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Estimation of Liquid Water Path below the Melting Layer in Stratiform Precipitation Systems  
using Radar Measurements during MC3E

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**20 Abstract**

21 In this study, the liquid water path (LWP) below the melting layer in stratiform precipitation  
22 systems is retrieved, which is a combination of rain liquid water path (RLWP) and cloud liquid  
23 water path (CLWP). The retrieval algorithm uses measurements from the vertically pointing  
24 radars (VPRs) at 35 GHz and 3 GHz operated by the U.S Department of Energy Atmospheric  
25 Radiation Measurement (ARM) and National Oceanic and Atmospheric Administration (NOAA)  
26 during the field campaign Midlatitude Continental Convective Clouds Experiment (MC3E). The  
27 measured radar reflectivity and mean Doppler velocity from both VPRs and spectrum width from  
28 the 35 GHz radar are utilized. With the aid of the cloud base detected by ceilometer, the LWP in  
29 the liquid layer is retrieved under two different situations: (I) no cloud exists below the melting  
30 base, and (II) cloud exists below the melting base. In (I), LWP is primarily contributed from  
31 raindrops only, i.e., RLWP, which is estimated by analyzing the Doppler velocity differences  
32 between two VPRs. In (II), cloud particles and raindrops coexist below the melting base. The  
33 CLWP is estimated using a modified attenuation-based algorithm. Two stratiform precipitation  
34 cases (20 May 2011 and 11 May 2011) during MC3E are illustrated for two situations, respectively.  
35 With a total of 13 hours of samples during MC3E, statistical results show that the occurrence of  
36 cloud particles below the melting base is low (9%), however, the mean CLWP value can be up to  
37  $0.56 \text{ kg m}^{-2}$ , which is much larger than the RLWP ( $0.10 \text{ kg m}^{-2}$ ). When only raindrops exist below  
38 the melting base, the averaged RLWP value is larger ( $0.32 \text{ kg m}^{-2}$ ) than the with cloud situation.  
39 The overall mean LWP below the melting base is  $0.34 \text{ kg m}^{-2}$  for stratiform systems during MC3E.

40

41

42 **1. Introduction**

43       Clouds in stratiform precipitation systems are important to the Earth's radiation budget.  
44       The vertical distributions of cloud microphysics, ice and liquid water content (IWC/LWC),  
45       determine the surface and top-of-the-atmosphere radiation budget and redistribute energy in the  
46       atmosphere (Feng et al., 2011; 2018). Also, stratiform precipitation systems are responsible for  
47       most tropical and midlatitude precipitation during summer (Xu, 2013). However, the  
48       representation of those systems in global climate and cloud-resolving models is still challenging  
49       (Fan et al., 2015). One of the challenges is due to the lack of comprehensive observations and  
50       retrievals of cloud microphysics (e.g. prognostic variables IWC and LWC) in stratiform  
51       precipitation systems. Liquid water path (LWP), defined as an integral of LWC in the atmosphere.  
52       It is a parameter used to provide the characterization of liquid hydrometeors in the vertical column  
53       of atmosphere and study clouds and precipitation. The estimation of LWC/LWP is one of the  
54       critical objectives of the US Department of Energy's (DOE) Atmospheric Radiation Measurement  
55       (ARM) Program (Ackerman and Stokes, 2003).

56       LWP can be retrieved using the ground-based MicroWave Radiometer (MWR) sensed  
57       downwelling radiant energy at 23.8 and 31.4 GHz (Liljegren et al., 2001). In last two decades,  
58       ARM has been operating a network of 2-channel (23.8- and 31.4-GHz) ground-based MWR to  
59       provide a time series of LWP at the ARM Southern Great Plains (SGP) site (Cadeddu et al., 2013).  
60       Absorption-based algorithms using multichannels of MWRs have been widely used to retrieve  
61       cloud LWP (e.g., Liljegren et al. 2001; Turner, 2007), and they are known to be accurate methods  
62       to estimate LWP of nonprecipitating clouds with mean LWP error of  $15 \text{ g m}^{-2}$  (Crewell and Löhnert,  
63       2003). However, in precipitating conditions, LWP retrieved from conventional MWR are

64 generally not valid due to the violation of the Rayleigh assumption when large raindrops exist (e.g.,  
65 Saavedra et al., 2012). In addition, large increase of brightness temperatures is measured as a  
66 result of the deposition of raindrops on the MWR's radome. Unfortunately, it is very hard to model  
67 and quantify this increase from rain layer on the radome (Cadeddu et al., 2017). This "wet-radome"  
68 issue largely inhibits the retrieving of LWPs using ground-based MWR during precipitation. Due  
69 to the limitations of retrieving LWP from MWR during precipitation, cloud and precipitation  
70 radars were used to simultaneously retrieve LWP (Matrosov, 2010).

71 In the precipitating system, the liquid water cloud droplets and raindrops often coexist in  
72 the same atmospheric layer (e.g., Dubrovina, 1982; Mazin, 1989; Matrosov, 2009, 2010),  
73 indicating that the LWP consists of both cloud liquid water path (CLWP) and rain liquid water  
74 path (RLWP). However, the discrimination between suspended small cloud liquid water droplets  
75 and precipitating large raindrops is a very challenging remote sensing problem. Even though the  
76 partitioning of LWP into CLWP and RLWP is important in cloud modeling (Wentz and Spencer,  
77 1998; Hillburn and Wentz, 2008), there are few studies retrieved RLWP and CLWP  
78 simultaneously and separately (Saavedra et al., 2012; Cadeddu et al., 2017). Battaglia et al. (2009)  
79 developed an algorithm to retrieve RLWP and CLWP from the six Advanced Microwave  
80 Radiometer for Rain Identification (ADMIRARI) observables under rainy conditions. Saavedra  
81 et al (2012) developed an algorithm using both ADMIRARI and a micro rain radar to retrieve and  
82 analyze the CLWP and RLWP for midlatitude precipitation during fall. In addition to these RLWP  
83 and CLWP estimations mainly from passive microwave radiometers, there are several studies to  
84 estimate the LWP using active radar measurements only. Ellis and Vivekanandan (2011)  
85 developed an attenuation-based technique to estimate LWC, which is the sum of cloud water  
86 contents (CLWC) and rain liquid water contents (RLWC), using simultaneous S- and Ka-band

87 scanning radars measurements. However, it is not always applicable of using these techniques to  
88 retrieve LWC. If raindrop diameters are comparable to at least one of the radars' wavelength,  
89 "Mie effect" will be included in the measured differential reflectivity, however this "Mie effect"  
90 is not very distinguishable from differential attenuation effects (Tridon et al., 2013; Tridon and  
91 Battaglia 2015).

92 Matrosov (2009) developed an algorithm to simultaneously retrieve CLWP and layer-mean  
93 rain rate using the radar reflectivity measurements from three ground-based W-, Ka-, S- bands  
94 radars. The CLWP were retrieved based on estimating the attenuation of cloud radar signals  
95 compared to S-band radar measurements. Matrosov (2010) developed an algorithm to estimate  
96 CLWP using a vertical pointing Ka-band radar and a nearby scanning C-band radar. The layer-  
97 mean rain rate was first estimated with the aid of surface disdrometer, and then CLWP was  
98 retrieved by subtracting the rain attenuation from total attenuation measured from two radars. For  
99 the estimation of RLWP, Williams et al. (2016) developed a retrieval algorithm for rain drop size  
100 distribution (DSD) using doppler spectrum moments observed from two collocated vertical  
101 pointing radar (VPRs) at frequencies of 3 GHz and 35 GHz. The retrieved air motion and DSD  
102 parameters were evaluated using the retrievals from a collocated 448-MHz VPR.

103 In this study, the CLWP retrieval algorithms in Matrosov (2009 and 2010) have been  
104 modified given the available radar measurements, vertical pointing Ka- and S-band radars, during  
105 the Midlatitude Continental Convective Clouds Experiment (MC3E) field campaign. For the  
106 estimation of RLWP, we will basically follow the idea described in Williams et al. (2016) to  
107 retrieve microphysical properties for raindrops. However instead of retrieving vertical air motion  
108 and rain DSDs (Williams et al., 2016), this study aims at retrieving RLWCs, and then integrating  
109 RLWCs over the liquid layer to estimate RLWP. Overall, in this study, algorithms from three

110 former publications are modified and combined to estimate the LWP in the stratiform precipitating  
111 systems.

112 The goals of this study are to retrieve the LWP below the melting base, which includes  
113 both RLWP and CLWP retrievals using radars measurements, and tentatively answer two  
114 questions based on observations and retrievals in the stratiform precipitation systems during MC3E:  
115 (1) what is the occurrence of cloud below the melting base in the stratiform precipitation systems;  
116 (2) what are the values of simultaneous CLWP, RLWP and LWP, and how does CLWP or RLWP  
117 contribute to the LWP. Note that the CLWP and RLWP are constrained in a stratiform  
118 precipitation layer below the melting base and above the surface. The LWP estimations in this  
119 study are primarily aimed at stratiform precipitating events exhibiting melting-layer features from  
120 radar measurements with lower-to-moderate rain rates ( $RR < 10 \text{ mm hr}^{-1}$ ). The instruments and  
121 data used in this study are introduced in section 2. Section 3 describes the methods of retrieving  
122 LWP (both RLWP and CLWP). Section 4 illustrates two examples and followed by statistical  
123 results from more samples during MC3E. The last section gives the summary and conclusions.  
124 Acronyms and abbreviations are listed in Table 1.

125

## 126 **2. Data**

127 The MC3E field campaign, co-sponsored by the NASA Global Precipitation Measurement  
128 and the U.S. DOE ARM programs, was conducted at the ARM SGP (northern Oklahoma) during  
129 April-June 2011 to study convective clouds and improve model parametrization (Jensen et al.,  
130 2015). MC3E provided an opportunity to develop new retrieval methods to estimate cloud  
131 microphysics and precipitation properties in precipitation systems (Giangrande et al., 2014;  
132 Williams, 2016; Tian et al., 2016; Tian et al, 2018). Several stratiform rain cases were observed

133 by the VPRs during MC3E (as shown in Fig. 1). Distinct signatures of “bright band” are detected  
134 from VPRs. To retrieve LWP associated with stratiform precipitation, this study mainly uses the  
135 observations from two co-located VPRs operating at 3-GHz and 35-GHz at DOE ARM SGP  
136 Climate Research Facility.

## 137 **2.1 Vertical Pointing Radars**

138 The 3-GHz (S-band) VPR was deployed by NOAA Earth System Research Laboratory for  
139 the six-weeks during the MC3E. The NOAA 3-GHz VPR is a vertical pointing radar with  $2.6^\circ$   
140 beamwidth monitoring precipitation overhead. This 3-GHz profiler bridges the gap between cloud  
141 radars, which are used to provide the structure of nonprecipitating clouds but are severely  
142 attenuated by rainfall, and precipitation radars, which, although unattenuated by rainfall, generally  
143 lack the sensitivity to detect more detailed cloud structure. The 3-GHz VPR observes the raindrops  
144 within the Rayleigh scattering regime and its signal attenuation are negligible through the rain.  
145 The temporal resolution of the profiles of Doppler velocity spectra is 7 seconds and the vertical  
146 resolution is 60 meters. The 3-GHz VPR operated in two modes: a precipitation mode and a low-  
147 sensitivity mode. The precipitation mode observations are used in this study.

148 The Ka-band ARM zenith radar (KAZR) is also a vertical pointing radar, operating at 35  
149 GHz permanently deployed by DOE ARM at the SGP site. The KAZR measurements include  
150 reflectivity, vertical velocity, and spectral width from near-ground to 20 km. The KAZR data used  
151 in this study are the KAZR Active Remote Sensing of Clouds (ARSCL) product produced by the  
152 ARM ([www.arm.gov](http://www.arm.gov)). The KAZR-ARSCL corrects for atmospheric gases attenuation and  
153 velocity aliasing. By selecting the mode with the highest signal-to-noise ratio at a given point,  
154 data from two simultaneous operating modes (general and cirrus mode) are combined for each  
155 profile to provide the “best estimates” of radar moments in the time-height fields. The vertical and

156 temporal resolutions of KAZR-ARSCL product are 30 meters and 4 seconds, respectively. Since  
157 the 3-GHz and 35-GHz VPRs are independent radars with different dwell time and sample  
158 volumes (Williams et al., 2016), the radar observations are processed to 1-min temporal and 60-m  
159 vertical resolutions in this study.

## 160 **2.2 Disdrometers**

161 DOE ARM program maintains a suite of surface precipitation disdrometers.  
162 Measurements and estimations from the Distromet model RD-80 disdrometer and NASA two-  
163 dimensional video disdrometers (2DVD) deployed at the ARM SGP site are used in this study.  
164 The RD-80 disdrometer provides the most continuous raindrop size distribution (DSD)  
165 measurements at high spectral (20 size bins from 0.3 to 5.4 mm) and temporal resolutions (1  
166 minute), and its minimal detectable precipitation amount is 0.006 mm hr<sup>-1</sup>. From 2DVD, the rain  
167 DSDs are observed from 41 bins (0.1 - 10 mm), and its minimal detectable precipitation amount  
168 is 0.01 mm hr<sup>-1</sup>. In addition to rain rate, the mean mass-weighted raindrop diameter ( $D_m$ ) is also  
169 provided from 2DVD, which is used for evaluating retrieved  $D_m$  from radar measurements.

## 170 **2.3 Ceilometer**

171 A Vaisala laser ceilometer (CEIL) operates at the SGP Central Facility, sensing cloud  
172 presence up to a height of 7700m with 10-m vertical resolution. The laser ceilometer transmits  
173 near-infrared pulses of light, and the receiver detects the light scattered back by clouds and  
174 precipitation. It is designed to measure cloud-base height.

175

## 176 **3. The Methodology of Liquid Water Path Estimation**

177 As mentioned earlier, both RLWP and CLWP contribute to the LWP. With the aid of the  
178 cloud base height detected by ceilometer, LWP is retrieved under two different situations: (I) the



179 cloud base is higher than the melting base and (II) the cloud base is lower than the melting base.  
180 For situation (I), there are almost no cloud droplets below melting base ( $CLWP = 0$ ), and thus the  
181 LWP below the melting base is solely from raindrops. The LWP is calculated by integrating  
182 RLWCs over this layer. The RLWCs could be retrieved by analyzing the measured Doppler  
183 Velocity Differences (“*DVD Algorithm*”) from two collocated VPRs. In situation (II), the small  
184 cloud droplets and large raindrops coexist below the melting base. Both raindrops and cloud  
185 particles contribute to LWP. RLWP will be still estimated using “*DVD Algorithm*”. CLWP will  
186 be retrieved using an attenuation-based algorithm named as “*Attenuation Algorithm*”. The  
187 algorithms for LWP estimation are summarized in a flowchart (Fig. 2).

### 188 **3.1 Situation I (no cloud droplets exist below the melting base)**

189 The algorithm from Williams et al. (2016) was developed based on an assumption that the  
190 3-GHz VPR operates within the Rayleigh scattering regime for all raindrops, while the 35-GHz  
191 VPR operates within the Rayleigh scattering regime for small raindrops (diameters  $< \sim 1.3$  mm)  
192 and non-Rayleigh scattering regime for larger raindrops (diameters  $\geq \sim 1.3$  mm). The different  
193 scattering regimes for the two operating frequencies result in different estimated radar moments.  
194 These estimated radar moments are in functions of rain microphysics. Thus, the rain microphysics  
195 could be retrieved with given measured radar moments. The details of this “*DVD Algorithm*”  
196 and uncertainty estimation are introduced in Appendix A.

### 197 **3.2 Situation II (cloud particles and rain droplets coexist below the melting base)**

198 In situation (II), substantial cloud particles exist below melting base, and both RLWP and  
199 CLWP retrievals are needed to estimate the LWP. The total two-way attenuation of 35-GHz VPR  
200 signals,  $A$  (in decibels, dB), in a layer between the melting base and the cloud base, mainly consists

201 of rain attenuation, liquid clouds attenuation, and gaseous attenuation. The total attenuation (A)  
 202 are expressed as:

$$203 \quad A = 2 C R_m \Delta H + 2 B \text{ CLWP} + G. \quad (1)$$

204  $R_m$  is layer-mean rain rate, and  $\Delta H$  (km) is the thickness of the layer (Matrosov, 2009).  $G$  is the  
 205 two-way attenuation/absorption from atmospheric gases, which is relatively small, and the  
 206 absorption by gases has been already corrected in the KAZR ARSCL dataset and is assumed to be  
 207 zero in our retrieval.

208  $C$  and  $B$  are the coefficients for rainfall and cloud liquid water attenuation.

$$209 \quad B = 0.0026\pi\lambda^{-1}\text{Im}[-(m^2-1)(m^2+2)^{-1}], \quad (2)$$

210 where  $\lambda$  is the wavelength of Ka-band radar, and  $m$  is the complex refractive index of water. The  
 211 unit of  $B$  is dB/g m<sup>-2</sup>.

$$212 \quad C = 0.27 b, \quad (3)$$

213 where  $b$  is the correction factor considering raindrop fall velocities with changing air density.

$$214 \quad b = (\rho_{am}/\rho_{a0})^{0.45}, \quad (4)$$

215 where  $\rho_{am}$  and  $\rho_{a0}$  are the mean air density in the rain layer and the density at normal atmospheric  
 216 conditions.

217 Based on (1), CLWP can be written as:

$$218 \quad \text{CLWP} = \frac{A - 2 C R_m \Delta H - G}{2 B} \quad (5)$$

219 The attenuation (A) is estimated by comparing the drop in Ka-band reflectivity with the  
 220 un-attenuated S-band reflectivity through the cloud. Assuming the changes in reflectivity with  
 221 altitude due to changes in raindrop size distributions with altitude are similar for Ka- and S-band  
 222 reflectivities, then the difference in reflectivities through the cloud is a proxy for attenuation. This  
 223 can be expressed using

224 
$$A \cong [Z_{Ka}(\text{cloud base}) - Z_{Ka}(\text{melting base})] - [Z_S(\text{cloud base}) - Z_S(\text{melting base})] \quad (6)$$

225 Notice that the absolute calibration of the radar was not important to the retrieval results since the  
 226 retrieval of CLWP used S-Ka differential attenuation. This avoids the radar calibration (Tridon et  
 227 al., 2015 and 2017), which is a serious issue limiting the accuracy of radar retrievals.

228 The  $R_m$  is estimated as:

229 
$$R_m = \frac{\sum_{h_0}^{MB} RR(h) \times \Delta h}{\Delta H}, \quad (7)$$

230 where  $\Delta h$  equals 60 meters and MB is the melting base and  $h_0$  is the height of the lowest unsaturated  
 231 KAZR rang gate (Matrosov, 2010). RRs in the layer between the melting base and the cloud base  
 232 are calculated from the “*DVD algorithm*”.

233 The uncertainties of retrieved CLWP are mainly due to the uncertainties of estimated  $R_m$   
 234 and observed total attenuation from VPRs. The value of B is on the order of 1 dB/kg m<sup>-2</sup>. The  
 235 uncertainty of retrieved CLWP would be  $\sim 0.25$  kg m<sup>-2</sup> with 0.5 dB uncertainty from measured  
 236 radar reflectivity difference or  $\sim 0.5$  kg m<sup>-2</sup> for 1.0 mm hr<sup>-1</sup> uncertainty from estimated layer-mean  
 237 rain rate. Compared to the typical mean rain rate observed in the stratiform system ( $\sim 2 - 4$  mm  
 238 hr<sup>-1</sup>), 1.0 mm hr<sup>-1</sup> represents a  $\sim 30\%$  uncertainty. The uncertainty for CLWP retrievals is roughly  
 239 estimated as  $\sim 0.56$  kg m<sup>-2</sup> (sqrt (0.25<sup>2</sup>+0.5<sup>2</sup>)) in this study. For reference, the expected uncertainty  
 240 is reported as  $\sim 0.25$  kg m<sup>-2</sup> for typical rainfall rates ( $\sim 3 - 4$  mm hr<sup>-1</sup>) in Matrosov (2009) retrieval  
 241 method. More details of the estimation of CLWP uncertainties are in Appendix B.

## 242 **4. Retrieval Results and Discussions**

### 243 **4.1 Case Studies**

244 Even though situation (I) is dominated (Fig. 1), especially in Case A, the ceilometer cloud  
 245 base estimates can be lower than the melting base (Cases B to D). Two case studies (20 May 2011

246 and 11 May 2011) are given as examples to demonstrate the estimation of LWP in stratiform  
247 precipitation system for two different situations.

#### 248 **4.1.1 Case A**

249 On 20 May 2011, an upper level low-pressure system at central Great Basin moved into  
250 the central and northern Plains, while a surface low pressure at southeastern Colorado brought the  
251 warm and moist air from the southern Plains to a warm front over Kansas, and a dry line extended  
252 southward from the Texas-Oklahoma. With those favorable conditions, a strong north-south  
253 oriented squall line developed over Great Plains and propagated eastward. The convection along  
254 the leading edge of this intense squall line exited the ARM SGP network around 11 UTC 20 May  
255 leaving behind a large area of stratiform rain (Case A in Fig. 1). This stratiform system passed  
256 over the ARM SGP site and observed by two VPRs, and disdrometers as shown in Figures 1a-1c.  
257 It clearly shows the 3-GHz radar echo tops are much lower than those from the 35 GHz VPR.  
258 Even though there is attenuation at 35-GHz by the raindrops and melting hydrometeors, the 35-  
259 GHz radar can still detect more small ice particles at near the cloud top. The “bright band”, which  
260 occurs in a uniform stratiform rain region, is clearly seen from the 3-GHz VPR (a sudden increase  
261 and then decrease in radar reflectivity) but is not obvious from the 35-GHz VPR due to the non-  
262 Rayleigh scattering effects at 35 GHz (Sassen et al., 2005; Matrosov, 2008).

263 Figures 1a-1b clearly show that the ceilometer detected cloud base is in the middle of the  
264 melting layer, indicating almost no cloud particles below the melting layer and the LWP in the  
265 liquid layer equals to RLWP. The RLWP is retrieved using the “*DVD Algorithm*” introduced in  
266 section 3.1 and Appendix A. Figure 3 shows an example of the DVD retrieval algorithm at 13:40  
267 UTC on May 20, 2011. Radar reflectivity from 3 GHz, Doppler velocities from 3 GHz and 35  
268 GHz, and spectrum variance from 35 GHz are the inputs of DVD algorithm. The Doppler velocity

269 differences (3 GHz – 35 GHz) from the surface to 4 km are also plotted in Fig. 3d. The melting  
270 base is defined as the height of maximum curvature in the radar reflectivity profile at 3 GHz (Fabry  
271 and Zawadzki, 1995), which is clearly seen at 2.5 km in Fig. 3. Below 2.5 km, the Doppler velocity  
272 differences between the two VPRs become relatively uniform, indicating that the process of  
273 melting snow/ice particles into raindrops is completed. Retrieved profiles of rain microphysical  
274 properties and their corresponding uncertainties (horizontal bars at different levels) in the rain layer  
275 (0 – 2.5 km) are shown in Figs 3f-3h. In general, the retrieved  $D_m$  values from the surface to 2.5  
276 km are nearly a constant of  $\sim 2$  mm (Fig. 3f), while the retrieved RLWC and rain rate values slightly  
277 decrease from 2.5 km to the surface. One of the highlights of this study is, in addition to the surface  
278 rain rate, which can usually be observed using surface disdrometers, the vertical profiles of rain  
279 microphysical properties are retrieved. These retrieved rain microphysical properties will shed  
280 light on the understanding of liquid cloud and rain microphysical processes (like condensation,  
281 evaporation, autoconversion and accretion etc.) in the models.

282 To evaluate the rain property retrievals, we compare the retrieved rain microphysical  
283 properties, the  $D_m$ , and rain rate at the surface, with the surface disdrometers measurements (Fig.  
284 4). The  $D_m$  values range from 1.0 to 2.5 mm during a 3.5-hr period with nearly identical mean  
285 values of 1.79 mm and 1.81 mm from both retrievals and 2DVD measurements. There are large  
286 variations for rain rates, ranging from 0 to 8 mm hr<sup>-1</sup>, with means of 3.19, 3.17 and 2.88 mm hr<sup>-1</sup>,  
287 respectively, from 2DVD, RD-80 and radar retrieval. The mean rain rates from 2DVD and RD-  
288 80 measurements are almost the same although there are relatively large differences during certain  
289 time periods, while the retrievals from this study, on average, underestimate the rain rate by  $\sim 10\%$   
290 compared to the disdrometer measurements. More statistics (mean differences, their 95%  
291 confidence intervals of mean differences and root mean square errors) can be found in Table 2.

292 Overall, the mean differences are within the retrieval uncertainties. The variation of RLWP (Fig.  
293 4c) mimics the variation of retrieved rain rate in Fig. 4d. The mean value of RLWP is  $0.55 \text{ kg m}^{-2}$   
294  $^2$  for this case, which is also the LWP below the melting base.

#### 295 **4.1.2 Case B**

296 On 11 May 2011, a surface cold front moved across the Oklahoma-Texas area and then  
297 convections were initiated. At 1600 UTC, a mesoscale convective system organized with a parallel  
298 stratiform precipitation region. Two-three hours later ( $\sim 1830$  UTC), the mesoscale convective  
299 system was transitioned to a trailing stratiform mode passed over the ARM SGP site. The large  
300 stratiform regions are observed by two VPRs and disdrometers as shown in Figs 1d-1f. Figures  
301 1d-1f clearly show that the ceilometer detected cloud bases are lower than the melting bases  
302 occasionally. Under this situation, both RLWP and CLWP could contribute to the LWP below the  
303 melting base.

304 Firstly, the surface rain microphysics ( $D_m$ , RLWC, rain rate and RLWP) are retrieved using  
305 “*DVD Algorithm*”. These rain property retrievals are compared with the surface disdrometers  
306 measurements (Fig. 5). The  $D_m$  values at the surface range from 0.90 to 2.30 mm during a 4.5-hr  
307 period with the mean values of 1.41 mm and 1.52 mm, respectively, from both retrievals and  
308 2DVD measurements. The difference between the retrieval and 2DVD measurement may be due  
309 to different sampling volumes between radar and the surface disdrometer, as well as wind shear.  
310 The rain rates, in this case, vary quite large, ranging from  $0.02$  to  $4.78 \text{ mm hr}^{-1}$  with means of 1.36,  
311 1.26 and  $1.66 \text{ mm hr}^{-1}$ , respectively from single 2DVD, RD-80, and our retrieval. It is found that,  
312 from both Case A and Case B, the mean value from RD-80 is smaller than that from 2DVD. This  
313 may be due to the different ranges of measurable drop sizes from two types of disdrometers (0.3 -

314 5.4 mm for RD 80, while 0.1 to 10 mm for 2DVD). More statistics can be also found in Table 2.  
315 Overall, the mean differences are still within the retrieval uncertainties for this case.

316 Secondly, the CLWP is retrieved using “Attenuation Algorithm” introduced in section 3.2.  
317 Figure 5c shows the time series of RLWP, CLWP and LWP retrievals. It is found that the CLWP  
318 values (when they exist) are usually larger than RLWP values in the same vertical column. When  
319 cloud droplets and raindrops coexist below the melting base, the mean values are  $0.11 \text{ kg m}^{-2}$  and  
320  $1.64 \text{ kg m}^{-2}$  for RLWP and CLWP, and the corresponding LWP below the melting layer is  $0.76 \text{ kg}$   
321  $\text{m}^{-2}$ . While when only raindrops exist below the melting base, there is no CLWP (CLWP =0), and  
322 the RLWP and LWP are the same (with average of  $0.34 \text{ kg m}^{-2}$ ). It is noticed that even though the  
323 occurrence of CLWP is low (11%) in this case, the value of CLWP can be very large when it exists,  
324 and it is about two times larger than the mean RLWP. The mean value of LWP is  $0.37 \text{ kg m}^{-2}$  for  
325 all the samples in Fig. 5c. The blue uncertainty bars in Figure 5c show the retrieved CLWP  
326 uncertainty with assuming both of the uncertainties of attenuation and total rain rate are 30%. Due  
327 to the variations of the attenuation and total rain rate with time, the estimated uncertainties of  
328 CLWP varies point to point. More details about the estimation of CLWP are in Appendix B.

329

## 330 **4.2 Statistical Results**

331 Box and whisker plots of retrieved RLWP, CLWP and LWP for situations (I), (II) and all  
332 samples during MC3E are shown in Fig. 6. The horizontal orange and red dashed lines indicate  
333 the median and mean, boundaries of the box represent the first and third quartiles, and the whiskers  
334 are the 10<sup>th</sup>- and 90<sup>th</sup> -percentiles. During MC3E, a total of 13 hours of stratiform rain were  
335 observed by VPRs at the ARM SGP Climate Research Facility, in which 91% and 9% the samples  
336 are categorized into the situations (I) and (II), respectively. The mean RLWPs are  $0.32 \text{ kg m}^{-2}$  and

337 0.10 kg m<sup>-2</sup> for the situations (I) and (II). There are a substantial amount of small cloud droplets  
338 sustaining in the rain layer and having not yet converted to larger raindrops, which may partially  
339 explain smaller RLWP in the situation (II). The mean value of surface rain rate is 2.06 mm hr<sup>-1</sup>  
340 when cloud droplets exist, which is also smaller than the mean value (2.38 mm hr<sup>-1</sup>) in the rain-  
341 only situation. The mean CLWP in the situation (II) is as large as ~0.56 kg m<sup>-2</sup> even though their  
342 occurrence is very low (9%), which is much larger than mean RLWP in the liquid layer. The  
343 LWP from the situation (II) (0.66 kg m<sup>-2</sup>) is much larger than the mean LWP from the situation (I)  
344 (0.32 kg m<sup>-2</sup>), which is primarily contributed by cloud droplets. The overall mean LWP for  
345 stratiform rain during MC3E is 0.34 kg m<sup>-2</sup>.

346 We also processed the ARM MWR retrieved LWPs during MC3E and compared them with  
347 our retrievals as illustrated in Fig. 7a. The corresponding LWP uncertainties are also provided as  
348 the grey error bar for each retrieval with rain rate indicated by colors. It is noticed that the MWR  
349 has no LWP estimation when the rain rate is large. The MWR-retrieved LWPs increase with  
350 increased rain rate, and the retrievals from MWR are much larger than the new LWP retrievals at  
351 high rate rates. The newly retrieved LWPs weakly correlate with rain rates, and most values are  
352 less than 1.0 kg m<sup>-2</sup>, especially at high rain rates. The MWR retrieved LWPs increase with rain  
353 rate generally. The increase of retrieved LWP with rain rate from MWR is possibly due to the  
354 “wet radome” effect (Cadeddu et al., 2017). In addition to the issue from standing water on the  
355 radome, the extinctions due to raindrops also affect MWR retrievals. The extinction for rain is  
356 much larger than that for cloud (Sheppard, 1996), and thus, the small amount of rain water could  
357 enhance the measured brightness temperature significantly. More details of extinctions and  
358 brightness temperature calculations are shown in Appendix B. Statistical results of the retrieved  
359 LWPs from this study and MWR are averaged for each measured rain rate bins (bin size = 0.25



360 mm hr<sup>-1</sup>). The differences of LWPs from MWR and this study are shown in Fig. 7b. The LWP  
361 differences increase with increased rain rate. The LWP differences between MWR retrieval and  
362 this study could be caused by the following reasons. 1) MWR-retrieved LWP represents the entire  
363 vertical column (RWLP and CLWP below melting layer, large water coated ice particles in the  
364 melting layer and supercooled LWCs above the melting layer), while our retrieval only represents  
365 the LWP below the melting base. As Battaglia et al (2003) pointed out the brightness temperature  
366 generally increases if mixed-phase precipitation is included. 2) The MWR radome was wet during  
367 the raining periods and the deposition of raindrops on the radome can cause a large increase in the  
368 measured brightness temperatures (Cadeddu et al., 2017). 3) Large extinctions due to rain drops  
369 would affect MWR retrievals. 4) Uncertainties exist in the retrieved LWP from this study.

370

## 371 **5. Summary and Conclusions**

372 LWP is a critical parameter for studying clouds, precipitation, and their life cycles. LWP  
373 can be retrieved from microwave radiometer measured brightness temperatures during cloudy and  
374 light precipitation conditions. However, MWR-retrieved LWPs are questionable under moderate  
375 and heavy precipitation conditions due to the “wet radome” and the large extinction in the unit  
376 volume caused by large raindrops. LWPs below the melting base in stratiform precipitation  
377 systems are estimated, which include both RLWP and CLWP. The measurements used in this  
378 study are mainly from two VPRs, 35-GHz from ARM and 3-GHz from NOAA during the MC3E  
379 field campaign.

380 In this study, the microphysical properties of raindrops, such as  $D_m$ , RLWC (and RLWP),  
381 and RR, are estimated following the method described in Williams et al. (2016) using  
382 measurements from co-located Ka- and S-band radars VPRs. The retrieved rain microphysical

383 properties are validated by the surface disdrometer measurements. Instead of retrieving vertical  
384 air motion and rain DSDs (Williams et al., 2016), this study aims at retrieving RLWCs and then  
385 integrating RLWCs over the liquid layer to estimate RLWP. The CLWP is retrieved based on the  
386 modifications of the methods in Matrosov (2009 and 2010) with available radar measurements,  
387 vertical pointing Ka- and S-band VPRs, during the MC3E field campaign.

388         The applicability of retrieval methods is illustrated for two stratiform precipitation cases  
389 (20 May 2011 and 11 May 2011) observed during MC3E. Statistical results from a total of 13  
390 hours samples during MC3E show that the occurrence of cloud droplets below the melting base is  
391 low (9%), while the CLWP value can be up to  $0.56 \text{ kg m}^{-2}$ , which is much larger than the RLWP  
392 ( $0.10 \text{ kg m}^{-2}$ ). When only raindrops exist below the melting base, the averaged RLWP value is  
393  $0.32 \text{ kg m}^{-2}$ , which is much larger than the mean RLWP in the cloud droplets and raindrops  
394 coexisted situation. Our retrievals are also compared with ARM MWR retrieved LWPs. It is  
395 noticed that the MWR has no LWP estimation when the rain rate is large. The MWR-retrieved  
396 LWPs increase with increased rain rate, and the retrievals from MWR are much larger than our  
397 LWP retrievals at high rate rates. The LWP differences are fully discussed.

398         Reliable retrievals of RLWC and RLWP are critical for model evaluation and improvement,  
399 as RLWC (rain mixing ratio) is an important prognostic variable in weather and climate models.  
400 Furthermore, the retrievals in the whole rain layer would be useful to understand the microphysical  
401 processes (i.e., condensation, evaporation, autoconversion, and accretion etc.) and have great  
402 potential to improve model parametrizations in the future. Overall, the LWP (CLWP and RLWP)  
403 retrievals derived in this study can be used to evaluate the models that separately predict cloud and  
404 precipitation and contribute comprehensive information to study cloud-to-precipitation transitions.  
405 Note that the attenuation by liquid is more profound at 94 GHz and the ratio of attenuations by

406 liquid clouds and by rain is larger at 94 GHz compared to 35 GHz (Matrosov, 2009). Thus, using  
 407 94 GHz (W-band) radar measurements to develop a retrieval algorithm may be promising if the  
 408 W-band radar signals are not fully attenuated. In addition, analyzing collocated multiple-  
 409 frequency VPRs would also better define the uncertainties of retrievals made with collocated radar  
 410 operating at different frequency pairs.

411

412

### 413 **Appendix A: Doppler Velocity Differences Algorithm (“DVD Algorithm”)**

414 Retrieving RLWC and other rain microphysical properties (i.e., drop size and rain rate) is  
 415 based on the mathematics of DSD radar reflectivity-weighted velocity spectral density  $S_{DSD}^\lambda$   
 416 [(mm<sup>6</sup> m<sup>-3</sup>) (m s<sup>-1</sup>)<sup>-1</sup>], which is a product of radar raindrop backscattering cross section  $\sigma_b^\lambda(D)$  (mm<sup>2</sup>)  
 417 and DSD number concentration  $N_{DSD}(D)$  (mm<sup>-1</sup> m<sup>-3</sup>):

$$418 \quad S_{DSD}^\lambda(v_z) = \left[ \frac{\lambda^4}{\pi^5 |K_w|^2} \sigma_b^\lambda \right] N_{DSD}(D) \frac{dD}{dv_z}. \quad (A1)$$

419 The  $\frac{dD}{dv_z}$  [mm (m s<sup>-1</sup>)<sup>-1</sup>] is used as a coordinate transformation from diameter to velocity, where  
 420  $v_z$  (m s<sup>-1</sup>) is the raindrop terminal velocity of diameter  $D$  (mm) at altitude  $z$ .  $\lambda$  is the wavelength  
 421 of radar.  $|K_w|^2$  equals 0.93 and it is the dielectric factor.

422 The  $N_{DSD}(D)$  can be expressed as a normalized gamma shape distribution with three parameters  
 423 (Leinonen et al., 2012):

$$424 \quad N_{DSD}(D; N_w, D_m, \mu) = N_w f(D; D_m, \mu), \quad (A2)$$

425 where

$$426 \quad f(D; D_m, \mu) = \frac{6}{4^4} \frac{(\mu+4)^{(\mu+4)}}{\Gamma(\mu+4)} \left(\frac{D}{D_m}\right)^\mu \exp \left[-(\mu+4) \frac{D}{D_m}\right]. \quad (A3)$$

427  $N_w$  is the scaling parameter,  $\mu$  is a shape parameter,  $\Gamma(x)$  is the Euler gamma function, and  $D_m$  is  
 428 a mean mass-weighted raindrop diameter estimated from the ratio of the fourth to third DSD  
 429 moments:

$$430 \quad D_m = \frac{M_4}{M_3} = \frac{\int_{D_{\min}}^{D_{\max}} N_{\text{DSD}}(D) D^4 dD}{\int_{D_{\min}}^{D_{\max}} N_{\text{DSD}}(D) D^3 dD}. \quad (\text{A4})$$

431 where  $D_{\min}$  and  $D_{\max}$  represent the minimum and maximum diameters in the distribution,  
 432 respectively.

433 The intrinsic (non-attenuation) reflectivity factor and the mean velocity and the spectrum  
 434 variance are the zeroth, first, and second reflectivity-weighted velocity spectrum moments:

$$435 \quad Z_{\text{DSD}}^\lambda = \sum_{v_{\min}}^{v_{\max}} S_{\text{DSD}}^\lambda(v_i) \Delta v \quad (\text{A5})$$

$$436 \quad v_{\text{DSD}}^\lambda = \frac{\sum_{v_{\min}}^{v_{\max}} S_{\text{DSD}}^\lambda(v_i) v_i \Delta v}{Z_{\text{DSD}}^\lambda} \quad (\text{A6})$$

$$437 \quad \text{SV}_{\text{DSD}}^\lambda = \frac{\sum_{v_{\min}}^{v_{\max}} (v_i - v_{\text{DSD}}^\lambda)^2 S_{\text{DSD}}^\lambda(v_i) \Delta v}{Z_{\text{DSD}}^\lambda}. \quad (\text{A7})$$

438 where  $v_i$  is the discrete velocities and  $\Delta v$  is velocity resolution in the integration.

439 The Doppler Velocity Difference (DVD) is defined as

$$440 \quad \text{DVD} = v_{\text{DSD}}^{3 \text{ GHz}} - v_{\text{DSD}}^{35 \text{ GHz}}. \quad (\text{A8})$$

441 Note that both DVD and SV are dependent on DSD parameters ( $D_m$  and  $\mu$ ) only.

442 The RLWC and rain rate (RR) can also be described using the DSD:

$$443 \quad \text{RLWC}(\text{g m}^{-3}) = \frac{\pi}{6} 10^{-3} \sum_{D_{\min}}^{D_{\max}} N_{\text{DSD}}(D, N_w, D_m, \mu) D_i^3 \Delta D \quad (\text{A9})$$

$$444 \quad \text{RR}(\text{mm hr}^{-1}) = \frac{6\pi}{10^4} \sum_{D_{\min}}^{D_{\max}} N_{\text{DSD}}(D, N_w, D_m, \mu) D_i^3 v_z(D_i) \Delta D. \quad (\text{A10})$$

445 In addition, there are two newly defined radar-related parameters ( $\alpha$  and  $\beta$ ), which are also  
 446 dependent on  $D_m$  and  $\mu$  only:

$$447 \quad \alpha = 10 \log_{10}(Z_{\text{DSD}}^{3 \text{ GHz}} / \text{RLWC}) \quad (\text{A11})$$

$$448 \quad \beta = 10 \log_{10}(Z_{\text{DSD}}^{3\text{GHz}}/\text{RR}) \quad (A12)$$

449        In this study, four variables, DVD, SV at 35 GHz ( $SV_{35\text{GHz}}$ ),  $\alpha$  and  $\beta$ , are pre-calculated  
 450 using different groups of  $D_m$  and  $\mu$  values, and then these values are stored in look-up tables  
 451 (LUTs). Raindrop backscattering cross sections are calculated using the T-matrix with different  
 452 temperatures and oblate raindrop axis ratios (Leinonen, 2014). LUT examples are illustrated in  
 453 Fig. A as functions of DVD and  $SV_{35\text{GHz}}$ . If we assume that the observed radar Doppler velocity  
 454 difference and spectrum variance from the 35-GHz radar is equal to the DSD velocity difference  
 455 and variance (DVD and  $SV_{35\text{GHz}}$ ), the measured Doppler velocity difference and spectrum variance  
 456 at 35-GHz can determine a solution for  $D_m$  from the LUT (Fig. A(a)). Similarly, a value of  
 457  $Z_{3\text{GHz}}\text{LWC}$  (or  $Z_{3\text{GHz}}\text{RR}$ ) can be found with measured DVD and  $SV_{35\text{GHz}}$  using the LUT in Fig. A(b)  
 458 (or Fig. A(c)). Then RLWC (or RR) can be estimated using (A11) (or (A12)) with measured  
 459 reflectivity at 3-GHz ( $Z_{3\text{GHz}}$ ).

460        The observed radar Doppler velocity difference can be assumed to be equal to the DSD  
 461 velocity difference for two reasons: (1) even though the radar observed Doppler velocity spectrum  
 462 can be broadened by the air motion, this spectrum broadening variance is small (within 2%) relative  
 463 to the DSD velocity spectrum because of the narrow beamwidth ( $0.2^\circ$ ) of KAZR and (2) spectrum  
 464 broadening is symmetric, which does not affect the first spectrum moment and the DSD mean  
 465 Doppler velocity only shifts due to the air motion. Therefore, the measured differences of Doppler  
 466 velocity between the 3-GHz and 35- GHz radars vertical pointing observations are independent of  
 467 air motion and can be assumed to be the same as DVD from (A8). The validity of such an  
 468 assumption is fully discussed in Williams et al. (2016).

469        The variabilities of 3-GHz and 35-GHz VPR observations within each 1-minute/60-meter  
 470 bin are regarded as the measurement uncertainties and will be propagated through the retrieval to

471 produce retrieval uncertainties. The retrieval uncertainties are estimated follow two steps: (1)  
472 construct a distribution of input radar measurements. For example, the temporal resolution for 3-  
473 GHz VPR is seven seconds, thus there are about nine radar reflectivities observed for one minute.  
474 A normal distribution is generated first using the mean and standard deviations of these nine  
475 observed radar reflectivities for this 1-min/60-m resolution/bin. (2) repeat the DVD retrievals  
476 using samplings from distributions of all input measurements. We randomly select 100 groups of  
477 members from those (DVD,  $SV_{35\text{GHz}}$ ,  $Z_{3\text{GHz}}$ ) normal distributions to form 100 realizations, and then  
478 produce 100 separate output estimates. The mean and standard deviation of the 100 solutions are  
479 regarded as the final retrieval and the retrieval uncertainty.

480 The uncertainties of RLWP are estimated based on the uncertainties of RLWC. More  
481 specifically, we first estimated the RLWC uncertainties at each height level, and then we calculated  
482 the ratios of RLWC uncertainties to the mean retrieved RLWCs at each height level, which  
483 represent percentage values of retrieval uncertainties. Finally, we calculated the mean ratio of the  
484 uncertainties in the whole liquid layer below melting base and regarded this mean ratio as the  
485 uncertainty of RLWP.

486 It is noted that the uncertainty here only considers estimates of instrument noise, not the  
487 uncertainties associated with assumptions used in the retrieval. For example, the gamma size  
488 distribution used in (A2) is an approximation which may introduce error into the retrieval.  
489 However, it is very difficult to quantify this type of retrieval uncertainty. In this study, we further  
490 compared our retrievals with independent surface disdrometers measurements to estimate the  
491 uncertainties of retrievals. Also, when both radars are observing at Rayleigh scattering for small  
492 raindrops, the reflectivity-weighted radial velocities for these particles should be the same. In  
493 order to have a difference in radial velocity during the retrieval, large droplets must exist. The

494 maximum diameters in drop size distribution measured from disdrometer for all the stratiform  
 495 cases during MC3E are investigated. It is found that the occurrence of small-droplets-only  
 496 (maximum diameter <1.3 mm) is very low (less than 3%). Thus, it will not have a significant  
 497 impact on the retrieval results. Notice that this algorithm is not suitable for strong convective rain  
 498 due to the wind shear and strong turbulence as well as severe attenuation and extinction of the Ka-  
 499 band radar signal.

500

## 501 **Appendix B: CLWP Uncertainty**

502 CLWP can be simplified and estimated as following equation:

$$503 \quad CLWP = \frac{A-2 C R_{total}}{2 B} . \quad (B1)$$

504 The attenuation (A) is estimated by comparing the drop in Ka-band reflectivity with the un-  
 505 attenuated S-band reflectivity. The rain attenuation is estimated by the rain attenuation coefficient  
 506 (C) multiplied by the total rain rate ( $R_{total}$ ). C and B are the coefficients of rain and cloud water  
 507 attenuation with values of  $\sim 0.26$  dB /km /mm hr<sup>-1</sup> and  $\sim 0.87$  dB / kg m<sup>-2</sup>, respectively. The  
 508 influence of temperature uncertainty in B on the retrieval error is minor compared to the  
 509 uncertainties of the total attenuation (A) and total rain rate ( $R_{total}$ ) (Matrosov 2010). The  
 510 uncertainty of CLWP is calculated as

$$511 \quad \Delta CLWP = \sqrt{\left(\frac{\partial CLWP}{\partial A} \times \Delta A\right)^2 + \left(\frac{\partial CLWP}{\partial R_{total}} \times \Delta R_{total}\right)^2} \quad (B2)$$

$$512 \quad \Delta CLWP = \sqrt{\left(\frac{1}{2B} \times A \times \Delta a\right)^2 + \left(-\frac{C}{B} \times R_{total} \times \Delta r\right)^2} \quad (B3)$$

513 For given uncertainties of attenuation ( $\Delta a$ ) and total rain rate ( $\Delta r$ ), the uncertainty of CLWP can  
 514 be calculated based on equation (B3).

515

516

517

518 **Appendix C: Calculations of Extinction and Brightness Temperature at Microwave**  
519 **Radiometer Channels**

520 To better explain the “overestimation” issue of retrieved LWP from microwave radiometer,  
521 several examples are given in this appendix. Firstly, we calculated the extinction cross section per  
522 volume as a function of the drop equivolume diameter for the two frequencies in MWR (23.8 GHz  
523 and 31.4 GHz) with a T-matrix method (Figure B). It is clearly shown that the extinction cross  
524 section is increasing with the diameter when the diameter is smaller than 3 mm. This indicates the  
525 extinction (cross section) for rain drops (diameter  $> \sim 50$   $\mu\text{m}$ ) is much larger than that for cloud  
526 droplets (diameter  $< \sim 50$   $\mu\text{m}$ ). Secondly, we calculated the extinction coefficient as a function of  
527 RLWC for populations with three different drop size distributions (DSDs). The DSDs are modeled  
528 according to the exponential Marshall and Palmer (MP) distribution  $N(D) = N_0 e^{-\Lambda D}$ , where  
529  $N_0=8000 \text{ m}^{-3} \text{ mm}^{-1}$ .  $N_0$  is changed to 4000 and 32000  $\text{m}^{-3} \text{ mm}^{-1}$  to represent thunderstorm and  
530 drizzle DSDs. More details of the DSDs please see Battaglia et al. (2009). Figure C clearly shows  
531 the extinctions of cloud and rain also is DSD-dependent. For example, at 31.4 GHz, even though  
532 the RLWC is the same, the extinctions are much larger from the precipitation with the  
533 thunderstorms and MP DSDs than the extinctions from light precipitation with the drizzle DSD.

534 In addition, the brightness temperatures at 23.8 and 31.4 GHz channels are calculated using  
535 the MicroWave Radiative Transfer (MWRT) model. Five different sensitivity tests are generated  
536 with five combinations of CLWP and RLWP values (Table A). Table A lists the results and clearly  
537 demonstrates that the brightness temperatures in channels increase with increased cloud water  
538 amount (larger CLWP) and the rain water amount (larger RLWP). Comparing the results from



539 test #2 and #3, it is clearly seen that the brightness temperatures contributed by rain drops are 31  
540 and 51 K more than that contributed by cloud droplets at the frequencies of 23.8 and 31.4 GHz,  
541 even though their LWPs are the same ( $1 \text{ kg m}^{-2}$ ) in these two tests.

542  
543 Data availability. NOAA vertical profile radar datasets are publicly available in the DOE archives  
544 ([http://iop.archive.arm.gov/arm-iop/2011/sgp/mc3e/williams-s\\_band/](http://iop.archive.arm.gov/arm-iop/2011/sgp/mc3e/williams-s_band/)). KAZR ARSCL data are  
545 available on the DOE ARM archive (<https://www.arm.gov/data>).

546  
547 Author contributions. JT and XD conceptualized this study. JT developed the retrievals, analyzed  
548 the data and drafted the manuscript. XD contributed the interpretation of the results and the editing  
549 of the paper. CW contributed the discussions to the methodology in rain and cloud microphysics  
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551  
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561

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**Table 1.** Acronyms and Abbreviations Used in This Study

Acronyms and Abbreviations	Full Name
2DVD	Two-dimensional video disdrometer
A	Total two-way attenuation of 35-GHz VPR signals
ARSCL	Active remote sensing of clouds
ARM	Atmospheric Radiation Measurement
B	coefficients for cloud water attenuation
C	coefficients for rainfall attenuation
CLWP	Cloud liquid water path
D	Raindrop diameter
$D_m$	Mean mass-weighted raindrop diameter
$D_{max}$	Maximum diameters in the size distribution
$D_{min}$	Minimum diameters in the size distribution
DOE	Department of Energy
DSD	Drop size distribution
DVD	Doppler velocity difference
G	Two-way gaseous absorption
IWC	Ice water content
KAZR	Ka-band ARM zenith radar
LUT	Looking up table
LWP	Liquid water path
MB	Base of melting layer
MC3E	Mid-latitude continental convective clouds experiment
MMCR	Millimeter-wavelength cloud radar
MWR	Microwave radiometer
$N_{DSD}$	Number concentration
$N_0$	Intercept of ice particle size distribution
NOAA	National Oceanic and Atmospheric Administration
$N_w$	Scaling parameter in the drop size distribution
RLWP	Rain liquid water path
$R_m$	Layer-mean rain rate
RR	Rain rate

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$S_{DSD}^\lambda$	Radar reflectivity-weighted velocity spectral density
$v_{DSD}^\lambda$	First reflectivity-weighted velocity spectrum moments represent the mean velocity
$V_z$	Raindrop terminal velocity
$Z_{DSD}^\lambda$	Zeroth reflectivity-weighted velocity spectrum moments represent the intrinsic (non-attenuation) reflectivity factor
$\Gamma(x)$	Euler gamma function
$\lambda$	Radar wavelength
$\sigma_b^\lambda$	Raindrop backscattering cross section
$\mu$	Shape parameter

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680 **Table 2.** Statistics (mean differences, 95% confidence interval of mean differences, RMSEs) of  $D_m$ , RR between this study (RET) and  
 681 disdrometers (2DVD, RD-80) for Case A and Case B  
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	Mean Differences (95% confidence interval)	RMSE
683 Case A: $D_m$ (RET, 2DVD) (mm)	0.04 (-0.07, -0.01)	0.24
684 Case A: RR (RET, RD-80) (mm hr <sup>-1</sup> )	-0.45 (-0.57, -0.33)	0.96
685 Case A: RR (RET, 2DVD) (mm hr <sup>-1</sup> )	-0.61(-0.77, -0.43)	1.38
686 Case B: $D_m$ (RET, 2DVD) (mm)	0.10 (-0.14, -0.07)	0.27
687 Case B: RR (RET, RD-80) (mm hr <sup>-1</sup> )	0.40 (0.19, 0.60)	1.51
	0.30(0.09, 0.52)	1.58

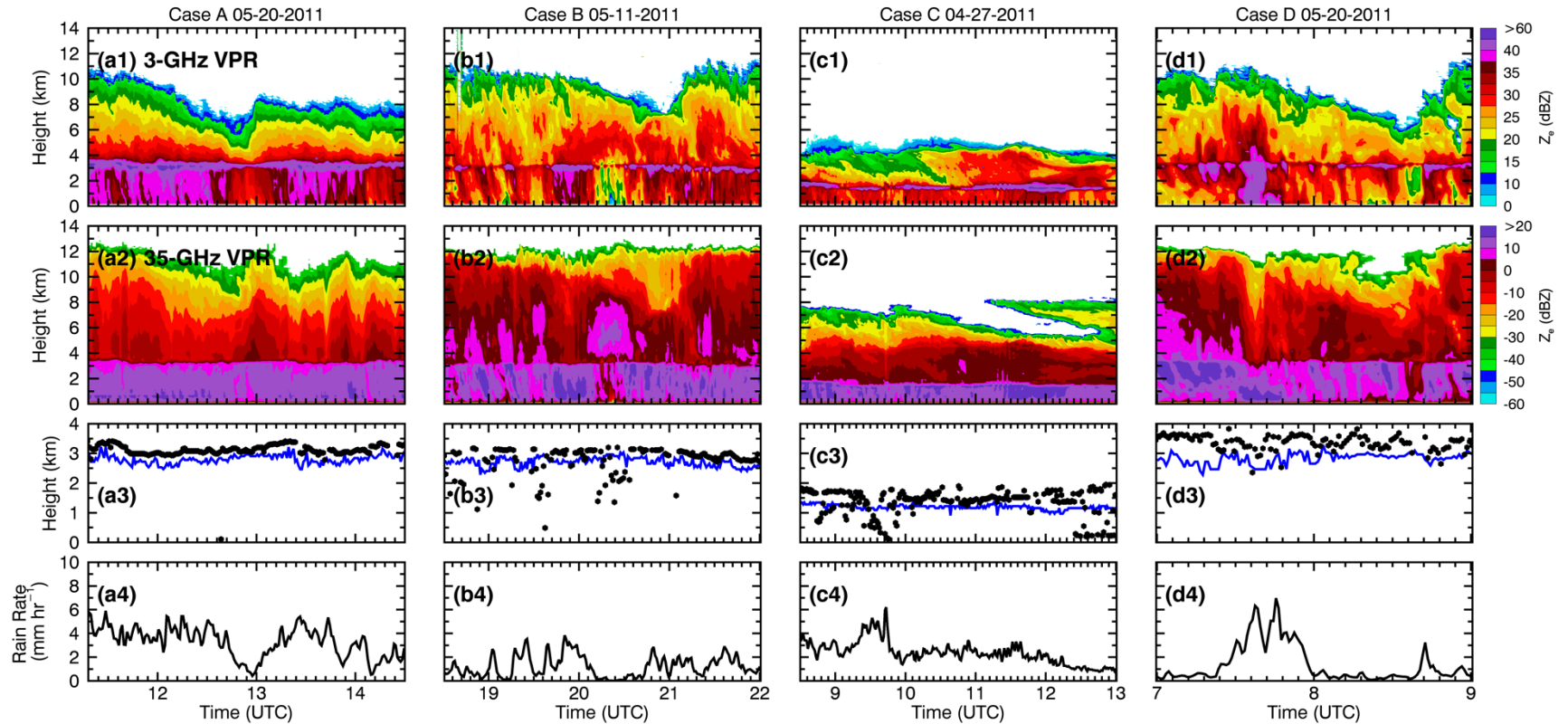
688 **Table A.** The brightness temperatures (TB) at 23.8 and 31.4 GHz for different assumptions of CLWP and RLWP values.

Sensitivity Test	CLWP (kg m <sup>-2</sup> )	RLWP (kg m <sup>-2</sup> )	TB at 23.8 GHz	TB at 31.4 GHz
#1	2	0	197.20	196.28
#2	1	0	186.34	177.49
#3	0	1	217.28	228.20
#4	0	2	254.51	272.09
#5	1	1	225.37	239.88

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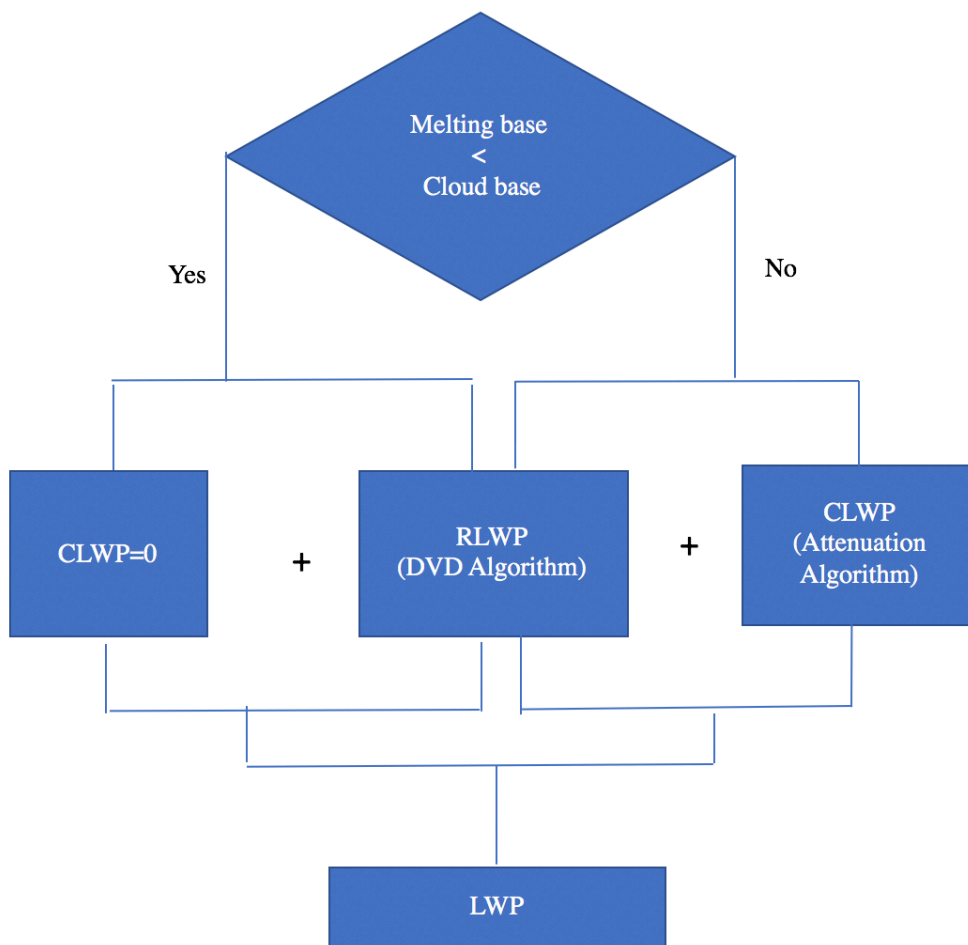


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693 **Figure 1.** Time series of (a1) radar reflectivity ( $Z_e$ ) from NOAA 3-GHz vertical pointing radar (VPR), (b1) radar reflectivity from ARM  
 694 35-GHz VPR, (c1) melting base (blue lines) and cloud base (black dots), and (d1) rain rates from RD-80 surface disdrometer  
 695 measurement for Case A (20 May 2011, 11:20 – 14 :30 UTC); (b1)-(b4) for Case B (11 May 201, 18:30 – 22 :00 UTC); (c1)-(c4) for  
 696 Case C (27 April 2011, 8:30 – 13 :00 UTC); (d1)-(d4) for Case D (20 May 2011, 7:00 – 9 :00 UTC). Note that the ranges of radar dBZ  
 697 values are different in 3-GHz and 35-GHz radars.

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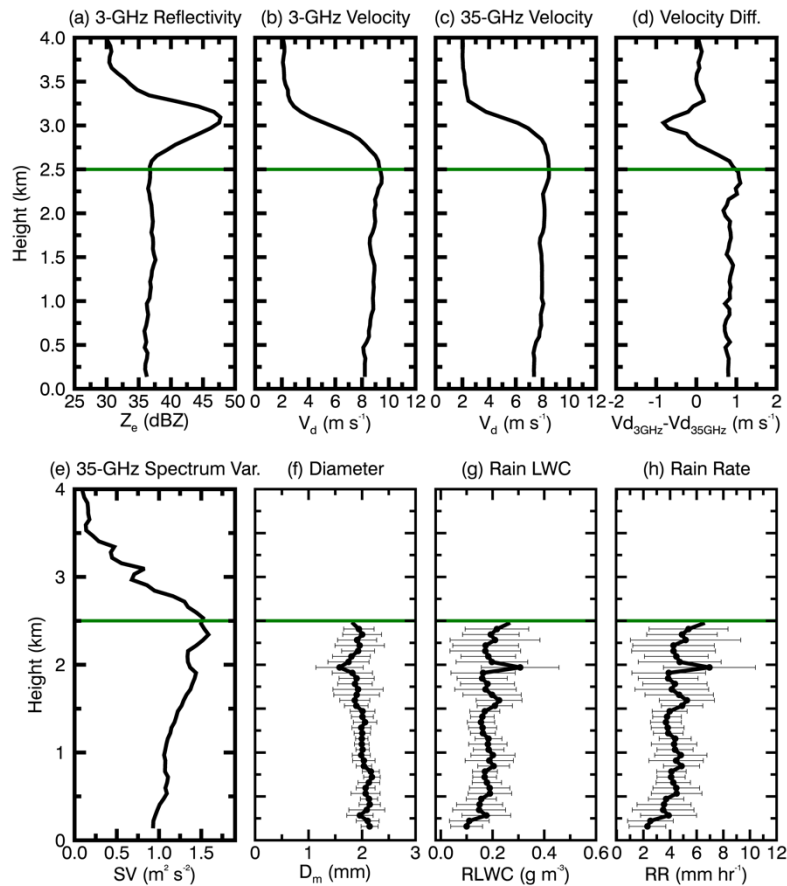


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701 **Figure 2.** Algorithm flowchart to retrieve liquid water path (LWP) below melting base.

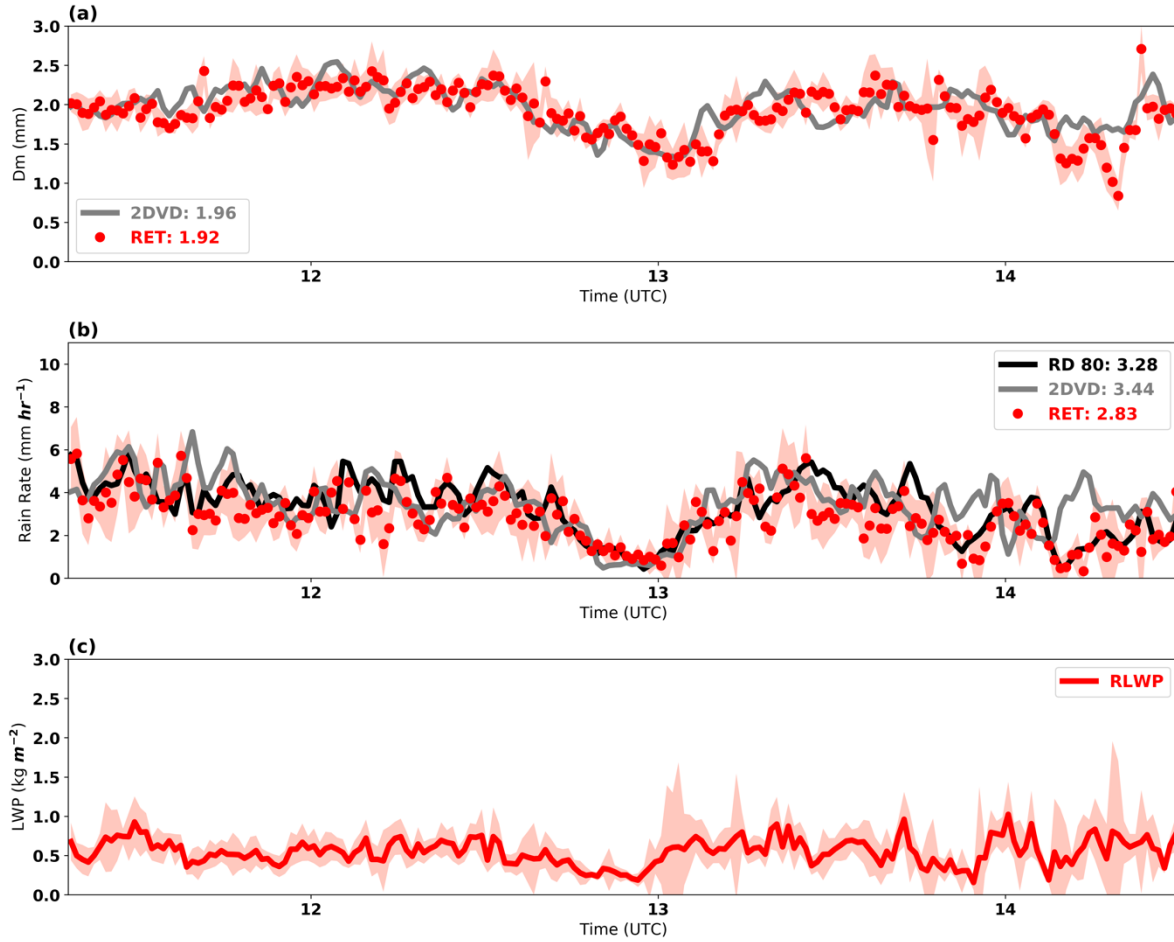
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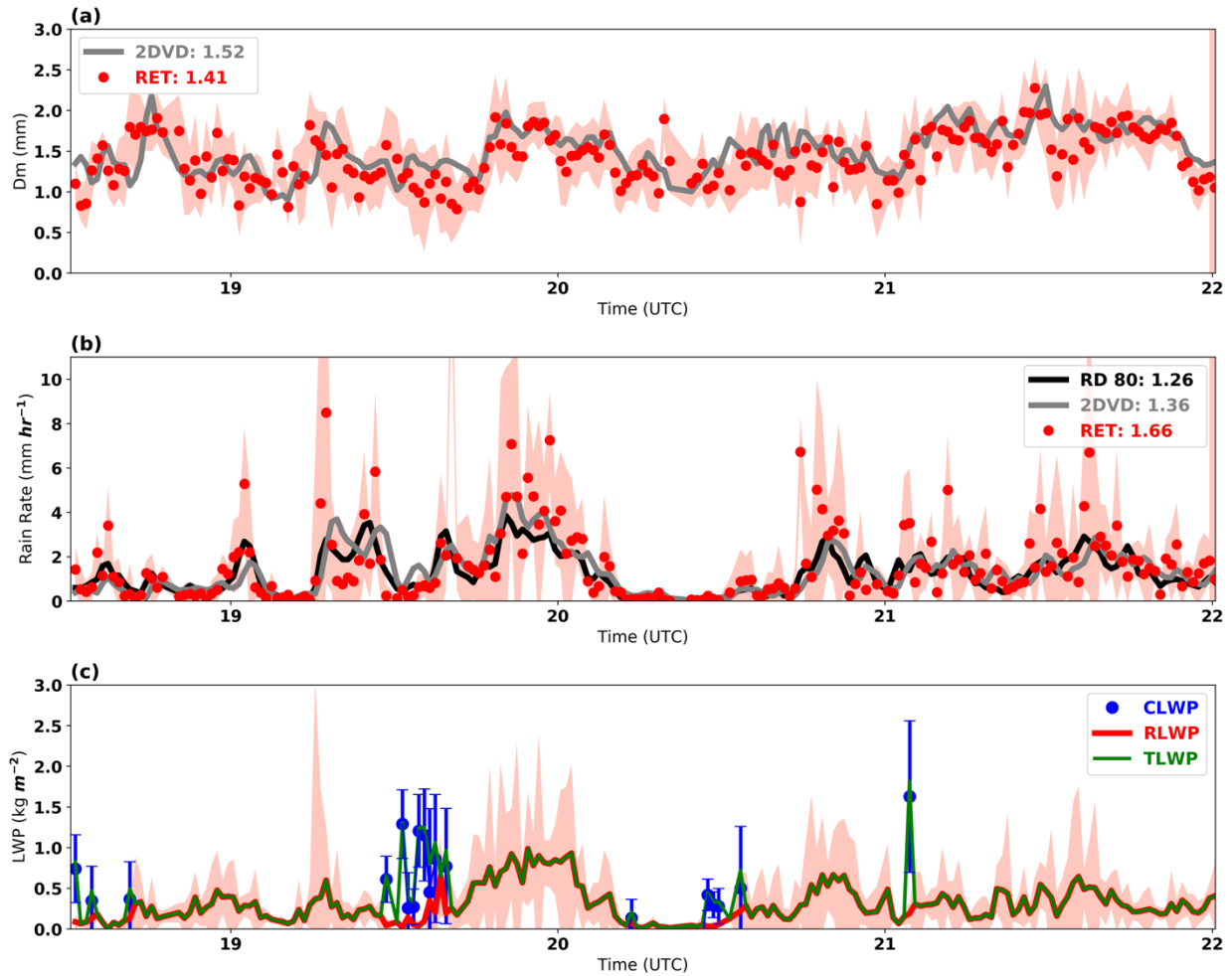
705 **Figure 3.** An example of illustrating the Doppler Velocity Differences (DVD) retrieval algorithm  
 706 at 13:40 UTC on May 20, 2011. The inputs of the DVD retrieval algorithm are: (a) 3-GHz vertical  
 707 pointing radar reflectivity factor ( $Z_e$ ), (b) 3-GHz radar Doppler velocities ( $V_d$ ), (c) 35-GHz radar  
 708 Doppler velocities ( $V_d$ ), and (e) 35-GHz radar spectrum variances (SV). The Doppler velocity  
 709 difference between 3-GHz and 35 GHz is shown in (d). The outputs of the DVD retrieval  
 710 algorithm are: (f) mass-weighted mean diameter  $D_m$ , (g) rain liquid water content (RLWC), and  
 711 (h) rain rate (RR). Retrieval uncertainties are shown as horizontal thin black lines.  
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714 **Figure 4.** Time series of (a) retrieved (RET) (red dots) and 2DVD surface disdrometer estimated  
 715 (grey line)  $D_m$ , (b) RET (red line), 2DVD (grey line) and RD-80 (black line) surface disdrometer  
 716 rain rate estimates, and (c) retrieved rain liquid water path (RLWP, red dots) for Case A (May 20,  
 717 2011). The red shading areas are the estimated retrieval uncertainties.

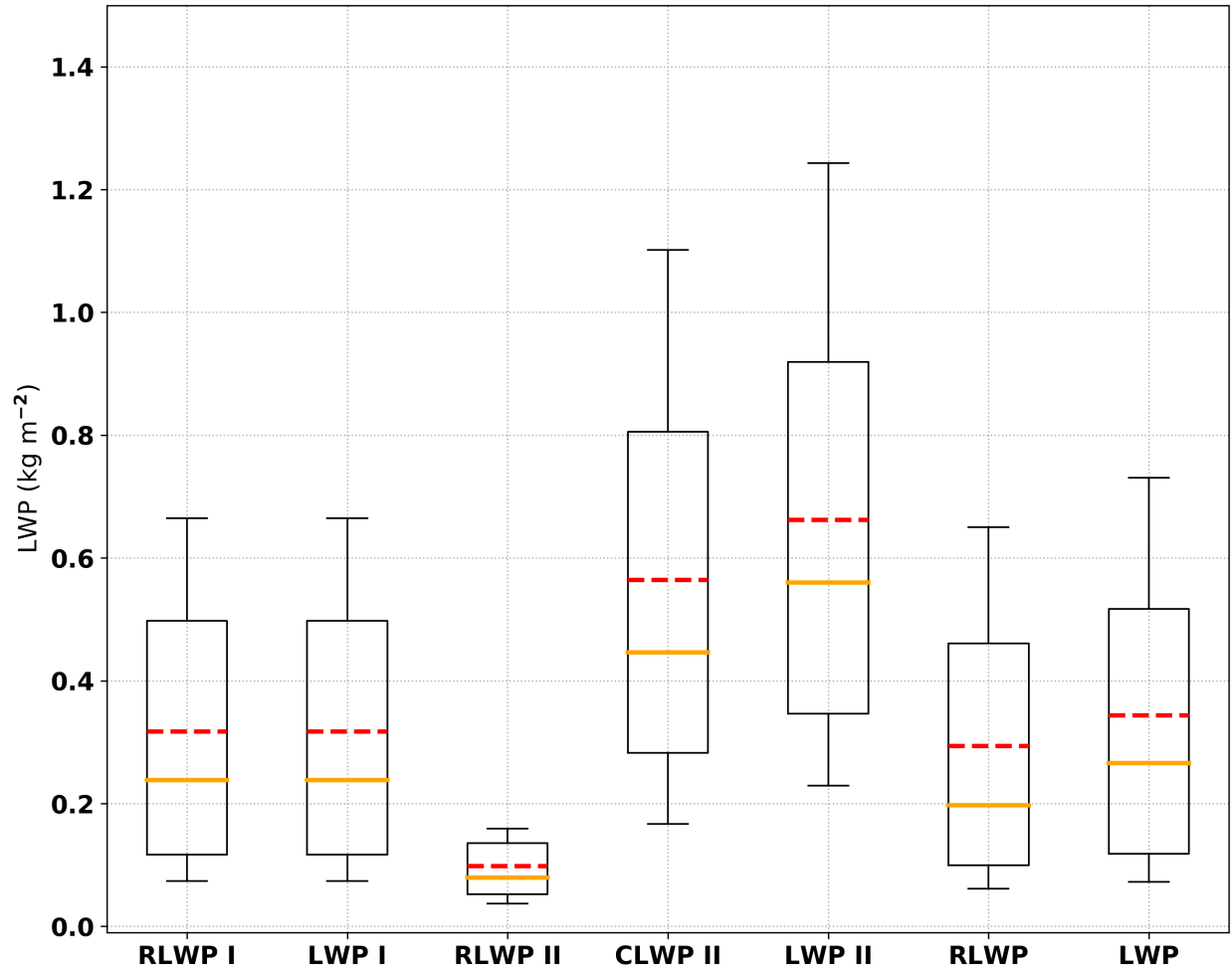
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720 **Figure 5.** Time series of (a) retrieved (RET) (red dots) and 2DVD surface disdrometer estimated  
 721 (grey lines)  $D_m$ , (b) RET (red dots), 2DVD (grey line) and RD-80 (black line) surface disdrometer  
 722 rain rate estimates, and (c) rain liquid water path (RLWP, red line), cloud liquid water path (CLWP,  
 723 blue dots) and liquid water path ( $LWP = RLWP + CLWP$ , green lines) for Case B (May 11, 2011).  
 724 The red shading area and blue bars are the estimated retrieval uncertainties for rain microphysical  
 725 properties ( $D_m$ , rain rate and RLWP) and CLWP.

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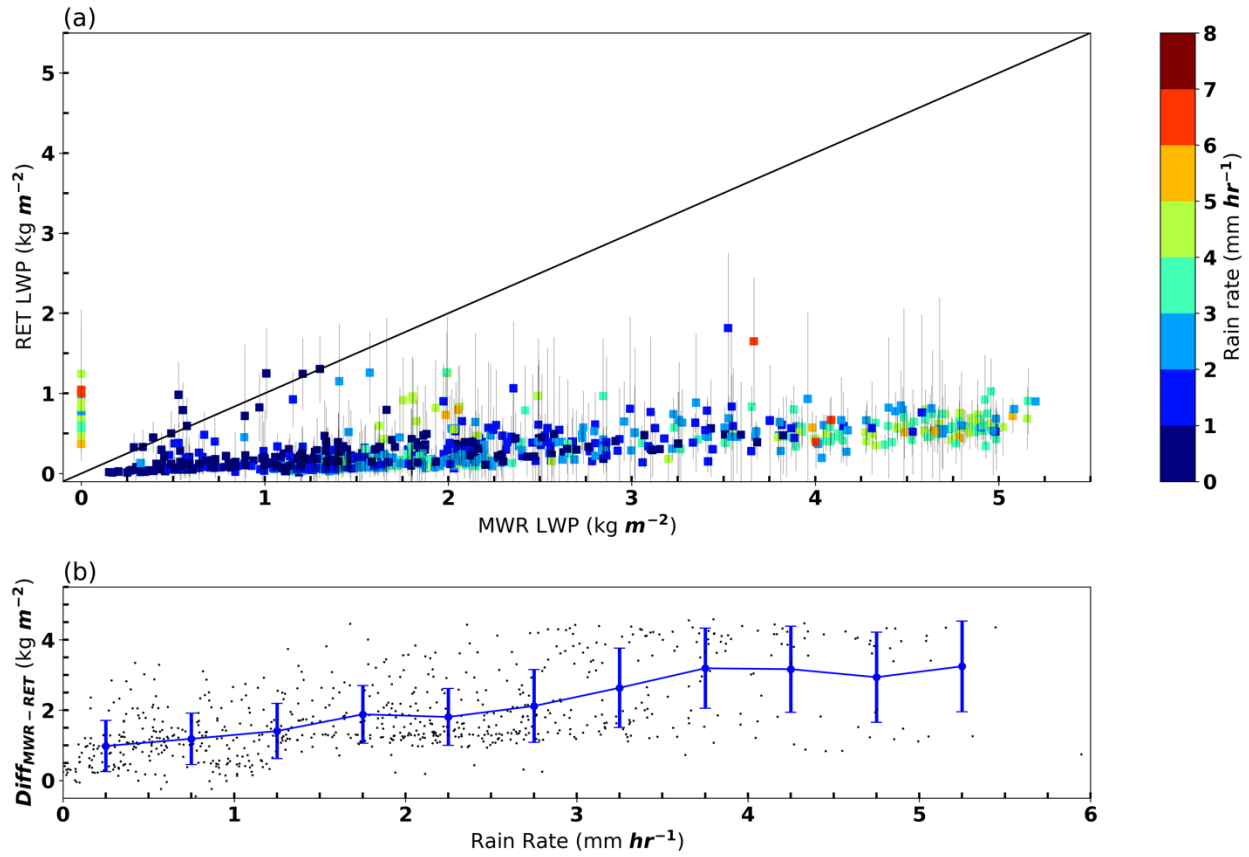


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**Figure 6.** Box and whisker plots of retrieved RLWP, CLWP and LWP for situation (I), (II) and all samples. The horizontal orange line within the box indicates the median, boundaries of the box represent the 25<sup>th</sup>- and 75<sup>th</sup> -percentile, and the whiskers indicate the 10<sup>th</sup>- and 90<sup>th</sup> -percentile values of the results. The red dash lines represent the mean values.

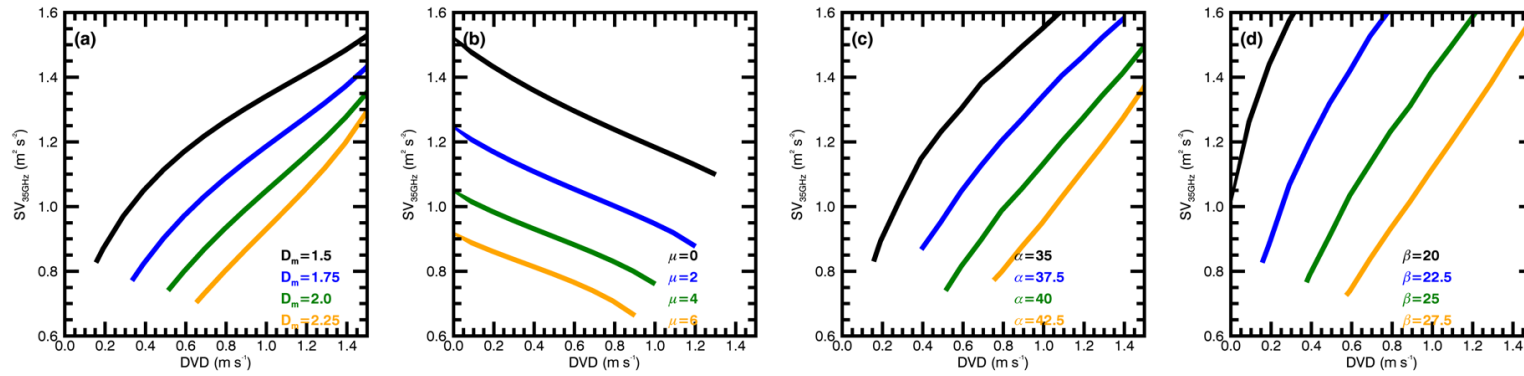


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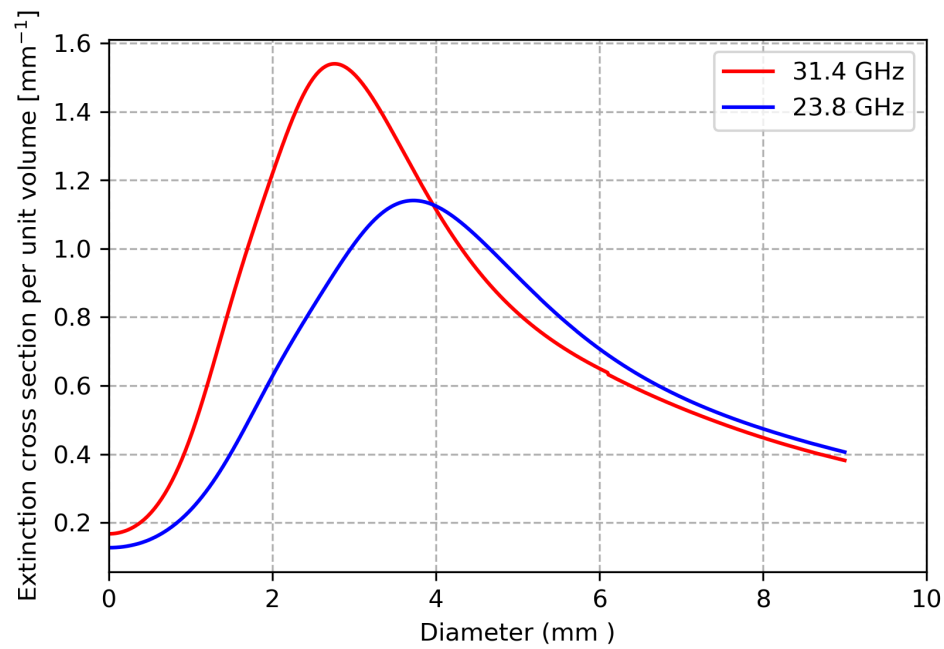
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735 **Figure 7.** (a) Comparisons between LWP from microwave radiometer (MWR, in x-axis) and LWP  
 736 retrievals from this study (RET, in y-axis, with estimated uncertainty in gray lines). The rain rates  
 737 are indicated by colors. (b) the LWP differences between two estimations (MWR- RET), shown  
 738 as a function of rain rate.



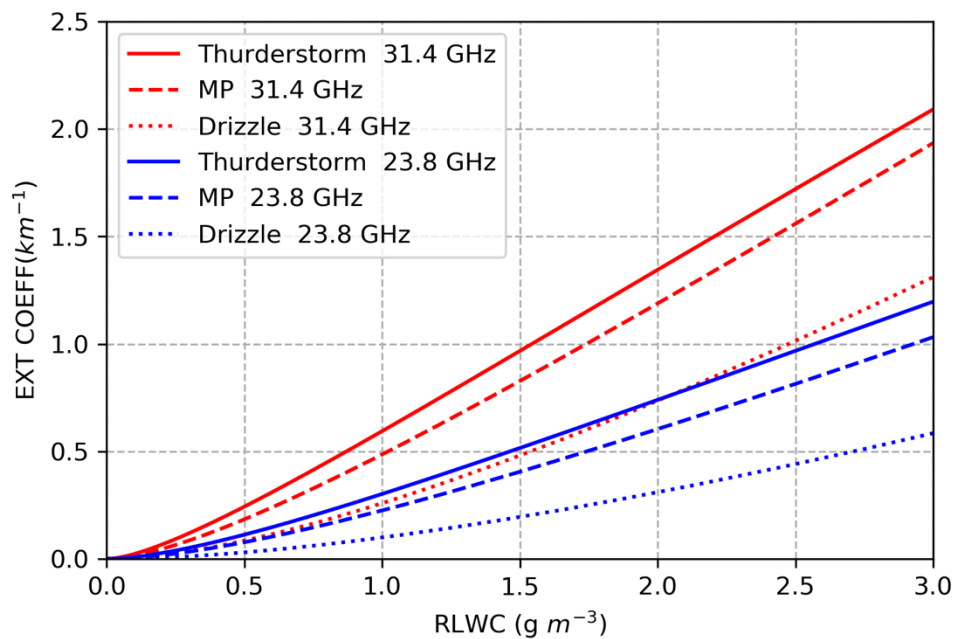
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740 **Figure A.** Comparisons of (a) mass-weighted mean diameter  $D_m$  (mm), (b) shape parameter  $\mu$ , (c) parameter  $\alpha = 10 \log(Z_{3\text{GHz}}/\text{RLWC})$ ,  
 741 and (d) parameter  $\beta = 10 \log(Z_{3\text{GHz}}/\text{RR})$  calculated as functions of Doppler velocity difference (DVD) and spectrum variance at 35 GHz  
 742 ( $\text{SV}_{35\text{GHz}}$ ). Note that the units of RLWC and RR are  $\text{g m}^{-3}$  and  $\text{mm hr}^{-1}$ .



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744 **Figure B.** The extinction cross section per unit volume as a function of the drop equivalent diameter for the two frequencies in MWR (23.8 GHz  
 745 and 31.4 GHz).



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747 **Figure C.** The extinction coefficient as a function of RLWC for precipitations with three different drop size distributions (DSDs), which are for  
 748 heavy precipitation (thunderstorm), moderate precipitation (MP) and drizzle precipitation (drizzle).

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