- 1 Long-term evaluation of air sensor technology under ambient conditions in Denver, Colorado
- 2
- 3 Stephen Feinberg^{1,2}, Ron Williams², Gayle S.W. Hagler², Joshua Rickard³, Ryan Brown⁴, Daniel Garver⁴,
- 4 Greg Harshfield⁵, Phillip Stauffer⁵, Erick Mattson⁵, Robert Judge⁶, Sam Garvey⁷
- 5 1. Oak Ridge Institute for Science and Education, Oak Ridge, TN 37830
- 6 2. U.S Environmental Protection Agency (EPA), Office of Research and Development, Research Triangle
- 7 Park, NC 27711,
- 8 3. U.S. EPA Region 8, Denver, CO 80202,
- 9 4. U.S. EPA Region 4, Atlanta, GA 30303,
- 10 5. State of Colorado Department of Public Health and Environment (CDPHE),
- 11 6. U.S. EPA Region 1, Boston, MA 02109,
- 12 7. Jacobs Technology, Inc, Research Triangle Park, NC 27709

13 ABSTRACT

- 14 Air pollution sensors are quickly proliferating for use in a wide variety of applications, with a low price
- 15 point that supports use in high density networks, citizen science, and individual consumer use. This
- 16 emerging technology motivates the assessment under real-world conditions, including varying pollution
- 17 levels and environmental conditions. A seven-month, systematic field evaluation of low-cost air
- 18 pollution sensors was performed in Denver, Colorado over 2015-2016; the location was chosen to
- evaluate the sensors in a high altitude, cool, and dry climate. A suite of particulate matter (PM), Ozone
- 20 (O₃), and nitrogen dioxide (NO₂) sensors were deployed in triplicate, and were collocated with Federal
- 21 Equivalent Method (FEM) monitors at an urban regulatory site. Sensors were evaluated for their data
- 22 completeness, correlation with reference monitors, and ability to reproduce trends in pollution data,
- 23 such as daily concentration values and wind-direction patterns. Most sensors showed high data
- 24 completeness when data loggers were functioning properly. The sensors displayed a range of
- correlations with reference instruments, from poor to very high (e.g. hourly-average PM Pearson
- correlations with reference measurements varied from 0.01 to 0.86). Some sensors showed a change in
- 27 response to laboratory audits/testing from before the sampling campaign to afterwards, such as the
- Aeroqual, where the O₃ response slope changed from about 1.2 to 0.6. Some PM sensors measured
- 29 wind-direction and time of day trends similar to those measured by reference monitors, while others did
- 30 not. This study showed different results for sensor performance than previous studies performed by the
- 31 U.S. EPA and others, which could be due to different geographic location, meteorology, and aerosol
- 32 properties. These results imply that continued field testing is necessary to understand emerging air
- 33 sensing technology.

34 **1. INTRODUCTION**

Next generation air monitoring (NGAM) is a quickly evolving and expanding field. Low-cost air pollution 35 36 sensors have improved the access for both citizens and researchers to obtain pollutant concentration 37 data in more locations. Many new sensors are now sold and marketed to consumers, and come with 38 messaging on implications for health. In addition to improving the accessibility of measurement data, air 39 pollution sensors have been used to supplement ambient air monitoring by providing high spatial 40 density and high time-resolution measurements (Mead et al., 2013; Snyder et al., 2013; Kaufman et al., 41 2017). Low-cost air pollution sensors have the potential to be important enablers of smart cities and the 42 Internet of things (IoT), especially in terms of forecasting and health messaging in megacities with 43 significant variability in microenvironments (Mead et al., 2013; Kumar et al., 2015; Ramaswami et al, 44 2016). Sensors also enable new techniques for mobile monitoring. (McKercher and Vanos 2017; 45 Woodall et al., 2017). However, without a proper understanding of sensor data quality and calibration, 46 low-cost sensors have the potential to mislead interested community and research groups (Rai et al., 47 2017). Evaluating how well these sensors perform in both laboratory and field environments is critical 48 for understanding their possible uses in research, citizen science, and consumer use, for individual

49 exposure assessment.

50 Low-cost air pollution sensors, with purchase prices ranging from the low hundreds to the low

51 thousands of dollars per pollutant, have been developed for both particulate and gas phase pollutants,

52 including ozone (O₃) and nitrogen dioxide (NO₂). Particulate matter (PM) sensors typically measure

53 particle counts using light scattering principles. By using light scattering to measure an ensemble of

54 particles, sensors can be produced that are miniaturized, lower cost, and provide real-time data.

55 However, this detection approach can result in bias and inaccuracy from measurement artifacts (Gao et

al., 2015; Holstius et al., 2014). Some sensors, such as the OPC-N2 (Alphasense) measure single particles

57 and allocate them into size bins. This approach is subject to measurement artifacts due to humidity

58 effects, potential particle coincidence, and assumes particles are spherical and of a homogenous density

59 (Mukherjee et al., 2017). Gas phase sensors produce a signal through the reaction of the target gases

60 with electrochemical or metal oxide sensors. However, the reactive agents used in these types of

61 sensors may degrade over time, and measurement artifacts may also exist, such as cross-interferences

and impacts of temperature (Rai et al., 2017). Therefore, it is necessary to evaluate sensor performance
 in long-term, real-world study conditions (Alastair Lewis and Peter Edwards, 2016; Williams et al., 2014).

64 The evaluation of low-cost air pollution sensors and their performance is continually evolving

65 (McKercher et al., 2017b). Many sensors are evaluated in laboratory settings by exposure to known

66 concentrations of gasses and PM, with PM often being evaluated by well-defined aerosol, such as

67 polystyrene latex, in controlled conditions (Wang et al., 2015; Lewis et al., 2016; Manikonda et al.,

68 2016). In outdoor, field settings, sensors are often evaluated to determine their performance in

69 comparison with reference methods (Borrego et al. 2016; Jiao et al., 2017; Crilley et al., 2017;

70 Mukherjee et al., 2017; Hagan et al; 2018). Correlations of low-cost sensors have been found to vary

71 from study to study, spanning from negligible to high correlations. Recent studies have shown the

72 correlation between sensors and reference measurements can be improved by the application of

73 correction factors for environmental conditions such as relative humidity (Crilley et al., 2017) or

74 multivariate models and machine learning (Cross et al., 2017; Zimmerman et al, 2018; Hagan et al.,

75 2018).

- 76 There are relatively few efforts that exist to systematically examine air pollution sensor technology
- performance, that test a variety of replicate sensor types against reference monitors in a real-world
- 78 environment. In the United States, the U.S. EPA and the South Coast Air Quality Management District
- 79 (SCAQMD) have developed field- and laboratory-testing programs for both gas and particulate matter
- 80 sensors. These efforts represent specific geographic locations and concentration ranges (U.S. EPA, 2017;
- SCAQMD, 2017). For example, EPA's Community Air Sensor Network (CAIRSENSE) project tested a
 variety of gas-phase and particulate matter sensors in Atlanta, GA, under conditions that were high
- temperature, high humidity, and fairly low ambient concentrations (e.g., hourly PM_{2.5} ranging 0 to 40
- μ g/m³) (Jiao et al., 2016). The SCAQMD AQ-SPEC program similarly conducts field testing of sensor
- technology in Diamond Bar, California, at a near-road location nominally two months. Evaluation of
- identical sensors by the EPA and SCAQMD has revealed that the sensor performance may vary by
- 87 geographical region. For example, Jiao et al., (2016) found Airbeam sensor correlations to be moderate
- ($r^2 \approx 0.43$), SCAQMD (2017) reported much stronger correlations ($r^2 \approx 0.74$). This might be a result of
- 89 from both different concentration ranges as well as the optical properties of the aerosol being
- 90 measured.

91 The Community Air Sensor Network (CAIRSENSE) project was a multi-year, multi-location project that

92 focused on evaluating performance characteristics and limitations of low-costs sensors. A prior

93 CAIRSENSE study in Atlanta, Georgia was conducted in 2014 and early 2015 (Jiao, et al., 2016). Atlanta

94 was chosen to test the sensors' performance in the face of higher temperatures and humidity. For the

- 95 second part of the CAIRSENSE study, Denver Colorado was chosen to test the sensors' performance
- 96 under conditions of high altitude, dry and lower temperature conditions. Beyond assessing sensor

97 performance through correlation with a reference monitor, this study also investigates the degree to

- 98 which data from sensors is able to produce similar temporal, wind-direction, and transient event trends
- 99 in comparison to a high time-resolution reference monitors.
- 100

101 **2. METHODS**

102 Sensors for this study were selected based on cost, commercial availability, market prevalence,

103 capability, and applicability to EPA research objectives. Table 1 lists the sensors chosen for this study,

104 pollutants measured by each sensor, and the measurement principle used by each sensor. Cost

105 information for these sensors are published on the EPA's Air Sensor Toolbox (U.S. EPA 2017). Two

106 different Dylos units were used for this study. Unit 1 was a Dylos DC1100, while units 2 and 3 were Dylos

107 DC1100 Pro models, where the Pro models are advertised to have increased sensitivity for smaller

108 particles. The Shinyei, Dylos, Airbeam, Aeroqual, and Cairclip sensors were used in both the Denver and

109 Atlanta studies (Jiao et al., 2016). Additionally, several of these sensors have been evaluated in

110 laboratory or short term ambient settings (Air Sensor Toolbox reference; Sousan et al., 2016; SCAQMD

111 2017; etc.).

112 Air pollution sensors were acquired and deployed in triplicate. Before deployment, laboratory sensor

response audits were performed for all of the available sensors. PM sensors were zero-checked in a

114 clean room environment, all reporting <2 μ g/m³ values under those conditions, except for the Air

- Assure. The software for the Air Assure performs its own zeroing, therefore they were operated 'as-is'. A
- 116 pre-deployment sensor response audit was not performed for the TZOA as it was received shortly
- 117 before deployment. Sensor output was not adjusted based on the calibration audits in order to reflect

Table 1 bensols used daming the ortholote benver stady						
Sensor	Pollutant(s) Measured	Principle of Operation				
Aeroqual SM-50	O ₃	Electrochemical Sensor				
TSI Air Assure	PM	Light Scattering				
AirCasting AirBeam	PM	Light Scattering				
Cairpol Cairclip	$NO_2 + O_3$	Electrochemical Sensor				
Dylos DC1100/DC1100 Pro	PM	Laser particle counter				
Alphasense OPC-N2	PM	Laser particle counter				
Shinyei PMS-SYS-1	PM	Light Scattering				
AirViz Speck	PM	Light Scattering				
TZOA PM Research Sensor	PM	Laser particle counter				

Table 1 Sensors used during the CAIRSENSE-Denver Study	Table 1 Sensors used	during the	CAIRSENSE-D	Denver Study
--	----------------------	------------	-------------	--------------

their 'out of the box' performance. Sensor responses were also audited by either recording their

119 responses to known concentrations (Aeroqual and CairClip sensors), or in a clean air environment (PM

sensors) after the end of the measurement period, to evaluate possible sensor drift. Laboratory audit

results are presented in the supplemental information.

122 Sensors were deployed at the downtown Denver Continuous Ambient Monitoring Program (CAMP)

regulatory monitoring site (Latitude: 39.751184; Longitude: -104.987625) from September 2015 to

124 March 2016. The CAMP site was operated by the state of Colorado for the duration of the study. Sensors

125 were placed in a ventilated, multi-level shelter designed to allow ambient air circulation and prevent

126 intrusion from precipitation, as shown in Figure. 1. A full description of the shelter has been previously

127 reported (Jiao, 2016). The sensors were connected to data loggers stored in weatherproof enclosures

128 attached to the bottom of the shelter. Most of the sensors were connected to Arduino (single-board)

microprocessors with either Ethernet (IEEE 802.3 standard) or Recommended Standard 232 (RS-232)

serial communication cables. The OPC-N2 and Speck sensor data were logged using laptops, and the

131 TZOA data was stored internally on secure digital (SD) cards. To comply with EPA data security

requirements, the cloud based storage capability of the Air Assure sensors was disabled, and these units

133 reported data locally via the Arduino microprocessors with onboard memory. The Cairclip sensor

measures the combined signal from NO₂ and O₃. Therefore, both NO₂ and O₃ measurements from the

135 Cairclip were determined by subtracting the opposite (collocated) reference measurement. The Dylos

units also measure multiple particle size fractions. In this study, the "small" particle size fraction, as

137 described by the manufacturer, was used for PM_{2.5} comparisons. TZOA sensors did not have a real-time



139 Figure 1 Sensor deployment shelter

- 140 clock, and only measured time as the elapsed number of milliseconds since the device was powered on.
- 141 Therefore, field operators were required to accurately record start and end times as a means of
- 142 establishing the sensor response time series.
- 143
- 144 A total of four Arduino microprocessors and three laptops were used simultaneously for data logging.
- Between the data loggers, laptops and onboard data storage, there were many different sensor data
- 146 output formats. Separate data scripts were developed to process each different data format into
- similarly formatted files for each air pollution sensor type. Once data collections were initiated in
- 148 September 2015, the sensors were operated with little or no intervention through the entirety of the
- study. Noted interventions included restarting data systems when they 'locked up', or removing snow
- 150 from the shelves housing the sensors during a major winter snowstorm.
- 151 Federal Equivalent Method (FEM) measurements at the Denver monitoring site were collected using a
- 152 Teledyne 400E O_3 monitor, Teledyne 200EU NO_2 analyzer and a GRIMM EDM 180 Dust monitor, which
- 153 measured PM_{2.5} and PM₁₀ mass at one-minute intervals using optical detection. All sensors and
- 154 monitors collected pollutant data at one-minute intervals or less. One-minute values were used to
- 155 generate concentrations at multiple time intervals, with primarily one-hour averages used for data
- analysis. All averaging and other data processing was performed using the following software: RStudio
- version 0.98.1103, R version 3.2.2, and the ggplot2, scales, plyr, lattice, corrplot, and 'data.table'
- 158 (extension of 'data.frame') packages.
- 159 Sensor data were recovered from the connected laptops and SD cards connected to the data loggers.
- 160 Most sensors reported data in one-minute intervals. The Alphasense OPC-N2 units recorded
- 161 concentrations every ten seconds. These measurements were used to calculate one-minute averages.
- 162 The TZOA sensors reported data based on time elapsed from turning on each unit. The start times for

163 each unit and total elapsed time for each measurement were combined to generate 5-second time164 stamps for the TZOA measurements. These values were then used to calculate one-minute averages.

165 In order to best replicate actual use by non-experts and avoid biasing the results towards a positive

166 direction, minimal screening of data was performed. Quality assurance screening consisted primarily of

167 removing data where there was a clear malfunction of the sensor, such as non-numeric data output, or

168 when a sensor (e.g., Cairclip unit 1) became 'stuck', reporting a repeated, value (value = 255) for long

time spans. These types of errors had previously been identified for the output of this sensor type. The

170 Aeroqual units had significant numbers of measurements that, for some reason, were reported as zero.

171 These were possibly due to the inability of the sensor to detect trace concentrations, and were

172 therefore not screened out of the data.

173 Timestamps for all sensors except the TZOA were recorded in Mountain Standard Time. As previously

174 mentioned, TZOA timestamps were generated by combining the initial recording time and the elapsed

time reported by the sensors. One-minute measurements and averages were used to calculate 5-minute

and hourly averages. Hourly averages were further used to calculate 12-hour and daily averages. FEM

177 measurements from the State of Colorado instruments were also recorded at one-minute intervals and

averaged in the same manner as the sensor data. Data from all sensors and reference instruments were

179 stored in separate data files and combined based on timestamps for analyses using 'R' scripts.

180 Sensors were also investigated for how well they replicated different trends in the regulatory monitor

181 measurement data. The trends analyzed included average sensor responses based on time of day and

182 wind direction. In order to evaluate these trends, different normalized sensor responses were used. The 183 normalized average sensor response for the diel (daily, 24-hour) patterns was calculated as the average

concentration for a given hour divided by the average concentration for the hour beginning at 12:00 PM.

185 The normalized average sensor response for wind direction data was defined as the mean concentration

186 for each 10-degree wind 'bin', divided by the average concentration of the 170 to 180-degree bin. The

187 sensor response times were also analyzed by calculating the average one-minute relative sensor

188 response, as defined by the distribution of the one-minute concentration differences divided by the

189 average sensor response.

190

1913. RESULTS & DISCUSSION

192 Table 2 shows a summary of data completeness from the air pollution sensors, including the total 193 percentage of minutes measured, percentage of measurements missed, by not logging data, and the 194 percentage of completely missing data. The majority of missing data was due to events where the 195 sensor and data loggers were inoperative. The most significant of these events was due to snow 196 intrusion into the monitoring platform in December 2015, which caused units to shut down. Most 197 sensors had a very high data capture rate throughout the study when the units were on (and 198 operational). The Cairclip units had significant amounts of missing data, likely due to data transmission 199 errors from the universal asynchronous receiver-transmitter (UART) serial communication system. In the 200 previous Atlanta study as well as in a Newark-based citizen science study (Kaufman et al., 2017), Cairclip 201 units with identical sensors but different universal serial bus (USB) data connections were used and did 202 not have significant amounts of missing data.

		Sensor on and	Completely			
Sensor	Measurement %	not Logging %	Missing %	Comments		
	82%	0%	18%	45% of logged values were 0		
Aeroqual	73%	0%	27%	42% of logged values were 0		
	81%	5%	13%	32% of logged values were 0		
	87%	0%	13%			
Air Assure	87%	0%	13%			
	87%	0%	13%			
	74%	0%	25%			
Airbeam	62%	6%	32%			
	62%	6%	32%			
Cairclip	29%	53%	18%	56% of logged values were 255 ^a		
	63%	13%	24%	No data before 10/8/15		
	63%	23%	13%			
Dylos	82%	0%	18%			
	82%	0%	18%			
	72%	1%	27%			
OPC-N2	77%	0%	23%			
	76%	0%	24%			
	71%	0%	29%	59% of logged values were 0		
Shinyei	82%	0%	18%			
	73%	0%	27%			
	87%	0%	13%			
Speck	92%	0%	8%			
	93%	0%	7%			
	96%	0%	4%			
TZOA	61%	0%	39%			
	47%	0%	53%			
	47%	0%	53%			

Table 2 Sensor Data Completeness

^a255 represented a communication or other unknown sensor failure

204 Measurements from air pollution sensors and regulatory monitors were time-averaged at multiple 205 intervals for comparison. The time intervals included 5-minute, hourly, 12-hour, and daily averages. For 206 each set of time averaging, regressions were calculated to evaluate sensor correlation and bias when 207 compared to regulatory measurements. Additionally, intercomparisons were made between sensors of 208 the same pollutant type (e.g., correlations between PM sensors). Table 3 displays a summary of 209 regression statistics for sensors when compared to regulatory measurements as well as precision 210 calculations for 1-hour time averages. The precision was calculated as the root mean square (RMS) of 211 the hourly coefficients of variation. In general, correlations were greatest at the 1-hour time average. 212 Correlations in general improved slightly with increasing length of the averaging period up to hourly 213 averages. Reduced correlations for most sensors at the 12-hour and daily averages may be a result of a 214 lower number of data points. In contrast to most other measurements, sensors that reported data for 215 coarse PM (Dylos) or PM₁₀ (OPC-N2) showed improved correlations with increasing averaging time for 216 those measurements. The correlations for all the time averaging periods can be found in the

Table 3 Regression and Precision Results for CAIRSENSE sensors (1-hour time averaged)

Sensor	Pollutant	Reference Average	Slope	Intercent	Pearson Correlation R	RMS Precision (%)	Number of Hourly Measurements
501301	Tonutant	concentration	0.56	-0.004	0.93	(70)	3325
Aeroqual SM- 50 O ₃ , ppb	18.8 ppb	0.50	-0.004	0.93	73	2963	
		0.50	-0.004	0.92	, 5	3279	
			1 1 /	-0.004	0.90		3486
TSI Air Assure PM, μg/m ³	PM, ug/m ³	7 8 µg/m ³	1.14	2.04	0.78	41	3486
	, ۳۵/	, io po/	1.15	1.29	0.78		3486
	Particle Count		1.19	-1.58	0.81		
AirCasting AirBeam	hundreds of	7.8 μg/m³	273	-323	0.82	6	3028
	cubic foot		278	-124	0.84		2539
	(hppcf)		322	-352	0.82		2532
Coirpol			NA ²	NA ²	NA ²		738
Cairclip	O ₃ , ppb	18.8 ppb	-0.04	-23.6	-0.06	NA ²	2831
			1.03	-39.0	0.46		2852
Calmad			NA ²	NA ²	NA ²		738
Cairpol	NO ₂ , ppb	26.8 ppb	0.65	-10	0.87	NA ²	2831
Currenp			0.67	-15	0.84		2852
Dylos DC1100/DC11 "Small" Part		le f 7.8 μg/m³	64	-152	0.86	15	3324
	"Small" Particle		428	-1182	0.78		3324
00 Pro			431	-941	0.73		2937
Dvlos		12.0 μg/m³	1.3	5.5	0.40	10	3324
DC1100/DC11 00 Pro	"Large" Particle Count, hppcf		5.7	73	0.33		3324
			4.9	84	0.27		2937
Alphasense OPC-N2	ΡM _{2.5} , μg/m ³	7.8 μg/m³	0.4	-0.30	0.45	108	2969
			0.49	-1.66	0.34		2939
			0.07	0.60	0.11		2735
Alphasense OPC-N2 PM10, μg/r			0.45	2.98	0.47	101	2969
	PM ₁₀ , μg/m ³	19.6 μg/m³	0.54	-1.06	0.68		2939
			0.12	2.86	0.20		2735
Shinyei PMS- SYS-1 PM _{2.5} , μg/m ²		³ 7.8 µg/m ³	0.58	0.24	0.71	20	3325
	PM _{2.5} , μg/m ³		0.54	0.8	0.72		2963
			0.42	4.35	0.01ª		3486
AirViz Speck		7.8 μg/m³	0.76	13	0.24	37	3557
	PM _{2.5} , μg/m ³		0.74	15	0.40		3584
			0.62	10	0.35		3971
ΤΖΟΔ ΡΝΑ			NA ²	NA ²	NA ²		2341
Research	Particle Count,	7.8 μg/m³	6.68	1.37	0.66	17 ^b	1838
Sensor	пррст		6.75	2.16	0.72		1836

217 ¹Average Concentration calculated for hours with valid sampling data.

218 ²Correlation results not shown due to large amount of missing or invalid data

^a Shinyei Unit 3's correlation improved to 0.84 when only considering data from October 16 and later

220 ^bTZOA Unit 1 was excluded from RMS precision calculations

- 221 supplemental information. Sensors that measured particle count had better precision than those 222 measuring particle mass concentrations. Figure 2 shows a Pearson correlation (R) plot for 1-hour
- 223 average reference (SoC) and PM sensor measurements. The PM units show high correlation among
- 224 sensors of the same model, except for when one sensor in a group had significant issues. Of the PM_{2.5}
- 225 sensors, the Air Assure, Airbeam, and Dylos (R = 0.73 to 0.86) units exhibited the highest correlation
- with reference measurements. Dylos unit 1 had the highest linearity, however it had the lowest particle 226
- 227 count response, both of which are likely explained by not detecting the smallest particles as effectively
- 228 as other units. Cairclip unit 1 rarely properly transmitted data throughout the study, leading to its low
- 229 correlations. Cairclip units 2 and 3 had more sporadic data transmission issues. All Cairclip units
- 230 recovered data properly once returned to the lab after the field campaign where their internal data storage was used. The response from Shinyei unit 3 changed in mid-
- 231
- 232 233

AirAssure1 AirAssure2 AirAssure3 AirAssure1 100 Airbeam 1 Airbeam2 AirAssure2 98 100 AirAssure3 98 100 Airbeam3 Airbeam1 100 0 Airbeam2 100100 Airbeam3 100100100 DylosF1 **OPCF1** DylosF2 100 CF2 DylosF3 100 100 00 EJ 62 100 0 46 100 OPCF1 OPCF2 57 58 59 48 55 53 10 100 ගි OPCF3 32 37 38 39 -2 -2 9 13 0 39 26 17 27 1.00 2 Speck1 22 29 32 21 28 25 20 4 inyei1 g Speck2 29 35 39 22 21 18 25 4 3 31 21 30 100 inyei2 б Speck3 24 32 36 20 18 16 20 -2 100 -4 27 21 33 Shi Shinyei1 86 88 91 84 68 43 TZOA1 Shinyei2 76 80 83 70 56 68 20 18 100 Shinyei3 13 13 12 14 80 82 13 11 12 11 9 10 12 7 11 19 2 7 -3 100 TZOA1 10 12 12 27 8 4 -5 18 22 28 3 -16 6 -3 8 TZOA2 58 59 60 69 92 97 67 66 76 21 30 23 17 23 25 57 8 100 9 ⊢ ပ 100 တိ 80 21 25 18 16 22 20 54 TZOA3 65 65 66 71 60 3 4 SoC 80 78 87 82 84 82 86 78 73 45 34 11 24 40 35 71 72 2 100 1 12

234

- 235 Figure 2 Correlation (r*100) plot for sensors measuring fine PM. Ellipses represent the overall scatter
- 236 of the data (1-hour averaged measurements)

- 237 October. The correlation between the unit and the reference monitor was initially 0.01, then increased
- to 0.84 when comparing only the data starting October 16 and later.
- 239

240 Several sensor models were used in both the Atlanta and Denver CAIRSENSE evaluation campaigns. Both studies deployed the Airbeam, Dylos, and Shinyei PM sensors. In all cases except for Shinyei unit 3, these 241 242 sensors showed greater linearity in Denver than in Atlanta, when comparing 12-hour averages. When 243 only considering data after October 16, Shinyei unit 3 also had higher correlation in Denver than in 244 Atlanta. This may be due to less noise caused by lower humidity in Denver than in Atlanta. Aerogual and 245 Cairclip air pollution sensors were also deployed in both Atlanta and Denver. O₃ measured by the 246 Aeroqual units showed similar correlations in both locations ($R^2 = 0.82$ to 0.94 in Atlanta, $R^2 = 0.85$ to 0.92 in Denver). O₃ measured by Cairclip units 2 and 3 in Denver showed poorer correlations than the 247 248 Cairclip units used in Atlanta ($R^2 = 0.00$ to 0.21 in Denver versus $R^2 = 0.68$ to 0.88 in Atlanta). However, 249 NO_2 measured by Cairclip units 2 and 3 in Denver was more highly correlated than in Atlanta ($R^2 = 0.71$

to 0.76 in Denver versus 0.57 in Atlanta).

251 While Denver is not necessarily known for high humidity, humidity artifacts were observed in some

252 sensors. Figure 3a shows the PM_{2.5} concentrations measured by one of the OPC-N2 against relative

253 humidity. At RH around 90%, the PM concentration spikes significantly, suggesting that humidity is

254 interfering with the sensor response measurement. This behavior is similar to that observed by Sousan

- et al., (2016). Some other instruments also had different responses based on humidity. Figure 3b shows
- 256 hourly particle counts measured by an Airbeam sensor against PM_{2.5} concentration measured by the
- 257 reference instrument, stratified by relative humidity. There appear to be two separate relations
- 258 between reference measured concentrations and sensor measured particle counts, with a greater
- 259 particle count response occurring more at higher humidity. This relationship was observed in each of the
- Airbeam sensors. An example of humidity relationships from each sensor type can be found in the supplemental information.



262

Figure 3 OPC 2 PM_{2.5} and Relative Humidity (a) and Hourly Average FRM PM_{2.5} concentration and

264 Airbeam Particle count stratified by Relative Humidity (b)

265

- 266 In addition to understanding the precision of air pollution sensors and how well they correlate with
- 267 reference measurements, it is also important to understand how well a sensor can capture trends and
- distributions of pollutant concentrations. There are many ways to examine these trends and
- distributions. Figure 4 shows the diel patterns of $PM_{2.5}$ (a) and O_3 (b) reference and sensor
- 270 measurements respectively. The results, for each sensor, represent the measurements of the best
- 271 performing unit for each sensor type/model, as determined by R-squared values. The various PM air
- pollution sensors have a wide range of comparisons to the reference monitor. Two sensors (TZOA and
- Airbeam) show similar patterns throughout the day, while some other sensors do not reflect the
- reference diel pattern at all (e.g., OPC, Speck, etc.). It is interesting to note that both the TZOA and
- Airbeam measure particle count; however, there is no basis to say why these sensors performed better than those measuring mass concentrations. The Aeroqual sensor diel pattern was similar to that of the
- than those measuring mass concentrations. The Aeroqual sensor diel pattern was similar to that of the
 reference O₃ monitor. The nature of the calculation of O₃ and NO₂ by subtraction, and missing data
- reference O_3 monitor. The nature of the calculation of O_3 and NO_2 by subtraction, and missing data from the Cairclip sensors, prevented this analysis from providing meaningful results.
 - 2.2 1.2 Reference Reference AirAssure Aeroqual Airbeam Dylos OPC 2.0 1.0 Shinyei Speck TZOA 1.8 Normalized Average Response Normalized Average Response 0.8 1.6 0.6 1.2 0.4 1.0 0.2 0.8 0.6 0.0 10 15 20 10 15 5 20 0 0 5 Hour of Day Hour of Day (b) (a)

Figure 4 Diel patterns for PM_{2.5} (a) O₃ (b) sensor and reference measurements.

279

281 Air quality measurements are also known to be dependent on wind direction, and it is important to know if these differences were reflected in the sensor measurements. Figure 5 shows the normalized 282 283 average sensor response $PM_{2.5}$ (a) and O_3 (b) response of the sensors and the reference monitors 284 respectively. The reference monitor response is represented by the black line. Both the highest 285 concentrations and greatest variation from the reference monitor concentrations occurred when winds 286 were from the north, where there are multiple large roadways and a railyard. However, there was no 287 other evidence to suggest that these sources contributed to differences in the measurement trends. The 288 sensors generally compared more favorably with the reference monitors when examining the wind 289 direction dependence of concentration. This is most apparent in the OPC-N2 sensor, where the sensor 290 trends track the trends measured by the reference monitor. This increases the confidence that sensors 291 may be useful in studies that pair wind direction with concentration to determine potential bearings or



293 Figure 5 Wind direction patterns for PM_{2.5} (a) O₃ (b) sensor and reference measurements.

locations of pollution sources to supplement source apportionment and receptor modeling. It also raises
questions as to why an air pollution sensor would be able to reproduce wind direction trends but not
necessarily reproduce daily concentration measurement patterns. We undertook exploration of this
perplexing result, but were not able to determine a clearly identifiable cause. While RH and temperature
do have time of day variation that is not reflected in wind direction, we were unable to use these
parameters to explain the differences between time of day and wind direction trends.

300 The high-time resolution data collected for this study allowed for the examination of air pollution sensor 301 response trends compared to that of regulatory air pollution monitors. Figure 6 shows a cumulative 302 distribution function (CDF) for the relative change in sensor and regulatory monitor response between 303 1-minute measurements for PM_{2.5} (a) and O_3 (b) sensor and reference measurements respectively. The 304 relative response was calculated as the absolute value of the difference between consecutive one-305 minute measurements divided by the mean measurement over the entire study period for each 306 sensor/monitor. If the reference monitor were considered a perfect measurement, sensor curves to the left and above the reference monitor line have smaller relative changes than the reference monitor, 307 308 indicating a slower response to changes in concentration, while curves below and to the right of the 309 monitor line would signify larger measurement-to-measurement changes that the reference monitor, 310 indicating potential high levels of measurement noise. Most PM monitors exhibited a slower response to 311 changes in concentration than the reference monitor. The OPC-N2 and Airbeam sensors were the only 312 ones with curves to the right of the reference monitor, suggesting that they may have more noise in 313 their measurements. The Aeroqual sensor showed more O_3 measurement noise when compared to the 314 reference measurement.





315

319 4. Conclusions

320 Nine different air pollution sensor devices were deployed in triplicate with collocated air pollution 321 reference monitors in Denver, CO over an extended operational timeline of longer than six months. The 322 sensors showed a wide range of correlations with reference measurements, but tended to have high 323 correlation with sensors of the same model. PM sensors deployed in both Denver and Atlanta had 324 higher correlations with reference monitors in Denver than in Atlanta. This is likely due to less humidity 325 related response in Denver. Aeroqual O₃ measurements in Denver showed similar linearity to those 326 measured in Atlanta. Cairclip O_3 correlations were lower in Denver than in Atlanta, but NO_2 correlations 327 were higher. Sensors that have also been evaluated by the South Coast Air Quality Management District 328 (SCAQMD) tended to show similar results in terms of correlation (SCAQMD, 2017). However, in all cases, 329 sensors' performance in this long-term field deployment was less than that of laboratory based 330 comparisons performed in this study and others (U.S. EPA, 2017). It is not surprising that the results of 331 this study for PM sensors varied from other studies, as the responses to optical measurement 332 techniques used by these sensors are likely influenced by aerosol composition. This study demonstrates the need for long-term, real-world evaluation studies for current and future air pollution sensors, that 333 334 should be performed in locations with different air pollutant concentration ranges and aerosol 335 characteristics.

336 Several air pollution sensors were able to capture variations in important trends, such as diel patterns

- and wind direction dependence on concentration. However, the OPC-N2 units showed similar results as
- 338 reference monitor measurement data when analyzing the wind direction trends, but not when analyzing
- 339 'time-of-day' trends. These promising results show that sensors have the possibility for supplementing

- 340 measurement research capabilities when interested in air pollution trends such as those dependent on
- 341 wind direction. Analyses of wind direction based air pollutant trends could be useful for possible
- identification of source locations or regions, especially with the use of a sensor-based network.

343 Data Availability

- 344 The CAIRSENSE dataset will be available at the EPA environmental dataset gateway
- 345 (https://edg.epa.gov) (EPA 2018) where the dataset can be retrieved by searching for "CAIRSENSE
- 346 Denver." Project data can also be requested from the corresponding author.
- 347

348 Author Contribution

- 349 Stephen Feinberg performed the data analysis and prepared the manuscript with contribution from all
- 350 co-authors. Ron Williams was the Principal Investigator and advised data analysis and manuscript
- 351 preparation. Gayle Hagler was Co-Investigator and advised data analysis and manuscript preparation.
- Joshua Rickard, Ryan Brown, Daniel Garver, and Robert Judge provided discussion and assistance in the
- establishment of the study design and execution. Greg Harshfield, Phillip Stauffer, and Erick Mattson
- 354 carried out day-to-day operations. Sam Garvey was in charge of the establishment of the initial site, data
- 355 recovery, and principal operation of the sensors.
- 356

357 Disclaimer

This article was supported by Jacobs Technology, Inc. (contract EP-C-15-008) for the National Exposure Research Laboratory, U.S. Environmental Protection Agency (U.S. EPA), Research Triangle Park, NC. It has been reviewed by the U.S. EPA and approved for publication. Mention of trade names or commercial

361 products does not constitute endorsement or recommendation for use.

362

363 5. References

- Alastair Lewis and Peter Edwards, Validate personal air -pollution sensors. Nature 535, 29-31 (2016).
- Borrego, C., Costa, A. M., Ginja, J., Amorim, M., Coutinho, M., Karatzas, K., Sioumis, T., Katsifarakis, N.,
- Konstantinidis, K., De Vito, S., Esposito, E., Smith, P., André, N., Gérard, P., Francis, L. A., Castell, N.,
- 367 Schneider, P., Viana, M., Minguillón, M. C., Reimringer, W., Otjes, R. P., von Sicard, O., Pohle, R., Elen, B.,
- 368 Suriano, D., Pfister, V., Prato, M., Dipinto, S., and Penza, M.: Assessment of air quality microsensors
- 369 versus reference methods: The EuNetAir joint exercise, Atmospheric Environment, 147, 246-263,
- 370 https://doi.org/10.1016/j.atmosenv.2016.09.050, 2016.
- 371 Crilley, L. R., Shaw, M., Pound, R., Kramer, L. J., Price, R., Young, S., Lewis, A. C., and Pope, F. D.:
- 372 Evaluation of a low-cost optical particle counter (Alphasense OPC-N2) for ambient air monitoring,
- 373 Atmos. Meas. Tech. Discuss., https://doi.org/10.5194/amt-2017-308, in review, 2017.
- 374 Cross, E. S., Williams, L. R., Lewis, D. K., Magoon, G. R., Onasch, T. B., Kaminsky, M. L., Worsnop, D. R.,
- and Jayne, J. T.: Use of electrochemical sensors for measurement of air pollution: correcting interference
- 376 response and validating measurements, Atmos. Meas. Tech., 10, 3575-3588,
- 377 https://doi.org/10.5194/amt-10-3575-2017, 2017.
- Gao, M., Cao, J., and Seto, E.: A distributed network of low-cost continuous reading sensors to measure
 spatiotemporal variations of PM2.5 in Xi'an, China, Environmental Pollution, 199, 56-65,
 https://doi.org/10.1016/j.envpol.2015.01.013, 2015.
- Hagan, D. H., Isaacman-VanWertz, G., Franklin, J. P., Wallace, L. M. M., Kocar, B. D., Heald, C. L., and
- 382 Kroll, J. H.: Calibration and assessment of electrochemical air quality sensors by co-location with
- regulatory-grade instruments, Atmos. Meas. Tech., 11, 315-328, https://doi.org/10.5194/amt-11-315 2018, 2018.
- Holstius, D. M., Pillarisetti, A., Smith, K. R., and Seto, E.: Field calibrations of a low-cost aerosol sensor at
- a regulatory monitoring site in California, Atmos. Meas. Tech., 7, 1121-1131,
- 387 https://doi.org/10.5194/amt-7-1121-2014, 2014.
- Jiao, W., Hagler, G., Williams, R., Sharpe, R., Brown, R., Garver, D., Judge, R., Caudill, M., Rickard, J.,
- 389 Davis, M., Weinstock, L., Zimmer-Dauphinee, S., and Buckley, K.: Community Air Sensor Network
- 390 (CAIRSENSE) project: evaluation of low-cost sensor performance in a suburban environment in the
- southeastern United States, Atmos. Meas. Tech., 9, 5281-5292, https://doi.org/10.5194/amt-9-5281-
- 392 2016, 2016.
- 393 Kaufman, A., Williams, R., Barzyk, T., Greenberg, M., O'Shea, M., Sheridan, P., Hoang, A., Ash, C., Teitz,
- A., Mustafa, M., and Garvey, S.: A Citizen Science and Government Collaboration: Developing Tools to
 Facilitate Community Air Monitoring, Environmental Justice, 10, 51-61, 10.1089/env.2016.0044, 2017.
- Kumar, P., Morawska, L., Martani, C., Biskos, G., Neophytou, M., Di Sabatino, S., Bell, M., Norford, L., and
 Britter, R.: The rise of low-cost sensing for managing air pollution in cities, Environ. Int., 75, 199–205,
 2015.
- Lewis, A. C., Lee, J. D., Edwards, P. M., Shaw, M. D., Evans, M. J., Moller, S. J., Smith, K. R., Buckley, J. W.,
- 400 Ellis, M., Gillot, S. R., and White, A.: Evaluating the performance of low cost chemical sensors for air
- 401 pollution research, Faraday Discussions, 189, 85-103, 10.1039/C5FD00201J, 2016.

- 402 Manikonda, A., Zíková, N., Hopke, P. K., and Ferro, A. R.: Laboratory assessment of low-cost PM
- 403 monitors, Journal of Aerosol Science, 102, 29-40, https://doi.org/10.1016/j.jaerosci.2016.08.010, 2016.
- McKercher, G. R., and Vanos, J. K.: Low-cost mobile air pollution monitoring in urban environments: a
 pilot study in Lubbock, Texas, Environmental Technology, 1-10, 10.1080/09593330.2017.1332106,
 2017a.
- 407 McKercher, G. R., Salmond, J. A., and Vanos, J. K.: Characteristics and applications of small, portable
- 408 gaseous air pollution monitors, Environmental Pollution, 223, 102-110,
- 409 https://doi.org/10.1016/j.envpol.2016.12.045, 2017b.
- 410 Mead, M., Popoola, O., Stewart, G., Landshoff, P., Calleja, M., Hayes, M., Baldovi, J., McLeod, M.,
- Hodgson, T., Dicks, J., Lewis, A. C., Cohen, J., Baron, R., Saffell, J., and Jones, R.: The use of
- 412 electrochemical sensors for monitoring urban air quality in low-cost, high-density networks, Atmos.
- 413 Environ., 70, 186–203, 2013.
- 414 Mukherjee, A., Stanton, G. L., Graham, R. A., and Roberts, T. P.: Assessing the Utility of Low-Cost
- Particulate Matter Sensors over a 12-Week Period in the Cuyama Valley of California, Sensors, 17,
 10.3390/s17081805, 2017.
- Rai, A. C., Kumar, P., Pilla, F., Skouloudis, A. N., Di Sabatino, S., Ratti, C., Yasar, A., and Rickerby, D.: Enduser perspective of low-cost sensors for outdoor air pollution monitoring, Science of The Total
 Environment, 607-608, 691-705, https://doi.org/10.1016/j.scitotenv.2017.06.266, 2017.
- Ramaswami, A., Russell, A. G., Culligan, P. J., Sharma, K. R., and Kumar, E.: Meta-principles for
 developing smart, sustainable, and healthy cities, Science, 352, 940-943, 10.1126/science.aaf7160, 2016.
- 422 Snyder, E. G., Watkins, T. H., Solomon, P. A., Thoma, E. D., Williams, R. W., Hagler, G. S. W., Shelow, D.,
- 423 Hindin, D. A., Kilaru, V. J., and Preuss, P. W.: The Changing Paradigm of Air Pollution Monitoring, Environ.
- 424 Sci. Technol., 47, 11369–11377, doi:10.1021/es4022602, 2013.
- 425 Sousan, S., Koehler, K., Hallett, L., and Peters, T. M.: Evaluation of the Alphasense Optical Particle
- 426 Counter (OPC-N2) and the Grimm Portable Aerosol Spectrometer (PAS-1.108), Aerosol science and
- 427 technology: the journal of the American Association for Aerosol Research, 50, 1352-1365,
- 428 10.1080/02786826.2016.1232859, 2016.
- South Coast Air Quality Management District Air Quality Sensor Performance Evaluation Center.
 http://www.aqmd.gov/aq-spec, 2017, accessed December 5, 2017.
- U.S. EPA. Air Sensor Toobox. <u>https://www.epa.gov/air-sensor-toolbox</u>, 2017, accessed December 5,
 2017.
- 433 Wang, Y., Li, J., Jing, H., Zhang, Q., Jiang, J., and Biswas, P.: Laboratory Evaluation and Calibration of
- Three Low-Cost Particle Sensors for Particulate Matter Measurement, Aerosol Science and Technology,
 49, 1063-1077, 10.1080/02786826.2015.1100710, 2015.
- Williams, R., Watkins, T., Long, R. Low cost sensor calibration options. Environmental Manager, p 10-15,
 January 2014.
- 438 Woodall, M. G., Hoover, D. M., Williams, R., Benedict, K., Harper, M., Soo, J.-C., Jarabek, M. A., Stewart,
- 439 J. M., Brown, S. J., Hulla, E. J., Caudill, M., Clements, L. A., Kaufman, A., Parker, J. A., Keating, M.,

- 440 Balshaw, D., Garrahan, K., Burton, L., Batka, S., Limaye, S. V., Hakkinen, J. P., and Thompson, B.:
- 441 Interpreting Mobile and Handheld Air Sensor Readings in Relation to Air Quality Standards and Health
- 442 Effect Reference Values: Tackling the Challenges, Atmosphere, 8, 10.3390/atmos8100182, 2017.
- Zimmerman, N., Presto, A. A., Kumar, S. P. N., Gu, J., Hauryliuk, A., Robinson, E. S., Robinson, A. L., and R.
- 444 Subramanian: A machine learning calibration model using random forests to improve sensor
- 445 performance for lower-cost air quality monitoring, Atmos. Meas. Tech., 11, 291-313,
- 446 https://doi.org/10.5194/amt-11-291-2018, 2018