



1 The effects of different footprint sizes and cloud algorithms on the
2 top-of-atmosphere radiative flux calculation from CERES
3 instrument on Suomi-NPP

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6

ABSTRACT

7 Only one CERES instrument is onboard the Suomi-NPP and it has been placed in cross-
8 track mode since launch, it is thus not possible to construct a set of angular distribution
9 models (ADMs) specific for CERES on NPP. Edition 4 Aqua ADMs were used for flux
10 inversions for CERES-NPP measurements. However, the footprint size of CERES-NPP is
11 larger than that of CERES-Aqua, as the altitude of the NPP orbit is higher than that of the
12 Aqua orbit. Furthermore, cloud retrievals from VIIRS and MODIS, the imagers fly alongside
13 CERES-NPP and CERES-Aqua, are also different. To quantify the flux uncertainties due
14 to the footprint size difference between CERES-Aqua and CERES-NPP, and due to both
15 the footprint size difference and cloud property difference, a simulation is designed using
16 the MODIS pixel level data which are convolved with the CERES-Aqua and CERES-NPP
17 spectral response functions into their respective footprints. The simulation is designed to
18 isolate the effects of footprint size difference and cloud property difference on flux uncer-
19 tainty from calibration difference between CERES-NPP and CERES-Aqua. The footprint
20 size difference between CERES-Aqua and CERES-NPP introduces instantaneous flux un-
21 certainties in monthly gridded CERES-NPP of less than 4.0 Wm^{-2} for SW, and less than
22 1.0 Wm^{-2} for both daytime and nighttime LW. The global monthly mean instantaneous
23 SW flux from simulated CERES-NPP has a low bias of 0.4 Wm^{-2} and an uncertainty of
24 0.8 Wm^{-2} , the LW has a bias of about 0.1 Wm^{-2} and an uncertainty of 0.2 Wm^{-2} . These
25 uncertainties are within the uncertainties of CERES ADMs. When both footprint size and
26 cloud property differences are considered, the uncertainties of monthly gridded CERES-NPP
27 SW flux can be up to 20 Wm^{-2} in the Arctic regions where cloud optical depth retrievals
28 from VIIRS differ significantly from MODIS. The global monthly mean instantaneous SW
29 flux from simulated CERES-NPP has a high bias of 1.1 Wm^{-2} and an uncertainty of 2.4
30 Wm^{-2} . LW flux shows less sensitivity to cloud property differences than SW flux, with the
31 uncertainties of about 2 Wm^{-2} in monthly gridded LW flux and about 0.3 Wm^{-2} for global
32 area-weighted monthly mean LW flux. These results highlight the importance of consistent



33 cloud retrieval algorithms to maintain the accuracy and stability of the CERES climate data
34 record.



35 1. Introduction

36 The Clouds and Earth's Radiant Energy System (CERES) project has been providing
37 data products critical to advancing our understanding of the effects of clouds and aerosols on
38 radiative energy within the Earth-atmosphere system. CERES data are used by the science
39 community to study the Earth's energy balance (e.g., Trenberth et al. 2009; Kato et al.
40 2011; Loeb et al. 2012; Stephens et al. 2012), aerosol direct radiative effects (e.g., Satheesh
41 and Ramanathan 2000; Zhang et al. 2005; Loeb and Manalo-Smith 2005; Su et al. 2013),
42 aerosol-cloud interactions (Loeb and Schuster 2008; Quaas et al. 2008; Su et al. 2010b), and
43 to evaluate global general circulation models (e.g., Pincus et al. 2008; Su et al. 2010a; Wang
44 and Su 2013; Wild et al. 2013).

45 Six CERES instruments have flown on four different spacecrafts thus far. CERES pre-
46 Flight Model (FM) on Tropical Rainfall Measuring Mission (TRMM) was launched on
47 November 27, 1997 into a 350-km circular precessing orbit with a 35° inclination angle
48 and flew together with the Visible and Infrared Scanner (VIRS). CERES instruments (FM1
49 and FM2) on Terra were launch on December 18, 1999 into a 705-km sun-synchronous or-
50 bit with a 10:30 a.m. equatorial crossing time. CERES instruments (FM3 and FM4) on
51 Aqua spacecraft were launched on May 2, 2002 into a 705-km sun-synchronous orbit with
52 a 1:30 p.m. equatorial crossing time. CERES on Terra and Aqua flies alongside Moderate-
53 Resolution Imaging Spectroradiometer (MODIS). CERES FM5 instrument was launched
54 onboard Suomi-NPP (hereafter referred to as NPP) on October 28, 2011 into a 824-km sun-
55 synchronous orbit with a 1:30 p.m. equatorial crossing time and flies alongside the Visible
56 Infrared Imaging Radiometer Suite (VIIRS). As the orbit altitudes differ among these space-
57 crafts, the spatial resolutions of CERES instruments also vary from each other. TRMM has
58 the lowest orbit altitude and offers the highest spatial resolution of CERES measurements,
59 about 10 km at nadir; the spatial resolution of CERES on Terra and Aqua is about 20 km
60 at nadir; and is about 24 km at nadir for NPP as it has the highest orbit altitude.

61 The CERES instrument consists of a three-channel broadband scanning radiometer (Wielicki



62 et al. 1996). The scanning radiometer measures radiances in shortwave (SW, 0.3-5 μm), win-
63 dow (WN, 8-12 μm), and total (0.3-200 μm) channels. The longwave (LW) component is
64 derived as the difference between total and SW channels. These measured radiances at
65 a given sun-Earth-satellite geometry are converted to outgoing reflected solar and emitted
66 thermal TOA radiative fluxes. To do so, the angular distribution of the radiance field must
67 be characterized for different scene types. Here scene type is a combination of variables
68 (e.g., surface type, cloud fraction, cloud optical depth, cloud phase, aerosol optical depth,
69 precipitable water, lapse rate, etc) that are used to group the data to develop distinct an-
70 gular distribution models (ADMs). To facilitate the construction of ADMs, there are pairs
71 of identical CERES instruments on both Terra and Aqua spacecrafts. At the beginning
72 of these missions one of the instruments on each spacecraft was always placed in a rotat-
73 ing azimuth plane (RAP) scan mode. In this mode, the instrument scans in elevation as
74 it rotates in azimuth, thus acquiring radiance measurements from a wide range of viewing
75 combinations. CERES instruments fly alongside high-resolution imagers, which provide ac-
76 curate scene type information within CERES footprints. Cloud and aerosol retrievals based
77 upon high-resolution imager measurements are averaged over CERES footprints by account-
78 ing for the CERES point spread function (PSF, Smith 1994) and are used for scene type
79 classification.

80 TRMM ADMs were developed using 9 months of CERES observations and the scene
81 identification information retrieved from VIRS observations (Loeb et al. 2003). Terra/Aqua
82 ADMs were developed using multi-year CERES measurements in RAP mode and in crosstrack
83 mode using the scene identification information from MODIS (Loeb et al. 2005; Su et al.
84 2015a). The high-resolution MODIS imager provides cloud conditions for every CERES foot-
85 print. The cloud algorithms developed by the CERES cloud working group retrieve cloud
86 fraction, cloud optical depth, cloud phase, cloud top and effective temperature/pressure
87 (among other variables) based on MODIS pixel-level measurements (Minnis et al. 2010).
88 These pixel-level cloud properties are spatially and temporally matched with the CERES



89 footprints and are used to select the scene-dependent ADMs to convert the CERES measured
90 radiances to fluxes.

91 There is only one CERES instrument on NPP and it has been placed in cross-track scan
92 mode since launch, it is thus not feasible to develop a specific set of ADMs for CERES
93 on NPP. Currently, the Edition 4 Aqua ADMs (Su et al. 2015a) are used to invert fluxes
94 for the CERES measurements on NPP. As mentioned earlier, the CERES footprint size on
95 NPP is larger than that on Aqua. More importantly, the VIIRS channels are not identical
96 to those of MODIS, especially the lack of water vapor (i.e. $6.7 \mu\text{m}$) and CO₂ channels,
97 caused the cloud properties retrieved from MODIS and VIIRS differ from each other. ADMs
98 are scene type dependent, it is important to use consistent scene identification for develop-
99 ing and applying the ADMs. Since the footprint sizes are different between CERES-Aqua
100 and CERES-NPP, will using ADMs developed based on CERES-Aqua measurements for
101 CERES-NPP flux inversion introduce any uncertainties in CERES-NPP flux? Additionally,
102 as the cloud properties retrieved from VIIRS and MODIS differ from each other, the scene
103 identification used to select the ADMs for flux inversion can also be different and thus lead
104 to additional uncertainties in CERES-NPP flux. In this study, we design a simulation study
105 to quantify the CERES-NPP flux uncertainties due to the footprint size difference alone,
106 and due to both the footprint size and cloud property differences.

107 **2. Method**

108 We cannot answer the above questions by simply differencing the fluxes from CERES-
109 Aqua and CERES-NPP, as the calibrations differ between these two CERES instruments
110 and will be briefly discussed here. The Aqua and NPP orbits fly over each other about every
111 64 hours. These simultaneous observations from Aqua and NPP are matched to compare
112 SW and LW radiances using CERES Aqua Edition 4 Single Scanner Footprint TOA/Surface
113 Fluxes and Clouds (SSF) product and CERES NPP Edition 1 SSF product. The matching



114 criteria used for SW radiances are that the latitude and longitude differences between the
115 Aqua footprints and the NPP footprints are less than 0.05 degree, solar zenith angle differ-
116 ence is less than 2 degrees, viewing zenith angle and relative azimuth angle differences are
117 less than 5 degrees. Same latitude and longitude matching criteria are used for LW radiances
118 and the viewing zenith angle difference between the Aqua footprints and the NPP footprints
119 is less than 2 degrees. Figure 1 shows the SW, daytime LW, and nighttime LW radiance
120 comparisons between CERES-Aqua and CERES-NPP using matched footprints of 2013 and
121 2014. The total number of matched footprints, the mean radiances from CERES-Aqua and
122 CERES-NPP, and the root-mean-square errors are summarized in Table 1. The mean SW
123 radiance measured by CERES-NPP is about $1 \text{ Wm}^{-2}\text{sr}^{-1}$ higher than that measured by
124 CERES-Aqua, the daytime mean LW radiance from CERES-NPP is about $0.4 \text{ Wm}^{-2}\text{sr}^{-1}$
125 lower than that from CERES-Aqua, and the nighttime LW radiance agrees to within 0.1
126 $\text{Wm}^{-2}\text{sr}^{-1}$. These differences do not show any view zenith angle dependence. The daytime
127 LW radiance is derived as the difference between total channel and SW channel measure-
128 ments, and the nighttime LW radiance is simply from the total channel measurements. The
129 differences shown in Table 1 indicate that the calibration of total channels between CERES-
130 Aqua and CERES-NPP agrees very well, and the difference in SW channel calibration could
131 be the cause for the relatively larger daytime LW differences. More research is needed to
132 understand the calibration differences between CERES-Aqua and CERES-NPP.

133 To quantify the footprint size and cloud retrieval effect on flux inversion without having
134 to account for the calibration differences, we design a simulation study using the MODIS
135 pixel level data. Figure 2 illustrates the process of generating the simulated footprints from
136 the MODIS pixels (represented by the small squares). These pixel-level spectral measure-
137 ments are used to retrieve cloud properties and aerosol optical depth. These pixel-level
138 imager-derived aerosol and cloud properties, and spectral radiances from MODIS are con-
139 volved with the CERES PSF to provide the most accurate aerosol and cloud properties that
140 are spatially and temporally matched with the CERES broadband radiance data. We first



141 use the CERES-Aqua PSF to convolve the aerosol/cloud properties, and the spectral radi-
142 ances (and other ancillary data) into Aqua-size footprints (orange ovals of the top figure),
143 as is done for the standard CERES-Aqua SSF product. We then increase the footprint size
144 to be that of NPP (orange ovals of the bottom figure) and use the CERES-NPP PSF to
145 average cloud/aerosol properties, spectral radiances, and other ancillary data into the sim-
146 ulated NPP footprints. Four months (July 2012, October 2012, January 2013, and April
147 2013) of simulated CERES-Aqua and CERES-NPP data were created. For every CERES-
148 Aqua footprint, it contains the broadband SW and LW radiances measured by the CERES
149 instrument. The simulated NPP footprints however do not contain broadband radiances.
150 To circumvent this issue, we developed narrowband-to-broadband coefficients to convert the
151 MODIS spectral radiances to broadband radiances.

152 The Edition 4 CERES-Aqua SSF data from July 2002 to September 2007 are used to
153 derive the narrowband-to-broadband regression coefficients separately for SW, daytime LW,
154 and nighttime LW. Seven MODIS spectral bands (0.47, 0.55, 0.65, 0.86, 1.24, 2.13, and 3.7
155 μm) are used to derive the broadband SW radiances, and the SW regression coefficients are
156 calculated for every calendar month for discrete intervals of solar zenith angle, viewing zenith
157 angle, relative azimuth angle, surface type, snow/non-snow conditions, cloud fraction, and
158 cloud optical depth. Five MODIS spectral bands (6.7, 8.5, 11.0, 12.0, and 14.2 μm) are used
159 to derived the broadband LW radiances, and the LW regression coefficients are calculated
160 for every calendar month for discrete intervals of viewing zenith angle, precipitable water,
161 surface type, snow/none-snow conditions, cloud fraction, and cloud optical depth. The 20
162 International Geosphere-Biosphere Programme (IGBP) surface types are grouped into 8
163 surface types: ocean, forest, savanna, grassland, dark desert, bright desert, the Greenland
164 permanent snow, and the Antarctic permanent snow. When there is sea ice over the ocean
165 and snow over the land surface types, regression coefficients for ice and snow conditions are
166 developed (only footprints with 100% sea ice/snow coverage are considered).

167 These SW and LW narrowband-to-broadband regression coefficients are then applied to



168 the spectral radiances in each simulated CERES-Aqua and simulated CERES-NPP foot-
169 print, if the footprint consists of a single surface type. Even though the CERES-Aqua
170 footprints contained the broadband radiances from CERES observations, we choose to use
171 the broadband radiances calculated using the narrowband-to-broadband regressions to en-
172 sure that the broadband radiances are consistent between the simulated CERES-Aqua and
173 the simulated CERES-NPP. Doing so we can isolate the flux difference between simulated
174 CERES-Aqua and simulated CERES-NPP caused solely by footprint size difference. These
175 broadband radiances derived using the narrowband-to-broadband coefficients are then con-
176 verted to fluxes using the cloud properties retrieved from MODIS observations and the Aqua
177 ADMs. As the footprint sizes are different between CERES-Aqua and CERES-NPP, flux
178 differences cannot be assessed on the footprint level, monthly grid box (1° latitude by 1°
179 longitude) mean flux differences are used to assess the CERES-NPP gridded instantaneous
180 flux uncertainties. These monthly gridded instantaneous flux differences and the absolute
181 flux differences are then area-weighted to provide the global monthly mean instantaneous
182 flux bias and uncertainty.

183 **3. Results**

184 The monthly mean instantaneous TOA SW fluxes derived using the regression generated
185 broadband radiances for simulated CERES-Aqua are shown in Figure 3(a) for April 2013.
186 Note these fluxes are different from those in the Edition 4 Aqua SSF product as the CERES
187 measured radiances differ from those inferred using narrowband-to-broadband regression
188 coefficients. The flux differences caused by the footprint size difference between the simulated
189 CERES-Aqua and the simulated CERES-NPP are shown in Figure 3(b). Grid boxes in white
190 indicate that the number of footprints with valid SW fluxes differ by more than 2% between
191 simulated CERES-Aqua and CERES-NPP, as the narrowband-to-broadband regressions are
192 only applied to footprints that consist with the same surface types which result in less



193 footprints with valid fluxes for CERES-NPP than for CERES-Aqua. The footprint size
194 difference between CERES-Aqua and CERES-NPP introduces an uncertainty that rarely
195 exceeds 4.0 Wm^{-2} in monthly gridded CERES-NPP instantaneous SW fluxes. For global
196 monthly mean instantaneous SW flux, the simulated CERES-NPP has a low bias of 0.4
197 Wm^{-2} and an uncertainty of 0.8 Wm^{-2} . Results from the other three months are very
198 similar to April 2013 (not shown).

199 The cloud properties in the simulated CERES-Aqua footprints and in the simulated
200 CERES-NPP footprints are all based upon MODIS retrievals, so the scene identifications
201 used to select ADMs for flux inversion are almost the same for both the CERES-Aqua and
202 the CERES-NPP, except small differences due to differing footprint sizes. However, the cloud
203 properties retrieved using MODIS and VIIRS are different, especially over the polar regions.
204 Figure 4 shows the daytime cloud fraction and cloud optical depth difference between VIIRS
205 and Aqua-MODIS for April 2013. VIIRS retrieval of cloud fraction is greater than that
206 from MODIS by up to 10% and the VIIRS retrieval of cloud optical depth is smaller than
207 that from MODIS by 2~3 over part of the Antarctic. VIIRS retrieval of cloud fraction over
208 the northern high-latitude snow regions is smaller than that from MODIS, while the optical
209 depth from VIIRS is higher than that from MODIS. Over the Arctic, cloud optical depth
210 from VIIRS is much higher than that from MODIS. Over the ocean from 60°S to 60°N , the
211 differences in cloud fraction seem rather random while the differences in cloud optical depth
212 is mostly positive (VIIRS retrieval is higher than Aqua-MODIS retrieval). Polar region
213 cloud fraction differences are mainly because that VIIRS lacks the water vapor and CO_2
214 channels which affect the polar cloud mask algorithm. VIIRS retrieval also use different
215 parameterization of $1.24 \mu\text{m}$ reflectance which affects cloud optical depth retrieval over the
216 snow/ice surfaces.

217 These cloud retrieval differences affect the anisotropy factors selected for flux inversion.
218 The cloud fraction and cloud optical depth retrievals from MODIS convolved in the simulated
219 CERES-NPP footprints are adjusted to be similar to those from VIIRS retrievals to assess



220 how cloud retrieval differences affect the flux. To accomplish this, daily cloud fraction ratios
221 of VIIRS to MODIS are calculated for each 1° latitude by 1° longitude grid box. These
222 ratios are then applied to the cloudy footprints of MODIS retrieval to nudge the MODIS
223 cloud fractions to be nearly the same as those from VIIRS retrieval. Note no adjustment
224 is done for clear footprints. Similarly, daily cloud optical depth ratios of VIIRS to MODIS
225 are calculated using cloudy footprints for each 1° by 1° grid box. These ratios are used to
226 adjust the MODIS retrieved cloud optical depth to be close to those from VIIRS retrievals.

227 Figure 3(c) shows the SW flux difference caused by both the footprint size and cloud
228 property differences. Adding the cloud property differences increase the CERES-NPP flux
229 uncertainty compared to when only footprint size differences are considered (Figure 3(b)),
230 regional instantaneous flux uncertainty over the Arctic ocean can exceed 20 Wm^{-2} . Account-
231 ing for cloud property differences, the global mean instantaneous SW flux from simulated
232 CERES-NPP has a high bias of 1.1 Wm^{-2} and the uncertainty is increased to 2.4 Wm^{-2} .
233 Over the Arctic Ocean, the cloud optical depth from VIIRS retrieval is much higher than
234 that from the MODIS retrieval while the difference in cloud fraction is relatively small. The
235 polar regions are dominated by oblique views and the anisotropy factors for thick clouds are
236 smaller than those for thin clouds at these oblique angles, which led to large flux increase
237 when using VIIRS cloud properties for flux inversion.

238 The daytime and nighttime instantaneous LW flux from the simulated CERES-Aqua
239 footprints, LW flux differences due to footprint size difference, and LW flux difference due to
240 both footprint size difference and cloud property difference are shown in Figures 5 and 6. The
241 effect of footprint size on instantaneous LW flux uncertainty is generally within 1.0 Wm^{-2}
242 for gridded monthly mean LW flux, and the uncertainty of global monthly mean LW flux
243 is within 0.2 Wm^{-2} . When cloud property differences are also considered, the uncertainty
244 of monthly gridded LW fluxes increases to about 2 Wm^{-2} with the uncertainty of global
245 monthly mean LW flux of about 0.3 Wm^{-2} . The instantaneous LW fluxes showed much less
246 sensitivity to cloud property changes than the SW fluxes, especially over the Arctic Ocean



247 where cloud optical depth changed significantly. This is because the LW ADMs over the
248 snow/ice surfaces have very little sensitivity to cloud optical depth (Su et al. 2015a), but
249 they were developed for discrete cloud fraction intervals and larger flux changes are noted
250 in regions experiencing large cloud fraction changes.

251 4. Summary and discussion

252 The scene-type dependent ADMs are used to convert the radiances measured by the
253 CERES instruments to fluxes. Specific empirical ADMs were developed for CERES instru-
254 ments on TRMM, Terra, and Aqua (Loeb et al. 2003, 2005; Su et al. 2015a). As there is only
255 one CERES instrument on NPP and it has being placed in cross track mode since launch, it is
256 not possible to construct a set of ADMs specific for CERES on NPP. Edition 4 Aqua ADMs
257 (Su et al. 2015a) are thus used for flux inversions for CERES-NPP measurements. However,
258 the altitude of the NPP orbit is higher than that of the Aqua orbit resulting in a larger
259 CERES footprint size on NPP than on Aqua. Given that the footprint size of CERES-NPP
260 is different from that of CERES-Aqua, we need to quantify the CERES-NPP flux uncer-
261 tainty caused by using the CERES-Aqua ADMs. Furthermore, there are some differences
262 between the imagers fly alongside CERES-Aqua (MODIS) and CERES-NPP (VIIRS), as
263 VIIRS lacks the water vapor and CO₂ channels. These spectral differences and algorithm
264 differences lead to notable cloud property differences retrieved from MODIS and VIIRS.
265 As the anisotropy factors are scene-type dependent, differences in cloud properties will also
266 introduce uncertainties in flux inversion.

267 To quantify the flux uncertainties due to the footprint size difference between CERES-
268 Aqua and CERES-NPP, and due to both the footprint size difference and cloud property
269 difference, we use the MODIS pixel level data to simulate the CERES-Aqua and CERES-
270 NPP footprints. The simulation is designed to isolate the effects of footprint size differ-
271 ence and cloud property difference on flux uncertainty from calibration difference between



272 CERES-NPP and CERES-Aqua. Comparisons using two years of collocated CERES-Aqua
273 and CERES-NPP footprints indicate that the SW radiances from CERES-NPP is about 1.5%
274 higher than those from CERES-Aqua, the daytime LW radiance from CERES-NPP is about
275 0.5% lower than those from CERES-Aqua, and the nighttime LW radiances agree to within
276 0.1%. The pixel-level MODIS spectral radiances, the imager-derived aerosol and cloud prop-
277 erties, and other ancillary data are first convolved with the CERES Aqua PSF to generate
278 the simulated CERES-Aqua footprints, and then convolved with the CERES NPP PSF to
279 generate the simulated CERES-NPP footprints. Broadband radiances within the simulated
280 CERES-Aqua and CERES-NPP footprints are derived using the MODIS spectral bands
281 based upon narrowband-to-broadband regression coefficients developed using five-years of
282 Aqua data, thus ensure consistency between broadband radiances from simulated CERES-
283 Aqua and CERES-NPP. These radiances are then converted to fluxes using the CERES-Aqua
284 ADMs. The footprint size difference between CERES-Aqua and CERES-NPP introduces in-
285 stantaneous flux uncertainties in monthly gridded CERES-NPP of less than 4.0 Wm^{-2} for
286 SW, and less than 1.0 Wm^{-2} for both daytime and nighttime LW. Area-weighted monthly
287 gridded instantaneous flux differences and the absolute flux differences are used to quantify
288 the global monthly mean instantaneous flux bias and uncertainty. The global monthly mean
289 instantaneous SW flux from simulated CERES-NPP has a low bias of 0.4 Wm^{-2} and an
290 uncertainty of 0.8 Wm^{-2} , the LW has a bias of about 0.1 Wm^{-2} and an uncertainty of 0.2
291 Wm^{-2} .

292 The cloud properties in the simulated CERES-Aqua footprints and in the simulated
293 CERES-NPP footprints are all based upon MODIS retrievals, but in reality cloud prop-
294 erties retrieved from VIIRS differ from those from MODIS. To assess the flux uncertainty
295 from scene identification differences, cloud fraction and cloud optical depth in the simulated
296 CERES-NPP footprints are perturbed to be more like the VIIRS retrievals. When both
297 footprint size and cloud property differences are considered, the uncertainties of monthly
298 gridded CERES-NPP SW flux can be up to 20 Wm^{-2} in the Arctic regions where cloud



299 optical depth retrievals from VIIRS differ significantly from MODIS. The global monthly
300 mean instantaneous SW flux from simulated CERES-NPP has a high bias of 1.1 Wm^{-2} and
301 an uncertainty of 2.4 Wm^{-2} . LW flux shows less sensitivity to cloud property differences
302 than SW flux, with the uncertainties of about 2.0 Wm^{-2} in monthly gridded LW flux and
303 about 0.3 Wm^{-2} for global area-weighted monthly mean LW flux.

304 This simulation study indicates that the footprint size differences between CERES-NPP
305 and CERES-Aqua introduce flux differences that are within the uncertainties of CERES
306 ADMs (Su et al. 2015b). However, the uncertainty assessment provided here should be
307 considered as the low end, as many regions (especially over land, snow, and ice) were not
308 included due to sample number differences within the grid boxes. When cloud property
309 differences are accounted for, the SW flux uncertainties increase significantly and exceed
310 the uncertainties of CERES ADMs. These findings indicate that inverting CERES-NPP
311 flux using CERES-Aqua ADMs resulting in flux uncertainties that are within the ADMs
312 uncertainties as long as the cloud retrievals between VIIRS and MODIS are consistent.
313 When the cloud retrieval differences between VIIRS and MODIS are accounted, the SW flux
314 uncertainties exceed the ADM uncertainties. To maintain the consistency of the CERES
315 climate data record, it is thus important to maintain the consistency of cloud retrieval
316 algorithms.

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389 List of Tables

- 390 1 Comparison of SW, daytime LW, and nighttime LW radiances ($\text{Wm}^{-2}\text{sr}^{-1}$)
391 between CERES-Aqua and CERES-NPP using matched footprints of 2013
392 and 2014. 18



TABLE 1. Comparison of SW, daytime LW, and nighttime LW radiances ($\text{Wm}^{-2}\text{sr}^{-1}$) between CERES-Aqua and CERES-NPP using matched footprints of 2013 and 2014.

	SW	Daytime LW	Nighttime LW
Sample Number	363203	192178	187880
Mean CERES-Aqua Radiance	69.2	77.4	74.4
Mean CERES-NPP Radiance	70.2	77.0	74.3
RMS Error	4.4	1.6	0.8



393 List of Figures

- 394 1 Radiance comparisons between matched CERES-Aqua and CERES-NPP foot-
395 prints, (a) SW; (b) daytime LW; and (c) nighttime LW using data of 2013
396 and 2014. 20
- 397 2 Scheme of convoluting the MODIS pixels into the Aqua and NPP footprints. 21
- 398 3 The monthly gridded mean TOA instantaneous SW fluxes derived based upon
399 the broadband radiances from regression coefficients for the Aqua footprints
400 (a), the flux differences caused by footprint size difference between simulated
401 NPP and Aqua (b), and the flux differences caused by both footprint size and
402 cloud property differences (c) using April 2013 data. Regions shown in white
403 have large sample number differences between Aqua and simulated NPP. 22
- 404 4 Cloud fraction (a) and cloud optical depth (b) differences between VIIRS and
405 MODIS retrievals for April 2013. 23
- 406 5 The monthly gridded mean TOA daytime LW fluxes derived based upon the
407 broadband radiances from regression coefficients for the Aqua footprints (a),
408 the flux differences caused by footprint size difference between simulated NPP
409 and Aqua (b), and the flux differences caused by both footprint size and cloud
410 property differences (c) using April 2013 data. Regions shown in white have
411 large sample number differences between Aqua and simulated NPP. 24
- 412 6 The monthly gridded mean TOA nighttime LW fluxes derived based upon
413 the broadband radiances from regression coefficients for the Aqua footprints
414 (a), the flux differences caused by footprint size difference between simulated
415 NPP and Aqua (b), and the flux differences caused by both footprint size and
416 cloud property differences (c) using April 2013 data. Regions shown in white
417 have large sample number differences between Aqua and simulated NPP. 25

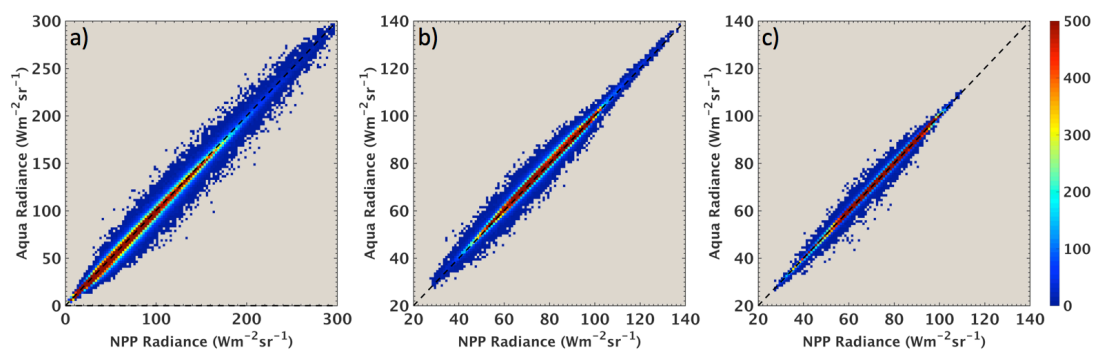


FIG. 1. Radiance comparisons between matched CERES-Aqua and CERES-NPP footprints, (a) SW; (b) daytime LW; and (c) nighttime LW using data of 2013 and 2014.

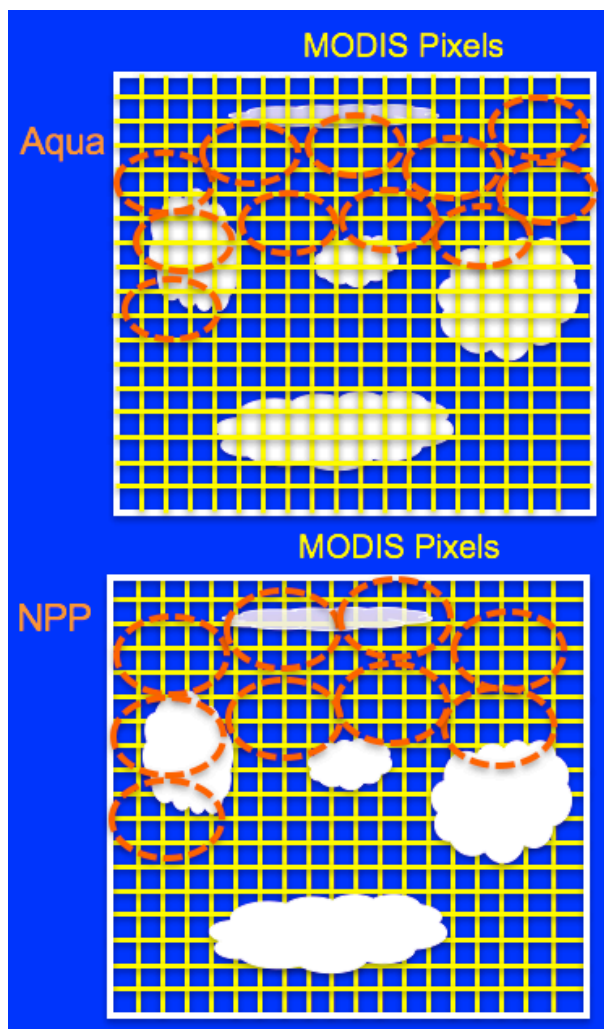


FIG. 2. Scheme of convoluting the MODIS pixels into the Aqua and NPP footprints.

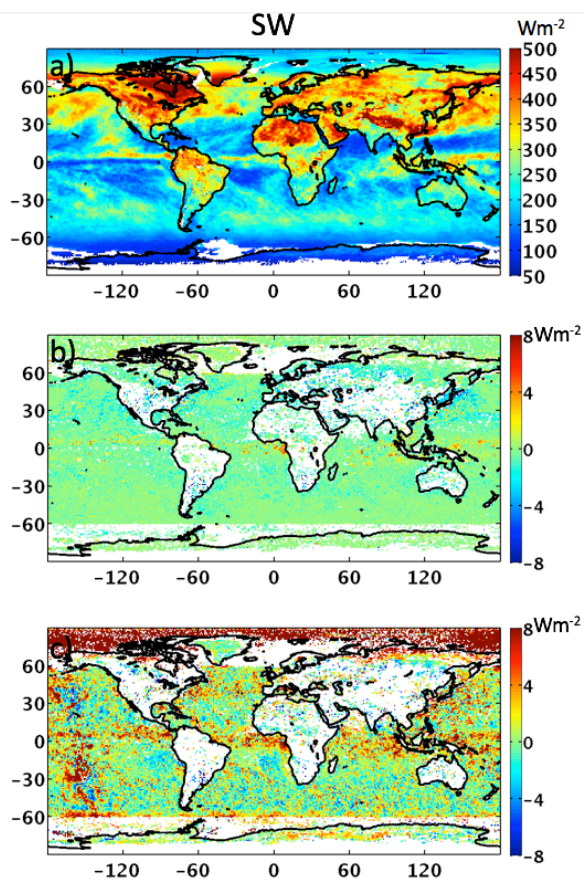


FIG. 3. The monthly gridded mean TOA instantaneous SW fluxes derived based upon the broadband radiances from regression coefficients for the Aqua footprints (a), the flux differences caused by footprint size difference between simulated NPP and Aqua (b), and the flux differences caused by both footprint size and cloud property differences (c) using April 2013 data. Regions shown in white have large sample number differences between Aqua and simulated NPP.

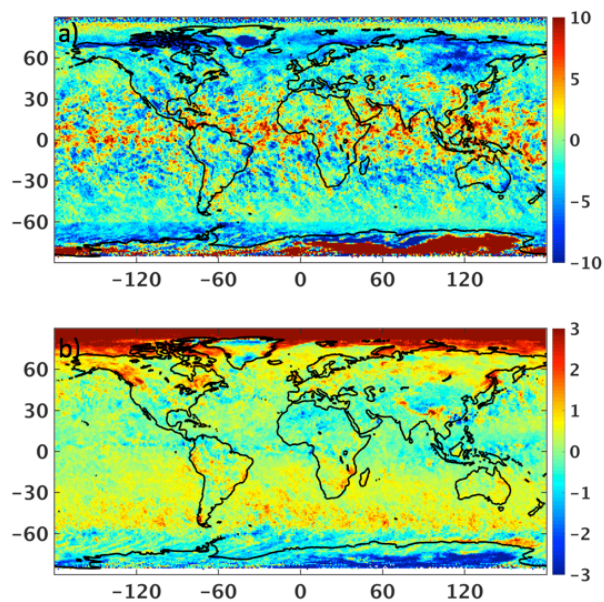


FIG. 4. Cloud fraction (a) and cloud optical depth (b) differences between VIIRS and MODIS retrievals for April 2013.

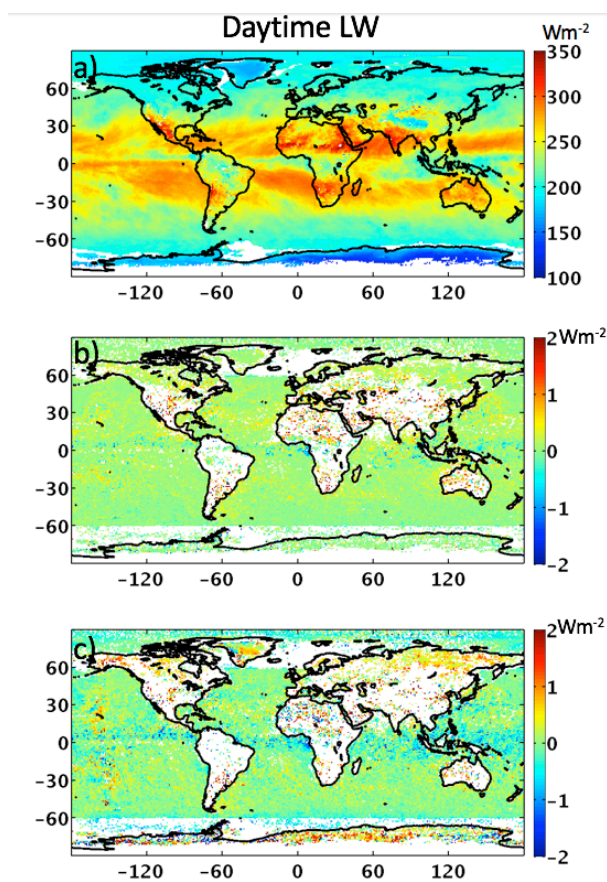


FIG. 5. The monthly gridded mean TOA daytime LW fluxes derived based upon the broadband radiances from regression coefficients for the Aqua footprints (a), the flux differences caused by footprint size difference between simulated NPP and Aqua (b), and the flux differences caused by both footprint size and cloud property differences (c) using April 2013 data. Regions shown in white have large sample number differences between Aqua and simulated NPP.

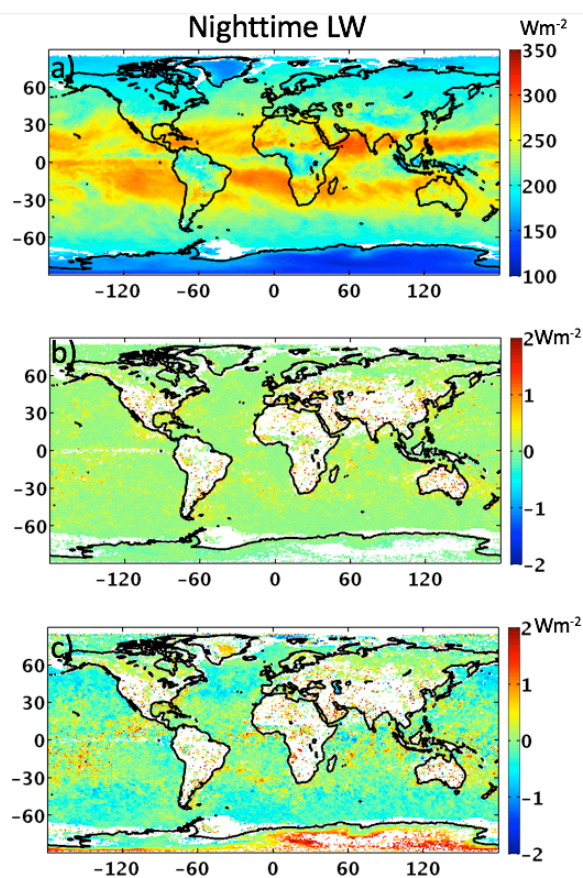


FIG. 6. The monthly gridded mean TOA nighttime LW fluxes derived based upon the broadband radiances from regression coefficients for the Aqua footprints (a), the flux differences caused by footprint size difference between simulated NPP and Aqua (b), and the flux differences caused by both footprint size and cloud property differences (c) using April 2013 data. Regions shown in white have large sample number differences between Aqua and simulated NPP.