1	Spectral Intensity Bioaerosol Sensor (SIBS):
2	An Instrument for Spectrally Resolved Fluorescence Detection
3	of Single Particles in Real-Time
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#### 29 Abstract

Primary biological aerosol particles (PBAP) in the atmosphere are highly relevant for the Earth sys-30 31 tem, climate, and public health. The analysis of PBAP, however, remains challenging due to their high diversity and large spatiotemporal variability. For real-time PBAP analysis, light-induced fluo-32 33 rescence (LIF) instruments have been developed and widely used in laboratory and ambient studies. The interpretation of fluorescence data from these instruments, however, is often limited by a lack of 34 35 spectroscopic information. This study introduces an instrument – the Spectral Intensity Bioaerosol Sensor (SIBS, Droplet Measurement Technologies (DMT, Longmont, CO, USA)) - that resolves 36 fluorescence spectra for single particles and, thus, promises to expand the scope of fluorescent PBAP 37 quantification and classification. 38

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40 The SIBS shares key design components with the latest versions of the Wideband Integrated Bioaer-

41 osol Sensor (WIBS) and the findings presented here are also relevant for the widely deployed WIBS-

4A and WIBS-NEO as well as other LIF instruments. The key features of the SIBS and findings ofthis study can be summarized as follows:

- Particle sizing yields reproducible linear responses for particles in the range of 300 nm to 20 μm.
   The lower sizing limit is significantly smaller than for earlier commercial LIF instruments (e.g.,
   WIBS-4A and the Ultraviolet Aerodynamic Particle Sizer (UV-APS)), expanding the analytical
   scope into the accumulation mode size range.
- Fluorescence spectra are recorded for two excitation wavelengths (λ<sub>ex</sub> = 285 and 370 nm) and a
   wide range of emission wavelengths (λ<sub>mean</sub> = 302 721 nm) with a resolution of 16 detection
   channels, which is higher than for most other commercially available LIF bioaerosol sensors.
- 51 Fluorescence spectra obtained for 16 reference compounds confirm that the SIBS provides suffi-
- cient spectral resolution to distinguish major modes of molecular fluorescence. For example, the
   SIBS resolves the spectral difference between bacteriochlorophyll and chlorophyll *a/b*.
- A spectral correction of the instrument-specific detector response is essential to use the full fluo rescence emission range.
- Asymmetry factor (AF) data were assessed and were found to provide only limited analytical
   information.
- In test measurements with ambient air, the SIBS worked reliably and yielded characteristically
- 59 different spectra for single particles in the coarse mode with an overall fluorescent particle frac-
- tion of ~4 % ( $3\sigma$  threshold), which is consistent with earlier studies in comparable environments.

## 61 **1.** Introduction

Aerosol particles are omnipresent in the atmosphere, where they are involved in many environmental 62 and biogeochemical processes (e.g., Baron & Willeke, 2001; Després et al., 2012; Fuzzi et al., 2006; 63 Hinds, 1999; Pöschl, 2005; Pöschl & Shiraiwa, 2015). Primary biological aerosol particles (PBAP), 64 65 also termed bioaerosols, represent a diverse group of airborne particles, consisting of whole or fragmented organisms including, e.g., bacteria, viruses, archaea, algae, and reproductive units (pollen 66 67 and fungal spores), as well as decaying biomass (e.g., Deepak & Vali, 1991; Després et al., 2012; Fröhlich-Nowoisky et al., 2016; Jaenicke, 2005; Madelin, 1994; Pöschl, 2005) and can span sizes 68 from few nanometers up to ~100 µm (Hinds, 1999; Schmauss and Wigand, 1929). The increasing 69 awareness of the importance of PBAP regarding aerosol-cloud interactions, health aspects, and 70 spread of organisms on local, continental or even intercontinental scales has led to a growing interest 71 by scientific researchers and the public (e.g., Després et al., 2012; Fröhlich-Nowoisky et al., 2016; 72 Yao, 2018). 73

Due to inherent limitations (e.g., poor time resolution and costly laboratory analyses) of tradi-74 tional off-line techniques (e.g., light microscopy and cultivation-based methods) for PBAP quantifi-75 76 cation, several types of real-time techniques have been developed within the last several decades to provide higher time resolution and lower user costs (e.g., Caruana, 2011; Després et al., 2012; 77 Fennelly et al., 2017; Ho, 2002; Huffman and Santarpia, 2017; Jonsson and Tjärnhage, 2014; Sodeau 78 and O'Connor, 2016). One promising category of real-time instruments - meaning that particles are 79 80 sampled and analyzed both instantly and autonomously - involves application of light- induced fluorescence (LIF). The main principle of this technique is the detection of intrinsic fluorescence from 81 82 fluorophores ubiquitous in biological cells, such as those airborne within PBAP. These fluorophores include a long list of biological molecules such as aromatic amino acids (e.g., tryptophan and tyro-83 84 sine), co-enzymes (e.g., reduced pyridine nucleotides (NAD(P)H)), flavin compounds (e.g., ribofla-85 vin), as well as biopolymers (e.g., cellulose and chitin) and chlorophyll (e.g., Hill et al., 2009; Li et al., 1991; Pan et al., 2010; Pöhlker et al., 2012, 2013). Detailed information of biological fluorophores 86 87 can be found elsewhere (Pöhlker et al., 2012 and references therein).

Today, commercial on-line LIF instruments such as the Ultraviolet Aerodynamic Particle Sizer 88 (UV-APS, TSI Inc. Shoreview, MN, USA) and the Wideband Integrated Bioaerosol Sensor (WIBS, 89 developed by the University of Hertfordshire, U.K. and currently licensed and manufactured by 90 Droplet Measurement Technologies (DMT, Longmont, CO, USA)) are commonly applied for re-91 search purposes. Detailed descriptions of the UV-APS (e.g., Agranovski et al., 2003; Brosseau et al., 92 2000; Hairston et al., 1997) and the WIBS series (e.g., Foot et al., 2008; Kaye et al., 2000, 2005; 93 Stanley et al., 2011) are given elsewhere. Concisely, the UV-APS uses an  $\lambda_{ex}$  = 355 nm laser excita-94 tion source and spans an emission range between  $\lambda_{em}$  = 420-575 nm. In contrast, the WIBS applies 95

96 two pulsed xenon flash lamps emitting at  $\lambda_{ex}$ = 280 and 370 nm, whereas fluorescence emission is detected in three detection channels,  $\lambda_{em}$  = 310 - 400 nm (at  $\lambda_{ex}$  = 280 nm) and  $\lambda_{em}$  = 420 - 650 nm (at 97  $\lambda_{ex}$  = 280 and 370 nm). Both instruments provide spectrally unresolved fluorescence information. The 98 latest WIBS model is currently the WIBS-NEO, whose design is based on a WIBS-4A but with an 99 extended particle size detection range between ~500 nm and 30 µm (nominal). Both UV-APS and 100 WIBS models have been examined in a variety of laboratory validations (e.g., Agranovski et al., 101 2003, 2004; Brosseau et al., 2000; Healy et al., 2012; Hernandez et al., 2016; Kanaani et al., 2007; 102 103 O'Connor et al., 2013; Saari et al., 2013, 2014; Savage et al., 2017; Toprak & Schnaiter, 2013) and have been deployed to investigate both indoor and outdoor atmospheric aerosol via longer-term 104 measurements (e.g., Bhangar et al., 2014; Calvo et al., 2018; Crawford et al., 2016; Fernández-105 Rodríguez et al., 2018; Foot et al., 2008; Gabey et al., 2010, 2013; Gosselin et al., 2016; Healy et al., 106 2014; Huffman et al., 2010, 2012, 2013; Ma et al., 2019; Perring et al., 2015; Schumacher et al., 107 2013; Twohy et al., 2016; Ziemba et al., 2016). 108

109 Although LIF instruments do not offer the same qualitative ability to identify sampled particles as, e.g., off-line microscopy, mass spectrometry, or culture-based methods, they provide size-re-110 solved information as well as fast sampling and fine-scale temporal information for single particles 111 not accessible with off-line techniques. Nevertheless, these instruments present significant chal-112 113 lenges. For example, quantification of PBAP by LIF instruments is hindered by the fact that some biological materials reveal weak fluorescence characteristics that does not rise above detection 114 115 thresholds (Huffman et al., 2012). In addition to this complication, the detection threshold is not a 116 universally defined parameter and varies for each channel between different units of the same type of instruments (e.g., Hernandez et al., 2016; Savage et al., 2017). Furthermore, unambiguous spec-117 troscopic characterization of bioparticles is fundamentally challenging, because fluorescence spectra 118 119 of even individual molecules in condensed matter are relatively broad due to radiative decay pathways of excited electrons. Further, bioparticles are chemically complex, each comprised of a mixture 120 of at least dozens of types of fluorophores that can each emit a unique emission spectrum that smears 121 together into an even broader fluorescence spectrum from each particle (Hill et al., 2009, 2015; Pan, 122 2015). Another difficulty is that many non-biological particles, such as certain mineral dusts and 123 polycyclic aromatic hydrocarbons (PAHs), may fluoresce, making it more difficult to distinguish 124 patterns arising from biological particles (e.g., Pöhlker et al., 2012 and references therein; Savage et 125 al., 2017). Lastly, most currently available commercial LIF instrumentation are limited to recording 126 data in 1-3 spectrally integrated emission channels, which limits the interpretation of fluorescence 127 information. Recent efforts to apply more complex clustering algorithms to the spectrally unresolved 128 WIBS-type data are proving helpful at adding additional discrimination (e.g., Crawford et al., 2015; 129 Robinson et al., 2013; Ruske et al., 2017; Savage & Huffman, 2018). For example, it was shown for 130

131 a rural forest study in Colorado that a cluster derived using WIBS-3 data, assigned to fungal spores (Crawford et al., 2015), correlated well with the mass concentration of molecular fungal tracers (e.g., 132 arabitol and mannitol) measured with offline chemical techniques (Gosselin et al., 2016). In contrast, 133 the clusters in the same study that were assigned to bacteria correlated only poorly with endotoxins, 134 used as bacterial molecular tracers (Gosselin et al., 2016). This provides evidence of a limitation to 135 using LIF instrumentation with low spectral resolution to separate or identify some PBAP types. 136 Additionally, the bacterial cluster allocation might have also been hampered in that case by the min-137 imum detectable particle size of the WIBS (~0.8 µm), resulting in a lower detection efficiency for 138 bacteria. 139

140 The evolution of LIF techniques over the last several decades has significantly expanded our knowledge on spatiotemporal patterns of PBAP abundance in the atmosphere. Nevertheless to further 141 improve the applicability of LIF instrumentation to widespread PBAP detection, it is necessary both 142 to design LIF instrumentation with adequate instrumental properties (e.g., high spectral resolution) 143 and to standardize their operation by characterizing instruments thoroughly with known standards 144 145 (Robinson et al., 2017). Working toward this goal, a number of LIF instruments have been developed 146 to analyze single bioparticles by collecting resolved fluorescence spectra (e.g., Hill et al., 1999; Pan et al., 2010, 2003; Pinnick et al., 2004; Ruske et al., 2017), however relatively little has been done to 147 148 offer these commercially. Examples for commercially available instruments providing resolved fluorescence spectra are the PA-300 ( $\lambda_{ex}$ = 337 nm;  $\lambda_{em}$ = 390 – 600 nm, 32 fluorescence detection chan-149 nels) (Crouzy et al., 2016; Kiselev et al., 2011, 2013) and the follow-up model Rapid-E ( $\lambda_{ex}$ = 337) 150 151 nm;  $\lambda_{em}$  = 350 – 800 nm, 32 fluorescence detection channels) (<u>http://www.plair.ch/</u>), both manufactured by Plair SA, Geneva, Switzerland. In addition to collecting resolved fluorescence spectra, both 152 instruments also provide measurements of the decay of fluorescence signals, also referred to as flu-153 orescence lifetime. 154

Introduced here is an instrument for the detection and characterization of individual particles; the 155 Spectral Intensity Bioaerosol Sensor (SIBS, Droplet Measurement Technologies). Technical proper-156 ties of the instrument are described in detail and its performance is validated with sizing and fluores-157 cence particle standards, as well as with particles in ambient air. Due to the dual excitation and spec-158 trally resolved fluorescence in combination with a broad size detection range, the SIBS has the po-159 tential to increase the selectivity of fluorescent biological and non-biological particle detection and 160 161 discrimination. Because the SIBS uses a comparable optical system as the WIBS-4A and WIBS-162 NEO, technical details presented here are broadly important to a growing community of scientists investigating both indoor and outdoor aerosol. Insights and data presented will thus contribute to 163 ongoing discussions within the community of LIF users and will also stimulate discussions about 164 165 needs for future instrument improvements.

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#### 2. Materials and methods 166

#### 2.1 **Chemicals and materials** 167

168 Supplemental table S1 summarizes 19 polystyrene latex spheres (PSLs, 5 doped with fluorescent dye) and 6 polystyrene divinylbenzene (PS-DVB) particles, which were purchased from Thermo 169 Fisher (Waltham, MA, USA), Bangs Laboratories Inc. (Fishers, IN, USA), Duke Scientific Corp. 170 (Palo Alto, CA, USA), and Polysciences Inc. (Warrington, PA, USA). A detailed study regarding 171 172 steady-state fluorescence properties of PSLs and PS-DVB particles used within this study can be found in Könemann et al. (2018). Additionally, we analyzed particles comprised separately of seven 173 174 pure biofluorophores (tyrosine, tryptophan, NAD, riboflavin, chlorophyll a and b, and bacteriochlo-175 rophyll) (Table S2) as well as one microorganism (Saccharomyces cerevisiae; baker's yeast, bought 176 at a local supermarket). Table S2 also includes reference particles used for asymmetry measurements, namely iron oxide (Fe<sub>3</sub>O<sub>4</sub>), carbon nanotubes, and ammonium sulfate. Ultrapure water (MilliQ, 18 177 178 M $\Omega$ ) and  $\geq$  99.8 % ethanol (CAS Nr. 64-17-5, Carl Roth GmbH und Co. KG, Karlsruhe, Germany) 179 were used as solvents.

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#### 2.2 Aerosolization of reference particles

182 PSLs were aerosolized from aqueous suspensions with a portable aerosol generator (AG-100; DMT). For both fluorescent and non-fluorescent PSLs, one drop of the suspension (or alternatively three 183 drops for 3 and 4 µm PSLs) was diluted into 10 ml ultrapure water in plastic medical nebulizers 184 185 (Allied Healthcare, St. Louis, MO, USA). The majority of water vapor from the aerosolization process condenses inside the mixing chamber (~570 cm<sup>3</sup>) of the aerosol generator. By using a tempera-186 ture and relative humidity (RH) sensor (MSR 145 data logger, MSR Electronics GmbH, Seuzach, 187 Switzerland) monitoring the flow directly after the aerosol generator we measured RH values of 188 ~33% (sample flow: 1.4 l/min, dilution: 5 l/min), ~39% (sample flow: 1.4 l/min, dilution: 4 l/min), 189 and ~54% (sample flow: 2.3 l/min, dilution: 2 l/min). Because of the low RH measured, we did not 190 use additional drying (e.g., diffusion dryer) to decrease humidity in the sample flow. Hence, the outlet 191 of the aerosol generator was directly connected to the SIBS inlet with ~30 cm of conductive tubing 192  $(1/_4 \text{ inch})$ . PSLs were measured for 1 min. Non-fluorescent 4.52 µm PSLs were measured for 2 min, 193 because of the low number concentrations due to poor aerosolization efficiency and gravitational 194 195 settling of larger particle sizes.

S. cerevisiae was analyzed using a method similar to the one stated above, with the exceptions 196 that the suspension was prepared with a spatula tip of material mixed into ultrapure water and that a 197 diffusion dryer (20 cm, 200 g silica) was added to remove excess water vapor. S. cerevisiae was 198

measured for 5 min. Chlorophyll *a*, *b*, and bacteriochlorophyll samples were diluted in 10 ml ethanol.
Between each measurement, the setup was cleaned by aerosolizing ultrapure water for 5 min.

PS-DVB particles and biofluorophores (Table S1 and S2) were aerosolized in a dry state. For this 201 purpose, air at a flowrate of ~0.6 l/min was sent through a HEPA filter into a 10 ml glass vial. A 202 small amount of each solid powder sample (~1 g) was placed inside the vial and entrained into the 203 particle-free airstream. Additionally, the sample was physically agitated by tapping the vial. The 204 outlet was connected with ~20 cm conductive tubing into the inlet of the SIBS. The tubing and glass 205 206 vial were cleaned after each measurement to prevent particle contaminations from previous measurements. Each powder was sampled until cumulative number concentrations > 5000 particles were 207 reached. 208

In contrast to the monodisperse and spherical PSL standards, the biofluorophore aerosolization process provided a polydisperse and morphologically heterogeneous particle distribution with significant particle fractions at sizes  $< 1 \mu m$ . Therefore, we only used particles in a size range between 1 and 2  $\mu m$  with sufficient fluorescence intensity values for subsequent data analysis. The only exceptions are the chlorophyll types, where a size range between 0.5 and 2  $\mu m$  (chlorophyll *a* and *b*) and 0.5 and 1  $\mu m$  (bacteriochlorophyll) were used due to a less efficient particle aerosolization.

The fluorescent background of the SIBS was measured daily by firing the xenon lamps into the 215 216 optical chamber in the absence of particles (forced trigger mode). In this case, the diaphragm pump was turned off and the inlet blocked to prevent particles reaching the optical chamber. One forced 217 218 trigger mode was performed per day with 100 xenon shots per min over a duration of 5 min. The 219 average background signal (+ 1 $\sigma$  standard deviation (SD)) was subtracted from derived fluorescence 220 emission of each sample. Additionally, the background signal was reviewed periodically between each biofluorophore measurement to verify that, e.g., optical components were not coated with resi-221 222 dues from previous measurements. No significant changes in background signal were observed between individual measurements. Optimization of the thresholding strategy is still an on-going work, 223 224 for example to investigate whether the often applied 3σ threshold used for the WIBS (e.g., Gabey et al., 2010) also works well with respect to the optical setup of the SIBS. For the assessment of the 225 226 accuracy of measured fluorescence emissions from reference compounds, a threshold of  $1\sigma$  was used 227 here.

For particle asymmetry measurements, iron (II, III) oxide (Fe<sub>3</sub>O<sub>4</sub>), carbon nanotubes, and ammonium sulfate were aerosolized in dry state, and 2  $\mu$ m non-fluorescent PSLs and ultrapure water were aerosolized with the aerosol generator method outlined above with SIBS integration times of 3 min in all cases. Due to the broad distribution of asymmetry factor (AF) values for particles below 1  $\mu$ m, only the size fraction  $\geq$  1  $\mu$ m was used for subsequent analyses. Furthermore, we observed that AF bins between 0 and 1, and AF bin 100 tend to produce increased signal responses, especially for high 234 particle concentrations, for which they were discarded within the analyses. The origin of this effect is unknown. However, one explanation could be optical coincidences caused by high particle con-235 centrations, resulting in multiple particles being simultaneously present within the scattering volume, 236 as reported by Cooper (1988) using forward-scattering signatures of cloud probes. 237

For collection of particles for microscopy measurements, the sample flow was bypassed and led 238 through a custom-made particle impactor, which was connected to a mass flow controller (D-6321-239 DR, Bronkhorst High-Tech B.V., Ruurlo, Netherlands) and a membrane pump (N816.1.2KN.18, 240 KNF, Freiburg, Germany). Particles were collected out of the sample flow onto glass cover slips (15 241 mm diameter) at a flow rate of 2 l/min over a duration of 1 min. 242

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#### 2.3 **Reference fluorescence spectra**

245 A Dual-FL fluorescence spectrometer (Horiba Instruments Incorporated, Kyoto, Japan) was used as an offline reference instrument to validate the SIBS spectra. Aqualog V3.6 (Horiba) software was 246 247 used for data acquisition. The spectrometer was manufacturer-calibrated with NIST Fluorescence Standard Reference Materials (SRMs 2940, 2941, 2942, and 2943). Aforementioned standard fluor-248 ophores were analyzed using the SIBS excitation wavelengths at  $\lambda_{ex} = 285$  and 370 nm. The Dual-249 FL<sup>1</sup> spectrometer uses a xenon arc lamp as excitation source and a CCD (charge-coupled device) as 250 emission detector, capable of detecting fluorescence emission between 250 and 800 nm. Unless oth-251 erwise stated, a low detector gain setting (2.25 e<sup>-</sup> per count) and an emission resolution of 0.58 nm 252 was used for all measurements with the Dual-FL. Subsequently, we use the term "reference spectra" 253 for all measurements performed with the Dual-FL. In total, 100 individual spectra were recorded for 254 255 each sample and averaged spectra were analyzed in Igor Pro (Wavemetrics, Lake Oswego, Oregon 256 USA). Background measurements (solvent in the absence of particles) were taken under the same 257 conditions as for sample measurements and subtracted from the emission signal. For direct comparison to spectra recorded by the SIBS, reference spectra were re-binned by taking the sum of the 258 259 fluorescence intensity within the spectral bin width of each SIBS detection channel (Table 1).

260 For PSL measurements, 1.5 µl of each PSL stock solution was diluted in 3.5 ml ultrapure water in a 10 x 10 x 40 mm UV quartz cuvette (Hellma Analytics, Müllheim, Germany) and constantly 261 stirred with a magnetic stirrer to avoid particle sedimentation during measurements. Chlorophyll a 262 263 and b and bacteriochlorophyll were handled equally, however concentrations were individually adjusted to prevent the detector from being saturated and to avoid self-quenching or inner filter effects 264 (Sinski and Exner, 2007). Concentrations were used as follows: chlorophyll a: 300 nmol/l, chloro-265 phyll b: 1 µmol/l, and bacteriochlorophyll: 3 µmol/l. PSLs, chlorophyll b, and bacteriochlorophyll 266

<sup>&</sup>lt;sup>1</sup> Technical information taken from Dual-FL operation manual, rev. A, 30 NOV 2012; Horiba.

267 measurements were performed with an integration time of 2 s. For chlorophyll a an integration time 268 of 1 s was used.

All other biofluorophores, S. cerevisiae, and PS-DVB particles were measured in dry state using 269 a front surface accessory (Horiba). The sample was placed into the surface holder and covered with 270 a synthetic fused silica window. To limit detector saturation from more highly fluorescent particle 271 types, the surface holder was placed at a 70° angle to the fluorescence detector for NAD and ribofla-272 273 vin, 75° for tyrosine, 80° for S. cerevisiae, and 85° for tryptophan and PS-DVB particles and subsequently excited at  $\lambda_{ex}$ =285 and 370 nm. Emission resolution and detector gain settings were used as 274 for measurements of samples in solution, except for an integration time of 1 s for all dry samples. 275 276 Background measurements were performed as described above and subtracted from each sample. 277 Excitation-emission matrices (EEMs) were measured with the same samples as for single wavelength measurements. EEMs were recorded at excitation wavelengths between  $\lambda_{ex} = 240$  and 800 nm (1 nm 278 increments) and an emission range between  $\lambda_{em} = 247$  and 829 nm (0.58 nm increments). Exposure 279 times of 1 s were used, except for 2 µm green, 3 µm non-fluorescent PSLs (2 s), and NAD (0.5 s). 280 EEMs were analyzed using Igor Pro. 281

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### 283 2.4 Calibration lamps and spectral correction

The relative responsivity of a fluorescence detector can vary substantially across its emission range and, therefore, must be spectrally corrected as a function of emission wavelength (e.g., DeRose, 2007; Lakowicz, 2004). For spectral correction it was important to choose: (i) light sources covering the full spectral emission range of the SIBS, with temporal stability on the timescale of many months and (ii) a calibrated and independent spectrometer to serve as spectral reference.

289 A deuterium-halogen lamp (DH-Mini; Ocean Optics, Largo, FL, USA) and a halogen projector 290 lamp (EHJ 24 V, 250 W; Ushio Inc., Tokyo, Japan) were used as calibration light sources. Both lamps were connected to a 50 cm optical fiber (FT030, Thorlabs, Newton, NJ, USA) and vertically 291 292 fixed inside the optical chamber of the Dual-FL spectrometer. An aluminum mirror was attached to 293 the end fitting of the optical fiber, reflecting light in a 90° angle into the detector opening. The pro-294 jector halogen lamp was allowed to warm up for 30 s before each measurement. For all power levels 295 (100, 150, 200, and 250 W), an integration time of 3 s was used. The DH-Mini was operational for 296 30 min before each measurement. Settings were used as for the projector halogen lamp, however, 297 due to the low emission a high detector gain setting (9 e<sup>-</sup> per count) was used with an integration time 298 of 25 s. As described in Sect. 2.3, 100 single measurements were taken and averaged (Fig. S1). For the SIBS, both light sources were measured in the same way as for the reference spectra. Measure-299

ments were performed with a detector amplification at 610 V (see Sect. 4.2). Background measurements were taken as described in Sect. 2.2. Projector halogen lamp spectra (at all power levels) were
recorded for 3 min, the DH-Mini, due to its low emission intensity, for a duration of 5 min.

For the halogen projector lamp, averaged intensity values in each spectral bin were acquired at 303 each power level (150, 200, and 250 W). Spectra measured at 100 W were discarded due to the low 304 and unstable emission at wavelengths shorter than ~500 nm (Fig. S1). Reference spectra and spectra 305 recorded by the SIBS were normalized onto the SIBS detection channel 9 ( $\lambda_{mean} = 528.0 \text{ nm}$ ), which 306 is, theoretically, the detection channel with the highest responsivity (see Sect. 4.3). The individual 307 spectral correction factors were calculated by dividing the reference spectra by the spectra derived 308 309 from the SIBS. The final correction factors are a combination of both light sources where the detection channels 1-5 ( $\lambda_{mean} = 302.2 - 415.6$  nm) include the correction factors for the DH-Mini and the 310 detection channels 6-16 ( $\lambda_{mean} = 443.8 - 721.1$  nm) the correction factors for the halogen projector 311 lamp. At the intersection between channel 5 and 6, both corrections (DH-Mini, halogen) are in good 312 agreement ( $\Delta_{\text{correction}} = 0.6$  in channel 6). For all particle measurements described in the following 313 sections, the background signal and raw sample spectra recorded by the SIBS were multiplied by 314 315 those correction factors.

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## 2.5 Microscopy of selected reference particles

Bright field microscopy was conducted using an Eclipse Ti2 (Nikon, Tokyo, Japan) with a 60x immersion oil objective lens and an additional optical zoom factor of 1.5, resulting in a 90x magnification. Glass cover slips, used as collection substrates in the particle impactor (Sect. 2.2), were put onto a specimen holder and fixed with tape. Images were recorded using a DS Qi2 monochrome microscope camera with 16.25 megapixels and z-stacks of related images were created using the software NIS-Elements AR (both Nikon).

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## 325 **2.6** Ambient measurement setup and data analysis

The SIBS was operated between the 5<sup>th</sup> of April to the 7<sup>th</sup> of May 2018 from a fourth floor roof 326 laboratory at the Max Planck Institute for Chemistry in Mainz, Germany (49°59'28.2"N, 327 8°13'44.5"E) similar to measurements as described in Huffman et al. (2010) using a UV-APS. The 328 period between the 12<sup>th</sup> and 18<sup>th</sup> of April 2018 is described here to highlight the capability of the 329 SIBS to monitor ambient aerosol. Beside of the SIBS, four additional instruments (data not shown 330 within this study) were connected with ~20 cm conductive tubing ( $1/_4$  inch) to a sample airflow 331 splitter (Grimm Aerosol Technik GmbH & Co. KG, Ainring, Germany). The splitter was connected 332 to 1.5 m conductive tubing ( $\frac{5}{8}$  inch), bent out of the window, and connected to 2.4 m stainless steel 333

tubing (5/8 inch, Dockweiler AG, Neustadt-Glewe, Germany) vertically installed. Between a TSP 334 head (total suspended particles, custom-made) and the stainless steel tubing, a diffusion dryer (1 m, 335 1 kg silica) was installed. Silica was exchanged every third to fourth day and periodic forced trigger 336 337 measurements were performed daily. The total flow was ~8.4 l/min.

For measurements presented here, particles were only included if they showed fluorescence emis-338 sion in at least two consecutive spectral channels. This filter was applied to limit noise introduced 339 from measurement artifacts from a variety of sources and will need to be investigated in more detail. 340 341 The conservative analysis approach here suggests that the values reported are likely to be a lower limit for fluorescent particle number and fraction. The observations are in line with previous meas-342 343 urements, however, giving general support that the SIBS measurements are reasonable. Note that the maximum repetition rate of the xenon lamps is 125 Hz, corresponding to maximum concentrations 344 345 of 20 particles per cm<sup>-3</sup> (see Sect. 3.3). Because ~50% of the total coarse particle number were excited 346 by xenon 1 and xenon 2, the fluorescent particle concentrations and fluorescent fractions are cor-347 rected accordingly.

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#### 3. 349

#### **Design and components of the SIBS**

The SIBS is based on the general optical design of the WIBS-4A (e.g., Foot et al., 2008; Healy et al., 350 351 2012; Hernandez et al., 2016; Kaye et al., 2005; Perring et al., 2015; Robinson et al., 2017; Savage 352 et al., 2017; Stanley et al., 2011) with improvements based on a lower particle sizing limit, resolved fluorescence detection, and a broader emission range. The instrument provides information about 353 size, particle asymmetry, and fluorescence properties for individual particles in real-time. The exci-354 tation wavelengths are optimized for the detection of the biological fluorophores tryptophan, 355 NAD(P)H, and riboflavin. However, other fluorophores in PBAP will certainly fluoresce at these 356 excitation wavelengths as many of them cluster in two spectral fluorescence "hotspots" as summa-357 358 rized in Pöhlker et al. (2012 and references therein) and as shown for WIBS-4A measurements by Savage et al. (2017). Figure 1 shows an overview of excitation wavelengths and emission ranges of 359 the UV-APS, WIBS-4A, WIBS-NEO, and SIBS for bioaerosol detection in relation to the spectral 360 361 location of selected biofluorophores, such as tyrosine, tryptophan, NAD(P)H, riboflavin, and chlorophyll b. At  $\lambda_{ex} = 285$  nm, the SIBS excites fluorophores in the "protein hotspot", at  $\lambda_{ex} = 370$  nm 362 fluorophores in the "flavin/coenzyme hotspot" (Pöhlker et al., 2012). In contrast to the UV-APS, the 363 364 SIBS is able to detect fluorescence signals from chlorophyll due to the extended upper spectral range of detection (up to  $\lambda_{em} = 721$  nm). Both the WIBS-4A and WIBS-NEO cover the spectral emission 365 366 range for chlorophyll b, however, cannot provide resolved spectral information to separate it from 367 other fluorophores. Table 2 summarizes and compares parameters and technical components of the

SIBS, WIBS-4A, and WIBS-NEO. The individual components are described in detail in the subse-quent sections.

To avoid potential misunderstanding, it is important to note that the SIBS described in this study is not related to spark-induced breakdown spectroscopy instrumentation, which uses the same acronym (e.g., Bauer & Sonnenfroh, 2009; Hunter et al., 2000; Khalaji et al., 2012; Schmidt & Bauer, 2010). The DMT SIBS discussed here was recently used as part of a study investigating aerosols in several ambient outdoor environments (Nasir et al., 2018), but the study here is the first to discuss important technical details of the instrument design and operation.

376

## 377 **3.1** Aerosol inlet and flow diagram

The design for the aerosol inlet of the SIBS is identical to the inlet of the WIBS-4A and WIBS-NEO. A detailed flow diagram is shown in Figure 2a. Aerosol is drawn in via an internal pump as laminar air flow through a tapered delivery nozzle (Fig. 2a(1)) where sheath (~2.2 l/min) and sample flow (~0.3 l/min) are separated.

382

## 383 **3.2** Size and shape analysis

After passing the delivery nozzle, entrained particles traverses a 55 mW continuous-wave diode laser 384 385 at  $\lambda = 785$  nm (position #1 in Fig. 2b and #2 in Fig. S2). Unlike in the WIBS-4A and WIBS-NEO (635 nm diode laser), the triggering laser in the SIBS is in the near-infrared (IR) region (> 700 nm) 386 and, therefore, outside the detectable emission range of the 16-channel photomultiplier tube (PMT) 387 to avoid scattered light from the particle trigger laser being detected (see Fig. 1). The side and forward 388 scattered light is collected and used for subsequent measurements. Side scattered light is collected 389 by two concave mirrors, which are directed at 90° from the laser beam axis, and reflect the collected 390 light onto a dichroic beam splitter (#7 in Fig. S2). A PMT (H10720-20, Hamamatsu Photonics K.K., 391 392 Japan) converts incoming light signals into electrical pulses, which are used for particle triggering and sizing (#6 in Fig. S2). For the determination of the optical particle size, the SIBS uses a calculated 393 calibration curve according to the Lorenz-Mie Theory, assuming spherical and monodisperse PSLs 394 with a refractive index of 1.59 (Brandrup et al., 1989; Lorenz, 1890; Mie, 1908). Compared to aero-395 dynamic sizing, which depends on particle morphology and density (e.g., Reid et al., 2003; Reponen 396 et al., 2001), the calculated optical diameter can vary significantly if the assumption of sphericity is 397 not fulfilled. In contrast, optical sizing is not as affected by differences in material density. The in-398 399 strument operator must thus be aware of uncertainties in measured particle size due to, e.g., particle 400 morphology, spatial orientation of a particle when traversing the trigger laser or changing refractive 401 indices. In contrast to the WIBS-4A, the SIBS and WIBS-NEO detect the full range of particle sizes

402 (SIBS: ~0.3 and 100 μm (nominal); WIBS-NEO: ~0.5 and 30 μm (nominal)) by using one PMT gain
403 setting instead of switching between a "Low Gain" and "High Gain" setting. Physical and technical
404 details of this Gain-switching method are patent pending and are not publicly available.

The forward-scattered light is measured by a quadrant PMT (#5 in Fig. S2) to detect the scatter 405 asymmetry for each particle (Kaye et al., 1991, 1996). A OG-515 long pass filter (Schott AG, Mainz, 406 Germany) prevents incoming light from the xenon flash lamps in a spectral range below  $515 \pm 6$  nm 407 from reaching the Quadrant PMT. To calculate the AF, the root-mean-square variations for each 408 409 quadrant of the PMT of the forward-scattered light intensities are used (Gabey et al., 2010). The AF broadly relates whether a particle is more spherical or fibril. Theoretically, for a perfectly spherical 410 particle, the AF would be 0, whereas an elongated particle would correspond to an AF of 100 (Kaye 411 et al., 1991). However, due to electrical and optical noise of the Quadrant PMT, the AF value of a 412 sphere is usually between ca. 2 and 6 (according to WIBS-4A service manual (DOC-0345 Rev A)). 413 Because the AF value depends on physical properties of optical components, the baseline for spher-414 ical particles may shift even within identical instruments (Savage et al., 2017). For example, the study 415 by Toprak & Schnaiter (2013) reported an average AF value for spherical particles of 8 using a 416 WIBS-4A. In contrast, AF values shown by Foot et al. (2008) were, on average, below ~5 for 417 spherical particles measured with a WIBS-2s prototype. 418

419

## 420 **3.3 Fluorescence excitation**

421 Two xenon flash lamps (L9455-41, Hamamatsu) (#3 and #4 in Fig. S2) are used to induce fluorescence. They emit light pulses, which exhibit a broad excitation wavelength range of 185 to 2000 nm. 422 423 The light is optically filtered to obtain a relatively monochromatic excitation wavelength. Further 424 information about spectral properties of the xenon flash lamps can be found elsewhere (Specification sheet TLSZ1006E04, Hamamatsu, May 2015). Figure 3 displays relevant optical properties of the 425 lamps and filters used within the SIBS, WIBS-4A, and WIBS-NEO. For the SIBS, a BrightLine® 426 FF01-285/14-25 (Semrock Inc., Rochester, NY, USA) single-band bandpass filter is used with  $\lambda_{mean}$ 427 = 285 nm and an effective excitation band<sup>2</sup> of 14 nm width is used for xenon 1. For xenon 2, the 428 single-band bandpass filter BrightLine® FF01-370/36-25 (Semrock) is used with  $\lambda_{mean} = 370$  nm and 429 with an effective excitation band of 36 nm width. The only difference between all three instruments 430 is that the WIBS-4A and WIBS-NEO use a different single-band bandpass filter for xenon 1 (Sem-431 rock, BrightLine® FF01-280/20-25;  $\lambda_{mean} = 280$  nm; effective excitation band of 20 nm). The exci-432 tation light beam for all three instruments is focused on the sample flow within the optical cavity, 433

<sup>&</sup>lt;sup>2</sup> The effective excitation band is defined as "guaranteed minimum bandwidth" (GMBW), describing the spectral region a bandpass filter transmits light relative from the mean wavelength. For example, a GMBW of 14 nm means that light is transmitted in a 7 nm spectral range above and below the mean wavelength.

resulting in a rectangular beam shape of ~5 mm by 2 mm. Xenon 1 is triggered when particles pass position 2 in Figure 2b and approximately 10  $\mu$ s later xenon 2 is triggered as the particles move further to position 3 in Figure 2b. After firing, the flash lamps need ~5 ms to recharge. During the recharge period, particles are counted and sized but no fluorescence information is recorded. The maximum repetition rate of the xenon lamps yields a measurable particle number concentration of ~2 x 10<sup>4</sup> l<sup>-1</sup> (corresponding to 20 cm<sup>-3</sup>).

440 Irradiance values from light sources becomes a crucial factor when interpreting derived fluores441 cence data of LIF instruments because the fluorescence intensity is directly proportional to the inten442 sity of incident radiant power, described by the relationship:

443

$$\mathbf{F} = \mathbf{\phi} \mathbf{I}_0 (1 - \mathbf{e}^{-\varepsilon b c}) \tag{1}$$

445

444

446 φ: quantum efficiency,  $I_{0:}$  intensity of incident light, ε: molar absorptivity, *b*: path length (cell), *c*: 447 molar concentration (Guilbault, 1990).

- 448 To measure the irradiance of each xenon lamp after optical filtering, we used a thermal power head (S425C, Thorlabs), which was placed at a distance of 11.3 cm (focus length from xenon arc 449 bow to sample flow intersection) from the xenon lamp measuring over a duration of 1 min at 10 450 xenon shots per s. By measuring new xenon lamps, we observed an average irradiance of 14.8 451 mW/cm<sup>2</sup> for xenon 1 and 9.6 mW/cm<sup>2</sup> for xenon 2, corresponding to ~154 % higher irradiance (spec-452 trally integrated) from xenon 1. A second set of lamps, used intermittently for three years including 453 several months of continuous ambient measurements and a lab study with high particle concentra-454 tions, exhibited average irradiance values of 10.8 mW/cm<sup>2</sup> ( $1\sigma$  SD 1.8 mW/cm<sup>2</sup>) for xenon 1 and 4.9 455 mW/cm<sup>2</sup> ( $1\sigma$  SD 1.9 mW/cm<sup>2</sup>) for xenon 2, corresponding to ~220 % higher irradiance from xenon 456 457 1. Comparing the nominal, transmission-corrected irradiance data from the two xenon lamps provided by the lamp supplier (Fig. 3a and 3b, red dashed lines), an irradiance imbalance between xenon 458 459 1 and xenon 2 can be assumed for all three LIF instruments discussed here (SIBS, WIBS-4A, and WIBS-NEO). 460
- 461 Results shown here are comparable to multiple WIBS studies (e.g., Hernandez et al., 2016; 462 Perring et al., 2015; Savage et al., 2017), where fluorescence emission intensities at  $\lambda_{ex} = 280$  nm 463 (xenon 1) also show a tendency to be higher than those at  $\lambda_{ex} = 370$  nm (xenon 2).
- 464

## 465 **3.4** Spectrally resolved fluorescence detection

Fluorescence emission from excited particles is collected by two parabolic mirrors in the optical
cavity and delivered onto a custom-made dichroic beam splitter (Semrock, #7 in Fig. S2). The beam

splitter allows transmission of incoming light between ~300 and 710 nm, with an average transmission efficiency of 96%. At wavelengths shorter than 300 nm, the transmission decreases rapidly to < 20% at 275 nm. At the upper detection end of the SIBS ( $\lambda_{mean} = 721$  nm), the transmission efficiency decreases to ~89%. The scattering light from the diode laser is reflected at a 90° angle onto the PMT used for particle detection and sizing. At the excitation wavelength of 785 nm, the reflection efficiency is stated at ~95% (Fig. S3).

After passing the dichroic beamsplitter, the photons are led into a grating polychromator (A 10766, Hamamatsu) (#8 in Fig. S2). A custom-made transmission grating (Hamamatsu) is used to diffract incoming light within a nominal spectral range between 290.8 – 732.0 nm. In case of the SIBS, a grating with 300 g/mm groove density and 400 nm blaze wavelength is used, resulting in a nominal spectral width of 441.2 nm and a resolution of 28.03 nm/mm. After passing the transmission grating, the diffracted light hits a 16-channel linear array multi-anode PMT (H12310-40, Hamamatsu) (#9 in Fig. S2) with defined mean wavelengths for each channel as shown in Table 1.

For each single particle detected, two spectra are recorded, at  $\lambda_{ex} = 285$  and 370 nm. The detect-481 able band range of the PMT overlaps the excitation wavelength of xenon 2. Therefore, a notch optical 482 483 filter (Semrock) is placed between the optical chamber and the grating polychromator to prevent the detector from being saturated. Incoming light at wavelengths shorter than 300 nm and from 362 to 484 485 377 nm is blocked from reaching the PMT resulting in a reduced spectral bin width for detection channels 1, 3 and 4. The first three detection channels are omitted because their mean wavelengths 486 are below  $\lambda_{ex} = 370$  nm (see also Fig. 1). Accordingly, the emission spectra for xenon 2 excitation 487 begin at channel 4 ( $\lambda_{mean} = 387.3 \text{ nm}$ ). 488

Technical data (xenon flash lamps, filters, dichroic beam splitter, PMT responsivity, and transmission grating) described in the previous sections (3.3 and 3.4) were provided by Hamamatsu and Semrock. Note that transmission/reflection efficiencies of the dichroic beam splitter, cathode radiant sensitivity of the PMT, and diffraction efficiency data are modeled. Thus, individual components may differ slightly from modeled values, even within the same production batch. Neither company assumes data accuracy or provides warranty, either expressed or implied.

The SIBS was originally designed and marketed to record time- and spectrally-resolved fluores-495 496 cence lifetimes at two excitation wavelengths. The fluorescence lifetime of most biofluorophores, serving as targets for bioaerosol detection, are usually below 10 ns (e.g., Chorvat & Chorvatova, 497 498 2009; Herbrich, et al., 2012; O'Connor et al., 2014; Richards-Kortum & Sevick-Muraca, 1996). However, by choosing xenon lamps as excitation source, recording relevant fluorescence lifetimes 499 in this ns range is hampered by the relatively long decay time of the xenon lamp excitation pulse 500 501  $(\sim 1.5 \ \mu s)$ . In principle, fluorescence lifetime measurements would be possible if the xenon lamps were replaced by appropriate laser excitation sources in the SIBS optical design. 502

15

## 503 **3.5** Software components and data output

The SIBS uses an internal computer (#10 in Fig. S2) with embedded LabView-based data acquisition 504 505 software allowing the user to control functions in real time and change multiple measurement parameters. As an example, the "Single Particle" tab out of the SIBS interface is shown in Figure S4. Here, 506 507 the user can define, e.g., the sizing limits of the SIBS (upper and lower threshold) and the minimum size of a particle being excited by the xenon flash lamps. Furthermore, forced trigger measurements 508 509 can be performed while on this particular tab. Subsequently, the term "forced trigger measurement" will be replaced by "background signal measurement". A local Wi-Fi network is installed so that the 510 SIBS can be monitored and controlled remotely. A removable hard drive is used for data storage. 511 Data is stored in a HDF5 format to minimize storage space and optimize data write speed. Resulting 512 raw data are processed in Igor Pro. As an example: by using a minimum sizing threshold of 500 nm, 513 514 the SIBS data output per day, operating in a relatively clean environment ( $\sim$ 40 particles per cm<sup>-3</sup>), can span several hundreds of MB. In contrast, the data output can increase up to ~3 GB daily in 515 polluted areas (~680 particles per cm<sup>-3</sup>). By lowering the minimum sizing threshold to 300 nm, the 516 517 data volume can exceed 10 GB per day when sampling in a moderately polluted environment (~180 particles per  $cm^{-3}$ ). 518

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- 520

#### 4. **Results and data validation**

## 521 4.1 Validation of SIBS sizing

522 To validate the optical sizing of the SIBS, twenty particle size standards were analyzed, covering a broad size range from 0.3 to 20 µm in particle diameter. Overall, the particle size measurements from 523 the SIBS (optical diameter) show good agreement with the corresponding measurements of physical 524 525 diameter reported by PSL and PS-DVB manufacturers (Fig. 4). For the SIBS, the manufacturer states a nominal minimum size detection threshold of 300 nm. Figure 4 shows that a linear response be-526 tween optical particle size and physical particle size extends down to at least 300 nm. Smaller parti-527 cles were not investigated. The upper size detection threshold is reported by the manufacturer to be 528 nominally 100 µm. However, the upper limit was not investigated here due to the difficulty in aero-529 solizing particles larger than this. In most field applications, the upper particle size cut is often far 530 below this value due to unavoidable sedimentation losses of large particles in the inlet system (e.g., 531 Moran-Zuloaga et al., 2018.; Von der Weiden et al., 2009). Note that the size distributions of physical 532 diameter for PS-DVB standards are broader compared to the PSL standards, as reported by the man-533 ufacturer (Table S1). This also translates to broader distributions of optical diameter measured by the 534 SIBS for PS-DVB than for PSL particles. The 0.356 µm PSL sample was an outlier with respect to 535 the overall trend, showing an optical diameter of  $0.54 \,\mu\text{m}$ . We suspect that this deviation between 536

537 physical and optical size can be explained by a poor quality of this particular PSL sample lot rather 538 than an instrumental issue, and so it was not included in the calculation of the trend line (Fig. 4). 539 Furthermore, the SIBS was shown to slightly undersize the PSLs between 0.6 and 0.8  $\mu$ m, however, 540 the overall trend exhibits a coefficient of determination of r<sup>2</sup> > 0.998.

As mentioned in Sect. 3.2, an important point regarding the SIBS and WIBS-NEO is that the size calibration within the unit cannot be changed by the user, meaning that the PMT output voltages are transformed directly to outputted physical diameter within the internal computer using a proprietary calculation. It is still important, however, for the user to perform sizing calibration checks frequently to verify and potentially post-correct particle sizing of all particle sizing instruments, including the SIBS and WIBS-NEO.

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548

## **4.2 Amplification of fluorescence detector**

549 As with all optical detection techniques, adequate understanding of detection thresholds is an essential aspect of instrument characterization and use (e.g., Jeys et al., 2007; Savage et al., 2017). Appli-550 551 cation of appropriate voltage gain settings must be applied to the physical detection process so as not to lose information about particles that cannot be recovered by post-processing of data. Yet particles 552 in the natural atmosphere exhibit an extremely broad range of fluorescence intensities (many orders 553 of magnitude), arising from the breadth of quantum yields for fluorophores occurring in aerosols and 554 from the steep increase of fluorescence emission intensity with particle size (2<sup>nd</sup> to 3<sup>rd</sup> power) (e.g., 555 Hill et al., 2015; Könemann et al., 2018; Sivaprakasam et al., 2011; Swanson & Huffman, 2018). 556 This range of fluorescence properties is generally broader than the dynamic range of any single in-557 strument, and so a UV-LIF instrument can be operated, e.g., to either: (i) apply a higher detector gain 558 559 to allow high sensitivity toward detecting weakly fluorescing particles, often from rather small par-560 ticles (< 1  $\mu$ m), at the risk of losing fluorescence information for large or strongly fluorescent particles due to detector saturation or (ii) apply a lower detector gain to preferentially detect a wide range 561 of more highly fluorescent particles, but at the risk of not detecting weakly fluorescent or small par-562 ticles. 563

Amplification voltage of the 16-channel PMT used in the SIBS can be adjusted between 500 and 564 1200 V. Each of the 16 detection channels can also be individually adjusted using digital gain settings 565 566 within the SIBS acquisition software. This channel-specific gain does not affect the amplification process (e.g., the dynode cascade), but rather modifies the output signal of single detection channels 567 digitally. The digital gain is applied only after the signal collection process, and so cannot compensate 568 for a signal that is below the noise threshold or that saturates the detector. The digital gain was thus 569 570 left at the maximum gain level (255 arbitrary units (a.u.)) for all channels during particle measure-571 ments discussed here.

572 To explore the influence of amplification voltage on particle detectability, 0.53 µm purple PSLs were chosen to arbitrarily represent the lower limit of detectable fluorescence intensity. Using larger 573 (0.96 µm) particles comprised of the same purple fluorophore, Könemann et al. (2018) showed that 574 the particles were only narrowly detectable above the fluorescence threshold in each of the three 575 channels of a WIBS-4A (same unit as used in Savage et al., 2017) and so the smaller, 0.53 µm PSLs 576 were chosen here as a first proxy for the most weakly fluorescing particles we would expect to detect. 577 To improve the signal to noise ratio (SNR) for the lower fluorescence detection limit, the PMT am-578 579 plification voltage was varied in seven steps between 500 and 1000 V (corresponding to a gain from 10<sup>3</sup> to 10<sup>6</sup>, specification sheet TPMO1060E02, Hamamatsu, June 2016) for purple PSLs and back-580 581 ground signals (Fig. 5a). Whereas PSL spectra at a PMT amplification of 500 V were indistinguishable from the background signal (+ 1 $\sigma$  SD), spectra show a discernable peak at  $\lambda_{mean} = 415.6$  nm 582 above 600 V. Subsequently, the SIBS was operated with a PMT amplification voltage of 610 V 583 corresponding to the lowest SNR threshold accepted (Fig. 5a, b). The detection of small biological 584 particles was tested by measuring the emission spectrum of S. cerevisiae as an example of a PBAP 585 (see also Pöhlker et al., 2012). On average, the size of intact S. cerevisiae particles range between ~2 586 - 10 µm (e.g., Pelling et al., 2004; Shaw et al., 1997). To test the ability of the SIBS to detect low 587 intensity emissions, we separately analyzed S. cerevisiae particles between 0.5 and 1 µm, which most 588 589 likely includes cell fragments caused by the aerosolization process (Fig. 5c). The tryptophan-like emission, peaking in detection channel 2 ( $\lambda_{mean} = 330.6 \text{ nm}$ ) for  $\lambda_{ex} = 285 \text{ nm}$ , reveals intensity values 590 below 100 a.u., which are comparable to fluorescence intensity values derived from 0.53 µm purple 591 PSLs (detection channel 5,  $\lambda_{mean} = 415.6$  nm, Fig. 5d). These two tests for *S. cerevisiae* and 0.53  $\mu$ m 592 purple PSLs confirmed the instruments ability to detect emission spectra from particles at least as 593 strongly fluorescent as these two test cases, leaving a wide range to detect larger and more intensely 594 595 fluorescing particles. By using a  $3\sigma$  SD threshold, the fluorescence peak at  $\lambda_{mean} = 415.6$  nm of 0.53  $\mu$ m purple PSLs is still detectable but cannot be distinguished from the background signal at a 6 $\sigma$  SD 596 597 threshold anymore. Therefore, fluorescence intensity values at the lower detection limit should be treated with care. Corrected spectra of both S. cerevisiae and 0.53 µm purple PSLs can be found in 598 599 the supplement (Fig. S5). By operating the SIBS at relatively low detector amplification, very weak fluorescence, especially from small particles (< 1 µm) might not exceed the detection threshold dur-600 ing field applications and would be missed. Further investigation will be necessary to choose ampli-601 602 fication voltages appropriate for individual applications where smaller or otherwise weakly fluorescent particles might be particularly important. For all subsequent measurements discussed here, a 603 PMT amplification voltage of 610 V was used. 604

Saturation only occurred for 15 and 20  $\mu$ m non-fluorescent PS-DVB particles. As highlighted in Figure S6, the polystyrene/detergent signal (Könemann et al., 2018) at  $\lambda_{ex} = 285$  nm for 10  $\mu$ m PS-

- 507 DVB particles can be spectrally resolved (Fig. S6b), whereas the spectrum for 15 µm PS-DVB particles (Fig. S6e) is altered due to single particles (~10 % out of 400 particles) saturating the detector (at 62383 a.u.). By comparing the defined lower detection end (Fig. 5) to the upper end (Fig. S6), a quantitative difference of approximately three orders of magnitude can be estimated, indicating a wide detectable range at the chosen amplification voltage setting.
- 612

# 613 4.3 Wavelength-dependent spectral correction of detector

The 16 cathodes of the PMT should be considered as independent detectors with wavelength depend-614 ent, individual responsivity and amplification characteristics. In combination with physical properties 615 of technical components (e.g., excitation sources, optical filters, gratings), an instrumental-specific 616 spectral bias might result in incorrect or misleading spectral patterns if not corrected (e.g., DeRose, 617 618 2007; DeRose et al., 2007; Holbrook et al., 2006). To compensate for such potential instrumental biases, we used a spectral correction approach as described in Sect. 2.4. The spectral correction fac-619 620 tors are comparable to the theoretical responsivity of the PMT with the highest correction for channels 1-4 ( $\lambda_{mean} = 302.2 - 387.3 \text{ nm}$ ) and 14-16 ( $\lambda_{mean} = 666.5 - 721.1 \text{ nm}$ ) (Fig. 6). Channel 8 ( $\lambda_{mean} = 666.5 - 721.1 \text{ nm}$ ) 621 500.0 nm) shows the highest responsivity and channels 6 and 7 ( $\lambda_{mean} = 443.8$  and 471.9 nm) exhibit 622 a noticeable lower responsivity than their adjacent channels (see also Sect. 4.4.1). The spectral cor-623 rection shows several peaks (e.g., detector channels 3, 5, and 8) and dips (e.g., detector channels 4, 624 6, and 7) (Fig. 6), however, this pattern is due to gain variations for different channels and is not 625 626 noise.

It is important to note that the detector settings and spectral correction uniquely refer to the SIBS 627 628 unit as it was used for the current study. Due to technical and physical variability as stated above, it 629 is likely that the spectral correction required for other SIBS units would be somewhat different. Fur-630 thermore, the wavelength-dependent detector correction may change over time due to material fatigue or contaminations in the optical chamber affecting background signal measurements. Periodic 631 632 surveillance and adjustments are therefore required, especially after measurements where the instru-633 ment was exposed to high particle concentrations or was operated during extreme weather or environmental conditions (e.g., temperature, humidity, vibration). For particle sizing verification, we rec-634 ommend the use of 0.5, 1, and 3 µm non-fluorescent PSLs. Regarding a fluorescence response check, 635 636 we recommend 2 µm green and 2 µm red PSLs for the validation of the spectral responsivity maximum and the upper (near-IR) detection range. To our knowledge, no fluorescent dyed PSLs are avail-637 able to verify the response within the lower spectral detection range (UV) of the SIBS. However, the 638 polystyrene signal of 3 µm non-fluorescent PSLs (Fig S6g, h, i, see also Könemann et al., 2018) 639 640 represents a compromise between signal strength at  $\lambda_{ex} = 285$  nm and aerosolization efficiency (com-641 pared to PSLs with larger sizes) for a spectral responsivity validation.

### 642 4.4 Fluorescence spectra of standards

#### 643 4.4.1 PSL standards

644 The SIBS spectra for the four different PSL standards, covering an emission range from UV to near-IR, generally agree well with the corresponding reference spectra (Fig. 7). Each of the two excitation 645 wavelengths probe separate fluorescent modes, which appear at approximately the same emission 646 wavelength for a given PSL type (e.g.,  $\lambda_{em} = -580$  nm for red PSLs, Fig. 7j), as discussed by 647 648 Könemann et al. (2018). Moreover, even the rather weak polystyrene and detergent fluorescence, systematically associated with PSL suspensions (Könemann et al., 2018), is resolved by the SIBS at 649  $\lambda_{ex} = 285$  nm and  $\lambda_{em} = \sim 300$  nm (Fig. 7b, e, h, k). It is further noteworthy that emission intensity at 650  $\lambda_{ex} = 285$  nm is generally higher than derived emission intensity at  $\lambda_{ex} = 370$  nm (Fig. 7c, f, i, l), 651 652 supporting the finding that a particle receives higher irradiance values from xenon 1 than from xenon 653 2 (see also Sect. 3.3).

654 As mentioned in Sect. 4.3, detection channels 6 and 7 require relatively large correction factors. 655 For 2.07 µm purple PSLs (Fig. 7b, c), the SIBS spectra closely match the references spectra after correction. For the 2.1 µm blue PSLs (Fig. 7e, f), however, the corrected spectrum matches the ref-656 erence spectrum well, except at detection channel 6 ( $\lambda_{mean} = 443.8$  nm), where the SIBS spectrum is 657 lower than the reference spectrum by approximately 50%. This effect was also observed for 1 µm 658 659 blue PSLs (Thermo Fisher, B0100), doped with the same fluorophore (data not shown). The reason for this discrepancy is unknown. Nevertheless, because this effect only occurs noticeably for highly 660 fluorescent blue PSLs and NAD (see also Sect. 4.4.2), one explanation could be that the instrument-661 662 dependent dynode cascade (the electronic amplification stages) for this particular detection channel is suppressed, resulting in a lower amplification efficiency. In this case, relatively low signals could 663 be amplified correctly, whereas medium or high intensity emission could only be amplified up to a 664 certain level. The amplification threshold for detection channel 6 is, however, unknown and needs 665 further verification. 666

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#### 668 4.4.2 Biofluorophore standards

Figure 8 and 9 highlight fluorescence spectra of different biofluorophores measured by the SIBS, which correspond to related reference spectra (compare also Pöhlker et al., 2012), showing that amino acids (fluorescence emission only at  $\lambda_{ex} = 285$  nm), co-enzymes and flavin compounds (fluorescence emission at  $\lambda_{ex} = 285$  and 370 nm), and chlorophylls (fluorescence emission only at  $\lambda_{ex} = 370$  nm) can be spectrally distinguished.

The uncorrected spectrum of tryptophan (Fig. S8) highlights the necessity of a spectral correction to compensate for the low detector responsivity within the UV and near-IR bins. If the fluorescence signal of tryptophan remains uncorrected, the spectra is shifted slightly to longer wavelengths (redshifted) due to the low responsivity of channel 2 in comparison to channel 3, resulting in misleading
spectral information. For NAD (Fig. 8h, i), fluorescence intensity values of channel 6 are lowered
due the suppressed amplification efficiency in this particular channel as described for blue PSLs
(Sect. 4.4.1).

All biofluorophores (except chlorophyll types) were aerosolized as dry powders (see Sect. 2.2) 681 682 to avoid fluorescence solvatochromism effects (e.g., Johnson et al., 1985). Solvatochromism of fluorophores in aqueous solution – the only atmospherically relevant case – typically shifts fluorescence 683 emissions to longer wavelengths due to the stabilized excited state caused by polar solvents 684 685 (Lakowicz, 2004). This spectral red-shift can be seen in Figure S9, where the peak maximum for NAD shows a difference of ~15 nm between a dry and water-solvated state, whereas riboflavin re-686 veals an even higher shift of ~37 nm. Here, solvatochromism serves as an example for fluorescence 687 spectra that vary substantially as a function of the fluorophore's microenvironments (e.g., solvent 688 polarity, pH, temperature). 689

Each of the three types of chlorophyll exhibit the weakest emission of all biofluorophores meas-690 ured within this study, however the SIBS was able to detect the fluorescence signal at  $\lambda_{ex} = 370$  nm 691 for all three (Fig. 9). The spectral difference between chlorophyll *a* and *b* is only minor at  $\lambda_{ex} = 370$ 692 nm ( $\Delta\lambda = 8.3$  nm) for which the spectral resolution of the SIBS is not capable of distinguishing be-693 tween types (Fig. 9a, b, c, d and Fig. S10) (e.g., French et al., 1956; Welschmeyer, 1994). Neverthe-694 less, the SIBS shows the ability to distinguish between chlorophyll *a* and *b*, and bacteriochlorophyll 695 696 due to the red-shift in the bacteriochlorophyll spectrum ( $\Delta \lambda = 28.5$  nm at  $\lambda_{ex} = 370$  nm, between 697 chlorophyll *a* and bacteriochlorophyll). This may provide a further discrimination level regarding algae, plant residues, and cyanobacteria. Bacteriochlorophyll also shows a second and even stronger 698 699 emission peak at  $\lambda_{ex} = 370$  nm ( $\lambda_{em} = \sim 800$  nm) that could help further distinguish it from chlorophyll a and b, but the SIBS spectrometer cannot currently detect this far into the IR (e.g., Rijgersberg et 700 701 al., 1980; Van Grondelle et al., 1983).

Overall, fluorescence emissions recorded by the SIBS are in good agreement with measured reference spectra. However, care must be taken as to the interpretation of fluorescence emissions covering broad spectral ranges, which span regimes with large differences between individual correction factors (e.g., channel 15 ( $\lambda_{mean} = 693.9$  nm, Fig.71) and channel 2 ( $\lambda_{mean} = 330.6$  nm, Fig.8k). For the SIBS, namely the first two UV detection channels and the last two near-IR channels should be treated with care. Further investigation is required for a careful assessment of how the spectral correction can be applied properly with respect to fluorescent and non-fluorescent atmospheric particles.

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## 711 4.5 Particle asymmetry measurements

The AF of spherical particles such as PSLs (Fig. 10a, b) and ultrapure water droplets is approximately 712 713 10 (Table 3), which is slightly higher than reported values for spherical particles by, e.g., Savage et al. (2017) (AF=  $\sim$ 5) or Toprak & Schnaiter (2013) (AF=  $\sim$ 8) using a WIBS. It is noteworthy that the 714 AF of water droplets increases slightly with increasing droplet size and, therefore, contributes to the 715 mean value (Fig. S12). This effect is most likely based on a decreasing surface tension with increas-716 717 ing droplet size for which the droplet morphology is changed to a more oval shape within the sample flow. A similar effect regarding a potential droplet deformation using an Airborne Particle Classifier 718 (APC) was observed by Kaye et al., (1991). Even if the morphology of ammonium sulfate (crystal-719 line, Fig. 10d) and Fe<sub>3</sub>O<sub>4</sub> (irregular clusters, Fig. 10f) is diverse, the difference in AF is only minor 720 (~13 and 14, Table 3), indicating that most naturally occurring aerosols (e.g., sea salt, soot, various 721 722 bacterial and fungal clusters) will occur in a AF regime between ~10 and 20. Only rod-shaped carbon nanotubes (110-170 nm diameter, 5-9 µm length) show increased AFs with a mean value at ~22 723 (Table 3) at which also, e.g., bacteria would occur (Fig. 10h). No particles observed exhibited average 724 AF values >25, as would have been expected for, e.g., carbon nanotubes. Because the range of AF 725 values for homogenous particles is relatively broad and the differences between morphologically 726 diverse particle types is only minor (Table 3), the question can be raised to what extent particles 727 could be distinguished based on the AF under ambient conditions. Similar broad AF ranges were 728 found in Healy et al., (2012), measuring sodium chloride, chalk, and several pollen and fungal spores 729 730 types. As also discussed by Savage et al. (2017), the AF values reported by SIBS and WIBS units should be treated with extreme care. 731

732 The validation of asymmetry measurements is challenging due to unavoidable particle and aero-733 solization effects (e.g., particle agglomeration and spatial orientation within the sample flow) and the 734 lack of standardized procedures for AF calibrations. Measurements performed in this study do, therefore, only serve as a rough AF assignment. Moreover, even if both the SIBS and WIBS use the same 735 736 technical components for defining AFs, a direct intercomparison cannot be applied due to technical 737 variability (e.g., PMT related signal-to-noise ratio or the alignment of optical components). Additionally, it is currently unknown in how far the 785 nm diode laser of the SIBS affect asymmetry 738 measurements compared to the WIBS using a 635 nm diode laser. 739

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### 741 **4.6** Initial ambient measurements

Several weeks of initial ambient SIBS measurements were conducted on the roof of the Max Planck
Institute for Chemistry in Mainz, Germany. At a nearby building site, Huffman et al. (2010) conducted one of the first ambient UV-APS studies in the year 2006. Moreover, Toprak & Schnaiter

745 (2013) conducted a WIBS-4A study at a comparable site in central Germany from 2010 to 2011. The aim of this brief ambient section is to validate that the SIBS-derived key aerosol and fluorescence 746 data are reasonable and relatively consistent with the aforementioned studies. We found a good agree-747 ment between the coarse mode ( $\geq 1 \mu m$ ) number concentrations ( $N_{T,c}$ ) of the SIBS ( $N_{T,c}$  ranging from 748 0.25 to 1.59 cm<sup>-3</sup>, with a mean of 0.76 cm<sup>-3</sup>) and previous data from the UV-APS (mean  $N_{T,c}$ : 1.05 749 cm<sup>-3</sup> (Huffman et al., 2010)) and the WIBS-4A (mean  $N_{T,c}$ : 0.58 cm<sup>-3</sup> (Toprak and Schnaiter, 2013)) 750 751 (Fig.11a). Furthermore, good agreement was found between coarse mode fluorescent number concentrations ( $N_{\rm F,c}$ ) of the SIBS (mean  $N_{\rm F,c}$  ( $_{3\sigma}$ ): 0.025 cm<sup>-3</sup>), the UV-APS (mean  $N_{\rm F,c}$ : 0.027 cm<sup>-3</sup>) 752 (Huffman et al., 2010)), and the WIBS-4A (mean  $N_{F,c}$  ( $3\sigma$ ): 0.031 cm<sup>-3</sup> (Toprak and Schnaiter, 2013)) 753 754 (Fig.11a). Similarly, the fraction of fluorescent particles in the coarse mode  $(N_{\rm F,c}/N_{\rm T,c})$  compares well between SIBS (mean  $N_{\rm F,c(3\sigma)}/N_{\rm T,c}$ : 4.2 %), the UV-APS (mean  $N_{\rm F,c}/N_{\rm T,c}$ : 3.9 % (Huffman et al., 755 2010)), and the WIBS-4A (mean  $N_{F,c(3\sigma)}/N_{T,c}$ : 7.3 % (Toprak and Schnaiter, 2013)) (Fig.11b). Ex-756 pectedly, a  $1\sigma$  SD threshold gives much higher SIBS fluorescent fractions of 39.2 %, whereas a  $6\sigma$ 757 SD threshold corresponds with much lower fluorescent fractions of 1% (Fig.11b). Note that no pre-758 fect match between our results and the studies by Huffman et al. (2010), and Toprak & Schnaiter 759 760 (2013) can be expected, since the measurements took place with different sampling setups and during different seasons. Furthermore, the spectrally resolved SIBS data makes the definition of fluorescent 761 762 fraction more complex than for UV-APS and WIBS data (see Sect. 2.6). However, the overall good agreement confirms that the SIBS produces reasonable results in an ambient setting. Further, the 763 single particle fluorescence spectra are reasonable with respect to typical biofluorophore emissions 764 765 (Pöhlker et al., 2012). Exemplary spectra ( $\lambda_{ex} = 285$  and 370 nm) of ambient single particles can be found in the supplement (Fig.S13). An in-depth analysis of extended SIBS ambient datasets is subject 766 of ongoing work. 767

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## 769 **5. Summary and conclusions**

770 Real-time analysis of atmospheric bioaerosols using commercial LIF instruments has largely been 771 restricted to data recorded in only 1-3 spectrally integrated emission channels, limiting the interpretation of fluorescence information. Instruments that can record resolved fluorescence spectra over a 772 broad range of emission wavelengths may thus be required to further improve the applicability of 773 774 LIF instrumentation to ambient PBAP detection. Introduced here is the SIBS (DMT, Longmont, CO, USA), which is an instrument that provides resolved fluorescence spectra ( $\lambda_{mean} = 302 - 721$  nm) 775 from each of two excitation wavelengths ( $\lambda_{ex} = 285$  and 370 nm) for single particles. The current 776 study introduces the SIBS by presenting and experimentally validating its key functionalities. This 777 778 work critically assesses the strengths and limitations of the SIBS with respect to the growing interest in real-time bioaerosol quantification and classification. It should be noted that the study is an independent evaluation that was not conducted, endorsed, or co-authored by the manufacturer or representatives. Overall, this work confirms a precise particle sizing between 300 nm and 20 µm and the particle discrimination ability based on spectrally resolved fluorescence information of several standard compounds.

The SIBS was operated at a low PMT detector amplification setting (610 V) to retain capacity to 784 detect large or brightly fluorescent particles. It was confirmed, however, that even weak fluorescence 785 signals from 0.53 µm purple PSLs and from small S. cerevisiae fragments (0.5 - 1 µm) can be clearly 786 distinguished from the background signal. Saturation events were only observed for the polysty-787 788 rene/detergent signal from relatively large 15 and 20 µm PS-DVB particles. Nevertheless, the fluorescence intensity detection threshold is highly instrument-dependent due to the complex interaction 789 of single technical components across individual instruments. For example, xenon 1 exhibited ~154 790 % higher irradiance than xenon 2 (both new lamps) due to differences in the properties of xenon 791 emission and the optical filters used. For used xenon lamps (> 4000 hours of use), an even higher 792 difference of ~220 % was observed. Thus, a defined fluorescence detection threshold will most likely 793 change over time due to, e.g., material fatigue. Additionally, variable irradiance properties might 794 significantly contribute to observed differences in performance of similar instrument types (e.g., 795 796 Hernandez et al., 2016), expressly underlining the need for a fluorescence calibrant applicable across LIF-instruments (e.g., Robinson et al., 2017). Nevertheless, to the best of our knowledge, there is 797 798 currently no standard reference available that fulfills the requirements to serve as a calibrant for 799 multi-channel, multi-excitation LIF-instruments. Observations in this study are valid not only for the 800 SIBS, but also for the WIBS-4A and WIBS-NEO and lead to important implications for interpretation 801 of particle data. In particular, a particle that exhibits measurable fluorescence in WIBS channel FL1, 802 but only weak fluorescence in channel FL3 could be assigned as an "A-type" particle in one instrument but an "AC-type" particle in an instrument with slightly stronger xenon 2 irradiance. These 803 804 differences in classification can be extremely important to interpretation of ambient data (e.g., Perring et al., 2015; Savage et al., 2017). 805

The PMT used in the SIBS shows a wavelength-dependent sensitivity distribution along all 16 806 detection channels. To compensate for this characteristic and to be able to use the broadest possible 807 fluorescence emission range, the measured emission spectra were corrected with respect to reference 808 809 spectra acquired from deuterium and halogen lamps. A spectral correction over a broad emission range also introduces drawbacks, however, that LIF-instrument users should keep in mind while in-810 terpreting derived fluorescence information. In particular, the first two (UV) and the last two (near-811 IR) detection channels should be treated with care, because they require larger correction factors 812 compared to adjacent channels. Ultimately, the correction factor and amplification voltages applied 813

to the detector will be experiment-specific and will need to be investigated with respect to individual experimental aims. To this extent, possible differences between instruments and important calibrations complicate the concept of the instrument being commercially available. Individual users may desire to be able to purchase the SIBS as a "plug-and-play" detector, but using without a critical understanding of these complexities would not be appropriate at this time and could lead to inadvertent misinterpretation of the data.

Fluorescence spectra of fluorescent PSLs, amino acids, co-enzymes, and flavins measured by the SIBS agree well with corresponding spectra recorded with an offline reference spectrometer. Thus, the SIBS was shown to be capable of clearly distinguishing between different particle types based on resolved fluorescence information. Furthermore, the extended fluorescence emission range ( $\lambda_{em} = >$ 700 nm) enables the SIBS also to distinguish chlorophyll *a* and *b* from bacteriochlorophyll, potentially opening new possibilities for the detection of, e.g., algae, plant residues, and cyanobacteria.

Particle asymmetry measurements revealed that spherical PSLs have an AF of 9.9 (± 3.6), 826 whereas other materials (ammonium sulfate, Fe<sub>3</sub>O<sub>4</sub>, and carbon nanotubes) show AF values of 13.1 827  $(\pm 8.1)$ , 14.4  $(\pm 7.4)$ , and 21.6  $(\pm 12.7)$ , respectively. Because differences of measured AF value 828 between morphologically diverse particle types are small and within the ranges of uncertainty for the 829 measurement of a given set of particles, it is questionable how well particles can be distinguished 830 831 based on the AF as presently measured by the quadrant PMT. Users of SIBS and WIBS instruments should apply extreme care if using AF data. It is also likely that different instrument units may have 832 very different AF responses with respect to this measurement. At a minimum, each individual unit 833 834 needs to be rigorously calibrated to known particle types to determine if AF values are sufficiently 835 different (e.g., separated by several standard deviations) to justify scientific conclusions based on the metric. 836

Exemplary ambient data, measured between the 12<sup>th</sup> and 18<sup>th</sup> of April 2018 on the roof of the 837 Max Planck Institute for Chemistry in Mainz (Germany), are consistent with LIF measurement data 838 using a UV-APS (Huffman et al., 2010) and a WIBS-4A (Toprak and Schnaiter, 2013). Total coarse 839 particle number concentrations revealed a mean value of 0.76 cm<sup>-3</sup> (1.05 cm<sup>-3</sup> (Huffman et al., 2010); 840 0.58 cm<sup>-3</sup> (Toprak and Schnaiter, 2013)) of which ~4.2% are considered to be fluorescent using a  $3\sigma$ 841 SD threshold (3.9% (Huffman et al., 2010); 7.3% (Toprak and Schnaiter, 2013)), including only par-842 ticles that show fluorescence emission in at least two adjoining detection channels. Using a  $1\sigma$  and 843 6σ SD threshold results in fluorescent fractions of 39.2% and 1% respectively. However, the applica-844 bility of different threshold strategies for the SIBS is currently under investigation and needs further 845 verifications. 846

The results suggest that the SIBS has the ability to increase the selectivity of detection of fluorescent biological and non-biological particles by use of two excitation wavelengths and 16-channel resolved fluorescence information in combination with a broad detectable emission range. The applicability of described methods onto ambient datasets is currently under investigation. Data shown here and the detailed insights of technical components used in the SIBS will be broadly beneficial for users of LIF instruments providing resolved fluorescence information, but also for users of vari-

- 853 ous generations of WIBS and other LIF instruments widely applied within the bioaerosol community.
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# 855 6. Data availability

The data of the key results presented here can be provided upon request. For specific data requests,please refer to the corresponding authors.

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Acronym/Symbol	Description				
AF	Asymmetry factor				
APC	Airborne Particle Classifier				
CCD	Charge-coupled device				
DMT	Droplet Measurement Technologies				
EEM	Excitation-emission matrix				
EM	Emission				
EX	Excitation Infrared				
IR					
LIF	Light-induced fluorescence				
Ν	Particle number concentration (cm <sup>-3</sup> )				
N <sub>T,c</sub>	$N$ of total coarse particles (1-20 $\mu$ m)				
$N_{ m F,c(n\sigma)}$	<i>N</i> of fluorescent coarse particles (1-20 $\mu$ m) at 1, 3, or $6\sigma$				
NAD	Nicotinamide adenine dinucleotide				
NAD(P)H	Nicotinamide adenine dinucleotide and nicotinamide adenine di-				
	nucleotide phosphate				
NIST	National institute of standards and technology				
PBAP	Primary biological aerosol particles				
PMT	Photomultiplier tube				
РАН	Polycyclic aromatic hydrocarbons				
PSL	Polystyrene latex sphere				
PS-DVB	Polystyrene-divinylbenzene				
SD	Standard deviation				
SIBS	Spectral intensity bioaerosol sensor				
SNR	Signal to noise ratio				
TSP Total suspended particles					
UV Ultraviolet					
UV-APS Ultraviolet aerodynamic particle sizer					
Vis	Visible light				
WIBS	Wideband integrated bioaerosol sensor				

## **Appendix A:** List of acronyms and symbols.

**Table 1.** Lower, mean, and upper wavelength at each PMT detection channel. Nominal data accord-

Channel	λ <sub>lower</sub> (nm)	λ <sub>mean</sub> (nm)	λupper (nm)
1	298.2	302.2	316.2
2	316.6	330.6	344.6
3	345.0	359.0	362.5
4	377.5	387.3	401.3
5	401.5	415.6	429.7
6	429.8	443.8	457.8
7	457.9	471.9	485.9
8	486.0	500.0	514.0
9	514.0	528.0	542.0
10	541.9	555.9	569.9
11	569.7	583.7	597.7
12	597.4	611.4	625.4
13	625.0	639.0	653.0
14	652.8	666.5	680.2
15	679.9	693.9	707.9
16	707.1	721.1	735.1

1148 ing to manufacturer Hamamatsu.

**Table 2.** Parameters and technical components of the SIBS in comparison to the WIBS-NEO and

1150	WIBS-4A	. Data	are taken	from	manufacturer	information.
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	SIBS	WIBS-NEO	WIBS-4A
First production	2015	2016	2009
Measured parameters	Particle size	Particle size	Particle size
	Asymmetry Factor	Asymmetry Factor	Asymmetry Factor
	Fluorescence spectra	Integrated fluorescence in 3 channels	Integrated fluorescence in 3 channels
Particle size range	$\sim 0.3 - 100 \ \mu m$	$\sim 0.5 - 30 \ \mu m$	${\sim}0.5-20\mu m$
Maximum concentration	~2 x 10 <sup>4</sup> particles/L	~2 x 10 <sup>4</sup> particles/L	~2 x 10 <sup>4</sup> particles/L
Fluorescence excitation	$\lambda_{ex} = 285$ and $\lambda_{ex} = 370$ nm	$\lambda_{ex} = 280$ and $\lambda_{ex} = 370$ nm	$\lambda_{ex} = 280$ and $\lambda_{ex} = 370$ nm
Fluorescence emission	$\lambda_{mean} = 302 - 721 \text{ nm}$	$\lambda_{em}$ = 310-400 nm and	$\lambda_{em}$ = 310-400 nm and
	(16-channel PMT)	$\lambda_{em}=420-650 \text{ nm}$	$\lambda_{em}$ = 420-650 nm
Flow rate	Sample flow:~0.3 l/min	Sample flow:~0.3 l/min	Sample flow:~0.3 l/min
	Sheath flow:~2.2 l/min	Sheath flow: ~2.2 l/min	Sheath flow:~2.2 l/min
	(re-circulating)	(re-circulating)	(re-circulating)
Laser	785 nm diode laser, 55 mW	635 nm diode laser, 15 mW	635 nm diode laser, 12 mW
Pump	Diaphragm pump	Diaphragm pump	Diaphragm pump
Power requirements	200 W, 90 - 230 VAC	150 W, 90 - 230 VAC	150 W, 90 - 230 VAC
Weight (kg)	20.1	12.5	13.6
Dimension W x L x H (cm)	42.5 x 61.5 x 23.5	45.1 x 36.2 x 24.1	30.4 x 38.2 x 17.1

- **Table 3.** Asymmetry factor (AF) values for reference particles. Values are based on the mean of a
- 1152 Gaussian fit applied onto each particle histogram (see also Fig. 10), including  $1\sigma$  SD.

	AF
2 μm non-fluorescent PSLs	9.9 ± 3.6
Ultrapure water	11.9 ± 2.9
Ammonium sulfate	13.1 ± 8.1
Fe <sub>3</sub> O <sub>4</sub>	$14.4 \pm 7.4$
Carbon nanotubes	21.6 ± 12.7

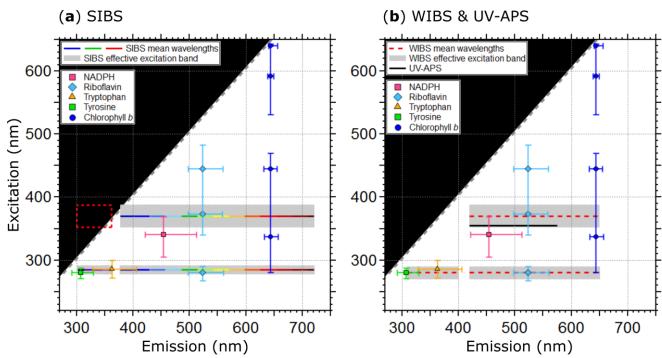


Figure 1. Optical design and overview of excitation and emission specifications of the LIF instru-1153 ments UV-APS, WIBS, and the SIBS with spectral locations of the autofluorescence modes of the 1154 1155 biofluorophores tyrosine, tryptophan, NAD(P)H, riboflavin, and chlorophyll b (as examples). Here the term WIBS includes the WIBS-4A and WIBS-NEO, because both instruments use the same op-1156 1157 tical components. Spectral properties of the emission bands of LIF instruments are illustrated as horizontal lines. The color-coded bars in (a) illustrate the spectrally resolved fluorescence detection of 1158 the two excitation wavelengths ( $\lambda_{ex} = 285$  and 370 nm) by the SIBS. The "blind spot" (white notch) 1159 at  $\lambda_{ex} = 285$  nm between  $\lambda_{em} = 362 - 377$  nm (a) originates from a notch optical filter, used to block 1160 incident light from the excitation sources. Grey dashed lines show the 1<sup>st</sup> order elastic scattering. At 1161  $\lambda_{ex} = 370$  nm, the detection range of the SIBS includes the spectral range where  $\lambda_{em} < \lambda_{ex}$ , for which 1162 fluorescence is not defined and so data within the red dashed rectangle is omitted (a). Grey bars 1163 indicate the effective excitation bands of optical filters used for the WIBS and SIBS (see also Sect. 1164 3.3 and Fig. 3). The effective excitation bands in the WIBS and SIBS occur in a spectral range span-1165 ning several nanometers (up to 36 nm), in contrast to the UV-APS (black line, b), which uses a laser 1166 source with a defined excitation (Figure adapted from Pöhlker et al., 2012). 1167

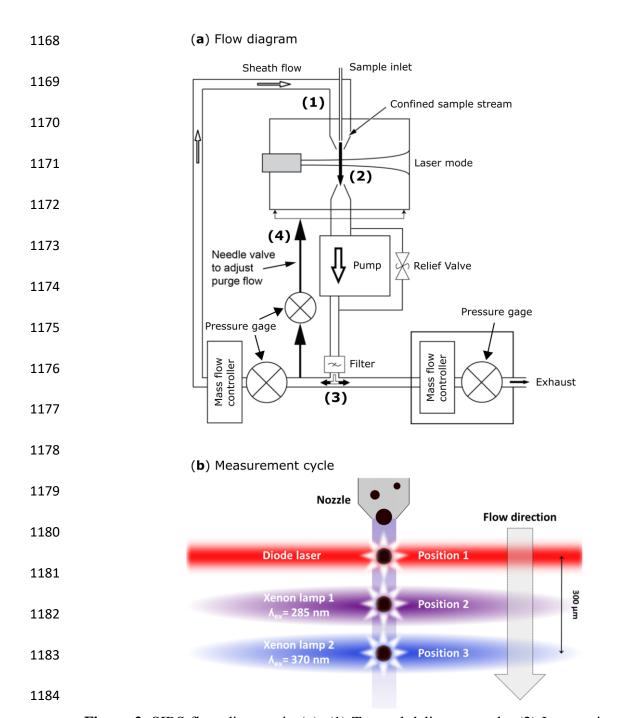


Figure 2. SIBS flow diagram in (a): (1) Tapered delivery nozzle. (2) Intersection of sample 1185 flow and laser beam. Sampling volume: ~0.7 mm diameter; ~130 µm depth. (3) Filtered 1186 (through HEPA filter) and recirculating sheath flow. (4) Needle valve for adjusting purge flow, 1187 which constantly purges the optical cavity. SIBS measurement cycle in (b): Position 1: Parti-1188 cles scatter light in all directions after being illuminated by a diode laser ( $\lambda = 785$  nm). Position 1189 2: Xenon lamp 1 is firing at  $\lambda_{ex} = 285$  nm. Position 3: Xenon lamp 2 is firing at  $\lambda_{ex} = 370$  nm. 1190 1191 The measurement cycle from position 1 to position 3 takes  $\sim 25 \,\mu s$  over a distance of  $\sim 300 \,\mu m$ . (a) and (b): Modified, image courtesy: DMT. (b) adapted from WIBS-4A service manual 1192 (DOC-0345 Rev A), DMT; 2012). 1193

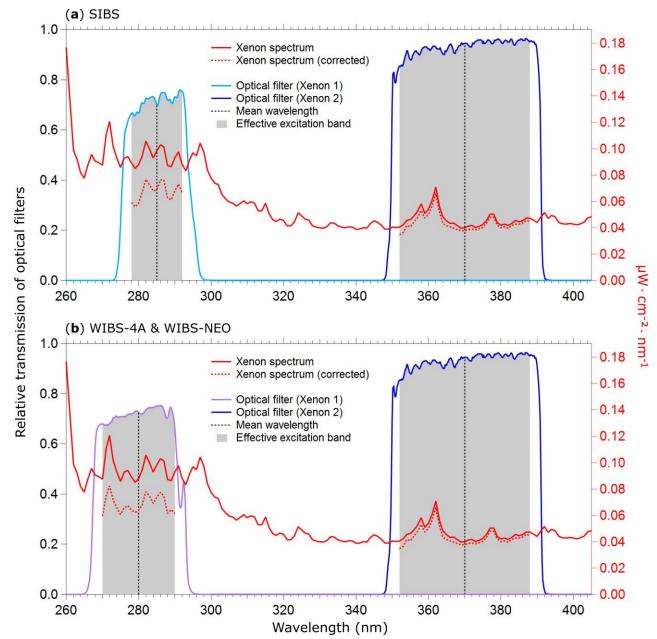
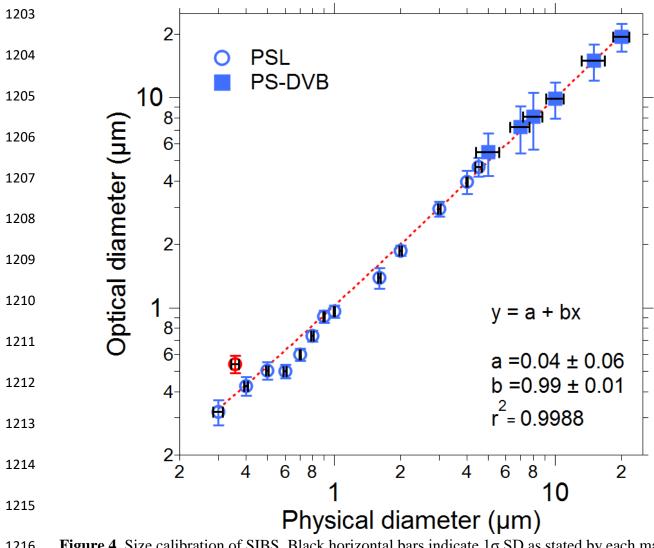


Figure 3. Irradiance from xenon flash lamps based on specifications of lamps and optical filters. 1194 1195 Purple and blue lines show optical transmission of filters (left axes) applied to select excitation wavelength. Gray bands indicate where filter transmit light relative from the mean wavelength. Red lines 1196 1197 show theoretical irradiance values of the xenon flash lamp (right axes): solid line (raw output), dashed line (relative output after filtering). Relative output shown as raw output multiplied by effective ex-1198 citation band of the bandpass filters used in the: (a) SIBS  $(\Delta \lambda_{ex} (Xenon1) = \sim 14 \text{ nm}; \Delta \lambda_{ex} (Xenon2) = \sim 36$ 1199 nm), and (b) WIBS-4A and WIBS-NEO ( $\Delta \lambda_{ex (Xenon1)} = \sim 20 \text{ nm}; \Delta \lambda_{ex (Xenon2)} = \sim 36 \text{ nm}$ ). Xenon lamp 1200 1201 operating conditions: 600 V main voltage, 0.22 µF main capacitance, 126 Hz repetition rate, 500 mm distance. (Data courtesy: Xenon flash lamps / Hamamatsu; Single-band bandpass filters / Semrock). 1202



**Figure 4.** Size calibration of SIBS. Black horizontal bars indicate  $1\sigma$  SD as stated by each manufacturer (Table S1). Optical diameter values and related  $1\sigma$  SD are based on a Gaussian fit, which was used to average size distributions of several thousand homogeneous particles for each measurement. The linear fit (red dashed line) excludes the 0.356 µm PSL sample (red marker), an outlier potentially caused by a poor quality PSL batch. Only non-fluorescent particle standards were used for determining the sizing accuracy.

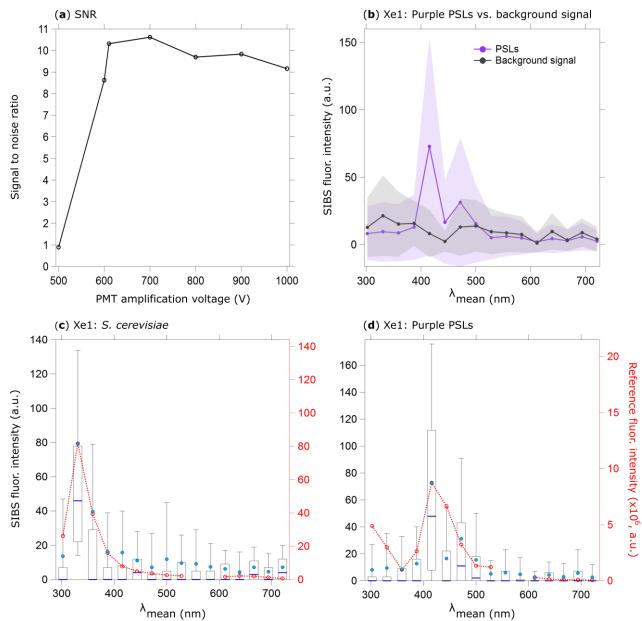
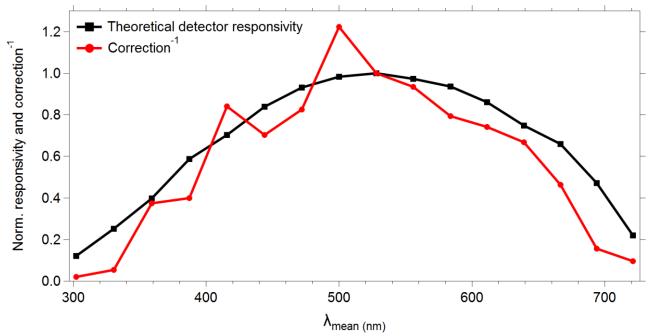
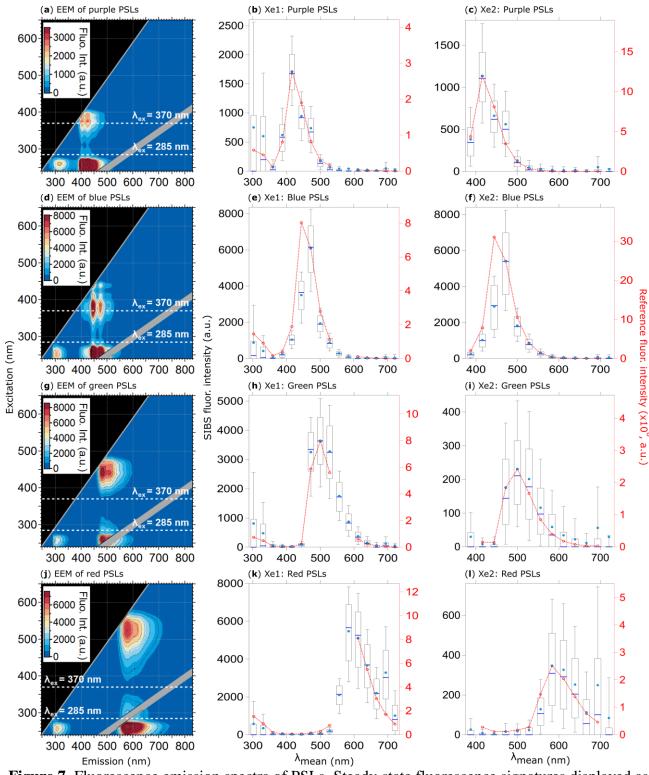


Figure 5. SIBS signal to noise ratio (SNR) in (a): emission of 0.53 µm purple PSLs (5260 particles, 1222 background signal +  $1\sigma$  SD subtraction) divided by background signal at different PMT amplification 1223 1224 voltages (both at Xe1, channel 5, averaged, and uncorrected). Background signal measured over 5 min. In (b), fluorescence emission in contrast to background signal at a PMT amplification voltage 1225 1226 of 610 V are shown (same parameters as in (a)). Shaded area:  $1\sigma$  SD. Fluorescence intensity values 1227 are shown in arbitrary units. Fluorescence emission spectra of (c) S. cerevisiae (yeast; 2048 particles, 1228  $0.5 - 1 \,\mu$ m) and (d) PSLs (as in (b)). Red dashed lines and markers (right axes) show averaged and re-binned reference spectra. Box and whisker plots (left axes) show SIBS spectra: median (blue line), 1229 1230 mean (circle), boxes 75 and 25 percentile, whiskers 90 and 10 percentile. Data coinciding with 1st or 2<sup>nd</sup> order elastic scattering were removed from reference spectra. 1231



**Figure 6.** Normalized theoretical detector responsivity and spectral correction. Theoretical detector responsivity derived from measured cathode radiant sensitivity multiplied by the diffraction efficiency (as shown in Figure S7). Note that red line shows inverse of spectral correction to match detector response.



**Figure 7.** Fluorescence emission spectra of PSLs. Steady-state fluorescence signatures displayed as EEMs (left column) and spectra at Xe1 and Xe2 (middle, right columns) for: 2.07  $\mu$ m purple (**a**, **b** and **c**, 1082 particles), 2.1  $\mu$ m blue (**d**, **e** and **f**, 1557 particles), 2  $\mu$ m green (**g**, **h**, and **i**, 1174 particles), and 2  $\mu$ m red PSLs (**j**, **k**, and **l**, 1474 particles). Within EEMs: white dashed lines show SIBS excitation wavelengths ( $\lambda_{ex} = 285$  and 370 nm), grey diagonal lines indicate 1<sup>st</sup> and 2<sup>nd</sup> order elastic scattering bands (both bands were subtracted automatically by the Aqualog V3.6 software). Red dashed lines and markers (right axes; middle, right columns): averaged and re-binned reference spectra.

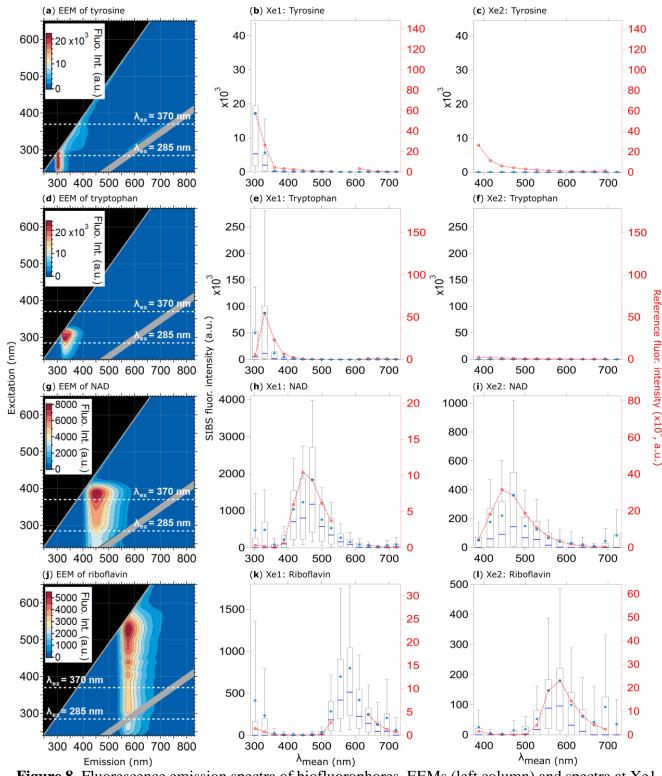
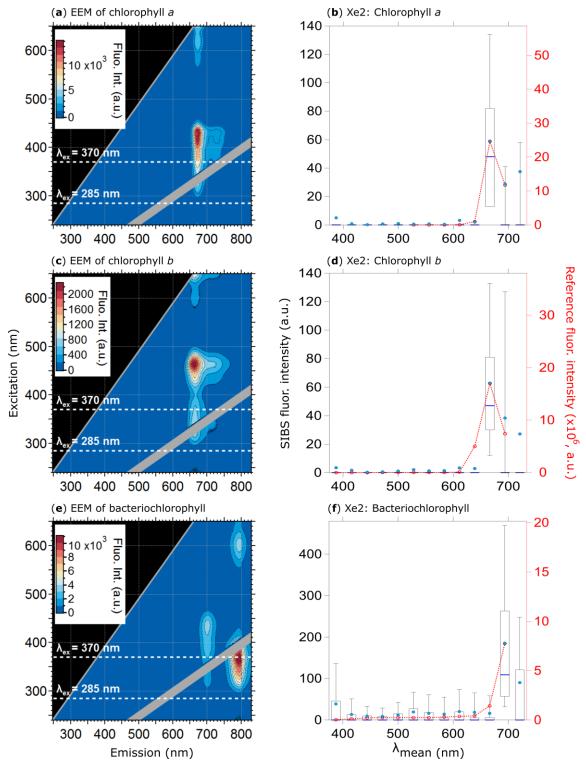
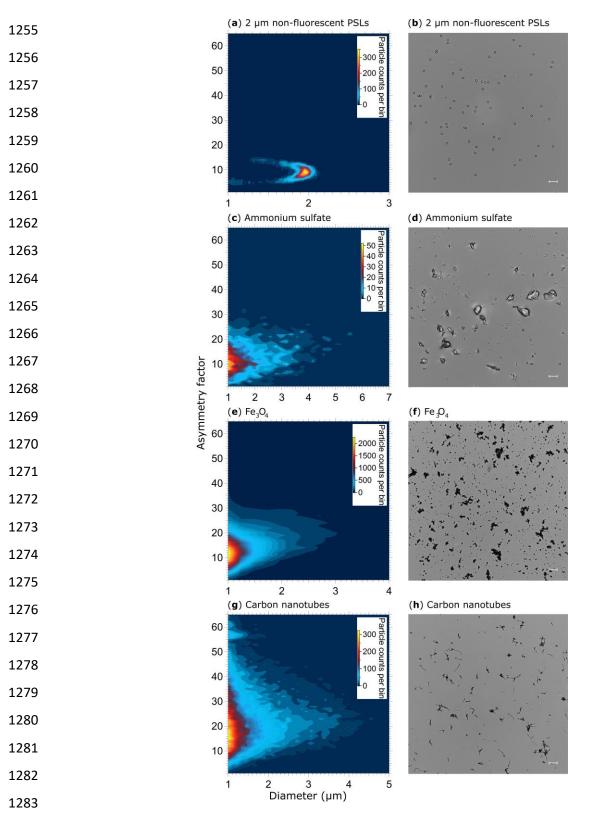


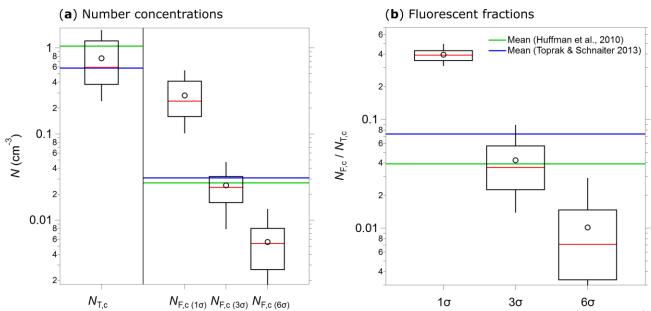
Figure 8. Fluorescence emission spectra of biofluorophores. EEMs (left column) and spectra at Xe1
and Xe2 wavelengths (middle and right columns) shown for: tyrosine (a, b, and c, 209 particles),
tryptophan (d, e, and f, 193 particles), NAD (g, h, and i, 376 particles), and riboflavin (j, k, and l,
205 particles). Red dashed lines and markers (right axes; middle, right columns): averaged and rebinned reference spectra. All biofluorophores were size-selected between 1 and 2 μm.



**Figure 9.** Fluorescence emission spectra of three chlorophyll types. Highlighted are EEMs (left column) and spectra at Xe2 (right columns) for: chlorophyll *a* (**a** and **b**, 370 particles), chlorophyll *b* (**c** and **d**, 585 particles), and bacteriochlorophyll (**e** and **f**, 633 particles). Red dashed lines and markers (right axes; right column): averaged and re-binned reference spectra. Size range chlorophyll *a* and *b*: 0.5 - 2  $\mu$ m, size range bacteriochlorophyll: 0.5 - 1  $\mu$ m. Emission spectra at Xe1 are excluded due to a fluorescence artifact caused by solved components from the polymer of the aerosolization bottles (Fig. S11).



**Figure 10.** Particle asymmetry. Shown are particle density histograms (left column) and microscopy images (right column) for: 2  $\mu$ m non-fluorescent PSLs (**a** and **b**, 17836 particles), ammonium sulfate (**c** and **d**, 3496 particles), Fe<sub>3</sub>O<sub>4</sub> (**e** and **f**, 65097 particles), and carbon nanotubes (56949 particles, **g**). Scale bar (right column) indicates a length of 10  $\mu$ m.



**Figure 11.** Integrated coarse particle (1-20  $\mu$ m) number concentrations, measured between the 12<sup>th</sup> and 18<sup>th</sup> of April 2018 (5 min average), for total particles ( $N_{T,c}$ , fluorescent and non-fluorescent) and coarse fluorescent particles ( $N_{F,c}$ ) after 1, 3, and 6 $\sigma$  SD background signal subtraction (**a**). The fluorescent fractions of integrated coarse particle number concentrations ( $N_{F,c} / N_{T,c}$ ) at 1, 3, and 6 $\sigma$  SD are shown in (**b**). Median (red line), mean (black circles), boxes 75 and 25 percentile, whiskers 95 and 5 percentile (**a** and **b**). Data from Huffman et al. (2010) (green lines) and Toprak & Schnaiter, (2013) (blue lines) were taken for comparison (**a** and **b**).