



ON THE CONSISTENCY OF  $\text{HNO}_3$  AND  $\text{NO}_2$  IN THE ALEUTIAN HIGH  
REGION FROM THE NIMBUS 7 LIMS VERSION 6 DATASET

Ellis Remsberg<sup>1</sup>, Murali Natarajan<sup>1</sup>, and V. Lynn Harvey<sup>2</sup>

<sup>1</sup>Science Directorate, NASA Langley Research Center

21 Langley Blvd, Mail Stop 401B

Hampton, Virginia 23681, USA

<sup>2</sup>Laboratory for Atmospheric and Space Physics

Atmospheric and Oceanic Sciences

University of Colorado, UCB 311

Boulder, Colorado, 80309, USA

Correspondence to: Ellis Remsberg ([ellis.e.remsberg@nasa.gov](mailto:ellis.e.remsberg@nasa.gov))



**Abstract.** This study uses photochemical calculations along kinematic trajectories in conjunction with Limb Infrared Monitor of the Stratosphere (LIMS) observations to examine the changes in  $\text{HNO}_3$  and  $\text{NO}_2$  near 30 hPa in the region of the Aleutian High (AH) during the minor warming event of January 1979. An earlier analysis of Version 5 (V5) LIMS data indicated increases in  $\text{HNO}_3$  without a corresponding decrease in  $\text{NO}_2$  in that region and a wave-2 signature in the zonal distribution of  $\text{HNO}_3$ , unlike the wave-1 signal in ozone and other tracers. Version 6 (V6) LIMS also shows an increase of  $\text{HNO}_3$  in that region, but  $\text{NO}_2$  is smaller than from V5. The focus here is to convey that both V6  $\text{HNO}_3$  and  $\text{NO}_2$  are of better quality than from V5, as shown here by a re-examination of their mutual changes in the AH region. Photochemical model calculations initialized with LIMS V6 data show increases of about 2 ppbv in  $\text{HNO}_3$  over 10 days along trajectories terminating in the AH region on 28 January. Those increases are mainly a result of the nighttime heterogeneous conversion of  $\text{N}_2\text{O}_5$  on background stratospheric sulfuric acid aerosols. Changes in the composition of the air parcels depend on the extent of exposure to sunlight and, hence, on the dynamically controlled history of the trajectories. Trajectories that begin in low latitudes and traverse to across the Pole in a short time lead to the low  $\text{HNO}_3$  in the region separating the anticyclone from the polar vortex, both of which contain higher  $\text{HNO}_3$ . These findings help to explain the observed seasonal evolution and areal extent of both species. V6  $\text{HNO}_3$  and  $\text{NO}_2$  are suitable, within their errors, for the validation of stratospheric chemistry/climate models.



## 39 1 Introduction and Objectives

40 The Limb Infrared Monitor of the Stratosphere (LIMS) experiment operated on Nimbus 7 from 25  
 41 October 1978 through 28 May 1979. LIMS measurements were originally processed and archived to a  
 42 Version 5 (V5) data set (see Gille and Russell, 1984, and references therein). Since then, the observed  
 43 LIMS radiance profiles have been re-processed with improved, Version 6 (V6) algorithms to provide  
 44 profiles of temperature, chemical species, and geopotential height, as a function of pressure-altitude from  
 45 65° S to 84° N latitude (Remsberg et al., 2004). There are improvements from the registration of the  
 46 LIMS radiance profiles and from updated spectroscopic line parameters for retrievals of the V6 species  
 47 profiles. Several studies already show that the V6 ozone is of better quality for scientific analysis  
 48 (Natarajan et al., 2002; Stolarski et al., 2013; Remsberg et al., 2013; Shepherd et al., 2014). The two  
 49 nitrogen species, nitric acid ( $\text{HNO}_3$ ) and nitrogen dioxide ( $\text{NO}_2$ ), are also of better quality in V6  
 50 (Remsberg et al., 2010). In particular, Holt et al. (2012) were able to quantify the exchange of V6  $\text{NO}_2$   
 51 from the mesosphere to the middle stratosphere within the polar vortex. Remsberg and Harvey (2016)  
 52 also found good relationships on the 550 K potential temperature surface (near 30 hPa) for the highest  
 53 values of V6  $\text{HNO}_3$ , lowest values of ozone, and highest values of potential vorticity (PV) within the  
 54 Arctic winter vortex. While there are residual effects from polar stratospheric clouds (PSCs) in the ozone  
 55 and water vapor ( $\text{H}_2\text{O}$ ), those effects are small in the  $\text{HNO}_3$  and  $\text{NO}_2$  profiles and occur only at  
 56 temperatures < 194 K and from about 1-20 January. The V6 data set is part of the SPARC Data Initiative  
 57 for chemistry-climate model comparison studies (Tegtmeier, et al., 2013; SPARC, 2017).

58

59 The northern hemisphere (NH) polar winter of 1978-1979 was dynamically active in the middle  
 60 stratosphere, as determined from daily surface plots of geopotential height (GPH), potential vorticity  
 61 (PV), and ozone on the 850 K potential temperature surface (near 10 hPa) (McIntyre and Palmer, 1983;  
 62 Leovy et al., 1985; Butchart and Remsberg, 1986). A major, zonal wave-1 forcing brought about a rapid  
 63 exchange of air between polar and middle latitudes from mid to late January. Rood et al. (1993)



64 (hereafter referred to as R93) analyzed the LIMS V5 data in the Aleutian High (hereafter referred to as  
65 AH) region for 14-27 January. They reported that the tracer-like species  $\text{HNO}_3$  on the 30-hPa surface  
66 increased slowly during that time in the region of the relatively warm anticyclone. The absence in their  
67 analysis of a corresponding decrease in  $\text{NO}_2$  accompanying the increase in  $\text{HNO}_3$  led to concerns of an  
68 inconsistency in the LIMS data. The present study reconsiders that anomaly but using the V6 dataset and  
69 a trajectory model that includes the chemistry of reactive nitrogen ( $\text{NO}_y$ ) or the sum of  $\text{HNO}_3$  and odd  
70 nitrogen ( $\text{NO}_x$ ).

71

72 In their analysis of the V5 data, R93 also noted finding high values of  $\text{HNO}_3$  in both the polar vortex and  
73 the AH, and lower values around the periphery of both circulation systems resulting in a zonal wave-2  
74 signature in the  $\text{HNO}_3$  distribution. They suggested that, since dynamically controlled species like ozone  
75 showed a clear wave-1 signature similar to GPH and PV, the  $\text{HNO}_3$  levels outside the polar vortex may  
76 have influences from a mechanism independent of dynamics in order to account for its wave-2 variation.  
77 They explored the possible role of heterogeneous chemistry on background sulfate aerosols in converting  
78  $\text{NO}_x$  to  $\text{HNO}_3$  and concluded based on a 2-D model study that those species changes from V5 are large in  
79 winter but not correct phenomenologically. Separate studies have shown that heterogeneous reactions  
80 involving sulfate aerosols do perturb the stratospheric photochemistry and  $\text{HNO}_3$  levels (Austin et al.,  
81 1986; Rodriguez et al., 1991). With the availability of the improved V6 dataset, we revisit the question of  
82 inconsistency for the LIMS  $\text{HNO}_3$  and  $\text{NO}_2$  observations in the AH region. We focus our attention on the  
83 same period, namely 14 – 27 January, when there was a minor stratospheric warming.

84

85 Section 2 describes briefly the improvements implemented in the retrievals of the V6 profiles. We also  
86 note that the unscreened, residual effects from unscreened PSCs in the polar vortex are small for  $\text{HNO}_3$   
87 relative to those in ozone. Section 3 contains polar plots of ozone,  $\text{HNO}_3$ ,  $\text{NO}_2$ , and GPH for 17 and 27  
88 January 1979 and describes their changes, as the AH develops and the center of the vortex moves off the



89 Pole. Section 4 shows the changes in V6  $\text{HNO}_3$  and  $\text{NO}_2$  at the center of the AH from 14 to 27 January.  
 90 Section 5 describes an ensemble of trajectory calculations, including heterogeneous chemistry, for air  
 91 parcels converging in the region of the AH on 27 January. Those calculations partition  $\text{NO}_y$  into the  
 92 observed  $\text{HNO}_3$  and  $\text{NO}_2$ , plus the unobserved variations of nitric oxide (NO) and nitrogen pentoxide  
 93 ( $\text{N}_2\text{O}_5$ ). The calculated daily species values compare reasonably well with closest observed LIMS values,  
 94 as shown from the results in Section 6. We then show in Section 7 time series of variations of PV and of  
 95 V6  $\text{HNO}_3$ ,  $\text{H}_2\text{O}$ , and  $\text{NO}_2$  on the 550 K potential temperature surface across most of the northern  
 96 hemisphere for the entire 7¼ months of LIMS data. In this way, the variations for January are set in the  
 97 context of the longer-term, seasonal changes for those species. Section 8 is a summary of the findings.

98

## 99 **2 Improvements of the LIMS V6 species**

100 The LIMS instrument obtained profiles of atmospheric limb radiance in six channels, a wide (W) and a  
 101 narrow (N) band channel for  $\text{CO}_2$  ( $\text{CO}_2\text{W}$  and  $\text{CO}_2\text{N}$ ) and one each for ozone,  $\text{H}_2\text{O}$ ,  $\text{HNO}_3$ , and  $\text{NO}_2$  (Gille  
 102 and Russell, 1984). Retrieved V6 profiles occur at a spacing of every  $1.4^\circ$  of latitude ( $\sim 155$  km) along the  
 103 orbit, although their horizontal, tangent-path resolution remains no better than about 320 km. Bandpass  
 104 filters (in  $\text{cm}^{-1}$ ) for the LIMS instrument are  $\text{CO}_2\text{W}$  (579-755),  $\text{CO}_2\text{N}$  (637-673), ozone (926-1141),  $\text{H}_2\text{O}$   
 105 (1370-1560),  $\text{HNO}_3$  (844-917), and  $\text{NO}_2$  (1560-1630) in terms of their 5 % relative response points. Both  
 106 the  $\text{H}_2\text{O}$  and  $\text{NO}_2$  channels have an instantaneous field-of-view (IFOV) vertical width at the horizon of  
 107 3.6 km, while the other four channels have half that width or 1.8 km.

108

109 Retrievals of the V6 temperature and associated species profiles were obtained by using all successive,  
 110 up/down scan profile pairs along their observed, orbital tangent-path locations and at 18 levels per decade  
 111 of pressure-altitude,  $p(z)$ , or spaced about every 0.88 km. The effective vertical resolution is the same  
 112 ( $\sim 3.7$  km) for the retrieved V6 temperature and for each of the species profiles (Remsberg et al., 2004),  
 113 such that one can evaluate better the combined changes of  $\text{HNO}_3$  and  $\text{NO}_2$  at a given pressure-level.



114 Further, the spectral line parameters used for the retrieval of the  $\text{NO}_2$  were updated for the production of  
 115 V6, leading to values of nighttime  $\text{NO}_2$  that are up to 20 % smaller than those of V5 in the upper and  
 116 middle stratosphere (Remsberg et al., 2010). An important addition to the V6 data set is the co-located  
 117 GPH for each of the retrieved profiles.

118

119 LIMS-retrieved ozone has a non-linear sensitivity to temperature and/or radiance biases and to the effects  
 120 of PSCs; retrieved  $\text{H}_2\text{O}$  mixing ratio is even more sensitive (Remsberg et al., 2007; 2009). On the other  
 121 hand, effects from PSCs are much less noticeable in  $\text{HNO}_3$  and  $\text{NO}_2$ . As an example, Figure 1 shows the  
 122 relative effects for ozone and  $\text{HNO}_3$  of the residual contamination or the unscreened effects of emission  
 123 from PSCs, plus the associated temperature and GPH on the 31.6-hPa surface for 11 January. Grid-point  
 124 data for the surface plots of Fig. 1 are from the V6 Level 3 zonal Fourier coefficient product (Remsberg  
 125 and Lingenfelser, 2010). The ozone panel of Fig. 1 shows values in the cold vortex region that are of the  
 126 order of 6 ppmv and not in keeping with the much lower surrounding values of 3 ppmv. White plus signs  
 127 indicate where there was a screening of profile segments perturbed by emissions from PSCs in ozone, and  
 128 the red dot indicates the presence of a PSC based on data from the Stratospheric Aerosol Monitor (SAM  
 129 II) experiment for comparison purposes. While there can be descent of ozone in the vortex from higher  
 130 altitudes, the excess ozone in the vortex region of Fig. 1 is due to the remaining, unscreened effects of the  
 131 PSCs. Note also that the PSC features occur only where the environmental temperature is less than about  
 132 194 K. Thus, effects of PSCs are minimal for most of the Arctic lower stratosphere, and they are not  
 133 present at all in the warmer AH region.

134

135 The lower right panel of Fig. 1 shows that  $\text{HNO}_3$  also has values in the vortex that appear a bit high. Yet,  
 136 those values are nearly unaffected by the PSCs because the relationship between the observed LIMS  
 137  $\text{HNO}_3$  radiance and its retrieved mixing ratio is very nearly linear. In fact, simulation studies indicate that  
 138 a temperature bias error of 1 K has only a small, 3 % effect in the V6  $\text{HNO}_3$  mixing ratios from 10 to 50



hPa (Remsberg et al., 2010, their Table 1). Retrieved  $\text{NO}_2$  also varies in a nearly linear way. Yet as with ozone, there are no perturbing effects from PSCs for  $\text{H}_2\text{O}$ ,  $\text{HNO}_3$ , or  $\text{NO}_2$  in the AH region that is the focus of the remainder of this study.

### 3 LIMS V6 GPH, $\text{O}_3$ , $\text{HNO}_3$ , and $\text{NO}_2$ during January 1979

R93 (and references therein) analyzed and presented results of GPH, ozone, and  $\text{HNO}_3$  at 30 hPa from the V5 data set for 14, 17, 23, and 27 January. They noted that ozone behaves as a tracer in terms of its relation to changes in GPH and according to its associated transport fields. On the other hand, they found that the  $\text{HNO}_3$  distributions did not evolve in the same way, but developed a zonal wave-2 rather than wave-1 character over a deep layer of the middle stratosphere (50 to 5 hPa). They also did not find clear anti-correlations between the distributions of V5  $\text{HNO}_3$  and  $\text{NO}_2$  in the more isolated AH region, at least to the extent that the sum of those two gases do not change appreciably. We consider their variations again using the V6 data.

Initially, Rood et al. (1987) had expressed some doubts about the accuracy of the Arctic GPH fields at 30 hPa from the operational meteorological analyses for January 1979. Figs. 2b and 3b are polar plots of the zonal GPH anomalies (deviations from zonal mean) from V6 for the equivalent level of 31.6 hPa and for two of the four days above, 17 and 27 January. Those GPH anomalies exhibit structures that are very similar to those of R93 (their Figs. 1b and 1d). The large-scale flow is along the isolines of the GPH anomalies or around the respective high and low centers. The AH strengthened significantly but remained at about the same location from 17-27 January. Maximum ozone anomalies in Figs. 2a and 3a are nearly coincident with the center of the AH, again in close agreement with the findings of R93 (their Figs. 2b and 2d). There is strong meridional transport of air of relatively poor ozone along the western edge of the AH to across the Pole from 17 to 27 January.



164 The large-scale, zonal anomalies in  $\text{HNO}_3$  (Figures 2d and 3d) are opposite in sign to those of GPH and  
 165 ozone. One exception is the relatively low values of  $\text{HNO}_3$  near  $80^\circ \text{N}$ ,  $0^\circ \text{E}$  on 17 January in Fig. 2d,  
 166 which has been interpreted as due to an uptake of gas phase  $\text{HNO}_3$  onto PSC particles just upwind a day  
 167 or so earlier (Remsberg and Harvey, 2016). Yet, by 27 January (Fig. 3d) the cyclonic circulation about  
 168 the low GPH center indicates clearly that there is net transport of low values of  $\text{HNO}_3$  near  $140^\circ \text{E}$ , along  
 169 the axis of the polar night jet, across the Pole, and then to about  $300^\circ \text{E}$ . As a result, while both ozone and  
 170  $\text{NO}_2$  display the same zonal wave-1 structures as the GPH field on 27 January, the  $\text{HNO}_3$  distribution  
 171 exhibits wave-2 structure. Figs. 2c and 3c represent  $\text{NO}_2$  anomalies from profiles of just the LIMS V6  
 172 descending orbits, or from its nighttime values near 11:00 pm local time. Those anomalies for  $\text{NO}_2$  are  
 173 from four zonal waves minus the zonal mean coefficient, rather than from six zonal waves as for all the  
 174 other LIMS Level 3 products. Most of the  $\text{NO}_x$  converts from  $\text{NO}$  to  $\text{NO}_2$  at sunset, followed by a further,  
 175 partial conversion of the  $\text{NO}_2$  to  $\text{N}_2\text{O}_5$  up to the 11:00 pm observation time of LIMS (Brasseur and  
 176 Solomon, 2005). The respective panels of Figs. 2 and 3 demonstrate that ozone and  $\text{NO}_2$  have large-scale  
 177 features of opposite sign in the AH region, while  $\text{HNO}_3$  and  $\text{NO}_2$  have anomaly patterns of the same sign.  
 178 Clearly, it is important to consider the amount of  $\text{NO}_x$  that is in the form  $\text{N}_2\text{O}_5$  in the AH region during  
 179 that time.

180

181 Figure 4 shows the zonal variations from the V6 Level 3 coefficients for the latitude of  $66^\circ \text{N}$  on 27  
 182 January.  $\text{HNO}_3$  within the polar vortex ( $0^\circ$  to  $90^\circ \text{E}$ ) is higher by nearly 2 ppbv compared to that in the  
 183 AH region ( $180^\circ \text{E}$  to  $240^\circ \text{E}$ ). Note from Fig. 3, however, that  $\text{HNO}_3$  has a strong, positive Equator-to-  
 184 Pole gradient in the  $0^\circ$  to  $90^\circ \text{E}$  sector, whereas that of ozone is weak and slightly negative. Ozone in Fig.  
 185 4 has a broad, wave-1 character, while  $\text{HNO}_3$  exhibits two minima (at  $140^\circ \text{E}$  and  $300^\circ \text{E}$ ). At 10 hPa and  
 186 lower pressures (or at higher  $z$ ) a wave-2 structure is no longer apparent in  $\text{HNO}_3$ . Variations of the  
 187 descending and ascending (daytime or  $\sim 1330$  local time)  $\text{NO}_2$  modes appear separately in Fig. 4. Diurnal  
 188 differences for  $\text{NO}_2$  are only in the longitude sector from  $60$  to  $120^\circ \text{E}$ , or across the boundary of the cold





189 polar vortex and the warmer AH region. Relatively low ozone at this location results in only a slow  
 190 conversion of  $\text{NO}_2$  at sunset to  $\text{NO}_3$  and finally to  $\text{N}_2\text{O}_5$ . Consequently, a larger fraction of  $\text{NO}_x$  remains as  
 191  $\text{NO}_2$  at the time of the LIMS descending mode observations, or near 11:00 pm local time. The warmer  
 192 AH region from  $180^\circ$  E to  $240^\circ$  E, on the other hand, has higher ozone mixing ratio, and the decrease in  
 193  $\text{NO}_2$  from its maximum at sunset is steeper, bringing  $\text{NO}_2$  mixing ratios at the time of the LIMS  
 194 descending mode observation close to the value of the ascending mode measurement. There is very little  
 195 diurnal difference in  $\text{NO}_2$  in that longitude region. Thus, air parcel history is important for interpreting  
 196 observed changes in the distributions of these two reactive species even in the AH region.

197

#### 198 **4 Changing composition within the Aleutian High**

199 Figure 5 shows variations in the V6 species from the Level 2 profiles at 31.6 hPa and at the center of the  
 200 AH, which is identified by the location of the maximum GPH anomaly. Note that Fig. 5, which is  
 201 analogous to Figure 7 of R93, also shows that the latitude of the AH moves poleward from about  $60^\circ$  N to  
 202  $68^\circ$  N from 14 to 27 January. Its longitude moves slightly, too, from  $186^\circ$  E on 14 January to  $230^\circ$  E on  
 203 19 January and then retreats partially to  $214^\circ$  E by 27 January. Red boxes in Figure 6 illustrate those  
 204 locations. GPH of the 31.6-hPa level at the center of AH grows from 23.0 to 23.8 km during that time.

205

206 Ozone and  $\text{H}_2\text{O}$  variations in Figure 5 are no greater than their estimated single profile uncertainties of  
 207  $\sim 15\%$  at 30 hPa (Remsberg et al., 2007; 2009). They remain rather steady in the AH and are in keeping  
 208 with their small horizontal gradients on the 31.6-hPa surface and their relatively long chemical lifetimes.  
 209  $\text{HNO}_3$  shows significant change; it declines from 10 to 8.2 ppbv from 14 to 18 January, but then increases  
 210 rather steadily again to 10 ppbv by 27 January. Single profile uncertainty for  $\text{HNO}_3$  is  $\sim 8\%$  at 30 hPa  
 211 (Remsberg et al., 2010). Descending (or late evening)  $\text{NO}_2$  declines from 1.1 to 0.5 ppbv from 15 to 19  
 212 January, increases to about 1.0 ppbv on 23 January, before declining again to 0.4 ppbv on 27 January.  
 213 Ascending (early afternoon)  $\text{NO}_2$  is smaller than descending  $\text{NO}_2$  from 14 to 17 January, or when the AH



center is at about  $60^\circ$  N. As the AH central latitude shifts northward after 17 January, both the ascending and descending  $\text{NO}_2$  exhibit similar values and indicate that the daytime observations are from near to or within the polar night boundary. Generally, the root-sum-squared (RSS) bias error for single  $\text{NO}_2$  profiles is  $\sim 30\%$  at this pressure level, although it may be a bit larger across the polar night boundary. In particular, Remsberg et al. (2010, their Fig. 3) show an altitude-latitude plot of “zonal average  $\text{NO}_2$ ” from only the descending (11:00 pm) orbital segments of 15 January, when the polar vortex is still nearly circular and centered on the Pole. Its vertical distribution at  $60^\circ$  N declines from 2.5 ppbv at 20 hPa to a local minimum of  $< 1$  ppbv at 35 hPa or about where  $\text{HNO}_3$  also has its local maximum. In fact, the V6 retrieval algorithm sets  $\text{NO}_2$  to zero, when the forward radiance for the tangent layer approaches the measurement noise for that channel ( $5.5 \times 10^{-4} \text{ W m}^{-2} \text{ sr}^{-1}$ ). Thus, the effect of a finite ( $\sim 3.7$  km) vertical resolution is to smooth across that local  $\text{NO}_2$  minimum, giving a high bias in its final retrieved value.

225

## 226 **5 Trajectory model with photochemistry**

In this section, we examine the interplay between photochemistry and dynamics during the evolution of  $\text{HNO}_3$  in the AH by making use of photochemical calculations along trajectories that terminate in the AH region on 27 January. In these calculations, we use the V6 level 2 profile data to initialize the air parcel composition, which allows a comparison between model results and co-located observations along the trajectory in the AH region. The trajectory model is driven by 3-dimensional meteorological data from MERRA (Rienecker et al., 2011) corresponding to January 1979. This dataset includes 3-hourly information on surface pressure, horizontal wind, vertical pressure velocity, and temperature on a  $1.25^\circ$  longitude by  $1.25^\circ$  latitude grid. A family of 70 back trajectories is generated, with trajectories beginning at 30 hPa from a grid of  $2^\circ$ -latitude by longitude covering a domain defined by  $210^\circ$  E and  $218^\circ$  E longitudes and  $60^\circ$  N and  $86^\circ$  N latitudes. The starting time of the back trajectories is 09Z on 28 January, which corresponds to a local time of 11:00 pm on 27 January at  $210^\circ$  E. This is close to the local time of LIMS descending mode observations in this latitude region. The selected region overlaps the AH as seen



239 in the contour plot of V6 GPH anomalies for this day as shown in Fig. 3b. The model uses a 4th order  
 240 Runge-Kutta advection scheme to generate 3-dimensional, kinematic back trajectories. We save  
 241 trajectory parameters required for further calculations on an hourly basis.  
 242

243 Figure 6 shows the back trajectory beginning on 27 January at 214° E, 68° N and 30 hPa. The numbers in  
 244 black along the trajectory represent the day numbers. Red colored squares with day numbers represent  
 245 the history of the location of the AH center at 31.6 hPa based on the maximum V6 GPH anomaly. This  
 246 pressure level is the closest in LIMS data to 30 hPa. It is clear that between 22 and 27 January the  
 247 trajectory and the AH center remain in a region north of 54° N latitude, and the AH region provides an  
 248 isolated natural chemical laboratory for the constituents to evolve. Prior to 22 January the trajectory and  
 249 AH center diverge with the trajectory going backwards to latitudes as far south as 27° N. As we will  
 250 show later, the back trajectory beginning at 214° E and 60° N remains within the AH region or north of  
 251 45° N throughout the 10-day period. These differences among the trajectories affect their initial values  
 252 and the changes in air parcel composition.  
 253

254 Time-dependent photochemical calculations are conducted along the trajectories in the forward direction.  
 255 Information used in these calculations include the vertical ozone column along the trajectories based on  
 256 V6 Level 3 ozone data, background sulfate aerosol surface area densities for January 1979 adopted from  
 257 the IGAC/SPARC CCMI recommendations for Reference Simulation 1 (Eyring et al., 2013), and solar  
 258 zenith angle corresponding to the local time of day. Note that only a modest amount of aerosol surface  
 259 area is necessary for the heterogeneous mechanisms to occur (e.g., Austin et al., 1986). The starting  
 260 location and mixing ratios of measured species are determined by identifying for each trajectory the  
 261 spatially and temporally closest LIMS descending mode observation between 14 and 17 January.  
 262 Longitude separation between the trajectory and the V6 data is within 15°, latitude separation within 7.5°,  
 263 and time of measurement within an hour. We use an updated version of the stratospheric diurnal



photochemical model (Natarajan and Callis, 1997) to calculate the changes in the composition of the air parcels until they reach the AH region on 27 January. Chemical kinetics data are adopted from the recent JPL evaluation (Burkholder et al., 2015). Results from a time-dependent, 2-dimensional chemistry-transport model (Callis et al., 1997) simulation corresponding to January 1979 provide initialization estimates of other unmeasured  $\text{HO}_x$ ,  $\text{Cl}_x$ , and  $\text{NO}_x$  species. The initialization procedure involves repeated diurnal calculations at the fixed starting latitude, altitude, and day. During each diurnal cycle, the mixing ratios of ozone,  $\text{NO}_2$ , and  $\text{HNO}_3$  are set to the observed values at the local time of the LIMS descending mode measurement (Natarajan et al., 2002). Within five diurnal cycles, the short-lived chemical species reach near steady mixing ratios. Then, the photochemical model integration continues along each trajectory until reaching the endpoint of 09Z on 28 January.

274

## 275 **6 Results and discussion**

We show in this section the results of sample trajectories that have behavior similar to those of the ensemble and discuss the transformations occurring in the composition of the air parcels over their 10-day transit. All trajectories terminate at 30 hPa but, since we use 3-dimensional kinematic trajectories based on MERRA, there are fluctuations in pressure and altitude as the parcel moves along its trajectory. The length of exposure to daylight also fluctuates and is not the same for all the trajectories. These differences certainly affect the photochemical changes that occur.

282

Figure 7(a) shows, on a polar stereographic projection map of the Northern Hemisphere, three different trajectories terminating at a longitude of  $214^\circ$  E. The latitude circles in the figure are  $10^\circ$  apart and poleward of  $20^\circ$  N. The uppercase letters A, B, and C denote the starting location of the trajectories and the lowercase letters a, b, and c mark the endpoints at  $60^\circ$  N,  $72^\circ$  N, and  $80^\circ$  N latitude, respectively. The color scale ranging from 0 to 1 represents the accumulated hours of exposure to darkness along each trajectory expressed as a fraction of the total length of the trajectory in hours. The cumulative fraction of



289 darkness at the endpoint is 0.70, 0.67, and 0.63 for the trajectories A-a, B-b, and C-c, respectively. Fig.  
 290 7(b) shows the same three trajectories but now color-coded to demonstrate the calculated variation of  
 291  $\text{HNO}_3$ . Trajectory A-a starts at  $222.1^\circ \text{E}$  and  $59.9^\circ \text{N}$  on 17 January with an initial  $\text{HNO}_3$  mixing ratio of  
 292 9.05 ppbv, adopted from the nearby LIMS observation. Both the starting and termination points for this  
 293 trajectory are very near the center of the AH as seen in the GPH anomaly contours (Fig. 3b). The mixing  
 294 ratio of  $\text{HNO}_3$  increases to 10.8 ppbv, mostly due to the heterogeneous hydrolysis involving  $\text{N}_2\text{O}_5$  and  
 295 sulfate aerosol. This conversion of  $\text{NO}_x$  predominates while the parcel is in darkness.

296

297 Figure 8(a) shows the variation with time of selected chemical constituents as the air parcel moves along  
 298 trajectory A-a. Shown by the thick broken line at the top of the figure are the segments when the parcel  
 299 along this trajectory is in darkness. It is clear that  $\text{HNO}_3$  increases during extended periods of darkness,  
 300 which occur more often when the parcel traverses through higher latitudes. This increase in  $\text{HNO}_3$  comes  
 301 at the expense of other reactive nitrogen species as can be seen in the decrease in the mean value and  
 302 somewhat diminished amplitude of the diurnal variation of  $\text{NO}_2$ . There is also a dampening of the diurnal  
 303 variation of  $\text{N}_2\text{O}_5$  because of limited exposure to sunlight, although its mean value remains higher. The  
 304 daytime peak mixing ratio of  $\text{NO}$  is low (not shown). When a trajectory is at lower latitudes (e.g.,  
 305 between 17 and 22 January), all  $\text{NO}_x$  species display larger diurnal variations with little change in mean  
 306 value.  $\text{HNO}_3$  also shows some diurnal fluctuations due to daytime photolysis followed by production due  
 307 to heterogeneous chemistry in darkness and with a slight overall increase in mean mixing ratio. After 22  
 308 January, the air parcel moves to higher latitudes and experiences extended periods of darkness, leading to  
 309 the steady increase in  $\text{HNO}_3$ . Thus, a combination of dynamics, which determines the trajectory that the  
 310 parcel follows, and both gas-phase and heterogeneous photochemistry explains the higher levels of  $\text{HNO}_3$   
 311 measured by LIMS near 30 hPa in the AH region on 27 January. Ozone, which has a longer chemical  
 312 lifetime at this pressure level, shows almost no change. However, we also note that at lower pressures,  
 313 e.g., at 5 hPa, there is a similar impact of dynamics and photochemistry in the formation of pockets of low



314 ozone mixing ratio within the AH region during winter (Manney et al., 1995; Morris et al., 1998; Harvey  
315 et al., 2004). The photochemical time constant for ozone at those pressure levels is short enough that air  
316 parcels originating from lower latitudes and containing higher ozone go through a chemical  
317 transformation, when confined for an extended period of time within the AH region. This results in a  
318 destruction of ozone in reaching photochemical equilibrium under daylight conditions. Conversely, the  
319 increase in  $\text{HNO}_3$  at 30 hPa is due mainly to the nighttime heterogeneous chemistry.

320

321 In order to highlight the role of the heterogeneous reactions involving background sulfate aerosols, we  
322 have repeated the photochemical calculations along the same trajectories but considering only gas-phase  
323 reactions. Fig. 8(b) shows the mixing ratios in this case for trajectory A-a.  $\text{HNO}_3$  decreases from the  
324 initial mixing ratio of 9.1 ppbv and settles to a value closer to 8.0 ppbv by 20 January. Because we  
325 initialized the parcel using LIMS  $\text{HNO}_3$  data, that initial drop is indicative of the imbalance created by  
326 removing the source due to heterogeneous conversion. Small diurnal fluctuations are apparent during the  
327 passage through lower latitudes because of photolysis, but they are nearly absent when the parcel is in the  
328 high latitude region. Without heterogeneous reactions,  $\text{N}_2\text{O}_5$  remains the primary reservoir of  $\text{NO}_x$  during  
329 the nighttime and reaches its peak values just when the parcel is about to emerge from darkness. The  
330 mean mixing ratio of  $\text{N}_2\text{O}_5$  is about 2 ppbv between 23 and 25 January, when the parcel experiences that  
331 extended period of darkness at high latitude. The diurnal cycle for  $\text{NO}_2$  exhibits larger amplitudes when  
332 using only gas-phase reactions, since the absence of additional production of  $\text{HNO}_3$  keeps the  $\text{NO}_x$  mixing  
333 ratio higher. The difference in the mixing ratio of  $\text{HNO}_3$  in the AH region between the two simulations  
334 shows the impact of heterogeneous reactions in the partitioning of odd nitrogen. While the agreement for  
335  $\text{HNO}_3$  between model and the LIMS data of Fig. 5 is good with the inclusion of heterogeneous reactions,  
336 the comparison for  $\text{NO}_2$  is worse; the model gives mixing ratios that are lower than the measurement.  
337 Considine et al. (1992) also reached a similar conclusion in their 2-dimensional model study. But, that is



also when the V6 NO<sub>2</sub> in the AH region is near its local minimum of about 0.5 ppbv, and we noted in Section 4 that such small retrieved values are likely to have a slight high bias.

Trajectory B-b, shown in Fig. 7(a), starts from 173.3° E and 23° N on 14 January. After spending a few days in the lower latitudes, the air parcel along this trajectory takes a nearly meridional path to the AH region. During the last 5 days the parcel remains confined in the AH region, similar to the parcel along trajectory A-a. The chemical evolution along the trajectory, shown in Fig. 9(a), is also similar to that along trajectory A-a displayed in Fig. 8(a). Large diurnal variations in NO<sub>2</sub> and N<sub>2</sub>O<sub>5</sub> occur during the initial period between 14 and 20 January, when the trajectory is in the lower latitudes. Even HNO<sub>3</sub> displays noticeable variations with an increase during night caused by the heterogeneous conversion of N<sub>2</sub>O<sub>5</sub> followed by a decrease due to photolysis during daytime. Amplitudes of the diurnal variations diminish in the high latitude AH region, due to reduced photolytic loss, especially for HNO<sub>3</sub>, during the shorter daylight period and at higher zenith angles. The corresponding increase in the nighttime heterogeneous conversion leads to HNO<sub>3</sub> mixing ratios greater than 10 ppbv at the end of the trajectory. Between 22 to 25 January, NO<sub>2</sub> displays a steady diurnal cycle, while N<sub>2</sub>O<sub>5</sub> shows a declining peak value. The increase in HNO<sub>3</sub> does not occur with a corresponding decrease in NO<sub>2</sub>. During extended periods of darkness, NO<sub>2</sub> decreases to a negligible amount as shown at the end of the trajectory on 27 January. When there are only gas phase reactions, the variation of HNO<sub>3</sub> is as shown by the red line. Again, initialization of the photochemical model uses the V6 data, and the absence of heterogeneous reactions introduces an imbalance leading to the negative tendency in HNO<sub>3</sub>.

Trajectory C-c, shown in Fig. 7(a), starts from 79.9° E and 27.6° N on 17 January. Until 24 January, this parcel stays south of 40° N and then takes a meridional path directly northward reaching 80° N by 28 January. This is slightly north of the AH but still outside the polar vortex. Fig. 9(b) shows variations of the species mixing ratios along the trajectory C-c for the simulation with heterogeneous chemistry. The



363  $\text{HNO}_3$  mixing ratio at the beginning of the trajectory (C) is about 7.8 ppbv, and it increases to about 8.7  
 364 ppbv at the endpoint (c). The measurements in Fig. 3(d) indicate a wave-2 feature in  $\text{HNO}_3$  at 30 hPa,  
 365 with peak values in the polar vortex region and AH. The minimum in  $\text{HNO}_3$  between these two regions is  
 366 a result of the rapid transit of air parcels from lower latitude along trajectories similar to C-c. The air  
 367 parcels remain in the low latitudes for a longer time, and photolysis during daylight hours keeps the net  
 368 change in  $\text{HNO}_3$  low. Only later is there a noticeable increase in  $\text{HNO}_3$  for the high latitude segment of  
 369 the trajectory.  $\text{NO}_2$  and  $\text{N}_2\text{O}_5$  display diurnal variations as expected in the middle latitudes. After 21  
 370 January, there is a decrease occurring in the peak value of  $\text{NO}_2$  at every successive sunset along the  
 371 trajectory. The corresponding minimum in  $\text{N}_2\text{O}_5$  shows a small increasing trend especially after 24  
 372 January, and this is due to the increasing lifetime against photolysis at winter high latitudes. The parcel is  
 373 in complete darkness during the last 24 hours, when  $\text{NO}_2$  continuously decreases to a very low mixing  
 374 ratio,  $\text{N}_2\text{O}_5$  declines slowly, and  $\text{HNO}_3$  increases.

375

376 Calculated  $\text{HNO}_3$  mixing ratios are shown in Figure 10 on a longitude-latitude grid for the end time of the  
 377 ensemble of 70 trajectories, corresponding to 09Z on 28 January. The latitudinal variation in  $\text{HNO}_3$   
 378 between  $60^\circ\text{N}$  in the AH region and the Pole clearly shows a dip to lower values near  $80^\circ\text{N}$  in the model  
 379 calculations. This spatial distribution occurs even when heterogeneous reactions are not included.  
 380 However, calculations with heterogeneous chemistry simulate the magnitude of the LIMS  $\text{HNO}_3$   
 381 observations better. Combined with the higher  $\text{HNO}_3$  values in the polar vortex (not a focus of this  
 382 paper), the differing trajectories explain the formation of a wave-2 like structure at 30 hPa seen in the  
 383 LIMS  $\text{HNO}_3$  observations during the minor warming. As reported by R93, this feature is present in the  
 384 LIMS V5 data also, except that V5  $\text{HNO}_3$  is nearly of the same magnitude in the AH and the polar vortex  
 385 at 30 hPa on 27 January. For the same conditions, the V6  $\text{HNO}_3$  is larger in the polar vortex than in the  
 386 AH by about 2 ppbv.

387





388 Even though we have shown and discussed the model results for only three of the trajectories, all of  
389 which end along  $214^{\circ}$  E longitude, the results for the entire ensemble provide a consistent picture. A  
390 scatter plot of the calculated  $\text{HNO}_3$  along the 70 trajectories versus the spatially and temporally closest  
391 LIMS observation is shown in Figure 11a. There is little bias between the model and LIMS data, except  
392 for mixing ratios greater than 10 ppbv where the model values are higher. A similar scatter plot for  $\text{NO}_2$   
393 is displayed in Figure 11b, where values from the model are lower than the V6 observations. Deviations  
394 from the diagonal dashed line for both  $\text{HNO}_3$  and  $\text{NO}_2$  could be due to a variety of factors, in addition to  
395 bias errors of the data. The criteria we used for selecting the closest observation are coarse, but tightening  
396 those criteria reduces the amount of data available for initializing the model and for comparison along the  
397 trajectory. While we used the closest LIMS observation to constrain the initialization of the model, other  
398 unmeasured species as well as total odd nitrogen are from two-dimensional model output that introduces  
399 some uncertainty. We have used the recommended kinetic rate constants, but any uncertainty in key  
400 reaction rates could affect the calculated variations in the composition. Another possible source of error  
401 is the background stratospheric aerosol, surface area density. We have used the climatology from  
402 IGAC/SPARC CCMI database, which are zonally averaged data. There were no major volcanic  
403 perturbations in late 1978 and early 1979, so large perturbations from this database are most unlikely.  
404 While at the lower latitudes photolysis during the daylight hours is important in limiting the impact of  
405 heterogeneous reactions, the aerosol data directly affects that rate of conversion of  $\text{N}_2\text{O}_5$  to  $\text{HNO}_3$ . It may  
406 be that background aerosols in the vortex at high latitudes are less abundant than prescribed, which could  
407 explain the apparent high bias in model  $\text{HNO}_3$  for values greater than 10 ppbv in Figure 11a. Although  
408 LIMS did not measure  $\text{N}_2\text{O}_5$ , analyses involving ATMOS measurements show clearly the role of  $\text{N}_2\text{O}_5$   
409 and heterogeneous reactions in the stratospheric odd nitrogen chemistry (Natarajan and Callis, 1991). A  
410 more comprehensive study of the changes in atmospheric composition in the AH region using data from  
411 more recent satellite experiments is beyond the scope of this study.

412



## 413 7 Seasonal evolution of PV, HNO<sub>3</sub>, H<sub>2</sub>O, and NO<sub>2</sub>

414 Anticyclone features usually develop in the Northern Pacific stratosphere and are present 60 % of the time  
 415 during winter (Harvey and Hitchman, 1996; Baldwin and Holton, 1988). Therefore, we place the V6  
 416 species variations of 14-27 January into the broader context of their seasonal variations. As before, one  
 417 can ignore the effects of any remnants from PSCs for the species away from the polar winter vortex.  
 418 First, Figure 12 is a time series plot of the dynamical tracer, PV, on the isentropic surface of 550 K (near  
 419 31.6 hPa) for 25 October 1978 through 28 May 1979.

$$420 \quad PV = (f + \zeta) / \sigma, \quad (1)$$

421 where  $f = 2\Omega \sin \phi$  is the local vertical component of the planetary vorticity on a pressure surface and  $\zeta =$   
 422  $(r \cos \phi)^{-1} (\partial v / \partial \lambda - \partial(u \cos \phi) / \partial \phi)$  is the relative vorticity in polar coordinates (longitude  $\lambda$  and latitude  
 423  $\phi$ ).  $\sigma$  is isentropic density ( $\text{kg m}^{-2} \text{K}^{-1}$ ) and  $1/\sigma = -g \partial \theta / \partial p = (1/Q) \partial \theta / \partial z$  is static stability. Geostrophic  
 424 wind components,  $u$  and  $v$ , are calculated at grid points from the V6 GPH fields. Then, daily values of  
 425 the vertical component of PV are computed at each grid point from the zonal and meridional components  
 426 of the wind ( $u$  and  $v$ ), plus the local vertical gradients of potential temperature versus pressure from V6,  
 427 following Harvey et al. (2009). The ordinate of Fig. 12 is in terms of equivalent latitude  $\phi$  from the Pole  
 428 ( $90^\circ$ ) to  $15^\circ$  N and is from a monotonic ordering of the daily PV from high values inside the polar vortex  
 429 to lower values outside (see e.g., Butchart and Remsberg, 1986). Thus, equivalent latitude is a vortex-  
 430 centered coordinate that assigns the highest PV values (located in the center of the vortex) to be at  $90^\circ$  N.  
 431 Tic marks along the abscissa denote the middle of each month, and the PV time series have a seven point  
 432 smoothing. The ordinate is linear in  $\phi$  to accentuate variations in the PV field at high equivalent latitudes.  
 433 The effects of the AH on the displacement and erosion of the PV vortex during 14-27 January are in Fig.  
 434 12 from  $\phi$  of about  $60^\circ$  to  $90^\circ$  and following the tic mark labeled 01.

435



436 The effect of the AH in peeling away material contours from the edge of polar vortex has been described  
 437 aptly (e.g., McIntyre, 1995; Jukes and McIntyre, 1987; Rose, 1986). Fig. 12 indicates the continual  
 438 erosion of highest PV values during late winter and early spring due to zonal planetary wave-1 (the AH)  
 439 and wave-2 activity. The adjacent “surf zone” region of lower PV values expands and exhibits weakened  
 440 gradients ( $\phi \sim 30^\circ$  to  $60^\circ$ ) from the meridional mixing of PV across both the lower and higher latitudes  
 441 (McIntyre and Palmer, 1983). It is also noted that the vortex was split (wave-2) at four separate times at  
 442 the level of 31.6 hPa: late October, late November/early December, late February, and in early April.  
 443 Both scales of zonal forcing are indicative of the effects of planetary wave activity as it propagates from  
 444 the troposphere to the 31.6-hPa level (Jukes and O’Neill, 1988). The meridional gradient of PV is quite  
 445 weak equatorward of  $\phi \sim 60^\circ$  N in winter and then across all latitudes by mid-April, or after the polar  
 446 vortex has undergone significant erosion. The large-scale anticyclones and associated zonal easterlies  
 447 expand toward the middle latitudes by April.

448

449 The corresponding  $\text{HNO}_3$  distribution is shown in Figure 13, as determined by averaging its values  
 450 around the daily PV contours and ordered according to the  $\phi$  of Fig. 12. Those averages represent  
 451 approximate, modified Lagrangian mean (MLM) values for  $\text{HNO}_3$  or its average values around the PV  
 452 contours, and they enable one to identify differences in behavior (e.g., chemical changes) for trace  
 453 constituents versus PV (McIntyre, 1980; Butchart and Remsberg, 1986).  $\text{HNO}_3$  varies nearly  
 454 monotonically with latitude at this level, and values as high as 12 ppbv are found near the center of the  
 455 vortex ( $\phi = 90^\circ$ ) by late November and during the polar night. Such high values indicate a nearly  
 456 complete chemical conversion of the available  $\text{NO}_y$  to its reservoir species  $\text{HNO}_3$ . Poleward of about  $\phi =$   
 457  $70^\circ$  the  $\text{HNO}_3$  contours are aligned well with those of PV, indicating that  $\text{HNO}_3$  is an excellent tracer at  
 458 550 K, particularly in winter polar night when further chemical changes are inefficient.

459



460 A sequence of polar orthographic plots (not shown) indicates that there is a buildup of  $\text{HNO}_3$  inside the  
 461 polar vortex in November, punctuated by meridional transport during the zonal wave-1 events of early  
 462 December and in January, and then followed by a splitting of the vortex in mid to late February. There is  
 463 significant transport of  $\text{HNO}_3$  from the polar region to middle latitudes ( $\phi = 45$  to  $20^\circ$ ) during those  
 464 events. Meridional gradients of  $\text{HNO}_3$  are larger in winter at both  $\phi$  of  $70^\circ$  and near  $20^\circ$ , marking the  
 465 polar and subtropical edges of the region of efficient meridional mixing. The subtropical boundary of the  
 466 so-called “tropical pipe” region shifts from  $\phi = 25^\circ$  to about  $15^\circ$  from early December to late January and  
 467 then remains at that location into springtime (Remsberg and Bhatt, 1996). There is erosion of the high  
 468  $\text{HNO}_3$  values of the polar vortex by early March. Thereafter,  $\text{HNO}_3$  decreases at all equivalent latitudes,  
 469 due to the daily effects of the chemical re-partitioning of  $\text{NO}_y$  away from  $\text{HNO}_3$  and toward  $\text{NO}$  and  $\text{NO}_2$   
 470 during sunlight.

471

472 Figure 14 displays the time series plot of the MLM for V6  $\text{H}_2\text{O}$ , a better tracer of stratospheric transport.  
 473 Relatively large values of 5.5 to 6.0 ppmv occur at high latitudes from mid-November to early January  
 474 and indicate the effects of the slow descent of higher values within the vortex.  $\text{H}_2\text{O}$  is higher in the upper  
 475 stratosphere from the oxidation of methane. Elevated  $\text{H}_2\text{O}$  values at  $\phi > 75^\circ$  on 13-15 and 19 January are  
 476 due to residual emissions from PSCs. However, values  $\sim 7$  ppmv also appear in early February to mid-  
 477 March, when temperatures are much too warm for the existence of PSCs. Although those higher values  
 478 are within the uncertainties for retrieved  $\text{H}_2\text{O}$ , they compare well with times when there is a descent of  
 479 higher ozone values in response to the major stratospheric warmings (c.f., Fig. 18 in Leovy et al., 1985).

480

481 Finally, the MLM plot of V6  $\text{NO}_2$  is given in Figure 15, based on only its profiles along descending  
 482 (nighttime) orbital segments. Smallest values of  $\text{NO}_2$  occur in the polar vortex in late November and  
 483 early December, when the  $\text{HNO}_3$  values of Fig. 13 reach 13 ppbv. As with  $\text{H}_2\text{O}$ , there are several minor



484 increases in NO<sub>2</sub> at the highest latitudes in mid-January; they occur at locations of residual effects from  
485 PSCs. The excess values of NO<sub>2</sub> poleward of  $\phi = 75^\circ$  in February occur where the variations of PV  
486 shown in Fig. 12 also indicate the effects of transport and where there may have been descent of higher  
487 NO<sub>2</sub> values within the vortex (Holt et al., 2012). The distribution of NO<sub>2</sub> away from the vortex varies  
488 more slowly and smoothly.

489

490 There are significant seasonal variations of NO<sub>2</sub> displayed in Fig. 15 at middle equivalent latitudes.  
491 Conversion of NO<sub>y</sub> to HNO<sub>3</sub> occurs in the presence of background aerosols from late autumn to winter,  
492 followed by photochemical conversion of the HNO<sub>3</sub> vapor back to NO<sub>2</sub> in springtime (e.g., Austin et al.,  
493 1986). Fig. 15 shows that the very low values of NO<sub>2</sub> extend from near the Pole to at least  $\phi = 30^\circ$  in  
494 early December, and then retreating toward higher latitudes by late February. This variation of the NO<sub>2</sub>  
495 time series is an indicator of the so-called “Noxon cliff” feature of stratospheric column NO<sub>2</sub> during  
496 winter (e.g., Noxon, 1979). Fig. 12 also indicates that there is considerable mixing for PV at  $\phi \sim 60^\circ$  to  
497  $75^\circ$  during February, and Fig. 15 shows that NO<sub>2</sub> is increasing along the PV contours. The vortex split  
498 into two sectors in the middle stratosphere from mid to late February, when there was transport and  
499 descent of air having higher NO<sub>2</sub> values at the high latitudes. NO<sub>2</sub> increases steadily from March to May,  
500 due to the conversion of HNO<sub>3</sub> to NO<sub>2</sub> upon the return of sunlight.

501

## 502 **8 Conclusions**

503 A significant improvement of the LIMS V6 data set is the better accuracy of its retrieved NO<sub>2</sub> profiles,  
504 particularly away from the cold winter vortex region. Both the V6 HNO<sub>3</sub> and NO<sub>2</sub> are of good quality, at  
505 least to within their respective error estimates and away from very cold regions of the vortex and their  
506 PSC remnants. The V6 data are evaluated further in terms of the consistency of the HNO<sub>3</sub> and NO<sub>2</sub>  
507 distributions in the AH region during the minor warming event that took place in January 1979. In an



508 earlier analysis of the LIMS V5 data, R93 had highlighted an increase in  $\text{HNO}_3$  at 30 hPa but with little  
509 change in  $\text{NO}_2$  within the AH region and suggested the need for some unknown process leading to the  
510 production of  $\text{HNO}_3$  and to the development of the wave-2 signature in its zonal distribution. This study  
511 considered photochemical model calculations along kinematic trajectories over a 10-day period that  
512 terminate in the AH region on 28 January. They indicate that there was an increase of about 2 ppbv in  
513  $\text{HNO}_3$  and a decrease of order 0.5 ppbv in  $\text{NO}_2$ , mainly as a result of heterogeneous reactions converting  
514  $\text{N}_2\text{O}_5$  on surfaces of background stratospheric sulfuric acid aerosols. R93 alluded to this mechanism but  
515 reported that their two- and three-dimensional model studies with heterogeneous chemistry gave results  
516 that did not agree so well with the observations from LIMS V5. The latitudinal variations of  $\text{HNO}_3$  at the  
517 end of our Lagrangian trajectory calculations agree better with LIMS V6. Those variations depend on the  
518 initial conditions and the extent of exposure of air parcels to sunlit and dark conditions, and hence on the  
519 dynamically controlled history of the different trajectories. Our model results show the formation of the  
520 dip in observed  $\text{HNO}_3$  mixing ratios north of the AH, due to meridional transport of low latitude air across  
521 the Pole. Therefore, we conclude that the approaches and methods of R93 and Considine et al. (1992)  
522 were valid and should have led to reasonable comparisons if the V6 data were available.

523

524 The inclusion of heterogeneous reactions improves the model comparisons for both  $\text{HNO}_3$  and  $\text{NO}_2$ . The  
525 model still underestimates  $\text{NO}_2$  for some trajectories compared to the V6 values, however. A part of  
526 those differences may be due to an inability to retrieve a local minimum in the V6  $\text{NO}_2$  profile with good  
527 accuracy, at least based on the finite field of view of  $\text{NO}_2$  channel radiances and their associated LIMS  
528 temperature profiles. Still, the present study demonstrates that a combination of dynamical and  
529 photochemical changes will explain the maximum mixing ratios of  $\text{HNO}_3$  in both the AH region and at  
530 the winter polar vortex, with lower values around the periphery of both circulation systems. When the  
531 effects of heterogeneous chemistry are included, the calculated variations of  $\text{HNO}_3$  and  $\text{NO}_2$  along  
532 trajectories agree more reasonably with the LIMS observations in the relatively isolated AH region.



533 HNO<sub>3</sub> re-partitions by photochemistry toward NO<sub>2</sub> during springtime, when the anticyclone regions  
534 extend to middle latitudes. The observed evolution of HNO<sub>3</sub> and NO<sub>2</sub> during 1978-1979 is a separate  
535 aspect of the V6 data for a validation of chemistry/climate models in the middle to lower stratosphere.

536

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538 Services Center (GES DISC and its Website: [daac.gsfc.nasa.gov](http://daac.gsfc.nasa.gov)) and is accessible for scientific use via  
539 ftp download.

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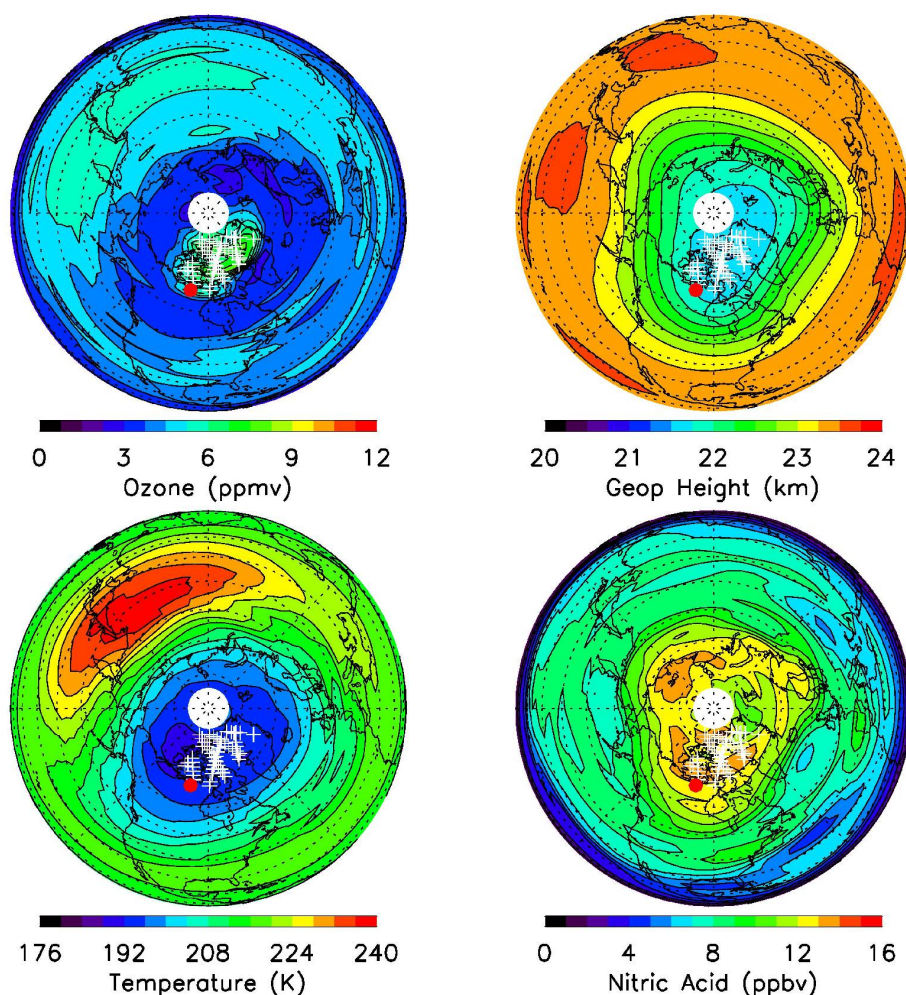
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NH, 11 Jan 1979, 31.6 hPa



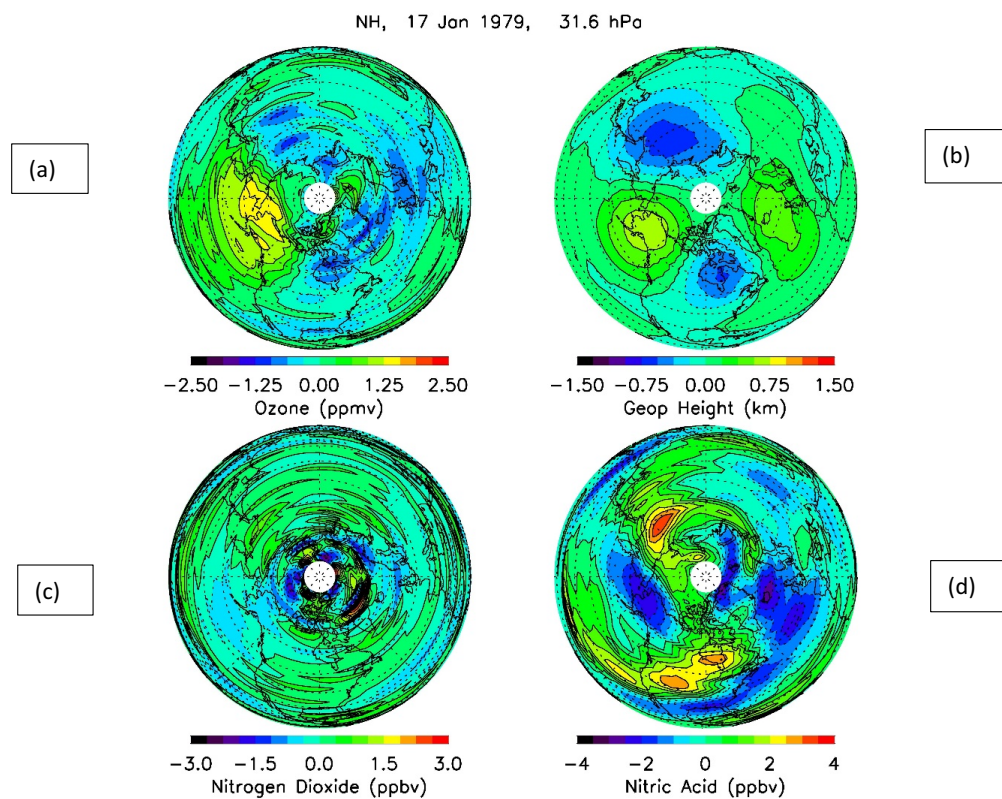
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**Figure 1.** Polar orthographic projections of Northern Hemisphere ozone (top left), geopotential  
 height (GPH, top right), temperature (bottom left), and gas phase nitric (bottom right) for 11  
 January 1979 at 31.6 hPa; successive latitude circles are at every 10°. The Greenwich meridian  
 extends horizontally to the right. Contour intervals are every 0.75 ppmv for ozone, 0.25 km for  
 GPH, 4 K for temperature, and 1 ppbv for nitric acid. White plus signs denote orbital profile  
 segments that are missing; red dot denotes location of a SAM II PSC observation.





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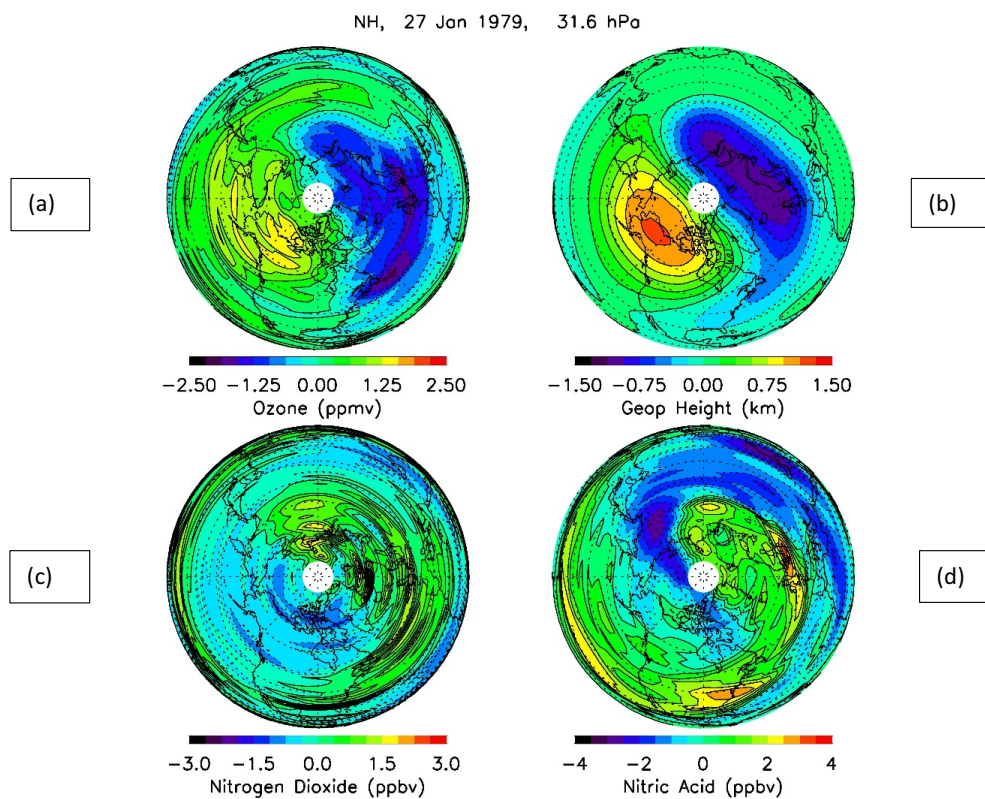
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719 **Figure 2.** Zonal anomalies of ozone, GPH, nitrogen dioxide, and nitric acid for 31.6 hPa on 17  
 720 January 1979.

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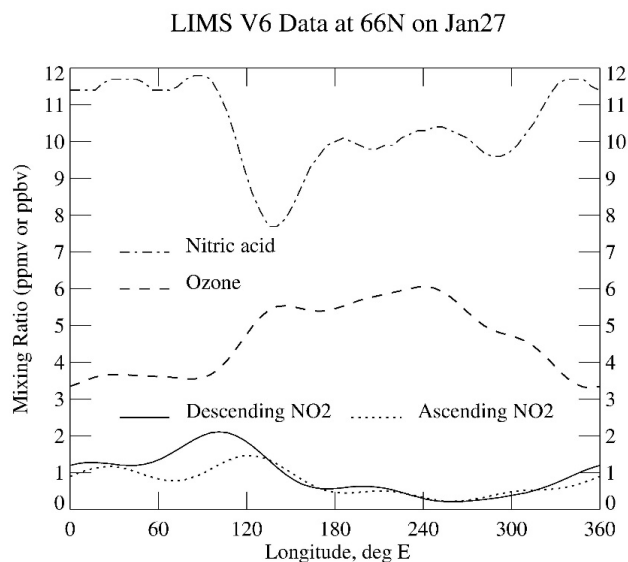


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725 **Figure 3.** As in Fig. 2, but for 27 January 1979.

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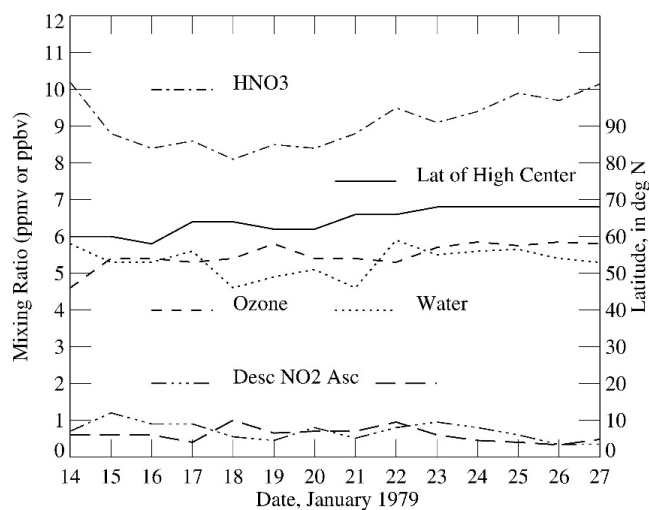
728 **Figure 4.** Zonal variations of LIMS species at 66° N on 27 January 1979. Vortex is between 0  
 729 and 90° E and AH region is from 180 and 240° E.

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Time Series of LIMS Data at Maximum Anomaly of Aleutian High



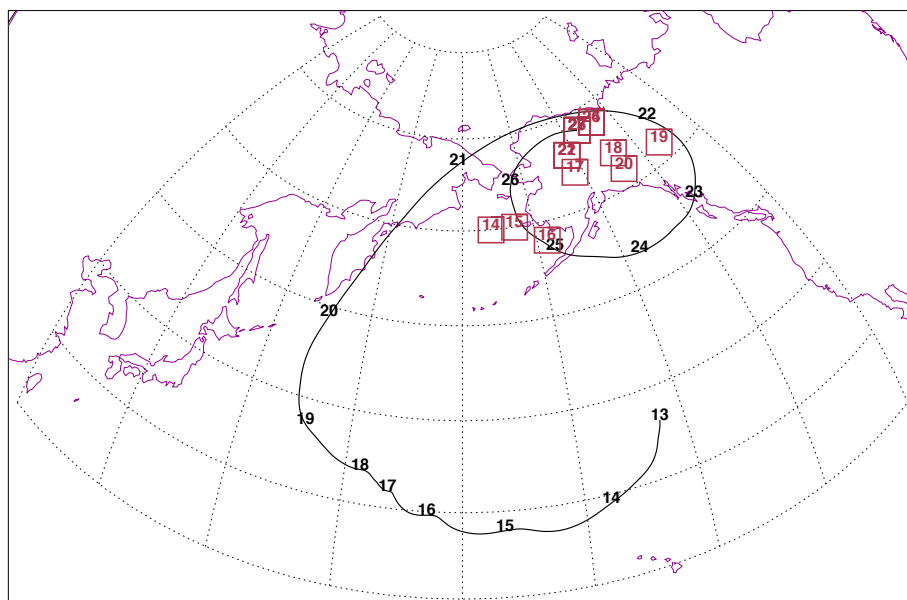
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733 **Figure 5.** Time series of observed LIMS V6 species at 31.6 hPa and at the center of the Aleutian  
 734 High anomaly; its latitude is the solid curve.

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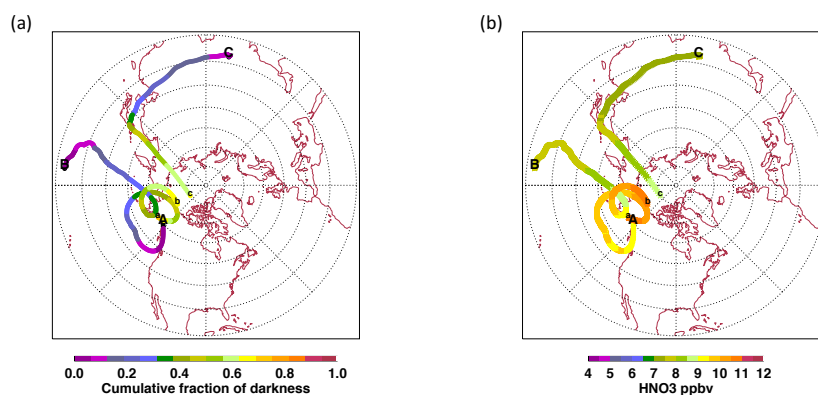
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**Figure 6.** History of the location of maximum GPH anomaly at 31.6 hPa representing the AH center and displayed by red squares with day numbers. Latitude spacing is  $10^\circ$  beginning at  $20^\circ$  N and longitude spacing is  $15^\circ$  beginning at  $120^\circ$  E. Note that between 21 and 27 January the AH center occupies the same location on different days. The location on 27 January is  $214^\circ$  E and  $68^\circ$  N. Black line with day numbers describes the back trajectory beginning at  $214^\circ$  E,  $68^\circ$  N, and 30 hPa.

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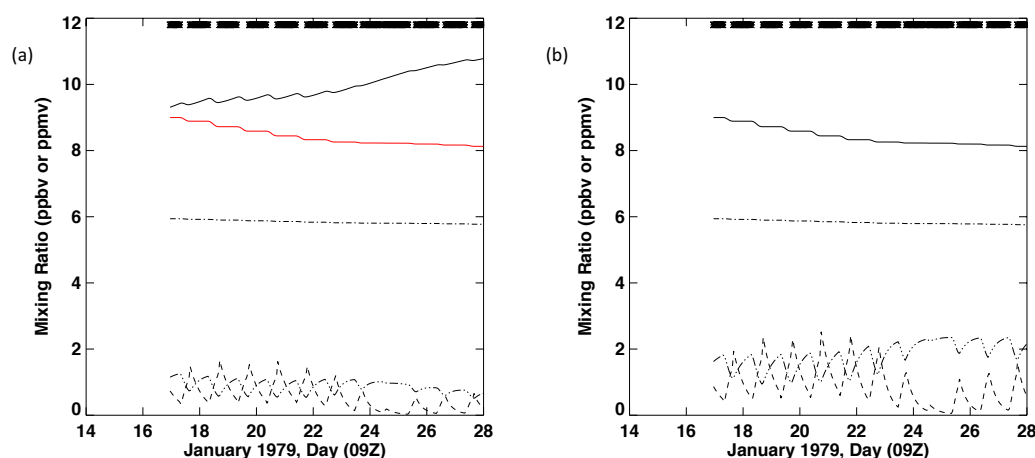
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748 **Figure 7.** (a) Back trajectories beginning at 11:00 pm on 27 January (9Z on January 28) from 30  
 749 hPa, 214° E, and three different latitudes [a] 60° N, [b] 72° N, and [c] 80° N. The corresponding  
 750 endpoints **A**, **B**, and **C** are spatially and temporally closest to LIMS descending mode  
 751 measurement locations between 14 and 17 January. The latitude grids are 10° apart, starting  
 752 from 20° N and the Prime meridian extends horizontally to the right. The color scale refers to  
 753 the accumulated hours of darkness expressed as a fraction of the total length of the trajectory in  
 754 hours as the air parcel moves in the forward direction starting from locations **A**, **B**, and  
 755 ending at **a**, **b**, or **c** along 214° E longitude. (b) Evolution of  $\text{HNO}_3$  along the three trajectories  
 756 shown in the left panel.

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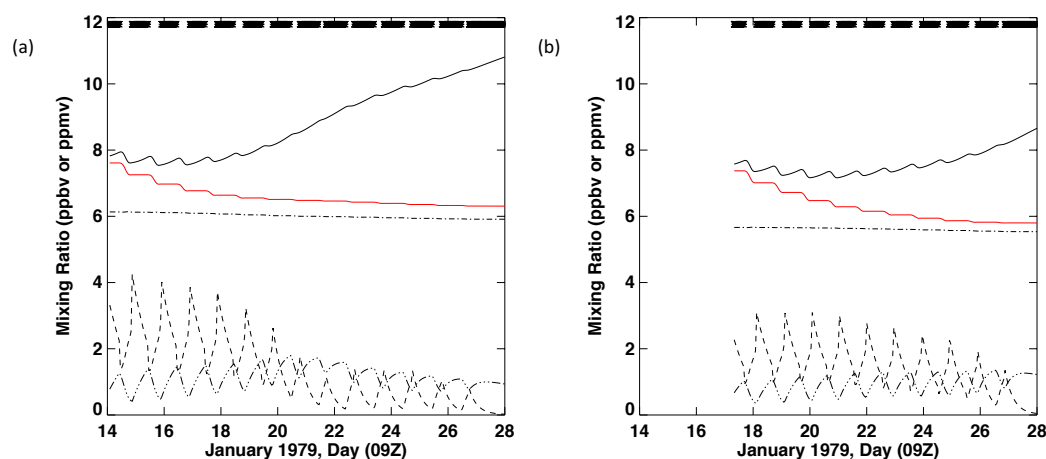
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761 **Figure 8.** Mixing ratio of selected species as a function of time along the trajectory A-a shown  
 762 in Figure 7a. Air parcel terminates at 214° E and 60° N.  $\text{HNO}_3$  (solid),  $\text{NO}_2$  (dash), and  $\text{N}_2\text{O}_5$   
 763 (dash-dot-dot) are in ppbv, and ozone (dash-dot) is in ppmv. The tick marks on the abscissa  
 764 correspond to 09Z hours on the dates shown. The thick line at the top represents the periods of  
 765 darkness along the trajectory with intermittent gaps corresponding to sunlit segments. (a)  
 766 Results from the case including heterogeneous reactions. (b) Results from the case with only gas  
 767 phase reactions.

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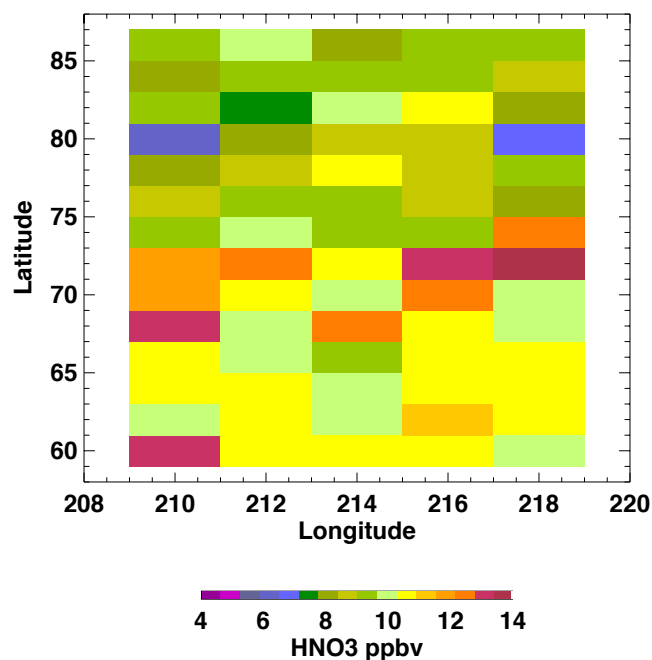
772 **Figure 9.** Mixing ratio of selected species as a function of time for the case with heterogeneous  
 773 reactions as shown in Figure 8(a) but for trajectory B-b (a) and trajectory C-c (b).  $\text{HNO}_3$  (solid),  
 774  $\text{NO}_2$  (dash), and  $\text{N}_2\text{O}_5$  (dash-dot-dot) are in ppbv, and ozone (dash-dot) is in ppmv. The red line  
 775 in both panels represent the  $\text{HNO}_3$  variation for the case with only gas phase reactions.

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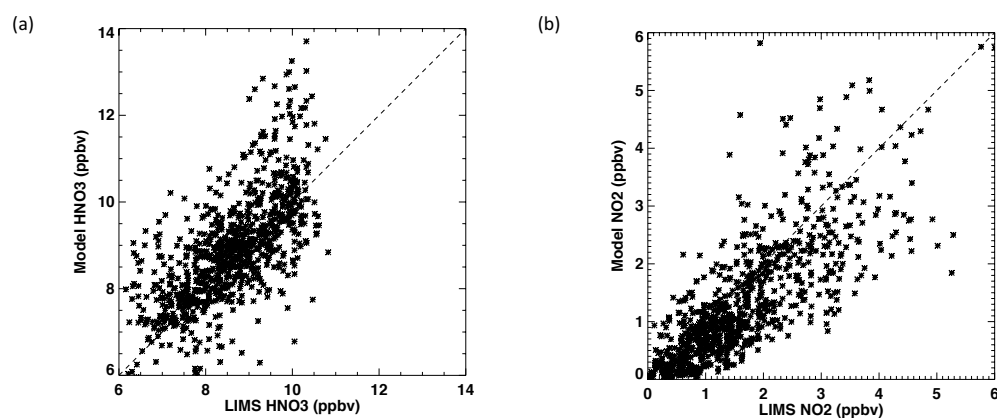
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780 **Figure 10.** Calculated  $\text{HNO}_3$  at 09Z on 28 January, corresponding to terminal location of all 70  
 781 trajectories.

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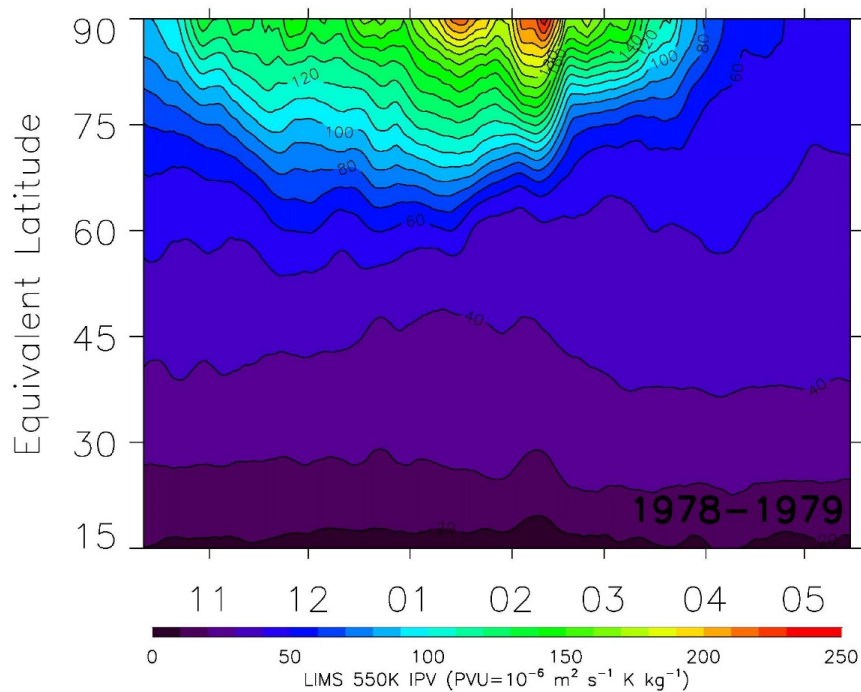
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786 **Figure 11.** Scatter plot of calculated species mixing ratios along the 70 trajectories and of the  
 787 corresponding spatially and temporally closest LIMS observation. (a)  $\text{HNO}_3$ ; (b)  $\text{NO}_2$ .

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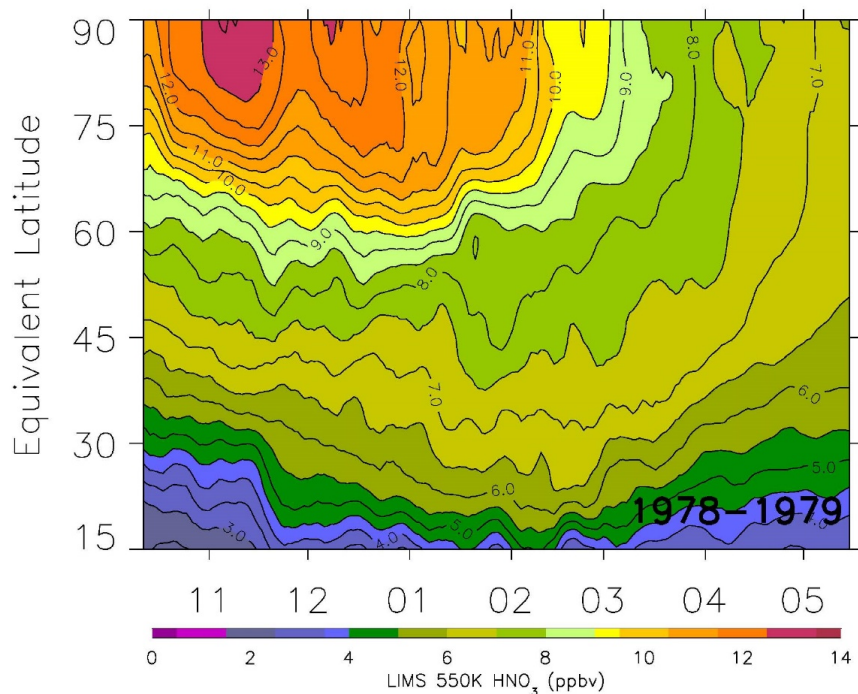


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791 **Figure 12.** Time series of LIMS isentropic PV versus equivalent latitude at 550 K and with  
 792 smoothing over 7 days. PV contour interval (CI) is 10 units.

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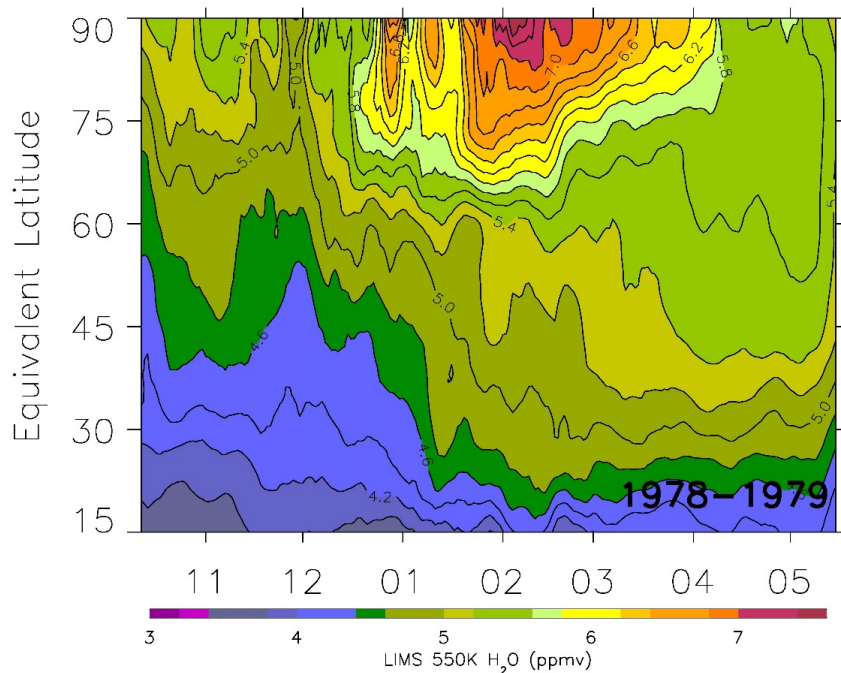


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796 **Figure 13.** As in Fig. 12, but the averages of  $\text{HNO}_3$  along PV isolines (CI is 0.5 ppbv).

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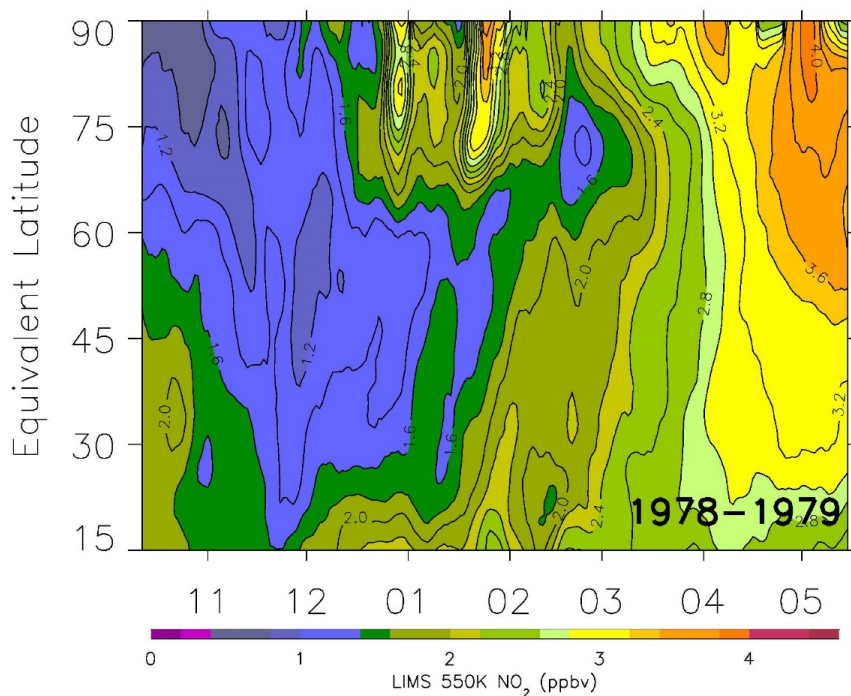


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800 **Figure 14.** As in Fig. 13, but as averages of H<sub>2</sub>O (CI = 0.2 ppmv).

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804 **Figure 15.** As in Fig. 13, but as averages of descending orbital (nighttime)  $\text{NO}_2$  ( $\text{CI} = 0.2$  ppbv).

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