

# **An instrument for quantifying heterogeneous ice nucleation in multiwell plates using infrared emissions to detect freezing**

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## **1 Abstract**

2 Low concentrations of ice nucleating particles (INPs) are thought to be important for the properties of mixed-  
3 phase clouds, but their detection is challenging. Hence, there is a need for instruments where INP concentrations  
4 of less than  $0.01 \text{ L}^{-1}$  can be routinely and efficiently determined. The use of larger volumes of suspension in drop  
5 assays increases the sensitivity of an experiment to rarer INPs or rarer active sites due to the increase in aerosol  
6 or surface area of particulates per droplet. Here we describe and characterise the InfraRed-Nucleation by  
7 Immersed Particles Instrument (IR-NIPI), a new immersion freezing assay that makes use of IR emissions to  
8 determine the freezing temperature of individual  $50 \mu\text{L}$  droplets each contained in a well of a 96-well plate. Using  
9 an IR camera allows the temperature of individual aliquots to be monitored. Freezing temperatures are determined  
10 by detecting the sharp rise in well temperature associated with the release of heat caused by freezing. In this paper  
11 we first present the calibration of the IR temperature measurement, which makes use of the fact that following ice  
12 nucleation aliquots of water warm to the ice-liquid equilibrium temperature (i.e.  $0^\circ\text{C}$  when water activity is  $\sim 1$ ),  
13 which provides a point of calibration for each individual well in each experiment. We then tested the temperature  
14 calibration using  $\sim 100 \mu\text{m}$  chips of K-feldspar, by immersing these chips in  $1 \mu\text{L}$  droplets on an established cold

15 stage ( $\mu\text{L-NIPI}$ ) as well as in 50  $\mu\text{L}$  droplets on IR-NIPI; the results were consistent with one another indicating  
16 no bias in the reported freezing temperature. In addition we present measurements of the efficiency of the mineral  
17 dust NX-illite and a sample of atmospheric aerosol collected on a filter in the city of Leeds. NX-illite results are  
18 consistent with literature data and the atmospheric INP concentrations were in good agreement with the results  
19 from the  $\mu\text{L-NIPI}$  instrument. This demonstrates the utility of this approach, which offers a relatively high  
20 throughput of sample analysis and access to low INP concentrations.

## 21 **1 Introduction**

22 Cloud droplets can freeze homogeneously below about  $-33^\circ\text{C}$  (Herbert et al., 2015), but the presence of ice-  
23 nucleating particles (INPs) can induce freezing at much warmer temperatures (Kanji et al., 2017). The glaciation  
24 of clouds at these warmer temperatures has a substantial impact on a cloud's reflective properties, lifetime and  
25 therefore the overall climate of the planet, but is poorly represented in many models (Hoose and Möhler, 2012;  
26 Vergara-Temprado et al., 2018). INPs can cause nucleation through a number of pathways (Vali et al., 2015), but  
27 in mixed-phase clouds it is thought that the pathways where particles become immersed in droplets is most  
28 important (Hande and Hoose, 2017; Hoose et al., 2010; Murray et al., 2012). Even small concentrations of INPs  
29 can influence cloud properties; for example, in a modelling study of Southern Ocean shallow mixed-phase clouds,  
30 Vergara-Temprado et al. (2018) showed that while concentrations of INPs greater than  $\sim 1 \text{ L}^{-1}$  cause profound  
31 changes in cloud properties, clouds are sensitive to concentrations many orders of magnitude smaller.

32 The ability to quantify INP spectra (INP concentrations as a function of temperature) and test the efficiency of  
33 proxy materials for ice-nucleating efficiency is invaluable for improving our understanding of cloud glaciation  
34 and developing computationally inexpensive parameterisations for atmospheric models. However it is not a trivial  
35 task, in part because INP concentrations are low ( $< 0.1 \text{ L}^{-1}$ ) (DeMott et al., 2010) and the sites on the surfaces which  
36 cause nucleation at warm temperatures (Vali, 2014; Whale et al., 2017) are rare. There are several different  
37 methods of conducting ice nucleation experiments that include Continuous Flow Diffusion Chambers (CFDC's)  
38 (e.g. Garimella et al., 2016; Kanji and Abbatt, 2009; Kohn et al., 2016; Rogers et al., 2001; Salam et al., 2006;  
39 Stetzer et al., 2008), cloud expansion chambers (e.g. Cotton et al., 2007; Niemand et al., 2012), wind tunnels (e.g.  
40 Diehl and Mitra, 1998; Pitter and Pruppacher, 1973) and droplet freezing assays (e.g. Beall et al., 2017; Budke  
41 and Koop, 2015; Häusler et al., 2018; Knopf and Alpert, 2013; Murray et al., 2011; Vali, 2008; Whale et al.,  
42 2015). Each of these methods has its limitations and advantages which must be understood and accounted for  
43 when conducting an experiment and interpreting the results. For example CFDCs cannot be used for

44 measurements at temperatures warmer than about  $-11^{\circ}\text{C}$  but they do allow for specific saturation conditions to be  
45 controlled, something which other instruments cannot achieve. For more information on the capabilities and  
46 limitations of the various techniques see the comprehensive reviews and intercomparisons conducted by Hiranuma  
47 *et al.* (2015) and (DeMott *et al.*, 2018). Häusler *et al.* (2018) also presents a summary of the features of various  
48 techniques.

49 A significant challenge in sampling INPs in the atmosphere is their low concentration. At present there is a dearth  
50 of published, atmospherically relevant, INP measurements globally (Kanji *et al.*, 2017; Vergara-Temprado *et al.*,  
51 2017). Not only is the global spatial and temporal coverage of INPs inadequate, but the range of activation  
52 temperatures and INP concentrations covered in any one set of measurements is typically limited. No single  
53 instrument has the capability of measuring INP concentrations over the full range of conditions relevant to mixed-  
54 phase clouds. Online instruments, such as CFDCs, can measure over a wide range of relevant conditions but their  
55 detection limit is limited to  $\sim 10^{-1} \text{ L}^{-1}$  (Al-Naimi and Saunders, 1985; DeMott *et al.*, 2010; Eidhammer *et al.*, 2010;  
56 Prenni *et al.*, 2009). This can be improved with aerosol concentrators (Prenni *et al.*, 2013; Tobo *et al.*, 2013), but  
57 is still above the INP concentrations models suggest influence the properties of certain cloud types, such as high  
58 latitude cold-sector clouds (Vergara-Temprado *et al.*, 2018). The alternative approach is therefore to increase the  
59 number of particles within each aliquot of water. In principle, increasing the number of particles per droplet, and  
60 therefore the surface area of nucleator, per droplet will increase the sensitivity of the experiment to rarer INP. This  
61 enables quantification of lower INP concentrations. To increase the number of aerosol particles per volume of  
62 liquid the time period over which an atmospheric sample is collected can be extended, but in doing so temporal  
63 resolution would be lost. A method of increasing the sensitivity of an immersion mode technique is to increase  
64 the volume of the collected suspension used in each aliquot, while maintaining the concentration of particles per  
65 unit volume. This increases the number of particles per aliquot of liquid and therefore makes it more likely that  
66 rarer INP will be detected. The use of larger volume droplet suspensions has been exploited in the past (e.g. Bigg,  
67 1953; Vali, 1971), and has been the strategy employed in the development of some recent instruments (e.g. Beall  
68 *et al.*, 2017; Conen *et al.*, 2012; Du *et al.*, 2017; Stopelli *et al.*, 2014). These large volume assays capture the rarer,  
69 more active INP but often miss the more abundant but less active INP. Hence they should ideally be used alongside  
70 a smaller droplet instrument to generate complimentary datasets.

71 While many instruments use optical cameras to detect freezing events (Beall *et al.*, 2017; Budke and Koop, 2015;  
72 Häusler *et al.*, 2018; Whale *et al.*, 2015), some researchers have used techniques to detect the release of latent heat  
73 associated with freezing. For example differential scanning calorimetry (Marcolli *et al.* 2007; Pinti *et al.* 2012)

74 and infrared emissions (Zaragotas et al., 2016; Kunert et al. 2018) have been used. Zaragotas *et al.* (2016) use a  
75 thermal camera to measure the temperature of individual aliquots within a 96 multiwell plate partially submerged  
76 within an alcohol bath. This study investigated plant samples but suggested that the technique may be adapted for  
77 atmospheric purposes. Very recently, Kunert *et al.* (2018) presented a similar set up to investigate biological  
78 samples and collected aerosol. Unlike Zaragotas *et al.* (2016), Kunert *et al.* (2018) do not measure individual  
79 droplet temperatures via infrared emissions but instead use multiple thermistors embedded in the sample holders  
80 to infer temperature for the droplet array.

81

82 Here we propose a new technique, the IR Nucleation by Immersed Particle Instrument (IR-NIPI), for the detection  
83 of INPs using large volumes of sample in the immersion mode. This instrument is part of the NIPI suite of  
84 instruments that includes the  $\mu\text{L}$ -NIPI. When used together these devices allow measurements to be taken over a  
85 very wide range of INP concentrations. The use of an infrared camera allows temperature measurements to be  
86 made for individual droplets which helps reduce errors from horizontal gradients across the array of droplets and  
87 the effect of heat release on the temperature of neighbouring wells. The unique design, in combination with a  
88 Stirling engine-based chiller, is also compact making it ideal for field-based measurements and the use of  
89 multiwell plates lends itself to future automation.

## 90 **2 Instrument Design**

### 91 **2.1 Operating principle**

92 Drop assays have been used extensively for ice nucleation experiments (e.g. Budke and Koop, 2015; Conen et al.,  
93 2011; Garcia et al., 2012; Knopf and Forrester, 2011; Stopelli et al., 2014; Vali, 1995, 1971; Whale et al., 2015).  
94 This is partly due to their simplicity compared to other techniques but also the ability to scale the amount of  
95 nucleator with droplet size. In brief, aqueous suspensions are prepared and droplets of a well quantified size are  
96 placed onto a substrate or immersed in oil. These droplets tend to be monodispersed but polydispersed experiments  
97 are also possible (Murray et al., 2011; Vali, 1971). The system is then cooled and the fraction of droplets frozen  
98 is recorded. The cooling can be conducted at a constant rate or with a stepped rate to hold the droplets at a specified  
99 temperature for a period of time (i.e. isothermally) to explore the time dependence aspect of ice nucleation  
100 (Herbert et al., 2014; Sear, 2014; Vali, 1994). The droplets are monitored and the freezing temperature of each  
101 droplet is recorded. The fraction of the droplet population frozen throughout the explored temperature range can

102 then be determined, from which the ice-nucleating active site density or INP concentration can be derived (Vali  
103 et al., 2015).

104 If the surface area of nucleant per droplet is known then it is common to express the nucleating ability of a material  
105 as the density of active sites per unit surface area of nucleator,  $n_s(T)$  (Connolly et al., 2009; DeMott, 1995). This  
106 approach is based on the assumption specific sites on a nucleator's surface are responsible for ice formation.  $n_s$  is  
107 a cumulative term, i.e. as you move to cooler temperatures there are more features which may behave as an active  
108 site as the energy barrier for ice formation decreases.  $n_s(T)$  is calculated via equation (1).

$$109 \quad n_s(T) = \frac{(-\ln(1 - \frac{n(T)}{N}))}{A} \quad (1)$$

110 Where  $n(T)$  is the number of droplets frozen at a given temperature and  $N$  is the total number of droplets.  $A$  is the  
111 surface area of nucleator within each droplet. Nucleation is a time-dependent stochastic process, but in  
112 determining  $n_s(T)$  the time dependence is neglected. This assumption is justified for many materials because the  
113 diversity in activity of active sites leads to a much greater spread in freezing temperatures than the shift in freezing  
114 temperatures associated with changes in cooling rate (Herbert et al., 2014; Vali, 2008).

## 115 **2.2 IR-NIPI design**

116 In brief an aqueous suspension is prepared and aliquots pipetted into the wells of a 96 multiwell plate which is  
117 then placed on a temperature controlled stage. The cold stage and multiwell plate are enclosed by a Perspex cover  
118 with an infrared camera mounted in its lid (Figure 1). The system is cooled at  $\sim -1 \text{ }^\circ\text{C min}^{-1}$  until all droplets are  
119 frozen (typically in a temperature range of 0 to  $-30 \text{ }^\circ\text{C}$ ). The temperature of the individual aliquots is monitored  
120 using the IR camera which records a temperature map every 20 seconds. The temperature map is then analysed  
121 with a semi-automated process using custom Python code to yield the freezing temperatures of individual wells.

122 The IR-NIPI has been designed around an Asymptote Ltd. VIA Freeze<sup>TM</sup> stirling cryocooler (Figure 1). The VIA  
123 Freeze uses a Stirling engine to provide a convenient means of cooling without refrigerants or circulating liquids  
124 and was primarily designed for use in cryopreservation applications. This chiller can achieve temperatures of  $-90$   
125  $^\circ\text{C}$ , hence it has more than enough cooling capacity for our application, and has sufficiently low power  
126 requirements that allow it to be run from an automotive 12 V inverter. It also features an onboard datalogger and  
127 internal computer with touch screen control. The VIA Freeze has been developed to accommodate multiwell  
128 plates onto its aluminium cooling stage, which are ideal for large volume drop assays as they hold up to 200  $\mu\text{L}$

129 per aliquot (for the 96 well plates), allow the separation of droplets to reduce interference across cells and can be  
130 supplied medically sterile. These multiwell plates have anywhere from 12-1536 wells (with maximum working  
131 volumes of 6.9 mL to 2  $\mu$ L, respectively). The most useful for this freezing assay are the 96 x 200  $\mu$ L or 384 x 50  
132  $\mu$ L aliquot arrays and in the tests reported here 50  $\mu$ L droplets (~2300  $\mu$ m volume equivalent diameter) are used  
133 in 96 well plates. We have used both polystyrene (Corning, CLS3788) and polypropylene plates (Greiner, M8060)  
134 and observed no difference in freezing results between the two. To aid thermal contact between the multiwell  
135 plate and the VIA Freeze a thermally conductive gap pad (RS components, 7073452) is located between the cold  
136 plate and the multiwell plate, while a clamping system with screw threads applies mechanical pressure to the  
137 multiwell plate to push the wells into the pad (Figure 1). A specially designed Perspex hood then encloses the  
138 system to reduce contamination from the surroundings. The IR camera slots into the hood and captures an image  
139 of the multiwell plate every 20 seconds (Figure 2a), storing the corresponding temperature data (Figure 2b) on a  
140 removable memory card. The IR camera used here is a Fluke Ti9 Thermal Imager with 160 x 120 pixels. The  
141 Stirling engine chiller is then set to cool down at 1.3  $^{\circ}$ C  $\text{min}^{-1}$  which corresponds to 1  $^{\circ}$ C  $\text{min}^{-1} \pm 0.06$   $^{\circ}$ C in the  
142 wells due to a measured offset between the plate and aliquot temperatures. This ramp rate was selected based on  
143 preliminary runs and justification for this cooling rate being equivalent to 1  $^{\circ}$ C  $\text{min}^{-1}$  can be seen in the well  
144 temperatures over time (Figure 2b). Once the system has initially cooled to 5  $^{\circ}$ C the temperature is held for 5 min  
145 to allow time for the system to equilibrate. Following this the system continues to ramp down in temperature while  
146 recording IR heat maps of the multiwell plate.

147 In order to determine the temperature of individual wells, the analysis code locates a pixel centred in the middle  
148 of each well, reporting this temperature as the well temperature. Profiles of temperature versus time are shown in  
149 Figure 2b and c. The freezing temperature of each individual well is determined by comparing each temperature  
150 reading, for a certain well, with the temperature recorded 20 seconds prior. If the temperature reading increases  
151 by more than 2  $^{\circ}$ C this is recorded as a freezing event (Figure 2c). The 2  $^{\circ}$ C threshold occasionally needs to be  
152 optimised to capture freezing events while eliminating the detection of false freezing events. For example, samples  
153 that freeze above -3  $^{\circ}$ C are more difficult to detect because there is less heat released on initial freezing and  
154 crystallisation happens over a longer period of time (see section 2.4). Manual inspection is required in this  
155 temperature regime and the 2  $^{\circ}$ C threshold adjusted accordingly. The code then prints out the number of events  
156 recorded, along with a time vs temperature plot (Figure 2b) and the corresponding event temperatures for the user  
157 to quality control check and then exports the data as a '.csv' file.

158 The whole process from sample preparation to final analysis takes approximately 1 hour. In order to achieve  
159 higher throughput of samples, albeit with a reduced number of replicates, multiple samples and internal blanks  
160 can be placed within one multiwell plate. For example, when performing dilutions we might run 12 wells as a  
161 handling blank and three lots of 28 wells that contain three different sample dilutions. This not only speeds up  
162 analysis, it also reduces the effect of any time-dependent aging processes such as the rapid deactivation of an  
163 albite sample suspended in water observed by Harrison et al. (2016).

164

### 165 **2.3 Temperature measurements with an Infrared camera**

166 By using an IR camera to view the thermal emission of each individual well of suspension we are able to obtain  
167 temperatures associated with individual wells. This contrasts with the approaches adopted in other experiments  
168 where the temperature is recorded and assumed to be representative for all droplets, for example when employing  
169 a cold stage housing an embedded thermocouple whose reading is used to represent the temperature of the droplet  
170 array. We note that in our system there was a lateral gradient across the entire multiwell plate in the IR-NIPI of  
171 up to 6 °C (in extreme cases). This is likely due to there not being an even thermal contact of the multiwell plate  
172 with the underlying cold plate. The typical gradient was 4 °C, hence temperature measurements of the individual  
173 wells was necessary.

### 174 **2.4 Temperature calibration**

175 The IR camera we use was quoted for use between -20 to +250 °C with an uncertainty of  $\pm 5$  °C and is intended  
176 for use in a wide range of applications with a range of materials of different emissivity. In our application, we  
177 only need to measure the temperature of one material, water, over a relatively narrow range of temperatures, hence  
178 we perform a calibration for our specific experimental setup. Our calibration is based on the fact that when an  
179 aliquot of water in a multiwell plate freezes, the released latent heat raises the temperature of the aliquot to the  
180 ice-water equilibrium temperature (0 °C when the water activity of the sample is  $\sim 1$ , as it is in these experiments).  
181 This is illustrated in Figure 2c which shows the phases of crystallisation that the aliquots go through. Initially, the  
182 crystal growth is rapid with a rapid release of latent heat and a corresponding rise in temperature of the aliquot  
183 within the 20 s time between frames. Visual inspection of the live screen display of the IR camera revealed that  
184 the temperature reached a maximum within 1 s. The temperature of an ice-water mixture will necessarily be 0  
185 °C, hence the aliquot cannot warm above 0 °C and the temperature will remain at 0 °C until all of the water has  
186 frozen and no more heat is evolved. The rate of crystallisation in this regime is determined by the loss of heat to

187 the surroundings, in this case the cold stage, as well as to the surrounding droplets and the multiwell plate. This  
188 stage of crystallisation takes longer at higher freezing temperatures where the temperature differential between  
189 the cold stage and the aliquot is smaller. Hence, freezing when nucleation takes place at  $-12\text{ }^{\circ}\text{C}$  takes around 100  
190 s, whereas when nucleation takes place at  $-20\text{ }^{\circ}\text{C}$  freezing takes around 20-40 s. Once all of the water has frozen  
191 the temperature of the aliquot decreases rapidly back to that of the multiwell plate within 20-40 s. The fact that  
192 the aliquots spend 10s of seconds at  $0\text{ }^{\circ}\text{C}$  provides a very useful calibration point for each individual well. In the  
193 following we describe a novel method for calibrating the IR temperature measurements that takes advantage of  
194 this process and proceed to justify this approach.

195 Using the analysis code, an event is identified and recorded. The code then reads the temperature of the frame  
196 directly after this freezing event and calculates the difference of this value compared to  $0\text{ }^{\circ}\text{C}$  to give an offset  
197 correction value, i.e. if the frame after freezing read  $2\text{ }^{\circ}\text{C}$  then the correction factor for this well would be  $-2\text{ }^{\circ}\text{C}$ .  
198 This offset value is then subtracted from all of the temperature recordings for that specific well. The average  
199 correction value calculated for the IR camera via this method is  $-1.9\text{ }^{\circ}\text{C}$  with a standard deviation  $\pm 0.5\text{ }^{\circ}\text{C}$ . It  
200 should be noted that one of the limitations of the setup used by Zaragotas *et al.* (2016) was that the IR camera was  
201 calibrated only once by the factory, however our calibration method mitigates this limitation.

202 A standard freezing experiment was then performed and the thermocouple data was contrasted to that of the IR  
203 camera which was calibrated using the above method (Figure 3). The comparison in Fig. 3a shows that the IR and  
204 thermocouple temperature were in excellent agreement and this is also readily seen in residuals plotted in Fig 3b.  
205 The scatter around the zero line in the residual plot is  $\pm 0.9\text{ }^{\circ}\text{C}$  (two standard deviations) in the regime after the  
206 equilibrium step at  $+5\text{ }^{\circ}\text{C}$  and before the first freezing event. We used this value as an estimate of the temperature  
207 uncertainty associated with the IR technique generally. We did not use data in the red and blue shaded areas of  
208 Fig. 3b to calculate this uncertainty. The temperature readings in the red shaded area were discarded as they had  
209 not been held at  $+5\text{ }^{\circ}\text{C}$  for five minutes to equilibrate. Temperature readings after the initial freezing event were  
210 also discarded as thermal conductivity of ice is different to that of water and neighbouring wells release heat on  
211 freezing which influence the temperature of surrounding wells.

212 We also tested the IR temperature measurement using T type thermocouples distributed in specific wells of a  
213 polypropylene multiwell plate. The IR camera could not take an accurate reading of wells that had a thermocouple  
214 placed inside them, therefore neighbouring unfrozen wells were assumed to be representative of each other (see  
215 inset in Figure 4). As mentioned above, there is a gradient across the entire plate (Fig. 2A) and so a series of



216 preliminary experiments were undertaken to find suitable placement locations for the thermocouples in which the  
217 surrounding wells displayed similar temperature readings compared to one another. The thermocouples were  
218 placed in the base of the well along with 50  $\mu\text{L}$  of Milli-Q grade water and four surrounding well temperatures  
219 were measured using the IR system. The thermocouple wire crossed one of the four IR measured wells and so  
220 only three wells adjacent to the thermocouple monitored well were used for comparison.

221 A total of six IR measurements were recorded with the corresponding thermocouple readings over a series of  
222 experiments spanning a temperature range of 20  $^{\circ}\text{C}$  to  $-25^{\circ}\text{C}$ . An example of a thermocouple measurement  
223 contrasted to three IR measurements can be seen in Figure 4a. The residual temperatures for all six thermocouple  
224 temperatures are also shown (Figure 4b). The IR temperature uncertainty derived from the aluminium well  
225 experiment is also plotted and shows that the multiwell temperature data is consistent with an uncertainty of  $\pm 0.9$   
226  $^{\circ}\text{C}$ .

227

## 228 **3 Test experiments and analysis**

### 229 **3.1 Control experiments**

230 In larger volume freezing assays (10s of microliters) it is extremely challenging to remove all background INPs  
231 from the water and substrates, hence freezing is typically observed at temperatures well above what one would  
232 expect for homogenous freezing (Koop and Murray, 2016). Homogeneous nucleation is expected to result in 50  
233 % of 50  $\mu\text{L}$  droplets freezing at around  $-33^{\circ}\text{C}$ , whereas 50 % of the Milli-Q water droplets froze around  $-22^{\circ}\text{C}$   
234 in our control experiments (Figure 5). Filtering of the Milli-Q water to 0.2  $\mu\text{m}$  reduced the temperature at which  
235 pure water droplets froze by 2-3  $^{\circ}\text{C}$ . Sartorius Ministart, non-pyrogenic, single use filters were used for this  
236 (product code 17597-K). Blanks were run initially with entire 96 well plates and then 12 wells of each experiment  
237 thereafter were allocated for an internal blank when testing samples of INPs (i.e. 12 aliquots of Milli-Q water and  
238 84 aliquots of sample suspension). Comparison of fraction frozen curves for typical IR-NIPI blanks with curves  
239 obtained for droplets containing various ice-nucleating materials (discussed below) show that there is a clear  
240 heterogeneous freezing signal (Figure 5). We hope to improve the baseline in the future, through further  
241 improvements in the cleanliness of the system (Polen et al., 2018), but for the purpose of these experiments the  
242 nucleants tested were active at sufficiently warm temperatures to be well above the baseline.

### 243 **3.3 Feldspar chips**

244 To further test the temperature readings from the IR-NIPI instrument a set of experiments was performed where  
245 each droplet contained a single  $\sim 100\ \mu\text{m}$  sized grain of K-feldspar in both the IR-NIPI and contrasted to the  
246 standard  $\mu\text{L}$ -NIPI employing  $1\ \mu\text{L}$  droplets. The  $\mu\text{L}$ -NIPI is a well-established technique (Whale et al., 2015) which  
247 compares well with other similar instruments (DeMott et al., 2018; Hiranuma et al., 2015). The purpose of this  
248 experiment was to have the same amount of material per droplet in each experiment and to have the material at  
249 the base of the droplet so that the results from the two instruments could be directly compared. In doing so we  
250 could investigate the extent to which the gradient within the  $50\ \mu\text{L}$  wells might be a problem. This experiment  
251 was adapted from the procedure described by Whale *et al.* (2018) and involved taking K-feldspar rich chips from  
252 a bulk rock of pegmatite and selecting individual grains (pegmatite is an igneous intrusive rock rich in K-feldspar  
253 with large grain sizes often being larger than  $2.5\ \text{cm}$  and hence easy to separate). This material was chosen because  
254 K-feldspar is known to exhibit excellent ice-nucleating properties (Atkinson et al., 2013; Harrison et al., 2016;  
255 Peckhaus et al., 2016). A total of 19 grains were  $\sim 100\ \mu\text{m}$  in diameter were separated by eye, assigned a number  
256 and their position tracked through the course of each experiment. The same feldspar chips were tested in both the  
257  $\mu\text{L}$ -NIPI and the IR-NIPI. For the IR-NIPI experiments single grains of feldspar were placed into the bottom of a  
258 multiwell plate and  $50\ \mu\text{L}$  of Milli-Q water was then pipetted into each well. The experiment was then carried out  
259 as normal and the freezing temperatures of the wells were recorded. The grains were then used in the  $\mu\text{L}$ -NIPI  
260 experiment by placing the grains onto a glass cover slip atop a cold plate and pipetting a single  $1\ \mu\text{L}$  droplet onto  
261 each grain, before carrying out a standard  $\mu\text{L}$ -NIPI experiment. Briefly, the temperature of the cold plate was  
262 reduced at  $1\ ^\circ\text{C}\ \text{min}^{-1}$  and the temperature of the droplet freezing events recorded via a camera. The resulting  
263 fraction frozen plot for this experiment can be seen in

264 Figure 6a and the corresponding correlation plot is shown in Figure 6b. The two instruments yielded similar  
265 fraction frozen curves and the individual feldspar grains nucleated ice at a similar temperature in both experiments.  
266 The correlation plot in Figure 6b shows that the freezing temperatures of a single grain were not identical in the  
267 two experiments, which is consistent with the stochastic nature of nucleation at active sites that have a  
268 characteristic freezing temperature (Vali, 2014, 2008). The agreement between the two instruments suggests that  
269 the temperature measurement and calibration of the IR-NIPI were robust and that there is no major temperature  
270 gradient within the aliquots in the multiwell plates.

271

### 272 3.4 NX-illite

273 The mineral dust NX-illite was chosen as a test sample as it has been used in an extensive intercomparison study  
274 (Hiranuma et al. 2015) and contains some common components which are found in atmospheric mineral dusts  
275 (Broadley et al., 2012). NX-illite was taken from the same batch as that used by the Leeds group in the Hiranuma  
276 *et al.* (2015) intercomparison and no further processing of the material was carried out. Aqueous suspensions of  
277 the sample were prepared by weighing a known amount of material and suspending it in a corresponding volume  
278 of water to make up a weight percent suspension (i.e. 0.1 g of mineral in 9.9 g of water to yield a 1 wt%  
279 suspension). NX-illite concentrations of 0.01, 0.1 and 1 wt% were prepared in this manner, and in each case a  
280 Teflon-coated magnetic stirrer bar was used to keep the particles suspended whilst the sample was pipetted into  
281 the wells of the multiwell plate. Each concentration of NX-illite was tested using the IR-NIPI and the resultant  
282 fraction frozen curves are shown in Fig. 5.

283 By employing a suspension of known concentration and composed of a material with a known specific surface  
284 area, the surface area of nucleator per droplet can be calculated and used alongside the fraction frozen curves to  
285 determine  $n_s(T)$ , as described in equation (1). The  $n_s(T)$  derived from IR-NIPI with 0.01, 0.1 and 1 wt% NX-illite  
286 are shown in

287 Figure 7a. They are in good agreement with one another with lower wt% suspensions yielding data at lower  
288 temperatures and higher  $n_s(T)$  values, as expected. The few data points from the 0.01wt% NX-illite run 2 which  
289 appear as outliers may indicate that the particles were not evenly distributed throughout the droplets. Further to  
290 this a freeze thaw experiment of 0.1wt% suspension was conducted where the sample was frozen once, thawed  
291 and then frozen again (see Figure 8). The agreement between the two runs show that the material did not alter on  
292 freezing.

293 The values of  $n_s(T)$  for NX-illite derived from 0.01-1 wt% suspensions are shown in

294 Figure 7a together with the literature data for this material in

295 Figure 7b. This material has also been investigated by Beall *et al.* (2017) using an instrument that also uses 50 $\mu$ L  
296 droplets: the Automated Ice Spectrometer (AIS). The results of Beall *et al.* (2017) are therefore directly  
297 comparable to the results from the IR-NIPI. All of the wet suspension techniques have been grouped together in  
298 black in Fig. 7b, apart from the AIS data shown in green and the IR-NIPI data in red. Both the IR-NIPI and AIS  
299 data are in good agreement with one another. It can be seen that the larger volume assays (IR-NIPI and AIS) give  
300 results towards the upper spread of literature data but are still consistent with other results (

301 Figure 7b). Dry dispersed techniques have also been plotted as unfilled blue squares in Fig. 7b, but none of these  
302 techniques are sensitive in the range of  $n_s(T)$  seen by the large droplet instruments. The new data from the IR-  
303 NIPI has extended the dataset for NX-illite to warmer temperatures than in previous measurements, illustrating  
304 the utility of the technique.

305 It should be noted that in preliminary experiments some discrepancies between dilutions of NX-illite were  
306 observed which highlighted the importance of accurately making up suspensions. In the following we note some  
307 issues that had to be solved. In some initial experiments the dilutions of a suspension would yield a higher than  
308 expected  $n_s(T)$ . On further investigation this issue was resolved via gravimetrically weighing suspensions (i.e.  
309 preparing a known mass of a sample in a known mass of water) rather than diluting a bulk stock suspension.  
310 Further to this great care was taken when sampling from the bulk NX-illite sample as to make sure no bias was  
311 introduced when selecting material since a powder can separate on the basis of grain size. This was avoided by  
312 shaking the container horizontally and selecting material from the centre of the bulk sample. Magnetic stirrer bars  
313 were used to keep particles suspended but when it came to collecting the suspension using a pipette the suspension  
314 was taken from the magnetic stirrer plate to stop the vortex within the vial. As the suspension was not stirring for  
315 a short period of time it meant that particles did not have time to fallout of suspension and there was no longer a  
316 vortex created by the stirrer bar which could bias particle distribution when sampling. The above emphasises the  
317 importance of selecting samples in a reproducible way and may explain some of the variability between the  
318 literature data seen in Fig. 7b.

319

### 320 **3.4 Atmospheric aerosol sample**

321 In order to demonstrate the utility of this approach for atmospheric aerosol samples, a filter sample was collected  
322 in Leeds as part of a field campaign held on the evening of the 5<sup>th</sup> November. A sample of atmospheric aerosol  
323 was collected using a Mesa PQ100 air sampler for 100 min. An inlet head with an upper cut-off of 10 $\mu$ m was  
324 utilised and air was sampled at 16.7 L min<sup>-1</sup> on to a 0.4  $\mu$ m polycarbonate track-etched Whatman filter, with a  
325 total of 1670 L of air sampled. The filter was then placed into 6 mL of Milli-Q water and vortexed for 5 min to  
326 wash the particles from the filter and into suspension.

327 The aqueous sample was then analysed on the IR-NIPI and  $\mu$ L-NIPI (Whale et al., 2015). The concentration of  
328 INPs per litre of air,  $[\text{INP}]_T$ , was subsequently calculated using equation (2) (DeMott et al., 2016).

329  $[INP]_T = -\ln\left(\frac{N_u(T)}{N}\right)\left(\frac{V_w}{V_a V_s}\right)$  (2)

330 Where  $N_u(T)$  is the number of unfrozen droplets at a given temperature,  $N$  is the total number of droplets,  $V_w$  is  
331 the volume of wash water,  $V_a$  is the volume of an aliquot and  $V_s$  is the volume of air sampled.

332 The resulting INP concentrations from the combination of these two instruments spanned four orders of magnitude  
333 and covered a temperature range of 20 °C (see Figure 9). The data from both instruments was in good agreement  
334 and yielded complementary information. This illustrates how the IR-NIPI can be used to extend the measurements  
335 of INP concentrations to higher temperatures and lower INP concentrations. Since high-resolution regional  
336 modelling of the effect of INP on shallow clouds suggests that 0.1 to 1 INP L<sup>-1</sup> is a critical concentration and much  
337 lower concentrations still impact clouds (Vergara-Temprado et al., 2018), measurements with IR-NIPI will be  
338 extremely useful, particularly in environments with low INP concentrations.

#### 339 **4 Summary and conclusions**

340 The IR-NIPI technique is a novel approach to measuring freezing events in immersion mode nucleation studies.  
341 We demonstrate that IR thermometry is a sound method for determining the freezing temperature of 50 µL water  
342 droplets in multiwell plates. This method overcomes potential distorting influences such as thermal gradients  
343 across the plate, the effect of freezing wells warming surrounding wells and poor thermal contact to the underlying  
344 cold plate. A freezing event is detected as a sharp rise in freezing temperature to the equilibrium melting point  
345 and a novel calibration method has been proposed which relies on the return of water droplets to the equilibrium  
346 melting temperature of water, 0 °C, after initial freezing. This gives an individual calibration for every run and  
347 every well. When comparing this calibration technique to thermocouple readings the data is consistent to within  
348 ±0.9 °C. The use of this calibration method is further supported when looking at experiments using single grains  
349 of feldspar, with the results being consistent with those of the established µL-NIPI instrument that employs 1µL  
350 droplets on a cold stage. Results for the ice nucleating ability of NX-illite with the IR-NIPI, a mineral dust which  
351 has been the subject of an extensive inter-comparison, are consistent with literature measurements. In particular,  
352 the IR-NIPI is in good agreement with another well-characterised large droplet instrument (AIS) (Beall et al.,  
353 2017). However, it is unclear why both of these large volume instruments produce  $n_s$  results at the high end of the  
354 range of  $n_s$  values reported previously. The utility of IR-NIPI for the analysis of atmospheric samples was also  
355 demonstrated by collecting and analysing an aerosol sample from the city of Leeds, England. The sample was  
356 analysed simultaneously with the µL-NIPI instrument. Results from the two instruments were in good agreement  
357 with one another. The IR-NIPI instrument extended the range of INP concentrations shown by the µL-NIPI by

358 two orders of magnitude, covering a regime critical for cloud formation with a modest sampling time of just 100  
359 mins at 16.67 L min<sup>-1</sup>.

360

361 *Data availability:* Data collected for the IR-NIPI temperature measurements, blank runs, NX-illite experiments  
362 and field collected sample are available at <http://dx.doi.org/10.5285/858a4b439d7d4466b82ea5215614f135>.

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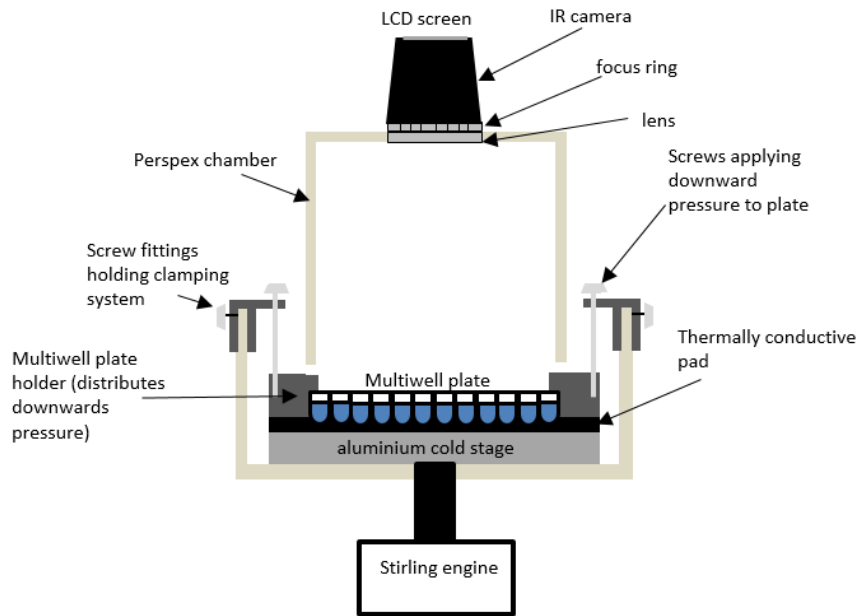
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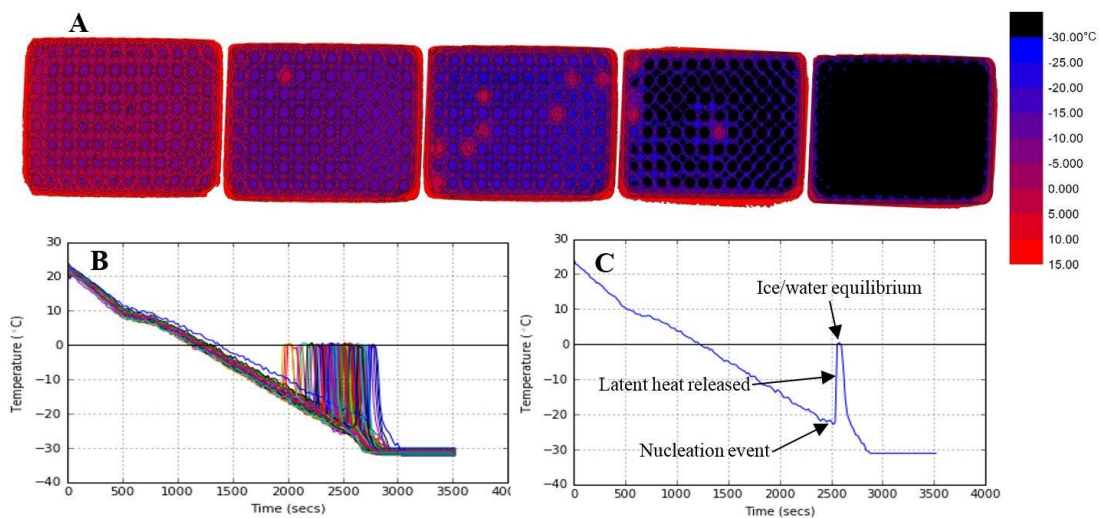
548 **Figure 1.** Schematic diagram of the IR-NIPI system (not to scale). The IR camera is positioned above the multiwell plate and monitors the  
549 freezing events as the cold stage cools.

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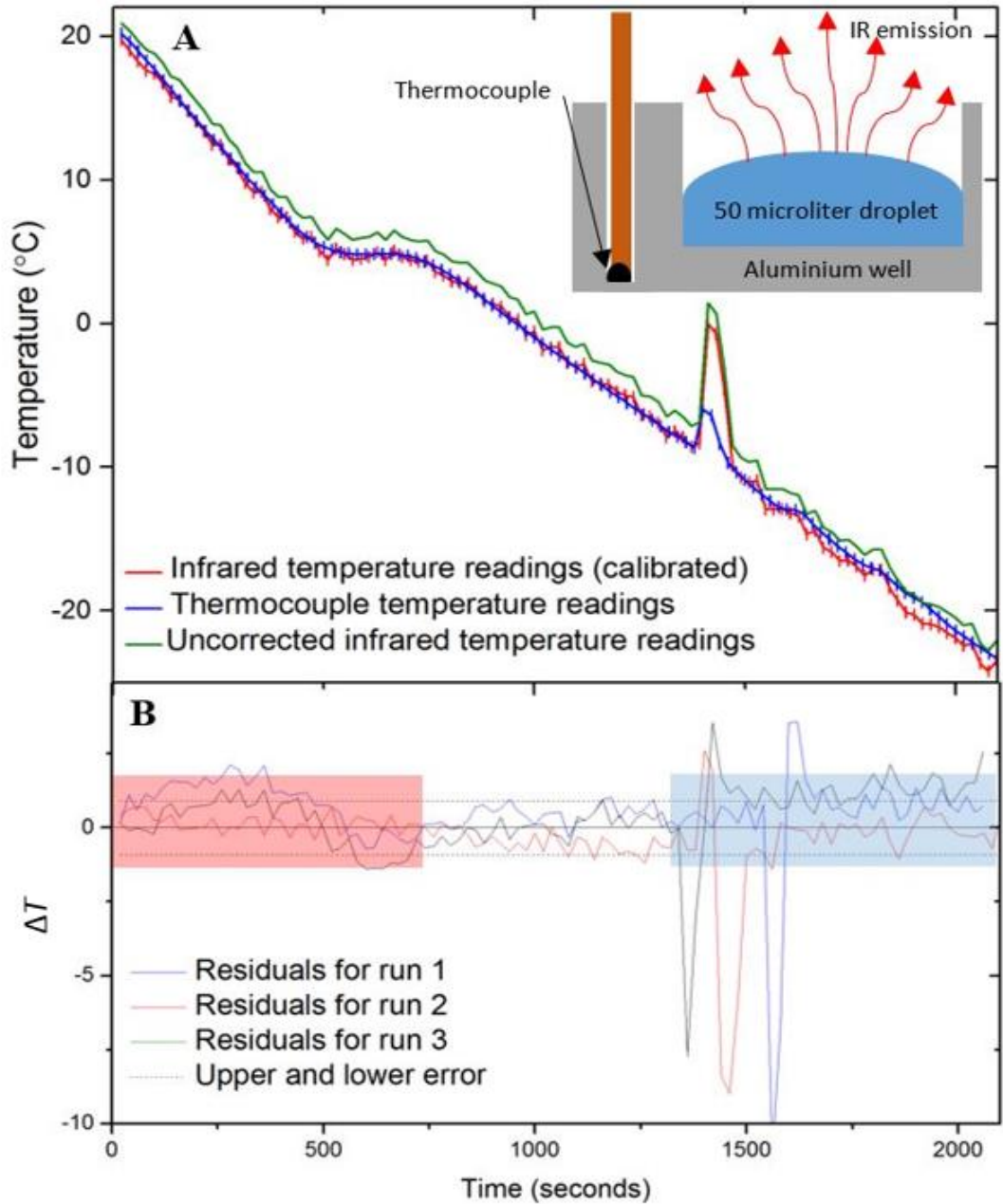
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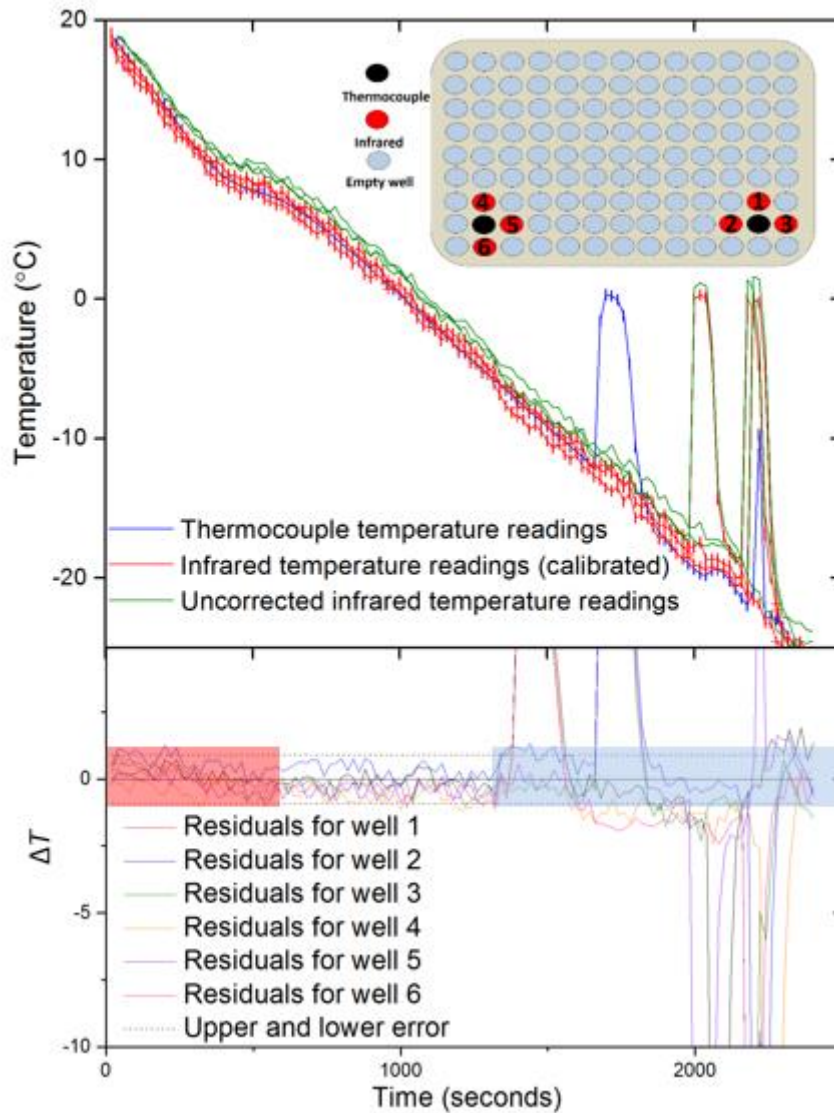


**Figure 2.** Illustration of the use of the IR camera to measure temperature and freezing events. **(A)** A sequence of colour maps taken during the course of an experiment. The leftmost image shows the start of an experiment with all droplets unfrozen, moving to all droplets frozen in the right most image. Warmer temperatures are represented in red, transitioning to blue for colder temperatures and finally black at  $-30\text{ }^{\circ}\text{C}$  and below. Freezing events in individual wells are evident when they warm up to  $0\text{ }^{\circ}\text{C}$ . **(B)** An example of the output of an experiment with the temperature of each of the 96 wells plotted against time. The sharp increases in temperature are related to ice formation. The cooling rate was  $1\text{ }^{\circ}\text{C min}^{-1}$ . The calibration described in section 2.2 was applied here. Note that one well had a higher temperature than the others, likely due to poor thermal contact with the aluminium substrate. By using IR thermometry to measure the temperature of each well individually such variability is accounted for. **(C)** Plot of temperature vs time for a single well within a multiwell plate containing a  $50\text{ }\mu\text{L}$  aliquot of water.

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**Figure 3.** Tests of the IR temperature measurement using aluminium wells with embedded thermocouples. **(A)** The temperature measurement from a thermocouple (shown in blue) placed within an aluminium well vs infrared measurements taken using the IR camera. Uncorrected IR data is shown in green, whilst corrected IR data following the calibration described in section 2.2 is shown in red. Inset is a schematic of the experimental setup. **(B)** The difference in temperature (residual;  $\Delta T$ ) between the thermocouple readings for three aluminium wells and the corresponding IR data ( $\Delta T = T_{IR} - T_{thermocouple}$ ). The negative spikes are a result of the IR camera directly reading the water temperature as it is heated by ice formation whereas the thermocouple measurement is reading the temperature of the aluminium well which is less affected by the latent heat release. The estimated error in temperature for the IR camera of  $\pm 0.9^{\circ}\text{C}$  is indicated with dashed lines. The range over which freezing occurs is highlighted with a blue rectangle as this is where the thermal properties of ice and the initiation of heat release affect the temperature readings. Highlighted in red is the section of data before the well has equilibrated and so the IR camera is likely reading a warmer surface temperature than the thermocouple. See text for discussion.



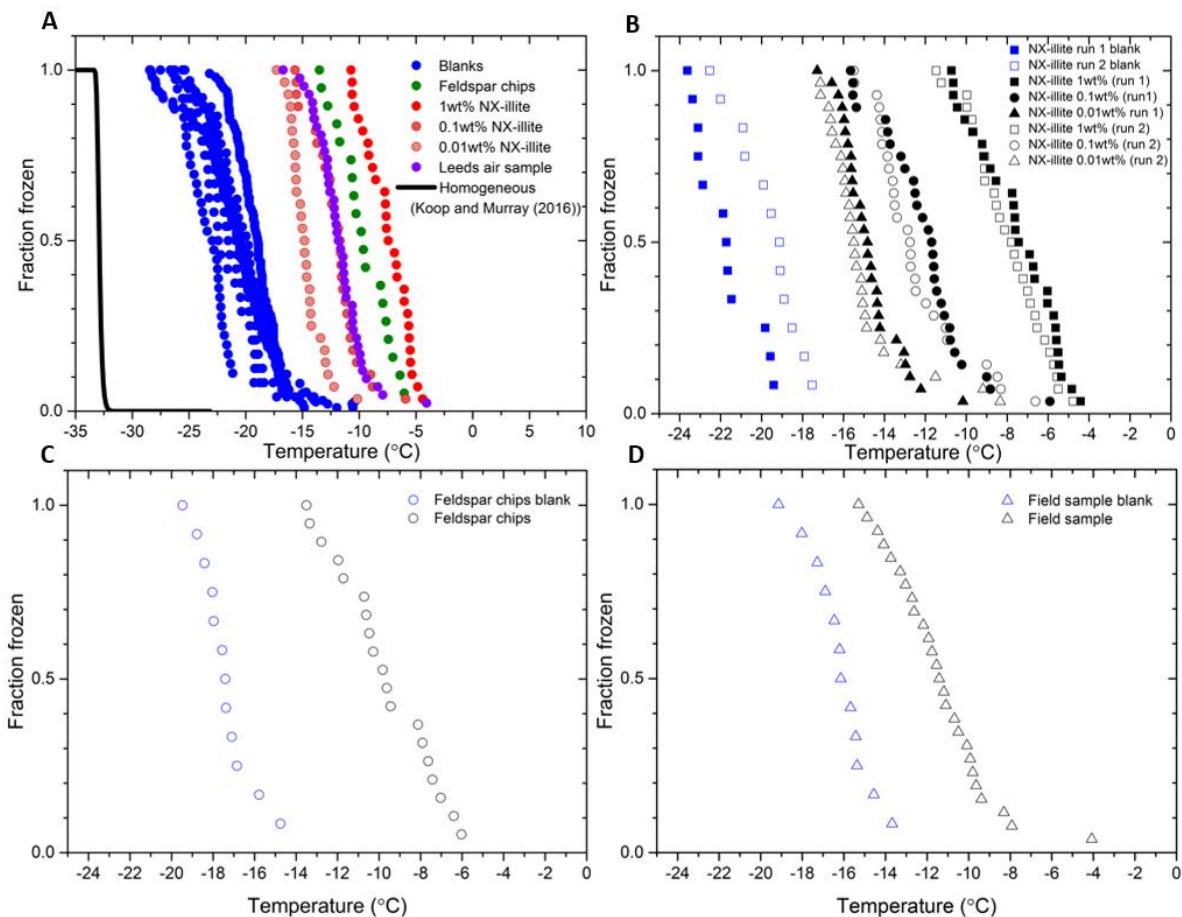
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614 **Figure 4.** Tests of the IR temperature measurements using thermocouples positioned in a multiwell plate. (A) The temperature measurement  
 615 from a thermocouple placed within a polyethylene well verses three IR measurements of surrounding wells corrected using the calibration  
 616 described in section 2.2. The uncorrected IR data can be seen in green, with the corrected IR data in red and the thermocouple readings in  
 617 blue. A diagram of the wells within a 96 well plate chosen for the comparison of IR and thermocouple measurements is displayed as an inset.  
 618 The numbers of the wells correspond to the residuals in part B. Red wells represent the wells measured with the infrared camera and black  
 619 wells represent those measured with thermocouples. It should be noted that one of the four surrounding IR well temperature readings was  
 620 discarded from each experiment as the thermocouple wire impeded the temperature measurement. Note that the freezing events at ~2000 s  
 621 appear to cause some heating in the adjacent well. (B) Plot of the difference in temperature between the thermocouple readings for two wells  
 622 and six corresponding wells measured with the IR camera as in Figure 3. The estimated error in temperature for the IR camera is indicated  
 623 with dashed lines ( $\pm 0.9^\circ\text{C}$ ). The range of freezing is highlighted in blue as this is where the thermal properties of ice and the initiation of heat  
 624 release will affect the temperature readings. Highlighted in red is the section of data before the well had equilibrated and so the IR camera was  
 625 likely reading a warmer surface temperature than the thermocouple.

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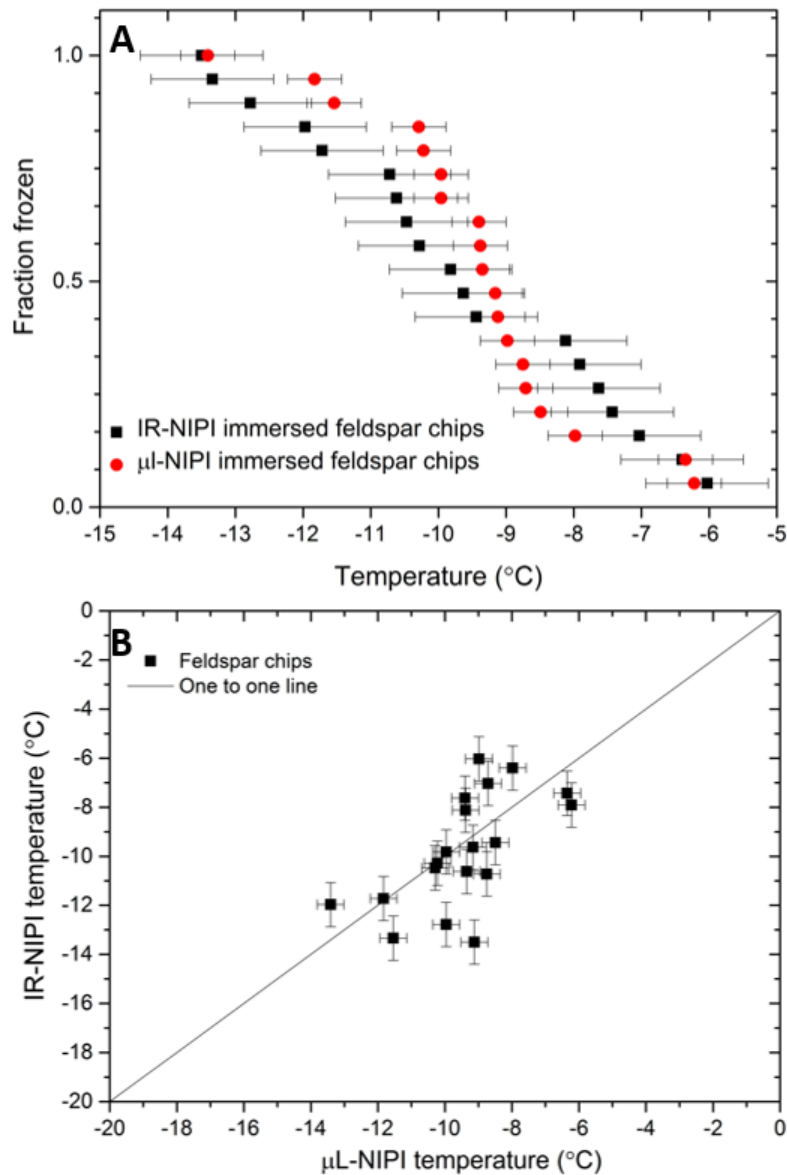
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631 **Figure 5.** The fraction of droplets frozen as a function of temperature on cooling for a range of samples. (A) The fraction frozen curves for  
 632 the IR-NIPI experiment showing standard blank runs and the sample runs from this study. The homogeneous freezing of water as predicted  
 633 with the Koop and Murray (2016) parameterisation is also shown in black. (B) Fraction frozen plot for the internal blanks versus the  
 634 corresponding NX-illite runs. (C) Fraction frozen plot for the internal blank versus the corresponding feldspar chips run. (D) Fraction frozen  
 635 plot for the internal blank versus the corresponding field sample run.

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642 **Figure 6.** Comparison of nucleation induced by feldspar chips in the IR-NIPI and  $\mu\text{L-NIPI}$  instruments. (A) The fraction frozen curves for  
 643 single feldspar particles per droplet in both the  $\mu\text{L-NIPI}$  (using 1  $\mu\text{L}$  droplets) and IR-NIPI (using 50  $\mu\text{L}$  droplets) experiments. The error bars  
 644 indicate the error in temperature measurement on both instruments, respectively. (B) Shows the freezing temperature for the individual feldspar  
 645 chips as measured by the IR-NIPI and  $\mu\text{L-NIPI}$  instruments.

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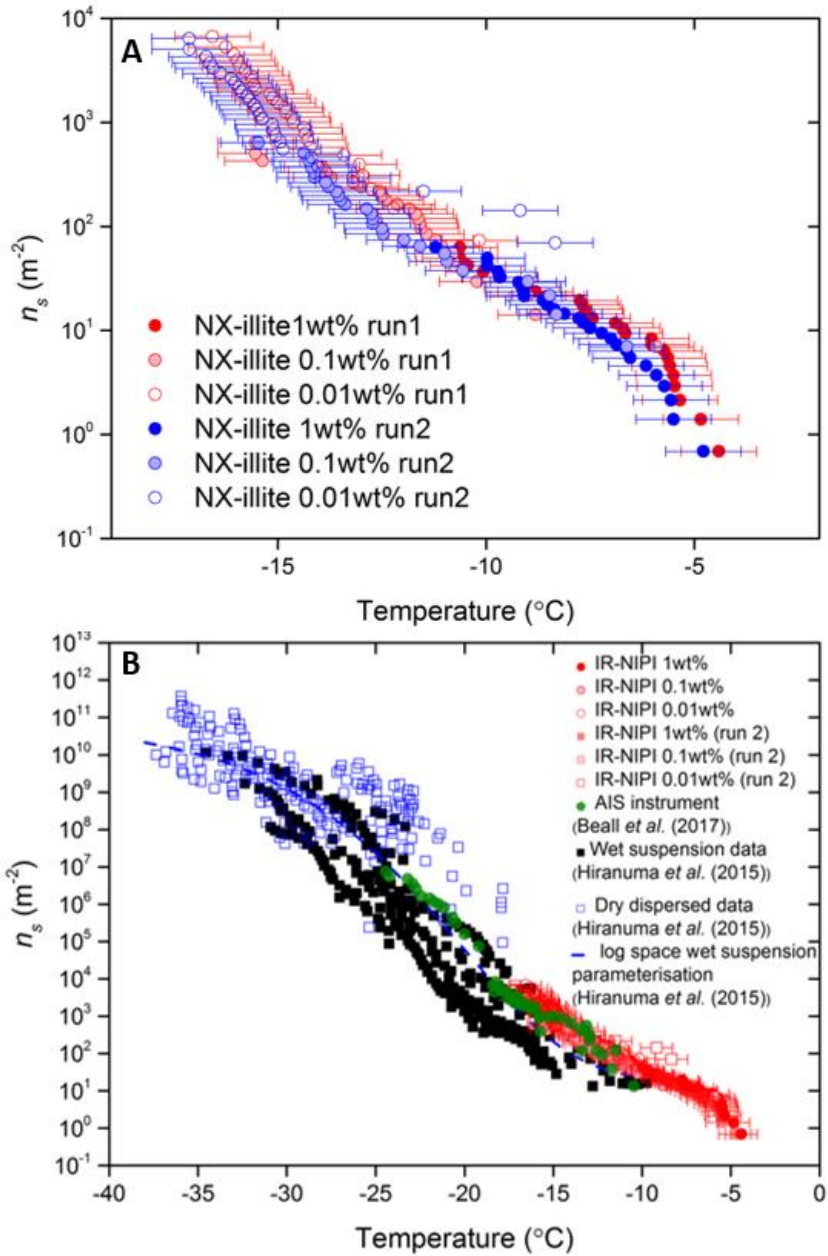
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**Figure 7.** Active site densities,  $n_s(T)$ , for NX-illite. **(A)** The active site density for a dilution series of NX-illite run on the IR-NIPI instrument for a range of concentrations. The data for a repeat experiment is also shown. The error bars represent the temperature error of  $\pm 0.9^{\circ}C$ . **(B)** The active site density for NX-illite from this study compared to literature data. Data from wet dispersed techniques are displayed in black with the IR-NIPI highlighted in red and Automated Ice Spectrometer (AIS) in green. Data from dry dispersed techniques are also plotted as hollow blue squares.

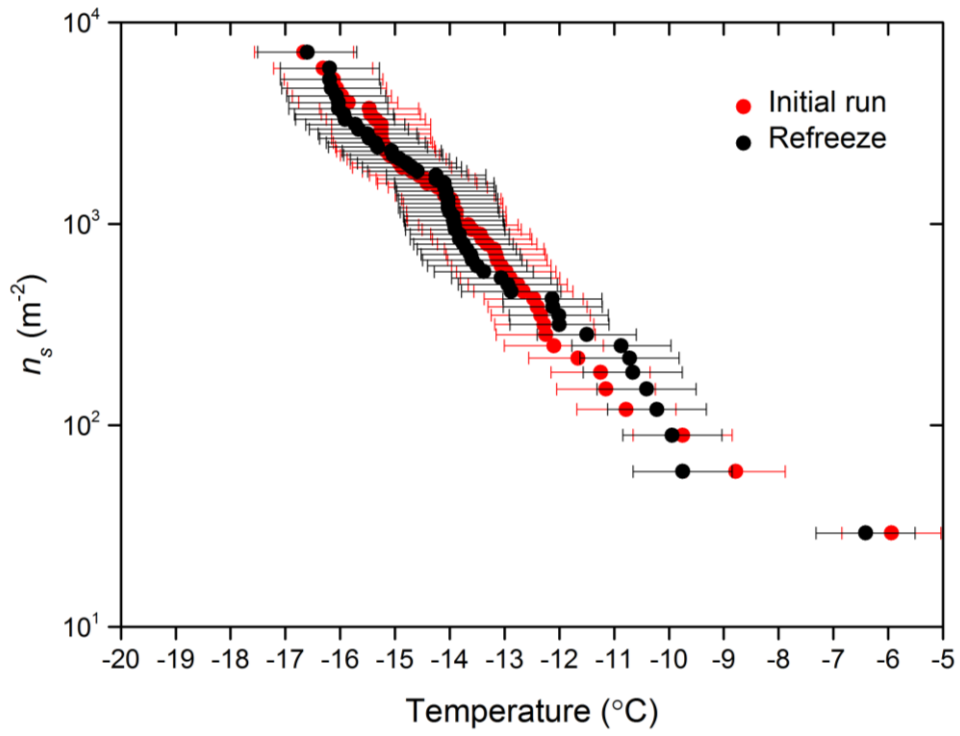
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**Figure 8.** The active site density,  $n_s(T)$ , for a 0.01 wt% NX-illite suspension and  $n_s(T)$  from a corresponding second freezing run with the same droplets after they had been thawed out and refrozen.

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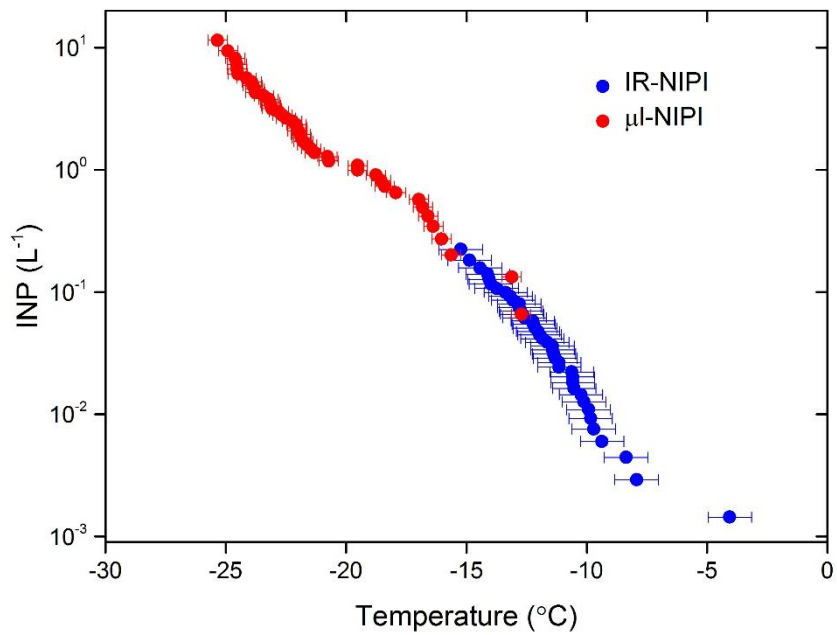
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**Figure 9.** INP concentrations per litre of air samples on the 5<sup>th</sup> November 2017 in Leeds. Aerosol were collected onto filters for later extraction into water and analysis of the resulting suspensions with the IR-NIPI and μL-NIPI instruments.