Responses to comments on the revised manuscript

The authors thank Prof. Hu very much for his comments on the original manuscript and now on the revised version. Following his comments and suggestions, the quality of our manuscript is greatly improved. The revised manuscript is further revised following his suggestions. Again the changes are highlighted in red color in the re-revised manuscript. Below are the explanations to the changes made in the version and the responses to Prof. Hu's comments.

The revised MS can be accepted for publish after a few minor corrections:

(1) A scan step of 0.01cm-1 (line 2, page 4) was given, which determines the frequency precision of the spectrum recorded by the instrument. What is the accuracy of the step control? How stable it is? It needs to be clarified.

Reply: From communications with the vendor of the QCL, the accuracy of the scan step should be better than 100MHz or 0.003 cm-1 when operated at hop-free mode. This is pointed out in the revision. In addition, the value of the laser linewidth (10 MHz or 0.00033 cm-1 in one second) is replaced by the overall linewidth (30MHz or 0.001 cm-1 long-term). In our case, during laser tuning at each step the frequency is determined by one of the RDC modes separated by free-spectral-range (FSR, 300MHz or 0.01 cm-1) of the RDC. As the scan step is also 0.01 cm-1, and the frequency at each RDC mode is not accurately controlled, the maximum frequency error should be <0.01 cm-1. This point is also mentioned in the revision. On the other hand, as the QCL is operated in mode hop-free, during operation the frequency is very stable, which can be seen from its linewidth values (<5MHz in 0.1 second, <10MHz in 1 second, and <30MHz long-term) (Unfortunately no technical data can be obtained from the vendor).

(2) As the authors described, the values of the concentrations given in the MS is actually a relative value of the relative abundance of N2O/CH4 in air. No calibration of the results has been performed. Taking into account the drift of the scan step, I doubt that it might be impossible to calibrate the value to a 0.1% precision.

Reply: Even though in principle CRDS measures the absolute absorption, the concentrations given in the manuscript should represent the absolute abundance values. However, various errors, especially the HITRAN spectral data error and laser frequency error in the concentration determination procedure make the measurement results somewhat relatively and calibration needed. The calibration corrects errors in HITRAN data but not the frequency error. Therefore, to calibrate the concentration value to a 0.1% precision, the frequency (wavelength) should also be controlled accurately. This is pointed out in the revision with publications cited.

(3) It is meaningless to assign 3 digits for all the values of the "sensitivity".

Reply: All values of the "sensitivity" are changed to 2 digits or even 1 digit when the sensitivity is in the ppt level in the revision.

High-precision measurements of nitrous oxide and methane in air with cavity ring-down spectroscopy at 7.6µm

Jing Tang, Bincheng Li, Jing Wang

School of Optoelectronic Science and Engineering, University of Electronic Science and Technology of China, Chengdu 610054, China

Correspondence to: Bincheng Li (bcli@uestc.edu.cn)

Abstract. A high-sensitivity methane (CH₄) and nitrous oxide (N₂O) sensor based on mid-infrared continuous-wave (cw) cavity ring-down spectroscopy (CRDS) technique was developed for environmental and biomedical trace gas measurements. A tunable external-cavity mode-hop-free (EC-MHF) quantum cascade laser (QCL) operating at 7.4 to 7.8 μ m was used as the

- 10 light source. The effect of temperature fluctuation on the measurement sensitivity of the CRDS experimental setup was analyzed and corrected, and a sensitivity limit of absorption coefficient measurement of 7.2×10^{-10} cm⁻¹ was achieved at 1330.50 cm⁻¹ with an average of 139 measurements, or 21-seconds averaging time, and further improved to 2.3×10^{-10} cm⁻¹ with average of 3460 measurements, or 519-seconds averaging time. For the targeted CH₄ and N₂O absorption lines located at 1298.60 cm⁻¹ and 1327.07 cm⁻¹, with temperature effect correction detection limits of 13 pptv and 11 pptv were experimentally achieved
- 15 with 10.4-seconds and 10.2-seconds averaging time, and could be further improved to 5 pptv and 9 pptv with 482.5-seconds and 311-seconds averaging time, respectively. Four spectral bands (1298.4 cm⁻¹ to 1298.9 cm⁻¹, 1310.1 cm⁻¹ to 1312.3 cm⁻¹, 1326.5 cm⁻¹ to 1328 cm⁻¹, and 1331.5 cm⁻¹ to 1333 cm⁻¹) in the spectral range from 1295 cm⁻¹ to 1335 cm⁻¹ were selected for the separate and simultaneous measurements of CH₄ and N₂O under normal atmospheric pressure, and all were in good agreements. The concentrations of CH₄ and N₂O of atmospheric air collected at different locations and of exhaled breath were
- 20 measured and analyzed. Continuous measurements of CH_4 and N_2O concentrations of in-door laboratory air over 45 hours was also performed. It was found that anaerobic bacteria in water and soil of wetland might significantly increase the CH_4 concentration in air. The measured N_2O concentration in the central city area was somewhat lower than the reported normal level in open air. Our results demonstrated the temporal and spatial variations of CH_4 and N_2O in air.

1 Introduction

25 Methane (CH₄) and nitrous oxide (N₂O) are two of the most important atmospheric greenhouse gases, of which the concentrations are rising up continuously since pre-industrial time (Hartmann *et al.*, 2013). Moreover, the global warming potential (GWP) of CH₄ is about 25 times greater than that of carbon dioxide (CO₂) (Boucher *et al.*, 2009), while the GWP of N₂O is 300 times (Rapson and Dacres, 2014) greater than that of CO₂. Apart from natural processes, the spatial distribution of both, to a great extent, depends on human-being activities, such as agricultural practices (Mosier *et al.*, 1998), organic waste,

industrial activities, and so on. Even small changes of concentrations of CH_4 and N_2O in atmosphere are of great influence to natural environment. Therefore, the highly sensitive and precise measurements of CH_4 and N_2O concentrations in atmospheric air are essential to environmental monitoring and greenhouse gas controlling. For sensitive CH_4 and N_2O detection in air a spectral range around 7.6µm is one of the most suitable spectral bands, as (1) in the wavenumber range from 1290cm⁻¹ to

5 1350cm⁻¹ CH₄ and N₂O have the second strongest fundamental vibration bands, and (2) in this spectral range there are minimum interference absorption lines from other gases (such as carbon dioxide (CO₂), carbon monoxide (CO), ammonia (NH₃), nitrogen monoxide (NO), etc.) in air except water vapor, which can be easily eliminated by drying the gas under test.

Cavity enhanced absorption techniques, such as cavity ring-down spectroscopy (CRDS) (Banik *et al.*, 2017), integrated cavity output spectroscopy (ICOS) (O'Keefe, 1998), noise immune cavity enhanced optical heterodyne molecular spectroscopy (NICE-OHMS) (Foltynowicz *et al.*, 2008) and so on, have been wildly applied in sub-ppm- and even sub-ppb-level trace gas detections. The CRDS technique was first introduced by O'Keefe in 1988 (O'Keefe *et al.*, 1988), while now many commercial

10

- instruments based on CRDS have been developed for various applications, mostly for trace gas detections and real-time monitoring. Generally, due to the use of high-finesse cavity, the equivalent absorption length of CRDS instruments is thousands to tens of thousands of times longer than that of direct absorption spectroscopy using the same-length sample cell (Romanini,
- 15 1997), therefore the measurement sensitivity of CRDS-based instruments is much improved (more than three orders of magnitude) compared to that of direct absorption spectroscopy measurements. Furthermore, compared with the traditional chemical detection methods, such as gas chromatography (GS) (Loftfield *et al.*, 1997) and mass spectrometry (MS) (De Gouw *et al.*, 2003), CRDS is allowed to perform real-time measurements under the premise of high-sensitivity without time-consuming sample preparations. As both high sensitivity and real-time detection are of great significance to environmental
- 20 monitoring, CRDS is a suitable method for atmospheric trace gas monitoring. Moreover, CRDS also has the potential for exhaled breath test (Mashir and Dweik, 2009), since the exhaled breath contains many biomarker trace gases (for example CH₄ (De Lacy Costello *et al.*, 2013), NO (Brubaker, 2016), N₂O (Bleakley and Tiedje, 1982), NH₃ (Kearney *et al.*, 2002), etc.) that reflect some physiological processes and/or diseases in human body. However, mid-infrared (Mid-IR) CRDSs for trace gas detections were rarely reported in early days because of the unavailability of Mid-IR laser sources. Mid-IR light sources
- 25 based on nonlinear optical techniques, such as quasi-phase matching difference frequency generation (QPM-DFG) (Petrov *et al.*, 1996), had too low output power, e.g. 16 μW (Whittaker *et al.*, 2012), to have practical applications. In recent years, with the rapid development of advanced tunable high-power mid-infrared sources, especially external-cavity quantum cascade lasers (EC-QCL) (Botez *et al.*, 2018), the LODs of CRDS for trace gas detections have been greatly improved. For example, Maity *et al.* (2017) achieved LOD of 52 pptv for CH₄ at 7.5 μm, Banik *et al.* (2017) achieved LOD of 5 ppbv for N₂O at 5.2
- 30 μm, Long *et al.* (2016) achieved LOD of 2 pptv for N₂O at 4.5 μm, Maithani *et al.* (2018) achieved LOD of 740 pptv for NH₃ at 6.3 μm and Zhou *et al.* (2018) achieved LOD of 410 pptv for NH₃ at 5.3 μm.

In this paper, we developed a trace gas sensor based on Mid-IR cw-CRDS technique with a tunable EC-MHF QCL operating at the spectral range from 1290 to 1350 cm⁻¹ and applied the setup to detect trace CH₄ and N₂O in normal laboratory air and out-door atmospheric air as well as in exhaled breath. Experimentally it was observed that the measurement results were subject to a temperature fluctuation of about 0.4° C caused by air conditioning for the laboratory room where the measurements were

- 5 performed. This effect of temperature fluctuation on CRDS measurements was analysed in detail and corrected via data processing, which resulted in an improvement in the measurement sensitivity of CRDS. With the correction of temperature effect, a measurement sensitivity as low as 7.2×10^{-10} cm⁻¹ absorption coefficient was experimentally achieved. To achieve high measurement sensitivity as well as high reliability for separate and simultaneous detections of trace CH₄ and N₂O in atmospheric air under normal atmospheric pressure, four wavenumber bands within the spectral range of the QCL were
- 10 selected for the reliable concentration determinations of CH₄ and N₂O, with one band for separate N₂O detection, two bands for separate CH₄ detection, and one band for simultaneous CH₄ and N₂O detections. The CH₄ and N₂O concentrations determined from the four bands were in good agreement, indicating the reliability of the measurement results. Finally, the developed CRDS experimental setup was used to measure the concentrations of CH₄ and N₂O collected at different locations as well as one collected exhaled breath, and to monitor simultaneously CH₄ and N₂O concentrations of in-door laboratory air
- 15 continuously for over 45 hours, demonstrating the applicability of CRDS for sensitive environmental monitoring and exhaled breath analysis.

2 Experimental setup

The CRDS experimental setup is schematically depicted in Fig.1. A tunable Mid-IR external-cavity CW-MHF QCL (41074-MHF, Daylight Solutions) is used as the optical source, which outputs continuously a collimated laser beam with a narrow

- 20 linewidth (<30MHz or 0.001 cm⁻¹) and a relatively high power (~160mW) in the spectral range from 1290cm⁻¹ to 1350cm⁻¹. To block the reflection of the laser beam by the ring-down cavity optics from re-entering the QCL resonator and de-stabilizing the output spectrum and power, an optical isolator with central wavelength of 7.2 μm and isolation ratio of > 30 dB (FIO-5-7.2, Innpho) is placed in front of the laser output port. Subsequently, the QCL beam propagates through an acousto-optic modulator (AOM, acting as a fast optical switch) (I-M041, Gooch & Housego) controlled by a home-made high-speed (with
- 25 response time < 50ns) threshold trigger, and the first-order beam outputted from the AOM is coupled into the ring-down cavity (the sample cell) consisting of a 50-cm-long stainless steel tube (CRD Optics). A pair of high-reflectivity (reflectivity > 99.98%; CRD Optics) plane-concave mirrors with diameter of 1 inch and radius of curvature of -1 meter are installed at both ends of the sample cell via two three-dimensionally adjustable optical mounts which are mounted to the sample cell by screws. A He-Ne laser at 632.8nm is employed to help aligning the high-reflectivity cavity mirrors. The QCL beam that transmitted through
- 30 the sample cell is focused by a focusing lens, placed closely behind the rear cavity mirror, into a highly sensitive (detectivity of 2.5×10^9 cm/Hz/W at 8µm), TE-cooled, high-speed HgCdTe infrared photovoltaic detector (PVMI-4TE-8, Vigo, Poland). Then the detected CRD signal is recorded by a data acquisition (DAQ) card (M2i.3010, Spectrum Instrumentation, Germany)

and processed by a MATLAB program in real time. The QCL is tuned by the laser controller (via synchronously adjusting the tuning grating and the length of the laser cavity) with a step of 0.01 cm⁻¹ (with accuracy < 0.003 cm⁻¹). As the free-spectral-range (FSR, 300MHz or 0.01 cm⁻¹) of the ring-down cavity (RDC) is much larger than the laser linewidth (< 0.001 cm⁻¹), at each step the RDC length is modulated via three piezoelectric transducers (PZT, Model PE-4, Thorlabs) attached to the optical

- 5 mount installing the rear high-reflectivity cavity mirror. The PZTs are synchronously driven by a triangular wave function generated by a three-channel open-loop PZT driver (MDT694B, Thorlabs) to modulate periodically the RDC length over one half of the wavelength, about 4 μm, for the coupling of QCL laser power into the RDC via resonance of the laser spectral line with one RDC mode. Within one cavity length modulation period, laser power with a TEM₀₀ mode (RDC mode) builds up inside the RDC, correspondingly the beam power transmitted through the RDC and detected by the infrared detector also
- 10 increases rapidly. At the time the detected signal amplitude exceeds a pre-set voltage threshold (20mV-2000mV), the threshold trigger sends out a triggering signal to shut down the AOM and a ring-down signal sequence is recorded by the DAQ and processed by a personal computer (PC). A vacuum pump (nominal ultimate pressure < 8 mbar, MPC 301Z, Welch) and a pressure gauge (nominal pressure accuracy ± 0.5 mbar, LEX1, Keller) are connected to the sample cell to control the pressure of gas mixture under test and to replace gas mixture inside the sample cell when necessary. During the laser spectral tuning,
- 15 at each step the frequency is determined by the RDC mode in resonance with the laser line, the maximum frequency error should be <0.01cm⁻¹ (determined by the FSR of RDC and the scan step), as the frequency at each RDC mode is not accurately controlled.

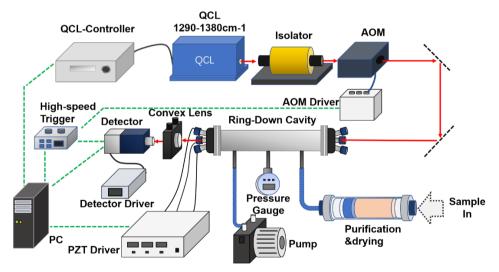


Figure 1 Schematic diagram of CRDS experimental set-up

20 A fitting program based on Levenberg-Marquardt algorithm is applied to fit the recorded ring-down signal to an exponential decay function to determine the ring-down time τ . By tuning the QCL wavelength, the dependence of ring-down time on wavelength over the required spectral range is obtained. The wavelength-dependent absorption coefficient α of the gas sample within the sample cell is determined from the measured ring-downtime τ using equation $\alpha(\lambda) = \frac{1}{c} \left(\frac{1}{\tau} - \frac{1}{\tau_0}\right)$, where *c* is the

speed of light, λ is the laser wavelength, and τ_0 is the ring-down time of an "empty" cavity (without absorbing sample inside the sample cell).

Since in normal atmospheric air the concentration of water vapor is in the range from 100 ppm up to 4%, and water vapor has strong absorption lines that makes no CRDS signals can be experimentally observed in the selected spectral range from

- 5 1290cm⁻¹ to 1350cm⁻¹, before measurements the water vapor in the gas mixture under test has to be mostly removed to a very low level (<10ppm) which has negligible influence on the CH₄ and N₂O measurements. In our experiment, a 3A molecular sieve (HuShi Ltd., China), which only allows molecules whose dynamic diameter is less than 0.3 nm (Ruthven, 1984), such as water vapor and ammonia, to be adsorbed on it, is employed as the desiccants to eliminate the water vapor in the gas mixture. A filter tube, which is served as the gas inlet of the sample cell, filled up with such desiccants and quartz cotton is connected
- 10 to the sample cell for purification and drying of the gas sample. In addition, a cage with the same desiccants is put inside the sample cell to absorb the water vapor leaked in, therefore to keep the sample cell nearly water vapor free. With these means for water vapor removal, the residual water vapor in the sample cell is below 1 ppmv, and can keep below 1 ppm for several months after one desiccant filling. With this drying method this CRDS experimental setup is capable of analysing both canned dry gas mixture and untreated atmospheric air with a moderate water vapor concentration. Experimental results demonstrate
- 15 the effectiveness of this drying process as no absorption lines of water vapor are observed in the measured spectra. On the other hand, a spectral line of 1312.5 cm⁻¹ of the water vapor, as presented in section B (not shown), can be used to monitor or even determine simultaneously the water vapor concentration (below 100 ppmv) if necessary.

The gas mixtures used in the experiment are ambient air collected at different locations within the university campus in the central city area of Chengdu, China at the same period of time (3:00-5:00 p.m. June 13, 2018), three hours after a light rain.

- 20 One sample is the air from the laboratory room (A), one is from an out-door parking lot outside the laboratory building (B), and one is from a wetland in the campus (C). The exhaled breath (D) of one healthy male person is also collected in the laboratory room (same as A) for measurements. In-door laboratory air is also continuously measured over 45 hours (from November 6 to 8, 2018). In our experiment, the exhaled breath air is collected with a 3L sampling bag, which can be fully filled with only one deep breath of a participant. The filled sampling bag is then connected to the sample cell via a valve. The
- 25 sample cell is first vacuumed by the vacuum pump and then filled with the exhaled air by opening the valve. This procedure is repeated two times for a complete replacement of gas in the sample cell by the exhaled air. As the volume of the sample cell is around 0.5L, the exhaled air of the 3L sampling bag is sufficient for exhaled air measurement. Similar procedure for outdoor open air collection is followed.

3 Results and discussions

30 3.1 Limit of detection without/with temperature fluctuation correction

For sensitive trace gas detections, the sensitivity limit of the CRDS experimental setup is first tested with an "empty" cavity.

In our case, the "empty" cavity is filled with normal laboratory air with a reduced pressure of 6.4 mbar (the lowest pressure reached by the vacuum pump) and measured at an absorption-free wavenumber (1330.50 cm⁻¹). Figure 2(a) presents the recorded ring-down time of the "empty" cavity over 4400 seconds and corresponding fast Fourier transform (FFT) spectrum of the ring-down time sequence. To improve the measurement sensitivity, in general the CRDS signal is averaged to enhance

- 5 the signal-to-noise ratio (SNR) of the measurements and an optimal averaging number is determined by Allan variance. Figure 3 shows the calculated Allan variance versus averaging number for the recorded "empty" ring-down time. The optimal averaging number is determined to be 151, corresponding to 22.5-seconds averaging time. With the optimal averaging number, the average empty ring-down time (τ_0) is 13.1 µs with a standard deviation (1 σ) of 4.2×10⁻³µs, which is translated to a minimum absorption coefficient (α_{min}) of 8.1×10⁻¹⁰ cm⁻¹. From Fig. 2(a) periodical fluctuations of the ring-down time are observed, as
- 10 clearly indicated in the low-frequency end of corresponding FFT spectrum. To investigate the sources for these low-frequency periodical fluctuations, the temperature in the laboratory room is recorded simultaneously and the results are presented in Fig. 2(b). The temperature also shows periodical fluctuations with frequencies of the periodical fluctuations of the ring-down time, as demonstrated by the FFT spectrum of the temperature. The results presented in Fig. 2(a) and (b) clearly indicate that there is a positive correlation between the periodical fluctuations of the ring-down time and temperature in the low-frequency end.
- 15 That is, the low-frequency periodical fluctuation of the measured ring-down time is partially caused by the temperature fluctuation in the laboratory room.

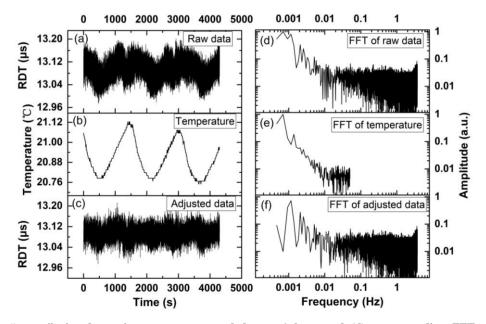


Figure 2 (a) The "empty" ring-down time sequence recorded over 1 hour and (d) corresponding FFT spectrum. (b) The synchronously recorded temperature in the laboratory room and (e) corresponding FFT spectrum. (c) The "empty" ring-down time sequence after the temperature effect is eliminated with the subtracting method and (f) corresponding FFT spectrum and. RDT represents "ring-down time".

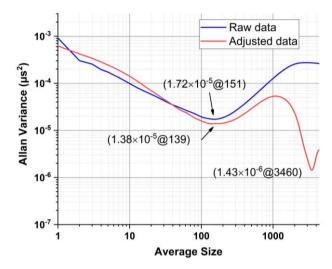


Figure 3 Allan variance plot of raw and adjusted data (without/with temperature effect correction).

A detailed investigation reveals that the correlation of ring-down time to temperature fluctuation is mainly caused by the different temperature dependence of the response of the three PZTs as well as the sensitivity of the ring-down time to the misalignment of the cavity mirrors. To test the sensitivity of the ring-down time to the alignment of the cavity mirrors, we first align the cavity mirrors to optimal positions, then apply an offset voltage to each PZT (while no offset voltage is applied to the other two PZTs) and observe how the measured ring-down time is influenced by the applied offset voltage. The results are presented in Fig. 4. An approximately linear relationship between the ring-down time and the applied offset voltage exists for each PZT, and the slopes of such linear dependences for different PZTs are different. This phenomenon is attributed to the PZT's difference in the creep and thermal-drift characteristics. As normally known, PZT is a nonlinear component and no two PZTs' characteristics are identical (Jaffe et al., 1971). Moreover, in our experiment the PZTs are controlled in an open-loop

mode. Due to the different temperature sensitivity of the response of each PZT, temperature fluctuation causes misalignment of the cavity mirrors, which further results in a fluctuation in measured ring-down time, as presented in Fig. 2(a). Other thermal effects, such as the cavity length fluctuation, reflectivity fluctuation caused by temperature fluctuation, are negligible as

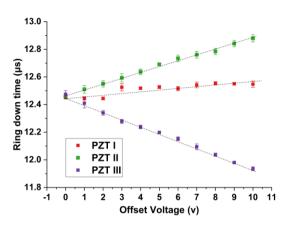
15 compared to cavity alignment fluctuation. It is worth mentioning that experimental observation shows when the same offset voltage is synchronously applied to all three PZTs, the measured ring-down time is approximately independent on the voltage. This is the case when CRDS measurements are performed.

To eliminate the effect of temperature fluctuation on trace gas detection with CRDS, those frequency components in the FFT spectrum of ring-down time also presented in the FFT spectrum of the temperature fluctuation are subtracted mathematically

20 and the ring-down time sequence is adjusted accordingly, as presented in Fig. 2(c). The subtraction is performed with both FFT spectra normalized to the frequency component with the maximum amplitude, which appears at the main frequency of the temperature fluctuation. This subtraction method is reasonable as at the main frequency of the temperature fluctuation, the

contributions of other factors to the fluctuation of the ring-down time are negligible as compared to that of the temperature fluctuation. After the effect of temperature fluctuation on the ring-down time measurement is eliminated, the absorption coefficient sensitivity limit α_{min} is first improved to 7.2×10^{-10} cm⁻¹, with the optimal averaging number changes to 139, corresponding to 21 seconds averaging time, as presented in Fig. 3. Figure 3 also shows that there is a second minimum Allan

5 variance if the averaging time is further increased, indicating α_{min} can be further improved to 2.3×10^{-10} cm⁻¹ with optimal averaging number of 3460 and corresponding averaging time of 519 seconds. The results demonstrate that with temperature effect correction the measurement sensitivity could be greatly improved with a compromise of increasing measurement time (519 seconds versus 22.5 seconds).



10 Figure 4 Linear relationship between the offset voltage on each PZTs and the measured ring-down time.

For real trace gas detections, the sensitivity limit achieved above with the "empty" cavity may not be fulfilled due to the presence of absorbing sample in the cavity and other effects such as laser wavelength fluctuation, limited wavelength tuning step for spectral measurement, etc. To find out the limits of detection (LOD) of CH₄ and N₂O with the CRDS experimental setup, the sample cell is filled with ambient air at 1 atmospheric pressure and the ring-down data is recorded continuously at peaks of the absorption lines of CH₄ (1298.60 cm⁻¹) and N₂O (1327.07 cm⁻¹), respectively, and the corresponding Allan variances are calculated. The achieved minimum σ_{Allan} values are 3.1×10⁻⁹ cm⁻¹ at 1327.07 cm⁻¹ for CH₄, and 2.8×10⁻⁹ cm⁻¹ at 1298.60cm⁻¹ for N₂O, which correspond to an LOD of 22 pptv for CH₄ and 16 pptv for N₂O, respectively. These LODs are obtained with approximate 15-seconds averaging time. The achieved LODs are lower than that achieved by other groups employing CRDS for CH₄ and N₂O detections in recent years, as described in Sec. 1.

20 The LODs for CH_4 and N_2O detections can be improved by eliminating the effect of temperature fluctuation via the process presented above. Again two Allan variance minima are present in the dependence of Allan variance on averaging time after the temperature effect is corrected, the corresponding LODs for CH_4 and N_2O detections are 13 pptv and 11 pptv with 10.4seconds and 10.2-seconds averaging time for the first minimum, and 5 pptv and 9 pptv with 482.5-seconds and 311-seconds averaging time for the second minimum, respectively. Such low LODs allow sensitive detections of CH₄ and N₂O with subppbv-level concentrations.

3.2 Detection of CH4 and N2O in ambient and exhaled breath air

For simultaneous detections of CH₄ and N₂O in real applications, the optimal absorption lines or spectral ranges have to be
carefully selected. For the spectral range from 1290cm⁻¹ to 1350cm⁻¹, N₂O and CH₄ both have strong absorption lines. Figure 5 shows the spectral lines of N₂O and CH₄ in the spectral range from 1295cm⁻¹ to 1335cm⁻¹ at 1 and 0.01 atmospheric pressures, respectively (The spectral data are from HITRAN 2016). When the pressure in the sample cell is reduced, individual absorption lines are well separated and can be fitted independently. At 1 atmospheric pressure, on the other hand, absorption lines are mixed and partially overlapped, especially when CH₄ and N₂O are both presented. In this case care has to be taken to select appropriate spectral band(s) for separate or simultaneous detections of CH₄ and N₂O. In our experiment, four spectral sections in the spectral range from 1295cm⁻¹ to 1335cm⁻¹ are tested for the detections of CH₄ and N₂O. The selected spectral sections

- are listed in Table I. That is, Section A contains one N₂O absorption line that is slightly weaker than the strongest N₂O absorption line (1297.8315 cm⁻¹, 1.689×10⁻¹⁹ cm⁻¹/(molec·cm⁻²) but is well separated from the adjacent absorption lines of CH₄ and N₂O. Section B contains three N₂O lines and one CH₄ line. These four absorption lines are sufficiently strong and well
- 15 separated. Sections C and D contain combinations of three and four overlapped absorption lines of CH₄ which are well separated from the absorption lines of N₂O in the measurable spectral range. Section A is used for independent N₂O detection, while Sections C and D are used for independent CH₄ detection, and Section B is for simultaneous CH₄ and N₂O detections.

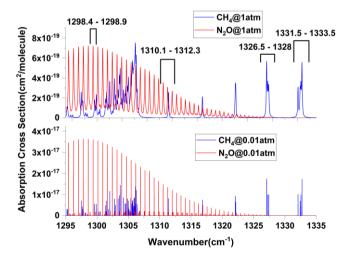


Figure 5 HITRAN spectra of N₂O and CH₄ in the spectral range from 1295 cm⁻¹ to 1335 cm⁻¹, at (a) 1 and (b) 0.01 atmospheric pressure, respectively.

| Spectral Section | Spectral Range | CH4 absorption line /intensity | N ₂ O absorption line /intensity |
|------------------|---------------------|--|---|
| | (cm ⁻¹) | $(cm^{-1} / cm^{-1} / (molec \cdot cm^{-2}))$ | $(cm^{-1} / cm^{-1} / (molec \cdot cm^{-2}))$ |
| А | 1298.4 - 1298.9 | - | $1298.6031 \ / \ 1.681 {\times} 10^{19}$ |
| В | 1310.1 - 1312.3 | 1311.431 / 4.447×10 ⁻²⁰ 1311.2841 / 6.008×10 ⁻²⁰ 1311.9973 / 5.403×10 ⁻²⁰ | 1310.5673 / 6.693×10 ⁻²⁰ |
| С | 1326.5 - 1328 | 1327.074 / 9.694×10 ⁻²⁰ 1327.257 / 5.816×10 ⁻²⁰ 1327.410 / 5.82×10 ⁻²⁰ | - |
| D | 1331.5 - 1333 | 1332.085 / 5.766×10 ⁻²⁰ 1332.425 / 3.843×10 ⁻²⁰ 1332.547 / 5.768×10 ⁻²⁰ 1332.721 / 9.624×10 ⁻²⁰ | - |

Table I. Selected spectral sections for simultaneous measurements of CH₄ and N₂O in air

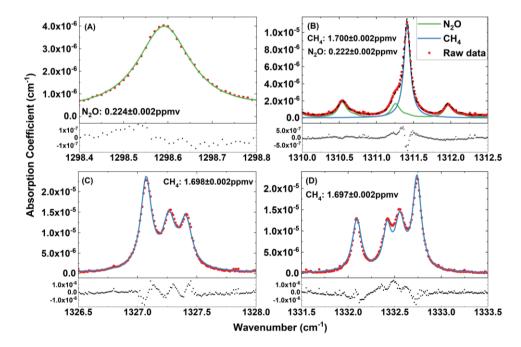


Figure 6 Measured spectra (circles), corresponding best fits (solid lines), and fit residuals (lower figures) for four selected spectral bands (A: 1298.3-1299.1 cm⁻¹, B: 1310.1-1312.3 cm⁻¹, C: 1326.5-1328 cm⁻¹, and D: 1331.5-1333.5 cm⁻¹).

5 Figure 6 shows the measured spectral lines, corresponding best fits, and fit residuals for the ambient air collected in the laboratory room. The measured data are the average of 128 measurements, took approximate 5 seconds for each wavenumber point. When performing the spectral fitting, the spectral profile is assumed to be Voigt, the laser frequency is linearly shifted to match the spectral lines of the target gas. From Section A, the N₂O concentration is determined to be 0.224±0.002ppmv.

From Sections C and D, the CH₄ concentration is determined to be 1.698 ± 0.002 ppmv and 1.697 ± 0.002 ppmv, respectively, while from Section B, the CH₄ and N₂O concentrations are determined to be 1.700 ± 0.002 ppmv and 0.222 ± 0.002 ppmv, respectively. The 2 ppbv concentration uncertainties represent the standard deviation of 6 repeat measurements. The small differences among the concentration values determined from different sections are mainly due to the misalignment caused by

- 5 AOM induced small change in the deflection angle of the diffracting laser beam when tuning the laser wavenumber. As the RDC is aligned at 1310 cm⁻¹, in principle the concentrations obtained from section B are mostly close to the true values. Overall the CH₄ and N₂O concentrations determined from different sections are well consistent, indicating the reliability of the measurement results. The good agreements between the CH₄ and N₂O concentrations determined separately (from sections A, C, and D) and simultaneously (from section B) demonstrate that CH₄ and N₂O concentrations can be simultaneously
- 10 determined by employing a narrow band containing absorption lines of both gases for spectral measurements, therefore to shorten the measurement time.

The concentration uncertainties can also be estimated from the fit residuals presented in Fig. 6. The estimated uncertainties for N_2O concentration are 4 ppbv from section A and 13 ppbv from section B, and for CH_4 concentration are 19 ppbv from section B, 19 ppbv from section D, respectively. These values are higher than 2 ppbv determined from

- 15 repeat measurements due to the large fit residuals appeared around the absorption peaks, which are caused by uncertainties in wavelength, HITRAN spectral line intensity, line mixing (Gordon et al., 2017), pressure, and temperature, etc. Our calculations indicate wavelength uncertainty and HITRAN spectral line intensity error are the major sources for the large residuals around the absorption peaks. From the residuals departing from the peaks the estimated uncertainties for N₂O concentration become 2 ppbv from section A and 4 ppbv from section B, and for CH₄ concentration are 3 ppbv from section B, 4 ppbv from section
- 20 C, and 4 ppbv from section D, respectively. These uncertainty values become comparable to the 2ppbv determined from repeat measurements. As in principle CRDS measures the absolute absorption, the concentration uncertainties obtained from the spectral fit residuals represent the absolute accuracy for the concentration determination, the uncertainties obtained from the repeat spectral measurements represent the relative accuracy, and the uncertainties obtained from Allan variances of repeat measurements at fixed wavelengths represent the measurement sensitivity. From these analyses we estimate the measurement
- 25 sensitivity, relative accuracy, and absolute accuracy of our experimental setup for CH_4 and N_2O detections in air are around 10-20 pptv, 2 ppbv, and 20 ppbv, respectively. The absolute accuracy could be improved to be comparable to the relative accuracy by calibrating the measurement with standard "known" sample of ppb-level concentration and controlling accurately the laser frequency during the spectral measurements (Maity *et al.* (2017), Maithani *et al.* (2018)).
- It is worth mentioning that for the measurements presented in Fig. 6, the effect of temperature fluctuation is not eliminated 30 due to the relatively high concentration values compared to the LODs as well as relatively short measurement time. Still, the temperature fluctuation caused uncertainty of CH_4 and N_2O concentration is presented in the determined concentration values, though this uncertainty is small and can be neglected in our case, can be corrected if necessary.

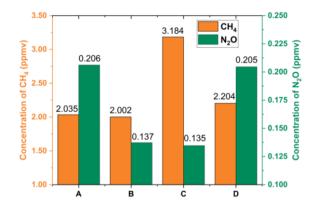


Figure 7 Measured CH₄ and N₂O concentrations in ambient air collected at different locations (A, B, C) and in exhaled breath (D). A: Laboratory room, B: Parking lot, C: Wetland, D: Exhaled breath of one healthy person collected at the laboratory room.

The CRDS experimental setup is then used to measure the CH₄ and N₂O concentrations in ambient air collected at different locations and in exhaled breath of one healthy person under 1 atmospheric pressure. The results are presented in Fig. 7 and show that, (1) the N₂O concentration in the in-door air of laboratory room is higher than that of out-door open fields (parking lot and wetland) (0.206 ppmv versus 0.135-0.137 ppmv). (2) The CH₄ concentration of out-door air collected at wetland is higher than that collected at parking lot (3.184 ppmv versus 2.002 ppmv), while the N₂O concentration is little changed (0.135 ppmv versus 0.137 ppmv). This observation might be attributed to the release of CH₄ from the anaerobic bacteria in water and

- 10 soil of wetland (Cao et al., 1998). (3) The CH₄ concentration of exhaled breath is approximately 169 ppbv higher than the environmental air (2.204 ppmv versus 2.035 ppmv in the laboratory room), while the change in N₂O concentration is not significant (0.205 ppmv versus 0.206 ppmv). The slight variance in CH₄ concentration demonstrates the physiological process of CH₄ in human body. The concentration of CH₄ is closely related to some anaerobic fermentations, such as M. smithii, in human gut (Kim et al., 2012). From these measurements it is found that the measured N₂O concentration of air samples, which
- 15 is between 0.206 ppmv and 0.135 ppmv, is lower than the reported normal level of open air, about 0.3ppm (Davidson, 2009). This might be due to the air samples measured in our experiment are collected in a central city area, which is far away from agricultural areas where N₂O is mainly produced via agricultural practices.

3.3 Continuous monitoring of CH4 and N2O in ambient air

Finally, the experimental setup is used to measured continuously the concentrations of CH₄ and N₂O of laboratory air for 45.5

- 20 hours from 17:00PM November 6 to 14:30PM November 8, 2018. The results are presented in Fig. 8. The laboratory air is continuously flowing into/out the sample cell at a flow rate of approximately 2 L/min at normal atmospheric pressure. The measurements are performed with the spectral band B from 1310.1cm⁻¹ to 1311.8cm⁻¹. Slow fluctuations of CH₄ and N₂O concentrations are observed due to regular air exchange (controlled by an air conditioner) between in-door laboratory air and out-door open air. In the meantime, a fast fluctuation of N₂O concentration is also observed which is well above the
- 25 measurement uncertainty and not presented in the measured CH₄ concentration. The reason(s) for this fast N₂O fluctuation is

unknown. It is noticed that the measured N_2O concentrations are higher than that measured on June 13, 2018 and are within the reported normal range of open air, while the measured CH₄ concentrations are comparable to that measured on June 13.

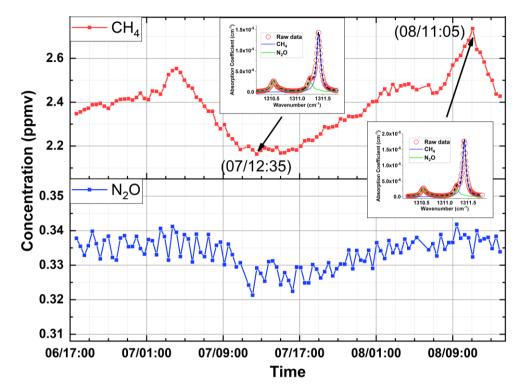


Figure 8 Measured CH₄ and N₂O concentrations in laboratory room (Location A) for a period of 45.5 hours from 17:00PM 5 November 6 to 14:30PM November 8, 2018. Insets are the measured absorption spectra at two different time series and corresponding best fits for the determination of CH₄ and N₂O concentrations.

It is worth mentioning that in the measurement results presented in Figs. 7 and 8, the effect of temperature fluctuation is not eliminated, as the measurement sensitivity of CRDS experimental setup without temperature effect correction is sufficiently high that makes the correction un-necessary, as there will be no quantitative difference between uncorrected and corrected data

- 10 under our experimental conditions. Still, the idea to eliminate the effect of temperature fluctuation on the trace gas detection presented in this paper is helpful to situations where very high sensitivity is required for the detection of trace gases in locations where temperature is not well controlled, for example, long-term unattended out-door or wild-field monitoring of trace gases in the ppbv to sub-ppbv levels. In open fields the temperature changes widely during day and night and the effect of temperature fluctuation may become significant. The temperature effect can be eliminated by measuring the temperature dependence of
- 15 measured concentrations before the CRDS instruments are placed to the wild fields. Once the CRDS instruments are in place where temperature is monitored, the temperature effect can be corrected accordingly. It is worth mentioning that the subtracting method described in section 3.1 to eliminate the effect of temperature fluctuation is applicable only when the temperature fluctuation is periodic. In principle the effect of temperature fluctuation can always be eliminated by establishing a quantitative

relation between the temperature and the ring-down time, if such a quantitative relation is experimentally repeatable and measurable. In our case, this method is not used as such quantitative relation is unfortunately very complicated.

4 Conclusion

We have developed an ultra-highly sensitive trace gas sensor based on Mid-IR cw-CRDS technique, in which a tunable EC-

- 5 QCL at central wavelength of ~7.6 μ m was employed to cover several strong absorption lines of CH₄ and N₂O. We have observed low-frequency periodical fluctuations of measured ring-down time, and correlated ring-down time fluctuations mainly to temperature fluctuations presented in test site. It was found that such correlation was attributed to creep and thermaldrift characteristics of PZTs employed to modulate the cavity length for coupling the laser power into the ring-down cavity. By mathematically eliminating the effect of temperature fluctuation, a sensitivity limit of 7.2×10⁻¹⁰ cm⁻¹ has been
- 10 experimentally achieved with 21-seconds averaging time and could be further improved to 2.3×10^{-10} cm⁻¹ with 519-seconds averaging time. For CH₄ and N₂O absorption lines located at 1298.60 cm⁻¹ and 1327.07 cm⁻¹, with temperature effect correction detection limits of 13 pptv and 11 pptv were experimentally achieved with 10.4-seconds and 10.2-seconds averaging time, and could be further improved to 5 pptv and 9 pptv by increasing the averaging time to 482.5 seconds and 311 seconds, respectively. The measurements of CH₄ and N₂O concentrations with different spectral bands have demonstrated that CH₄ and N₂O
- 15 concentrations could be simultaneously determined at one atmospheric pressure with precision in the order of ppbv level. Finally, this CRDS setup could be easily adapted for the detections of other gases such as C₂H₂, H₂O₂, H₂S, SO₂ and sulfides with anticipated detection limits in the ppbv or even pptv level.

Data availability. Data are available from the authors upon request.

20 Author contribution. BL and JT designed the experiments and JT and JW performed the experiments and data processing. JT and BL prepared the manuscript with contributions from all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

References

25 Banik, G. D., Som, S., Maity, A., Pal, M., Maithani, S., Mandal, S. and Pradhan, M.: An EC-QCL based N₂O sensor at 5.2 μm using cavity ring-down spectroscopy for environmental applications, Anal. Methods, 9(15), 2315–2320, doi:10.1039/c7ay00482f, 2017.

Bleakley, B. H. and Tiedje, J. M.: Nitrous-oxide production by organisms other than nitrifiers or denitrifiers, Appl. Environ. Microbiol., 44(6), 1342–1348, 1982.

Botez, D., Kirch, J. D., Boyle, C., Oresick, K. M., Sigler, C., Kim, H., Knipfer, B. B., Ryu, J. H., Lindberg, D., Earles, T., Mawst, L. J. and Flores, Y. V: High-efficiency, high-power mid-infrared quantum cascade lasers [Invited], Opt. Mater.

- 5 Express, 8(5), 1378–1398, doi:10.1364/OME.8.001378, 2018.
 Boucher, O., Friedlingstein, P., Collins, B. and Shine, K. P.: The indirect global warming potential and global temperature change potential due to methane oxidation, Environ. Res. Lett., 4(4), doi:10.1088/1748-9326/4/4/044007, 2009.
 Brubaker, P. H.: Use of exhaled nitric oxide measurements to guide treatment in chronic asthma, J. Cardiopulm. Rehabil. Prev., 36(2), 140–141, doi:10.1097/HCR.00000000000175, 2016.
- Cao, M., Gregson, K. and Marshall, S.: Global methane emission from wetlands and its sensitivity to climate change, Atmos. Environ., 32(19), 3293–3299, doi:10.1016/S1352-2310(98)00105-8, 1998.
 Davidson, E. A.: The contribution of manure and fertilizer nitrogen to atmospheric nitrous oxide since 1860, Nat. Geosci., 2, 659 [online] Available from: http://dx.doi.org/10.1038/ngeo608, 2009.
 Foltynowicz, A., Schmidt, F. M., Ma, W. and Axner, O.: Noise-immune cavity-enhanced optical heterodyne molecular
- spectroscopy: Current status and future potential, Appl. Phys. B Lasers Opt., 92(3 SPECIAL ISSUE), 313–326, doi:10.1007/s00340-008-3126-z, 2008.
 De Gouw, J., Warneke, C., Karl, T., Eerdekens, G., Van der Veen, C. and Fall, R.: Sensitivity and specificity of atmospheric trace gas detection by proton-transfer-reaction mass spectrometry, Int. J. Mass Spectrom., 223–224, 365–382, doi:10.1016/S1387-3806(02)00926-0, 2003.
- 20 Gordon, I. E., Rothman, L. S., Hill, C., Kochanov, R. V., Tan, Y., Bernath, P. F., Birk, M., Boudon, V., Campargue, A., Chance, K. V., Drouin, B. J., Flaud, J. M., Gamache, R. R., Hodges, J. T., Jacquemart, D., Perevalov, V. I., Perrin, A., Shine, K. P., Smith, M. A. H., Tennyson, J., Toon, G. C., Tran, H., Tyuterev, V. G., Barbe, A., Császár, A. G., Devi, V. M., Furtenbacher, T., Harrison, J. J., Hartmann, J. M., Jolly, A., Johnson, T. J., Karman, T., Kleiner, I., Kyuberis, A. A., Loos, J., Lyulin, O. M., Massie, S. T., Mikhailenko, S. N., Moazzen-Ahmadi, N., Müller, H. S. P., Naumenko, O. V., Nikitin, A. V.,
- 25 Polyansky, O. L., Rey, M., Rotger, M., Sharpe, S. W., Sung, K., Starikova, E., Tashkun, S. A., Auwera, J. Vander, Wagner, G., Wilzewski, J., Wcisło, P., Yu, S. and Zak, E. J.: The HITRAN2016 molecular spectroscopic database, J. Quant. Spectrosc. Radiat. Transf., 203, 3–69, doi:10.1016/j.jqsrt.2017.06.038, 2017. Hartmann, D. L., Tank, A. M. G. K., Rusticucci, M., Alexander, L. V, Brönnimann, S., Charabi, Y. A. R., Dentener, F. J., Dlugokencky, E. J., Easterling, D. R. and Kaplan, A.: Observations: atmosphere and surface, in Climate Change 2013 the
- Physical Science Basis: Working Group I Contribution to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press., 2013.
 Jaffe, B., Cook, W. R. and Jaffe, H.: Piezoelectric ceramics., Elsevier., 1971.

Kearney, D. J., Hubbard, T. and Putnam, D.: Breath ammonia measurement in Helicobacter pylori infection, Dig. Dis. Sci., 47(11), 2523–2530, doi:10.1023/A:1020568227868, 2002.

Kim, G., Deepinder, F., Morales, W., Hwang, L., Weitsman, S., Chang, C., Gunsalus, R. and Pimentel, M.: Methanobrevibacter smithii is the predominant methanogen in patients with constipation-predominant IBS and methane on breath, Dig. Dis. Sci., 57(12), 3213–3218, doi:10.1007/s10620-012-2197-1, 2012.

- De Lacy Costello, B. P. J., Ledochowski, M. and Ratcliffe, N. M.: The importance of methane breath testing: A review, J.
 Breath Res., 7(2), doi:10.1088/1752-7155/7/2/024001, 2013.
- Loftfield, N., Flessa, H., Augustin, J. and Beese, F.: Automated gas chromatographic system for rapid analysis of the atmospheric trace gases methane, carbon dioxide, and nitrous oxide, J. Environ. Qual., 26(2), 560, doi:10.2134/jeq1997.00472425002600020030x, 1997.
 Long, D. A., Fleisher, A. J., Liu, Q., and Todges, J. T.: Untra-sensitive cavity ring-down spectroscopy in the mid-infrared
- spectral region, Opt. Lett., 41(7), 1612-1615, 2016.
 Maithani, S., Mandal, S., Maity, A., Pal, M. and Pradhan, M.: High-resolution spectral analysis of ammonia near 6.2 μm using a cw EC-QCL coupled with cavity ring-down spectroscopy, Analyst, 143(9), 2109–2114, doi:10.1039/C7AN02008B, 2018.

Maity, A., Pal, M., Banik, G. D., Maithani, S. and Pradhan, M.: Cavity ring-down spectroscopy using an EC-QCL operating

at 7.5 μm for direct monitoring of methane isotopes in air, Laser Phys. Lett., 14(11), doi:10.1088/1612-202X/aa8584, 2017.
 Mashir, A. and Dweik, R. A.: Exhaled breath analysis: The new interface between medicine and engineering, Adv. Powder Technol., 20(5), 420–425, doi:10.1016/j.apt.2009.05.003, 2009.

Mosier, A. R., Kroeze, C., Nevison, C., Oenema, O. and Seitzinger, S.: Closing the global N₂O budget : nitrous oxide emissions through the agricultural nitrogen cycle inventory methodology, Nutr. Cycl. Agroecosystems, 52(2–3), 225–248, doi:10.1023/A:1009740530221, 1998.

- O'Keefe, a, Deacon, D. a G. and Okeefe, a: Cavity ring-down optical spectrometer for absorption measurements using pulsed laser sources, Rev. Sci. Instrum., 59(12), 2544, doi:10.1063/1.1139895, 1988. O'Keefe, A.: Integrated cavity output analysis of ultra-weak absorption, Chem. Phys. Lett., 293(5–6), 331–336, doi:10.1016/S0009-2614(98)00785-4, 1998.
- 25 Petrov, K. P., Goldberg, L., Burns, W. K., Curl, R. F. and Tittel, F. K.: Detection of CO in air by diode-pumped 4.6-micron difference-frequency generation in quasi-phase-matched LiNbO(3)., Opt. Lett., 21(1), 86–8, doi:10.1364/ol.21.000086, 1996.

Rapson, T. D. and Dacres, H.: Analytical techniques for measuring nitrous oxide, TrAC - Trends Anal. Chem., 54, 65–74, doi:10.1016/j.trac.2013.11.004, 2014.

30 Romanini, D.: CW cavity ring down spectroscopy, Chem. Phys. Lett., 264(3–4), 316–322, doi:10.1016/S0009-2614(96)01351-6, 1997.

Ruthven, D. M.: Principles of adsorption and adsorption processes, John Wiley & Sons., 1984.

20

Whittaker, K. E., Ciaffoni, L., Hancock, G., Peverall, R. and Ritchie, G. A. D.: A DFG-based cavity ring-down spectrometer for trace gas sensing in the mid-infrared, Appl. Phys. B Lasers Opt., 109(2), 333–343, doi:10.1007/s00340-012-5150-2, 2012.

Zhou, S., Han, Y. and Li, B.: Pressure optimization of an EC-QCL based cavity ring-down spectroscopy instrument for exhaled NO detection, Appl. Phys. B Lasers Opt., 124(2), 1–8, doi:10.1007/s00340-018-6898-9, 2018.

5