

Dear Editor,

We thank the reviewer for the evaluation of our paper and useful comments that helped to improve the manuscript. Below are our responses to each comment. Reviewer's comments are in blue, the responses are in black; the text added to the manuscript is in red.

On behalf of the authors,

Wenhan Qin

Responses to comments from Referee #1:

1. Darker MODIS than OMI scenes

The authors make a deliberate choice to generate a GLER product based on measurements from another instrument (MODIS) than the product will be used for (OMI). This is understandable since kernel coefficients describing surface reflectance anisotropy are not available from the OMI sensor itself. The drawback however is that the GLER product is based on a set of very different viewing conditions, geometries, assumptions on the state of the atmosphere, and instrument specifics. All these inconsistencies can make the GLER product potentially less suitable for application on OMI retrievals.

The BRDF kernel coefficients retrieved from the MODIS data are theoretically independent of the viewing geometry because they are derived by fitting the kernel-driven BRDF model (see Eq.1) against atmospherically corrected angular observations collected during a 16-day period from both Terra and Aqua. This means one can reconstruct the entire surface BRF and compute the directional reflectance at any combination of solar and view angles desired. The BRDF parameterization is therefore a transfer function from the original MODIS source measurements to the target measurement geometry of OMI. We revised Section 2.1 to make this clearer (see marked up copy). The reconstructed BRF may have error if the MODIS geometries that the BRDF kernel coefficients are derived from do not adequately span the range of the OMI geometries. However, this is not the case for OMI and the MODIS instruments. Aura and Aqua fly in similar orbits with similar viewing geometries in the NASA "A-train" satellite constellation crossing the equator at around 1:30 pm local time. Use of Terra MODIS data for the BRDF

product increases the amount of cloud-free data that can be used to retrieve BRDF information. The MODIS instruments on Terra and Aqua observe the Earth three hours apart, at 10:30 am and 1:30 pm.

We added the following in the Section 2.1.

fiso, fvol and fgeo are the kernel weights (also called kernel coefficients or BRDF parameters) derived every 8 days by inverting the model against MODIS multi-angular observations (cloud-cleared, atmospherically corrected surface reflectances) collected for each location within a 16-day period. These kernel coefficients only depend on wavelength but not on illumination or observation angles, and have been provided globally in the MODIS gap-filled BRDF Collection 5 product MCD43GF (Schaaf et al., 2002, 2011).

The authors are surely aware of this, and discuss some of these differences (such as the higher probability that the larger OMI scenes have been influenced by residual clouds and aerosols), but provide too little information on others. Since the MODIS-based GLER is proposed as the preferred ancillary dataset for future NO₂ and O₂-O₂ cloud retrievals, we need to learn more about the (hopefully good) representativeness of the MODIS-based data for the OMI scenes. The MODIS atmosphere-corrected BRDF coefficients are crucial in this sense, and we need to obtain confidence in the GLER product. Yet the atmospheric correction for the MCD43 product is hardly discussed (only briefly on page 5). While some relevant papers are cited, it is unclear how the MCD43 product accounted for the presence of clouds, aerosols, and atmospheric pressure.

We agree with the reviewer that the representativeness of the MODIS-based data that we propose to use in OMI trace gas and cloud retrievals is an important concern, and therefore a discussion of the atmospheric correction is warranted.

1a. explain how the atmospheric correction was done

We have added this description of the atmospheric correction to section 2.2

The BRDF data in MCD43 is retrieved from surface reflectance data in the MODIS Collection 5 MOD09 product. The atmospheric correction is applied in the MOD09 product to cloud-free or partially cloud-contaminated pixels. The cloud mask also reduces thin cirrus cloud contamination (Vermote and Kotchenova, 2008). The correction removes the effects of gas and aerosol absorption, aerosol scattering, and corrects adjacency effects caused by variation of land cover, surface and

atmosphere coupling effects (Vermote et al., 2002, 2007, and 2008). The algorithm uses tables constructed with the 6SV (Second Simulation of a Satellite Signal in the Solar Spectrum Vector) radiative transfer code using key input parameters such as aerosol properties (aerosol optical thickness, size distribution, refractive indices and vertical distribution), atmospheric pressure, ozone amount and water vapor content. Holben et al. (1998), Remer et al. (2005), and Gao and Kaufman (2003) describe these input data. The atmospheric correction for MODIS band 3 used in this study has a theoretical error budget of about 0.005 reflectance units (Vermote et al., 2008). We note that the atmospheric correction neglects surface anisotropy and that Wang et al. (2010) and Franch et al. (2013) have found doing so can introduce a modest negative bias in the corrected surface reflectance product, but despite this, Roman et al. (2013) found MODIS BRDF/Albedo products met the absolute accuracy requirement of 0.02 for spring and summer months.

1b. how the correction and/or the MODIS data screening may have led to an ensemble of (MODIS) scenes that is generally 'darker' than the OMI scenes

It is possible that errors in the correction of MOD09 data could in part be responsible for the lower relative values the GLER derived from MODIS. But the combined impact of the uncertainties and systematic errors does not appear large enough to explain all of the difference between the MODIS-derived GLER and OMI LER. Residual cloud and background aerosol contamination in the OMI measurements that even in small amounts can increase retrieved LER are likely to contribute equally or more to the 'darker' character of the MODIS scenes. The methods of screening the OMI data we used in this study were fairly simple cut-offs of cloud fraction and UV aerosol index and unsurprisingly we found that our comparisons were sensitive to the choice of these thresholds. This study has highlighted the importance of taking into account residual aerosol and cloud effects in efforts to analyze "clear-sky" scenes. As part of future research, we will develop better methods to do this, or perhaps correct the OMI data for scene brightening effects.

2. Water model

For inland waters, ocean models are used, but the manuscript remains vague on how the water reflectance anisotropy is accounted for in the approach. The authors should provide a mathematical description of how the GLER is computed for ocean scenes. The Appendix A doesn't cut it, as only ancillary data used to

calculate the surface reflectance anisotropy rather than the actual formulas are given.

We had not provided a detailed mathematical description of the treatment of inland waters with the ocean model because our focus in this paper is solely on the evaluation of land GLER only. We will include the details of the water model in a following paper evaluating out GLER product for oceans, inland waters, and scenes with a mixture of water and land. We have revised section 2, adding subsection 2.1 to briefly describe the water BRDF model for the benefit of readers, and provide the reference to Vasilkov et al. (2017) which has more information. We also removed the former Appendix A to avoid confusion and keep the focus of this paper on the evaluation over land.

Specific comments

P3, L2-4: the point that surface anisotropy effects are more relevant in NIR than in the VIS was prominently made in Lorente et al. [AMT, 2018], and it would be appropriate to cite that paper here.

We agree about the relative influence of surface anisotropy in different spectral regions. In the Introduction (first paragraph of page 3), we added a brief discussion of this topic and reference Lorente et al. (2018). We do believe however that the effects of surface reflectance anisotropy on cloud and trace gas retrievals are non-negligible in the visible region since relatively small changes in surface reflectivity can affect cloud fraction and trace gas AMF.

We modified the first paragraph of page 3 as follows.

As a result, the surface anisotropy's impact on TOA radiance is strong at visible or longer wavelengths because the atmosphere is more transparent than in the UV where Rayleigh scattered light is more prominent and therefore smooths and reduces the surface BRDF effect at UV wavelengths. Obviously, the longer the wavelength, the stronger the effects, as shown in Lorente et al. (2018) when comparing surface anisotropy effects in the near-infrared (NIR) with that in the visible.

P5, L22-25: it is not clear why the authors include the phrase about the use of both morning and afternoon MODIS sensors, since this is not 'aan de orde' in the manuscript.

Since the MODIS observations on the morning Terra overpass and afternoon Aqua overpass cover the same location with different viewing geometries, the use of data from both satellites increases the number of high quality, cloud-free multi-angle measurements collected during the satellites' 16-day repeat cycle. This reduces the uncertainty and random noise of the retrieved BRDF kernel coefficients (Schaaf et al., 2011).

We modified the text in section 2.2 (last paragraph of page 6) as follows.

Since the morning overpass (Terra) and afternoon overpass (Aqua) view the same location with different sun and viewing geometries, use of data from both satellites would double the angular samples during the 16-day repeat cycle, thus increasing the number of high quality, cloud-free observations, and reducing the uncertainty and random noise amplification of kernel coefficients retrievals (Salomon et al., 2006; Schaaf et al., 2011).

P5, L26-34: can the authors be more quantitative here and state the quantitative findings from the albedo validation exercises? Any indications for the MODIS albedo being biased low or high? What were the "accuracy requirements" exactly?

We revised that part following the reviewer's suggestion. The "accuracy requirements" for albedo for all bands in MCD43 product is 0.02 in reflectance units or 10% of surface measured values (Jin et al., 2003; Roman, et al., 2013).

We added the following to the text in section 2.2 (first paragraph of page 7).

The absolute accuracy requirement for albedo for all bands in the MCD43 product is 0.02 in reflectance units or 10% of surface-measured values (Jin et al., 2003; Roman et al., 2013). Indeed, the majority of the extensive validation campaigns on different platforms across different landscapes and seasonal cycles have demonstrated that the MCD43 product meets this requirement. These include comparisons with ground-based or airborne measurements (e.g., Wang et al., 2004 in the Tibetan Plateau; Coddington et al., 2008 over Mexico city; Wang et al., 2012 in snow-covered tundra) as well as with space-borne data (e.g., Susaki et al., 2007 in paddy fields using Advanced Spaceborne Thermal Emission and Reflection Radiometer (ASTER) and Enhanced Thematic Mapper Plus (ETM+) data; Roman et al., 2013 with Landsat and the Cloud Absorption Radiometer (CAR) data; and

Wang et al., 2014 using ETM+). However, there are a few 10 cases where MODIS retrieved albedo are smaller than field measurements, e.g., a bias of -0.01 for the visible broadband albedo (0.3-0.7 μ m) over FLUXNET tower sites (Cescatti et al., 2012; Wang et al., 2010).

P7, suggest to move Figure 2 to the Supplement. I think the readers can trust the experienced NASA-team to do a proper job in re-gridding, and there is no new science in here.

Figure 2 is related to pixel water fraction ($1-f_L$), an important parameter for pixels with a mixture of land and water. f_L cannot be derived from OMI L1b data so we use the high-resolution, 30 arc-second static land-water mask map in MCD43 to estimate the fraction. Fig. 2 shows the need to use a high spatial resolution land/water mask to correctly estimate land/water fraction for OMI pixels on coasts and containing in-land waters. The current paper focuses only on evaluation of OMI scenes where $f_L = 1$ and this figure is here to help explain to users what this means.

We create a subsection and modified the original text as follows.

2.3 Pixel land areal fraction

The areal fraction of land (or water) for each OMI pixel is a critical parameter in TOA radiance calculation for pixels mixed with land and water (see Eq. 3). However, it cannot be estimated from OMI L1b pixel surface category flags because these binary flags do not provide information on mixed pixels. Therefore, a binary land/water classification method is developed to estimate pixel land fraction using the high-resolution, 30 arc second, static land-water mask map provided in MCD43.

First, we convert the eight surface categories from MCD43 into a binary land-water flag, merging all shorelines and ephemeral water at the MODIS spatial resolution into the land class and classifying all other water sub-categories as water. The areal fraction of land (or water) for each OMI pixel is then computed from the counts of land and water points within the OMI FOV. Typical results are shown in Figure 2.

P8, L6: please clarify what “day-1 solar irradiance spectrum” refers to. Is it the irradiance spectrum measured on 1 October 2004?

For this study, no solar irradiance is needed for GLER calculation. However, OMI LER is retrieved from OMI collection 3 data at 466 nm after normalizing the OMI radiances to one day-1 solar irradiance spectrum measured on 21 October 2004 and has been corrected to account for Earth-Sun distance.

We modified the 2nd paragraph of section 2.4 as follows:

Specifically, we use LER retrieved from TOA radiances at 466 nm that are computed by normalizing the OMI radiances to the OMI day-1 solar irradiance spectrum measured on 21 December 2004 along with a correction for the Earth-Sun distance when calculating OMI-derived LER.

P9, Figure 3 also appears redundant. I don't see why these (quite common) re-gridding approaches should be discussed in detail. The figure looks to me as a mere illustration of the approach described in Haines et al. [1994], so I'm afraid nothing's new here.

We understand the reviewer's point, however since reviewer #2 asked questions about our pixel gridding method in their comments, we answered them and moved the figure in question to Appendix A1 along with the relevant text.

P10, L10-12: it is unclear how application of a pseudo-spherical geometry calculation can lead to a "sphericity correction for both incoming and outgoing viewing directions". Please discuss this in more detail. How does the supposed spherical correction relate to the pseudo-spherical correction only?

We agree that some clarification is required here. For VLIDORT calculations presented in this paper, the default is to use the pseudo-spherical correction, that is to say, for multiple and single scattering calculations, solar beam attenuation (before scattering) is derived for a spherical non-refractive atmosphere. In VLIDORT, multiple scatter calculations are done for a plane-parallel medium. However, single-scattering computations are done separately, and in addition to treating solar beam attenuation in a curved atmosphere, it is also possible to treat viewing-path attenuation in a spherical atmosphere. This is what is meant by "sphericity correction for both incoming and outgoing viewing directions" - something that only applies to the single scatter computations.

We have revised the first paragraph in 2.6 GLER computation regarding use of sphericity correction as follows:

Given all necessary input parameters, TOA radiances (I_{comp}) are computed with the Vector Linearized Discrete Ordinate Radiative Transfer (VLIDORT) model. VLIDORT is a vector multiple scattering radiative transfer model that can simulate Stokes 4-vectors at any level in the atmosphere and for any scattering geometry with a Lambertian or non-Lambertian underlying surface (Spurr, 2006). In this study, VLIDORT computations are carried out using the pseudo-spherical correction, i.e. for both multiple and single scattering calculations, solar beam attenuation (before scattering) is treated for a spherical non-refractive atmosphere. Multiple scatter calculations are done for a plane-parallel medium. However, in the single scattering treatment, both solar-beam and line-of-sight attenuations are computed for a spherical-shell atmosphere. These "sphericity corrections" are necessary to obtain the most accurate results for geometrical configurations with large solar zenith angles, and also for wide-angle viewing scenarios. VLIDORT is executed in vector mode for our calculations, since neglect of polarization can lead to considerable errors for modeling backscattered spectra in the UV/Vis wavelength range.

P10, L19: in Eq. (2) and from the text below it is not immediately clear that I_{comp} refers to the VLIDORT-simulated TOA radiance levels based on simulations with a pure Rayleigh atmosphere and the capacity of the model to account for the surface BRDF. Then, R can only be found if the model can simulate I_0 , T , and S_b , something that VLIDORT surely can, but is not becoming clear from the text.

We agree and have made the following changes to the text, before Eq.(2):

We simulate clear sky TOA radiance (I_{comp}) over a non-Lambertian surface by coupling VLIDORT with the MODIS kernel-driven BRDF function (Eq. 1) from the group of analytical BRDF models available in the VLIDORT BRDF supplement to account for the surface BRDF effect on TOA radiance over land surfaces.

We also inserted the following sentence after Eq.(2):

We also computed I_0 , T and S_b with VLIDORT by calculating TOA radiances for three values of R , and then solving three linear equations in the form of Eq. 2 to derive the three terms.

P11, L26-27: it would be appropriate to cite papers here that made the point that cloud fraction retrievals actually provide 'effective cloud' fraction information

that accounts for aerosol effects, e.g. Boersma et al. [2011], and for higher scattering in the forward direction of cloud particles, e.g. Lorente et al. [2018].

We acknowledge the reviewer's point that cloud fraction retrievals actually provide 'effective cloud' fraction information that accounts for aerosol effects, and added the references as suggested.

P11, L31: suggest to clarify that "this equation" refers to Eq. (2).

We made this change as the reviewer suggested.

P13, L1-5: can you provide a quantitative statement on how much OMI LER is typically higher than GLER? From the intercepts one would say the difference is 0.01, but possibly the mean or median difference is a more meaningful metric. I would also encourage if the authors could report whether there is a pattern in how the LER-GLER differences change between different regions/surface types.

We have added Table 3 showing the mean difference between GLER and OMI-derived LER along with the r^2 values for the regions analyzed in the paper. We believe this more effectively and efficiently conveys the information we presented previously in multiple scatter plots. We note that due to relaxation of our cloud screening criteria, as described in the appendix, the mean differences between are $\sim 0.01-0.02$. The median differences are close to the mean (at most 0.001-0.002 median/mean difference for data shown in Table 3). However, we have found that the mode of the difference is smaller than the mean (up to 0.008) and may be more representative of the true bias, as shown in the appendix. Because we separate our analysis by season, we have seasons with little data, making the mode difficult to calculate. For simplicity we elect to report the mean values in the new Table 3. We note that the mode is smaller than the mean, so we are reporting the larger of the two possible estimations of the bias.

P15, L12-14: the hypothesis that localized floodplains darken after rain resulting in a signal detected by OMI LER (daily data), but not by GLER (MODIS-based 8-day data) needs to be substantiated. It sounds possible, but there is no basis for this statement from a result shown.

We examined MODIS data for outliers where GLER is significantly higher than the OMI-derived LER and found several examples associated with two ephemeral lakes which only retain water for very short periods: Salar de Uyuni in southwest Bolivia and Lake Frome in South Australia. Since MCD43GF is derived by fitting

MODIS observations within a 16-day period, rapid changes due to flooding of these surfaces is not well captured. We made the following changes in the text:

These data are from the Salar de Uyuni salt lake in southwest Bolivia and Lake Frome in South Australia, which only flood during heavy rain events. These basins typically retain water for short periods of time and likely would not be captured in the 16 day MODIS BRDF data (Schaaf et al. 2011).

P16, L5-7: it is possible that OMI data is indeed affected by residual clouds or aerosols leading to higher reflectances. But it is also possible that the MODIS-based data have been overcorrected for atmospheric effects. As long as no evidence is presented to obtain confidence in the validity of the atmospheric correction (and data screening) applied to the MDC43 suite, we cannot know if it's one or the other, see my main concern.

As discussed in response to point 1a above, we examined the literature on the MODIS atmospheric correction algorithm applied in the MOD09 product that is upstream of MCD43. The MODIS atmospheric correction algorithm has been evaluated extensively and we found no evidence of errors large enough to make the correction or screening the primary source of the bias. This does not rule out the possibility that some portion of the bias is due data screening or atmospheric correction, but we think residual clouds or aerosols, and perhaps a contribution from calibration bias, are more likely causes.

P19, L8-10: please explain how using the GLER reduces the tropospheric AMF. Is it via the increased cloud fractions (more screening), or the lower clear-sky AMFs because of the darker surface, or both?

Via both, because both clear-sky and cloudy AMFs decrease as surface reflectivity decreases. That means the clear-sky tropospheric AMF would be smaller when GLER is smaller than the climatological LER data, as we generally find is the case for the Kleipool climatology (see Vasilkov et al., 2017).

P19, L20: please clarify how differences in calibration could explain the bias of 0.01 between OMI LER and GLER. Is there any reason to believe that OMI is calibrated such that it detects too low, or MODIS too high reflectances? Have level-1 data been compared in the first place?

This is an important issue, and we are grateful to both reviewers for drawing attention to it. We have added information on the uncertainties of the MODIS

instruments to section 2.2.

The calibration uncertainty for MODIS band 3 is within 2% (Xiong et al., 2005). The MODIS Aqua solar reflective bands including band 3 were corrected for a time-dependent drift in Collection 5 (Wu et al., 2013) but errors in MODIS Terra of up to 5% across the scan developed approximately 5 years after launch and this error was not sufficiently corrected in Collection 5 (Sun et al., 2014; Lyapustin et al., 2014).

We also added information on what is known of the relative calibration of the two instruments, and the effect of calibration error is on GLER and LER differences in new paragraphs in the section 4 (Discussion).

In addition to background non-absorbing aerosol and/or residual cloud contamination, it is important to consider that the GLER-LER bias may be due in part to differences in the MODIS and OMI radiance calibration. Sensitivity analysis of Eq. 2 used to compute LER and GLER shows that a 1% error in TOA radiances will produce errors in LER of up to 0.003 in surface reflectivity. A bias of 0.01 between GLER and LER requires a difference in MODIS and OMI TOA radiance of at least 3% for brighter land scenes ($LER \geq 0.2$), and differences of 10% for darker land scenes ($LER \leq 0.05$). MODIS TOA radiances would thus have to be 3-6% low relative to OMI to explain the bias seen in GLER-LER for bright scenes, and 10-20% low for dark scenes.

Jaross and Warner (2008) compared TOA reflectances from OMI and MODIS with radiative transfer model simulations over Antarctica, accounting for the BRDF of the snow surface. By indirect comparison, OMI Collection 3 and MODIS Collection 5 agreed to within 1% at the start of the OMI mission. They estimated the uncertainty of their technique is 2%. This level of disagreement is smaller than needed to explain all of the 0.01-0.02 bias of GLER over dark scenes. We therefore conclude that only some of the bias can be attributed to calibration differences. Additional information about the relative calibration of OMI and MODIS is provided in Appendix D.

Relative sensor drift is also a concern in comparing the GLER product using the MODIS calibration with LER from OMI. Aqua MODIS appears to be well corrected in Collection 5 but the MCD43 product also uses data from the Terra instrument, which has degraded appreciably over the lifetime of the mission. However, we

find no evidence of time dependent change in Collection 5 MODIS BRDF data. We suspect the time-dependent and scan angle-dependent error in the Collection 5 MODIS Terra calibration data have somehow been avoided. Since OMI drift has not been fully corrected, and the MODIS drift has been removed (or avoided in the case of Terra, apparently) the slight decrease of OMI LER relative to GLER between 2006 and 2015 in figure 8 may be due to the 1-1.5% calibration drift in OMI radiances.

We have also added Appendix D, Relative calibration of OMI and MODIS, to provide additional information about the relative calibration of the level 1b data from the two instruments used in this study.