree of consistency for a combined set of modified UV- and VIS-MFRSR instruments (Figure 3a).”

19. page 9. 14: "Comparing" -> "Compared to the";

We agree with suggestion:

“Compared to the low scatter in  $SSA_{440}$  differences between UV-MFRSR and VIS-MFRSR (Figure 3a), Figures 3b and 3c show larger scatter between either UV-MFRSR (Figure 3b) or VIS-MFRSR (Figure 3c) and AERONET  $SSA_{440}$ .”

20. page 9. 20: "NO<sub>2</sub> that is not completely accounted for in the AERONET retrievals" -> explain why;

AERONET Version 2 AOD measurements are corrected for NO<sub>2</sub> absorption using monthly average satellite climatologies from SCIAMACHY satellite retrievals

([https://aeronet.gsfc.nasa.gov/new\\_web/Documents/version2\\_table.pdf](https://aeronet.gsfc.nasa.gov/new_web/Documents/version2_table.pdf)). However, NO<sub>2</sub> absorption is not taken into account in the sky radiances that are inverted in the AERONET SSA inversion (Dubovik) algorithm in Version 2.

21. page 10. 18-23: are these lines a typo? They are a repetition of the previous paragraph;

Considering the reviewer's comments, we removed this paragraph.

22. page 11. 12: "significantly increases the SSA (by 0.01)" -> how can a 0.01 increase be defined "significant"? Same at line 15: "significantly";

Considering the reviewer's comments, we remove "significantly".

23. page 11. 19: "is a critical pre-condition" -> then, since this is a pre-condition, why not move this section before the SSA discussion?

We think that to discuss possible factors for discrepancy between the AMP and SKYNET SSA in one section (Section 4.3) is better way for readers to understand like Khatri et al. (2016) did.

Khatri, P., Takamura, T., Nakajima, T., Estellés, V., Irie, H., Kuze, H., Campanelli, M., Sinyuk, A., Lee, S.-M., Sohn, B. J., Pandithurai, G., Kim, S.-W., Yoon, S. C., Martinez-Lozano, J. A., Hashimoto, M., Devara, P. C. S. and Manago, N.: Factors for inconsistent aerosol single scattering albedo between SKYNET and AERONET, *J. Geophys. Res.-Atmos.*, 121, 1859-1877, doi:10.1002/2015JD023976, 2016.

24. page 11. 28-29: "gaseous absorption ... not taken into account in the sky radiances... inverted in the AERONET Version 2 retrievals" -> could you add a bibliographic reference about this issue?

There is no bibliographic reference that discusses this issue. When papers in the early stages of preparation for the new AERONET Version 3 database are available in the future, this issue will be discussed.

## Comparisons of spectral aerosol single scattering albedo in Seoul, South Korea

Deleted: absorption

Jungbin Mok<sup>1,2</sup>, Nikolay A. Krotkov<sup>2</sup>, Omar Torres<sup>2</sup>, Hiren Jethva<sup>2,3</sup>, Zhanqing Li<sup>1</sup>, Jhoon Kim<sup>4</sup>,  
Ja-Ho Koo<sup>4</sup>, Sujung Go<sup>4</sup>, Hitoshi Irie<sup>5</sup>, Gordon Labow<sup>2</sup>, Thomas F. Eck<sup>2,3</sup>, Brent N. Holben<sup>2</sup>,  
Jay Herman<sup>2</sup>, Robert P. Loughman<sup>6</sup>, Elena Spinei<sup>1,2</sup>, Seoung Soo Lee<sup>1</sup>, Pradeep Khatri<sup>7</sup> and  
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**Abstract.** Quantifying aerosol absorption at ultraviolet (UV) wavelengths is important for monitoring air pollution and aerosol amounts using current (e.g., Aura/OMI) and future (e.g., TROPOMI, TEMPO, GEMS, and Sentinel-4) satellite measurements. Measurements of column average atmospheric aerosol single scattering albedo (SSA) are performed on the ground by the NASA AERONET in the visible (VIS) and near-infrared (NIR) wavelengths and in the UV-VIS-NIR by the SKYNET networks. Previous comparison studies have focused on VIS and NIR wavelengths due to the lack of co-incident measurements of aerosol and gaseous absorption properties in the UV. This study compares the SKYNET-retrieved SSA in the UV with the SSA derived from a combination of AERONET, MFRSR, and Pandora (AMP) retrievals in Seoul, South Korea in spring and summer of 2016. The results show that the spectrally invariant surface albedo assumed in the SKYNET SSA retrievals leads to underestimated SSA compared to AMP values at near UV wavelengths. Re-processed SKYNET inversions using spectrally varying surface albedo, consistent with the AERONET retrieval improves agreement with AMP SSA. The combined AMP inversions allow for separating aerosol and gaseous (NO<sub>2</sub> and O<sub>3</sub>) absorption and provides aerosol retrievals from the shortest UVB (305 nm) through VIS to NIR wavelengths (870 nm).

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## 1 Introduction

~~Aerosols affect both the surface and outgoing radiation affecting Earth's radiative balance. To quantify the radiative effects of aerosols, the aerosol optical depth (AOD) and single scattering albedo (SSA) are monitored using ground-based, orbital and sub-orbital platforms. The potential climate effects of absorbing aerosols have received considerable attention lately (Myhre et al., 2013). In addition to climate effects, aerosol absorption effects on surface UV irradiance and photolysis rates have important implications for tropospheric photochemistry, human health, and agricultural productivity (Dickerson et al., 1997; Krotkov et al., 1998; He and Carmichael, 1999; Castro et al., 2001; Mok et al., 2016). Measurements of column atmospheric aerosol absorption and its spectral dependence in the UV remain one of the most difficult tasks in atmospheric radiation measurements due to the lack of co-incident measurements of aerosol and gaseous absorption properties in the UV.~~

The enhanced column UV absorption (lower SSA at wavelengths shorter than 440 nm) is commonly attributed to organic aerosols (OA) that absorb predominantly in the UV, explaining much stronger wavelength dependence than a purely black carbon (BC) absorption would suggest (Kirchstetter et al., 2004). Martins et al. (2009) showed that the absorption efficiency of urban aerosol is considerably larger in the UV than in the VIS wavelengths and is probably linked to the absorption by OA. This enhanced UV absorption by OA results in a doubling of absorption efficiency compared to BC alone and can reduce surface UV fluxes by up to 50% in highly polluted areas. Mok et al. (2016) first measured enhanced UV absorption with the strong spectral dependence attributed to light absorbing component of organic carbon (OC) known as "brown carbon" (BrC) for aged Amazonian biomass burning smoke. Although urban aerosols have different chemical and physical composition, they also exhibit enhanced UV absorption with significant impact on tropospheric photochemistry and biologically active surface UV irradiance (Krotkov et al., 1998; 2005b; Li et al., 2000; Ciren and Li, 2003; Bergstrom et al. 2007; 2010; Arola et al., 2009; Mok et al., 2016).

Recently, the need for measurements of column atmospheric aerosol absorption in the UV wavelengths are highlighted in the global aerosol and chemistry transport model (CTM) simulations. Current CTMs treat all OC from biomass burning as purely scattering, which underestimates the heating effect of the total carbon (BC+OC) – the primary absorbing component of carbonaceous aerosols (Cooke et al., 1999; Chung and Seinfeld, 2002; Bond et al., 2013; Myhre et al., 2013). However, recent laboratory studies (Kirchstetter et al., 2004; Yang et al., 2009; Chakrabarty et al., 2010; Chen and Bond, 2010; Lack et al., 2012; Saleh et al., 2013; 2014; Zhong and Jang, 2014) suggest that BrC is capable of enhancing total absorption efficiency of OC, potentially altering the direct radiative forcing (DRF) from negative to positive (Bond, 2001; Kirchstetter et al., 2004; Feng et al., 2013; Saleh et al., 2014). Recently, Hammer et al. (2016) show that carbonaceous aerosol absorption over most of biomass burning regions is underestimated if OC is regarded as purely scattering in a global 3-D CTM GEOS-Chem, while a better agreement is obtained with satellite observations from the Ozone Monitoring Instrument (OMI) on board NASA's Aura satellite after implementing the BrC absorption parameterization.

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**Deleted:** Aerosols affect the surface and outgoing radiation perturbing Earth's radiative balance. To quantify the radiative effects of aerosols, the aerosol optical depth (AOD) and single scattering albedo (SSA) are monitored using ground-based, orbital and sub-orbital platforms. The aerosol absorption changes atmospheric stability and cloud formation (aerosol semi-direct effects (Hansen et al., 1997; Johnson et al., 2004)) and modifies the hydrological cycle (Koren et al., 2004; Li et al., 2016; Lee et al., 2017). The potential climate effects of absorbing aerosols have received considerable attention lately (Myhre et al., 2013). Moreover, aerosol effects on surface ultraviolet (UV) irradiance and photolysis rates have important implications for tropospheric photochemistry, human health, and agricultural productivity (Dickerson et al., 1997; Krotkov et al., 1998; He and Carmichael, 1999; Castro et al., 2001; Mok et al., 2016). The photochemical reactions involving UV radiation produce secondary pollutants including tropospheric ozone, which has negative effects on air quality and agricultural crop yield (Tong et al., 2007; Fishman et al., 2010). Long-term exposure to secondary pollutants leads to exacerbation of asthma and permanent lung damage (Pope et al., 2003; Jerrett et al., 2009). Quantifying the aerosol absorption at UV wavelengths is important for monitoring air pollution using current (e.g., Aura/OMI) and future (e.g., TROPOMI, TEMPO, GEMS, and Sentinel-4) satellite measurements (Torres et al., 1998; 2007; 2013). Measurements of column atmospheric aerosol absorption and its spectral dependence in the UV remain one of the most difficult tasks in atmospheric radiation measurements.

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The aerosol column absorption in the VIS and NIR wavelengths is measured routinely ~~at many~~ locations by the AERONET (Dubovik et al., 2000; Holben et al., 2001) (<http://aeronet.gsfc.nasa.gov>) and the SKYNET (Nakajima et al., 1996; 2007) networks, both of which utilize sun-sky scanning radiometer instrumentation. Aerosol absorption retrievals have also been demonstrated by Multifilter Rotating Shadowband Radiometer (MFRSR) instruments (Harrison et al., 1994) at VIS (Kassianov et al., 2005) and UV wavelengths (Bigelow et al., 1998; Petters et al., 2003; Krotkov et al., 2005ab) as well as spectrometers (Harrison et al., 1999; Bais et al., 2005; Barnard et al., 2008). The shadowband technique for aerosol absorption retrievals does not require separate calibrations for direct and diffuse measurements and allows more frequent (up to one minute) measurements. This technique is more accurate at small solar zenith angles (SZA) (Krotkov et al., 2005ab) complementing AERONET standard almucantar inversions, which are less sensitive for small SZAs (Dubovik et al., 2002).

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SKYNET is a ground-based international remote sensing network dedicated for aerosol-cloud-radiation interaction research (Nakajima et al., 1996; 2007). Using the direct sun and diffuse sky radiance aerosol column average optical properties (e.g., ~~AOD, SSA,~~ refractive index, and volume particle size distribution (PSD)) are retrieved every 10 minutes using standard processing software SKYRAD.pack (Nakajima et al. 1983; 1996). The ability for UV (340 and 380 nm) channels ~~mounted on~~ the PREDE POM-02 sky radiometer used by SKYNET is investigated in this study. Recent comparison studies focused on ~~VIS and NIR~~ wavelengths (Che et al., 2008; Estellés et al., 2012; Khatri et al., 2016) due to the lack of co-incident measurements of aerosol and gaseous absorption properties in the UV. Using SKYNET measurements in Hefei, China, Wang et al. (2014) reported smaller SSA at 380 nm during the autumn and winter (0.91 – 0.93) than that in spring and summer (0.95 – 0.97). They explained lower SSA by combined BC/BrC absorption in smoke from the local farm ~~land-clearing~~ burning in autumn and from local heating in winter. Their study showed that SSA seasonal variability is smaller than ~0.05. Thus, evaluation and reduction of the uncertainty in the SKYNET SSA retrieval, particularly at UV wavelengths, is important for aerosol speciation and radiative forcing studies.

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This study compares the SKYNET SSA retrievals in extended UV–NIR wavelengths with the SSA derived from a combination of AERONET (Dubovik et al., 2002), MFRSR (Krotkov et al., 2005ab), and Pandora (Herman et al., 2009) inversions (hereafter referred to as AMP) in Seoul, South Korea during and after KORUS-AQ international field campaign in 2016 (Holben et al., 2017). This study provides first comparisons of the SKYNET and MFRSR SSA retrievals in the UV wavelengths. It also facilitates future comparisons of independent satellite SSA retrievals in the UV from the OMI ([Torres et al., 1998; 2007; 2013](#); Jethva and Torres, 2011; Jethva et al., 2014).

## 2 Experimental site and instrumentation

The data used in this study include measurements from Hampton University's UV- and VIS-MFRSR shadowband radiometers (head number 582 and 579, respectively), a SKYNET sun-sky radiometer (Nakajima et al., 1996; 2007) and an AERONET sun-sky radiometer (Holben et al., 1998) from April to August, 2016 on the roof of the Science Hall, Yonsei University in Seoul, South Korea. Concurrently, an international air quality field study, called the Korea U.S.-Air Quality (KORUS-AQ), has been carried out over the South Korean peninsula from May to June 2016 (<https://espo.nasa.gov/home/korus-aq/content/KORUS-AQ>). Seoul has high levels of urban pollution, since it is a metropolitan region with a population of 25 million, including significant transportation and industrial emissions sources. Also, Seoul is located downwind of regions that include heavy aerosol pollution sources: primarily fossil fuel combustion from industrial and urban areas in Inchon, South Korea and East China, plus biomass burning aerosols from wildfires and crop fires locally and remotely in North Korea, China, Russia, as well as airborne dust from the Taklimakan and Gobi deserts.

To measure aerosol column optical properties from these sources, the modified UV- and VIS-MFRSR instruments were installed on the roof of the Science Hall, Yonsei University in Seoul, South Korea. The Yankee Environmental Systems (YES) UV- and VIS-MFRSR sensors were modified at the U.S. Department of Agriculture (USDA) UV-B Monitoring and Research Program (UVMRP) at the Natural Resource Ecology Laboratory, Colorado State University, to facilitate their operation in conjunction with AERONET Cimel sun-photometers. The manufacturer supplied 300-, 317-, and 368-nm UV-MFRSR filters were replaced with 440-, 340-, 380-nm Cimel filters, respectively, used by AERONET. In addition, a 440-nm Cimel filter was added to an unfiltered pyranometer slot of the VIS-MFRSR sensor. Domes were also added to both instruments to prevent Teflon diffuser contamination (Krotkov et al., 2009). These UV and VIS-MFRSR instruments are part of the USDA UV-B monitoring and Research Program (UVMRP: <http://uvb.nrel.colostate.edu/UVB/index.jsf>). All MFRSR instruments in the UVMRP network are regularly characterized for their spectral, angular and radiometric responses at the NOAA Central UV Calibration Facility (CUCF: <https://www.esrl.noaa.gov/gmd/grad/calfacil/cucfhome.html>) in Boulder, Colorado, U.S. The combined set of modified UV- and VIS-MFRSR instruments measures direct solar and diffuse sky irradiances at 13 narrow spectral bands with central wavelengths from the UV to the NIR: 305, 311, 325, 332, 340, 380, 415, 440, 500, 615, 673, 870, and 940 nm. The 440-nm filter common to both MFRSR sensors and to the CIMEL photometer provides spectral overlap between the inversion procedures applied to the three sensors using the procedure described by Krotkov et al. (2005ab) and discussed here in detail. Furthermore, Yonsei University has been operating a CIMEL sunphotometer as part of the AERONET network as well as a new Pandora spectrometer instrument to measure trace gases (ozone, NO<sub>2</sub>, SO<sub>2</sub>, and HCHO) (Herman et al., 2009). These co-located instruments facilitate the AERONET-to-MFRSR calibration transfer and help in comparing aerosol absorption products such as the imaginary part of the refractive index ( $k$ ),

single scattering albedo (SSA), and absorption aerosol optical depth (AAOD). A summary of the instruments can be found in Table 1.

### 3 Data and Methodology

#### 3.1 MFRSR on-site calibration

- 5 Improving the MFRSR observational protocol and daily on-site calibration are critical for accurate measurements of aerosol column absorption. The MFRSR on-site calibration is determined by daily comparisons with the AERONET sun-photometers, since AERONET measured AOD is highly accurate at  $\sim 0.01$  to  $0.02$  with the higher values in the UV (Eck et al., 1999).
- 10 We apply corrections for dark current offset, angular response, and instrumental tilt to produce corrected voltages derived from raw voltages measured by MFRSRs. The angular response correction was performed by using the spectral and cosine response measured at NOAA Central UV Calibration Facility (Krotkov et al., 2005a). To compensate for possible levelling errors, the tilt correction was applied in conjunction with the cosine correction (Alexandrov et al., 2007; Mok, 2017).

- 15 We use an estimate of the calibration constant for each individual 1-minute MFRSR measurement at each wavelength (*i.e.*, extraterrestrial voltage,  $V_0(\lambda, t)$ ) calculated using equation (1) to normalize measured direct and diffuse voltages (same calibration in shadowing technique) and as a quality assurance tool to retain only the best quality measurements consistent with the AERONET AOD measurements.

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$$\ln V_0(\lambda, t) = \ln(V_{\text{dir}}(\lambda, t) + \sec(\text{ZA}(t)) [\tau_a(\lambda, t) + \tau_R(\lambda, t) + \tau_{\text{NO}_2}(\lambda, t) + \tau_{\text{O}_3}(\lambda, t)]), \quad (1)$$

- 20 where  $V_{\text{dir}}(\lambda, t)$  is the MFRSR-measured direct-normal voltage,  $\tau_a(\lambda, t)$  is gaseous corrected and spectrally interpolated/extrapolated AOD to the MFRSR wavelengths applying a fit of the equation ( $\ln \tau_a = a_0 + a_1 \ln \lambda + a_2 (\ln \lambda)^2$ ) (Eck et al., 1999) using AERONET spectral level 2 AOD,  $\tau_R(\lambda, t)$  is the Rayleigh optical depth inferred from the measured surface pressure, and  $\tau_{\text{NO}_2}(\lambda, t)$  and  $\tau_{\text{O}_3}(\lambda, t)$  are  $\text{NO}_2$  and ozone optical depths, calculated using Pandora column  $\text{NO}_2$  and ozone measurements, interpolated to MFRSR 1-minute measurements (Herman et al., 2009; Tzortziou et al., 2012). For
- 25 cases when  $\text{NO}_2$  and  $\text{O}_3$  values are not available from Pandora spectrometer, satellite  $\text{NO}_2$  (OMNO2 L2 v3.0) and ozone (OMTO3 L2 v8.5) measurements from the OMI are used (data are available at <http://avdc.gsfc.nasa.gov> under the Aura sub-menu). In polluted urban regions like Seoul, OMI  $\text{NO}_2$  measurements are typically lower than ground-based retrievals (Irie et al., 2009; 2012; Ialongo et al., 2016; Krotkov et al., 2017).

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- 30 Outlier measurements with  $\ln(V_0(\lambda, t))$  exceeding 2 standard deviations from the daily average  $\langle V_0(\lambda, t) \rangle$  are iteratively removed and the daily average  $\langle V_0(\lambda, t) \rangle$  is re-calculated iteratively as described in Krotkov et al. (2005a). Any low-

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frequency diurnal  $V_0(\lambda, t)$  variability indicates possible systematic errors (e.g., not perfect levelling, non-complete shadowing, and/or electronics problems). To reduce systematic errors and outliers, time periods are selected when  $V_0$  does not vary with time (Krotkov et al., 2005a) and only those MFRSR measurements meeting these quality assurance criteria are retained for inversions.

Using only the best quality MFRSR measurements, the mean  $V_0$  value for a given day ( $\langle V_0(\lambda, t) \rangle$ ) is calculated and then MFRSR values ( $\tau_{a(\text{MFRSR})}(\lambda, t)$ ) are calculated by inverting equation (1):

$$\tau_{a(\text{MFRSR})}(\lambda, t) = \cos(\text{SZA}(t)) \ln \left( \frac{V_0(\lambda)}{V_{\text{dir}}(\lambda, t)} \right) - \tau_{\text{R}}(\lambda, t) - \tau_{\text{NO}_2}(\lambda, t) - \tau_{\text{O}_3}(\lambda, t), \quad (2)$$

Finally, the measurements are only used when the root-mean-squared (RMS) of  $(\tau_{a(\text{MFRSR})}(\lambda, t) - \tau_a(\lambda, t)) < 0.01$ . The spectral interpolation error is typically less than 0.01.

### 3.2 MFRSR inversion technique

Currently ground measurements of column effective refractive index and single scattering albedo (SSA) are limited to the 4 discrete **VIS** and **NIR** wavelength bands by AERONET almucantar inversions (440, 675, 870, and 1020 nm). An AERONET CIMEL sunphotometer has 340 and 380 channels, but does not provide SSA inversions. However, sky radiance measurements are currently made by many instruments at 380 nm so that the SSA at 380 nm will be a future data product. To extend SSA retrievals into UV and other wavelengths (Table 1), our method combines synchronous co-located measurements by AERONET, MFRSR, and Pandora ensuring consistent retrievals of AOD, particle size distribution (PSD), real part of the refractive index ( $n$ ), and gaseous absorption (e.g., by ozone and  $\text{NO}_2$ ). We also use consistent spectral surface albedos (monthly climatological values) derived from MODIS satellite surface albedo data (Moody et al., 2005; Eck et al., 2008). MFRSR-measured Diffuse/Direct (DD) irradiance ratios are fitted with a forward radiative transfer model coupled with a Mie scattering code (Arizona code (Herman et al., 1975)) to estimate only one forward model parameter: column effective imaginary part of refractive index ( $k$ ) independently for each MFRSR spectral channel (Krotkov et al., 2005b).

The procedure of the combined AMP retrievals is summarized as a flowchart (Figure 1). Required ancillary input parameters such as PSD,  $n$ , surface pressure, and surface albedo are taken from co-located near simultaneous AERONET inversions (Dubovik et al., 2002). Gaseous absorption of column ozone and  $\text{NO}_2$  are accounted for using ground-based direct-sun retrievals by Pandora spectrometers (Herman et al., 2009; Tzortziou et al., 2012) or satellite data from Aura/OMI overpass when Pandora data are not available. AOD is obtained either from MFRSR inferred direct (total - diffuse) irradiances (corrected for laboratory measured angular response) or AERONET direct sun measurements. In this study, we only used gaseous corrected AERONET AOD for consistency. Then, the Mie-RT model is iterated to find the  $k$  value, which minimizes the difference between calculated and measured diffuse to direct (DD) irradiance ratio. The fitted  $k$  value together with AERONET inferred PSD and  $n$  at 440 nm is converted to SSA using Mie calculations assuming spherical particles

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where is the spectrally interpolated AERONET level 2 AOD applying second order polynomial interpolation/extrapolation least-squares fit in logarithmic space (Eck et al., 1999) to the MFRSR central band wavelengths.

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(Krotkov et al., 2005b). As shown in Figure 2, the Angstrom Exponent (AE) observations from AERONET are mostly higher than unity, which is typical for predominantly fine mode pollution aerosols.

We estimate retrieval errors of  $k$  ( $\Delta k$ ) and SSA ( $\Delta\omega$ ) using combined Mie-RT code to calculate the finite difference normalized Jacobians (J):

$$J_{k,DD} = \frac{\frac{\Delta k}{k}}{\frac{\Delta DD}{DD}}, \quad (3)$$

$$\Delta k = J_{k,DD} \frac{\Delta DD}{DD} k, \quad (4)$$

$$J_{\omega,k} = \frac{\frac{\Delta\omega}{\omega}}{\frac{\Delta k}{k}}, \quad (5)$$

$$J_{\omega,DD} = J_{\omega,k} J_{k,DD}, \quad (6)$$

$$10 \quad \Delta\omega = J_{\omega,DD} \frac{\Delta DD}{DD} \omega, \quad (7)$$

Using equation (7), the error of SSA ( $\Delta\omega$ ) is calculated as shown the vertical bar in Figure 3b and 3c. Assuming constant 3% accuracy in the measured DD ratio ( $\frac{\Delta DD}{DD}$ ) (Equation (3) – (4)), the calculated SSA retrieval error  $\Delta\omega$  is inversely proportional to AOD, but typically is less than 0.02 for AOD at 440 nm,  $AOD_{440} \geq 0.2$ .

### 3.3 Sky radiometer (SKYNET)

15 In analysing SKYNET sky radiometer measurements conducted here, we use the Sky Radiometer analysis package from the Center for Environmental Remote Sensing (SR-CEReS) version 1. As the main program, SKYRAD.pack version 5 (Hashimoto et al., 2012) is implemented to retrieve aerosol properties in SR-CEReS along with all pre- and post-processing programs for the purpose of the near-real time data delivery. Two kinds of calibration approaches were considered for the present study. The first approach is to use the so-called static calibration constants. We derived the static calibration constants through comparison with the reference sky radiometer, which was calibrated at the Mauna Loa Observatory (MLO) 20 in December 2015, and through the direct calibration at the MLO in October and November 2016. The second approach is to use the dynamic on-site calibration method, based on the Improved Langley method (Campanelli et al., 2007; Khatri et al., 2016). Since the first method is not able to account for the possible temperature variations on a monthly time scale during very hot summer for instance, the latter calibration method was selected in this study to estimate the daily calibration constant ( $\langle F_0 \rangle$ ). To account for the temporal stability of  $\langle F_0 \rangle$  by  $\pm 1-3\%$  caused by temperature variation, the following 25 method was used in this study.

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Assuming the field of view (FOV) of the SKYNET instrument is known by the solar disk scan method (Nakajima et al., 1996; Uchiyama et al., 2018),  $F_0$  was calculated for each measurement, where aerosol parameters were retrieved utilizing ratios of aureole radiance to direct radiance (Tanaka et al., 1986; Nakajima et al., 1996):

$$F = \frac{F_0}{R^2} \exp(-m\tau), \quad (8)$$

5 where  $F$ ,  $m$ ,  $\tau$ , and  $R$  are the measured intensity, the air mass, total (Rayleigh + aerosol + ozone) optical depth, and the Sun-Earth distance, respectively, and all are given quantities.

However, uncertainties arise because 1)  $\tau$  has uncertainty in the absorption component and 2)  $m$  has uncertainty due to the refraction at high SZAs (corresponding to high  $m$  values). To estimate  $\langle F_0 \rangle$ , we use a statistical approach as follows: 1) a two-month period ( $\pm 30$  days of the target day) is used to calculate measurement statistics, 2) only clear sky  $F_0$  values obtained within the lowest 1/3 of all  $m\tau$  values are used, and 3) only  $F_0$  values within their 3 standard deviations are used. Regarding the threshold of 1/3, we tested other thresholds and found that the choice is not critical. This threshold was likely best to keep a sufficient number of data points to determine  $\langle F_0 \rangle$  at small  $m\tau$  values. Then, the average of those data is regarded as the final  $\langle F_0 \rangle$  value for the target day. This statistical approach is taken during pre-processing and is different from previous studies. While daily  $\langle F_0 \rangle$  values for entire UV-VIS-NIR channels have not been given in previous studies, reanalysis of their observation data by this approach is preferable to confirm consistency. For cloud screening, this study uses the method of Khatri and Takamura (2009) without including global irradiance data from a pyranometer.

## 4 Results and discussion

### 4.1 Comparison of single scattering albedo between AERONET and MFRSRs

20 First, the individual 1-minute SSA retrieved at 440 nm ( $SSA_{440}$ ) by the UV- and VIS-MFRSR instruments are compared to demonstrate the high degree of consistency for a combined set of modified UV- and VIS-MFRSR instruments (Figure 3a). The correlation coefficient between UV-MFRSR and VIS-MFRSR retrieved  $SSA_{440}$  is 0.98, the estimated standard deviation of MFRSR  $SSA_{440}$  uncertainty (standard MFRSR uncertainty (Fioletov et al., 2016)) is  $\sim 0.007$ , and the mean  $SSA_{440}$  difference (bias) is less than 0.002. Next,  $SSA_{440}$  from AERONET level 1.5 inversions are compared with the  $\sim 32$ -minute average  $SSA_{440}$  retrievals from either the UV-MFRSR (Figures 3b) or VIS-MFRSR (Figure 3c). For the time averaging interval we use  $\pm 16$  minutes based on the AERONET inversion time. Both instruments provide the best quality SSA retrievals at high turbidity conditions ( $AOD_{440} \geq 0.4$ ) (Dubovik et al., 2002; Krotkov et al., 2005b; Mok et al., 2016). For these conditions, the average  $SSA_{440}$  from either UV-MFRSR or VIS-MFRSR ( $\sim 0.92$ ) is less by about 0.01 from the corresponding AERONET average  $SSA_{440}$  ( $\sim 0.93$ ).

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Relaxing the AERONET level 2 inversion  $AOD_{440} \geq 0.4$  criterion allows for analysing a larger statistical sample of the MFRSR-AERONET matchups (Figure 3). However, the mean  $SSA_{440}$  values using relaxed AOD filter ( $AOD_{440} \geq 0.2$ , shown as blue and red dots) are reduced by  $\sim 0.02$  compared to the restricted sample using AERONET level 2 criteria ( $AOD_{440} \geq 0.4$ , shown as red dots). The SSA variability (standard deviation) using the relaxed filter is insignificantly increased (less than 0.01) compared to using the restricted filter. The increased variability reflects cases with smaller AOD, showing stronger absorption ( $SSA \sim 0.9$ ). The root mean square deviation (RMSD) is higher for lower AOD cases ( $\sim 0.030 - 0.034$  for  $0.2 \leq AOD_{440} < 0.4$ ) than for higher AOD cases ( $\sim 0.022$  for  $AOD_{440} \geq 0.4$ ) (Table 2) as shown in previous studies (Dubovik et al., 2002; Estellés et al., 2012). The good agreement in SSA at the common overlapping wavelength 440 nm from UV-MFRSR, VIS-MFRSR, and AERONET level 1.5 provide additional justification to using the MFRSR and AERONET level 1.5 inversions with  $AOD_{440} \geq 0.2$ . Thus, we utilize the combined AMP SSA retrievals for  $AOD_{440} \geq 0.2$  to compare with the SKYNET SSA retrievals.

Compared to the low scatter in  $SSA_{440}$  differences between UV-MFRSR and VIS-MFRSR (Figure 3a), Figures 3b and 3c show larger scatter between either UV-MFRSR (Figure 3b) or VIS-MFRSR (Figure 3c) and AERONET  $SSA_{440}$ . We explain this by several possible reasons. The two MFRSR instruments measure the total sky hemispherical irradiance affected by even small cloud fraction, whereas AERONET has the ability to filter out scattered cumulus from the symmetry check done on directional sky radiances in the almucantar scan. Therefore, it is possible that some MFRSR SSA retrievals are more affected by the presence of scattered clouds than the AERONET retrievals. Another potential source of scatter between AERONET and MFRSR  $SSA_{440}$  retrievals could be gaseous absorption by  $NO_2$  that is not completely accounted for in the AERONET Version 2 retrievals. Next, coarse mode fraction, which varies approximately from  $\sim 5\%$  to  $50\%$  in South Korea for these paired measurements (Figure 2), primarily by the mixture of dust and urban aerosols, could affect the MFRSR retrievals which assume spherical particles, while dust is complex in shape. Additionally, coarse mode size particles scatter much more strongly in the forward direction than fine mode particles, thereby resulting in additional variable uncertainty in the solar aureole corrections made to account for the sky fraction blocked by the shading band in the MFRSR instrument (di Sarra et al., 2015). The aureole correction is less important to the AERONET measurements because of the small FOV  $\sim 1.2^\circ$  (Sinyuk et al., 2012) than to the shadowing measurements from MFRSR (Krotkov et al., 2005a). The empirical MFRSR aureole correction (Harrison et al., 1994) tends to underestimate the aureole contribution to the diffuse irradiance for coarse aerosol particles and cirrus clouds (Min et al., 2004; Yin et al., 2015). The aureole undercorrection causes systematic underestimation of the diffuse irradiance and retrieved SSA by the MFRSR. Quantitatively, the bias varies for different locations: e.g., from  $+0.004$  at the Santa Cruz, Bolivia (Mok et al., 2016) to  $-0.005$  in Greenbelt, Maryland with fine mode dominated aerosols (Krotkov et al., 2009). We estimate that aureole SSA bias should be less than  $\sim 0.01$  at Seoul.

Figures 4a and 4b compare AERONET and MFRSR SSA at longer NIR wavelengths: 675 and 870 nm ( $SSA_{675}$  and  $SSA_{870}$ ), respectively. Note that the average AOD at 675 and 870 nm (0.34 and 0.24, respectively) are lower than the  $AOD_{440} \sim 0.6$ , as

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the average Angstrom Exponent (440 – 870 nm) is 1.30 (Figure 2). The lower AOD at 675 and 870 nm is main reason for the larger SSA retrieval noise (RMSD = 0.025 and 0.026 for AOD<sub>440</sub> ≥ 0.4). However, the discrepancies between mean AERONET SSA and mean MFRSR SSA at 675 and 870 nm are less than 0.02 regardless of whether the relaxed or strict filter is adopted. The MFRSR calculated SSA uncertainties are less than ~0.03, which is typical AERONET SSA retrieval uncertainty. Such agreement allows us to compare the AMP SSA with the SKYNET SSA as discussed below.

#### 4.2 Comparison of single scattering albedo between AMP and SKYNET

Previous comparison studies of retrieved aerosol optical properties between AERONET and SKYNET (Che et al., 2008; Estellés et al., 2012) show typically good agreement for AOD. However, Khatri et al. (2016) found that the SKYNET SSA was overestimated compared to AERONET SSA inversions at VIS and NIR wavelengths mainly due to systematic difference in absolute calibration of sky radiances. Differently from previous studies, we found that average SKYNET SSA is in good agreement with average AMP SSA at VIS and NIR ranges (Figure 5 and Table 3). This is at least partly because we used the improved quality checks for the solar disk scan data used to determine the FOV. In addition, we used daily  $\langle F_{\lambda} \rangle$  values for entire UV-VIS-NIR channels have not been given in previous studies (See details in Section 3.3).

None of previous studies (Che et al., 2008; Hashimoto et al., 2012; Khatri et al., 2016) performed the intercomparison of SKYNET SSA in the UV wavelengths. This study is the first to compare SKYNET SSA retrievals at UV to NIR wavelengths using co-located near simultaneous (±16 minutes) AMP retrievals in Seoul in 2016. Figure 5 shows SSA comparison results between AMP and SKYNET in extended wavelength range from 340 to 870 nm. Correlation between the two SSA retrievals is moderately high, decreasing at 675 and 870 nm due to higher uncertainty in the SSA retrievals at lower AOD. The SSA scatter could result from small AOD differences, which are independently measured in SKYNET and AMP retrievals. Nevertheless, the mean absolute SSA differences are less than 0.02, within uncertainties in the SSA retrievals. We found that, on average, the SKYNET SSA at UV wavelengths is lower compared to the AMP SSA (Figure 5). The likely source of the bias could be the spectrally invariant surface albedo (0.1, Figure 6) assumed in SKYNET SSA retrievals. This incorrect assumption leads to the underestimated SSA values in UV, even if AOD retrievals are accurate (Hashimoto et al., 2012).

#### 4.3 Main factors of discrepancy

##### 4.3.1 Surface albedo

Surface albedo has an important impact on the retrievals of SSA in the UV region (Corr et al., 2009). The AMP inversions use the AERONET-provided spectral surface albedos at 440, 670, and 870 nm derived from MODIS surface BRDF/albedo product (Moody et al., 2008). The shortest wavelength at which surface albedo is available is 440 nm. Therefore, we assumed that the surface albedo at 440 nm applies to MFRSR retrievals in all the UV wavelengths.

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Figure 6 compares surface albedo used in AMP inversions with that assumed in SKYNET inversions. There is little variability in MODIS-derived climatological surface albedo (Moody et al., 2008) assumed in AERONET inversions ( $\pm 0.01$ ) at 440 nm. The SKYNET retrievals compared here use the spectrally invariant surface albedo (0.1) at all wavelengths. The spectrally independent SKYNET-assumed surface albedo 0.1 is close to the AERONET surface albedo at 675 nm (Figure 6). However, it greatly deviates from the MODIS surface albedo at 440 nm and 870 nm ( $\sim 0.04$  and  $\sim 0.2$ , respectively used by AERONET and AMP retrievals). The overestimated value of surface albedo in the SKYNET inversions will lead to an underestimated value of SSA at near UV wavelengths: 340, 380, and 400 nm (Hashimoto et al., 2012). As seen in Figure 5, this explains the lower SKYNET SSA compared to AMP retrievals.

Re-processing the SKYNET inversions using spectrally varying surface albedo (Figure 6), consistent with the AERONET retrievals, improves agreement between the SKYNET SSA and the AMP SSA (Figure 7 and Table 3). The updated surface albedo in the SKYNET inversions increases the SSA (by  $\sim 0.01$ ) at wavelengths from 340 to 500 nm. The mean SSA differences between AMP and re-processed SKYNET are reduced to  $\sim 0.013$ , 0.002, and 0.003 (for  $AOD_{440} \geq 0.4$ ) at 340, 380, and 400 nm, respectively. The root-mean-squared differences are also reduced ( $RMSD < 0.02$ ) at these wavelengths (Table 3). Thus, using consistent surface albedo reduces systematic biases between SKYNET, MFRSR (AMP) and AERONET retrievals, particularly at UV wavelengths.

#### 4.3.2 AOD

The close agreement of AOD (i.e., better than 0.01) is a critical pre-condition for SSA comparison, since the overestimation in AOD leads to the underestimation in SSA and vice versa (Dubovik et al., 2000; Khatri et al., 2016). The discrepancy of AOD is typically attributed to problems in instrumental calibrations (Khatri et al., 2016). Figure 8 shows the only significant AOD differences between AMP and SKYNET are at a wavelength of 340 nm, where the mean bias difference (MBD) and RMSD were  $\sim 0.030$  and  $\sim 0.044$ , respectively. The differences of mean AOD were less than  $\sim 0.01$  at all other wavelengths. We conclude that AOD differences were not significant in our SSA comparisons at wavelengths longer than 340 nm.

#### 4.3.3 Atmospheric gas absorption

The AMP inversions account for effects of gaseous (ozone and  $NO_2$ ) absorption in the UV and VIS wavelengths. However, the gaseous absorption (ozone and  $NO_2$ ) is not taken into account in the sky radiances that are inverted in the AERONET Version 2 retrievals. In the SKYNET retrievals, only fixed column ozone (300 DU) is considered without the  $NO_2$  absorption. In the upcoming AERONET Version 3 data base, the ozone and  $NO_2$  absorption will be accounted for in sky radiances by using monthly climatological values from Aura/OMI satellite retrievals (Bhartia, 2005; Krotkov et al., 2017).

There will still be an  $NO_2$  related error, since  $NO_2$  amounts from the OMI are much smaller than the strongly time-dependent

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NO<sub>2</sub> amounts from Pandora retrievals (Herman et al., 2009). Errors in the daily SSA retrievals will be introduced if one uses a fixed climatological value of column NO<sub>2</sub> (Corr et al., 2009) at UV and blue wavelengths.

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As discussed in section 4.3.1, the agreement between the AMP and SKYNET SSA is improved by using consistent MODIS-derived surface albedo (0.04) in the SKYNET SSA retrievals at 340, 380, and 400 nm. Still, the SKYNET-derived SSA (for AOD<sub>440</sub> ≥ 0.4) shows a slight underestimation compared to the AMP-derived SSA at these wavelengths. To investigate NO<sub>2</sub> gaseous absorption as possible cause, we modified our AMP SSA inversion assuming zero NO<sub>2</sub> absorption and found SSA decreased by ~0.004 – 0.007 at 340, 380, and 415 nm, closer to SKYNET retrievals. Thus, accounting for NO<sub>2</sub> absorption should further reduce the negative bias in SKYNET SSA retrievals. The NO<sub>2</sub> effect on SSA retrieval is largest for small AOD and could lead to incorrect interpretation of aerosol composition (Krotkov et al., 2005c). We also found that including SO<sub>2</sub> absorption (average SO<sub>2</sub> column amount in Seoul is < 1 Dobson Unit, 1DU = 2.69\*10<sup>16</sup> molecules cm<sup>-2</sup>) (Krotkov et al., 2016) results in negligible increases in SSA (~0.003 at 305 nm and less at longer wavelengths).

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#### 4.4 SSA spectral dependence

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As shown in Figure 9, AMP and SKYNET SSA retrievals using the AERONET spectrally varying surface albedo are in good agreement at all wavelengths. The SSA typically decreases with wavelength in the VIS and NIR wavelengths, reaches flat maximum between 415 – 500 nm and decreases sharply in shorter UV wavelengths. This can be explained by the mixture of spectrally flat absorbing black carbon and selectively UV-absorbing aerosols (i.e., brown carbon, dust). The detailed investigation relating aerosol type and SSA spectral dependence will be discussed in future studies. Here we conclude that AMP and SKYNET retrievals are in good agreement, both allowing for measuring aerosol absorption and its spectral dependence.

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#### 5 Summary and Conclusion

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This study uses simultaneous measurements from co-located AERONET, MFRSR, and Pandora instruments to ensure accurate measurement of aerosol extinction optical depth, in order to provide consistent inversions of aerosol column absorption properties between UV and VIS wavelengths, and to partition absorption between aerosol and gases. Using this technique, we retrieved the column spectral SSA in the UV, VIS, and NIR wavelength and performed the SSA comparisons between AERONET and MFRSR retrievals. The SSA comparisons between AERONET and MFRSR are in good agreement, showing the mean SSA difference is less than 0.01 at common wavelength 440 nm for both conditions of AOD<sub>440</sub> ≥ 0.4 and AOD<sub>440</sub> ≥ 0.2. The latter condition, called the relaxed filter, increases the number of AERONET-MFRSR matchup by a factor of ~1.5 and is used for comparisons with SKYNET. As a result, our approach can provide SSA at wavelengths AERONET cannot provide and can be compared with the SKYNET SSA.

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The new finding is the underestimation of the SKYNET SSA in the UV<sub>a</sub> which has not been previously discussed. The underestimation could be explained, in part, by the use of the unrealistically high surface albedo (0.1). The UV surface albedo should not be larger than the MODIS derived values at 470 nm (~0.04), used in AERONET SSA retrievals at 440 nm.

The value 0.04 is similar to the land surface values derived from the Total Ozone Mapping Spectrometer, TOMS (Herman and Celarier, 1997). Following this recommendation, updating the surface albedo in the SKYNET inversions to the average AERONET value of ~0.04 significantly reduces average differences in SSA (~0.01) in the near UV. Future studies relevant to SKYNET SSA inversions might determine the optimal surface albedo from the MODIS climatology (Moody et al., 2008) and/or combined with BRDF models (Wang et al., 2018) if no other co-located instrument is available.

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This study demonstrates the consistency of the column aerosol spectral absorption derived from the AMP and SKYNET inversions in the extended wavelength region. Specifically in UV wavelengths this study presents the first comparison of the column average SSA measured by independent ground-based techniques. It is found that SKYNET provides more reliable SSA at UV wavelengths (340 and 380 nm) on the condition that the spectrally varying surface albedo and NO<sub>2</sub> absorption are jaken into account. Considering the results of this study, the SSA measurements presented here are more essential to

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answer how the UV light absorbing aerosols affect air quality, surface UV radiation, and tropospheric oxidation capacity, which remains highly uncertain. In addition, retrieved aerosol absorption in the UV contributes to improving the classification algorithm of the columnar aerosol types (Kim et al., 2007; Choi et al., 2016; Mok et al., 2016) and validating satellite SSA retrievals from the current (Aura OMI (Jethva and Torres, 2011) and SNPP OMPS) and future satellite atmospheric composition missions (TROPOMI, TEMPO, GEMS, and Sentinel-4).

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**Table 1. Instruments and wavelengths of retrieved absorption properties.**

Instruments	Measurements	Wavelengths (nm)
CIMEL sun and sky photometers (AERONET)	Direct sun and almucantar sky radiance, Filters (2 – 10 nm)	440, 675, 870, 1020
Modified UV-MFRSR (#582)	Diffuse and total irradiance, Filters (2 nm)	305, 311, 325, 332, 340, 380, 440
Modified VIS-MFRSR (#579)	Diffuse and total irradiance, Filters (2 nm)	415, 440, 500, 615, 673, 870, 940
Sky radiometer (SKYNET)	Sun and sky radiance, Filters (10 nm)	340, 380, 400, 500, 675, 870, 1020

**Table 2. Comparison of SSA at 440 nm between AERONET and AMP inversions via UV-MFRSR and VIS-MFRSR.**

	$0.2 \leq AOD_{440} < 0.4$		$AOD_{440} \geq 0.4$	
	AERONET	MFRSR	AERONET	MFRSR
AERONET and UV-MFRSR matchup				
Mean	0.892	0.869	0.929	0.918
Standard deviation	0.038	0.038	0.038	0.042
Correlation	0.77		0.89	
Number	24		45	
RMSD	0.034		0.022	
AERONET and VIS-MFRSR matchup				
Mean	0.897	0.878	0.933	0.922
Standard deviation	0.039	0.039	0.037	0.041
Correlation	0.82		0.89	
Number	30		50	
RMSD	0.030		0.022	

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**Table 3. Statistical differences between AMP and SKYNET retrieved SSA with spectrally invariant surface albedo=0.01 (in parenthesis) and spectrally varying surface albedo (Figure 6). Statistics, such as root mean square deviation (RMSD), mean difference (MBD), standard deviation (STD), and 95 percentile (U95) of the differences are computed for  $AOD_{440} \geq 0.4$  consistent with the quality assured level 2 AERONET inversion data.**

Wavelength (nm)	RMSD	MBD (AMP-SKYNET)	STD	U95	Number
340	0.0172 (0.0249)	0.0127 (0.0217)	0.0120 (0.0126)	0.0363 (0.0495)	20
380	0.0147 (0.0182)	0.0020 (0.0111)	0.0149 (0.0149)	0.0283 (0.0398)	20
400	0.0163 (0.0202)	0.0034 (0.0125)	0.0164 (0.0163)	0.0417 (0.0527)	19
500	0.0255 (0.0241)	-0.0070 (0.0031)	0.0251 (0.0245)	0.0461 (0.0587)	19
675	0.0371	-0.0017	0.0381	0.0700	19
870	0.0471 (0.0481)	-0.0049 (-0.0004)	0.0482 (0.0495)	0.0719 (0.0799)	18

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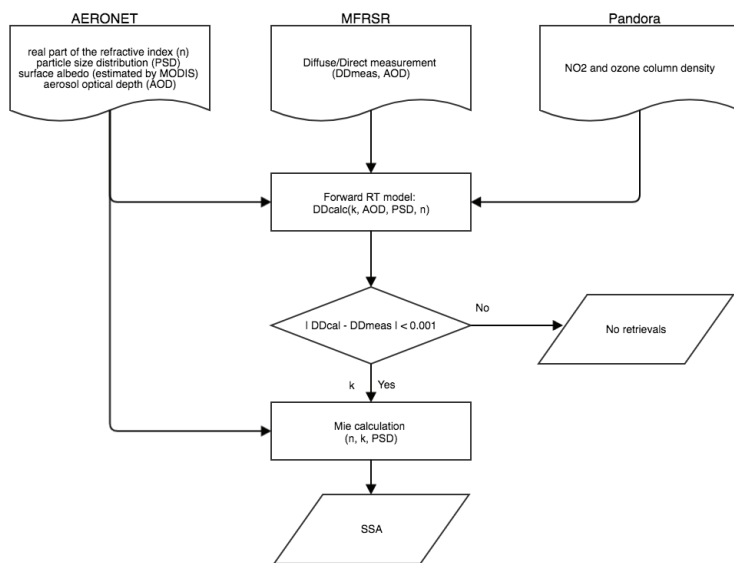


Figure 1. Flowchart showing the combined AERONET-MFRSR-Pandora (AMP) SSA inversion methodology.

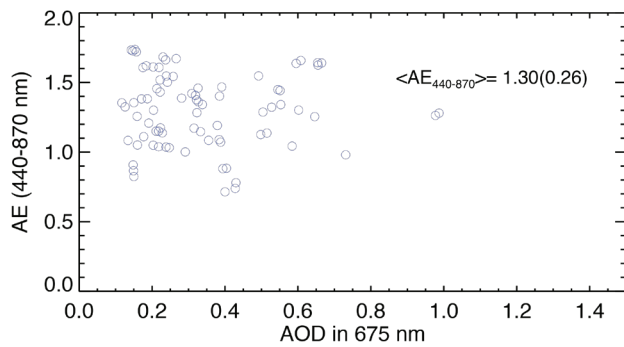


Figure 2. The Angstrom Exponent (AE) (440 – 870 nm) as a function of AOD at 675 nm. The prevailing values of AE greater than unity characterize the relative influence of fine mode particles during April to August in 2016. The average Angstrom Exponent (440 – 870 nm) is 1.3 and its standard deviation is 0.26.



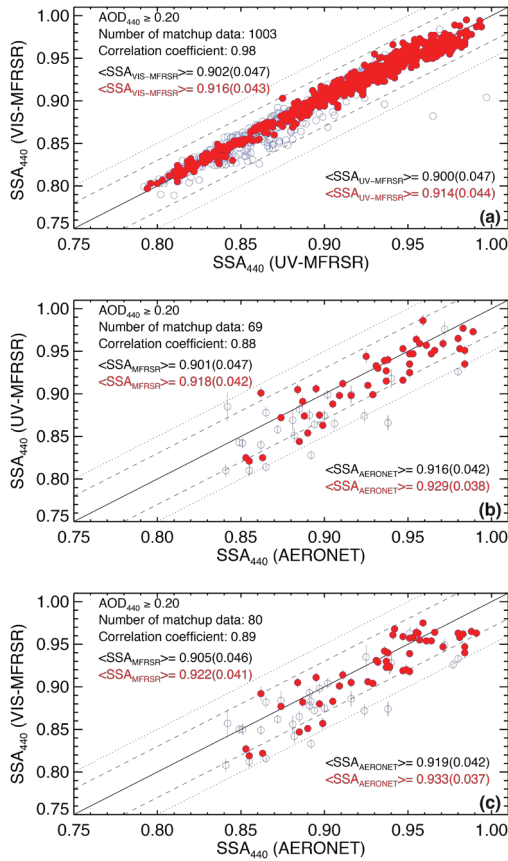


Figure 3. Comparison between SSA at 440 nm retrieved from AERONET-only and AMP retrievals in Seoul: (a) all 1-minute UV-MFRSR versus VIS-MFRSR retrievals, (b) AERONET inversions versus 32-minute average UV-MFRSR retrievals, and (c) AERONET inversions versus 32-minute average VIS-MFRSR retrievals. MFRSR SSA mean errors are shown assuming 3% error in diffuse to direct ratio. The UV- and VIS-MFRSR SSA in (b) and (c) are averaged within  $\pm 16$  minutes from the AERONET retrieval time. The dashed lines show SSA agreement within  $\pm 0.03$ , which is assumed SSA error. The dotted lines are  $\pm 0.05$  of the 1:1 line. Red color shows comparisons for AOD<sub>440</sub>  $\geq$  0.4, consistent with the best quality Level 2 AERONET inversions. Blue dots indicate retrievals for  $0.2 \leq$  AOD  $<$  0.4. Combined SSA statistics for AOD  $\geq$  0.2 are shown in black. Standard deviation of SSA is indicated in parentheses.

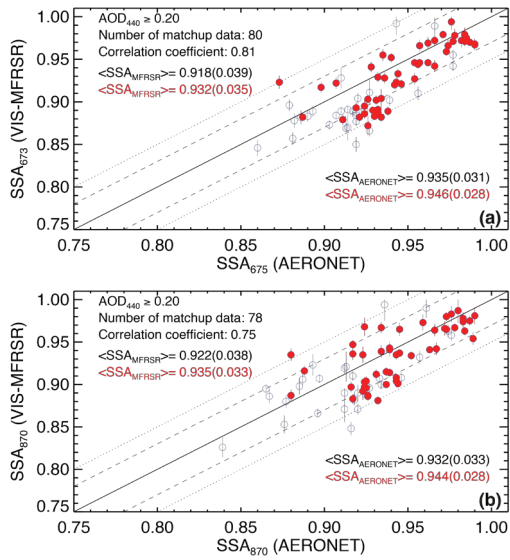
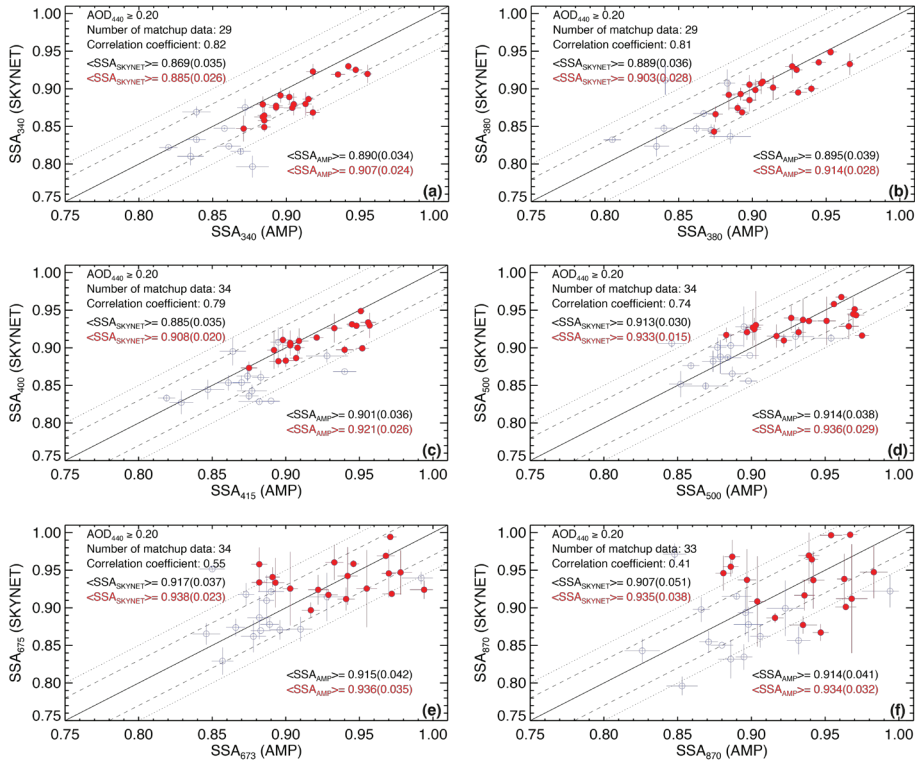


Figure 4. Comparison of SSA between AERONET almucantar and MFRSR DD inversions at (a) 675 nm (673 nm VIS-MFRSR) and (b) 870 nm. Increased scatter results from larger inversion uncertainties from smaller AOD.



**Figure 5. Comparisons of AMP-retrieved with SKYNET-retrieved SSA ( $\pm 16$  minute average) at (a) 340, (b) 380, (c) 415 (400 nm SKYNET), (d) 500, (e) 673 (675 nm SKYNET), and (f) 870 nm using spectrally flat surface albedo (0.1) at all wavelengths. Red dots are filtered using  $AOD_{440} \geq 0.4$  to correspond the best quality level 2 AERONET data. The horizontal bars show estimated uncertainties of the AMP SSA mean values (i.e. excluding natural variability) within  $\pm 16$  minute time window. The vertical bars show one standard deviation of the SKYNET retrieved individual SSA values within  $\pm 16$  minute time window (i.e. including natural variability).**

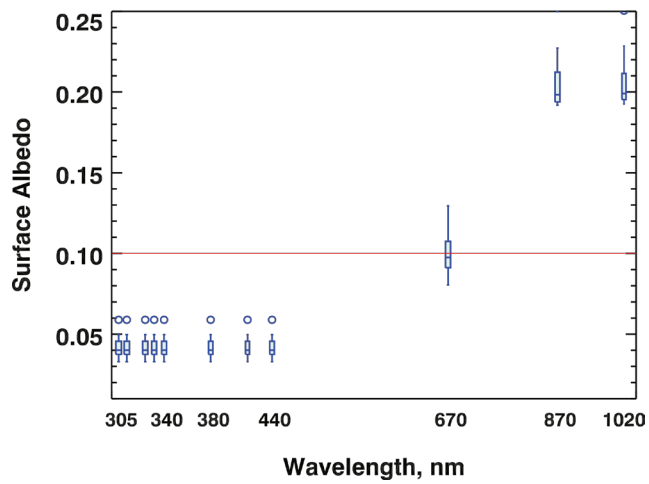
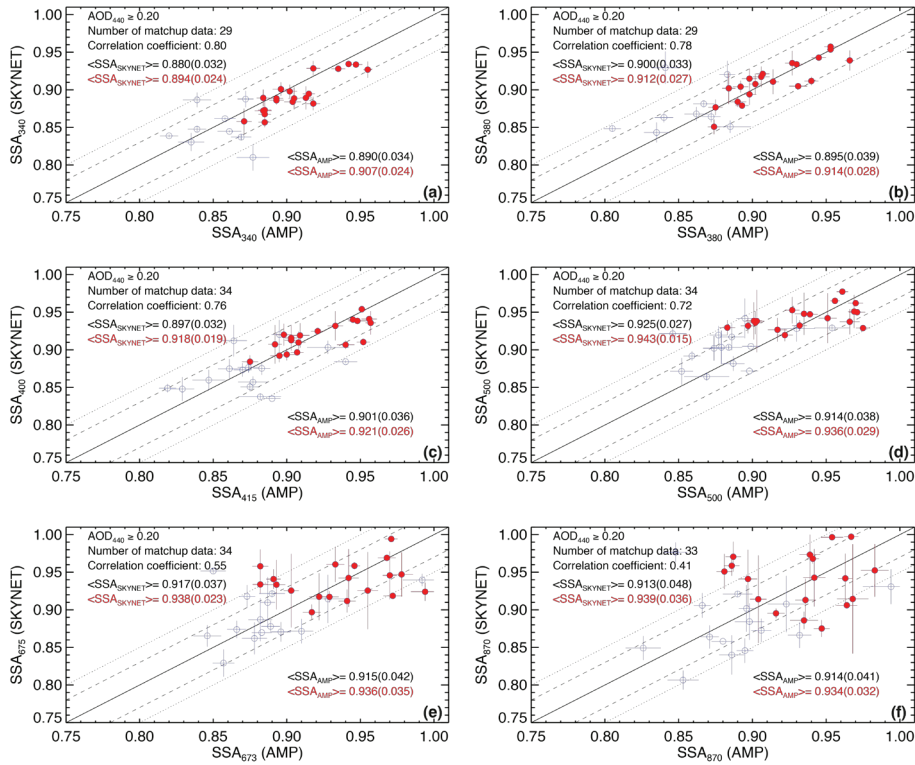
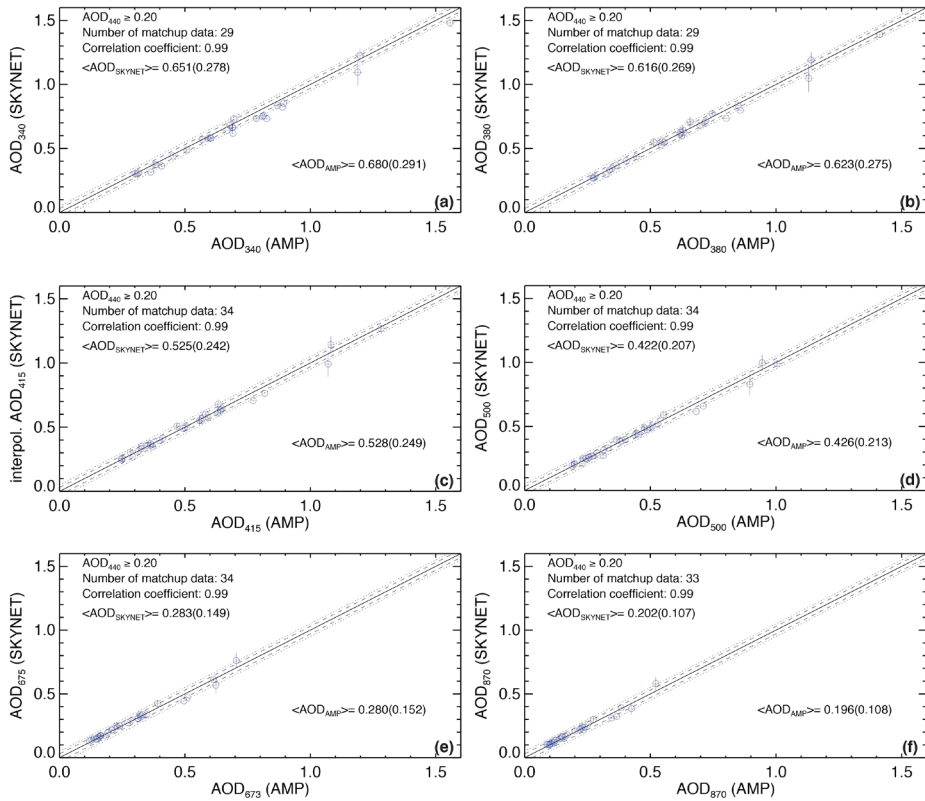


Figure 6. Surface albedo used for AMP (blue symbols) and SKYNET (red line) SSA inversions. The bottom and top edges of the boxes are located at the sample 25th and 75th percentiles; the whiskers extend to the minimal and maximal values within 1.5 interquartile range (IQR). The outliers are shown in circles. Constant surface albedo of 0.1 assumed for all wavelengths in SKYNET retrievals, is shown as red solid line.



**Figure 7. Re-processed SKYNET SSA at (a) 340, (b) 380, (c) 415 (400 nm SKYNET), (d) 500, and (f) 870 nm using spectrally varying surface albedo, which corresponds the MODIS-derived surface albedo shown in Figure 6. SKYNET SSA at 675 nm is the same with Figure 5(e). The horizontal bars show estimated uncertainties of the AMP SSA mean values (i.e. excluding natural variability) within  $\pm 16$  minute time window. The vertical bars show one standard deviation of the SKYNET retrieved individual SSA values within  $\pm 16$  minute time window (i.e. including natural variability).**



**Figure 8. Comparisons of AMP-retrieved AOD with SKYNET-retrieved AOD at (a) 340, (b) 380, (c) 415, (d) 500, (e) 673 (675 nm SKYNET), and (f) 870 nm using SKYNET retrievals with spectrally varying surface albedo. AMP/AOD is AERONET/AOD used for inversions and/or interpolated to UV wavelengths and times. Dotted and dashed lines are 0.03 and 0.05 offset, respectively. The horizontal bars show constant reported uncertainties of the AERONET AOD at each wavelength (Eck et al., 1999; Sinyuk et al., 2012). The vertical bars show standard deviation of the SKYNET measured AODs within  $\pm 16$  minute time window (i.e. including natural variability).**

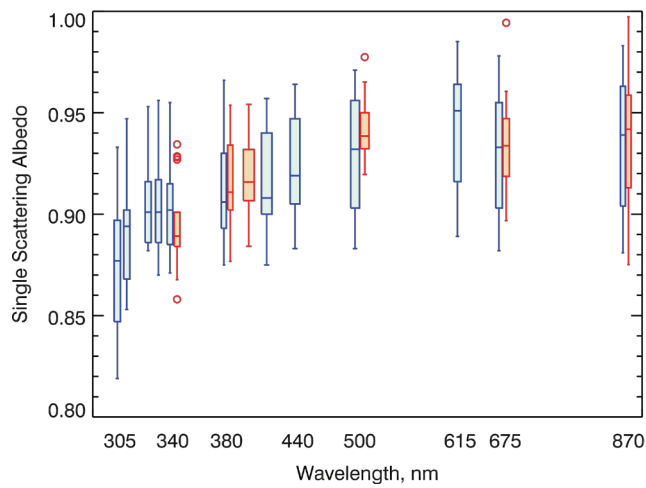


Figure 9. Combined spectral SSA from AMP-retrievals (blue symbols) and SKYNET retrievals (orange symbols) using MODIS-derived surface albedo shown in Figure 6. The bottom and top edges of the boxes are located at the sample 25th and 75th percentiles; the whiskers extend to the minimal and maximal values within 1.5 IQR. The outliers are shown in circles. The center horizontal lines are drawn at the median values. The whisker-boxes are computed using  $AOD_{440} \geq 0.4$  criteria to correspond the best quality level 2 AERONET data.