



1 Characterization of lower-cost medium precision atmospheric CO₂ monitoring systems for urban
2 areas using commercial NDIR sensors

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12 **Abstract:**

13

14 CO₂ emission estimates from urban areas can be obtained with a network of in-situ instruments
15 measuring atmospheric CO₂ combined with high-resolution (inverse) transport modeling. The
16 distribution of CO₂ emissions being highly heterogeneous in space and variable in time in urban
17 areas, gradients of atmospheric CO₂ need to be measured by numerous instruments placed at
18 multiple locations around and possibly within these urban areas, which calls for the development
19 of lower-cost medium precision sensors to allow a deployment at required densities. Medium
20 precision is here set to be a random error (uncertainty) on hourly measurements of ±1 ppm or
21 less, a precision requirement based on previous studies of network design in urban areas. Here
22 we present tests of a HPP commercial NDIR sensors manufactured by Senseair AB performed
23 in the laboratory and at actual field stations, the latter for CO₂ concentration in the Paris area.
24 The lower-cost medium precision sensors are shown to be sensitive to atmospheric pressure
25 and temperature conditions. The sensors respond linearly to CO₂ when measuring calibration
26 tanks, but the regression slope between measured and true CO₂ differs between individual
27 sensors and changes with time. In addition to pressure and temperature variations, humidity
28 impacts the measurement of CO₂, all causing systematic errors. In the field, an empirical
29 calibration strategy is proposed based on parallel measurements with the lower-cost medium
30 precision sensors and a high-precision instrument cavity ring-down instrument during 6 month.
31 This empirical calibration method consists of using a multiple regression approach to create a
32 model of the errors defined as the difference of CO₂ measured by the lower-cost medium
33 precision sensors relative to a calibrated high-precision instrument, based on predictors of air
34 temperature, pressure and humidity. This error model shows good performances to explain the
35 observed drifts of the lower-cost medium precision sensors on time scales of up to 1-2months
36 when trained against 1-2 weeks of high-precision instrument time series. Residual errors are
37 contained within the ±1 ppm target, showing the feasibility to use networks of HPP instruments
38 for urban CO₂ networks, provided that they could be regularly calibrated against one anchor
39 reference high-precision instrument.

40

41 **1. Introduction**

42

43 Urban areas cover only a small portion (< 3 %) of the land surface but account for about 70% of
44 fossil fuel CO₂ emissions (Liu et al. 2014, Seto et al. 2014). Uncertainties of fossil fuel CO₂
45 emissions from inventories based on statistics of fuel amounts and/or energy consumption are
46 on the order of 5% for OECD countries and up to 20% in other countries (Andres et al. 2014) but
47 they are larger in the case of cities (Breon et al. 2015, Wu et al. 2016). Further, in many cities of
48 the world, there are no emission inventories available. The need to provide more reliable



49 information on emission and emission trends has prompted research projects seeking to provide
50 estimates of GHG budget cities, power plants and industrial sites, based on in situ
51 measurements made at surface stations (Staufer et al. 2016, Lauvaux et al. 2016, Verhulst et al.
52 2017), aircraft campaigns around emitting locations Mays et al. 2009, Cambaliza et al. 2014)
53 and satellite imagery (Broquet et al. 2017, Nassar et al. 2017). Although sampling strategies and
54 measurement accuracies differ between these approaches, the commonly used principle is to
55 measure atmospheric CO₂ mixing ratio gradients at stations between the upwind and downwind
56 vicinity of an emitting area and infer the emissions that are consistent with those CO₂ gradients
57 and their uncertainties, using an atmospheric transport model. This approach is known as
58 atmospheric CO₂ inversion or as a “top-down” estimate.

59 Inversion studies from Paris, France attempting to constrain CO₂ emissions from measurements
60 of CO₂ mixing ratios at four stations located around the city along the dominant wind direction,
61 have pointed out that the fast mixing by the atmosphere and the complex structure of urban CO₂
62 emissions requires high resolution atmospheric transport models, and continuous
63 measurements of the atmosphere to capture gradients induced by emission plumes (Broquet et al.
64 2015, Wu et al. 2016) that can be captured at the scale of the model.

65 With four stations, only the CO₂ emissions from the Paris megacity could be retrieved with an
66 accuracy of ≈20% on monthly budgets (Wu et al. 2016). A denser network of stations would help
67 to obtain more information on the spatial details of CO₂ emissions. A network design study by
68 Wu et al. 2016 for the retrieval of CO₂ emissions per sector for the Paris Megacity has shown
69 that with 10 stations measuring CO₂ with 1 ppm accuracy on hourly time-steps, the error of the
70 annual emission budget could be reduced down to a 10% uncertainty. Wu et al. 2016
71 furthermore found that for a more detailed separation of emissions into different sectors, more
72 stations were needed, on the order of 70 stations to be able to separate road transport from
73 residential CO₂ emissions. This inversion based on pseudo-data allowed estimating total CO₂
74 emissions with a better accuracy than 10% and emissions of most major source sectors
75 (building, road energy) with an accuracy better than 20%. Another urban network design study
76 over the San Francisco Bay area reached a similar conclusion, i.e. that in-situ CO₂
77 measurements from 34 stations with 1 ppm accuracy at an hourly resolution could estimate
78 weekly CO₂ emissions from the city area with less than 5 % error (Turner et al. 2016).

79 In the studies from Wu et al. 2016 and Turner et al. 2016, the additional number of atmospheric
80 CO₂ measurement stations rather than the individual accuracy of each measurement helped to
81 constrain emissions, provided that CO₂ observation errors have random errors of less than 1
82 ppm on hourly measurements, uncorrelated in time, and in space between stations. Therefore,
83 we will adopt here a 1 ppm uncertainty on hourly CO₂ data as the target performance for new
84 urban lower-cost medium precision CO₂ sensors.

85 Today, the continuous CO₂ gas analyzers used for continental scale observing systems like
86 ICOS (<https://www.icos-ri.eu/>), NOAA (<https://www.esrl.noaa.gov/gmd/>) or ECCO
87 (<https://www.canada.ca/en/environment-climate-change.html>) follow the WMO/GAW guidelines
88 and are at least ten times more precise than our target of 1 ppm, but are also quite expensive.
89 Because for urban inversions, the number of instruments is more important than their individual
90 precision, if low-cost sensors could be produced with the specifications of 1 ppm random error,
91 significant expansion of urban networks could be achieved at an acceptable cost.

92 Recently, inexpensive sensors, measuring trace gases, particulate matter, as well as traditional
93 meteorological variables, using various technologies and accuracy have become commercially
94 available. Evaluation and implementation of these sensors is quite promising (Eugster and Kling
95 2012, Holstius et al. 2014, Piedrahita et al. 2014, Young et al. 2014, Wang et al. 2015, Martin et
96 al. 2017). With the advent of low cost mid-IR light sources and detectors, different non-
97 dispersive infrared (NDIR) CO₂ sensors have become commercially available.

98 In this study, we present the development and stability tests of an inexpensive instrument to
99 measure CO₂ based on controlling parameters for ambient air using a Senseair HPP NDIR



100 sensor for CO₂ measurements (Hummelgard et al. 2015). The instrument sensitivities to ambient
101 air temperature, pressure and water vapor content are assessed in laboratory experiments and
102 climate chambers tests. Then, the instrument linearity is evaluated against a suite of CO₂
103 reference gases calibrated from 330 to 1000 ppm. The calibrated low-cost medium precision
104 (LCMP) instruments are then compared to highly precise CRDS instruments (G2401, Picarro
105 Inc, Santa Clara, USA).

106 Lastly we present the time series of ambient air CO₂ measurements in the Paris region
107 environment. The time series are compared to co-located CRDS-based CO₂ observations, and
108 Empirical corrections to the HPP-based instrument are proposed based on CO₂ and
109 meteorological variables. These corrections established during a period of 1 or 2 weeks are
110 used to estimate the drift of the HPP-instrument on time scales of up to a month and a half.
111

112 2. Sensor integration

113

114 2.1. HPP sensor

115

116 The HPP (High-Performance Platform) NDIR CO₂ sensor from SenseAir AB (Delsbo, Sweden) is
117 a commercially available lower-cost system (Hummelgard et al. 2015). The main components of
118 this sensor are: an infrared source (lamp), a sample chamber (ca. 1m optical path length), a light
119 filter and an infrared detector. The gas in the sample chamber causes absorption of specific
120 wavelengths (Hummelgard et al. 2015) according to the Beer–Lambert law, and the attenuation
121 of light in these wavelengths is measured by a detector to determine the gas mixing ratio. The
122 detector has an optical filter in front of it that eliminates all light except the wavelength that the
123 selected gas molecules can absorb. The HPP has a factory pre-calibrated CO₂ measurement
124 range of 0 to 1000 ppm. The HPP sensor itself uses ca. 0.6 W and requires 12 VDC and has a
125 life expectancy superior to 15 years according to the manufacturer.

126 Three generation of HPP sensors were built by SenseAir AB (Delsbo, Sweden), in this
127 manuscript we only report on the tests carried out on the latest generation (HPP3) being the
128 most performant among the HPP sensors family. Previous HPP versions were used for more
129 short-term airborne measurements, for example in the COCAP system (Kunz et al. 2017) and
130 were found to have an accuracy of 1-1.2 ppm during short-term mobile campaigns.
131

132

132 A number of technical improvements have been made for the new (third) HPP generation
133 described here:

134

- 135 • Simple interface through USB connection and the development of a new software made
136 data transfer easier, quicker and more efficient
- 137 • Improved temperature stability due to 6 independent heaters dispatched inside the unit.
- 138 • The sample cell design is optimized in order to match with targeted production costs. The
139 optical length was slightly reduced to 95cm so the related alcohol sensor platform could
140 be used to benefit from any development of this product line.
- 141 • To improve long-term drift the sensor is equipped with new electronics and the IR
142 sources were preconditioned prior to shipment.
- 143 • The improved second version of HPP3 (HPP3.2) sensors was equipped with a pressure
144 sensor (LPS331AP - ST Microelectronics, Switzerland) to allow real-time corrections.
- 145 • Leakage problems impact are minimized since the third generation sensor works in a
146 high pressure mode. A pump is thus needed upstream of the sensor inlet in order to
147 create a high pressure in the measurement cell.



148 Different sensors from two versions of HPP3 were tested and used in this study, that is, three
149 sensors from a first version (HPP3.1) named S1.1, S1.2 and S1.3, and three others from the
150 second better version (HPP3.2) named S2.1, S2.2 and S2.3.

151

152 2.2. Portable integrated instrument

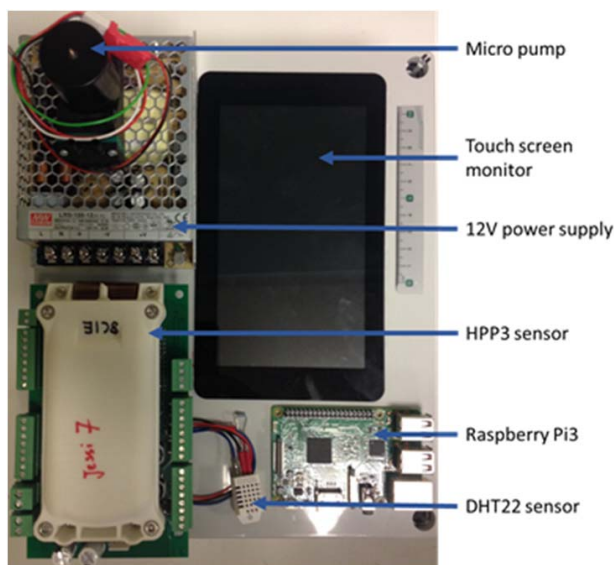
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154 The HPP sensors were integrated into a custom-built portable instrument suitable to perform in-
155 situ CO₂ measurements on ambient air. The instrument is composed of the HPP CO₂ sensor,
156 temperature (T) and relative humidity (RH) sensors. For pressure, a LPS331AP (ST
157 Microelectronics, Switzerland) sensor was added inside the HPP3.2 sensors. LPS331AP has a
158 pressure range of 0.26 to 1.26 atm, a high resolution mode of 2×10^{-5} atm Root Mean Square
159 (RMS) and low power consumption (30 μ A for high resolution mode). For humidity and
160 temperature, a DHT22 sensor (Adafruit, USA) was added in the instrument enclosure and
161 connected through an I2C interfaces. The accuracy of the sensor is $\pm 2\%$ RH and $\pm 0.5^\circ\text{C}$. Its
162 range is 0-100 %RH and -40 to $+80^\circ\text{C}$, respectively.

163 A Raspberry Pi3 (RPI3) (Raspberry Pi Foundation, 2015) is used to collect the data of all
164 sensors. The RPI3 is a small (85x56 mm) processor running with Rasbian OS which is a Linux
165 distribution. It has 40-pin extended GPIO which allows connecting number of sensors, 4 USB2
166 ports, BCM43438 wireless LAN and Bluetooth low energy on board.

167 A 7" touch screen monitor is connected via an adapter board which handles power and signal
168 conversion. To be able to flush the measurement cell a diaphragm micro pump
169 (GardnerDenverThomas, USA, Model 1410VD/1.5/E/BLDC/12V) with an external speed adaptor
170 is used. A 12 V power supply is sufficient to power the integrated package. An image of the
171 complete portable instrument package is available in Figure1.

172



173

174 **Figure 1:** Components of the portable instrument on the top of its box.

175

176 3. Methods

177



178 NDIR sensors are sensitive to IR light absorption by CO₂ in the air contained in their optical cell,
 179 but the retrieval of CO₂ concentration to the desired accuracy of 1 ppm is made difficult by
 180 sensitivities to temperature, pressure and humidity. Therefore, these parameters should be
 181 controlled as much as possible, and their sensitivities characterized, to calculate CO₂. A series
 182 of tests were carried out to characterize the HPP3.1 (S1.1, S1.2, S1.3) and HPP3.2 (S2.1, S2.2,
 183 S2.3) performances and sensitivities to CO₂, T, P and RH. Firstly, temperature, pressure and
 184 CO₂ sensitivities were determined in laboratory experiments. Then, field measurements were
 185 conducted with an accurate instrument (Picarro, USA, G2401) measuring the same air than the
 186 HPP; The G2401 accuracy is estimated to be below 0.05 ppm (Rella et al., 2013). Table1
 187 summarizes all laboratory tests and field tests measurements, which are presented upon in this
 188 section. The general test setup for the experiments can be seen in Figure 3.

189

| Name | Purpose | Location | Air measured | Parameter | Range of T (°C) and P (atm) | Range of [CO ₂] in air (ppm) | Range of [CO ₂] in Cal. Cylinders (ppm) | Duration (days) | Sensors tested |
|-------|--|----------------------------------|---|--|-----------------------------|--|---|-----------------|------------------|
| PT1 | Correlation between [CO ₂] and P / T | plastic chamber (Saclay) | Calibration cylinders | T, P | 16-32 and 0.965-1.025 | N/A | 420 to 450 | 3 | S1.1, S1.2, S1.3 |
| PT2 | Correlation between [CO ₂] and P / T | PIT climate chamber (Guyancourt) | Calibration cylinders | T, P | -2 to 35 and 0.75 to 0.95 | N/A | 420 to 450 | 5 | S2.1, S2.2, S2.3 |
| DA1 | Test calibration frequency | Laboratory (Saclay) | Calibration cylinders and dried outside air | T, P, H ₂ O, [CO ₂] from CRDS | 24 to 31 and 0.996 to 1.010 | 417 to 575 | 330 to 1000 | 48 | S1.1, S1.2, S1.3 |
| WA2-1 | Test calibration frequency | Laboratory (Saclay) | Outside air | T, P, H ₂ O, [CO ₂] from CRDS | 25 to 27 and 0.999 to 1.008 | 389 to 508 | N/A | 45 | S2.2, S2.3 |
| WA2-2 | Test calibration frequency | Laboratory (Jussieu) | Outside air | T, P, H ₂ O, [CO ₂] from CRDS | 29 to 31 and 0.993 to 1.021 | 393 to 521 | N/A | 60 | S2.1 |

190 **Table 1:** Summary of all laboratory tests.

191

192 **3.1. Laboratory tests**

193

194 **3.1.1. Sensitivity to temperature and pressure variations**

195

196 Two series of temperature and pressure sensitivity tests (PT1, PT2) were realized in a closed
 197 chamber with controlled T and P for the HPP3.1 and HPP3.2 sensors. These tests are for
 198 assessing the linearity of the response of each sensor to CO₂ for different pressure and
 199 temperature conditions. For the HPP3.1 sensors, an internal pressure compensation does not
 200 exist, but the HPP3.2 series includes a pressure sensor together with a compensation algorithm
 201 which normalizes measured CO₂ mixing ratios according to ambient pressure (Gaynullin et al.
 202 2016).

203 In test PT1 (table1), three HPP3.1 sensors were put in a simple plastic chamber and exposed to
 204 pressure changes ranging from 0.965 to 1.025 atm, and temperature ranges of 16 to 32 °C.
 205 Pressure and temperature were measured by a high-precision pressure sensor (Keller,
 206 Germany, Series 33x, 0.01% precision).



207 In test PT2, three HPP3.2 sensors were placed inside a dedicated temperature and pressure
208 chamber at the Plateforme d'Integration et de Tests at OVSQ Guyancourt, France (PIT) where a
209 much larger range of T and P variations could be applied. During each T, P test, four calibration
210 cylinders with dry air CO₂ mixing ratios from 420 to 450 ppm were measured by all the HPP3.2
211 sensors for a period of approximately 120 hours for each cylinder. In the PIT chamber,
212 temperatures was varied from -2 to 35 °C with linear rates of change of 1 °C/hour keeping
213 pressure constant at a value of 0.95 atm. Pressure was varied from 0.95 to 0.75 atm with an
214 increment of 5.10⁻² atm, being regulated with a primary pump, with temperature fixed at 15°C.

215

216 **3.1.2. Calibration of CO₂ variations measured by the sensors for dry and wet air**

217

218 These experiments were performed to evaluate the response of HPP sensors to CO₂ changes in
219 ambient air. Two modes of operations have been tested i.e. using either a dried or an undried
220 gas streams, described as follows.

221

222 **Dry air experiments**

223

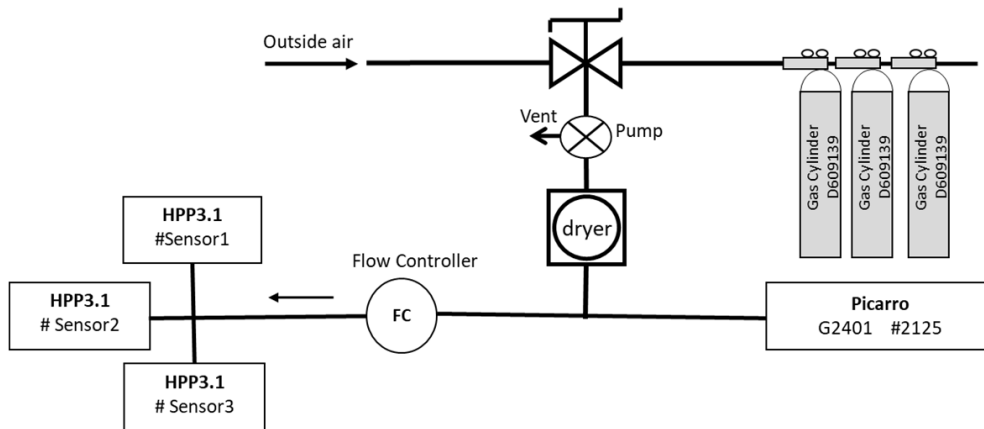
224 Water vapor is known to interfere with CO₂ measurements, in particular for NDIR sensors. It is
225 thus important to determine the sensitivity of the sensors to CO₂ under the best possible
226 conditions, that is, dry air. In test DA1 (Table 2) different HPP sensors were flushed with the
227 same dry ambient air, passed through a Nafion dryer (PermaPure, USA, Model MD-070). Online
228 CRDS measurement confirmed that H₂O was reduced to trace amounts, i.e. 0.05 ± 0.05 % H₂O.
229 HPP3.1 sensors S1.1, S1.2, S1.3 were tested extensively during 45 days, and HPP3.2 sensors
230 S2.1, S2.2 were tested during 12 days. No significant difference was found between the
231 performances of both HPP versions. The experimental setup is shown in Figure 2. For the period
232 of 45 days during spring 2016, S1.1, S1.2 and S1.3 measured dry ambient air in parallel with a
233 co-deployed CRDS instrument (Picarro, USA, G2401). The ambient air was pumped from a
234 sampling line fixed on the roof of the building (ca. 4 m a.g.l.) to flush the setup described in Fig.
235 2. In addition, four dry-air calibration cylinders (330 ppm, 375 ppm, 445 ppm and 1000 ppm of
236 CO₂) were measured successively each 13 hours during 30 minutes (Figure 3). As the HPP
237 responses can be slow, in order to remove memory effects, only the last 15 minutes of each
238 calibration periods were used.

239

240 **Wet air experiments**

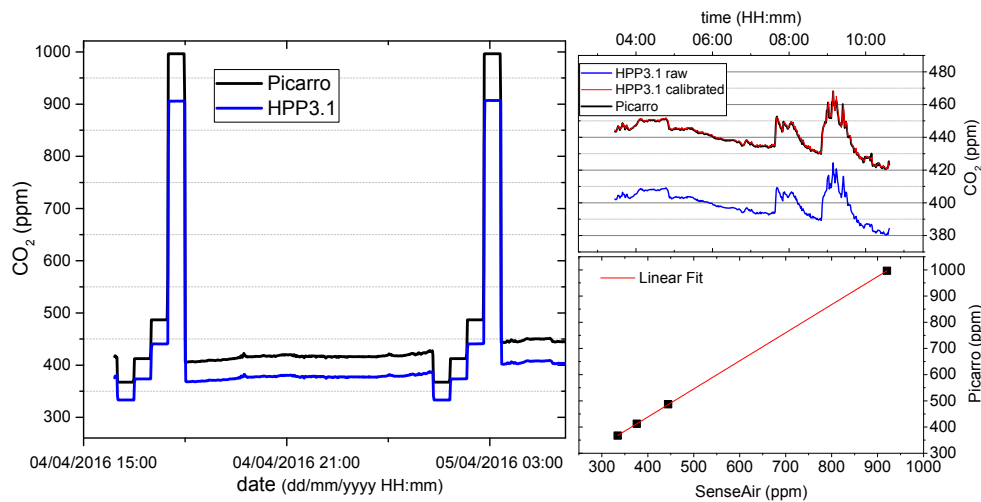
241

242 Because in the field, drying is often impractical, we also measured the HPP sensitivities to water
243 vapor under worst-case conditions, that is both ambient air and calibration cylinders air being
244 wet. If these sensitivities were stable over time, they could be used to correct CO₂ for the H₂O
245 interference. For WA2-1 and WA2-2 tests, the Nafion dryer was removed from the setup.



246
 247

248 **Figure 2:** HPP3 instruments test Setup
 249



250
 251 **Figure 3:** Left – CO₂ mixing ratios measured by S1.1 (blue) and the Picarro CRDS analyzer
 252 (black). Right - calibrated mixing ratios of S1.1 (red) compared to the raw values (blue). Below:
 253 Four reference gases (367 ppm, 413 ppm, 487 ppm and 997 ppm of CO₂), are used for the
 254 calibration. No saturation effects are observed within our CO₂ mixing ratio range.
 255

256 3.2. Instrument calibration procedure

257 258 Dry air calibration

259
 260 For dry air measurements in test DA1, a linear calibration curve is used. The lower right graph of
 261 Figure 3 shows that the response of the HPP3 instruments to CO₂ mixing ratio is linear ($R^2 =$



262 0.95) from 330 to 1000 ppm. No saturation effects are observed within this CO₂ mixing ratio
263 range since residuals are included in the ±1 ppm range.

264 **Wet air calibration**

266 Due to the high correlation of air temperature and water vapor content, we have applied a
267 multivariate regression method, which includes all environmental variables. Indeed, if variables
268 are corrected one at a time, an overcorrection of one of the correlated variables may occur.
269 Multi-linear regression is a generalization of linear regression by considering more than one
270 variable. We used a multivariate linear regression of the form:

$$271 y = b + a_C X_C + a_P X_P + a_T X_T + a_W X_W + a_{xy} X_{xy} \quad (1)$$

273 y is the CO₂ value measured by the reference accurate instrument (CRDS), considered as the
274 true value here. X_C is the CO₂ measured with the HPP3 instrument, with additional factors to
275 capture the influence of the pressure P , the temperature T , the water mixing ratio W , the
276 baseline drift xy and a baseline offset b . Baseline drifts are corrected by fitting a linear $y=x$ curve
277 in which y is incremented with time. Instrument specific coefficients for the multivariate linear
278 regression are determined using measurements of the parameters during several days.

280 **3.3. Field tests with urban air measurements**

282 To assess their real-world performance of the sensors, we conducted additional tests for the
283 HPP sensors measuring outside air under typical conditions for urban air monitoring, after the
284 sensors were fully integrated into instruments as described in section 2.2. Three HPP3.1
285 instruments (S1.1, S1.2, S1.3) and two HPP3.2 instruments (S2.2, S2.3) were installed in the
286 laboratory building of Saclay (48.7120N, 2.1462E) to measure outside air on top of the building
287 roof. Saclay is located 20 kilometers south of the center of Paris in a low-urbanized area. In
288 addition, one HPP3.2 instrument (S2.1) was installed to measure air on the Jussieu University
289 campus in the center of Paris (48.8464N, 2.3566E).

291 **3.3.1. Saclay Site**

292 The sampling line, a 5 meter Dekabon tube with an inner tube of 0.6 cm, was fixed on the
293 rooftop of the building at about 4 meters above the ground. The laboratory hosting the five
294 instruments is equipped with a cooling/heating unit that was turned off most of the time so that
295 room temperature varied between 24 and 31 °C. A CRDS (Picarro, USA, G2401) measured air
296 from the same intake in parallel as seen in Figure 3. A diaphragm pump (KNF Lab, Germany,
297 Model N86KN.18) was used to pump air to the five instruments, and a flow controller
298 (Bronkhorst, France, EI-Flow series) was used to regulate the air-flow distributed with a manifold
299 to the HPP3 instruments at 500 mln min⁻¹ to ensure stable experimental conditions. For dry air
300 measurements using the HPP3.1 (48 days) an external Nafion dryer (PermaPure, USA, MD-070
301 series) was used to eliminate H₂O traces in the gas line during dry air experiments, while
302 HPP3.2 were tested for 45 days. Four reference gas cylinders (330ppm, 375ppm, 445ppm and
303 1000 ppm of CO₂) were used and each HPP was flushed every 12 hours for 30 minutes during
304 the dry air experiment. No calibration cylinders were used during the undried air experiment,
305 since the calibration was based on the co-located high precision measurement with the CRDS
306 analyzer. The mean mixing ratio of ambient CO₂ was 420 ppm and varied between 388 ppm and
307 575 ppm during dry air experiments and a mean of 409 ppm and varied between 389 ppm and
308 509 ppm during undried air experiments.



311

312 **3.3.2. Jussieu site**

313 The measurements from the HPP3.2 instrument (S2.1) in Jussieu were compared with those of
314 a co-located CRDS (Picarro, USA, G2401). Two independent sampling lines (about 5 meter
315 Dekabon tube with an inner tube of 0.6 cm) were used for the Picarro, and the S2.1 instrument.
316 The air-flow into HPP3.2 instrument was regulated by the micro pump (see section 2.2) and set
317 to 500 ml min⁻¹. At this location neither calibration cylinders nor a drying system were deployed
318 for the HPP3.2. The measurement period was 60 days and the mean ambient CO₂ mixing ratio
319 was 410 ppm and minute averages varying between 393 ppm and 521 ppm. Room temperature
320 varied between 28 and 31 °C during the observation period.

321

322 **4. Results**

323

324 **4.1. Sensitivity to temperature and pressure variations using dried air**

325

326 **4.1.1. HPP3.1 instruments tested in the simple chamber**

327

328 As shown in Figures 6 and 7 linear relationships are observed between CO₂ concentrations and
329 P, T ($R^2 = 0.99$ with P and $R^2 = 0.92$ with T) in the simple chamber. Due to the limitation of
330 experimental conditions in these simple plastic chambers, only a narrow pressure range of 0.965
331 to 1.020 atm and a temperature range of 16 °C to 32 °C could be tested for these instruments.
332 Different slopes and intercepts are found for each instrument as reported in Table 2. This
333 indicates that there is no single universal P and T calibration curve that could be determined for
334 one instrument and used for others.

335

336 **4.1.2. HPP3.2 instruments tested in the PIT chamber**

337

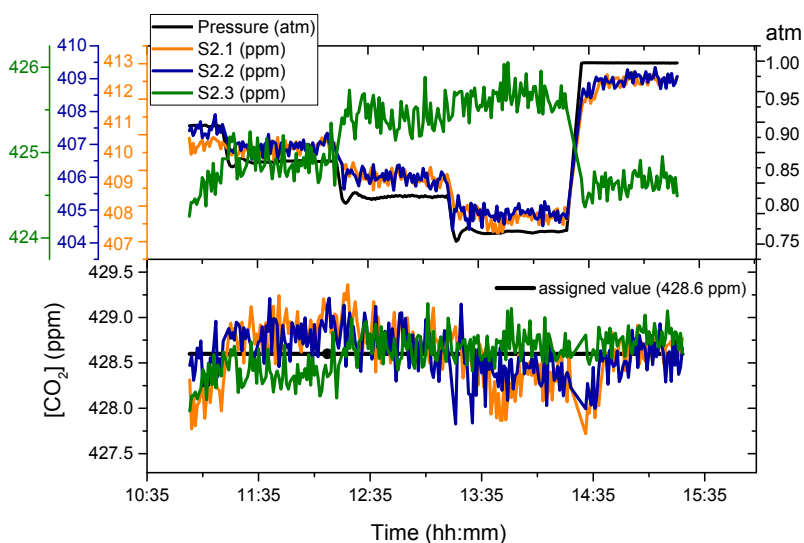
338 The PIT tests results with P changes from 1.00 to 0.75 atm with an increment of 5.10⁻² atm are
339 shown in Figure 4. The top panel of the Figure 4 shows the variations of CO₂ mixing ratios due to
340 P (from 0.5 to 1.8 ppm per 0.1 atm). Despite the built-in pressure compensation algorithm
341 developed for HPP3.2, CO₂ and P can still co-vary with a positive (S2.1 and S2.2) or a negative
342 (S2.3) correlation, indicating that an additional correction would be required when aiming to
343 achieve the best possible results. Consequently, we applied a linear fit between ΔCO_2
344 (differences between the known mixing ratio in the cylinder and the mixing ratio measured by
345 HPP3.2 instruments) and pressure (Figure 6). The slope and intercept obtained are then used to
346 determine the offset due to P variations that has to be added on raw CO₂ mixing ratios reported
347 by the HPP3.2 instruments. The lower panel of Figure 4 shows that the corrected CO₂ mixing
348 ratios values have a root mean square deviation from the true mixing ratio in the calibration
349 cylinder (428.6 ppm) of less than 0.02 ppm for all three HPP3.2.

350

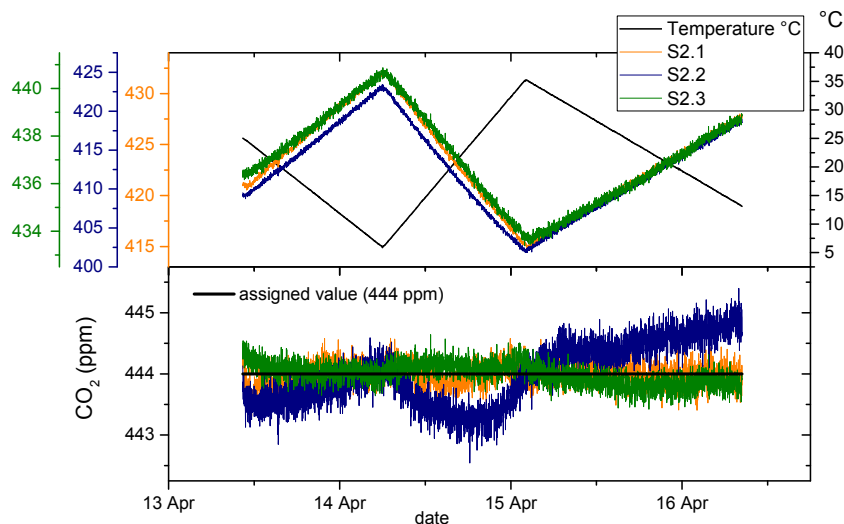
351 Figure 5 shows the effect of temperature variations in the PIT chamber going from -2 to 35 °C
352 (see section 3.1) on raw reported CO₂ mixing ratio of the HPP3.2 instruments. Temperature
353 corrections are done following the same steps as for P. For the three HPP3.2, CO₂ mixing ratios
354 are negatively correlated to T. As for the tests in the simple chamber with the HPP3.1
355 instruments, different linear T slopes and intercepts are observed for each HPP3.2 instrument
356 (Figure 6) in the PIT chamber. After correction for temperature variations, we obtain corrected



357 CO₂ mixing ratio values with a root mean square deviation which does not exceed 0.01 ppm
 358 from the true value of the cylinder ([CO₂]=444 ppm) for the three HPP3.2 instruments.
 359



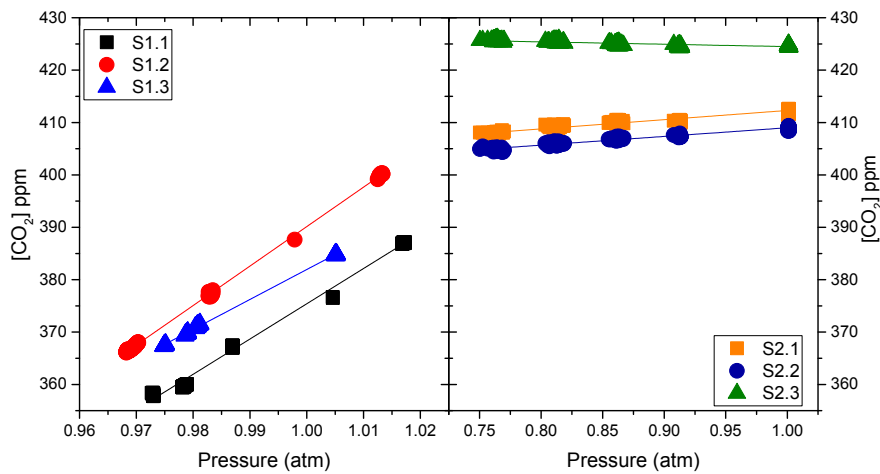
360 **Figure 4:** Upper panel – Effect of pressure variations (black) on raw CO₂ mixing ratios of three
 361 HPP3.2 instruments measuring CO₂ from air from the same calibration cylinder (true value
 362 =428.6 ppm), S2.1 (orange), S2.2 (blue) and S2.3 (green), please not the different y-axis scales.
 363 Lower panel – Corrected CO₂ mixing ratios for HPP3.2 instruments.
 364
 365



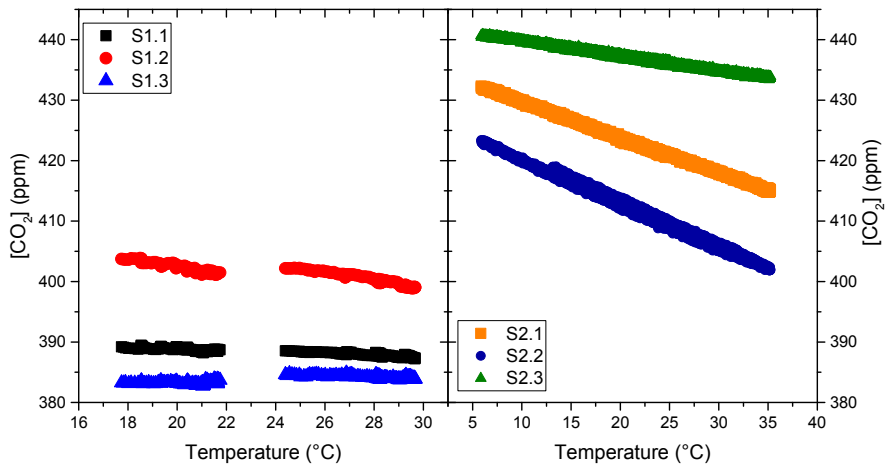
366



367 **Figure 5:** Upper panel – The effect of temperature variations (black) on raw CO_2 mixing ratios of
 368 HPP3.2 instruments measuring CO_2 from air from the same calibration cylinder (true value =444
 369 ppm), S2.1 (orange), S2.2 (blue) and S2.3 (green), please not the different y-axis scales. Lower
 370 panel – Corrected CO_2 mixing ratios for HPP3.2 instruments.
 371



372 **Figure 6:** Linear relationship experimentally found between CO_2 mixing ratios and P for the
 373 HPP3.1 instruments (S1.1, S1.2, S1.3) (left) and for the HPP3.2 instruments (S2.1, S2.2, S2.3)
 374 (right). Note the different P range, going from 0.96 to 1.02 atm. for the HPP3.1 in the simple
 375 plastic chamber to 0.75 to 1 atm. for the HPP3.2 in the PIT chamber.
 376
 377



378 **Figure 7:** Linear relationships between CO_2 mixing ratios for HPP3.1 S1.1, S1.2 and S1.3 (left)
 379



380 at temperature values going from 17 to 30 °C in plastic chamber, and for HPP3.2 S2.1, S2.2 and
381 S2.3 at temperature values going from 5 to 35 °C in the PIT chamber.

382

383 Table 2 summarizes the results of the pressure and temperature tests for all the HPP3
384 instruments. These tests results show a sensor-specific response to P and T. A large difference
385 of CO₂ mixing ratios sensitivity to pressure variations is observed between the two HPP3
386 versions. A sensitivity of 571.5 to 753.4 ppm/atm is found for the HPP3.1 versions, whereas this
387 sensitivity ranges from -4.5 up to 17.6 ppm/atm for the newest HPP3.2 versions. The lower
388 sensitivity among HPP3.2 prototypes is due to the pressure compensation algorithm which is
389 included in this model. Since the pressure compensation algorithm does still not fully correct the
390 CO₂ variations due to pressure changes, we found that it is necessary to apply a correction for
391 pressure interferences on the CO₂ mixing ratios signal, and that is correction should be sensor
392 specific. The CO₂ mixing ratios sensitivity to temperature variations are found to be in similar
393 ranges for both sensors. Sensitivities of -0.3 to 0.1 ppm/°C and -0.2 to -0.7 ppm/°C are found for
394 HPP3.1 and HPP3.2 prototypes respectively.

395

| | Pressure | | | Temperature | | |
|-------------|-----------------|-----------------|----------------|-----------------|-----------------|----------------|
| | Slope (ppm/atm) | Intercept (ppm) | R ² | Slope (ppm/°C) | Intercept (ppm) | R ² |
| S1.1 | 673.1 ±4.4 | -297.7 ±4.3 | 0.94 | -0.124 ±0.003 | 391.34 ±0.07 | 0.85 |
| S1.2 | 753.4 ±1.1 | -363.3 ±1.1 | 0.95 | -0.29 ±0.01 | 408.1 ±0.2 | 0.80 |
| S1.3 | 571.5 ±1.4 | -189.5 ±1.4 | 0.94 | 0.107 ±0.004 | 381.2 ±0.1 | 0.63 |
| S2.1 | 17.6 ±0.2 | 394. ±0.2 | 0.95 | -0.5854 ±0.0004 | 435.530 ±0.01 | 0.99 |
| S2.2 | 16.6 ±0.2 | 392.4 ±0.2 | 0.97 | -0.716 ±0.001 | 427.31 ±0.02 | 0.99 |
| S2.3 | -4.5 ±0.0 | 429.0 ±0.0 | 0.75 | -0.2453 ±0.0004 | 442.16 ±0.01 | 0.99 |

396 **Table 2:** Slopes and intercept calculated for CO₂ correction due to temperature and pressure.
397 Sensor 1 to 3 are type HPP3.1, whereas Sensor 4 to 6 are HPP3.2.

398

399 From Figures 6 and Table 2, we see a positive correlation between CO₂ and P for five
400 instruments (S1.1, S1.2, S1.3, S2.1 and S2.2) and a negative correlation for S2.3. In Figures 7
401 and Table 2, a negative correlation between CO₂ and temperature is found for 5 instruments
402 (S1.1, S1.2, S2.1, S2.2 and S2.3) and a positive one for S1.3. After correcting for temperature
403 and pressure, no more correlations are observed between corrected CO₂ and pressure and
404 temperature. Corrected CO₂ mixing ratios of HPP3.2 are stable and standard errors do not
405 exceed 0.3 ppm and 0.2 ppm for pressure and temperature corrections respectively, except for



406 CO₂ mixing ratios after temperature correction for S2.2 which reaches a standard deviation
407 (STD) of 0.5 ppm. However, we do not reach the same stability after pressure and temperature
408 correction for HPP3.1 prototypes. Standard deviations of 0.9, 0.2 and 0.2 ppm are calculated for
409 S1.1, S1.2 and S1.3 respectively after pressure correction, and Standard deviations of 1.3, 2.6
410 and 1.6 ppm are determined for S1.1, S1.2 and S1.3 respectively after temperature corrections.
411 These differences between the results of the two HPP3 versions can be explained by the fact
412 that HPP3.2 prototypes had the opportunity to be tested in a sophisticated climatic chamber
413 which respects precise temperature and pressure setpoints and in which only one of the two
414 variables are modified one at a time.

415

416 **4.2. Instrument calibration and stability during continuous measurements**

417

418 Given that the instrument response to CO₂ is affected by atmospheric water vapor, we present
419 the results from dried and wet ambient air measurements separately.

420

421 **4.2.1. Measurements of dried ambient air (test DA1)**

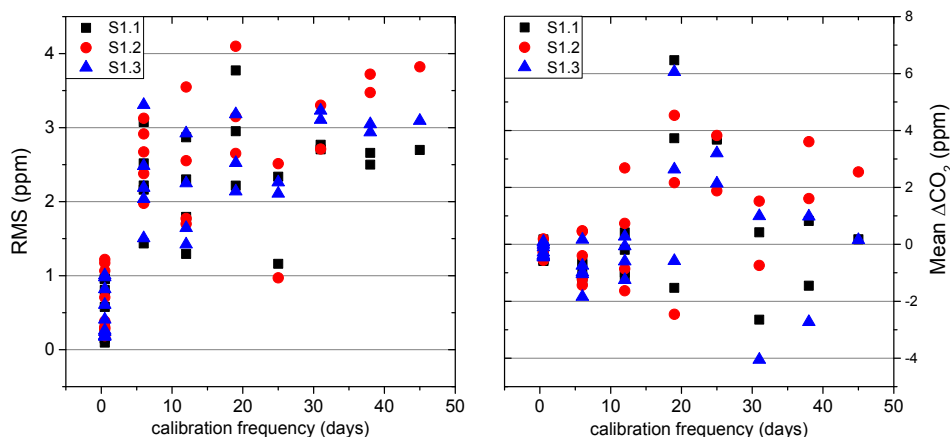
422

423 Four calibration cylinders were used in order to linearly calibrate the three HPP3.1 instruments
424 (see section 3.1). To assess the quality of this calibration, the RMS relative to 1-minute CO₂
425 mixing ratios from the co-located CRDS data were calculated, and shown in Figure 8. Although
426 calibration cylinders were measured each 12 hours, by ignoring deliberately some calibrations,
427 we processed the time series to re-compute CO₂ assuming a range of different time intervals
428 between two calibrations. The results shown in figure 8 are for calibrations intervals of 0.5, 6, 12,
429 19, 25, 31, 38 and 45 days. Each point in this Figure corresponds to the RMS values calculated
430 for the HPP3.1 instruments S1.1, S1.2, S1.3.

431 We find that the 1 ppm accuracy threshold is met (and even surpassed) when measuring dried
432 air and for calibration intervals no longer than 6 days. We also see a marked difference between
433 the performances of each sensor: S1.1 shows the best performance, followed by S1.3 and S1.2.
434 The larger mean variation of ΔCO_2 (± 4 ppm for S1) are observed for 19 days between two
435 calibrations. Surprisingly, one calibration each 45 days does not deteriorate the mean of ΔCO_2
436 significantly. Indeed, the variability of mean ΔCO_2 decreases over longer time periods as the
437 instruments do not have a residual no persistent long-term drift and positive and negative values
438 of ΔCO_2 cancel each other over time. The RMS of the minute averages slowly increases with
439 increasing calibration intervals but seems to stabilize between 3 and 4 ppm.



440



441
 442 **Figure 8:** RMS (left) and mean difference (right) of CO₂ of the three HPP3.1 instruments
 443 compared to an independent accurate CRDS Picarro, during a measurement period of 48 days.
 444 The x-axis represents different chosen frequencies for calibration of the HPP3.1 using reference
 445 cylinders.

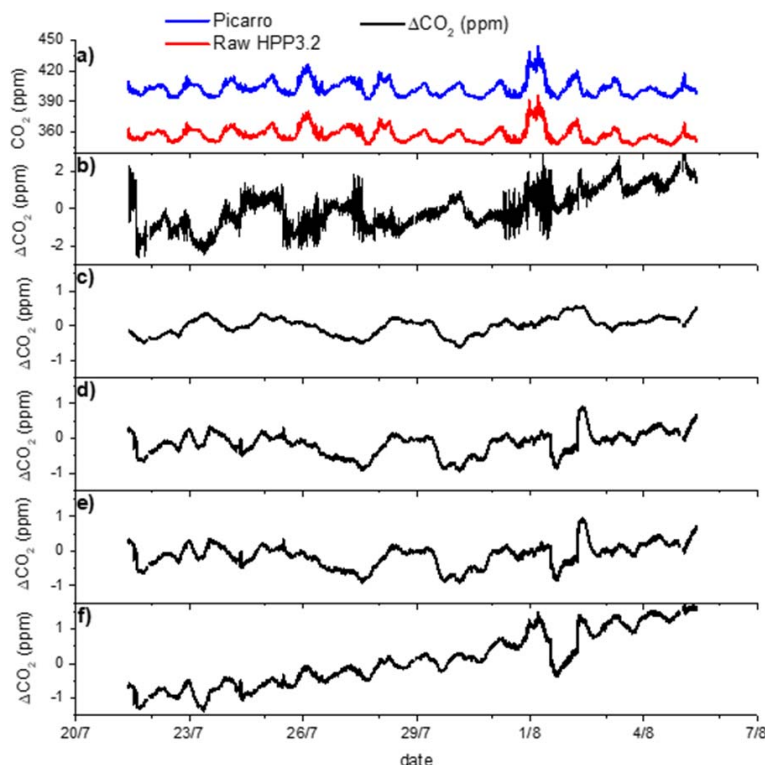
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447

448 4.2.2. Saclay ambient air measurements (test WA2-1)

449

450 During this test (section 3.3, *Saclay site*), all atmospheric variables in wet air which affect the
 451 performance of the instruments, i.e. pressure, temperature, water vapor content and CO₂ mixing
 452 ratios, were measured. As described in section 3.1, a six term multivariate linear regression is
 453 used to calculate the regression coefficients for each HPP3.2 instruments. Panel of Figure 9
 454 shows the results for measurements from July 20th until August 8th 2016 of ambient air at Saclay
 455 from instrument HPP3.2 S2.2.

456 To illustrate the relative impact of the sensitivity to each variable on the reported raw data of CO₂
 457 measurements each component of the multi-linear fit is added separately (one at a time here).
 458 Overall, we show 5 correction variables starting from the offset correction, in which only the
 459 offset of the regression is corrected until to the last panel in which all five terms of equation 1 are
 460 taken into account. The offset and concentration dependent corrections terms (b and $a_c x_c$) are
 461 the most significant corrections among all 5 parameters and allow reducing the mean ΔCO_2 from
 462 45 ppm to 0 ppm. The other 4 parameters (pressure, temperature, water vapor and drift
 463 corrections) further reduce the difference between CRDS and HPP3.2 reducing the RMS of
 464 minute averages from 1.03 ppm to 0.67 ppm. Here, the temperature correction (d) and the water
 465 vapor correction (e) provide a correction of similar magnitude, keeping the same RMS and
 466 improving mean ΔCO_2 only from 0.16 to 0.13 ppm. This is understandable since temperature
 467 and water vapor are correlated for this type of measurement.



468

469 **Figure 9:** A continuous time series of 1 min averages for HPP3.2 instrument S2.2 compared to
 470 the Picarro CRDS instrument after correcting for the different variables for a period of 15 days.
 471 Plot (a) shows raw CO₂ measured by S2.2 and the Picarro. Plot (b) shows the difference
 472 between Picarro and S2.2 after offset correction. The next 4 plots (c), (d), (e), (f) show the
 473 difference to plot (b) after having correcting the HPP3.2 CO₂ to fit the Picarro CO₂ using
 474 pressure, temperature, water vapor, and linear drift respectively. RMS and mean values of ΔCO₂
 475 $([CO_2]_{picarro} - [CO_2]_{s2.2})$ data after each correction are shown in Table 3.

476

| | raw | Offset correction | Pressure correction | Temperature correction | RH correction | Drift correction |
|------------|-------|----------------------|----------------------|------------------------|---------------|------------------|
| RMS (ppm) | 1.11 | 1.03 | 1.00 | 0.97 | 0.97 | 0.67 |
| Mean (ppm) | 45.33 | 1.0 10 ⁻³ | 8.6 10 ⁻⁴ | 0.16 | 0.13 | -0.08 |



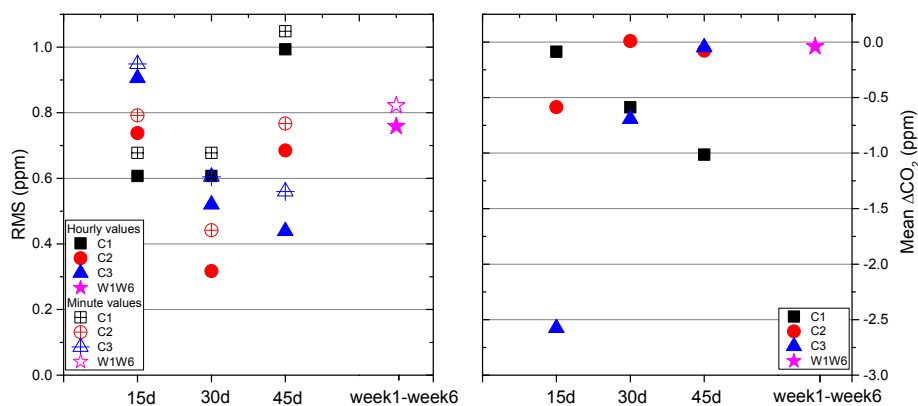
477 **Table 3:** RMS and mean values of one minute average ΔCO_2 ($[\text{CO}_2]_{\text{Picarro}} - [\text{CO}_2]_{\text{S2.2}}$) data for each
478 correction step. Note that corrections are cumulative from left to right.
479 A second instrument was deployed but the acquisition failed for a period of one week leading to
480 a discontinuity in the data.

481 The laboratory studies (section 4.2.2.) already indicated that recalibration of the HPPs is
482 required because of sensitivities to T, P and water vapor that are instrument-specific. We call the
483 period during which the six calibration coefficients of Eq. (1) are calculated by fitting the Picarro
484 CO_2 time series, the learning period. Attempting to determine those calibration coefficients
485 during a short learning period e.g. of one week, leads to high mean ΔCO_2 , as can be seen in
486 Figure 10. A learning period of two weeks leads to significantly better results. We tested
487 systematically longer learning periods, of up to 45 days. The raw measurement data not used in
488 the learning period is calibrated and compared to the CRDS system which then aids as a tool to
489 assess the performance of this CO_2 calibration approach.

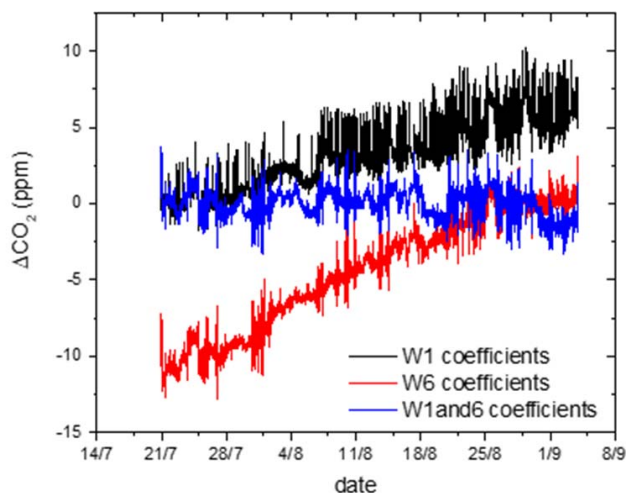
490 We also compared different learning periods of the same length. As an example, considering a
491 45 days experiment, we chose 3 different learning periods of successive 15 days. We also
492 tested the approach of using the first and last weeks of a 45 days period to create a non-
493 successive two weeks learning period.

494 Figure 10 shows the RMS and mean ΔCO_2 values considering 3 learning periods (C1, C2, C3)
495 of 15 days each. The regression coefficients of the multilinear model of Eq. (1) for C1, C2 and
496 C3 are calculated using the first, second and third consecutive 15 days of the experimental
497 period. These coefficients are then used to predict corrected $[\text{CO}_2]_{\text{HPP3.2}}$ for the three cross-
498 validation periods of 15, 30 and 45 days. Also, calibration coefficients (W1, W6) were calculated
499 using the first and sixth week of the 45 days period for learning. Unsurprisingly, using C1
500 coefficients gives the best results for the first 15 days used for training (RMS=0.6 ppm and
501 mean=-0.1 ppm for hourly values), and lead a higher bias for the last 15 days (RMS=1 ppm and
502 mean=-1 ppm for hourly values). Using C2 coefficients to correct adjacent 15 days from the
503 learning period gives comparable results (RMS=0.7, mean=-0.6 ppm and RMS=0.7, mean=-0.1
504 ppm respectively for the first and last 15 days). Considering the last learning period, C3
505 coefficients show a mean bias of -2.5 ppm when learning is from the first 15 days. One reason
506 that can explain this behavior is the greater variability of CO_2 mixing ratio during the last 15 days
507 of the experiment. The interquartile range of CO_2 mixing ratio is 10, 15 and 25 ppm respectively
508 for the first, second and third period. The CO_2 mixing ratio correction is accomplished mostly by
509 correcting T, P, H_2O and the instrument offset. A small variation of sensitivities may lead to a
510 less appropriate correction for periods of smaller variability. Another reason for this difference is
511 the drift component of the correction in Eq. 1. The linear drift of the instrument also varies with
512 time. One method to better correct for the slow linear drift of the instrument is to combine the first
513 and last week of the experiment into a learning period instead of using two consecutive weeks.
514 Figure 11 shows corrected ΔCO_2 ($[\text{CO}_2]_{\text{Picarro}} - [\text{CO}_2]_{\text{HPP3.2}}$) of S2.2 during 45 days when using this
515 approach. When using the first week (W1) and the last week (W6) for learning, the instrument
516 drift is not properly corrected and a residual slope of 0.14 and 0.28 ppm/week is shown in the
517 black (W1) and the red (W6) curves of the figure, respectively. Nearly no drift (0.01 ppm/week) is
518 observed when considering both W1 and W6 for the training (blue curve). On Figure 10,
519 magenta stars show RMS and mean ΔCO_2 values of the whole 45 day time series considering
520 both W1 and W6 as learning periods. With this coefficient determination method, mean ΔCO_2
521 bias can be reduced to nearly 0 ppm. Finally, averaging the 1-minute HPP3.2 data to hourly
522 averages can further improve RMS values up to 28%. As expected, mean values do not change
523 for hourly averages.

524



525
526 **Figure 10:** left – RMS values considering 3 learning periods of 15 consecutive days each in x-
527 axis. C1, C2 and C3 correspond to RMS calculated considering correction coefficients
528 determined from learning, that is, fitting of Eq. 1 to Picarro data during the first, second and third
529 15 consecutive days respectively. Week1-Week6 corresponds to RMS calculated considering
530 correction coefficients determined during the first and last weeks of the experiment. Hourly and
531 minute values are represented in full and empty symbols respectively. Right – Mean ΔCO_2
532 calculated for the four learning periods choices.



533
534 **Figure 11:** ΔCO_2 ($[\text{CO}_2]_{\text{Picarro}} - [\text{CO}_2]_{\text{HPP3.2}}$) of HPP3.2 instrument S2.2 during 45 days considering
535 different learning periods of one week. Results from learning periods of week one (W1) and



536 week six (W6) are in black and red respectively. The blue curve shows corrected ΔCO_2 when
 537 both W1 and W6 are used in the learning.

538

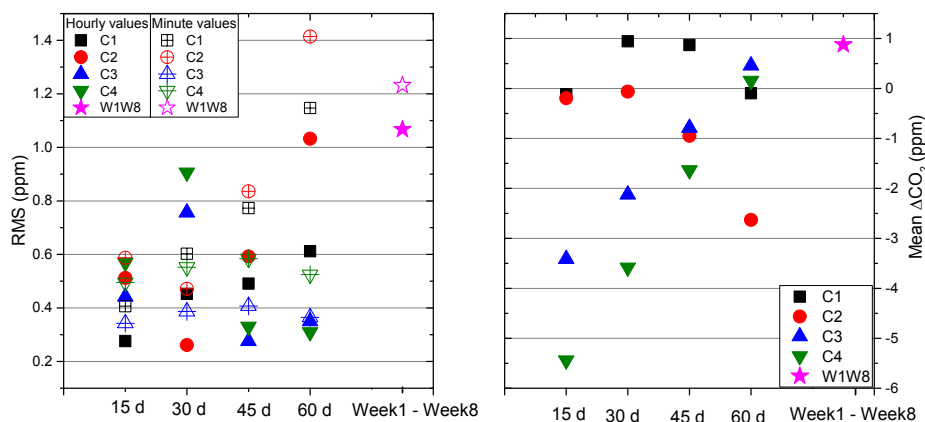
539 **4.2.3. Urban site of Jussieu (test WA2-2)**

540

541 To assess the performance of the HPP3.2 instruments, wet ambient air measurements at the
 542 urban site of Jussieu were carried for 60 consecutive days using HPP3.2 instrument S2.1
 543 alongside a Picarro upon which learning is applied. Figure 12 shows RMS and mean values
 544 calculated with four learning periods of 15 consecutive days each and one learning considering
 545 both first and last week of the experiment. Calibration coefficients for C1, C2, C3 and C4 are
 546 calculated considering learning periods of first, second, third and fourth 15 consecutive days of
 547 the experiment respectively. W1W8 coefficients are calculated considering week one (W1) and
 548 week eight (W8) of the experiment.

549 First, we look at the results using C1, C2, C3 and C4 coefficients. Out of the four consecutive 15
 550 day learning periods, C1 coefficients seem to provide the best correction of raw CO_2 mixing ratio
 551 with hourly RMS values between 0.3 and 0.6 ppm and mean values between 0 and 1 ppm.
 552 Absolute mean values of ΔCO_2 for C3 and C4 show a linear increase (slopes of 1.3 ppm per 15
 553 days for C3 and 1.9 ppm per 15 days for C4) the further we go from learning periods leading to
 554 hourly mean values of -3.4 and -5.4 ppm respectively for C3 and C4 corrections. This is a typical
 555 case where the drift component could not be well characterized by the chosen learning periods.
 556 Another interesting observation concerns minute RMS values of C3 and C4 corrections which
 557 are lower than hourly RMS values for the same coefficients calculated during the first and
 558 second 15 days. Minute RMS are 0.1 and 0.4 ppm lower respectively for the first and second 15
 559 day period for both C3 and C4 corrections. As for the previous urban measurements,
 560 considering both first and last weeks as a learning period provides satisfying results (RMS=1.1
 561 ppm, mean=0.9 ppm) for the correction of raw CO_2 mixing ratios during a period of a month and
 562 a half.

563



564

565 **Figure 12:** left – RMS values considering 4 learning periods of 15 consecutive days each. C1,
 566 C2, C3 and C4 corresponds to RMS calculated considering correction coefficients determined
 567 during the first, second and third 15 consecutive days respectively. W1W8 correspond to RMS
 568 calculated considering correction coefficients determined during the first and eighth weeks of the



569 experiment. Hourly and minute values are represented in full and empty symbols respectively.
570 Right – Mean ΔCO_2 calculated with the same five coefficients mentioned above.

571

572 **5. Conclusion and perspective**

573

574 We integrated HPP3.1 and HPP3.2 NDIR sensors into a portable low-cost instrument with
575 additional sensors and internal data acquisition. The laboratory tests reveal a strong sensitivity
576 of measured CO_2 mixing ratios to ambient air pressure for the HPP3.1 series and a significantly
577 decreased sensitivity to pressure, even for the upgraded HPP3.2 sensors equipped with a P
578 sensor and using a manufacturer P-correction. To achieve the required stability and accuracy for
579 urban observations, instruments have to be corrected at regular intervals against data from a
580 very accurate reference instrument to account for their cross-sensitivities to T, P, H_2O changes
581 and electronic drift, unless those parameters could be controlled externally in the future. We
582 found that commercially available P, T and RH sensors that are compatible with the chosen
583 Raspberry Pi3 platform are sufficiently precise to use these parameters as predictors of the
584 linear equation use to calibrate each HPP instrument against the very accurate reference
585 instrument, a process called learning.

586 Two common modes of operations have been successfully tested i.e. using the low-cost medium
587 precision instrument for either dried or undried gas streams. Our results indicate that using a
588 dried gas stream does not improve measurement precision or stability compared to an undried
589 gas streams provided that a multilinear regression model is used for calibration (learning), which
590 accounts for all cross-sensitivities including to H_2O mixing ratio changes.

591 We furthermore find that sensor specific corrections are required and they should be considered
592 time-dependent, e.g. by including a linear drift that only becomes more apparent for longer-term
593 observations. Different calibration strategies were tested for the Saclay and Jussieu ambient air
594 measurements based on reference CRDS systems, and their results evaluated against CRDS
595 cross validation data that were not used for learning. Those sites exhibit the typical mixing ratio
596 enhancement in urban GHG monitoring networks were LCMP instruments could be deployed in
597 the future. Regular (6 weekly) re-calibrations are found to be appropriate to capture sensor linear
598 drifts and changes in relevant cross-sensitivities, while not increasing the burden of performing
599 calibration too often by transplanting the low cost instrument to measure CO_2 in parallel with a
600 CRDS. Learning periods of one week with parallel CRDS measurements, spaced by a ‘free
601 running’ period of 45 days, was sufficient for the HPP data to be within 1 ppm of CRDS during
602 that period. This calibration approach by learning can be an alternative to permanently deploying
603 calibration gases for each individual sensor. Overall, the requirement of ca. 1ppm compatibility
604 for hourly means CO_2 mixing ratios for a dense CO_2 monitoring network in Paris (Wu et al. 2016)
605 was achieved and no significant long-term bias was detected.

606 The field tests at the Saclay and Jussieu station are being continued to see if the instrument
607 performance deteriorates over its lifetime. Since the start of the test in 2015 until now multiple
608 HPP3.1 sensors have been in use for without significant performance loss.

609 Future improvements for the LCMP instruments will include the addition of batteries to allow their
610 transport to the central calibration lab without power cut as well as using them in field
611 campaigns, e.g. landfills when connected to solar panels or small wind turbines. During future
612 tests at sites without reference instruments, small pressurized gas containers (12l, minican,
613 Linde Gas) will be used to regularly inject target gas to track the performance.

614 The overall operational cost of the new calibration scheme using a central laboratory and
615 rotating the LCMP systems can also only be assessed after more extensive field deployment
616 has been performed.

617



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