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Date: 6/26, 2018

Natascha Töpfer
Editorial Support
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Dear Natascha:

Please give the Associate Editor, Joseph Munchak, my sincere gratitude for his efforts with handling our manuscript. In addition to my previous replies I want to make the following statements:

1. In the revised version, the author stated that the main root cause of NOAA-16 AMSU channel 20 calibration error is spacecraft RFI combined with gain degradation. Since there are no direct evidence being found, the root cause identified there are more like "smoking gun". As mentioned by the author, the significance of Moon observation in microwave calibration is that the disk average brightness temperature of moon surface is very stable and therefore can be taken as reference to identify the long-term gain stability. Considering the difficulty in data processing and the lunar calibration algorithm development, this can be the topic for future work. But in this paper author should has some discussion about this in the conclusion section.

We added a paragraph to the conclusions describing the basics of the data processing needed to check the long-term stability of microwave sounders with the Moon.

2. The number listed in Table.2 column 3 need to be double checked. The nonlinearity correction ratio for polar and moon seems to be 6.5 and 5.7 instead of 10 and 9.

Both values are correct, because the ratios depend strongly on the difference in counts between the warmest Earth scenes and the on-board black body, and this difference can change from one orbit to the next. We have therefore replaced the single values in Tables 2 and 3 by ranges of possible counts. Only for the tropical ocean we have given just one value: the typical upper limit for counts obtained from bodies of water during one orbit.

Please find attached a marked-up manuscript version.

Sincerely,

Dr. Martin Burgdorf
Fiduceo Postdoc

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Date: 26 June 2018

Inter-channel uniformity of a microwave sounder in space

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Abstract.

We analyzed intrusions of the Moon in the deep space view of the Advanced Microwave Sounding Unit-B on the NOAA-16 satellite and found no significant discrepancies in the signals from the different sounding channels between 2001 and 2008. Earlier investigations, however, had detected biases of up to 10 K by using simultaneous nadir overpasses of NOAA-16 with other satellites. These discrepancies in the observations of Earth scenes cannot be due to non-linearity of the receiver or contamination of the deep space view without affecting the signal from the Moon as well. As major anomalies of the on-board calibration target or the local oscillator were not present, either, we consider radio frequency interference in combination with a strongly decreasing gain the most obvious reason for the degrading photometric stability. By means of the chosen example we demonstrate the usefulness of the Moon for investigations of the performance of microwave sounders in flight.

1 Introduction

Photometric stability of the measurement devices is an indispensable prerequisite for a reliable characterization of global change in atmospheric properties. This basic rule is particularly valid for space-based instruments, because they cannot be checked in the laboratory again once the operational phase has begun. Microwave sounders take therefore advantage of an on-board calibration target (OBCT) for updating the flux calibration in intervals of a few seconds. Nevertheless systematic errors can creep in from slowly changing instrumental properties that cannot be detected with the generally employed two-point calibration, for example non-linearity. In order to first characterize and then reduce these errors in the case of AMSU-A (Advanced Microwave Sounding Unit-A), Zou and Wang (2011) determined time-dependent calibration offsets and nonlinear coefficients from simultaneous nadir overpass (SNO) regressions, which resulted in more consistent multi-satellite radiance observations for all respective channels. SNOs and other inter-calibration methods, however, that rely exclusively on the comparison of two space instruments without a third source of information about the Earth scenes, can, as a matter of principle, never remove all spurious trends in the data, because

they cannot identify the relative contributions of either instrument to offsets and drifts.

At first sight it seems impossible to transfer the method developed by Zou and Wang (2011) to the sounding channels of AMSU-B (channels 18-20), because their departure from linearity was proven to be smaller than 0.1 K and basically independent of instrument temperature in ground tests (Saunders et al. , 1995b). As a consequence the nonlinear coefficient for the sounding channels was supposed to be insignificant and set to zero in the calibration files used by AAPP (ATOVS [Advanced TIROS-N {Television and InfraRed Observation Satellite} Operational Vertical Sounder] and AVHRR [Advanced Very High Resolution Radiometer] Pre-processing Package) for AMSU-B on all platforms. Nevertheless there were considerable biases between the sounding channels of AMSU-B on NOAA-16 and those on other satellites, especially towards the end of its lifetime (John et al. , 2012; Hanlon and Ingram , 2015) and particularly pronounced with channel 20. In this work we investigate why the flux calibration of the different channels seemed to diverge with time by using the radiation from the Moon when entering the deep space view (DSV) as a third reference flux, in addition to the Cosmic Microwave Background (CMB) and the OBCT. We concentrate on the three sounding channels, because they are the scientifically most important ones, characterizing the 183.3 GHz line of water vapor, and at the same time apparently the worst with respect to stability.

As the Moon fills only a fraction of the beam, it is particularly well suited to detect effects, whose impact grows with decreasing scene flux. On top of that its microwave spectrum differs considerably from Earth's, for it is featureless and varies little with wavelength (Mangum , 1993). This means that all channels with the same central frequency, i. e. the three sounding channels, must produce the same brightness temperature when observing the Moon[1]. With this approach it seemed therefore feasible to throw additional light on the origin of the biases of AMSU-B on NOAA-16, which have defied explanation until now.

2 Observations and methods

2.1 Selection of Moon intrusions

As the different sounding channels of AMSU-B observe at the same time with the same center frequency and in the same direction, there is no need to know the brightness temperature of the Moon for studies of the inter-channel uniformity, for every channel gets the same flux. It is advantageous, however, to select those intrusions, where the Moon comes closest to the center of the beam. This is not only because one gets the strongest signal with this alignment, but also because the "light curve", i. e. the measured brightness temperature as a function of time, resembles most closely a Gaussian in this case, making it easy to determine its maximum value without introducing systematic errors

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[1]Apart from a very small band correction

60   from the fit. The minimum distance between the pointing direction of each DSV and the Moon can be calculated for each orbit with AAPP, providing the information needed to identify candidates for further investigation. We concentrated our search on the years 2001, 2004, 2006, and 2007, thus increasing the density of observations at the start of the mission and the period of the emerging bias pattern. Beginning with the year 2007, the decreasing signal-to-noise ratio started to affect the

65   accuracy of the photometric measurements (Hans et al. , 2017).

2.2 Analysis

We fit the light curves of the Moon, which are sampled once per scan, with a Gaussian. The fit is achieved by optimizing three parameters: the maximum number of counts a, the centroid (location) μ, and the peak width σ. Each of these parameters provides information about a different property of

70   the instrument: gain, pointing direction in the along-track direction, and beam size in the along-track direction. If the Moon appears in three DSVs at the same time, it is possible to fit a Gaussian through the maximum signal of each of them and thus to obtain information about the beam pattern in the across-track direction as well, see Fig. 1. Obviously the Moon produces a signal in all frequency channels, but the signal-to-noise ratio varies considerably among the different channels.

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    For an investigation of photometric stability and uniformity the value of a and the minimum distance of the Moon to the pointing direction of the DSVs need to be known. This is because a is a function of this distance and the beam pattern.

2.2.1 Position of the beam

80   AAPP calculates the lunar angles for each scan and all four DSVs and writes part of this information in the level 1b file. It is therefore possible to identify the smallest lunar angle for each DSV. Unfortunately the calculation with AAPP is subject of several uncertainties:

– The error in the moon calculation is at worst $0.3°$ (MHS L1 PGS , 2013). This value has been confirmed by the analysis of Moon intrusions in Burgdorf et al. (2016). It is caused by

85   incomplete knowledge of the alignment of the satellite; the position of the Moon is known with very high accuracy.

– Misalignment of the quasi-optics or feedhorns would be likely to produce effects on Channels 18, 19, and 20 which share the same path to the receiver (McLellan , 1998). Such a misalignment could have been caused by vibrations during launch and is difficult to detect during flight,

90   since Ground Control Points cannot be used with the sounding channels. So for the utilization period of AMSU-B on NOAA-16, no geolocation correction is performed on data from MW instruments aboard the NOAA satellites (Moradi et al. , 2011).

– The position of the warmest spot on the lunar surface varies with phase and is therefore in general not in the center. Given the fact that the Moon can only appear with phases $\pm\ 75°$ around full Moon in the DSV (Burgdorf et al. , 2016), its temperature maximum cannot be off centre by more than half of the Moon's apparent radius, i. e. $\approx\ 0.1°$ (Coates , 1961).

For these reasons one cannot rely on the calculation with AAPP to determine the lunar angles of the different Moon intrusions. Instead the point of maximum signal was first identified in the along-track direction from the light curve in each DSV (Fig. 1). Then we determined the point of maximum signal in the across-track direction, i. e. in the scan plane, by fitting a Gaussian to the maximum signal a_i from each DSV, $1 \leq i \leq 4$. This procedure requires a detection of the Moon with a good signal-to-noise ratio in three DSVs, as shown in Fig. 1, since the fitting procedure uses three independent parameters. The value for μ obtained this way was multiplied by the difference in θ between two neighbouring DSVs, which is $1.1°$, and then compared to the value calculated with AAPP in Table 1. These new lunar angles formed the basis for the final selection of intrusion events from the provisional shortlist based on the less accurate values calculated with AAPP (see Sect. 2.1). If the lunar angle is close to zero for a certain DSV, the adjacent DSVs produce light curves with a good signal-to-noise ratio as well, because they are only one beam diameter away. In cases, however, where the Moon falls exactly in between two DSVs, the Moon is barely detectable in the other DSVs. Such intrusions happen only a few times per year and satellite and are less useful for our purpose, because the Moon gives less signal when off center of the FOV.

Given the fact that both the satellite and the reflector are constantly in motion, the image of the Moon is actually smeared over a certain region of the field of view. As a result the signal is somewhat altered compared to the case where the Moon would remain at the same spot. As this "smear effect", however, is the same for all channels, it does not affect the ratio of the signal in different channels. The identification of the smallest lunar angles relies on the ratio of signals in different DSVs and is therefore independent of the smear effect as well. Hence we did not characterize its size or impact on each signal.

2.2.2 Brightness temperatures

The value for a from the second Gauss fit gives now the number of counts the Moon would have provided, if it had been in the center of the DSV, and it was used, after division by the gain, to calculate the ratios of the brightness temperatures in channels 18/19 and 20 (last column in Table 1). The values from channels 18 and 19 were averaged in order to reduce the noise in the reference, to which channel 20, the one with the highest bias (see Sect. 1), is compared. In cases where the Moon could only be detected in two DSVs, we used the brightness temperatures as measured in the DSV that came closest to the Moon. This method was only applied, when we could conclude from the relative strengths of the signals in the two DSVs, where the Moon appeared, that the lunar angle

must have been very small ($<0.2°$) in one of them. This way the impact of pointing uncertainties on the signal from the Moon is kept as small as possible. Our values, however, do not represent the actual temperature of the Moon, because we did not correct for the fact that it does not fill the beam.

This calculation ignores the cold space correction factors, i. e. the correction for Earth and platform radiation entering through the side lobes, but they should be the same for each sounding channel, because they use the same quasi-optic feed, and therefore cancel out in the ratios of the signals that we consider here. The same is true for the warm load correction factors, which according to AAPP differ among the sounding channels only for AMSU-B on NOAA-17. The effect of the band correction that allows for the fact that the sounding channels receive slightly different fluxes because of their side bands being at different distances from 183.311 GHz was ignored, because it amounts to less than two per mill and does not change with time.

2.2.3 Beam pattern

An important characteristic of the beam, namely its full width at half maximum, follows immediately from the peak width of the Gauss fit, which is related to the full width half maximum via $\sigma = FWHM / \sqrt{8 \cdot ln2}$. In the across-track direction the Moon can only be detected in three DSVs with sufficient signal-to-noise ratio, and the smear effect alters the measured beam shape. It is therefore less accurate than the one measured in the along-track direction, where the FWHM of the beam can be determined from light curves with dozens of points representing an almost perfect Gaussian. Random samples showed no ~~significant deviations~~ deviations $\geq 0.15°$ from the nominal value of $1.1°$ for the FWHM of the beam.

2.3 Inter-band calibration (18 - 20)

As the brightness temperature of the disk-integrated Moon as seen by the microwave sounders is always more than 200 K (Eq. (5) in Yang and Weng , 2016), the Rayleigh-Jeans approximation of Planck's law is applicable[2], and its spectral radiance is proportional to the product of temperature and frequency squared. This means that the maximum signal from a Moon intrusion in counts, divided by the gain, should be in good approximation the same for all sounding channels. Differences might be caused, however, by two effects:

– Incorrect gain values: The uncertainty associated with the gain has been calculated for the time of each Moon intrusion and is included in Table 1. The gain value assigned to the time of the Moon intrusion was obtained from interpolating the mean values a short time before and after the intrusion. The uncertainty of this gain value was then estimated from the variation of the

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[2]The difference between the spectral radiances at 200 K and 183 GHz according to Planck and Rayleigh-Jeans amounts to 2%.

gain values before and after the intrusion. We note that any error in the temperature of DSV and OBCT that was used in determining the gain cancels out in the following calculations, because we consider only ratios between the channels.

165 – Imperfect co-registration of the channels: The Moon comes closer to the pointing direction of one channel than the pointing direction of another. This is unlikely, however, because all sounding channels share the same path to the receiver (see Sect. 2.2.1). The difference in signal between a lunar angle of $0.05°$ and one of $0.15°$ - more than what was found for uncorrelated channels by Bonsignori (2017) - is only 1%, assuming a Gaussian light curve and a FWHM

170 of the beam of $1.1°$. We consider therefore this effect negligible.

A common misalignment of channels is irrelevant for our analysis, because it affects all signals in the same way and therefore cancels out in their ratios. For the same reason an error in frequency would be negligible, because the brightness temperature of the Moon changes very little with frequency, and the local oscillator is the same for all sounding channels (Saunders , 1995a). Hence it should

175 be possible to verify the stability of the gain ratio between different sounding channels, i. e. their inter-band calibration, with an accuracy that is essentially limited by the uncertainties of the gain and the parameters of the Gaussian fit.

2.4 Results

2.4.1 Uniformity of flux calibration

180 The average ratio between the signals obtained in channels 18/19 and 20 is 1.001 ± 0.006 for all observations in Table 1 combined. It is 0.993 ± 0.008 for the twelve values from the years 2001 and 2004 and 1.007 ± 0.008 for the later Moon intrusions. Within the calculated uncertainties these figures are in agreement with the values derived by John et al. (2012) and Hanlon and Ingram (2015) from simultaneous nadir overpasses. The uncertainties were determined from the distribution

185 of the measured values, i. e. they include contributions from the noise in each sounding channel.

2.4.2 Across-track pointing accuracy

For a comparison of the pointing directions of DSVs two and three in the across-track direction as calculated with AAPP (min moon angle) and with the aid of a Gauss fit (see Sect. 2.2.1), we consider now only the years 2001 and 2004, because the noise was lowest in the beginning of the mission.

190 We find a difference of $-0.113° \pm 0.019°$, i. e. the DSV direction determined with the Gauss fit leads the one calculated with AAPP by about $0.1°$ in the scan direction. The systematic error in the absolute pointing direction of the sounding channels of AMSU-B on NOAA-16 lies well below the upper limit of the overall, i.e. across- and along-track, pointing error of $0.2°$ for channel 16 that was given by Atkinson (2000b).

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The above mentioned smear effect can cause a pointing error, because the Moon moves a short distance through the field of view during the finite duration of the measurement. The same effect, however, is present with observations of Earth scenes, hence the pointing positions derived from the intrusions of the Moon in the DSV are more relevant than those calculated with AAPP.

2.5 Discussion

In the following we rule out possible reasons for the trends found by John et al. (2012) and others in the sounding channels of AMSU-B on NOAA-16 with the aid of the results obtained from our analysis of the Moon intrusions. We start with the measurement equation for microwave sounders, as it is usually found in the literature, (e. g. Labrot et al. , 2011; MHS L1 PGS , 2013; Weng and Yang , 2016):

$$R_\text{s} = R_\text{w} + (C_\text{s} - \overline{C}_\text{w}) \cdot \frac{R_\text{w} - R_\text{c}}{\overline{C}_\text{w} - \overline{C}_\text{c}} + Q + \Delta R \qquad (1)$$

$$Q = u \cdot (R_\text{w} - R_\text{c})^2 \cdot \frac{(C_\text{s} - \overline{C}_\text{w}) \cdot (C_\text{s} - \overline{C}_\text{c})}{(\overline{C}_\text{w} - \overline{C}_\text{c})^2} \qquad (2)$$

$$\Delta R = \alpha \cdot (R_\text{w} - R_\text{s}) \cdot (cos(2 \cdot \theta_\text{s}) - cos(2 \cdot \theta_\text{c})) \, / \, 2 \qquad (3)$$

R_s = Earth scene radiance

R_w = warm calibration target radiance

R_c = cold space radiance

C_s = Earth scene counts

$\overline{C}_\text{w}$ = warm target calibration measurement counts, averaged over four pixels and seven scans

$\overline{C}_\text{c}$ = cold space calibration measurement counts, averaged over four pixels and seven scans

Q = non-linear term

u = non-linearity coefficient

ΔR = correction due to non-unity antenna reflectivity

$\alpha = 1 - \dfrac{r_{90°}}{r_{0°}}$

$r_{90°}$ = reflectivity of antenna for electric field parallel to the plane of incidence

$r_{0°}$ = reflectivity of antenna for electric field perpendicular to the plane of incidence

θ_s = position of antenna for Earth scene relative to nadir

θ_c = position of antenna for cold space relative to nadir

In the following we discuss the uncertainties belonging to each term in the measurement equation and decide which ones could have caused the bias trends on the basis of the complete picture of the behavior of the instrument in flight. To simplify matters we assume that a difference of flux density expressed in K is proportional to the corresponding difference in W cm^{-2} s^{-1}, i. e. the Rayleigh-Jeans approximation is applicable (see Sect. 2.3).

2.5.1 Non-linearity

230 The non-linearity correction coefficient is zero for all sounding channels of all AMSU-B flight models at all reference temperatures in the file of AMSU-B calibration parameters (`amsub_clparams.dat`, version 25) used by AAPP. In order to investigate whether a non-linearity developed during the mission we consider how the corresponding bias would change as a function of scene temperature, bearing in mind that $Q \propto (C_s - \overline{C}_w) \cdot (C_s - \overline{C}_c)$. John et al. (2013a) find for Channel 20 -

235 they call it Channel 5 - of AMSU-B on NOAA-16 a bias of about 3 K in the year 2008 relative to AMSU-B on NOAA-15, which is mainly due to an anomalous decreasing trend of unknown origin for N16. This bias is independent of the natural target chosen for the Earth scene, Antarctica or tropical oceans. Similar phenomena with AMSU-A were corrected by postulating a modified, time dependent u (Zou and Wang , 2011). The values in Table 2, however, demonstrate that the bias

240 should be between six and eleven times larger in observations of polar regions and ~~almost~~ between five and eight times larger in observations of the Moon than when derived from data collected over warm bodies of water, if it was due to non-linearity with AMSU-B as well. The reason is that the effect of the non-linearity on the calculated radiance becomes very small for scene temperatures close to those of the blackbody or the Cosmic Microwave Background. The brightness temperature of the

245 atmosphere in Channel 20 is of course subject to variations, and the difference between the counts from black body and deep space is rather small because of the instrument gain degradation. But even when we allow an uncertainty of a factor two in its difference to the temperature of the blackbody, the spread of values of Q for the different scenes in Table 2 is incompatible with the observation that the biases depend very little on radiance. Non-linearity can therefore be ruled out as an explanation

250 for the inter-channel trends.

2.5.2 Cold space temperature bias correction

The cold space temperature bias correction $\delta T_{c,ch}$ is for a given DSV the same for all sounding channels of AMSU-B on NOAA-16 in the file of calibration parameters (version 25) used by AAPP. It varies between 1.09 and 1.26 K (Atkinson , 2000b) among the four possible DSV directions. In

255 order to investigate whether the cold space temperature bias changed during the mission we consider how its impact varies with scene temperature, bearing in mind that in first approximation, i. e. neglecting the non-linearity term, $\delta T_{c,ch} \propto \dfrac{C_s - \overline{C}_w}{\overline{C}_w - \overline{C}_c}$. We make use of the same reasoning as in Sect. 2.5.1 by constructing a contradiction between expected and observed variation of the bias with scene brightness.

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The values in Table 3 demonstrate that the bias should be up to 60 times larger in observations of the Moon than when derived from data collected over warm bodies of water. The reason is that the effect of the cold space temperature bias on the calculated radiance is largest for scene temperatures

close to those of the Cosmic Microwave Background. Even when the bias in Channel 20 were only
1 K, a lower limit in view of the variations reported by John et al. (2013a), it would amount to an
error of $\approx$ ~~60~~ 40 - 60 K in the combined signal from Moon and CMB in the DSV. The actual error is
~~at least~~ about an order of magnitude smaller (Burgdorf et al. , 2016), hence cold space temperature
bias can be ruled out as an explanation for the inter-channel trends as well.

2.5.3   Warm target bias correction

The warm target bias correction $\delta T_{bb,ch}$ is zero for all sounding channels of all AMSU-B flight
models at all reference temperatures in the file of AMSU-B calibration parameters (version 25)
used by AAPP, except for channel 20 on FM3, where it is -0.16 K. Here the situation is just the
opposite of the previous case inasmuch as the warm target bias affects the measurements less for
lower scene temperatures. This is intuitively clear and follows from the fact that the second term
of the sum on the right side of the measurement equation is negative for $C_s \leq \overline{C}_w$. The Moon
intrusions do therefore not help to characterize effects originating in the blackbody. A warm target
bias correction for channel 20 about ten times as large as the biggest value used by AAPP for any
flight model would be needed. On top of that the correction for the other sounding channels, where
the bias is different or not existent, would have to have opposite sign or be zero. While this possibility
cannot be ruled out completely, it seems highly unlikely, especially given the fact that the Platinum
Resistance Thermometers (PRTs) on the blackbody of the instrument in question gave no hint at
dramatic alterations to the temperature pattern of the blackbody; see Fig. 2 and for a discussion of
temperature drifts Hans et al. (2017).

2.5.4   Non-unity antenna reflectivity

Another effect that cannot be characterized with Moon intrusions is the emission of the main reflector
and the variation of its contribution to the antenna temperatures as it rotates during a scan. According
to Eq. (3) a correction to the measured radiance is required that is proportional to trigonometric
functions of the distance of the rotating reflector from nadir position and α. Its value for Earth
scenes is quite different than the one for observations of the Moon, because of the different position
of the reflector in either case. In first approximation, however, it must be the same for all sounding
channels, because they all operate at the same center frequency of 183 GHz and should therefore
have very similar values for α. The values found in the relevant calibration file from AAPP for MHS
are $|\alpha|$ = 0.0022 at 183 GHz and $|\alpha|$ = 0.0021 at 190 GHz. The sign must be the same for all
channels of AMSU-B, because they have the same polarization. Hence the maximum difference the
non-unity antenna reflectivity can make among the sounding channels is $10^{-4} \cdot (R_w - R_s)$ - a
negligible amount.

2.5.5 Shift of channel center frequencies

Having discussed the main sources of error in the flux calibration we turn our attention to drifts of channel frequencies as a possible explanation of the bias that channel 20 exhibits when observing Earth scenes. (A change of center frequency would make no difference to the Moon observations, see Sect. 2.3). An accurate value of $B(\nu + \delta\nu)$, i. e. the impact of a change in frequency on the measured flux, is difficult to calculate for channels 18 and 19, because the exact shape of the water vapor absorption line depends on the state of the atmosphere. It is possible, however, to give at least an estimate of the shift in frequency required to change the measured radiance by 0.4%, i. e. causing an error of about 1 K, for channel 20, because this one probes the well-characterized wings of the line profile (Bobryshev et al. , 2017). It amounts to 3.5 GHz. This value is 50 times larger than the specification for frequency stability (Atkinson , 2001). As all sounding channels use the same local oscillator, the same frequency shift would apply to channel 18 with ten times the effect on radiance. Such an enormous bias, however, is not observed.

2.5.6 Radio-frequency interference on NOAA-16

As we found no fault with the calibration of AMSU-B on NOAA-16, we searched for instrumental effects that could alter the counts used as input of the calibration process. Malfunction of the processing electronics can be ruled out, because the data from all channels are clocked into the same AMSU instrument processor. There is, however, another phenomenon with the potential to strongly affect the counts, namely radio-frequency interference (RFI). The bias it causes can be positive or negative and depends on channel, scan angle, and the transmitter in use. It was demonstrated in ground tests that AMSU-B on NOAA-16 was susceptible in all channels to radiation of the spacecraft transmitters. The strongest effects were observed with channel 19 at the SARR (Search And Rescue Repeater) frequency, with channel 16 at the SARR frequency, and with channel 17 at the STX-1 (S-Band Transmitter # 1, 1698MHz) frequency (Ricketts and Atkinson , 1999). Modifications of the instrument, e. g. wrapping cables with electrically conductive aluminum tape, were carried out as a consequence of the problems encountered with AMSU-B on NOAA-15 and reduced this susceptibility by 1-2 orders of magnitude. From the NOAA-16 post launch orbital verification tests it was estimated that the remaining Earth view biases, though difficult to quantify, were within $\pm\ 0.5$ K when the transmitter is active (Atkinson , 2000a). Channel 17, however, produced even after launch a bias of 1.2K for the space view due to interference with the STX-2 omni-directional antenna. What is more, during the lifetime of the satellite the gain of the sounding channels decreased tremendously (see Table 1 and Hans et al. (2017)). A reduced gain produces a reduced signal, which means that interference becomes relatively more important, as described by John et al. (2013b). The overall reduction of signal during the mission lifetime due to gain degradation - a factor six for channel 19 between 2001 and 2010 - could boost a bias of 0.5 K pre-launch up to 3 K and more during flight.

We therefore conjecture that individual interference events caused a bigger and bigger bias over the years, but at the same time the noise equivalent difference in temperature (NEΔT) increased, making them still difficult to detect. We know from the experience with NOAA-15 that interference effects can be quite different for Earth and space views, hence RFI could be absent in the observations of the Moon while still affecting C_s.

3 Conclusions

We have demonstrated that intrusions of the Moon in the DSV can be used to obtain otherwise inaccessible information about the characteristics of microwave sounders in flight. This is because the Moon provides a third flux reference, in addition to the CMB and the OBCT, with a spectrum that closely resembles a blackbody. This property makes it particularly suited for checks of the uniformity of sounding channels, where vicarious calibration is not an option. Another characteristic of the Moon is that it fills only a fraction of the beams of past and present microwave sounders and therefore provides a flux level much lower than Earth scene and OBCT (see Fig. 3). As a consequence the Moon becomes a unique diagnostic tool for checking the cold space temperature bias correction and, in case of insufficient or missing SNOs, non-linearity. Such characterization of instrumental effects is essential for calculating uncertainties and harmonization coefficients of fundamental climate data records, as undertaken for example by the FIDUCEO project (FIDelity and Uncertainty of Climate data records from Earth Observations[3]).

In case of AMSU-B on NOAA-16 we found that the Moon signal from channel 20 agrees within 0.6% with the average signal of channels 18 and 19. It follows that

– The co-registration of the sounding channels is very good, and the beam solid angle of channel 20 is within 0.3% the same as the average beam solid angle of channels 18 and 19, else they could not have given the same value for a source much smaller than OBCT and DSV. This result was to be expected because of the common quasi-optic feed of all sounding channels with AMSU-B. The agreement among the sounding channels, however, proves in addition that the Earth radiation entering the DSV pixels through the side lobes do not significantly alter the overall signal, because this radiation corresponds to different brightness temperatures in each channel. The scatter of the measured signal ratio can be fully explained by the uncertainties of the gain and the Gaussian fit.

– We attribute the bias in the sounding channels of AMSU-B on NOAA-16 to a simple and well-known effect, namely radio frequency interference, by eliminating all other possible causes. Although this finding needs confirmation by a careful investigation of the interference in flight,

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[3]www.fiduceo.eu

365   we recommend to exclude periods of active transmitters when calculating inter-calibration coefficients (Ferraro , 2015).

- One type of bias identified by Zou and Wang (2011) with AMSU-A, namely inaccurate calibration non-linearity, was ruled out in our investigation of AMSU-B. This finding provides evidence that the approach taken in the FIDUCEO project of harmonizing AMSU-B and
370   MHS with the help of simultaneous nadir overpasses is sound, because the calculation of time-dependent nonlinear coefficients in flight, which would render that method impractical, is unnecessary.

Our characterization of sounding channels in flight demonstrates the potential of using intrusions of the Moon in the DSV as diagnostic tool for AMSU-B. Still higher accuracy is possible with MHS
375   because of its lower NEΔT. As MHS is equipped with a sounding channel at 190.3 GHz with its own quasi-optic feed and local oscillator, the co-registration, bias correction, etc. will be less uniform among the channels, making their characterization even more important. In order to include also the window channels in the kind of analysis we presented, the differences of the brightness temperature of the Moon between the different radio wavebands must be known. A model describing them with
380   the required accuracy is not available and remains therefore a worthwhile task for the future.

The Moon came 304 times closer than $0.1°$ to the center of a DSV of MHS on NOAA-18 between launch and 6/1, 2018. This large number opens up the possibility to use the Moon as a reference for identifying the long-term stability of microwave sounders. For this purpose it will be advantageous
385   to identify and to process the relevant level 1b data automatically. The essential steps of such a procedure are as follows:

1. Identify the Moon intrusions: This will be easy, if the Lunar Angles are known (Octets 1473-1480 in the MHS level 1b files). All events, where the Moon did not come closer than $0.4°$ to one of the DSVs and closer than $1.2°$ to at least one other DSV, should be rejected.

390 2. For each intrusion detected in the previous step, a Gaussian should be fitted to the number of counts as a function of scan number for each DSV and channel. This requires removing the baseline counts, i. e. those that would be present without the Moon, e. g. with a polynomial fit to the counts in the scans before and after the Moon intrusion.

3. If the height of these Gaussians is significantly different from zero for three DSVs of each
395   channel, then another Gaussian should be fitted to their amplitudes as a function of DSV number.

4. Finally the gain has to be calculated for each channel from the baseline counts and the counts obtained when viewing the OBCT. The amplitude of the Gaussian fit from the previous step then has to be divided by the gain.

400 Because of occasional anomalies in the data, however, it will always be necessary to inspect the Gaussian fits for outliers in the "light curves" and proper baseline removal.

We conclude with a description of the potential of the Moon for in-orbit verification of future microwave imagers like MWI (MicroWave Imager). For the channels with a smaller beam that are
405 planned for these facilities, the method we described in this paper cannot be applied the same way, since the light curve will no longer have the shape of a Gaussian. This is because the finite size of the Moon and the asymmetric temperature distribution of its surface will become more relevant. A specially defined scan profile - in the ideal case a two-dimensional raster map with a step size of $0.1°$ as proposed by Bonsignori (2017) - will then be advantageous. It will enable measurements of
410 the Moon's flux with much better signal-to-noise ratio, because it will fill a larger part of the beam, and it will provide several additional reference flux levels, because one can point at regions of the Moon with quite different temperatures. This way the non-linearity, to give just one example, can be characterised over a large flux range.

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Table 1. Results from Gaussian fits to the light curves of Moon intrusions in DSVs of AMSU-B on NOAA-16. Columns 1 and 2: date and time of occurence of smallest lunar angle, columns 3-5: gain in counts/K, column 6: number of DSV pixel closest to the Moon, column 7: minimum lunar angle as calculated with AAPP, column 8: minimum lunar angle as calculated from maximum signal in each DSV, column 9: ratio of brightness temperatures in channels 18/19 (averaged) and channel 20.

Date	Time	Gain 18	Gain 19	Gain 20	DSV	Pos. (AAPP)	Pos. (Gauss)	$T_{18/19}/T_{20}$
1/4, 2001	16:14	21.05 ± 0.07	16.71 ± 0.05	10.87 ± 0.04	4	$-0.02°$		0.9944
1/6, 2001	2:58	21.03 ± 0.09	16.71 ± 0.06	10.87 ± 0.05	2	$-0.09°$	$+0.12°$	0.9523
1/6, 2001	4:40	21.03 ± 0.07	16.70 ± 0.06	10.85 ± 0.05	1	$+0.11°$		0.9947
2/3, 2001	9:34	21.11 ± 0.09	16.83 ± 0.07	10.91 ± 0.05	1	$+0.02°$		0.9751
2/3, 2001	23:23	21.10 ± 0.10	16.83 ± 0.06	10.90 ± 0.04	1	$+0.02°$		1.0073
1/1, 2004	14:02	17.34 ± 0.07	13.83 ± 0.05	9.34 ± 0.04	2	$-0.12°$	$-0.04°$	0.9982
1/3, 2004	2:13	17.29 ± 0.08	13.81 ± 0.06	9.31 ± 0.04	2		$-0.03°$	0.9897
4/29, 2004	11:41	16.54 ± 0.07	13.29 ± 0.05	8.98 ± 0.04	2	$-0.22°$	$-0.13°$	1.0127
5/29, 2004	7:14	16.47 ± 0.07	13.23 ± 0.06	8.92 ± 0.04	2	$-0.21°$	$-0.10°$	0.9929
5/29, 2004	22:19	16.49 ± 0.07	13.26 ± 0.05	8.95 ± 0.03	2	$-0.25°$	$-0.11°$	0.9520
11/23, 2004	9:36	15.54 ± 0.06	12.58 ± 0.04	8.84 ± 0.03	2	$-0.22°$	$-0.12°$	1.0606
12/21, 2004	6:46	15.25 ± 0.06	12.39 ± 0.04	8.35 ± 0.04	3	$-0.07°$	$-0.01°$	0.9914
4/8, 2006	19:58	10.86 ± 0.06	9.33 ± 0.04	6.08 ± 0.04	4	$-0.36°$		0.9529
5/8, 2006	17:07	10.47 ± 0.05	9.01 ± 0.05	5.84 ± 0.04	2	$+0.08°$	$+0.15°$	1.0024
11/2, 2006	12:36	8.37 ± 0.06	7.47 ± 0.04	4.71 ± 0.04	1	$+0.02°$		1.0457
11/2, 2006	14:17	8.34 ± 0.05	7.46 ± 0.05	4.69 ± 0.05	2	$-0.04°$	$-0.12°$	0.9692
12/2, 2006	5:28	7.98 ± 0.08	7.19 ± 0.05	4.48 ± 0.04	4	$+0.03°$		1.0643
12/2, 2006	14:07	7.96 ± 0.08	7.18 ± 0.05	4.47 ± 0.05	4	$+0.03°$		0.9906
3/29, 2007	12:55	7.22 ± 0.06	6.61 ± 0.05	4.07 ± 0.04	2	$-0.12°$	$+0.06°$	0.9819
3/31, 2007	9:51	7.12 ± 0.05	6.54 ± 0.05	4.01 ± 0.04	2	$-0.03°$	$+0.03°$	1.0012
11/22, 2007	15:03	4.96 ± 0.05	4.80 ± 0.04	2.81 ± 0.03	2	$-0.11°$	$-0.20°$	1.0465
11/22, 2007	21:57	5.02 ± 0.05	4.85 ± 0.04	2.84 ± 0.03	3	$-0.06°$	$-0.03°$	1.0237
11/22, 2007	23:41	4.99 ± 0.06	4.83 ± 0.04	2.83 ± 0.04	3	$-0.08°$	$+0.02°$	0.9810
11/23, 2007	1:25	4.95 ± 0.06	4.79 ± 0.05	2.81 ± 0.04	3	$-0.15°$	$-0.07°$	0.9812
11/23, 2007	6:35	4.90 ± 0.08	4.75 ± 0.05	2.78 ± 0.04	2	$0.00°$	$+0.05°$	1.0358
2/19, 2008	6:09	3.68 ± 0.08	3.73 ± 0.07	2.09 ± 0.05	1	$-0.03°$		1.0291
10/13, 2008	9:23	4.11 ± 0.07	4.53 ± 0.04	2.45 ± 0.03	3	$-0.22°$	$-0.10°$	0.9928

Table 2. The range of relative values of the non-linearity term Q in the measurement equation for different scenes. The counts are from channel 20 in different orbits with Moon intrusions from the year 2008. Q_0 is the non-linearity correction for observations over tropical ocean.

Scene	Counts	Q / Q_0
Tropical Ocean	$\leq 15,200$	1
Polar Regions	15,075 - 15,100	6.5 - 11
Moon	14,615 - 14,635	5.6 - 7.5
Black Body	15,210 - 15,215	0
Deep Space	14,530 - 14,540	0

Table 3. The range of relative values of the cold space bias correction δT in the measurement equation for different scenes. The counts are from channel 20 in different orbits with Moon intrusions from the year 2008. δT is the change in the calculated scene temperature due to the cold space temperature bias correction, where the subscript 0 indicates the value for observations over tropical ocean.

Scene	Counts	$\frac{\delta T}{\delta T_0}$
Tropical Ocean	$\leq 15,200$	1
Polar Regions	15,075 - 15,100	7 - 13
Moon	14,615 - 14,635	40 - 60
Black Body	15,210 - 15,215	0
Deep Space	14,530 - 14,540	45 - 70
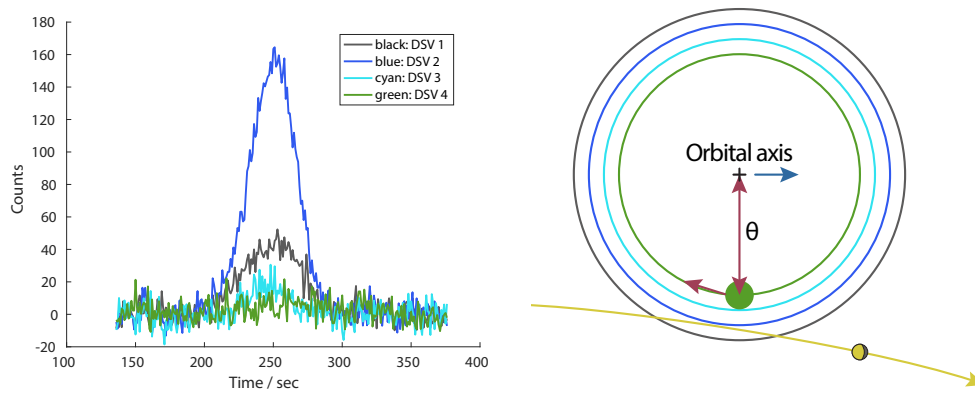
Figure 1. Left: Light curves of the Moon obtained from the four AMSU-B deep space views (pixels) on 11/2, 2006. Right: Observing geometry projected to the sky: The axis of the orbit of the satellite is marked with a plus sign. $\theta = 9.5°$ is its angular distance from DSV 4, shown as a filled, green circle. The pointing direction of the instrument describes a great circle in the sky during one scan, which covers all four deep space views and then continues along the large, red arrow. From one scan to the next, all DSVs move by a small amount along the large circles in the orientation of the small red arrow. The yellow arrow gives an example of the trajectory of the Moon. DSV 2 came closest and gave therefore the highest signal in its light curve. DSV 4 was too far away from the Moon to be affected by its presence. From the ratio of the maximum signals in DSV 1 and DSV 3 one can caluclate how far the Moon is away from DSV 2. This distance is zero if and only if the counts from DSV 1 and DSV 3 are the same. The completion of the circles that each DSV desribes in the sky takes 100 min, the duration of the orbit of the satellite. This is fast compared to the movement of the Moon (synodic month 29.5 days) and the movement of the orbital axis of the satellite with a period of one year (blue arrow).
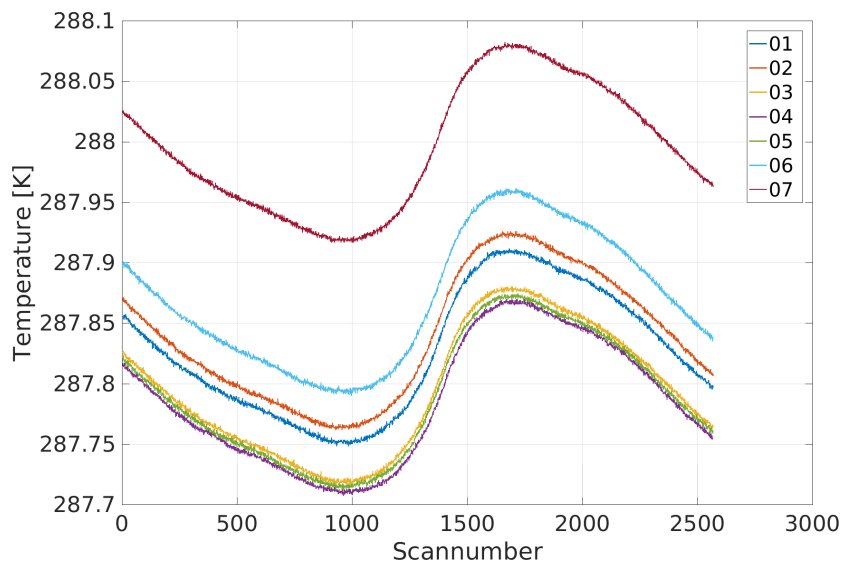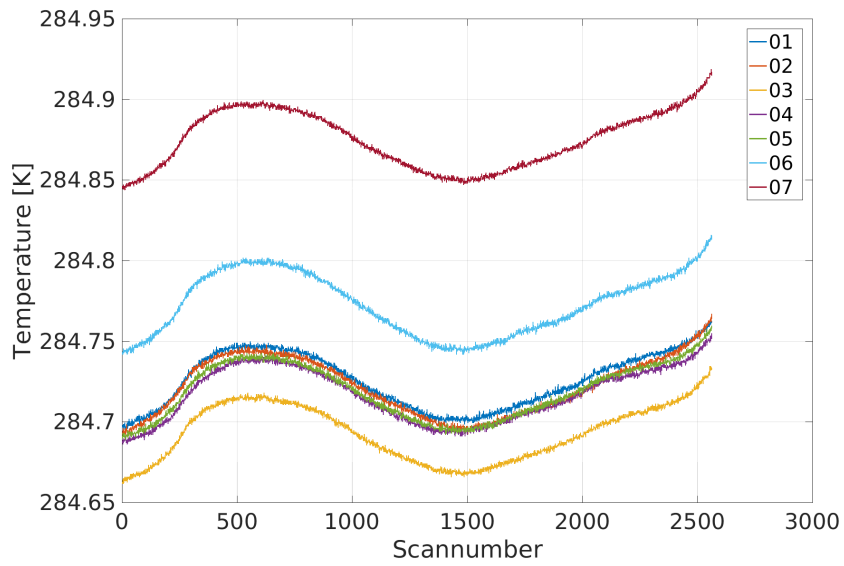
Figure 2. Temperature measured by seven PRTs of the blackbody of AMSU-B on board NOAA-16 during two orbits ten years apart: 2/12, 2001 (top) and 7/30, 2011 (bottom)
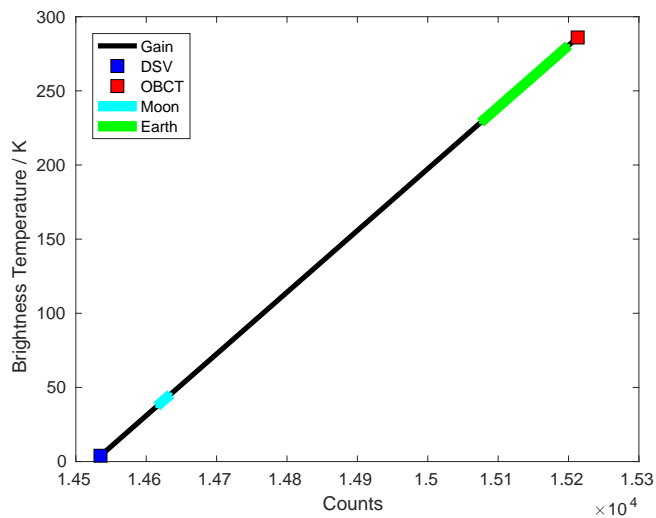
Figure 3. Schematic representation of the signal range in counts and brightness temperature covered by deep space, Moon scenes, Earth scenes, and internal calibration target for channel 20 of AMSU-B on NOAA-16 in October 2008. A gain of ~~2.5~~2.4 counts/K was assumed. The Moon gives a much lower signal than the Earth, because it fills only a fraction of the beam.