How well can global chemistry models calculate the reactivity of short-lived greenhouse gases in the remote troposphere, knowing the chemical composition

5 Michael J. Prather<sup>1</sup>, Clare M. Flynn<sup>1</sup>, Xin Zhu<sup>1</sup>, Stephen D. Steenrod<sup>2,3</sup>, Sarah A. Strode<sup>2,3</sup>, Arlene M. Fiore<sup>4</sup>, Gustavo Correa<sup>4</sup>, Lee T. Murray<sup>5</sup>, Jean-Francois Lamarque<sup>6</sup>

<sup>1</sup>Department of Earth System Science, University of California, Irvine, CA 92697-3100, USA <sup>2</sup>NASA Goddard Space Flight Center, Greenbelt, MD, USA

<sup>3</sup>Universities Space Research Association (USRA), GESTAR, Columbia, MD, USA

<sup>4</sup>Department of Earth and Environmental Sciences and Lamont-Doherty Earth Observatory of Columbia University, Palisades, NY, USA

<sup>5</sup>Department of Earth and Environmental Sciences, University of Rochester, Rochester, NY 14627-0221, USA

<sup>6</sup>Atmospheric Chemistry, Observations and Modeling Laboratory, National Center for Atmospheric Research,

15 Boulder, CO 80301, USA

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Correspondence to: Michael J. Prather (mprather@uci.edu)

20 **Abstract.** We develop a new protocol for merging in situ measurements with 3-D model simulations of atmospheric chemistry with the goal of integrating over these data to identify the most reactive air parcels in terms of tropospheric production and loss of the greenhouse gases ozone and methane. Presupposing that we can accurately measure atmospheric composition, we examine whether models constrained by such measurements agree on the chemical budgets for 25 ozone and methane. In applying our technique to a synthetic data stream of 14,880 parcels along 180W, we are able to isolate the performance of the photochemical modules operating within their global chemistry-climate and chemistry-transport models, removing the effects of modules controlling tracer transport, emissions, and scavenging. Differences in reactivity across models are driven only by the chemical mechanism and the diurnal cycle of photolysis rates, which are driven in turn by temperature, water vapor, solar zenith angle, clouds, and possibly aerosols and 30 overhead ozone, which are calculated in each model. We evaluate six global models and identify their differences and similarities in simulating the chemistry through a range of innovative

diagnostics. All models agree that the more highly reactive parcels dominate the chemistry (e.g., the hottest 10% of parcels control 25-30% of the total reactivities), but do not fully agree on which parcels comprise the top 10%. Distinct differences in specific features occur, including the spatial regions of maximum ozone production and methane loss, as well as in the relationship between photolysis and these reactivities. Unique, possibly aberrant, features are identified for each model, providing a benchmark for photochemical module development. Among the 6 models tested here, 3 are almost indistinguishable based on the inherent variability caused by clouds, and thus we identify 4, effectively distinct, chemical models. Based on this work, we suggest that water vapor differences in model simulations of past and future atmospheres may be a cause of the different evolution of tropospheric O<sub>3</sub> and CH<sub>4</sub>, and lead to different chemistry-climate feedbacks across the models.

#### 1. Introduction

The daily passage of sunlight through the lower atmosphere drives photochemical reactions that control many short-lived greenhouse gases (GHGs) and other pollutants. This daily cycle occurs across a range of different chemical compositions; such that even neighboring air parcels can exhibit a wide range in their reactivity with respect to GHGs (Prather et al., 2017; henceforth P2017). This paper selects a tomographic sampling of air parcels from a high-resolution chemistry-transport model, meant to simulate what an aircraft mission might measure (e.g., NASA's Atmospheric Tomography Mission: ATom, 2017), and asks if a cohort of six global chemistry models can agree on the reactivity of these parcels. To do this, we develop a new protocol and set of diagnostics for merging in situ measurements with 3-D model simulations of atmospheric chemistry. We focus here on tropospheric ozone production and loss (P-O3, L-O3) and methane loss (L-CH4), as these two gases are the most important GHGs controlled through tropospheric chemistry. Further, control of CH4 and O3 provides an important pathway for limiting near-term climate change (Shindell et al., 2012). These reactivities are defined in terms of specific rates:

$$CH_4 + OH \rightarrow CH_3 + H_2O$$

$$HO_2 + NO \rightarrow NO_2 + OH$$

$$RO_2 + NO \rightarrow NO_2 + RO$$

$$O_3 + OH \rightarrow O_2 + HO_2$$

$$(1)$$

$$(2a)$$

$$(2b)$$

$$(3a)$$

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$$O_3 + HO_2 \rightarrow HO + O_2 + O_2$$
 (3b)  
 $O(^1D) + H_2O \rightarrow OH + OH$  (3c)

L-O3 is rate (1); P-O3 is rates (2a) + (2b); L-O3 is rates (3a) + (3b) + (3c). All of the analysis here occurs at pressures > 200 hPa and thus the P-O3 term from photolysis of  $O_2$ , important at 100-200 hPa in the tropics, can be ignored. How reactivities can be calculated for an air parcel, is found in P2017 and the Supporting Information to this paper.

From the early model-and-measurement assessments that were initiated to support the stratospheric ozone assessments (NAP, 1983; NASA, 1993), through to the most recent multimodel evaluations of atmospheric chemistry to be used in upcoming climate assessments (Collins et al., 2017; Morgenstern et al., 2017; Eyring et al., 2006; Myhre et al., 2017), there is one truism: the models always produce different results even when they agree upon the protocols, and intend to do the same simulation. For assessments one seeks common ground to find a robust result; whereas for science one seeks a cause of disagreement to identify how models can be improved. This paper focuses on the latter. Given the scale and complexity of current 3D global chemistry models, potential causes of differences in model-simulated distributions of chemical tracers are many. The numerical algorithms and parameterizations for the transport, mixing, and thus dispersion of emissions is clearly one cause (Prather et al., 2008; Lauritzen et al., 2014; Orbe et al., 2016); while photochemical mechanisms that produce and destroy species are another (Olson et al., 1997; PhotoComp, 2010).

This paper initiates a new technique for multi-model comparison that uses prescribed initial chemical composition of air parcels, which we refer to as the modeling data stream. We presuppose that we can accurately measure or otherwise know atmospheric composition, and then ask if models calculate the same global chemical budgets for ozone and methane. Our approach eliminates many of the factors that drive model differences and allows us to focus on the photochemical reactivities as integrated over a day. Instantaneous reactivities can be inferred from measurements of reactive chemical species and the radiation field combined with laboratory cross sections and reaction rate coefficients, e.g., (Olson et al., 2012). Attempts to follow the chemical evolution of air parcels with aircraft measurements is limited and quasi-Lagrangian at best (Nault et al., 2016). Even the concept of isolated Lagrangian parcels is

limited, since parcels shear and mix rapidly as they go from a large, chemically coherent air mass to a heterogeneous mix of smaller features (Batchelor, 1952; Prather and Jaffe, 1990). Yet, simulating the photochemical changes in CH<sub>4</sub> and O<sub>3</sub> requires integration over the daily cycle of photolytic rates, which change greatly and irregularly over the day based on the interaction of the sun and cloud systems. Unfortunately, there is no known approach to track and measure the 24-hour net change in ozone or methane for an air parcel in the free troposphere. Here and in P2017, we approximate the reactivity of an air parcel by running our global chemistry models with their regular meteorology and chemical modules, but with transport and mixing of tracers shut down to keep the grid cells isolated. Effectively, we are able to use the standard full 3D model as a collection of box models (i.e., one per grid cell), while incorporating its diurnal cycle of photolysis and cloud fields. Such simulations, named the A-runs, are artificial since real air parcels constantly move and mix with their environment. Statistical comparison of A-run reactivities from the six models with those using the standard 3D versions is examined in P2017, and shows agreement with some minor biases due to the A-run formulation.

The participating models and the modeling data stream are described in Section 2. This effort was completed before the release of the ATom aircraft data (ATom, 2017) and thus we use a  $1/2^{\circ}$ -resolution model to generate the data stream. Section 3 presents and compares the statistics of P-O3, L-O3, and L-CH4 and J-values from the 14,880 parcels, including 5 different days in August to sample variability in cloud systems. Sorted distributions show the models' agreement on the most highly reactive parcels. The final discussion in Section 4 considers the role of inherent uncertainty in modeling parcel reactivity, of basic differences in the models, and whether the new statistics developed here identify and characterize differences in the photochemical modules. For insight on the most reactive air parcels of the remote troposphere, we await a repeat of this work with the ATom data stream.

### 2. Chemistry models and simulations.

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The six global chemistry models here are basically the same as those in P2017: Geophysical Fluid Dynamics Laboratory (GFDL), Goddard Institute for Space Studies (GISS), Goddard

Space Flight Center (GSFC), GEOS-Chem (GC), National Center for Atmospheric Research (NCAR), and UC Irvine (UCI). For model versions and updates, see Tables 1 and S1ab.

A model-simulated data stream of air parcels was prepared from an older version of the UCI model (v72a) with higher than usual resolution (T319L60, ~0.55 degrees) and sampled at 00UT 15 August 2005 at aircraft flight levels along 3 meridians next to 180E. All the model grid cells are used with no attempt to follow ATom profiling. This set of 14880 points is similar in number to 10-second data from an aircraft mission logging 50 flight hours in the Pacific basin, such as each seasonal deployment of ATom. Prescribed species are: O<sub>3</sub>, NOx (=NO+NO<sub>2</sub>), HNO<sub>3</sub>, HNO<sub>4</sub>, PAN (peroxyacetyl nitrate), RNO<sub>3</sub> (CH<sub>3</sub>NO<sub>3</sub> and all alkyl nitrates), HOOH, ROOH (CH<sub>3</sub>OOH and smaller contribution from C<sub>2</sub>H<sub>5</sub>OOH), HCHO, CH<sub>3</sub>CHO (acetaldehyde), C<sub>3</sub>H<sub>6</sub>O (acetone), CO, CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, alkanes (all C<sub>3</sub>H<sub>8</sub> and higher), alkenes (all C<sub>2</sub>H<sub>4</sub> and higher), aromatics (benzene, toluene, xylene), C<sub>5</sub>H<sub>8</sub> (isoprene plus terpenes), plus temperature (T) and specific humidity (q). Zonal mean latitude by pressure plots of O<sub>3</sub>, CO, HCHO, NOx, PAN and q are shown in Figure S1.

The implementation of this data stream of reactive species is model dependent. All models begin with their own 3D initialization data set that is used to restart a model simulation beginning on August 16. The specified air-parcel NOx, for example, will be initialized as separate NO and NO<sub>2</sub> abundances by scaling the model's restart values for NO and NO<sub>2</sub> to match the specified parcel NOx. Similarly, a single value for aromatics will be partitioned over benzene, toluene, and xylene by models that resolve these species in accord with the restart values. The models place each parcel (i.e., overwrite the restart values) in the grid cell containing the latitude, longitude, and pressure specified for that parcel. If that preferred grid cell is already occupied with an air parcel, then an alternate adjacent grid cell is selected. It is recommended that alternate cells be shifted to minimize the change in photolytic environment (e.g., shift by longitude but maintain surface albedo and atmospheric mass). Two chemistry-climate models (GFDL, NCAR) were unable to completely overwrite the modeled T&q values with data stream values (see sensitivity tests below). See also Supplement for additional details.

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Implications for reactivities are discussed below. It is difficult, if not impossible, to specify 24-hour cloud fields, from observations or a model, in a way that all models here could implement consistently. Treatment of photolysis rates in uniform cloud layers is still quite different across models, and fractional overlapping cloud fields are often ignored, e.g., (Prather, 2015). Likewise, we do not attempt to control the profiles of O<sub>3</sub> and aerosol above and below the air parcels insofar as they impact photolysis. Hence we diagnose photolysis rates (J values) in addition to reactivities.

An inherent uncertainty is the day-to-day variability of clouds experienced by each parcel. Thus for the single data stream, each model calculates reactivities using the same chemical initialization but beginning with 5 different days in August: 1<sup>st</sup>, 6<sup>th</sup>, 11<sup>th</sup>, 16<sup>th</sup>, and 21<sup>st</sup>. This 5-day variance gives us a measure of the uncertainty due to cloud variability, is similar across models, and thus provides a lower limit on the detection of model-model differences, i.e., a measure of as-good-as-it-gets in this comparison.

Several uncertainties are not answered with the standard protocol of 5-day runs: Models ran with different calendar years and so how do 5-day means vary from year to year? Does the changing solar declination matter? Will different restart files (affecting O<sub>3</sub> and aerosol profiles) alter the results? What if the 24h integrations began at midnight rather than noon? How different are the CCMs because they use their own T&q for the parcels? The UCI CTM ran additional sensitivity calculations to address these questions, see Section 3.5 and Supplementary Figures.

Table 1. Participating models							
model		type	meteorology	T & q	POC	model grid	
GFDL	AM3	CCM	NCEP (nudged)	CCM	Arlene Fiore	C180 x L48	
GISS	GISS-E2.1	CCM	daily SSTs, nudged to MERRA	parcel	Lee Murray	2° x 2.5° x 40L	
GSFC	GMI-CTM	CTM	MERRA	parcel	Sarah Strode	1° x 1.25° x 72L	
GC	GEOS-Chem	CTM	MERRA-2	parcel	Lee Murray	2° x 2.5° x 72L	
NCAR	CAM4-Chem	CCM	MERRA	CCM	Jean-Francois Lamarque	0.47°x0.625°x52L	
UCI	UCI-CTM	CTM	ECMWF IFS Cy38r1	parcel	Michael Prather	T159N80 x L60	

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#### 3. Reactivity across the models

The difference in modeled reactivities for each parcel combines variations in cloud fields with basic differences in the chemical models (i.e., chemical mechanisms, numerical methods, photolysis treatment of cloudy and clear sky). The 5-day means reduce the effect of cloud variations but leave the fundamental differences in the photochemical modules, both photolytic and kinetic reactions. Our comparison looks at the parcel-by-parcel differences including the scatter (root mean square (rms) differences) and average values across the models. To provide a standard for comparisons, we seek a reference case based on several models, and this is easily identified with the rms differences across all model pairs in Table 2). UCI ran 3 different model years to estimate the rms value caused by interannual variability (blue in Table 2), i.e., when the cross-model differences approach this value, we can accept that the photochemical modules including clouds cannot be said to be different in this study. For the reactivities (P-O3, L-O3, L-CH4), none of the cross-model pairs reached this lower limit, but certain groupings were consistently close, within a factor of 2 of this limit. For L-O3 and L-CH4, any pair of GSFC-GC-UCI fall within this range, while GFDL, GISS and NCAR are a factor of 5-10 above it. For the two CCMs this is likely caused by their use of different T&q's, while for GISS it probably lies in the chemical model. For P-O3, only the pair GC-UCI is within a factor of 2, but GFDL-GSFC-GC-UCI form a distinct cluster. The J values, J-O1D (O<sub>3</sub>+hv=>O<sub>2</sub>+O(<sup>1</sup>D)) and J-NO2 (NO<sub>2</sub>+hv=>NO+O), show groupings similar to this cluster, reflecting their common use of Fast-J versions (Wild et al., 2000; Prather, 2015), although this is unlikely to explain their similarity in P-O3.

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Based on the average of the 5-day parcel means, we find a cluster of 3 similar models and 3 independent models. We need to find a common reference case against which to plot and statistically evaluate the models. Rather than pick one model, we take the 3-model average, GSFC-GC-UCI, as our reference. This clustering may be due to similar heritage: GSFC and GC are derived from a common tropospheric chemistry module; all 3 models and GISS have a common heritage for photolysis module. In the comparisons below, we will use terms like 'bias' to describe differences with respect to this reference model. Such biases are not meant to be model errors since we do not know the correct answer; they are just model-model differences.

<b>Table 2.</b> RMS differences of 5-day-mean parcels across model pairs									
model	GFDL	GISS	GSFC	GC	NCAR	UCI	U2015	U1997	

/model	/model										
GFDL	0	0.87	0.20	0.15	0.15	0.16	0.15	0.16			
GISS		0	0.76	0.83	0.81	0.80	0.80	0.79			
GSFC			0	0.14	0.17	0.10	0.11	0.11			
GC				0	0.17	0.10	0.11	0.11			
NCAR					0	0.15	0.14	0.14			
UCI						0	0.06	0.06			
U2015							0	0.06			
U1997								0			
	L-O3 = 1.45  ppb/d										
GFDL	0	1.86	0.90	0.86	0.92	0.96	0.97	0.98			
GISS		0	1.04	1.06	1.26	1.02	1.01	1.02			
GSFC			0	0.19	0.68	0.18	0.21	0.22			
GC				0	0.59	0.22	0.24	0.26			
NCAR					0	0.68	0.71	0.71			
UCI						0	0.12	0.12			
U2015							0	0.13			
U1997								0			
		I	I	L-CH4 =	0.63 ppb/c	<u> </u>	l	I			
GFDL	0	0.38	0.24	0.22	0.20	0.26	0.27	0.27			
GISS		0	0.43	0.44	0.47	0.44	0.45	0.45			
GSFC			0	0.08	0.25	0.08	0.10	0.10			
GC				0	0.22	0.09	0.10	0.11			
NCAR					0	0.25	0.26	0.26			
UCI						0	0.06	0.06			
U2015							0	0.06			
U1997								0			
		•	J	-NO2 = 4	.45 (e-3 /s	3)	•	•			
GFDL	0	1.14	0.43	0.42	0.58	0.71	0.72	0.74			
GISS		0	1.10	1.02	0.96	0.78	0.82	0.80			
GSFC			0	0.37	0.55	0.65	0.71	0.72			
GC				0	0.51	0.56	0.60	0.63			
NCAR					0	0.62	0.62	0.65			
UCI						0	0.33	0.33			
U2015							0	0.34			
U1997								0			
	J-O1D = 1.19 (e-5/s)										
GFDL	0	1.07	0.14	0.15	0.25	0.17	0.17	0.18			
GISS		0	1.06	1.09	0.94	1.02	1.02	1.01			
GSFC			0	0.12	0.24	0.13	0.15	0.16			
GC				0	0.29	0.17	0.17	0.18			
NCAR					0	0.24	0.24	0.24			
UCI						0	0.08	0.08			
U2015							0	0.08			
U1997								0			
	GFDL	GISS	GSFC	GC	NCAR	UCI	U2015	U1997			

# 3.1. Average profiles

Altitude profiles of reactivities and J values averaged over 24 hours, 5 days in August, and latitude blocks (50S-20S, 20S-20N and 20N-50N) are shown in Figure 1 (6 models, 3 blocks, 18 profiles per panel). As expected for August, the 50S-20S values are very low, while the 20S-20N and 20N-50N ones are equally high. This basic latitude-season pattern holds across all models. The variability across the 5 separate days in the UCI model (Figure S2) is primarily a smooth trend through August reflecting the changing solar declination from 18° to 12°, but instances of highly variable cloud fields occur, even when averaged over 30° in latitude.

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For J-O1D, five models (GFDL, GSFC, GC, NCAR, UCI) agree well over all pressures and 225 latitude blocks, but NCAR is, unusually, 10% higher only in the 20S-20N block. J-O1D from GISS is 80% larger than other models for all pressure and latitude blocks, but this does not translate directly or simply into reactivities, where GISS L-O3 is higher (expected) but L-CH4 is lower (unexpected). For J-NO2, model differences are not so great and show largest values at 20N-50N consistent with the longer summer daytime hours. The spread in J-NO2 is partly 230 understandable because of ambiguous choices in interpolating the temperature dependence of recommended NO<sub>2</sub> cross sections and quantum yields (i.e., the absorption cross sections are given at 220K and 294K; the quantum yields, at 248K and 298K; and the choice of whether to interpolate linearly or logarithmically, or whether to extrapolate or not, affects J-NO2, especially in the upper troposphere). This ambiguity does not exist for J-O1D recommended cross section 235 and quantum yields. J-O1D is strongly dependent on the overhead O<sub>3</sub> column, and the zonal mean total O<sub>3</sub> column from the models is compared with recent satellite measurements in Figure S3. NCAR's O<sub>3</sub> column is anomalously lower only in the 20S-20N region and likely explains their higher J-O1D noted above.

240 Reactivity profiles for the 5 non-GISS models show excellent agreement for P-O3 but noticeable differences for L-CH4 and even larger ones for L-O3 (Figure 1). The altitude profiles are similar for the 5 models, indicating that the cause of the L-O3 spread is likely related to HO<sub>X</sub>. The GISS results are anomalous, with much higher P-O3 and an L-O3 vs. L-CH4 relationship that seems counter to known chemistry in which both L-O3 and L-CH4 maximize with the high HO<sub>X</sub> values in the warmer, wetter, lower troposphere of the tropical Pacific.

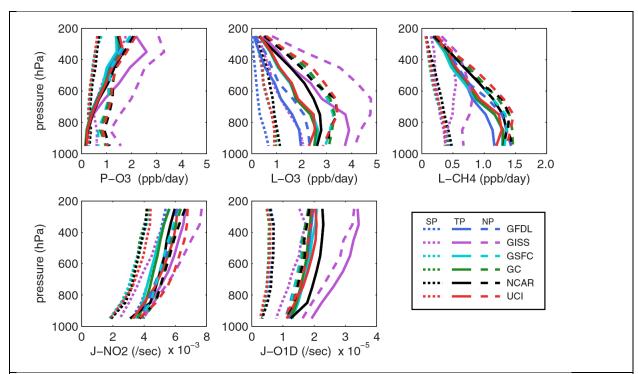


Figure 1. Different models' profiles of reactivities (P-O3; L-O3; L-CH4; all ppb/day) and photolysis rates (J-NO2; J-O1D; all /sec) calculated for the data stream of 14,880 air parcels. Models are identified by color (black, GFDL; red, GISS; blue, GSFC; green, GC; magenta, NCAR; cyan, UCI). Latitude bands are identified by line style (solid, 20S-20N; dotted, 50S-20S; dashed, 20N-50N). Averages are over the 5 simulated dates in August and all parcels are weighted equally.

### 3.2. 14,880 parcels

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We examine the relationship between the 3 reactivities in each model with scatter plots of P-O3 and L-CH4 against L-O3 in Figure 2. Each plot has 14,880 points (5-day parcel means) and is split by location: 60S-20S & 20N-60N (extra tropics, gray); tropics upper (20S-20N, p < 600 hPa, cyan) and lower (p > 600 hPa, blue). Percentiles (10<sup>th</sup>, 50<sup>th</sup>, 90<sup>th</sup>) in each dimension are plotted as red dash-dot lines, and thus most points in the well correlated L-CH4 vs. L-O3 lie along the 3 quasi-diagonal intersections of red lines. The right-angle separation of high P-O3 and high L-O3 in the tropics reflects the high NOx (P-O3) in this data stream is in the upper troposphere and the largest L-O3 is from wet environments of the lower troposphere. GFDL has the most compact distribution of parcels and GISS, the most scattered. Four models (GSFC, GC, NCAR, UCI) have remarkably similar patterns in terms of the percentiles and structure, e.g., for L-CH4 vs. L-O3 they show the lower tropics dominating the upper part of the distribution and

the extra-tropics, the lowermost points. GFDL has similar percentiles for P-O3 and L-CH4, but a much smaller spread for L-O3 that explains their compacted scatter plots. GISS is unique with much larger spread in both P-O3 and L-O3 but a compressed distribution in L-CH4. From these scatter plots, we can say that the 4 models are remarkably consistent, that GFDL is similar but should reexamine their L-O3 diagnostic, and that GISS has a 'uniqueness' in its L-O3 vs. L-CH4 relationship as well as large scatter in both P-O3 and L-O3. While consistency does not guarantee correct implementation of the photochemical model (i.e., rate coefficients, cross sections), uniqueness is something that needs more investigation as it may be an error or may lead to fixes in the 'consistent' models. Scatter plots of J-NO2 and J-O1D vs. L-O3 (Figure S4) show similar J-value statistics for the 5 non-GISS models, and all models show a similar location of the 3 sets of points (extra-tropics, lower-tropics, upper-tropics) within their own percentiles.

On a parcel-by-parcel basis we compare in Figure 3 the 5-day means from all 6 models against the reference case for the 3 reactivities and 2 J-values. If the models were all alike, they would fall tightly on the 1:1 line (black dashed). In each panel there are 89,280 points with many overlapping. The order of plotting (shown by the legend) is important for visual impression since the latter points often overlie the earlier ones and the choice of order was based partly on the rms differences, with greatest first and smallest last. Here we can clearly see the type of scatter, the pattern of discrepancies across models, and at what levels of reactivity such discrepancy it occurs. It provides a focus for model development: UCI should reexamine its J-NO2 at the higher values and its P-O3 in the 1-3 ppb/day range; NCAR should examine why it has so much scatter in L-O3 and L-CH4 (see discussion of T&q later); GFDL has similar scatter (see T&q) but also has a low-bias in L-O3; and GISS has many differences that can be examined. As a cross-model question, are the above-the-line (UCI) and below-the-line (GSFC) differences in P-O3 and L-CH4 related to the same pattern in J-NO2?

A simple summary of these statistics – averages and rms differences relative to the reference case – is given in Table 3. We have selected (bold italics) those entries that seem anomalous as also found in Figure 3. For example, average P-O3 ranges from 0.77 to 0.84 ppb/day for 5 models but is 1.40 ppb/day for GISS. Likewise, average L-O3 ranges from 1.44 to 1.54 ppb/day for 4 models, but is 0.83 for GFDL and 2.25 ppb/day for GISS. The rms differences with respect

Table 3. Average Reactivities and Standard Deviations w.r.t. reference case								
(average of 3 #'d models).								
<b></b>	P-O3	L-03	L-CH4	J-NO2	J-O1D			
Reactivity	(ppb/d)	(ppb/d)	(ppb/d)	(e-3/s)	(e-5/s)			
a) Average Reactivities (5-day averages of 14,880 parcels)								
Reference Case#	0.838	1.451	0.638	4.454	1.194			
GFDL	0.771	0.826	0.579	4.237	1.177			
GISS	1.405	2.248	0.429	5.159	2.154			
GSFC#	0.892	1.441	0.624	4.266	1.194			
GC#	0.793	1.444	0.641	4.392	1.164			
NCAR	0.839	1.541	0.666	4.475	1.305			
UCI#	0.827	1.467	0.648	4.705	1.224			
UCI 2015	0.833	1.474	0.651	4.725	1.227			
UCI 1997	0.833	1.471	0.649	4.724	1.231			
b) RMS Differences versus Reference Case, using 5-day means								
GFDL	0.16	0.90	0.24	0.44	0.13			
GISS	0.80	1.03	0.44	0.93	1.05			
GSFC#	0.07	0.10	0.04	0.30	0.06			
GC#	0.07	0.12	0.05	0.23	0.08			
NCAR	0.15	0.64	0.24	0.47	0.24			
UCI#	0.05	0.12	0.05	0.39	0.09			
UCI 2015	0.07	0.16	0.08	0.48	0.11			
UCI 1997	0.07	0.17	0.08	0.50	0.12			
c) RMS Differences day-to-day versus 5-day mean of same model								
GFDL	0.09	0.26	0.12	0.36	0.08			
GISS	0.53	0.41	0.08	0.67	0.29			
GSFC	0.10	0.18	0.08	0.49	0.12			
GC	0.09	0.19	0.08	0.43	0.10			
NCAR	0.15	0.54	0.21	0.62	0.18			
UCI	0.09	0.18	0.08	0.52	0.12			
UCI year-to-year	0.06	0.12	0.06	0.33	0.08			

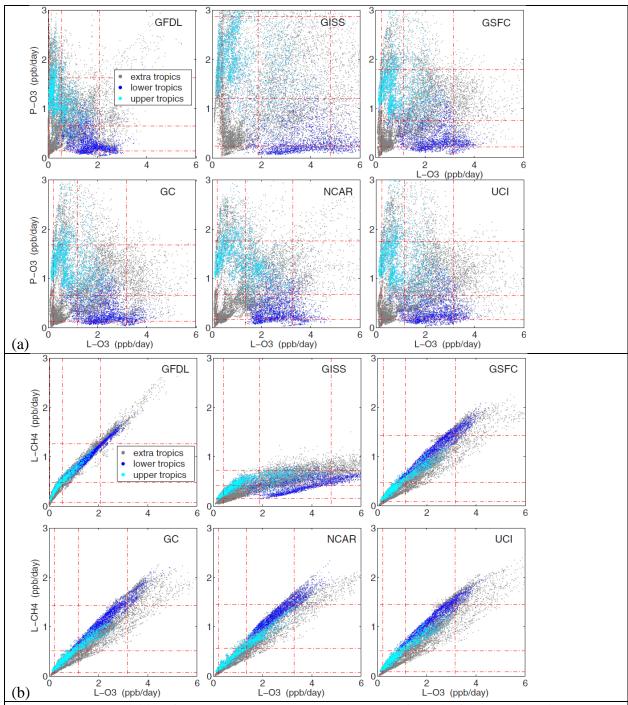


Figure 2. Parcel reactivities of (top) P-O3 and (bottom) L-CH4 vs. L-O3 for each of the models. Points are colored by location: 60S-20S & 20N-60N (extra tropics, gray); tropics (20S-20N) upper (p < 600 hPa, cyan) and lower (p > 600 hPa, blue). the  $10^{th}$ ,  $50^{th}$ , and  $90^{th}$  percentiles in each dimension are plotted as red dash-dot lines.

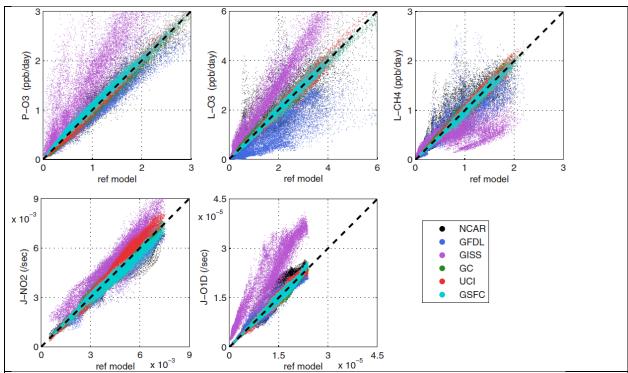


Figure 3. Direct parcel-by-parcel comparison of modeled reactivities (a, P-O3; b, L-O3; c, L-CH4; all ppb/day) and photolysis rates (d, J-NO2; e, J-O1D; all /sec) calculated for the 14,880 simulated air parcels. Each point is an average over the 5 simulated dates in August (8/01, 8/06, 8/11, 8/16, 8/21). The 1:1 line is shown (black dashed) for each plot. The reference values (X axis) are the average of 3 similar models (GSFC, GC, UCI) selected by examining the rms differences across all the models (see text). For this plot alone, models are plotted in the following order with the most disperse points being first for visibility: NCAR, GFDL, GISS, GC, UCI. GSFC. The model colors throughout this paper are consistent, but the order of plotting is shown in the legend.

### 3.3. 5 days versus 5-day mean

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The variability of the 5 days in August tells us about the synoptic variability of clouds and possibly  $O_3$  columns in each model. The rms difference between the 5 individual days and the 5-day mean for each model (Table 3c) shows that GISS and NCAR have much larger variability in reactivities, caused by and mirrored by those in J values. These rms differences in J values for GISS and NCAR are surprising. Collectively, we should reexamine this variability in all the models to ascertain its cause. In general, the slopes of the individual versus reference model for reactivities are close to 1 (Table S2) because the slope is determined by the large gradients with latitude and pressure that most models agree on. In comparing individual days versus 5-day mean, it is encouraging that this slope averages  $1\pm0.04$  for all reactivities and models (using each model's 5-day mean as its reference case, Table S3). Also, the slope decreases from about 1.01 to 0.96 through August as expected with declining photolysis rates in the north.

The rms difference across the 5 days is also a measure of how well the 5-day parcel mean can represent the true chemical model. Assuming that the cloud variability is random, the 5-day means with respect to other models are not really different unless that model-model rms exceeds some fraction of the day-to-day rms of the models involved. Using the UCI test with different model years, we find that the year-to-year rms differences are about 2/3 of the day-to-day rms over 5-days. Thus, we cannot be sure that the rms differences between NCAR and the reference case are due to the inadequacy of the 5-day mean to represent the mean NCAR chemistry model (Tables 3bc). On the other hand, some other source of model error is likely responsible for the large day-to-day rms.

# 3.4 The "hot" air parcels

Following the "which air matters" theme of P2017, we look at the more reactive air parcels to find out if the models agree on these. For each reactivity, we sort the 5-day parcel means in increasing order and integrate the cumulative reactivity. The value at 100% (all 14,880 parcels) is equal to the average reactivity of the sample (Table 3a), and this is renormalized to 1 for comparison across models (Figure 4, Table S4). With sorting, these curves must be monotonic and convex. The steeper the curve, the more important the top reactive parcels are in determining the total. For most models, these reactivity curves are remarkably similar and fall within the range seen for 5 different days with the same model (UCI, Figure S5, Table S5). Focusing on the upper 10%, the outliers are unusual and reactivity specific: for L-O3, GFDL is much steeper that the other models, consistent with the feature identified earlier in the scatter plots; and for L-CH4, GISS is much shallower. Surprisingly, with this diagnostic GISS is not an obvious outlier for P-O3 and L-O3 as seen in previous comparisons.

From this cumulative reactivity figure, one can see that the top 5% of parcels comprise 15% of the total reactivity, effectively a slope of 3:1. With the exceptions noted, total reactivity for the top 5-10-25-50% of the parcels (Table S4) is similar across models and across days within a model (Table S5). Focusing on the top 10% of parcels for each reactivity, we plot their latitude-

by-pressure distribution for each model in Figure 5. Top P-O3 are in the upper troposphere where NOx was highest in the specified data stream; and top L-O3 and L-CH4 are in the lower troposphere associated with warmer temperatures and higher water vapor, with L-CH4 being at lower altitude than L-O3 (all models except GISS). There is a region of top P-O3 parcels about 40N that extends into the lower troposphere, although the shape varies across models. The vertical pattern of top-10% parcels about 22°S clearly varies across models with GISS-GC-UCI not selecting these parcels.

Overlap of these three sets of parcels are quantified as Venn diagrams for each model in Figure 6. Very few top-10 parcels are in the triple-overlap area (1-10%); but when P-O3 parcels coincide with either L-O3 or L-CH4 parcels, they generally lie in this triple-overlap area. The only major exception to this pattern is GISS. In terms of L-O3 and L-CH4 overlap, 4 models are very consistent (76-80%); but GISS is unusually low (49%) and GFDL is unusually high (93%).

These patterns help identify distinctly different chemistries in these models that have been identified with other diagnostics. The Venn overlap diagrams will become more interesting with an observational data stream as they point to the co-occurrence of unusual atmospheric parcels.

At what level do the models agree on the hot, top-10% parcels? We use the reference case defined above and sort each reactivity to identify the top-10%, retain those parcel numbers and compare across models. Table S6 gives each model's overlap of their top-X% parcels in terms of the percent that also occur in the top-X% reference case. For a range of X, 5%-10%-25%-50%, the overlap increases successively with many models having 90% overlap for the top-50%. The exceptions are GFDL with lower than typical overlap for L-O3 at all top-X% levels, and GISS, with lower overlap for L-CH4. This new diagnostic is helpful in understanding these model differences because it implies that the L-O3 and L-CH4 differences identified previously are not caused by a systematic offset in all parcels, but rather by a selection of different parcels. As expected, the three models GSFC-GC-UCI that define the reference case all have about 90% overlap for the top-10% parcels, and so we do not learn much with this. In terms of linking models with similar chemistries, probably 80% overlap is a good mark, because we see that the different UCI years drop off to 85% in L-O3 and L-CH4. Overlap in P-O3 is much easier to

achieve as the few high-NOx parcels drive high P-O3 in all models: at the top-25% parcels, the P-O3 overlap is about 84% or better for all models.

On a day-to-day basis, we examine the top-10% overlap for GC-GSFC-NCAR-UCI models, using their own 5-day mean as the reference (Table S7). Cloud variations across the 5 days lead to overlaps for the top-10% parcels ranging from 78 to 92% at best. NCAR has similar self-overlaps for P-O3 but only 58 to 72% for L-O3 and L-CH4, because the modeled T&q changes with each day in August and greatly reduces the overlap of the hot parcels. This further supports

T&q as being important drivers of L-O3 & L-CH4. The use of 5-day calculations with varying cloud fields is essential in identifying the top reactive parcels.

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We plot the modeled reactivity of individual model 5-day mean parcels in ascending order based on the sorted top-10% parcels in the reference case (Figure 7). Hence the reference case (black line) is a monotonically increasing curve; while the individual models produce a scattered distribution of points. As expected, the 3 models defining the reference case have some scatter but mostly overlap with the reference case. UCI is typically higher and GSFC is lower. For J-NO2 in these most reactive parcels, UCI is notably higher as is GISS, a result seen in the average profiles (Figure 1), but it does not affect the reactivities. The mean bias of models relative to the reference case is also seen in Figure 7 with the offset of the points. The results here are similar to what has been identified earlier: GISS has unusual offsets for all reactivities and J-O1D; agreement for P-O3 is much better than for L-O3 and L-CH4; four models show the upward curve matching the top-1% parcels; for L-O3 and L-CH4, GFDL-NCAR have a flat scatter of points and miss the upward curve because they reset the q of the data stream. Day-to-day scatter for the top-10% (defined by the 5-day mean) is tested with the UCI model in Figure S6. This one-model synoptic cloud variability has similar scatter to that seen for the more central models (Figure 7) including the rapid increase in L-O3 at the top-1% and the much greater scatter in J-NO2. The year-to-year variability in the top-10% parcels is shown (Figure S7) for the UCI model with year 2016 as the reference case (solid line) and years 1997 and 2015 as separate models. The patterns of scatter here are similar to but less than the day-to-day (Figure S6), again showing the importance of 5-day averages, and identifying the lower limit of scatter at which this diagnostic can discern differences in model chemistry. Overall, the top-10% J-O1D

parcels (all in uppermost troposphere) have better agreement than the top-10% J-NO2 which are more sensitive to clouds.

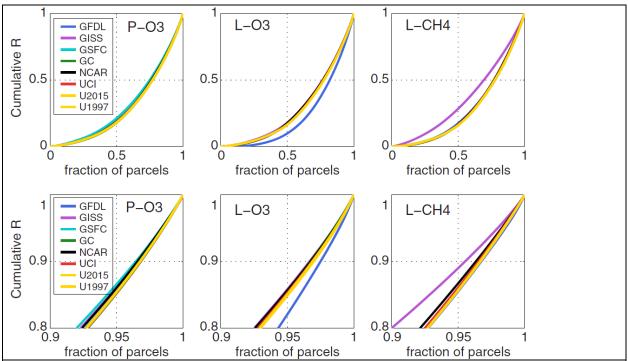


Figure 4. Cumulative reactivity of the 14,880 parcels (equally weighted) scaled to the average of each model and reactivity. The lower panel shows a blowup of the top 20% (Cumulative = 0.8 to 1.0). Results for the 6 models plus two different years for UCI are shown.

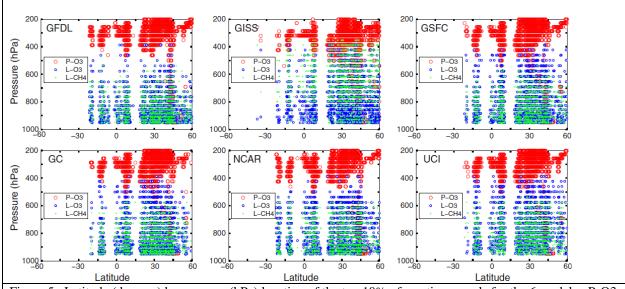


Figure 5. Latitude (degrees) by pressure (hPa) location of the top 10% of reactive parcels for the 6 models: P-O3 (red, large circles); L-O3 (blue, medium); L-CH4 (green, small).

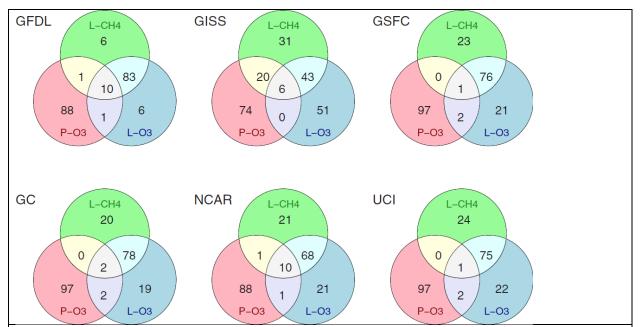
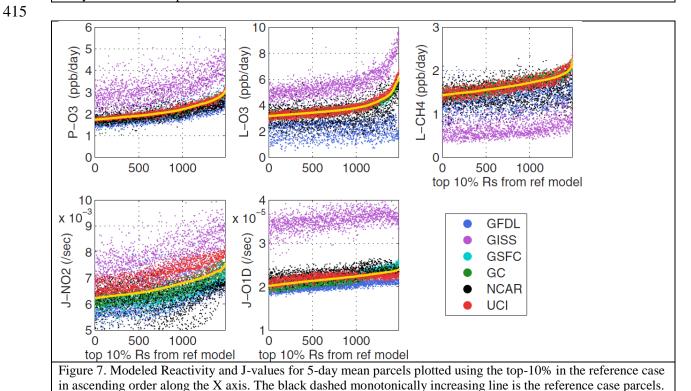


Figure 6. Venn diagrams for each model showing the overlap (%) of the top 10% parcels in each reactivity, using 5-day means for each parcel.



3.5 Assumptions and uncertainties in the experiment design

How interannual variability might affect the results is tested with the UCI CTM running the

simulated data stream for 5 August days using years 1997 and 2015 meteorology to compare

with year 2016 (see previous Tables, Table S8, and Figure 8). The scatter plots in Figure 8 do

not look much different from those for the three models used in the reference case (Figure 3).

For the 5-day parcel means, the rms differences across any pairing of the 3 UCI years is about 8
10% of the average reactivity, which is about half of that across the 3 models used in the

reference case. Using this criterion (<20%) for distinctness, we effectively have only 4

independent distinctly different models here: GFDL, GISS, NCAR and the GSFC-GC-UCI

group. The 4 models all differ from one another at the 30-100% level of the UCI year-to-year

variations. In terms of the overall average reactivities (Table 3), however, the different years of
the UCI model are almost identical (<1%), while the differences across the 3 reference models

are much larger (±5%) and clearly distinguishable.

How the time-of-day of parcels in the data stream might affect reactivity is tested with the UCI model initializing the calculation at midnight (1200H UT) instead of noon (see Figure S8, Table S8). In this study, we chose parcels at 180W and, since the global models begin each day at 0000H UT, the photochemistry starts at local noon. A measurement data stream, such as from ATom (2017), will include measurements over a range of longitudes and taken with a wide range of local solar times. We need to ensure that the protocol here does not depend on when the 24hour integration of reactivity is initiated. The UCI model selected one day (16 August 2016) and shifted the local solar time by 12 hours, thus initiating each parcel at local midnight. In addition, the cloud fields needed to be rearranged so that the pairing of clouds and solar zenith angles were the same in both cases. The start-at-midnight version has larger reactivities by at most 1% with no changes in the J-values as expected for the protocol (e.g., keeping the morning clouds in the morning for both calculations). The rms differences between the two cases are 2-10 times less than the year-to-year differences. We conclude that the initiation time produces discernible differences but not at the level to affect the any of the results here, even with high levels of lightning-NO in daytime. The initiation time might affect highly polluted regions where the NOx reservoirs could be converted at night to less photolabile nitrates.

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Two additional sensitivity tests included running the 5 days in August with a fixed solar declination (Figure S9) and with different restart file (Figure S10). As shown in these figures and Table S8, these two tests change the overall average in the fourth decimal place and have rms differences <0.01 ppb/day. For these choices, the protocol adopted here is adequate.

The GFDL and NCAR CCMs could not maintain the fixed, data-stream T&q values over the 24-hour integration, which leads to larger rms differences because reactivities depend on both T and q. This explains in part why the GFDL and NCAR models in Figure 3 have larger scatter for reactivities than the other non-GISS models, but similar scatter in J values. This effect may also contribute to the larger day-to-day rms, for NCAR at least, and is examined more extensively with the UCI CTM running with the T&q's from both models (Section 3.5).

How overwriting of the data stream's T&q (with a CCM climate) impacts these results is tested with the UCI CTM re-running a one day (16 August 2016) data stream using T&q's reported from the GFDL and NCAR models. The rms reactivity differences for these two models are 2-3 times larger than those of the reference models (GSFC, GC, UCI, see Table 3); while J-value differences (much less affected by temperature) are similar.

For the 5 days, each with 14,880 parcels, the mean values of either GFDL or NCAR T&q's are similar to the data stream but their rms differences are large: about 3.6°K and 0.4 in log<sub>10</sub>(q), see Table S9. Both models have similar scatter patterns for T and for q (Figure S11) with a number of parcels having log<sub>10</sub>(q) more than a factor of 10 different from the stream. In this sensitivity test, UCI CTM ran with just T from GFDL and NCAR, and then with both T&q (4 cases in all). The results are shown in Tables S8 and Figure 9. For T alone, the reactivity differences were at the lower limit of detectable model-model differences but, with both T and q, the model showed surprisingly large shifts in L-O3 and L-CH4 along with standard deviations 2-10 times larger than the lower limit based on different UCI model years. In fact, the UCI model using GFDL and NCAR T&q has about the same rms reactivity differences with respect to the reference case as do the full models (Compare Tables S8 and 3, noting that Table 3 is a 5-day mean result and not 1-day result). Thus, without a model being able to use the specified T&q, we are unable to

determine if its photochemical module is similar to another model. Moreover, with climate-varying T&q's the modeled reactivities from an observed data stream will also be too noisy for an analysis of the top-10% parcels, i.e., which air matters.

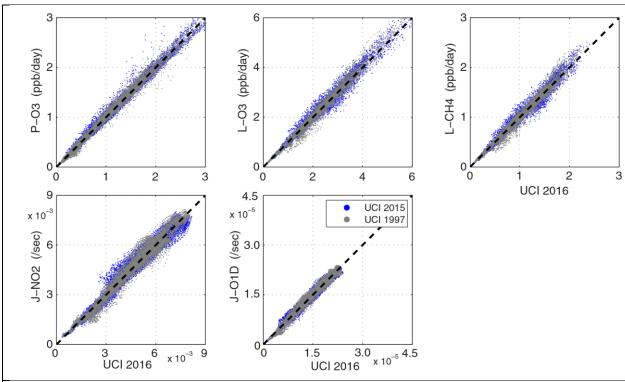


Figure 8. Scatter plot of reactivities and J-values for 5d-mean air parcels for UCI alternate meteorological years (2015, 1997) against the standard year 2016.

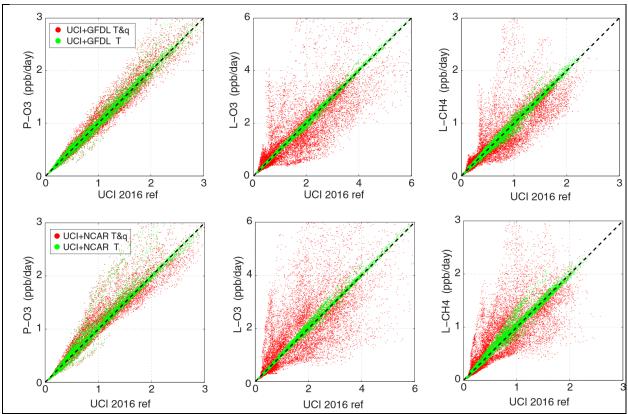


Figure 9. Scatter plot of reactivities of the 14,880 air parcels showing the effect of the GFDL (top) and NCAR (bottom) models using T & q from their climate models, instead of from the specified data stream. The UCI model for a single day (16 August 2016) calculated reactivities using the GFDL and NCAR parcel values for T alone (green) and for T&q (red) and compared with the UCI reference model for 16 August 2016.

### 4. Summary discussion

We develop a new protocol for merging in situ measurements with 3-D model simulations of atmospheric chemistry as calculated by chemistry-transport models through to Earth system models. The goal is to take a time stream of species-rich, high-resolution (100s m), spatially sparse observations, such as from an aircraft mission (e.g., ATom, 2017), and have the current 3-D global or regional models use that observed data directly to evaluate chemical reactivity in each parcel. With this protocol, we avoid model artifacts in the data stream, such as occur in assimilated data, but must account for the density and bias in sampling. Here, we focus on tropospheric production and loss of the greenhouse gases ozone and methane, but the protocol can be readily applied to other chemical transformations such as the formation and growth of secondary organic aerosols.

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In applying the protocol here to a synthetic data stream, we demonstrate a second major use: detailed diagnostics of model performance, specific to the photochemical modules operating within the global chemistry-climate and chemistry-transport models. Six such models are evaluated here, and their differences and similarities in simulating the chemistry are clearly identified. The protocol specifies the detailed chemical composition of a constrained set of air parcels including temperature and water vapor, embeds these parcels in an appropriate grid cell of each model, turns off processes that mix adjacent grid cells, and integrates the 3-D model for 24 hours (see P2017). The photochemical module is thus dependent only on the chemical mechanism and the diurnal cycle of photolysis rates, which are driven in turn by temperature, water vapor, solar zenith angle, clouds, possibly aerosols and overhead ozone, which are calculated as they would be in each model.

Typical 3-D multi-model evaluations cannot separate differences in photochemistry from differences in emissions, transport, scavenging, and even numerical methods, all of which help define the mix of chemical species in each grid cell. The new protocol established in this paper combines the no-transport A-run from P2017 with the data stream of specified-composition air parcels. The approach is generic and can be implemented in any model. Here, using six global chemistry-transport or chemistry-climate models, we can see how it opens a window focusing specifically on the photochemical modules embedded in 3-D models.

Overall, the models show surprisingly good agreement on calculating the reactivity (P-O3, L-O3, L-CH4) and photolysis rates (J-NO2, J-O1D) in air parcels. We can identify unique features in each model: e.g., UCI's high J-NO2 values; GSFC's lower P-O3 at high reactivity; GISS's inverted results for L-O3 versus L-CH4; GFDL and NCAR's large scatter due to use of model-generated versus parcel-specified water vapor; and large variability in J values for NCAR and GISS. Models with effectively the same chemistry module will appear distinct if they use a different data stream for water vapor. It is impossible to tell if overall, among the six models, GISS has the most unique features, and GC the least. These anomalous features can really only be explained by the model developers who understand the coding, yet these diagnostics point to a focus for the analysis of individual models. Being a standout in any diagnostic, does not

necessarily imply that uniqueness is an error, but it should encourage self-evaluation to determine if that unique feature is intentional and can be shown to be a more accurate simulation.

Cloud variations on synoptic scales are primary sources of noise in this study. These are difficult to standardize from either model or observation given the wide range of methods for treating cloud scattering and overlap. Cloud-driven changes in reactivity are clear in comparisons across models and also within the same model. Use of a single day for comparison is inadequate. This protocol selects 5 days across the month to sample cloud fields, and this provides a stable average for identifying model-model difference. The protocol also makes several simplifying assumptions that may affect results: the solar declination over the month is fixed at the midmonth value; and the 24-hour integration is always started globally at the same universal time, meaning at different local solar times across the longitudes. These issues were tested with a single model and found to be unimportant compared with the synoptic variability in clouds and other model-model differences.

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Using day-to-day and year-to-year variability in a single model, we can define a lower limit to the differences, which is essentially the noise in this protocol, such that models are not distinguishably different. For the most part, we find that the GSFC, GC and UCI models fall into this indistinguishable-from-one-another class because their differences are within a factor of 2 of the estimated noise level. This grouping may be explained in part by the common heritage of GSFC and GC's tropospheric chemical model, but UCI's chemical mechanism is completely different and much abbreviated. All other model pairings show much larger differences.

All models agree that the more highly reactive parcels dominate the chemistry; for example, the hottest 10% of parcels control 25-30% of the total reactivities. Unfortunately, they do not agree on which parcels comprise the top 10%. This diagnostic will become more acute as we move from the smoothed synthetic data stream derived from model output (50 x 50 x 1 km averages) to the high variability of in situ ATom observations (2 x 2 x  $\sim$ 0.1 km averages).

Based on our experience comparing models that differ largely by temperature and water vapor, we conclude that water vapor differences in CCM simulations of past and future atmospheres

may be a major cause of the changes in O<sub>3</sub> and CH<sub>4</sub> and may lead to different chemistry-climate feedbacks across the models.

- This new protocol for multi-model evaluations helps identify and provide insights into intermodel differences, as well as providing for a direct link with measurements made at a much finer scale than the models.
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- Aeronautics and Space Administration's Earth Venture program (grants NNX15AJ23G, NNX15AG57A). We thank Jingqiu Mao and Larry Horowitz for assistance with GFDL AM3, and Drew Shindell for assistance with GISS model 2E. The merged ATom data stream is now at the Oak Ridge National Laboratory DAAC (doi.org/10.3334/ORNLDAAC/1581), and these model data and results are also available at Prather et al., 2018 at
- 575 doi.org/10.3334/ORNLDAAC/1597.

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