## Author's response to the reviews:

We thank the reviewers for their helpful comments to improve the quality of our manuscript. Our point-to-point replies to the individual comments are in italics, marked by R. as follows:

Referee #1 comments: General comments: This manuscript evaluates the capability of a type of Single particle mass
spectrometry (SPMS) to quantify the mass concentration of individual particles, with 6-week field measurement data. Seven major particle classes were concerned through using fuzzy classification, peak area information, and laboratory-based reference spectra. They show the significant difference between the observed particle number fraction and estimated mass contribution. It is interesting that the provided approach could assign the non-refractory compounds measured by AMS to different particle classes measured by the LAAPTOF. The authors also carefully estimate the error associated with the approach. I recommend publication of this manuscript with minor revision.

Specific Comments:

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1. A discussion on the representative of the field measurement data would be necessary in the revised version. For example, a detail comparison of the identified particle classes with those previously observed in similar region.

*R1:* We have found some previous studies in the similar region, and added them in section 3.1 "Identification of particle classes and the internal mixing", as follows:

1<sup>st</sup> paragraph in this section "...Similar species were previously identified off-line in the same region (Faude and Goschnick, 1997; Goschnick et al., 1994)."

4<sup>th</sup> paragraph: "...In fact, previous studies identified soil dust as the particle type dominating the coarse particles sampled in the same region (Faude and Goschnick, 1997; Goschnick et al., 1994). Goschnick et al. (1994) found a core-shell structure in both submicron and coarse particles collected north of the Karlsruhe city of Karlsruhe in the upper Rhine valley. This supports our hypothesis...."

2. Healy et al., 2013 has quantitatively determined the mass contribution for each carbonaceous particle classes. Inclusion of this in the introduction and discussion would be necessary for completeness. (References Healy, R.M., Sciare, J., Poulain, L., Crippa, M., Wiedensohler, A., Prevot, A.S.H., Baltensperger, U., Sarda-Esteve, R., McGuire, M.L., Jeong, C.H.,

25 McGillicuddy, E., O'Connor, I.P., Sodeau, J.R., Evans, G.J., Wenger, J.C., 2013. Quantitative determination of carbonaceous particle mixing state in Paris using single-particle mass spectrometer and aerosol mass spectrometer measurements. Atmospheric Chemistry And Physics 13, 9479-9496.)

R2: We have added this reference in introduction, method and discussion sections of the revised manuscript.

Lines 57-60: "This provides different sources for the non-refractory species measured by AMS and indicates different
 sources of aerosol" might be not appropriate. I think a major part of non-refractory species measured by AMS should be secondary.

R3: Indeed, major fractions of non-refractory species measured by AMS are secondary. Our points in that sentence are:(1) since the external mixing varied, namely, the dominating particle types varied, the sources for the non-refractory species might be different. The sources herein are stand for the particles containing non-refractory species; (2) varying mixing state also indicates different sources of aerosol particles. The sources in this case are the origins of particles.

4. Fig. 2: it is possible to compare the mass concentration of AMS and LAAPTOF in different size range? From Fig. 1, it can be seen significant difference of ODE in difference size range? A comparison of AMS and LAAPTOF in different size range might help reduce the difference.

- R4: The ODE for LAAPTOF is significantly size-dependent. However, the LAAPTOF results were corrected by using a sizedependent ODE. Both instruments are equipped with a similar PM<sub>2.5</sub> aerodynamic lens, which allows focusing the particles between 70 and 2500 nm vacuum aerodynamic diameter for both. However, LAAPTOF can only detect particles by light scattering, which are larger than about 200 nm mobility equivalent diameter (cf. Fig. 1). Therefore, the discrepancy between their results could only be due to particles in this size range, which typically has only a minor influence on the mass concentrations of the refractory components. AMS and LAAPTOF results differ mainly for periods when sulfate and organic
- 45 show high concentrations, due to the fact that the LAAPTOF is not sensitive to some sulfate salts, e.g., pure ammonium sulfate, and pure organic species. The overall difference can be reduced by using chemically-resolved effective densities and ODEs (please refer to R7).

5. Section 2.2 line 10-15. I do not understand why "A direct class-dependent quantification of particle mass is therefore not possible." Is it possible that the threshold values set for the positive and negative spectra correlation influence the assignment of individual particles to difficult particle types?

R5: (1) The classification method embedded in the Igor LAAPTOF data analysis software is Fuzzy c-means clustering, which

5 allows a single particle to belong to multiple classes (Reitz et al., 2016). Fractions of individual particles are assigned to different classes, according to the similarity (for example, if we set the number of classes to two, one particle would have x% similarity to class1 and 1-x% similarity to class 2). Such similarity information is only available for the whole data set rather than a single particle. For a single particle, we only have the information of the corresponding measurement time, its dva and the bipolar mass spectra, but no class information. Therefore, we used the fuzzy c-mean resulting representative class spectra as a reference to identify and count the number of single particles belonging to the individual classes.

We have revised the sentence to make it clearer for the readers, as follows:

"Thus, we can obtain similarity information for the whole data set rather than a single particle. One drawback is that the individual particles are not directly assigned to individual particle classes, which hinders a direct class-dependent quantification of particle mass."

**15** (2) The threshold values set for the positive and negative spectra correlation will influence the assignment of individual particles to different particle classes. Therefore, we tuned the thresholds until we obtained a time series of particle counts, which have a good ( $\gamma > 0.6$ ) correlation with fuzzy results (cf. Table S1 and Fig. S1).

6. Page 4 Line 29 "This leads to an uncertainty of  $\sim 100\%$  in particle mass." is it only for sea salt like particles? How about other particle classes?

20 *R6:* We have deleted this sentence and added a more general explanation of the uncertainty associated with particle shape later in section 2.2, as follows:

"The aforementioned assumptions and the related uncertainties in particle mass are summarised as follows: 1) ambient particles are spherical with a shape factor  $\chi=1$ . However, several ambient particle types are non-spherical with a shape factor  $\chi$  not equal to 1, e.g.,  $\chi_{NaCl} = 1.02-1.26$  (Wang et al., 2010) and  $\chi_{NH_4NO_3} = 0.8$  (Williams et al., 2013). This can cause

- 25 uncertainties of 26% and 20% for the particle diameter and 100% and 50% for the particle mass of sodium chloride like and ammonium nitrate like particles, respectively. For soot like particles, the shape caused uncertainty could be even larger, due to their aggregate structures. Such an uncertainty is difficult to reduce, since we do not have particle shape information for individual particles. However, using effective densities may at least partially compensate some of the particle shape related uncertainties. 2)..."
- 30 7. Page 4 Lines 35-40: The assumption of single density value for each particle classes might introduce large uncertainty. The author should adapt a possible density range through the previous publications and evaluate the uncertainty for each assumption. This would also help reduce the overall difference between the comparisons with AMS results.

R7: We think it is best to apply chemically or particle class resolved effective densities as suggested by Referee#2. Apart from that, we have also applied chemically or particle class resolved ODE values. This reduced the overall difference in the comparisons with AMS regults. We have undeted added more discussions in the main memory of the main memory of the main memory of the main memory of the main memory.

**35** comparisons with AMS results. We have updated added more discussions in the main manuscript and supporting information, as follows:

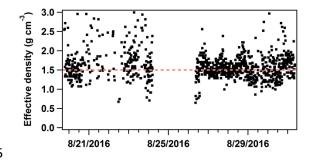
## Section 2.2 (3<sup>rd</sup> paragraph)

"It should be noted that in some previous studies, the particle shapes were also assumed as spherical and uniform particle densities ranging from  $\sim 1.2$  to 1.9 g cm<sup>-3</sup> were applied for total aerosol particle mass quantification (Allen et al., 2006; Allen

- 40 et al., 2000; Ault et al., 2009; Gemayel et al., 2017; Healy et al., 2013; Healy et al., 2012; Jeong et al., 2011; Wenzel et al., 2003; Zhou et al., 2016). In our study, we have determined an average density of  $1.5 \pm 0.3$  g cm<sup>-3</sup> for all ambient particles, based on a comparison between  $d_{va}$  measured by AMS and  $d_m$  measured by SMPS. However, the density for different types of ambient particles varies, especially for fresh ones (Qin et al., 2006). Particle densities varied during the campaign (Fig. S2) and the representative mass spectra of different particle classes indicate chemical inhomogeneity. In order to reduce the
- 45 uncertainty induced by the assumption of a uniform density, we assigned specific effective densities (derived from d<sub>va</sub>/d<sub>m</sub>) from literature data to each particle class. A density of 2.2 g cm<sup>-3</sup> was used for calcium nitrate rich particles (Zelenyuk et al., 2005), 1.25 g cm<sup>-3</sup> for aged soot rich in ECOC-sulfate (Moffet et al., 2008; Spencer et al., 2007), 2.1 g cm<sup>-3</sup> for sodium salts (Moffet et al., 2008; Zelenyuk et al., 2005), 1.7 g cm<sup>-3</sup> for secondary inorganic rich particles (Zelenyuk et al., 2005; Zelenyuk et al., 2008), 2.0 g cm<sup>-3</sup> for aged biomass burning particles (Moffet et al., 2008), 2.6 g cm<sup>-3</sup> for dust like particles (Bergametti

and Forêt, 2014; Hill et al., 2016). These densities were used for the individual particles of each class without size dependence. Similar chemically-resolved densities have also been used in some previous studies (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012)."

**Supporting Information** 



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Figure S2: Time series of effective densities derived from comparison between AMS-d<sub>va</sub> and SMPS-dm.

8. Page 6 Line 25 I think it would be better to include some references for the identification of amines.

R8: We have added some references to support our identification of amines. The sentence has been revised in section 3.1 to:

"In addition, it features marker peaks for amines at m/z 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, 86 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NCH<sub>2</sub><sup>+</sup>, 88
(C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NO/C<sub>3</sub>H<sub>6</sub>NO<sub>2</sub><sup>+</sup>, 118 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NCH<sub>2</sub><sup>+</sup>, which were also identified by SPMS in the other field and lab studies (Angelino et al., 2001; Köllner et al., 2017; Lin et al., 2017; Roth et al., 2016; Schmidt et al., 2017)."

**Referee #2 comments:** Shen et al describe single-particle mass spectrometry (SPMS) data analysis using LAAPTOF data from a summer 2016 field campaign in rural Germany. While the SPMS data itself appears sound, there are many major technical issues with their analyses, as well as their assertions of originality. Unfortunately, the authors appear to be unaware of the majority of the SPMS literature, which their work would highly benefit from. Please see below for description of

- 5 major issues, with references to previous literature that I hope will be useful for the authors to place their current work in context and aid in their data analysis and interpretation. I encourage the authors to rethink the framing of their manuscript and, instead of focusing on data analysis methods, consider the science that can be learned from their data itself by examining particle composition as a function of time and meteorological conditions, for example.
- R: We admit that we missed to cite and discuss several relevant publications and we really appreciate the constructive
  comments by reviewer #2 pointing to the weaknesses of our manuscript and showing ways for improvement. After carefully considering the reviewer's suggestion to shift the scope of the manuscript from the analysis method to the scientific application, we decided to improve the current manuscript highlighting its original points, which we still consider valuable not only to the LAAPTOF user community. In particular we:
  - 1) Removed subjective statements throughout the manuscript

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- 2) Demonstrated the stability of the LAAPTOF overall detection efficiency (ODE) during field deployment
- 3) Determined ODE for more particle classes allowing now chemically or particle class resolved correction for ODE values
- 4) Discussed the differences between our quantification method and those in previous SPMS studies

Please see the detailed revisions in our replies to the specific comments below.

- 20 1. Method development to obtain mass concentrations from SPMS data was previously shown through method development papers by Allen et al. (2000, Environ. Sci. Technol., "Particle detection efficiencies of aerosol time of flight mass spectrometers under ambient sampling conditions"), Fergenson et al. (2001, Analytical Chemistry, "Quantification of ATOFMS data by multivariate methods"), Wenzel et al. (2003, J. Geophys. Res., "Aerosol time-of-flight mass spectrometry during the Atlanta Supersite Experiment:2. Scaling procedures", Zhao et al. (2005, Analytica Chimica Acta, "Predicting bulk
- 25 ambient aerosol compositions from ATOFMS data with ART-2a and multivariate analysis"), Allen et al. (2006, Aerosol Sci. Technol., "Instrument busy time and mass measurement using aerosol time-of-flight mass spectrometry"), Bein et al. (2006, Atmos. Environ., "Identification of sources of atmospheric PM at the Pittsburgh Supersite– Part II: Quantitative comparisons of single-particle, particle number, and particle mass measurements"), and Qin et al. (2006, Analytical Chemistry, "Comparison of two methods for obtaining quantitative mass concentrations from aerosol time-of-flight mass spectrometry
- 30 measurements". The authors "new" method is very similar to the work discussed these older papers, yet these papers are not even cited in the current paper. Many subsequent SPMS papers have used these approaches to provide chemically-resolved mass concentrations: Bhave et al. (2001, Environ. Sci. Technol.), Ault et al. (2009, Environ. Sci. Technol.), Qin et al. (2012, Atmos. Environ.), Healy et al. (2012, Atmos. Chem. Phys.), Healy et al. (2013, Atmos. Chem. Phys.), Gunsch et al. (2018, Atmos. Chem. Phys.), and May et al. (2018, Environ. Sci. Technol. Lett.). I highly suggest that the authors review these
- 35 previous papers to decide how to move forward with their own work, placing it into the context of previous studies.

R1: Our ODE values are based on laboratory measurements of reference particles, while most previous studies determined their sensitivities by comparison of single particle data to data from reference instruments, both obtained in the field. The field-based scaling approaches (field-based ODE) allows converting particle number to mass and shows good agreement with the reference instrument and other independent quantitative aerosol particle measurements as well. However, field-

- 40 based ODE relies on the availability of a reference instrument and their corrections are often class independent. Our approach uses particle class dependent ODE values and doses not rely on the availability of a reference instrument in the field, which is a strength of our laboratory-based method. Our approach aims to determine to total particle mass for the different particle classes but is not intended to determine mass concentrations for specific particle compounds like sulfate or nitrate. However, we also studied special ion intensities or their ratios compared to AMS mass concentrations and found
- 45 useful correlations especially for the fraction of org/(org+nitrate). This will be applied for source apportionment in an upcoming publication.

We included most of the recommended publications in the introduction and method sections, and discussed them as shown below:

## Section 1. Introduction (4<sup>th</sup> paragraph)

"In the last two decades, great effort has been put into solving such quantification issues by using specific scaling or normalization methods. Allen et al. (2006) developed an explicit scaling method to quantify SPMS data, based on comparison with co-located more quantitative particle measurement. This approach has been widely used to obtain continuous aerosol mass concentrations as a function of particle size (Allen et al., 2006; Bein et al., 2006; Fergenson et al.,

- 5 2001) and has been improved by a hit rate correction (Qin et al., 2006; Wenzel et al., 2003). Recently, compositiondependent density corrections were applied to such scaling approaches to obtain chemically-resolved mass concentrations (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012). In these studies, the scaled SPMS data showed good agreement with the results from reference instruments, e.g., micro-orifice uniform deposition impactors (MOUDI), scanning mobility particle sizer (SMPS), aerodynamic particle sizer (APS), and other independent quantitative aerosol
- 10 particle measurements, e.g., by a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS). With respect to particulate chemical compounds, Gross et al. (2000) reported relative sensitivity factors (RSF) for ammonium and alkali metal cations in a single particle mass spectrometer to corresponding bulk concentrations and accurately determined the relative amounts of Na<sup>+</sup> and K<sup>+</sup> in sea-salt particles. Jeong et al. (2011) developed a method to quantify ambient particulate species from scaled single particle analysis. Healy et al. (2013) quantitatively determined the mass contribution of different
- 15 carbonaceous particle classes to total mass and estimated the mass fractions of different chemical species, i.e., sulphate, nitrate, ammonium, OC, EC, and potassium determined for each particle class, by using RSF. The resulting SPMS-derived mass concentrations of these particulate species were comparable with the reference bulk data. Similar methodologies have been used in other SPMS studies (Gemayel et al., 2017; Zhou et al., 2016). It should be noted that these field-based scaling approaches (field-based overall detection efficiency, ODE) rely on the availability of a reference instrument and their
- 20 corrections are mainly class independent."

We showed the originality of our method in Section. 1. (Last paragraph)

"In this study we aim to quantify mass contributions of different particle classes based on single particle measurements only by employing overall detection efficiencies determined in systematic laboratory studies. As a test case ambient aerosol particles were analysed in summer 2016 at a rural site in the upper Rhine valley of Germany, using and LAAPTOF and HR-

25 ToF-AMS. Seven major particle classes were identified by a fuzzy c-means analysis among a total of ~3.7 × 10<sup>5</sup> measured single particles. Based on laboratory determined size dependent overall detection efficiencies (ODEs) of LAAPTOF for different reference particle types, mass contributions for individual aerosol particles could be estimated. Aerosol particle mass concentrations determined independently by LAAPTOF and AMS are compared and potentially useful relationships of specific ion intensity ratios of LAAPTOF and AMS are discussed."

## 30 Section 2.2 (3<sup>rd</sup> paragraph)

"It should be noted that in some previous studies, the particle shapes were also assumed as spherical and uniform particle densities ranging from ~1.2 to 1.9 g cm<sup>-3</sup> were applied for total aerosol particle mass quantification (Allen et al., 2006; Allen et al., 2000; Ault et al., 2009; Gemayel et al., 2017; Healy et al., 2013; Healy et al., 2012; Jeong et al., 2011; Wenzel et al., 2003; Zhou et al., 2016). In our study, we have determined an average density of  $1.5 \pm 0.3$  g cm<sup>-3</sup> for all ambient particles,

- **35** based on a comparison between  $d_{va}$  measured by AMS and  $d_m$  measured by SMPS. However, the density for different types of ambient particles varies, especially for fresh ones (Qin et al., 2006). Particle densities varied during the campaign (Fig. S2) and the representative mass spectra of different particle classes indicate chemical inhomogeneity. In order to reduce the uncertainty induced by the assumption of a uniform density, we assigned specific effective densities (derived from  $d_{va}/d_m$ ) from literature data to each particle class. A density of 2.2 g cm<sup>-3</sup> was used for calcium nitrate rich particles (Zelenyuk et al.,
- 2005), 1.25 g cm<sup>-3</sup> for aged soot rich in ECOC-sulfate (Moffet et al., 2008; Spencer et al., 2007), 2.1 g cm<sup>-3</sup> for sodium salts (Moffet et al., 2008; Zelenyuk et al., 2005), 1.7 g cm<sup>-3</sup> for secondary inorganic rich particles (Zelenyuk et al., 2005; Zelenyuk et al., 2008), 2.0 g cm<sup>-3</sup> for aged biomass burning particles (Moffet et al., 2008), 2.6 g cm<sup>-3</sup> for dust like particles (Bergametti and Forêt, 2014; Hill et al., 2016). These densities were used for the individual particles of each class without size dependence. Similar chemically-resolved densities have also been used in some previous studies (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012)."
- +**5** *cr u..., 2010, Qin cr u..., 2000, Qin cr u..., 2012)*

## Main changes in discussions are as follows:

## Section.3.2 (3<sup>rd</sup> paragraph)

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"...In addition, during the whole campaign the sulfate mass fraction measured by AMS is largest in P3 (cf. Fig. 6c). However, the LAAPTOF is not sensitive to some sulfate salts, e.g., pure ammonium sulfate (Shen et al., 2018), thus it is likely that such particles were dominating in P3, which resulted in a weaker correlation between these two instruments. Relatively pure ammonium sulfate was also suggested to be a "missing" particle type in the other SPMS field studies (Erisman et al., 2001; Stolzenburg and Hering, 2000; Wenzel et al., 2003) and (Thomson et al., 1997) showed in a laboratory study that pure ammonium sulfate particles were difficult to measure using LDI at various wavelengths."

## Section 3.3 (1<sup>st</sup> paragraph)

"Considering the different capabilities of LAAPTOF and AMS, we did not apply the relative sensitivity factors (RSF) method
(Healy et al., 2013; Jeong et al., 2011). We analysed ourOur LAAPTOF and AMS data independently and compared them thereafter. For LAAPTOF data, we used relative ion intensities (each ion peak intensity is normalised to the sum of all or selected ion signals. Positive and negative ions were analysed separately), similar to the relative peak area (RPA) method suggested by Hatch et al. (2014)."

- The authors assert in the abstract (Page 1, Lines 30-31) that "[their] approach allows for the first time to assign the non-refractory compounds measured by AMS to different particle classes." Similarly, in the conclusions section, it is stated "our study...opens a new way for quantitative information of single particle data, and together with the complimentary results from bulk measurements by AMS we have shown how a better understanding of the internal and external mixing state of ambient aerosol particles can be achieved." These statements are not accurate, as many SPMS analyses have incorporated bulk aerosol composition data (both off-line impactor and online AMS): Bhave et al (2002, Environ. Sci. Technol.),
- 15 Middlebrook et al. (2003, J. Geophys. Res.), Spencer & Prather (2006, Aerosol Sci. Technol.), Ferge et al. (2006, Environ. Sci. Technol.), Drewnick et al. (2008, Atmos. Environ.), Dall'Osto et al (2009, Atmos. Chem. Phys.), Pratt et al. (2010, J. Atmos. Sci.), Pratt et al. (2010, J. Geophys. Res.), Pratt et al. (2011, Atmos. Chem. Phys.), Decesari et al. (2011, J. Geophys. Res.), Dall'Osto et al. (2012, Aerosol Sci. Technol.), Dall'Osto et al. (2012, J. Geophys. Res.), Dall'Osto et al. (2013, Atmos. Chem. Phys.), Decesari et al. (2012, J. Geophys. Res.), Dall'Osto et al. (2013, Atmos. Chem. Phys.), Healy et al. (2013, Atmos. Chem. Phys.), Decesari et al. (2014, J. Geophys. Res.), Dall'Osto et al. (2014, Atmos. Chem. Phys.), Healy et al. (2013, Atmos. Chem. Phys.), Decesari et al. (2014, Atmos. Che
- 20 al. (2014, Atmos. Chem. Phys.), Gunsch et al. (2018, Atmos. Chem. Phys.), and others. In fact the title of Healy et al. (2013, Atmos. Chem. Phys.) is "Quantitative determination of carbonaceous particle mixing state in Paris using single-particle mass spectrometer and aerosol mass spectrometer measurements". Again, these papers are not cited in the current work and should be considered in their data interpretation and discussion.

*R2:* We included the previous publications in different sections of the manuscript and pointed out how our approach differs and is valuable not only to the LAAPTOF user community.

Section 1. Introduction (4th paragraph): Please refer to our answer (R1) to previous comments

#### Section 1. Introduction (5<sup>th</sup> paragraph)

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"Many previous studies have also compared single particle classes and bulk species (Dall'Osto et al., 2012; Dall'Osto and Harrison, 2012; Dall'Osto et al., 2009; Dall'Osto et al., 2013; Decesari et al., 2014; Decesari et al., 2011; Drewnick et al., 2008; Gunsch et al., 2018; Pratt et al., 2010; Pratt et al., 2011; Pratt and Prather, 2012). Some studies compared ion

- 30 2008; Gunsch et al., 2018; Pratt et al., 2010; Pratt et al., 2011; Pratt and Prather, 2012). Some studies compared ion intensities from single particle data (Bhave et al., 2002) or specific ion ratios, such as nitrate/sulfate (Middlebrook et al., 2003), OC/EC (Spencer and Prather, 2006), and EC/(EC+OC) (Ferge et al., 2006), carbonaceous/(carbonaceous+sulfate) (Murphy et al., 2006) with the other bulk data. Hatch et al. (2014) used m/z 36 C<sub>3</sub><sup>+</sup> as a pseudo-internal standard to normalize the secondary inorganic and organic peak areas in organic rich particles, resulting in good correlation with the
- 35 independent AMS measurements. Similarly, Ahern et al. (2016) used the peak area ratio of organic matter marker at m/z 28 CO<sup>+</sup> to EC markers (C<sub>2-5</sub><sup>+</sup>) to account for laser shot-to-shot variability, and demonstrated a linear relationship between normalized organic intensity and secondary organic aerosol (SOA) coating thickness on soot particles. A normalized or relative peak areas (RPAs) method was suggested by Hatch et al. (2014) to account for shot-to-shot variability of laser intensities. Although the LDI matrix effects cannot be completely overcome by the aforementioned method, some examples
   40 for any discrete particle and hell metrogeneous discrete particles.
- 40 for good comparisons between single particle and bulk measurements were shown."

(2) Indeed, our study is not the first to compare to bulk composition data. One of the most relevant recent work was done by Healy et al. (2013) showing that SPMS-derived mass concentrations of organics, ammonium, nitrate and sulfate are comparable with AMS results. However, they used AMS data to generate particle class independent relative sensitivity factor (RSF) for organics, ammonium, nitrate and sulfate. Such RSF may vary in different particle types, and thus also vary during

- 45 individual measurement campaigns and for different locations. In our study, SPMS data and AMS data are analysed independently and only compared thereafter. Hence, our method can potentially be applied to deduce mass concentrations also if no AMS data is available. In addition, we found specific relationships of LAAPTOF ion intensities and AMS mass concentrations for non-refractory compounds, especially for the fraction of org/(org+nitrate), which has not been reported in previous studies. We showed the originality of our method in Section. 1. (last paragraph). Please refer to our answer (R1)
- 50 to previous comments.

#### (3) The inaccurate statements have been revised as follows:

## **Abstract**

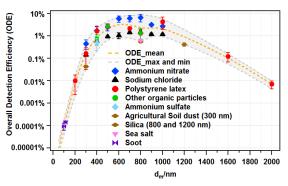
"Furthermore, our approach allows assigning the non-refractory compounds measured by AMS to different particle classes."

## Section 4 (Last paragraph)

- 5 "In spite of significant uncertainties stemming from several assumptions and instrumental aspects, our study provides a good example for identification and quantitative interpretation of single particle data. Together with the complimentary results from bulk measurements by AMS, we have shown how a better understanding of the internal and external mixing state of ambient aerosol particles can be achieved."
- 3 (1): Figure 1, which shows the overall detection efficiencies for various particle types, as determined in the laboratory, is
  useful. However, apparently these data are all already published in Shen et al. (2018, Atmos. Meas. Technol.), unfortunately limiting the originality here. (2) It would be great if additional particle type proxies, based on those observed in the field could be added (e.g. soot, biomass burning). Considering these data and Figure 2 (dominance of soot from 0.2-0.4 um), I encourage the authors to characterize their detection efficiency of soot particles in the laboratory. (3) Also, the authors apply this laboratory-derived ODE to their field data, but it is not discussed whether the ODE was verified in the field, or how
- 15 reproducible it is in the field.

*R3* (1): Actually, Figure 1 included additional overall detection efficiency (*ODE*) data compared to our previous publication (Figure 2 in Shen et al., 2018) such as organics, ammonium sulfate, soil dust, and sea salt particles.

(2) Following your suggestion we have added also ODE values in the revised version for additional sizes and particle types, such as soot. Soot particles from incomplete combustion of propane were generated with a propane burner (RSG miniCAST;
20 Jing Ltd.), and then injected into and sampled from a stainless steel cylinder of ~0.2 m<sup>3</sup> volume. It turns out that the ODE for soot particles are on the extrapolated mean ODE curve. In addition, we have added ODE values for SiO<sub>2</sub> particles (800 and 1200 nm d<sub>m</sub>) as dust proxy particles. The updated Fig. 1 is shown below:



- Figure 1: Overall detection efficiency of LAAPTOF for different types of particles as a function of the mobility diameter (d<sub>m</sub>),
  adapted from Shen et al. (2018) and extended. Dashed lines are fitting curves for maximum, mean and minimum values of ODE. For other organic particles (green), ODE at 400 nm is the data from secondary organic aerosol (SOA) particles from a-pinene ozonolysis, ODE at 500 nm is the data from humic acid, and ODE at 800 nm is data from humic acid (1.9 ± 0.3%), oxalic acid (0.3 ± 0.1%), pinic acid (1.6 ± 0.1%), and cis-pinonic acid (1.9 ± 0.7%). SOA particles were formed in the Aerosol Preparation and Characterization (APC) chamber and then transferred into the AIDA chamber. Agricultural soil
- 30 dust (brown symbol) were dispersed by a rotating brush generator and injected via cyclones into the AIDA chamber. Sea salt particles (purple) were also sampled from the AIDA chamber. Soot particles from incomplete combustion of propane were generated with a propane burner (RSG miniCAST; Jing Ltd.), and then injected into and sampled from a stainless steel cylinder of ~0.2 m<sup>3</sup> volume. SiO<sub>2</sub> particles were directly sampled from the headspace of their reservoirs. The other aerosol particles shown in this figure were generated from a nebulizer and size-selected by a DMA. Note that there is uncertainty
- 35 with respect to particle size due to the particle generation method. The nebulized and DMA sized samples have relative smaller standard deviation (SD) from Gaussian fitting to the measured particle sizes. PSL size has the smallest size SD (averaged value is 20 nm) and the corresponding relative SD (RSD = SD divided by the corresponding size) is ~6%, since the original samples are with certain sizes. The other nebulized samples have standard deviations ranging from 70 to 120 nm SD and 3 to 23% RSD. Particles sampled from AIDA chamber have much bigger size SD: ~70 nm for SOA (17% RSD),
- 40 ~100 nm for agricultural soil dust (~83% RSD) and ~180 nm for sea salt particles (~34% RSD). Considering this

uncertainty, we have chosen size segment of 100 nm ( $\pm$ 50 nm) for correction, e.g., particles with size of 450 to 550 nm will use the ODE at 500 nm particle number correction.

(3) During our field measurements we did calibrations of the LAAPTOF with PSL particles of 400, 500, 700, and 800 nm diameter resulting in ODE values with no significant difference compared to the ODE values determined in the laboratory,

5 as shown in the figure below. This finding reflects the good stability of the LAAPTOF performance in the temperature controlled container. Actually, once the LAAPTOF adjustments were optimized after transport no further adjustments were necessary during the 6 weeks of the campaign.

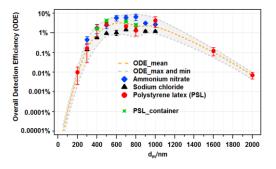


Figure: ODE values measured during the campaign (green markers) compared to values measured in the laboratory.

**10** The stability of the LAAPTOF ODE values is mentioned in the revised version as follows:

## Section 2.2 (2<sup>nd</sup> last paragraph)

"During our field measurements we did calibrations of the LAAPTOF with PSL particles of 400, 500, 700, and 800 nm  $d_m$  resulting in ODE values with no significant difference compared to the ODE values determined in the laboratory. This finding reflects the good stability of the LAAPTOF performance in the temperature controlled container. Actually, once the

15 LAAPTOF adjustments were optimized after transport no further adjustments were necessary during the 6 weeks of the campaign."

3 (4): Furthermore, the authors note that using the mean ODE introduces significant uncertainty; this is also why the previously published methods (e.g. Qin et al 2006) determine the detection efficiencies in the field with time. Also, in presenting this curve to LAAPTOF users, it is important to note that this curve should not be extrapolated to other

- 20 LAAPTOF or SPMS instruments without a standard to check against (e.g. PSLs). The authors simply note that "alignment and variance in particle-laser interaction lead to uncertainty in ODE" (Page 5, Lines 33-34). This paragraph suggests that this variance is included in the 540% ODE spread for various lab-generated aerosols; however, it should be noted that the ODE dependence on sizing laser and desorption/ionization laser powers and alignments will change with time, especially when the instrument is moved. This is why the previously published methods noted above (e.g. Qin et al. 2006) characterize detection
- 25 efficiencies in the field. For example, Jeong et al. (2011, Atmos. Chem. Phys.) and Wenzel et al. (2003) show how the hit fraction of particles can change with time during and between field campaigns; this is taken into account in the Wenzel et al. (2003) and Qin et al (2006) method. Also, for consideration of "variance in particle laser interaction" (Page 5, Line 34) the authors may be interested to review Wenzel & Prather (2004, Rapid. Commun. Mass Spec., "Improvements in ion signal reproducibility obtained using a homogeneous laser beam for on-line laser desorption/ionization of single particles").
- **30** *R3* (4): Laboratory-based ODE and the field-based ODE have their advantages and disadvantages. The field-based scaling approaches for quantification of single particle have been developed and being upgraded with hit rate correction and composition-dependent density correction, which now allows converting particle number to mass and shows good agreement with the reference instrument (as one may expect). Such that one can quantitatively interpret the mixing state of the ambient aerosol particles by SPMS, which is the strength of field-based scaling/ODE. However, these methods rely on the
- 35 availability of a reference instrument and their corrections are mainly class independent (e.g. Wentzel et al., 2003; Qin et al., 2006) even though upgraded versions aimed to correct chemical biases by using hit rate thresholds, below which a new missed particle type will be added to the total hit). Our approach uses particle class dependent ODE values and is not relaying on the availability of a reference instrument in the field.

As shown above and as discussed by Shen et al., 2018 the ODE of LAAPTOF in the field was very stable over a period of 6 40 weeks. We agree that it is important to note that the ODE curve applied herein should not be extrapolated to other LAAPTOF or SPMS instruments without a standard to check against e.g. PSL particles. We have added this statement in the revised version.

The corresponding content has been revised as follows:

## 5 Section 2.2 (2<sup>nd</sup> last paragraph)

20

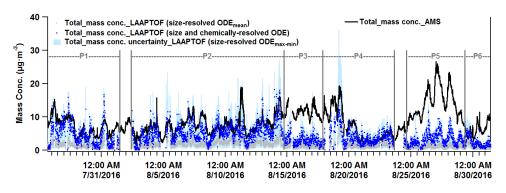
"2) instrumental aspects such as alignment and variance in particle-laser interaction lead to uncertainty in ODE. They are included in the uncertainties given in Fig. 1 for which repeated measurements after various alignments were used. The fluctuations of particle-laser interactions can be reduced by using a homogeneous laser desorption and ionization beam (Wenzel and Prather, 2004) or delayed ion extraction. (Li et al., 2018; Vera et al., 2005; Wiley and Mclaren, 1955). Note

- 10 that we used the same sizing laser and desorption/ionization laser pulse energy (4 mJ) in the field as those used for generating ODE, and aligned the instrument in the field with the similar procedures as we did in the lab. During our field measurements we did calibrations of the LAAPTOF with PSL particles of 400, 500, 700, and 800 nm d<sub>m</sub> resulting in ODE values with no significant difference compared to the ODE values determined in the laboratory. This finding reflects the good stability of the LAAPTOF performance in the temperature controlled container. Actually, once the LAAPTOF
- **15** adjustments were optimized after transport no further adjustments were necessary during the 6 weeks of the campaign. Moreover, it is important to note that the ODE curve applied herein should not be extrapolated to other LAAPTOF or SPMS instruments without a standard check against e.g. PSL particles."

4. Page 5, Lines 3-28: If the authors have chemically-resolved ODEs, why did then choose to apply a mean ODE to all data? If the ODEs are stable relative to a standard (e.g. PSLs), it seems like a strength of the current work that chemically-resolved ODEs, for each particle type, could be applied in the calculation of mass concentrations.

R4: The reason for choosing a mean ODE was that it is difficult to assign a specific ODE to individual particle classes. Particle classes of ambient aerosol particles are often complex mixtures for which we do not always have the corresponding laboratory reference. However, after measuring several ODE data for more reference particles we determined size and chemically or particle class resolved ODE values. Application of the chemically-resolved ODEs, as well as chemically-

25 resolved effective densities for each class results in a better agreement between LAAPTOF and AMS results as shown in the figure below. However, some discrepancies still remain especially for specific time periods e.g. P3 and P5 with high mass fractions of organics. Although LAAPTOF data shows a good correlation with the AMS data e.g. for period P5, it obviously misses a large mass fraction of most likely smaller organic particles. This may be due to an insufficient representation of this kind of organic rich particles in the particles classes identified initially. Even using reference spectra of organic rich particles it was not possible to indentify a number of those particles sufficient to close this gap.



*Figure:* Time series of total mass concentration measured by AMS and LAAPTOF total mass concentration estimated based on chemically-resolved densities and for different ODE values.

**35** We have updated the corresponding figures (Fig. 3, Fig. 5, Fig. S2, and Fig. S3 have been changed to new Fig. 4, Fig. 6, Fig. S5, and Fig. S6, respectively) and added some explanations in the revised version, as follows:

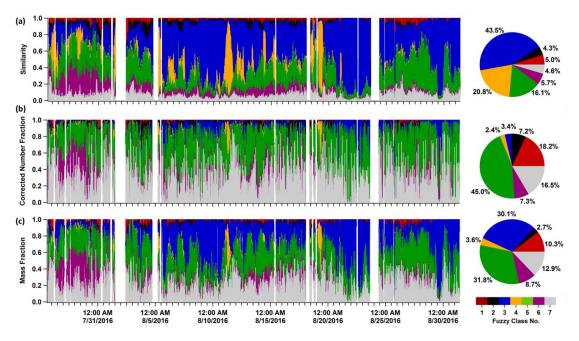


Figure 4: Time series of the similarity, corrected number fraction, and mass fraction of seven major particle classes and the corresponding pie charts for total fractions. Note that, the correction shown here is based on a chemically or particle class resolved ODE. The seven classes are class 1 "Calcium-Soil"; class 2 "Aged soot"; class3: "Sodium salts"; class 4 "Secondary inorganics-Amine"; class 5 "Biomass burning-Soil"; class 6 "Biomass burning-Organosulfate"; and class 7



"Mixed/aged-Dust".

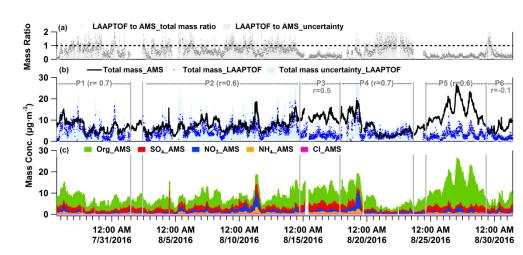
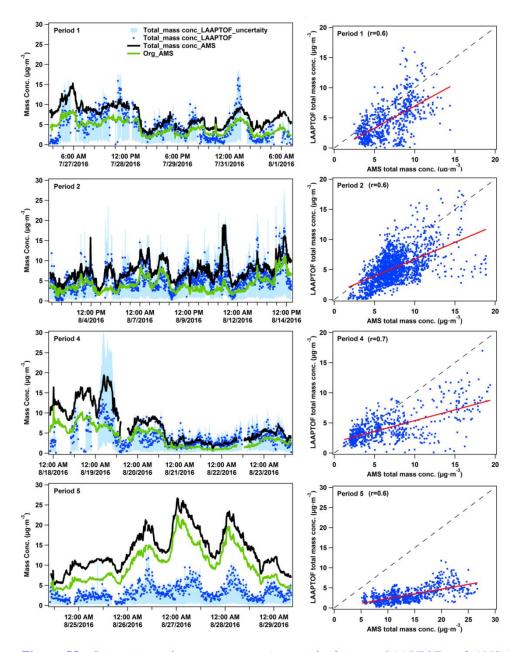


Figure 6: Time series of (a) total mass ratio of LAAPTOF to AMS data, (b) LAAPTOF total mass and AMS total mass (c)
mass concentrations of organic, sulfate, nitrate, and ammonium compounds measured by AMS. In panel (b) r is the Pearson's correlation coefficient between LAAPTOF and AMS results. P1 is Period 1 from 7/26/2016 16:23 to 8/1/2016 11:43; P2 from 8/2/2016 09:43 to 8/14/2016 17:53; P3 from 8/14/2016 18:03 to 8/17/2016 21:03; P4 from 8/17/2016 21:13 to 8/23/2016 15:33; P5 from 8/24/2016 15:03 to 8/29/2016 08:33; P6 from 8/29/2016 08:43 to 8/31/2016 09:13. Zoom in figures for P1, 2, 4, and 5 can be found in Fig. S5, as well as the corresponding scatter plots for LAAPTOF and AMS data

15 comparison.



*Figure S5:* Comparison of mass concentration results between LAAPTOF and AMS in four periods. r represents for Pearson's correlation coefficient. Period 1 is from 7/26/2016 16:23 to 8/1/2016 11:43; P2 from 8/2/2016 09:43 to 8/14/2016 17:53; P4 from 8/17/2016 21:13:00 to 8/23/2016 15:33; P5 from 8/24/2016 15:03 to 8/29/2016 08:33.

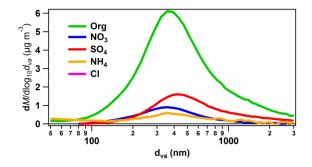


Figure S6: Chemical resolved size distributions for the particles measured by AMS during organics rich period (P5).

5

#### Section.2.2 (above equation 4)

"Therefore, we used reference particle ODE values to estimate the size dependent ODE values for the particle classes observed in the field as follows. ODE values for ammonium nitrate and sodium chloride were used to fit ODE curves for secondary inorganic rich and sodium salt like particles, respectively. The mean ODE values from all reference particles was

5 used for the class of aged soot particles since it showed best agreement with the reference soot particles (cf. Fig. 1). The minimum ODE curve from all reference particles was used for all dust like particle classes."

## Section.3.2 (2<sup>nd</sup> and 3<sup>rd</sup> paragraphs)

"It turns out that the total mass of the particles measured by LAAPTOF is 7±3% (with maximum ODE), 16±6% (mean ODE), 60±24% (minimum ODE) and 45±16% (23–68% with chemically-resolved ODE) of the total AMS mass depending on the measurement periods. Despite of this relative large differences in the average mass concentrations of LAAPTOF and AMS they show much better agreement in total mass and also good correlations during specific periods (P), such as P1, 2, 4, and 5 (cf. Fig 6 and Fig. S5), covering ~85% of the measurement time. Hence, the large differences in the average mass concentrations are caused by larger deviations during some relatively short periods or events. Considering that AMS can only measure non-refractory compounds, the good correlation between AMS and LAAPTOF gives us a hint that the species

measured by AMS may mainly originate from the particles of complex mixtures of both refractory and non-refractory species. It is worth noting that weakest correlation (γ=-0.1) is observed in P6 when LAAPTOF measured the high fraction of sodium salts particles (especially on August 29<sup>th</sup>). Specifically, from 9:00 to 23:53 on August 29<sup>th</sup>, LAAPTOF and AMS tended to be slightly anti-correlated (γ=-0.3), due to a burst of sodium chloride rich particles, which are refractory and thus AMS is unable to measure. Sodium chloride is a possible sub-class of sodium salts particles and will be discussed in a separate study.

**\_\_** 

As shown in Fig. 6 (a), the mass ratio of LAAPTOF to AMS has its lower values during lower value in P3 and P5 when the AMS organic mass concentration is higher than in most of the other periods. Although LAAPTOF data shows a good correlation with the AMS data e.g. for period P5, it obviously misses a large mass fraction of most likely smaller organic particles. The corresponding chemically-resolved size distributions of particles measured by AMS are given in Fig. S6. This

- 25 may be due to an insufficient representation of this kind of organic rich particles in the particles classes identified initially. Even using reference spectra of organic particles it was not possible to identify a number of those particles sufficient to close this gap. In addition, during the whole campaign the sulfate mass fraction measured by AMS is largest in P3 (cf. Fig. 6c). However, the LAAPTOF is not sensitive to some sulfate salts, e.g., pure ammonium sulfate (Shen et al., 2018), thus it is likely that such particles were dominating in P3, which resulted in a weaker correlation between these two instruments. Relatively
- 30 pure ammonium sulfate was also suggested to be a "missing" particle type in the other SPMS field studies (Erisman et al., 2001; Stolzenburg and Hering, 2000; Wenzel et al., 2003) and (Thomson et al., 1997) showed in a laboratory study that pure ammonium sulfate particles were difficult to measure using LDI at various wavelengths."

The authors make many subjective statements that can be refuted by previously published literature, such as those mentioned above (and others not listed here). I caution the authors from making such statements. For example, "SPMS is a useful, albeit not fully quantitative tool" (Page 1, Line 14) and "SPMS data analysis has been proven difficult under real world conditions" (Page 2, Lines 2-3). The authors state that "mass spectroscopic signatures do not necessarily reflect the primary composition of the particles" (page 2, lines 7-8). However, many previous papers (e.g., Bhave et al. 2001, Environ. Sci. Technol., Reinard et al., 2007, Atmos. Environ., Toner et al. 2008, Atmos. Environ., Pratt & Prather 2009, Environ. Sci. Technol., Healy et al. 2010, Atmos. Chem. Phys., and others) have examined the SPMS source signatures of primary

40 particles and apportioned ambient particles according to these signatures, which is arguably one of the strengths of SPMS.

R5: We agree with your comment and tried to remove or rephrase all rather subjective statements, e.g. as follows:

"SPMS is a useful, albeit not fully quantitative tool" has been changed to "SPMS is a widely used tool"

"SPMS data analysis has been proven difficult under real world conditions." has been changed to "there are still challenging issues related to large amounts of SPMS data analysis."

45 "Mass spectroscopic signatures do not necessarily reflect the primary composition of the particles" has been changed to "some mass spectroscopic signature peaks do not necessarily reflect the primary composition of the particles."

We agree with you about the strengths of SPMS for apportionment of the ambient particles according to the spectroscopic signatures. However, there are still some signatures, which cannot be well distinguished. For example, potassium and organics can both contribute to m/z 39. As mentioned in a resent SPMS study (Christopoulos et al., 2018), different primary

aerosol particles may have similar marker peaks, e.g., fly ash, mineral dust, and biological aerosol particles can all have phosphate makers (Zawadowicz et al., 2017) Therefore, many studies used specific ratios to refine the signatures, as well as reference spectra in our previous work.

6. Page 3, Line 36-37: The authors state that the LAAPTOF has a size range of 70 to 2500 nm; however, Figure 1 shows that the detection efficiencies of particles <400 nm and >1200 nm is extremely low (<1%), making this earlier statement seem misleading.

*R6*: We agree that this was misleading. Therefore, we have changed the corresponding section as follows:

"In brief, aerosols are sampled with a flowrate of ~80 cm<sup>3</sup> min<sup>-1</sup> via an aerodynamic lens, focusing and accelerating particles in a size range between 70 nm and 2500 nm d<sub>va</sub>. Afterwards, they pass through the detection chamber with two
diode laser beams (λ = 405 nm). Particles smaller than 200 nm and larger than 2 µm are difficult to detect, due to weak light scattering by the smaller particles and due to a larger particle beam divergence for the larger particles."

7. Section 2.2: The authors apply particle densities to each particle class and assume that all particles are spherical. Instead the authors could consider applying measured individual particle effective densities (reducing assumptions) (e.g. Zelenyuk et al. 2008, Analytical Chem., Spencer et al. 2007, Environ. Sci. Technol., Zhang et al. 2016, Atmos. Chem. Phys.), as has been

15 done in other SPMS studies converting to mass concentrations (e.g. Qin et al. (2012, Atmos. Environ.), Gunsch et al. (2018, Atmos. Chem. Phys.), and May et al. (2018, Environ. Sci. Technol. Lett.).

R7: We have applied effective densities from the literature and explained this in the method section, as follows:

## Section 2.2 (3<sup>rd</sup> paragraph)

5

"In order to reduce the uncertainty induced by the assumption of a uniform density, we assigned specific effective densities
(derived from d<sub>va</sub>/d<sub>m</sub>) from literature data to each particle class. A density of 2.2 g cm<sup>-3</sup> was used for calcium nitrate rich particles (Zelenyuk et al., 2005), 1.25 g cm<sup>-3</sup> for aged soot rich in ECOC-sulfate (Moffet et al., 2008; Spencer et al., 2007), 2.1 g cm<sup>-3</sup> for sodium salts (Moffet et al., 2008; Zelenyuk et al., 2005), 1.7 g cm<sup>-3</sup> for secondary inorganic rich particles (Zelenyuk et al., 2005; Zelenyuk et al., 2008), 2.0 g cm<sup>-3</sup> for aged biomass burning particles (Moffet et al., 2008), 2.6 g cm<sup>-3</sup> for dust like particles (Bergametti and Forêt, 2014; Hill et al., 2016). These densities were used for the individual particles

25 of each class without size dependence. Similar chemically-resolved densities have also been used in some previous studies (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012)."

8. Section 3.1: (1) This section does not provide any new information in terms of methods/technology; this is simply a description of mass spectra particle types observed during the field study. I also have several concerns about particle type identification, mostly with the respect to the attribution of nearly all particles as "dust-like", which does not have support by

30 the m/z marker ions shown and described, as I note below. In fact, mass spectral markers supporting dust are only shown (in Fig 2) and discussed here for Class 1 (5%, by number) and Class 7 (4.6%, by number), leaving >90% of the particle as non-dust particles. Also, it would be useful to move Figure 4 and its discussion to this section, as that figure is useful and aids with particle type classification.

R8 (1): Considering your suggestions we have revised the particle class labels as listed in the table below:

## 35 Table 1: Particle class numbers, names, and labels.

| Class No. | Name  | Label                         |
|-----------|---|-------------------------------|
| 1         | Calcium rich and soil dust like particles                   | Calcium-Soil                  |
| 2         | Aged soot like particles                                    | Aged soot                     |
| 3         | Sodium salts like particles                                 | Sodium salts                  |
| 4         | Secondary inorganics rich and amine containing particles    | Secondary inorganics-Amine    |
| 5         | Aged biomass burning and soil dust like particles           | Biomass burning-Soil          |
| 6         | Aged biomass burning and organosulfate containing particles | Biomass burning-Organosulfate |
| 7         | Mixed/aged and dust like particles                          | Mixed/aged-Dust               |
|           |   |                               |

In this section, we did use Fig 4 (Correlation diagram of fuzzy representative spectra and 36 laboratory-based reference spectra; new Fig 5 in the revised version) to discuss particle type identification (page 6 line10 and page 7 line 15 in the manuscript submitted. For example, among the 7 classes, we attributed class 1, 5, 6 and 7 as "dust-like", based on the correlation diagram (cf. new Fig.5). We cannot rule out especially the soil dust contributions although there are not obvious

5 m/z marker ions showing. The weaker signal may be caused by a core-shell structure of the particles. In fact, previous studies identified soil dust as the major particle type dominating the coarse particles sampled in the same region of the upper Rhine valley (Faude and Goschnick, 1997; Goschnick et al., 1994). Goschnick et al. (1994) found a core-shell structure in both submicron and coarse particles collected North of Karlsruhe city.

8 (2). Particle Class 4: The authors call these "Secondary inorganic and amine like particles" and discuss secondary markers 10 on lines 24-25 (page 6) and larger size (0.5-1 um) (line 27). Yet, the next sentences (lines 26-27) states "class 4 is relatively "clean" with the fewest peaks, indicating that the particles might be relatively fresh." There is no mass spectral support for these as freshly emitted particles; in fact the authors say "secondary" in the naming of the particle class. This discrepancy must be fixed.

R8 (2): Indeed, this was misleading. We think these particles are rather young secondary particles, formed not very long ago, 15 as they obviously had no time to uptake other species. We have changed this in the revised manuscript, as follows:

"Among all the representative mass spectra for seven particle classes, class 4 is relatively 'clean' with the fewest peaks (cf. Fig. 2 and Fig. S3), indicating that these particles did not have had the time to uptake other components. Hence, most likely they were formed not very long ago by conversion of their precursors."

8 (3). Particle Class 5: The authors call these "Potassium rich and aromatics coated dust like particles", with m/z 39 (K+), 20 aromatic marker peaks, and m/z 213 (K3SO4+). The authors note that these "particles might originate from biomass burning". No mass spectral support is provided for identification as dust. Based on other SPMS literature of biomass burning studies, I believe this particle class should be labeled as "Biomass Burning".

Particle Class 6: The authors call these "Organosulfate coated dust-like particles", with organosulfate marker ions. Again, no mass spectral marker ions are discussed to support identification as dust. Also, these particles seem very similar to Class 5 (with large K+, m/z 213, etc); could they correspond to more aged biomass burning particles?

R8 (3): As mentioned above, the reason for naming them as dust like particles were based on the correlation diagram, showing good correlation between them and the dust particles, especially for class 5. However, we agree that it is more reasonable to assign them to biomass burning particles, due to the strong maker ions of potassium mixed with sulfate. Given the other features, such as strong nitrate marker and the good correlation with soil dust for class 5, and organosulfate

30 markers for class 6, we have labelled both of them as aged biomass burning particles. We have changed their labels to "Biomass burning-Soil" and "Biomass burning-Organosulfate" and discussed this in the revised manuscript, as follows:

## Section 3.1 (4<sup>th</sup> paragraph)

25

"Note that we also attributed this class as soil dust like based on the correlation diagram (Fig. 5), although there are no obvious marker ions visible. It is correlated well ( $\gamma \ge 0.6$ ) with reference spectra of dust particles, especially agricultural soil

- 35 dust. The weak spectral signal might due to a core-shell structure of the particles. In fact, previous studies identified soil dust as the particle type dominating the coarse particles sampled in the same region (Faude and Goschnick, 1997; Goschnick et al., 1994). Goschnick et al. (1994) found a core-shell structure in both submicron and coarse particles collected north of the Karlsruhe city of Karlsruhe in the upper Rhine valley. This supports our hypothesis. In addition, similar as class 3, class 5 also has two modes in its size distribution centred at about 500 and 800 nm dva. Such potential sub-classes will be further 40
- analysed in the future."

8 (4). Page 5, Line 41: m/z 24 (C2-) is attributed here to organics, which is possible for 193 nm at high laser pulse energy (e.g., Zelenyuk et al. 2009, Int. J. Mass Spec.); however, it is also a common elemental carbon marker peak (e.g., Zelenyuk et al. 2017, Int. J. Engine Res., Spencer et al. 2006, Aerosol Sci. Technol.).

R8 (4): Yes, you are right. We have pointed this out in the revised version, as follows:

45 "Besides, m/2 24  $C_2$  could also be related to elemental carbon (EC). In this case, m/2 24<sup>-</sup> should actually show a higher intensity than m/z 26<sup>-</sup>, and further EC markers ( $C_n^{\pm}$ ) should show up as well."

9. Page 6, Lines 6-8: I am quite confused by this statement. Does this mean that fuzzy classification does not separate individual mass spectra into individual clusters? Or, if this isn't the case, why are the authors using "similarity" to estimate the number fraction of particles in each group? Why not simply count the number of mass spectra in a given group and then divide by the total number of particles sampled? Please clarify. Neutral networking algorithms used previously in SPMS (e.g. Rebotier & Prather 2007, Analytical Chimica Acta) separate individual mass spectra (corresponding to individual particles) into separate clusters such that it is simple to calculate number fractions.

R9: Actually, fuzzy c-means classification does not separate individual mass spectra into individual clusters. Instead, it
classifies the spectra according to their similarities, allowing one spectrum (particle) to belong to different particle classes! This is explained in the method section. In the revised manuscript, we have added one paragraph in the introduction section to clarify this classification method, as follows:

#### Section 1 (2<sup>rd</sup> paragraph)

- "Particle type identification, i.e., the assignment of every detected particle to one out of a set of particle types, which are
  either predefined or deduced from the experimental data, is perhaps one of the most critical issues. Different data classification methods, e.g., fuzzy k-means clustering algorithm, fuzzy c-means (modification of k-means), ART-2a neural network, hierarchical clustering algorithms, and machine learning algorithms are applied to reduce the complexity and highlight the core information of mass spectrometric data (Reitz, et al., 2016; Christopoulos et al., 2018). Reitz et al. (2016) reviewed commonly used data classification methods in SPMS studies and pointed out the advantage of the fuzzy c-means
- 15 clustering approach, which allows individual particle to belong to different particle classes according to spectral similarities. One recent classification approach applied machine learning algorithms and successfully distinguished SOA, mineral and soil dust, as well as biological aerosols based on a known a priori data set (Christopoulos et al., 2018). In this study we used the fuzzy c-means clustering approach which is embedded in the data analysis Igor software for our laser ablation aerosol particle time-of-flight mass spectrometer (LAAPTOF, AeroMegt GmbH). Based on the data classification, averaged or representative mass spectra of different particle classes can be obtained. "

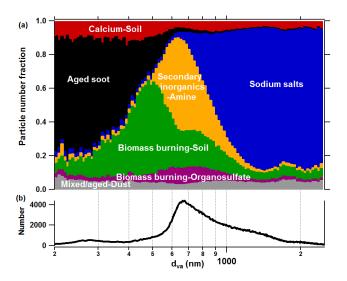
Furthermore we have added the following sentence to section 2.2:

"Thus, we can obtain similarity information for the whole data set rather than a single particle. One drawback is that the individual particles are not directly assigned to individual particle classes, which hinders a direct class-dependent quantification of particle mass."

- 25 10. Figure 2: I suggest raising the cut-off intensity for the mass spectra peak areas, as there is significant noise shown in all mass spectra currently. Some labeled peaks also do not appear to be above the limits of detection; please check. Also, it would be useful to add the particle type names, in addition to the numbers, to the labeling of the mass spectra. Please also clarify what is meant by "background fragments that exist for every particle class"; do the authors mean common ions, or do they mean that there is a chemical background somehow in the mass spectrometer?
- **30** *R10:* We have raised the cut-off intensity and removed some labelled peaks not above the detection limit, and added the particle type names. The background fragments are the common ions observed in every particle class. We have updated Figure 2 and clarified this in the caption.

11. Figure 3: (1) Given the large number fraction of EC particles (class 2) in Figure 2, why is this not reflected in Figure 3, especially since the particle number concentration mode should be at less than 0.2 um? I'm concerned that there could be a

- 35 problem here in the application of the ODE to the "corrected number fractions" shown here. (2) Also, since the authors have taken the time to convert to number and mass concentrations, it would be useful to show to chemically-resolved number and mass concentration time series plots. (3) It would also be useful here and throughout to refer to particle classes chemically (e.g. dust, EC, biomass burning, organic carbon-sulfate) rather than numbers that require the reader to refer regularly back to Section 3.1.
- **40** *R11:* (1) Although the number fraction of aged soot particles (class 2) is large in the smaller size range between 200-400 nm  $d_{va}$  their contribution to the total number counts is only 4.3% (Figure 3a) or 7.3% (Figure 3b) after correction of the number fraction. As shown in the figure below, the total number of particles counted for particle sizes below 500 nm is much smaller than that for particles with sizes above 500 nm diameter. Therefore, the number fraction of the aged soot particles which dominate the small particles is only a minor fraction of the total number of all particles which is dominated by the larger
- **45** *particles. To illustrate this we have made a new Fig. 3 for section 3.1 combining the size dependent number fractions and the total number counts measured during the campaign.*

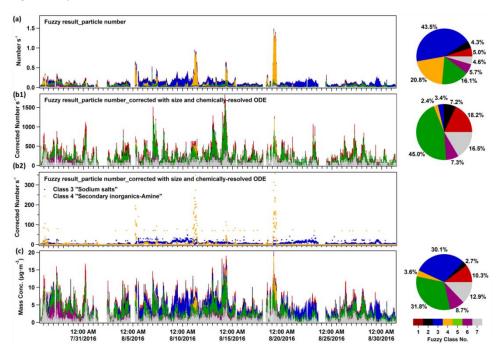


*Figure 3:* (a) Size resolved number fraction for seven particle classes measured during the field campaign TRAM01, based on fuzzy classification according to fuzzy c-means clustering algorithm. (b) Overall size distribution for the particles measured by LAAPTOF during the whole campaign.

5 *Furthermore, we have added the following text to section 3.2:* 

"Please note that the aged soot particles (class 2), which dominate the number fraction for particles below 400 nm in the fuzzy c-means analysis comprise only a minor fraction of the total number counts in Figure 4 because the total particle number is dominated by particles larger than 500 nm (cf. Figure 3b)."

(2) We have added chemically-resolved number and mass concentration time series in the revised supporting information asFig. S4, as follows:



**Figure S4:** Time series of the particle number, corrected number, and mass concentration of seven major particle classes and the corresponding pie charts for total fractions. 7 fuzzy classes are class 1 "Calcium-Soil"; class 2 "Aged soot"; class3: "Sodium salts"; class 4 "Secondary inorganics-Amine"; class 5 "Biomass-Soil"; class 6 "Biomass-Organosulfate"; and class 7: "Mixed/aged-Dust". This figure is similar as Fig. 3, except with the absolute values. Another panel (b2) was

**15** and class 7: "Mixed/aged-Dust". This figure is similar as Fig. 3, except with the absolute values. Another panel (b2) added in order to better visualize the time series of class 3 and 4, since their number fraction is small after correction.

## (3) Wherever space allowed we have replaced particle class numbers by their names or labels.

12. Page 7, Lines 26-27: The authors state here "...there is no well-defined relationship between spectral signal and quantity."
20 I disagree with this statement, as many SPMS (and LDI generally) papers have investigated this relationship, which is governed by ionization energies of species. Based on the statements on Page 2, Lines 20-26, I'm concerned that the authors

may have some confusion about LDI, which is known to primarily result in neutral (rather than ion) formation. I encourage the authors to read textbook or review literature on MALDI and LDI (e.g., Zenobi & Knochenmuss 1998, Mass Spec. Rev., "Ion Formation in MALDI Mass Spectrometry"). For example, in the positive ions, typically the largest ions correspond to those with the lowest ionization energies (of those in the sample). SPMS data analysis methods to account for LDI matrix

- 5 effects are discussed by Hatch et al (2014, Aerosol Sci. Technol.). The authors do mention in the introduction that SPMS relative sensitivity factors that account for differences in ionization energies are discussed by Gross et al. (2000, Analytical Chem.). Woods et al. (2001, Analytical Chem., "Quantitative detection of aromatic compounds in single aerosol particle mass spectrometry") is another reference. Thomson et al. (1997, Aerosol Sci. Technol., "Thresholds for laser-induced ion formation from aerosols in a vacuum using ultraviolet and vacuum-ultraviolet laser wavelengths"), Thomson & Murphy
- 10 (1993, Applied Optics, "Laser-induced ion formation thresholds of aerosol particles in a vacuum"), and Reinard & Johnson (2007, J. Am. Soc. Mass Spec.) will likely also be useful to the authors. Other papers discussing relationships between species quantities and SPMS ion signals are provided above in the comments about SMPS quantification and comparisons with bulk measurements.

R12: (1) Indeed, several SPMS papers (mainly ATOFMS) have investigated the relationship between spectral signal and quantity, such as the examples you mentioned. However, we don't think that relationships between spectral signal and quantity are well-defined especially considering field observations. What can be achieve is maybe demonstrated best by Gross et al., 2000. For LAAPTOF no systematic work to determine this relationship has been done yet. In fact, our statement you pointed out only refers to the LAAPTOF instrument. We have clarified this in the revised version, as follows:

#### Section 3.1 (2<sup>nd</sup> last paragraph)

20 "We emphasize here that the expression "rich" as used in this study only indicates a strong signal in the mass-spectra rather than a large fraction in mass, since there is no well-defined relationship between LAAPTOF spectral signal and the corresponding quantity. The sensitivities of this instrument to different species have to be established in the future."

(2) We revised our statement on Page 2, Lines 20-26 (former version), in order to make it more clearly:

## Section 1 (4<sup>th</sup> paragraph)

25 "...because laser ablation only allows an a priori unknown fraction (neutral species) of the single particle to be vaporized/desorbed and then ionized (Murphy, 2007; Reinard and Johnston, 2008). In addition, matrix effects may obscure the particle composition (Gemayel et al., 2017; Gross et al., 2000; Hatch et al., 2014)."

13. Sections 3.2 & 3.3: My comments about this section are primarily summarized above in my notes about previous work producing mass concentrations from SPMS data. I want to add here two additional comments.

- 30 (1) Given the differences in typical detection efficiencies between the LAAPTOF and AMS, one would not expect good correlations without examining only the size range of overlap, which I would encourage the authors to do. Figure 5 should be revised accordingly. (2) I also encourage the authors to look at previous SPMS-AMS comparisons (see comments above) for greater interpretation of their results and also for additional ways to conduct this analysis that have previously been successful. (3) For individual ions (Page 8, Lines 22-34), I suggest the authors look at Hatch et al. (2014, Aerosol Sci.
- 35 Technol.) and Healy et al (2013, Atmos. Chem. Phys., "Quantitative determination of carbonaceous particle mixing state in Paris using single-particle mass spectrometer and aerosol mass spectrometer measurements"). (4) The authors note that sulfate salts may have been missed during P3. As such I suggest the authors consider the work of Wenzel et al. (2003, J. Geophys. Res.), who developed a method to identify and quantify "missed" particles, consistent with pure ammonium sulfate.
- R13: (1) Both instruments are equipped with a similar  $PM_{2.5}$  aerodynamic lens, which allows focusing the particles between 40 70 and 2500 nm vacuum aerodynamic diameter for both. However, LAAPTOF can only detect particles by light scattering, which are larger than about 200 nm mobility equivalent diameter (cf. Fig. 1). Therefore, the discrepancy between their results could only be due to particles in this size range, which typically has only a minor influence on the mass concentrations of the refractory components.

We have added the following sentences to sections 2.1 and 3.2, respectively:

45 "In brief, aerosols are sampled with a flowrate of ~80 cm<sup>3</sup> min<sup>-1</sup> via an aerodynamic lens, focusing and accelerating particles in a size range between 70 nm and 2500 nm  $d_{va}$ . Afterwards, they pass through the detection chamber with two diode laser beams ( $\lambda = 405$  nm). Particles smaller than 200 nm and larger than 2 µm are difficult to detect, due to weak light scattering by the smaller particles and due to a larger particle beam divergence for the larger particles." "After correction of the number counts and estimation of the mass concentrations, we can compare the LAAPTOF result with the quantitative instruments such as AMS in the overlapping size range of 200 to 2500 nm  $d_{va}$ . A correction for the particles in the size range between 70–200 nm considering mass concentrations may be negligible since they typically contribute only a minor mass fraction."

- 5 (2) Please refer also to our answers to previous comments. The main approach used in previous SPMS-AMS comparisons is the field-based scaling approach, which relies on the availability of the reference instrument and typically assumes a particle class independent detection efficiency, as well as a class independent relative sensitivity factor (RSF) for different particulate species. Our laboratory-based method does not require a reference instrument during the field measurement and accounts for particle class size dependent detection efficiencies. It is evident that both methods have different advantages
- **10** and disadvantages. To reflect this we have added the following to the introduction (please refer to our answers to previous comments) and conclusions sections, as follows:

## Section 4 (2<sup>nd</sup> paragraph)

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"...we applied a quantification method for single particles, employing size and particle class/chemically-resolved overall detection efficiencies (ODE) for this instrument. In contrast to methods used in previous SPMS studies, our approach is laboratory-based and doses not rely on the availability of a reference instrument in the field."

(3) We have added these references to the introduction and discussion sections.

(4) We have included the work of Wentzel et al., 2003 to support our discussions on missing (sulfate) particles in Section.3.2 (3<sup>rd</sup> paragraph). Please refer to our answer (R4) to previous comments.

- 14. Figures 6-8: (1) Due to matrix effects in LDI, peak areas of a given species will depend on the full matrix of a particle,
  such that an ammonium peak area for a given quantity would be expected to be different on a dust particle vs an organic carbon particle. As such, it is not advised to compare peak areas across all particle types together. Please see Hatch et al. (2014, Aerosol Sci. Technol.). Also see Healy et al. (2013, Atmos. Chem. Phys.) for suggestions of how to compare SPMS and AMS data, as they found agreement when the data are handled properly. (2) Bhave et al. (2002, Environ. Sci. Technol.) may also be useful, as they compared ammonium and nitrate SPMS data to bulk filter data. For organics in particular, the
- 25 authors may consider Spencer & Prather 2006 (Aerosol. Sci. Technol., "Using ATOFMS to determine OC/EC mass fractions in particles") and Ferge et *al. (2006, Environ. Sci. Technol.)*.

R14 (1): Indeed, the matrix effects have a strong impact on the peak areas and it is not advised to compare peak areas across all particle types. To correct for the LDI matrix effect, Hatch et al., 2014, used m/z 36  $C_3^+$  as a pseudo-internal standard to normalize the secondary inorganic and organic peak areas in organic rich particles, resulting in good

30 correlation with the independent AMS measurements. Hatch et al. (2014) suggested another method named normalized or relative peak areas (RPAs) to account for LDI artifacts, such as shot-to-shot variability of laser intensities. However, matrix effects cannot be completely overcome by pseudo-internal standards or a RPAs method.

Actually, instead of absolute peak area/ion intensities, we used relative ion intensities to correlate LAAPTOF and AMS data (each ion peak intensity is normalized to the sum of all or selected ion signals. Positive and negative ions were analysed

**35** *separately). Variations of these correlations are caused by varying particle classes. This is actually similar to the method used by Hatch et al. (2014).* 

We have shown that specific ratios such as org/(org+nitrate) are useful to determine the relationships of LAAPTOF ion intensity and AMS mass concentration (cf. Fig. 6 and Fig. S4; which are modified and changed to new Fig. 7 and Fig. S7), which will be applied for source apportionment in an upcoming publication, and to estimate mass concentrations in future SPMS studies

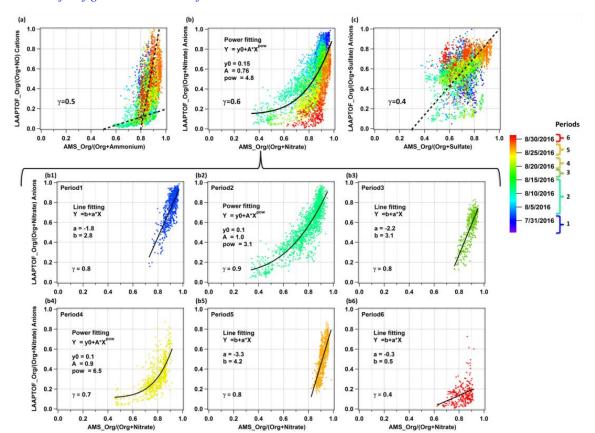
40 SPMS studies.

Actually, partially employing the LDI matrix effects the time series of relative intensities of maker peaks (Fig. 7 and 8; new Fig. 8 and 9) allow at least for preliminary assignments of the bulk species from AMS to different particle types. This should be useful in further source appointment.

(2) For comparison of ammonium, nitrate, and organic signals, we have cited Bhave et al. (2002), Spencer & Prather 2006,
 and Ferge et al. (2006) in the introduction.

The corresponding revisions are in Section 1. Introduction (5<sup>th</sup> paragraph): please refer to our answers (R2) to previous comments; Section 3.3 (1<sup>st</sup> paragraph): please refer to our answers (R1); and in Section 3.4 (2<sup>nd</sup> paragraph), as follows:

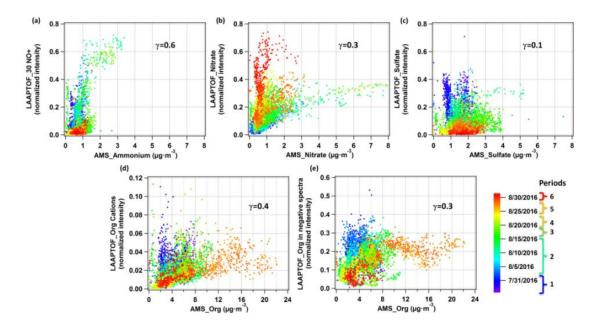
"Although, the LDI matrix effects cannot be completely overcome by using relative ion intensities, the time series of the corresponding maker peaks (Fig. 8, Fig. 9, and Fig. S8) can still be used for preliminary assignments of the bulk species to different particle types."



The modified figures are shown as follows:

10

**Figure 7:** Comparison of non-refractory compounds measured by LAAPTOF and AMS: (a) LAAPTOF organic cations and NO<sup>+</sup> fractions Org/(Org+NO), (b) organic anions and nitrate fractions Org/(Org+Nitrate), (c) organic anions and sulfate fractions Org/(Org+Sulfate) to the corresponding AMS mass fractions. Each point is 10 min averaged data, and there are 4483 points in each scatter plot. Dashed line in panel (a) and (c) are used to guide the eyes, while the curve in panel (b) is from the fitting result. Colour scale is related to the timeline, including periods 1 to 6, same as the ones in Fig.6. Further comparison of Org/(Org+Nitrate) during 6 periods are in the scatter plots (b1) to (b6).



**Figure S7:** Comparison of non-refractory compounds measured by LAAPTOF and AMS: The normalized intensity of (a) 30 NO<sup>+</sup>; (b) nitrate  $(46 NO_2^- + 62 NO_3^-)$ ; (c) sulfate  $(32 S^++64 SO^- + 80 SO_3^- + 81HSO_3^- + 96 SO_4^- + 97 HSO_4^- + 177 SO_3HSO_4^- + 195 H_2SO_4HSO_4^-)$ ; (d) sum of positive organic markers at m/z 43 C<sub>3</sub>H<sub>7</sub>/C<sub>2</sub>H<sub>3</sub>O/CHNO<sup>+</sup>, 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, 88

5 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NO/C<sub>3</sub>H<sub>6</sub>NO<sub>2</sub><sup>+</sup>, 95 C<sub>7</sub>H<sub>11</sub><sup>+</sup>, 104 C<sub>8</sub>H<sub>8</sub><sup>+</sup>, 115 C<sub>9</sub>H<sub>7</sub><sup>+</sup>, and 129 C<sub>5</sub>H<sub>7</sub>NO<sup>+</sup> and (e) sum of the negative organic markers at m/z 24 C<sub>2</sub><sup>-</sup>, 25 C<sub>2</sub>H<sup>-</sup>, 26 C<sub>2</sub>H<sub>2</sub>/CN<sup>-</sup>, 42 C<sub>2</sub>H<sub>2</sub>O/CNO<sup>-</sup>, 45 COOH<sup>-</sup>, 59 CH<sub>2</sub>COOH<sup>-</sup>, 71 CCH<sub>2</sub>COOH<sup>-</sup>, 73 C<sub>2</sub>H<sub>4</sub>COOH<sup>-</sup>, 85 C<sub>3</sub>H<sub>4</sub>COOH<sup>-</sup>, and 89 (CO)<sub>2</sub>OOH<sup>-</sup> measured by LAAPTOF are plotted verses the mass concentration of ammonium, nitrate, sulfate, and organics measured by AMS, respectively. Each point is 10 min averaged data, and there are 4483 points in each scatter plot. Colour scale is related to the timeline, including periods 1 to 6.

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Best wishes,

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30 Xiaoli Shen and co-authors

## **Revised marked-up manuscript:**

# Understanding—of atmospheric aerosol particles with improved particle identification and quantification by single particle mass spectrometry

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- 15 Abstract. Single particle mass spectrometry (SPMS) is a useful, albeit not fully quantitative widely used tool to determine chemical composition and mixing state of aerosol particles in the atmosphere. During a six-week field campaign in summer 2016 at a rural site in the upper Rhine valley near Karlsruhe city in southwest Germany,  $\sim 3.7 \times 10^5$  single particles were analysed by a laser ablation aerosol particle time-of-flight mass spectrometer (LAAPTOF). Combining fuzzy classification, marker peaks, typical peak ratios, and laboratory-based reference spectra, seven major particle classes were identified. With
- 20 the precise <u>particle</u> identification and well characterized <u>laboratory-derived</u> overall detection efficiency (ODE) for this instrument, particle similarity can be transferred into corrected number fractions and further transferred into mass fractions. Considering the entire measurement period, "Potassium richAged biomass burning and aromatics coatedsoil dust" (class 5) like particles" dominated the particle number (46.545.0% number fraction) and mass (36.031.8% mass fraction); "Sodium salts like particles" (class 3) were the second lowest in number (3.54%), but the second dominating class in terms of particle
- 25 mass (25.330.1%). This difference demonstrates the crucial role of particle <u>number counts correction for</u> mass quantification forusing SPMS data. Using corrections for <u>maximum</u>, <u>mean,size</u> and <u>minimumchemically-resolved</u> ODE, the total mass of the <u>quantified</u>-particles measured by LAAPTOF accounts for <u>-12%</u>, <u>-25%</u>, and <u>-10423-68</u>% of the total mass measured by an aerosol mass spectrometer (AMS) with a collection efficiency of 0.5depending on the measurement periods</u>. These two mass spectrometers show a good correlation (correlation coefficient  $\gamma > 0.6$ ) regarding total mass for more than <del>70</del>85% of the
- 30 measurement time, indicating non-refractory species measured by AMS mightmay originate from particles consisting of internally mixed non-refractory and refractory components. In addition, specific relationships of LAAPTOF ion intensities and AMS mass concentrations for non-refractory compounds were found for specific measurement periods, especially for the fraction of org/(org+nitrate). Furthermore, our approach allows for the first time to assignassigning the non-refractory compounds measured by AMS to different particle classes. Overall AMS-nitrate was mainly arising from class 3sodium salts
- 35 <u>like particles</u>, while <u>elass 5 wasaged biomass burning particles were</u> dominant during events <u>rich in with high</u> organic aerosol <u>particles</u>particle concentrations.

## **1** Introduction

Life times of ambient aerosol particles range from hours to <u>a few weeksseveral days</u>, except for newly formed particles (~3 to 5 nm), which have a <u>predicted short-lifetime in the order</u> of seconds (Pöschl, 2005). The atmospheric evolution of aerosol particles can alter their internal and external mixing states, as well as their chemical and physical properties <u>on timescales of several hours</u>, e.g., they can acquire coatings of secondary inorganic (<u>e.g.</u> sulfates, nitrates, and ammonium) and secondary

5 organic compounds (Fuzzi et al., 2015). Hence, most aerosol particles are relatively complex mixtures, difficultnot easy to distinguish and to trace to their primary source and/-or secondary formation pathway. Single particle mass spectrometry (SPMS) has the capability of measuring most components of the particles in real time, thus it has been a widely used technique to investigate mixing state and aging of aerosol particles for many years (Murphy, 2007; Noble and Prather, 2000; Pratt and Prather, 2012). However, there are still challenging issues related to large amounts of SPMS data analysis-has-been

10 proven difficult under real world conditions.

Particle type identification, i.e., the assignment of every detected particle to one out of a set of particle types, which are either predefined or deduced from the experimental data, is perhaps one of the most critical issues. Different data classification methods, e.g., fuzzy k-means clustering algorithm, fuzzy c-means (modification of k-means), ART-2a neural network, hierarchical clustering algorithms, and machine learning algorithms are applied to reduce the complexity and

- 15 highlight the core information of mass spectrometric data (Reitz, et al., 2016; Christopoulos et al., 2018). Reitz et al. (2016) reviewed commonly used data classification methods in SPMS studies and pointed out the advantage of the fuzzy c-means clustering approach, which allows individual particle to belong to different particle classes according to spectral similarities. One recent classification approach applied machine learning algorithms and successfully distinguished SOA, mineral and soil dust, as well as biological aerosols based on a known a priori data set (Christopoulos et al., 2018). In this study we used
- 20 the fuzzy c-means clustering approach which is embedded in the data analysis Igor software for our laser ablation aerosol particle time-of-flight mass spectrometer (LAAPTOF, AeroMegt GmbH). Based on the data classification, averaged or representative mass spectra of different particle classes can be obtained.

Due to the relatively complex ablation-laser desorption and ionization (Decesari et al.) mechanisms, including charge and proton transfer, as well as ion-molecule reactions that may occur in the plume with many collisions (Murphy, 2007; <u>Reilly et</u>

- 25 <u>al., 2000;</u> Reinard and Johnston, 2008<u>; Zenobi and Knochenmuss, 1998</u>), <u>some</u> mass spectroscopic <u>signaturessignature peaks</u> do not necessarily reflect the primary composition of the particles. <u>Several particle type identification methods were reported</u> <u>in previous studies</u>: Gallavardin et al. (2008) used a pair of peak area ratios, such as Ca<sub>2</sub>O<sup>+</sup>/Ca<sup>+</sup> vs CaO<sup>+</sup>/Ca<sup>+</sup> and SiO<sup>-</sup>/SiO<sub>2</sub><sup>-</sup> vs SiO<sub>3</sub><sup>-</sup>/SiO<sub>2</sub><sup>-</sup>, to differentiate calcium/silicon containing mineral dust. Normalized histograms of PO<sub>3</sub><sup>-</sup>/PO<sub>2</sub><sup>-</sup> and CN<sup>-</sup>/CNO<sup>-</sup> ratios were used to identify primary biological aerosol particles (Zawadowicz et al., 2017). Setting thresholds for marker
- 30 peak signals can also help to classify and further identify specific particles (Köllner et al., 2017). Lu et al. (2018) used natural silicon isotopic signatures to study the sources of airborne fine particulate matter (PM<sub>2.5</sub>), which shows how useful isotopic signatures can be for particle identification. A combination of peak area and peak shift ratio, based on subtle changes in ion arrival times in the mass spectrometer, was introduced by Marsden et al. (2018a) for the differentiation of mineral phases in silicates. Ternary sub-composition systems, such as (Al+Si)<sup>+</sup>–K<sup>+</sup>–Na<sup>+</sup> and Cl<sup>-</sup>–(CN+CNO)<sup>-</sup>–SO4<sup>-</sup>, were
- 35 used to identify mineralogy and internal mixing state of ambient particles (Marsden et al., 2018b). In our previous study (Shen et al., 2018), laboratory-based reference spectra were suggested to be a useful tool for particle identification. These methods guide the way for improving the techniques to identify particle type and further identify individual aerosol particles.

An even more challenging issue is the quantitative analysis of individual particles' mass and chemical composition, which cannot be directly provided by SPMS measurements, because laser ablation only allows an a priori unknown fraction

40 of the single particle to be vaporized and ionized (Murphy, 2007).(neutral species) of the single particle to be vaporized/desorbed and then ionized (Murphy, 2007; Reinard and Johnston, 2008). In addition, matrix effects may obscure the particle composition (Gemayel et al., 2017; Gross et al., 2000; Hatch et al., 2014). Our previous laboratory SPMS study also verified the difficulty of particle quantification due to incomplete ionization, which could not be improved significantly

by replacing the originally used nanosecond excimer laser with a femtosecond laser with higher laser power density and shorter laser pulse length (Ramisetty et al., 2018). In the last two decades, great effort has been put into solving such quantification issues by using specific scaling or normalization methods. Gross et al. (2000)Allen et al. (2006) developed an explicit scaling method to quantify SPMS data, based on comparison with co-located more quantitative particle measurement.

- 5 This approach has been widely used to obtain continuous aerosol mass concentrations as a function of particle size (Allen et al., 2006; Bein et al., 2006; Fergenson et al., 2001) and has been improved by a hit rate correction (Qin et al., 2006; Wenzel et al., 2003). Recently, composition-dependent density corrections were applied to such scaling approaches to obtain chemically-resolved mass concentrations (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012). In these studies, the scaled SPMS data showed good agreement with the results from reference instruments, e.g., micro-orifice
- 10 uniform deposition impactors (MOUDI), scanning mobility particle sizer (SMPS), aerodynamic particle sizer (APS), and other independent quantitative aerosol particle measurements, e.g., by a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS). With respect to particulate chemical compounds, Gross et al. (2000) reported relative sensitivity factors (RSF) for ammonium and alkali metal cations in a SPMS-to their single particle mass spectrometer to corresponding bulk concentrations and accurately determined the relative amounts of Na<sup>+</sup> and K<sup>+</sup> in sea-salt particles. By
- 15 normalizing the ion peak intensity of m/z 97<sup>-</sup>, 62<sup>-</sup>, 18<sup>+</sup>, 43<sup>+</sup>, and 36<sup>+</sup> for sulfate, nitrate, ammonium, organic carbon (OC), and elemental carbon (EC), respectively, to number and size distribution data, Jeong et al. (2011) were able to detect a strong correlation between SPMS results and bulk measurements done for non refractory material by an aerosol mass spectrometer (AMS). This scaling approach was improved by applying a hit rate correction developed a method to quantify ambient particulate species from scaled single particle analysis. Healy et al. (2013) quantitatively determined the mass contribution of
- 20 different carbonaceous particle classes to total mass and estimated the mass fractions of different chemical species, i.e., sulphate, nitrate, ammonium, OC, EC, and potassium determined for each particle class, by using RSF. The resulting SPMS-derived mass concentrations of these particulate species were comparable with the reference bulk data. Similar methodologies have been used in other SPMS studies (Gemayel et al., 2017; Zhou et al., 2016). It should be noted that these field-based scaling approaches (field-based overall detection efficiency, ODE) rely on the availability of a reference instrument and their corrections are mainly class independent.
- Many previous studies have also compared single particle classes and bulk species (Dall'Osto et al., 2012; Dall'Osto and Harrison, 2012; Dall'Osto et al., 2009; Dall'Osto et al., 2013; Decesari et al., 2014; Decesari et al., 2011; Drewnick et al., 2008; Gunsch et al., 2018; Pratt et al., 2010; Pratt et al., 2011; Pratt and Prather, 2012). Some studies compared ion intensities from single particle data (Bhave et al., 2002) or specific ion ratios, such as nitrate/sulfate (Middlebrook et al., 2002).
- **30** 2003), OC/EC (Spencer and Prather, 2006), and EC/(EC+OC) (Ferge et al., 2006), carbonaceous/(carbonaceous+sulfate) (ZhouMurphy et al., 20106). Combining AMS, optical particle counter (OPC), and SPMS data, Gemayel et al. (2017) also quantified the fragments aforementioned in size segregated atmospheric aerosols measured by SPMS in the field. In addition with the other bulk data. Hatch et al. (2014) used m/z 36  $C_3^+$  as a pseudo-internal standard to normalize the secondary inorganic and organic peak areas in organic rich particles, resulting in good correlation with the independent AMS
- 35 <u>measurements. Similarly</u>, Ahern et al. (2016) used the peak area ratio of organic matter marker at m/z 28 CO<sup>+</sup> to EC markers (C<sub>2-5</sub><sup>+</sup>) to account for laser shot-to-shot variability, and demonstrated a linear relationship between normalized organic intensity and secondary organic aerosol (SOA) coating thickness on soot particles. To the best of our knowledge, all the previous SPMS quantification methods focused on some components of the aerosol particles, and most of them are based on comparison with reference instruments<u>A</u> normalized or relative peak areas (RPAs) method was suggested by Hatch et al.
- 40 (2014) to account for shot-to-shot variability of laser intensities. Although the LDI matrix effects cannot be completely overcome by the aforementioned method, some examples for good comparisons between single particle and bulk measurements were shown.

In this study, we aim to quantify mass contributions of different particle classes based on single particle measurements only by employing overall detection efficiencies determined in systematic laboratory studies. As a test case ambient aerosol particles were analysed in summer 2016 at a rural site in the upper Rhine valley of Germany, using a laser ablation aerosol particle time of flight mass spectrometer (and LAAPTOF, AeroMegt GmbH), and HR-ToF-AMS. Seven major particle

- 5 classes were foundidentified by a fuzzy c-means analysis among a total of  $\sim 3.7 \times 10^5$  measured single particles. We developed a semi-quantitative method to estimateBased on laboratory determined size dependent overall detection efficiencies (ODEs) of LAAPTOF for different reference particle types, mass contributions for individual aerosol particles. In addition, we have found specific could be estimated. Aerosol particle mass concentrations determined independently by LAAPTOF and AMS are compared and potentially useful relationships of LAAPTOF ic in intensity and AMS mass
- 10 concentration results for non-refractory compounds, which might help estimate the mass of these compounds in future SPMS studies. Furthermore, we observed that all particles were complex mixturesratios of organic and inorganic compounds, and their external mixing state varied for different measurement periods. This provides different sources for the non-refractory species measured by AMS and indicates different sources of aerosol particlesLAAPTOF and AMS are discussed.

#### 2 Methods

#### 15 2.1 Measurement location and instrumentation

The measurements were made as part of the TRAM01 campaign at a ground based rural site in the upper Rhine valley from July 15th to September 1st, 2016 next to the tram line north of the village of Leopoldshafen, Germany (49°6'10.54" N, 8°24'26.07" E). This location is about 12 km north of the city of Karlsruhe city with 300 thousand000 inhabitants and significant industry including a power plant and refineries (Hagemann et al., 2014). Besides, it is located in the upper Rhine 20 valley. Ambient particles were sampled for mass spectroscopic analysis with a flow rate of 1 m<sup>3</sup> h<sup>-1</sup> through a PM<sub>2.5</sub> inlet (SH 2.5 - 16, Comde-Derenda GmbH) and vertical stainless steel tubes. A total suspended particulates (TSP) inlet (Comde-Derenda GmbH) was used for instruments for particle physical characterisation. Trace gases were sampled via an 8 mm PFA sampling tube. All sampling inlets were positioned 1.5 m above a measurement container and 3.7 m above ground level. To study the nature and to identify possible sources of the particles in this area, their number, size, chemical composition, and 25 associated trace gases, as well as meteorological conditions were measured using the following instruments: Condensation particle counters (CPC3022A, CPC3772, TSI Inc.), optical particle counter (FIDAS, PALAS GmbH), aethalometer (AE33-7, Magee Scientific), ozone monitor (O341M, Environment SA), SO<sub>2</sub> monitor (AF22M Environment SA), NO<sub>2</sub> monitor (AS32M, Environment SA), CO<sub>2</sub> monitor (NGA2000, Rosemont Inc.), and meteorology sensors (WS700 & WS300, Lufft GmbH). From July 26<sup>th</sup> to August 31<sup>st</sup>, we deployed the following mass spectrometers were in operation, e.g., a high resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS, Aerodyne Inc.), and a laser ablation aerosol particle 30

time-of-flight mass spectrometer (LAAPTOF, AeroMegt GmbH), providing real time information on size and mass spectral patterns for bulk samples and individual particles, respectively.

The HR-ToF-AMS was designed to yieldyields quantitative information (mass concentration) on size resolved particle bulk chemical composition with high time resolution and high sensitivity (DeCarlo et al., 2006). Briefly, aerosols are

- 35 sampled with a flowrate of ~84 cm<sup>3</sup> min<sup>-1</sup> via an aerodynamic lens, which focuses particles with sizes of 8070 to 2500 nm (vacuum aerodynamic diameter, d<sub>va</sub>) into a narrow beam. The particle beam passes through a sizing chamber where the particles' size is determined. Afterwards, particles encounter a 600 °C heater that vaporises the non-refractory species. The vapour isvapours are ionized by electron impact (electron energy: 70 eV). The generated positive ions are analysed by a time-of-flight mass spectrometer. Particles can bounce off the heater/vaporizer, leading to an underestimation of ambient mass concentrations measured by AMS-and-thus a collection efficiency. Collection efficiencies (CE) are used to correct for
- this (CE, the product of net particle transmission and detection efficiency) <-+ (Canagaratna et al., 2007). It is important to

note that the CE can vary depending on composition and phase of the particles (Bahreini et al., 2005). In this study, we applied a CE value <u>of 0.5<sub>7</sub>. This is</u> in <u>accordanceagreement</u> with previous studies (Canagaratna et al., 2007; Middlebrook et al., 2012) and in a related paper by Huang et al. (2018), the composition dependent CE has been calculated to have a very close value to 0.5<sub>7</sub>, and close to a composition dependent CE calculated for this measurement campaign by Huang et al. (2018).

5 <u>(2018)</u>.

The LAAPTOF is a commercially available SPMS and has been described elsewhere (Ahern et al., 2016; Gemayel et al., 2016; Marsden et al., 2016; Ramisetty et al., 2018; Reitz et al., 2016; Shen et al., 2018; Wonaschuetz et al., 2017). In brief, aerosols are sampled with a flowrate of ~80 cm<sup>3</sup> min<sup>-1</sup> via an aerodynamic lens. <u>Particles , focusing and accelerating particles</u> in a size range between 70 nm and 2500 nm  $d_{va}$  are focused and then accelerated. Afterwards, they pass through the

- 10 detection chamber with two diode laser beams ( $\lambda = 405 \text{ nm}$ ). Particles smaller than 200 nm and larger than 2 µm are difficult to detect, due to weak light scattering by the smaller particles and due to a larger particle beam divergence for the larger particles. Once the single particle is coincidently detected by both of the detection lasers, its aerodynamic size is determined and recorded, and an excimer laser ( $\lambda = 193 \text{ nm}$ ) is fired for a one step desorption/ionization of the refractory and nonrefractory species of the particle. The resulting cations and anions are analysed by a bipolar time-of-flight mass spectrometer
- 15 with unit mass resolution. Thus, for each individual particle <u>a bipolar spectrum is generatedits size and a pair of positive and negative mass spectra are measured</u>.

#### 2.2 Single particle identification and quantification methods for LAAPTOF data

The general data analysis procedures for particle spectral and size information <u>waswere</u> described in full detail in our previous study (Shen et al., 2018). Here, we extend this approach and apply it to quantify particle class mass contributions using a large atmospheric sample as test case. We used the fuzzy *c*-means clustering algorithm embedded in the LAAPTOF

- Data Analysis Igor software (Version 1.0.2, AeroMegt GmbH) to find the major particle classes and their corresponding representative spectra, which were further correlated with laboratory-based reference spectra. The resulting correlations together with marker peaks (characteristic peaks arising from the corresponding species) and some typical peak ratios (e.g., isotopic ratio of potassium) waswere used to identify the particle classes.
- 25

20

5 The fuzzy *c*-means clustering approach has the advantage of allowing particles to belong to multiple classes based on the similarity of the mass spectra (Reitz et al., 2016), namely, fractions of attributing one spectrum (particle) to multiple clusters. Thus, we can obtain similarity information for the whole data set rather than a single particle. One drawback is that the individual particles are not directly assigned to different individual particle classes. A, which hinders a direct class-dependent quantification of particle mass is therefore not possible. In order to quantify particle mass, we first need to assign a particle

- 30 class to every individual particle, which is achieved by correlating the individual bipolar mass spectra with the representative fuzzy class spectra using Pearson's correlation coefficient ( $\gamma$ ). Since the positive LAAPTOF spectra are more characteristic than the negative ones (Shen et al., 2018), the threshold value for the positive spectra correlation was set to  $\gamma_{pos} \ge 0.6$ , while for the negative spectra  $\gamma_{neg}$  was tuned with different-values varyanging from 0.3 to 0.8 (cf. Table S1). Individual particles whose  $\gamma_{are}$  assigned to the class for which the corresponding correlation coefficients for both spectra exceeds  $\gamma_{neg}$  and  $\gamma_{neg}$
- 35 when correlated to spectra of a certain class are assigned to this classexceed the threshold values. All corresponding correlation coefficients (γ<sub>pos</sub> and γ<sub>neg</sub>) are listed in Table S1. This way, we can obtain time series of particle counts, which hasve good (γ > 0.6)/strong correlation (γ > 0.8) with the fuzzy results, and the. The corresponding correlation coefficients are also listed in Table S1<sub>7</sub> and typical examples are shown in Fig. S1. With this method, we were able to successfully classify 96% of the measured particles. Once the class information for individual particles has been determined, we are able to calculate single particle geometric size, volume and mass-as described in the following.
  - For simplicity, we assume the particles are spherical with a shape factor ( $\chi$ ) of 1, thus particle geometric diameter ( $d_p$ ), volume ( $V_p$ ) and mass ( $m_p$ ) can be obtained from the following equations:

$$d_{p} = d_{m} = \frac{d_{va}}{\rho_{p}} \times \rho_{\theta} \times \chi (\chi = 1) \frac{d_{va}}{\rho_{eff}} \times \rho_{0} \left(\chi = 1; \rho_{p} = \rho_{eff}\right)$$
 (DeCarlo et al., 20  
(1)  
$$V_{p} = \frac{1}{6} \times \pi \times d_{p}^{3} - m_{p} = V_{p} \frac{\chi}{\rho_{p}} \times \rho_{eff}$$
(2)

2004)

5 (3)

where  $d_m$  is the electrical mobility diameter,  $d_{va}$  is the vacuum aerodynamic diameter measured by LAAPTOF,  $\rho_0$  is the standard density (1g cm<sup>-3</sup>), and  $\rho_p$  is the particle density. Based on Eq. (1) to (3), we can deduce that  $m_{ps}$  and  $\rho_{eff}$  is proportional to  $\chi^3 - \rho_p^{-2}$ . Please note that the shape factor  $\chi$  of non-effective density. It should be noted that in some previous studies, the particle shapes were also assumed as spherical particles is not equal and uniform particle densities ranging from

- 10 ~1.2 to 1, e. 9 g., cm<sup>-3</sup> were applied for total aerosol particle mass quantification χ<sub>NaCl</sub> = 1.02 1.26 (Wang et al., 2010)(Allen et al., 2006; Allen et al., 2000; Ault et al., 2009; Gemayel et al., 2017; Healy et al., 2013; Healy et al., 2012; Jeong et al., 2011; Wenzel et al., 2003; Zhou et al., 2016) and χ<sub>NH4NO3</sub> = 0.8 (Williams et al., 2013). This causes an uncertainty of 26% for the particle diameter and 100% for the particle mass. Such an uncertainty is difficult to reduce, since we don't have particle shape information for individual particles. It should be noted that in previous studies, the particle shapes were also
- 15 assumed as spherical, and averaged particle densities (~1.6 to 1.9 g cm<sup>-3</sup>) based on the comparison between d<sub>va</sub> and d<sub>m</sub> were applied for total aerosol particle mass quantification (Gemayel et al., 2017; Jeong et al., 2011; Zhou et al., 2016). The density for different types of ambient particles varies, which will be shown in the following text. In order to reduce the uncertainty induced by the assumption of a uniform density, we assigned one density to each particle class and this density was used for the individual particles of each class. As discussed in the following Sect. 3.1, 7 major particle classes have been identified.
- 20 Class 1, 5, and 6 are dust like particles and class 7 contains more mixed particles which also show good correlation to dust reference particles, thus we assumed the same density for class 1, 5, 6, and 7 as for dust, which is about 2.6 g cm<sup>-3</sup> (Bergametti and Forêt, 2014). Class 2 particles are like aged soot for which we use a density of 1.8 g cm<sup>-3</sup> as recommended by (Bond et al., 2013). Class 3 is sodium salts like particles, with relatively more sodium nitrate related markers, thus we assumed the density of sodium nitrate (2.3 g cm<sup>-3</sup>). Class 4 consists of relative fresh particles with strongest correlation to the
- 25 mixture of ammonium sulfate (1.77 g cm<sup>-3</sup>) and nitrate (1.73 g cm<sup>-3</sup>), thus we assume the density as 1.75 g cm<sup>-3</sup>... In our study, we have determined an average density of 1.5 ± 0.3 g cm<sup>-3</sup> for all ambient particles, based on a comparison between dva measured by AMS and dm measured by SMPS. However, the density for different types of ambient particles varies, especially for fresh ones (Qin et al., 2006). Particle densities varied during the campaign (Fig. S2) and the representative mass spectra of different particle classes indicate chemical inhomogeneity. In order to reduce the uncertainty induced by the
- 30 assumption of a uniform density, we assigned specific effective densities (derived from d<sub>va</sub>/d<sub>m</sub>) from literature data to each particle class. A density of 2.2 g cm<sup>-3</sup> was used for calcium nitrate rich particles (Zelenyuk et al., 2005), 1.25 g cm<sup>-3</sup> for aged soot rich in ECOC-sulfate (Moffet et al., 2008b; Spencer et al., 2007), 2.1 g cm<sup>-3</sup> for sodium salts (Moffet et al., 2008b; Zelenyuk et al., 2005), 1.7 g cm<sup>-3</sup> for secondary inorganic rich particles (Zelenyuk et al., 2005; Zelenyuk et al., 2008), 2.0 g cm<sup>-3</sup> for aged biomass burning particles (Moffet et al., 2008b), 2.6 g cm<sup>-3</sup> for dust like particles (Bergametti and Forêt, 2014;
- 35 <u>Hill et al., 2016</u>). These densities were used for the individual particles of each class without size dependence. Similar chemically-resolved densities have also been used in some previous studies (Gunsch et al., 2018; May et al., 2018; Qin et al., 2006; Qin et al., 2012).

Furthermore, the single particle identification allows for correcting the particle number counts by using the overall detection efficiency–( $_{x}$ \_ODE)<sub>7x</sub> which depends <u>strongly</u> on particle size and type (Shen et al., 2018). Given the fact that ambient aerosol particles are complex mixtures, it is difficult to obtain a specific ODE for each particle class. If we used the

40 ambient aerosol particles are complex mixtures, it is difficult to obtain a specific ODE for each particle class. If we used the estimated class dependent ODE, more uncertainties would be introduced and thus quantification complicated further. For

simplicity and in order to account for different types of ambient particles, we averaged the ODE determined for ammonium nitrate, sodium chloride, PSL particles, and some other particles, e.g., agricultural soil dust, sea salt, organic acids, as well as secondary organic aerosol particles measured in the lab. The mean ODE with uncertainties as a function of particle size is shown in Fig. 1. Using a mean ODE will obviously lead to some bias. For example, if we apply ODE

- 5 mean values to all the ambient particles, the number of ammonium nitrate rich particles will be overestimated due to the higher ODE of ammonium nitrate, while the ammonium sulfate rich, sea salt particles, and some organic rich particles will be underestimated. Therefore, we used reference particle ODE values to estimate the size dependent ODE values for the particle classes observed in the field as follows. ODE values for ammonium nitrate and sodium chloride were used to fit ODE curves for secondary inorganic rich and sodium salt like particles, respectively. The mean ODE values from all
- 10 reference particles was used for the class of aged soot particles since it showed best agreement with the reference soot particles (cf. Fig. 1). The minimum ODE curve from all reference particles was used for all dust like particle classes. The equations for correction and calculation of mass concentration are as follows:

 $counts_{corrected} = 1/ODE_{d_{trr}}$  -----ODE size and chemically-resolved

(4)

15  $mass_{corrected} = counts_{corrected} \times m_p$ 

mass concentration = Total mass/(sample flowrate × time)

where  $ODE_{d_m}$  is the mean ODE that depends on  $d_m$ ; counts<sub>corrected</sub> and mass<sub>corrected</sub> are the corrected particle number counts and mass at each time point; the sample flowrate is ~80 cm<sup>-3</sup> min<sup>-1</sup>. Furthermore, Using Eq. (4) to (6) we can calculate the corrected number fractions and mass fractions once we have done the calculation according to Eq. (4) to (6).

(5)

(6)

- 20 The aforementioned assumptions and the related uncertainties in particle mass are summarised as follows: 1) ambient particles are spherical with a shape factor  $\chi$ =1. However, several ambient particle types are non-spherical with a shape factor  $\chi$  not equal to 1, e.g.,  $\chi_{NaCl} = 1.02-1.26$  (Wang et al., 2010) and  $\chi_{NH_4NO_3} = 0.8$  (Williams et al., 2013). This can cause uncertainties of 26% and 20% for the particle diameter and 100% and 50% for the particle mass of sodium chloride like and ammonium nitrate like particles, respectively. For soot like particles, the shape caused uncertainty could be even larger, due
- 25 to their aggregate structures. Such an uncertainty is difficult to reduce, since we do not have particle shape information for individual particles. However, using effective densities may at least partially compensate some of the particle shape related uncertainties. 2) Particles in the same class have the same density, which is likely to vary and lead to an uncertainty hard to estimate. 3) The variability of the ODE values (cf. Fig. 1) depends on particle size and type. It reaches values ranging from ±100% for 200 nm particles to ±170% for 800 nm size particles.
- 30 <u>Hence, the overall uncertainty in particle mass according to the assumptions is ~300% with the ODE caused uncertainty</u> being dominant. This is because: 1) ambient particles are more complex than particles generated in the laboratory, e.g., concerning morphology or optical properties. These factors have a strong impact on ODE The aforementioned assumptions and the related uncertainties in particle mass are summarised as follows: 1) ambient particles are spherical. This leads to an uncertainty of ~100% in particle mass; 2) particles in the same class have the same density. This leads to an uncertainty of
- 35 -4% in particle mass for ammonium salt particles with assumed density (ρ<sub>as</sub>) of 1.75 g cm<sup>-3</sup>, which is the averaged value from ammonium sulfate and nitrate with densities of 1.77 g cm<sup>-3</sup> and 1.73 g cm<sup>-3</sup>, respectively (Weast, 1987), ~25% for sodium salts particles with ρ<sub>as</sub> = 2.36 g cm<sup>-3</sup>, averaged value from sodium nitrate, chloride, and sulfate with densities of 2.26, 2.17, and 2.67 g cm<sup>-3</sup>, respectively (Weast, 1987), and much bigger uncertainty for soot particles with ρ<sub>as</sub> = 1.8 g cm<sup>-3</sup>, due to their densities raging from < 1 to ~2 as a results of their aggregate structures (BondShen et al., 20138); 3) the ODE is the</p>
- 40 same for all particles of the same size. This leads to an uncertainty of 500% in particle mass due to the variability of ODE values (Fig. 1). Obviously, this will lead to some bias. For example, if we apply ODE mean values to all the ambient particles, the number of ammonium nitrate rich particles might be overestimated due to the higher ODE of ammonium

nitrate, while the ammonium sulfate rich, sea salt particles, and some organic rich such as organic acids rich particles might be underestimated.

Hence, the overall uncertainty in particle mass according to the assumptions is ~540% with the ODE caused uncertainty being dominant.; 2) instrumental aspects such as alignment and variance in particle-laser interaction lead to uncertainty in

- 5 ODE. They are included in the uncertainties given in Fig. 1 for which repeated measurements after various alignments were used. The fluctuations of particle-laser interactions can be reduced by using a homogeneous laser desorption and ionization beam (Wenzel and Prather, 2004) or delayed ion extraction. (Li et al., 2018; Vera et al., 2005; Wiley and Mclaren, 1955). Note that we used the same sizing laser and desorption/ionization laser pulse energy (4 mJ) in the field as those used for generating ODE, and aligned the instrument in the field with the similar procedures as we did in the lab. During our field
- 10 measurements we did calibrations of the LAAPTOF with PSL particles of 400, 500, 700, and 800 nm d<sub>m</sub> resulting in ODE values with no significant difference compared to the ODE values determined in the laboratory. This finding reflects the good stability of the LAAPTOF performance in the temperature controlled container. Actually, once the LAAPTOF adjustments were optimized after transport no further adjustments were necessary during the 6 weeks of the campaign. Moreover, it is important to note that the ODE curve applied herein should not be extrapolated to other LAAPTOF or SPMS instruments without a standard check against e.g. PSL particles.
  - Noteworthy that the major difference between our quantification method and previous SPMS studies is that our ODE is based on elaborate laboratory work, while previous studies typically used field-based scaling approaches (field-derived ODE).
- This is because: 1) ambient particles are more complex than particles generated in the laboratory, e.g., concerning morphology or optical properties. These factors have a strong impact on ODE (Shen et al., 2018); 2) in this study most of the major particle classes in the ambient are dust like particles (cf. Sect. 3.1), but we only have the laboratory derived ODE for agricultural soil dust at around 300 nm; 3) instrumental aspects such as alignment and variance in particle laser interaction lead to uncertainty in ODE. Considering the big uncertainty, our quantification method should be named semi-quantification.

## **3 Results and Discussion**

#### 25 3.1 Identification of particle classes and the internal mixing

During the six-week measurement campaign, we obtained  $\sim 3.7 \times 10^5$  single particles bipolar LAAPTOF spectra were generated. From these data, seven for single particles. Seven major particle classes were found using fuzzy <u>c-means</u> classification (Table 1). The corresponding representative spectra with marker peaks assignment are shown in Fig. 2. Considering some weak but characteristic peaks, we show the spectra with a logarithmic scale. The linearly scaled spectra

- 30 (cf. Fig. and S3) are provided for comparison in the supporting information. Furthermore, Fig. 3 shows the size resolved number fraction of for the seven particle classes are shown in Fig. 2.measured during the field campaign TRAM01, based on fuzzy classification according to fuzzy c-means clustering algorithm as well as the overall size distribution for all particles measured by LAAPTOF during the campaign. Signatures for organic and secondary inorganic compounds can be observed in each class, i.e., for organics m/z 24 C<sub>2</sub><sup>-</sup>, 25 C<sub>2</sub>H<sup>-</sup>, 26 C<sub>2</sub>H<sub>2</sub>/CN<sup>-</sup>, and 42 C<sub>2</sub>H<sub>2</sub>O/CNO<sup>-</sup>, for sulfate 32 S<sup>-</sup>, 64 SO<sub>2</sub><sup>-</sup>, 80 SO<sub>3</sub><sup>-</sup>,
- 81 HSO<sub>3</sub><sup>-</sup>, 97 HSO<sub>4</sub><sup>-</sup>, 177 SO<sub>3</sub>HSO<sub>4</sub><sup>-</sup> and 195 HSO<sub>4</sub>H<sub>2</sub>SO<sub>4</sub><sup>-</sup>, for nitrate 30 NO<sup>+</sup>, 46 NO<sub>2</sub><sup>-</sup>, and 62 NO<sub>3</sub><sup>-</sup>, and for ammonium 18 NH<sub>4</sub><sup>+</sup> and 30 NO<sup>+</sup>. <u>Similar species were previously identified off-line in the same region (Faude and Goschnick, 1997;</u> <u>Goschnick et al., 1994)</u>. Note that 30 NO<sup>+</sup> can not only originate from nitrate (majority), but also from ammonium (Murphy et al., 2006; Shen et al., 2018). <u>Besides, m/z 24 C<sub>2</sub><sup>-</sup> could also be related to elemental carbon (EC). In this case, m/z 24<sup>-</sup> should actually show a higher intensity than m/z 26<sup>-</sup>, and further EC markers (C<sub>n</sub><sup>±</sup>) should show up as well. Although</u>
- 40 different particle classes have similar fragments, they show characteristic patterns with several intensive marker peaks in the corresponding spectra, which can also be identified with the help of using reference spectra (Shen et al., 2018).

After fuzzy classification each particle was tested for its similarity to the different particle classes. Although a similarity is not equal to the number fraction, they are related. A higher similarity of the total aerosol particles to one class indicates that a bigger number fraction of this class <u>couldmay</u> be expected once the individual particles are assigned to it. As shown in Fig. <u>3a4a</u>, the highest similarity (43.5% of all particles) is found to class 3, which is named "Sodium salts like <u>particles</u>" due

- 5 to its strong correlation (γ ≥ 0.8) with Na salts (cf. Fig. 45). The spectra of this class in our study feature marker peaks arising from NaNO<sub>3</sub> (m/z 115 Na(NO<sub>2</sub>)<sub>2</sub><sup>-</sup>, 131 NaNO<sub>2</sub>NO<sub>3</sub><sup>-</sup>, and 147 Na(NO<sub>3</sub>)<sub>2</sub><sup>-</sup>), Na<sub>2</sub>SO<sub>4</sub> (m/z 165 Na<sub>3</sub>SO<sub>4</sub><sup>+</sup>), and NaCl (m/z 81/83 Na<sub>2</sub>Cl<sup>+</sup>, 139/141 Na<sub>3</sub>Cl<sub>2</sub><sup>+</sup>, 35/37 Cl<sup>-</sup>, and 93/95 NaCl<sub>2</sub><sup>-</sup>). (cf. Fig. 2). This class accounts for the largest fraction in the size range from 1000 to 2500 nm d<sub>va</sub> (ef. Fig. 2). The size distribution of class 3 particles was dominated by two modes centred at about 1400 and 2000 nm d<sub>va</sub>, indicating two sub-particle populations in this class. In the positive spectra of class 3, there is a
- 10 nitrogen containing organic compound marker at m/z 129  $C_5H_7NO^+$ , which could originate from the OH oxidation of volatile organic compounds (VOCs) in the presence of  $NO_x$  on the seed particles, since the same peak was observed during simulation chamber studies with OH radicals reacting with  $\alpha$ -pinene and/or toluene in the presence of  $NO_x$ . Besides, peaks at m/z 149  $C_4H_7O_2NO_3^+$  and 181  $C_4H_7O_4NO_3^+$  are associated with organonitrates that can form from the oxidation of VOCs in the presence of  $NO_x$  (Perring et al., 2013) and are expected to increase the light absorbing capability of the particles
- 15 (Canagaratna et al., 2007). Huang et al. (2018) showed that organonitrates contributed to particle growth during night time at this location. This class accounts for the largest fraction in the size range from 1000 to 2500 nm  $d_{ya}$  (cf. Fig. In a related paper by Huang et al. (2018), we show that organonitrates favoured particle growth during the night at this location. 3). The size distribution of class 3 particles was dominated by two modes centred at about 1400 and 2000 nm  $d_{ya}$ , indicating two sub-particle populations in this class. Goschnick et al. (1994) did off-line depth-resolved analysis of the aerosol particles
- 20 collected north of Karlsruhe in the upper Rhine valley, and observed sodium chloride in both fine and coarse particles, while sodium nitrate was mainly enriched in the coarse mode. This hints to possible sub-classes assignments, which will be discussed in a separate study.

There is a 20.8% similarity of the total particle population <u>belongs</u> to class 4 ("Secondary <u>inorganic and amine like</u> <u>particlesinorganics-Amine</u>"). This class has the most prominent secondary inorganic signature and strongest correlation with

- 25 the reference spectra for homogeneous mixtures of NH<sub>4</sub>NO<sub>3</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>. In addition, it features marker peaks for amines at m/z 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, 86 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NCH<sub>2</sub><sup>+</sup>, 88 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NO/C<sub>3</sub>H<sub>6</sub>NO<sub>2</sub><sup>+</sup>, 118 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NCH<sub>2</sub><sup>+</sup>, which were also identified by SPMS in the other field and lab studies (Angelino et al., 2001; Köllner et al., 2017; Lin et al., 2017; Roth et al., 2016; Schmidt et al., 2017). Among all the representative mass spectra for the seven particle classes, class 4 is relatively "clean" with the fewest peaks<sub>7</sub> (cf. Fig. 2 and Fig. S3), indicating that these particles might be relatively fresh.did not have
- 30 had the time to uptake other components. Hence, most likely they were formed not very long ago by conversion of their precursors. The correspondingsecondary inorganic amine particles have a rather narrow size distribution in the range is between 500 toand 1000 nm  $d_{var}$  (cf. Fig. 3).

A further 16.1% similarity of all particles was found to class 5 named "Potassium richAged biomass burning and aromatics coated soil dust like particles": (class 5: Biomass burning – Soil) comprise 16.1% of all particles according to

- 35 <u>similarity of the mass spectra.</u> It has the most prominent peak at m/z 39 K/C<sub>3</sub>H<sub>3</sub><sup>+</sup>, aromatic marker peaks at 77 C<sub>5</sub>H<sub>6</sub><sup>+</sup>, 85 C<sub>7</sub>H<sub>5</sub><sup>+</sup>, 91 C<sub>7</sub>H<sub>7</sub><sup>+</sup>, 95 C<sub>7</sub>H<sub>11</sub><sup>+</sup>, 104 C<sub>8</sub>H<sub>8</sub><sup>+</sup>, 115 C<sub>9</sub>H<sub>7</sub><sup>+</sup>, and is correlated ( $\gamma \ge 0.6$ ) with reference spectra of dust particles, especially agricultural soil dust.<sup>+</sup>. The ratio of m/z 39<sup>+</sup>/41<sup>+</sup> is ~11.6, which is similar to the value of (13.5 ± 0.9) measured for pure potassium containing inorganic samples measured particles (e.g. K<sub>2</sub>SO<sub>4</sub>) by our LAAPTOF in the lab (13.5 ± 0.9). Laboratory. The contribution of organic fragments is likely the reason for the slightly lower value, as this ratio was
- 40 determined to ~8 for humic acid and ~1.1 for α-pinene SOA (Shen et al., 2018). Hence, we assign the signal at m/z 39<sup>+</sup> mainly to potassium. As suggested by previous studies, such potassium rich particles which mightcan originate from biomass burning, and are often mixed with sulfate (Lin et al., 2017; Moffet et al., 2008a; Roth et al., 2016; Schmidt et al., 2017). This also holds true for this study, where for the class 5 particles exhibit characteristic peak at m/z 213 K<sub>3</sub>SO<sub>4</sub><sup>+</sup>. This is also the

case for class 5 particles that exhibit a characteristic peak at m/z 213  $K_3SO_4^+$ . Note that we also attributed this class as soil dust like based on the correlation diagram (Fig. 5), although there are no obvious marker ions visible. It is correlated well ( $\gamma \ge 0.6$ ) with reference spectra of dust particles, especially agricultural soil dust. The weak spectral signal might due to a core-shell structure of the particles. In fact, previous studies identified soil dust as the particle type dominating the coarse

- 5 particles sampled in the same region (Faude and Goschnick, 1997; Goschnick et al., 1994). Goschnick et al. (1994) found a core-shell structure in both submicron and coarse particles collected north of the Karlsruhe city of Karlsruhe in the upper Rhine valley. This supports our hypothesis. In addition, similar as class 3, class 5 also has two modes in its size distribution centred at about 500 and 800 nm d<sub>va</sub>. Such potential sub-classes will be discussed further analysed in an upcoming paper the future.
- 10 There is a 5.7% similarity to Particle class 6 with a size range-contains 5.7% of all particles and they have sizes ranging from 400 to 1000 nm  $d_{va}$ . This class is named "Biomass burning-Organosulfate coated dust like" short for "Aged biomass burning and Organosulfate containing particles". It also shows biomass burning markers such as  $K_3SO_4^+$ , and features organosulfate marker peaksorganosulfates at m/z 141 C<sub>2</sub>H<sub>5</sub>OSO<sub>4</sub><sup>-</sup>, 155 C<sub>2</sub>H<sub>3</sub>O<sub>2</sub>SO<sub>4</sub><sup>-</sup>, and 215 C<sub>5</sub>H<sub>11</sub>OSO<sub>4</sub><sup>-</sup>, which are consistent with signals from sulfate esters of glycolaldehyde/methylglyoxal, glyoxal/glycolic acid, and isoprene epoxydiols
- 15 (IEPOX), respectively, observed by other SPMS in field measurements (Froyd et al., 2010; Hatch et al., 2011a, b). Unfortunately, we don't have laboratory based reference spectra for organosulfate particles. Such reference could be very useful for a further analysis. The ratio of m/z 39<sup>+</sup>/41<sup>+</sup> is ~6.7 is closer to organics rather than to potassium. However, we cannot rule out a significant potassium contribution. In addition, this class features a specific pattern of m/z 39<sup>+</sup>, 41<sup>+</sup>, and 43<sup>+</sup> (which have much higher intensities than their interstitial peaks at m/z 40<sup>+</sup> and 42<sup>+</sup>), and hydrocarbon and oxygenated
- 20 organic fragments at m/z 53<sup>+</sup>, 55<sup>+</sup>, 63<sup>+</sup>, 65<sup>+</sup>, 67<sup>+</sup>, 69<sup>+</sup>, 71<sup>+</sup>, 73<sup>+</sup>, 81<sup>+</sup>, 83<sup>+</sup>, 85<sup>+</sup>, 95<sup>+</sup>, 97<sup>+</sup>, and 99<sup>+</sup> likely from organic acids and biogenic SOA (Shen et al., 2018). Note that for the negative spectra we only find good correlations with reference spectra of sulfate and nitrate containing salts, as well as urban dust. Unfortunately, we don't have particular reference spectra for organosulfate particles with corresponding anion markers.
- Class 1 (5.0% similarity-of all particles) is identified as "Calcium-Soil" short for "Calcium rich, metallie and soil dust
  like particles". It contains calcium related signatures at m/z 40 Ca<sup>+</sup>, 56 CaO<sup>+</sup>/Fe<sup>+</sup>, 57 CaOH<sup>+</sup>, 75 CaCl<sup>+</sup>, 96 Ca<sub>2</sub>O<sup>+</sup>, and 112 (CaO)<sub>2</sub><sup>+</sup>, as well as some other metals including Na, Zn, Cu, Basodium, zinc, copper, barium, and Pblead. This class shows a strong correlation with nitrate and correlates well with all reference spectra of dust samples, especially soil dust (cf. Fig. 5). Class 2 (4.3% similarity of all particles), "Aged soot-like particles", is predominantly located in the small size range (200 to 600 nm dva) and exhibits prominent elemental carbon (EC) patterns in both positive and negative mass spectra (characteristic
- 30  $C_n^{\pm}$  progressions with up to n = 12). These mass spectra show a strong correlation to the reference spectra of soot particles, especially diesel soot ( $\gamma = \sim 1$ ). Class 7 (4.6% similarity of all particles) is identified as "Mixed/aged-<u>particles-Dust</u>", which contains no obvious characteristic features, and is correlated with most of the reference spectra. It has a relatively even and broad size distribution covering the whole size range that LAAPTOF is able to measure.
- We observe intensive signals at m/z 138 Ba<sup>+</sup> and 154 BaO<sup>+</sup> in class 1, 5, 6 and 7, indicating a similar source of these particle types, which all have a good correlation with mineral and soil dust particles (Fig. 45). Prominent lead markers at m/z 206<sup>+</sup> to 208<sup>+</sup> can be found in each class, except class 4, which is further evidence for these particles to be relatively freshyoung. The marker peaks for lead appear broader because at higher m/z, we observe larger peak shifts that cannot be wellcompletely corrected with the existing LAAPTOF software. Some peaks (e.g., m/z 78<sup>+</sup> in class 3, m/z 98<sup>+</sup> in class 4, and m/z 161<sup>+</sup> in class 6) we were not able to identify. Note that even though we did not obtain spectra for pure ammonium
- 40 sulfate or pure biogenic SOA particles in ambient air, it is still possible for such particles to be present. However, laboratory measurements show a very low sensitivity of the LAAPTOF to these types of particles, potentially due to their low absorbance at 193 nm. ThisDue to this low instrument sensitivity for these types of particles is very difficult to get to achieve reasonable quantitative estimates about their abundance based on LAAPTOF measurements alone.

<u>The aforementioned full and short names for seven classes are listed in Table 1.</u> We emphasize here that the expression "rich" as used in this study only indicates a strong signal in the mass-spectra rather than a large fraction in mass, since there is no well-defined relationship between <u>LAAPTOF</u> spectral signal and <u>the corresponding</u> quantity. The sensitivities of theis instrument to different species have <u>yet</u> to be established. <u>in the future</u>.

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All the laboratory-based reference spectra used in this study are publicly available via the EUROCHAMP-2020 data base (www.eurochamp.org). Information on newly added reference spectra can be found is given in Table S2.

#### 3.2 Quantification of single particle mass and the external mixing

We estimate the different single particle mass is-based on the particle identification discussed above and on several assumptions on particle density and shape (cf. Sect. 2.2). And the The fuzzy classification derived similarity (Fig. 3a4a) can
be transferred into corrected number fractions using size and chemically-resolved ODE (Fig. 3b4b) and further transferred into mass fractions (Fig. 3e4c) of the seven externally-mixed-particle classes. Obvious The corresponding time series of chemically-resolved number and mass concentrations can be found in Fig S4. Please note that the aged soot particles (class 2), which dominate the number fraction for particles below 400 nm in the fuzzy c-means analysis comprise only a minor fraction of the total number counts in Figure 4 because the total particle number is dominated by particles larger than 500 nm

- 15 (cf. Figure 3b). Significant changes can be observed when going from (a)between the similarity number fraction, the corrected number fraction, and the resulting mass fractions (cf. Fig. 4a to (b) to (c): compared withc). Compared to the similarity fraction, the number fractions of class 3 and 4-"Sodium salts" and class 4 "Secondary inorganics-Amine" decrease dramatically; "Sodium salts" particles changed from 43.5% (similarity) to 3.4% (corrected number fraction) and "Secondary inorganics-Amine" decrease dramatically decreased from 20.8% to 2.4%, while those of the other classes increase. This is because
- 20 class 3 and 4 have higher fraction in the bigger size range (classes 3 and 4 comprise mainly of larger particles (class 3:  $d_{va}$ : 600 to 2500 nm and peaks at ~1400 and 2000 nm corresponding to  $d_p$  400 to ~700 and 1000 nm, respectively; class 4 peaks at ~680 nm  $d_{va}$  and 400 nm  $d_p$ ) which corresponds to have the highest ODE values. And In contrast the other classes have bigger fraction in the comprise mainly smaller size rangeparticles ( $d_{va} < 500$  nm;  $d_p < 300$  nm) (cf. Fig. 3), which exhibits have a relative lower ODE (cf. Fig. 2 and Fig. 1). Class 5 "Biomass burning-Soil" accounts for the second highest
- 25 number fraction of the smaller particles and has a relatively high <u>effective</u> density. After correction, the number fraction of particles attributed to this class has increased from 16.1% to 46.5%45.0%, corresponding to 31.8% mass fraction, and <u>becomeit becomes</u> the dominating class with <u>regardsrespect</u> to particle number and mass. <u>Class 3</u>"Sodium salts" is the <u>secondanother</u> dominating class with respect to mass (30.1% mass fraction) due to their <u>biggerrelatively large</u> size, the cube of which is proportional to particle volume and mass. These observations demonstrate the crucial role of the corrections
- 30 applied for particle mass quantification for SPMS data. Note that we can obtain similarly corrected number and mass fractions by using minimum, mean, and maximum ODE, respectively (Table S3). The observed external mixing of aerosol particles was temporally quite variable variable variable significantly with time, e.g., class 6 "Biomass burning-Organosulfate-coated dust" dominated both particle number and mass at the beginning of the measurements until August 1<sup>st</sup>, while class 3 dominated the mass for August 5<sup>th</sup> to 10<sup>th</sup>, 21<sup>st</sup> to 24<sup>th</sup>, and 29<sup>th</sup> to 30<sup>th</sup>, and class 4 particles peaked twice on August 11<sup>th</sup> and
- 35 19<sup>th</sup><del>. (cf. Fig. 4).</del>

As discussed above, raw LAAPTOF data overestimate the particles with higher ODE, while the ones with lower ODE will be underestimated. After correction <u>of the number counts</u> and <u>further semi-quantification in estimation of the mass</u> <u>concentrations</u>, we can compare <u>the LAAPTOF</u> result with the <u>other quantitative instruments</u> such as AMS-<u>in the</u> <u>overlapping size range of 200 to 2500 nm d<sub>va</sub>. A correction for the particles in the size range between 70–200 nm considering</u>

40 <u>mass concentrations may be negligible since they typically contribute only a minor mass fraction.</u> It turns out that the total mass of the quantified-particles measured by LAAPTOF is  $-127\pm3\%$  (with maximum ODE),  $-2516\pm6\%$  (mean ODE), and  $10460\pm24\%$  (minimum ODE) and  $45\pm16\%$  (23–68% with chemically-resolved ODE) of the total AMS mass with a

collection efficiency of 0.5. In spitedepending on the measurement periods. Despite of this big uncertainty, relative large differences in the average mass concentrations of LAAPTOF and AMS results have they show much better agreement in total mass and also good correlations during some specific periods (P), such as P2P1, 2, 4, and 5 (cf. Fig-4. 6 and Fig. S2S5), covering ~7085% of the measurement time. Hence, the large differences in the average mass concentrations are caused by

- 5 <u>larger deviations during some relatively short periods or events.</u> Considering that AMS can only measure non-refractory compounds, the good correlation between AMS and LAAPTOF gives us a hint that the species measured by AMS <u>mightmay</u> mainly originate from the particles of complex mixtures of both refractory and non-refractory species. It is worth noting that weakest correlation ( $\gamma$ =-0.1) is observed in P6 when LAAPTOF measured the highest fraction of sodium salts particles (especially sodium chloride) on August 29<sup>th</sup>, while AMS is unable to measure refractory species such as sodium chloride.
- **10** Specifically, from 9:00 to 23:53 on August 29<sup>th</sup>, LAAPTOF and AMS tended to be slightly anti-correlated ( $\gamma$ =-0.3).

As shown in the upper panel of Fig. 5,6 (a), the mass ratio of LAAPTOF to AMS has its lowest valuelower values in P3 and P5 when the AMS organic mass concentration is higher than in most of the other periods. This is in lineAlthough LAAPTOF data shows a good correlation with the fact that the LAAPTOF is less sensitive to pureAMS data e.g. for period P5, it obviously misses a large mass fraction of most likely smaller organic species, such as oxalic, pinic, and cis pinonic

- 15 acids (Shen et al., 2018). However, the correlation coefficient values between LAAPTOF and AMS in P3 and P5 are quite different, indicating different dominating particles. The corresponding chemically-resolved size distributions of particles measured by AMS are given in Fig. S6. This may be due to an insufficient representation of this kind of organic types, to which LAAPTOF might have different sensitivities. It is worth noting that rich particles in the particles classes identified initially. Even using reference spectra of organic particles it was not possible to identify a number of those particles
- 20 sufficient to close this gap. In addition, during the whole campaign the sulfate mass fraction measured by AMS is largest in P3 (cf. Fig. <u>\$36c</u>). However, the LAAPTOF is not sensitive to some sulfate salts, e.g., pure ammonium sulfate (Shen et al., 2018), thus it is likely that such particles were dominating in P3, which resulted in a weaker correlation between these two instruments. Relatively pure ammonium sulfate was also suggested to be a "missing" particle type in the other SPMS field studies (Erisman et al., 2001; Stolzenburg and Hering, 2000; Wenzel et al., 2003) and (Thomson et al., 1997) showed in a
- 25 <u>laboratory study that pure ammonium sulfate particles were difficult to measure using LDI at various wavelengths</u>.

## 3.3 Correlation of AMS and LAAPTOF results for non-refractory compounds

As shown in Fig. 6a,Considering the different capabilities of LAAPTOF and AMS, we did not apply the relative sensitivity factors (RSF) method (Healy et al., 2013; Jeong et al., 2011). We analysed ourOur LAAPTOF and AMS data independently and compared them thereafter. For LAAPTOF data, we used relative ion intensities (each ion peak intensity is normalised to
the sum of all or selected ion signals. Positive and negative ions were analysed separately), similar to the relative peak area (RPA) method suggested by Hatch et al. (2014). As shown in Fig. S7 (a), m/z 30 NO<sup>+</sup> measured by LAAPTOF has a good correlation (γ = 0.6) with ammonium measured by AMS, but LAAPTOF m/z 18 NH<sub>4</sub><sup>+</sup> doesn't (γ = 0.3, not shown in the figure), which was also found by Murphy et al. (2006). For nitrate (panel b: sum of the marker peaks at m/z 46 NO<sub>2</sub><sup>-</sup> and m/z

- 62 NO<sub>3</sub><sup>-</sup>), sulfate (panel c: sum of the marker peaks at m/z 32 S<sup>-</sup>, 64 SO<sup>-</sup>, 80 SO<sub>3</sub><sup>-</sup>, 81HSO<sub>3</sub><sup>-</sup>, 96 SO<sub>4</sub><sup>-</sup>, 97 HSO<sub>4</sub><sup>-</sup>, 177 35 SO<sub>3</sub>HSO<sub>4</sub><sup>-</sup>, 195 H<sub>2</sub>SO<sub>4</sub>HSO<sub>4</sub><sup>-</sup>), and organics (cations in panel d: atsum of m/z 43 C<sub>3</sub>H<sub>7</sub>/C<sub>2</sub>H<sub>3</sub>O/CHNO<sup>+</sup>, 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, 88 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NO/C<sub>3</sub>H<sub>6</sub>NO<sub>2</sub><sup>+</sup>, 95 C<sub>7</sub>H<sub>11</sub><sup>+</sup>, 104 C<sub>8</sub>H<sub>8</sub><sup>+</sup>, 115 C<sub>9</sub>H<sub>7</sub><sup>+</sup>, 129 C<sub>5</sub>H<sub>7</sub>NO<sup>+</sup>, and anions in panel e: sum of the marker peaks at m/z 24 C<sub>2</sub><sup>-</sup>, 25 C<sub>2</sub>H<sup>-</sup>, 26 C<sub>2</sub>H<sub>2</sub>/CN<sup>-</sup>, 42 C<sub>2</sub>H<sub>2</sub>O/CNO<sup>-</sup>, 45 COOH<sup>-</sup>, 59 CH<sub>2</sub>COOH<sup>-</sup>, 71 CCH<sub>2</sub>COOH<sup>-</sup>, 73 C<sub>2</sub>H<sub>4</sub>COOH<sup>-</sup>, 85 C<sub>3</sub>H<sub>4</sub>COO<sup>-</sup>, 89 (CO)<sub>2</sub>OOH<sup>-</sup>), there is a poor correlation ( $\gamma \le 0.4$ ) between these two instruments if we consider the entire measurement period. However, the fraction of LAAPTOF organic cations to the sum of ammonium and
- 40 organic cations, org/(org+ammonium), anion fraction of org/(org+sulfate)), and org/(org+nitrate), show better correlations between these two instruments (panel f to hFig. 7), especially for org/(org+nitrate). As shown in panel (g), Fig. 7b, a scatter plot of org/(org+nitrate) measured by LAAPTOF and AMS shows an exponential trend. A similar trend offor the ratio

carbonaceous/(carbonaceous+sulfate) werewas observed by another single particle mass spectrometer (PALMS) compared to AMS results for free tropospheric aerosol particles measured by Murphy et al. (2006).

Note that the aforementioned comparisons in this section are for the entire measurement period and demonstrate general correlations between these two instruments. Considering the-different time periods, the correlations vary (Fig. 67). All
corresponding Pearson's correlation coefficient (γ) values for the comparisons of compounds measured by LAAPTOF and AMS are summarized in Table S4. During period 4, most of the γ values are above 0.6, suggesting good correlation, which is comparable with the mass comparison results discussed in Sect. 3.2.2. In particular, for the comparison of the org/(org+nitrate) comparison results discussed in Sect. 3.2.2. In particular, for the comparison of the measurement time-periods, the. The corresponding scatter plots are shown in Fig. S4. Period7 (b1-b6). Periods 2 and 4, covering >more than 50% of the measurement time, show similar exponential trends as the general fit in panel (g), Fig. 7b,

- while periods 1, 3, and 5 show a linear correlation (especially in periods 3 and 5). This implies different dominant particle types. Consistent with the observations: as shown in Fig. 3e4c, period 2 and 4 are dominated by class 3 particles "Sodium salts" and there are two class 4 "Secondary inorganics-Amine" burst events, while period 3 and 5 are dominated by class 5 "Biomass burning-Soil" particles containing more organics, which can also be validated by AMS results as shown in Fig. 5 and -Fig. S3. Therefore, we conclude that the relationship between LAAPTOF-org/(org+nitrate) and AMS-org/(org+nitrate)
- varies due to <u>changing</u> particle types.

Taken together, <u>the correlations shown in Fig. 67</u> and Fig. <u>S4 mightS7 may</u> be use<u>fuld</u> to estimate the mass concentrations of non-refractory compounds for LAAPTOF measurements without AMS in rural locations: ammonium mass concentrations can be estimated from <u>panel-Fig. S7</u>(a), afterwards <u>organic mass concentrations can be estimated by using Fig.</u> <u>7(a)</u>, and then nitrate can be estimated from <u>panel (f)</u>, then organics can be estimated by using panel (gFig. 7(b) and/or Fig.

 $\frac{337(b1 \text{ to } b6)}{(hFig. 7(c))}$  once the dominating particle types are determined, and finally the sulfate mass can be estimated from panel

#### 3.4 Particle sources of non-refractory components

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- The AMS can quantify the bulk particle mass of non-refractory species such as ammonium, nitrate, sulfate, and organics.
  LAAPTOF measurements suggest that ambient aerosol particles at this location are often internal mixtures of ammonium, nitrate, sulfate, organics, and other characteristic species such as metals. In order to find out the dominant particle class/classes contributing to a certain non-refractory compound measured by AMS (namely compound donor class), we also need the class information of the single particles, which can be achieved by the single particle identification method described in Sect. 2.2, and assume that LAAPTOF has a similar sensitivity to the same components of different particle 30 classes. For nitrate measured by AMS, the dominating nitrate-donor particles with marker peaks at m/z 46 NO<sub>2</sub><sup>-</sup> and 62 NO<sub>3</sub><sup>-</sup>
- in LAAPTOF varied in different periods (Fig. 7): class 38): "Sodium salts" was the dominating class for the whole measurement campaign, but class 4. Secondary inorganics-Amine" was dominant in its burst events (August 11<sup>th</sup> and 19<sup>th</sup>), while class 5. Biomass burning-Soil" was dominant from August 25<sup>th</sup> to 29<sup>th</sup>. For ammonium measured by AMS, we have observed a similar trend as for class 4. Secondary inorganics-Amine" particles, indicating that the ammonium AMS
- 35 measured mainly originated from this class. This can be reinforced by comparing with the time series of LAAPTOF marker peaks for ammonium and amine at m/z 18 NH<sub>4</sub><sup>+</sup>, 30 NO<sup>+</sup>, 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, and 88 (C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>NO/C<sub>3</sub>H<sub>6</sub>NO<sub>2</sub><sup>+</sup> (Fig. <u>\$55S8</u>). For sulfate measured by AMS, we cannot infer the dominating donor class, since there is no comparable LAAPTOF class and fragments. This indicates again that this instrument is less sensitive or insensitive has a low sensitivity to some sulfate containing particles, such as pure ammonium sulfate. For organic compounds measured by AMS, it is also hard to
- 40 find the comparable class and marker peaks in LAAPTOF data, probably due to two reasons: one is the same as that for sulfate containing particles, and another one is that compared with AMS there are more fragments (cations and anions) arising from organics in LAAPTOF mass spectra. Nevertheless, we have found that peaks at m/z 129  $C_5H_7NO_3^+$  (arising

from organonitrates) and 73  $C_2H_4COO^-$  (from organic acids) have a similar trend as the organics measured by AMS (Fig. 89 b and c). At the beginning of the LAAPTOF measurements, the dominating organic donor class is class 6 <u>"Biomass burning-Organosulfate"</u> (mainly contributing organic acids to be measured by AMS), while at the end of the measurement period this changed to <u>class 3</u><u>"Sodium salts</u>" rich particles and <u>5</u><u>"Biomass burning-Soil"</u> (mainly contributing organonitrate and organic

5 acids, respectively). Apart from that, aromatic compounds mainly in <u>class 5"Biomass burning-Soil</u>" could also contribute to the organic mass fraction measured by AMS, especially for the strongest organic burst event towards the end of the measurement period (cf. Fig. <u>89</u> d and e).

Although, the LDI matrix effects cannot be completely overcome by using relative ion intensities, the time series of the corresponding maker peaks (Fig. 8, Fig. 9, and Fig. S8) can still be used for preliminary assignments of the bulk species to different particle types

10 different particle types.

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# 4 Conclusions and atmospheric implications

In this study, we used a combination of representative spectra obtained by fuzzy classification, laboratory based-reference spectra, marker peaks, and typical peak ratios for the improved single aerosol particle identification at a rural site in the upper Rhine valley near the city of Karlsruhe-city in, Germany. Seven major particle classes were identified among a total of ~3.7 × 10<sup>5</sup> single particles: elass 1- is named-"Calcium-rich, metallic dust like particles"; elass 2\_Soil"; "Aged soot-like particles"; elass 3"; "Sodium salts like particles"; elass 4"; "Secondary inorganic and amine like particles"; elass 5 "Potassium rich and aromatics coated dust like particles"; elass 6 "inorganics-Amine"; "Biomass burning-Soil"; "Biomass burning-Organosulfate-coated dust like particles"; and elass 7-"Mixed/aged-particles\_Dust". All particles were internally mixed with organic and secondary inorganic compounds, i.e., ammonium, sulfate, and nitrate. According to our observation,

- 20 these particles are expected to show a significant hygroscopicity due to their secondary inorganic contents (Fuzzi et al., 2015), as well as the presence of organosulfates (Thalman et al., 2017). The light absorption of soot particles <u>mightis</u> <u>expected to</u> be enhanced by mixing with non-absorbing species such as <u>most of the</u>-organic compounds that can reflect the light to the soot part (Bond et al., 2013). Organonitrates signatures found on <u>class 3. Sodium salts</u>, particles are also expected to increase their light absorbing capability (Canagaratna et al., 2007) and assist nocturnal particle growth (the corresponding species).
- 25 detailed discussion will be presented in a related paper by Huang et al. (2018) on the molecular composition of the organic aerosol particles. and to assist nocturnal particle growth. The good correlation of most of the particle classes and dust signatures suggests that condensation processes and heterogeneous chemistry have modified the dust particles during their transportation. For example, organosulfates coated dust could form from heterogeneous reactions of volatile organic compounds (VOCs), such as glyoxal, on mineral dust particles aged by reaction with e.g. SO<sub>2</sub> (Shen et al., 2016). Since
- 30 organosulfates can form by heterogeneous reactions of IEPOX on acidic particles at low  $NO_x$  level (Froyd et al., 2010; Surratt et al., 2010), it is likely that they form also on acidified dust particles at the similar conditions. Our general observation of dominating aged and mixed aerosol particles is expected at a location about 2 hours downwind of nearest major emission sources (12 km distance to Karlsruhe at an average daytime wind speed of 1.7 m/s).

Based on the precise identification for particle classes and individual particles, we <u>developedapplied</u> a <u>semi-</u> quantification method for single particles. As a result, , employing size and particle class <u>3-/chemically-resolved overall</u> detection efficiencies (ODEs) for this instrument. In contrast to methods used in previous SPMS studies, our approach is laboratory-based and doses not rely on the availability of a reference instrument in the field. The corresponding "corrections" to the standard similarity classification result in substantial changes in the particle class abundancies: "Sodium salts" particles changed from 43.5% (similarity) to 3.54% (corrected number fraction) corresponding to a mass fraction of

40 <u>25.330.1</u>%, becoming the second dominating class in mass; <u>class 4</u><u>"Secondary inorganics-Amine"</u> dramatically decreased from 20.8% to 2.4% corresponding to a mass fraction of <u>3.6.5</u>%; becoming the <u>second</u> least abundant class; <u>class 5</u><u>"Biomass</u>"

burning-Soil" changed from 16.1% to 46.545.0% corresponding to a mass fraction of 3631.8%, becoming the dominating class in number and mass. The big difference between number-based and mass-based SPMS results has enforced the importance of particle mass quantification. Noteworthy, our semi-quantification approach requires several assumptions mainly regarding particle shape and density, which might cause ~540% results in potential uncertainties of up to ~300% with

- 5 the dominant ODE caused one. Regardless of such bigsource still the ODE values. Despite this large uncertainty, we are the first to estimate single particle mass in SPMS studies. The the resulting total particle mass show good correlations agreement with the total mass of non-refractory compounds, i.e., ammonium, nitrate, sulfate, and organic compounds measured by AMS in different periods, covering ~70%85% of the measurement time. This indicates these compounds might mainly be arising from the particles consisting of non refractory and refractory components. Apart from the particle mass
- 10 quantification, However, some discrepancies still remain most likely due to the low sensitivity of LAAPTOF for small particles as well as ammonium sulfate and organic rich particles. Furthermore, we have found specific relationships of LAAPTOF ion intensity intensities ratios and AMS mass concentration results for non-refractory compounds have been found, especially for the fraction of org/(org+nitrate). This will be applied for source apportionment in an upcoming publication. The corresponding scatter plots might be usefuld to estimate the mass of these compounds concentrations in 15
- future **LAAPTOFSPMS** studies without as well.

We have shown how particle size, density, morphology (shape), and chemical composition have impact the ODE of the LAAPTOF. Therefore, these factors need to be taken into account for a reasonable quantitative instruments like AMS.

interpretation of SPMS data. Considering a more precise correction and reduced quantification uncertainties, systematic measurements on different types of standard samples are still in need to help obtain more comprehensive sensitivity of this

- 20 instrument. Multiple lines of evidence suggest that the LAAPTOF has a very low sensitivity for particles rich in such as pure ammonium sulfate and some organic species. In addition, particle size, density, morphology (shape), and chemical composition have impact on the ODE. Therefore, these factors need to be taken into account for a reasonable quantitative interpretation of SPMS dataneeded to obtain more comprehensive sensitivities for LAAPTOF.
- Employing particle class information for individual particles and specific marker peaks with relative ion intensities, this 25 study is the firstable to assign non-refractory compounds measured by AMS to different classes of particles measured by SPMS. It turns out that nitrate measured by AMS was mainly from particle class 3-sodium salts like particles. Ammonium measured by AMS was mainly arising from particle class 4secondary inorganics-amine particles. However, the dominating donor particle classes varied in different time periods during the measurements. Organic compounds measured by AMS were from organic acids (mainly on particle class 5 and 6 aged biomass burning particles), organonitrates (from elass 3 sodium
- 30 salts), and aromatic compounds (from elass 5)-aged biomass burning particles). During the entire measurement, campaign, the dominating particle classes changed with respect to particle number and mass, and the donor classes for non-refractory compounds also varied substantially indicating changes of particles sources.

In spite of some significant uncertainties stemming from several assumptions and instrumental aspects, our study provides a good example for identifying particle class and individual particles. It opens a new way for identification and 35 quantitative interpretation of single particle data, and together. Together with the complimentary results from bulk measurements by AMS, we have shown how a better understanding of the internal and external mixing state of ambient aerosol particles can be achieved.

## Data availability

LAAPTOF reference spectra are available upon request to the corresponding author and are available in electronic format via 40 the EUROCHAMP DATA CENTER - Library of Analytical Resources of the EU project EUROCHAMP-2020 (https://data.eurochamp.org/, EUROCHAMP, 20189).

## Author contributions

X.S. operated LAAPTOF and AMS during the whole field campaign, did the LAAPTOF data analysis, produced all figures, and wrote the manuscript. H.S. organized the campaign, provided suggestions for the data anfalysis, interpretation, and discussion. W.H. operated AMS during the whole campaign and did AMS data analysis. C.M. helped to operate the instruments, provided suggestions for the data analysis, interpretation, and discussion. R.R. helped to operate LAAPTOF. T.L. gave general advices and comments for this paper. All authors contributed to the final text.

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#### **Competing interests**

The authors declare no conflict of interest.

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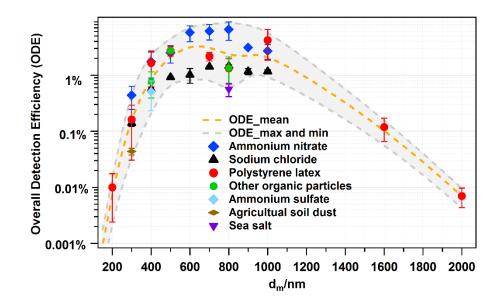
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Table 1: Particle fuzzy-class number, symbol colour, and numbers, names, and labels.

| Class No. | Name  | Label                         |
|-----------|---|-------------------------------|
| <u>1</u>  | Calcium rich and soil dust like particles                   | Calcium-Soil                  |
| <u>2</u>  | Aged soot like particles                                    | Aged soot                     |
| <u>3</u>  | Sodium salts like particles                                 | Sodium salts                  |
| <u>4</u>  | Secondary inorganics rich and amine containing particles    | Secondary inorganics-Amine    |
| <u>5</u>  | Aged biomass burning and soil dust like particles           | Biomass burning-Soil          |
| <u>6</u>  | Aged biomass burning and organosulfate containing particles | Biomass burning-Organosulfate |
| <u>7</u>  | Mixed/aged and dust like particles                          | Mixed/aged-Dust               |

Note that "rich" used in the names stands for the strong spectral signal rather than the real mass fraction. Class 7 is named "mixed/aged particles" because particles in this class have almost all the marker peaks from the other classes.

| Class no. | Symbol colour     | Name  |
|-----------|-------------------|---|
| 4         | red               | Calcium rich, metallic dust like particles              |
| 2         | <del>black</del>  | Aged soot like particles                                |
| 3         | <del>blue</del>   | Sodium salts like particles                             |
| 4         | orange            | Secondary inorganic and amine-like-particles            |
| 5         |                   | Potassium rich and aromatics coated dust like particles |
| 6         | <del>purple</del> | Organosulfate coated dust like particles                |
| 7         | <del>grey</del>   | Mixed/aged-particles                                    |



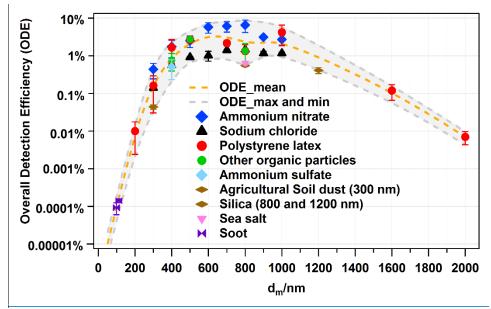
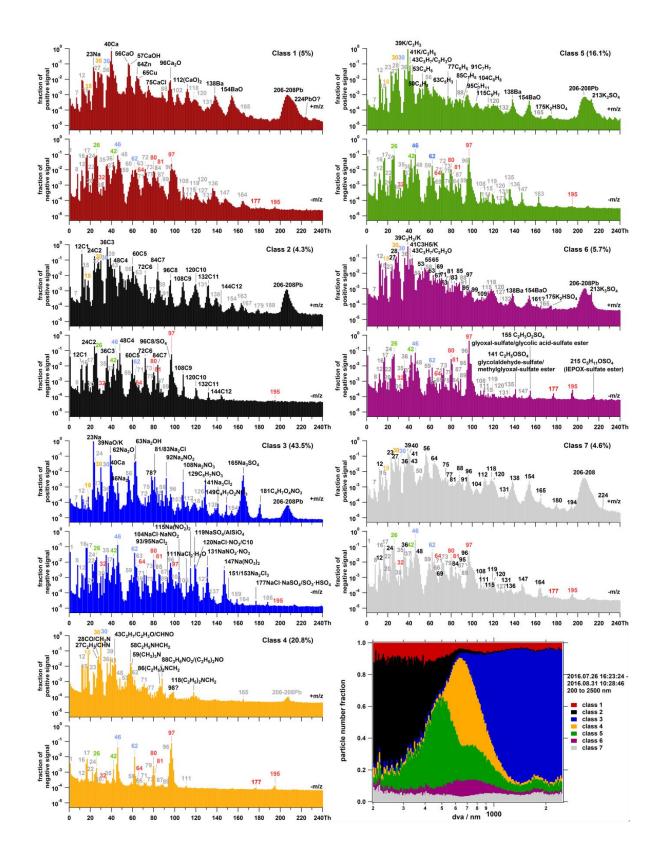


Figure 1: Overall detection efficiency (ODE) of LAAPTOF for different types of particles as a function of the mobility diameter (d<sub>m</sub>), adapted from Shen et al. (2018); and extended. Dashed lines are fitting curves for maximum, mean and minimum values of ODE. For other organic particles (green), ODE at 400 nm is the data from secondary organic aerosol (SOA) particles from  $\alpha$ -5 pinene ozonolysis, ODE at 500 nm is the data from humic acid, and ODE at 800 nm is data from humic acid  $(1.9 \pm 0.3\%)$ , oxalic acid  $(0.3 \pm 0.1\%)$ , pinic acid  $(1.6 \pm 0.1\%)$ , and cis-pinonic acid  $(1.9 \pm 0.7\%)$ . SOA particles were formed in the Aerosol Preparation and Characterization (APC) chamber and then transferred into the AIDA chamber. Agricultural soil dust (brown symbol) were dispersed by a rotating brush generator and injected via cyclones into the AIDA chamber. Sea salt particles (purple) were also sampled from AIDA chamber, the AIDA chamber. Soot particles from incomplete combustion of propane were generated with a 10 propane burner (RSG miniCAST; Jing Ltd.), and then injected into and sampled from a stainless steel cylinder of ~0.2 m<sup>3</sup> volume. SiO<sub>2</sub> particles were directly sampled from the headspace of their reservoirs. The other aerosol particles shown in this figure were generated from a nebulizer and size-selected by a DMA. Note that there is uncertainty with respect to particle size due to the particle generation method. The nebulized and DMA sized samples have relative smaller standard deviation (SD) from Gaussian fitting to the measured particle sizes. PSL size has the smallest size SD (averaged value is 20 nm) and the corresponding relative 15 SD (RSD = SD divided by the corresponding size) is ~6%, since the original samples are with certain sizes. The other nebulized samples have standard deviations ranging from 70 to 120 nm SD and 3 to 23% RSD. Particles sampled from AIDA chamber have much bigger size SD: ~70 nm for SOA (17% RSD), ~2100 nm for agricultural soil dust (~6083% RSD), and ~180 nm for sea salt

samples have standard deviations ranging from 70 to 120 nm SD and 3 to 23% RSD. Particles sampled from AIDA chamber have much bigger size SD: ~70 nm for SOA (17% RSD), ~2100 nm for agricultural soil dust (~6083% RSD); and <u>~180 nm for</u> sea salt particles (~34% RSD). Considering this uncertainty, we have chosen size segment of 100 nm (±50 nm) for correction, e.g., particles with size of 450 to 550 nm will use the ODE at 500 nm particle number correction.



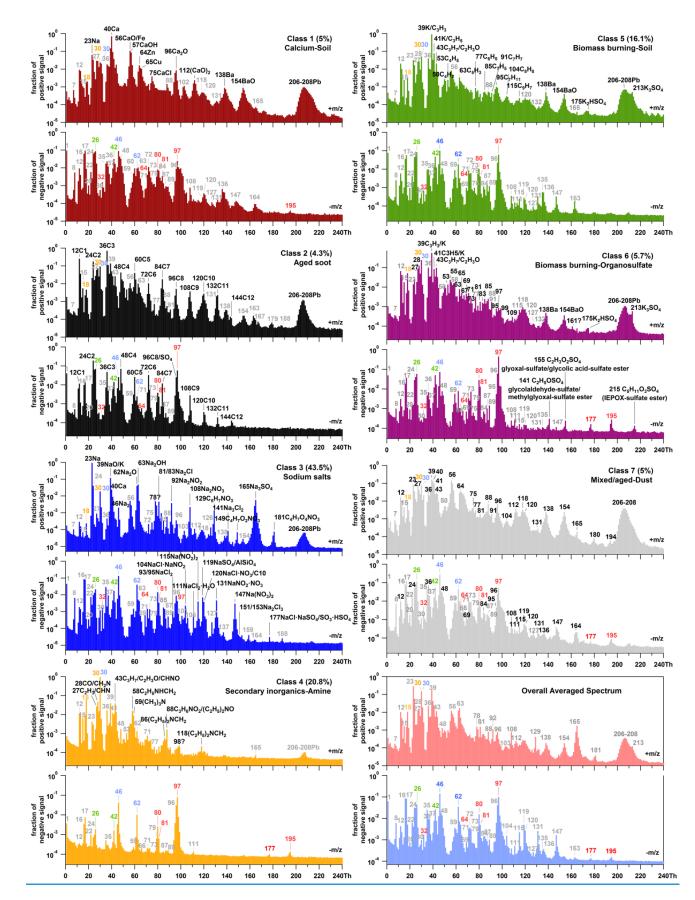


Figure 2: Representative mass spectra and size resolved number fraction for of seven particle classes measured during the field campaign TRAM01, based on fuzzy classification according to fuzzy *c*-means clustering algorithm, and averaged spectrum of total  $\sim 3.7 \times 10^5$  single particles measured. The percentage in each pair of spectra gives us information about the similarity of the total aerosol particles to different classes. The red, blue, and orange labels represent the signatures for sulfate (32 S<sup>-</sup>, 64 SO<sup>2-</sup>, 80 SO<sup>3-</sup>, 81 HSO<sup>3-</sup>, 97 HSO<sup>4-</sup>, 177 SO<sub>3</sub>HSO<sup>4-</sup>, and 195 HSO<sub>4</sub>H<sub>2</sub>SO<sup>4-</sup>), nitrate (30 NO<sup>+</sup>, 46 NO<sup>2-</sup>, and 62 NO<sup>3-</sup>) and ammonium (18 NH<sub>4</sub><sup>+</sup> and 30 NO<sup>+</sup>). The green labels represent the organic compounds (26 C<sub>2</sub>H<sub>2</sub>/CN<sup>-</sup> and 42 C<sub>2</sub>H<sub>2</sub>O/CNO<sup>-</sup>). Grey labels represent the background fragments (common ions) that exist for every particle class, while the black ones are the signatures for different classes.

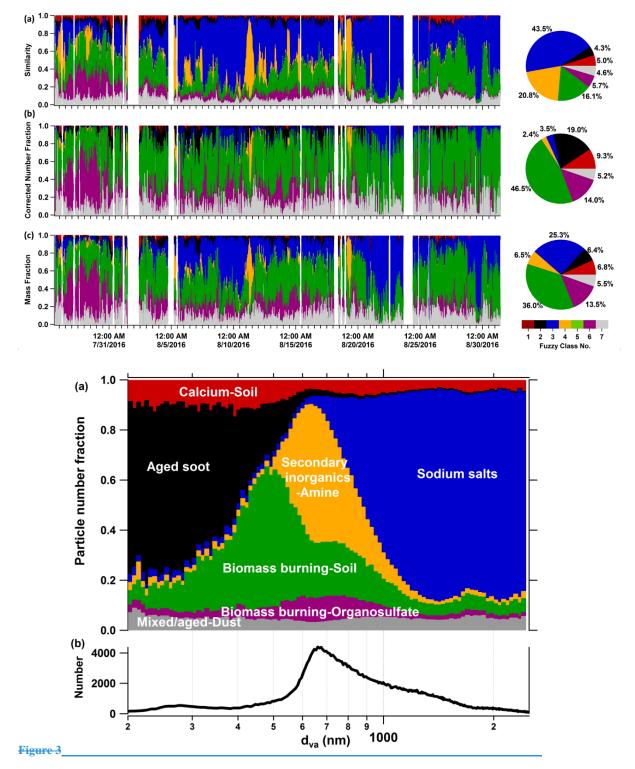
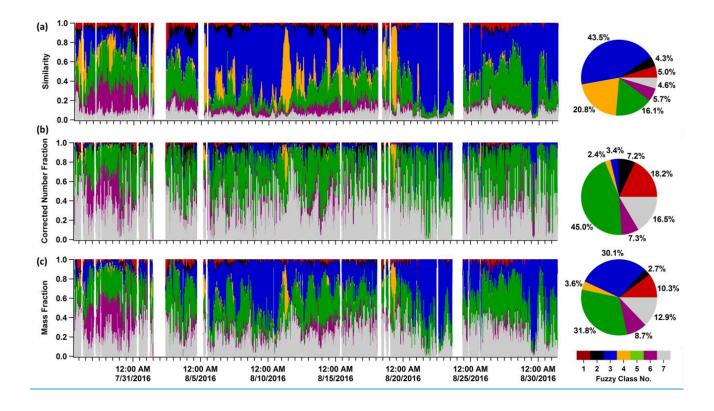


Figure 3: (a) Size resolved number fraction for seven particle classes measured during the field campaign TRAM01, based on fuzzy classification according to fuzzy c-means clustering algorithm. (b) Overall size distribution for the particles measured by LAAPTOF during the whole campaign.



<u>Figure 4</u>: Time series of the similarity, corrected number fraction, and mass fraction of seven major particle classes and the corresponding pie charts for total fractions. The timeline covers the whole LAAPTOF measurement in this campaign. Note that, the correction here is based on ODE mean value.

Note that, the correction shown here is based on a chemically or particle class resolved ODE. The seven classes are class 1 "Calcium-Soil"; class 2 "Aged soot"; class3: "Sodium salts"; class 4 "Secondary inorganics-Amine"; class 5 "Biomass burning-Soil"; class 6 "Biomass burning-Organosulfate"; and class 7 "Mixed/aged-Dust".

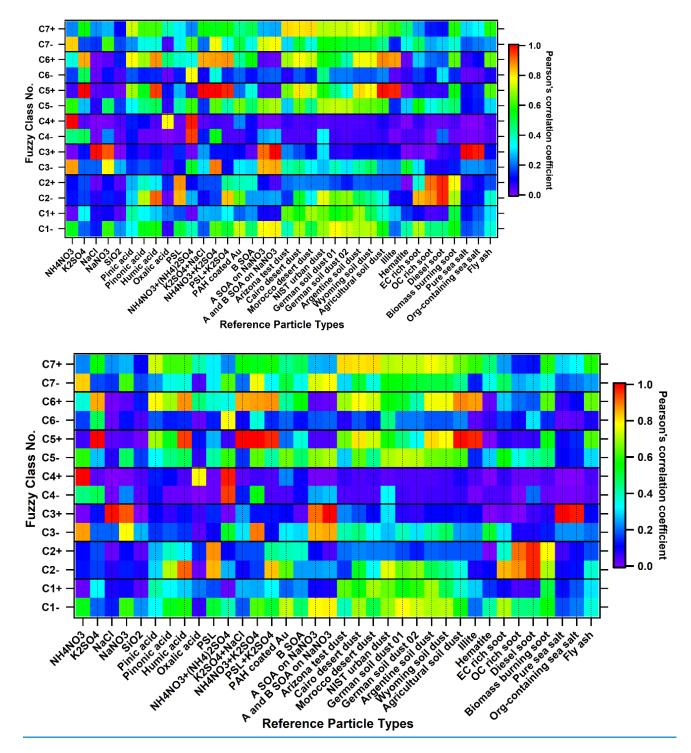
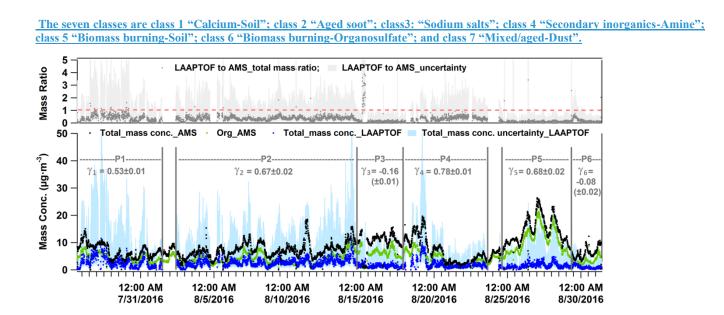


Figure 45: Correlation diagram of fuzzy classification results (7 classes, C1 to C7) and 36 laboratory-based reference spectra. Correlation results for the positive and negative spectra (e.g., for C1) are in the separated rows (e.g., C1+ and C1-). PAH is short for poly(allylamine hydrochloride), B SOA is short for biogenic SOA ( $\alpha$ -pinene SOA in this study), A SOA is short for anthropogenic SOA (toluene SOA in this study), biomass burning soot is the lignocellulosic char from Chestnut wood. Note that, the strong and good correlations mentioned in the paper stand for Pearson's correlation coefficient  $\gamma \ge 0.8$  and  $\gamma \ge 0.6$ , respectively.



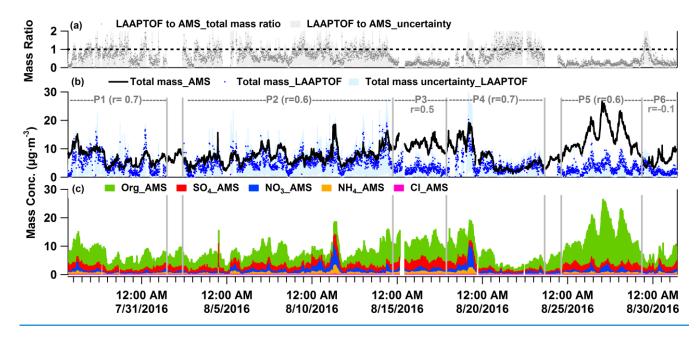
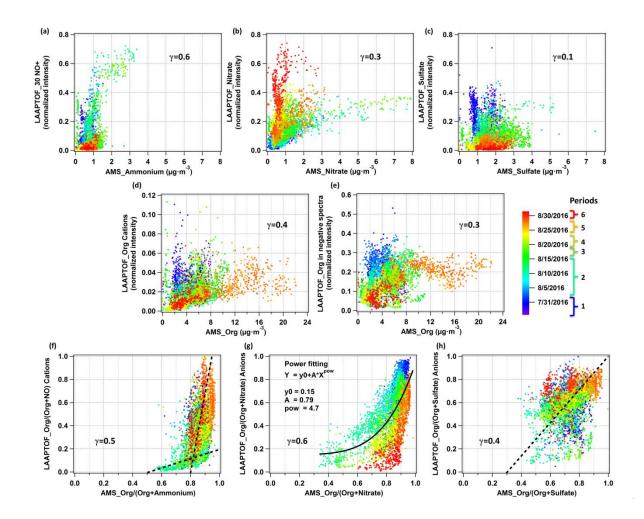


Figure 56: Time series of (a) total mass ratio of LAAPTOF to AMS<sub>5</sub> data, (b) LAAPTOF total mass<sub>5</sub> and AMS total mass and (c) mass concentrations of organic-mass concentration, sulfate, nitrate, and ammonium compounds measured by AMS. <u>AIN panel (b) r</u> is <u>athe</u> Pearson's correlation coefficient <u>between LAAPTOF and AMS results</u>. P1 is Period 1 from 7/26/2016 16:23 to 8/1/2016 11:43; P2 from 8/2/2016 09:43 to 8/14/2016 17:53; P3 from 8/14/2016 18:03 to 8/17/2016 21:03; P4 from 8/17/2016 21:13:00 to 8/23/2016 15:33; P5 from 8/24/2016 15:03 to 8/29/2016 08:33; P6 from 8/29/2016 08:43 to 8/31/2016 09:13. Zoom in figures for P1, 2, 4, and 5 can be found in Fig. <u>S2S5</u>, as well as the corresponding scatter plots for LAAPTOF and AMS data comparison.



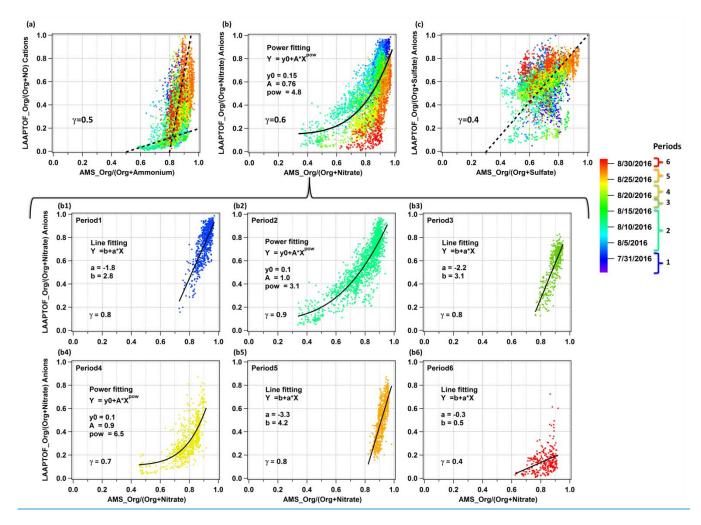


Figure 67: Comparison of non-refractory compounds measured by LAAPTOF and AMS: The normalized intensity of (a) 30 NO<sup>+</sup>; (b) nitrate (46 NO<sub>2</sub><sup>-</sup> + 62 NO<sub>3</sub><sup>-</sup>); (c) sulfate (32 S<sup>+</sup>+64 SO<sup>-</sup> + 80 SO<sub>3</sub><sup>-</sup> + 81HSO<sub>3</sub><sup>-</sup> + 96 SO<sub>4</sub><sup>-</sup> + 97 HSO<sub>4</sub><sup>-</sup> + 177 SO<sub>3</sub>HSO<sub>4</sub><sup>-</sup> + 195 H<sub>2</sub>SO<sub>4</sub>HSO<sub>4</sub><sup>-</sup>); (d) sum of positive organic markers at m/z 43 C<sub>3</sub>H<sub>7</sub>/C<sub>2</sub>H<sub>3</sub>O/CHNO<sup>+</sup>, 58 C<sub>2</sub>H<sub>5</sub>NHCH<sub>2</sub><sup>+</sup>, 59 (CH<sub>3</sub>)<sub>3</sub>N<sup>+</sup>, 88

- 5 (C2H5)2NO/C3H6NO2<sup>+</sup>, 95-C7H11<sup>+</sup>, 104-C8H8<sup>+</sup>, 115-C9H7<sup>+</sup>, and 129-C5H7NO<sup>+</sup>and (e) sum of the negative organic markers at m/z 24 C2<sup>-</sup>, 25-C2H<sup>-</sup>, 26-C2H2/CN<sup>-</sup>, 42-C2H2O/CNO<sup>-</sup>, 45-COOH<sup>-</sup>, 59-CH2COOH<sup>-</sup>, 71-CCH2COOH<sup>-</sup>, 73-C2H4COOH<sup>-</sup>, 85-C3H4COOH<sup>-</sup>, and 89 (CO)2OOH<sup>-</sup> measured by LAAPTOF are plotted verses the mass concentration of ammonium, nitrate, sulfate, and organics measured by AMS, respectively. Comparison of (a) LAAPTOF organic cations and NO<sup>+</sup> fractions (Org/(Org+NO), (b) organic anions and sulfatenitrate fractions, as well as Org/(Org+Nitrate), (c) organic anions and nitratesulfate fractions
- 10 <u>Org/(Org+Sulfate)</u> to the <u>corresponding</u> AMS mass fractions are also plotted in (f), (g), (h), respectively. Each point is 10 min averaged data, and there are 4483 points in each scatter plot. Dashed line in panel (fa) and (hc) are used to guide the eyes, while the curve in panel (gb) is from the fitting result. Colour scale is related to the timeline, including periods 1 to 6<sub>7</sub>, same as the ones in Fig.6. Further comparison of Org/(Org+Nitrate) during 6 periods are in the scatter plots (b1) to (b6).

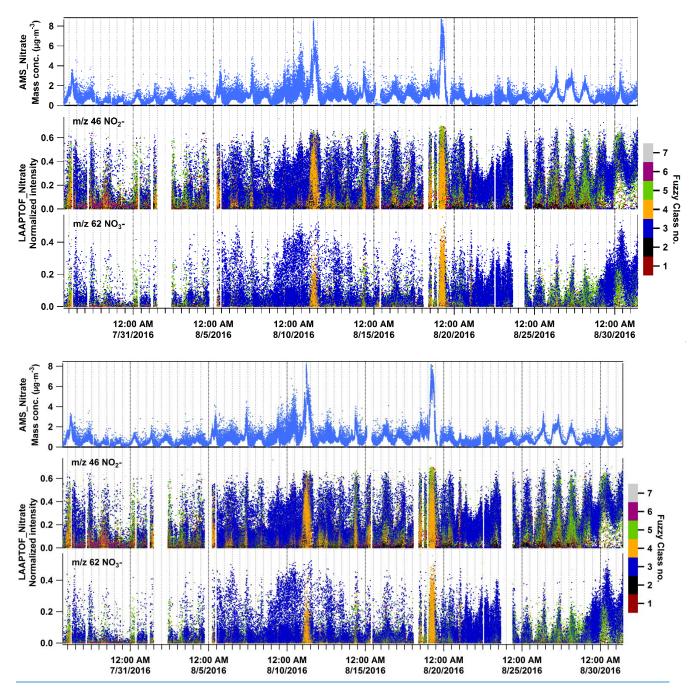


Figure 78: Time series of nitrates measured by AMS in mass concentration and LAAPTOF in <u>normalized</u> ion <u>intensity</u>, series to the fragment intensity divided by sum of all the ion intensities. Marker peaks for <u>nitrates are at m/z 46 NO<sub>2</sub><sup>-</sup> and 62 NO<sub>3</sub><sup>-</sup> in LAAPTOF spectra, thus we use them to represent nitrates.</u>

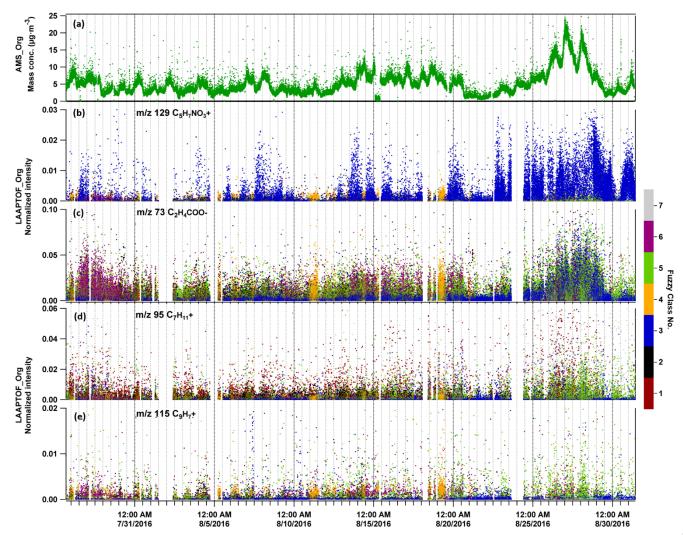


Figure 8: Time series of organic species measured by AMS in mass concentration and LAAPTOF in ion intensityintensities, respectively. Normalized intensity refers to the fragment intensity divided by sum of all the ion intensities. Marker peaks for nitrates are at m/z 46 NO<sub>2</sub><sup>-</sup> and 62 NO<sub>3</sub><sup>-</sup> in LAAPTOF spectra. The seven fuzzy classes are class 1 "Calcium-Soil"; class 2 "Aged soot"; class3: "Sodium salts"; class 4 "Secondary inorganics-Amine"; class 5 "Biomass burning-Soil"; class 6 "Biomass burning-Organosulfate"; and class 7 "Mixed/aged-Dust".

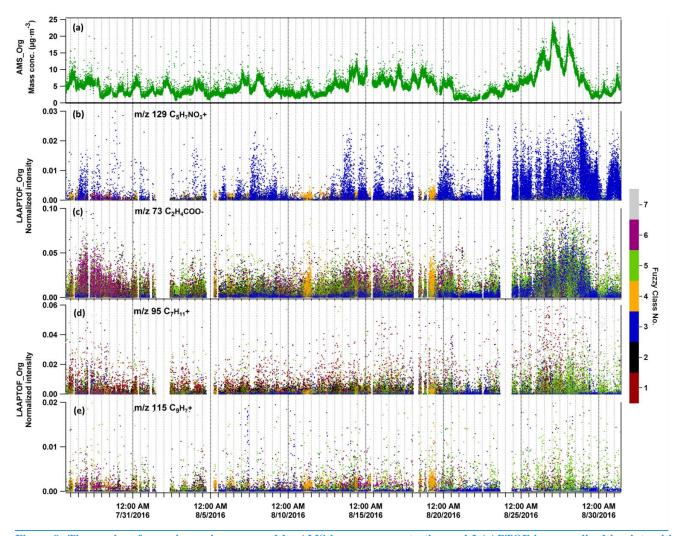


Figure 9: Time series of organic species measured by AMS in mass concentration and LAAPTOF in normalized ion intensities, respectively. Normalized intensity refers to the fragment intensity divided by sum of all the ion intensities. In LAAPTOF spectra, peaksthe peak at m/z 129 C<sub>5</sub>H<sub>7</sub>NO<sub>3</sub><sup>+</sup> is arising from organonitrate,organonitrates, m/z 73 C<sub>2</sub>H<sub>4</sub>COO<sup>-</sup> from organic acids, and m/z 95 C<sub>7</sub>H<sub>11</sub><sup>+</sup> and-as well as m/z 115 C<sub>9</sub>H<sub>7</sub><sup>+</sup> are from aromatic compounds. The seven classes are class 1 "Calcium- Soil"; class 2 "Aged soot"; class3: "Sodium salts"; class 4 "Secondary inorganics-Amine"; class 5 "Biomass burning-Soil"; class 6 "Biomass burning-Organosulfate"; and class 7 "Mixed/aged-Dust".