

1 **Correcting for trace gas absorption when retrieving aerosol optical**
2 **depth from satellite observations of reflected shortwave radiation**

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8

9 **Abstract**

10 Retrieving aerosol optical depth (AOD) from top-of-atmosphere (TOA) satellite-
11 measured radiance requires separating the aerosol signal from the total observed signal. Total
12 TOA radiance includes signal from the underlying surface and from atmospheric constituents
13 such as aerosols, clouds and gases. Multispectral retrieval algorithms, such as the dark-target
14 (DT) algorithm that operates upon the Moderate Resolution Imaging Spectroradiometer
15 (MODIS, onboard Terra and Aqua satellites) and Visible Infrared Imaging Radiometer Suite
16 (VIIRS, onboard Suomi-NPP) sensors, use wavelength bands in “window” regions. However,
17 while small, the gas absorptions in these bands are non-negligible and require correction. In this
18 paper, we use the High-resolution TRANsmision (HITRAN) database and Line-by-Line
19 Radiative Transfer Model (LBLRTM) to derive consistent gas corrections for both MODIS and
20 VIIRS wavelength bands. Absorptions from H₂O, CO₂ and O₃ are considered, as well as other
21 trace gases. Even though MODIS and VIIRS bands are “similar”, they are different enough that
22 applying MODIS specific gas corrections to VIIRS observations results in an underestimate of
23 global mean AOD (by 0.01), but with much larger regional AOD biases of up to 0.07. As recent
24 studies are attempting to create a long-term data record by joining multiple satellite datasets,
25 including MODIS and VIIRS, the consistency of gas correction becomes even more crucial.

26

27 **1. Introduction**

28 Aerosols are fine particles in the atmosphere that scatter and/or absorb incoming solar
29 insolation, and because of this are active players in Earth's energy budget [*IPCC*, 2013]. In
30 addition aerosols affect cloud and precipitation processes [*Denman et al.*, 2007; *Boucher et al.*,
31 2013], and they degrade air quality, contributing to increased morbidity and mortality rates
32 world-wide [*Lim et al.* 2012]. For these reasons characterizing and monitoring aerosol
33 distributions has become a global priority [*Boucher et al.*, 2013].

34 Satellite aerosol remote sensing allows for the characterization and monitoring of
35 aerosols globally [*Lenoble et al.*, 2013]. Different aerosol remote sensing schemes are applied,
36 depending on the information received by the different satellite sensors [*McCormick et al.*, 1979;
37 *Herman et al.*, 1997; *Stowe et al.*, 1997; *Tanré et al.*, 1997; *Kaufman et al.*, 1997a; *Torres et al.*,
38 1998; *Veefkind et al.*, 1998; *Higurashi and Nakajima*, 1999; *Deuzé et al.*, 1999; *Knapp et al.*,
39 2002; *Martonchik et al.*, 1998; *Liu et al.*, 2005; *Kahn et al.*, 2001; *North et al.*, 1999, *Bevan et al.*,
40 2012]. In terms of passive satellite sensors that measure the solar radiation reflected by the Earth-
41 atmosphere system, aerosol remote sensing methods must isolate the information obtained from
42 the interaction of solar radiation with aerosols from the information obtained from all other
43 interactions: reflectance from the surface, scattering from atmospheric molecules and clouds,
44 absorption by atmospheric gases, etc. [*Vermote et al.*, 1997]. Thus, characterizing and removing
45 these other sources of information in the satellite signal becomes a fundamental part of the
46 process.

47 Some of the interactions requiring removal continue to receive considerable attention as
48 new sensors are deployed and new aerosol remote sensing algorithms are derived. These include
49 characterizing the contribution from the surface and masking clouds [*Hutchison et al.*, 2008; *Shi*

50 *et al.*, 2014;]. Other interactions received much less attention, as these are considered to be well-
51 understood and simple to apply to new situations. These latter ones include molecular scattering
52 and gaseous absorption [*Tanré et al.*, 1992; *Vermote et al.*, 1997]. However, the requirements on
53 the accuracy of aerosol remote sensing products become tighter as instrument capabilities,
54 calibration and retrieval methods improve. For example, *Hollman et al.*, (2013) has recently
55 suggested that to reduce uncertainties on climate, aerosol optical depth (AOD) should be
56 monitored to an accuracy on the order of $\pm(0.03 + 10\%$; e.g. GCOS, 2011, GCOS-IP, 2016). The
57 Atmospheric Clouds and ocean Ecosystems (ACE) white paper called for an accuracy of $\pm(0.02$
58 $+ 10\%)$ [*Starr et al.*, 2010]. To meet such tight criteria, all aspects of traditional aerosol remote
59 sensing methods require re-examination with the objective to reduce uncertainties in the final
60 retrieval, and to assure continuity as the aerosol climate data record is passed from one sensor to
61 the next [*Popp et al.*, 2016].

62 In this paper we focus on gaseous absorption. Aerosol retrieval algorithms (*Vermote et*
63 *al.*, 1997) tend to use satellite observations taken in wavelength regions where gas absorptions
64 are small. However, while gas absorption is small in these “window” bands, it is not zero. For
65 example, for the 20 nm-wide Moderate Resolution Imaging Spectroradiometer (MODIS) band
66 near 0.55 μm , in the middle of the Chappius region, there is absorption due to ozone. For a US
67 1976 Standard Atmosphere (US76, 1976), with total column ozone of 344 Dobson Units (DU),
68 the gas absorption optical depth (τ^{GAS}) is about 0.03 in this band. This is of similar magnitude to
69 pristine AOD (~ 0.05), and is equal to the required measurement accuracy (GCOS; 2011, GCOS-
70 IP, 2016). Water vapor, measured as precipitable water vapor (PW or w), absorbs as well and
71 introduces even greater uncertainty. For example, the w of the US76 standard atmosphere is a
72 modest 1.4 cm, which translates to τ^{GAS} of about 0.025 in the MODIS 2.11 μm band or a τ^{GAS} of

73 0.05 for a similar-wavelength Visible Infrared Imaging Radiometer Suite (VIIRS) band centered
74 near 2.25 μm . The major difficulty with ozone and water vapor is that the total column burden of
75 these gases varies spatially and temporally over the globe [Hegglin *et al.*, 2014]. Other trace
76 gases, including carbon dioxide and methane, also absorb shortwave radiation in wavelength
77 specific regions. While these gases are more evenly distributed (well-mixed) across the globe,
78 failing to correct for their absorption would also lead to errors in aerosol retrieval.

79 Different aerosol retrieval algorithms respond to the challenge of gaseous correction
80 differently. Some include all gaseous absorbers and account for the variability of water vapor
81 and ozone [Levy *et al.*, 2013; 2015], while others use a fixed ozone concentration [e.g Thomas *et*
82 *al.*, 2010; Sayer *et al.*, 2012], and others correct for some gases, but consider the effect of other
83 gases to be negligible [MISR ATBD 09, 2008 :
84 <https://eosps0.gsfc.nasa.gov/sites/default/files/atbd/atbd-misr-09.pdf>]. Few include methane
85 [Levy *et al.*, 2013; 2015]. How does a less complete gaseous correction scheme affect the global
86 retrieval of AOD? How sensitive are gaseous absorption schemes to slight shifts in spectral
87 bands from instrument to instrument? While all operational aerosol retrieval algorithms employ
88 gaseous correction schemes in their retrieval and describe these schemes, more or less, within the
89 “gray literature” of internal documentation, there are few recent articles in the peer-reviewed
90 literature that openly describe the process and quantify the impact of the subtle choices made
91 during algorithm development.

92 In this paper we re-examine gaseous correction as it is applied in the traditional MODIS
93 Dark Target (DT) aerosol retrieval [Levy *et al.*, 2013], and as that retrieval algorithm is ported to
94 the new VIIRS data [Levy *et al.*, 2015]. In Section 2 we discuss the absorption of radiation by
95 atmospheric gases within the MODIS and VIIRS bands used for the DT aerosol retrieval. We

96 introduce the relationship of gas abundance to its transmittance spectra, which is the theoretical
97 basis for gas corrections in DT AOD retrievals. The atmospheric gas correction methodology is
98 detailed in Section 3. The impact of the updated atmospheric gas corrections applied to the
99 Collection 6 MODIS AOD is also briefed in Section 3. In Section 4 we discuss the importance of
100 accurate atmospheric gas corrections in the context of DT AOD retrievals from the VIIRS
101 instrument. The study is summarized and concluded in Section 5.

102

103 **2. The DT approach to aerosol retrieval and gas correction**

104 **2.1 The DT aerosol algorithm and wavelength bands**

105 As explained in detail by Levy et al. (2013; 2015) and references therein, the dark-target
106 (DT) aerosol algorithm uses seven channels (or bands) covering the solar reflective spectral
107 region from blue to the shortwave infrared (SWIR) to characterize aerosols, clouds and the
108 Earth's surface. These bands were specifically chosen to correspond to the spectral window
109 regions of minimal gas absorption. On MODIS, these bands include B1, B2, B3, B4, B5, B6 and
110 B7, which are each 20-50 nm in width and centered near 0.65, 0.86, 0.47, 0.55, 1.24, 1.63 and
111 2.11 μm , respectively. On VIIRS, the DT algorithm uses bands M3, M4, M5, M7, M8, M10 and
112 M11, which are the "moderate resolution" or M-bands with 20 and 60 nm bandwidths, and are
113 centered near 0.48, 0.55, 0.67, 0.86, 1.24, 1.60 and 2.26 μm , respectively.

114 The DT algorithm is actually two algorithms, one applied to MODIS- or VIIRS-measured
115 reflectance over land surfaces and the other to measured reflectance over ocean (Levy et al.,
116 2013; 2015). Both the land/ocean algorithms employ a single atmospheric gas correction method
117 before any retrieval is performed. DT uses a LUT approach in which atmospherically corrected

118 observed top-of-atmosphere (TOA) reflectance is compared with simulated reflectance. The
119 simulations are calculated by radiative transfer codes, and account for multiple scattering and
120 absorption effects of a combined surface (land or water), molecular (Rayleigh), and aerosol
121 scene, but do not account for gaseous absorption. These simulations also account for the angular
122 dependence of the scattered radiation, through use of a pseudo-spherical approximation (e.g.
123 Ahmad and Fraser, 1991). The DT retrieval operates on regions of pixels for which cloud pixels,
124 glint pixels, and other unsuitable pixels have been masked out. Thus, the DT aerosol retrieval is
125 performed for cloud-free sky, and assumptions have been made about the surface reflectance
126 properties and atmospheric constituents. The LUT is interpolated as a function of observing
127 geometry (solar and view zenith and azimuth angles), and then searched to determine which
128 aerosol conditions provide the spectral reflectance that best “matches” the spectral reflectance
129 observed by the satellite. The reported solution (retrieved spectral AOD) is some function of the
130 solutions that meet sufficient criteria for matching the observations. For the DT algorithm,
131 expected uncertainty for retrieved AOD at 0.55 μm (as compared to global network of sun
132 photometers) is $\pm(0.05 + 15\%)$ over land, and $\pm(0.03 + 10\%)$ over ocean [Levy *et al.*, 2013].

133 These LUTs are created as if the atmosphere is composed only of aerosol and scattering
134 (Rayleigh) molecules. The gas absorption is assumed to be zero. This is because of the large
135 spatial/seasonal variability of two of the primary absorbers: ozone and water vapor. Ozone can
136 range from 100 to 500 DU around the globe [Hegglin *et al.* 2014] and water vapor varies by an
137 order of magnitude from the wet tropics to the dry poles. It would be cumbersome and
138 computationally inefficient to add two or more new indices to the LUT and cover the dynamic
139 range of each gas in the LUT calculation.

140 While gas absorption in these window bands may be small, they are not zero, as
141 described above. Figure 1 shows the TOA transmission spectra (black lines) in the 0.4 – 2.5 μm
142 spectral range in the presence of major gases, including H_2O , O_3 , CO_2 , CH_4 , O_2 , N_2O , and CO .
143 The transmission spectra of each gas was calculated using the Line-by-Line Radiative Transfer
144 Model (LBLRTM) code [Clough *et al.*, 1992, 2005] for a nadir viewing geometry and for the US
145 1976 Standard Atmosphere (US76; 1976). A transmittance of 1.0 indicates that the atmosphere
146 is transparent to incoming solar radiation (insolation) i.e. it is not absorbed in the atmosphere.
147 Overlaid on Fig. 1 are the spectral response functions of the seven MODIS channels (blue
148 curves) and seven VIIRS channels (red curves) used in the DT retrievals. As can be seen from
149 Fig. 1, depending on the wavelength, the atmosphere can be totally transparent to a certain gas
150 and partially opaque to another. For example, in the MODIS 0.62-0.67 μm band (B1), H_2O , O_3 ,
151 and O_2 , absorb radiation while CO_2 , N_2O , CO , and CH_4 do not. In the 1.230 – 1.250 μm band
152 (B5), O_2 , H_2O and CO_2 are major absorbers while other gases are not. Absorption bands of the
153 major atmospheric gases are listed in Table 1.

154 Note that there are also wavelength regions that are nearly opaque because of gas
155 absorption. For example, Fig. 1 shows the well-known water vapor absorption within the
156 wavelength region near 1.38 μm . Because of the strong absorption, the 1.38 μm band cannot be
157 used for aerosol retrieval. Yet, this band is very useful for detecting cirrus clouds that would
158 otherwise contaminate a cloud-free aerosol retrieval (Gao *et al.*, 2002). This special case of using
159 absorption information is not discussed further in this paper.

160 **2.2 Derivation of a gas absorption correction**

161 Because the LUT is calculated without gas absorption, an alternative technique must be
 162 substituted to account for the effect of the gases in each wavelength. If not, then when the
 163 algorithm attempts to match the measured TOA reflectances to the LUT-calculated reflectances
 164 the LUT values will be brighter than the measured values for the same amount of aerosol. In the
 165 most straightforward sense retrieved AOD, dominated by scattering, will be systematically too
 166 low because the retrieval will be searching for a less bright TOA reflectance in the LUT, with
 167 less aerosol, to match the observed values. The algorithm deals with this mismatch between
 168 measured and LUT reflectance caused by the missing gas absorption in the LUT values by
 169 adjusting *the measured* TOA reflectances in each wavelength band, in effect brightening the
 170 measurements to better match the values in the LUT.

171 Figure 1 shows that six gases (H₂O, O₃, CO₂, N₂O, O₂ and CH₄) have absorption lines
 172 that fall within the wavelength bands used for the DT aerosol retrieval. Because each window
 173 band spans tens of nanometers, every DT channel is affected by at least one gas where the
 174 transmittance is less than 1.0.

175 We have introduced two measures, gas opacity and transmissivity corresponding to the
 176 gas absorption optical depth and transmittance. The two parameters are related via,

$$T_{\lambda}^i = \exp(-G^i \tau_{\lambda}^i) \quad \dots \dots \dots (1)$$

177
 178 where T_{λ}^i is the downward transmittance for a particular wavelength band or λ , and for a
 179 particular absorbing gas “ i ”, and where τ_{λ}^i is the gas optical depth designated for the particular
 180 gas and wavelength and G^i is the airmass factor (or the atmospheric path length i.e. the slant path
 181 through the atmosphere) for gas i . Equation (1) shows that transmission of light is a function of

182 the airmass factor (G^i) and the gas optical depth (τ_λ^i), and that transmissivity decreases with
183 increasing air mass and increasing gas concentration.

184

185 **2.2.1 Gas optical depth**

186 The gas optical depth, τ_λ^i , represents the spectral integral over the wavelength band, and
187 if the gas concentration was uniform along the path (column), then τ_λ^i would be directly
188 proportional to the loading of gas i in the column. Some gases are indeed well-mixed in the
189 atmosphere, but water vapor and ozone are not. These important absorbers exhibit distinctive
190 vertical profiles, as will be discussed in Section 3.1. Note that each individual gas has its own
191 particular absorption efficiency based on its characteristic absorption cross section, and that for
192 the same column concentrations τ_λ^i will be different for different gases. In the absence of a long
193 slant path, and for small gas optical depths ($\tau_\lambda^i \ll 1.0$), transmission can be estimated by

194 $T_\lambda^i \sim 1 - G^i \tau_\lambda^i$.

195

196 **2.2.2 Airmass factor**

197 The airmass factor, G can be approximated as $G=1/\cos Z$ where Z is the zenith angle, for a
198 homogenous (exponential decay) atmosphere, and for small values (near nadir) of a zenith angles
199 Z . This is the flat earth approximation. As Z increases beyond 60° , the air mass factor is more
200 accurately described by spherical shell geometry towards the horizon [Gueymard, 1995], i.e.:

$$G = \sqrt{(r \cos Z)^2 + 2r + 1} - r \cos Z \quad \dots \dots (2)$$

201 where, $r = R_E / H_{atm}$; R_E = radius of Earth (6371km) and H_{atm} = effective scale height of the
202 atmosphere (approx 9km). This expression accounts for Earth's sphericity and atmospheric

203 refraction. Differences in computing G are small for $Z < 70^\circ$, but increase to 10% as $Z = 84^\circ$ (the
 204 maximum zenith angle allowed within the DT algorithm).

205 Yet, there are complications. When atmospheric constituents are well-mixed and their
 206 concentrations are nearly proportional to altitude within the atmosphere, Eq (2) is sufficient.
 207 However, water vapor (concentrated near the surface) and ozone (concentrated in the
 208 stratosphere) are not well-mixed in the vertical, having different scale heights. In this layered
 209 situation (rather than continuous), there are empirical formulas (e.g. *Kasten and Young, 1989*)
 210 that provide slight improvements to the calculation of G assuming spherical geometry. For
 211 example, *Gueymard, [1995]* derived the empirical formula

$$G^i = (\cos Z + a_{i,1} Z^{a_{i,2}} * (a_{i,3} - Z)^{a_{i,4}})^{-1} \dots \dots \dots (3)$$

212 where $a_{i,j}$ are the coefficients ($j=1,4$) for gas type i . Thus, G^i varies with gas type and specific
 213 profile within the atmosphere. The values of coefficients $a_{i,j}$ can be found in Table 4.1 of
 214 *Gueymard, [1995]*.

215 As long as the total gas optical depth is small ($\sum_i \tau_\lambda^i \ll 1.0$), the total transmission of all
 216 trace gases is well-approximated by the product of each individual gas (i.e.):

$$T_\lambda^{GAS} = \prod_i T_\lambda^i = \exp\left(\sum_i -G^i \tau_\lambda^i\right) \dots \dots \dots (4a)$$

217 The total gas transmissivity defined in Equation (4a) for each wavelength band quantifies the
 218 degree to which the measured reflectance will be diminished due to gaseous absorption. In order
 219 to match the measured reflectances to those calculated for the LUT, these diminished
 220 reflectances have to be “corrected” or brightened. This correction factor is simply the inverse
 221 transmissivity, \tilde{T} ,

$$\tilde{T}_\lambda^{GAS} = 1/T_\lambda^{GAS} = \exp\left(\sum_i G^i \tau_\lambda^i\right), \quad \dots \dots \dots (4b)$$

222 which when multiplied with the measured reflectance restores the amount of light absorbed by
 223 gases along the one-way path of transmission. Or, given a measured radiance, L_M , the corrected
 224 (brightened) radiance L , is simply, $L = L_M * \tilde{T}$.

225 When observing from a ground-based sun photometer (e.g. AERONET), the correction is
 226 straightforward, because the path of transmission traverses the depth of the atmosphere only
 227 once. The problem is more complicated for satellite remote sensing, because a satellite measures
 228 radiation that has traveled downwards through the atmosphere and then back up to space. We
 229 have to calculate a two-way correction factor and G must account for the Z angles of both
 230 downward (the solar zenith angle) and upward paths (view zenith). As Z gets large, the vertical
 231 profile of the gas (layering) becomes more important.

232 There are two parameters determining the transmission, T_λ^{GAS} , and therefore the
 233 correction factor, \tilde{T}_λ^{GAS} , and these are G^i and τ_λ^i . The goal, then, is to parameterize equation 4(a)
 234 or 4(b) i.e. the relationship between atmospheric transmission of gas and $G^i \tau_\lambda^i$; taking into
 235 consideration the varying gas concentrations and their vertical profiles through the atmosphere,
 236 around the globe. Furthermore, the parameterization will be developed to link \tilde{T}_λ^{GAS} directly to
 237 column measures of the gases instead of to the optical depth. This allows the algorithm to
 238 bypass calculations of optical depth from inputs of precipitable water vapor (w in cm) and ozone
 239 (O in Du), and instead use the inputs directly.

240

241 **3. Use of LBLRTM to derive gas absorption parameterization**

242

243 To develop an empirical relationship between atmospheric gas transmission, the airmass
244 factor (G^{\uparrow}) and its optical depth ($\tau_{\lambda}^{\uparrow}$), we require a radiative transfer (RT) code that can
245 accurately simulate the gaseous absorption and transmission process in the atmosphere. Among
246 other things, the RT code requires these two pieces of information: (a) the absorption cross-
247 sections and concentration of gas constituent in spectral bands of interest and (b) accurate high-
248 resolution information of the absorption spectra of the relevant gases. The MODIS and/or VIIRS
249 channels widths are on order of 20-50 nm. We require a high-resolution database to capture the
250 fine absorption lines within these bandwidths. To address (a) and (b), we use the Line-By-Line
251 Radiative Transfer Model (LBLRTM) to parameterize equations 4(a) and 4(b) instead of a
252 MODTRAN based RT code. The following section provides details of LBLRTM.

253

254 **3.1 LBLRTM description**

255 The Line-By-Line Radiative Transfer Model (LBLRTM) is known to be an accurate and
256 flexible radiative transfer model that can be used over the full spectral range from ultraviolet to
257 microwave [Clough et al., 2005]. It uses the High-resolution TRANsmission (HITRAN)
258 molecular absorption database [Rothman et al., 2009] to calculate transmittance and radiance of
259 molecular species. The HITRAN2008 database contains over 2,713,000 lines for 39 different
260 molecules. The spectral resolution of the data is different in different spectral regions and for
261 different species [see Rothman et al., 2009]. For example, for water vapor absorption in the Near
262 IR region, the line resolution is 0.001 cm^{-1} [$2.5 - 3.4 \text{ }\mu\text{m}$]. The LBLRTM has been extensively
263 validated against atmospheric radiance spectra [e.g. Turner et al., 2003; Shephard et al., 2009;
264 Alvarado et al., 2013]. Use of the HITRAN database and other attributes of LBLRTM provide
265 spectral radiance calculations with accuracies that are consistent with validation data. Limiting

266 errors are, in general, attributable to line parameters and line shape. Algorithmic accuracy of
267 LBLRTM is approximately 0.5% and is about five times less than the error associated with line
268 parameters [Clough *et al.*, 2005].

269 3.2 LBLRTM calculations for MODIS and VIIRS

270 The LBLRTM model was run for many scenarios representing different combinations of
271 gas vertical profiles, gas concentrations and air mass factors for each type of gas and each of the
272 wavelength bands of interest. Transmissions of the ten important atmospheric gases, viz. H₂O,
273 O₃, O₂, N₂O, NO₂, NO, SO₂, CO₂, CO, and CH₄, that affect either the MODIS or the VIIRS
274 spectral bands [Levy *et al.*, 2013] were calculated. However, only H₂O, O₃, CO₂, N₂O, O₂ and
275 CH₄ were found to have some absorption in the wavelength bands used for the DT aerosol
276 retrieval (Tables 2.1, 2.2). The results link transmission, T_{λ}^i , or gas correction factor, \tilde{T}_{λ}^i , to gas
277 path length, $G^H_2O w$ or $G^O_3 O$, for water vapor (H₂O) and ozone (O₃), respectively, where w is the
278 precipitable water vapor in cm and O is ozone column loading in DU. Values for w and O are
279 input into the algorithm from ancillary data. The other gases are considered to be well-mixed and
280 not varying spatially or temporally, and therefore, are not dependent on input ancillary data. The
281 final parameterization will be curve fits through the scatter of the model results.

282 As described in Section 2.2, $\tilde{T}_{\lambda}^{GAS}$ will be affected by the vertical distribution of the gases
283 in the column, especially at oblique zenith angles. To account for this effect in building the
284 parameterization we use 52 atmospheric profiles (personal communication, Pubu Ciren, NOAA,
285 Chevallier, 2002) that were obtained from model runs, and characterize different locations and
286 seasons (Figure 2). The columnar gas concentrations differ across the 52 profiles, varying by
287 more than a factor of ten for water vapor, and by 100% for ozone. Except for NO₂, which is

288 highly variable in both horizontal and vertical, the other trace gases tend to be well-mixed
289 throughout the atmosphere. Using radiative transfer calculations, Ahmad et al., (2007) show that
290 NO₂ has largest impact (1%) on TOA reflectance in the blue channels (412 and 443 nm). Other
291 visible channels are impacted to a lesser degree. We will use the term ‘dry gas’ to denote the
292 eight gases that are neither H₂O or O₃, and use the US 1976 Standard Atmosphere (US 76) as a
293 default profile.

294 For H₂O and O₃, and each of their respective profiles, we use LBLRTM to calculate air
295 mass factors and transmissions for 10 values of viewing zenith angle, ranging from 0° – 80°.
296 Transmission is integrated across the wavelength band, and weighted by relative sensor response
297 (RSR) (Barnes et al., 1998; Xiaoxiong et al., 2005) within the band. Because air mass factor (G^i)
298 varies with gas type (on account of the vertical profile), LBLRTM calculates G^i as well as
299 transmission for the given column amount of gas i . For “dry gas”, the integrated RSR weighted
300 transmission is converted to gas optical depth, so dry gas transmission (as a function of air mass
301 factors) is easily computed using Eq (1). The US 1976 Standard Atmosphere (US 76) profiles are
302 used to compute “dry gas” transmission for nadir view.

303 Figure 3 plots the relationship between absorption correction factor, \tilde{T}_λ^{GAS} , and gas path
304 length, $G^{H_2O}w$, for H₂O (panel a) and, $G^{O_3}O$, for O₃ (panel b), for MODIS. Figure 4 plots the
305 same for VIIRS. These correction factors (inverse of transmission) are plotted for each window
306 band, for different combinations of H₂O or O₃ concentrations (w in cm or O in DU) and
307 internally derived air mass factors (G^i) for the given gas type and specific vertical profile. Water
308 vapor, being so variable as well as concentrated near the boundary layer, cannot be explained
309 with a linear relationship. However, for water vapor (panels (a) in both figures 3, 4), a near-

310 linear dependence of $\tilde{T}_\lambda^{H_2O}$ to $G^{H_2O}w$ does exist in log-log space. Although, even within the log-
 311 log space, there is a small curvature that required a quadratic empirical fit. For ozone, however,
 312 the log of our correction factor ($\tilde{T}_\lambda^{O_3}$) is nearly linear as a function of absorption through a slant
 313 path ($G^{O_3}O$). Again, note that G^i is computed by LBLRTM, and represents the curvature and
 314 vertical profile of each gas type.

315 Equation 5 describes the quadratic empirical relationship (seen in Fig.3a and Fig 4a)
 316 between the gas transmission correction factor of water vapor ($\tilde{T}_\lambda^{H_2O}$), its concentration (w) and
 317 air mass factor (G^{H_2O}):

318

$$\tilde{T}_\lambda^{H_2O} = \exp(\exp(K_{1,\lambda}^{H_2O} + K_{2,\lambda}^{H_2O} \ln(G^{H_2O}w) + K_{3,\lambda}^{H_2O} (\ln(G^{H_2O}w))^2)) \dots \dots \dots (5)$$

319

320 and Equation 6 describes the near linear relationship for ozone (panels b in both Fig. 3
 321 and Fig. 4).

322

$$\tilde{T}_\lambda^{O_3} = \exp(K_{1,\lambda}^{O_3} + K_{2,\lambda}^{O_3}(G^{O_3}O)) \dots \dots \dots (6)$$

323 “ O ” denotes ozone concentration in Eq. 6 and G^{O_3} is the airmass factor for Ozone and is
 324 computed using equation 3.

325 The regression coefficients $K_{1,\lambda}^{H_2O}$, $K_{2,\lambda}^{H_2O}$, $K_{3,\lambda}^{H_2O}$ and $K_{1,\lambda}^{O_3}$, $K_{2,\lambda}^{O_3}$ (the slopes and intercepts)
 326 for H_2O and O_3 are presented for MODIS and VIIRS in Tables 3.1 and Table 3.2. The slope and
 327 intercepts are wavelength dependent (lines of different color on Figs. 3 and 4) and in accordance
 328 with absorption characteristics of the gas. For example Table 2.1 shows that water vapor

329 absorption is the highest in MODIS band 7 (B7 = 2.11 μm) and lowest in B3 (0.47 μm).
 330 Correspondingly, the slope and intercept for the H₂O regression relation (Table 3.1) indicates
 331 largest water vapor correction in B7 and lowest in B3. Similarly, largest correction (and slope)
 332 for ozone is in MODIS B4 (0.55 μm) and lowest in B7.

333 To calculate the correction factors for water vapor ($\tilde{T}_\lambda^{H_2O}$) and ozone ($\tilde{T}_\lambda^{O_3}$), Equations (5)
 334 and (6) require information on water vapor (w) and ozone concentration (O). For the DT
 335 algorithm, these are provided by an ancillary data set. For the current version (e.g. MODIS
 336 Collection 6), ancillary data are acquired from National Center for Environmental Prediction
 337 (NCEP) analysis, specifically the “PWAT” and the ozone fields from the 1° X 1° global
 338 meteorological analysis (created every six hours – format “gdas.PGrbF00.YYMMDD.HHz”).
 339 Note that there are water vapor products derived operationally from MODIS and VIIRS data
 340 (e.g. Gao and Goetz, 1990; Kaufman and Gao, 1992). However, the DT aerosol algorithm runs
 341 before these other algorithms in the processing chain, causing the internally-derived water vapor
 342 to be unavailable to the aerosol algorithm in real-time processing and thus, the reliance on
 343 ancillary data.

344 In case the ancillary information is not available, the gas absorption can still be estimated.
 345 Either a forecast field (e.g. GDAS forecast) or a “climatology” can be used. For example, if the
 346 US76 atmosphere is assumed as the climatology for gas profiles, then τ^i for that gas is given in
 347 Table 3.1 and 3.2. In this case, we use Equations (7) and (8) to calculate correction factors for
 348 water vapor and ozone respectively:

$$\tilde{T}_\lambda^{H_2O} = \exp(G^{H_2O} \overline{\tau^{H_2O}}) \quad \dots \dots \dots (7)$$

$$\tilde{T}_\lambda^{O_3} = \exp(G^{O_3} \overline{\tau^{O_3}}) \quad \dots \dots \dots (8)$$

349 where $\overline{\tau^{H_2O}}$ and $\overline{\tau^{O_3}}$ are the climatological mean values of gas optical depth for water vapor
350 and ozone, respectively.

351 $\tilde{T}_\lambda^{Dry Gas}$ is the correction factor due to dry gas, which includes CO₂, CO, N₂O, NO₂, NO,
352 CH₄, O₂, SO₂, and other trace gases in LBLRTM calculations. For the DT retrieval bands only
353 CO₂, N₂O, CH₄, O₂ contribute to absorption (Tables 2.1, 2.2). Since the gases are generally well-
354 mixed throughout the entire atmosphere and do not experience day-to-day changes, we only
355 consider the climatological mean of the total optical depth of the combined dry gases, and
356 compute its transmittance factor as follows:

$$\tilde{T}_\lambda^{Dry Gas} = \exp(G^i \overline{\tau^{Dry Gas}}) \dots \dots \dots (9)$$

357
358 Fig. 5 presents the gas optical depth for a US76 atmosphere, for the MODIS bands and
359 corresponding VIIRS bands. In some cases, (e.g. B4 vs. M5) the differences are small. In other
360 cases (e.g. B5 vs. M8), the total optical depth may be similar, but the relative contribution
361 between different gases is different. Finally, in at least one set of bands (B7 vs. M11), both the
362 total optical depth and the relative contributions between gases is very different. The US76 is a
363 case with a small amount of water vapor ($w=1.4$ cm), but one can see how quadrupling the w
364 (e.g. as in a tropical atmosphere) would greatly change the relative correction needed for B7 vs.
365 M11, or even B1 vs. M5.

366

367 **3.3 Application within the DT algorithm.**

368 Whether using “climatology” for water vapor and ozone columns, or using the estimates
369 from a meteorological assimilation system (e.g. GDAS for the current DT algorithm), we need to

370 correct for the combined absorption of all gases. The total gas absorption correction term, \tilde{T}_λ^{gas} ,
 371 is the product of individual gas corrections, that is

$$\tilde{T}_\lambda^{gas} = \tilde{T}_\lambda^{H_2O} \tilde{T}_\lambda^{O_3} \tilde{T}_\lambda^{Dry Gas} \dots \dots \dots (10)$$

372 The MODIS DT aerosol retrieval algorithm ingests calibrated and geolocated MODIS-
 373 measured reflectance data, known as the Level 1B (L1B) product. The corresponding VIIRS DT
 374 algorithm ingests a similar VIIRS-measured product. This measured reflectance, (ρ_λ^{L1B}), is
 375 corrected for atmospheric water vapor, ozone and dry gas, using the correction factors derived
 376 above for each wavelength band:

$$\rho_\lambda = \tilde{T}_\lambda^{gas} \rho_\lambda^{L1B} \dots \dots \dots (11)$$

377 where ρ_λ is the corrected or brightened reflectance that can now be used to compare with the
 378 calculated TOA reflectances of the LUT, as described in Section 2.2. Note that this spectral
 379 reflectance ρ_λ , represents the combination of Rayleigh (molecular scattering), plus aerosol in the
 380 atmosphere. It also includes contributions from Earth’s surface (land or water).

381 The gas-absorption correction methodology is the same whether performed for MODIS
 382 or VIIRS. In fact, the equations (Eqs 5-11) have remained the same throughout all versions of
 383 the DT algorithm. As our ability to characterize absorption lines as well as the spectral response
 384 of the sensor has improved, it is the coefficients of the equations that have evolved. When the
 385 DT algorithm was updated from Collection 5 (C5) to Collection 6 (C6), the underlying gas
 386 absorption corrections became more sophisticated (Levy et al., 2013). This is represented in
 387 Table 4. The primary differences between C5 and C6 are that the HITRAN database in
 388 LBLRTM is used in C6 instead of the MODTRAN parameterization available in 6S that was
 389 used in C5, and that additional “dry” gases have been included in C6’s correction. These changes

390 made a difference. The latest version of aerosol data from DT is Collection 6.1 that uses the
391 same gas absorption corrections as C6. As the DT algorithm is ported from MODIS to VIIRS
392 data, the quality of gas correction will also make a difference.

393 **4 Impact of new gas coefficients**

394 The DT retrieval is based on a LUT approach wherein the measured and modeled spectral
395 reflectance is matched for inversion. Any change affecting the calculation of gas-corrected
396 spectral reflectance will subsequently affect the retrieved AOD. *Levy et al.*, [2013] showed the
397 impact of using the updated atmospheric gas corrections on MODIS C6 AOD retrievals. This led
398 to higher AODs globally. Over land (ocean), the 0.55 μm global mean AOD differed by ~ 0.02
399 (0.007). The large (>0.02 regionally) change over land was primarily due to a larger gas
400 correction in the 1.24 μm MODIS B5 band (see *Levy et al.*, 2013; Fig. A2), which in turn
401 increased the reflectance in B5, and the subsequent estimate of the NDVI in the SWIR channels
402 (B5 vs. B7) used to estimate surface reflectance in other bands (*Levy et al.*, 2010). The stronger
403 gas correction in B5 came from including the O_2 absorption, which had not been accounted for in
404 C5 (see Table 2.1). Interestingly, *Levy et al.* [2013] noted that while the overall correction in B7
405 (2.11 μm) remained similar, the relative weightings of “dry gas” and H_2O was revised.

406 Even though the MODIS and VIIRS instruments have similar channels, the MODIS gas
407 correction coefficients cannot be applied to aerosol retrievals from VIIRS observations. The
408 slight differences in the bandwidth and channel’s central wavelengths (See Fig. 5) will
409 compromise the accuracy of aerosol retrievals. For example, as compared with MODIS B7 (2.11
410 μm), the VIIRS M11 (2.25 μm) band has less absorption from H_2O . However, MODIS B7 lies in
411 a CO_2 absorption band, while VIIRS M11 lies in a region of CH_4 absorption. Although the CH_4

412 optical depth in VIIRS M11 is small (~ 0.03), it will affect the dark-target retrievals in the same
413 way as O_2 inclusion affected C6 retrievals (when compared to C5).

414 As a perturbation experiment we intentionally apply the MODIS gas corrections to the
415 VIIRS observations, even though we know this to be incorrect. Figure 6a plots the spatial
416 distribution of spectral TOA reflectance after applying VIIRS-appropriate gas corrections. It
417 shows the mean monthly TOA reflectance for VIIRS. Figure 6b are the reflectance differences
418 between applying VIIRS-appropriate gas corrections and MODIS gas corrections to VIIRS
419 observations. From top to bottom, we find a mean difference of 0%, -0.5%, -6.6%, -2.7%, -
420 1.5%, 3.2% and 5.3% respectively in VIIRS channels M3, M4, M5, M7, M8, M10, M11.
421 Looking back at Fig. 5, one can see that for example, by using proper M5 assumptions instead of
422 the B1 MODIS assumptions, we now apply only about half the correction as before, resulting in
423 a 6.6% reduction of reflectance. Channel M7, with about 50% less water vapor correction (see
424 Fig. 5), results in 2.7% lower reflectance. Larger gas corrections owing to CO_2 absorption in
425 M10 and CH_4 absorption in M11 (Fig. 5), result in positive bias in M10 and M11 reflectance
426 values globally.

427 Now, we continue the perturbation experiment and test the impact of slight differences in
428 the band positioning between MODIS and VIIRS on AOD retrieval by performing two sets of
429 retrievals. The first set (a) is if we applied appropriate VIIRS band corrections, while the second
430 (b) is as if we had simply (naively) applied MODIS (C6) coefficients to VIIRS data. Figure 7
431 shows the AOD retrieved from these two cases (panels a and b) for an entire month (July 2013)
432 of VIIRS data. While general AOD spatial patterns are in agreement, panel (c) shows differences
433 in AOD of up to 0.07 between the two retrievals. Clearly, naively applied MODIS gas
434 corrections to VIIRS data, would lead to a global mean AOD underestimate of ~ 0.01 for July

435 2013. While these differences are within the global uncertainties for AOD (e.g. GCOS), the
436 regional differences can be much larger.

437 Although once considered to be trivial in magnitude, accurate atmospheric gas
438 corrections have become more important as we strive towards better accuracies in AOD products
439 and towards a seamless climate data record. It is noteworthy that the gas absorption spectra of
440 Figure 1 have been updated several times in recent years [Alvarado et al. 2012] as the scientific
441 community continues to engage in study of gas absorption lines with improved instrumentation
442 and gas spectroscopic measurements. Changing gas absorption spectra will affect the channels
443 designed for new remote sensing instruments and in understanding how these lines might affect
444 the retrieval of proposed geo-physical products. Every instrument design involves
445 characterization of channel bandwidths and the spectral response functions of the instrument's
446 channels. This aptly calls for updates in modeling the absorption by gases in the channels used
447 for aerosol retrievals. For the MODIS Collection 6 AOD product, the team switched from using
448 a MODTRAN gas spectroscopic database to the HITRAN spectroscopic database and found
449 differences.

450 **5. Summary and Conclusions**

451 Performing aerosol optical depth retrieval, from satellite measurements, requires
452 extracting the aerosol signal from the total radiance measured by the sensor at the top-of-
453 atmosphere. The total radiance includes signal from the underlying surface and from atmospheric
454 constituents such as gases, clouds and aerosols. In this paper, we have described the physics and
455 methodology employed by the Dark-Target aerosol retrieval algorithm for atmospheric gas
456 correction of the cloud-free radiance measurements from the MODIS and VIIRS sensors. We

457 have shown that the empirical correction applied to one sensor (MODIS) cannot be applied to
458 another sensor (VIIRS) even when the channels of the two sensors may be similar. For a specific
459 month of VIIRS observations (July, 2013), not accounting for the sensor's bandwidth and
460 positioning of its central wavelength in the electro-magnetic spectrum, can result in an AOD
461 retrieval bias of about 0.01 (global average) and up to 0.07 at regional scales.

462 Water vapor, ozone and carbon dioxide are the major absorbers of solar radiation.
463 Historically, they have been accounted for in atmospheric gas corrections by aerosol retrieval
464 algorithms. However, until recently, standard routine algorithms (e.g. the DT algorithm used on
465 MODIS) did not consider other gases. For example, oxygen with a gas optical depth of about
466 0.016 is important in the MODIS Band 5 (1.24 μm) [Levy et al., 2013]. Methane is an important
467 absorber in band M11 (2.25 μm) of VIIRS with an optical depth of ~ 0.05 . Starting with MODIS
468 Collection 6, and the DT algorithm ported to VIIRS, three additional atmospheric gases [N_2O ,
469 CH_4 , O_2 , SO_2] are addressed by the gas correction in these DT algorithms.

470 For the 'dry gas' component, the DT gas correction assumes a homogeneous global
471 distribution spatially and a US76 type of vertical distribution for the eight gases. Carbon dioxide,
472 oxygen, nitrous oxide and methane are major absorbers in our 'dry gas' category. Except for
473 NO_2 , which is highly variable in both horizontal and vertical, the other gases tend to be well-
474 mixed throughout the atmosphere. Spatial variability of well-mixed gases is typically around
475 10%, mostly latitudinal and is smaller than seasonal variability (e.g. see methane maps here:
476 <http://www.temis.nl/climate/methane.html>). For the nadir view, 10% error due to spatial
477 variability will only introduce an error of 0.005 in the methane correction (optical depth ~ 0.05 in
478 VIIRS channel M11). For now, this is a small uncertainty in the overall retrieval. However, as
479 requirements for aerosol retrieval accuracies tighten, even these well-mixed dry gases will

480 require removal of any seasonal and regional biases by using ancillary measurements of these
481 gases or at least seasonal global climatology of gas optical depths, instead of a single
482 climatological value for the entire globe.

483 Since the DT algorithm corrects for H₂O and O₃ using ancillary data at every 1° X 1° grid
484 box, spatial and seasonal variability of these gases is being accounted for. However, the ancillary
485 data has its own uncertainties that propagate into the gas correction and aerosol retrieval. The
486 Dark-Target team is working towards estimating the error in per-pixel AOD retrievals introduced
487 from several error sources including the errors in H₂O and O₃ ancillary data (GDAS) used for
488 atmospheric gas corrections. Preliminary analysis suggests (not shown here) that gas corrections
489 errors, stemming from considering 20% errors in ancillary data, are much smaller (more than an
490 order of magnitude) than errors from surface albedo uncertainty, aerosol model selection, spatial
491 heterogeneity in a scene, calibration and cloud contamination errors. This is work in progress and
492 subject to future publication.

493 The VIIRS instrument onboard Suomi-NPP is a follow-on of the MODIS instrument on
494 Terra and Aqua satellites. While the Dark-Target team strives to create a seamless climate data
495 record (CDR) of AOD from MODIS and VIIRS, it requires a consistency in AOD retrieval of
496 about 0.02. Any compromise with the accuracy of AOD retrieved from either sensor will impact
497 the CDR consistency requirement. To strive toward these requirements, we cannot ignore quality
498 atmospheric gas corrections in AOD retrievals and we will update the gas correction factors for
499 each instrument as the community updates the gas absorption database.

500 As we move into an era of new aerosol missions, revisiting and updating gas corrections
501 in state-of-art algorithm becomes as important as improving upon other factors (e.g. better
502 surface characterization, cloud clearing, aerosol properties etc.) that affect the AOD retrieval.

503 The dark-target algorithm software has now been generalized to retrieve AOD from sensors
504 other than MODIS and VIIRS. It will be necessary to accurately characterize gases from such
505 current and future instruments as Himawari, GOES-R, etc.

506

507

508

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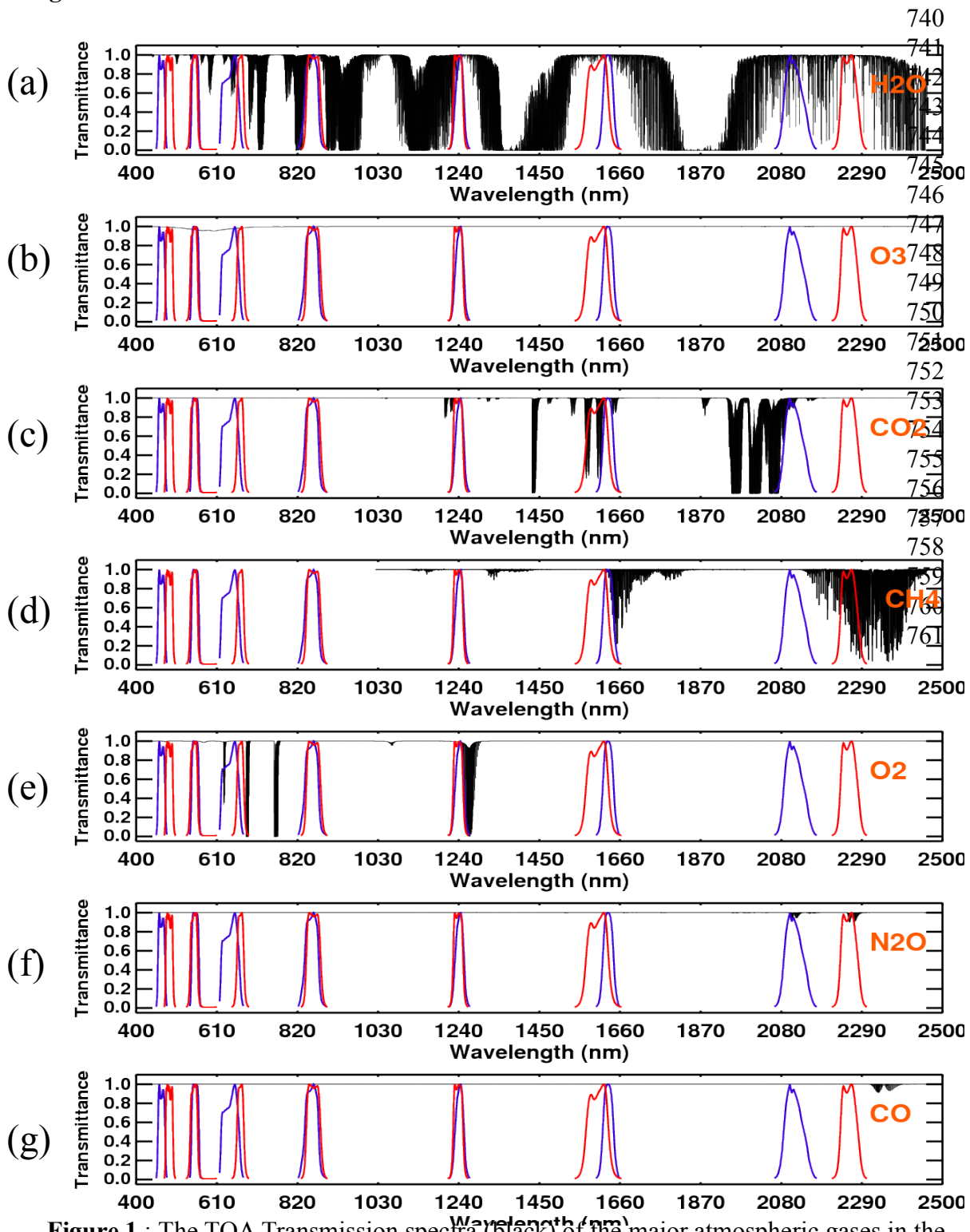


Figure 1 : The TOA Transmission spectra (black) of the major atmospheric gases in the Visible and Near Infrared part of electromagnetic spectrum (400 – 2500 nm). The Line-by-line radiative transfer model (LBLRTM) was used to calculate these gas spectra for a nadir viewing geometry and the 1976 US Standard atmosphere. The spectral response functions of MODIS channels B1-B7 (blue curves) and seven VIIRS channels (red curves) are overlaid to visualize their position in the atmospheric ‘window’ regions where gas absorption effect is minimal

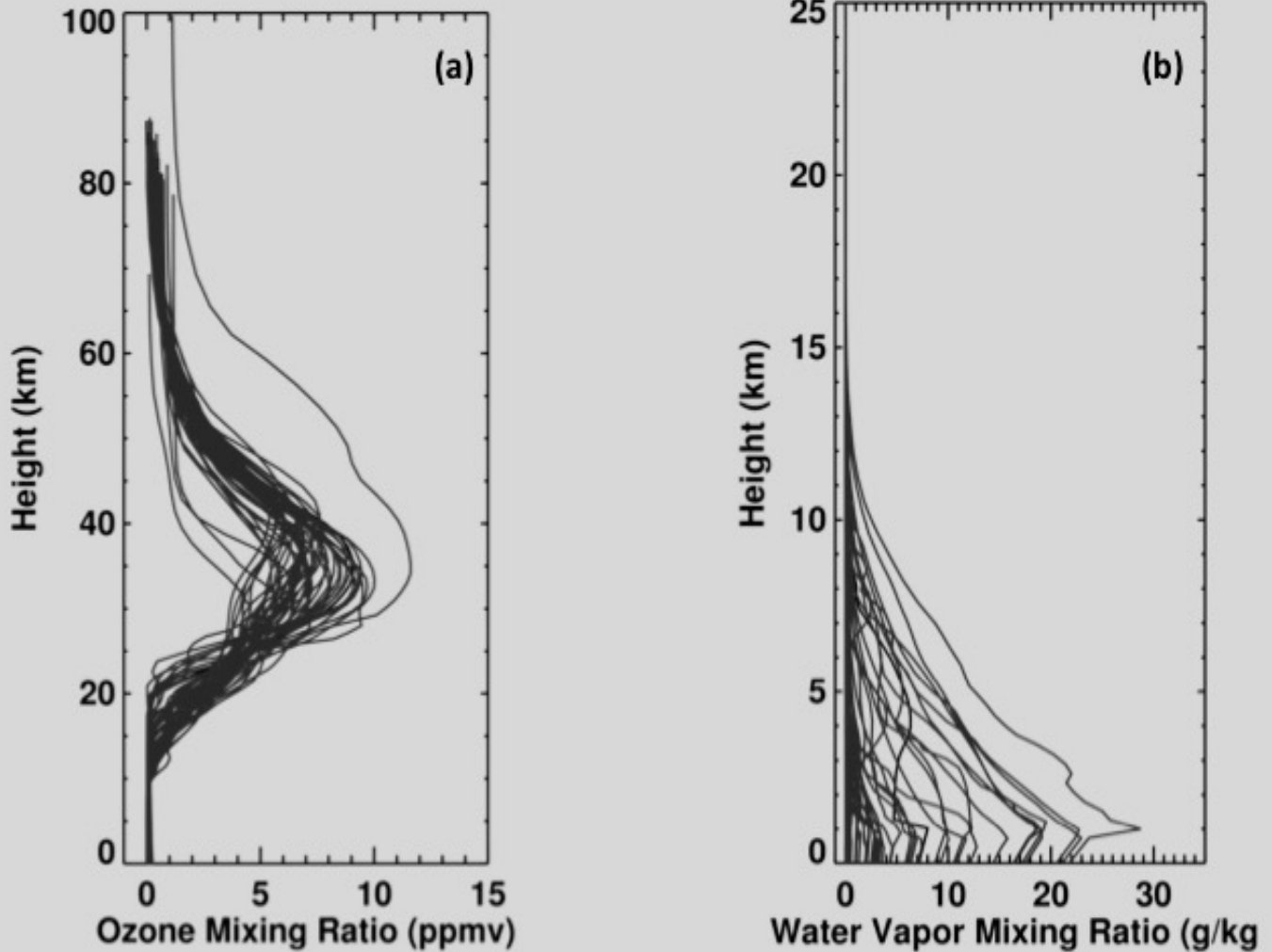


Figure 2: 52 different ECMWF profiles for (a) water vapor and (b) ozone used in the Line-by-line radiative transfer model to calculate the respective gas transmittance.

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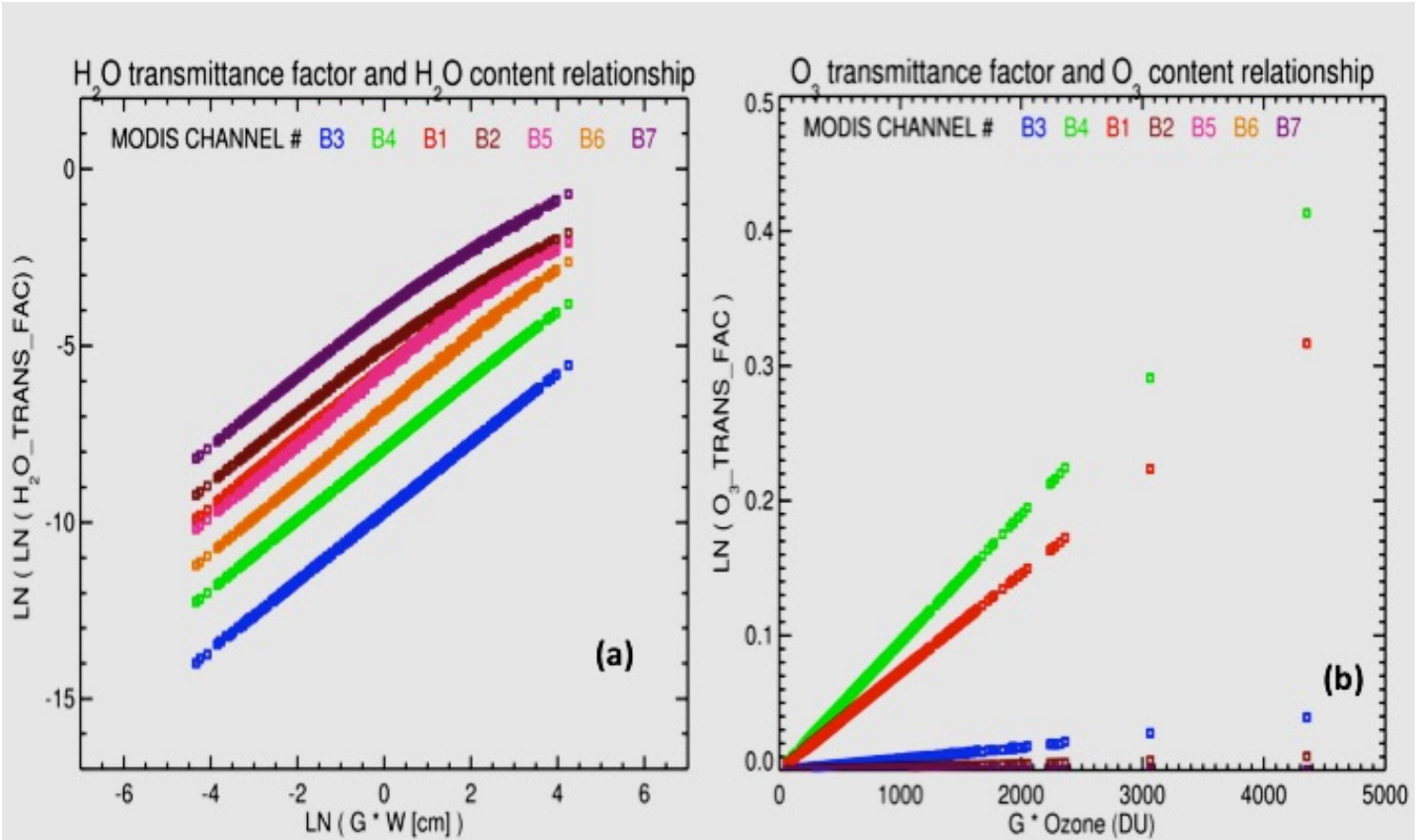


Figure 3: Relationship between Gas Transmittance factor and Gas Content in the MODIS channels B1 – B7 : (a) For H₂O and (b) for O₃. Gas content is scaled by the airmass factor [G]

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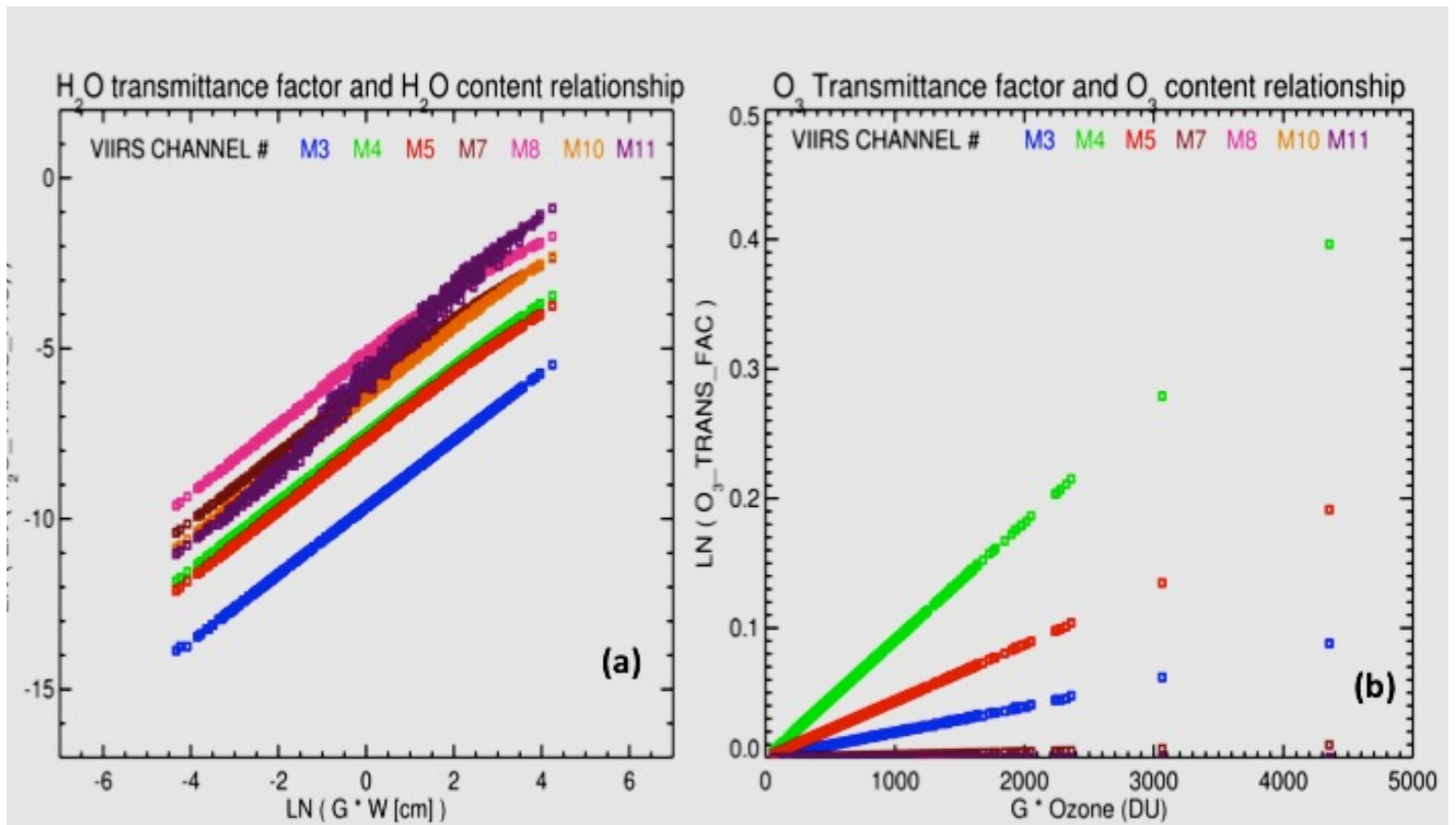


Figure 4: Relationship between Gas Transmittance factor and Gas Content in the seven VIIRS channels: (a) For H₂O and (b) for O₃ Gas content is scaled by the airmass factor [G]

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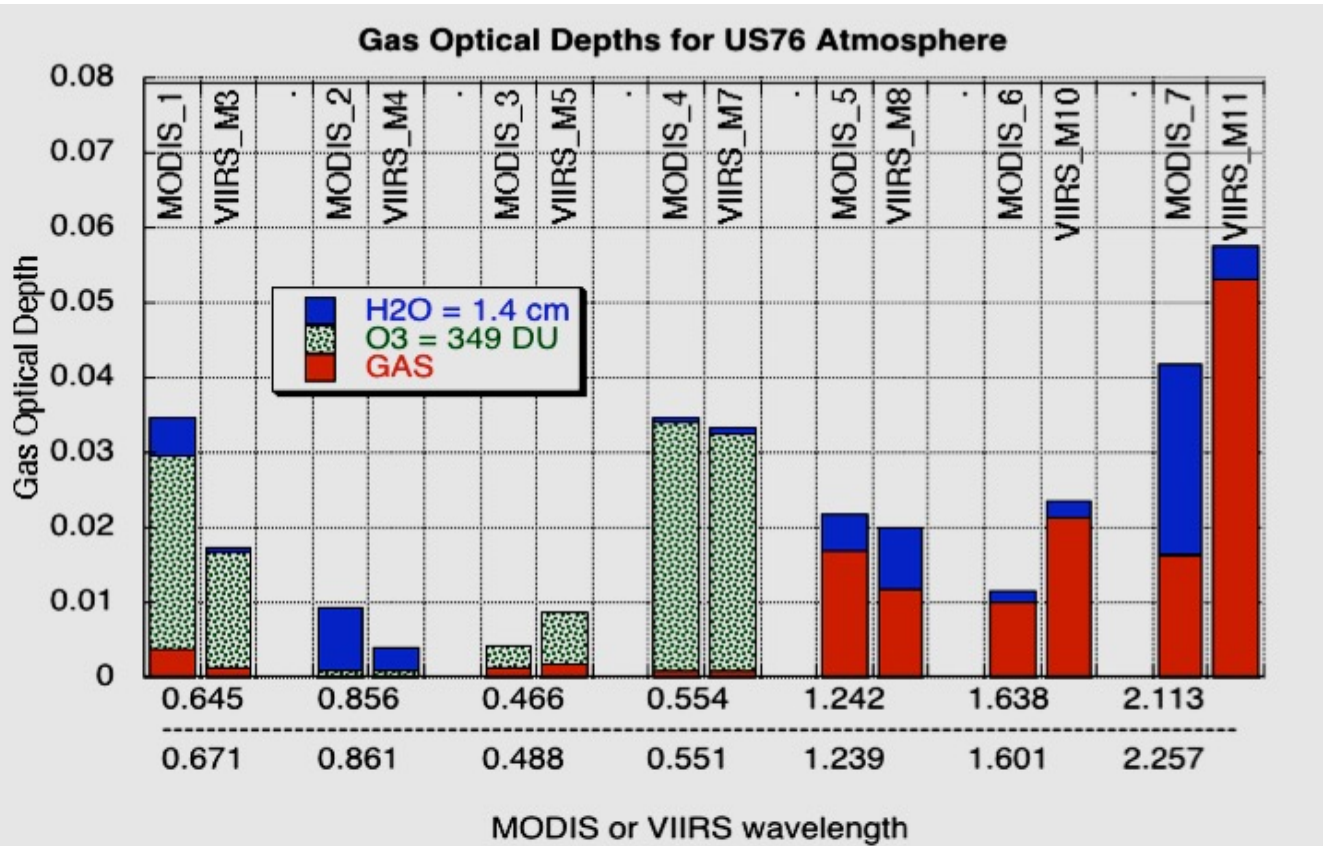


Figure 5 : Comparison of gas optical depths calculated for US 1976 standard atmosphere using MODIS C6 and VIIRS gas correction coefficients. Different colors represent constituent gases (H₂O = blue, O₃ = green hatched, 'dry' gas = red). Large differences in gas optical depths are seen in MODIS Channels 1, 2, 6 & 7.

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VIIRS Reflectance

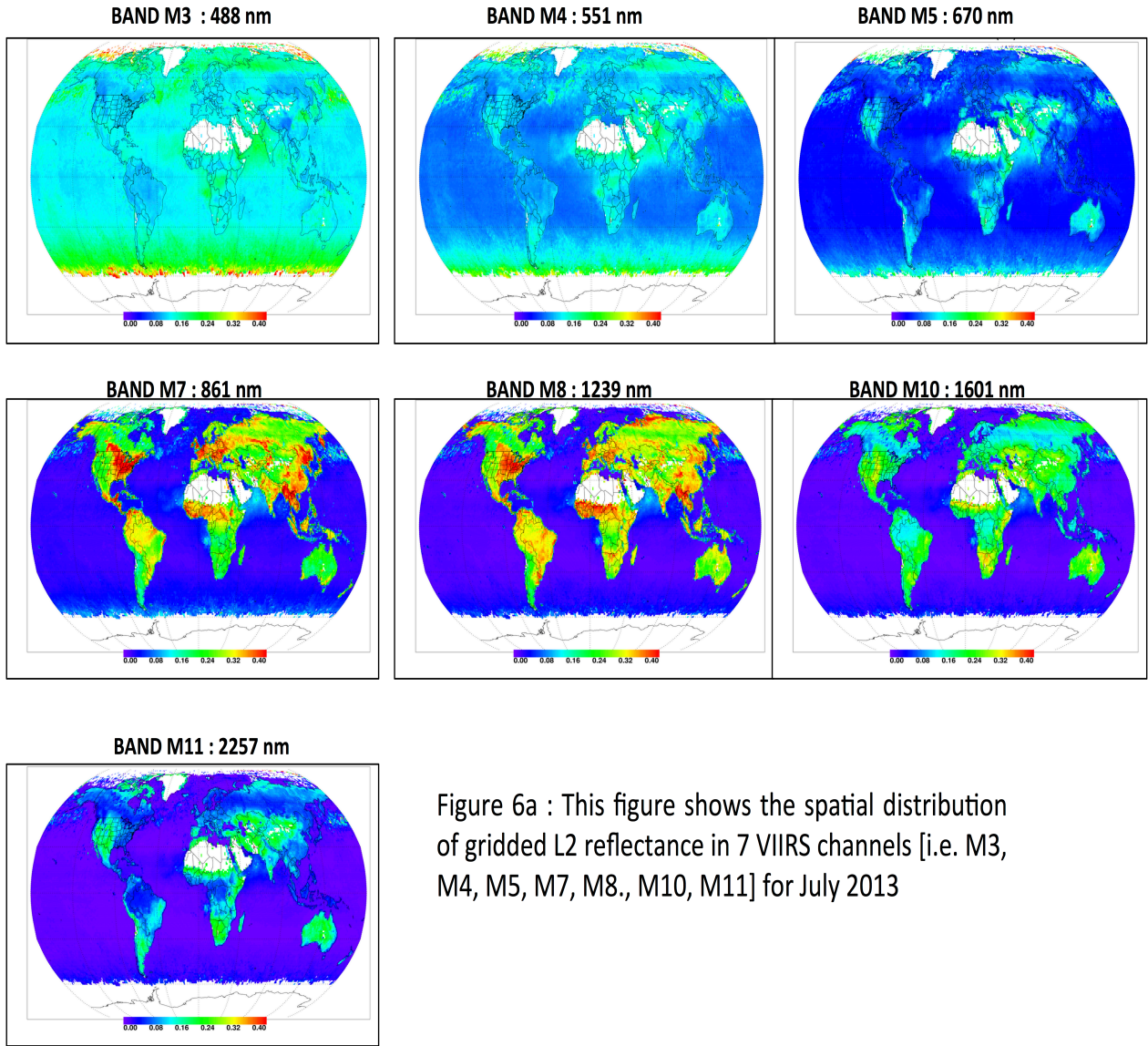


Figure 6a : This figure shows the spatial distribution of gridded L2 reflectance in 7 VIIRS channels [i.e. M3, M4, M5, M7, M8., M10, M11] for July 2013

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Difference between VIIRS – C6 Gas Reflectance

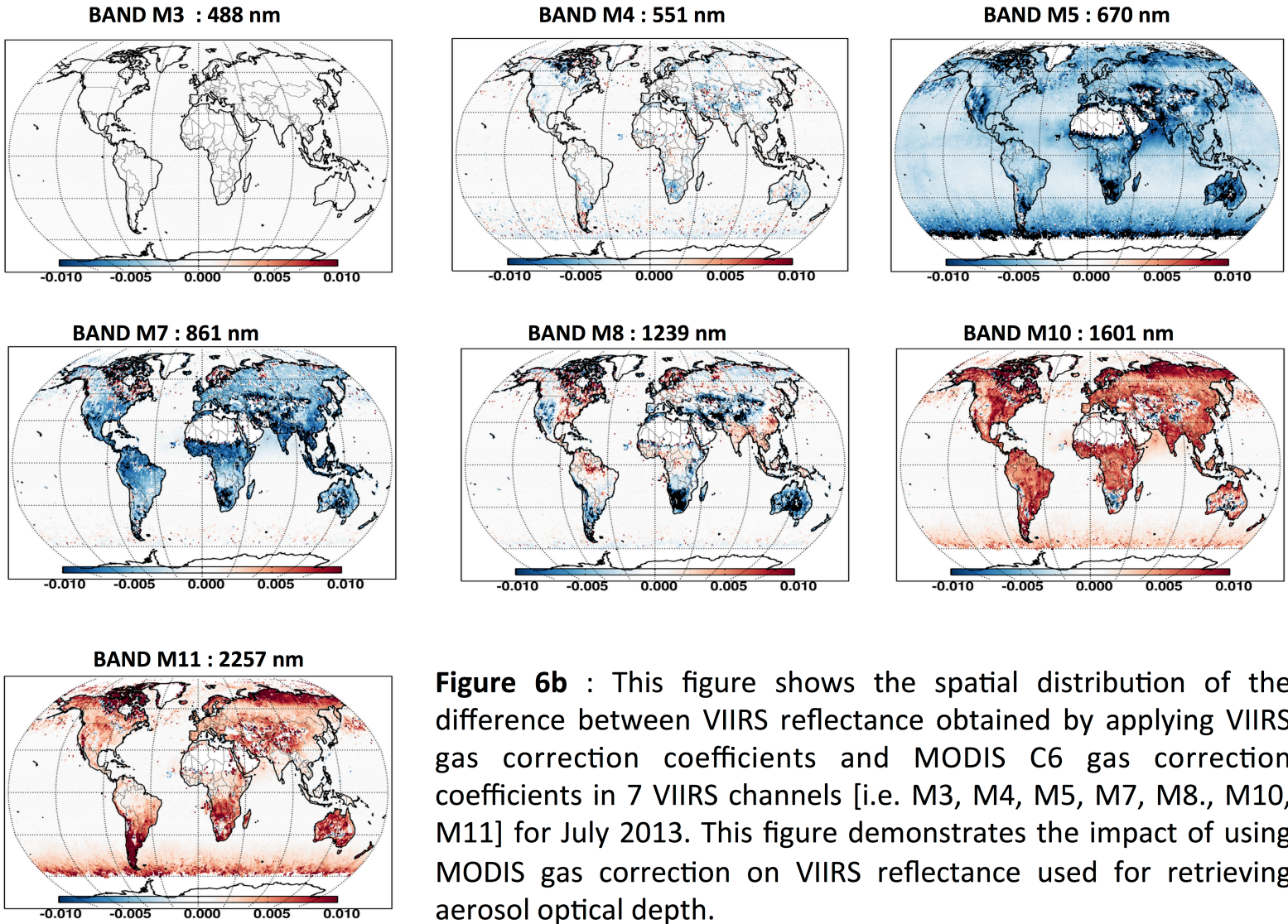


Figure 6b : This figure shows the spatial distribution of the difference between VIIRS reflectance obtained by applying VIIRS gas correction coefficients and MODIS C6 gas correction coefficients in 7 VIIRS channels [i.e. M3, M4, M5, M7, M8., M10, M11] for July 2013. This figure demonstrates the impact of using MODIS gas correction on VIIRS reflectance used for retrieving aerosol optical depth.

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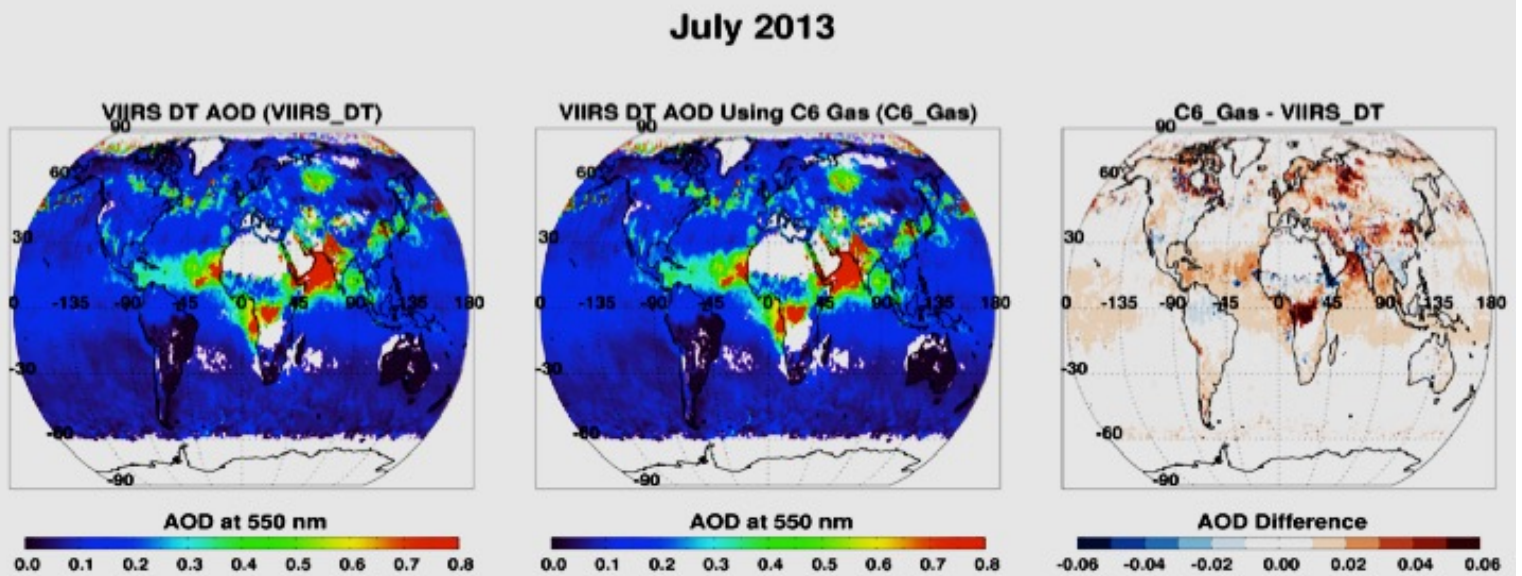


Figure 7: Impact of updated atmospheric corrections on VIIRS AOD (550 nm) retrieval. All things being equal, using C6 aerosol DT retrieval algorithm (a) is AOD using atmospheric coefficients calculated for VIIRS bands and (b) is AOD using C6 atmospheric corrections (c) is the difference between (b) and (a). The global mean AOD differs by ~ 0.012 over land and by ~ 0.004 over ocean. Differences are larger than these mean values regionally but < 0.08 . Differences are mostly positive (reds) except in some desert / bright regions where some negative differences appear.

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Table 1 : Absorption bands of atmospheric gases in visible and near-IR region

| Major Atmospheric Gas | Center Wavelengths (μm) |
|------------------------------|---|
| H₂O | visible, 0.72, 0.82, 0.94, 1.1 1.38, 1.87, 2.7 |
| CO₂ | 1.4, 1.6, 2.0, 2.7, 4.3 |
| O₃ | visible (0.45 - 0.75) |
| O₂ | 0.63, 0.69, 0.76, 1.06, 1.27, 1.58 |
| N₂O | 2.87, 4.06, 4.5 |
| CH₄ | 1.66, 2.2, 3.3 |
| CO | 2.34, 4.67 |
| NO₂ | visible |

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Table 2.1 : Optical depth of major atmospheric gases in 7 MODIS channels.

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| Channel | B3 | B4 | B1 | B2 | B5 | B6 | B7 |
|------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Wavelength (μm) | 0.466 | 0.553 | 0.645 | 0.856 | 1.242 | 1.638 | 2.113 |
| Gas | | | | | | | |
| H2O | 0.0001 | 0.0005 | 0.0055 | 0.0086 | 0.005 | 0.0017 | 0.0254 |
| O3 | 0.0029 | 0.0326 | 0.0250 | 0.0008 | - | - | 0.0000 |
| CO2 | - | - | - | - | 0.0003 | 0.0050 | 0.0142 |
| N2O | - | - | - | - | - | - | 0.0020 |
| CO | - | - | - | - | - | - | - |
| O2 | 0.0012 | 0.0010 | 0.0038 | 0.0000 | 0.0164 | - | - |
| NO | - | - | - | - | - | - | - |
| SO2 | - | - | - | - | - | - | - |
| NO2 | - | - | - | - | - | - | - |
| CH4 | - | - | - | - | 0.0000 | 0.0051 | 0.0003 |
| Total | 0.0042 | 0.0341 | 0.0344 | 0.0094 | 0.0216 | 0.0118 | 0.0420 |

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Highlighted boxes show channels where total gas optical depth ≥ 0.02 to put in context the requirement of aerosol optical depth accuracy of better than 0.02

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Table 2.2 : Optical depth of major atmospheric gases in 7 VIIRS channels

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| Channel Gas | M3 | M4 | M5 | M7 | M8 | M10 | M11 |
|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|---------------|
| Wavelength (µm) | 0.488 | 0.551 | 0.67 | 0.861 | 1.239 | 1.601 | 2.257 |
| Gas | | | | | | | |
| H2O | 0.00009 | 0.00078 | 0.00066 | 0.00324 | 0.00844 | 0.00234 | 0.00542 |
| O3 | 0.00673 | 0.0312 | 0.01499 | 0.00075 | 0 | 0 | 0 |
| CO2 | 0 | 0 | 0 | 0 | 0.00041 | 0.02048 | 0.00001 |
| N2O | 0 | 0 | 0 | 0 | 0 | 0.00001 | 0.00403 |
| CO | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| O2 | 0.00184 | 0.00084 | 0.00144 | 0.00002 | 0.01147 | 0 | 0 |
| NO | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SO2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NO2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| CH4 | 0 | 0 | 0 | 0 | 0.00001 | 0.00085 | 0.04914 |
| Total | 0.00866 | 0.03282 | 0.01709 | 0.00401 | 0.02033 | 0.02368 | 0.0586 |

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Highlighted boxes show channels where total gas optical depth ≥ 0.02 to put in context the requirement of aerosol optical depth accuracy of better than 0.02

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Table 3.1: Gas Absorption Coefficients and Climatology for MODIS

| MODIS Band | Wavelength (μm) | Rayleigh Optical Depth | O ₃ Optical Depth [#] | H ₂ O Optical Depth [#] | Dry Gas* Optical Depth [#] | O ₃ _K0 | O ₃ _K1 | H ₂ O_K0 | H ₂ O_K1 | H ₂ O_K2 |
|------------|-----------------|------------------------|---|---|-------------------------------------|--------------------|--------------------|---------------------|---------------------|---------------------|
| B3 | 0.4659 | 1.92E-01 | 2.90E-03 | 8.00E-05 | 1.25E-03 | -1.14E-04 | 8.69E-06 | -9.58E+00 | 1.23E+00 | -1.16E-01 |
| B4 | 0.5537 | 9.44E-02 | 3.26E-02 | 5.00E-04 | 9.50E-04 | 5.18E-06 | 9.50E-05 | -7.91E+00 | 1.00E+00 | -1.29E-02 |
| B1 | 0.6456 | 5.08E-02 | 2.52E-02 | 5.11E-03 | 3.91E-03 | 1.16E-04 | 7.32E-05 | -5.60E+00 | 9.40E-01 | -1.78E-02 |
| B2 | 0.8564 | 1.62E-02 | 8.10E-04 | 8.61E-03 | 2.00E-05 | 2.80E-07 | 2.36E-06 | -5.07E+00 | 8.77E-01 | -2.40E-02 |
| B5 | 1.2417 | 3.61E-03 | 0.00E+00 | 5.23E-03 | 1.69E-02 | 1.19E-07 | 1.55E-25 | -5.65E+00 | 9.81E-01 | -2.38E-02 |
| B6 | 1.6285 | 1.22E-03 | 0.00E+00 | 1.62E-03 | 9.98E-03 | 1.19E-07 | 5.17E-26 | -6.80E+00 | 1.03E+00 | -4.29E-03 |
| B7 | 2.1134 | 4.30E-04 | 2.00E-05 | 2.53E-02 | 1.63E-02 | 6.29E-07 | 7.03E-08 | -3.98E+00 | 8.86E-01 | -2.56E-02 |

* Dry Gas includes CO₂, CO, N₂O, NO₂, NO, CH₄, O₂, SO₂

For each MODIS band, this nadir looking (viewing zenith angle = 0) optical depth for the gas is computed from the US 1976 Standard Atmosphere in LBLRTM.

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Table 3.2: Gas Absorption Coefficients and Climatology for VIIRS

| VIIRS Band | Wavelength (μm) | Rayleigh Optical Depth | O ₃ Optical Depth [#] | H ₂ O Optical Depth [#] | Dry Gas [*] Optical Depth [#] | O ₃ _K0 | O ₃ _K1 | H ₂ O_K0 | H ₂ O_K1 | H ₂ O_K2 |
|------------|-----------------|------------------------|---|---|---|--------------------|--------------------|---------------------|---------------------|---------------------|
| M3 | 0.488 | 1.60E-01 | 6.73E-03 | 8.94E-05 | 1.84E-03 | -1.25E-04 | 1.98E-05 | -9.65E+00 | 9.87E-01 | 1.80E-04 |
| M4 | 0.5511 | 9.76E-02 | 3.11E-02 | 7.69E-04 | 8.34E-04 | -4.75E-05 | 9.08E-05 | -7.50E+00 | 9.84E-01 | -3.87E-03 |
| M5 | 0.6704 | 4.40E-02 | 1.50E-02 | 6.64E-04 | 1.44E-03 | -4.79E-05 | 4.37E-05 | -7.69E+00 | 9.95E-01 | -1.10E-02 |
| M7 | 0.8612 | 1.60E-02 | 7.70E-04 | 3.37E-03 | 2.45E-05 | 4.18E-07 | 2.24E-06 | -6.05E+00 | 9.65E-01 | -1.53E-02 |
| M8 | 1.2389 | 3.67E-03 | 0.00E+00 | 8.44E-03 | 1.19E-02 | 1.19E-07 | 5.17E-26 | -5.16E+00 | 9.59E-01 | -2.67E-02 |
| M10 | 1.6012 | 1.32E-03 | 0.00E+00 | 2.34E-03 | 2.13E-02 | 1.19E-07 | 1.03E-25 | -6.43E+00 | 1.02E+00 | -3.60E-03 |
| M11 | 2.257 | 3.50E-04 | 1.07E-06 | 5.42E-03 | 5.32E-02 | -2.61E-08 | 3.28E-09 | -5.85E+00 | 1.28E+00 | -5.04E-03 |

* Dry Gas includes CO₂, CO, N₂O, NO₂, NO, CH₄, O₂, SO₂

For each VIIRS band, this nadir looking (viewing zenith angle = 0) optical depth for the gas is computed from the US 1976 Standard Atmosphere in LBLRTM.

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Table 4: Atmosphere Gas Correction Table Differences : C5 vs C6

| | C5 | C6 | Comment |
|------------------------|--|--|---|
| RT Code | 6s | LBLRTM (Line-by-Line Radiative Transfer Model) | 6S is MODTRAN (Ref) database LBLRTM is HITRAN (Ref) database |
| # Gases Considered | 3 [H ₂ O, O ₃ , CO ₂] | 10 [H ₂ O, O ₃ , O ₂ , CO, CO ₂ , CH ₄ , NO, N ₂ O, NO ₂ , SO ₂] | Inclusion of 'other' dry gases in C6 created big differences in MODIS bands 5 & 7 (See Fig. 2) |
| Climatological GODs | Mid-latitude- Summer | US76 Standard Atmosphere | Ref |

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