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# A new approach for GNSS tomography from a few GNSS stations

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#### 14 Abstract

The determination of the distribution of water vapor in the atmosphere plays an important role in 15 the atmospheric monitoring. Global Navigation Satellite Systems (GNSS) tomography can be 16 used to construct 3D distribution of water vapor over the field covered by a GNSS network with 17 18 high temporal and spatial resolutions. In current tomographic approaches, a pre-set fixed rectangular field that roughly covers the area of the distribution of the GNSS signals on the top 19 plane of the tomographic field is commonly used for all tomographic epochs. Due to too many 20 unknown parameters needing to be estimated, the accuracy of the tomographic solution degrades. 21 Another issue of these approaches is their unsuitability for GNSS networks with a few stations as 22 the shape of the field covered by the GNSS signals is in fact roughly an upside-down cone rather 23 24 than the rectangular cube as the pre-set. In this study, a new approach for determination of tomographic fields fitting the real distribution of GNSS signals on different tomographic planes 25 at different tomographic epochs and also for discretization of the tomographic fields based on the 26 27 perimeter of the tomographic boundary on the plane and meshing techniques is proposed. The new approach was tested using three stations from the Hong Kong GNSS network and validated 28 by comparing the tomographic results against radiosonde data from King's Park Meteorological 29 Station (HKKP) during the one month period of May, 2015. Results indicated that the new 30 approach is feasible for a three-station GNSS network tomography. This is significant due to the 31 fact that the conventional approaches cannot even solve a few stations network tomography. 32

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# 34 **1 Introduction**

Information of the distribution and variation of atmospheric water vapor is essential for meteorological applications. Nowadays, the most commonly used technology for measuring atmospheric water vapor is radiosonde due to its high vertical resolution and high accuracy, even though its horizontal resolution is very low-several hundreds of kilometers, and its temporal resolution is also low-twice daily. With the development of Global Navigation Satellite Systems 40 (GNSS), using GNSS measurements to remotely sense water vapor in the atmosphere has

41 attracted significant attention due to their 24-hour availability, global coverage and low cost.

42 based on GNSS measurements collected from a regional or global GNSS reference network, a

43 regional or a global tomographic model, which is three-dimensional (3D), can be constructed.

44 The tomographic model reflects the spatial variation of water vapor in the time period

45 investigated, thus it has the potential to be used to investigate the evolution of heavy rain events

46 for severe weather forcast (Wang et al., 2017; Chen et al., 2017; Zhang et al., 2015).

Using the slant wet delays (SWDs) estimated from the GNSS signals of a GNSS network 47 to construct a tomographic model is called GNSS tomography. Flores et al. (2000) built the first 48 GNSS tomographic model using  $4 \times 4 \times 40$  voxels and developed Local Tropospheric Tomography 49 Software (LOTTOS) for simulation and processing of GNSS data. Gradinarsky (2002) developed 50 51 the wet refractivity Kalman filter (WeRKaF) for tomographic inversion of GNSS data and the filter mainly focused on the initialization of the tomographic covariance matrix used in the 52 implementation of the Kalman filter. Troller et al. (2006) developed the atmospheric water vapor 53 tomography software (AWATOS) based on double-differenced GPS observations and double-54 differenced phase residuals. Rohm and Bosy (2009) addressed the issue with the ill-condition of 55 tomographic equations using the Moore-Penrose pseudo inverse of the variance-covariance 56 matrix. In order to minimize the discretization effects, Perler et al. (2011) for the first time 57 proposed using node parameterization in GNSS tomographic modeling. Chen and Liu (2014) 58 optimized a water vapor tomographic region through moving voxel location along the latitudinal 59 and longitudinal directions until the number of the voxels that contain GNSS signals reached the 60 maximum. Yao et al. (2016) improved the utilization rate of GNSS observations in the modeling 61 by adding extra voxels on the top of the tomographic region where some satellite signals partly 62 cross the tomographic field. Ding et al. (2017) developed an access order scheme called prime 63 number decomposition (PND) for minimizing the correlation between the SWDs which are the 64 sample data of tomographic modeling. The above GNSS tomographic approaches were tested 65 using various numbers of GNSS stations, majority of which were a few tens of stations, and the 66 maximum and minimum were 270 and 8 respectively. 67

68 In all the above tomographic approaches, the tomographic fields are all assumed rectangular cubes. The size and location of the rectangular cubes are determined based on the 69 distribution of GNSS signals only on the top boundary of the tomographic field-the rectangular 70 cube that best fits the top boundary is adopted (Bastin et al., 2005; Bender et al., 2009; 71 72 Champollion et al., 2005; Ding et al., 2017; Gradinarsky and Jarlemark, 2004; Hoyle, 2005; Rohm et al., 2014; Seko et al., 2000; Troller et al., 2006; Xia et al., 2013; Ye et al., 2016). In fact, 73 the field that GNSS signals cover has a shape of upside-down cone, roughly, meaning that in the 74 75 part near the edge of the cube, especially in the lower part, none of the GNSS signals cross through. This region is named empty spatial region (ESR) in this paper merely for convenience. 76 In fact, the inclusion of those voxels/nodes in the ESR in the discretization of the model not only 77 78 does little contribution to the improvement on the accuracy of the model solution but also adds 79 extra meaningless unknown parameters to be estimated. More parameters mean more horizontal constraints are needed and also degradation of the accuracy and stability of the solution, 80 especially in the case the network consists of a few stations, e.g. only three stations. This is 81 because the difference in the sizes covered by the GNSS signals in the bottom and top planes of 82 the tomographic field is large, meaning a large number of voxels/nodes in the ESR and far away 83 84 from the observed signals, especially in the lower part of the tomographic field. In the estimation process of the model, the horizontal constraints imposed on these nodes/voxels are usually from 85

extrapolated results based on their nearest observations. If these voxels/nodes are far away from
 the observed signals, the constraints are too weak and will cause difficulty in the solving of the
 tomographic equations. The large number of nodes/voxels contained in ESRs stemming from a
 small number of GNSS stations is the main reason for the unsuitable of the current GNSS
 tomographic approaches to using a-few-station networks.

91 In this study, a new node parameterization approach for dynamic determination of tomographic fields and the discretization of the fields at each tomographic epoch was proposed. 92 It is adaptive node parameterization for varying density on different tomographic planes. This 93 differs from all current approaches in which the same pre-set rectangular cube roughly 94 determined by the distribution of the signals only on the top tomographic plane is adopted for all 95 planes and all epochs of the tomography. In addition, for the discretization of the tomographic 96 97 field determined for each plane at each epoch, the location and number of all the nodes on the plane are determined according to the size of the tomographic field. As a result, the tomographic 98 model is tailor-made for all planes and all epochs. Moreover, the new approach is applicable to 99 GNSS networks with any number of stations, i.e. equal to or larger than three. 100

#### 101 2 Methodology

# 102 2.1 Observations of GNSS Tomography

GNSS signals are bent and delayed when they propagate through the atmosphere. The atmosphere can be divided into the ionosphere and troposphere. The first order ionospheric delay was eliminated using the so-called ionosphere-free linear combination of dual-frequency observations. The tropospheric delay can be divided into two components—the dry delay and the wet delay. The wet component is the SWD and can be expressed by

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$$SWD = m_w(e) \cdot \left\{ ZWD + \left[ G_N^w \cdot \cos(\phi) + G_E^w \cdot \sin(\phi) \right] \cdot \cot(e) \right\} + R$$
(1)

where  $m_w(e)$  is a wet mapping function and the VMF1 mapping function was used in this study; 109  $G_N^{w}$  and  $G_E^{w}$  are the wet delay gradients in the north-south and east-west directions, respectively; 110 R is the post-fit residuals and in one satellite-receiver, the residuals exceeding 2.5 times the 111 standard deviation were removed and then computed means were subtracted from the remaining 112 residuals to clean observation from systematic effects; ZWD is the zenith wet delay of the GNSS 113 station, which can be obtained by subtracting the zenith hydrostatic delay (ZHD) from the zenith 114 total delay (ZTD). The ZHD can be calculated by a standard tropospheric model such as the most 115 commonly used Saastamoinen model (Saastamoinen, 1972) and the ZTD is estimated (as an 116 unknown parameter) in GNSS data processing; 117

In GNSS tomographic modeling, the SWDs of GNSS signals in a tomographic field are used as the observations for the estimation of water vapor parameters in the field.

- 120 2.2 Tomographic modeling
- 121 2.2.1 General approaches

Voxel and node parameterization are the two common GNSS tomographic approaches. In the former, the tomographic field, which is usually assumed as a rectangular cube, is divided into many voxels (small rectangular cubes) and in the latter, and the field is discretized by nodes, as all the black and circle nodes shown in Fig. 1. In this study, the node parameterization approach
was adopted due to its better fitting of the spatial correlation of water vapor.

In the current node parameterization approaches, if the GNSS network is very small, e.g.
a three-station network from the Hong Kong Satellite Positioning Reference Station Network
(SatRef) as shown in Fig. 1, a large number of nodes are in the ESR (see the hollow circles)
within the rectangular cube which is the tomographic field. These nodes, as part of the unknown

131 parameters, need to be estimated. The inclusion of these unknown parameters in the estimation

- 132 process does not only add more 'redundant' parameters but also degrades the accuracy of the
- 133 solution.



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**Figure 1.** A three-station GNSS network from the Hong Kong Satellite Positioning Reference

136 Station Network (SatRef) as an example for GNSS tomography-the rectangular cube is the

tomographic field adopted in current node parameterization approaches, the solid nodes are those

near GNSS signals and the hollow nodes are those in the ESR.

In addition, a fixed rectangular cube is used as the tomographic field for all time in the current approaches, In fact, the spatial region that the signals travel through varies with time, as shown in Fig. 2 for the different distributions of the signals at the three stations shown in Fig. 1

on the top plane of the tomographic field at UTC 0 on 1 (day of year (DOY) 121), 16 (DOY 136)

143 and 31 (DOY 151) in May 2015.



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Figure 2. Distributions of GNSS signals at the three stations shown in Fig. 1 on the top plane of
 the tomographic field with the sampling rate of 30 seconds at UTC 0 on 1, 16 and 31 May, 2015.

To address the above issues, a new node parameterization approach that dynamically adjusts the tomographic field based on the spatial distribution of the GNSS signals at the tomographic epoch and also dynamically adjusts the location and number of all the nodes based on the size of the tomographic field is proposed. Its procedure is elaborated in the next section.

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# 152 2.2.2 New approach

The procedure for the new approach mainly includes two steps-determination of tomographic field and determination of node position, which are introduced below.

# *i) Determination of tomographic field*

A tomographic field is regarded to be comprised of many layers in the vertical dimension 156 and these layers with the same or different thickness, depending on the distribution of water 157 vapor at the height of the layer, as shown in Fig. 3(a), each layer is formed by two neighboring 158 horizontal planes. After all these planes are determined, the next task is to determine the 159 tomographic boundary for each plane, according to the distribution of the GNSS signals on the 160 plane. Fig. 3(b) shows the tomographic boundary on each of the planes shown in Fig. 3(a), which 161 is determined from the following three steps that were used in the Graham scan (Graham, 1972) 162 determining all the intersections (the blue points) of the GNSS signal paths on the plane (they 163 are name pierce points in this paper); 2) using a stack of the pierce points to detect and remove 164 all those pierce points that are in concavities; and 3) connecting the rest pierce points to form a 165 convex hull, which is the tomographic boundary (black polygon). 166



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**Figure 3.** (a) A tomographic field is divided by many layers, the thickness of which is dependent upon the distribution of water vapor in the layer, the red lines are the sampling GNSS signals and the blue points are the intersections of the GNSS signals on each horizontal plane; and (b)

- 171 Tomographic boundary is depicted by the black polygon on each horizontal plane.
- 172

Since the shape of the tomographic boundary determined using the new approach is irregular, it is difficult to generate equidistant nodes within the boundary. This differs from current node parameterization approaches in which uniformly distributed nodes can be easily pre-set. In this study meshing techniques are used to adjust the position of nodes for each plane and each tomographic epoch, and their procedure is discussed in the next section.

#### *ii) Determination of node position*

179 Meshing techniques for the generation of equidistant nodes of a GNSS tomographic 180 model include three steps and each of the steps is introduced below.

181 1) A mesh background in a desired size with nodes is used to provide initial nodes for 182 each plane see Fig. 4(a) where the polygon is obtained from the last section for the tomographic 183 boundary on the plane and at all the vertices of the polygon a new set of nodes are also attached 184 to the initial nodes, see Fig. 4(b) for the final initial nodes.

2) Delaunay triangulation (Delaunay, 1934) is used to establish a topology for the above 185 initial nodes on each plane. It determines non-overlapping triangles that fill the region in a 186 187 polygon such that every edge is shared by at most two triangles and none of the vertices is inside the circumcircle of any of the triangles. Delaunay triangulations maximize the minimum angle of 188 all the triangles to avoid sliver triangles which has undesirable properties during some 189 interpolation or rasterization processes (Edelsbrunner et al., 2000). Several methods have been 190 191 developed to compute the Delaunay triangulation such as the commonly used flipping edges and conversing a Voronoi diagram. In this study, the flipping edges method is adopted to connect the 192 193 initial nodes shown in Fig. 4(b) by the edges of Delaunay triangles on each plane and the topology formed is shown in Fig. 4(c). 194

195 3) The force displacement algorithm (Persson, 2005) is applied to the above topology for the adjustment of the initial nodes into equidistance with a reasonable length fitting the size of 196 the tomographic boundary on each plane. This method is based on the assumption that each edge 197 in the topology has a force value (let it be  $F_{ii}$ ) equal to the length of the edge. It can be used to 198 make all the edges'  $F_{ii}$  close to the same and reasonable pre-set force value  $F_0$  for a (roughly) 199 regularly distributed mesh. This is the main reason for the introducing of this method to this 200 study for adjusting the nodes in the irregular tomographic boundary (like Fig. 4(c)) into 201 equidistance (roughly). The force displacement algorithm is an iterative process as: 202

203  $[X^{k} Y^{k}] = [X^{k-1} Y^{k-1}] + Scal \cdot [F_{x}^{k} F_{y}^{k}]$ (2)

where  $X^k$  and  $Y^k$  are the vectors of the *x* and *y* coordinates respectively of all the nodes on the plane at the *k*th iteration and *k*-1 denotes the previous iteration; *Scal* is a relaxation factor for constraining the amount of the movement from the *k*-1th iteration to an appropriate value, for which a 0.2 value is commonly used;  $F_x^k$  is the vector of the vector sums of all the forces working on each of the nodes in the *x* direction,  $F_y^k$  is that in the y direction.

After the above algorithm is performed, all the nodes on the plane can be adjusted from the initial position (Fig. 4(c)) to equidistant position (Fig. 4(d)) through a series of iterations.

It is noted that the sizes of the tomographic boundaries on different planes are different (Fig. 3(b)) while the numbers of the signals on different planes are the same, so the densities of the signals on different planes are different, and the densities of the nodes on different planes better be different through using different  $F_0$  values. In this study, the  $F_0$  value for the *i*th plane is calculated by:

$$F_0^i = \mathbf{C} \cdot \operatorname{mean}(Ls^i) \tag{3}$$

where C is a constant coefficient and 0.68 is adopted for all planes; and mean( $Ls^i$ ) is the mean of

- all the lengths of the edges on the polygon.
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Figure 4. (a) Two sets of nodes for initialization—one set is generated using a mesh background with a desired size which is usually slightly larger than the region of the GNSS signals at all time and the other set is at all the vertices of the polygon (all black points); (b) Initial nodes; (c) Topology formed using Delaunay triangulation; (d) Nodes with equidistance adjusted based on the force displacement algorithm.

#### 226 2.3 Observation equations

After equidistant nodes for all planes are determined (like Fig. 4(d)), the next step is to estimate water vapor parameters at these nodes from observation equations of GNSS-derived *SWDs*. The derivation of the observation equations is as follows.

230 Theoretically, *SWD* is defined as the integral of wet refractivity  $N_w$  along the signal path *s* 

$$SWD = 10^{-6} \cdot \int_{s} N_{w} ds \tag{4}$$

It can be further decomposed into integrations of *n* layers:

233 
$$SWD = \sum_{i=1}^{n} \int_{s_i}^{s_{i+1}} N_w^s(i) ds = \sum_{i=1}^{n} SWD_i$$
(5)

where  $N_w^s(i)$  is wet refractivity in the *i*th layer;  $s_i$ ,  $s_{i+1}$  are the start and end points of the layer/integral; and *SWD<sub>i</sub>* is the part of the *SWD* in the *i*th layer

In GNSS tomography, in each of the piecewise integrals expressed in Eq. (5), i.e.  $SWD_i$ , the signal path in the layer is further divided into several equally spaced points and then  $SWD_i$  is approximated as a function of wet refractivity at these points using the Newton-Cotes formulae (Perler et al., 2011). In this study,  $SWD_i$  is approximated by the Newton-Cotes formulae of 4 degree at five equally spaced points, as (P<sub>1</sub>..., P<sub>5</sub>) shown in Fig. 5 where the plane *i* and plane (*i*+1) are the two horizontal planes corresponding to the above  $s_i$ ,  $s_{i+1}$  respectively, and the black solid dots denote some of the equidistant nodes obtained from Fig. 4(d).

243 The methods for obtaining wet refractivity at each of the points are as follows.

i) Wet refractivity at points  $P_1$  and  $P_5$  (which are on the *i*th and (i + 1)th planes

respectively) can be calculated using the interpolation method of the inverse-distance-weighted

(IDW) mean of the sample wet refractivity data from its surrounding nodes:

$$P_{wet} = \frac{\sum_{j=1}^{m} w_j \cdot n_j^{wet}}{\sum_{j=1}^{m} w_j}$$
(6)

where *j* is the index of the sample data, and  $w_j$  is its weight determined by the inverse-distance; and *m* is the number of the sample data.



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**Figure 5.** Five equally spaced points (black solid squares) for an approximation of wet

refractivity for the *i*th layer.  $P_4^i$  and  $P_4^{i+1}$  (black hollow squares) are the projected points of  $P_4$  on the *i*th and (*i*+1)th planes respectively, h<sub>1</sub> is the height difference between  $P_4$  and  $P_4^i$ , and h<sub>2</sub> is

254 that between  $P_4$  and  $P_4^{i+1}$ .

ii) Wet refractivity at points  $P_2$ ,  $P_3$  and  $P_4$ , cannot be directly interpolated like that for  $P_1$ and  $P_5$ , the following three-step procedure needs to be performed ( $P_4$  is taken as an example): 1) the position of  $P_4$  is projected onto both the *i*th and (*i*+1)th planes to obtain two projected points named  $P_4^i$  and  $P_4^{i+1}$ , respectively; 2) the above interpolation procedure for  $P_1$  and  $P_5$  is used to obtain wet refractivity  $P_{4wet}^i$  and  $P_{4wet}^{i+1}$  are  $P_4^i$  and  $P_4^{i+1}$  respectively; and 3)  $P_{4wet}^i$  and  $P_{4wet}^{i+1}$  are used to obtain a weighed mean wet refractivity for the position of  $P_4$  using [Reitan, 1963; Tomasi, 1981]:

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$$P_{4} = \frac{|h_{1}|}{(|h_{1}| + |h_{2}|)} P_{4wet}^{i} \cdot e^{-h_{1}/H} + \frac{|h_{2}|}{(|h_{1}| + |h_{2}|)} P_{4wet}^{i+1} \cdot e^{-h_{2}/H}$$
(7)

where  $h_1$  is the height difference between  $P_4$  and  $P_4^i$  and  $h_2$  is that between  $P_4$  and  $P_4^{i+1}$ ; and H is water vapor scale height, which can be calculated by Tomasi [1977]:

264 
$$H = \frac{10W}{\rho_s} \tag{8}$$

where *W* and  $\rho_s$  are the vertical total water vapor content (in g m<sup>-2</sup>) and surface humidity (in g m<sup>-3</sup>) respectively, and both can be obtained from GNSS data.

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After the above procedures are carried out,  $SWD_i$  can be expressed as a function of wet refractivity at a set of nodes. This procedure needs to be performed for all  $SWD_i$  (*i*=1,2,..n), then the next step is to substitute these  $SWD_i$  expressions and the SWD observation into Eq. (5), to form its GNSS tomographic observation equation.

The final GNSS tomographic observation equations of all SWDs from the GNSS network for the tomographic modeling is expressed as:

 $A \cdot X = b \tag{9}$ 

where *A* is the coefficient matrix of the model; *b* is the vector of the SWD observations; and *X* is the vector of the wet refractivity parameters at all nodes.

The *X* vector in Eq. (9) can be estimated using the least squares method. However, due to the problem with the sparseness of *A*, the algebraic reconstruction technique (ART) was used to estimate *X* in this study.

280 2.4 Tomographic solution

The ART has been successfully applied to reconstruction of water vapor field (Chen and Liu, 2014; Bender et al., 2011). Its main advantage is the high numerical stability, even under adverse conditions and also relatively easy to incorporate prior knowledge into the reconstruction process. The ART used to solve Eq. (9) is (Kaczmarz, 1937):

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$$x^{k+1} = x^{k} + \lambda \frac{b_{i} - \langle a_{i}, x^{k} \rangle}{\|a_{i}\|_{2}^{2}} a_{i} \qquad i = 1, 2, \cdots, m$$
(10)

where  $a_i$  and  $b_i$  denote the *i*th rows in *A* and *b* respectively;  $x_k$  is the *k*th iterative solution; and  $\lambda$  is a relaxation factor and the value of 0.2 was selected in this study. It is noted that Eq. (9) needs to be sorted in a certain sequence for Eq. (10). This is different from the commonly used observation equation system in which the order of the observation equations is not a matter. In this study, an access order scheme based on prime number decomposition (PND) proposed in (Ding et al., 2017) was used for the ordering of the observation equations such that the observation equations between two consecutive iterations are largely uncorrelated.

The unknown parameters X solved from Eq. (10) are the wet refractivity values at all tomographic nodes. In some meteorological applications, water vapor density may be preferred, in this case X needs to be converted using a conversion factor  $\Pi$  which is a function of watervapor-weighted-mean temperature *Tm* (Bevis et al., 1994; Wang et al., 2016) at the position of the nodes.

# 299 **3 Test results**

300 3.1 Data selection and tomographic scheme

Test data used in this study were from three stations in the Hong Kong Satellite Positioning Reference Station Network (SatRef), and the horizontal and vertical distributions of the three stations are presented in Fig. 6(a) and Fig. 6(b), respectively. The area of our interest ranges from 113.749 °E to 114.474 °E in the longitudinal direction, from 22.115 °N to 22.651 °N in the latitudinal direction and from 0 to 10800 m in the vertical direction. Radiosonde data from King's Park Meteorological Station (HKKP) (the blue triangle shown in Fig. 6(a) were used as the reference for the validation of our test results.



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Figure 6. (a) Horizontal distribution of the three stations selected from the Hong Kong reference
stations (red dots) and HKKP (blue triangle); and (b) Vertical distribution of the three stations
(black spots) and vertical layers used in tomographic modeling.

The test data were from the whole month of May, 2015 (day of year (DOY) 121–151) with the sampling rate of 30 seconds, and the GAMIT software was used to obtain SWDs at the

same rate in the data processing. For the tomographic modeling, a 5-minute sampling rate for 314 SWDs and a 30-minute interval for a tomographic epoch were adopted, meaning that SWD 315 observations from seven epochs stacked to one tomographic modelling interval were used – 316 317 including the two sample data at the two ends of the interval. The reason for the selection of data from May 2015 is that its monthly total rainfall was 513.0 mm, a 68% larger than the normal 318 level of 304.7 mm. The weather in Hong Kong was hot on the first few days of the month. After 319 a cloudy but relatively rain-free day on 8 May, another trough of low pressure brought heavier 320 showers and thunderstorms to Hong Kong on 9-10 May. Two rainstorm episodes on 20 and 23 321 May brought rain to most parts of the Hong Kong. Another rapidly developed rainstorm was on 322 26 May. The weather improved gradually with sunny periods on 28-30 May. However, the 323 weather turned cloudy again with isolated showers and thunderstorms on 31 May. The 324 tomographic scheme for testing is as follows. The first step is to determine the vertical 325 planes/layers for the tomographic field. Non-uniform vertical intervals from 300 to 3800 m (Fig. 326 6(b)) were selected for adaption to the inherent characteristic of water vapor spatial 327 distribution-it exponentially decreases with the increase of height. The use of this structure can 328 also avoid too many unknown parameters overfitting the SWD observations. The next step is to 329 determine the tomographic polygon/boundary on each of the above planes using the methods in 330 section 2.2.1 and based on the GNSS signals in the tomographic interval, then according to the 331 polygon's perimeter, a F<sub>0</sub> value in the force displacement algorithm for determination of the 332 density of nodes on each plane is calculated. All F<sub>0</sub> results in our test are in the range of about 333 1800–10000 m corresponding to the range of height 300–10800 m. The position of the nodes on 334 each plane is determined by Eq. (2). 335

Figure 7 shows the boundary and nodes on three tomographic planes at tomographic epoch UTC 0 on DOY 121, 2015 for an example. Tomographic model results are presented in the next section.



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**Figure 7.** Tomographic boundary and nodes on three planes ((a), (b) and (c)) and the

tomographic field and nodes (d) at tomographic epoch UTC 0 on DOY 121, 2015.

#### 342 3.2 Results of profiles

Water vapor density values obtained from the tomographic models at tomographic epochs UTC 0 and UTC 12 on each day of the month (DOYs 121–151) were compared against radiosonde (RS) data for evaluation of the model's accuracy. The values of the tomographic results at all RS sampling points were calculated first using the interpolation method mentioned in section 2.2.1, then the root mean square error (RMSE) of the differences between the interpolated values and RS observations at all the sampling points of the RS profile from the ground surface to 10800 m at each epoch was calculated for the accuracy of the profile. All the

results at the 62 epochs during the 31-day period are shown in Fig. 8.



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Figure 8. RMSE of model-derived water vapor density values at all RS sampling points of the RS profile below 10800 m at tomographic epochs UTC 0 and UTC 12 on each day of the month (DOYs 121–151).

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The maximum RMSEs, i.e., the worst results, at UTC 0 (red) and UTC 12 (blue) are on 356 DOY 143 and DOY 150 respectively; while the best result (the minimum RMSEs) at the two 357 epochs are on DOYs 121 and 146. In order to find the reason for the large difference between the 358 worst and best results, the tomographic field, the distribution of the signals and the nodes at these 359 four epochs are given in Fig. 9, where Fig. 9(a) and Fig. 9(b) correspond to the best results at 360 UTC 0 and UTC 12 respectively, both of which show uniform distributions of the GNSS signals. 361 However, the distributions of the GNSS signals corresponding to the worst results at UTC 0 (Fig 362 9(c)) and UTC 12 (Fig 9(d)) are different in the sparse signals shown in blue lines, which is one of 363 364 possible reasons for the poor accuracy of the model results. 365



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Figure 9. Tomographic field and signal distribution at tomographic epoch UTC 0 on DOY 121
(a), UTC 12 on DOY 146 (b), UTC 0 on DOY 143 (c), and UTC 12 on DOY 150 (d).

The results shown in Fig. 8 are the statistics of the model results for each epoch on each 369 day. In Table 1, the statistics of the model results at both epochs together in the whole month are 370 compared with that of the adaptive node parameterization approach (ANP) (Ding et al., 2018) 371 during the same periods. Unlike the results of new approach are based on three stations of SatRef, 372 17 stations of SatRef are used to estimate the results of the ANP. The RMSE and IQR values of 373 new approach are similar to that of the ANP, meaning that the new approach for a few GNSS 374 stations, such as three stations, is feasible. But in terms of the Bias, the new approach has a poor 375 performance. 376

Table 1. Monthly statistics of new approach and ANP

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Statistic	$RMSE (g m^{-3})$	Bias (g m <sup>-3</sup> )	$IQR (g m^{-3})$
New approach	1.477	0.239	1.430
ANP	1.216	-0.012	1.678

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To indicate the spread of all the errors (the ones used to calculate the above monthly statistics), scatter plots shown in Fig. 10(a) are used to analyze the characteristics of these errors in different intervals. The x and y axes denote the RS observation and the model result (in g m<sup>-3</sup>)

<sup>377</sup> 

respectively; each hollow circle corresponds to a sampling point's result; and the red line

represents the "perfect" results, i.e. the model results equal to the RS results. Those hollow

circles that are on the red line have an error value of zero, those above the red line have a

386 positive error value, and the rest have a negative error value. The closer a hollow circle to the red 387 line, the smaller its error value.

388 How well all the hollow circles "fit" the red line indicates the overall quality of the model results. It is clear that the hollow circles have a cigar-shaped (fusiform) distribution. The hollow 389 circles in both ending intervals ([0-5] and [20-25] g m<sup>-3</sup>) more concentrate around the red line 390 than those in the middle part ([5-20] g m<sup>-3</sup>). The reason for this is 1) most of the sampling points 391 in the [20-25] g m<sup>-3</sup> interval are located near the ground surface, where water vapor density 392 decreases exponentially with the increase of height and there are about 20 nodes were penetrated 393 394 by about 106 signals on the bottom of the tomographic region (300m) and 28 nodes were penetrated by the same number of signals on the top of tomographic region (10800m). However, 395 the area of tomographic boundaries on the bottom is about 64  $\text{km}^2$  (i.e., about 1.7 signals per 396 square kilometer) and that on the top is  $1550 \text{ km}^2$  (i.e., about 0.07 signals per square kilometer). 397 Therefore, the density of the GNSS signals is very high near the ground surface, which results in 398 relative high accuracy; 2) most of the sampling points in the [5-20] g m<sup>-3</sup> interval are located in 399 the mid-height of the tomographic field, where the GNSS signals are sparser than the [20-25] g 400  $m^{-3}$  interval, leading to a larger tomographic field, which results in a lower accuracy; and 3) 401 most of the sampling points in the [0-5] interval are located in the top section of the tomographic 402 field, where the water vapor values are smaller than the other two intervals, leading to the 403 404 smallest errors.





Figure 10. Graphic presentation for the distribution of the tomographic results at the two epochs
 on every day during the month: (a) scatter plot of water vapor density; and (b) box plot for
 outlier detection of the tomographic errors.

The box plot is mainly for the indication of those large errors at all sampling points. Q1 and Q3, which are the first and third quartiles respectively, determine the IQR value in Table 1; Q2, the second quartile, roughly reflects the bias of all the errors; the whiskers, i.e. the two black bars, located at Q1–1.5(IQR) and Q3+1.5(IQR), are for the determination of the lower and upper

bounds of the criteria for outlier detection, e.g. the red cross marks are regarded outliers. Table 2

lists all the above characteristic values of all errors (the total number of errors is 2790).

415

416 **Table 2.** Characteristic values of the box plots in Fig. 10(b).

417

	Q1	Q2	Q3	Upper bound	Lower bound	ower bound
Statistic	(g m <sup>-3</sup> )	$(g m^{-3})$	Number of outliers			
	-0.527	0.062	0.903	3.048	-2.672	159

418

419 3.3 Results of different layers

In the last section, the RMSE of model-derived water vapor density values at all sampling points for each profile (Fig. 8) and the errors at all the sampling points and two epochs on each day during the month (Fig. 9) are analyzed for the assessment of the overall performance of the models. In this section, the monthly RMSE at all the sampling points but in 11 different tomographic layers and the monthly mean of the relative errors in these layers are investigated, see Fig. 11.

In those layers below 1500 m, the two lines in both subfigures show the same tendency of variation with height –the error value increases with the increase of height. This is because the higher the layer, the more the spread of the GNSS signals, the worse the accuracy of the result. However, in the layers above 1500 m, the two lines show opposite tendencies of variation with height because the higher, the smaller the water vapor density. The smaller water vapor density values in these high layers lead to the small RMSE and large relative errors.



432

**Figure 11.** (a) Monthly RMSE of (absolute) tomographic errors and (b) mean of relative tomographic errors in different layers.

435

#### 436 **4 Conclusion and outlook**

In this study a new node parameterization approach for determination of a tomographic 437 field based on the distribution of GNSS signals at the tomographic epoch and also for 438 discretization of the tomographic field is proposed. The number and the position of the nodes on 439 each tomographic plane are determined based on the perimeter of the tomographic boundary on 440 441 the plane and meshing techniques respectively. Since the tomographic model is tailor-made for the tomographic field at the epoch, the new approach is applicable to not only GNSS networks 442 with several stations, but also GNSS networks with few stations, e.g., three stations, which 443 cannot be solved by conventional approaches. The new approach was tested using GNSS data 444 from three stations in the Hong Kong Satellite Positioning Reference Station Network during the 445 period of May, 2015 and its model results were validated by comparing them against radiosonde 446 data at UTC 0 and UTC 12 from HKKP. Results suggest that the new approach is feasible for a 447 three-station GNSS network. In addition, monthly statistics of the tomographic results on each 448 tomographic layer indicated that the size of the tomographic boundary and the magnitude of 449 water vapor are two critical factors affecting the accuracy of the tomographic result of the layer. 450

451 Our future work will be focusing on using unevenly distributed nodes that fit the density 452 of the GNSS signals.

453

#### 454 **Data availability**

455 GNSS data in the RINEX format used for this study can be downloaded from website

456 (<u>http://www.geodetic.gov.hk/smo/gsi/programs/en/GSS/satref/satref.htm</u>). Radiosonde data of

- 457 King's Park Meteorological Station can be downloaded from website
- 458 (<u>http://weather.uwyo.edu/upperair/sounding.html</u>).
- 459

# 460 Author Contribution

- Nan Ding contributes to conceptualization, data curation, formal analysis, investigation, methodology, resources, software, validation, visualization, writing-original draft and writing-review & editing.
- Shubi Zhang contributes to conceptualization, formal analysis, funding acquisition,
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   writing-review & editing.
- Suqin Wu contributes to formal analysis, supervision, writing-original draft and writing-review & editing.
- Xiaoming Wang contributes to conceptualization, formal analysis, investigation,
   methodology, resources, validation, writing-original draft and writing-review & editing.
- 471 Xin Liu data curation, investigation, methodology, resources, visualization, writing-original
   472 draft and writing-review & editing.
- Allison Kealy contributes to formal analysis, methodology, resources, supervision, writing original draft.

- Kefei Zhang contributes to conceptualization, formal analysis, funding acquisition,
- investigation, project administration, resources, supervision, writing-original draft and
   writing-review & editing.
- 478

# 479 **Competing interests**

480 The authors do not have any possible conflicts of interest.

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