Characterization of a commercial lower-cost medium precision NDIR sensor for atmospheric CO₂
 monitoring in urban areas.

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- 12 Abstract:
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CO₂ emission estimates from urban areas can be obtained with a network of in-situ instruments 14 measuring atmospheric CO₂ combined with high-resolution (inverse) transport modeling. Because 15 16 the distribution of CO₂ emissions is highly heterogeneous in space and variable in time in urban 17 areas, gradients of atmospheric CO_2 (here, dry air mole fractions) need to be measured by numerous instruments placed at multiple locations around and possibly within these urban areas. 18 19 This calls for the development of lower-cost medium precision sensors to allow a deployment at required densities. Medium precision is here set to be a random error (uncertainty) on hourly 20 measurements of ±1 ppm or less, a precision requirement based on previous studies of network 21 design in urban areas. Here we present tests of newly developed NDIR sensors manufactured by 22 Senseair AB performed in the laboratory and at actual field stations, the latter for CO₂ dry air mole 23 24 fractions in the Paris area. The lower-cost medium precision sensors are shown to be sensitive to 25 atmospheric pressure and temperature conditions. The sensors respond linearly to CO₂ when 26 measuring calibration tanks, but the regression slope between measured and assigned CO₂ differs between individual sensors and changes with time. In addition to pressure and temperature 27 variations, humidity impacts the measurement of CO₂, all of these factors resulting in systematic 28 29 errors. In the field, an empirical calibration strategy is proposed based on parallel measurements 30 with the lower-cost medium precision sensors and a high-precision instrument cavity ring-down 31 instrument during 6 months. The empirical calibration method consists of using a multivariable regression approach, based on predictors of air temperature, pressure and humidity. This error 32 model shows good performances to explain the observed drifts of the lower-cost medium precision 33 sensors on time scales of up to 1-2 months when trained against 1-2 weeks of high-precision 34 35 instrument time series. Residual errors are contained within the ±1 ppm target, showing the feasibility to use networks of HPP3 instruments for urban CO₂ networks. Provided that they could 36 37 be regularly calibrated against one anchor reference high-precision instrument these sensors could thus collect the CO_2 (dry air) mole fraction data required as for top-down CO_2 flux estimates. 38

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40 **1. Introduction**

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Urban areas cover only a small portion (< 3 %) of the land surface but account for about 70% of fossil fuel CO₂ emissions (Liu et al. 2014, Seto et al. 2014). Uncertainties of fossil fuel CO₂ emissions from inventories based on statistics of fuel amounts and/or energy consumption are on the order of 5% for OECD countries and up to 20% in other countries (Andres et al. 2014) but they are larger in the case of cities (Breon et al. 2015, Wu et al. 2016). Further, in many cities of the world, there are no emission inventories available. The need for more reliable information on emissions and emission trends has prompted research projects seeking to provide estimates of

GHG budget cities, power plants and industrial sites. These are often based on in situ 49 50 measurements made at surface stations (Staufer et al. 2016, Lauvaux et al. 2016, Verhulstet al. 2017), aircraft campaigns around emitting locations (Mays et al. 2009, Cambaliza et al. 2014) and 51 satellite imagery (Broquet et al. 2017, Nassar et al. 2017). Although sampling strategies and 52 measurement accuracies differ between these approaches, the commonly used principle is to 53 54 measure atmospheric CO₂ dry air mole fraction gradients at stations between the upwind and 55 downwind vicinity of an emitting area and infer the emissions that are consistent with those CO₂ gradients and their uncertainties, using an atmospheric transport model. This approach is known 56 57 as atmospheric CO₂ inversion or as a "top-down" estimate. Inversion studies from Paris, France attempting to constrain CO₂ emissions from measurements 58

of CO_2 dry air mole fractions at stations located around the city along the dominant wind direction, have pointed out that the fast mixing by the atmosphere and the complex structure of urban CO_2 emissions requires high resolution atmospheric transport models, and continuous measurements of the atmosphere to select gradients induced by emission plumes (Broquet et al. 2015, Wu et al. 2016) that can be captured at the scale of the model.

With the existing three stations, the CO₂ emissions from the Paris megacity could be retrieved 64 with an accuracy of ≈20% on monthly budgets (Staufer et al. 2016). A denser network of stations 65 would help to obtain more information on the spatial details of CO₂ emissions. A network design 66 study by Wu et al. 2016 for the retrieval of CO₂ emissions per sector for the Paris Megacity has 67 68 shown that with 10 stations measuring CO_2 with 1 ppm accuracy on hourly time-steps, the error of the annual emission budget could be reduced down to a 10% uncertainty. Wu et al. 2016 69 furthermore found that for a more detailed separation of emissions into different sectors, more 70 71 stations were needed, on the order of 70 stations to be able to separate road transport from residential CO₂ emissions. This inversion based on pseudo-data allowed estimating total CO₂ 72 emissions with a better accuracy than 10% and emissions of most major source sectors (building, 73 74 road energy) with an accuracy better than 20%. Another urban network design study over the San 75 Francisco Bay area reached a similar conclusion, i.e. that in-situ CO₂ measurements from 34 stations with 1 ppm accuracy at an hourly resolution could estimate weekly CO₂ emissions from 76 the city area with less than 5 % error (Turner et al. 2016). 77

In the studies from Wu et al. 2016 and Turner et al. 2016, the additional number of atmospheric CO₂ measurement stations rather than the individual accuracy of each measurement helped to constrain emissions, provided that CO_2 observation errors have random errors of less than 1 ppm on hourly measurements, uncorrelated in time and in space between stations. Therefore, we will adopt here a 1 ppm uncertainty on hourly CO_2 data as the target performance for new urban lower-

cost medium precision CO₂ sensors. 83 Today, the continuous CO₂ gas analyzers used for continental scale observing systems like ICOS 84 85 (https://www.icos-ri.eu/), NOAA (https://www.esrl.noaa.gov/gmd/) or FCCC (https://www.canada.ca/en/environment-climate-change.html) follow the WMO/GAW guidelines 86 87 and are at least ten times more precise than our target of 1 ppm, but are also quite expensive. For urban inversion-based flux estimates for Paris, Wu et al. 2016 found that the number of 88 89 instruments is more important than their individual precision. Furthermore, Turner et al. (2016) reported that weekly urban CO₂ fluxes in the Bay Area (California, USA) can be estimated at a 90 precision of 5% when deploying a dense network of sensors (ca. every 2km) with an assumed 91 92 mismatch error of 1ppm. This underlines that significant expansion of urban networks is desirable 93 and could be achieved at an acceptable cost if low-cost sensors could be produced with the specifications of 1 ppm random error (i.e. bias free long-term repeatability). 94

95 Recently, inexpensive sensors, measuring trace gases, particulate matter, as well as traditional 96 meteorological variables, using various technologies and accuracy have become commercially 97 available. Evaluation and implementation of these sensors is quite promising (Eugster and Kling 98 2012, Holstius et al. 2014, Piedrahita et al. 2014, Young et al. 2014, Wang et al. 2015). With the 99 advent of low cost mid-IR light sources and detectors, different non-dispersive infrared (NDIR) 100 CO_2 sensors have become commercially available and were tested for their suitability for CO_2 101 monitoring (e.g. Martin et al. 2017, Kunz et al. 2017) or for CO_2 in combination with air pollutants 102 (e.g. Shusterman et al. 2016, Zimmerman et al. 2018).

In this study, we present the development and stability tests of a low-cost sensor (HPP3, SenseAir 103 104 AB, Sweden) to measure the mole fraction of CO_2 of ambient air (Hummelgard et al. 2015). 105 Throughout the manuscript we will use $\{CO_2\}$ to signify the mole fraction and/or dry air mole fraction of CO₂ in air. To improve performance and eventually derive dry air mole fractions, 106 additional parameters are measured in ambient air and the sensor is integrated into a platform, 107 which we will refer to as instrument. Then, the instrument linearity is evaluated against a suite of 108 CO_2 reference gases with CO_2 dry air mole fractions from 330 to 1000 ppm. The instrument's 109 sensitivities to ambient air temperature, pressure and water vapor content are assessed in 110 laboratory experiments and climate chambers tests. The calibrated low-cost medium precision 111 112 (LCMP) instruments are then compared to highly precise CRDS instruments (G2401, Picarro Inc. Santa Clara, USA). 113

Lastly, we present the time series of ambient air CO_2 measurements in the Paris region. The time series are compared to measurements by co-located cavity ring down spectroscopy (CRDS) analyzers, and an empirical corrections and calibration scheme to the HPP3-based instrument are proposed based on measured CO_2 dry mole air fractions and meteorological variables. These

- 118 corrections and calibrations are established during a period of 1 or 2 weeks are used to estimate 119 the drift of the HPP3-instrument on time scales of up to a month and a half.
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121 **2. Sensor integration**

122123 **2.1. HPP3 sensor**

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The HPP (High-Performance Platform) NDIR (Non-Dispersive InfraRed) CO₂ sensor from 125 SenseAir AB (Delsbo, Sweden) is a commercially available lower-cost system (Hummelgard et al. 126 2015). The main components of this sensor are an infrared source (lamp), a sample chamber (ca. 127 128 1 m optical path length), a light filter and an infrared detector. The gas in the sample chamber causes absorption of specific wavelengths (Hummelgard et al. 2015) according to the Beer-129 Lambert law, and the attenuation of light at these wavelengths is measured by a detector to 130 determine the gas mole fraction. The detector has an optical filter in front of it that eliminates all 131 light except the wavelength that the selected gas molecules can absorb. The HPP has a factory 132 pre-calibrated CO₂ measurement range of 0 to 1000 ppm. The HPP sensor itself uses ca. 0.6 W 133 and requires an operating voltage of 12 V direct currentand has a life expectancy superior to 15 134 135 vears according to the manufacturer.

Three generation of HPP sensors were built by SenseAir AB (Delsbo, Sweden). In this manuscript we only report on the tests carried out on the latest generation (HPP3) being the most performant among the HPP sensors family. Previous HPP generations were used for more short-term airborne measurements, for example in the COCAP system (Kunz et al. 2017) and were found to have an accuracy of 1-1.2 ppm during short-term mobile campaigns. A number of technical improvements have been made for the new HPP3 generation described here:

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- Simple interface through USB connection and the development of a new software made data transfer easier, quicker and more efficient
- Improved temperature stability due to 6 independent heaters dispatched inside the unit.
 - To reduce long-term drift the sensor is equipped with new electronics and the IR sources were preconditioned prior to shipment.
- The improved second version of HPP3 (HPP3.2) sensors was equipped with a pressure sensor (LPS331AP ST Microelectronics, Switzerland) to allow real-time corrections. The high resolution mode of the LPS331AP has a pressure range of 263 to 1277 hPa and a

Root Mean Square (RMS) of 0.02 hPa can be achieved with a low power consumption (i.e. 150 151 30 µA).

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The impact of leaks on the measurements are reduced since the third generation sensor works in a high pressure mode. A pump is thus needed upstream of the sensor inlet in 153 order to create a high pressure in the measurement cell. 154

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Different sensors from two versions of HPP were tested and used in this study, that is, three 156 sensors from a first version (HPP3.1) named S1.1, S1.2 and S1.3, and three others from the 157 second version (HPP3.2) named S2.1, S2.2 and S2.3. For the HPP3.1 sensors, an internal 158 159 pressure compensation does not exist, but the HPP3.2 series includes a pressure sensor together 160 with a compensation algorithm, which normalizes measured CO₂ dry air mole fractions according 161 to ambient pressure (Gaynullin et al. 2016).

2.2. Portable integrated instrument 163

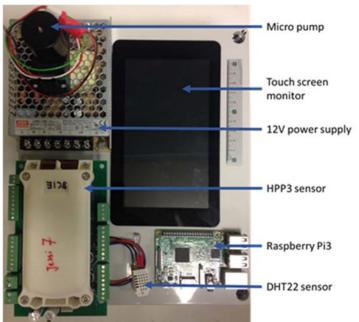
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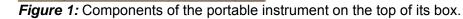
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The HPP3 sensors were integrated into a custom-built portable unit, which we will refer to as 165 166 instrument. This instrument should be suitable to perform in-situ CO₂ measurements on ambient air. The instrument is composed of the HPP3 CO₂ sensor, temperature (T) and relative humidity 167 (RH) sensors. To be able to continuously flush the measurement cell a diaphragm micro pump 168 with a built-in potentiometer (GardnerDenverThomas, USA, Model 1410VD/1.5/E/BLDC/12V) was 169 170 added upstream of the HPP3's optical cell. Temperature and RH were measured at the exterior of the optical cell were gas is released into the surrounding enclosure. For these humidity and 171 temperature, a DHT22 sensor kit (Adafruit, USA) was added and connected through an I2C 172 interface. The accuracy of the sensor is ±2-5% RH and ±0.5°C. Its range is 0-100 %RH and -40 173 to +80°C, respectively. The response time for all sensors was less than one minute (which is the 174 time-step to which data was integrated). 175

176 A Raspberry Pi3 (RPi3) (Raspberry Pi Foundation, 2015) is used to collect the data of all sensors. 177 The RPi3 is a small (85x56 mm²) single-bard computer running Rasbian OS, an open-source GNU/Linux distribution. The HPP3 sensors were connected via USB.A 7" touch screen monitor is 178 179 connected via an adapter board which handles power and signal conversion. The package is powered by a switching power supply providing 12V, but can also be run on a 12V battery pack. 180

181 An image of the components of the portable instrument package is available in Figure 1.





184185 3. Methods

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NDIR sensors are sensitive to IR light absorption by CO₂ molecules in the air contained in their 187 188 optical cell, but the retrieval of CO_2 dry air mole fraction to the desired uncertainty of 1 ppm is made difficult by sensitivities to temperature, pressure and humidity. Therefore, these parameters 189 should be controlled as much as possible, and their sensitivities characterized, to correct and 190 191 calibrate reported {CO₂}. A series of tests were carried out to characterize the HPP3.1 (S1.1, S1.2, S1.3) and HPP3.2 (S2.1, S2.2, S2.3) performances and sensitivities to {CO₂}, T, p and RH. Firstly, 192 temperature, pressure and $\{CO_2\}$ sensitivities were determined in laboratory experiments. Then, 193 field measurements were conducted with an accurate CRDS instrument (Picarro, USA, G2401) 194 measuring the same air as the HPP3 sensors. The CRDS short-term repeatability is estimated to 195 be below 0.02 ppm and the long-term repeatability to be below 0.03 ppm (Yver-Kwok et al. 2015). 196 Table 1 summarizes all laboratory tests and field test measurements, which are presented in this 197 198 section. 199

Name	Purpose	Location	Air measured	Parameter	Range of T (°C), and p (hPa)	Range of [CO2] in ambient air (ppm)	Range of [CO2] in Cal. Cylinders (ppm)	Duration (days)	Sensors tested
PT1	Correlatio n between [CO2] and P,T	Laboratory (Saclay)	Calibration cylinders	Т, р	16 to 32 and 968.7 to 1038.6	N/A	420 to 450	3	S1.1, S1.2, S1.3
PT2	Correlatio n between [CO2] and P,T	PIT climate chamber (Guyancourt)	Calibration cylinders	Т, р	-2 to 35 and 759.9 to 1013.3	N/A	420 to 450	5	S2.1, S2,2, S2.3
DA1	Test calibration frequency	Laboratory (Saclay)	Calibration cylinders and	T, p, RH, {CO2} from CRDS	24 to 31 and	417 to 575	330 to 1000	48	S1.1, S1.2, S1.3

			dried ambient air		1009.2 to 1023.4				
WA2-1	Test calibration frequency	Field station (Saclay)	Ambient air	T, p, RH, {CO2} from CRDS	25 to 27 and 1012.2 to 1021.4	389 to 508	N/A	45	S2.2, S2.3
WA2-2	Test calibration frequency	Field station (Jussieu)	Ambient air	T, p, RH, {CO2} from CRDS	29 to 31 and 0.993 to 1034.5	393 to 521	N/A	60	S2.1

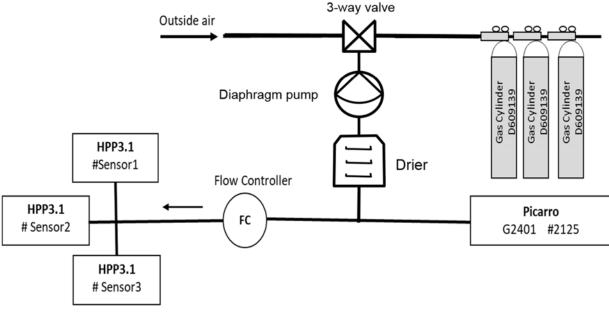
200 **Table 1:** Summary of all laboratory tests.

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202 3.1. Laboratory tests

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All laboratory tests used the same fundamental setup shown in Figure 2 with only slight modifications. A diaphragm pump (KNF Lab, Germany, Model N86KN.18) was used to pump air from either an ambient air line or calibration cylinders to a Nafion dryer (PermaPure, USA, MD-070 series) was used to eliminate H_2O traces in the gas line. A flow controller (Bronkhorst, France, El-Flow series) was used to regulate the airflow distributed with a manifold to the HPP3 instruments at 500 mL min⁻¹ to ensure stable experimental conditions while a CRDS instrument could also be connected through a gas split to measure the same air.





²¹³ Figure 2: Test setup

3.1.1. Sensitivity to temperature and pressure variations

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To assess the linearity of the response of each sensor to {CO₂} for different pressure and temperature conditions, two series of temperature and pressure sensitivity tests (PT1, PT2) were realized in a closed chamber with controlled T and p for the HPP3.1 and HPP3.2 sensors No drier was necessary as dried air from high-pressure cylinders was used. The CRDS instrument (Picarro, G2401, serial number 2125) was not connected during these tests

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In test PT1 (table1), three HPP3.1 sensors were put in a simple plastic chamber and exposed to pressure changes ranging from 977.8 to 1038.6 hPa, and temperature ranges of 16 to 32 °C, while measuring gas from a calibration cylinder. Pressure and temperature were measured by a highprecision pressure sensor (Keller, Germany, Series 33x, 0.2hPa and 0.05K precision).

In test PT2, to test wider ranges for pressure and temperature, that might be experienced during 226 227 field measurements, three HPP3.2 sensors were placed inside a dedicated temperatures and pressure chamber at the Plateforme d'Integration et de Tests (PIT) at OVSQ Guyancourt, France 228 229 where a much larger range of T and p variations could be applied. During each T, p test, four calibration cylinders with dry air CO₂ dry air mole fractions from 420 to 450 ppm were measured 230 by all the HPP3.2 sensors for a period of approximately 120 hours for each cylinder. In the PIT 231 232 chamber, temperature was varied from -2 to 35 °C with a constant rate of change of 1 °C/h keeping pressure constant at a value of 1013.25 hPa. During pressure tests the chamber pressure was 233 234 varied from 1013.25 to 759.94 hPa with a decrement of 50.66 hPa, being regulated with a primary pump, with temperature fixed at 15°C. 235

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237 **3.1.2.** Correction and calibration of CO₂ measurements for dry and wet air

These experiments were performed to evaluate the response of HPP3 sensors to {CO₂} changes in ambient air. Corrections were established to allow compensating for unintended instrument behavior and sensitivities, while calibrations are applied to translate the instruments readings to the WMO/GAW CO₂ scale (here, XCO₂ 2007). Both steps are combined into one procedure. Two modes of operation for the HPP3 sensors have been tested i.e. using a dried or an undried gas stream, as those are two common modes of operation in greenhouse gas measurements in different local, regional and global networks (GAW report 242).

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247 **Dry air experiments**

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Water vapor is known to interfere with CO_2 measurements, in particular for NDIR sensors. It is thus important to determine the response of the sensors to { CO_2 } under the best possible conditions, that is, dry air. The experimental setup shown in Figure 2 was used. In test DA1 (Table 2) different HPP3 sensors were flushed with the same dry ambient air, passed through a Nafion dryer. CRDS measurement were used to monitor and confirm that H₂O was reduced to trace amounts, i.e. 0.05 ±0.05 % H₂O. HPP3.1 sensors S1.1, S1.2, S1.3 were tested extensively during 45 days, and HPP3.2 sensors S2.1, S2.2 were tested during 12 days.

Additionally, for a period of 45 days during spring 2016, S1.1, S1.2 and S1.3 measurements of 256 dry ambient air in parallel with a co-deployed CRDS instrument (Picarro, USA, G2401) were 257 conducted at the Saclay field site (see section 3.3.1) There ambient air was pumped from a 258 259 sampling line fixed on the roof of the building (ca. 4 m above ground level) to flush the setup 260 described in Fig. 2. Four dry-air calibration cylinders (330 ppm, 375 ppm, 445 ppm and 1000 ppm of {CO₂}) were measured once every 13 hours they were sampled successively each for 30 261 minutes (Figure 3). As the HPP3 responses can be slow and in order to remove memory effects, 262 only the last 15 minutes of each measurement period were used. 263

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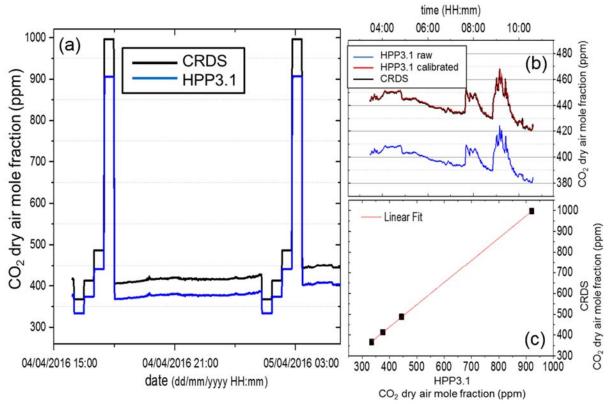
265 **Undried (wet) air experiments**

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As drying is impractical for some applications, we also measured the HPP3's sensitivities to water vapor in undried ambient air and calibration cylinders. If these sensitivities were stable over time, they could be used to correct reported $\{CO_2\}$ for the H₂O interference. For WA2-1 and WA2-2

tests, the Nafion dryer was removed from the setup. The only modification of the experiment was

the removal of the Nafion drier.



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Figure 3: (a) CO_2 dry air mole fractions measured by S1.1 (blue) and the Picarro CRDS analyzer (black). (b) Calibrated dry air mole fractions of S1.1 (red) compared to the raw values (blue). (c)Four reference gases (assigned values are 367 ppm, 413 ppm, 487 ppm and 997 ppm of {CO₂}), are used for the calibration. No saturation effects are observed within our CO₂ dry air mole fraction range.

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3.2. Instrument correction and calibration procedure

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In order to correct the reported $\{CO_2\}$ we have to define a function that allows to correct for unintended instrument sensitivities i.e. to p, T, H₂O as well as to correct $\{CO_2\}$ measurements to an official scale should they show any offset or non-linear behavior.

285 Linearity of instrument response

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For dry air measurements in test DA1, a linear calibration curve was found to be appropriate. panel C of Figure 3 shows that the response of the HPP3 instruments to CO_2 dry air mole fraction is linear ($R^2 = 0.95$) from 330 to 1000 ppm. No saturation effects are observed within this CO_2 dry air mole fraction range since residuals are included in the ±1 ppm range. Therefore, a linear response to { CO_2 } is assumed further on.

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293 Multivariable correction and calibration

Due to the high correlation of air temperature and water vapor content, which were both found to be linear (see section 4), we suggest a multivariable regression method, which includes pressure, temperature and humidity. Indeed, if variables are corrected one at a time, an overcorrection of one of the correlated variables may occur.

Multivariable regression is a generalization of linear regression by considering more than one variable. We used a multivariable linear regression of the form:

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301 $\{CO_2\}_{calibrated, corrected} = b + a_{CO2}\{CO_2\}_{HPP3} + a_pp + a_TT + a_wW + a_dd$ (1)

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 $\{CO_2\}_{calibrated, corrected}$ corresponds to the measured $\{CO_2\}$ by the reference instrument (CRDS) calibrated on the WMO CO₂ X2007 scale. *C* is the $\{CO_2\}_{HPP3}$ reported by the HPP3 instrument, with additional factors to capture the influence of the pressure *p*, the temperature *T*, the water mixing ratio *W* (as calculated from our *T*, *p* and *RH* measurements), the baseline drift *d* and a baseline offset *b*. All instrument specific coefficients for the multivariable linear regression are determined using measurements of the parameters during several days.

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310 3.3. Field tests with urban air measurements

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To assess their real-world performance, we conducted additional tests for the HPP3 sensors 312 measuring ambient air at two field sites under typical conditions for urban air monitoring. After the 313 sensors were fully integrated into instruments as described in section 2.2. Three HPP3.1 314 315 instruments (S1.1, S1.2, S1.3) and two HPP3.2 instruments (S2.2, S2.3) were installed Saclay field site (48.7120N, 2.1462E) to measure ambient air on top of the building roof. Saclay is located 316 20 kilometers south of the center of Paris in a low-urbanized area. In addition, one HPP3.2 317 instrument (S2.1) was installed to measure air at the Jussie field site on the Jussieu University 318 319 campus in the center of Paris (48.8464N, 2.3566E).

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3.3.1. Saclay field Site tests

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323 The sampling line, a 5 meter Dekabon tube with an inner tube diameter of 0.6 cm, was fixed on the rooftop of the building at about 4 meters above the ground, which was connected to a setup 324 325 that was a copy of the laboratory tests. However, up to five HPP3 instruments were connected and a pump of the same built as in the previous experiments was used to regulate the air-flow 326 distributed with a manifold to the HPP3 instruments at 500 mL min⁻¹ to ensure stable experimental 327 conditions. The field site is equipped with a cooling/heating unit that was turned off most of the 328 time so that room temperature varied between 24 and 31 °C.During the test of HPP3.2 were 329 330 tested for 45 days. Four reference gas cylinders (330ppm, 375ppm, 445ppm and 1000 ppm of CO₂) were used and each HPP3 was flushed every 12 hours for 30 minutes per cylinder during 331 the dry air experiment. No calibration cylinders were used during the undried air experiment, since 332 333 the calibration was based on the co-located high precision measurement with the CRDS analyzer. 334 The mean dry air mole fraction of ambient CO₂ was 420 ppm and varied between 388 ppm and 575 ppm during dry air experiments and a mean of 409 ppm and variations between 389 ppm and 335 509 ppm were found during the undried air experiments. 336

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338 3.3.2. Jussieu field site tests

The measurements were conducted at the OCAPI (Observatoire de la Composition de l'Air de Paris a l'IPSL) field station. The measurements from the HPP3.2 instrument (S2.1) in Jussieu were compared with those of a co-located CRDS analyzer (Picarro, USA, G2401). Two independent sampling lines (about 5 meter Dekabon tube with an inner tube of 0.6 cm) were used for the CRDS and the S2.1 instrument. The air-flow into S2.1 was regulated by the micro pump (see section 2.2) and set to 500 mL min⁻¹ using a potentiometer. At this location neither calibration cylinders nor a drying system were deployed for S2.1, but used to calibrate the CRDS. The measurement period was 60 days and the mean ambient CO₂ dry air mole fraction was 410 ppm and minute averages varied between 393 ppm and 521 ppm. Room temperature varied between 28 and 31 °C during the observation period.

- 349
- 350 **4. Results**
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4.1. Sensitivity to temperature and pressure variations using dried air

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4 4.1.1. HPP3.1 instruments tested in the simple chamber (PT1)

Linear relationships are observed between reported CO_2 dry air mole fractions and p, T (R² =0.99 with p and R² = 0.92 with T) in the simple chamber (see Figure 4 and 5). Due to the limitation of experimental conditions in these simple plastic chambers, only a narrow pressure range of 977.78 to 1033.52hPa and a temperature range of 16 °C to 32 °C could be tested for these instruments. Different slopes and intercepts are found for each instrument as reported in Table 2. This indicates that there is no single universal p and T calibration curve that could be determined for one instrument and used for others.

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364 4.1.2. HPP3.2 instruments tested in the PIT chamber (PT2)

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The PT2 tests results with pressure changes from 1013.25 to 759.94 hPa with an increment of 366 50.66hPa are shown in Figure 4. The top panel of the Figure 4 shows the variations of CO₂ dry air 367 368 mole fractions due to p changes (from 0.0049 to 0.0177 ppm per hPa). Despite the built-in pressure compensation algorithm developed for HPP3.2, reported {CO₂} and p can still co-vary 369 with a positive (S2.1 and S2.2) or a negative (S2.3) correlation, indicating that an additional 370 371 correction is required when aiming to achieve the best possible results (see also Figure S1. Consequently, we applied a linear fit between Δ {CO₂} (differences between the assigned dry air 372 mole fraction in the cylinder and the dry air mole fraction reported by HPP3.2 instruments) and 373 pressure (Figure 6). The slope and intercept obtained are then used to determine the offset due 374 to p variations that has to be added on $\{CO_2\}$ reported by the HPP3.2 instruments. The corrected 375 376 {CO₂} values have a root mean square deviation from the assigned dry air mole fraction in the calibration cylinder (428.6 ppm) of less than 0.02 ppm for all three HPP3.2 (see also Figure S2). 377 378 Figure 5 shows the effect of temperature variations in the PIT chamber going from -2 to 35 °C (see section 3.1) on reported CO₂ dry air mole fraction of the HPP3.2 instruments. For the three 379 HPP3.2, {CO₂} is negatively correlated to T. As for the tests in the simple chamber with the HPP3.1 380 instruments, different linear T slopes and intercepts are observed for each HPP3.1 instrument 381 (Figure 5) in the PIT chamber. After correction for temperature variations, we obtain corrected 382 383 {CO₂} values with a root mean square deviation which does not exceed 0.01 ppm from the 384 assigned value of the cylinder (444 ppm) for the three HPP3.2 instruments (see also Figure S3).

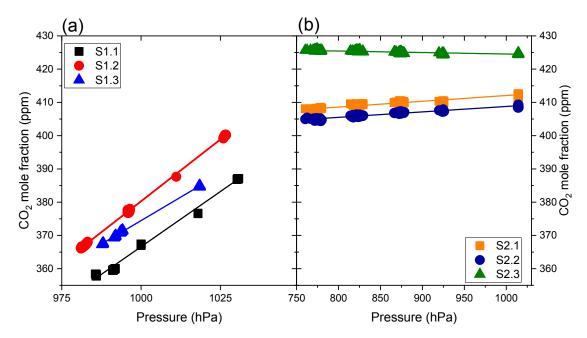




Figure 4: Linear relationship experimentally found between reported {CO₂} and p for S1.1, S1.2,
 S1.3 (la) and for the instruments S2.1, S2.2, S2.3 (b). Note the different p range, going from 972.7
 to 1030hPa for the HPP3.1 instruments in the simple plastic chamber and 759.9 to 1013.25hPa



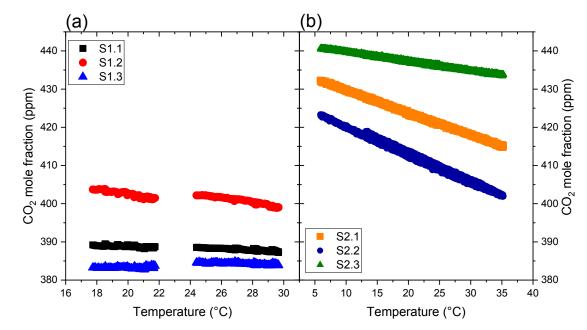


Figure 5: Linear relationships between reported {CO₂} for S1.1 S1.1, S1.2 and S1.3 (la) at temperature values going from 17 to 30 °C in plastic chamber, and for S2.1, S2.2 and S2.3 at temperature values going from 5 to 35 °C in the PIT chamber (b).

396 Table 2 summarizes the results of the pressure and temperature tests for all instruments. These tests results show a sensor-specific response to p and T. A large difference of reported {CO₂} 397 sensitivity to pressure variations is observed between the two HPP3 versions. A sensitivity of 398 0.564 to 0.744 ppm/hPa is found for the HPP3.1 sensors, whereas this sensitivity ranges from -399 0.0045 up to 0.0174 ppm/hPa for the newer HPP3.2 sensors. The lower sensitivity among HPP3.2 400 prototypes is due to the pressure compensation algorithm, which is included in this model. Since 401 the pressure compensation algorithm does still not fully correct the reported {CO₂} variations due 402 403 to pressure changes, we found that it is necessary to apply a correction for pressure, and that this correction should be sensor specific. The {CO₂} sensitivity to temperature variations are found to 404 be in similar range for both sensor makes. Sensitivities of -0.3 to 0.1 ppm °C⁻¹ and -0.2 to -0.7 405 ppm °C⁻¹ are found for HPP3.1 and HPP3.2 instruments, respectively. 406

407

	Pressure		Temperature			
	Slope (ppm/hPa)	Intercept (ppm)	R ²	Slope (ppm/°C)	Intercept (ppm)	R ²
S1.1	0.664±0.004	-297.7 ±4.3	0.94	-0.124 ±0.003	391.34 ±0.07	0.85
S1.2	0.744 ±0.001	-363.3 ±1.1	0.95	-0.29 ±0.01	408.1 ±0.2	0.80
S1.3	0.564±0.001	-189.5 ±1.4	0.94	0.107 ±0.004	381.2 ±0.1	0.63
S2.1	0.0174 ±0.0002	394. ±0.2	0.95	-0.5854 ±0.0004	435.530 ±0.01	0.99
S2.2	0.0164 ±0.0.0001	392.4 ±0.2	0.97	-0.716 ±0.001	427.31 ±0.02	0.99
S2.3	-0.0045 ±0.0002	429.0 ±0.0	0.75	-0.2453 ±0.0004	442.16 ±0.01	0.99

Table 2: Slopes and intercept calculated for CO₂ correction due to temperature and pressure.
 Sensor S1.1 to S1.3 are type HPP3.1, whereas Sensor S2.1 to S2.3 are HPP3.2.

410

411 After applying our correction for temperature and pressure, no more correlations are observed between corrected {CO₂} and pressure and temperature. Corrected CO₂ mole fractions of HPP3.2 412 413 are stable and standard errors do not exceed 0.3 ppm and 0.2 ppm for pressure and temperature corrections respectively, except for {CO₂} after temperature correction for S2.2 which reaches a 414 standard deviation (STD) of 0.5 ppm. However, we do not reach the same stability after pressure 415 and temperature correction for HPP3.1 prototypes. Standard deviations of 0.9, 0.2 and 0.2 ppm 416 417 are calculated for S1.1, S1.2 and S1.3 respectively after pressure correction, and Standard deviations of 1.3, 2.6 and 1.6 ppm are determined for S1.1, S1.2 and S1.3 respectively after 418 temperature corrections. These differences between the results of the two HPP3 versions can be 419 partly explained by the fact that HPP3.2 prototypes had the opportunity to be tested in a 420 sophisticated climatic chamber which respects precise temperature and pressure set points for 421

422 more longer-term measurements and in which only one of the two variables are modified one at a423 time.

- 424
- 425

4.2. Instrument calibration and stability during continuous measurements

426

427 Our instrument described in this study is intended for use in field campaign studies and longer-428 term monitoring, we assess their performance during continuous measurements. We also 429 evaluate which calibration frequency is necessary to track the changes in the sensitivities to p and 430 T found in section 4.1 and if the instruments can be calibrated when using an undried gas stream. 431 Given that the instrument response to {CO₂} is also affected by atmospheric water vapor, we 432 present the results from dried and wet ambient air measurements separately.

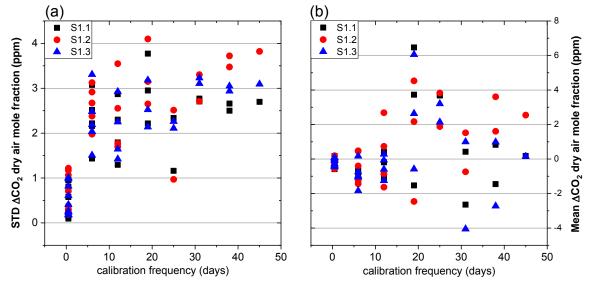
433

434 4.2.1. Measurements of dried ambient air (DA1)

435

436 Four calibration cylinders were used in order to calibrate the three HPP3.1 instruments (see section 3.1). To assess the quality of this calibration, the mean and standard deviation (STD) of 437 438 Δ {CO₂} (i.e. {CO₂}_{HPP3}-{CO₂}_{CRDS}) of 1-minute averaged data were calculated, and shown in Figure 6. Although calibration cylinders were measured each 12 hours, by ignoring some calibration data, 439 we processed the time series to re-compute calibrated {CO₂} assuming a range of different time 440 441 intervals between two calibrations. The results shown in Figure 6 are for calibrations intervals of 0.5, 6, 12, 19, 25, 31, 38 and 45 days. Each point in this Figure corresponds to the values 442 443 calculated for the instruments S1.1, S1.2, S1.3.

444 We find that the 1 ppm repeatability threshold is nearly met when measuring dried air for calibration 445 intervals of 6 days. The STD Δ {CO₂} of the minute averages slowly increases with increasing calibration intervals but seems to stabilize between 3 and 4 ppm. We also see a marked difference 446 447 between the performances of each sensor: S1.1 shows the best performance, followed by S1.3 and S1.2. Besides an increased STD, we also see that the mean of Δ {CO₂} increases significantly 448 after not calibrating for 19 days. Surprisingly, one calibration each 45 days does not seem to 449 deteriorate the mean of Δ {CO₂} further. Infact, the mean Δ {CO₂} seems to decrease over longer 450 time periods. 451



453 *Figure 6:* STD (a) and mean (b) values of one minute average of mean Δ {CO₂}, during a 454 measurement period of 48 days depending of the calibration frequency.

455

452

456 4.2.2. Saclay ambient air measurements (WA2-1)

457

During this test (section 3.3.1), {CO₂} and variables affecting the instrument stability, i.e. pressure, 458 temperature, water vapor content and were measured from July 20th until August 8th. The 459 460 meteorological parameters during the field campaign are given in Figure S4. Our previous measurements already indicated that regular recalibration of the HPP3s is required because of 461 sensitivities to T, p and water vapor that are instrument-specific and time dependent. We call the 462 463 period during which the six calibration coefficients of Eq. (1) are calculated by using the CRDS 464 {CO₂} time series, the calibration period. Attempting to determine those calibration coefficients 465 during a short calibration period e.g. of one week, leads to high mean Δ {CO₂}, as can be seen in 466 Figure 7. A calibration period of two weeks leads to significantly better results. We benchmark the instrument performance for both minute averages, the instruments typical temporal resolution, and 467 hourly averages, as those are widely used in modelling studies and data assimilation systems. 468

We also compared different calibration periods of the same length. As an example, considering a 45 days experiment, we chose three different calibration periods of successive 15 days. We also tested the approach of using the first and last weeks of a 45 days period to create a nonsuccessive two weeks calibration period.

473 Figure 7 (a,b) shows the STD and mean Δ {CO₂} values considering 3 calibration periods (C1, C2, 474 C3) of 15 days each. The regression coefficients of the multivariable model of Eq. (1) for C1, C2 475 and C3 are calculated using the first, second and third consecutive 15 days of the experimental 476 period. These coefficients are then used to predict corrected {CO₂}_{HPP3 2} for the three crossvalidation periods of 15, 30 and 45 days. Also, calibration coefficients (W1, W6) were calculated 477 using the first and sixth week of the 45 days period for calibration. Unsurprisingly, using C1 478 coefficients gives the best results for the first 15 days used for training, and lead a higher bias for 479 480 the last 15 days. Using C2 coefficients to correct adjacent 15 days from the calibration period 481 gives comparable results. Considering the last calibration period, C3 coefficients show a mean 482 bias of -2.5 ppm when calibration is from the first 15 days. One reason that can explain this 483 behavior is the greater variability of CO₂ dry air mole fraction during the last 15 days of the experiment. The interquartile range of CO₂ dry air mole fraction is 10, 15 and 25 ppm respectively 484 for the first, second and third period. The CO₂ dry air mole fraction correction is accomplished 485 mostly by correcting T, P, H₂O and the instrument offset. A small variation of sensitivities may lead 486 487 to a less appropriate correction for periods of smaller variability. Another reason for this difference 488 is the drift component of the correction in Eq. 1. The linear drift of the instrument also varies with 489 time. One method to better correct for the slow linear drift of the instrument is to combine the first and last week of the experiment into a calibration period instead of using two consecutive weeks. 490 When using the first week (W1) and the last week (W6) for calibration, the instrument drift is not 491 properly corrected and a residual linear drift of 0.14 and 0.28 ppm/week is visible in the black (W1) 492 493 and the red (W6) curves of Figure S5, respectively. Nearly no drift (0.01 ppm/week) is observed 494 when considering both W1 and W6 for the training (blue curve). On Figure 7, magenta stars show STD Δ {CO₂} and mean Δ {CO₂} values of the whole 45 day time series considering both W1 and 495 W6 as calibration periods. With this coefficient determination method, mean ΔCO_2 bias can be 496 497 reduced to nearly 0 ppm. Finally, we should note that averaging the 1-minute data to hourly 498 averages can further improve STD Δ {CO₂} values up to 28%. As expected, mean values do not 499 change for hourly averages.

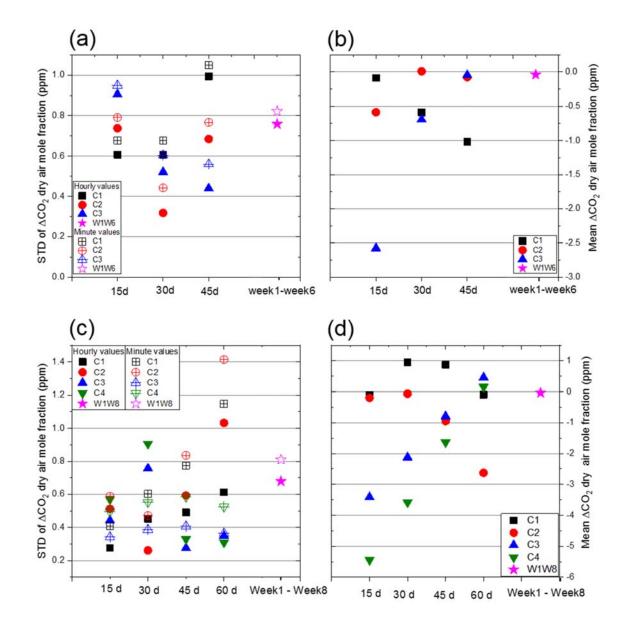


Figure 7: STD Δ {CO₂} (a) and mean Δ {CO₂} (b) values considering 3 calibration periods of 15 501 consecutive days for calibration each with C1, C2 and C3 correspond to first, second and third 15 502 consecutive days of measurements at the Saclay field site, respectively. W1W6 corresponds to 503 using the first and sixth week as calibration period. Mean $\triangle CO_2$ calculated for the four calibration 504 periods choices. STD Δ {CO₂} (c) and mean Δ {CO₂} (d) values considering 4 calibration periods of 505 15 consecutive days for calibration each with C1, C2, C3, C4 correspond to first, second, third and 506 fourth 15 consecutive days of measurements at the Jussieu field site, respectively. W1W6 507 corresponds to using the first and eight week as calibration period. Hourly and minute values are 508 509 represented in full and empty symbols respectively.

510 Furthermore, we can investigate which of the six term multivariable linear regression is most 511 important here. The offset and dry air mole fraction dependent corrections terms (*b* and 512 $a_{CO2}{CO_2}_{HPP3}$) are the most significant corrections among all 5 parameters and allow reducing the mean ΔCO_2 from 45 ppm to 0 ppm (see Table 3 and Figure S3). The other 4 parameters (pressure, temperature, water vapor and drift corrections) further reduce the difference between CRDS and HPP3.2 reducing the STD $\Delta \{CO_2\}$ of minute averages from 1.03 ppm to 0.67 ppm. Here, the temperature correction (d) and the water vapor correction (e) provide a correction of similar magnitude, keeping the same STD and improving mean $\Delta \{CO_2\}$ only from 0.16 to 0.13 ppm. This is understandable since temperature and water vapor are correlated for this type of measurement.

	Reported (raw)	Offset correction	Pressure correction	Temperature correction	RH correction	Drift correction
STD ∆{CO₂} (ppm)	1.11	1.03	1.00	0.97	0.97	0.67
Mean ∆{CO₂} (ppm)	45.33	1·10 ⁻³	9·10 ⁻⁴	0.16	0.13	-0.08

520 **Table 3**: STD and mean values of one minute average Δ {CO₂} data for each correction step. Note 521 that corrections are cumulative from left to right.

522

523 **4.2.3**. Jussieu ambient air measurements (WA2-2)

524 525 To assess the further performance of the HPP3.2 instruments, additional wet ambient air 526 measurements at second field site in Jussieu were carried out for 60 consecutive days using instrument S2.1 alongside a CRDS. Figure 7 (c,d) shows STD Δ {CO₂} and mean Δ {CO₂} values 527 528 calculated with four calibration periods of 15 consecutive days each and one calibration 529 considering both first and last week of the experiment. Calibration coefficients for C1, C2, C3 and C4 are calculated considering calibration periods of first, second, third and fourth 15 consecutive 530 531 days of the experiment respectively. W1W8 coefficients are calculated considering week one (W1) and week eight (W8) of the experiment. The results are qualitatively very similar to the 532 533 measurements at the Saclay field site and combing the first and last week as calibration period also results allow achieving our target of STD Δ {CO₂} >1ppm. 534

535

536 **5. Conclusion and perspective**

537

We integrated HPP3.1 and HPP3.2 NDIR sensors into a portable low-cost instrument with 538 539 additional sensors and internal data acquisition. The laboratory tests reveal a strong sensitivity of reported CO₂ dry air mole fractions to ambient air pressure for the HPP3.1 series and a 540 significantly decreased, yet noticeable, sensitivity to pressure, for the upgraded HPP3.2 sensors 541 542 equipped with the built-in manufacturer p-correction. To achieve the targeted stability (long-term repeatability) for urban observations of 1 ppm or better, instruments have to be corrected at regular 543 intervals against data from a reference instrument (here: CRDS) to account for their cross-544 sensitivities to T, p, W (H₂O mixing ratio) changes and electronic drift, unless those parameters 545 could be controlled externally in the future. We found that commercially available p, T and RH 546 547 sensors that are compatible with the chosen Raspberry Pi3 platform are sufficiently precise to use 548 these parameters as predictors of the linear equation use to calibrate each HPP3 instrument against the reference instrument, which was calibrated to the official WMO CO₂ X2007 CO₂ scale. 549

Two common modes of operation have been successfully tested i.e. using the HPP3 instruments for either dried or undried ambient air measurements. Our results indicate that using a dried gas stream does not improve measurement precision or stability compared to an undried gas streams

553 provided that a multivariable regression model is used for calibration, which accounts for all cross-554 sensitivities including to H₂O mixing ratio changes.

555 We furthermore find that sensor specific corrections are required and they should be considered 556 time-dependent, e.g. by including a linear drift that only becomes more apparent for longer-term observations. Different calibration windows were tested for both the Saclay field site and Jussieu 557 field site ambient air measurements and their results evaluated against CRDS data that were not 558 559 used for calibration. Those sites exhibit the typical {CO₂} levels in urban GHG monitoring networks were future low-cost medium precision instruments could be deployed. Regular (six weekly) re-560 calibrations are found to be appropriate to capture sensor drifts and changes in relevant cross-561 562 sensitivities, while not increasing the burden of performing calibration too often. A dedicated set of calibration gases was not necessary if the low cost instrument was calibrated against {CO2} 563 from a CRDS using the same air. Calibration periods of one week with parallel CRDS 564 565 measurements before and after a 45 day deployment was sufficient for the STD Δ {CO₂} data to be within 1 ppm of CRDS during that period (with near zero bias, i.e. Δ {CO₂} << 1 ppm). This 566 calibration approach can thus be an alternative to permanently deploying calibration gases for 567 568 each individual sensor...

The field tests at the Saclay and Jussieu station are being continued to see if the instrument performance deteriorates over its lifetime. Since the start of the test in 2015 until now multiple HPP3.1 sensors have been in use for without significant performance loss. Other research groups have also started integrating HPP sensors into their low-cost GHG monitoring strategy (e.g. Carbosense, www.nano-tera.ch/projects/491.php, last access March 11th, 2019).

Future improvements for the LCMP instruments will include the addition of batteries to allow their transport to the central calibration lab without power cut as well as using them in field campaigns, e.g. landfills when connected to solar panels or small wind turbines. During future tests at sites without reference instruments, small pressurized gas containers (12I, minican, Linde Gas) will be used to regularly inject target gas to track the performance during a deployment period.

579 The overall operational cost of the new calibration scheme using a central laboratory and rotating 580 the LCMP systems can also only be assessed after more extensive field deployment has been 581 performed.

582

583 Code and data availability

The python scripts for the data collection from the HPP3 and DHT22 for Raspberry Pi-3 and the data from the experiments described here are available from the corresponding authors upon request.

587

588 Author contributions

589 The shared first authors EA and FRV conducted the measurements reported in this study and 590 planned the work with support of PC. AB and BG supported the development of the data logging 591 routine and processing scripts. OL and MR supported measurements by providing access to the 592 ICOS test laboratory equipment and supplied reference gases. The initial draft of the manuscript 593 was developed by EA, FRV and PC. All authors have updated, contributed and edited the 594 manuscript.

595

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