

Replies (*in italics*) to the following comments of Reviewers 2 and 3

Anonymous Referee #2

General comments

This article presents the results of a validation study for the Nimbus 7 / LIMS HNO₃ and NO₂ v6 data sets. The study focuses on the Aleutian High region, in January 1979, when occurred a minor stratospheric warming event. An earlier analysis showed that, in the previous version of these data sets, the evolution of NO₂ mixing ratios was inconsistent with the evolution of HNO₃ mixing ratios, at this particular time and place. The authors have re-investigated this event using the v6 dataset, together with photochemical calculations, and showed that the data quality has improved. This paper presents interesting and novel results, showing that the v6 LIMS observations can be used for scientific studies. This is perfectly suited to the scope of AMT and I recommend publication after consideration of the minor revisions suggested below. Given that the main goal of your study is to show that v6 HNO₃ and NO₂ LIMS data products are of better quality than v5 data products, why do not you show any direct comparison of these two versions? Rather than comparing them only indirectly by referring to the findings of R93, you could for example add at least one figure and one paragraph addressing the direct comparison of these two datasets in the specific region and time period considered in your study. This is true especially for NO₂, that has changed the most. This would add value to your paper.

We regenerated Figure 4 (see below) which shows results for both V5 and V6 at 64°N, since the V5 data are not available at 66°N; V5 profiles are at 4° latitude intervals, rather than every 2° as for V6. The primary changes are for NO₂, where the V6 values are about half those of V5. Remsberg et al. (JGR, 1994) describes the effect of improved spectral line data for the retrieval of V6 NO₂ and we add that reference to the list.

I think that the general readability of the paper could be improved. Some parts of the text consist of a long description of the figures, but your conclusions are not made clear enough. The presentation quality of the figures could also be improved (see specific comments below).

We have rewritten the conclusions slightly to make them clearer.

Specific comments

L69: It would be clearer if you would change "or the sum of" to something like ", which is defined as the sum of...". Moreover N₂O₅, which is considered in your study, is also part of the NO_y family. You could mention it.

We made changes per your suggestion.

L155: Please give more details about how anomalies are defined in your study. Over which period has the zonal mean used as a reference been calculated? In the next paragraph, when writing about the anomalies for NO₂, you mention that you took into

account zonal waves also. How have you done exactly? Were the anomalies derived in a different way for different species? Please make that point clearer.

We added more information about the calculation of the zonal anomalies, which are with reference to the daily zonal mean coefficients.

L181: To which pressure level correspond this figure? I guess that it is 31.6 hPa, as in the previous figures. Please mention it, both in the text and in the figure caption.

We now say 31.6 hPa.

L206 and 210: Why do not you represent these uncertainties in the figure? (same comment for Fig. 4)

We added estimates of uncertainty to Figures 4 and 5 and explain them in the text.

L216-224: Please make clearer the link between this discussion on LIMS NO₂ L2 products and your figure 5. This paragraph sounds like a general description of the data quality, but it is not clear what is your conclusion and how this affects the interpretation of Fig. 5.

The data in Figure 5 are from the LIMS V6 Level 2 profiles, while the zonal variations in Figure 4 are at grid point longitudes based on the Level 3 Fourier coefficient data.

L236: "09Z on 28 January" Please explain what is this time format.

Time is 9 am GMT (designated 09Z within most atmospheric datasets) on 28 January.

L258: "only a modest amount of aerosol surface area is necessary ..." Please quantify this statement.

Aerosol surface area in the model is $\sim 4 \times (10^{-9})$ per cm at 31 mb and 60N for January. This is equivalent to the value given in Table 1 of Hofmann and Solomon (JGR, 1989) for a background aerosol layer at 25 km, 28N. We've added that reference.

L263: "an updated version of the stratospheric diurnal photochemical model" Could you briefly explain what are the differences between this version of the model and the version described in Natarajan and Callis (1997).

Reaction rates and photochemical rates in the model are now according to Burkholder et al. (2015).

L300 and 304: Maybe you could add the temporal evolution of the air parcel latitude to your plot. It would thus be easier to follow the interpretation. (same comment for Fig. 9)

We elected not to include information about physical location in the Figures 8 and 9.

L454: The highest value of HNO₃ is 13 ppbv, according to Fig. 13.

We revised the upper limit value.

L481-500: I am not convinced that it is useful to separate the description of what happened at high and middle equivalent latitudes into two paragraphs. It makes your text a bit repetitive. (For example, what you wrote in lines 498 to 500 sounds redundant with what you wrote in lines 486-487.)

We moved sentences at lines 496-500 to the end of the previous paragraph.

Fig. 4: Please indicate in the caption the concentration unit for each species (ppmv or ppbv), like you have done for Fig. 8.

Fig. 5: Same comment as for Fig. 4.

We made changes to both figure captions.

Fig. 7: It would be good to find a way to better distinguish the trajectories from each other. (As it is now, it is quite difficult to distinguish the trajectory A-a from B-b.) Maybe adding thin black contour lines could help.

We modified Fig. 7, showing A-a with a thin white center line in (a) and a thin black line in (b).

Fig. 8: You could add the names of the species in the beginning of the corresponding lines. This would make the figure clearer (same comment for Fig. 9). You should also define the red solid line in the caption, as it has been done for Fig. 9.

Species names are at the left of each curve, and we describe the red curves in the captions.

Technical corrections

L29: 27 January (instead of 28).

28 January is correct.

L86: Please remove the second "unscreened".

L276: "that have behavior similar to" Please reword ("that have a similar behavior to..." or "that behave similarly..." for example).

L401: "aerosol, surface area" Please remove the comma.

L470: Please change "during sunlight" to "under sunlit conditions".

L711: "gas phase nitric" The word "acid" is missing.

We made corrections.

L740: Please change "between 21 and 27 January" to "on 21 and 27 January".

We revised the sentence in the caption to say, 'Note that between 21 and 27 January, there is some repetition of the AH center location and the corresponding red squares overlap'.

Fig. 12: Please write the years in white instead of black.

We made that change. At line 444 we now refer to Colucci and Ehrmann (JAS, 2018), as well.

Replies (*in italics*) to Reviewer 3:

The paper "On the consistency of HNO₃ and NO₂ in the Aleutian High Region from Nimbus 7 LIMS Version 6 dataset" uses data from the LIMS instrument in January 1979 together with results from a photochemical trajectory model to investigate an event of HNO₃ increase in the warm part of a dominant wave-2 structure in the lower stratosphere (30 hPa). Two aspects of this investigation are of interest: 1) The study demonstrates that LIMS v6 observations of HNO₃, NO₂, temperature and ozone can be used for scientific studies. Though the measurement period of LIMS was relatively short (October 1978 to May 1979), to my knowledge no other global observations of HNO₃, or NO₂/ozone during night, were available at that time. 2) A dedicated photochemical model study of the role of heterogeneous chemistry in a relatively warm winter stratosphere is carried out, an area certainly not as well investigated as the cold stratospheric vortex; it is found that even in the warm winter stratosphere, heterogeneous chemistry on the background aerosol plays a significant role in re-distributing NO_y during night. The paper is reasonably well written, and I recommend publication with a few minor revisions. Some suggestions, mostly related to readability of the text and figures, are listed below.

line 69: ... that includes the chemistry of reactive nitrogen (NO_y), the sum of HNO₃ and odd nitrogen (NO_x) (comma instead of or?)

Line 69: We added a comma.

line 73: here as well as in other places where a zonal wave-2 signature in HNO₃ is discussed: I would rather call this a "quasi-wave 2 signature", because it is likely not related to a real planetary (Rossby) wave structure, as you indeed show in the paper. You could also say that it shows a quadrupolar structure. E.g., line 76; line 171; line 382.

Line 73: We changed the terminology, where appropriate.

line 76: "independent of dynamics" but heterogeneous reactions are temperature dependent, and transport plays a role as well here. Maybe it would be better to characterize the behavior of HNO₃ as driven by a combination of chemistry and dynamics.

Line 76: We agree that your description is better so we made a change.

line 86: two "unscreened" in this sentence, one of them is unnecessary.

Line 86: We made a correction.

line 137, figure: observed HNO₃ is highest in the polar vortex, and particularly in the presence of PSCs. However, as HNO₃ is taken up into PSCs, a decrease of gas-phase HNO₃ might also be expected in the presence of (large) PSCs (von Koenig et al., JGR, 2002; Lambert et al., Atmos Chem Phys, 2008)

Line 137: We agree with your assessment that it is likely that there was a decrease of gas-phase HNO₃ directly downwind of the observed PSCs. We have modified this sentence, accordingly, and have included your two references. However, we believe that Lambert et al. has a publication year of 2012.

line 172-173: Please provide more detail about the derivation of the anomalies. Did you fit zonal planetary wave signatures as well as subtract the zonal mean? How? Why?

Lines 172-173: The zonal anomalies in Figures 2 and 3 are a result of merely subtracting the zonal mean coefficients (or mixing ratio) from the total value at each latitude/longitude grid point. However, the so-called "zonal anomalies" for NO₂ represent the result of subtracting the average of the descending or "11 pm" value at a latitude from the total values of NO₂ at each longitude. In other words, the separate analyses of the ascending or descending NO₂ in the LIMS Level 3 product do not account for likely diurnal variations in the NO₂.

line 177: "... while HNO₃ and NO₂ have anomaly patterns of the same sign" on January 19, but not on January 27 in the Ah region.

Line 177: We agree that the HNO₃/NO₂ anomalies are of the same sign across the AH region on 17 January but not on 27 January, and we now make that distinction in the revised text.

lines 181-196, figure 4: it would be good to provide error bars in the figure, and discuss the error range of the observations in the text, to assess whether the observed zonal variation is significant. This is especially true for NO₂, where variations are small. Error ranges are provided in the discussion of figure 5, but should be provided here as well.

line 188: are "observed? seen?"

Lines 181-196 and Figure 4: The zonal variations in revised Figure 4 (see below) are species values at 64°N, as obtained from the Level 3 Fourier coefficients for both V6 and V5 and calculated at grid points spaced every 5.625° of longitude. The LIMS Fourier coefficients for each of the species are the result of applying a sequential estimation algorithm to their Level 2 or profile data, as limited by the estimated data precisions. As a result, the species variations in Figure 4 are relatively accurate and significant, at least to the scale of zonal wave 6 (or to wave

4 for NO₂). The error bars in revised Figure 4 are the $2\sigma_n$ values from the error covariance matrix of the vector of the V6 Fourier coefficients (Remsberg and Lingenfelter, 2010).

Line 188 will say that the “differences for NO₂ are seen mainly in the longitude sector from 320 to 120 E.”

figure 5: it would be good to provide error bars in figures 4 and 5. I admit figure 5 is quite busy already; however, lines could also be highlighted by color, or you could provide one error range for every species at the edge of the figure. As is it at the moment, it is difficult to assess whether the temporal evolutions of ozone, water vapor and NO₂ are significant.

Figure 5: The species variations with time shown in Figure 5 are taken from the orbital or Level 2 profiles nearest to the center of the AH on each day, and we now include estimates of their single profile error. Vertical bars based on those root-sum-squared (RSS) data errors are on each curve near the right hand side of revised Figure 5 (see below).

lines 263-264: please specify in which way the model is updated - reaction rates, heterogeneous chemistry?

Lines 263-264: We use an updated version of the stratospheric diurnal photochemical model (Natarajan and Callis, 1997) that incorporates the chemical kinetics and photochemical data from the recent JPL evaluation (Burkholder et al., 2015) to calculate the changes in the composition of the air parcels until they reach the AH region on 27 January.

line 276: "have behavior" → "behave"

Line 276: We made the change.

line 458: "when further chemical changes are inefficient" however, there should be uptake into PSCs if cold enough.

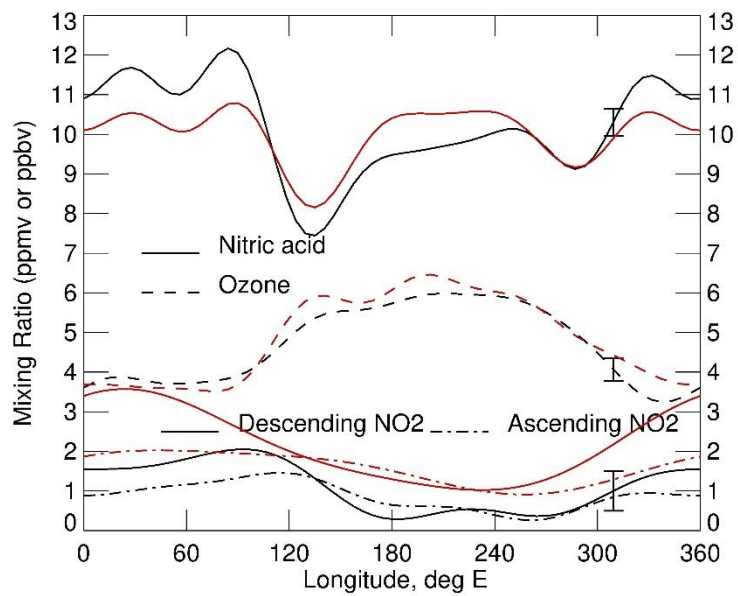
Line 458: We are adding your modifying statement.

line 476: "values_7ppm" → "values of _7 ppm"

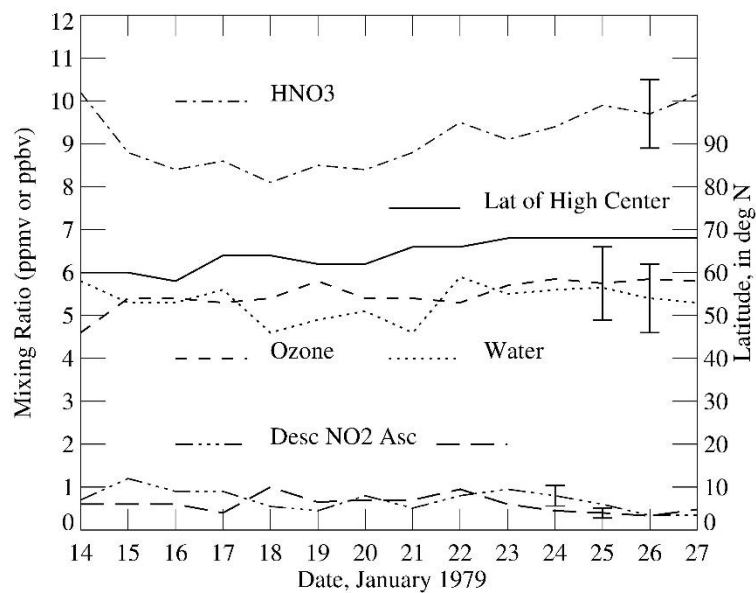
Line 476: We made the change.

figure 11: is the scatter within the single profile error of LIMS?

Figure 11: The RSS error estimates for HNO₃ and NO₂ are 0.8 ppbv and 0.24 ppmv, respectively.



Revised Figure 4 with 2σ error estimates on the V6 curves near 311°E. The data are from the V6 (black) and V5 (red) Level 3 or Fourier coefficient files for January 27, 1979.



Revised Figure 5 that includes \pm RSS errors at January 24-26 for variations of V6 species.

1
2 ON THE CONSISTENCY OF HNO₃ AND NO₂ IN THE ALEUTIAN HIGH
3 REGION FROM THE NIMBUS 7 LIMS VERSION 6 DATASET
4

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19 **Abstract.** This study uses photochemical calculations along kinematic trajectories in conjunction with
20 Limb Infrared Monitor of the Stratosphere (LIMS) observations to examine the changes in HNO₃ and
21 NO₂ near 30 hPa in the region of the Aleutian High (AH) during the minor warming event of January
22 1979. An earlier analysis of Version 5 (V5) LIMS data indicated increases in HNO₃ without a
23 corresponding decrease in NO₂ in that region and a quasi-wave-2 signature in the zonal distribution of
24 HNO₃, unlike the wave-1 signal in ozone and other tracers. Version 6 (V6) LIMS also shows an increase
25 of HNO₃ in that region, but NO₂ is smaller than from V5. The focus here is to convey that ~~both~~ V6
26 HNO₃ and NO₂ are of bettergood quality ~~than from V5~~, as shown ~~here~~ by a re-examination of their
27 mutual changes in the AH region. Photochemical model calculations initialized with LIMS V6 data show
28 increases of about 2 ppbv in HNO₃ over 10 days along trajectories terminating in the AH region on 28
29 January. Those increases are mainly a result of the nighttime heterogeneous conversion of N₂O₅ on
30 background stratospheric sulfuric acid aerosols. Changes in the composition of the air parcels depend on
31 the extent of exposure to sunlight and, hence, on the dynamically controlled history of the trajectories.
32 Trajectories that begin in low latitudes and traverse to across the Pole in a short time lead to the low
33 HNO₃ in the region separating the anticyclone from the polar vortex, both of which contain higher HNO₃.
34 These findings help to explain the observed seasonal evolution and areal extent of both species. V6
35 HNO₃ and NO₂ are suitable, within their errors, for the validation of stratospheric chemistry/climate
36 models.

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1 Introduction and Objectives

The Limb Infrared Monitor of the Stratosphere (LIMS) experiment operated on Nimbus 7 from 25 October 1978 through 28 May 1979. LIMS measurements were originally processed and archived to a Version 5 (V5) data set (see Gille and Russell, 1984, and references therein). Since then, the observed LIMS radiance profiles have been re-processed with improved, Version 6 (V6) algorithms to provide profiles of temperature, chemical species, and geopotential height, as a function of pressure-altitude from 65° S to 84° N latitude (Remsberg et al., 2004). There are improvements from the registration of the LIMS radiance profiles and from updated spectroscopic line parameters for retrievals of the V6 species profiles. Several studies already show that the V6 ozone is of better quality for scientific analysis (Natarajan et al., 2002; Stolarski et al., 2013; Remsberg et al., 2013; Shepherd et al., 2014). The two nitrogen species, nitric acid (HNO₃) and nitrogen dioxide (NO₂), are also of better quality ~~in V6,~~ particularly NO₂ (Remsberg et al., 2010) ~~– In particular, Remsberg et al., 1994).~~ Holt et al. (2012) ~~were able to quantify~~ quantified the exchange of V6 NO₂ from the mesosphere to the middle stratosphere within the polar vortex. Remsberg and Harvey (2016) also found good relationships on the 550 K potential temperature surface (near 30 hPa) for the highest values of V6 HNO₃, lowest values of ozone, and highest values of potential vorticity (PV) within the Arctic winter vortex. While there are residual effects from polar stratospheric clouds (PSCs) in the ozone and water vapor (H₂O), those effects are small in the HNO₃ and NO₂ profiles and occur only at temperatures < 194 K and from about 1-20 January. The V6 data set is part of the SPARC Data Initiative for chemistry-climate model comparison studies (Tegtmeier, et al., 2013; SPARC, 2017).

~~The northern~~ Northern hemisphere (NH) polar winter of 1978-1979 was dynamically active in the middle stratosphere, as determined from daily surface plots of geopotential height (GPH), potential vorticity (PV), and ozone on the 850 K potential temperature surface (near 10 hPa) (McIntyre and Palmer, 1983;

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Leovy et al., 1985; Butchart and Remsberg, 1986). A major, zonal wave-1 forcing brought about a rapid exchange of air between polar and middle latitudes from mid to late January. Rood et al. (1993) (hereafter referred to as R93) analyzed the LIMS V5 data in the Aleutian High (hereafter referred to as or AH) region for 14-27 January. They reported that the tracer-like species HNO_3 on the 30-hPa surface increased slowly during that time in the region of the relatively warm anticyclone. The absence in their analysis of a corresponding decrease in NO_2 accompanying the increase in HNO_3 led to concerns of an inconsistency in the LIMS data. The present study reconsiders that anomaly but using the V6 dataset and a trajectory model that includes the chemistry of reactive nitrogen (NO_y), or the sum of NO , NO_2 , $2\text{N}_2\text{O}_5$, NO_3 , HNO_3 , HO_2NO_2 , and odd nitrogen (NO_x), ClONO_2 .

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In their analysis of the V5 data, R93 also noted finding high values of HNO_3 in both the polar vortex and the AH, and lower values around the periphery of both circulation systems resulting in a ~~zonal~~ quasi-wave-2 signature in the HNO_3 distribution. They suggested that, since dynamically controlled species like ozone showed a clear wave-1 signature similar to GPH and PV, the HNO_3 levels outside the polar vortex may have influences from a ~~mechanism-independent~~ combination of chemistry and dynamics in order to account for its quasi-wave-2 variation. They explored the possible role of heterogeneous chemistry on background sulfate aerosols in converting NO_x to HNO_3 and concluded based on a 2-D model study that those species changes from V5 are large in winter but not correct phenomenologically. Separate studies have shown that heterogeneous reactions involving sulfate aerosols do perturb the stratospheric photochemistry and HNO_3 levels (Austin et al., 1986; Rodriguez et al., 1991). With the availability of the improved V6 dataset, we revisit the question of inconsistency for the LIMS HNO_3 and NO_2 observations in the AH region. We focus our attention on the same period, namely 14 – 27 January, when there was a minor stratospheric warming.

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87 Section 2 describes briefly the improvements implemented in the retrievals of the V6 profiles. We also
88 note that the ~~unscreened~~, residual effects from unscreened PSCs in the polar vortex are small for HNO₃
89 relative to those in ozone. Section 3 contains polar plots of ozone, HNO₃, NO₂, and GPH for 17 and 27
90 January 1979 and describes their changes, as the AH develops and the center of the vortex moves off the
91 Pole. Section 4 shows the changes in V6 HNO₃ and NO₂ at the center of the AH from 14 to 27 January.
92 Section 5 describes an ensemble of trajectory calculations, including heterogeneous chemistry, for air
93 parcels converging in the region of the AH on 27 January. Those calculations partition NO_y into the
94 observed HNO₃ and NO₂, plus the unobserved variations of nitric oxide (NO) and nitrogen pentoxide
95 (N₂O₅). The calculated daily species values compare reasonably well with closest observed LIMS values,
96 as shown from the results in Section 6. We then show in Section 7 time series of variations of PV and of
97 V6 HNO₃, H₂O, and NO₂ on the 550 K potential temperature surface across most of the northern
98 hemisphere for the entire 7¼ months of LIMS data. In this way, the variations for January are set in the
99 context of the longer-term, seasonal changes for those species. Section 8 is a summary of the findings.

100

101 2 Improvements of the LIMS V6 species

102 The LIMS instrument obtained profiles of atmospheric limb radiance in six channels, a wide (W) and a
103 narrow (N) band channel for CO₂ (CO₂W and CO₂N) and one each for ozone, H₂O, HNO₃, and NO₂
104 (Gille and Russell, 1984). Retrieved V6 profiles occur at a spacing of every 1.4° of latitude (~155 km)
105 along the orbit, although their horizontal, tangent-path resolution remains no better than about 320 km.
106 Bandpass filters (in cm⁻¹) for the LIMS instrument are CO₂W (579-755), CO₂N (637-673), ozone (926-
107 1141), H₂O (1370-1560), HNO₃ (844-917), and NO₂ (1560-1630) in terms of their 5 % relative response
108 points. Both the H₂O and NO₂ channels have an instantaneous field-of-view (IFOV) vertical width at the
109 horizon of 3.6 km, while the other four channels have half that width or 1.8 km.

110

111 Retrievals of the V6 temperature and associated species profiles were obtained by using all successive,
112 up/down scan profile pairs along their observed, orbital tangent-path locations and at 18 levels per decade
113 of pressure-altitude, $p(z)$, or spaced about every 0.88 km. The effective vertical resolution is the same
114 (~ 3.7 km) for the retrieved V6 temperature and for each of the species profiles (Remsberg et al., 2004),
115 such that one can evaluate better the combined changes of HNO_3 and NO_2 at a given pressure-level.
116 Further, the spectral line parameters used for the retrieval of the NO_2 were updated for the production of
117 V6, leading to values of nighttime NO_2 that are up to 20 % smaller than those of V5 in the upper and
118 middle stratosphere (e.g., Remsberg et al., ~~2010~~1994). An important addition to the V6 data set is the co-
119 located GPH for each of the retrieved profiles.

120
121 LIMS-retrieved ozone has a non-linear sensitivity to temperature and/or radiance biases and to the effects
122 of PSCs; retrieved H_2O mixing ratio is even more sensitive (Remsberg et al., 2007; 2009). On the other
123 hand, effects from PSCs are much less noticeable in HNO_3 and NO_2 . As an example, Figure 1 shows the
124 relative effects for ozone and HNO_3 of the residual contamination or the unscreened effects of emission
125 from PSCs, plus the associated temperature and GPH on the 31.6-hPa surface for 11 January. Grid-point
126 data for the surface plots of Fig. 1 are from the V6 Level 3 sequential estimation (SE) algorithm product
127 (Remsberg and Lingenfelser, 2010). The ozone panel of Fig. 1 shows values in the cold vortex region
128 that are of the order of 6 ppmv and not in keeping with the much lower surrounding values of 3 ppmv.
129 White plus signs indicate where there was a screening of profile segments perturbed by emissions from
130 PSCs in ozone, and the red dot indicates the presence of a PSC based on data from the Stratospheric
131 Aerosol Monitor (SAM II) experiment for comparison purposes. While there can be descent of ozone in
132 the vortex from higher altitudes, the excess ozone in the vortex region of Fig. 1 is due to the remaining,
133 unscreened effects of the PSCs. Note also that the PSC features occur only where the environmental

temperature is less than about 194 K. Thus, effects of PSCs are minimal for most of the Arctic lower stratosphere, and they are not present at all in the warmer AH region.

The lower right panel of Fig. 1 shows that observed HNO_3 ~~also has values~~ is highest in the vortex ~~that appear a bit high,~~ and particularly in the presence of PSCs. As HNO_3 adsorbs onto PSCs, a decrease of gas-phase HNO_3 is also expected in the presence of and directly downwind of large PSCs (von Koenig et al., 2002; Lambert et al., 2012). Yet, those high LIMS values are nearly unaffected by ~~the~~ PSCs because the relationship between the ~~observed~~-LIMS HNO_3 channel radiance and its retrieved mixing ratio is ~~very~~ nearly essentially linear. In fact, simulation studies indicate that a temperature bias error of 1 K has only a small, 3 % effect in the V6 HNO_3 mixing ratios from 10 to 50 hPa (Remsberg et al., 2010, their Table 1). Retrieved NO_2 also varies in a nearly linear way. Yet as with ozone, there are no perturbing effects from PSCs for H_2O , HNO_3 , or NO_2 in the AH region that is the focus of the remainder of this study.

3 LIMS V6 GPH, O_3 , HNO_3 , and NO_2 during January 1979

R93 (and references therein) analyzed and presented results of GPH, ozone, and HNO_3 at 30 hPa from the V5 data set for 14, 17, 23, and 27 January. They noted that ozone behaves as a tracer in terms of its relation to changes in GPH and according to its associated transport fields. On the other hand, they found that the HNO_3 distributions did not evolve in the same way, but developed a ~~zonal-quasi-wave-2~~ rather than ~~wave-1~~ character over a deep layer of the middle stratosphere (50 to 5 hPa). They also did not find clear anti-correlations between the distributions of V5 HNO_3 and NO_2 in the more isolated AH region, at least to the extent that the sum of those two gases do not change appreciably. We consider their variations again using the V6 data.

Initially, Rood et al. (1987) ~~had~~ expressed some ~~doubts~~~~doubt~~ about the accuracy of the Arctic GPH fields at 30 hPa from the operational meteorological analyses for January 1979. Figs. 2b and 3b are polar plots of the zonal GPH anomalies (~~deviations from zonal mean~~) ~~from V6~~ for the equivalent level of 31.6 hPa and for two of the four days above, 17 and 27 January. Those GPH anomalies exhibit structures that are very similar to those ~~offound by~~ R93 (their Figs. 1b and 1d). ~~The large~~Large-scale flow is along ~~the~~ isolines of the GPH anomalies or around the respective high and low centers. The AH strengthened significantly but remained at about the same location from 17-27 January.

Anomalies for the LIMS species in Figs. 2 and 3 are a result of subtracting the V6 zonal mean coefficient (or mixing ratio) from the observed values at each latitude/longitude grid point. However, the so-called “zonal anomalies” for ascending (local 1 pm) and descending (local 11 pm) NO₂ represent the result of subtracting the average of the ascending or descending values for a latitude from the corresponding, observed values of NO₂ at each longitude. In other words, the separate analyses of the ascending or descending NO₂ in the LIMS Level 3 product do not account for likely diurnal variations in the NO₂.

Maximum ozone anomalies in Figs. 2a and 3a are nearly coincident with the center of the AH, again in close agreement with the findings of R93 (their Figs. 2b and 2d). There is strong meridional transport of air of relatively poor ozone along the western edge of the AH to across the Pole from 17 to 27 January.

~~The large~~Large-scale, zonal anomalies in HNO₃ (Figures 2d and 3d) are opposite in sign to those of GPH and ozone. One exception is the relatively low values of HNO₃ near 80° N, 0° E on 17 January in Fig. 2d, which has been interpreted as due to an uptake of gas phase HNO₃ onto PSC particles just upwind a day or so earlier (Remsberg and Harvey, 2016). Yet, by 27 January (Fig. 3d) the cyclonic circulation about the low GPH center indicates clearly that there ~~is~~must be net transport of low values of HNO₃ near 140° E, along the axis of the polar night jet, across the Pole, and then to about 300° E. As a result, while both

ozone and NO₂ display the same ~~zonal-wave-1~~ structures as the GPH field on 27 January, the HNO₃ distribution exhibits ~~quasi-wave-2~~ structure. Figs. 2c and 3c represent NO₂ anomalies from profiles of just the LIMS V6 descending orbits, or from its nighttime values near 11:00 pm local time. Those anomalies for NO₂ are from four zonal waves minus the zonal mean coefficient, rather than from six zonal waves as for all the other LIMS Level 3 products. Most of the NO_x converts from NO to NO₂ at sunset, followed by a further, partial conversion of the NO₂ to N₂O₅ up to the 11:00 pm observation time of LIMS (Brasseur and Solomon, 2005). The respective panels of Figs. 2 and 3 demonstrate that ozone and NO₂ have large-scale features of opposite sign in the AH region, while HNO₃ and NO₂ have anomaly patterns of the same sign- on 17 January but not on 27 January. Clearly, it is important to consider the amount of NO_x that is in the form N₂O₅ in the AH region during that time span.

Figure 4 shows the zonal species variations from the V6 SE or Fourier coefficients at 31.6 hPa for 27 January at the latitude of 66°64' N on 27 January, and they are calculated at grid points spaced every 5.625° of longitude. The LIMS Fourier coefficients for each of the species are accurate the result of applying the SE algorithm to within their Level 2 or profile data, as limited by the estimated data precisions for each given species. As a result, the V6 species variations (black curves) are relatively accurate and significant, at least to the scale of zonal wave 6 (or to wave 4 for NO₂). Vertical error bars in Fig. 4 are the 2σ values from the error covariance matrix of the vector of the V6 Fourier coefficients (Remsberg and Lingenfelser, 2010). Variations from the V5 data set at 30 hPa are included for comparison purposes (red curves).

HNO₃ within the polar vortex (0° to 90° E) is higher by nearly 2 ppbv compared to that in the AH region (180° E to 240° E). Note from Fig. 3, however, that HNO₃ has a strong, positive Equator-to-Pole gradient in the 0° to 90° E sector, whereas that of ozone is weak and slightly negative. Ozone in Fig. 4 has a

broad, wave-1 character, while HNO_3 exhibits two minima (at 130° E and 290° E). At 10 hPa and lower pressures (or at higher z) a quasi-wave-2 structure is no longer apparent in HNO_3 . Variations of the descending and ascending (daytime or $\sim 1:30$ pm local time) NO_2 modes appear separately in Fig. 4. Diurnal differences for NO_2 are seen only in the longitude sector from 320° E to 120° E, or across the boundary of the cold polar vortex and the warmer AH region. Relatively low ozone at this location results in only a slow conversion of NO_2 at sunset to NO_3 and finally to N_2O_5 . Consequently, a larger fraction of NO_x remains as NO_2 at the time of the LIMS descending mode observations, or near $\sim 11:00$ pm local time. The warmer AH region from 150° E to 270° E, on the other hand, has higher ozone mixing ratio, and the decrease in NO_2 from its maximum at sunset is steeper, bringing NO_2 mixing ratios at the time of the LIMS descending mode observation close to the value of the ascending mode measurement. There is very little diurnal difference in NO_2 in that longitude region. Thus, air parcel history is important for interpreting observed changes in the distributions of these two reactive species even in the AH region.

V5 NO_2 varies in a similar way, although its values are nearly twice those of V6.

4 Changing composition within the Aleutian High

Figure 5 shows Species variations with time are shown in the V6 species Figure 5, as determined from the V6 orbital or Level 2 profiles at 31.6 hPa and at nearest to the center of the AH, which is on each day and identified by the location of the maximum GPH anomaly. Note that Fig. 5, which is analogous to Figure 7 of R93, also shows that the latitude of the AH moves poleward from about 60° N to 68° N from 14 to 27 January. Its longitude moves slightly, too, from 186° E on 14 January to 230° E on 19 January and then retreats partially to 214° E by 27 January. Red boxes in Figure 6 illustrate those locations better as red boxes for each day. GPH of the 31.6-hPa level at the center of AH grows from 23.0 to 23.8 km during that same time.

229

230 ~~Ozone and H₂O variations. Vertical bars~~ in Figure 5 ~~are no greater than their estimated single~~
 231 ~~profile, represent~~ root-sum-squared (RSS) ~~bias~~, ~~single profile~~ errors ~~of ~15 % at for~~ 30 hPa (Remsberg et
 232 al., 2007; 2009). ~~They~~, and the ozone and H₂O variations are no greater than those error estimates of 15
 233 %. Those two species remain rather steady in the AH and are in keeping with their small horizontal
 234 gradients on the 31.6-hPa surface and their relatively long chemical lifetimes. HNO₃ shows significant
 235 ~~changechanges~~; it declines from 10 to 8.2 ppbv from 14 to 18 January, but then increases rather steadily
 236 again to 10 ppbv by 27 January. Single profile RSS uncertainty for HNO₃ is ~8 % at 30 hPa (Remsberg
 237 et al., 2010). Descending (or late evening) NO₂ declines from 1.1 to 0.5 ppbv from 15 to 19 January,
 238 increases to about 1.0 ppbv on 23 January, before declining again to 0.4 ppbv on 27 January. Ascending
 239 (early afternoon) NO₂ is smaller than descending NO₂ from 14 to 17 January, or when the AH center is at
 240 about 60° N. As the AH central latitude shifts northward after 17 January, both the ascending and
 241 descending NO₂ exhibit similar values and indicate that the daytime observations are from near to or
 242 within the polar night boundary. Generally, the RSS error for single NO₂ profiles is ~30 % at this
 243 pressure level, although ~~it may be a bit~~ profile registration uncertainties can lead to larger errors across the
 244 polar night boundary. ~~In particular,~~ Remsberg et al. (2010, their Fig. 3) ~~show~~ showed an altitude-latitude
 245 plot of “zonal average NO₂” from only the descending (11:00 pm) orbital segments of 15 January, when
 246 the polar vortex is still nearly circular and centered on the Pole. Its vertical distribution at 60° N declines
 247 from 2.5 ppbv at 20 hPa to a local minimum of < 1 ppbv at 35 hPa or about where the associated HNO₃
 248 ~~also~~ has its local maximum. In fact, the V6 retrieval algorithm sets NO₂ to zero, when the forward
 249 radiance for the tangent layer approaches the measurement noise for that channel ($5.5 \times 10^{-4} \text{ w-m}^{-2}\text{-sr}^{-1}$).
 250 Thus, the effect of a finite (~3.7 km) vertical resolution is to smooth across that local NO₂ minimum,
 251 giving a high bias in its final retrieved value.

252

5 Trajectory model with photochemistry

In this section, we examine the interplay between photochemistry and dynamics during the evolution of HNO_3 and NO_2 in the AH by making use of photochemical calculations along trajectories that terminate in the AH region on 27 January. In these calculations, we use the V6 level 2 profile data to initialize the air parcel composition, which allows a comparison between model results and co-located observations along the trajectory in the AH region. The trajectory model is driven by 3-dimensional meteorological data from MERRA (Rienecker et al., 2011) corresponding to January 1979. This dataset includes 3-hourly information on surface pressure, horizontal wind, vertical pressure velocity, and temperature on a 1.25° longitude by 1.25° latitude grid. A family of 70 back trajectories is generated, with trajectories beginning at 30 hPa from a grid of 2° -latitude by longitude covering a domain defined by 210° E and 218° E longitudes and 60° N and 86° N latitudes. The starting time of the back trajectories is 9 am GMT (or 09Z) on 28 January, which corresponds to a local time of 11:00 pm on 27 January at 210° E. This is close to the local time of LIMS descending mode observations in this latitude region. The selected region overlaps the AH in the contour plot of V6 GPH anomalies for this day, as shown in Fig. 3b. The model uses a 4th order Runge-Kutta advection scheme to generate 3-dimensional, kinematic back trajectories. We save trajectory parameters required for further calculations on an hourly basis.

Figure 6 shows the back trajectory beginning on 27 January at 214° E, 68° N and 30 hPa. The numbers in black along the trajectory represent the day numbers. Red colored squares with day numbers represent the history of the location of the AH center at 31.6 hPa based on the maximum V6 GPH anomaly. This V6 pressure level is the closest in LIMS data Level 2 value to 30 hPa. It is clear that between 22 and 27 January the trajectory and the AH center remain in a region north of 54° N latitude, and the AH region provides an isolated natural chemical laboratory for the constituents to evolve. Prior to 22 January the trajectory and AH center diverge with the trajectory going backwards to latitudes as far south as 27° N.

277 As we will show later, the back trajectory beginning at 214° E and 60° N remains within the AH region or
278 north of 45° N throughout the 10-day period. These differences among the trajectories affect their initial
279 values and the changes in air parcel composition.

280
281 ~~Time~~We conducted time-dependent photochemical calculations ~~are conducted~~ along the trajectories in the
282 forward direction. Information used in these calculations include the vertical ozone column along the
283 trajectories based on V6 Level 3 ozone data, background sulfate aerosol surface area densities for January
284 1979 adopted from the IGAC/SPARC CCMI recommendations for Reference Simulation 1 (Eyring et al.,
285 2013), and solar zenith angle corresponding to the local time of day. ~~Note that only a modest amount of~~
286 ~~aerosol~~Aerosol surface area ~~in the model is necessary~~ $4 \times 10^{-9} \text{ cm}^{-1}$ at 31 hPa and 60°N for the
287 ~~heterogeneous mechanisms to occur (e.g., Austin et al., 1986)~~January (see Table 1 of Hofmann and
288 ~~Solomon, 1989~~). The starting location and mixing ratios of measured species are determined by
289 identifying for each trajectory the spatially and temporally closest LIMS descending mode observation
290 between 14 and 17 January. Longitude separation between the trajectory and the V6 data is within 15°,
291 latitude separation within 7.5°, and time of measurement within an hour. We use an updated version of
292 the stratospheric diurnal photochemical model (Natarajan and Callis, 1997), ~~incorporating the chemical~~
293 ~~kinetics and photochemical data from the recent JPL evaluation (Burkholder et al., 2015)~~, to calculate the
294 changes in the composition of the air parcels until they reach the AH region on 27 January. ~~Chemical~~
295 ~~kinetics data are adopted from the recent JPL evaluation (Burkholder et al., 2015)~~. Results from a time-
296 dependent, 2-dimensional chemistry-transport model (Callis et al., 1997) simulation corresponding to
297 January 1979 provide initialization estimates of other unmeasured HO_x, Cl_x, and NO_x species. The
298 initialization procedure involves repeated diurnal calculations at the fixed starting latitude, altitude, and
299 day. During each diurnal cycle, the mixing ratios of ozone, NO₂, and HNO₃ are set to the observed values
300 at the local time of the LIMS descending mode measurement (Natarajan et al., 2002). Within five diurnal

cycles, the short-lived chemical species reach near steady mixing ratios. Then, the photochemical model integration continues along each trajectory until reaching the endpoint of 09Z on 28 January.

6 Results and discussion

We show in this section the results of sample trajectories that ~~have behavior~~behave similar to those of the ensemble and discuss the transformations occurring in the composition of the air parcels over their 10-day transit. All trajectories terminate at 30 hPa but, since we use 3-dimensional kinematic trajectories based on MERRA, there are fluctuations in pressure and altitude as the parcel moves along its trajectory. The length of exposure to daylight also fluctuates and is not the same for all the trajectories. These differences certainly affect the photochemical changes that occur.

Figure 7a shows, on a polar stereographic projection map of the Northern Hemisphere, three different trajectories terminating at a longitude of 214° E. The latitude circles in the figure are 10° apart and poleward of 20° N. The uppercase letters A, B, and C denote the starting location of the trajectories and the lowercase letters a, b, and c mark the endpoints at 60° N, 72° N, and 80° N latitude, respectively. The color scale ranging from 0 to 1 represents the accumulated hours of exposure to darkness along each trajectory expressed as a fraction of the total length of the trajectory in hours. The cumulative fraction of darkness at the endpoint is 0.70, 0.67, and 0.63 for the trajectories A-a, B-b, and C-c, respectively. Fig. 7b shows the same three trajectories but now color-coded to demonstrate the calculated variation of HNO₃. Trajectory A-a starts at 222.1° E and 59.9° N on 17 January with an initial HNO₃ mixing ratio of 9.05 ppbv, adopted from the nearby LIMS observation. Both the starting and termination points for this trajectory are very near the center of the AH as seen in the GPH anomaly contours (Fig. 3b). The mixing ratio of HNO₃ increases to 10.8 ppbv, mostly due to the heterogeneous hydrolysis involving N₂O₅ and sulfate aerosol. This conversion of NO_x predominates while the parcel is in darkness.

325

326 Figure 8a shows the variation with time of selected chemical constituents as the air parcel moves along
327 trajectory A-a. Shown by the thick broken line at the top of the figure are the segments when the parcel
328 along this trajectory is in darkness. It is clear that HNO_3 increases during extended periods of darkness,
329 which occur more often when the parcel traverses through higher latitudes. This increase in HNO_3 comes
330 at the expense of other reactive nitrogen species as can be seen in the decrease in the mean value and
331 somewhat diminished amplitude of the diurnal variation of NO_2 . There is also a dampening of the diurnal
332 variation of N_2O_5 because of limited exposure to sunlight, although its mean value remains higher. The
333 daytime peak mixing ratio of NO is low (not shown). When a trajectory is at lower latitudes (e.g.,
334 between 17 and 22 January), all NO_x species display larger diurnal variations with little change in mean
335 value. HNO_3 also shows some diurnal fluctuations due to daytime photolysis followed by production due
336 to heterogeneous chemistry in darkness and with a slight overall increase in mean mixing ratio. After 22
337 January, the air parcel moves to higher latitudes and experiences extended periods of darkness, leading to
338 the steady increase in HNO_3 . Thus, a combination of dynamics, which determines the trajectory that the
339 parcel follows, and both gas-phase and heterogeneous photochemistry explains the higher levels of HNO_3
340 measured by LIMS near 30 hPa in the AH region on 27 January. Ozone, which has a longer chemical
341 lifetime at this pressure level, shows almost no change. However, we also note that at lower pressures,
342 e.g., at 5 hPa, there is a similar impact of dynamics and photochemistry in the formation of pockets of low
343 ozone mixing ratio within the AH region during winter (Manney et al., 1995; Morris et al., 1998; Harvey
344 et al., 2004). The photochemical time constant for ozone at those pressure levels is short enough that air
345 parcels originating from lower latitudes and containing higher ozone go through a chemical
346 transformation, when confined for an extended period of time within the AH region. This results in a
347 destruction of ozone in reaching photochemical equilibrium under daylight conditions. Conversely, the
348 increase in HNO_3 at 30 hPa is due mainly to the nighttime heterogeneous chemistry.

349
350 In order to highlight the role of the heterogeneous reactions involving background sulfate aerosols, we
351 have repeated the photochemical calculations along the same trajectories but considering only gas-phase
352 reactions. Fig. 8b shows the mixing ratios in this case for trajectory A-a. HNO_3 decreases from the initial
353 mixing ratio of 9.1 ppbv and settles to a value closer to 8.0 ppbv by 20 January. Because we initialized
354 the parcel using LIMS HNO_3 data, that initial drop is indicative of the imbalance created by removing the
355 source due to heterogeneous conversion. Small diurnal fluctuations are apparent during the passage
356 through lower latitudes because of photolysis, but they are nearly absent when the parcel is in the high
357 latitude region. Without heterogeneous reactions, N_2O_5 remains the primary reservoir of NO_x during the
358 nighttime and reaches its peak values just when the parcel is about to emerge from darkness. The mean
359 mixing ratio of N_2O_5 is about 2 ppbv between 23 and 25 January, when the parcel experiences that
360 extended period of darkness at high latitude. The diurnal cycle for NO_2 exhibits larger amplitudes when
361 using only gas-phase reactions, since the absence of additional production of HNO_3 keeps the NO_x mixing
362 ratio higher. The difference in the mixing ratio of HNO_3 in the AH region between the two simulations
363 shows the impact of heterogeneous reactions in the partitioning of odd nitrogen. While the agreement for
364 HNO_3 between model and the LIMS data of Fig. 5 is good with the inclusion of heterogeneous reactions,
365 the comparison for NO_2 is worse; the model gives mixing ratios that are lower than the measurement.
366 ~~Considine et al. (1992) also reached a similar conclusion in their 2-dimensional model study.~~ But, that is
367 also when the V6 NO_2 in the AH region is near its local minimum of about 0.5 ppbv, and we noted in
368 Section 4 that such small retrieved values are likely to have a ~~slight~~ high bias.

369
370 Trajectory B-b, shown in Fig. 7a, starts from 173.3° E and 23° N on 14 January. After spending a few
371 days in the lower latitudes, the air parcel along this trajectory takes a nearly meridional path to the AH
372 region. During the last 5 days the parcel remains confined in the AH region, similar to the parcel along

trajectory A-a. The chemical evolution along the trajectory, shown in Fig. 9a, is also similar to that along trajectory A-a displayed in Fig. 8a. Large diurnal variations in NO_2 and N_2O_5 occur during the initial period between 14 and 20 January, when the trajectory is in the lower latitudes. Even HNO_3 displays noticeable variations with an increase during night caused by the heterogeneous conversion of N_2O_5 followed by a decrease due to photolysis during daytime. Amplitudes of the diurnal variations diminish in the high latitude AH region, due to reduced photolytic loss, especially for HNO_3 , during the shorter daylight period and at higher zenith angles. The corresponding increase in the nighttime heterogeneous conversion leads to HNO_3 mixing ratios greater than 10 ppbv at the end of the trajectory. Between 22 to 25 January, NO_2 displays a steady diurnal cycle, while N_2O_5 shows a declining peak value. The increase in HNO_3 does not occur with a corresponding decrease in NO_2 . During extended periods of darkness, NO_2 decreases to a negligible amount as shown at the end of the trajectory on 27 January. When there are only gas phase reactions, the variation of HNO_3 is as shown by the red line. Again, initialization of the photochemical model uses the V6 data, and the absence of heterogeneous reactions introduces an imbalance leading to the negative tendency in HNO_3 .

Trajectory C-c, shown in Fig. 7a, starts from 79.9°E and 27.6°N on 17 January. Until 24 January, this parcel stays south of 40°N and then takes a meridional path directly northward reaching 80°N by 28 January. This is slightly north of the AH but still outside the polar vortex. Fig. 9b shows variations of the species mixing ratios along the trajectory C-c for the simulation with heterogeneous chemistry. The HNO_3 mixing ratio at the beginning of the trajectory (C) is about 7.8 ppbv, and it increases to about 8.7 ppbv at the endpoint (c). The measurements in Fig. 3d indicate a quasi-wave-2 feature in HNO_3 at 3031.6 hPa, with peak values in the polar vortex region and AH. The minimum in HNO_3 between these two regions is a result of the rapid transit of air parcels from lower latitude along trajectories similar to C-c. The air parcels remain in the low latitudes for a longer time, and photolysis

during daylight hours keeps the net change in HNO_3 low. Only later is there a noticeable increase in HNO_3 for the high latitude segment of the trajectory. NO_2 and N_2O_5 display diurnal variations as expected in the middle latitudes. After 21 January, there is a decrease occurring in the peak value of NO_2 at every successive sunset along the trajectory. The corresponding minimum in N_2O_5 shows a small increasing trend especially after 24 January, and this is due to the increasing lifetime against photolysis at winter high latitudes. The parcel is in complete darkness during the last 24 hours, when NO_2 continuously decreases to a very low mixing ratio, N_2O_5 declines slowly, and HNO_3 increases.

~~Calculated~~Figure 10 shows HNO_3 mixing ratios ~~are shown in Figure 10~~calculated on a longitude-latitude grid for the end time of the ensemble of 70 trajectories, corresponding to 09Z on 28 January. The latitudinal variation in HNO_3 between 60°N in the AH region and the Pole clearly shows a dip to lower values near 80°N in the model calculations. This spatial distribution occurs even when heterogeneous reactions are not included. However, calculations with heterogeneous chemistry simulate the magnitude of the LIMS HNO_3 observations better. Combined with the higher HNO_3 values in the polar vortex (not a focus of this paper), the differing trajectories explain the formation of a ~~wave-2-like~~quadrupole structure at 30 hPa seen in the LIMS HNO_3 observations during the minor warming. As reported by R93, this feature is present in the LIMS V5 data also, except that V5 HNO_3 is nearly of the same magnitude in the AH and the polar vortex at 30 hPa on 27 January. For the same conditions, the V6 HNO_3 is larger in the polar vortex than in the AH by about 2 ppbv.

Even though we have shown and discussed the model results for only three of the trajectories, all of which end along 214°E longitude, the results for the entire ensemble provide a consistent picture.

~~A~~Figure 11a is a scatter plot of the calculated HNO_3 along the 70 trajectories versus the spatially and temporally closest LIMS ~~observation is shown in Figure 11a. There is little bias~~observations.

Differences between the model and LIMS data are of the order of the RSS error of 0.8 ppbv, except for mixing ratios greater than 10 ppbv where the model values are higher. A Figure 11b is a similar scatter plot for NO₂ is displayed in Figure 11b, where model values from the model are lower than the V6 observations that have an RSS error of 0.24 ppbv. Deviations from the diagonal dashed line for both HNO₃ and NO₂ could be due to a variety of factors, in addition to bias errors of the data. The criteria we used for selecting the closest observation are coarse, but tightening those criteria reduces the amount of data available for initializing the model and for comparison along the trajectory. While we used the closest LIMS observation to constrain the initialization of the model, other unmeasured species as well as total odd nitrogen are from two-dimensional model output that introduces some uncertainty. We have used the recommended kinetic rate constants, but any uncertainty in key reaction rates could affect the calculated variations in the composition. Another possible source of error is the background stratospheric aerosol, surface area density. We have used the climatology from IGAC/SPARC CCM database, which is zonally averaged data. There were no major volcanic perturbations in late 1978 and early 1979, so large perturbations from this database are most unlikely. While at the lower latitudes photolysis during the daylight hours is important in limiting the impact of heterogeneous reactions, the aerosol data directly affects that rate of conversion of N₂O₅ to HNO₃. It may be that background aerosols in the vortex at high latitudes are less abundant than prescribed, which could explain the apparent high bias in model HNO₃ in Figure 11a for values greater than 10 ppbv in Figure 11a. Although LIMS did not measure N₂O₅, analyses involving ATMOS measurements show clearly the role of N₂O₅ and heterogeneous reactions in the stratospheric odd nitrogen chemistry (Natarajan and Callis, 1991). A more comprehensive study of the changes in atmospheric composition in the AH region using data from more recent satellite experiments is beyond the scope of this study.

7 Seasonal evolution of PV, HNO₃, H₂O, and NO₂

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Anticyclone features usually develop in the Northern Pacific stratosphere and are present about 60 % of the time during winter (Harvey and Hitchman, 1996; Baldwin and Holton, 1988). Therefore, we place the V6 species variations of 14-27 January into the broader context of their seasonal variations. As before, one can ignore the effects of any remnants from PSCs for the species away from the polar winter vortex. First, Figure 12 is a time series plot of the dynamical tracer, PV, on the isentropic surface of 550 K (near 31.6 hPa) for 25 October 1978 through 28 May 1979.

$$PV = (f + \zeta) / \sigma, \quad (1)$$

where $f = 2\Omega \sin \varphi$ is the local vertical component of the planetary vorticity on a pressure surface and $\zeta = (r \cos \varphi)^{-1} (\partial v / \partial \lambda - \partial(u \cos \varphi) / \partial \varphi)$ is the relative vorticity in polar coordinates (longitude λ and latitude φ). σ is isentropic density ($\text{kg m}^{-2} \text{K}^{-1}$) and $1/\sigma = -g \partial \theta / \partial p = (1/\rho) \partial \theta / \partial z$ is static stability. Geostrophic wind components, u and v , are calculated at grid points from the V6 GPH fields. Then, daily values of the vertical component of PV are computed at each grid point from the zonal and meridional components of the wind (u and v), plus the local vertical gradients of potential temperature versus pressure from V6, following Harvey et al. (2009). The ordinate of Fig. 12 is in terms of equivalent latitude φ from the Pole (90°) to 15°N and is from a monotonic ordering of the daily PV from high values inside the polar vortex to lower values outside (see e.g., Butchart and Remsberg, 1986). Thus, equivalent latitude is a vortex-centered coordinate that assigns the highest PV values (located in the center of the vortex) to be at 90°N . Tic marks along the abscissa denote the middle of each month, and the PV time series have a seven point smoothing. The ordinate is linear in φ to accentuate variations in the PV field at high equivalent latitudes. The effects of the AH on the displacement and erosion of the PV vortex during 14-27 January are in Fig. 12 from φ of about 60° to 90° and following the tic mark labeled 01 on the abscissa.

The effect of the AH in peeling away material contours from the edge of the polar vortex has been described aptly (e.g., McIntyre, 1995; Jukes and McIntyre, 1987; Rose, 1986). Fig. 12 indicates the continual erosion of highest PV values during late winter and early spring due to zonal planetary wave-1 (the AH) and wave-2 activity. The adjacent “surf zone” region of lower PV values expands and exhibits weakened gradients ($\phi \sim 30^\circ$ to 60°) from the meridional mixing of PV across both the lower and higher latitudes (McIntyre and Palmer, 1983). It is also noted that the vortex was split (wave-2) at four separate times at the level of 31.6 hPa: late October, late November/early December, late February, and in early April. Both scales of zonal forcing are indicative of the effects of planetary wave activity as it propagates from the troposphere to the 31.6-hPa level (Jukes and O’Neill, 1988) ~~and/or of a poleward eddy heat flux (Colucci and Ehrmann, 2018).~~ The meridional gradient of PV is quite weak equatorward of $\phi \sim 60^\circ$ N in winter and then across all latitudes by mid-April, or after the polar vortex has undergone significant erosion. The large-scale anticyclones and associated zonal easterlies expand toward the middle latitudes by April.

~~The Figure 13 shows the~~ corresponding HNO_3 distribution ~~is shown in Figure 13,~~ as determined by averaging ~~its~~ HNO_3 values around the daily PV contours and ~~ordered then ordering them~~ according to the ϕ of Fig. 12. Those averages represent approximate, modified Lagrangian mean (MLM) values for HNO_3 or its average values around the PV contours, and they enable one to identify differences in behavior (e.g., chemical changes) for trace constituents versus PV (McIntyre, 1980; Butchart and Remsberg, 1986). HNO_3 varies nearly monotonically with latitude at this level, and values as high as ~~42~~13 ppbv are found near the center of the vortex ($\phi = 90^\circ$) by late November and during the polar night. Such high values indicate a nearly complete chemical conversion of the available NO_y to its reservoir ~~species~~ component HNO_3 . Poleward of about $\phi = 70^\circ$ the HNO_3 contours are aligned well with those of PV, indicating that HNO_3 is an excellent tracer at 550 K, particularly in winter polar night when further chemical changes are

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inefficient. However, there should be some HNO₃ uptake into PSCs, when temperatures become cold enough.

A sequence of polar orthographic plots (not shown) indicates that there is a buildup of HNO₃ inside the polar vortex in November, punctuated by meridional transport during the zonal wave-1 events of early December and in January, and then followed by a splitting of the vortex in mid to late February. There is significant transport of HNO₃ from the polar region to middle equivalent latitudes ($\phi = 45$ to 20°) during those events. Meridional gradients of HNO₃ are larger in winter at both ϕ of 70° and near 20° , marking the polar and subtropical edges of the region of efficient meridional mixing. The subtropical boundary of the so-called “tropical pipe” region shifts from $\phi = 25^\circ$ to about 15° from early December to late January and then remains at that location into springtime (Remsberg and Bhatt, 1996). There is erosion of the high HNO₃ values of the polar vortex by early March. Thereafter, HNO₃ decreases at all equivalent latitudes, due to the daily effects of the chemical re-partitioning of NO_y away from HNO₃ and toward NO and NO₂ during sunlight under sunlit conditions.

Figure 14 displays the time series plot of the MLM for V6 H₂O, a better tracer of stratospheric transport. Relatively large values of 5.5 to 6.0 ppmv occur at high latitudes from mid-November to early January and indicate the effects of the slow descent of higher values within the vortex. H₂O is higher in the upper stratosphere from the oxidation of methane. Elevated H₂O values at $\phi > 75^\circ$ on 13-15 and 19 January are due to residual emissions from PSCs. However, values of ~ 7 ppmv also appear in early February to mid-March, when temperatures are much too warm for the existence of PSCs. Although those higher values are within the uncertainties for retrieved H₂O, they compare well with times when there is ~~a~~ descent of higher ozone values in response to the major stratospheric warmings (c.f., Fig. 18 in Leovy et al., 1985).

514

515 Finally, Figure 15 is the MLM plot of V6 NO₂ is given in Figure 15, based on only its profiles along
516 descending (nighttime) orbital segments. Smallest values of NO₂ occur in the polar vortex in late
517 November and early December, when the HNO₃ values of Fig. 13 reach 13 ppbv. As with H₂O, there are
518 several minor increases in NO₂ at the highest latitudes in mid-January, and they occur at locations of
519 residual effects from PSCs. The excess values of NO₂ poleward of $\phi = 75^\circ$ in February occur where the
520 variations of PV in Fig. 12 also indicate the effects of transport and where there may have been descent of
521 higher NO₂ values within the vortex (Holt et al., 2012). The distribution of NO₂ away from the vortex
522 varies more slowly and smoothly. Fig. 12 also indicates that there is considerable mixing for PV at $\phi \sim$
523 60° to 75° during February, and Fig. 15 shows that NO₂ is increasing along the PV contours. The vortex
524 split into two sectors in the middle stratosphere from mid to late February, when there was transport and
525 descent of air having higher NO₂ values at the high latitudes. NO₂ increases steadily from March to May,
526 due to the conversion of HNO₃ to NO₂ upon the return of sunlight.

527

528 There are significant seasonal variations of NO₂ displayed in Fig. 15 at middle equivalent latitudes.

529 ~~Conversion~~The re-partitioning of the NO_y species to HNO₃ occurs in the presence of background aerosols
530 from late autumn to winter, followed by photochemical conversion of the HNO₃ vapor back to NO₂ in
531 springtime (e.g., Austin et al., 1986). Fig. 15 shows that the very low values of NO₂ extend from near the
532 Pole to at least $\phi = 30^\circ$ in early December, and then ~~retreating~~retreat toward higher latitudes by late
533 February. This variation of the NO₂ time series is an indicator of the so-called “Noxon cliff” feature of
534 stratospheric column NO₂ during winter (e.g., Noxon, 1979). ~~Fig. 12 also indicates that there is~~
535 ~~considerable mixing for PV at $\phi \sim 60^\circ$ to 75° during February, and Fig. 15 shows that NO₂ is increasing~~
536 ~~along the PV contours. The vortex split into two sectors in the middle stratosphere from mid to late~~

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~~February, when there was transport and descent of air having higher NO₂ values at the high latitudes.~~
~~NO₂ increases steadily from March to May, due to the conversion of HNO₃ to NO₂ upon the return of~~
~~sunlight.~~

8 Conclusions

A significant improvement of the LIMS ~~V6~~ data set from V5 to V6 is the better accuracy of ~~its~~the retrieved V6 NO₂ profiles, ~~particularly away from the cold winter vortex region.~~ Both the V6 HNO₃ and NO₂ are of good quality, at least to within their respective error estimates and away from very cold regions of the vortex and their PSC remnants. ~~The~~The V6 species data are evaluated further in terms of the consistency of the HNO₃ and NO₂ distributions in the AH region during the minor warming event that took place in January 1979. In an earlier analysis of the LIMS V5 data, R93 highlighted an increase for HNO₃ at 30 hPa ~~in~~within the AH region at 30 hPa but with little change in NO₂ within the AH region, and they suggested the need for some unknown process leading to the production of HNO₃ and to the development of the quasi-wave-2 signature in its zonal distribution. ~~This~~The present study ~~considered~~considers photochemical model calculations along kinematic trajectories over a 10-day period that terminate in the AH region on 28 January. The results indicate that there was an increase of about 2 ppbv in HNO₃ and a decrease of order 0.5 ppbv in NO₂, mainly as a result of heterogeneous reactions converting N₂O₅ on surfaces of background stratospheric sulfuric acid aerosols. ~~R93~~R93 and Considine et al. (1992) alluded to this mechanism but reported that their ~~two and three-~~ and two-dimensional model studies with heterogeneous chemistry gave results that still did not agree well with the ~~observations from~~ LIMS V5. ~~The species. On the contrary, the~~ latitudinal variations of HNO₃ at the end of our Lagrangian trajectory calculations agree reasonably with the LIMS V6 data. Those variations depend on the initial conditions and the extent of exposure of air parcels to sunlit and dark conditions, and hence on the

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560 dynamically controlled ~~history~~histories of the ~~different~~separate trajectories. Our model ~~results~~
561 ~~show~~calculations also reveal the formation of ~~the~~a dip in observed HNO₃ mixing ratios north of the AH,
562 due to meridional transport of low latitude air across the Pole. Therefore, we conclude that the study
563 approach of R93 was valid and should have led them to better comparisons, if the V6 data ~~were~~set had
564 been available earlier.

566 ~~The inclusion~~When the effects of heterogeneous ~~reactions improves~~chemistry are included in the model
567 ~~comparisons for both~~calculations, the variations of HNO₃ and NO₂. ~~The~~along trajectories agree more
568 reasonably with the LIMS V6 observations in the relatively isolated AH region. Yet, the model still
569 underestimates NO₂ ~~for some trajectories~~ compared to the V6 values, ~~however~~along some trajectory
570 paths. A part of those differences may be due to an inability to retrieve a local minimum in the V6 NO₂
571 profile with good accuracy, at least based on the finite, vertical field of view of the NO₂ channel radiances
572 and their associated LIMS temperature profiles. Still, the present study demonstrates that a combination
573 of dynamical and photochemical changes ~~will~~can explain the maximum mixing ratios of HNO₃ in both
574 the AH region and at the winter polar vortex, ~~with~~plus its lower values around the periphery of both
575 circulation systems. ~~When the effects of heterogeneous chemistry are included, the calculated variations~~
576 ~~of HNO₃ and NO₂ along trajectories agree more reasonably with the LIMS observations in the relatively~~
577 ~~isolated AH region.~~ HNO₃ re-partitions by photochemistry toward NO₂ ~~during~~from winter to springtime,
578 when the anticyclone regions extend to middle latitudes. We also present examples of the seasonal
579 evolution of HNO₃ and NO₂ during 1978-1979 as a separate aspect of the V6 data set for the validation of
580 chemistry/climate models ~~in~~of the middle to lower stratosphere.

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582 **Acknowledgements.** The [LIMS](#) V6 data set is archived at the Goddard Earth Sciences Data and
583 Information Services Center (GES DISC and its Website: daac.gsfc.nasa.gov) and is accessible for
584 scientific use via ftp download.

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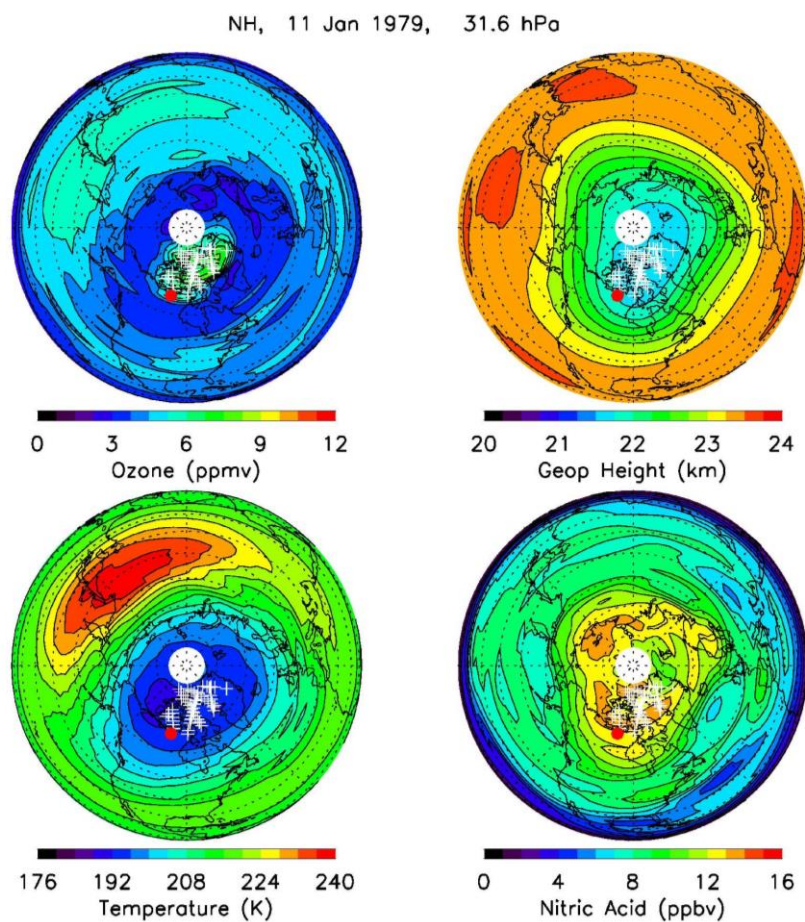
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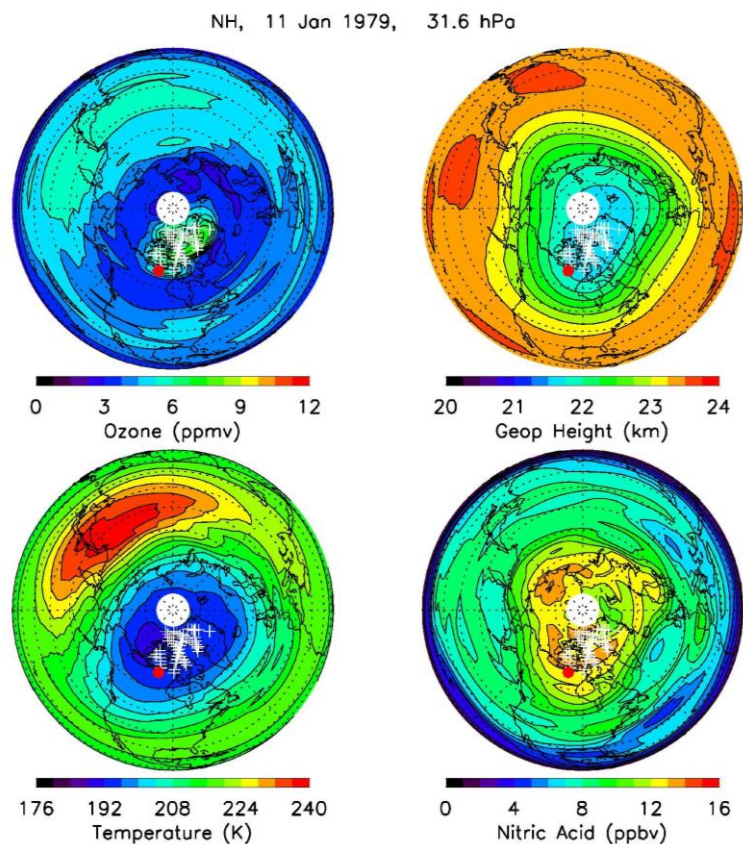
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778 **Figure 1.** Polar orthographic projections of Northern Hemisphere ozone (top left), geopotential
 779 height (GPH, top right), temperature (bottom left), and gas phase nitric acid (bottom right) for 11
 780 January 1979 at 31.6 hPa; successive latitude circles are at every 10°. The Greenwich meridian
 781 extends horizontally to the right. Contour intervals are every 0.75 ppmv for ozone, 0.25 km for
 782 GPH, 4 K for temperature, and 1 ppbv for nitric acid. White plus signs denote orbital profile
 783 segments that are missing; red dot denotes location of a SAM II PSC observation.

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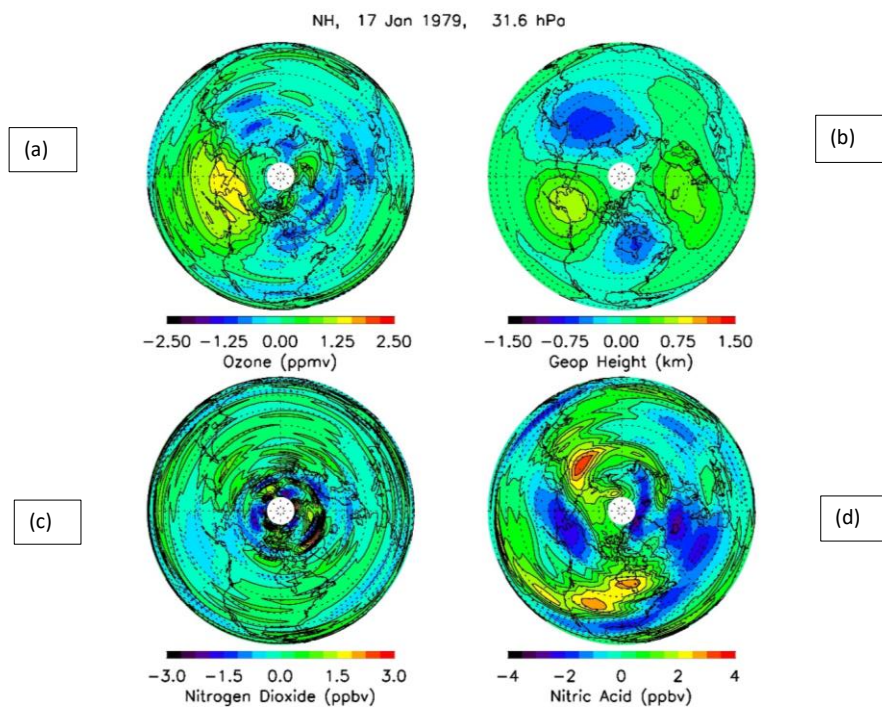
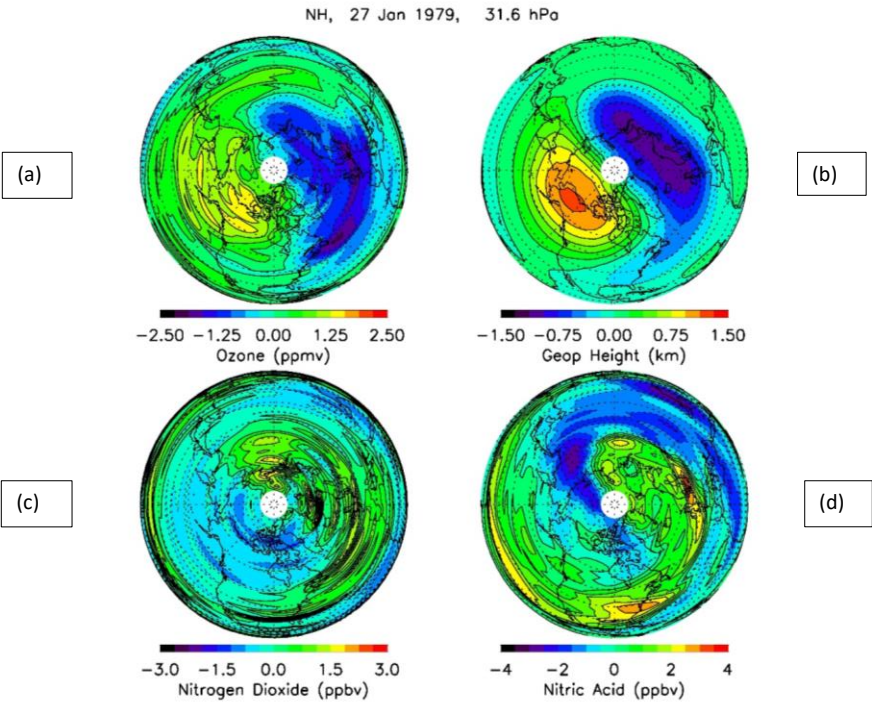


Figure 2. Zonal anomalies of ozone, GPH, nitrogen dioxide, and nitric acid for 31.6 hPa on 17 January 1979.

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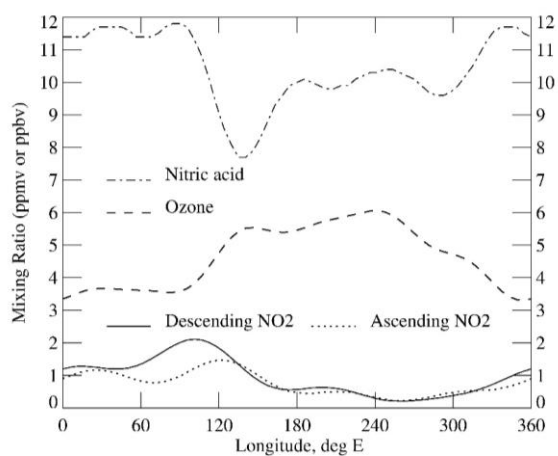
793 **Figure 3.** As in Fig. 2, but for 27 January 1979.

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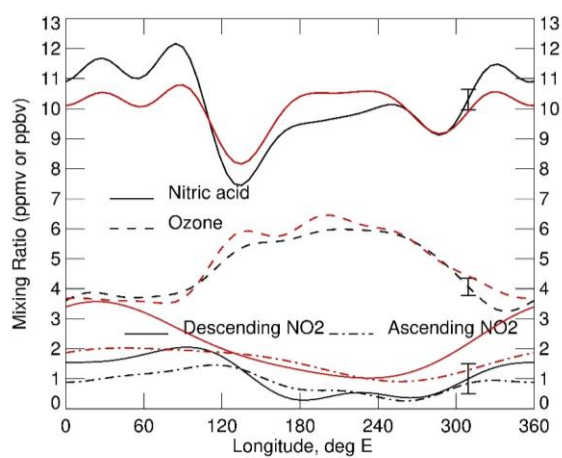
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798 **Figure 4.** Zonal variations of LIMS V6 (black) and V5 (red) species at 66-64° N on 27 January
799 1979. Vortex is between 0 and 90° E, and AH region is from 180 and 240° E. Vertical bars on

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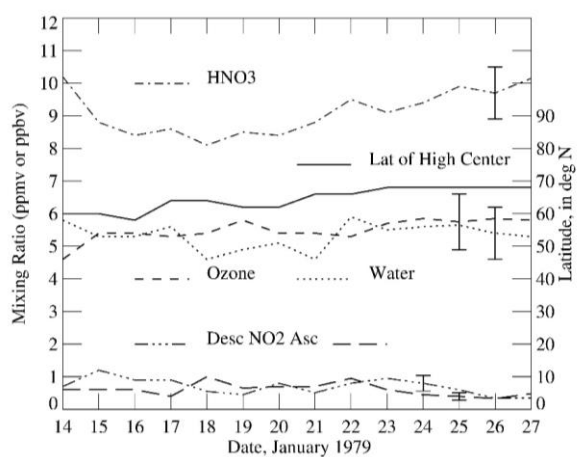
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800 curves near 311°E are 2σ estimates of the error. Ozone has units of ppmv, while HNO₃ and NO₂
801 (its separate descending and ascending curves at bottom) have units of ppbv.
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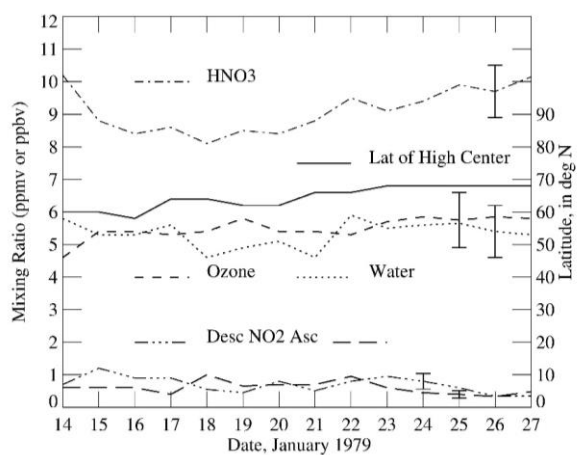
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807 **Figure 5.** Time series of observed LIMS V6 species at 31.6 hPa and ~~at the center of~~ the Aleutian
808 High (AH) anomaly; ~~its~~ latitude of AH center is the solid curve. The species curves have vertical

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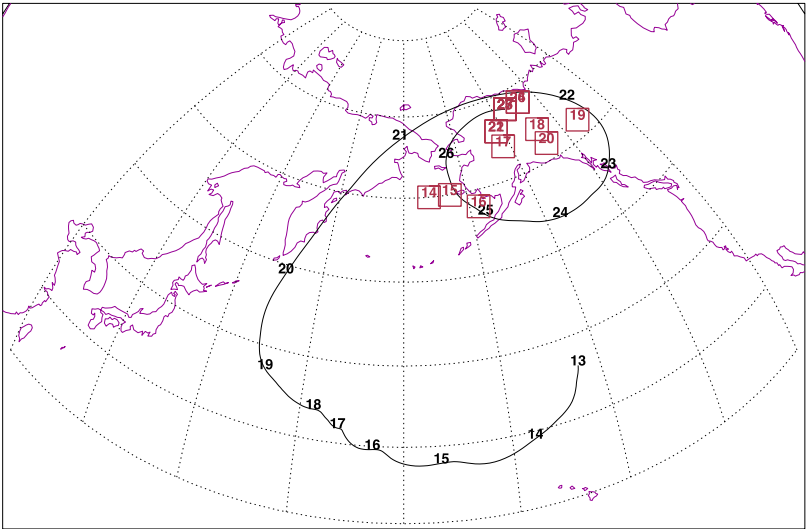
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809 bars ~~from~~near 24 to 26 January ~~that indicate~~indicating their \pm RSS errors. Ozone and water have
810 units of ppmv, while NO₂ and HNO₃ have units of ppbv.
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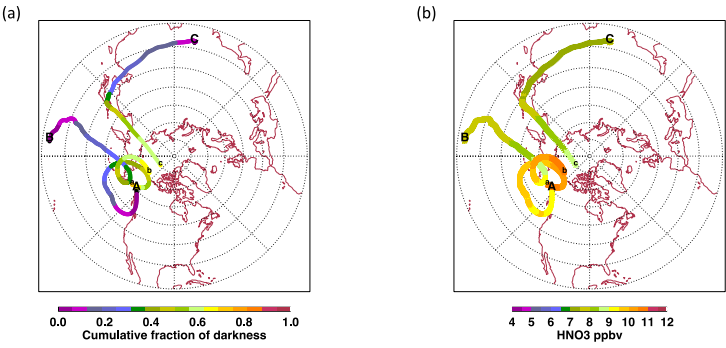
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814 **Figure 6.** History of the location of maximum GPH anomaly at 31.6 hPa representing the AH
815 center and displayed by red squares with day numbers. Latitude spacing is 10° beginning at 20°
816 N and longitude spacing is 15° beginning at 120° E. Note that between 21 and 27 January there
817 is some repetition of the AH center ~~occupies~~ locations, and the same location on different
818 days corresponding red squares overlap. The location on 27 January is 214° E and 68°N. Black
819 line with day numbers describes the back trajectory beginning at 214° E, 68° N, and 30 hPa.
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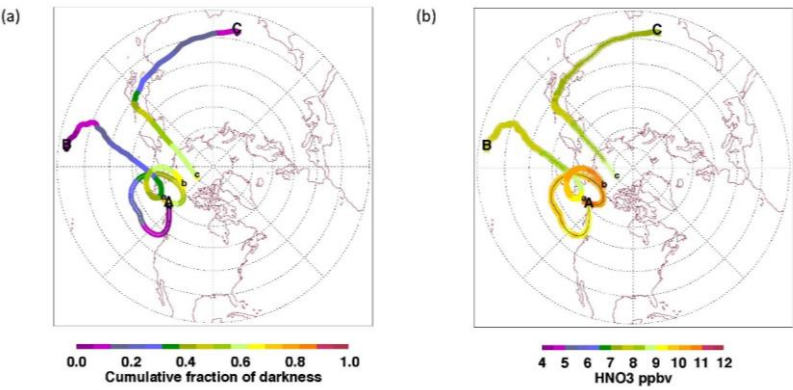
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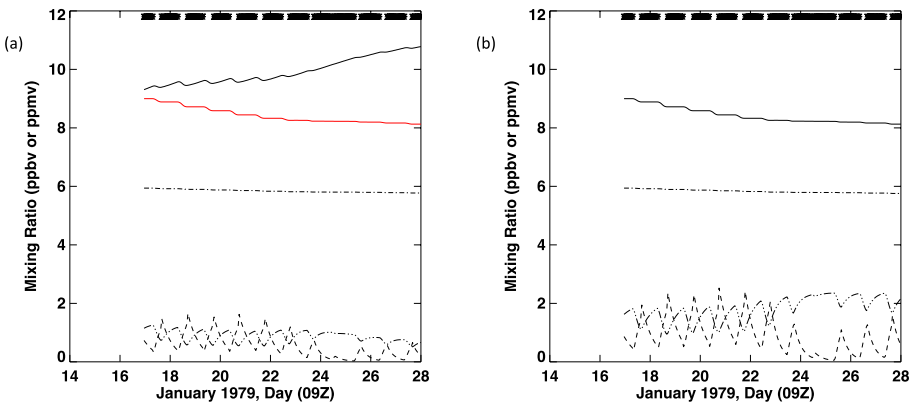
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825 **Figure 7.** (a) Back trajectories beginning at 11:00 pm on 27 January (9Z on January 28) from 30
826 hPa, 214° E, and three different latitudes [a] 60° N, [b] 72° N, and [c] 80° N. The corresponding
827 endpoints **A**, **B**, and **C** are spatially and temporally closest to LIMS descending mode
828 measurement locations between 14 and 17 January. The latitude grids are 10° apart, starting
829 from 20° N and the Prime meridian extends horizontally to the right. The color scale refers to
830 the accumulated hours of darkness expressed as a fraction of the total length of the trajectory in
831 hours as the air parcel moves in the forward direction starting from locations **A**, **B**, and **C** and
832 ending at **a**, **b**, or **c** along 214° E longitude. (b) Evolution of HNO₃ along the three trajectories
833 that were shown in the left panel.(a).
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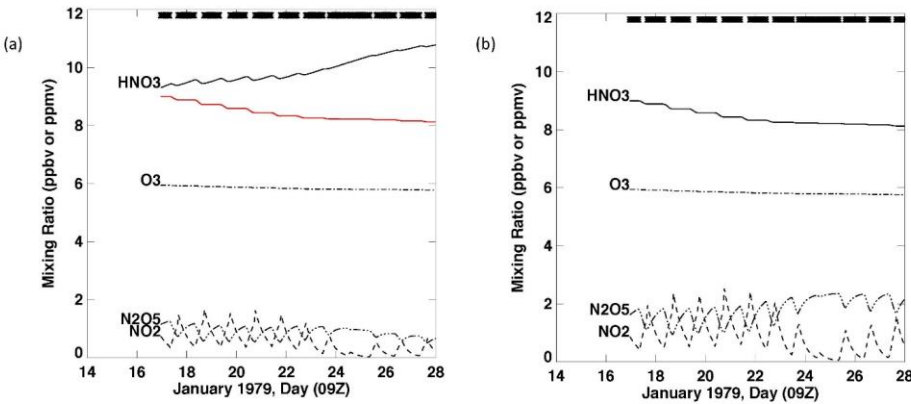
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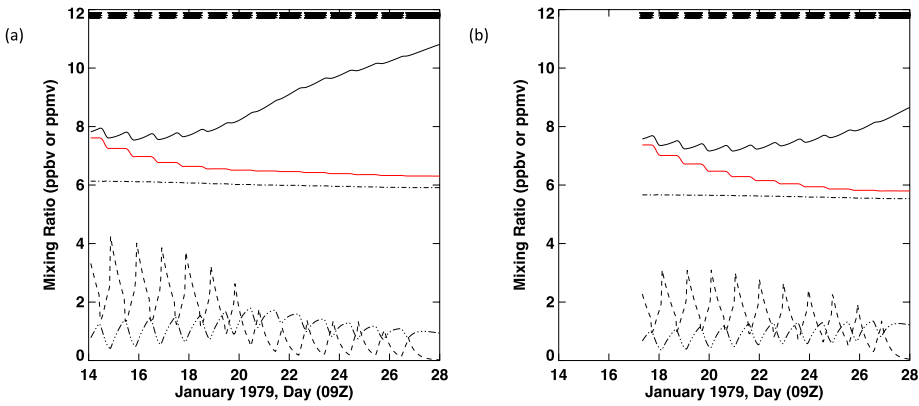
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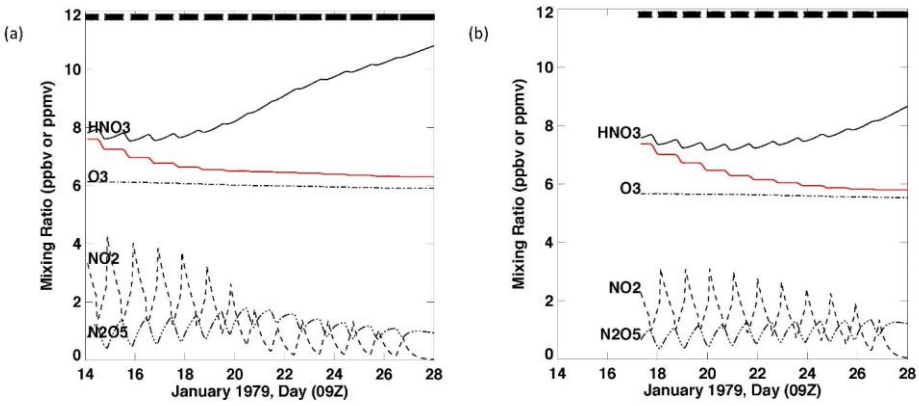
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839 **Figure 8.** Mixing ratio of selected species as a function of time along the trajectory A-a shown
840 in Figure 7a. Air parcel terminates at 214° E and 60° N. HNO₃ (solid), NO₂ (dash), and N₂O₅
841 (dash-dot-dot) are in ppbv, and ozone (dash-dot) is in ppmv. The tick marks on the abscissa
842 correspond to 09Z hours on the dates shown. The thick line at the top represents the periods of
843 darkness along the trajectory with intermittent gaps corresponding to sunlit segments. (a)
844 Results from the case including heterogeneous reactions. ~~(b) Results from the case with only,~~
845 but with the red curve showing HNO₃ from only the gas phase chemistry for comparison; (b)
846 Results for all species from only the gas phase reactions.
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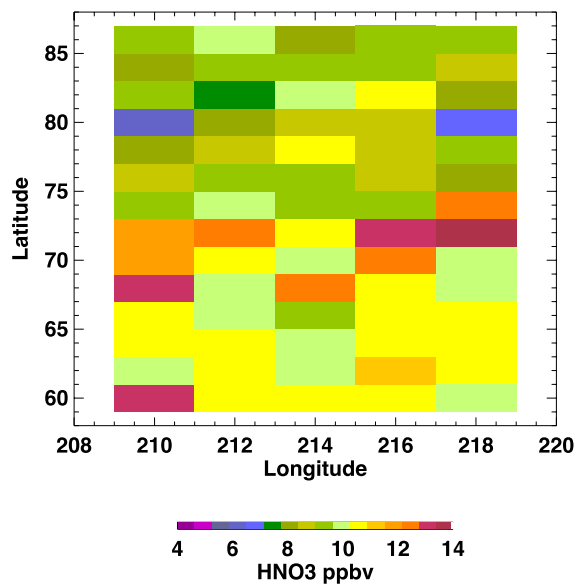
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852 **Figure 9.** Mixing ratio of selected species as a function of time for the case with heterogeneous
853 reactions as shown in Figure 8a but for trajectory B-b (a) and trajectory C-c (b). HNO_3 (solid),
854 NO_2 (dash), and N_2O_5 (dash-dot-dot) are in ppbv, and ozone (dash-dot) is in ppmv. The red
855 ~~line~~curve in both panels represent the HNO_3 variation for the case with only gas phase reactions.
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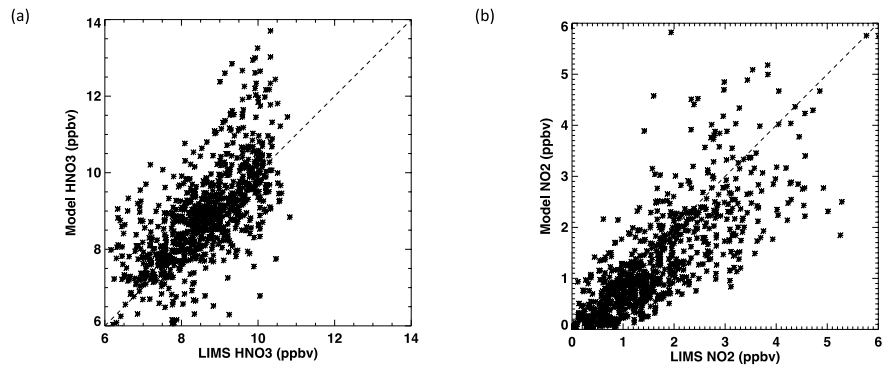
860 **Figure 10.** Calculated HNO₃ at 09Z on 28 January, corresponding to terminal location of all 70
861 trajectories.

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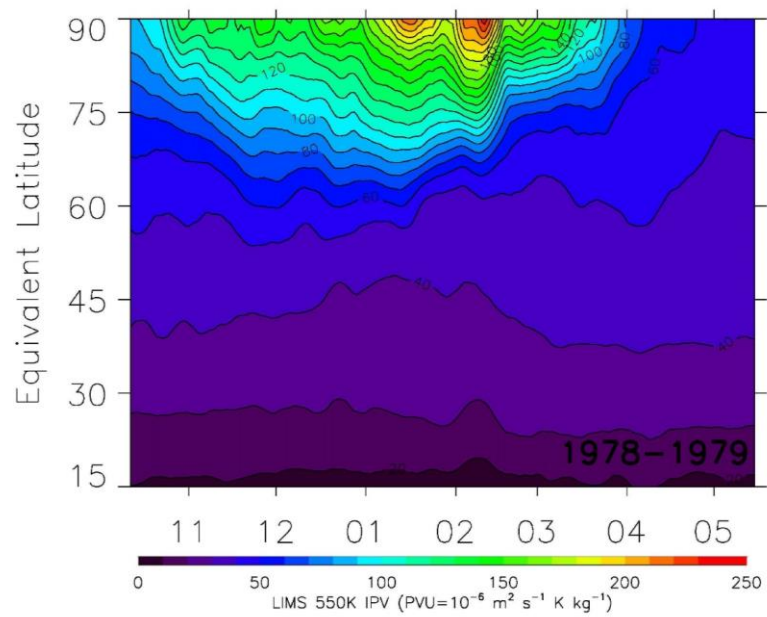
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Figure 11. Scatter plot of calculated species mixing ratios along the 70 trajectories and of the corresponding spatially and temporally closest LIMS [V6](#) observation. (a) HNO₃; (b) NO₂.

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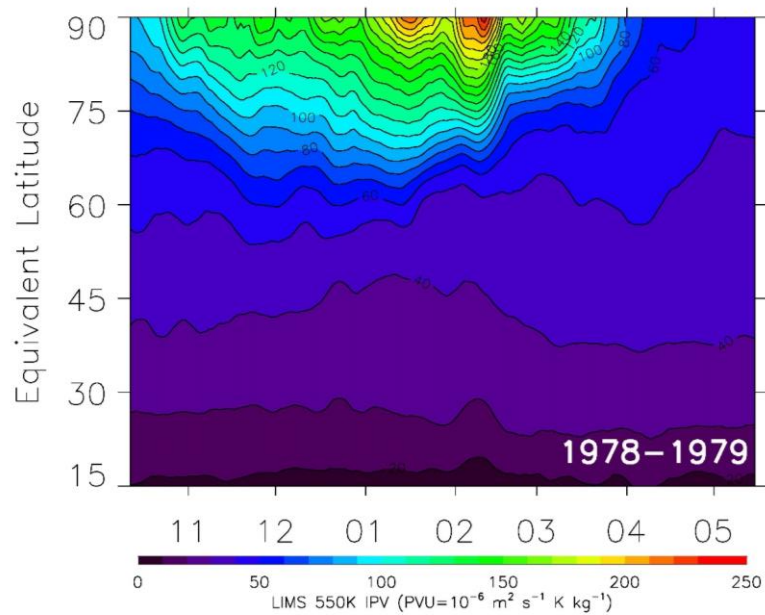


Figure 12. Time series of LIMS isentropic PV versus equivalent latitude at 550 K and with smoothing over 7 days. PV contour interval (CI) is 10 units.

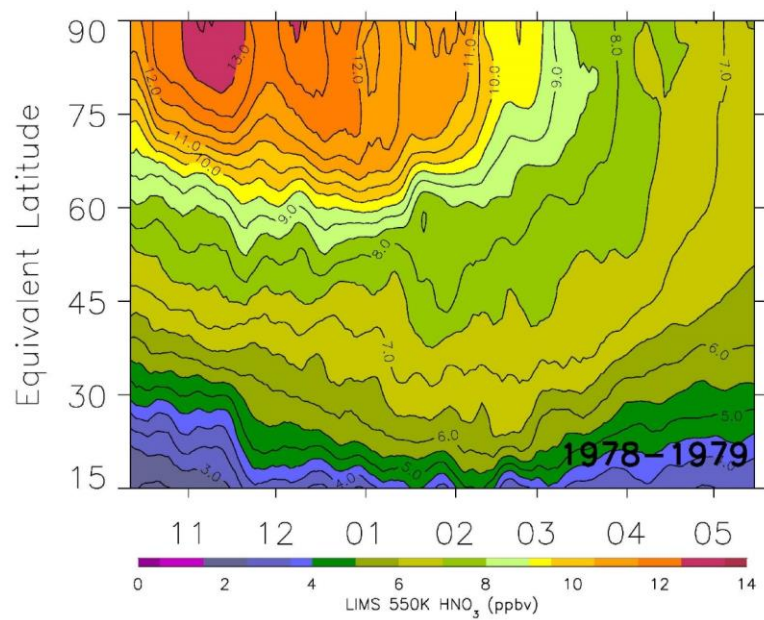


Figure 13. As in Fig. 12, but the averages of HNO₃ along PV isolines (CI is 0.5 ppbv).

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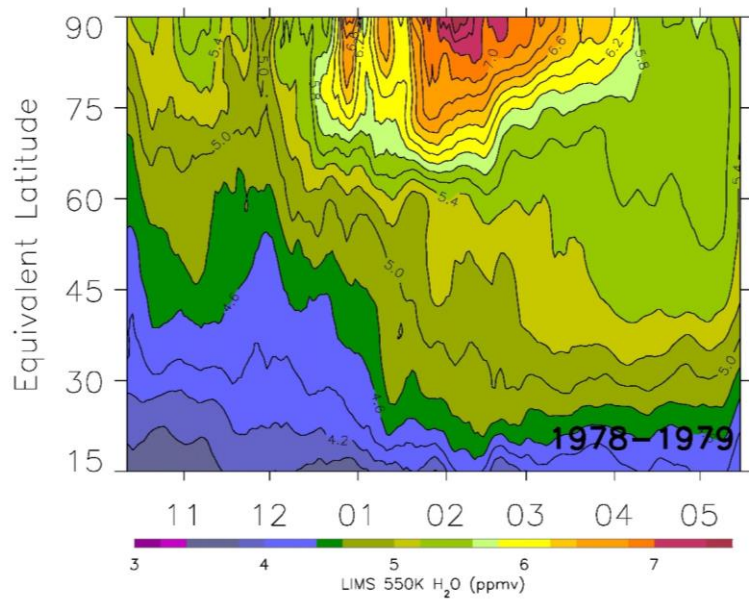


Figure 14. As in Fig. 13, but as averages of H₂O (CI = 0.2 ppmv).

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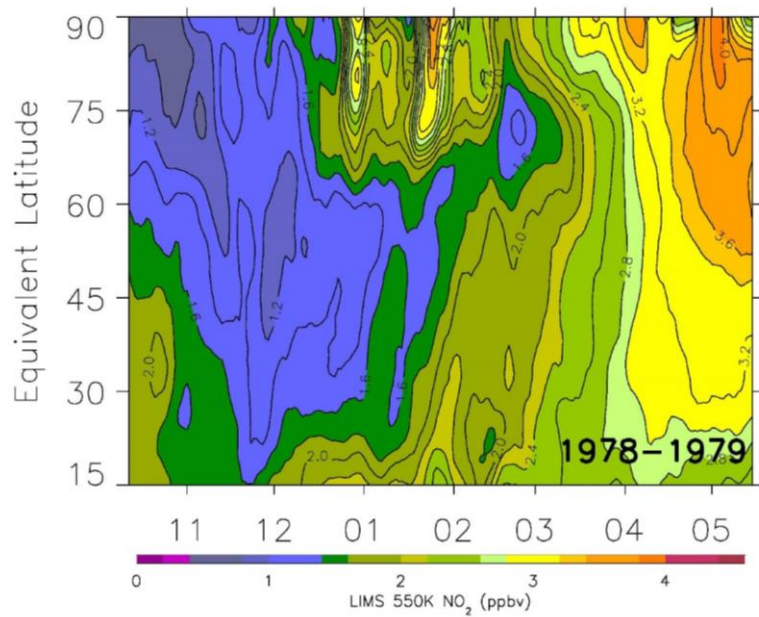


Figure 15. As in Fig. 13, but as averages of descending orbital (nighttime) NO₂ (CI = 0.2 ppbv).

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