Responses to Referee #1

Page 1 line 18: The authors highlight the work of Bluvshtein et al. and Davies et al. in the abstract as partly motivating the current study. Therefore, the authors should summarise how the current work may reconcile these two studies, if at all, at the end of the abstract. As discussed further below, the authors incorrectly state in their conclusions that both the Bluvshtein et al. and Davies et al. studies use a bath gas of 10% O2 in N2; while this is the case for the Bluvshtein study, the Davies study is for ozone injected into ambient air (25% O2 in N2). These key differences in bath gas composition may partly account for calibration discrepancies between the two studies.

We appreciate this suggestion and have added a sentence at the end of the abstract briefly addressing this.

Page 1 line 25: Some readers may not be familiar with a 'multipass enhancement cell'. The authors should make clear at this early stage what is meant by 'multipass' (i.e. multiple reflections of the excitation laser beam through the sample), although it is acknowledged that specific details of the multipass optical cell is given on page 3 line 11 – page 4 line 1.

We have added a brief explanation of what a multipass enhancement cell is at this point.

Page 2 line 1: '...recent works exploring its validity have come to contradictory conclusions' should emphasise that these works were for visible laser wavelengths, i.e. '...recent works exploring its validity at visible wavelengths have come to contradictory conclusions'.

We made this change.

Page 2 line 2: `...discrepancy between ozone calibrations and particle-based calibrations'. The authors should state that this study was at a PAS laser wavelength of 405 nm only.

We made this change, also.

Page 2 line 16: 'Most commonly, this...'. 'This' is ambiguous and perhaps should read, 'Most commonly, this sensitivity factor...'.

We clarified this sentence by referencing the symbols used in Eq. 1.

Page 2 line 25: Replace `...light absorbing aerosols' with `...size selected light absorbing aerosols'.

We made this addition.

Page 2 line 27 - 28: Replace 'when they performed a calibration with ozone' with 'when they performed a calibration with ozone at a laser wavelength of 405 nm'.

We changed the sentence to reflect Bluvshtein et al. used a 405 nm laser.

Page 2 line 29: Add after 'Mie theory', 'at laser wavelengths of 405, 514 and 658 nm'.

This has been added at that point in the manuscript.

Page 2 line 32: The authors should state here: 'However, we note that the O3 calibrations performed by Bluvshtein et al. were in a bath gas composed of 90% N2 and 10% O2, while the calibrations of Davies et al. were performed in a bath gas of ~75% N2 and 25% O2 (with an ozonated oxygen flow added to ambient air).'

We thank the reviewer for making this suggestion, and have copied it into the manuscript.

Page 3 line 3 - 4: '...observe a much lower sensitivity with ozone calibrations.' This is a particular strong statement, in light of the authors' results, to suggest the sensitivity is much lower. The statistically-significant lower sensitivity occurs only when xO2 < 0.2. Indeed, the O3 calibration agrees near-exactly with that using NO2 and aerosol-based calibrations when xO2 approaches one.

We have changed this to read "...observe a lower sensitivity with ozone calibrations."

Page 4 line 20: For the purposes of future work on calibrations by other researchers, further experimental details should be given here. Such details include the pressure and temperature of the sample in the PAS cell. Was the NO2-laden N2 pushed or pulled through the sample line? What was the total flow rate through the spectrometers? What was the 662 nm laser power, as measured by the photodiode?

We appreciate this suggestion. We have provided some more detail here. See the comment under *Page 4 line 29* (below) for more information on the laser power.

Page 4 line 29: Again, further details should be given here. What powers did the lasers have, as measured by photodiode, during measurements? This quantity is very important given the photolysis observed by the authors. What was the pressure and temperature of the sample in the PAS cell? Was the O3-laden sample pushed or pulled through the PAS and CRD? What was the total flow rate through the spectrometers?

We appreciate this suggestion. We have provided some more detail here, including details about T and P. The incident beam powers have been listed under the instrument description. The multipass powers (those measured by the photodiode) are effective powers – they are relative, not absolute, as the PD calibration includes losses from windows and other optics.

Page 5 line 7: What is the particle cut diameter for a 0.57 millimetre orifice? Moreover, is 0.57 mm a diameter or radius?

Thanks for pointing out our typo. It should have read "0.071 mm". We have also included the cut point (~ 1100 nm) for the orifice used.

Page 6 lines 11-13: Here, it is important to note that (i) the O3 calibrations were only done at 532 and 662 nm, with the 406 nm PAS signal (which is of most relevance to the Bluvshtein study) showing, presumably, very little response to the O3 concentrations generated. (ii) The calibration coefficient quoted is that for the fit through both the 532 and 662 nm calibration data.

Actually, calibrations were performed at 532, 662, and 780 nm. We did not include 406 nm calibrations because of the low signal for that wavelength at the ozone concentrations used. We have added text here to clarify points (i) and (ii) and added text in the Ozone Calibration Methods section to clarify which wavelengths were used.

Page 6 line 17: The sensitivity here is the average of 532, 662 and 780 nm data. It would be useful to have the calibrations for the individual wavelengths. Indeed, the 662 nm calibration in Figure 2 appears to agree with the sensitivity of the NO2 calibration, while the 532 nm sensitivity is lower.

We have added the sensitivities derived from fitting the individual wavelengths as well for easier comparison. They differ by no more than 7%.

Page 6 line 28: 'it can be convenient to calibrate in air...'. Emphasise here that this is exactly the case for the Davies et al. study. I think it is important to remind the reader of the Bluvhtein [sic] *and Davies studies to put the current work in context.*

We added a reference to Davies et al. here.

Page 6 line 31: The reader is directed to Figure 4A. Why are there two series for 662 nm on this plot? Presumably, one of the series (the light red line) is actually for the 780 nm wavelength?

Thank you for pointing this out. The reviewer is correct, and we have corrected the plot accordingly.

Page 7 line 11: The reader is now directed to Figure 4B. It is not clear what the different data series are. Please could the authors add a legend.

We have added a legend to Figure 4B.

Page 9 line 2: The authors have now clearly demonstrated a significant impact of bath gas composition on PAS sensitivity. It would be useful at this point to put this result in context with their motivation for this study. The authors should again highlight the differences in O2 composition for the Bluvshtein (10%) and Davies (25%) studies, and provide estimates of the approximate biases in calibrations that use these bath gas compositions. From the data here, it seems that the biases would be around 17% and 8% for xO2 values of 0.1 and 0.25, respectively (assuming the PAS sensitivity behaviour at 405 nm is similar to the data measured here at longer visible wavelengths). Therefore, the current study into bath gas effects does not explain fully the factor of two discrepancy reported by Bluvshtein et al., but is expected to be a significant contributor.

We thank the reviewer for this helpful suggestion. We have added explicit discussion of how the findings from the current study might apply to the work of Bluvshtein et al. and Davies et al. Specifically, we point out that the O2 content of the bath gas does not seem to reconcile the discrepancy between these two studies.

Page 9 lines 6 – 7: 'excited state of the bath gas' should perhaps read 'excited state of the analyte'.

Actually, in this case we were in fact referring to the bath gas. However, this brings up a good point: Any long-lived excited state, whether it be the analyte, the bath gas, or an intermediate, would have a similar effect. We have added language to this effect here.

Page 10 line 2: 'as long as it is performed with 100% O2 as the bath gas.' This statement seems unjustified as the authors data suggests that PAS sensitivity factors similar to that measured for NO2 calibrations are found even at xO2 values of ~0.17 when uncertainties in both the O3 and NO2 PAS sensitivities are considered. Certainly, though, the calibration coefficient markedly drops for xO2 <0.1.

Yes, we agree with the reviewer. However, we note that (disregarding uncertainties) there is a slight upward trend in the data toward $\chi = 1$. Although we cannot say whether this trend is "real" due to uncertainties, we prefer to err on the side of caution and recommend 100% O2 as the calibrant.

Page 10 lines 14 – 16: This sentence is not correct. Bluvshtein et al. use the values as currently quoted, but Davies et al. use an O3 laden oxygen flow and add to air giving a PAS cell bath gas composition of approximately 25% O2 and 75% N2. These differences in bath gas composition should be stated clearly with estimates of the PAS calibration biases expected from the current study. Clearly, the current study does not completely reconcile the discrepancy.

Thank you for pointing out our error. We've fixed this error and added estimates of the effect for each study.

Responses to Referee #2

1. Around line 25 on page 2, the important paragraph on previous work is presented. It would be good to go into the difference in the bath gas discussion between the Davies and the Bluvshtein work here, since this plays such a strong role in the results of the current paper.

We thank the reviewer for this suggestion and have added a couple of sentences discussing the differences at the end of that paragraph.

2. The authors present amplitude measurements for photoacoustic signals from gases and aerosol, but no phase measurements. The phase information may help determine if time lags for physical/chemical processes are important.

While we agree with the reviewer on this point, phase measurements were unfortunately not conducted due to limitations with the DAQ system and cannot be be presented here at present.

3. Some of the historical literature on the subject may be useful, e.g., Harshbarger, W. R., and Robin, M. B. 1973. The opto-acoustic effect: Revival of an old technique for molecular spectroscopy. Acc. Chem. Res. 6:329–334. doi:10.1021/ar50070a001 for example.

We thank the reviewer for making this suggestion and have referenced this paper in paragraphs 2 and 4 of the Introduction.

4. Between lines 15 and 20 on page 4, use of copper tubing is mentioned for delivering NO2. We find that copper tubing can remove NO2 until passivated, though that's probably not important for the short length of tubing and the experiment here.

We appreciate the referee bringing this to our attention. We observe the same problem (regardless of tubing composition), which is why we [1] keep the length of tubing short (~10cm) and [2] make an effort to passivate the tubing with a high concentration of NO2 prior to analysis. The manuscript has been updated here to reflect these things under "NO2 Measurements".

5. Around line 5 on page 5, "... particles were size selected at 500, 550, 600, and 650 nm using an electrostatic classifier ...". Just to be sure, it would be good to specify if this is diameter or radius. Particle loss issues and thermal relaxation rate of aerosol might be important to study, and that distinction would be important.

Thank you for pointing out our imprecise language here. We have updated the manuscript to reflect that these values represent mobility diameters.

6. In Fig. 4b an 'error bar' would be useful for the NO2 measurement.

We thank the reviewer for the suggestion. We have added a 3σ error bar to the NO2 sensitivity in Figure 4B.

7. Any speculations or discussion about why the NO2 calibration is higher than the nigrosine calibration?

In figure 2, the NO2 sensitivity is 10% higher than the nigrosine sensitivity. We speculate that at least some of that difference could be attributed to uncertainty/error on CPC particle concentrations and in the refractive indices of nigrosin used in calculating the nigrosin absorbance. We have added a couple sentences to the results under "Non-ozone Methods of Calibration" indicating this.

8. The need to check calibration with various combinations of gases highlights the need for accurately measuring the resonance frequency and quality factor for quantitative measurements.

Yes, we agree! This is why we measure the resonant frequency each time the gas composition is changed or every 30 minutes, whichever comes first.

Responses to Referee #3

1) I suspect that experiments in the absence of O2 are motivated by the reaction to reform O3 (O(3P) + O2 -> O3, JPL Publication 15-10, Chemical Kinetics and Photochemical Data for Use in Atmospheric Studies), however, this reaction is not discussed explicitly nor are the results compared with the known rate of this reaction. The authors should discuss this reaction, and it would be helpful if the authors attempted a simple box model of O3 photochemistry in the PAS cell.

The reviewer is correct, and the $O(3P) + O2 \rightarrow O3$ reaction is discussed on p. 7 line 6. We thank the reviewer for referring a citation for this reaction and have cited it in the text. We performed a simple photodissociation calculation to assess the extent to which O3 would be lost in our experiments (see point #2, below).

2) In the discussion of photodissociation of O3 by 532 nm light, could the authors estimate the expected loss of O3 based on the residence time in the sample cell, O3 cross section, and assuming 100% yield to the photodisociation [sic] channel.

We thank the reviewer for this suggestion. We have carried out such a photodissociation calculation and estimate that most (much greater than 99.9%) of the O3 should be dissociated. We surmise that the reason that only 5% of the O3 was lost (Figure 3a) might result from rapid recombination to form O3. We estimate that it would take only 4 ppm O2 for recombination to compete with photodissociation. We have included this analysis in the discussion of Figure 3a.

3) Page 2 line 26 – My understanding is that Bluvshtein et al. measured the RI only of the nigrosine independently. For other materials, the RI was retrieved from broadband extinction measurements.

This is correct. Thank you for pointing out our error. The manuscript has been adjusted to reflect that only the RI of nigrosin was measured independently.

4) Does an absorber need to be present to determine the resonant frequency? Or is a background signal used?

Although an absorber can be used to determine the resonant frequency, it is not necessary and we conduct the frequency sweep in the bath gas without an absorber. Thank you for pointing out that we did not specify this; the manuscript has been updated to include this.

5) Figure 3 – Drifts in the PAS signal are not explained. Do the authors know the source of these drifts?

The drift in Figure 3B is likely due to drift in the O3 concentration as the trap becomes depleted of O3; we have included mention of this in the figure caption. The source of drift in Figure 3A is not known, but it may reflect a drift in resonance frequency or temperature, but we point out that it does not affect the overall message of the figure: The effect of O3 photodissociation on the PAS signal is small and not enough to explain the factor of two discrepancy with and without O2 present. This analysis is now included in the body of the manuscript. 6) Figure 4a: The peach/orange color trace is mislabeled. I understand it to be 780 nm?

Thank you for pointing out our mistake. The legend has been updated to indicate the salmon-colored trace represents 780 nm.

7) Figure 4b: In this figure it seems that the PAS sensitivity in the absence of O2 for 662 and 532 differ by 10-20%, but in figure 2 the calibration slope is nearly the same for 532 nm and 662 nm in N2. Why are they different?

Figure 2 represents a single multi-point calibration, while Figure 4B represents multiple single-point calibrations. The latter case is especially susceptible to error as it represents a single measurement. Nonetheless, we do point out that the differences between the sensitivities for the three wavelengths is smaller with 100% O2 (7%) than with 100% N2 (17%), perhaps indicating that there is a real difference in the absence of O2. We do mention this possibility in the manuscript stating: "The differences in these values may reflect differences in the densities of states of the bath gas and the ozone when excited by the different wavelengths of light".

Technical Note: Can ozone be used to calibrate aerosol photoacoustic spectrometers?

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Abstract. Photoacoustic spectroscopy (PAS) has become a popular technique for measuring absorption of light by atmospheric aerosols in both the laboratory and in field campaigns. It has low detection limits, measures suspended aerosols, and is insensitive to scattering. But PAS requires rigorous calibration to be applied quantitatively. Often, a PAS instrument is either filled with a gas of known concentration and absorption cross section, such that the absorption in the cell can be calculated from the

- 5 product of the two, or the absorption is measured independently with a technique such as cavity ringdown spectroscopy. Then, the PAS signal can be regressed upon the known absorption to determine a calibration slope that reflects the sensitivity constant of the cell and microphone. Ozone has been used for calibrating PAS instruments due to its well-known UV-visible absorption spectrum and the ease with which it can be generated. However, it is known to photodissociate up to approximately 1120 nm via the $O_3 + h\nu$ (> 1.1eV) $\rightarrow O_2(^{3}\Sigma_{\alpha}^{-}) + O(^{3}P)$ pathway, which is likely to lead to inaccuracies in aerosol measurements.
- 10 Two recent studies have investigated the use of O_3 for PAS calibration but have reached seemingly contradictory conclusions with one finding that it results in a sensitivity that is a factor of two low and the other concluding that it is accurate. The present work is meant to add to this discussion by exploring the extent to which O_3 photodissociates in the PAS cell and the role that the identity of the bath gas plays in determining the PAS sensitivity. We find a 5% loss in PAS signal attributable to photodissociation at 532 nm in N_2 but no loss in a 5% mixture of O_2 in N_2 . Furthermore, we discovered a dramatic increase of more than
- a factor of two in the PAS sensitivity as we increased the O_2 fraction in the bath gas, which reached an asymptote near 100% O_2 that nearly matched the sensitivity measured with both NO_2 and nigrosin particles. We interpret this dependence with a kinetic model that suggests the reason for the observed results is a more efficient transfer of energy from excited O_3 to O_2 than to N_2 by a factor of 22-55 depending on excitation wavelength. Notably, the two prior studies on this topic used different bath gas compositions, and although the results presented here do not fully resolve the differences in their results they may at least
- 20 partially explain them.

1 Introduction

Photoacoustic spectroscopy (PAS) has become a popular technique for measuring absorption of light by atmospheric aerosols (e.g. Roessler and Faxvog (1980); Japar and Szkarlat (1980); Moosmüller et al. (1998); Arnott et al. (1999); Lewis et al. (2008); Lambe et al. (2013); Wiegand et al. (2014); Zhang et al. (2016), among others). It is a desirable method because it has low

detection limits, is capable of measuring suspended aerosols, and is insensitive to scattering. However, PAS requires rigorous calibration for accurate absorption measurements, and this calibration becomes more difficult as the complexity of the PAS increases (e.g. with a multipass enhancement cell in which the sample interacts with multiple reflections of the excitation laser beam and/or the use of multiple wavelengths). Although ozone has been used as a calibrant for PAS (Lack et al., 2006, 2012),

- 5 recent works exploring its validity at visible wavelengths have come to contradictory conclusions: Bluvshtein et al. (2017) saw a discrepancy between ozone calibrations and particle-based calibrations at 405 nm, while Davies et al. (2018) found this not to be the case. Concurrent to these publications, we have been exploring the use of ozone as a PAS calibrant for multipass, multi-wavelength, aerosol photoacoustic spectrometers; our observations are presented here to add to the discussion on the topic.
- 10 The underlying principle An underlying assumption of PAS is that light absorbed by the sample is converted to translational energy of energy imparted toward the electronic excitation of the analyte is quickly and efficiently transferred to translation energy in the bath gas molecules - and does not contribute to non-thermal modes of relaxation such as luminesence or photochemistry. (Harshbarger and Robin, 1973) When the light is modulated on and off at acoustic frequencies, a pressure wave is produced that is detectable by a microphone. (Miklós et al., 2001) However, for quantitative measurements, this
- 15 requires that no non-thermal relaxation pathways (e.g. photodissociation, fluorescence) exist, as any energy transferred nonthermally does not contribute to the PAS signal. Further, for trace gases in a bath gas, the excited analyte molecule must efficiently transfer its energy to the bath gas, and the bath gas must relax more quickly than the modulation frequency of the PAS. For accurate PAS measurements, the sound intensity (volume) measured with the microphone must be calibrated to units of absorption. For consistency, we will refer to this value as the sensitivity factor, *m* with units of (V/W)/Mm⁻¹:

$$20 \quad m = \frac{s}{b_{abs}} \tag{1}$$

where s is the power-normalized PAS signal (i.e. in units of V/W) and b_{abs} is the corresponding known absorption due to a calibrant (in units of Mm⁻¹). Most commonly, this <u>m</u> is determined either by filling the sample cell with a gas of known concentration (N) and absorption cross section (σ) (such that $b_{abs} = N\sigma$) or measuring the absorption with another technique such as cavity ringdown spectroscopy. By using multiple concentrations (or sizes, in the case of aerosols), a linear regression

- 25 of *s* vs. b_{abs} can be performed from which the slope, *m*, can be determined. Examples of calibrants include aerosol particles such as flame-generated soot (Arnott et al., 2000) and gas-phase absorbers such as ozone (Lack et al., 2006, 2012) or nitrogen dioxide (Arnott et al., 2000; Lewis et al., 2008; Cross et al., 2010). Although ozone absorbs weakly in the UV-A and violet regions of the spectrum and is difficult to measure at those wavelengths, it has been employed for field calibrations because it can be easily generated using a UV lamp or corona discharge.
- 30 As noted above, Bluvshtein et al. (2017) conducted a systematic study of calibrants for a multipass photoacoustic spectrometer. They measured light absorbing size-selected, light-absorbing aerosols, including nigrosin, Suwannee River fulvic acid, and Pahokee peat fulvic acid. They then used an independently measured refractive index and (for nigrosin) or a refractive index determined from broadband extinction measurements (for SRFA and PPFA) and Mie theory to calculate the known absorption for each sample and found generally good agreement between their sensitivity factors; however, when they performed a cali-

bration with ozone using a 405 nm laser, they found a much lower sensitivity factor (by roughly 50%). Alternatively, Davies et al. (2018) found their measured nigrosin absorption cross sections agreed well with Mie theory at laser wavelengths of 405, 514 and 658 nm when they calibrated their PAS with ozone prior to nigrosin measurements. One difference between these two studies was the composition of the bath gas (sample matrix). The O_3 calibrations performed by Bluvshtein et al. were

5 conducted in a bath gas composed of 90% N₂ and 10% O₂, while the calibrations of Davies et al. were performed in a bath gas of 75% N₂ and 25% O₂ (with an ozonated oxygen flow added to ambient air). If energy transfer from the excited state of ozone to the bath gas were different for these two systems, the effects may be easily explained; in fact, early PAS studies used the technique to measure relaxation rates of excited gas-phase molecules. (Harshbarger and Robin, 1973)

Clearly, there are contradictory results regarding the use of ozone as a calibrant for photoacoustic spectroscopy, and addi-

- 10 tional inquiry into the subject is warranted. Not discussed in either of the studies is a reason for the observed results. We note that ozone is well-known to photodissociate at wavelengths less than approximately 1120 nm, suggesting that PAS calibrations using ozone may be subject to non-thermal relaxation (Yung and DeMore, 1999). This could potentially explain discrepancies between ozone calibrations and other methods. In this communication, we attempt to provide some insight toward a more thorough understanding of this topic. Specifically, we compare calibrations with (1) NO₂, (2) nigrosin aerosols, and (3) ozone
- 15 under various conditions. We find agreement between NO_2 and nigrosin but observe a much-lower sensitivity with ozone calibrations. We further show direct evidence for photodissociation of ozone inside the PAS when exposed to a 532 nm continuous wave laser and observed that adding small amounts (<5%) oxygen to the sample line changed the calibration slope significantly bringing to bring it more in line with the other methods. We propose that the oxygen dependence can be explained by a simple kinetic model in which oxygen deactivates the excited ozone more efficiently than does nitrogen. While this doesn't fully
- 20 explain the differences between Bluvshtein et al. (2017) and Davies et al. (2018), the overall trend in our data is consistent with the trend observed in these studies that a lower concentration of oxygen in the bath gas leads to a lower PAS calibration slope.

2 Materials and Methods

2.1 Photoacoustic Spectrometer

- The photoacoustic spectrometer used in this study has been described previously elsewhere (Fischer and Smith, 2018). Briefly, it is a single-cell, four-wavelength, laser PAS. Four diode lasers (406, 532, 662, and 780 nm) are combined into a single beam with dichroic mirrors and turned into a multipass cell consisting of two highly reflective, cylindrical mirrors (R > 99%); the front mirror has a 2 mm entrance hole drilled in the center (Silver, 2005). The PAS cell itself sits within the multipass cell and follows the design of Lack et al. (2006). A calibrated photodiode behind the rear multipass mirror is used to monitor the
- 30 power of each laser simultaneously. The system includes a cavity ringdown cell (CRD) operating at 662 nm (from the same 662 nm laser employed by the PAS) for direct calibration of the PAS. The four lasers in the PAS are operated simultaneously at frequencies spaced every 2 Hz around the resonant frequency of the cell. A fast-Fourier transform (FFT) is performed on the microphone signal to deconvolve the signals at each wavelength. The resonant frequency of the PAS cell is measured by



Figure 1. Block diagram of the experimental setup. (A) Setup used for O_3 and NO_2 measurements, and (B) setup used for nigrosin measurements. Triangles indicate mass flow controllers or critical orifices; arrows indicate direction of flow. CPC = condensation particle counter; DMA = differential mobility analyzer; CRD = cavity ringdown spectrometer.

scanning the laser frequency across the resonant peak of the cell typically filled with only the bath gas, and finding the best fit to the frequency sweep data. A frequency sweep was conducted prior to each set of measurements and anytime the gas type was changed. From these sweeps, the quality factor, Q, of the cell was determined to be 30. The lasers can be individually switched from digital modulation (as is used for PAS) to continuous wave mode, which is helpful in conducting photolysis

5 studies. The incident single-pass powers, which are representative of the powers experienced by each O₃ molecule, were 61, 32, 44, and 77 mW for 406, 532, 662, and 780 nm, respectively. A diagram and more thorough description of the instrument can be found in Fischer and Smith (2018).

2.2 NO₂ Measurements

Following our typical procedure, as described in Fischer and Smith (2018), we calibrated the PAS with nitrogen dioxide by

10 pushing a mixture of nitrogen dioxide in nitrogen through the instrument. A standard 10.29 ppm (\pm 5%) mixture of NO₂ in N₂ with a trace of O₂ for stability (Airgas, Athens, Georgia) was diluted to various concentration into N₂ boil off from a liquid nitrogen dewar (Airgas, Athens, Georgia). A The rotameter was used to measure the flow of NO₂ while the N₂ flow was controlled with a needle valve at approximately 200 sccm (standard cubic centimeters per minute) and measured with a an electronic flow meter (TSI, Shoreview, Minnesota). The flow rate through the instrument was the sum of the two flows, and ranged from 225–400 sccm depending on the NO_2 flow rate. NO_2 was introduced first to the PAS cell and then transported to the CRD via a short length (10 cm) of copper tubing. The outlet of the CRD was plugged and the gas was directed out of the purge inlets to avoid dead volume in the cell (no purge flow was used for NO_2 measurements). CRD and PAS measurements

5 were conducted simultaneously at 662 nm, and all other lasers were turned off during NO₂ measurements. Figure 1A shows a block diagram of the setup used for NO₂ measurements. The outlet of the PAS cell was open to atmospheric pressure, and as such the pressure inside the cell was free to fluctuate with the local ambient pressure. Likewise, the temperature was free to fluctuate with ambient temperature, but was within in the range of 22 ± 2 °C for all experiments. Prior to all NO₂ experiments, 10 ppm NO₂ was flowed through the cell at 1-2 SLPM for several minutes to passivate all components of the system.

10 2.3 Ozone Measurements

Ozone was generated using a commercial corona discharge ozone generator (Pacific Ozone, Benicia, California) with highpurity O_2 (99.999%, Airgas, Athens, Georgia). The ozone was trapped on silica gel in a glass trap held in slurry of solid CO_2 and ethanol at -73 °C. Prior to trapping, the silica gel and trap were heated to 100 °C while being held under vacuum for at least 1 hour to remove contaminants. As with NO_2 , no purge flow was used during O_3 measurements and the sample was introduced

- 15 <u>pushed</u> to the PAS first and transported to the CRD via a short length of copper tubing. The outlet of the CRD was plugged and the sample was directed out of the purge flow lines to minimize dead volume inside the cell. Figure 1A shows a block diagram of the setup used for ozone measurements. Mass flow controllers were used to control the ratio of oxygen to nitrogen (MKS Instruments). The outlet of the PAS cell was open to atmospheric pressure, and as such the pressure inside the cell was free to fluctuate with the local ambient pressure. Likewise, the temperature was free to fluctuate with ambient temperature, but was
- 20 within in the range of 22 ± 2 °C for all experiments. Ozone calibrations were performed at 532, 662, and 780 nm; 406 nm measurements were not conducted because of a very low signal to noise ratio at that wavelength for the relatively low ozone concentrations used.

2.4 Nigrosin Measurements

Figure 1B shows a block diagram of the setup used for nigrosin measurements. Nigrosin aerosol was generated using a constant output atomizer (TSI 3076) with an aqueous solution of nigrosin (4 g L⁻¹, Sigma Aldrich Catalog Number 198285, CAS# 8005-03-6, LOT MKBG7493V) and dried using a series of two silica-gel diffusion dryers. The relative humidity was kept below 5% and monitored with an inline relative humidity probe (HMP110, Vaisala Corportation, Helsinki, Finland). Atomized, dried particles were size selected at <u>electrical mobility diameters of</u> 500, 550, 600, and 650 nm using an electrostatic classifier (TSI 3080) and differential mobility analyzer with a 10:1 sheath flow to sample flow ratio and an 0.57 mm 0.071 mm diameter

30 impactor orifice to avoid provide a cut point of approximately 1100 nm and reduce transmission of doubly charged particles (DMA, TSI 3085). Monodisperse aerosols were split in parallel to a condensation particle counter (CPC, TSI 3775) and the photoacoustic cell and delivered to each instrument through conductive silicone tubing. After particles passed through the PAS, they entered the CRD cell, which had a purge flow of 60 SCCM N₂ (maintained by a critical orifice) over each mirror to

prevent particle deposition. The aerosol sample was pulled through the instrument with a diaphragm pump (KNF Neuberger, Inc., Trenton, NJ) and the flow rate was maintained at 330 SCCM total flow with a critical orifice (Lenox Laser, Glen Arm, Maryland). All lasers were operated simultaneously. The refractive index from Bluvshtein et al. (2017) was used to calculate nigrosin absorption cross sections using Mie theory assuming a geometric standard deviation of 1.05. Mie theory calculations

5 were performed in MATLAB.

3 Results and Discussion

We chose to take an alternate approach to calibrating with ozone compared to prior studies (Bluvshtein et al., 2017; Davies et al., 2018). Instead of using the flow directly out of an ozone generator, we trapped ozone on a silica gel trap prior to analysis. This allows us to achieve lower overall oxygen concentrations than available with an ozone generator and more fully map out
the behavior of ozone in the presence of oxygen. Further, while others have used single-wavelength PASs in parallel, we used a 4-wavelength, single-cell PAS. This gave us the opportunity to operate some lasers in continuous-wave mode and probe for signal loss due to photodissociation. The results presented here will be discussed first in terms of our typical calibrant (NO₂) and a particle-based calibration (nigrosin). We will then discuss the use of ozone in relation to those calibrants and finally end with a discussion of oxygen's effect on ozone signals in the PAS.

15 3.1 Non-ozone Methods of Calibration

We prefer to calibrate with NO_2 by measuring the PAS signal at 662 nm and comparing to the absorption measured by the CRD at 662 nm. Because each of the instruments is illuminated by the same laser, the uncertainty is determined only by the uncertainty of the CRD and the precision of the PAS; all uncertainties associated with flow measurement and absorption cross sections are irrelevant. Further, because all of our wavelengths are contained in a single cell, the power-normalized calibration

20 at 662 nm can be applied to all wavelengths (including 406 nm, at which wavelength NO₂ photodissociates) (Wiegand et al., 2014; Fischer and Smith, 2018). This approach, however, adds some additional uncertainty from the measurement of the effective power of each wavelength.

Performing the calibration with NO₂ using the CRD to determine absorption yields a calibration slope of $m = 11.9 \times 10^{-4} (V/W)/Mm^{-1}$. Because we used a 10 ppm calibrated mixture of NO₂, we were also able to derive independently a

- 25 calibration slope using the calculated NO₂ absorption from the product of the concentration, N, and the absorption cross section, σ , as measured by Burrows et al. (1999). This slope of $m = 11.7 \times 10^{-4} (V/W)/Mm^{-1}$ is within 1.5% of the CRD method despite the larger uncertainty due to uncertainties in flow measurements. With nigrosin, we obtain a slope of $m = 10.7 \times 10^{-4} (V/W)/Mm^{-1}$, within 10% of the NO₂ calibrations. The calibration curves for these methods can be seen in Figure 2. Although the agreement here is not bad, there is some discrepancy between ozone and nigrosin. We speculate this
- 30 is due to errors with the nigrosin calibration due to (e.g.) CPC counting errors (accuracy = 10%) and/or errors or lot-to-lot differences in the refractive index of nigrosin.



Figure 2. Calibration curves from various methods. Points are colored by wavelength.

3.2 Ozone as a Calibrant

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We have observed discrepancies between ozone and NO₂ calibrations. In Figure 2, the which shows the calibration data and fits to all wavelengths (for ozone that is 532, 662, and 780 nm), the most dramatic outlier is the dashed grey-green line obtained from ozone in pure N₂, which yields a slope more than 50% lower than the slopes obtained with NO₂ and nigrosin at $m = 5.1 \times 10^{-4} (V/W)/Mm^{-1}$. We hypothesized that this difference was due to photolysis of ozone under irradiation by visible light via O₃ + $h\nu$ (> 1.1eV) \rightarrow O₂($^{3}\Sigma_{g}^{-}$) + O(3 P). (J. B. Burkholder and Wine, 2015) If this were the case, we further hypothesized that diluting ozone with oxygen instead of nitrogen would yield a larger calibration slope because the oxygen would promote recombination of O(3 P) and O₂ to form ozone. Indeed, as the dotted teal line in Figure 2 indicates, the calibration slope fit to all three wavelengths under conditions of 100% O₂was much closer, $m = 9.8 \times 10^{-4} (V/W)/Mm^{-1}$, was much closer to the slopes obtained using NO₂ or nigrosinat $m = 9.8 \times 10^{-4}$. The slopes derived from fits to the data

10 of the individual wavelengths are similar, as expected, since the calibration should be independent of the wavelength of light: m = 9.6, 10.3 and $9.6 \times 10^{-4} (V/W)/Mm^{-1}$ for 532, 662 and 780 nm, respectively.

To search for evidence of O_3 photolysis, we operated our 532 nm laser in continuous-wave mode. This mode prevented the laser from contributing to the PAS signal and yielded maximum continuous power available for photodissociation. The PAS signal due to ozone was monitored with the 662 nm PAS channel, and the concentration of ozone was monitored with the

15 cavity ringdown spectrometer using the absorption cross section of Burrows et al. (1999). This approach allowed us to separate

effects due to a lowering of the ozone concentration (which would be evident with the CRD) and any additional loss of PAS signal resulting from energy loss due to photodissociation. The green shaded regions in Figure 3 indicate when the 532 nm laser was turned on to illuminate the ozone inside the PAS. An immediate decrease of 5% in both the PAS signal and the ozone concentration measured with the CRD is noticed, consistent with a loss of ozone due to photodissociation. Furthermore, this loss was not observedwhen oxygen was present in the cell at However, a simple photolysis calculation assuming a unit quantum yield for photodissociation indicates that nearly all of the O_3 (more than 99.9%) should photodissociate. Given the small 5%

- 5 % loss observed, we conclude that a trace of O_2 must have been present thereby promoting re-formation of the O_3 ; indeed, we estimate that only 4 ppm of O_2 , perhaps coming from the O_3 trap or just a tiny leak of ambient air, would be sufficient to compete with the photolysis loss. The origin of the slight upward drift apparent in the PAS signal is not known, but it may indicate a shift in cell resonant frequency or temperature; nonetheless, the observed 5% loss of signal is substantially smaller than the 50% reduction in sensitivity observed in Figure 2. Furthermore, the loss due to the 532 nm light was not observed
- 10 when we added 5% oxygen (of the total sample flow), as shown in Figure 3B. This observation suggests, suggesting that in the presence of oxygen ozone is rapidly reformed, but. But how much oxygen is required sufficient to accurately perform a PAS calibration with ozone? For example, it can be convenient to calibrate in air (i.e. 20% oxygen, for example Davies et al. (2018)) but is there a sufficient amount of oxygen present to do soto ensure the full sensitivity of the PAS?

3.3 Effect of Oxygen on Ozone Signal

The effects of oxygen on the PAS signal can be seen clearly in Figure 4. In Figure 4A, oxygen was added to the sample line such that it made up 5% of the total flow. The red regions indicate when O_2 was added to the sample stream, and the white regions indicate when it was removed. There is a clear difference upon addition of O_2 to the sample flow, with it increasing

- 5 the signal roughly 50-75%, and a similar trend was observed at all four measurement wavelengths available in our PAS. This effect cannot be due to changes in the concentration of ozone, which were monitored with the CRD and actually decreased slightly when oxygen was added (due to the slight dilution of the sample flow). An alternative explanation would be a shift in the resonant frequency upon addition of oxygen. However, because the resonant frequency was measured in nitrogen, any shift in resonant frequency should only decrease the signal. Further, measurements of the resonant frequency showed negligible
- 10 differences between nitrogen-only samples and those with 5% oxygen added. Thus, the change in composition was not enough to have an appreciable effect on the resonant frequency of our low-Q (wide-bandwidth) PAS cell. We therefore conclude that the observed increase in signal upon addition of oxygen is indeed attributable to a change in sensitivity accompanying the change in composition of the bath gas. Finally, such a phenomenon was not observed when adding argon instead of oxygen (data not shown), implying that the effect is attributable to the presence of oxygen specifically.
- Figure 4B shows the effect of adding oxygen in varying amounts from 0–100% of the bath gas. A clear trend is observed in relation to the oxygen concentration at 532, 662, and 780 nm; the effect likely exists at 406 nm as well, but that wavelength was not measured because of ozone's low absorption cross section at that wavelength. In the absence of O_2 , the sensitivity is about $4 \times 10^{-4} (V/W)/Mm^{-1}$, more than a factor of 2 lower than the normal PAS cell sensitivity measured with either NO₂ or nigrosin. The sensitivity increases quickly as oxygen is added up to about 20% oxygen in nitrogen, at which point it



Figure 3. Photolysis of ozone in the PAS. (A) Response of 662 nm PAS signal and $[O_3]$ as measured with the 662 nm CRD to irradiation at 532 nm with no oxygen present and (B) with 5% oxygen present. Green shaded regions represent times when the 532 nm laser was turned on and white regions when it was off. The slight downward drift evident is likely from a decreasing O_3 concentration as the trap becomes depleted.

begins to asymptotically approach an upper limit that is more in line with the sensitivities measured by other methods. Others

5 have observed similar effects measuring HCN when adding water vapor into the cell (Kosterev et al., 2006) and when adding oxygen into a mixture of NO_2 and N_2 , although in that case adding oxygen caused a decrease in the signal (Kalkman and van Kesteren, 2008).

We note that the observed sensitivity dependence on bath gas composition could partially explain the lower sensitivity to O_3 compared to nigrosin particles observed by Bluvshtein et al. since the bath gas in that study contained only 10% O_2 . Using

10 the data in Figure 4B, we estimate that the sensitivity would be 17% low, which is in the right direction but cannot explain the entire difference. Likewise, we estimate the sensitivity to O_3 in the work of Davies et al., which used 25% O_2 in the bath gas, to be 12% low. We conclude, then, that the different amounts of O_2 in the bath gas fo these two studies cannot fully explain the discrepancy between them.

An underlying assumption of PAS is that all the photon energy absorbed by the sample is transferred to the bath gas as 15 thermal energy to create an acoustic wave. This process requires efficient transfer of energy from the excited state of the analyte (e.g. O_3^*) into translational, rotational, and/or vibrational modes of the bath gas and the further relaxation of the bath gas molecule. However, if the transfer of energy from the analyte to the bath gas is inefficient or if the excited state of the



Figure 4. (A) Time series of O_2 addition; (B) PAS signal (normalized to absorption cross section) as a function of O_2 mole fraction, χ . Red shaded regions in (A) represent times when 5% oxygen was added to the sample stream. Error bars in (B) are ± 1 SD of a 30-second average. The black line in (B) represents the value obtained with NO₂ with the gray shaded region representing the 3σ uncertainty, and the colored lines are the best fit to the data using Equation 3.

bath gasor of analyte, or another intermediate is long-lived with respect to the modulation frequency of the light source, the photon energy will not be efficiently converted to acoustic energy, which is observed as a decreased sensitivity. The observed

20 dependence on O_2 concentration indicates that energy transfer is more efficient with O_2 as the bath gas compared to N_2 , and the shape of the dependence on O_2 concentration suggests a competitive kinetic model. Indeed, the data are fit reasonably well by a simple model in which O_2 and N_2 are each assumed to deactivate the O_3^* in one step but with different rate constants, k_{O_2} and k_{N_2} :

$$\frac{d[O_3^*]}{dt} = -k_{N_2}[O_3^*][N_2] - k_{O_2}[O_3^*][O_2]$$
⁽²⁾

25 Following the derivation of Kosterev et al. (2006), the sensitivity, $m (in (V/W)/Mm^{-1})$, can be expressed as:

$$m = \frac{m_0}{\sqrt{1 + \left(\frac{A}{1 + \frac{r_X}{(1 - \chi)}}\right)^2}} \tag{3}$$

where m_0 is the asymptotic sensitivity coefficient (i.e. with instantaneous relaxation), χ is the O₂ mole fraction, r is the ratio of the quenching rate constants for oxygen and nitrogen (k_{O_2}/k_{N_2}) , and:

$$A = 2\pi f \tau_{N_2} \tag{4}$$

30 where f is the modulation frequency and τ_{N_2} is the deactivation lifetime of O_3^* in 100% N_2 (= $\frac{1}{k_{N_2}[N_2]}$). For efficient conversion of the absorbed photon energy to acoustic energy, the deactivation lifetime rate must be significantly faster than the modulation frequency, meaning A must be << 1.

Fitting Equation 3 to each of the three data sets in Figure 4B results in reasonable fits with R^2 values of 0.96 or greater. The values of the A parameter are 1.6, 2.2, and 3.0 for 532, 662, and 780 nm, respectively, reflecting the fact that the energy transfer in 100% N₂ is inefficient for all three wavelengths. The values of r, the ratio of the deactivation rate constants in O₂

- 5 and N₂, are 22, 37, and 55 for 532, 662, and 780 nm, respectively, reflecting the increased sensitivity in the presence of O₂. The differences in these values may reflect differences in the densities of states of the bath gas and the ozone when excited by the different wavelengths of light, though a more definitive interpretation is beyond the scope of this work. The values of m_0 are 11.2, 10.8, and 10.9×10^{-4} (V/W)/Mm⁻¹ for 532, 662, and 780 nm, respectively, which indicate similar sensitivities in the limit of 100% O₂ for all three wavelengths and are within 10% of the sensitivity measured with NO₂. Clearly, however,
- 10 the data appear not to have reached an asymptote even at 100% O_2 , which may reflect the limitations of using such a simple model in which deactivation of O_3^* by N_2 and O_2 is represented by single steps. Nonetheless, this model captures the general shape of the sensitivity dependence on O_2 concentration and provides a guide for assessing the relative efficiencies of the two bath gases. In fact, the measured values of the sensitivities at 100% O_2 are within 3% of the NO_2 measurement indicating that calibration with O_3 is a viable option as long as it is performed with 100% O_2 as the bath gas. It may even be possible to
- 15 perform such a calibration with smaller concentrations of O_2 and use a correction based on a curve similar to that shown in Figure 4B, though the additional uncertainty incurred with doing so may make such an approach undesirable. Finally, we note that since the A term is a function of f, the sensitivity of PAS measurements made at higher frequencies than that used here (1414 Hz in 100% N₂) will demonstrate an even more pronounced dependence on O_2 concentrations.

4 Conclusions

- 20 We show direct evidence of ozone photodissociation at 532 nm at the level of 5% inside a PAS cell. Despite the fact that this photodissociation pathway is well established, ozone has been used to calibrate aerosol PAS instruments with a dearth of discussion on the impact of photodissociation until very recently. Significantly, Davies et al. (2018) find good agreement between an ozone calibration and one performed with nigrosin particles, while Bluvshtein et al. (2017) measured an ozone calibration half that of the one obtained with nigrosin particles with no obvious explanation for the disparity. Here, we expand
- on this work by systematically investigating the dependence of the ozone sensitivity on O_2 concentration and performing kinetic modeling suggesting that N_2 as a bath gas results in <u>efficient inefficient</u> deactivation of O_3^* . Interestingly, our results are not sufficient to <u>explain entirely reconcile</u> the differences between the findings of Bluvshtein et al. (2017) and Davies et al. (2018)as each study used. In the prior, a bath gas composition of 10% O_2 and 90% N_2 was used, which would lead to a significantly lower (17%) calibration constant for ozone than for other calibrants but is insufficient to explain the factor of two discrepancy observed with nigrosin particles; in the latter, a composition of 25% O_2 and 75% N_2 was used, which would lead to a smaller (12%) discrepancy between ozone and nigrosin measurements. We find that ozone is a suitable calibrant for PAS

in a bath gas of 100% O_2 but that its use at lower O_2 concentrations requires careful comparison to other calibrants, such as NO_2 or nigrosin particles, and will incur increased uncertainties associated with the necessary correction.

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