Dear Editor,

We revised manuscript AMT-2017-74 in accordance with the comments by the two referees. In addition we prepared supplementary information in order not to overload the main paper with additional figures and tables. Comments and replies can be found below, as well as a marked up version of the revised manuscript and the new Supplement.

Thank you for your support.

Reply to comments by Referee #1

This manuscript describes the calibration procedures and data evaluation of a CCD spectroradiometer (CCD-SR). The instrumental characteristics are partly compared with results from other publications. The performance of the CCD-SR is demonstrated for airborne and ground-based observations. The manuscript is very technical and gives a lot of details. It is well-written but could be shortened at some points, since it is no new instrumental techniques described here. The benefits for the reader should be pointed out more clearly in the introduction. What is new compared to former publications? The manuscript is recommended for publication in AMT. Nevertheless, the following comments should be addressed first.

Reply: We thank the referee for the positive and very detailed evaluation of the text. All comments (italic font) will be addressed in the following.

We agree that the text is quite long and contains a lot of technical details. We'll will try to shorten the text where recommended but also note that at many points the referee is asking for additional information and additional figures. So it is difficult reduce the length of the paper. Some additional figures and tables will be placed in a supplement. We believe that although the technique is not new, many details matter here to obtain optimum results and we would like to encourage other researchers to look into the details of their instrument properties as well.

In order to point out the benefits for the reader more clearly, the final part of the introduction will be extended following page 3, line 31:

"In particular, for laboratory calibrations we adopt a new correction factor for subtracted stray light signals obtained with cutoff filters and an optimization of spectral sensitivities using extended integration times. For the evaluation of field data we introduce atmospheric cutoff wavelengths from radiative transfer calculations to define safe, condition dependent wavelength ranges for stray light determination. In addition the precision of j(O1D) measurements was improved by excluding spectral actinic flux densities below the cutoff wavelengths. These procedures are thought as recommendations for other users of similar instruments in order to raise the awareness for important instrument properties and characterizations, to illustrate essential evaluation steps and to clarify current limitations. Careful attention is thought to improve data quality and reproducibility. To evaluate the approach, example data from a flight on HALO, as well as ground based comparisons with a double-monochromator reference instrument will be shown."

General comments:

1. The CCD-SR shows good performance also for ground-based observations. The disadvantage of less sensitivity and impact of stray light in the UV spectral range can be avoided by careful calibrations and some corrections as written in the paper. The comparison with the DM-SR has shown sufficient agreement. This precise scanning instrument, however, has much lower time resolution than the CCD-SR which is not sufficient for fast changing atmospheric conditions (as cloudy sky). For this reason I suggest to change the title: "Calibration and evaluation of CCD spectroradiometers for ground-based and airborne measurements of spectral actinic flux densities". There is no need to restrict the application of the CCD-SR to airborne measurements. That could be made clear by extending the ground-based comparison (see comment #41).

Reply: The focus on airborne operations was based on the specific requirements that can probably only be met by the CCD-SR. Of course the instruments are also useful for measurements on the ground and the procedures are similar. We'll change the title as recommended.

2. Since the angular response of the entrance optics is highly affecting the quality of the data it would be worthwhile to implement it in this paper. In this case some changes to the text and text cuts should be made not to overload the manuscript when adding the discussion about the angular response. That would give the reader a full description of the instrumental performance in one paper.

Reply: The second paper will be quite long by itself and the current paper would clearly be overloaded. Substantial text cuts are difficult as mentioned above. We did that separation not only to keep the individual papers short but also because we are dealing with two separate aspects of the measurements. The optical receiver characterizations and corrections are independent of the type of spectroradiometer used. On the other hand, most aspects of the current paper are specific for the CCD spectroradiometers and independent of the receivers.

We'll rephrase the sentence in the abstract on page 1, line 18 to clarify this: "Because optical receiver aspects are not specific for the CCD spectroradiometers they were widely excluded in this work and will be treated in a separate paper in particular with regard to airborne applications."

And we'll add the following sentence on page 3, line 6 to explain this: "Since these corrections are complex and independent of the type of spectroradiometer, we attend to this difficulty...."

We understand that for an assessment of total uncertainties more information on receiver specific correction factors is important. As a compromise, and as suggested by referee #2, we will show corrected and uncorrected spectra in the revised version of Fig. 11 (aircraft data) and more explicitly state the (low) extent of the corrections for the ground based measurements.

Specific comments:

3. Give wavelength range of the CCD-SR in the abstract.

Reply: The range will be specified (280-650 nm).

4. p117: "flux densities in a 300 nm range" – What does it mean? Is it the detection limit at 300 nm wavelength?

Reply: Yes, we'll change to " ... flux densities at wavelengths around 300 nm"

5. p1l17: "below cutoff wavelength" – Not knowing the manuscript, the reader will not know what "cutoff wavelength" means. Better write something like that "below atmospheric cutoff wavelength which was simulated depending on solar zenith angle, ozone column, : : :"

Reply: That was explained already in lines 8-9. We'll add the term "atmospheric" to clarify.

6. p1l17: "with reference instrument data" – Which instrument? Tell the reader that is a high sensitive double monochromator.

Reply: On page 1, line 11, where this instrument is mentioned for the first time we'll change to: "... comparisons with a double-monochromator based, highly sensitive reference spectroradiometer." On page 1, line 17 we'll specify: "...from linear regressions with data from the double-monochromator reference instrument."

7. p1/19: "Overall, the investigated instruments are clearly : : :" – already mentioned in the beginning of the abstract (I3).

Reply: The sentence will be removed.

8. p2: Please discuss the dependence on temperature and pressure of molecular parameters.

Reply: We'll extend the paragraph accordingly on page 2, line 27: "A further advantage of spectroradiometry is that the temperature and pressure dependencies of photolysis frequencies are obtained directly by taking into account the respective dependencies of the molecular parameters. This is particularly important for aircraft measurements where ambient conditions are most variable."

9. p3l1: Explain shortly what is meant by "stray light". Furthermore, mention the lower sensitivity in the UV-B.

Reply: We'll change the sentence accordingly: "(ii) the accuracy of measurements in the UV-B range that can be affected by low detector sensitivities and non-regularly reflected radiation within monochromators (stray light)."

10. p3l3: "upward radiation in the UV range can often be neglected" – only valid over dark surfaces

Reply: We agree that the statement was too sloppy as was also noted by referee #2. We'll use the following statement instead: "In contrast to ground-based operations where measurements of upward radiation in the UV range may be dispensable under conditions of low ground albedos, aircraft deployments require separate measurements in the upper and the lower hemisphere."

11. p3l14: "have low time resolution" – What means low? Please give numbers.

Reply: We will specify a range "...on the order of 0.5-2 min".

12. p5l6: "The idea is to piece together : ::" – I am wondering if there is mismatch between the spectra sampled over different integration times, because the measurement volume is different. In particular for fast changing atmospheric conditions in combination with the speed of HALO, I would expect some differences. Did the authors compare the spectra for some examples without oversaturation? Furthermore, the linearity of the detectors should be shortly discussed.

Reply: No systematic comparison was made of all spectra recorded quasi-simultaneously with different integration times. The successive measurements together take about 0.5 s. A significant change within this period of time could indeed produce differences. We checked the more variable upward flux densities of the example flight of 19 Dec (Fig. 14) and selected the longest possible wavelength where no saturation occurred at all integration times (321 nm). Significant differences between 100 ms and 300 ms data occurred only at a single point in time (around 12:01) caused by a flight maneuver where roll angles exceeded 10°. A similar check with a similar result was made for a (rare) flight where HALO was apparently flying within clouds, with high and strongly variable upward and downward flux densities of similar levels. We conclude that except for extremely rare circumstances differences between successive measurements with different integration times are insignificant. Regarding the linearity of the detector we refer to another section (see also point 24.). The following sentence will be included:

"This optimization is useful as long as integration times are short compared to the time scale of changes of measured flux densities. Moreover, the linearity of the CCD detector is a further requirement that can be tested in the laboratory (Sect. 2.2.3)."

13. p5l8: ".. calculation of final actinic flux : : :" – In fact, these data are only a kind of quicklook. Post-processing is required as mentioned in the subsequent sentence.

Reply: We'll skip the word "final" to avoid the impression that post-processing is optional. Moreover, in the succeeding sentence we switch from "many" to "some" applications.

14. p5l12: Maybe a flow chart of the following calibrations would be helpful.

Reply: Producing a flow chart for the calibration procedure turned out to be extremely difficult because it either oversimplified the procedure or even complicated it if every detail were included. For example an activity like "subtract background" does not explain that this background should be an average over 100 single measurements and that it should be made

before and after lamp measurements and checked that there were no changes and that it should be done for all integration times etc. So we refrained from adding a flow chart.

15. p5l22: "dark spectra" – Explain shortly.

Reply: We extended the sentence: ".... dark spectra obtained upon covering optical receivers, ..."

16. p5l23: "were fitted with an " – Why did the authors did not use also the Neon emission lamp which covers the longer wavelengths greater than 546 nm?

Reply: We made (less frequent) checks with a HeNe laser at 632 nm. The hint with the Ne lamp is very useful. We'll purchase such a lamp for routine measurements in the future. The results of the HeNe laser measurements will be included in Tab. 1 and Fig. 2.

17. p9l4: "Noise levels were found to increase with the square root of signals : : :" – Can you show a plot for illustration, maybe for different wavelengths?

Reply: We produced a corresponding plot for dark and lamp measurements but do not distinguish between different wavelengths because all CCD pixels behave similarly. Noise is determined by the signal and signal only indirectly by wavelength through the spectral lamp output and the instrument sensitivity. Because we are dealing with (at least) three types of noise that add up geometrically, things are a bit complicated. For clarity, additional symbols e.g., for the noise at zero integration time (N_r), thermally and radiation induced shot noise (N_d , N_s) and for the electronic background signal ($S_{dark,0}$), will be introduced in the text and additional formulas will be inserted to explain the noise contributions. The plot is shown in FigD1.1. By the way: In the caption of Fig. 3 there was an error. The statement: "... and a dark current that increases linearly with integration time."

18. p10l1: "example of signal-to-noise ratios" – Give equation. I am wondering if it is correct to illustrate the wavelength dependence of the SNR this way. Since the SNR depends on the magnitude of the signal itself, a constant signal should be used for all wavelengths (however feasible) to demonstrate the pure wavelength dependence. As suggested in comment #17, plot the SNR as a function of the signal for different wavelengths.

Reply: We'll include an equation how the SNR shown in the figure were calculated. Maybe the figure was not explained properly. It merely shows the SNR obtained during a calibration and the improvement obtained for close measurements and long integration times. We think this is explained sufficiently in the text. We'll include additional information in the figure caption:

"Signal-to-noise ratios (SNR) of 1000 W irradiance standard measurements with instrument 62001 as a function of wavelength for different integration times according to Eq. 7. (a) lamp distance 700 mm, (b) lamp distance 350 mm. The SNR is determined by the spectral lamp output and the instrument's spectral sensitivity that together produce the signal height and the corresponding noise (Fig. 4). The improvements for the close measurements are most useful for wavelengths below 400 nm."

Reply: Will be included.

20. p10l16 "shows little wavelength dependence" – What means little, give numbers.



Reply: We'll specify the maximum dependence as "(<2 mm, 300-650 nm)".

FigD1.1: Increase of noise (*N*) with the square root of CCD signals from dark measurements (S_{dark}) and 1000 W irradiance standard measurements (S_{lamp}) of instrument 62001. (a) dark, (b) lamp distance 700 mm (far), and (c) lamp distance 350 mm (close). Noise was corrected for residual noise ($N_r \approx 7$) at zero integration time and signals were corrected for constant electronic offsets ($S_{dark,0} \approx 900$). For the dark measurements at integration times ≤ 30 ms the noise increase is too small to be determined correctly. The dashed lines indicate an approximate linear dependence

21. p11 Fig. 5: What is the gain of information showing the procedure for two integration times? The reader might be more interested in the stray light fraction than showing each step of the data evaluation.

Reply: By showing two integration times in Fig. 5 we intended to demonstrate that UV-B radiation and stray light signals can be determined most accurately with the longest integration time and that for longer wavelengths shorter integration times are necessary because of saturation. We agree that (though already visible in the second panels) the fraction of stray light can be plotted separately as an indicator for the stray light rejection of an instrument. We'll remove the left hand panels of Fig. 5, mention the saturation in the caption, and plot the stray light fraction in the fourth panel instead. The text and figure caption will be revised accordingly, see FigD1.2.



FigD1.2: Example signals obtained during laboratory calibrations of instrument 62001 with two cutoff filters at Δt =1000 ms and close lamp distance. The wavelength range relevant for the determination of stray light signals is zoomed in. Saturation occurred around 400 nm for this integration time. (a) Total signals of lamp radiation

with no filter (S_{lamp}), of lamp radiation with WG320 filter ($S_{filter 1}$), of lamp radiation with WG360 filter ($S_{filter 2}$), and dark signals (S_{dark}). (b) After subtraction of dark signals, stray light signals were estimated by linear regressions in a range 270-300 nm and extrapolated over the whole spectral range (dashed lines) before final subtraction. (c) Signal contribution of stray light in the atmospherically most relevant wavelength range. Similar figures for the other instruments are shown in the Supplement.

22. p12l12: "WG320, WG360" – Please refer to Schott, otherwise it is not clear where the "WG" comes from.

Reply: Schott was mentioned page 10, line 21. We'll change to (Schott, White Glass) to explain the "WG".

23. p12l23: ": : : stray light quickly diminishes with increasing wavelength: : :" As mentioned in comment #21, this would be good to see in a plot for all spectroradiometers.

Reply: We'll provide similar figures as the revised version of Fig. 5 (FigD1.2) for the remaining four instruments in supplementary information.

24. p13 Fig. 6: Here, a test of the linearity could be implemented.

Reply: We will include a figure (see FigD1.3) that demonstrates the linearity. On page 14, line 2, the following paragraph will be included:

"The relation in Eq. 10 was tested by comparing measured sensitivities for an integration time of 10 ms and calculated sensitivities for the same integration time from measurements at 30, 100, 300 and 1000 ms as shown in Fig. D1.3. Sensitivities at 10 ms were chosen as an example because no saturation occurred, i.e. the comparison is covering all wavelengths (but not at all integration times). Linear regressions produced slopes deviating less than 1% from unity confirming the strict linearity of the measurements. Equation 10 was therefore used to derive consistent, optimum sensitivities for all integration times, ..."

25. p13l14: It is suggested to delete the paragraph. After introducing the spectral calibration, the reader assumes that the wavelength shift is considered in the radiometric calibration.

Reply: The paragraph will be deleted.

26. p14l11: Also here, delete the paragraph. The reader will not be interested in the Metcon software.

Reply: Not sure this applies for all readers but we agree that this information is not essential. The paragraph will be deleted.

27. p16l14: "in total uncertainties between : : :" - The error due to angular response is not included here as it should be when talking about the total uncertainty.

Reply: In this section we are talking about the total uncertainties of the spectral calibrations. We'll add the term "spectral" to the title of the section, i.e. "spectral calibration uncertainties", and in the final sentence of the section. Moreover we'll add the following

paragraph for clarification and will address the total uncertainties in the final sections as also recommended by referee #2:

"These uncertainty estimates were derived from carefully controlled laboratory measurements at normal incidence of radiation and roughly apply for any CCD spectroradiometer with similar properties. However, total atmospheric measurement uncertainties can be affected by additional factors related with receiver specific angular response imperfections, atmospheric stray light influence and instrument noise that are dependent on measurement conditions. Based on the laboratory characterizations, the influence of instrument noise on detection limits and measurement precisions is estimated in the following before atmospheric measurements are addressed in Sect. 3."



FigD1.3: Comparison of spectral sensitivities of instrument 62001 for an integration time of 10 ms (Δt_2) with scaled spectral sensitivities obtained at other integration times (Δt_1). Scaling factors were calculated according to Eq. 11. Data points cover all wavelengths. The dashed line indicates perfect linearity.

28. p17/12: "Detection limits are usually defined as the three-fold : : :" – Please give a reference.

Reply: We will include a reference and replace "defined" by "estimated" to indicate that this is not a strict rule for all types of measurement.

29. p17l20: "the noise limits correspond to 0.1% and 0.0001%..." – Are these values derived from the table? Give the reference (typical maximum) values. Tab. 3 lists also noise equivalent photolysis frequency for a limited spectral range above 300 nm in brackets. A better motivation might be to give the results for two situations (low SZA, low total ozone

column vs. high SZA, high total ozone column). Note the conditions in the table caption. It would give the reader a smooth introduction to the cutoff wavelength paragraph.

Reply: (i) The statement (0.1% and 0.0001%) was just a rough estimate to rate the detection limits in terms of maximum atmospheric values. We'll give the corresponding maximum values in the text as 4×10^{-5} s⁻¹ and 1×10^{-2} s⁻¹. By the way, there was a typo in Tab. 3. For the 1000 ms integration time the exponent of the first value should read -8 instead of -9 for *j*(NO₂).

(ii) The detection limits were derived for conditions with no radiation. Obviously, the use of cutoff wavelengths mostly pay off under conditions with low radiation as is also evident from Fig. 13. What the referee is asking for is the noise-induced precision of the *j* measurements under various atmospheric conditions and whether or not the use of the cutoff wavelengths leads to improvements. We estimated this by using the simulated spectra and the increase of noise with instrument signals which is now explained in more detail in the preceding sections (see point 17.). The following new section will be included:

Measurement precisions

"The influence of radiation induced shot noise on the precision of F_{λ} measurements as well as the effect of cutoff wavelengths on the precision of $i(O^{1}D)$ and $i(NO_{2})$ under various atmospheric conditions were investigated based on the same simulated clear-sky downward F_{λ} spectra that were used to derive the cutoff wavelengths. Signal spectra for different integration times were calculated from the F_{λ} by multiplication with the spectral sensitivities of instrument 62001 (Fig. 6). The corresponding noise was obtained according to {FigD1.1} and optimized noise spectra were combined by preferring long integration times unless saturation levels were reached. A maximum 300 ms integration time was assumed as during atmospheric measurements (Sect. 3.1.2) and the respective noise equivalent F_{λ}^{NE} were derived. The results are listed in TabD1.1 together with the F_{λ} from the model for a number of solar zenith angles and wavelengths at an altitude of 15 km. With increasing signals, shot noise increases and shorter integration times become necessary. Accordingly, the $\textit{F}_{\lambda}^{\text{NE}}$ increase with wavelength and solar elevation. A comparison of F_{λ} and F_{λ}^{NE} shows that except for the shortest wavelength, high signal-to noise ratios can be expected under all conditions (≥ 600) . For a zero-spectrum the results obtained with the measured dark spectra in Fig. 9 for an integration time of 300 ms were reproduced. The corresponding data for an altitude of 0 km can be found in the Supplement. The potential influence of stray light signals is not considered in this analysis. However, as will be shown in Sect. 3, stray light induced shot noise is very limited. By repeatedly applying random F_{λ}^{NE} noise for each pixel, simulated precisions of photolysis frequencies for instrument 62001 were obtained. These data are listed in TabD1.2 for the same conditions as in TabD1.1. For $i(O^{1}D)$ the precision is almost constant and independent of the photolysis frequency because radiation induced shot noise is apparently secondary. The application of cutoff wavelengths from the lookup tables led to significant improvements in particular towards large SZA because of increasing cutoff wavelengths. In contrast, for $j(NO_2)$, photon induced shot noise plays an important role and several shorter integration times were involved in the simulated measurements. Accordingly, the absolute noise increases with increasing $i(NO_2)$. The application of cutoff wavelengths led to no changes for $j(NO_2)$, the results were therefore not included in Tab. D1.2. For a zero-spectrum the results obtained with the measured dark spectra for an

integration time of 300 ms were again reproduced (Tab. 3). Corresponding data for an altitude of 0 km can be found in the Supplement."

30. p17l24-p17l31: This paragraph could be deleted. It was already stated in the introduction that in the UV-B the ozone photolysis is more affected than the NO2-photolysis.

Reply: The statement in the introduction is less specific. Here we explain why instrument noise below 300 nm affects the detection limits of $j(O^1D)$ while $j(NO_2)$ is unaffected. This is important to understand the influence of cutoff wavelengths on $j(O^1D)$."

TabD1.1: Downward spectral actinic flux densities F_{λ} from radiative transfer calculations for selected wavelengths and solar zenith angles for an altitude of 15 km and an ozone column of 300 DU (left) and simulated noise equivalent actinic flux densities F_{λ}^{NE} of instrument 62001 for a maximum 300 ms integration time (right). The entry SZA>100° indicates dark conditions.

02001 for a maximum 500 his integration time (right). The entry SZA>100 indicates dark conditions.																
λ / nm	300	350	400	450	500	550	600	650	300	350	400	450	500	550	600	650
SZA / deg F_{λ} / 10 ¹² cm ⁻² s ⁻¹ nm ⁻¹ F_{λ}^{NE} / 10 ¹⁰ cm ⁻² s ⁻¹ nm ⁻¹																
0	5.8	200	360	500	490	520	530	510	2.2	29	52	53	50	54	58	61
30	4.0	200	360	500	490	510	520	510	1.9	28	52	53	50	54	57	61
50	1.5	200	360	490	480	510	520	510	1.4	28	52	52	50	53	57	60
60	0.50	190	350	490	480	500	510	500	1.1	28	52	52	50	53	57	60
70	0.06	180	340	470	470	490	490	490	1.0	27	51	51	49	52	55	59
80	0.55^{a}	140	290	430	430	440	430	460	1.0	24	47	49	47	50	52	57
84	0.32 ^a	100	240	380	380	380	360	410	1.0	11	24	46	45	46	48	55
88	0.14 ^a	26	93	200	210	190	160	250	1.0	3.2	15	19	34	19	18	25
>100	0	0	0	0	0	0	0	0	1.0	0.6	0.4	0.3	0.3	0.3	0.3	0.4

 a F_{λ} / $10^{10} {\rm cm}^{-2} {\rm s}^{-1} {\rm nm}^{-1}$

TabD1.2: Photolysis frequencies from radiative transfer calculations of downward spectral actinic flux densities for selected solar zenith angles at an altitude of 15 km and an ozone column of 300 DU (left) and simulated noise equivalent photolysis frequencies of instrument 62001 for a maximum 300 ms integration time (right). $j(O^{1}D)$ precisions in brackets were obtained by applying variable cutoff wavelengths (FigD1.4). The entry SZA>100° indicates dark conditions with zero spectral actinic flux densities.

SZA /	photolysis	frequency	noise equivalent photolysis frequency				
deg	$j(O^{1}D) / s^{-1}$	$j({ m NO}_2)/{ m s}^{-1}$	$j(O^{1}D) / s^{-1}$	$j({ m NO}_2)/{ m s}^{-1}$			
0	6.09×10 ⁻⁵	9.56×10 ⁻³	1.1×10 ⁻⁷ (3.6×10 ⁻⁸)	1.2×10 ⁻⁶			
30	4.99×10 ⁻⁵	9.50×10 [−] ³	$1.0 \times 10^{-7} (3.0 \times 10^{-8})$	1.2×10^{-6}			
50	3.20×10^{-5}	9.27×10 ^{−3}	$1.0 \times 10^{-7} (2.1 \times 10^{-8})$	1.2×10^{-6}			
60	2.13×10^{-5}	8.98×10 ^{−3}	$1.0 \times 10^{-7} (1.5 \times 10^{-8})$	1.2×10^{-6}			
70	1.09×10^{-5}	8.38×10 ⁻³	$1.1 \times 10^{-7} (9.7 \times 10^{-9})$	1.2×10^{-6}			
80	3.01×10^{-6}	6.81×10 ⁻³	$1.1 \times 10^{-7} (5.7 \times 10^{-9})$	9.7×10 ⁻⁷			
84	1.14×10^{-6}	5.25×10 ⁻³	$1.1 \times 10^{-7} (4.7 \times 10^{-9})$	7.2×10^{-7}			
88	1.42×10^{-7}	1.68×10^{-3}	$1.0 \times 10^{-7} (3.7 \times 10^{-9})$	2.7×10 ⁻⁷			
>100	0.0	0.0	1.0×10 ⁻⁷ (3.6×10 ⁻⁹)	2.4×10^{-8}			

31. p17l32: "cutoff-wavelength" - atmospheric cutoff wavelength. The authors created some look-up-tables (as mentioned on p18l3). A contour plot showing the dependence of the cutoff wavelength on SZA and total ozone column would be nice to see.

Reply: A contour plot will be included for an altitude of 15 km (FigD1.4), a corresponding plot for 0 km will be shown in the Supplement.

32. p19l14: How are temperature and pressure variations considered in the look-up tables? There should be some sensitivity in particular for ozone photolysis.

Reply: Temperature and pressure variations were not considered. The cutoff wavelengths were defined by a limiting flux density (page 17, line 33). In the range of the cutoff wavelengths $O(^{1}D)$ quantum yields are independent of temperature and cross sections merely go down slightly at lower temperatures. In any case it is ensured that the fraction of $j(O^{1}D)$ that can be attributed to wavelengths below the cutoff is insignificant (page 18, line 6).

33. p19l21-p21l23: This section can be shortened. A flow chart summarizing the steps to create a spectrum from raw data might be helpful.

Reply: We revised the figures which shortened the page space of the section, see 35.-37. For a flow chart the same problem arises as for the calibration procedure. It is either too simple if no additional information is given for each action, or too complicated.



FigD1.4: Contour plot of atmospheric cutoff wavelengths (nm) for an altitude of 15 km as a function of solar zenith angles (SZA) and ozone columns. The data were derived from radiative transfer calculations of downward clear sky spectral actinic flux densities defining a lower limit $F_{\lambda} \leq 5 \times 10^9$ cm⁻² s⁻¹ nm⁻¹. A similar plot for an altitude of 0 km can be found in the Supplement.

34. p19l25 Is the dark signal taken from laboratory measurements? If yes, how are changes be considered during the campaign (p8l1)?

Reply: We used the dark measurements from the lab measurements because conditions were more stable. Comparisons of dark signals before and after deployments so far showed no significant differences, i.e. standard deviations of ratios were within 0.1-0.3% indicating that the spectral structure is very stable. Absolute variations are corrected for together with

the stray light signal as described on page 8, line 1-8 and page 19, line 27-28. We'll add the information that dark signals from the lab were taken.

35. p20 Fig. 10: The upper two panels are sufficient to illustrate the stray light and offset correction for field measurements. An additional subfigure showing the stray light fraction would be more interesting than the remaining six panels (supporting also p22l2).

36. p21 Fig. 11: Combine with Fig.10., the logarithmic representation would be sufficient. Could the authors add a comparison with simulations for the downward actinic flux density? 37. p22l8: "starting with the longest available: ::" – As mentioned earlier the reader might be more convinced of this combination method by showing spectra measured for different integration times in one plot with indication at which wavelengths the final spectrum were merged.

Reply to points 35-37: We revised Fig. 10 and 11 but kept them separated. In Fig. 10 the two uppermost panels remain unchanged, the additional three panels for each instrument were removed and a second panel was included showing the contributions of stray light, as requested (FigD1.5). In Fig. 11 we now also show two panels each where linear and semilogarithmic representations were combined. The linear plots more clearly show the differences between the upward and the downward flux densities. The upper panels demonstrate how final spectra were put together from different integration times. The lower panels show a comparison of uncorrected, corrected (influence of optics) and simulated spectra, as requested (FigD1.6). The corresponding text and figure captions will be revised accordingly.



FigD1.5: Examples of flight raw data and evaluations of instruments 62000 (lower hemisphere, left) and 62001 (upper hemisphere, right). Data were obtained during a HALO flight on 20 Dec 2013 17:30 UTC over the North Atlantic (15.0N, 55.9W, 13.2 km) under conditions with few scattered low-lying clouds (solar zenith angle 47°, ozone column 245 DU). In panels (a) and (b) different colors indicate the evaluation steps: raw data (red), background subtraction (green) and stray light subtraction (blue). Stray light signals (dashed black lines) were determined by linear regression of background corrected signals in a range 270 nm to 291 nm (cutoff wavelength). In panels (c) and (d) the contributions of the inter- and extrapolated stray light signals are shown for the integration times eventually used in the displayed, most effected and atmospherically relevant wavelength range >280 nm.



FigD1.6: Evaluated upward (left) and downward (right) spectral actinic flux densities of the data shown in FigD1.5 in linear and semilogarithmic representations. Arrows point to the respective axes. In panels (a) and (b) spectra obtained with different integration times are plotted upon each other. Because already for 30 ms (a) and 10 ms (b) no saturation occurred, the data shown for the shortest integration time in each panel were not used for the final optimization of spectra. In panels (c) and (d) the spectra denoted as uncorrected (red) represent the optimized spectra of panels (a) and (b). Optical receiver specific corrections led to the slightly modified, corrected spectra (blue). Results of radiative transfer calculations for clear-sky conditions are denoted as modeled (green).

38. p23l3: The reader probably expects the results of the photolysis frequency right here.

Reply: Sections 3.2 and 3.3 will be exchanged. In the abstract the final sentence will be deleted and "on HALO" included on page 1, line 10 instead.

39. p23l16: One example is sufficient, either 62000 or 62001. When comparing with DM-RS add the measurement uncertainty for both instruments in Fig. 12.

Reply: In the revised version we'll only show data of instrument 62001 in a single-column width figure. Error bars will be included. See FigD1.7.

40. p24 Fig.12: The lower left panel would be sufficient. Could the authors here also some simulated spectrum?

Reply: We prefer to show both linear and semi-logarithmic plots. The linear plots more clearly show any difference at greater values and only in the linear representation error bars from the calibration are visible. The semi-log plot emphasizes the low values very strongly and only here the noise induced uncertainties become apparent. A simulated spectrum will be included in all plots (FigD1.7).



FigD1.7: Comparison of actinic flux density spectra obtained on the ground with a double-monochromator based reference instrument (DM-SR) (red) and instrument 62001 (blue). Measurements were made on 01 Aug

2013 at Jülich (Germany) under clear-sky conditions. The spectra were taken around 12:00 UTC at a solar zenith angle of 33° and an ozone column of 310 DU. Black data points show the results of radiative transfer calculations for the same conditions. The different representations emphasize the increase of actinic flux densities in the UV-B range in panel (a) and the dynamic range of data in panel (b). Green data points in the semi-logarithmic plots of panel (c) show negative values that were plotted at their absolute values to make them visible. Full lines with minimum symbol size indicate the corresponding upper or lower limits after addition or subtraction of instrument noise. The dashed vertical line shows the cutoff wavelength below which values of the CCD-SR were normally set to zero. Note the different spectral actinic flux density and wavelength ranges.

41. p25l1: The authors compare j-values derived from CCD-SR and DM-SR for two days including cloud periods. Here the advantage of the better time resolution could be directly shown by a time series for of j-values with best temporal resolution for each instrument. Furthermore, filtering these cloud events from Fig. 13 might be worthwhile to exclude the data sampled during variable conditions within the time frame of one DM-SR measurement.

Reply: In the supplement we will show the time series of data from two days, one with broken clouds and one clear-sky day. For both days we will also show selected 15 min periods where the effects and no-effects of the different time resolutions will become visible. In addition a plot corresponding to the right hand side of Fig. 13 with data from the clear-sky day will be presented instead of the three days comparison in the main paper. However, in the main paper we prefer to stick to the current version for instrument 62001 because it corresponds to the routine procedure before or after a deployment. Clear-sky days are rare in Jülich and waiting for the perfect conditions is no option. Moreover, despite the timing-problems, the comparisons should cover clear and cloudy periods.

42. p29 Fig. 14: Show a map instead of the time series of latitude and longitude. Combine SZA and total ozone column in one panel. Remove date in x-axis in the lower panels. Add some simulated j-values for downward components.

Reply: The figure will be revised as recommended and a map will be included. (FigD1.8 and FigD1.9).



FigD1.8: Map of the flight route of HALO on 19 Dec 2013 from Oberpfaffenhofen, Germany to the island of Barbados. Indicated times are UTC.

Technical comments:

43. p2l13, p2l22: "spectral actinic photon flux density" – delete "photon"

Reply: Because actinic flux densities can be "photon" as well as "energy" flux densities and the formula is only valid for the first, we intended to clarify this by adding the term "photon" to the variable name. We will remove "photon" (also on page 2, line 22 and page 12, line 4) and add a sentence for clarification following page 2, line 17: " F_{λ} is inserted in corresponding molecular units (cm⁻²s⁻¹nm⁻¹)".



FigD1.9: Example data from a HALO research flight on 19 Dec 2013 from Oberpfaffenhofen (Germany) to Barbados. Altitude (ALT) and static temperature (TMP) in panel (a), as well as solar zenith angles (SZA) and total ozone columns (TOC) in panel (b), are important boundary conditions and parameters used in the data evaluation. Photolysis frequencies $j(O^{1}D)$ and $j(NO_{2})$ in panels (c) and (d) were calculated for the corresponding static temperatures. Rapid fluctuations of upward components of photolysis frequencies were induced by underlying clouds. Modeled data are clear-sky upward and downward components of photolysis frequencies from radiative transfer simulations.

46. p3l16: "maneuvers in flight" - flight maneuvers

47. *p*4*l*7: "*properties*" – *characteristics*

48. p4l14: "lengths" – length

49. p5l6: "The idea is to piece together : : :" – combine

50. p5l12: "characterisation" – characterization

Reply: Points 44-50 will be corrected as recommended.

51. p6 Fig. 2: Rearrange plot to save some space. Maybe one combined color coded plot is sufficient showing the spectra as function of wavelength difference referred to center wavelength (+/- 4 nm). Otherwise, please give labels for each subfigure (a) –(e).

Reply: We'll go for the second option. Even with different colors, all lines in a single plot are hard to distinguish.

52. p8 Fig. 3: Unit of signal? Counts?

Reply: We avoid using "counts" as a unit. The recorded signals are dimensionless "data numbers" or "analog-to-digital units". If "S/counts" were used, also the unit of spectral sensitivities would become "counts cm² s nm" (or "counts/photons cm² s nm" if we would use another qualifying term). To keeps things simple and to avoid confusion with "photon counting" we will explain the nature of the signals in more detail, following page 5, line 1: "CCD data acquisition is controllable by purpose-built software provided by Metcon. The recorded signals (S) are dimensionless 16-bit signal counts or so-called analog-to-digital units (ADU) ranging between 0 and 65535."

53. p8l13: "is considered a combination" - "is considered as a combination"

Reply: Will be changed.

54. p9 Tab. 2: Numbers in Counts? Reply: See point 52)

55. p9 Fig. 4: Label subfigures and use larger points. Plot subfigures in two columns.

Reply: We'll label the subfigures and increase the symbols. The two-row arrangement was chosen deliberately because it fits to the final column width of the journal (with two columns). Two-column figures are often downsized. Panels in all figures will be labelled.

56. p12l8 and hereafter: Maybe better to use the index "stray" instead of "scat" in the S-variable.

Reply: That was a leftover from a previous draft. The index "stray" will be used consistently throughout the text.

57. p16 Fig. 8: Figure caption "hangar measurements" - field measurements, label the subfigures with (a) - (d)

Reply: The figure will be modified accordingly, as well as the caption and the indices.

58. p16l2: "Firstly by the : : :" – no sentence, link it to the sentence before by using ";"

Reply: Will be changed as recommended.

*59. p*17*l*14: *"be considered a theoretical" - as a theoretical*

Reply: Will be changed.

60. p17l18: "are listed in Tab. 2" - Tab. 3

Reply: Corrected.

61. p17l25: "Tab. 2" - Tab. 3

Reply: Corrected.

Reply to comments by Referee #2

Summary:

This paper characterizes the CCD-SR instrument that deploys on the HALO aircraft and continues the discussion of actinic flux measurements and calibrations using Metcon CCDbased spectrometers. The determination of spectral and wavelength assignment accuracies and sensitivities are discussed and a new technique for removing stray light was demonstrated to improve UV-B spectral accuracy and account for low limits of detection. Cutoff wavelengths are determined for each measured spectra based on radiative transfer modeled fluxes to reduce noise in photolysis products and examine stray light impacts. Ground-based comparisons with low-stray light instrumentation show strong agreement to near the detection limits. The resulting data are shown to be of sufficiently high accuracy for studies of actinic flux and calculated photolysis frequencies. This work is of value, well written and recommended for publishing after addressing the comments.

Reply: We thank the referee for the positive evaluation of the text. All comments (italic font) will be addressed in the following.

General comments:

P3 L3-4: The authors contend that "upward radiation in the UV range can often be neglected" at surface sites. Upwelling jNO2 is often 4-10% to the total photolysis and can be much greater. The upward UV radiation can rarely be neglected (though it can be estimated from the downwelling). This sentence should be dropped or adjusted.

Reply: We agree that the statement was too sloppy as was also noted by referee #1. We will clarify this and use the following statement instead:

"In contrast to ground-based operations where measurements of upward radiation in the UV range may be dispensable under conditions of low ground albedos, aircraft deployments require separate measurements in the upper and the lower hemisphere."

P12, L24-27: The authors may have some luck in that they found linear stray light structure in the UV-B in 4 of the 5 tested spectrometers (with the exception of 45853). The paper's entire stray light analysis relies on a linear-based subtraction. However, non-linear stray light response is not fully controlled for in the manufacturing and is determined by testing after production. Thus, I think they should state explicitly that determination of the stray light spectral structure is required to assess if a linear regression is appropriate.

Reply: We agree that this should be stated more explicitly. Fig. 5 will be revised as shown in FigD2.1 below. Similar plots will be shown in the Supplement for all instruments. The corresponding paragraph was revised and extended following page 12, line 22:

"In panel (c) of FigD2.1 the fraction of stray light signals is plotted for the atmospherically most relevant wavelength range 280-420 nm. With increasing wavelength and lamp signals, the importance of stray light quickly diminishes to below 5% above 350 nm. Accordingly, uncertainties of the extrapolations become unimportant. Linear extrapolations of stray light signals were preferred in this work because they were most accurate in a range <350 nm where the determination of D_{λ} is strongly affected by stray light. A modification of this procedure was only necessary for the oldest instrument 45853 where a stronger wavelength dependence and a leveling-off of the stray light induced signal around 340 nm was observed. For 45853 the stray light level was generally increased compared to the other instruments. Plots as in FigD2.1 can be found for all instruments in the Supplement using the same typical optical receiver/fiber combination for direct comparison. If for other instruments a linear fit or a linear extrapolation of stray light signals towards longer wavelengths turns out to be insufficient, other functions should be tested to obtain an optimum description. For example, for 45853 a second-order polynomial was used for the extrapolation of stray light signals. This polynomial had the same slope at 300 nm as the linear approximation (270-300 nm) but was allowed to smoothly level out around 340 nm. Further cutoff filters with longer edge wavelengths were used to exclude significant spectral structures in the stray light signal at longer wavelengths. However, the spectral shape of the stray light signal without filter may differ from that observed with a filter below its cutoff wavelength because the filter can remove a substantial part of the radiation responsible for stray light. For that reason it is important to use filters with short cutoff wavelengths like a WG320 to get reliable results in the most affected wavelength range."

In the section on field measurements we come back to this issue. Also Fig. 10 will be revised as shown in FigD2.2 below. The corresponding paragraph was revised and extended following page 19, line 31:

"Expectedly, downward stray light signals in panel (b) were greater than upward stray light signals in panel (a). On the other hand, as shown in panels (c) and (d) of FigD2.2 the signal fractions caused by stray light are comparable for upward and downward measurements. Moreover, they quickly diminish with increasing wavelengths ($\leq 1\%$ above 320 nm). Accordingly, the uncertainty related with the linear extrapolation of stray light signals to wavelengths of up to 650 nm is insignificant. Tests with various cutoff filters confirmed that there were no significant stray light induced structures in the investigated spectral range. Of course, it cannot be excluded that for other instruments such structures exist or that stray light signals have to be approximated non-linearly. In these cases modified, instrument specific extrapolation procedures have to be developed, as already pointed out in Sect. 2.2.3."



FigD2.1: Example signals obtained during laboratory calibrations of instrument 62001 with two cutoff filters at Δt =1000 ms and close lamp distance. The wavelength range relevant for the determination of stray light signals is zoomed in. Saturation occurred around 400 nm for this integration time. (a) Total signals of lamp radiation with no filter (S_{lamp}), of lamp radiation with WG320 filter ($S_{filter 1}$), of lamp radiation with WG360 filter ($S_{filter 2}$), and dark signals (S_{dark}). (b) After subtraction of dark signals, stray light signals were estimated by linear regressions in a range 270-300 nm and extrapolated over the whole spectral range (dashed lines) before final subtraction. (c) Signal contribution of stray light in the atmospherically most relevant wavelength range. Similar figures for the other instruments are shown in the Supplement.



FigD2.2: Examples of flight raw data and evaluations of instruments 62000 (lower hemisphere, left) and 62001 (upper hemisphere, right). Data were obtained during a HALO flight on 20 Dec 2013 17:30 UTC over the North Atlantic (15.0N, 55.9W, 13.2 km) under conditions with few scattered low-lying clouds (solar zenith angle 47°, ozone column 245 DU). In panels (a) and (b) different colors indicate the evaluation steps: raw data (red), background subtraction (green) and stray light subtraction (blue). Stray light signals (dashed black lines) were determined by linear regression of background corrected signals in a range 270 nm to 291 nm (cutoff wavelength). In panels (c) and (d) the contributions of the inter- and extrapolated stray light signals are shown for the integration times eventually used in the displayed, most effected and atmospherically relevant wavelength range >280 nm.

P19, L13-15: The lookup tables are appropriate for clear-sky determinations of cutoff wavelengths, but no mention is made of the impacts of clouds and aerosols. Extinction by clouds and aerosols can greatly reduce the in situ measured flux and cutoff wavelength would be higher than expected from the table. In these cases, the variability in cutoff could be larger than 2 nm (as mentioned on P18 L5-6). Ideally the measured spectra could be evaluated for the cutoff, in concert with the model. The measurements are often most interesting in these complex atmospheric conditions and a discussion is needed here.

Answer: The idea behind the cutoff wavelengths is that they should set a safe lower limit to the wavelength range where significant flux densities can be expected and a safe upper limit for the range in which stray light can be determined. By choosing simulations under clear sky conditions and selecting downward radiation we ensure that under all conditions "real" cutoff wavelengths will be equal or greater than those in the lookup tables. We do not want to exhaust the method by approaching most realistic cutoff wavelengths for each spectrum

but rather stay on the "safe side". On page 19, line 14 we'll include the following paragraph to clarify this:

"Even though the cutoff wavelengths were derived from simulated clear sky downward actinic flux densities, they will be applied in the following under all conditions as well as for upward actinic flux densities that are typically much lower. The data from the lookup tables were taken as safe lower limits for convenience. An unaccounted presence of clouds, for example, would shift cutoff wavelengths towards slightly greater values which is non-critical for the data analysis."

P19-22: Section 3.1.2: A summary of the actinic flux total uncertainties was expected here. Uncertainties were discussed for the calibrations, but what are the measured uncertainties? What is the impact of the improved UV stray light determination that comprises much of the analysis in this paper and how does it improve over previous evaluations (Jakel et al., etc). Also, what is the impact of stray light near detection limits where the uncertainty due to stray light determination is much larger (as a function of SZA, ozone, atmospheric conditions).

Reply: We'll try to answer all these questions in a revised version of section 3.2.

(1) As a prerequisite, measurement precisions were simulated based on spectra from a radiative transfer model and the signal dependence of instrument noise obtained in laboratory measurements. Please refer to the answer of point 29 of referee #1 and tables TabD1.1, TabD1.2 shown there.

(2) In the previous version of section 3.2 on page 23, line 30, the following statement was made: "A closer look at the CCD-SR residual noise during day and night reveals that while in the dark the noise is consistent with the F^{NE} obtained in the laboratory measurements (Fig 9), it is increased by a factor of up to 2–3 during daytime. This is attributed to additional noise induced by stray light." This statement was wrong because data were compared in a too wide wavelength range, still affected by atmospheric radiation.

(3) Section 3.2 was split up into three subsections. The first is dealing with actinic flux density spectra:

"FigD2.3 shows examples of actinic flux density spectra obtained simultaneously with the DM-SR and instrument 62001. The spectra were selected for stable, clear-sky conditions to avoid deviations caused by DM-SR scanning operations. The CCD-SR spectrum is a 10 s average obtained with a maximum 300 ms integration time. Minor optical receiver specific corrections (≈2% in this case) were already included for both instruments (Lohse and Bohn, 2017). Panel (a) shows the expected sharp increase of actinic flux densities in the UV-B range that is reproduced similarly by both instruments. Also shown is a radiative transfer model spectrum from the set of spectra produced to derive the cutoff wavelengths for ground measurements. The spectrum was selected for the indicated ozone column and solar zenith angle. In panel (b) the comparison is extended to the complete spectral range covered by the DM-SR. Generally good agreement is obtained except for sharp spectral features that are resolved more accurately by the reference instrument because of a smaller FWHM. The agreement of the modeled spectrum with the DM-SR is better because in the model calculations a matching FWHM of 1 nm was used. Error bars in panels (a) and (b) correspond

to total uncertainties of 5–6% for both DM-SR and CCD-SR based spectral calibration uncertainties plus a 2% uncertainty from the optical receiver corrections. Additional uncertainties from instrument noise and stray light effects are invisible in panels (a) and (b).

Panel (c) of FigD2.3 shows the increase of UV-B spectral actinic flux densities in a semilogarithmic plot where more details can be recognized. Here also data below the cutoff wavelength (vertical line) that are usually set to zero for the CCD-SR are shown for comparison. In this wavelength range data scatter around zero as expected, albeit with different residual noise. For the DM-SR the noise is unaffected by stray light and similar to nighttime values corresponding to $F_{\lambda}^{NE} \approx 1 \times 10^9 \text{ cm}^{-2} \text{ s}^{-1} \text{ nm}^{-1}$. Thus the DM-SR noise is smaller by a factor of about 10 compared to the expected F_{λ}^{NE} of the CCD-SR in the 280–290 nm range (TabD1.1). The residual noise of the CCD-SR is accordingly greater. From the measured signals, $F_{\lambda}^{NE} \approx 1 \times 10^{10} \text{ cm}^{-2} \text{ s}^{-1} \text{ nm}^{-1}$ was derived and this value was added to or subtracted from the CCD-SR data in FigD2.3. Instead of error bars which cannot be reproduced in this representation, envelopes are shown comprising color coded positive and negative values for both instruments. A comparison with the radiative transfer modeled data shows that these are reproduced to well below the cutoff wavelength by the DM-SR while for the CCD-SR the detection limit is reached slightly above the cutoff wavelength. It should be noted that the $\approx 6\%$ uncertainties shown in panels (a) and (b) are invisible in panel (c).

Considering that 10 s averages are shown in FigD2.3 the F_{λ}^{NE} are in fact greater than expected by a factor of about two. The reason is that for this particular deployment the CCD-SR sensitivity was reduced by a factor of ≈ 0.6 because of an increased fiber length compared to the data shown in Fig. 6. Moreover, even though stray light signals were subtracted, they induced some shot noise in addition to the dark noise. This increased the total noise by about 25% under local noon and clear-sky conditions which is the maximum shot noise increase expected from stray light under all conditions.

The subtraction of stray light signals (~600 around noon) led to no appreciable increase of the noise even though these signals correspond to actinic flux densities of 1.5×10^{12} cm⁻² s⁻¹ nm⁻¹ around 300 nm. It can be concluded that the interpolated stray light signals below the cutoff wavelength are well within 1% of the true values. If a corresponding additional uncertainty is assumed for all wavelengths, total F_{λ} uncertainties range between 0.06 × F_{λ} + F_{λ}^{NE} + 1.5×10¹⁰ cm⁻² s⁻¹ nm⁻¹ around noon and F_{λ}^{NE} after sunset when stray light and atmospheric signals vanish (TabD1.1). The maximum stray light contribution to total uncertainties is therefore comparable to that of the CCD dark noise which only plays a role for very low values of F_{λ} below $\approx 10^{12}$ cm⁻² s⁻¹ nm⁻¹. The 1% assumption obviously is a rough estimate which may have to be adjusted for other instruments. In any case, the stray light signals approximately follow a cosine dependence on SZA and their relative importance increases with increasing SZA, at least for short wavelengths around 300 nm. Moreover, stray light signals are significantly greater in the presence of direct sunlight, i.e. the uncertainty would be lower for example for the upward F_{λ} shown in FigD2.2 or in the presence of clouds. Generally, the stray light influence on F_{λ} uncertainties is difficult to assess because it depends on instrument properties, measurement conditions and the procedure how stray light signals are determined."



FigD2.3: Comparison of actinic flux density spectra obtained on the ground with a double-monochromator based reference instrument (DM-SR) (red) and instrument 62001 (blue). Measurements were made on 01 Aug 2013 at Jülich (Germany) under clear-sky conditions. The spectra were taken around 12:00 UTC at a solar zenith angle of 33° and an ozone column of 310 DU. Black data points show the results of radiative transfer calculations for the same conditions. The different representations emphasize the increase of actinic flux densities in the UV-B range in panel (a) and the dynamic range of data in panel (b). Green data points in the semi-logarithmic plots of panel (c) show negative values that were plotted at their absolute values to make them visible. Full lines with minimum symbol size indicate the corresponding upper or lower limits after addition or subtraction of instrument noise. The dashed vertical line shows the cutoff wavelength below which values of the CCD-SR were normally set to zero. Note the different spectral actinic flux density and wavelength ranges.

(4) The influence of stray light signals on the uncertainties of photolysis frequencies will be addressed in a new paragraph in the new section on photolysis frequencies:

"In order to assess the estimated uncertainty of the stray light signal subtraction on photolysis frequencies, $j(O^1D)$ and $j(NO_2)$ were calculated from the subtracted stray light signals on a clear-sky day assuming again a 1% uncertainty for the inter- and extrapolated values. For $j(O^1D)$ the resulting total uncertainties strongly depend on whether or not data below cutoff wavelengths are taken into account. Without the help of cutoff wavelengths, total uncertainties are $0.06 \times j(O^1D) + 7 \times 10^{-7} \text{ s}^{-1}$ around noon and $1 \times 10^{-7} \text{ s}^{-1}$ after sunset (TabD1.2). With cutoff wavelength these numbers are $0.06 \times j(O^1D) + 1.3 \times 10^{-7} \text{ s}^{-1}$ around noon and $0.3 \times 10^{-9} \text{ s}^{-1}$ after sunset. Again it should be noted that despite greater absolute values at small SZA, the relative importance of these additional uncertainties increase with SZA reaching maxima of 3% and 100% around SZA=85° with and without cutoff wavelengths. Thus, the use of cutoff wavelengths expectedly also helps to confine the stray light influence. For $j(NO_2)$ the corresponding values are negligible under all conditions (<0.2%)."

(5) With regard to the methods of stray light determination the following paragraph was included following page 25, line 33:

"However, for instruments without UG5 filter this procedure is no option because the spectral shape of the stray light is strongly influenced by radiation blocked out by a 700 nm filter. Moreover, the slope of the stray light signals during lamp calibrations was usually slightly negative while in the atmosphere they were typically slightly positive. For that reason laboratory measurements were not consulted to estimate the shape of atmospheric stray light signals also because the color temperature of the calibration lamps is much lower than that of the sun. This may explain why the stray light contributions in FigD2.2 diminish much faster than those in FigD2.1 and corresponding figures in the Supplement. Fitting the spectral dependence of measured stray light signals in a condition dependent range defined by the atmospheric cutoff wavelengths was therefore a manifest approach. Because the results were satisfactory no alternative procedures were systematically tested. The practice is believed to be widely transferable to spectral irradiance measurements."

P22, L17-18: I recognize the complexity of the optical uncertainties (or biases), but I do think a greater summary of the Lohse and Bohn, 2017 results would be appropriate here. They are critical to evaluation of the data and understanding the total measurement uncertainties. This would not hinder the more detailed and quantitative explanations I expect are in the separate publication.

Reply: In the revised version of the paper we will bring out more clearly that we are dealing with two separate aspects of the measurements. We'll rephrase the sentence in the abstract on page 1, line 18 to clarify this: "Because optical receiver aspects are not specific for the CCD spectroradiometers they were widely excluded in this work and will be treated in a separate paper in particular with regard to airborne applications."

And we'll add the following sentence on page 3, line 6 to explain this: "Since these corrections are complex and independent of the type of spectroradiometer, we attend to this difficulty...."

We agree that for an assessment of total uncertainties more information on receiver specific correction factors is important. We will therefore show corrected and uncorrected spectra in

the revised version of Fig. 11 (aircraft data, see FigD2.4 below) and more explicitly state the (low) extent of the corrections for the presented ground based measurements. Because the discussion on uncertainties is already quite elaborate, more details on receiver related corrections under various conditions would be confusing.



FigD2.4: Evaluated upward (left) and downward (right) spectral actinic flux densities of the data shown in FigD1.5 in linear and semilogarithmic representations. Arrows point to the respective axes. In panels (a) and (b) spectra obtained with different integration times are plotted upon each other. Because already for 30 ms (a) and 10 ms (b) no saturation occurred, the data shown for the shortest integration time in each panel were not used for the final optimization of spectra. In panels (c) and (d) the spectra denoted as uncorrected (red) represent the optimized spectra of panels (a) and (b). Optical receiver specific corrections led to the slightly modified, corrected spectra (blue). Results of radiative transfer calculations for clear-sky conditions are denoted as modeled (green).

Technical comments:

P1 L13-14: Stray light is not strictly noise but rather a bias that varies with solar intensity. Reword.

Reply: We'll rephrase the sentence to "The spectra expectedly revealed increased daytime levels of stray light induced signals and noise below atmospheric cutoff wavelengths."

P1 L7: "1×10¹⁰ cm-2s-1nm-1", Units should be photons cm-2 s-1 nm

Answer: According to SI conventions qualifying terms like "photons" or "molecules" should not be part of a unit and in this case there is no risk it could be confused with any other unit. Referee #1 even asked us to remove "photon" from the name of the F-variable which we sometimes use to clarify the nature of the flux densities we are talking about. But we agree that this is not essential. To make sure there is no confusion we inserted a clarification following page 2, line 17 where the quantity is introduced: " F_{λ} is inserted in corresponding molecular units (cm⁻²s⁻¹nm⁻¹)".

P10, L5-6: I believe this should read "the SNR increases with the square root of the integration time and also improves at the shorter lamp distance"

Answer: We agree that singular sounds better. We'll also change it in the lines above.

The following four points will be changed as recommended:

P10, L9: Expand PTB to Physikalisch-Technische Bundesanstalt

P11, Fig 5 caption: Change to ". . .stray light signals were also subtracted"

P12, L29: Remove "also"

P13, L11: Remove "also"

P22, L2: Remove "Evidently, also"

Calibration and evaluation of CCD spectroradiometers for ground-based and airborne measurements of spectral actinic flux densities

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Abstract. The properties and performance of CCD array spectroradiometers for the measurement of atmospheric spectral actinic flux densities (280–650 nm) and photolysis frequencies were investigated. These instruments are widely used in atmospheric research and are suitable for aircraft applications because of high time resolutions and high sensitivities in the UV range. The laboratory characterization included instrument-specific properties like wavelength accuracy, dark signals, dark

- 5 noise and signal-to-noise ratios. Spectral sensitivities were derived from measurements with spectral irradiance standards. The calibration procedure is described in detail and a straightforward method to minimize the influence of stray light on spectral sensitivities is introduced. From instrument dark noise, minimum detection limits $\approx 1 \times 10^{10} \text{ cm}^{-2} \text{s}^{-1} \text{ nm}^{-1}$ were derived for spectral actinic flux densities at wavelengths around 300 nm (1 s integration time). As a prerequisite for the determination of stray light under field conditions, atmospheric cutoff wavelengths were defined using radiative transfer calculations as a
- 10 function of solar zenith angles and ozone columns. The recommended analysis of field data relies on these cutoff wavelengths and is also described in detail taking data from a research flight on HALO as an example. An evaluation of field data was performed by ground-based comparisons with a double-monochromator based, highly sensitive reference spectroradiometer. Spectral actinic flux densities were compared as well as photolysis frequencies $j(NO_2)$ and $j(O^1D)$, representing UV-A and UV-B ranges, respectively. The spectra expectedly revealed increased daytime levels of stray light induced signals and noise
- 15 below atmospheric cutoff wavelengths. The influence of instrument noise and stray light induced noise was found to be insignificant for $j(NO_2)$ and rather limited for $j(O^1D)$, resulting in estimated detection limits of 5×10^{-7} and 1×10^{-7} s⁻¹, respectively, derived from nighttime measurements on the ground (0.3 s integration time, 10 s averages). For $j(O^1D)$ the detection limit could be further reduced by setting spectral actinic flux densities to zero below atmospheric cutoff wavelengths. The accuracies of photolysis frequencies were determined from linear regressions with data from the double-monochromator
- 20 reference instrument. The agreement was typically within $\pm 5\%$. Because optical receiver aspects are not specific for the CCD spectroradiometers they were widely excluded in this work and will be treated in a separate paper in particular with regard to airborne applications.

1 Introduction

Solar actinic radiation is the driving force of atmospheric photochemistry because it produces short-lived reactive radicals in photolysis processes. Photolysis frequencies are first-order rate constants that quantify the corresponding loss rate of photolizable compounds in the gas phase. Likewise they determine the primary production rate of the often highly reactive photolysis products like $O(^{1}D)$, $O(^{3}P)$ or OH radicals. Photolysis frequencies are therefore essential parameters for a quantitative under-

5

standing of atmospheric photochemistry.

Taking formation of $O(^{1}D)$ in the photolysis of ozone as an example,

$$O_3 + h\nu(\lambda \le 340 \text{ nm}) \longrightarrow O_2 + O(^1\text{D}) \tag{R1}$$

the corresponding photolysis frequency $j(O^{1}D)$ is defined by:

10
$$\frac{d[O(^{1}D)]}{dt} = j(O^{1}D)[O_{3}]$$
 (1)

Species in square brackets in Eq. 1 denote gas-phase number concentrations. The photolysis frequency $i(O^{1}D)$ is of particular importance because in the presence of water vapour the electronically excited $O(^{1}D)$ can form OH radicals, the primary atmospheric oxidant:

$$O(^{1}D) + H_{2}O \longrightarrow 2 OH$$
 (R2)

The connection between the chemical rate constant $j(O^{1}D)$ and the local solar radiation field is given via the spectral actinic 15 flux density F_{λ} :

$$j(O^{1}D) = \int F_{\lambda} \times \sigma_{O_{3}} \times \phi_{O(^{1}D)} d\lambda$$
⁽²⁾

In this equation σ_{O_3} and $\phi_{O(^1D)}$ are molecular parameters of O_3 , namely the absorption cross sections of the precursor molecule and the quantum yields of the photo-product $O(^{1}D)$, respectively, which confine the process mainly in the UV-B range. F_{λ} is inserted in corresponding molecular units $(cm^{-2}s^{-1}nm^{-1})$.

20

Other photolysis frequencies can be determined similarly by inserting the respective molecular parameters. For example, in the case of the mainly UV-A driven NO₂ photolysis:

$$NO_2 + h\nu(\lambda \le 420 \text{ nm}) \longrightarrow NO + O(^3P)$$
 (R3)

the photolysis frequency $j(NO_2)$ is calculated by:

25
$$j(NO_2) = \int F_{\lambda} \times \sigma_{NO_2} \times \phi_{O(^3P)} d\lambda$$
 (3)

Accordingly, the most versatile method to determine photolysis frequencies is spectroradiometry: the spectral actinic flux density F_{λ} is measured in the relevant UV/VIS spectral range and any photolysis frequency can be calculated, provided the corresponding molecular parameters σ and ϕ are known (Hofzumahaus et al., 1999). Other methods like chemical actinometry

and filter radiometry have the disadvantage that they are process specific, but they can be advantageous for other reasons, e.g. for their absolute accuracy and easiness of maintenance for long-term operation, respectively (Kraus et al., 2000; Shetter et al., 2003; Hofzumahaus et al., 2004; Hofzumahaus, 2006). A further advantage of spectroradiometry is that the temperature and pressure dependencies of photolysis frequencies are obtained directly by taking into account the respective dependencies of the

molecular parameters. This is particularly important for aircraft measurements where ambient conditions are most variable. 5

The major technical difficulties related with the radiometric determination of F_{λ} in the atmosphere are (i) the quality of optical receivers for actinic radiation (with an ideally 4π and angle-independent reception characteristics) and (ii) the accuracy of measurements in the UV-B range that can be affected by low detector sensitivities and non-regularly reflected radiation within monochromators (stray light). Both aspects are particularly challenging for aircraft measurements:

- 10 (i) In contrast to ground-based operations where measurements of upward radiation in the UV range may be dispensable under conditions of low ground albedos, aircraft deployments require separate measurements in the upper and the lower hemisphere. Because the 2π optical receivers for actinic radiation have a vertical extension and limited horizontal shielding, crosstalks to the opposite hemispheres are unavoidable. These cross-talks and imperfections of the receivers in general require specific corrections. Since these corrections are complex and independent of the type of spectroradiometer, we attend to this
- difficulty in an accompanying paper where wavelength dependent correction factors are derived as a function of time, altitude 15 and atmospheric conditions (Lohse and Bohn, 2017).

(ii) UV-B radiation in the troposphere and the lower stratosphere is strongly diminished by stratospheric ozone. Nevertheless, the remainder is extremely important for atmospheric chemistry because it can photolyze tropospheric ozone and form $O(^{1}D)$ (R1). To quantify the corresponding photolysis frequency $i(O^{1}D)$, accurate measurements of spectral actinic flux densities in

- the UV-B range are required (Eq. 2). Double-monochromator based spectroradiometers have excellent stray light suppression 20 and high sensitivity for measurements of $j(O^1D)$ (Hofzumahaus et al., 1999; Shetter and Müller, 1999). However, the instruments have low time resolutions on the order of 0.5-2 min because the two monochromators have to be scanned synchronously to obtain a spectrum. This is a major drawback for high speed aircraft measurements where conditions can change rapidly through the influence of clouds, changing ground albedo or flight maneuvers. A time resolution on the order of a second is
- 25 therefore desired. Such a time resolution is achieved by single-monochromator based CCD detector array spectroradiometers (CCD-SR) (Eckstein et al., 2003; Jäkel et al., 2007; Petropavlovskikh et al., 2007; Stark et al., 2007). These instruments have the further advantage of a small size and weight, and of higher mechanical stability because they usually contain no motorized parts. On the other hand, measurements in the UV-B range suffer from an increased level of stray light that is typical for single-monochromator applications (Hofzumahaus, 2006). Therefore, a thorough treatment of stray light induced effects is a prerequisite for high quality measurements in the UV-B range with high time resolution. 30

In this work we describe the properties, the calibration and the data analysis of CCD-SR based instruments for airborne measurements of spectral actinic flux densities and photolysis frequencies. The equipment was already employed on the research aircraft HALO (High Altitude and Long Range Research Aircraft) and on the Zeppelin NT during several missions. The applied type of CCD-SR is widely used for the determination of atmospheric photolysis frequencies. The instruments can

be purchased ready for use and can directly provide photolysis frequencies. However, applications like airborne measurements 35

require a more complicated post-flight data analysis. Moreover, quality assurance in any case requires regular re-calibrations based on as possible simple guidelines. We will therefore explain in detail how our instruments were calibrated and introduce a straightforward, reliable method how to deal with stray light influence in both laboratory calibrations and in the analysis of field data. In particular, for laboratory calibrations we adopt a new correction factor for subtracted stray light signals ob-

- tained with cutoff filters and an optimization of spectral sensitivities using extended integration times. For the evaluation of field data we introduce atmospheric cutoff wavelengths from radiative transfer calculations to define safe, condition dependent wavelength ranges for stray light determination. In addition the precision of $j(O^1D)$ measurements was improved by excluding spectral actinic flux densities below the cutoff wavelengths. These procedures are thought as recommendations for other users of similar instruments in order to raise the awareness for important instrument properties and characterizations, to
- illustrate essential evaluation steps and to clarify current limitations. Careful attention is thought to improve data quality and 10 reproducibility. To evaluate the approach, example data from a flight on HALO, as well as ground based comparisons with a double-monochromator reference instrument will be shown.

2 **Instrument properties**

20

2.1 Spectroradiometers and data acquisition

- 15 The CCD spectroradiometers and optical receivers used in this work were developed by Meteorologie Consult GmbH (Metcon) specifically for measurements of spectral actinic flux densities and photolysis frequencies in the atmosphere. Five different instruments that are identified by five-digit serial numbers were characterized and calibrated in the laboratory and pairwise used during several deployments on a Zeppelin-NT and on HALO between 2007 and 2015, as well as separately for occasional ground-based measurements. All instruments are similar in construction but exhibit somewhat variable individual characteris-
- tics. A slightly modified spectroradiometer of the same type was described previously by Jäkel et al. (2007). The spectroradiometers are composed of combinations of a monochromator (Zeiss, MCS) and a back-thinned CCD detector array (Hamamatsu, S7031-0906S, windowless), as well as operating electronics (tec5 AG) that are built into air-tight aluminium housings (approx. 30 cm \times 15 cm \times 10 cm). These housings are connected with separate CCD Peltier cooling electronics and power supplies. Radiation is passed into the monochromators by 600 μ m optical fiber feed-throughs terminated with SMA
- 25 adapters. An actinic 2π receiver optic can be attached tightly to the instrument housings or more flexibly via optical fibers with user-defined length. The latter option was chosen in this work because it is more convenient for aircraft installations. Optical fiber lengths ranged between 2 m and 12 m. Dependent on the application, one or two spectroradiometers including electronics and a compact computer were built into 19 inch rack-mounts for operation in instrument flight racks. The basic components of a single instrument are shown in Fig. 1. More information on the optical receiver properties and related aircraft specific aspects
- 30 will be described in a separate paper (Lohse and Bohn, 2017).

Computer communication is established via USB or ethernet interfaces (tec5 AG). CCD data acquisition is controllable by purpose-built software provided by Metcon. The recorded signals (S) are dimensionless 16-bit signal counts or so-called analog-to-digital units (ADU) ranging between 0 and 65535. One of the features implemented in the software is the option to



Figure 1. Basic components of a CCD array spectroradiometer system for airborne measurements. 2π receiver optics with optical fiber (black, front), spectrometer including monochromator and CCD array detector (middle), and cooling electronics (right).

measure with different integration times quasi-simultaneously. Typically 4–5 integration times between 3 ms and 300 ms were used in field measurements and up to 1000 ms during laboratory calibrations. Longer integration times are advantageous at low radiation levels to increase the signal-to-noise ratios (Sec. 2.2.2). On the other hand, shorter integration times may be necessary in parts of the spectra to avoid saturation of the CCD detectors. The idea is to combine spectra with different integration times

- 5 to an optimized spectrum with as long as possible integration times in different wavelength ranges. This optimization is useful as long as integration times are short compared to the time scale of changes of measured flux densities. Moreover, the linearity of the CCD detector is a further requirement that can be tested in the laboratory (Sect. 2.2.3). The spectrum optimization as well as the calculation of actinic flux density spectra and photolysis frequencies is operable already during the measurements by the Metcon software. However, although this prompt analysis is useful for some applications, a post-flight data analysis
- 10 is required for airborne measurements by taking into account aircraft locations, rotation angles of the aircraft, and ambient conditions as explained in more detail in Sect. 3.1.

2.2 Laboratory characterization and instrument calibration

2.2.1 Spectral range, resolution and wavelength accuracy

The employed monochromator type has a ceramic housing with a very low temperature drift (<0.01 nm/K). The thermostated
15 CCD detector is directly fixed to this housing making the setup mechanically insensitive to external temperature drifts. The holographic grating is blazed for 250 nm for optimum UV detection. Radiation enters the monochromator through a cross section converter and is dispersed onto the 532 × 64 pixel CCD array. By binning-operation the two-dimensional array is effectively used as a linear sensor array. The wavelength range covered by the spectroradiometers is roughly 260–660 nm with



Figure 2: perfected sections of background corrected, normalized low-pressure Hg-lamp spectra (a)–(e) and of a HeNe laser spectrum (f), obtained with instrument 62001 and corresponding emission line fits of Eq. 4 (full lines). Wavelengths correspond to manufacturer based third order polynomials of pixel numbers. Indicated wavelength offsets and FWHMs of emission lines were obtained from parameters a_1 to a_3 of Eq. 4. Emission lines in figures (a)–(f) correspond to in-air wavelengths listed in the first column of Tab. 1.

Table 1. Wavelength offsets and slit function half-widths (FWHM) of spectroradiometers obtained by fitting Eq. 4 to Hg emission lines of a low pressure mercury lamp. Dependent on instrument, uncertainties correspond to standard deviations from 3–13 measurements during 3–9 year periods. Data at 632.8 nm were obtained with a HeNe laser instead of a mercury lamp (2–3 measurements during a three year period). Examples of emission line fits are shown in Fig. 2.

emission-			instrument			
line / nm	45853	62000	62001	62008	85235	
			offset / nm			
289.360	$-0.241{\pm}0.041$	$0.154{\pm}0.019$	$0.009 {\pm} 0.028$	$0.032{\pm}0.016$	$0.008 {\pm} 0.025$	
296.728	$-0.172{\pm}0.010$	$0.170 {\pm} 0.009$	$0.018 {\pm} 0.021$	$0.051 {\pm} 0.016$	$0.014{\pm}0.024$	
334.148	$-0.042{\pm}0.026$	$0.258 {\pm} 0.010$	$0.021{\pm}0.023$	$0.096 {\pm} 0.022$	$0.027 {\pm} 0.023$	
435.834	$0.051 {\pm} 0.006$	$0.475 {\pm} 0.007$	$0.004 {\pm} 0.024$	$0.042{\pm}0.024$	$0.053 {\pm} 0.022$	
546.075	$0.071 {\pm} 0.005$	$0.361 {\pm} 0.010$	$0.105 {\pm} 0.021$	$0.086 {\pm} 0.025$	$0.168 {\pm} 0.022$	
<mark>632.816^a</mark>	0.050±0.018	-0.393±0.014	0.162 ± 0.010	0.228±0.054	0.297±0.075	
			FWHM / nm			
289.360	$2.03 {\pm} 0.23$	$1.82 {\pm} 0.10$	$1.68{\pm}0.05$	$1.57 {\pm} 0.06$	$1.64 {\pm} 0.03$	
296.728	$1.86{\pm}0.04$	$1.93 {\pm} 0.06$	$1.62{\pm}0.02$	$1.58{\pm}0.01$	$1.59{\pm}0.01$	
334.148	$1.54{\pm}0.03$	$2.31 {\pm} 0.08$	$1.65{\pm}0.02$	$1.68{\pm}0.02$	$1.62{\pm}0.02$	
435.834	$1.68 {\pm} 0.04$	$2.84{\pm}0.10$	$1.86{\pm}0.03$	$1.98{\pm}0.03$	$1.67{\pm}0.01$	
546.075	$1.71 {\pm} 0.04$	$2.41 {\pm} 0.08$	$1.80{\pm}0.01$	$1.76{\pm}0.01$	$1.82{\pm}0.01$	
632.816 ^a	1.57 ± 0.01	1.74±0.01	2.14±0.07	2.02±0.10	2.95±0.02	

^aHeNe laser measurements

a mean spectral pixel distance of 0.8 nm. The relationship between the CCD pixel number (0–531) and the wavelength is determined by manufacturer-based, instrument-specific third-order polynomial functions.

In order to verify the wavelength positions, spectra of a low pressure mercury pencil-lamp (Oriel) were recorded. After averaging over 50 single measurements, subtracting separately measured dark spectra obtained upon covering optical receivers (Sec. 2.2.2), and application of spectral sensitivities (Sec. 2.2.3), selected Hg emission lines in a range 290–550 nm were fitted with an empirical function $A(\lambda)$ to obtain instrument response functions and wavelength offsets:

$$A(\lambda) = a_0 \exp(-a_2(\lambda - a_1)^{a_3}) + B(\lambda)$$
(4)

Examples of emission line fits are shown in Fig. 2. The linear function $B(\lambda)$ allows to adjust for a tilted lamp background (lamp-specific continuous emission) and the parameter a_0 defines the fitted maximum of the line. The parameters a_2 and a_3

10

5

can be adjusted to match variable line shapes while the parameter a_1 denotes the central wavelength of a line with regard to the manufacturer-based wavelengths. The differences between a_1 and in-air line positions from the literature (Sansonetti et al., 1996) are defined as wavelength offsets. Moreover, from the parameters a_2 and a_3 the full width at half maximum (FWHM) can be determined. Table 1 shows a summary of wavelength offsets and FWHM obtained with the five instruments, that typically do not exceed 0.2 nm and 2 nm, respectively. In addition to the mercury lamp, a HeNe laser (Spectra Physics) was occasionally used as reference line emitter at 632.8 nm.

The quality of the results in Tab. 1 is limited by the relatively small number of data points that represent an emission

5 line. Moreover, the assumption of symmetrical response functions and a linearly changing background may not strictly apply. Nevertheless, the reproducibility of the results is high, indicating that both parameters can be determined within ±0.05 nm. Wavelength shifts induced by reduced cabin pressures (≥750 mbar) during airborne measurements are considered insignificant (≤0.02 nm at 300 nm).

2.2.2 Dark signals, noise and signal-to-noise ratios

- 10 When optical receivers are covered and no radiation enters the spectroradiometers, dark signals S_{dark} can corded. As was already described by Jäkel et al. (2007), the dark signal for each pixel is composed of an electronic offset $S_{dark,0}$ and a thermally induced dark current signal that increases with temperature and integration time. While the electronic offsets are fairly constant, dark currents are slightly different for each pixel but, except for noise, reproducible under temperature-controlled conditions. By averaging over, e.g. 100 single measurements, noise can be reduced and mean dark spectra for each integration time are
- 15 obtained. Subtracting these dark spectra effectively removes the dark current-induced spectral structure that is underlying all measured spectra. This is particularly important under low-signal conditions. Examples of dark spectra for different integration times are shown in Fig. 3.

Because a slow, permanent change of dark signals with time cannot be excluded, it is useful to update dark spectra regularly. In addition dark signals may be subject to fluctuations that can be caused by external temperature changes or instabilities of

- 20 the temperature control. The difference between a single measurement of the dark signal and the averaged dark signal may therefore deviate from zero more strongly than expected from the noise of the measurements. For atmospheric measurements this poses no problem because the remaining positive or negative background is determined together with a stray light induced background for each spectrum separately. This will be explained in more detail in Sect. 3.1. The main purpose to subtract mean dark spectra therefore is to obtain an approximate dark correction and to widely remove the dark current induced spectral
- 25 structures visible in Fig. 3. This feature is also implemented in the Metcon software.

The noise of the dark signals N_{dark} was determined for each pixel by deriving standard deviations of repeated dark measurements. Table 2 lists the mean dark noise as a function of integration time for the employed instruments. The given ranges correspond to the variations of the noise in the wavelength range 280–650 nm. These ranges are small which means that all CCD pixels exhibit similar noise levels. The increase of noise with dark current signals or integration times on average follows

a square root dependence as expected for thermally induced shot noise N_{d} . This is shown in panel (a) of Fig. 4 for instrument 62001 as an example. The remaining noise towards zero integration time N_{r} is considered as a combination of instrument


Figure 3. Mean dark signal spectra S_{dark} of instrument 62001 at different integration times. Dark signals are composed of an electronic background ($S_{\text{dark},0} \approx 900$ for this instrument) and a dark current signal that increases linearly with integration time. Pixel-to-pixel variations represent reproducible structures. The corresponding mean noise of the dark signals is comparatively small and listed in Tab. 2

Table 2. Mean noise N_{dark} of spectroradiometer dark signals as a function of integration time and corresponding standard deviations of N_{dark} in a wavelength range 280–650 nm. Examples of dark spectra are shown in Fig. 3.

	integration time / ms								
instrument	10	100	1000						
45853	7.2±0.9	7.3±1.0	8.3±1.1						
62000	$6.0{\pm}0.6$	$6.5{\pm}0.7$	$10.4{\pm}1.1$						
62001	7.1±0.7	$7.2{\pm}0.7$	$11.4{\pm}1.3$						
62008	$3.5{\pm}0.4$	4.3±0.5	8.7±2.6						
85235	$2.0{\pm}0.2$	$2.3{\pm}0.3$	4.4±1.6						

specific read-out noise and other off-chip noise, i.e. for the dark noise the following relations apply:



(5)



Figure 4. Increase of noise (N) with the square root of CCD signals from dark measurements (S_{dark}) and 1000 W irradiance standard measurements (S_{lamp}) of instrument 62001: (a) dark, (b) lamp distance 700 mm (far), and (c) lamp distance 350 mm (close). Noise was corrected for residual noise $(N_r \approx 7)$ at zero integration time and signals were corrected for constant electronic offsets $(S_{\text{dark},0} \approx 900)$. For the dark measurements at integration times ≤ 30 ms the noise increase is too small to be determined correctly. The dashed lines indicate an approximate linear dependence.

The increase of instrument noise upon exposure to radiation was investigated by measurements with a 1000 W halogen lamp, operated with a highly stabilized power supply in the laboratory. The lamp was providing constant irradiation conditions that also served for spectral calibrations as described in the next section. The lamp was located at two distances from the optical receivers: at the certified distance for absolute calibrations of 700 mm and a smaller distance of about 350 mm. The smaller distance was mainly used to increase signals in the UV-B range. Total noise N_{lamp} was derived from standard deviations of repeated measurements under the various signal levels S_{lamp} oduced by the lamp. Noise levels were found to increase with the square root of signals and integration times, respectively, consistent with additional photo-electron induced shot noise (N_s) as shown in panels (b) and (c) of Fig. 4. This indicates that the noise of the lamp output is insignificant which is in line with the certified ± 10 ppm current stability of the power supply. Thus, for the total noise the following equations apply:

10
$$N_{\text{lamp}}^2 \approx N_{\text{s}}^2 + N_{\text{d}}^2 + N_{\text{r}}^2$$

 $\sqrt{(N_{\text{s}}^2 + N_{\text{d}}^2)} \propto \sqrt{(S_{\text{lamp}} - S_{\text{dark},0})}$

5

The proportionality factor $\sqrt{(1/G)} \approx 0.25$ indicated in Fig. 4 is consistent with an inverse gain $G \approx 15$ (e⁻ADU⁻¹) derived from CCD manufacturer information. Equation 6 is also valid for atmospheric measurements and will be used to calculate the noise of simulated and measured atmospheric signals in Sects. 2.2.7 and 3.3.

(6)

15 Signal-to-noise ratios (SNR) of instrument 62001 as a function of wavelength and integration time are shown in Fig. 5 for the two selected lamp distances. Because only signals induced by desired radiation are usable, mean dark signals and contributions from stray light (S_{stray}) (Sect. 2.2.3) were subtracted in the SNR calculations:

For a given integration time, the SNR drops strongly towards short wavelengths because of decreasing lamp output, but also because of a decreasing spectral sensitivity (Sect. 2.2.3). On the other hand, for a given wavelength, the SNR increases with integration time and also improves at the shorter lamp distance unless saturation is reached. All other instruments showed a comparable behaviour with the SNR reaching a maximum of around 1000 close to saturation levels. In the following section the advantages of long integration times and short lamp distances are utilized to optimize the calibration procedure.

2.2.3 Radiometric laboratory calibration

- 25 To calibrate the spectroradiometers, a PTB (Physikalisch-Technische Bundesanstalt) traceable spectral irradiance standard (Gigahertz-Optik, BN-9101) and a suitable power supply (Opteema, OL83A) were used, utilizing the fact that irradiance and actinic flux density are identical upon normal incidence. However, for a point source like a lamp the certified distance between the lamp and the receiver has to be strictly adhered to, in this case 700 mm. For flat irradiance receivers this is straightforward but actinic radiation receivers are composed of quartz domes with an outer vertical extension of about 35 mm with no obvious
- 30 reference plane. We therefore adapted the concept of equivalent plane receivers (EPR) described in detail by Hofzumahaus et al. (1999). Basically each actinic receiver was characterized by distance dependent measurements of the lamp signal to evaluate



Figure 5./Signal-to-noise ratios (SNR) of 1000 W irradiance standard measurements with instrument 62001 as a function of wavelength for different integration times according to Eq. 7: (a) lamp distance 700 mm, (b) lamp distance 350 mm. The SNR is determined by the spectral lamp output and the instrument's spectral sensitivity that together produce the signal height and the corresponding noise (Fig. 4). The improvements for the close-measurements are most useful for wavelengths below 400 nm.

the position of the EPR plane that is typically located 15-25 mm below the quartz dome tip and shows little wavelength dependence (<2 mm, 300-650 nm).

For calibrations, lamp and receiver were mounted on an optical bench at the reference distance between the lamp and the quartz dome tip with the help of a 700 mm spacer. Using the scale of the optical bench the receiver was then moved towards
the lamp by the receiver specific EPR plane distance. During calibration measurements the receiver was placed into a black box where the lamp radiation entered through a blind. The blind could be blocked for dark measurements. Alternatively a filter holder with a cutoff filter could be placed in front of the blind. The applied cutoff filter was a WG320 long pass filter (Schott, White Glass) with an edge wavelength of 320 nm that safely removes all radiation below 300 nm (<1%) for separate stray light measurements in that range. Occasionally, further cutoff filters were used, in particular a WG360 with a 360 nm edge
wavelength.

A typical calibration was made by a sequence of four cycles of 50–100 single measurements each, comprising different integration times of up to 1000 ms: (1) dark, (2) lamp, (3) filter, and (4) dark. The receiver was then moved by 300-400 mm towards the lamp and the procedure was repeated. These cycles are referred to as far- and close-measurements. While for the far-measurements the correct distance to the lamp is important, the distance of the close-measurements is secondary, as long



Figure 6. Example signals obtained during laboratory calibrations of instrument 62001 with two cutoff filters at Δt =1000 ms and close lamp distance. The wavelength range relevant for the determination of stray light signals is zoomed in. Saturation occurred around 400 nm for this integration time. (a) Total signals of lamp radiation with no filter (S_{lamp}), of lamp radiation with WG320 filter ($S_{\text{filter 1}}$), of lamp radiation with WG360 filter ($S_{\text{filter 2}}$), and dark signals (S_{dark}). (b) After subtraction of dark signals, stray light signals were estimated by linear regressions in a range 270-300 nm and extrapolated over the whole spectral range (dashed lines) before final subtraction. (c) Signal contribution of stray light in the atmospherically most relevant wavelength range. Similar figures for the other instruments are shown in the Supplement.

as a substantial increase of signals by a factor 3-5 is achieved. On the other hand, it is crucial that accurate dark signals are determined and subtracted. The dark measurements before and after the lamp measurements were routinely made to allow for a check that there was no significant drift in dark signals during the calibration measurements.

The method to improve the calibration accuracy by using two lamp distances was recommended by the manufacturer and 5 was already applied for diode array based spectroradiometers (PDA-SR) (Kanaya et al., 2003; Edwards and Monks, 2003; Jäkel et al., 2005; Bohn et al., 2008) and CCD-SR (Jäkel et al., 2007). The procedures described in the following were elaborated to improve the determination of stray light signals and to utilize several integration times to obtain optimized spectral sensitivities. Spectral sensitivities D_{λ} were calculated using the following equation:

$$D_{\lambda}(\lambda, \Delta t) = \frac{S_{\text{lamp,corr}}^{\text{close}}(\lambda, \Delta t)}{E_{\lambda}^{\text{std}}(\lambda) \times f_{1}}$$
(8)

- 10 $S_{\text{lamp,corr}}^{\text{close}}(\lambda, \Delta t)$ are spectroradiometer signals from the close-measurements at different integration times Δt , corrected for dark and stray light signals. $E_{\lambda}^{\text{std}}(\lambda)$ are the certified spectral irradiances of the standard lamp in the required spectral flux density units (cm⁻²s⁻¹nm⁻¹) and f_1 is the mean ratio of corrected signals from close- are integration. These quantities will be explained in more detail in the following. Note that all *S* variables are averages that depend on integration time and wavelength which will not be indicated explicitly in the following equations for brevity.
- 15 Close-measurement signals (S_{lamp}^{close}) were corrected by subtraction of dark and estimated stray light signals (S_{stray}^{close}) corrected by a further scaling factor f_2^{close} :

$$S_{\text{lamp,corr}}^{\text{close}} = S_{\text{lamp}}^{\text{close}} - S_{\text{stray}} \times f_2^{\text{close}} \tag{9}$$

Figure 6 shows examples of signals from close-measurements for an integration time of 1000 ms. In panel (a), dark signals, lamp signals without filter and lamp signals with two different cutoff filters (WG320, WG360) ($S_{\text{filter}}^{\text{close}}$) are plotted. For better visibility the wavelength range was confined to 250–360 nm. In panel (b), dark signals were subtracted which also removes the dark current induced fluctuations, as intended (Sect. 2.2.2). The remaining signals come from the desired lamp radiation and underlying stray light. Obviously the $S_{\text{stray}}^{\text{close}}$ can only be determined from measurements with cutoff filter in a wavelength

20

$$S_{\text{stray}}^{\text{close}} = S_{\text{filter}}^{\text{close}} - S_{\text{dark}} \ (< 300 \text{ nm}) \tag{10}$$

range where the filter safely blocks radiation, i.e. below 300 nm in the case of a WG320 filter:

For all instruments $S_{\text{stray}}^{\text{close}}$ could be linearly fitted in good approximation in a range around 270–300 nm. The $S_{\text{stray}}^{\text{close}}$ at greater wavelengths were then approximated by linear extrapolations over the full spectral range, as indicated by the dashed line in panel (b) of Fig. 6. The validity of this extrapolated stray light signal is confirmed in this example by the additional measurements with the WG360 filter showing an almost identical stray light background compared to the extrapolation.

In panel (c) of Fig. 6 the fraction of stray light signals is plotted for the atmospherically most relevant wavelength range 280–420 nm. With increasing wavelength and lamp signals, the importance of stray light quickly diminishes to below 5% above 350 nm. Accordingly, uncertainties of the extrapolations become unimportant. Linear extrapolations of stray light signals were preferred in this work because they were most accurate in a range <350 nm where the determination of D_{λ} is strongly affected

by stray light. A modification of this procedure was only necessary for the oldest instrument 45853 where a stronger wavelength dependence and a leveling-off of the stray light induced signal around 340 nm was observed. For 45853 the stray light level was generally increased compared to the other instruments.

Plots as in Fig. 6 can be found for all instruments in the Supplement using the same typical optical receiver/fiber combination

- (5) for direct comparison. If for other instruments a linear fit or a linear extrapolation of stray light signals towards longer wavelengths turns out to be insufficient, other functions should be tested to obtain an optimum description. For example, for 45853 a second-order polynomial was used for the extrapolation of stray light signals. This polynomial had the same slope at 300 nm as the linear approximation (270-300 nm) but was allowed to smoothly level out around 340 nm. Further cutoff filters with longer edge wavelengths were used to exclude significant spectral structures in the stray light signal at longer wavelengths. However,
- 10 the spectral shape of the stray light signal without filter may differ from that observed with a filter below its cutoff wavelength because the filter can remove a substantial part of the radiation responsible for stray light. For that reason it is important to use filters with short cutoff wavelengths like a WG320 to get reliable results in the most affected wavelength range.

The further scaling factor f_2^{close} in Eq. 9 accounts for the fact that the WG320 filter slightly diminishes radiation also well above its cutoff wavelength by normal reflections at the filter surfaces. Accordingly, stray light is slightly smaller during the

15 filter measurements. Because a large fraction of stray light (\approx 50%) originates in the unmeasured VIS and NIR range of the spectrum, as was verified by cutoff filters with longer edge wavelengths, f_2^{close} was determined in a range 640±10 nm.

$$f_2^{\text{close}} = \frac{S_{\text{lamp}}^{\text{close}} - S_{\text{dark}}}{S_{\text{filter}}^{\text{close}} - S_{\text{dark}}} (640 \pm 10 \text{ nm})$$
(11)

The f₂^{close} typically ranged around 1.05 and were found to be independent of integration time, as expected. Consequently, mean values of f₂^{close} were applied using integration times not affected by saturation. For the spectral sensitivities the factor
f₂^{close} is negligible above 310 nm (<1%) but it becomes increasingly important at shorter wavelengths (≈10% at 280 nm). An exception is again instrument 45853 with a greater influence of f₂^{close} because of an increased stray light level.

Finally the factor f_1 in Eq. 8 was determined from mean ratios of corrected close- and far-signals:

$$f_1 = \frac{S_{\text{lamp,corr}}^{\text{close}}}{S_{\text{lamp,corr}}^{\text{far}}} \tag{12}$$

As long as the denominator and the numerator in Eq. 12 were below saturation and above a certain, noise insensitive threshold 25 (\approx 200), respectively, these factors were found to be independent of wavelength and integration times. The scaling factor f_1 is 25 important because it establishes the final connection between the SNR-improved close-measurements and the far-measurements 26 at the correct lamp distance.

The procedure described so far yields spectral sensitivities for each integration time that was used during the calibrations. These sensitivities are expected to scale with the integration times:

30
$$D_{\lambda}(\lambda, \Delta t_2) = D_{\lambda}(\lambda, \Delta t_1) \times \frac{\Delta t_2}{\Delta t_1}$$
 (13)

The relation in Eq. 13 was tested by comparing measured sensitivities for an integration time of 10 ms and calculated sensitivities for the same integration time from measurements at 30, 100, 300 and 1000 ms as shown in Fig. 7. Sensitivities at



Figure 7. Comparison of spectral sensitivities of instrument 62001 for an integration time of 10 ms (Δt_2) with scaled spectral sensitivities obtained at other integration times (Δt_1). Scaling factors were calculated according to Eq. 13. Data points cover all wavelengths. The dashed line indicates perfect linearity.



Figure 8. Spectral sensitivities of instrument 62001 at different integration times. Full lines show an optimized sensitivity that was scaled dependent on integration time according to Eq. 13. Data points show the separately measured sensitivities for comparison.

10 ms were chosen as an example because no saturation occurred, i.e. the comparison is covering all wavelengths (but not at all integration times). Linear regressions produced slopes deviating less than 1% from unity confirming the strict linearity of the measurements. Equation 13 was therefore used to derive consistent, optimum sensitivities for all integration times, by favoring measurements with the longest possible Δt_1 as long as no saturation was reached. Practically, a master sensitivity

- 5 file was produced for the maximum integration time of 1000 ms. The data were then scaled to obtain sensitivities for other integration times. An example of the resulting sensitivities is shown in Fig. 8 (full lines) together with the measured values. With decreasing integration time and wavelengths, measured data expectedly start to scatter around the optimized sensitivities. The common decrease of sensitivities towards shorter wavelengths is, by the way, not caused by a decrease of CCD sensitivity but mainly by the actinic radiation receiver through which only a small fraction of multiply scattered radiation is eventually
- 10 transmitted. Moreover, long optical fibers have an adverse effect on spectral sensitivities with somewhat stronger attenuations towards shorter wavelengths.

The absolute sensitivities obtained for all instruments are comparable and roughly correspond to that shown by Jäkel et al. (2007) for their original setup. However, for the majority of their measurements Jäkel et al. (2007) employed a UV transmitting filter (UG5, Schott) that strongly diminished the sensitivity above 400 nm. Through this modification they reduced stray light,

- 15 avoided saturation of the CCD in the VIS range and could therefore work with a single integration time of 200 ms during field measurements. However, the stray light reduction by a UG5 is only about 50% because this filter still transmits a substantial fraction of NIR radiation. At the same time the UG5 transmittance is only 1% around 600 nm which could introduce a stray light issue in a spectral range important for NO₃ photolysis. In contrast to the UV-B range where the atmospheric cut-off provides a means to routinely determine the variable stray light contribution during field measurements (Sec. 3.1), there is no
- such possibility in the VIS range. We therefore accept the inconvenience of multiple integration times and of increased stray light to exclude any interference outside the UV-B. Nevertheless, the approach by Jäkel et al. (2007) is generally supported for atmospheric measurements, unless small values of $j(NO_3)$ are of interest. Jäkel et al. (2007) also compared the performance of PDA-SR and CCD-SR in the UV range and clearly demonstrated the advantage of CCD-SR because of their higher sensitivity. Also Eckstein et al. (2003) described a CCD-SR with similar sensitivities and a time resolution of 3 s. A direct comparison of
- spectral sensitivities is difficult because a teflon sphere was used for 4π measurements of spectral actinic flux densities with a single receiver.

2.2.4 In-field calibrations

For technical reasons, in-field calibrations with a 1000 W standard (as described in the previous section) are difficult, especially for the final setup on an aircraft. On the other hand, calibrations are necessary to monitor any sensitivity change caused by trans-

30 portation or the installation process which usually requires that optical fibers are disconnected, rearranged and reconnected. Therefore, secondary calibrations with small 45 W lamps acting as traveling standards were made. These lamps have specially designed housings that can be fixed directly at the optical receivers without any interference to receiver mountings or optical fibers. Moreover, the ventilated lamps are shielded against ambient radiation so that calibrations are feasible during daylight. Figure 9 shows the setup during a calibration in the HALO hangar. Spectra of two 45 W lamps were routinely recorded directly



Figure 9. In-field calibration setup with traveling standard lamps attached to receiver optics on HALO. Left: bottom fuselage, right: top fuselage.



Figure 10. Ratios of dark-corrected signals of 45 W traveling standard lamps obtained with instrument 62001 after a laboratory calibration on 03.09.2013 (S_{lab}) and directly before and after a deployment on HALO (S_{field}) in panels (a, b) and (c, d), respectively. Two lamps were used each during laboratory and field measurements. Data points show individual measurements for different integration times, full lines the finally applied scaling factors for each date (polynomial fits, mean of measurements with both lamps on a specific date).

after the laboratory calibration with the irradiance standard, as well as in the aircraft hangars before and after the instrument deployments. The use of two lamps allows for consistency checks and assures that the transfer calibration is not lost in case of a lamp failure.

Figure 10 shows examples of measurements that were made with instrument 62001 before and after a deployment on HALO.

- 5 Ratios of dark signal corrected lamp signals are shown representing the relative change of spectral sensitivities compared to the laboratory calibration. As in the laboratory, 100 single dark and lamp measurements were always averaged. The large scatter of the ratios at short integration times and below 350 nm results from the low output of the 45 W lamps that is comparable to far-measurements with a 1000 W lamp. Moreover, for technical reasons the optional use of cutoff filters was not feasible for the small lamps. As a result, the change of spectral sensitivities in the UV-B cannot be determined accurately. On the other
- hand, little, if any spectral variations have been observed above 350 nm. Therefore, extrapolations of second-order polynomials 10 were used below 350 nm that were fitted in a range 350–650 nm. The full lines in Fig. 10 indicate the corresponding ratios that consider the measurements with both lamps and that were finally applied to scale the laboratory based spectral sensitivities. Typically the scaling factors ranged between 0.95 and 1.05 which means that laboratory calibrations were widely reproducible after transportation and aircraft installation. Moreover, measurements before and after deployments were typically within 2%,
- i.e. calibrations were stable as long as the setups remained unchanged. Finally, the results obtained with two different lamps 15 were usually similar within 1%, giving additional confidence in the field-calibration procedure.

2.2.5 **Spectral** calibration accuracy

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The overall accuracy of the spectral calibrations is determined by a number of factors; firstly by the certified accuracy of the irradiance standard which is 3-4%, dependent on wavelength. The accuracy of the lamp current produced by the power supply is certified with 0.01% which translates to a maximum 0.1% uncertainty of the irradiance output (manufacturer information). A further 1.5% uncertainty is calculated from an estimated 5 mm uncertainty of the position of the EPR reference plane. Because

The uncertainties related with the subtracted stray light signals are more difficult to assess. In the most sensitive range below 300 nm the applied linear approximation is leading to deviations less than 1% from the measured values. The uncertainty of

consistent results were obtained for different wavelengths and integration times, the accuracy of the factor f_1 is within 0.5%.

- the extrapolation beyond 300 nm is increasing with wavelength but the importance of stray light also strongly decreases with 25 increasing wavelength. Moreover, an additional 1% uncertainty is estimated for the scaling factor f_2 of the stray light signal. Assuming a total 3% uncertainty of the subtracted stray light signals, changes in sensitivities between 1% at 300 nm and 0.02% around 400 nm are obtained (4% and 0.1% for instrument 45853). Finally, the accuracy of in-field calibrations is estimated 2% in the UV-B and 1% for the UV-A and VIS range. Taking all these factors together results in total spectral calibration 30 uncertainties between 5-6% at 300 nm and 4% at 650 nm.

These uncertainty estimates were derived from carefully controlled laboratory measurements at normal incidence of radiation and roughly apply for any CCD spectroradiometer with similar properties. However, total atmospheric measurement uncertainties can be affected by additional factors related with receiver specific angular response imperfections, atmospheric stray light influence and instrument noise that are dependent on measurement conditions. Based on the laboratory characterizations, the influence of instrument noise on detection limits and measurement precisions is estimated in the following before atmospheric measurements are addressed in Sect. 3.

2.2.6 Detection limits and cutoff wavelengths

During laboratory calibrations measurements were repeated to reduce the noise. For example, averaging over 100 single measurements reduces the noise by a factor of $\sqrt{100}$. Instrument noise therefore plays no important role for the determination of spectral sensitivities. Also during field measurements, averaging is possible and often applied. However, averaging also leads to a reduction of time resolution which may not be useful for airborne measurements. Therefore, at maximum time resolution the noise of single measurements determines precisions and detection limits. In order to estimate these limits, a noise-equivalent spectral actinic flux density $(F_{\lambda,dark}^{NE})$ can be defined by the ratios of the dark noise obtained in Sect. 2.2.2 and spectral sensitivities:

10 spectral sensitivities:

$F^{\rm NE}_{\lambda,{\rm dark}}(\lambda,\Delta t) = N_{\rm dark}(\lambda,\Delta t)/D_{\lambda}(\lambda,\Delta t)$

The corresponding spectra for instrument 62001 are shown in Fig. 11 as an example. Expectedly, the $F_{\lambda,\text{dark}}^{\text{NE}}$ increase with decreasing wavelength and are lower for longer integration times. Absolute values are comparable with results obtained by Jäkel et al. (2007) in the UV range but smaller in the VIS range because no UG5 filter was used in this work. Detection limits are usually estimated as the three-fold of the noise equivalent values (Magnusson and Örnemark, 2014) which can be further improved by a factor \sqrt{n} upon averaging over $n \times \text{ingle}$ measurements. Without aging, detection limits $\approx 1 \times 10^{10} \text{ cm}^{-2} \text{ s}^{-1} \text{ nm}^{-1}$ are obtained for wavelengths around 300 nm at 1000 ms integration time. However, these detection limits should be considered as a theoretical minimum derived from dark noise because additional uncertainties from stray light and varying background under field conditions are not included.

(14)

- Corresponding noise estimates in terms of photolysis frequencies were obtained by multiplying the noise-equivalent spectra with random noise for each pixel followed by calculations of photolysis frequencies according to Eqs. 2 and 3 as an example. The resulting standard deviations for *j*(O¹D) and *j*(NO₂) for instrument 62001 are listed in Tab. 3 for different integration times. In these calculations the wavelength range was confined to the atmospherically relevant range above 280 nm. For the longest integration time of 1000 ms the noise limits correspond to 0.1% and 0.0001% of typical maximum values of *j*(O¹D)
 (≈ 4×10⁻⁵s⁻¹) and *j*(NO₂) (≈ 1×10⁻²s⁻¹), respectively. For the shortest integration time of 10 ms these numbers increase
- by factors of 40–50, still sufficiently low for $j(NO_2)$, but not for $j(O^1D)$. Also for the photolysis frequencies a factor of three should be applied to estimate detection limits which are again regarded as theoretical, dark noise derived minima unless averaging is permitted.

The detection limits of $j(O^1D)$ can be significantly reduced by further confining the wavelength range. This is demonstrated in Tab. 3 for a wavelength of 300 nm as an example. The reason for this reduction is that $O(^1D)$ formation mainly takes place in a range below 320 nm where the corresponding product $\sigma \times \phi$ in Eq. 2 increases strongly towards shorter wavelengths. For $j(NO_2)$ no such reduction of detection limits is obtained because the term $\sigma \times \phi$ is distributed over a wider wavelength range, covering the complete UV with a broad peak around 380 nm.



Figure 11. Dark noise-equivalent actinic flux density $F_{\lambda,\text{dark}}^{\text{NE}}$ of instrument 62001 for single measurements at different integration times according to Eq. 14.



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Figure 12. Contour plot of atmospheric cutoff wavelengths (nm) for an altitude of 15 km as a function of solar zenith angles (SZA) and total ozone columns (TOC). The data were derived from radiative transfer calculations of downward clear sky spectral actinic flux densities defining a lower limit $F_{\lambda} \leq 5 \times 10^9 \text{ cm}^{-2} \text{s}^{-1} \text{ nm}^{-1}$. A similar plot for an altitude of 0 km can be found in the Supplement.

Table 3. Standard deviations of photolysis frequencies obtained with random noise corresponding to dark noise-equivalent actinic flux densities (Eq. 14) for instrument 62001. The spectral range is 280–650 nm, for numbers in brackets 300–650 nm.

integration-	dark noise equivalent photolysis frequency / s^{-1}								
time / ms	$j(O^1D)$	$j({ m NO}_2)$							
10	$2.6 \times 10^{-6} (1.3 \times 10^{-7})$	$5.9 \times 10^{-7} (5.8 \times 10^{-7})$							
30	$8.4 \times 10^{-7} (4.6 \times 10^{-8})$	$2.0 \times 10^{-7} (1.9 \times 10^{-7})$							
100	$2.6 \times 10^{-7} (1.4 \times 10^{-8})$	$6.1 \times 10^{-8} \ (6.0 \times 10^{-8})$							
300	$9.9 \times 10^{-8} (5.4 \times 10^{-9})$	2.4×10^{-8} × 10 ⁻⁸)							
1000	$3.9 \times 10^{-8} (2.2 \times 10^{-9})$	$1.2 \times 10^{-8} (9.4 \times 10^{-9})$							

Because of the strong spectral weighting in the range 280–320 nm, improvements of the *j*(O¹D) noise or measurement precision can be achieved under all atmospheric conditions by confining the wavelength range. Of course, to what extend such a confinement is justified depends on measurement conditions because the wavelength below which atmospheric actinic flux densition and be safely set to zero because it becomes negligible in terms of *j*(O¹D) is mainly determined by total ozone columns
TOC and solar zenith angles (SZA)²/m the following we define so-called cutoff-wavelengths below which spectral actinic flux densities safely drop below values of 5×10⁹ cm⁻²s⁻¹nm⁻¹ which roughly corresponds to the *F*^{NE}_{λ,dark} around 300 nm for the longest integration times of the spectroradiometers (Fig. 11). Practically, the cutoff wavelengths were derived from model calculations of clear-sky downward spectral actinic flux densities using the libRadtran radiative transfer model (Mayer and Kylling, 2005; Emde et al., 2016). Calculations were made for altitudes of 0 km (ground-based and Zeppelin measurements) and 15 km (aircraft measurements) covering TOC=100–600 DU (Dobson units) and SZA=0–88°. For both altitudes lookup tables were produced ranging between 280 nm (15 km, SZA=0°, TOC=100 DU) and 309 nm (0 km, SZA=88°, TOC=600 DU). A contour plot of 15 km cutoff wavelengths is shown in Fig. 12 for illustration, a corresponding plot for 0 km can be found in

the Supplement. Typically the differences between 0 km and 15 km cutoff wavelengths are no more than around 2 nm and the $j(O^{1}D)$ fractions attributable to the wavelength ranges below the cutoffs are always insignificant (<0.1%).

15 The cutoff wavelengths were not only introduced here to improve the precision of $j(O^1D)$ measurements but also to determine the variable wavelength limits below which atmospheric stray light signals can be determined in a similar way as during laboratory measurements with cutoff filters. This approach will be applied and explained in more detail in Sect. 3.1.2.

2.2.7 Measurement precisions

The influence of radiation induced shot noise on the precision of F_{λ} measurements as well as the effect of cutoff wavelengths on the precision of $j(O^{1}D)$ and $j(NO_{2})$ under various atmospheric conditions were investigated based on the same simulated clear-sky downward F_{λ} spectra that were used to derive the cutoff wavelengths. Signal spectra for different integration times were calculated from the F_{λ} by multiplication with the spectral sensitivities of instrument 62001 (Fig. 8). After addition of

Table 4. Downward clear-sky spectral actinic flux densities F_{λ} from radiative transfer calculations for selected wavelengths and solar zenith angles (SZA) for an altitude of 15 km and an ozone column of 300 DU (left) and simulated noise equivalent actinic flux densities F_{λ}^{NE} of instrument 62001 for a maximum 300 ms integration time (right). The entry SZA>100° indicates dark conditions.

λ / nm	300	350	400	450	500	550	600	650	300	350	400	450	500	550	600	650
SZA / deg		F_{λ} / 10^{12} cm $^{-2}$ s $^{-1}$ nm $^{-1}$							$F_{\lambda}^{ m NE}$ / $10^{10} m cm^{-2}s^{-1} m nm^{-1}$							
0	5.8	200	360	500	490	520	530	510	2.2	29	52	53	50	54	58	61
30	4.0	200	360	500	490	510	520	510	1.9	28	52	53	50	54	57	61
50	1.5	200	360	490	480	510	520	510	1.4	28	52	52	50	53	57	60
60	0.50	190	350	490	480	500	510	500	1.1	28	52	52	50	53	57	60
70	0.06	180	340	470	470	490	490	490	1.0	27	51	51	49	52	55	59
80	0.55^{a}	140	290	430	430	440	430	460	1.0	24	47	49	47	50	52	57
84	0.32^{a}	100	240	380	380	380	360	410	1.0	11	24	46	45	46	48	55
88	0.14^{a}	26	93	200	210	190	160	250	1.0	3.2	15	19	34	19	18	25
>100	0	0	0	0	0	0	0	0	1.0	0.6	0.4	0.3	0.3	0.3	0.3	0.4

 a F_{λ} / $10^{10} {\rm cm}^{-2} {\rm s}^{-1} {\rm nm}^{-1}$

Table 5. Photolysis frequencies calculated from the actinic flux density spectra of Tab. 4 (left) and simulated noise equivalent photolysis frequencies of instrument 62001 for a maximum 300 ms integration time (right). $j(O^1D)$ precisions in brackets were obtained by applying variable cutoff wavelengths (Fig. 12). The entry SZA>100° indicates dark conditions with zero spectral actinic flux densities.

SZA /	photolysis	frequency	noise equivalent photolysis freque					
deg	$j(\mathrm{O^1D})/\mathrm{s^{-1}}$	$j(\mathrm{NO}_2)$ / s ⁻¹	$j(\mathrm{O^1D})$ / s ⁻¹	$j(\mathrm{NO}_2)$ / s ⁻¹				
0	6.09×10^{-5}	9.56×10^{-3}	$1.1 \times 10^{-7} (3.6 \times 10^{-8})$	1.2×10^{-6}				
30	4.99×10^{-5}	9.50×10^{-3}	$1.0 \times 10^{-7} (3.0 \times 10^{-8})$	1.2×10^{-6}				
50	3.20×10^{-5}	9.27×10^{-3}	$1.0 \times 10^{-7} (2.1 \times 10^{-8})$	1.2×10^{-6}				
60	2.13×10^{-5}	8.98×10^{-3}	$1.0 \times 10^{-7} (1.5 \times 10^{-8})$	1.2×10^{-6}				
70	1.09×10^{-5}	8.38×10^{-3}	$1.1 \times 10^{-7} (9.7 \times 10^{-9})$	1.2×10^{-6}				
80	3.01×10^{-6}	6.81×10^{-3}	$1.1 \times 10^{-7} (5.7 \times 10^{-9})$	9.7×10^{-7}				
84	1.14×10^{-6}	5.25×10^{-3}	$1.1 \times 10^{-7} (4.7 \times 10^{-9})$	7.2×10^{-7}				
88	1.42×10^{-7}	1.68×10^{-3}	$1.0 \times 10^{-7} (3.7 \times 10^{-9})$	2.7×10^{-7}				
>100	0.0	0.0	$1.0 \times 10^{-7} (3.6 \times 10^{-9})$	2.4×10^{-8}				

mean dark signals the corresponding noise was obtained according to Eq. 6 and optimized noise spectra were combined by preferring long integration times unless saturation levels were reached. A maximum 300 ms integration time was assumed as during atmospheric measurements (Sect. 3.1.2) and the respective noise equivalent F_{λ}^{NE} were derived. The results are listed

in Tab. 4 together with the F_{λ} from the model for a number of solar zenith angles and wavelengths at an altitude of 15 km. With increasing signals, shot noise increases and shorter integration times become necessary. Accordingly, the F_{λ}^{NE} increase with wavelength and solar elevation. A comparison of F_{λ} and F_{λ}^{NE} shows that except for the shortest wavelength, high signal-to-noise ratios (≥ 600) can be expected under all conditions. For a zero-spectrum the results obtained with the measured dark

(5) spectra in Fig. 11 for an integration time of 300 ms were reproduced. The corresponding data for an altitude of 0 km can be found in the Supplement. The potential influence of stray light signals is not considered in this analysis. However, as will be shown in Sect. 3, stray light induced shot noise is very limited.

By repeatedly applying random F_{λ}^{NE} noise for each pixel, simulated precisions of photolysis frequencies for instrument 62001 were obtained. These data are listed in Tab. 5 for the same conditions as in Tab. 4. For $j(O^{T}D)$ the precision is al-

- 10 most constant and independent of the photolysis frequency because radiation induced shot noise is apparently secondary. The application of cutoff wavelengths from the lookup tables led to significant improvements in particular towards large SZA because of increasing cutoff wavelengths. In contrast, for $j(NO_2)$, photon induced shot noise plays an important role and several shorter integration times were involved in the simulated measurements. Accordingly, the absolute noise increases with increasing $j(NO_2)$. The application of cutoff wavelengths led to no changes for $j(NO_2)$, the results were therefore not included in
- 15 Tab. 5. For a zero-spectrum the results obtained with the measured dark spectra for an integration time of 300 ms were again reproduced (Tab. 3). Corresponding data for an altitude of 0 km can be found in the Supplement.

3 Field measurements and data analysis

3.1 Field data evaluation

3.1.1 Auxiliary data

- In order to simplify the data analysis of aircraft measurements, sets of all required additional parameters were collected in separate files after synchronisation with the spectroradiometer data. These parameters are static temperature and pressure; longitude, latitude and altitude; pitch, roll and yaw angles; solar zenith and azimuth angles; total ozone columns, and cutoff wavelengths. Except for the last four, these parameters were routinely provided by the aircraft operators. Solar zenith and azimuth angles were calculated based on date, time and aircraft locations. Ozone columns were interpolated temporally and
- 25 spatially along the flight tracks from assimilated daily global fields of satellite-derived ozone columns (www.temis.nl, Eskes et al. (2003)). Finally, cutoff wavelengths were extracted from the respective lookup-tables (Sect. 2.2.6) using solar zenith angles and total ozone columns as input. Even though the cutoff wavelengths were derived from simulated clear sky downward actinic flux densities, they will be applied in the following under all conditions as well as for upward actinic flux densities that are typically much lower. The data from the lookup tables were taken as safe lower limits for convenience. An unaccounted
- presence of clouds, for example, would shift cutoff wavelengths towards slightly greater values which is non-critical for the data analysis.

3.1.2 Spectral actinic flux densities

During atmospheric measurements several integration times between 3 ms and up to 300 ms were used and the raw data were saved with or without further averaging dependent on the desired time resolution of spectral actinic flux densities. No averaging resulted in a maximum time-resolution of 0.8–0.9 s while 10 s averages typically covered 12–13 single measurements for each

5 integration time. 1 and 3 s averages were finally used for airborne measurements on HALO and the Zeppelin, respectively and 10–60 s averages for ground-based measurements.

Figure 13 shows an example of raw data from a HALO flight at an altitude of 13 km. As was mentioned in the introduction, airborne deployments always comprised simultaneous measurements with two CCD-SR taking separate 2π measurements of downward and upward actinic flux densities in the upper and lower hemisphere, respectively. In Fig. 13 data from the lower

10 hemisphere are shown on the left hand side (instrument 62000), those from the upper hemisphere are on the right hand side (instrument 62001).

The first step in the data analysis is the subtraction of mean dark signals, usually taken from the corresponding lab calibrations, to remove the dark-signal-induced structures from the spectra (Sect. 2.2.2). For better visibility this is shown in panels (a) and (b) for the UV-B range and the longest integration times of 300 ms. The second step is to apply a linear regression in

- a wavelength range between 270 nm and the variable cutoff wavelength to determine stray light signals plus any remaining positive or negative offset in dark signals. The dashed lines show the corresponding regression lines. The cutoff wavelength in this example was 291 nm (dotted, vertical lines). The linearly approximated stray light signals were then subtracted resulting in background and stray light corrected signals (blue lines). The lower wavelength limit of 270 nm is not strictly defined and may be adjusted dependent on measurement conditions and the instrument specific shape of the stray light signals, but at least
- 20 (a wavelength range ≈ 10 nm below the cutoff wavelength should be covered in the regression.

Expectedly, downward stray light signals in panel (b) were greater than upward stray light signals in panel (a). On the other hand, as shown in panels (c) and (d) of Fig. 13 the signal fractions caused by stray light are comparable for upward and downward measurements. Moreover, they quickly diminish with increasing wavelengths ($\leq 1\%$ above 320 nm). Accordingly, the uncertainty related with the linear extrapolation of stray light signals to wavelengths of up to 650 nm is insignificant. Tests

25 with various cutoff filters confirmed that there were no significant stray light induced structures in the investigated spectral range. Of course, it cannot be excluded that for other instruments such structures exist or that stray light signals have to be approximated non-linearly. In these cases modified, instrument specific extrapolation procedures have to be developed, as already pointed out in Sect. 2.2.3.

In a third step, spectral calibrations were applied to derive spectral actinic flux densities for each integration time. The corresponding spectra of the examples in Fig. 13 are shown in Fig. 14 in linear and semi-logarithmic representations. In panels (a) and (b) spectra obtained with different integration times were plotted upon each other starting with the shortest integration time. The spectra are virtually congruent except below about 310 nm where deviations for short integration times become apparent because detection limits were approached. Optimized spectra were finally combined by selecting data from the longest available integration time. Any missing data caused by saturation were successively replaced by data obtained with



Figure 13. Examples of flight raw data and evaluations of instruments 62000 (lower hemisphere, left) and 62001 (upper hemisphere, right). Data were obtained during a HALO flight on 20 Dec 2013 17:30 UTC over the North Atlantic (15.0N, 55.9W, 13.2 km) under conditions with few scattered low-lying clouds (solar zenith angle 47° , ozone column 245 DU). In panels (a) and (b) different colors indicate the evaluation steps: raw data (red), background subtraction (green) and stray light subtraction (blue). Stray light signals (dashed black lines) were determined by linear regression of background corrected signals in a range 270 nm to 291 nm (cutoff wavelength). In panels (c) and (d) the contributions of the inter- and extrapolated stray light signals are shown for the integration times eventually used in the displayed, most effected and atmospherically relevant wavelength range >280 nm.



Figure 14. Evaluated upward (left) and downward (right) spectral actinic flux densities of the data shown in Fig. 13 in linear and semilogarithmic representations. Arrows point to the respective axes. In panels (a) and (b) spectra obtained with different integration times are plotted upon each other. Because already for 30 ms (a) and 10 ms (b) no saturation occurred, the data shown for the shortest integration time in each panel were not used for the final optimization of spectra. In panels (c) and (d) the spectra denoted as uncorrected (red) represent the optimized spectra of panels (a) and (b). Optical receiver specific corrections led to the slightly modified, corrected spectra (blue). Results of radiative transfer calculations for clear-sky conditions are denoted as modeled (green).

shorter integration times until the spectrum was complete. Moreover, data below the cutoff wavelengths were set to zero (Sect. 2.2.6). The optimum spectra were then saved for each instrument and this procedure was applied for all measurements along the flight tracks.

- In a further step, corrections were made to compensate for imperfections of the optical receivers. These corrections differ for HALO, Zeppelin and ground-based measurements and are usually ranging below 5% with respect to total actinic flux densities, except for airborne measurements close to sunrise or sunset. In addition, data were sorted out where pitch and roll angles exceeded certain limits or where shading of the receivers by aircraft structures may have influenced the measurements. More details on these optical receiver related corrections will be given elsewhere (Lohse and Bohn, 2017). To illustrate the extend of the corrections, uncorrected and corrected spectra are shown in panels (c) and (d) of Fig. 14. Although the corrections
- 10 are small, wavelength dependencies as well as differences for upward and downward flux densities can be recognized.

As a first assessment, also examples of simulated clear sky actinic flux density spectra are shown in panels (c) and (d). These data were produced with the libRadtran radiative transfer model (Mayer and Kylling, 2005; Emde et al., 2016) taking into account basic flight parameters and the local ozone column. Moreover, a low ground albedo and a low aerosol load were assumed for the measurement location over the North Atlantic. The agreement of measured and modeled downward

5 spectral actinic flux densities is within 5% while there are greater, wavelength dependent differences for the upward component, probably because conditions were not cloud-free underneath the aircraft. A further analysis of these data and comparisons is beyond the scope of the present work. The results merely show that expectedly model and measurements agree for clear-sky downward flux densities.

Total actinic flux density spectra can be produced by adding up data from the upper and the lower hemisphere. However, because the two measurements are independent of each other, this requires a thorough temporal and spectral synchronization, also considering the different instrument response functions. Generally, a separation of upward and downward actinic flux densities is desired, for example for comparison with model calculations as shown in Fig. 14. Total actinic flux density spectra were therefore not produced routinely.

3.1.3 Photolysis frequencies

- 15 Photolysis frequencies were calculated from actinic flux density spectra according to the examples given in Eqs. 2 and 3 by inserting respective molecular data of the photolysis processes under consideration. Measured spectra and molecular data from the literature were interpolated to a common wavelength grid with 0.1 nm resolution and added up after multiplication (Hofzumahaus et al., 1999; Bohn et al., 2008). The wavelength offsets were also considered in these calculations. Because wavelength offsets were corrected to within 0.05 nm of the true values, the remaining uncertainties are insignificant for the
- accuracy of photolysis frequencies (Hofzumahaus et al., 1999). The spectral resolutions (FWHM) listed in Tab. 1 are expected to lead to a slight overestimation of $j(O^1D)$ ($\approx 2\%$) and an underestimation of j(HCHO) ($\approx 3\%$) while no significant influence on $j(NO_2)$ is expected according to previous studies (Hofzumahaus et al., 1999; Bohn et al., 2008). $j(O^1D)$ and j(HCHO) are more affected by limitations of spectral resolutions because of the sharp increase of atmospheric actinic flux densities in the UV-B range and narrow spectral features of the HCHO absorption spectrum, respectively.
- 25

In accordance with the actinic flux densities, contributions of photolysis frequencies were derived separately for the upper and the lower hemisphere. These contributions were then added up after time synchronization to obtain the photochemically relevant total photolysis frequencies.

3.2 Research flight example

An example of photolysis frequencies obtained during a research flight with HALO is shown in Fig. 15 together with some 30 flight related data. The route led from Oberpfaffenhofen in Germany (48.08N, 11.28E) to the island of Barbados (13.07N, 59.49W) in the Western Atlantic Ocean and was part of the NARVAL campaign conducted in December 2013 and January 2014 (Klepp et al., 2014). A map of the flight route can be found in Fig.16. Flight altitudes were 12–14 km during the main part of the transfer with the aircraft presumably above any underlying clouds. The high altitude also resulted in low ambient



Figure 15. Example data from a HALO research flight on 19 Dec 2013 from Oberpfaffenhofen (Germany) to Barbados. Altitude (ALT) and static temperature (TMP) in panel (a), as well as solar zenith angles (SZA) and total ozone columns (TOC) in panel (b), are important boundary conditions and parameters used in the data evaluation. Photolysis frequencies $j(O^1D)$ and $j(NO_2)$ in panels (c) and (d) were calculated for the corresponding static temperatures. Rapid fluctuations of upward components of photolysis frequencies were induced by underlying clouds. Modeled data are clear-sky upward and downward components of photolysis frequencies from radiative transfer calculations.

temperatures around 210 K. Owing to the season and the times of day, solar zenith angles were changing in a narrow range of 55–75° even though the destination was at much lower latitudes. Also shown in Fig. 15 are the interpolated total ozone columns along the flight track from satellite data that exhibit a typical decrease of ozone columns towards lower latitudes.

For the photolysis frequencies $j(O^1D)$ and $j(NO_2)$ the directly measured downward and upward components are shown in Fig. 15 as well as the photochemically relevant total values. Downward photolysis frequencies exhibit a smooth diurnal varia-

- tion typical for cloud-free conditions above the aircraft. In contrast, upward photolysis frequencies show stronger, sometimes rapid fluctuations caused by underlying clouds. The contributions of upward radiation are comparable for *j*(O¹D) and *j*(NO₂) but differ in detail. Moreover, diurnal variations are strongly different for the two photolysis frequencies because of a more distinct dependency of *j*(O¹D) on solar zenith angles. In addition *j*(O¹D) was strongly influenced by total ozone columns
 10 which can be recognized in the first part of the flight.

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Figure 16. Map of the flight route of HALO on 19 Dec 2013 from Oberpfaffenhofen, Germany to the island of Barbados. Indicated times are UTC.

The effect of ambient temperature is also motion nounced for $j(O^1D)$. Compared to a reference temperature of 298 K, in this example, $j(O^1D)$ and $j(NO_2)$ are on average smaller by factors of 0.73 and 0.92, respectively. These numbers are based on the temperature dependence of the molecular data from the literature (Daumont et al., 1992; Matsumi et al., 2002; Merienne et al., 1995; Troe, 2000) and demonstrate the importance of ambient temperature for aircraft measurements.

5 Photolysis frequencies calculated from libRadtran simulated actinic flux density spectra along the flight track are shown for comparison in panels (c) and (d) of Fig. 15. The agreement is satisfactory but not perfect during all parts of the flight. In particular periods with underlying clouds can be recognized when the clear-sky model underestimates the upward component. A further analysis of spectral actinic flux densities or photolysis frequencies obtained during airborne missions is outside the focus of this work and will be given elsewhere.

10 3.3 Evaluation by ground-based comparisons

In order to evaluate the accuracy of photolysis frequencies obtained in field measurements, ground-based comparisons of the CCD-SR with a double-monochromator based reference instrument (DM-SR) were routinely made. Typically before and after a deployment, the instruments were set up on a roof platform for parallel measurements of downward spectral actinic flux densities for a couple of days.

- The reference instrument was described in detail elsewhere (Hofzumahaus et al., 1999; Bohn et al., 2008). As was mentioned in the introduction, the main advantage of the DM-SR is an effective stray light suppression. However, the scanning procedure leads to a limited time resolution and reduced accuracy under variable atmospheric conditions. To minimize this limitation, the DM-SR measurements were confined to a wavelength range 280–420 nm, the spectral range most important for the determination of photolysis frequencies. This resulted in a time-resolution of about 2 min. The instrument was operated at
- 20 a FWHM of 1 nm, i.e. the spectral resolution was slightly better than that of the CCD-SR (Tab. 1).

3.3.1 Comparison of spectral actinic flux densities

Figure 17 shows examples of actinic flux density spectra obtained simultaneously with the DM-SR and instrument 62001. The spectra were selected for stable, clear-sky conditions to avoid deviations caused by DM-SR scanning operations. The CCD-SR spectrum is a 10 s average obtained with a maximum 300 ms integration time. Minor optical receiver specific corrections ($\approx 2\%$)

- 5 (in this case) were already included for both instruments. Panel (a) shows the expected sharp increase of actinic flux densities in the UV-B range that is reproduced similarly by both instruments. Also shown is a radiative transfer model spectrum from the set of spectra produced to derive the cutoff wavelengths for ground measurements. The spectrum was selected for the indicated ozone column and solar zenith angle. In panel (b) the comparison is extended to the complete spectral range covered by the DM-SR. Generally good agreement is obtained except for sharp spectral features that are resolved more accurately by the
- 10 reference instrument because of a smaller FWHM. The agreement of the modeled spectrum with the DM-SR is better because in the model calculations a matching FWHM of 1 nm was used. Error bars in panels (a) and (b) correspond to total uncertainties of 5–6% for both DM-SR and CCD-SR based spectral calibration uncertainties plus a 2% uncertainty from the optical receiver corrections. Additional uncertainties from instrument noise and stray light effects are invisible in panels (a) and (b).
- Panel (c) of Fig. 17 shows the increase of UV-B spectral actinic flux densities in a semi-logarithmic plot where more details
 15 can be recognized. Here also data below the cutoff wavelength (vertical line) that are usually set to zero for the CCD-SR are shown for comparison. In this wavelength range data scatter around zero as expected, albeit with different residual noise. For the DM-SR the noise is unaffected by stray light and similar to nighttime values corresponding to a noise equivalent spectral actinic flux density F^{NE}_λ ≈1×10⁹ cm⁻² s⁻¹ nm⁻¹. Thus the DM-SR noise is smaller by a factor of about 10 compared to the F^{NE}_λ of the CCD-SR in the 280–290 nm range (Fig. 11) and the residual noise of the CCD-SR is accordingly greater. From the measured
 20 signals, F^{NE}_λ ≈1×10¹⁰ cm⁻² s⁻¹ nm⁻¹ were derived for the CCD-SR using Eq. 6 and taking into account 10 s averaging.
- These values were added to or subtracted from the data in Fig. 17. Instead of error bars which cannot be reproduced in this representation, envelopes are shown comprising color coded positive and negative values for both instruments. A comparison with the radiative transfer modeled data shows that these are reproduced to well below the cutoff wavelength by the DM-SR while for the CCD-SR the detection limit is reached slightly above the cutoff wavelength. It should be noted that the $\approx 6\%$ uncertainties shown in panels (a) and (b) are invisible in panel (c).

Considering that 10 s averages are shown in Fig. 17 the F_{λ}^{NE} are in fact greater by a factor of about two compared to the data shown in Fig. 11. The reason is that for this particular deployment the CCD-SR sensitivity was reduced by a factor of ≈ 0.6 because of an increased fiber length compared to the data shown in Fig. 8. Moreover, even though stray light signals were subtracted, they induced some shot noise in addition to the dark noise. This increased the total noise by about 25% under local

noon and clear-sky conditions which is the maximum increase expected by shot noise from stray light under all conditions.

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The subtraction of stray light signals (≈ 600 around noon) led to no appreciable increase of the noise even though these signals correspond to actinic flux densities of 1.5×10^{12} cm⁻² s⁻¹ nm⁻¹ around 300 nm. It can be concluded that the interpolated stray light signals below the cutoff wavelength are well within 1% of the true values. If a corresponding additional prtainty is assumed for all wavelengths, total F_{λ} uncertainties can be derived that range between $\Delta F_{\lambda} \approx 0.06 \times F_{\lambda} + F_{\lambda}^{NE} + 2 \times 10^{10}$ cm⁻²



Figure 17. Comparison of actinic flux density spectra obtained on the ground with a double-monochromator based reference instrument (DM-SR) (red) and instrument 62001 (blue). Measurements were made on 01 Aug 2013 at Jülich (Germany) under clear-sky conditions. The spectra were taken around 12:00 UTC at a solar zenith angle of 33° and an ozone column of 310 DU. Black data points show the results of radiative transfer calculations for the same conditions. The different representations emphasize the increase of actinic flux densities in the UV-B range in panel (a) and the dynamic range of data in panel (b). Green data points in the semi-logarithmic plots of panel (c) show negative values that were plotted at their absolute values to make them visible. Full lines with minimum symbol size indicate the corresponding upper or lower limits after addition or subtraction of instrument noise. The dashed vertical line shows the cutoff wavelength below which values of the CCD-SR were normally set to zero. Note the different spectral actinic flux density and wavelength ranges.

s⁻¹ nm⁻¹ around noon and ΔF_λ ≈ F^{NE}_λ after sunset when stray light and atmospheric signals vanish. Examples of F^{NE}_λ for typical aircraft measurements are given in Tab. 4. The F^{NE}_λ generally depend on wavelength, atmospheric conditions, spectral sensitivities, integration times and averaging (if applicable) (Sect. 2.2.7). The maximum stray light contribution of 2×10¹⁰ cm⁻² s⁻¹ nm⁻¹ to total uncertainties is comparable to that of the CCD dark noise which only plays a role for very
low F_λ ≤10¹² cm⁻² s⁻¹ nm⁻¹. The 1% assumption obviously is a rough estimate which may have to be adjusted for other instruments. In any case, the stray light signals approximately follow a cosine dependence on SZA and their relative importance increases with increasing SZA, at least for short wavelengths around 300 nm. Moreover, stray light signals are significantly greater in the presence of direct sunlight, i.e. the uncertainty would be lower for example for the upward F_λ shown in Fig. 14 or in the presence of clouds. Generally, the stray light influence on F_λ uncertainties is difficult to assess because it depends on

10 (instrument properties, measurement conditions and the procedure how stray light signals are determined.

3.3.2 Comparison of photolysis frequencies

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The overall performance of the CCD-SR was evaluated by a comparison of photolysis frequencies during the comparison periods. Figure 18 shows an example of correlation plots and ratios of photolysis frequencies as a function of solar zenith angles and the reference values for the most critical $j(O^1D)$. The scatter visible in the correlation plots is caused by the DM-SR scanning operation under variable atmospheric conditions, i.e. by the presence of moving clouds, in particular under broken-cloud conditions. These variations can go in both directions and cancel each other out over longer periods, i.e. they do not influence the slope of linear regressions. This is demonstrated in the Supplement where a virtually scatter-free subset of the data selected for clear sky conditions is shown for comparison. However, because clear-sky conditions are rare at Jülich, Fig. 18 shows a typical comparison result. The slopes of the regressions are taken as a measure for the agreement of the

- 20 measurements. Table 6 gives an overview of regression line slopes from all ground-based comparisons associated with various airborne deployments for $j(O^1D)$ and $j(NO_2)$. Including optical receiver corrections, deviations from unity are typically within $\pm 5\%$. The remaining discrepancies are attributed to uncertainties of the laboratory and in-field calibrations, optical receiver corrections and differences in spectral resolutions. The agreement is well within the estimated 5–6% of combined uncertainties of spectral calibrations and receiver corrections that apply to both types of instruments.
- No linearity problems are evident for CCD-SR or DM-SR measurements but the plots in Fig. 18 of $j(O^1D)$ ratios as a function of solar zenith angles and $j(O^1D)$ reveal increased scatter towards low sun or low $j(O^1D)$ when the detection limits of the instruments were approached. For comparison CCD-SR data are shown where spectral actinic flux densities below the cutoff wavelengths were set to zero (blue) and where this was not made (red). In the latter case scatter is apparently greater because of higher CCD-SR detection limits in accordance with the results of Sect. 2.2.6. In fact, for the DM-SR nighttime $j(O^1D)$ standard
- 30 deviations of $8 \times 10^{-9} \text{s}^{-1}$ were obtained while for instrument 62001 $2 \times 10^{-9} \text{s}^{-1}$ and $4 \times 10^{-8} \text{s}^{-1}$ resulted with and without setting flux densities to zero below cutoff wavelengths, respectively. The data for the CCD-SR are in reasonable agreement with the predictions in Tab. 5 considering 10 s averaging and the lower sensitivity mentioned above. The scatter of the red data points in Fig. 18 is therefore mainly caused by the detection limit of the CCD-SR while that of the blue points is dominated by the detection limit of the DM-SR. In any case, disregarding data below the cutoff wavelengths has little effect for $j(O^1D)$



Figure 18. Comparison of $j(O^1D)$ photolysis frequencies obtained on the ground with a double-monochromator based reference instrument (DM-SR) and instrument and 62001. Measurements were made during the period 30 Jul – 01 Aug 2013 at Jülich (Germany). Panel (a): correlation plot. Panel (b): ratios as a function of solar zenith angles. Panel (c): ratios as a function of reference values (DM-SR). Dashed lines indicate 1:1 relationships. Scatter visible in the correlation plot (upper panel) is caused by clouds, i.e. by synchronisation issues. Additional scatter in the ratios towards large SZA (middle panels) and low $j(O^1D)$ (lower panels) is caused by different detection limits. Blue and red data points were obtained when spectral actinic flux densities below cutoff wavelengths were set to zero and not set to zero, respectively.

greater than about $5 \times 10^{-7} \text{s}^{-1}$. Therefore this procedure is recommended but not crucial unless very small values of $j(O^1D)$ are of interest. The nighttime standard deviations mentioned above espond to $j(O^1D)$ detection limits of about $6 \times 10^{-9} \text{s}^{-1}$ and $1.2 \times 10^{-7} \text{s}^{-1}$, corresponding to no more than around 0.015% and 0.3% of typical summer noontime values, respectively. For $j(NO_2)$ nighttime standard deviations of $2.4 \times 10^{-8} \text{s}^{-1}$ and $1.6 \times 10^{-7} \text{s}^{-1}$ were obtained for the DM-SR and the CCD-SR 62001. For the CCD-SR the value is greater than predicted by considering dark noise alone (Tab. 5). This is attributed to the fact that the determination of residual dark signals was optimized for the UV-B range and that for greater wavelengths these residuals were extrapolated. Improvements on the $j(NO_2)$ detection limits of the CCD-SR could probably be obtained by expanding the concept of cutoff wavelengths above 310 nm and for SZA>90°. On the other hand, there seems to be no need for such an improvement because values below the current detection limit of about $5 \times 10^{-7} \text{s}^{-1}$

- In order to assess the additional uncertainties of photolysis frequencies produced by stray light signal subtractions, j(O¹D) and j(NO₂) were calculated from pseudo actinic flux density spectra derived from subtracted stray light signals on a clear-sky day. This resulted in maximum stray light affected j(O¹D) of around 1×10⁻⁵s⁻¹ and 6×10⁻⁵s⁻¹ with and without application of cutoff wavelengths, and a maximum stray light induced j(NO₂) of around 4×10⁻⁵s⁻¹. Assuming a 1% uncertainty for the subtractions, total uncertainties of photolysis frequencies can be estimated. Taking into account the noise induced pho tolysis frequencies of the aircraft measurements in Tab. 5, total j(O¹D) uncertainty is with cutoff wavelengths range between
- (b) (a) so inequencies of the uncertain inclusion fuels of court j(0, D) and inclusion with each with eac

3.3.3 The stray light issue

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- 25 Apparently, the higher level of stray light of the CCD-SR compared to the DM-SR is no major obstacle to derive accurate photolysis frequencies, including $j(O^1D)$, at least for the applied type of instrument. Jäkel et al. (2007) came to the same conclusion but their preferred method of stray light correction was slightly different for the UG5-filtered instrument. It was based on a stray light spectrum obtained with a calibration lamp and a 700 nm cutoff filter that for each spectrum was scaled for matching averages in the 270–290 nm range. However, for instruments without UG5 filter this procedure is no option because
- 30 the spectral shape of the stray light is strongly influenced by radiation blocked out by a 700 nm filter. Moreover, the slope of the stray light signals during lamp calibrations was usually slightly negative while in the atmosphere they were typically slightly positive. For that reason atory measurements were not consulted to estimate the shape of atmospheric stray light signals also because the blackbody temperature of the calibration lamps is much lower than that of the sun. This may explain why the stray light contributions in Fig. 13 diminish much faster than those in Fig. 8 and corresponding figures in the Supplement.

deployment	instruments	slope (instrum	ment vs. reference)				
	top / bottom	$j(O^1D)$	$j(NO_2)$				
HALO 2010	62001 / 62000	1.003 / 0.997	0.956 / 0.972				
Zeppelin 2012	45853 / 62001	1.034 / 1.054	0.998 / 0.979				
Zeppelin 2013	62008 / 85235	1.001 / 1.035	0.978 / 0.981				
HALO 2013	62001 / 62000	1.005 / 0.969	0.964 / 0.955				
HALO 2015	62001 / 62000	1.019 / 0.959	0.981 / 0.957				

Table 6. Results of ground-based spectroradiometer comparisons associated with contemporary airborne instrument deployments of different CCD-SR. The numbers are slopes of $j(O^1D)$ and $j(NO_2)$ regression lines based on 2-5 day parallel measurements with a DM-SR reference.

Fitting the spectral dependence of measured stray light signals in a condition dependent range defined by the atmospheric cutoff wavelengths was therefore a manifest approach. Because the results were satisfactory no alternative procedures were systematically tested. The practice is believed to be widely transferable to spectral irradiance measurements.

More sophisticated stray light correction methods were developed in the past for array spectroradiometers using tunable 5 light sources to investigate the instrument's stray light response as a function of wavelength. With this instrument-specific information, a correction can be made based on the measured spectra alone (Zong et al., 2006). However, for the instruments described in this work, a substantial fraction of the stray light comes from a spectral region beyond the measured range and this unaccounted fraction is strongly variable dependent on the presence or absence of direct sunlight. Because the same problem arises for atmospheric spectral irradiance measurements in the UV-B range, the method by Zong et al. (2006) was refined for

10 these applications (Kreuter and Blumthaler, 2009; Nevas et al., 2014). However, a recent blind inter-comparison of spectral UV irradiance and UV-index measurements revealed that these methods are not consistently adhered to and accurate stray light corrections remain a complicated and critical issue (Egli et al., 2016). In this work we showed that a comparatively simple approach led to satisfactory results for the investigated type of instruments.

4 Conclusions

- 15 Spectral actinic flux densities can be measured with high accuracy and high time resolution in the atmospherically relevant UV/VIS range using CCD array spectroradiometers. Because the instruments are compact and mechanically robust, they are suitable for high quality airborne measurements. In this work, we investigated the key properties of a widely used instrument type in the laboratory, developed a straightforward method for calibrations with irradiance standards, and derived a scheme to evaluate field measurements under variable atmospheric conditions. The major difficulties were accurate measurements in
- 20 the UV-B range because calibrations and field measurements are affected by the notorious stray light problem which is typical for single-monochromator applications. We showed that this problem can be widely resolved in the laboratory by the use of long path cutoff filters including additional corrections, and during field measurements by utilizing the variable natural long

path cutoff provided by the stratospheric ozone layer. Ground-based field comparisons with a double-monochromator reference instrument confirmed the practicality of the approach for atmospheric measurements. Even though the stray light effects do not completely vanish they can be contained so that they become insignificant for the determination of photolysis frequencies, including $j(O^1D)$. However, it should be noted that the results of this work refer to the radiometric part of the determination of

- 5 photolysis frequencies. Additional uncertainties exist that are related with molecular parameters of photolyzed species. These uncertainties are process specific and are substantial for many photolysis processes mainly because quantum yields are poorly known. Because of extreme temperature conditions this problem should be kept in mind, in particular for airborne applications. Optical receiver issues are also more pronounced for airborne measurements but can be dealt with independently because they are not directly related with the type and performance of the spectroradiometer employed.
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Supplement to "Calibration and evaluation of CCD spectroradiometers for ground-based and airborne measurements of spectral actinic flux densities"

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Figure S1: Example signals obtained during laboratory calibration measurements of instrument 45853 with 1000 ms integration time and two cutoff filters. Refer to Sect. 2.2.3 and caption of Fig. 6 for more details.

Figure S2: Example signals obtained during laboratory calibration measurements of instrument 62000 with 1000 ms integration time and two cutoff filters. Refer to Sect. 2.2.3 and caption of Fig. 6 for more details.





Figure S3: Example signals obtained during laboratory calibration measurements of instrument 62008 with 1000 ms integration time and two cutoff filters. Refer to Sect. 2.2.3 and caption of Fig. 6 for more details.

Figure S4: Example signals obtained during laboratory calibration measurements of instrument 85235 with 1000 ms integration time and two cutoff filters. Refer to Sect. 2.2.3 and caption of Fig. 6 for more details.

λ / nm SZA / deg	300	350	$\frac{400}{F_{\lambda}} / 10$	450) ¹² cm ⁻	$500 - 2s^{-1}ni$	$550 \\ m^{-1}$	600	650	300	350	$400 \\ F_{\lambda}^{\rm NE}$ /	450 10 ¹⁰ ci	$500 \text{ m}^{-2} \text{s}^{-2}$	550 ¹ nm ⁻¹	600	650
0	2.5	190	360	520	510	550	560	550	1.6	27	52	54	52	55	59	63
30	1.4	170	340	500	500	540	550	540	1.4	26	51	53	51	55	59	62
50	0.31	140	290	450	460	500	520	510	1.1	23	47	50	49	53	57	61
60	0.069	110	240	390	410	450	470	480	1.0	11	25	46	46	50	54	59
70	0.73^{a}	64	160	280	310	350	370	400	1.0	8.8	20	40	40	45	49	54
80	0.16^{a}	23	61	110	140	170	180	220	1.0	3.1	6.7	15	16	18	20	23
84	0.095^{a}	12	28	50	60	70	77	100	1.0	2.2	4.7	5.3	10	12	13	16
88	0.042^{a}	3.3	7.4	12	11	9.4	7.6	13	1.0	1.3	1.4	1.5	1.4	1.3	1.3	1.8
>100	0	0	0	0	0	0	0	0	1.0	0.6	0.4	0.3	0.3	0.3	0.3	0.4

Table S1: Downward spectral actinic flux densities F_{λ} from radiative transfer calculations for selected wavelengths and solar zenith angles for an altitude of 0 km and an ozone column of 300 DU (left) and simulated noise equivalent actinic flux densities F_{λ}^{NE} of instrument 62001 for a maximum 300 ms integration time (right). The entry SZA>100° indicates dark conditions. See Tab. 4 for comparison.

 a F_{λ} / 10^{10} cm $^{-2}$ s $^{-1}$ nm $^{-1}$

Table S2: Photolysis frequencies from radiative transfer calculations of downward spectral actinic flux densities for selected solar zenith angles at an altitude of 0 km and an ozone column of 300 DU (left) and simulated noise equivalent photolysis frequencies of instrument 62001 for a maximum 300 ms integration time (right). $j(O^{1}D)$ precisions in brackets were obtained by applying variable cutoff wavelengths (Fig. S5). The entry SZA>100° indicates dark conditions with zero spectral actinic flux densities. See Tab. 5 for comparison.

SZA / deg	photolysis $j(O^1D)$	frequency $j(NO_2)$	noise equivalent photolys $j(O^1D)$	sis frequency $j(NO_2)$
0	3.73×10^{-5}	9.00×10^{-3}	$1.1 \times 10^{-7} (2.5 \times 10^{-8})$	1.2×10^{-6}
30	2.72×10^{-5}	8.38×10^{-3}	$1.0 \times 10^{-7} (2.1 \times 10^{-8})$	1.1×10^{-6}
50	1.33×10^{-5}	6.86×10^{-3}	$1.0 \times 10^{-7} (1.4 \times 10^{-8})$	9.6×10^{-7}
60	6.79×10^{-6}	5.46×10^{-3}	$1.0 \times 10^{-7} (9.6 \times 10^{-9})$	7.4×10^{-7}
70	2.37×10^{-6}	3.48×10^{-3}	$1.1 \times 10^{-7} (5.8 \times 10^{-9})$	4.8×10^{-7}
80	4.50×10^{-7}	1.27×10^{-3}	$1.1 \times 10^{-7} (4.0 \times 10^{-9})$	1.7×10^{-7}
84	1.75×10^{-7}	6.14×10^{-4}	$1.1 \times 10^{-7} (3.6 \times 10^{-9})$	9.7×10^{-8}
88	4.13×10^{-8}	1.63×10^{-4}	$1.0 \times 10^{-8} (2.5 \times 10^{-9})$	5.3×10^{-8}
>100	0.0	0.0	$1.0 \times 10^{-7} (2.5 \times 10^{-9})$	2.4×10^{-8}




Figure S5: Contour plot of atmospheric cutoff wavelengths (nm) for an altitude of 0 km as a function of solar zenith angles (SZA) and ozone columns. The data were derived from radiative transfer calculations of downward clear sky spectral actinic flux densities defining a lower limit $F_{\lambda} \leq 5 \times 10^9 \text{ cm}^{-2} \text{s}^{-1} \text{ nm}^{-1}$. See Fig. 12 for comparison.

Figure S6: Comparison of $j(O^1D)$ photolysis frequencies obtained on the ground with a double-monochromator based reference instrument (DM-SR) and instrument 62001. Measurements were made on 01 Aug 2013 at Jülich (Germany) under clear-sky conditions. Panel (a): correlation plot. Panel (b): ratios as a function of solar zenith angles. Panel (c): ratios as a function of reference values (DM-SR). Dashed lines indicate 1:1 relationships. Scatter in the ratios towards large SZA (middle panels) and low $j(O^1D)$ (lower panels) is caused by different detection limits. Blue and red data points were obtained when spectral actinic flux densities below cutoff wavelengths were set to zero and not set to zero, respectively. See Fig. 18 for a typical comparison including more variable, cloudy conditions.



Figure S7: Comparison of $j(O^1D)$ photolysis frequencies obtained on the ground at Jülich (Germany) with a double-monochromator based reference instrument (DM-SR) and instrument 62001 (CCD-SR). Measurements of two successive days with broken cloud conditions in panel (a) (31 July) and clear-sky conditions in panel (b) (01 Aug 2013) are shown. Missing data indicate calibration periods for the CCD-SR in panel (a) and the DM-SR in panel (b). The lower panels (c) and (d) show 1000 s periods for each day to demonstrate the effects of different instrument time resolutions (≈ 150 s and 10 s, respectively). There is no synchronization issue on the clear-sky day while for the broken cloud conditions deviations can go in both directions.