

We thank all reviewers for their constructive comments, which helped to improve the paper. Below, we address all comments point-by-point.

#reviewer1

This paper describes the XCO₂ retrieval from OCO-2 spectra with the RemoTeC algorithm for two years of data, focused on TCCON collocations for validation purposes. OCO-2 currently delivers the most accurate and the largest dataset of NIR / SWIR radiance measurements for XCO₂ estimation. RemoTeC is widely acknowledged as a state-of-the-art retrieval algorithm, already successfully applied to GOSAT. The retrieval of OCO-2 with RemoTeC is therefore widely expected and this work largely deserves a dedicated publication. The paper is well written and concise. The main properties of OCO-2 are clearly reminded. The main assumptions of RemoTeC are recalled, but I understand that a detailed description of the algorithm requires to read references, which may be a weakness for the self consistency of the paper. Maybe the paper should be more precise about the modification of the algorithm for OCO-2. The methodology is based on the systematic comparison with several TCCON stations. This is a classical, rigorous and probably the most accurate strategy for XCO₂ missions, as the column sensitivities are similar and strong efforts have been made to trace the TCCON network to the WMO XCO₂ standard. Such validation work requires estimation of random error, global and regional biases, which can only be obtained at a reasonable cost with the large data set of the TCCON network. The choice of a period larger than 1 year is essential to remove the seasonal effects.

The main result is that the residuals biases of OCO-2 / RemoTeC with the TCCON global network is lower than 0.1 ppm in absolute value, and up to 1 ppm when looking at individual stations. These low values, of the same order as the OCO-2 L2, prove the quality of RemoTeC and its application to OCO-2. The remaining station to station biases are still high for the needs of the flux community, meaning that research must continue to improve the retrieval scheme and the understanding of the instrument (beyond the scope of this paper). The bias correction shows its efficiency to empirically reduce the biases, but the magnitude of the correction is still too high to give a solid confidence in the final value.

I have some questions and remarks that I would like the authors to address before publication. These will probably not require new calculations, but only precisions and additional materials. I will try to focus my questions on the application of RemoTeC to OCO-2 and not to the RemoTeC algorithm itself which was the subject of previous papers properly quoted. (1)-One of the drawbacks of this paper is that literature on this topic is large, and the reasons of some assumptions have now become implicit (and could sometimes deserve to be questioned once again). (2)-I also noticed that several results are given only in the text whereas they should be given dedicated figures or tables (see comments). This has to be corrected before publication. (3)-Finally, I was sometimes lost in the different statistics indicator (target, land, ocean; all footprints or daily averages; global bias, station to station bias, standard deviation). A clearer presentation and interpretation of them would be welcome before publication.

C1-Page 3 line 13: The objective of the study requires further justification than « to enhance the reliability and confidence of the data product ». What does this study aim at? To challenge the official OCO-2 Level 2 (L2)? To improve RemoTeC through its application to the new OCO-2 dataset, more accurate than GOSAT? Will a new OCO-2 / RemoTeC be proposed in the future?

R1-. We added a phrase " We expect that application of RemoTeC to OCO-2 data will lead to a better understanding to the capabilities and limitations of the OCO-2 instrument and the operational level-2 data product. Furthermore, we see this work as a first step towards processing a larger data set with RemoTeC." For example, in this paper we show that RemoTeC the bias correction only has a minor effect on the XCO₂ retrieval accuracy, while for the official level-2 product a much larger correction is needed. This suggests that the need for bias correction is for large part caused by the algorithm itself rather than by instrument

related errors. Also we show that it is needed to fit an intensity offset which gives insight into instrumental errors of the OCO-2 instrument.

C2-As already mentioned, there is a lack of description of the algorithm, largely given by references. This is however very important to understand the differences with the OCO-2 L2.

R2- One major modification on RemoTeC/OCO-2 is that now we adopted a vector radiative transfer model (LINTRAN V2) to the retrieval scheme. Scattering is considered for ocean glint retrievals. Before, in GOSAT application, RemoTeC uses a scalar radiative transfer model for land and performs non-scattering retrieval for ocean glint. We add " For OCO-2 application, several modifications have been made to the algorithm: (1) a vector radiative transfer model (LINTRAN V2) is employed in the retrieval scheme; (2) Aerosol scattering effects are taken into account for ocean glint retrievals; (3) Information on pressure profiles, humidity and temperature are extracted from the ECMWF data with a resolution of 0.125 by 0.125 instead of 0.75 by 0.75 previously. "

C3- p4 l12: 5° around a TCCON station is very large (~500km). In such an area the CO₂ may not be considered as uniform. What is your justification? Did you make any error budget, any sensitivity study?

R3- The CO₂ may be inhomogeneous in this area. However, in the validation we do not see a clear dependency between XCO₂ difference and the collocation distance, as shown in Figure 1. We add "Here, the dependency of difference with collocation distance and surface pressure is negligible. " in section 4.1 in the paper.

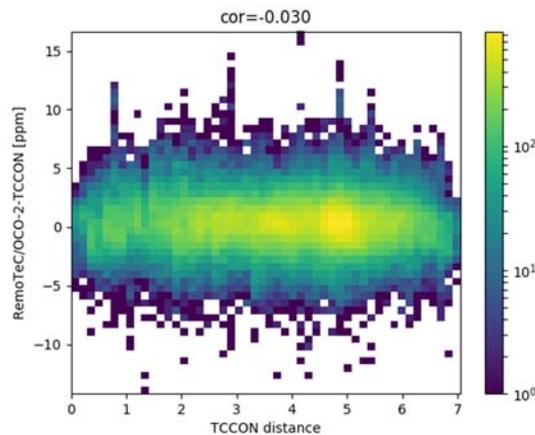


Figure 1. Dependency between XCO₂ difference and TCCON distance (unit degree).

C4-p4 l16: Why do you restrict VZA to <30° and not to a larger value? Is there also a restriction on SZA? Table 1 gives some information but in contradiction for VZA, maybe because it only applies to land and glint? Please precise.

R4- Here, we select a subset of Target data by restricting VZA<30° only for computational reasons. We do this only for target data because the amount of data becomes too large otherwise. Current retrieved target data already include more than 200.000 soundings (before quality filtering). The restriction on SZA (<70°) is listed in Table 1. We add a phrase " This viewing zenith angle restriction has only been applied for target observations for time efficiency".

C5-- p5 & 6: I think the paper deserves a table describing exactly the content of the state vector.

R5- Thanks for the suggestion. Table 1 is added to describe elements of the full state vector.

C6-p6 l13: the description of the cloud screening is too light, I understand it is a copy of what is done by OCO-2. Do you use the information from the OCO-2 pre-processing, or did you develop your own algorithm? Did you make any performance study, and associated XCO₂ sensitivity study? 30% is higher than the performance reached by OCO-2 (for land and ocean).

R6- It is not a copy of OCO-2 cloud filtering. We use our own no-scattering retrieval algorithm. We also do not use OCO-2 pre-processing and we use a pre-processing algorithm developed at SRON. We modified in the paper " For this purpose, we implemented a fast non-scattering retrieval as part of the RemoTeC and..... Cloud filtering are performed by applying following criteria: $0.885 < O_2_ret/O_2_ecmwf < 1.020$, $0.990 < CO_2_swir1/CO_2_swir2 < 1.035$ and $0.950 < H_2O_swir1/H_2O_swir2 < 1.060$For target, land and ocean glint observations, the percentage of clear soundings are 24%, 28% and 34%, respectively. For now, we mainly use those ratios as a option to filter cloud contaminated cases in the retrieval. "

C7-p6 l28: please explain the reason why you separate land and ocean evaluation. Is it based only the aerosol argument (p7 l19)? Was it decided from the OCO-2 feedback ? OCO-2 does also but with another separation between land nadir and land glint.

R7- Land and ocean evaluations are separated because they have very different sensitivity to aerosols. In contrast, we found that land nadir and land glint are very similar in terms of performance against TCCON so in our opinion there was no need to separate the two.. We now add "The separation is due to the fact that land and ocean surface reflections are modeled differently".

C8-p6 l33: the assumption that TCCON station to station variability is zero is very strong and may not be excluded when interpreting the results.

R8-Yes, that's true. We emphasize this "However, as discussed by Kulawik et al. (2016); Buchwitz et al. (2017b), individual stations have a year-to-year variability of ~ 0.3 ppm and the overall TCCON XCO₂ uncertainty is around 0.4 ppm (1-sigma).".

C9-p7 l8: you talk about retrieval uncertainties; these uncertainties may be instrument dependent. Compared to Butz et al 2011, Gueret et al 2013b, did you reconsider your filters for OCO-2?

R9-Yes, the filters are reconsidered. For example. The range of aerosol parameters are filtered differently compared with that used for GOSAT retrievals[ref1]. Moreover, some specific filters for OCO-2 are used such as intensity-offset ratios in SWIR1 and SWIR2 channels.

C10-p7 l25: I don't understand why you say « we look for possible correlations of errors with instrumental, geophysical, meteorological and retrieved parameters ». Actually, here you do not look for such correlations (as would the OCO-2 Bias Correction do), you only calculate a regression with chi², which is different. This is an original bias correction and, as far as I know, it is the first time it is applied. What made you adopt such methodology? To my mind its drawbacks are that you loose interesting spectral information about the residuals. An error in retrieved albedo may lead to a large chi² whereas it has very limited impact on XCO₂. An error in line-mixing may lead to a small chi² residual but have a strong impact on XCO₂. I clearly do not say the approach is wrong, but I think that it is new and should really deserve deep study. The shape of the chi² spectra would deserve attempts of interpretation. Why would you have only to regress with chi² in SWIR-1 and not in the other bands? Why would this bias correction be required only for land, not for oceans? The spectroscopy and the instrument are the same. You say in section 4.1 the aerosol contribution is weak in ocean glint measurement, that could be an explanation but aerosols are not the only source of bias.

R10- We checked the correlation between the parameters mentioned here and we see relatively high correlation with chi2. In the 'Supporting Information' (SI) (**Figure s1**), we include the correlation plots with six parameters: air mass, water column, blended albedo, mean signal in O2 A-band, aerosol ratio and aerosol size parameter. We only do the regression with chi2 in SWIR1 (similar performance can be achieved by using chi2 in the SWIR2 band) simply because it gives the best validation results after bias correction (although it should be mentioned that the overall effect is small). We also tried other parameters like surface albedos, mean signal in O2A band, water column and overall fit residual to do the bias correction, but the station-to-station bias becomes somewhat worse. The correlation with chi2 tells us that the XCO2 error (before bias correction) increases when the forward model is less capable in fitting the measurements or if the pure instrumental noise becomes small (and the chi2 large if the fit residuals stay the same). The latter effect may happen over bright surfaces where it is more difficult to account for aerosol scattering.. The main effect of the bias correction over land is reducing the overall mean bias. For ocean, we directly subtract a mean bias. For sure, aerosols are not the only source of bias, but I think it is still outstanding among possible bias sources in our retrieval.

C11-p22: figure 4 should exhibit a fit, be given for lands and oceans, and for the 3 spectral bands.

R11- A linear fit is included in Figure 4. For ocean, these parameters are not used in the bias correction and we only subtract an overall mean bias. The term fit residual was incorrectly chosen and hence we changed it to chi2.

C12-p8 I9: you say some correlation with parameters of table 1 are reduced, you clearly have to present these correlations by a figure or table before and after bias correction. Otherwise we cannot accept such affirmation.

R12-We add **Table s1** in the 'Supporting Information' (SI) section to list correlations between parameters of Table 1, before and after bias correction.

C13-p8 I19: please show fig 2 before and after bias correction. Giving a rough value in the text (~0.1ppm) is not enough.

R13- The results before bias correction are shown in SI **Figure s3**.

C14-p8 I23: please define your averaging. I understand that in fig 2 (no averaging), you plot every OCO-2 single footprint minus the TCCON of the area at the same time. I understand that in fig 5, 6, 7 you average every OCO-2 single footprint – TCCON at the same in a window $5^{\circ} \times 5^{\circ} \times 2h$, is that true? Is it $\text{mean}(\text{OCO-2}) - \text{mean}(\text{TCCON})$? You mention a « daily averaging » p8 I32, but this term is confusing because you may encounter several collocations with several TCCON during the same day. In such a case, are the data of different TCCON in the same average? If not, you should maybe talk about « overpass averaging »?

R14-Indeed, we are actually doing overpass averaging and compare $\text{mean}(\text{OCO-2})$ with $\text{mean}(\text{TCCON})$. We modify this through the paper.

C15- p8 I23: Please explain why you make an averaging in 4.2 whereas you do not in 4.1. I guess that in 4.1 you need to keep the individual parameters for your bias correction derivation, but this clearly needs to be explained. For this reason, fig 2 and fig 5,6,7 cannot be directly compared, and that is why the effect of bias correction is difficult to assess.

-p9 I1 and fig 5,6,7: Please also give the figures before bias correction for fig 5,6,7, and give the

associated standard deviation as for p8 I33.

R15- To give a better view on the effects of bias correction, we include overpass averaging figures before bias correction in the attachment (**Figures s4,s5,s6**). We add sentences to explain why we use individual retrievals in the bias correction "When comparing individual retrieval results with collocated TCCON measurements, we look for possible corrections of errors with instrumental, geophysical, meteorological and retrieved parameters. This correction should be valid for each single sounding and thus evaluated with individual results."

C16- p9 I10: please illustrate the effect of bias correction by giving figure 8 also for before bias correction.
- p9: I know you made the assumption the TCCON stations are consistent (p6 I34), but I am disappointed that you do not try to interpret the station to station variability in terms of residual bias of OCO-2 / RemoTeC or actual differences between TCCON stations. The fact that you do not see the same station to station bias in land and oceans modes could suggest there are still biases in OCO-2 /RemoTeC.

R16- We now include results before bias correction as that of Fig. 8, Fig.9 and Fig.10 in attachment (**Figure s7, s8, S9**). The station to station bias in land and ocean retrievals are close to each other(0.41 ppm vs 0.44 ppm) but it is important to note that these values are derived from different (number of) stations.

C17- p10 I6: Did you try also the same retrieval as fig 6 without the fit of the offset in the O2 band? As you mention later, there could be a link with the stronger internal reflections in this band.

R17-No, for now this retrieval setting (without the fit of the offset in the O2 band) has not been tested.

C18- p10 I12: I am not convinced by the explanation of the lack of SIF fitting, since the behaviour of the SIF and the offset is very different (SIF exhibits atmospheric absorptions).

C18-We deleted this phrase.

C19- p10 section 5: I think the comparison with the previous OCO-2 / ACOS – TCCON and with the previous GOSAT / RemoTeC – TCCON are very important. But here the discussion is poor, mentioning only the common results in terms of standard deviation. This section really deserves to compare biases (global, station to station, etc.), as it was the case for section 4. This could help understand the origin of biases (from TCCON, from the instrument, the retrieval code). This should be done before and after bias correction.

C19- We added a paragraph in section 5 that directly compares ACOS and RemoTeC before and after bias correction for common data points.

C20- p2 I9: for clarity I would make a new paragraph.

R20-modified

C21- p4 I3: please precise if you use the tabulated instrumental functions given in the OCO-2 products.

R21-modified

C22- p4 I12: you use a requirement in degrees rather than in km, why? This makes a distance criterion in km variable according to the station, which is not suitable.

R22-As can be seen above in Figure.1, there is no clear dependency between XCO2 difference and distance under current collocation criteria.

C23- p4 I18: please precise is you make your own calculation of surface pressure from ECMWF and MNT

(this information could also be read from the OCO-2 L2 data). How do you interpolate ECMWF and how to select SRTM grid points? This question makes sense since you do not retrieve surface pressure in your state vector.

R23- The interpolation is performed with linear interpolation in time and nearest neighbor in space. All SRTM grid points within certain footprint are used to get its elevation and variation. Explanations are now added' The interpolation is performed with linear interpolation in time and nearest neighbor in space. ... For each OCO-2 footprint, all SRTM grid points within the boundary are collected to get mean surface elevation and its variation. '.

C24- p4 I23: Your initial guess for CO₂ and CH₄ comes from different years, therefore it is subject to inter-annual variability. What is the sensitivity of your retrieval to this first guess?

R24-The annual variability of prior CO₂ column is not considered but the effect of the prior is very small so we are convinced this is not a problem.

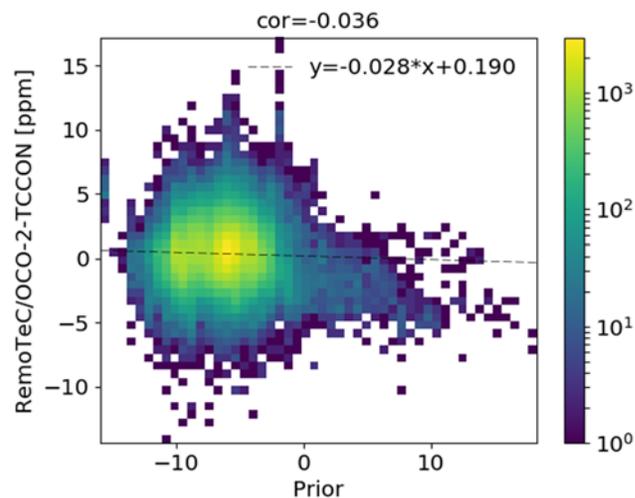


Figure 2. Dependency between XCO₂ difference and prior variation (unit ppm).

ref1: Butz, A., Guerlet, S., Hasekamp, O., Schepers, D., Galli, A., Aben, I., Frankenberg, C., Hartmann, J., Tran, H., Kuze, A., Keppel-Aleks, G., Toon, G., Wunch, D., Wennberg, P., Deutscher, N., Griffith, D., Macatangay, R., Messerschmidt, J., Notholt, J. and Warneke, T. (2011). Toward accurate CO₂ and CH₄ observations from GOSAT. *Geophysical Research Letters*, 38 (14), 1-6.

Supporting Information for "Carbon dioxide retrieval from OCO-2 satellite observations using the RemoTeC algorithm and validation with TCCON measurements"

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1 Overview

5 Here, we provide additional information about: Fig.S1: Error on XCO₂ retrievals as a function of six parameters: air mass, water column, blended albedo, mean signal in O₂ A-band, aerosol ratio and aerosol size parameter (reff); Fig.S2: same as Fig. S1 but after bias correction; Fig.S3: Validation of individual XCO₂ retrieved from OCO-2 measurements after bias correction; Fig.S4-Fig.S6: Validation of overpass averaged retrievals with TCCON before bias correction for targer, land and ocean soundings, respectively; Fig.S7-Fig.S9: The dependence of the bias on latitude before bias correction for targer, land
10 and ocean soundings, respectively.

2 Content of this file

- (1). Figures S1 to S9.
- (2). Table S1

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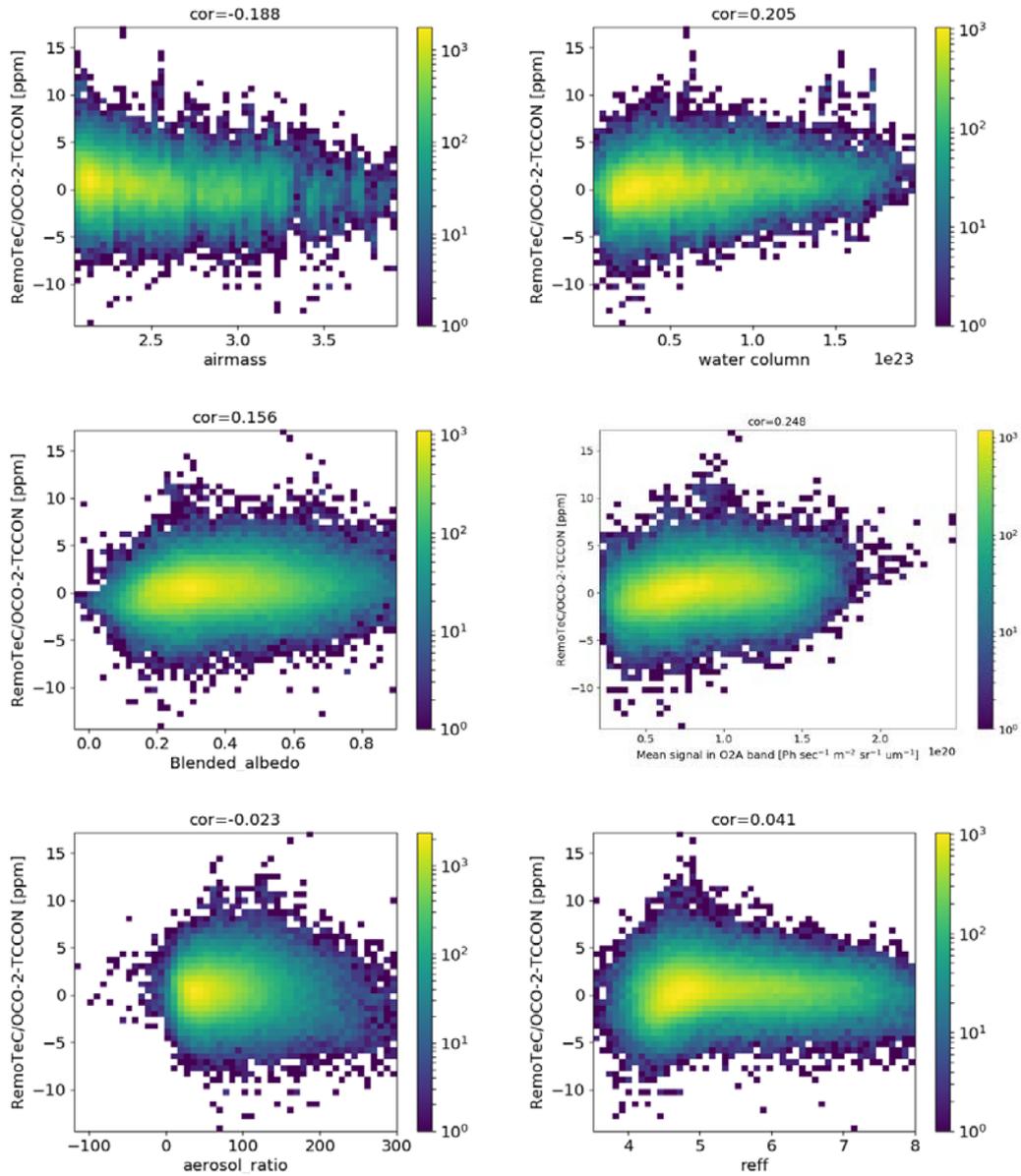
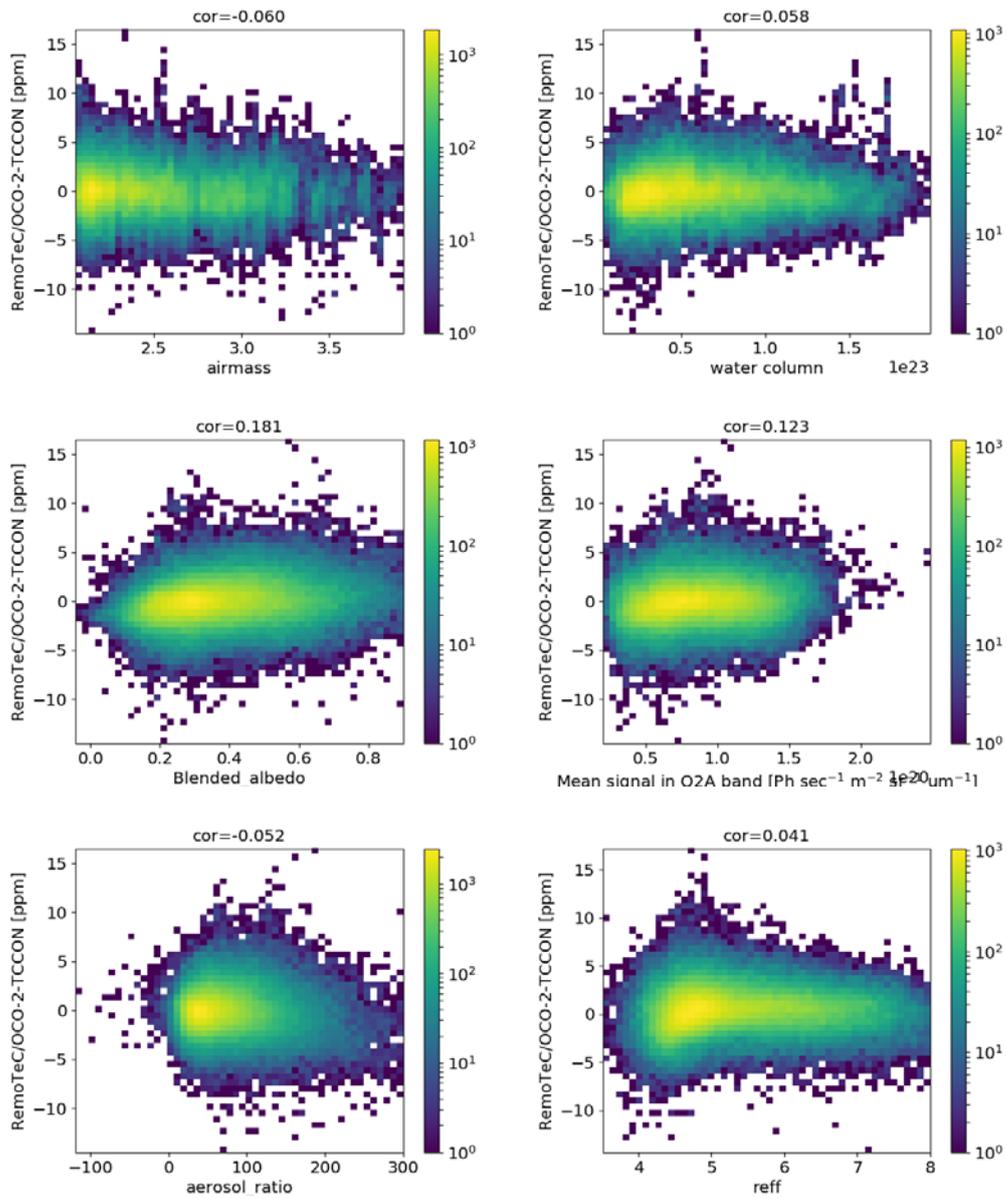


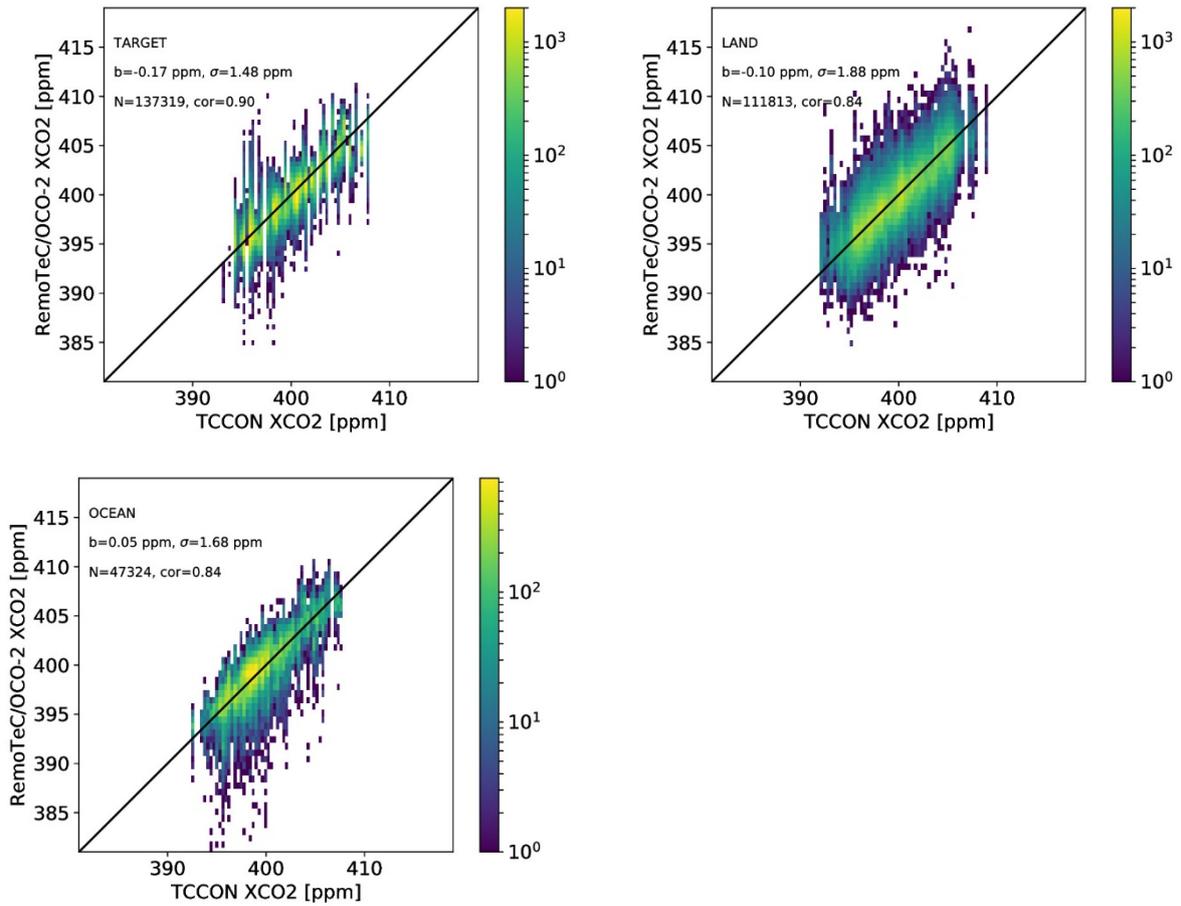
Figure S1. Error on XCO₂ retrievals as a function of six parameters: air mass, water column, blended albedo, mean signal in O₂ A-band, aerosol ratio and aerosol size parameter (reff). Different colors represent the frequency of point occurrence.



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Figure S2. Same as Figure S1 after bias correction.

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5 Figure S3. Validation of individual XCO₂ retrieved from OCO-2 measurements with collocated TCCON data after bias correction.

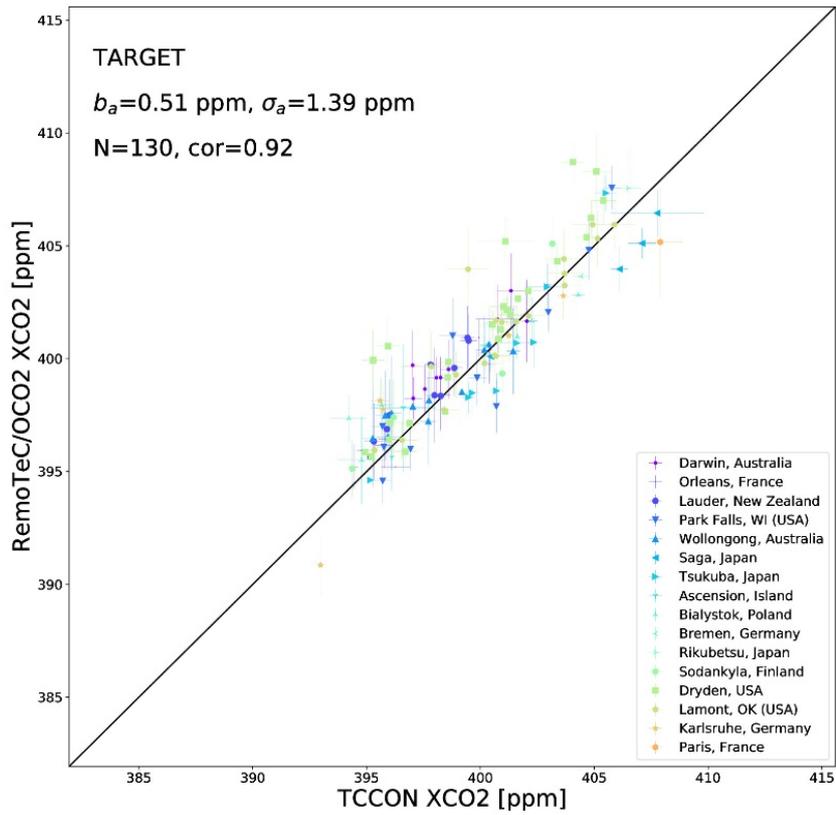


Figure S4. Validation of averaged XCO₂ retrieved from OCO-2 target measurements with collocated TCCON data before bias correction. The standard deviation of individual TCCON data and that of RemoTeC/OCO-2 retrievals are presented with error bars. The bias (b_a), standard deviation (σ_a), number of points (N), the Pearson correlation coefficient (cor) and one-to-one line are included.

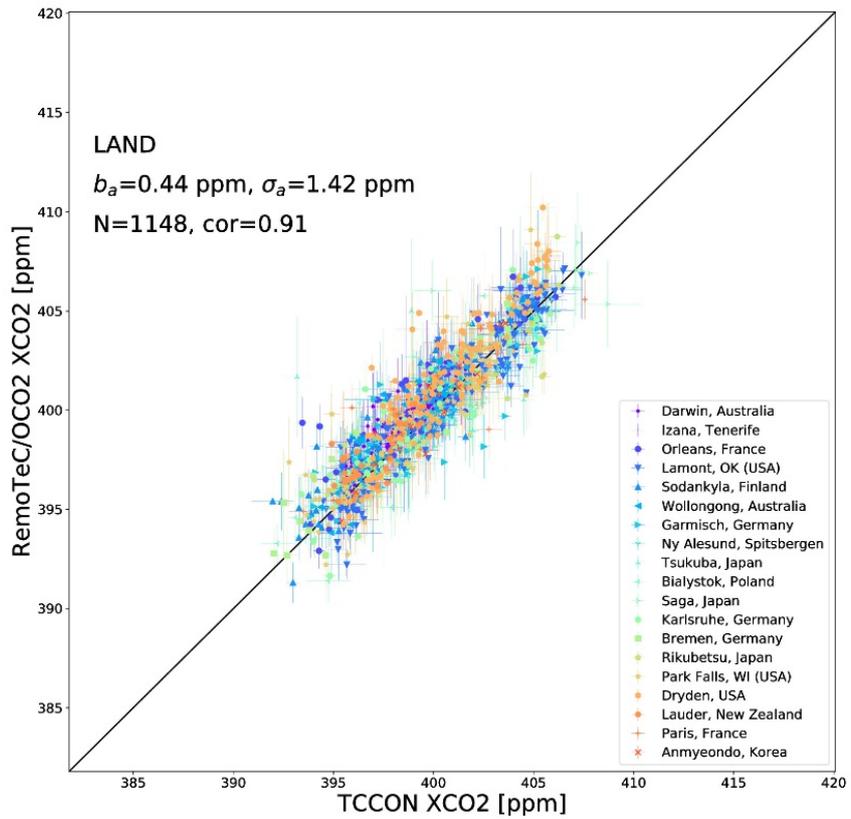


Figure S5. Same as Fig. S4, but for OCO-2 land type measurements obtained under nadir and glint modes.

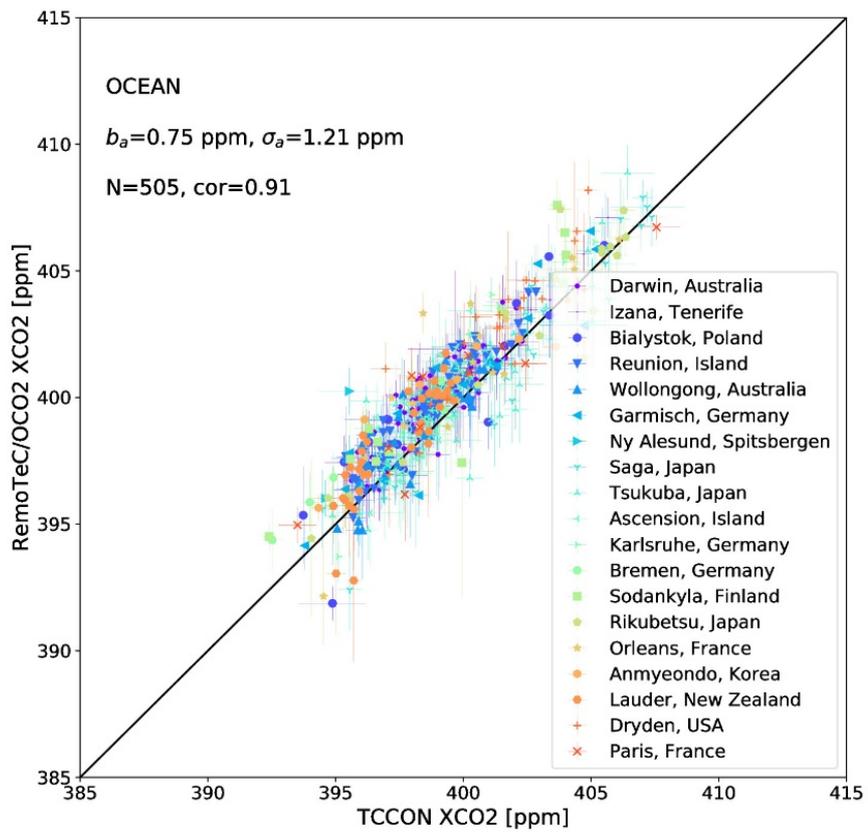


Figure S6. Same as Fig. S4, but for OCO-2 ocean type measurements obtained under glint mode.

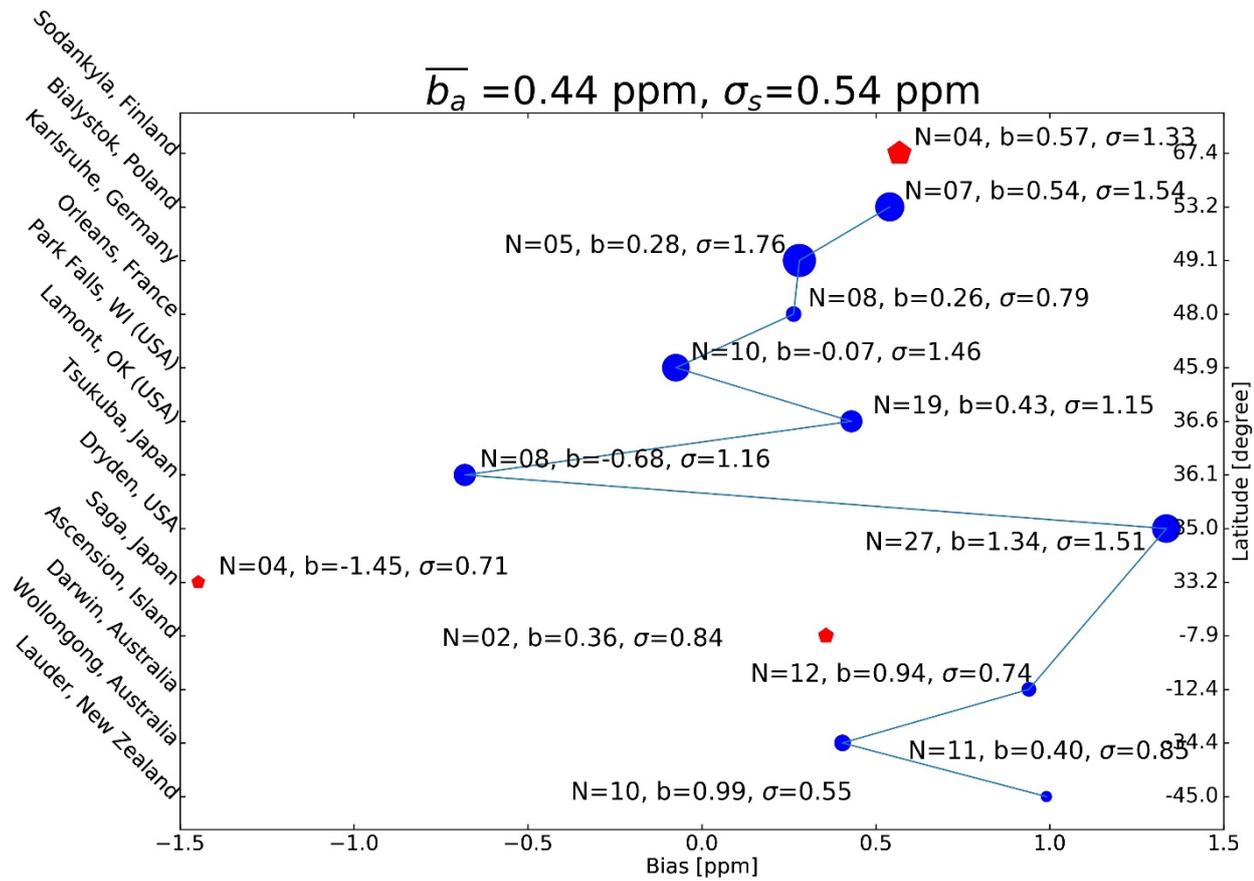


Figure S7. The dependence of the bias between RemoTeC/OCO-2 target XCO₂ retrievals coincident with TCCON data on the latitude of each station. Shown are the averaged results before bias correction. Stations with less than 5 collocation points (marked with red pentagon) should be interpreted with care and are therefore excluded from the calculation of the derived parameters including mean bias (b_a) and the station-to-station variability (σ_s). The size of each dot represents the standard deviation of the difference at each station.

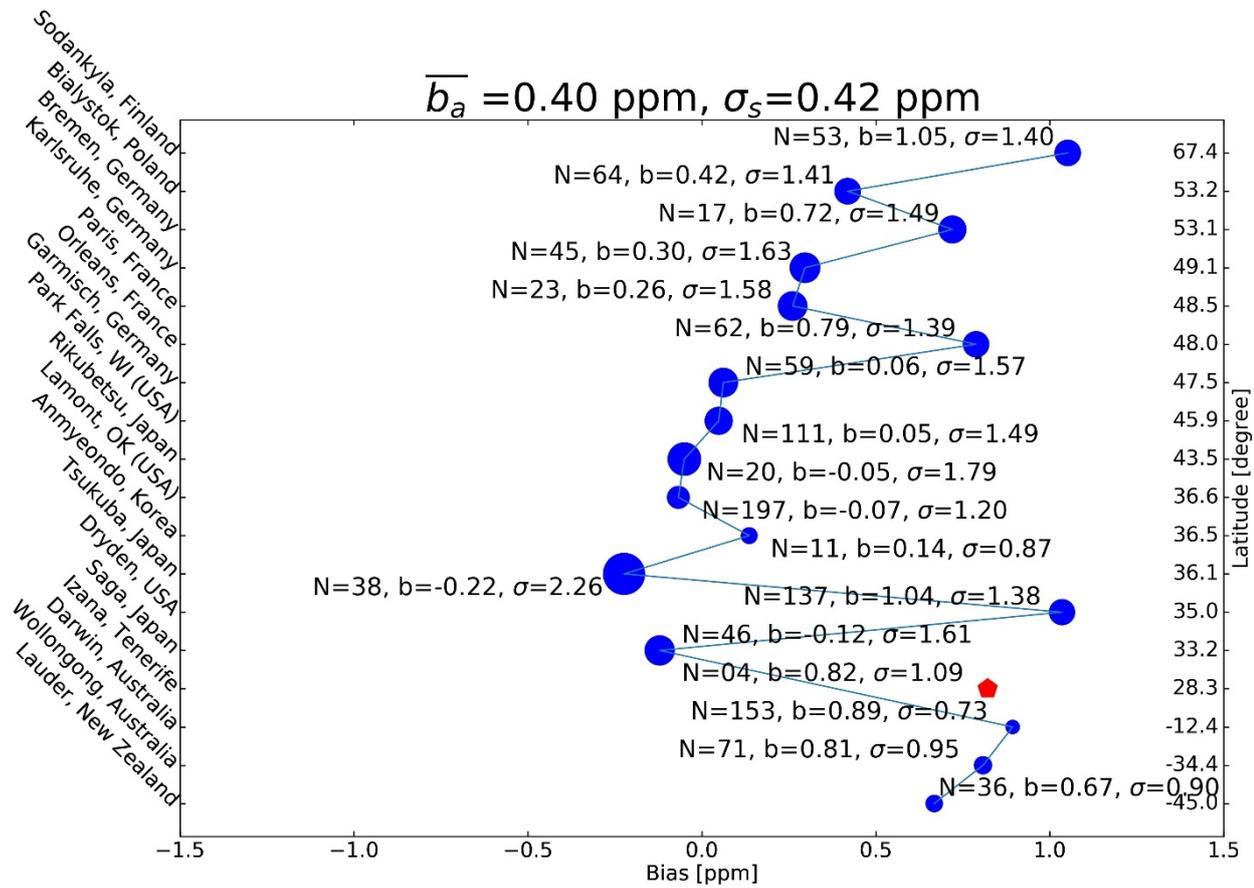


Figure S8. Same as Fig. S7, but for OCO-2 land type measurements obtained under nadir and glint modes.

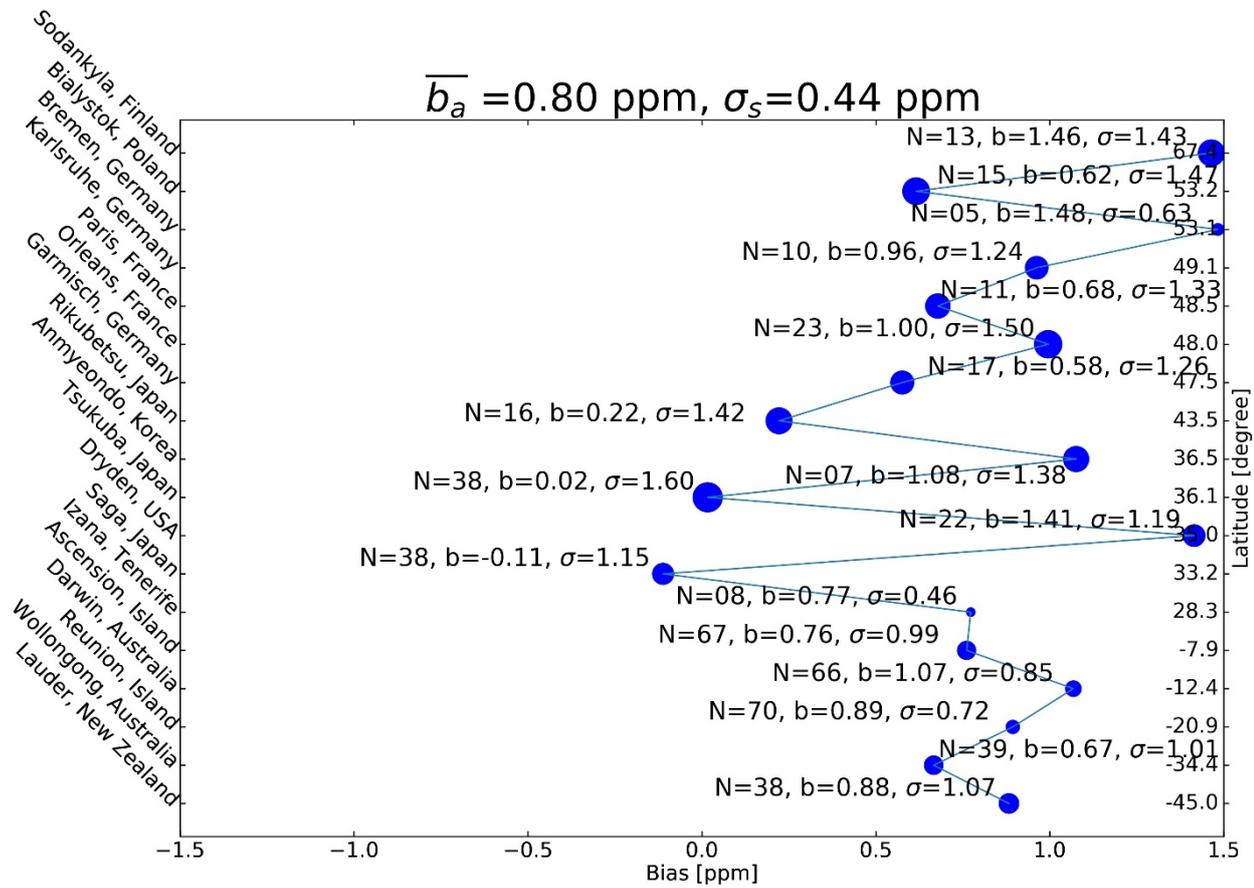


Figure S9. Same as Fig. S7, but for OCO-2 ocean type measurements obtained under glint mode.

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Table S1. Correlation coefficients between XCO₂ difference between TCCON and OCO-2 retrievals with filtering parameters listed in Table 2 in the paper.

parameters	Correlation before bias correction	Correlation after bias correction
sza	-0.19	-0.05
vza	-0.07	-0.04
χ^2	0.20	0.00
χ^2_{NIR}	0.18	0.09
χ^2_{SWIR1}	0.20	-0.04
χ^2_{SWIR2}	0.15	-0.05
Blended albedo	0.16	0.18
sev	0.07	0.10
α^s	0.04	0.01
$\tau_{0.765}$	-0.05	-0.02
Aerosol ratio parameter	-0.02	-0.05
water column	0.20	0.06
Ioff1	-0.21	-0.15
Ioff2	0.05	0.10
Ioff3	-0.11	-0.09

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