### **Response to Anonymous Referees**

### **Anonymous Referee #1**

### **Overall Comments**

This manuscript presents an interesting assessment of the influence of relative humidity on the performance of one low cost sensor. The results are robust, even if the amount of data presented could be considered scarce. They are useful in general for the scientific community. I would favor publication, but a number of relevant issues should be addressed first:

### **Comment 1**

Title: please modify to "the performance of a low cost sensor", as the authors mainly analyze one type of sensor and the title is therefore misleading. The few data presented for another 4 sensors do not justify generalizing in the title.

### **Response 1**

We have amended the title as suggested.

### **Comment 2**

Page 1: Line 19: "sensors can accurately report particle mass and number concentrations", please remove as this is not a conclusion from this work. The authors have not studied the overall performance of sensors.

### **Response 2**

We have removed this text and amended this sentence as follows:

"This study shows that it is important to understand that the results provided by low-cost particle sensors, such as the PMS1003, cannot be used to ascertain if air quality standards are being met".

## Comment 3

Line 30, reference needed.

### **Response 3**

The following references have been inserted:

Snyder et al., 2013; Jovasevic-Stojanovic et al., 2015; Rai et al., 2017.

## **Comment 4**

Page 2: References needed in lines 3, 4 and 5. In general, please review references in the introduction, as they are scarce

## **Response 4**

The following references have been inserted:

Jayaratne et al., 2018; Rai et al., 2017; Kelly et al., 2017.

Fine et al., 2010; Piedrahita et al., 2014.

Holstius et al., 2014; Rai et al., 2017; Crilley et al., 2018; Jayaratne et al., 2018

## **Comment 5**

Line 7: "the performance of low cost" should be "the performance of one low cost"

### **Response 5**

The sentence has been changed to "... the performance of a low-cost particulate matter sensor".

### **Comment 6**

Page 3: Line 23: "out of each", sentence unfinished

### **Response 6**

Text changed to "... airflow into and out of the device".

### **Comment 7**

Line 29: what were the results from the intercomparison of the Dusttrak? They could be useful, even if in Supporting Information

### **Response 7**

These results are detailed in our publication Jayaratne et al., 2018. In this paper, we have inserted the following text:

"Prior to the study, the DustTrak was calibrated against a standard TEOM in the laboratory. With dry ambient aerosols, the  $PM_{2.5}$  concentrations reported by the two instruments agreed to within 10% (Jayaratne et al., 2018). With normal ambient aerosols, the readings again agreed closely until the relative humidity exceeded about 75% when the DustTrak readings were significantly greater than that of the TEOM".

## **Comment 8**

Page 4: Line 29: how do the authors know? The Dusttrak concentrations also increased with RH>78%. Is 1.8 the ration between the sensor and the Dusttrak readings? If so, what was the ratio for RH between 60-75%? Please clarify these issues: with the data in figure 1 it is not possible for the reader to extract the conclusions in lines 28-30 on page 4

### **Response 8**

The deviation is from the concentration value at lower RH. We have changed the text to:

"The critical relative humidity beyond which the  $PM_{2.5}$  concentration reported by the PMS1003 begins to deviate from the previous ambient value is indicated by the broken line in the figure".

No. This refers to the increase in the PMS1003 reading from its steady value at low RH values. There is a 80% increase between a RH of 75% and 88%, hence the ratio 1.8. Accordingly, there is no increase between 60% and 75%, so the ratio here will be 1. We have changed the text as follows:

"Beyond this value, the  $PM_{2.5}$  readings indicated by the PMS1003 increased steadily from about 9 µg m-3 at a relative humidity of 78% to about 16 µg m-3 at the maximum relative humidity of 89% achieved in this experiment – an increase of almost 80%".

## **Comment 9**

Lines 9-14: these are not original results from the authors and should be moved to the introduction. Especially, figure 2 should be removed as it is published material and in addition it doesn't add relevant information for the paper.

## **Response 9**

The material is relevant as it shows that there are many deliquescent substances in the Brisbane environment which is used to explain our results. Also, this Figure is not directly from the paper cited (Harrison, 2007) but we have plotted it based on data provided in that paper. However, as suggested, we have moved the figure (now labelled 'Fig 1') and the following text to the Introduction:

"The composition of particles in the atmosphere of Brisbane, as derived from Harrison (2007) is shown in Fig. 1. The subtropical, near-coastal environment is characterised by the presence of several hygroscopic salts such as sodium chloride, ammonium sulphate and ammonium nitrate that have deliquescence relative humidities in the range of 70% to 80% (Hu et al., 2010). Many particles in the air in Brisbane contain these salts in varying concentrations. Once the relative humidity exceed the respective deliquescence values, those salts begin to absorb water, resulting in particle growth and the excess water is registered by PM sensors, unless they are removed at the instrument inlets by heating or drying. While

more expensive instruments such as the TEOM have built-in drying features at the sample inlets, it is not standard on low-cost sensors and even in many other mid-cost monitors such as the TSI DustTrak".

## Comment 10

Line 31: "illustrating"

should be "suggesting". This comparison is useful, but it can only suggest. Without a collocated CPC it is not possible to conclude firmly that the increase in PNC is an artifact and not that an additional source could be present which by chance correlates with foggy scenarios. However unlikely this is, it can't be ruled out with the data presented by the authors.

## **Response 10**

We agree and have replaced the word "illustrating" with "suggesting".

### Comment 11

Figure 7: same as for figure 2, it should be removed as it is not primary research by the authors (it is already published by other authors). Therefore it should be removed and, if anything, referenced in the introduction

### Response 11

As suggested, we have removed Figure 7 from the paper.

## Comment 12

Page 7, lines 15-16: why is this an "interesting observation", if it is "not unexpected" by the authors? It all seems quite expectable (until line 22) page 7, line 33: "sensors are not always fit for purpose", I believe there is a misconception here: precisely because they are fit for purpose they shouldn't be used to verify compliance with standards, as this is not the purpose that sensors are designed for. This should be the message to be conveyed, to that they are not fit for purpose.

## **Response 12**

We agree. We have deleted this text and revised the two sentences as follows:

Page 7, lines 15-16

"We also observed that the PNC and PM concentrations reported by the PMS1003 decreased in the presence of rain".

page 7, line 33

"What this illustrates is that it should not be presumed that low-cost sensors are suited for regulatory applications".

## **Anonymous Referee #2**

## **Overall Comments**

This paper has the possibility of being a good paper, with some considerable extra additional text and analysis. The science area being discussed is very topical and the authors seek to show a particle-bound water effect on the DustTrak instrument measurements compared to the dried TEOM data.

Some specific areas which I think could improve the manuscript:

## Comment 1

1.Referencing

The authors only cite 15 papers, despite there being a significant body of work now in this area. For example, Kingham et al. https://www.sciencedirect.com/science/article/pii/S1352231005008885 who Specifically compare TEOMs with dusttrak and other instruments (And cites other measurement which have shown the differences and over reading of the instruments which do not dry the particles. I could have chosen several other papers.

## **Response 1**

We have cited the following two additional papers in the Introduction:

Kingham, S., Durand, M., Aberkane, T., Harrison, J., Wilson, J.G., Epton, M., Winter comparison of TEOM, MiniVol and DustTrak PM10 monitors in a woodsmoke environment, Atmospheric Environment, 40(2), 338-347, 2006.

Alexandrova, O.A., Boyer, D.L., Anderson, J.R., Fernando, H.J.S., The influence of thermally driven circulation on PM10 concentration in the Salt Lake Valley, Atmospheric Environment, 37(3), 421-437, 2003.

Including these, seven new papers have been cited in this paper now.

## Comment 2

The authors should do a more detailed literature review and clearly define the reasons why their new study adds to the wider knowledge

## **Response 2**

We have done a more detailed literature review that has added seven more citations into this paper. The contribution of this paper to wider knowledge is now reflected in the following text:

At the end of the Introduction:

"While several studies have drawn attention to a possible effect of humidity on the performance of low cost sensors, no study has reliably quantified the effect. This study was carried out to investigate and to assess the magnitude of the effect of relative humidity on the performance of a low-cost particle sensor and to understand the mechanisms involved".

The last paragraph in the Discussion section:

"Since they generally do not have drying facilities at their sample inlets, low-cost particle sensors measure what is actually present in the air, including both the solid and liquid phases of the particles. This is a real observation and not an artefact of the instrument as suggested by Crilley et al. (2018). This is an important aspect to be kept in mind when using low-cost sensors to assess the pollution levels in the atmosphere. What this illustrates is that it should not be presumed that low-cost sensors are suited for regulatory applications. For example, while it is reasonable to use low-cost sensors to measure the actual particle mass concentrations that are present in the air; such observations should not be used to verify if the air quality meets the stipulated guidelines or standards for particle pollution".

## Comment 3

### 2. Quality assurance of laboratory experiment

The description of the lab results is short and not detailed enough to have confidence in the work. The chamber at 1 m3 is rather small and probably has significant wall effects from particle deposition/emission. No description of how this is checked for is reported - the citation to the previous study is not enough for a reader to have confidence in the methodology. Only one set of experimental results are shown. Ideally at least 5 repeats with similar PM loading should be shown with an uncertainty analysis/error bars etc. In addition a blank run to show there is no effect of background in the chamber. Did the authors do any runs with laboratory derived aerosol?

### **Response 3**

The focus of this paper is not on lab studies but on the field studies because many air quality stations use standard instruments such as the TEOM and BAM that have drying facilities to remove any water in the sample. This is appropriate as air quality standards are based on the solid fraction of the aerosols only. The main conclusion of this study is that low cost sensors that are being increasingly used in field studies today, do not have a sample drying facility and the PM values reported will include any liquid fraction and therefore should not be used for regulatory purposes. The laboratory experiments, including those carried out with five different low cost sensors, four different aerosols, at a range of relative humidity and temperature, are described in detail in our earlier publication (Jayaratne et al., 2018; Plos One) and anyone who is interested in the details can read it in there. In this paper, we merely mention the laboratory experiments to show that the reported PM2.5 values are affected at relative humidities above about 75%. This observation leads to the more detailed field studies under real world humid conditions and episodes of fog that we focus on in this paper.

### **Comment 4**

3. Field study.

Despite running the experiment for a month nearly the authors only show the one day (when there was fog). In the results they talk about several different periods but it is hard to have a clear overview as no dates are mentioned. It would be better to show the full dataset along with the associated local meteorology. Discuss that larger dataset and then focus in on the fog events. Did the RH get close to 100 in the absence of fog? Did the over reading occur then?

## **Response 4**

We have now presented the data from the entire period of observation (new Fig 3). The dates on the x-axis are shown from  $21^{st}$  July to  $14^{th}$  August 2017. The respective dates are also included in the captions of the other figures. The figure includes the time series of the relative humidity.

A description and discussion of the larger dataset is provided in the following text that has been inserted on page 6:

"Fig 3 shows the time series of the PM2.5 concentrations reported by the PMS1003 and the standard TEOM during the entire duration of the study. Also shown is the relative humidity during this period. The relative humidity exhibited a daily cycle with a minimum in the early afternoon and a maximum at night. Note that the peak PM2.5 concentrations indicated by both instruments generally coincided with the time when the relative humidity reached its maximum value near dawn each day. The maximum value often coincided with episodes of fog, although its value did not reach 100%. It is likely that this was a consequence of a limitation of the instrument. At such times, the PMS1003 reading was generally higher than the TEOM. However, from Fig 3, it is observed that on many days, the readings of both instruments increased during times when the relative humidity was high, suggestive that the TEOM did not remove all of the liquid portion of the aerosols. In the afternoon, the TEOM reading was often higher than the PMS1003. This is probably because most of the aerosols in the atmosphere at this time were ultrafine particles from motor vehicle emissions. The size of these particles are below the minimum detectable size limit of the PMS1003 which is 0.3  $\mu$ m".

## **Comment 5**

The authors do not mention the systematic under reading by the dustrak cf the TEOM in the non fog part of the graphs shown. No summary statistics of the comparisons between the instruments over the intercomparison period shown - Which I think is essential to understand the bias and offsets. It would be good to see the statistics of different RH bands.

## **Response 5**

We assume that the Reviewer is referring to Fig 2, as this is the only graph that shows data from the DustTrak particle monitor.

In general, the readings of the PMS1003 sensors differed between the individual units. The differences depended on the type of aerosol and the concentration being measured. At the low concentrations found in the ambient environment of Brisbane, the coefficient of variation

between 'identical' PMS1003 sensor units was about 0.07 and this is reported in detail in Jayaratne et al. (2018).

As observed in Fig 2, the PM2.5 readings of the particular PMS1003 sensor used in this experiment were about 20% higher than the readings on the DustTrak.

In the present study, the absolute magnitudes of the PM2.5 concentrations are not considered to be important since the paper is focussed on the differences in PM2.5 concentrations reported by the DustTrak and the PMS1003 sensors as the relative humidity varies. We show that the concentration remains relatively constant up to a relative humidity of about 75% and then rises sharply as it is increased further. It is the difference in the reported concentrations that is important, not their actual magnitudes.

However, we have inserted the following text in the description of the graph in Fig 2 in Section 3.1:

"As observed in the figure, the PM2.5 readings of the particular PMS1003 sensor used in this experiment were consistently higher than the readings on the DustTrak. In general, the readings of the PMS1003 sensors differed between the individual units. The differences depended on the type of aerosol and the concentration being measured. At the low concentrations found in the ambient environment of Brisbane, the coefficient of variation between 'identical' PMS1003 sensor units was about 0.07, as reported in detail in Jayaratne et al. (2018)".

### **Comment 6**

### 4. Fog vs high RH:

Though ther is some discussion about the process of fog formation and particle activation, the fog effect is mixed up with deliquescence. What the DustTrak observes is aqueous particles, and the TEOMs will have a mixture of effloresced and supersaturated aqueous particles. The growth curve either from a dry or supersaturated aqueous particle is similar. This is completely different to fog formation which is cloud particle activation which occurs when RH>100%. The author should clearly separate these two regimes and these separate processes, both in the results and discussion. Though I am reasonably sure the observation of fog and rain with the different measurements by both instruments are correct, and are somewhat explained by the authors, there is a more scientific discussion required to make sense of the results. It would also be useful to see a clear extrapolation to more a general discussion about what type of fog it was (see https://www.atmos-them-phys.net/14/10517/2014/acp-14-10517-2014.pdf for definitions) and how typical the short period of observation they have is (I.e. how would it affect annual data capture, could you write a met station interface to remove data with fog?

### **Response 6**

We agree with the reviewer's comments. However, the mechanism of water uptake by particles, the formation of fog in the atmosphere and the physics behind the removal of fog by rain are beyond the scope of this paper.

Here, we focus on the effect of wet aerosols and fog droplets in the air on the readings reported by low cost particle sensors and other particle sensors with no drying facilities at the inlet. We did not have any means of determining whether there was fog at RH<100%. The reports of fog in this study are all based on visual observations and may not be exact when the RH is near 100%. We have inserted the word "visually" before "observed" in at least three sentences in Section 3.2:

"Fog was visually observed at the site during the early morning hours".

"In Fig 5, we show the number concentration of particles larger than 1.0  $\mu$ m against the corresponding number in the lowest size bin, 0.3 to 0.5  $\mu$ m on the 31st August when there was an episode of fog visually observed during the early morning".

"Fig. 6(a) shows the results on the 24th July when the relative humidity did not exceed 80% and there were no visual reports of fog".

Regarding removing data with fog, our results show that it is impossible to predict the formation of fog based on the relative humidity alone. This is discussed in detail in the following paragraph in the Results and Discussion Section:

"An obvious question that arises from this work is whether it is possible to derive a correction factor for the particle number and mass concentrations reported by the low-cost sensors in the presence of high humidity and fog. Our results show that, once the deliquescence point is exceeded, the particle number and mass concentrations begin to increase and are not directly related to the absolute value of the relative humidity. Once the ambient temperature reaches the dew point temperature, the conditions become suitable for the formation of fog droplets in the air and, since a significant fraction of these water droplets fall within the detection size of the PMS1003 (Fig 7), they are detected as particles. We also observed that the PNC and PM concentrations reported by the PMS1003 decreased in the presence of rain. This is not unexpected as it is known that rain washes out a fraction of airborne particles. More interestingly, our results show that the decrease in PNC and PM concentrations reported by the PMS1003 due to rain were significantly greater when there was an episode of fog than when there was no fog. While a significant number of fog droplets fall within the detection size range of the PMS1003, almost all the rain drops are larger than the maximum detection size of particles. We hypothesize that the raindrops were washing out the fog droplets in the air, resulting in an overall decrease in the reported PNC and PM concentrations reported by the low-cost particle sensors that have no drying facilities at their sample inlets. Moreover, the relative humidity of the atmosphere increased during rain, often approaching 100%. Raindrops are too large to be detected by most particle sensors and, as such, they do not show an increase in concentration during rain. For these reasons, we find that there is no direct relationship between the relative humidity in the atmosphere and the PNC and PM concentrations reported by a sensor or monitor with no drying facility at its inlet and, as such, it is not possible to derive any appropriate correction factors for this effect".

### Comment 7

Figure 2 and 7 are not needed as these are well know in the literature, and only meet mentioning in the text.

### **Response 7**

Also, in response to Reviewer 1, we have moved Fig 2 to the Introduction (as Fig 1 now) and, as suggested, we have removed Fig 7 from the paper.

# The Influence of Humidity on the Performance of <u>a</u> Low-Cost Air Particle Mass Sensors and the Effect of Atmospheric Fog

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**Abstract.** While low-cost particle sensors are being increasingly used in numerous applications, most of them have no heater or dryer at the inlet to remove water from the sample before measurement. Deliquescent growth of particles and the formation of fog droplets in the atmosphere can lead to significant increases in particle number concentration (PNC) and

- 10 mass concentrations reported by such sensors. We carried out a detailed study using a Plantower PMS1003 low-cost particle sensor, both in the laboratory and under actual ambient field conditions, to investigate its response to increasing humidity and the presence of fog in the air. We found significant increases in particle number and mass concentrations at relative humidity above about 75%. During a period of fog, the total PNC increased by 28%, while the PNC larger than 2.5 µm increased by over 50%. The PM10 concentration reported by the PMS1003 was 46% greater than that on the standard
- 15 monitor with a charcoal dryer at the inlet. While there is a causal link between particle pollution and adverse health effects, the presence of water on the particles is not harmful to humans. Therefore, air quality standards for particles are specifically limited to solid particles and standard particle monitoring instruments are fitted with a heater or dryer at the inlet to remove all liquid material from the sample before the concentrations are measured. This study shows that, although low cost sensors can accurately report the particle number and mass concentrations in the environment, it is important to understand that the
- 20 results provided by low-cost particle sensors, such as the PMS1003, cannot be used to ascertain if air quality standards are being met.

#### **1** Introduction

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The rapid technological advancements in the fields of material science, digital electronics and wireless communication have given rise to a wide range of low-cost air quality sensors that are now readily available on the market. These sensors are increasingly being used in many applications that were previously not achievable with conventional expensive equipment (Kumar et al., 2015; Rai et al., 2017; Snyder et al., 2013). Some of these applications are the monitoring of personal exposure and indoor air pollution and the gathering of high-resolution spatiotemporal air pollution data by means of extensive sensor networks. The data thus derived are being utilised for a variety of air pollution management tasks such as supplementing conventional air pollution monitoring, understanding the link between pollutant exposure and human health,

<sup>30</sup> emergency response management, hazardous leak detection and source compliance monitoring. In the process, they also

serve to increase the community's awareness and engagement towards air quality issues (Snyder et al., 2013; Jovasevic-Stojanovic et al., 2015; Rai et al., 2017).

However, there are many questions regarding the reliability and, in particular, the accuracy of these low-cost sensors and their suitability in the applications that they are being used (Lewis and Edwards, 2016). Many of these sensors have serious

- 5 limitations. For example, while many particle sensors respond well to high concentrations, they fail to do so at lower levels such as typical ambient concentrations (Jayaratne et al., 2018; Rai et al., 2017; Kelly et al., 2017). Single gas sensors are very often affected by other interfering gases (Fine et al., 2010; Piedrahita et al., 2014), while environmental parameters such as temperature and humidity can also affect the performance of these sensors under certain conditions (Holstius et al., 2014; Rai et al., 2017; Crilley et al., 2018; Jayaratne et al., 2018).
- In this paper, we investigate the effect of atmospheric relative humidity on the performance of <u>a</u> low-cost particulate matter sensors. Humid conditions can affect the performance of a sensor in several ways. For example, sensors that operate on the principle of light scattering are affected as the particle refractive indices are dependent on relative humidity (Hänel, 1972; Hegg et al., 1993). High humidity can cause condensation to form on electrical components leading to resistive bridges across components. In gas sensors, condensation on the sensor surfaces can affect the reactions that give rise to the measurable electric quarante.
- 15 measurable electric currents.

Hygroscopic growth occurs when the relative humidity exceeds the deliquescence point of a substance. There are many hygroscopic salts such as sodium chloride, that absorb water and grow at relative humidity as low as 70%, present in the atmosphere, especially in marine environments (Hu et al., 2010). Jamriska et al. (2008) found a significant effect of relative humidity on traffic emission particles in the size range 150-880 nm and attributed it to hygroscopic particle growth. Crilley

- 20 et al. (2018) demonstrated a significantly large positive artefact in measured particle mass by an Alphasense OPC-N2 sensor during times of high ambient relative humidity. Manikonda et al. (2016) cautioned against using PM sensors in outdoor locations at high humidity due to hygroscopic growth of particles. In circumstances where the relative humidity approaches 100%, there is the possibility of mist or fog droplets that are detected as particles. While there is a causal link between particle pollution and adverse human health effects, the presence of water on the particles play no part in it. Therefore, air
- 25 quality standards for particles are based on the dry, solid material only, and stipulate that the liquid portion must be eliminated when measuring particle mass for regulatory purposes. In order to achieve this, many conventional particle mass monitors such as the standard tapered element oscillating microbalance (TEOM) employ a charcoal heater at its inlet to remove all liquids from the particles that are being measured (Charron et al., 2004: Alexandrova et al., 2003). Thus, sensors with no drying facility at the inlet measure what is actually present in the environment rather than what is required under
- 30 regulatory protocols.

The composition of particles in the atmosphere of Brisbane, as derived from Harrison (2007) is shown in Fig. 21. The subtropical, near-coastal environment is characterised by the presence of several hygroscopic salts such as sodium chloride, ammonium sulphate and ammonium nitrate that have deliquescence relative humidities in the range of 70% to 80% (Hu et al., 2010). Many particles in the air in Brisbane contain these salts in varying concentrations. Once the relative humidity

exceed the respective deliquescence values, those salts begin to absorb water, resulting in particle growth and the excess water is registered by PM sensors, unless they are removed at the instrument inlets by heating or drying (Alexandrova et al., 2003). While more expensive instruments such as the TEOM have built-in drying features at the sample inlets, it is not standard on low-cost sensors and even in many other mid-cost monitors such as the TSI Dust+Trak (Kingham et al., 2006).

- 5 There have been very few studies of the effect of relative humidity on the performance of low cost sensors. Wang et al. (2015) investigated the performance of three low cost particle sensors based on light scattering and concluded that the absorption of infrared radiation by a film of water on a particle can cause an overestimation of the derived particle mass concentration due to the reduced intensity of light received by the phototransistor. Hojaiji et al. (2017) showed that the particle mass concentration reported by a Sharp PM sensor increased when the humidity was increasing but not when it was
- 10 decreasing. While several studies have drawn attention to a possible effect of humidity on the performance of low cost sensors, no study has reliably quantified the effect. This study was carried out to investigate and to assess the magnitude of the effect of relative humidity on the performance of a low-cost particle sensor and to understand the mechanisms involved.

#### 2 Method

In this study, we focussed on the effect of relative humidity on the performance of a low-cost particle sensor in the 15 laboratory and under real world conditions in an outdoor location at an air quality monitoring station with standard instrumentation.

#### 2.1 The Test Sensor

Prior to commencing this study we tested a range of low-cost particle sensors, including the Sharp GP2Y, Shinyei PPD42NS, Plantower PMS1003, Innociple PSM305 and the Nova SDS011 (Jayaratne et al., 2018). All of them were found to be affected to some degree by humidity with the Sharp and Shinyei being affected at relative humidity as low as 50% while the other three showed deviations from the standard instruments when the relative humidity exceeded 75-80%. Considering their performance characteristics, the Plantower PMS1003 was selected as the most suitable sensor for this study. This sensor was selected because it is freely available, low-cost (around US\$20) and its performance characteristics have been previously investigated extensively in our laboratories and found to be superior to the other sensors tested

- 25 (Jayaratne et al, 2018). The PMS1003 is a compact particle sensor that monitors particles larger than 0.3 μm in diameter. It operates by drawing the sample air using a miniature fan into a small inbuilt chamber, where the particles are exposed to a fine laser beam. The scattered light is detected by a photodetector which produces an electrical output. The signal is processed using a complex algorithm to provide real-time readings of particle mass concentration in three ranges PM<sub>1</sub>, PM<sub>2.5</sub> and PM<sub>10</sub>, together with particle number concentrations (PNC) in six size ranges greater than 0.3, 0.5, 1.0, 2.5, 5 and
- 30 10  $\mu$ m, at intervals down to 2s. All three PM values are reported in units of  $\mu$ g m<sup>-3</sup>, while the PNCs are reported as per 0.1L or dL<sup>-1</sup>.

The PMS1003 was mounted on a custom interface board including a low-power microcontroller with multiple serial interfaces, a high-resolution 16-bit analog to digital converter and a real-time clock that provided accurate time-stamping of the measurements. The PMS1003 was attached to a frame along with the interface board, allowing unobstructed airflow into and out of eachthe device. The microcontroller was programmed to perform the necessary signal processing and power management. The time-stamped data were transferred in real-time via USB serial communications to a computer and logged into a text file for post-analysis.

#### 2.2 Standard Instrumentation

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In the laboratory experiments, we used a TSI 8530 Dust‡Trak DRX aerosol monitor with a PM<sub>2.5</sub> impactor. The instrument has an inbuilt data logger. The sample air is drawn through the inlet which has no drying facility to remove the liquid portions of the particles, if any. Prior to the study, the Dust‡Trak was calibrated against a standard TEOM in the laboratory. <u>Withusing</u> dry ambient aerosols, <u>--the PM<sub>2.5</sub> concentrations reported by the two instruments agreed to within 10% (Jayaratne et al., 2018). With normal ambient aerosols, the readings again agreed closely until the relative humidity exceeded about 75% when the DustTrak readings were significantly greater than that of the TEOM. The air quality monitoring station, where the field study was conducted, contained two TEOMs providing accurate 5-min readings of PM<sub>2.5</sub> and PM<sub>10</sub>, together with</u>

15 accurate measurements of air temperature and relative humidity.

The station also included a nephelometer to monitor atmospheric visibility in terms of the particle back-scatter (BSP) coefficient, reported in units of Mm<sup>-1</sup>. The BSP corresponds to the concentration of particles in the air and provides an estimate of the visibility. Observations have shown that its value typically ranges from about 5-15 Mm<sup>-1</sup> on a 'clean' day to about 50 Mm<sup>-1</sup> on polluted days with, for example, traces of smoke in the atmosphere. However, during periods of fog, the

20 value is generally much higher. Careful visual observations over a period of several weeks in Brisbane confirmed that the presence of mist or fog in the air generally resulted in BSP readings greater than 100 Mm<sup>-1</sup>. Where visual observations were not possible, such as during the night, this value of BSP was used in this study as an indicator of fog in the atmosphere.

#### 2.3 Laboratory Experiments

The laboratory experiments were carried out in a 1 m<sup>3</sup> chamber. Ambient air from outside the building was drawn into the chamber by means of a low power air pump at a flow rate of about 1 L min<sup>-1</sup> so that the particle concentration in the chamber was maintained at a relatively steady value close to that of the outdoor air. The interface board with the PMS1003 was placed on a raised platform inside the chamber and directly connected to the computer which was placed outside. Readings were obtained in real-time at intervals of 5 s. The DusttTrak monitor was located outside the chamber, sampling the air through a short length of conductive rubber tubing. A small fan on the floor of the chamber was used to ensure that the air

30 was well mixed to give uniform particle concentrations throughout its volume. The humidity in the chamber was increased by introducing moist tissue paper. The relative humidity was monitored with a TSI 7545 Indoor Air Quality meter.

#### 2.4 Field Experiments

The field measurements were carried out at an air quality monitoring station, situated close to a busy road, carrying approximately 100 vehicles per min during the day. The PMS1003 was housed in a sealed weather-proof box of dimensions 150x120x100 mm, and the built-in fan was used to draw ambient air from the outside through an aperture in the box. Readings were obtained at 5 min intervals over a continuous period of 25-24 days in-between 21st July and  $14^{th}_{2}$  August 2017.

#### 3 Results

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#### 3.1 Laboratory Experiments

- With the steady introduction of ambient air, the PM<sub>2.5</sub> concentration in the chamber was maintained at about 10 ± 1 μg m<sup>-3</sup>.
  PNCs were typically about 1000 and 50 dL<sup>-1</sup> in the size bins larger than 0.3 and 1.0 μm, respectively. As the humidity in the chamber was gradually increased, the particle mass concentrations reported by the PMS1003 did not show a significant change until the relative humidity reached about 78%. Fig. 4-2 shows the corresponding PM<sub>2.5</sub> concentrations reported by the
- PMS1003 and the Dust#Trak. The critical relative humidity beyond which the PM<sub>2.5</sub>concentration reported by the PMS1003 begins to deviate from the previous ambient value is indicated by the broken line in the figure. Beyond this value, the PM<sub>2.5</sub> readings <u>indicated by the PMS1003</u> increased steadily from about 9  $\mu$ g m<sup>-3</sup> at a relative humidity of 78% to about 16  $\mu$ g m<sup>-3</sup> at the maximum relative humidity of , up to a factor of approximately 1.8 at the maximum relative humidity value of 89% achieved in this experiment <u>– an increase of almost 80%</u>. Interestingly, the corresponding increase in the number concentration of particles in the smallest size bin, 0.3 to 0.5  $\mu$ m, was of the order of 10%, suggesting that the increase in PM<sub>2.5</sub> was mainly as a result of particle growth by water absorption and not due to the formation of new water droplets.
- 20 Thereafter, gradually allowing the relative humidity to decrease resulted in a hysteresis effect with no significant reduction in PM<sub>2.5</sub> concentration until the relative humidity had decreased to about 50%. The Dust**\***<u>T</u>rak aerosol monitor also showed a similar trend, with no change in PM<sub>2.5</sub> concentration reading until the relative humidity exceeded about 75% and then a steady increase in concentration as the humidity was increased further (Fig. **\***<u>2</u>).

As observed in the figure, the PM25 readings of the particular PMS1003 sensor used in this experiment were consistently

25 higher than the readings on the DustTrak. In general, the readings of the PMS1003 sensors differed between the individual units. The differences depended on the type of aerosol and the concentration being measured. At the low concentrations found in the ambient environment of Brisbane, the coefficient of variation between 'identical' PMS1003 sensor units was about 0.07, as reported in detail in Jayaratne et al. (2018).

#### **3.2 Field Experiments**

30 The composition of particles in the atmosphere of Brisbane, as derived from Harrison (2007) is shown in Fig. 2. The subtropical, near coastal environment is characterised by the presence of several hygroscopic salts such as sodium chloride.

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mmonium sulphate and ammonium nitrate that have deliquescence relative humidities in the range of 70% to 80% (Hu et L, 2010). Many particles in the air in Brisbane contain these salts in varying concentrations. Once the relative humidity weeed the respective deliquescence values, those salts begin to absorb water, resulting in particle growth and the excess vater is registered by PM sensors, unless they are removed at the instrument inlets by heating or drying. While more spensive instruments such as the TEOM have built in drying features at the semple inlets, it is not standard on low cost

sensors and even in many other mid-cost monitors such as the TSI Dusttrak-

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Fig 3 shows the time series of the PM<sub>2.5</sub> concentrations reported by the PMS1003 and the standard TEOM during the entire duration of the study. Also shown is the relative humidity during this period. The relative humidity exhibited a daily cycle with a minimum in the early afternoon and a maximum at night. Note that the peak PM<sub>2.5</sub> concentrations indicated by both instruments generally coincided with the time when the relative humidity reached its maximum value near dawn each day. The maximum value often coincided with episodes of fog, although its value did not reach 100%. It is likely that this was a consequence of a limitation of the instrument. At such times, the PMS1003 reading was generally higher than the TEOM. The drying process at the instrument inlet However, from Fig 3, it is observed that on many days, the readings on both instruments increased during times when the relative humidity was high, suggestive that the TEOM did not remove all of the liquid portion of the aerosols. In the afternoon, the TEOM reading was often higher than the PMS1003. This is probably because most of the aerosols in the atmosphere at this time were ultrafine particles from motor vehicle emissions. The size of these particles are below the minimum detectable size limit of the PMS1003 which is 0.3 µm, has consequences as illustrated

- in a second s
- Fig. 34 which shows the hourly PM<sub>2.5</sub> concentrations reported by the PMS1003 and TEOM during the course of on the night
- 20 of the 6-7 August, which was realtively a humid night at the air quality monitoring station. On this night, the relative humidity reported by the monitoring station increased steadily through the night from 76% at 18:00 h, exceeding 90% at 5:00 h the next morning. Fog was visually observed at the site during the early morning hours. The TEOM showed little variation in PM<sub>2.5</sub> concentration over this period but the value reported by the PMS1003 increased sharply and doubled by the morning.
- 25 The PNC values reported by the PMS1003 in all size bins were also higher during periods of fog. Under stable conditions, the PNCs reported by the PMS1003 in the various size bins are generally linearly related. In Fig. 45, we show the number concentration of particles larger than 1.0 μm against the corresponding number in the lowest size bin, 0.3 to 0.5 μm during a dayon the 31<sup>st</sup> August when there was an episode of fog visually observed during the early morning. The points under the broken line in the graph correspond to the day time and the first half of the night when there was no fog observed. A linear
- relationship is evident at this time as illustrated by the straight line in Fig. 45. However, there is a departure from this trend in the section of the graph above the broken line which coincides with the period when the relative humidity was above 75%. As indicated, the points at the upper end of this graph correspond to the early morning hours during the presence of fog, clearly illustrating suggesting that the PMS1003 detects water droplets in the air.

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Next, we compare the PM<sub>2.5</sub> concentration reported by the PMS1003 and TEOM during a day with no fog and on a day with an episode of fog (Fig. 5)(Fig 6). Fig. 56(a) shows the results on the  $24_{\mu}^{th}$  July when a complete day where the relative humidity did not exceed 80% and there were no visual reports of fog. The concentrations shown by both instruments remained below 20 µg m<sup>-3</sup> during much of the day and never exceeded 30 µg m<sup>-3</sup> at any time. Fig. 5(b) is the corresponding

- 5 graph for another daythe  $30_{1}^{\text{th}}$  August when on which there was fog observed between 3:00 and 06:30 AM. During the morning, the <u>indicated</u> relative humidity reached-touched 100% at 3:00 AM and decreased to 90% before soon after the fog dispersed at about 6:30 AM. The PMS1003 showed a sharp increase in PM<sub>2.5</sub> concentration, almost doubling from midnight to 6:30 AM, while the TEOM did not show a significant increase during this time period. Thereafter, the concentrations reported by both instruments showed a steady decline and attained agreement at about 9:00 AM.
- Fig <u>6-7</u> shows the corresponding PNCs reported by the PMS1003 at 3.00, 6.00, 9.00 and 12.00 h on the day shown in Fig <u>56</u>(b). The bars represent the particle number  $dL_{\lambda}^{-1}$  at all sizes greater than the values given in the legend in µm. For example, we see approximately 1000 particles that are larger than 0.5 µm in 1 dL at 3;00 AM. Note that the fog first became evident at 3:00 AM and dissipated by 6:30 AM. The relative humidity and PM<sub>2.5</sub> concentrations reported by the PMS1003 and TEOM at the four times are given below the figure. During the time of fog, the total PNC increased by 28%, while the PNC larger
- 15 than 2.5  $\mu$ m increased by over 50%. Considering the particle mass in the air, the TEOM showed a PM<sub>40</sub> concentration increase of about 31% while the PMS1003 showed a significantly larger increase of 46%. All these observations indicate a moderate increase in the number of fog droplets in the air, accompanied by a very strong rate of hygroscopic mass growth.

#### 4 Discussion and Conclusion

It is well known that humid air can have a negative effect on the performance of electronic circuits. For example, moisture in 20 the air can decrease the insulation resistance in electrolytic capacitors and increase the leakage currents in transistors and integrated circuits, reducing the gain. In our previous tests (Jayaratne et al., 2018), we showed that the performance of some low-cost particle sensors such as the Sharp GP2Y and the Shinyei PPD42NS were affected at relative humidity as low as 50%. The adverse effect was a fluctuation of the output signals, rather than a steady increase with humidity. This was obviously not due to particle growth, and we conclude that the electronics or optical characteristics were, in some way, 25 responsible for these affects.

25 responsible for these effects.

However, sensors such as the Plantower PMS1003, Innociple PSM305 and the Nova SDS011, as well as particle monitors such as the TSI Dust<sup>+</sup>Trak, did not show a marked effect until the relative humidity exceeded about 75%, when they began to show a steady increase. The results of the present study, with the PMS1003 and the Dust<sup>+</sup>Trak showed that this was due to

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particle growth. When the relative humidity is high, particle growth and fog are detected and reported by particle monitoring instruments that do not have drying facilities at the sample inlets. This effect needs to be taken into consideration when using low-cost particle sensors, especially in environments that contain hygroscopic salts such as near coastal regions. Particles in

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the air begin to grow once the deliquescence relative humidity is exceeded. For example, two hygroscopic salts that are commonly found in Brisbane air are sodium chloride and ammonium sulphate. These have deliquescence points of approximately 74% and 79% respectively (Hu et al., 2010;Wise et al., 2007). Aerosol particles that contain these substances will absorb moisture and grow when the relative humidity exceeds these values. Fig 7 shows the growth of aerosols containing these materials as measured by Hu et al. (2010) under different relative humidity. Our observations are in good agreement with these studies. The high  $PM_{g,5}$  concentration values reported by the PMS1003 during the early morning hours in Fig 56(b) are due to hygroscopic growth of particles followed by the formation of fog droplets in the air. While the TEOM also shows an increase, it does not record an increase as high as the PMS1003. As fog begins to form, we observe an increase in both the PNC and  $PM_{g,5}$  concentration reported by the PMS1003. The corresponding increase in the TEOM reading, although significantly smaller than the PMS1003, suggests that, in the presence of fog, the dryer at its inlet has a

limited efficiency in terms of removing the liquid phase of the particles.

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An obvious question that arises from this work is whether it is possible to derive a correction factor for the particle number and mass concentrations reported by the low-cost sensors in the presence of high humidity and fog. Our results show that, 15 once the deliquescence point is exceeded, the particle number and mass concentrations begin to increase and are not directly related to the absolute value of the relative humidity. Once the ambient temperature reaches the dew point temperature, the conditions become suitable for the formation of fog droplets in the air and, since a significant fraction of these water droplets fall within the detection size of the PMS1003 (Fig 67), they are detected as particles. We also observed an interesting observation, in-that the PNC and PM concentrations reported by the PMS1003 decreased in the presence of rain. This is not unexpected as it is known that rain washes out a fraction of airborne particles. More interestingly, our results show that the 20 decrease in PNC and PM concentrations reported by the PMS1003 due to rain were significantly greater when there was an episode of fog than when there was no fog. While a significant number of fog droplets fall within the detection size range of the PMS1003, almost all the rain drops are larger than the maximum detection size of particles. We hypothesize that the raindrops were washing out the fog droplets in the air, resulting in an overall decrease in the reported PNC and PM 25 concentrations reported by the low-cost particle sensors that have no drying facilities at their sample inlets. Moreover, the relative humidity of the atmosphere increased during rain, often reaching approaching 100%. Raindrops are too large to be detected by most particle sensors and, as such, they do not show an increase in concentration during rain. For these reasons,

we find that there is no direct relationship between the relative humidity in the atmosphere and the PNC and PM concentrations reported by a sensor or monitor with no drying facility at its inlet and, as such, it is not possible to derive any 30 appropriate correction factors for this effect.

Since they generally do not have drying facilities at their sample inlets, low-cost particle sensors measure what is actually present in the air, including both the solid and liquid phases of the particles. This is a real observation and not an artefact of the instrument as suggested by Crilley et al. (2018). This is an important aspect to be kept in mind when using low-cost

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sensors to assess the pollution levels in the atmosphere. What this illustrates is that it should not be presumed that low-cost sensors are always fit for purpose. This is especially true insuited for regulatory applications. For example, while it is reasonable to use low-cost sensors to measure the actual particle mass concentrations that are present in the air; such observations should not be used to verify if the air quality meets the stipulated guidelines or standards for particle pollution.

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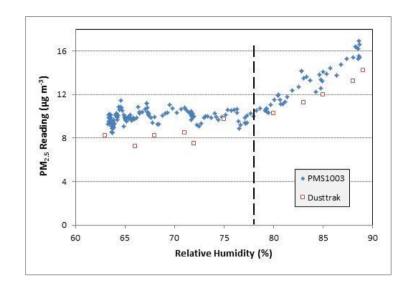


Figure 21: The The PM<sub>2.5</sub> concentration reported by the PMS1003 and the Dusttrak as the relative humidity was increased in the laboratory chamber.

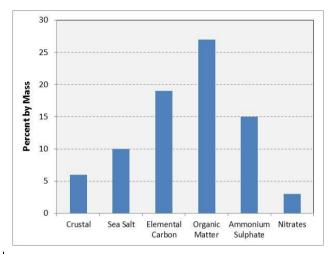
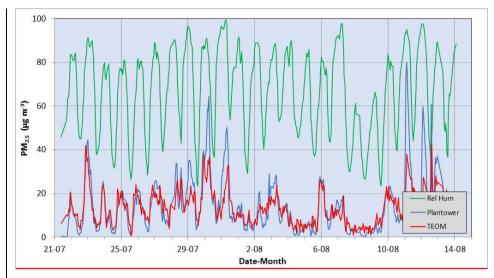
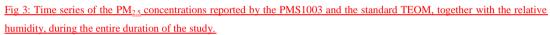


Figure 21: Composition of particles in the atmosphere of Brisbane, as derived from Harrison (2007).





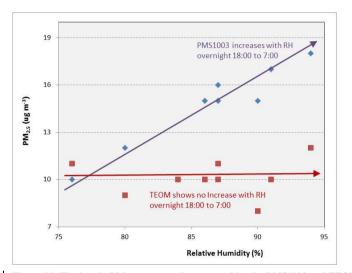
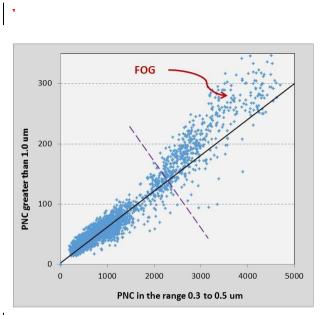
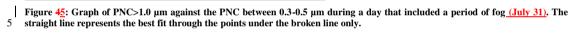


Figure 34: The hourly  $PM_{2.5}$  concentration reported by the PMS1003 and TEOM over a humid night (August 7) at the outdoor monitoring station. The arrows show the changing trends.





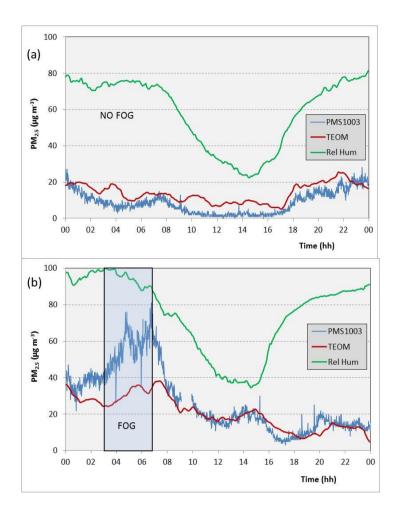


Figure <u>56</u>: Variation of the PM<sub>2.5</sub> concentration reported by the PMS1003 and TEOM during a day (a) with no fog (July 24) and (b) with early morning fog (July 30).

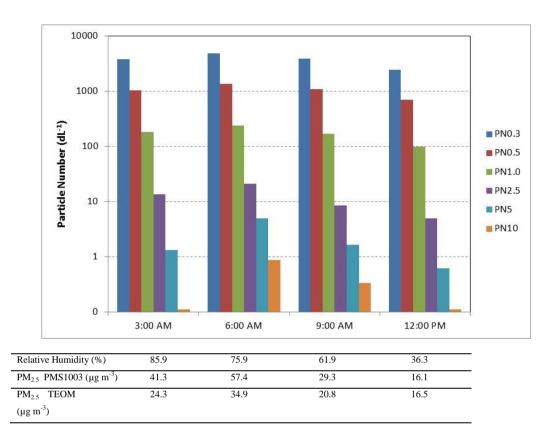


Figure 67: PNCs reported by the PMS1003 in the six size bins at three hourly intervals during a morning with fog (July 30). Fog was observed between 3:00 and 06:30 AM. The table under the figure gives additional information at the respective times.

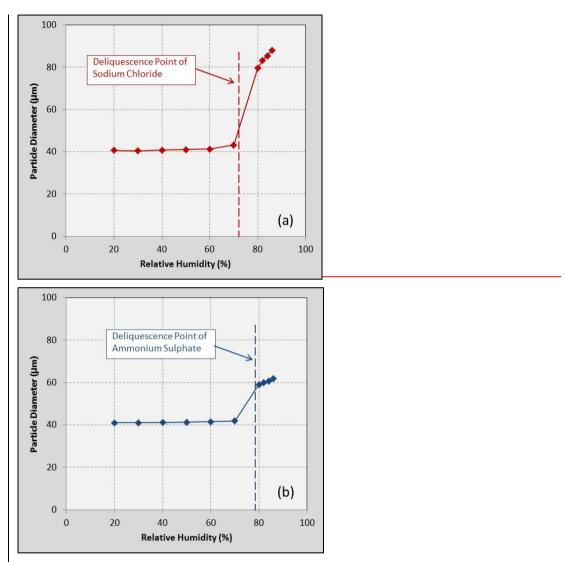


Figure 7: The growth of acrosols containing (a) sodium chloride and (b) ammonium sulphate, as the relative humidity is increased beyond the deliquescence points. Data from Hu et al. (2010).