Responses to reviewers: "Quantifying methane point sources from fine-scale (GHGSat) satellite observations of atmospheric methane plumes"

We thank the reviewers for their comments and suggestions, which we address below. Page and line numbers in our responses refer to the revised manuscript.

Response to comments from Ray Nassar

1. ... to make this work most widely applicable to the scientific community, it would be advisable to keep the paper's title more general (removing 'GHGSat').

Thank you for this suggestion. We have removed 'GHGSat' from the title, which now reads, "Quantifying methane point sources from fine-scale satellite observations of atmospheric methane plumes."

2. P1, L25: With such a small number of nadir methane column observing satellites, which use a diversity of technologies that result in a range of observing characteristics, the word "conventional" does not really apply. "Most existing and upcoming methane observing satellites . . ." would be a better introductory phrase.

We agree that the recommended wording is better and have implemented the change (P1, L27).

3. P1, L26: Since Jacob et al. (2016) reviews methane observations from space, the authors could easily have provided a more accurate description of SWIR mission pixel resolutions here than "1-10 km". From the list in Jacob et al. (2016) the proposed CarbonSat has the smallest pixel size at 2x2 km2 (although this was the "goal" with a "threshold" of 2x3 km2) while SCIAMACHY had the largest at 30x60km2. Regard- less of exact numbers, these pixels sizes are orders of magnitude larger than those of GHGSat, but Jacob et al. (2016, Table 2) showed that the proposed missions Carbon- Sat and GEO-FTS have point source detection thresholds (0.80 and 0.61 tons/hour, respectively) that are much closer to GHGSat (0.25 t/h) that SCIAMACHY (68 t/h) or GOSAT (7.1 t/h) due to a greater emphasis on measurement precision. An additional sentence somewhere to clarify the differences in precision would enhance understanding for the reader.

We have added sentences clarifying the differences in column precision and spatial resolution between GHGSat and previous missions (P6, L9-10; P13, L14-17). For further details on previous and upcoming satellite missions, we refer the reader to Jacob et al. 2016, which we cite heavily throughout the text.

4. Furthermore, it might be useful to make one more distinction, the difference between imaging missions (GHGSat, TROPOMI, SCIAMACHY, GeoCarb...) and non-imaging missions (GOSAT, MERLIN). Imaging data have clear advantages for point source work, yet the word 'image' never appears in the manuscript, aside from the references.

Thank you for this suggestion. We have added sentences addressing this distinction (P1, L30; P13, L16).

5. P2, L1: Can the authors confirm whether 10x10 km2 is indeed correct, since multiple other documents (for example Germain et al., 2017, McKeever et al., 2017 etc.) say 12x12 km2.

The GHGSat-D demonstration instrument does indeed target 12x12 km2 scenes, but future instruments in the constellation (e.g., GHGSat-C1, to be launched in 2019) will have slightly different scene sizes, depending on orbit altitude and the instrument specifications, which are subject to change. For clarity, we have included the 12x12 km2 figure for GHGSat-D in the manuscript (P6, L8).

6. P3, L6: Worden et al. (2013) is missing from the reference list.

Thank you for catching this oversight. We have added Worden et al. (2013) to the reference list.

7. P4, L3: Subsection 2.2 should be "Source pixel method".

Agreed; we have corrected this error.

8. P8, L27: Additional clarification on the methods of median filtering and Gaussian filtering would be helpful here.

We have added two sentences clarifying our filtering approach (P9, L2-4).

9. P10, L15-16: The assumption here is that a snapshot of the emissions is representative of the mean annual emission rate, i.e. the intra-annual variability is insignificant or the observation is near the mean of a predictable intra-annual variability, but it is possible that neither of these may be the case depending on the nature of the methane source.

We don't intend to make that assumption and now clarify that the retrieval is for an instantaneous source.

Response to comments from Peter Rayner

The paper does not yet provide convincing evidence that the proposed measurement will, in fact, meet its objectives. There are many questions still to be answered both about the measurement and its interpretation before we can say that. What is the role of pressure, elevation and scattering fluctuations on the mass estimates given that there is no oxygen measurement to normalize photon paths? What will happen when, inevitably, certain measurements are missing from a plume? What is the role of correlated error in differentiating plume from background and calculating uncertainty in mass enhancement? How sensitive is the IME to uncertainties in wind speed and how confident can we be of the extrapolation from surface to effective wind speed in the many combinations of plume elevation and shear that obtain in the real world? the paper does

not need to answer any of these but it should open the questions. I request therefore a significantly expanded discussion/conclusions section in which these questions (and I'm sure there are others) can be at least raised, preferably with some suggestions for how they can be addressed.

Thank you for these thoughtful questions. We agree that an expanded discussion and conclusions section touching on these and other questions would be valuable to the reader. We have added discussion of these topics to the conclusions section (P13, L18-27).

List of relevant changes made in the manuscript

Beyond the changes outlined above, we have made some other minor ones:

- 1. The claim that point sources $Q \ge 0.5$ t/h account for 75% of emissions reported to the GHGRP was made in error. We corrected it to $Q \ge 0.3$ t/h.
- 2. We made small formatting and diction changes throughout the text.
- 3. We reproduced the figures in higher resolution and with sans-serif font.
- 4. We expanded the acknowledgements section.

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Quantifying methane point sources from fine-scale satellite observations of atmospheric methane plumes

Daniel J. Varon^{1,2}, Daniel J. Jacob¹, Jason McKeever², Dylan Jervis², Berke O. A. Durak², Yan Xia³, Yi Huang³

¹School of Engineering and Applied Sciences, Harvard University, Cambridge, MA 02138, USA
 ²GHGSat, Inc., Montréal, QC H2W 1Y5, Canada
 ³Department of Atmospheric and Oceanic Sciences, Montréal, QC H3A 0B9, Canada
 Correspondence to: Daniel J. Varon (danielvaron@g.harvard.edu)

Abstract. Anthropogenic methane emissions originate from a large number of relatively small point sources. The planned GHGSat satellite fleet aims to quantify emissions from individual point sources by measuring methane column plumes over selected ~10×10 km² domains with ≤ 50×50 m² pixel resolution and 1-5% measurement precision. Here we develop algorithms for retrieving point source rates from such measurements. We simulate a large ensemble of instantaneous methane column plumes at 50×50 m² pixel resolution for a range of atmospheric conditions using the Weather Research and Forecasting model (WRF) in large eddy simulation (LES) mode and adding instrument noise. We show that standard methods to infer source rates by Gaussian plume inversion or source pixel mass balance are prone to large errors because the turbulence expert the neurophysical and the neuroll order of instantaneous methane. The interact of when experiment the neurophysical and n

- cannot be properly parameterized on the small scale of instantaneous methane plumes. The integrated mass enhancement (IME) method, which relates total plume mass to source rate, and the cross-sectional flux method, which infers source rate from fluxes across plume transects, are better adapted to the problem. We show that the <u>JME</u> method with local measurements of the 10-m wind speed can infer source rates with error of 0.07-0.17 t h^{-1} + 5-12% depending on instrument precision (1-5%).
- 20 The cross-sectional flux method has slightly larger errors (0.07-0.26 t h⁻¹ + 8-12%) but a simpler physical basis. For comparison, point sources larger than 0.2 t h⁻¹ contribute more than 75% of methane emissions reported to the U.S. Greenhouse Gas Reporting Program. Additional error applies if local wind speed measurements are not available, and may dominate the overall error at low wind speeds. Low winds are beneficial for source detection but detrimental for source quantification.

1 Introduction

- 25 Satellite instruments can measure atmospheric methane columns from solar backscatter in the shortwave infrared (SWIR) with near-uniform sensitivity down to the surface (Frankenberg et al., 2005). There is considerable interest in using these measurements to quantify methane emissions (Jacob et al., 2016). Most current and planned instruments have pixel resolutions of 1-10 km and column precisions of 0.1-1% (Bovensmann et al., 1999; Butz et al., 2011; Veefkind et al., 2012; Polonsky et al., 2014; Kuze et al., 2016). Jacob et al. (2016) show that these measurements can successfully map regional methane
- 30 emissions but have limited ability to resolve individual methane point sources, even with imaging capabilities, because the

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sources tend to be relatively small and spatially clustered (e.g., oil/gas fields, livestock operations, landfills, coal mine vents). The GHGSat microsatellite fleet (Germain et al., 2017; McKeever et al., 2017) aims to address this gap by observing methane columns over selected scenes of order $10 \times 10 \text{ km}^2$ with $\leq 50 \times 50 \text{ m}^2$ effective pixel resolution and moderate precision (1-

5%). Here we present <u>algorithms</u> for interpreting the instantaneous plumes observed by such an instrument in terms of the
implied point source (facility-level) emissions, and estimate the associated errors and detection limits as a function of instrument precision.

Aircraft remote sensing of methane columns over oil/gas and coal mining facilities <u>shows that the instantaneous</u> plumes <u>have</u> irregular shapes and detectable sizes of order 0.1-1 km (Thorpe et al., 2016; Thompson et al., 2015; 2016; Frankenberg et al., 2016). A standard method to retrieve source rates from plume observations is to assume Gaussian plume

- 10 behaviour, as expected from statistically averaged turbulence (Bovensmann et al., 2010; Krings et al., 2011; 2013; Rayner et al., 2014; Fioletov et al., 2015; Nassar et al., 2017; Schwandner et al., 2017). This method may induce large errors for small instantaneous plumes, which generally do not follow the steady-state Gaussian behaviour. Several authors have addressed this difficulty. Krings et al. (2011, 2013) proposed a cross-sectional flux method to derive the source rate as the product of the local wind and the concentration integrated over a plume cross-section, expanding on a similar method used for in situ plume measurements (White et al., 1976; Cambaliza et al., 2014; Conley et al., 2016). Jacob et al. (2016) described a mass balance
- method for inferring the source rate solely based on the enhancement in the source pixel. Frankenberg et al. (2016) inferred the source rate empirically from the total detectable mass of methane in the plume (integrated mass enhancement or IME).

A common feature of all these methods for retrieving the point source rate Q from plume observations is their need for independent knowledge of the wind speed U driving transport of the plume. In the cross-sectional flux method applied to

20 in situ aircraft observations, methane and local wind speed are measured concurrently (Conley et al., 2016). In remote sensing, however, the wind speed for the instantaneous column plume is not directly measured and may be variable both vertically and horizontally across the plume.

Here we use observing system simulation experiments to develop <u>algorithms</u> for retrieving individual point source rates from fine-scale satellite observations of <u>instantaneous</u> methane plumes. We review previously-used plume inversion

25 methods and show with large eddy simulations (LES) that the JME and cross-sectional flux methods are best-suited to the problem. We further develop the JME method to provide a physical basis for its general application. We consider different combinations of instrument precision, meteorological environment, and wind information to test the methods and quantify errors. Our work is motivated by GHGSat but is more generally applicable to any fine-scale plume observations from space.

2 Review of methods for retrieving point sources from observations of column plumes

30 A methane point source produces a turbulent plume of atmospheric methane with characteristics determined by the strength of the source, the wind field, and turbulence that depends on atmospheric stability and surface roughness. Four different methods have been proposed to quantify point source rates from plume observations: (1) the Gaussian plume inversion method (Bovensmann et al., 2010; Krings et al., 2011; 2013; Rayner et al., 2014; Fioletov et al., 2015; Nassar et al., 2017; Schwandner

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et al., 2017), (2) the source pixel method (Jacob et al., 2016; Buchwitz et al., 2017), (3) the cross-sectional flux method (White et al., 1976; Conley et al., 2016; Krings et al., 2011; 2013; Tratt et al., 2011; 2014; Frankenberg et al., 2016), and (4) the JME method (Thompson et al., 2016; Frankenberg et al., 2016). Here we discuss these methods for remote sensing observations of column plumes, This is a somewhat different problem than for in situ observations of plumes. In situ observations benefit from

5 a stronger signal but require characterization of the plume in the vertical dimension, which is integrated in a column measurement.

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Satellite remote sensing of methane plumes retrieves column concentrations with vertical sensitivity that depends on atmospheric scattering and absorption. Clear-sky observations in the SWIR have near-unit sensitivity throughout the tropospheric column while observations in the thermal infrared (TIR) have strong vertical dependence determined by temperature contrast with the surface (Worden et al., 2013). Here we focus on SWIR observations, where we can ignore vertical

10 temperature contrast with the surface (Worden et al., 2013). Here we focus on SWIR observations, where we can ignore vertical dependence in sensitivity. TIR remote sensing has been used effectively to detect methane plumes from low-flying aircraft (Tratt et al., 2014; Frankenberg et al., 2016) but is not practical from space because of interference from the background methane column above the plume (Jacob et al., 2016).

Methane column concentrations retrieved from remote sensing are commonly expressed in the literature as column 15 average dry molar mixing ratio X [ppb]. The plume is then characterized by an enhancement $\Delta X = X - X_b$ relative to the local background X_b . For our purposes of relating plume observations to the source rate Q [kg s⁻¹], a more useful measure of plume concentration is the column mass enhancement $\Delta\Omega$ with units [kg m⁻²]. $\Delta\Omega$ is related to ΔX by

$$\Delta\Omega = \frac{M_{CH_4}}{M_a} \Omega_a \Delta X , \qquad (1)$$

where M_{CH_4} and M_a are the molar masses of methane and dry air [kg mol⁻¹] and Ω_a is the column of dry air [kg m⁻²]

20 2.1 Gaussian plume inversion method

The Gaussian plume inversion method fits a Gaussian plume model to the measured columns. Assuming a steady wind *U* oriented along the *x*-axis and integrating the three-dimensional Gaussian plume equation vertically, one obtains an expression for *Q* in terms of the vertical column enhancement $\Delta\Omega(x, y)$ downwind of a point source located at the origin (Bovensmann et al., 2010):

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$$Q = U\Delta\Omega(x,y)\left(\sqrt{2\pi}\sigma_y(x) e^{\frac{y^2}{2\sigma_y(x)^2}}\right).$$

The empirical dispersion parameter $\sigma_y(x)$ [m] describes the horizontal spread of the plume along the *y*-axis orthogonal to the wind direction. It is commonly parameterized as (Martin, 1976)

$$\sigma_y(x) = a \left(\frac{x}{x_0}\right)^{0.894},\tag{3}$$

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where $x_0 = 1000$ m and the dispersion coefficient *a* [m] depends on atmospheric stability as defined by the Pasquill-Gifford atmospheric stability categories (Pasquill, 1961). The solution to (2) may involve non-linear optimal estimation fitting of *a* to the observed plume (Krings et al., 2011). The fit may not be successful if the instantaneous plume shows large departure from the steady-state Gaussian behaviour.

5 2.2 <u>Source pixel</u> method

In the source pixel method used by Jacob et al. (2016) to compare different satellite observing configurations, emissions are inferred solely from methane enhancements in the source pixel relative to the local background. For an observation pixel of dimension W [m] containing a methane point source ventilated by a uniform wind speed U [m s⁻¹], the source rate Q [kg s⁻¹] is calculated from the mean source pixel enhancement $\Delta\Omega$ [kg m⁻²]:

$$10 \quad Q = \frac{UWp}{g\Omega_a} \Delta\Omega \;,$$

where p is the surface pressure and g is the acceleration of gravity. The source pixel method ignores additional information from the plume downwind and is therefore not optimal. In addition, the instantaneous wind U may have large uncertainty for small pixels because of turbulence. The method is also vulnerable to systematic errors in the column enhancement retrieved over the source pixel (e.g., due to different reflectance properties of the emitter compared to the surrounding area) and errors in the local background estimate.

2.3 Cross-sectional flux method

In the cross-sectional flux method, the source rate is estimated by computing the flux through one or more plume cross-sections orthogonal to the plume axis. This approach is commonly used for aircraft in situ observations (White et al., 1976; Mays et al., 2009; Cambaliza et al., 2014; 2015; Conley et al., 2016). Krings et al. (2011, 2013) and Tratt et al. (2011, 2014) extended it to methane columns observed by aircraft remote sensing. By mass balance, the source rate Q must be equal to the product of the

wind speed and the column plume transect along the y-axis perpendicular to the wind:

 $Q = \int_{-\infty}^{+\infty} U(x, y) \Delta \Omega(x, y) dy ,$

where the integral is approximated in the observations as a discrete summation of the product $U(x, y)\Delta\Omega(x, y)$ over the detectable width of the plume.

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Compared to in situ aircraft measurements, an advantage of remote sensing is that the full vertical extent of the plume is covered by the measurement. A disadvantage is that the wind U(x, y) is not as well characterized: it must describe some vertical average over the plume extent and there is generally no information on its horizontal variability over the scale of the plume. This may require estimation of an effective wind speed U_{eff} applied to the cross-plume integral C [kg m⁻¹] of the column along the y-axis:

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 $Q = C U_{\text{eff}}$, with $= \int_{-\infty}^{+\infty} \Delta \Omega(x, y) dy$ Deleted: Ueff (6) Deleted: C If \mathcal{U}_{eff} is assumed uniform with distance x downwind of the source, then the integral C is independent of x and can be Deleted: Ueff computed for different values of x to improve accuracy through averaging. We show in Sect. 6 how to estimate U_{eff} for use in Deleted: Ueff Eq. (6). 5 2.4 Integrated mass enhancement (IME) method The JME method relates the source rate to the total plume mass detected downwind of the source. The JME of an observed Deleted: IME Deleted: IME column plume comprising N pixels of area A_i (j = 1 ... N) is $IME = \sum_{i=1}^{N} \Delta \Omega_i A_i$. (7) Frankenberg et al. (2016) defined an empirical linear relationship between JME and Q for their methane plumes detected from Deleted: IME 10 aircraft by using independent estimates of a few sources from the cross-sectional flux method. They then applied this linear relationship to all their observed plumes. More fundamentally, the relationship between JME and Q is defined by the residence time τ of methane in the Deleted: IME detectable plume: $Q = \sqrt{ME/\tau}$. One can express τ dimensionally in terms of an effective wind speed U_{eff} [m s⁻¹] and a plume Deleted: IME Deleted: eff size L [m]: 15 $Q = \frac{1}{\tau} IME = \frac{U_{eff}}{L} IME = \frac{U_{eff}}{L} \sum_{j=1}^{N} \Delta \Omega_j A_j$ (8) Deleted:

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 U_{eff} and *L* would have simple physical meanings of wind speed and plume length if dissipation of the plume occurred by <u>uniform</u> transport to a terminal distance downwind of the source. But the actual mechanism for plume dissipation is turbulent diffusion, which takes place in all directions. U_{eff} and *L* must therefore be viewed as operational parameters to be related to observations of wind speed *U* and plume extent. In Sect. 5 we derive these relationships from synthetic plumes generated by large eddy simulation (LES). The detectable plume size *L* depends on *Q* and *U*, introducing non-linearity in Eq. (8).

3 Synthetic GHGSat observations of methane plumes

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We generate synthetic GHGSat plumes with the Weather Research and Forecasting model in LES mode (WRF-LES; Moeng et al., 2007) to evaluate the ability of the methods described in Sect. 2 to retrieve methane point source rates from satellite observations. The WRF-LES simulations are conducted at 50×50 m² resolution and are sampled virtually with the GHGSat

25 instrument by column integration and with consideration of instrument precision. In this section, we briefly describe the GHGSat instrument and the application of LES to produce synthetic plumes.

3.1 The GHGSat instrument

GHGSat is a lightweight satellite instrument (~15 kg) designed by GHGSat, Inc. to detect atmospheric methane plumes from individual point sources. A demonstration instrument (GHGSat-D) was launched in June 2016 into sun-synchronous orbit (local solar viewing time of 09:30 on the descending node) to test the instrument performance and column retrieval algorithm.

- 5 The launch of the first operational satellite is scheduled for early 2019. The long-term plan is for a constellation of sun-synchronous GHGSat microsatellites in low Earth orbit providing frequent observations of different sources of interest. GHGSat measures backscattered solar SWIR radiation at 1635-1670 nm (0.1 nm spectral resolution) over ~10×10 km² targeted domains (12×12 km² for GHGSat-D) with ≤ 50×50 m² pixels. The design precision for the methane column retrieval is 1-5%. This is coarser precision than that of other satellite instruments (e.g., 0.6% for TROPOMI, 0.7% for GOSAT),
- 10 but for observation of point sources it is more than offset by the finer pixel resolution (Jacob et al., 2016).

3.2 Large eddy simulations (LES)

We apply WRF-LES to simulate turbulent plume transport at $50 \times 50 \text{ m}^2$ horizontal resolution and 30-m vertical resolution over a $6 \times 6 \text{ km}^2$ domain. We use a modified version of the WRF v3.8 default LES case with cloud-free conditions and no topography (WRF User Guide; Moeng et al., 2007). Simulations are performed with one-way nesting from an external simulation over a $7.5 \times 7.5 \text{ km}^2$ domain with $150 \times 150 \text{ m}^2$ resolution and periodic boundary conditions. A uniform sensible

15 simulation over a 7.5×7.5 km² domain with 150×150 m² resolution and periodic boundary conditions. A uniform sensible heat flux H = 100 W m⁻² is applied at the surface to drive buoyant turbulence, Mechanical turbulence is generated by surface drag with an aerodynamic roughness height of 0.1 m. Forcing from a large-scale pressure gradient maintains momentum across the domain.

Each LES simulates five hours of atmospheric transport. The first three hours spin up realistic turbulence and the final two hours are used for analysis. We use a range of initial mixing depths and wind speed soundings to produce different simulations. The potential temperature soundings are uniform at 290 K from the surface to a mixing depth set at either 500, 800, or 1100 m altitude, with an inversion above that altitude and the model top set 700 m above the inversion. For each of these three mixing depths, we conduct simulations using five initially uniform southerly wind profiles with speeds of 2-8 m s⁻¹. The resulting LES ensemble of 15 simulations is broadly representative of the range of meteorological conditions that could

25 be sampled with a SWIR daytime instrument.

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We use the WRF-LES passive tracer transport capability (Nottrott et al., 2014; Nunalee et al., 2014) to generate a plume from a single constant point source in the WRF-LES meteorological environment. From there we integrate the plume over vertical columns and add random noise to produce GHGSat pseudo-observations. We archive the tracer column field every 30 seconds as an independent realization of the instantaneous plume. From the 15 WRF-LES simulations we thus archive a collection of 3600 scenes, representing our statistical ensemble for different possible realizations of turbulence.

The WRF-LES point source in the archived ensemble has a normalized source rate, which we subsequently scale from the output to simulate source rates Q in the range 0.05-2.25 t CH₄ h⁻¹ (0.5-20 kt a⁻¹). This range covers the top 25% of

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sources reporting to the U.S. Greenhouse Gas Reporting Program (GHGRP) and contributing $\frac{75\%}{100}$ of total GHGRP methane emissions (Jacob et al., 2016). A uniform background methane column of 0.01 kg m⁻² (roughly 1850 ppb) is added to the tracer column. Uncorrelated measurement noise is then added as a random increment of the background sampled from a normal distribution with zero mean bias and standard deviation $\sigma = 1-5\%$, corresponding to the range of expected instrument

5 precision. The column enhancement $\Delta\Omega$ is then inferred by subtracting the 0.01 kg m⁻² background, which is therefore assumed to be known.

Figure (1) shows examples of synthetic plume observations produced in this manner for a source $Q = 1 \text{ th}^{-1}$, assuming different levels of instrument precision. As the precision decreases the plume is increasingly difficult to detect.

4 Inadequacy of the Gaussian plume and source pixel methods

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- Previous studies of CO₂ column observations from the OCO-2 satellite instrument with ~1.3×2.25 km² nadir pixel resolution (Crisp et al., 2017) have shown that the Gaussian plume inversion method can be effective for quantifying very large CO₂ emissions from power plants (Nassar et al., 2017) and volcanoes (Schwandner et al., 2017). We find here that the approach fails when applied to fine-scale methane plumes, because the plumes depart too much from Gaussian behaviour, CO₂ point sources can be considerably larger relative to background concentrations and instrument precision levels, and the
- 15 resulting plumes can then be observed over distances of tens of <u>kilometres</u>. Such large size allows for statistical averaging of eddies and hence better <u>approximation of</u> Gaussian <u>behaviour</u> even for an instantaneous plume. To demonstrate this, Figure (2) shows an LES snapshot of a large power plant emitting 3.75 kt CO₂ h⁻¹ in a 72-km domain with 300×300 m² pixel resolution. Fitting a Gaussian plume to the 300-m pixel enhancements yields a coefficient of determination R² of only 0.24, but R² increases to 0.86 when the LES image is averaged over 3×3 km² pixels. Spatial averaging of turbulence over <u>kilometre-</u>
- 20 scale pixels thus greatly improves the accuracy of Gaussian plume models, but this requires sufficiently large plumes. Methane plumes are generally too small to allow such averaging (Frankenberg et al., 2016).

The source pixel retrieval method only considers the column enhancement over the point source pixel, thus inferring the source rate from ventilation of that pixel by the local wind. It assumes in effect that the near-field plume is diluted over the source pixel and neglects information from the plume downwind. This can be an effective method when pixel resolution is

- 25 coarse, so that most of the information is in the source pixel and the mean wind across the pixel can be well-defined (Buchwitz et al., 2017). However, it has three major shortcomings when applied to GHGSat 50×50 m² pixels: (1) it does not exploit the information from downwind pixels, where most of the plume mass typically resides; (2) small-scale turbulence generates strong variability in the wind; and (3) source pixel ventilation may take place by turbulent horizontal diffusion rather than advection by the mean wind, leading to negative bias. With regard to (2), the residence time in a GHGSat pixel is only ~30 s,
- 30 and there is large variability in the wind on such a short time scale that cannot be described deterministically. For example, in a typical LES under moderately unstable conditions we find a 10-m wind speed of 2.45 ± 0.8 m s⁻¹, where the standard deviation is for the 30-s data. 30-second variability in wind speed alone thus introduces a factor of 30% uncertainty in the source estimate. With regard to (3), the relative importance of turbulent diffusion and advection is diagnosed by the Péclet

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number $Pe = UL/K_H$, where K_H is the turbulent horizontal diffusion coefficient (Brasseur and Jacob, 2017). For a typical $K_H = 50 \text{ m}^2 \text{ s}^{-1}$ (d'Isidoro et al., 2010) with $U = 2 \text{ m} \text{ s}^{-1}$ and L = 50 m we find $Pe \sim 1$, so that turbulent diffusion and advection are of comparable importance.

5 Computing the source rate by the JME method

5 We showed in Sect. 2.4 how the JME method for retrieving the point source rate Q from the measured JME hinges on knowledge of the residence time of methane in the detectable plume. We refer to this residence time as the plume lifetime $\tau = IME/Q$, which in turn is related to two parameters: an effective wind speed \mathcal{J}_{eff} and a characteristic plume size $L.\mathcal{J}ME$ and L can be inferred from the plume observations, while \mathcal{J}_{eff} can be inferred from the observable 10-m wind speed U_{10} at the point of emission.

10 5.1 Inferring the plume mass (IME) and size (L)

Inferring JME and *L* from the plume observations requires that we define the horizontal extent of the plume through a pixel selection procedure that separates signal from noise. Careful selection is important. Consider an array of *N* pixels of equal area and with retrieved column enhancements $\Delta \Omega_{j_R}(j_R = 1 \dots N_R)$. If each pixel enhancement includes a contribution s_j from signal (actual plume enhancement) and ε_j from random noise, then as per Eq. (7),

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$$\frac{\mathrm{IME}}{A} = \sum_{j=1}^{N} \Delta \Omega_j = \sum_{j=1}^{N} (s_j + \varepsilon_j) = \varepsilon_a + \sum_{j=1}^{N} s_j$$
,

where ε_a is the total measurement error. The relative error ε_r is then $\varepsilon_r = \varepsilon_a / \sum_j^N s_j$. If the noise is normally distributed and uncorrelated, then the error standard deviation is proportional to \sqrt{N} , so that the standard deviation σ_r of the relative error scales as

$$\sigma_r \propto \frac{\sqrt{N}}{\sum_i^N s_j}.$$
 (10)

20 Now consider two extreme cases: (1) all pixels contain the same signal s_0 , and (2) only one pixel contains signal s_0 and the other pixels contain only noise. In case (1), the total signal $\sum_{j}^{N} s_j$ is proportional to *N*, meaning $\sigma_{\varepsilon_r} \propto 1/\sqrt{N}$. By contrast, in case (2), the total signal is equal to s_0 , so $\sigma_{\varepsilon_r} \propto \sqrt{N}$. Thus, we see that aggregating plume pixels can either decrease or increase the error on the JME depending on whether these pixels have significant signal or not.

Figure (3) illustrates how we construct a plume mask to select plume pixels with significant signal-noise ratios. The background distribution (mean ± standard deviation) is first characterized by an upwind sample of the measured columns, mimicking what one would do with actual observations. Next, we sample the 5×5 pixels <u>neighbourhood centred on each pixel</u> in the viewing domain and compare the sample distributions to the background distribution by means of a Student's *t*-test. Pixels whose 5×5 neighbourhoods follow a distribution significantly different than the background at a significance level of

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	95% or higher are assigned to the plume, others to the background. The resulting Boolean plume mask contains some random		
	classification errors, so we smooth it with a median filter followed by a Gaussian filter and thresholding. The median filter	 Deleted: 3×3 (binary-valued)	
	$\underline{replaces \ each \ pixel \ in \ the \ mask \ by \ the \ median \ value \ of \ its \ 3\times3 \ \underline{neighbourhood}. \ The \ Gaussian \ filter \ convolves \ the \ mask \ with$		
	a two-dimensional Gaussian of standard deviation 2-5, with larger values for higher noise levels.		
5	We compute the JME by summing pixel enhancements within the plume mask following Eq. (7). A simple measure	 Deleted: IME	
	of the plume size L can be made as		
	$L = \sqrt{A_M} , \tag{11}$		
	where A_M [m ²] is the area of the plume mask. Another possible estimate of L would be the mask's perimeter, which can be		
	obtained by contour-tracing. The definition of L is not critical as long as it has some physical basis relating it to the observed		
10	plume geometry. A different definition would imply a different calculation of Ueff.	 Deleted: eff	
	5.2 Inferring U_{eff} from the 10-m wind speed U_{10}	 Deleted: U _{eff}]
	The effective wind speed μ_{eff} is a parameter of the JME method that should be related to the measurable 10-m wind speed at	 Deleted: U _{eff}	
	the location of the point source, and here we use the LES to derive the $U_{eff} = f(U_{10})$ relationship. If U_{10} is not actually	 Deleted: IME	
	measured at the site, it can be estimated from an operational meteorological database at the cost of some representation error.	Deleted: U _{eff}]
15	We discuss that error in Sect. 7.		
	We derive the $U_{eff} = f(U_{10})$ relationship from a training set of column plumes comprising two thirds of the LES		
	ensemble selected at random (i.e., 2400 plume instances). The remaining plumes serve as a test set for evaluating the retrieval		
	algorithm. For each plume in the training set, U_{eff} is computed from Eq. (8) as $U_{eff} = QL/IME$, based on the known source	 Deleted: U _{eff}	
	rate Q and with L and IME determined from the plume masks. The corresponding U_{10} time series at the location of the source	 Deleted: U _{eff}	
20	is obtained from the LES, averaged over the plume lifetime $\tau = IME/Q$. Values of τ in our ensemble range from 1 to 60		
	minutes depending on instrument precision, source rate, and wind speed. In practice, τ is unknown <i>a priori</i> and must be		
	inferred from the plume observations and local wind speed information. We discuss this in Sect. 5.3.		
	Figure 4 shows the relationship between U_{eff} and U_{10} inferred from the LES ensemble. We find that we can fit the	 Deleted: U _{eff}	
	data to a logarithmic dependence:		
25	$\mathcal{Y}_{\text{eff}} = \alpha_1 \log U_{10} + \alpha_2 , \tag{12}$	 Deleted: U _{eff}	
	where $\alpha_1 = 0.9 - 1.1 \text{ m s}^{-1}$, $\alpha_2 = 0.5 - 0.6 \text{ m s}^{-1}$, and the ranges on the coefficients are for the 1-5% range of instrument precision.	 Deleted: 1 ± 0.1	
	For 1% instrument precision, the logarithmic function plotted in Figure 4 captures 75% of the variance ($R^2 = 0.75$). This	 Deleted: 0.55 ± 0.05	
l	decreases to 35% of the variance for 5% instrument precision. The convexity of the relationship is an important result, as it	 Deleted: 0.75	
1	implies that error in U_{eff} is smaller than error in U_{10} . One might expect U_{eff} from the JME method to be proportional to U_{10} .	 Deleted: U _{eff}	{
30	such that IME/L would be inversely proportional to U_{10} as per Eq. (8). However, even though that inverse relationship holds	Deleted: U _{eff}	{
	for plume concentrations (see Eq. (6)), it is much weaker for the JME because the concentrated plume in the near-field of the	 Deleted: IME	{
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source remains in the signal even at high wind speeds. Thus, the plume observations themselves interpreted with the JME method contain some information on U_{10} that slackens the dependence of U_{eff} on U_{10} .

5.3 Computing the source rate

Figure (5) summarizes the algorithm for retrieving source rates with the JME method. The algorithm accepts two inputs: (1) a 5 map of plume enhancements $\Delta\Omega(x, y)$ over the plume mask, and (2) the 10-m wind speed U_{10} from either local high-frequency measurements or an operational meteorological database. If local high-frequency measurements of U_{10} are available, then there is an opportunity to iteratively refine the plume lifetime τ over which U_{10} should be averaged and for this we make a first guess $\tau_0 = 5$ minutes. If only coarse-resolution wind speed data are available, then we assume that these are representative of the local value averaged over the plume lifetime and add the associated error to the overall error budget (see Sect. 7).

- 10 Figure (6) shows the instantaneous source rates retrieved from our JME algorithm when applied to the test set of LES plumes in different instrument precision scenarios, compared to the true source rates. There is good agreement with the 1:1 line in all cases ($R^2 \ge 0.86$). Retrieval uncertainty (expressed as absolute + relative contributions and defined by the standard deviation of departure from the 1:1 line) increases from 0.07 t h⁻¹ + 5% for $\sigma = 1\%$, to 0.13 t h⁻¹ + 7% for $\sigma = 3\%$, and 0.17 t $h^{-1} + 12\%$ for $\sigma = 4\%$ (Table 1). For sources 1.5 t h^{-1} or larger, retrieval error is less than 25% even with instrument precision
- 15 up to 5%. For $Q = 1 \text{ th}^{-1}$, instrument precision up to $\sigma = 3\%$ yields uncertainty less than 20% of the true source rate. Source rates larger than 0,3 t h⁻¹ contribute more than 75% of total methane emitted from point sources reporting to the U.S. Greenhouse Gas Reporting Program (Jacob et al., 2016). An instrument with $\sigma = 1\%$ measurement uncertainty can quantify these emissions to within 20% of the true source rate.

6 Computing the source rate by the cross-sectional flux method

- 20 Much of our analysis of the JME method in Sect. 5 can be applied to the cross-sectional flux method commonly used for in situ aircraft observations, and extended by Krings et al. (2011, 2013) and Tratt et al. (2011, 2014) for remote sensing observations. We compute the plume mask as described in Sect. 5.1, and infer the wind direction from the axis of the plume, based on a weighted average of plume pixel coordinates using the column enhancements as weights. From there, we obtain the mean cross-plume integral C of the column enhancements at different distances downwind of the source (see Eq. (6)).
- 25 We again use the LES training set to characterize the relationship between the effective wind speed U_{eff} in Eq. (6) and the local 10-m wind speed U_{10} . U_{eff} in the cross-sectional flux method is different than U_{eff} in the IME method. For each plume in the training set, $U_{eff}U_{eff}$ is computed from Eq. (6) based on C and the known source rate Q. The plume lifetime over which to average local high-frequency U_{10} measurements for comparison with U_{eff} is computed as $\tau = L/U_{\text{eff}}$, where the plume size parameter L now has a specific physical meaning as the maximum along-wind distance from the source over which
- transects can be computed (as defined by the plume mask). 30

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Figure (7) shows the resulting relationship between U_{eff} and U_{10} . The relationship is near-linear, as would be expected, and the fit $U_{eff} = \beta U_{10}$ with $\beta = 1.4-1.5$ (where the range is for the 1-5% range of instrument precisions) captures $20-\frac{75}{9}$ of the variance ($0.20 \le R^2 \le 0.75$) for $U_{10} \ge 2$ m s⁻¹, depending on instrument precision. The 40-50% increase relative to U_{10} reflects the increase of wind speed with altitude where the plume is transported. The departure from the linear

relationship for $U_{10} < 2 \text{ m s}^{-1}$ is because low winds are more variable in direction. The cross-sectional flux method should not 5 be used under calm wind conditions.

Figure (8) shows the results of the cross-sectional flux retrieval algorithm applied to the LES test plumes, excluding those from the plume population with $U_{10} < 2 \text{ m s}^{-1}$ and $U_{eff} < 2 \text{ m s}^{-1}$. In all instrument precision scenarios, the retrieved source rates are consistent with the 1:1 line. However, residuals are slightly larger than in the JME method (see Figure (6)), as indicated by the smaller coefficients of determination. This results primarily from greater uncertainty in the effective wind speed compared to the JME method. Moreover, analysing orthogonal plume cross-sections requires estimation of the wind direction, which introduces an additional source of error. Absolute and relative retrieval errors estimated in the same way as for the JME method are listed in Table 1. While retrieval uncertainty is slightly higher (0.07-0.26 t h⁻¹ + 8-12%, depending on instrument precision), an advantage of the cross-sectional flux method is that there is a simpler physical basis for relating U_{10} . C, and Q.

7 Inferring the effective wind speed from meteorological databases

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Both the JME and cross-sectional flux methods require knowledge of the local wind speed. In the absence of measurements, the 10-m wind speed U_{10} at the time of observation must be estimated from some meteorol Here we examine the option of using the GEOS-FP operational reanalysis produced by the NASA Global

Assimilation Office, available globally as 3-hour averages with 0.25°×0.3125° resolution (≈ 25×25 km gridpoint level of 60 m above the surface (Molod et al., 2012; https://gmao.gsfc.nasa.gov/GMAO_products/). speed can be obtained from the 60-m wind speed by:

$$U_{10} = \left[\frac{\ln\left(\frac{z_{10}}{z_{0,m}}\right) - \Psi_m}{\ln\left(\frac{z_{60}}{z_{0,m}}\right) - \Psi_m} \right] U_{60} , \qquad (13)$$

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where $z_{0,m}$ [m] is the surface roughness length for momentum, $z_{10} = 10$ m, $z_{60} = 60$ m, and $\Psi_m = f(z_m)$ 25 correction parameter dependent on the Monin-Obukhov length l (Brasseur and Jacob, 2017). The GEOSvalues for $z_{0,m}$ and l, but one can use local estimates of these variables if better information is available. Better GEOS-FP may be available to the user depending on region, but an advantage of GEOS-FP is that it can be default.

Figure (9) evaluates the GEOS-FP U_{10} data by comparison to 3-hour average daytime measurements 30 10 U.S. airports obtained from the University of Utah MesoWest database (http://mesowest.utah.edu/). Her 0.025 m as input to Eq. (13) to account for the relatively smooth airport terrain. There is no bias in the GEOS-FP data relative to MesoWest. The error standard deviation derived from the difference between the 3-hour GEOS-FP and MesoWest 10-m wind speeds is $\frac{1.6}{1.6}$ m s⁻¹, largely independent of wind speed. Since wind speed is a positive variable, errors at low wind speeds (<2 m s⁻¹) tend to be systematic. There is additional error from using 3-hour wind data when the plume lifetime τ is much

5 shorter. From the 5-minute resolution of the MesoWest data we find an additional error standard deviation of 2.0 m s⁻¹ for $\tau = 1$ for $\tau = 1$ hour when 3-h average wind speed data are used. Adding these errors in quadrature, we conclude that using GEOS-FP wind data incurs an error standard deviation on the 10-m wind speed of 2.5 m s⁻¹ for small plumes ($\tau = 5$ minutes) and 2.0 m s⁻¹ for large plumes ($\tau = 1$ hour).

Substitution into the $U_{\text{eff}} = f(U_{10})$ relations of the JME and cross-sectional flux methods implies an additional error in inferring Q of 15-50% for the JME method and 30-65% for the cross-sectional flux method over the 10-m wind speed range 2-7 m s⁻¹, with largest errors at low wind speeds. The error is larger for the cross-sectional flux method where the dependence of U_{eff} on U_{10} is linear rather than logarithmic. Comparison to the other retrieval errors for each method is given in Table 1. At low wind speeds, the error from using GEOS-FP wind data may dominate the overall error budget for inferring source rates. However, our estimate of the error from using operational meteorological databases is intended only to be illustrative. Different

15 errors may apply for other regions or seasons, or when using other meteorological databases than GEOS-FP.

8 Conclusions

We have developed new algorithms for quantifying methane point sources from fine-scale satellite observations of atmospheric column plumes, motivated by the planned fleet of GHGSat instruments ($\leq 50 \times 50 \text{ m}^2$ pixel resolution, 1-5% precision). A challenge is that individual point sources of methane are relatively weak, so that the detectable instantaneous plumes are

- 20 relatively small (~1 km) and short-lived (<1 hour). Using a large ensemble of WRF large eddy simulations (LES) of methane plumes from point sources, we showed that Gaussian plume inversions are unsuccessful because the instantaneous plumes are too small to follow Gaussian <u>behaviour</u>. We also showed how a simple source pixel mass balance method is inappropriate because of wind variability and horizontal turbulent diffusion on the scales of relevance.
- Two more promising methods for quantifying source rates from methane column plume observations are the 25 integrated mass enhancement (\underline{IME}) method and the cross-sectional flux method. Both methods require <u>construction of a plume</u> mask to isolate the plume enhancements from the background noise. The <u>JME</u> method requires estimation of the plume lifetime τ , which in turn depends on an effective wind speed \underline{J}_{eff} for the plume and a characteristic plume size *L*. We showed how these quantities can be estimated from knowledge of the plume mask and of the 10-m wind speed U_{10} at the location of the source. The source rates are then inferred from the plume observations with expected errors of 0.07-0.17 t h⁻¹ + 5-12%
- 30 depending on instrument precision (1-5%). For reference, source rates larger than $0\frac{3}{4}$ t h⁻¹ contribute more than 75% of total point source emissions in the U.S. Greenhouse Gas Reporting Program (GHGRP) database.

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The cross-sectional flux method requires an estimate of the wind direction and of an effective wind speed U_{eff} reflecting the vertical and horizontal spread of the plume. Again, the LES simulations show how these can be reliably estimated from the plume mask and local U_{10} . We find that for $U_{10} \ge_2 2 \text{ m s}^{-1}$, $U_{eff} = \beta U_{10}$ with $\beta = 1.4$ -1.5 is a good approximation to account for vertical plume spreading. The cross-sectional flux method should not be used for $U_{10} <_2 2 \text{ m s}^{-1}$. The errors on the source rates are 0.07-0.26 t h⁻¹ + 8-12%, slightly worse than in the JME method. An advantage of the cross-sectional flux method is its simpler physical basis.

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Both the JME and the cross-sectional flux methods parameterize their effective wind speeds U_{eff} as a function of the local wind speed U_{10} . If local measurements of U_{10} are not available, then U_{10} must be estimated from an operational meteorological database or from measurements some distance away. Using the global NASA GEOS-FP archive of wind speeds

- in June 2017 as an illustrative example compared to U.S. airport data, we find that using this archive would incur source rate errors of 15-50% in the JME method and 30-65% in the cross-sectional flux method over the 2-7 m s⁻¹ range of wind speeds. The largest errors are at low wind speeds where they dominate the overall error budget. Low wind speeds facilitate source detection by improving signal to noise, but worsen source quantification by increasing uncertainty in the inference of U_{eff}.
- Our source rate retrieval algorithms were motivated by the need to interpret GHGSat plume observations but can 15 readily be applied to any fine-pixel remote sensing measurements of methane column plumes from satellite or aircraft. The precision in retrieving point source rates is much better than can be achieved by current or planned imaging satellites from governmental space agencies, which have higher instrument precision but coarser pixel resolution (Jacob et al., 2016).

Several questions remain to be explored. (1) How will correlated errors in the retrieved methane columns, as observed in GHGSat-D columns (Germain et al., 2017; McKeever et al., 2017), affect source quantification by the IME and cross-

- 20 sectional flux methods? Such errors would complicate plume definition and plume enhancement calculations. More advanced image segmentation techniques based on machine learning experiments may be useful to differentiate plumes from correlated background errors. (2) How reliably can we parameterize the relationship between effective and 10-m wind speeds given the range of topographies, source elevations, and meteorological environments observed in the real world? Targeted LES experiments may be needed to better constrain the U_{eff}-U₁₀ relationship for sources in complex topography. (3) How will
- 25 scattering uncertainties in the photon light paths influence mass enhancement estimates? Clouds in the scene can introduce scattering errors while also masking out portions of the plume. The masked pixels could be estimated by interpolation but scattering errors may be too severe.

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Data availability

The University of Utah MesoWest meteorological data used in this study is freely available at http://mesowest.utah.edu/. Similarly, the NASA GEOS-FP data is available from the GEOS-5 Data Server at https://portal.nccs.nasa.gov/cgi-lats4d/opendap.cgi?&path=. LES model output data is available upon request.

5 Competing interests

The authors declare that they have no conflict of interest.

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Table 1. Error standard deviations for retrieving point source rates from column plume observations.

Method	Instrument precision ^a			Wind speed estimation
	1%	3%	5%	error ^b
IME	0.07 t h ⁻¹ + 5%	0.13 t h ⁻¹ + 7%	0.17 t h ⁻¹ + 12%	15-50%
Cross-sectional flux	$0.07 \text{ t } \text{h}^{-1} + 8\%$	$0.18 \text{ t } \text{h}^{-1} + 8\%$	0.26 t h ⁻¹ + 12%	30-65%

^a Sum of absolute and relative errors when local measurements of 10-m wind speed U_{10} are available (see text).

 $5 ext{b}^{b}$ Additional <u>relative</u> error when local wind speed data are not available, <u>The values given here are inferred from a sample of the GEOS-FP</u> global database <u>over the US</u> and should only be viewed as illustrative. The range is for GEOS-FP wind speeds of 2-7 m s⁻¹, with the <u>larger</u> errors for the smaller wind speeds.

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Deleted: , to be summed in quadrature with relative errors from the case where they are available. **Deleted:** largest error values corresponding to the smallest

Deleted: Local wind Deleted: estimate^b





Figure 1: Examples of column plume pseudo-observations generated by an LES on a 50×50 m² grid. <u>The circle identifies the location of the source</u>, Each panel shows the same synthetic plume observation for a source $Q = \frac{1}{\sqrt{2}} \frac{1}{2} \frac{h^{-1}}{h^{-1}}$, with instrument precision σ varying from 1% to 5%.

5





Figure 2: CO₂ column enhancements relative to background for a 3.75 kt CO₂ h⁻¹ (33 Mt CO₂ d⁻¹) power plant plume simulated by LES at 300-m resolution. The left panel shows the plume with 300-m pixel resolution and the middle panel shows the same plume but with pixel resolution degraded to 3000 m. The coefficient of determination (R²) inset measures the ability to fit each LES plume to a Gaussian form (Eq. (2)-(3)). The right panel shows how the coefficient of determination and the root-mean-square error (expressed as a percentage of the median pixel enhancement) vary with pixel resolution.



Plume mask for IME method





Figure 3: Illustration of the procedure for constructing plume masks in the JME method. (Left) Satellite pseudo-observation generated by LES for a point source $\varrho = 1$ t h⁻¹, with instrument precision $\sigma = 1\%$ (same as in Figure 1). (Middle) The output of the t-test procedure for significant signal. (Right) The final plume mask after application of median and Gaussian filters.

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Output

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Figure 4: Relationship between the effective and local 10-m wind speeds in the <u>JME</u> method, characterized with LES training plumes assuming 1% instrument precision. Each point represents a different LES plume pseudo-observation from the training set. The red line fits the data to a logarithmic dependence. The 1:1 line is shown in black. See text for similar results with 3% or 5% instrument precision.

5

















5 corresponding values from the GEOS-FP database. The GEOS-FP data have been corrected for a local roughness height $z_{0,m} = \frac{0.025}{10}$ m (see text). The data are for daytime June 2017 (15:00-21:00 UTC). The fit to a reduced major axis (RMA) regression line is also shown, which closely overlaps the 1:1 line.

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