

1 Improved real-time bio-aerosol classification using Artificial Neural Networks

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13 **Keywords:** Bio-aerosol, Fluorescence, Real-time analysis, Artificial Neural Network, PBAP.  
14

15 1. Abstract

16 Air contamination has had stronger and stronger impact on everyday life of humans. An  
17 increasing number of people are aware of the health problems that may result from inhaling air  
18 which contains dust, bacteria, pollens or fungi. There is a need for real-time information about  
19 ambient particulate matter. The devices, currently available on the market, can to detect some  
20 particles in the air, but cannot classify them by the health threats. Fortunately, a new type of  
21 technology is emerging as a promising solution.

22 Laser based bio-detectors are opening a new era in aerosol research. They are capable of  
23 characterizing a great number of individual particles in seconds by analyzing optical scattering and  
24 fluorescence characteristics. In this study we demonstrate application of Artificial Neural Network  
25 (ANN) to real-time analysis of single particle fluorescence fingerprints acquired using BARDet (Bio-  
26 aerosol detector). The 48 different aerosols including pollens, bacteria, fungi, spores, and non-  
27 biological substances were characterized. An entirely new approach to data analysis using decision  
28 tree comprising 22 independent neural networks was discussed. Applying confusion matrices and  
29 ROC analysis the best sets of ANN's for each group of similar aerosols has been determined. As a  
30 result an impressive effectiveness of aerosol classification in real-time was achieved. It was found  
31 that for some substances that have characteristic spectra almost each particle can be properly  
32 classified. The aerosols with similar spectral characteristics can be classified as a specific cloud with  
33 high probability. In both cases the system recognized aerosol type with no mistake.  
34

35 2. Introduction

36 The ambient air contains a variety of particles like dust, bacteria, pollens, fungi and other parts of  
37 biological and non-biological origin (Pöhlker et al., 2013; Górny, 2004). The aerosols are involved in  
38 various atmospheric processes like ice nuclei formation, precipitation and global climate effects  
39 (Deguillaume et al., 2008; Fröhlich-Nowoisky et al., 2016; Gabey et al., 2010; Pósfai and Buseck,  
40 2010; Fuzzi et al., 2015). They also strongly influence human health (Davidson et al., 2005; Pope III  
41 and Dockery, 2006; Michaels, 2017; Shiraiwa et al., 2012). Therefore, the characterization of ambient  
42 air is important for estimating potential health hazards and environmental impact (Mauderly and  
43 Chow, 2008; Lim et al., 2005). Standard methods of aerosol composition assessment usually include  
44 microscopic inspection or molecular analysis of filter (Miaskiewicz-Peska and Lebkowska, 2012), tape  
45 or liquid trapped particles. Nevertheless, they suffer from low time resolution due to periodical and  
46 relatively long analytical procedures. They are also ineffective for the detection of non-culturable

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106 microorganisms (Blais-Lecours et al., 2015; Trafny et al., 2014).  
107 The detection and classification of biological particles is possible using fluorescence techniques  
108 due to the presence of proteins, NADH, and some vitamins that emit light when excited with UV light  
109 (Lakowicz, 1999). This feature is utilized in single particle fluorescence detectors. In the flowing air  
110 each particle is characterized for size/shape using light scattering as well as fluorescence properties.  
111 This approach ensures continuous measurement and immediate response. Thus the analysis process  
112 can be facilitated and accelerated compared with other commonly used analytical procedures (Hill et  
113 al., 1999; Choi et al., 2014; Taketani et al., 2013; Feugnet et al., 2008).

114 Several studies using single particle fluorescence detectors demonstrated that fluctuations of  
115 aerosol concentration and variations in its fluorescence properties are strongly dependent on the  
116 season, day time, location and a place occupancy (Gabey et al., 2011; Huffman et al., 2010; Pinnick et  
117 al., 2004; Bhangar et al., 2014; Fennelly et al., 2018). Each single particle passing the instrument is  
118 labelled with the time, scattering properties (size and/or shape) and fluorescence characteristics. It is  
119 obvious that continuous single particle measurements bring a new potential and quality to  
120 environmental research. However, particles of the same type and batch display slightly different  
121 spectral characteristics due to variations in biochemical composition, size, age in a population  
122 (Agranovski et al., 2003), degradation or stress level (Lee et al., 2010) and the particle position within  
123 instrument's interrogation point (Pan et al., 2011). The simple statistics, like data averaging and  
124 graphical spectra representation, are not sufficient. Therefore, the huge amount of data and  
125 occurring spectral variations require more advanced algorithms supporting automatic data  
126 classification. Various analytical methods of particle discrimination and classification were applied. It  
127 has been shown that Principal Component Analysis (PCA), Linear Discriminant Analysis (LDA),  
128 Hierarchical cluster Analysis (HCA) of fluorescence spectra strongly increases discrimination of  
129 particles compared with methods based on spectra averaging or fluorescence threshold (LeSkiewicz  
130 et al., 2016; Kaliszewski et al., 2013; Pan et al., 2012; Hernandez et al., 2016). Artificial neural  
131 network (ANN) is an emerging analytical approach that becomes more widely and successfully  
132 applied in various life domains like chemical analysis (Borecki et al., 2008), image recognition  
133 (Antowiak and Chałasińska-Macukow, 2003), data mining and weather forecasting (Purnomo et al.,  
134 2017). It has been shown that ANN can be applied in bio-aerosol classification (Kohlus and Bottlinger,  
135 1993). However, it usually requires more user input comparing to other analytical procedures (Ruske  
136 et al., 2017).

137 This paper focuses on the application of ANN for real time discrimination of bio-aerosols based  
138 on single particle fluorescence characteristics. We demonstrated a new approach to data analysis  
139 using ANN allowing automatization of data preparation procedures and minimum user involvement.

### 141 3. Materials and methods

#### 142 3.1. Experiment

##### 143 3.1.1. BioAeRosol Detector (BARDet)

144 The detailed information concerning construction and parameters of the instrument used for  
145 the experiments was presented in our previous work (Kaliszewski et al., 2016). In general, the  
146 ambient air is continuously drawn through the nozzle. It is focused with sheath flow of filtered air.  
147 Particles in the focused air pass through the BARDet's chamber where they are interrogated by a  
148 16mW CW laser beam generated by a diode laser operating at 375 nm wavelength (CUBE, Coherent).  
149 The backward and forward scattered signals are detected with two PMT's (H6780, Hamamatsu)  
150 mounted at the 35° and 145° angle to the laser beam axis.

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174 The fluorescence of particles is measured at a 90° angle to the laser beam with 32 channel PMT  
175 (A10766, Hamamatsu). The longpass filter with cutting edge at 400 nm (Edmund Optics) separates  
176 the fluorescence signal from scattered light. The multichannel PMT measures fluorescence in 18  
177 active channels in the range of 415.4-643.5 nm. The channels are grouped in 7 bands. The remaining  
178 channels are not used. The band configuration is presented in Table 1.

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Table 1. Configuration of bands in the multichannel PMT.

BARDet's Fluorescence Bands	Bandwidth [nm]
B1	415.4 – 429.3
B2	443.1 – 456.8
B3	470.5 – 484.2
B4	497.8 – 524.9
B5	538.3 – 565.0
B6	578.3 – 604.6
B7	617.6 – 643.5

182

### 183 3.1.2. Aerosols

184 For the tests, dry powders of harmless substances were used, since they did not need a  
185 specialized aerosol protection chamber. [In order to achieve reliable aerosol classification the ANN's  
186 needs to be trained using possibly large number of measurement data. Therefore, various particle  
187 types, that can be easily aerosolized, were tested. Samples like pollens, fungi, bacteria, spores and  
188 leaves scraps naturally occur in the atmosphere. Biofluororphores like riboflavin, cellulose,  
189 aminoacids and proteins were also characterized since they are present in biological materials. The  
190 group of bacterial growth media was investigated due to their strong influence on bacteria  
191 fluorescence especially if they are not sufficiently washed. This can occur in case of intentionally  
192 released bacterial aerosols. Due to technical limitations the other than pharmaceutical samples could  
193 be aerosolized in this study. The aerosols of flours, and fluorescent non-biological substances like  
194 paper dust, AC fine Test Dust and talc were analyzed since they can occur especially in indoor and  
195 public places. The non-fluorescent particles were not a subject of the research since they can be  
196 automatically discarded as non-biological applying given fluorescence threshold.](#)

197 The samples used for this study are listed in Table 2. To perform numerous experiments,  
198 disposable vials were used, one for each aerosol sample. It prevented cross contamination between  
199 measured samples. The aerosols were generated from modified 50 ml Falcon tubes placed on the  
200 vortex. The vials in the lower part contained two connectors for silicon tubes. Vortexed particles  
201 were entrained and formed an aerosol cloud inside the Falcon tube. The aerosolized particles were  
202 aspirated from the vial to BARDet's aerosol inlet. Each tube contained about 50 mg of the dry  
203 powder sample. During aerosol generation filtered air was supplied into the vial to compensate the  
204 BARDet's flow. The concentration of the aerosols was adjusted with vibration frequency of [the](#)  
205 vortex. The measurement started after the aerosol reached homogeneous concentration. The

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208 experimental setup is shown in figure 1.

209

210 Table 2. List of all substances used in experiment.

211

	Abbreviation	Name	Size	AF	Source	Group
1	FM7	Fluoromax microspheres 7 um	<a href="#">6,25±0,91</a>	<a href="#">0,92±0,02</a>	Thermo scientific	standard 1
2	Rib	Riboflavin	<a href="#">2,22±1,82</a>	<a href="#">0,88±0,09</a>	Sigma-Aldrich	standard 2
3	BGP	Bermuda grass pollen	<a href="#">28,35±0,6</a>	<a href="#">0,97±0,01</a>	Duke Sci. Corp.	pollens
4	CP	Corn pollen	<a href="#">78,13±1,22</a>	<a href="#">0,95±0,01</a>	Duke Sci. Corp.	
5	CA	<i>Corylus avellana</i> pollen	<a href="#">27,71±1,33</a>	<a href="#">0,67±0,04</a>	<a href="#">(*OC)</a>	
6	LP	<i>Lycopodium</i> pollen	<a href="#">30,67±1,2</a>	<a href="#">0,94±0,01</a>	Fluka	
7	PPP	<i>Poa pratensis</i> pollen	<a href="#">30,62±0,87</a>	<a href="#">0,94±0,01</a>	Sigma-Aldrich	
8	RP	Ragweed pollen	<a href="#">19,48±0,78</a>	<a href="#">0,99±0,01</a>	Duke Sci. Corp.	
9	SCP	<i>Secale cereale</i> pollen	<a href="#">44,8±2,01</a>	<a href="#">0,94±0,01</a>	Sigma-Aldrich	
10	SP	Spruce pollen	<a href="#">70,09±4,16</a>	<a href="#">0,88±0,02</a>	<a href="#">(*OC)</a>	
11	AA	<i>Abies alba</i> pollen	<a href="#">84,56±12,77</a>	<a href="#">0,92±0,02</a>	<a href="#">(*OC)</a>	
12	UDP	<i>Urtica dioica</i> pollen	<a href="#">14,99±1,26</a>	<a href="#">0,9±0,05</a>	<a href="#">(*OC)</a>	
13	PSP	<i>Pinus sylvestris</i> pollen	<a href="#">39,29±1,44</a>	<a href="#">0,93±0,02</a>	<a href="#">(*OC)</a>	
14	PNP	<i>Pinus nigra</i> pollen	<a href="#">44,97±1,33</a>	<a href="#">0,88±0,03</a>	<a href="#">(*OC)</a>	
15	LPP	<i>Lycopodium</i> pollen (Poland)	<a href="#">28,66±0,6</a>	<a href="#">0,95±0,01</a>	<a href="#">(*OC)</a>	
16	PMP	Paper mulberry pollen	<a href="#">13,57±0,88</a>	<a href="#">0,94±0,04</a>	Duke Sci. Corp.	flours
17	ATP	<i>Artemisia tridentata</i> pollen	<a href="#">22,53±0,42</a>	<a href="#">0,96±0,01</a>	Sigma-Aldrich	
18	AAP	<i>Artemisia absinthium</i> pollen	<a href="#">18,37±1,51</a>	<a href="#">0,96±0,02</a>	Sigma-Aldrich	
19	CPP	<i>Chenopodium</i> pollen	<a href="#">27,29±0,97</a>	<a href="#">0,98±0,01</a>	<a href="#">(*OC)</a>	
20	BWF	Buck wheat flour	<a href="#">25,17±15,76</a>	<a href="#">0,82±0,06</a>	<a href="#">MELVIT Poland (*RS)</a>	
21	PF	Potato flour	<a href="#">21,23±3,11</a>	<a href="#">0,96±0,03</a>	<a href="#">KUPIEC Poland (*RS)</a>	
22	RF	Rice flour	<a href="#">18,22±6,23</a>	<a href="#">0,6±0,07</a>	<a href="#">MELVIT Poland (*RS)</a>	
23	TF	Tapioca flour	<a href="#">12,91±3,41</a>	<a href="#">0,7±0,06</a>	<a href="#">COCK BRAND (*RS)</a>	
24	WF	Wheat flour	<a href="#">20,57±4,36</a>	<a href="#">0,62±0,07</a>	<a href="#">MELVIT Poland (*RS)</a>	
25	Trp	Tryptophan	<a href="#">15,42±8,96</a>	<a href="#">0,81±0,08</a>	Sigma-Aldrich	
26	Phe	Phenylalanine	<a href="#">10,41±5,31</a>	<a href="#">0,73±0,11</a>	Sigma-Aldrich	
27	BSA	Bovine Serum Albumin	<a href="#">63,8±30,49</a>	<a href="#">0,43±0,05</a>	POCH Poland	
28	OVA	Ovalbumin	<a href="#">26,45±5,31</a>	<a href="#">0,83±0,07</a>	POCH Poland	
29	Ambio	<i>Bif. animalis</i> , <i>S. boulardii</i> , <i>S. thermophilus</i> , <i>L. casei</i> , <i>L. bulgaricus</i>	<a href="#">27,97±4,42</a>	<a href="#">0,84±0,03</a>	<a href="#">AMBIO Probiotyk, Lab. Galenowe Poland (*P)</a>	bacteria in medium
30	LCB	<i>Lactobacillus bulgaricus</i>	<a href="#">51,16±19,33</a>	<a href="#">0,68±0,08</a>	<a href="#">LakciBios, ASA Poland (*P)</a>	

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31	LF	<i>Bifidobacterium animalis, L. acidophilus</i>	<a href="#">32,62±8,45</a>	<a href="#">0,82±0,07</a>	<a href="#">Linex forte, LEK Pharmaceuticals d.d. Slovenia (*P)</a>	
32	BA	Bacteriological Agar	<a href="#">49,47±10,03</a>	<a href="#">0,74±0,07</a>	Sigma-Aldrich	medium
33	BAB	Blood Agar Base	<a href="#">18,78±2,11</a>	<a href="#">0,71±0,12</a>	Sigma-Aldrich	
34	LB	Luria broth	<a href="#">15,11±6</a>	<a href="#">0,67±0,07</a>	Sigma-Aldrich	
35	NB	Nutrient broth	<a href="#">42,67±9,21</a>	<a href="#">0,69±0,03</a>	Sigma-Aldrich	
36	BTSTG	<i>Bacillus thuringiensis</i> spores technical grade	<a href="#">7,13±5,95</a>	<a href="#">0,72±0,12</a>	Agricultural	Bacterial spore with admixtures
37	SB	<i>Saccharomyces boulardii</i>	<a href="#">57,82±7,56</a>	<a href="#">0,69±0,05</a>	<a href="#">Enterol, Biocodex France (*P)</a>	funghi with admixtures
38	SC	<i>Saccharomyces cerevisiae</i>	<a href="#">21,33±5,55</a>	<a href="#">0,76±0,07</a>	<a href="#">Dr. Oetker Germany (*RS)</a>	
39	LS	<i>Lycoperdon</i> spores	<a href="#">14,52±0,62</a>	<a href="#">0,92±0,02</a>	<a href="#">(*OC)</a>	fungal spores
40	JGSS	Johnsons grass smut spores	<a href="#">6,91±0,34</a>	<a href="#">0,98±0,02</a>	Duke Sci. Corp.	smut spore (fungal spore)
41	BGSS	Bermuda grass smut spores	<a href="#">6,47±0,27</a>	<a href="#">0,97±0,02</a>	Duke Sci. Corp.	
42	ACFTD	AC Fine Test Dust	<a href="#">3,47±2,34</a>	<a href="#">0,87±0,09</a>	Duke Sci. Corp.	
43	NT	Nivea talc	<a href="#">14,33±4,71</a>	<a href="#">0,77±0,09</a>	<a href="#">Nivea Baby (*RS)</a>	
44	PPD	Printer paper dust	<a href="#">76,37±18,89</a>	<a href="#">0,43±0,11</a>	<a href="#">XEROX Laserprint collected from paper shredder (*RS)</a>	
45	PTD	Paper towel dust	<a href="#">73,45±25,65</a>	<a href="#">0,56±0,15</a>	<a href="#">Merida Poland collected from crushed towel (*RS)</a>	other
46	Cin	Cinnamon	<a href="#">23,97±4,39</a>	<a href="#">0,78±0,05</a>	<a href="#">Kamis Poland (*RS)</a>	
47	Cel	Celulose	<a href="#">82,86±14,28</a>	<a href="#">0,25±0,04</a>	Sigma-Aldrich	
48	GGL	<a href="#">Ground</a> Green Leaves	<a href="#">18,03±4,3</a>	<a href="#">0,77±0,09</a>	<a href="#">Dried and ground Oak (*OC)</a>	

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229 [\\*OC – pollens collected from trees, flowers and grass at the region of Warsaw during vegetative](#)

230 [seasons in 2015 and 2016.](#)

231 [\\*RS – Regular shops in Warsaw where common goods are purchased.](#)

232 [\\*P – Pharmacy shops in Warsaw](#)

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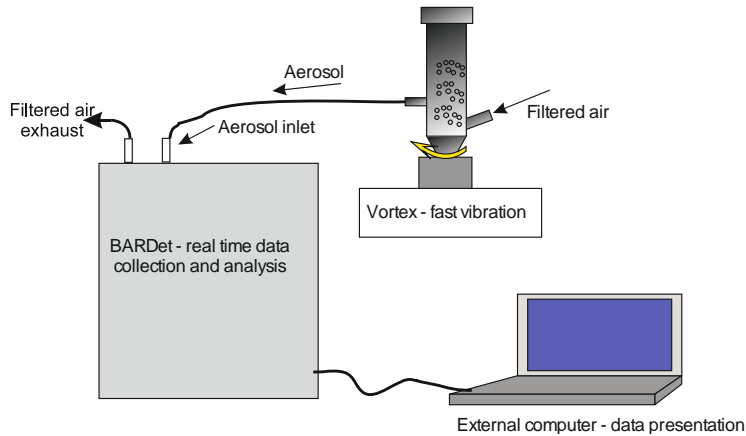


Figure 1. Setup of aerosol generation, data recording and analysis.

### 3.1.3. Aerosol microscopy

For microscopy analysis the aerosols were generated as described above and collected by impaction on a glass microscopic slide. The visualization of the samples was performed using Nikon Eclipse Ti-U microscope with 10x objective. The images were recorded with 5 megapixel DS-Fi1 camera. The aerosol equivalent diameters and circularity were analyzed automatically using NIS-Elements 64bit 3.22.10 software. The threshold of particles outline was corrected manually to obtain visually best fit.

### 3.1.4. Data acquisition method and pre-processing

The fluorescence of each particle was recorded in 7 bands. It creates a time series of the signals which has to be pre-processed before further analysis. There are two steps of gathering data. First one is performed by internal BARDet's software, which is responsible for controlling the instrument and the acquisition of raw signals. Then data is forwarded to a pre-processing module of analysis software. Its first task is to extract valuable signals from the noise (three sigma rule). Then a normalization procedure is required. It is realized first by subtracting the average value of signal and then it normalizing to its standard deviation. The main goal was to analyze shape of emission spectrum (not signal strength). An exemplary visualization of input data is shown in figure 2.

The data acquisition process started after stabilization of aerosol generation rate which was measured by the device. It was important to not exceed one particle per 2 ms of data integration time at 20 us measurement window. Finally, it was gathered a total of 114 779 spectral characteristics of 48 aerosols which gives in average almost 2400 fluorescence characteristics per substance.

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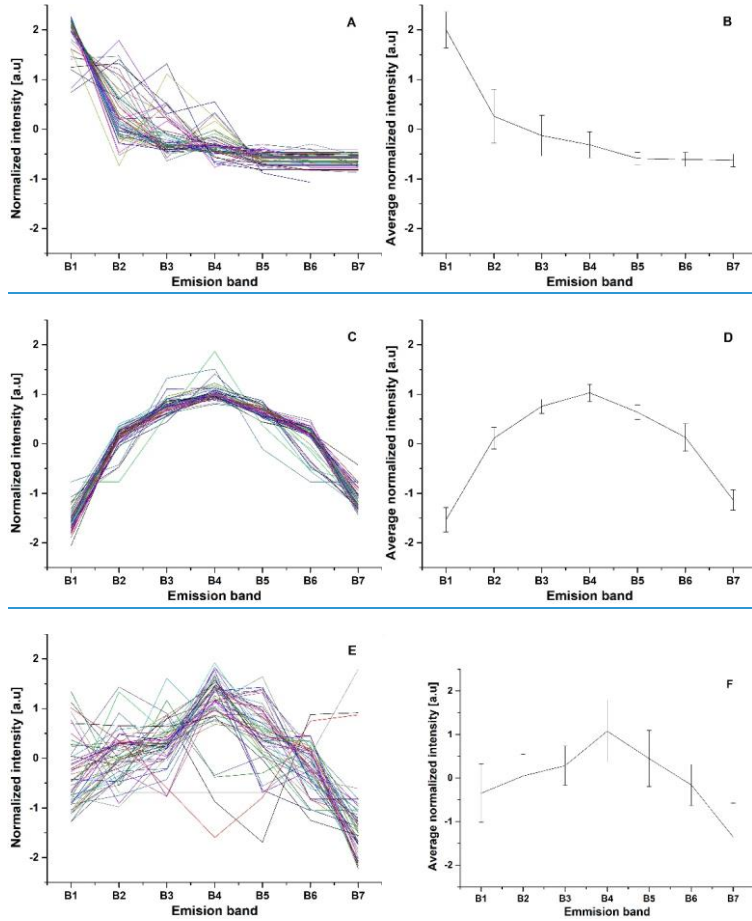


Figure 2. Normalized 50 subsequent fluorescence characteristics of NT (A), FM7 (C) and LCB (E) and corresponding averaged normalized intensities of NT (B), FM7 (D) and LCB (F). Error bars represent standard deviation of measurements.

### 3.2. Data analysis

#### 3.2.1. ANN (Artificial Neural Network)

##### 3.2.1.1. Basics

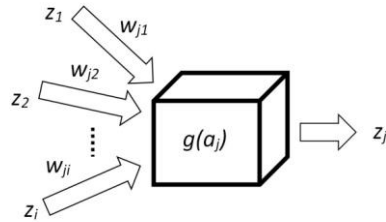
There are many types of Artificial Neural Networks (ANN), but in this paper only the backpropagation algorithm is demonstrated because it is one of the most practical ones. The main concept of this algorithm is based on a model of neuron that has two tasks. It aggregates signals (1) and then processes them by an activation function (2), which, in this research, is a sigmoid. The result of such single processing is a new signal  $z_j$  propagated to other neurons (Figure 3).

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296 Figure 3. Mathematical model of single neuron cell.  
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$$a_j = \sum_i w_{ji} z_i \quad (1)$$

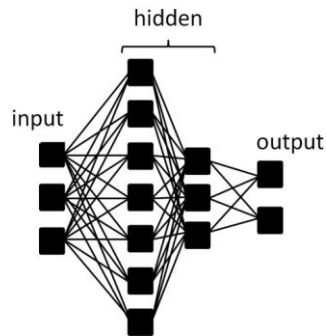
298  
299  $a_j$ - aggregated signal,  $w_{ji}$ - weight that connects neuron  $i$  with  $j$ ,  $z_i$ - signal (input).

$$g(a_j) = \frac{1}{1 + e^{-\beta a_j}} \quad (2)$$

301  
302  $g(a_j)$  – sigmoidal function,  $\beta$ - parameter (steepness) of sigmoid curve.

303  
304 The structure of neural network is formed by layers of neurons: input, hidden and output. In this  
305 research input neurons are fluorescence spectrum and output neurons represent substances. In  
306 hidden layers (one and two hidden layers were examined) mostly actual computations are done. The  
307 schematic representation of neuron layers is presented in Figure 4.

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309 Figure 4. Typical topology of artificial neural network.  
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311 The described algorithm is the supervised learning method that requires training data for a  
312 teaching process. This allows one to calculate an error between the showed target and the ANN  
313 response. Every problem is related to minimizing output error which is calculated as Mean Squared  
314 Error (3).

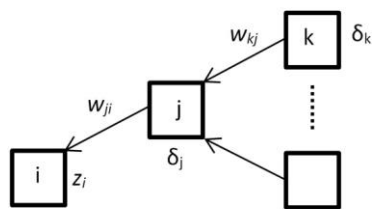


$$E = \frac{1}{2} \sum_{k=1}^c (y_k - t_k)^2 \quad (3)$$

318  $E$  – Mean Squared Error,  $t_k$ - observed value (target),  $y_k$ - calculated response,  $k$ -output neuron,  $c$  –  
 319 number of output neurons.

320 Gradient descent method is used to find a minimum of error function. Error is dependent on  
 321 network weights  $\Delta w_{ji}$  which might be adjusted (4). In order to update weights correctly, the first one  
 322 needs to propagate error backward by calculating partial derivatives  $\delta_j$  (5) (Figure 5). All  
 323 mathematical details are well described by Ch. M. Bishop book (Bishop, 1995).

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324

325 Figure 5. Model of backward error propagation.

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$$\Delta w_{ji}(t) = -\eta \delta_j z_i + m \Delta w_{ji}(t-1) \quad (4)$$

326  $\eta$ - learning rate,  $m$  - momentum,  $t$  - iteration.

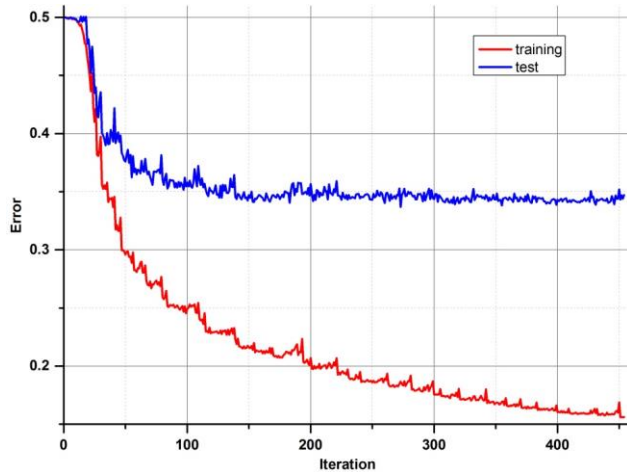
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$$\frac{\delta E}{\delta w_{ji}} = \frac{\delta E}{\delta a_j} \frac{\delta a_j}{\delta w_{ji}} = \delta_j z_i \quad \delta_j = g'(a_j) \sum_k w_{kj} \delta_k \quad (5)$$

328 The learning rate factor determines the size of the steps while momentum parameter helps to  
 329 skip local minimum by adding a fraction of the weight correction from the last step.

330 After the correction of all weights of ANN, the output error is examined and the procedure  
 331 starts again unless an error level is low enough and there is no overfitting. All data are divided into  
 332 three different sets: training, test and validation. For calculations during the learning process, only  
 333 the first two are used. In order to determine whether it is time to stop teaching process, one has to  
 334 observe an error in the test set. There will be a moment when this error comes to be constant or  
 335 starts increasing due to the overfitting of training data (Figure 6). The validation data set may be  
 336 useful for confronting different models or just to verify the current model on completely separate set  
 337 of data.

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342 Figure 6. Example of error minimizing during training process.

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343 **3.2.1.2. Implementation of ANN for BARDet**

344 There are statistical commercial software packages available that provide ANN modules as one  
 345 of the methods to analyze the data. It is worthwhile noting that customized software was developed  
 346 for this research. This approach helped to understand ANN in depth and let to the development of  
 347 software that is not only responsible for data pre-processing and network training, but also (mainly)  
 348 for solving a real time classification problem.

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349 Ruske et al. in their studies (Ruske et al., 2017) compared various algorithms to analyze single  
 350 particle data and noted that ANN requires much more user input. However, we present the method  
 351 to overcome this inconvenience by automatizing the process and implementing procedures, which  
 352 simplifies and improves analysis.

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353 The main disadvantage of ANN is the fact that it is a parametrized algorithm. How well it works  
 354 depends strictly on a proper choice of the best possible factors, which may be different for each  
 355 problem. There are two types of factors that influence the ANN outcome. The first one corresponds  
 356 to the architecture of ANN which comprises: number of layers, neurons and activation function  
 357 parameter. The second one determines the learning process: momentum and learning rate. The last  
 358 one can be tuned during the learning process to make it much faster. The "bold driver" procedure  
 359 was chosen for that purpose. It continuously increases the learning rate unless an error is higher  
 360 from that before the change. If it is, the algorithm radically decreases the learning rate and obtains  
 361 weights from the last step again. Teaching ANN is a stochastic process caused by using randomly  
 362 chosen initial weights. It was found that the best procedure for this investigation would be to make  
 363 all optimization processes that way. Therefore, parameters of ANN, responsible both for structure  
 364 and learning process, are randomly selected until the desired result is reached. In fact, the  
 365 calculations are done automatically and simultaneously for several models due to multi core oriented  
 366 software. The benefits of this approach are: time saving and high effectiveness of finding the best  
 367 model. The last one is especially important, because the goal is to create a model that produces the  
 368 best results, which doesn't necessary mean creating a more complicated network (more neurons or

371 layers).

### 372 3.2.2. Model evaluation

373 The main goal of [the](#) analysis described in this paper is to find a solution to the bio-aerosol  
374 classification problem. When a training process ends, a final model is created: a network, which has a  
375 unique structure and a set of weights. One can create many of them and make a comparison only by  
376 a final error. It is not the best solution, because the goal is to distinguish patterns in data  
377 consistently, not to produce a network with a minimal error. That is why there is a need to make a  
378 final analysis of the results and evaluate the model in accordance with the best classification  
379 performance.

380 The standard method for visualization of results is a confusion matrix which will be necessary for  
381 Receiver Operating Characteristics (ROC) analysis (Fawcett, 2006). It simply shows what fraction of  
382 population for each class is predicted correctly or not. Each element from the data set [is assigned to](#)  
383 [one of the following fits of the confusion matrix](#): [True Positive \(TP\)](#), [True Negative \(TN\)](#), [False](#)  
384 [Negative \(FN\)](#) and [False Positive \(FP\)](#). If it belongs to [TP and TN](#), it was classified correctly.

387 The ROC graphs are very simple, but useful tools for discovering whether a classifier is worth  
388 using or if it makes a random classification. It is based on two rates from confusion matrix: hit rate (6)  
389 and false alarm rate (7).

$$\begin{aligned} \text{hit rate (true positive rate)} \\ &= \frac{TP}{TP + FN} \end{aligned} \quad (6)$$

390

$$\begin{aligned} \text{false alarm rate (false positive rate)} \\ &= \frac{FP}{FP + TN} \end{aligned} \quad (7)$$

391 Each discrete classifier has a threshold level that assigns an element to a positive or negative  
392 class. The points of ROC graph (Figure [7](#)) represent the classifier for many thresholds. The most  
393 desired curve reaches the highest true positive rate with the lowest false positive rate (convex line).  
394 The random classifier, in turn, has a hit rate equal to a false alarm rate despite threshold variation  
395 (diagonal line). To identify ROC analysis with one coefficient, the area under the curve (AUC) may be  
396 used. The higher value of AUC results in better performance (0.5-means random, 1-excellent).

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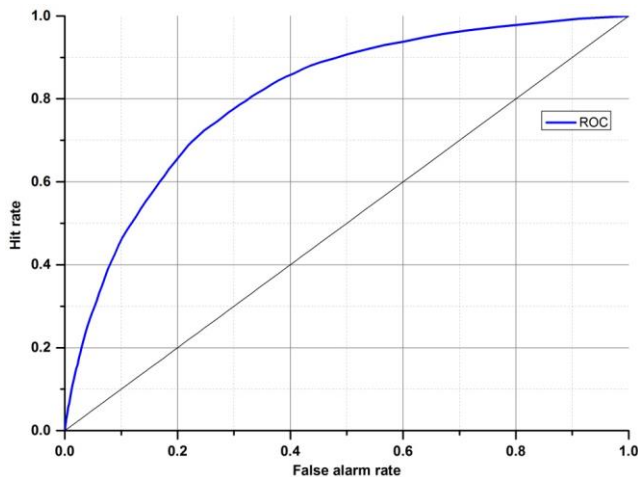
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Table 3. Structure of confusion matrix.¶  
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407 Figure 7. ROC graph with an example of classifier (blue).

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408 The confusion matrix and ROC analysis described above were defined for two class problems  
 409 (positive, negative). There is a straightforward way to expand it for the multi-class problem. One  
 410 needs to take a desired class versus all other classes. Then there is a possibility to compare how good  
 411 the classifier for specific classes within one model is.

412 4. Results

413 4.2. ANN performance

414 The first attempts were made to distinguish all substances using only one neural network model.  
 415 The tests revealed that it is impossible due to the huge number of samples (48 aerosols) and only a  
 416 few of them presented significantly different fluorescence spectra which allow accurate  
 417 characterization. The remaining substances are then misclassified. Therefore, we decided to use a  
 418 more practical approach to this problem, which would be to create several groups (considering  
 419 information about aerosols), but we did not want to make any classes a priori. Although the  
 420 demonstrated ANN type needs a training, which requires a set of known classes, further tests  
 421 showed that there is a possibility to find similarities between substances through the analysis of  
 422 confusion matrices. It was achieved after many trials of matching substances, which were not well  
 423 separated, into new groups and checking if they are good enough on ROC graphs. Consequently, this  
 424 procedure was also applied to those new groups.

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 426 All examples demonstrated below were calculated on the test data sets, not training data. In the  
 427 first presented network (Figure 8), which try to classify all of 48 substances (group 0), four aerosols  
 428 reached very high accuracy of separation (AUC>0,9). The best separation was achieved for  
 429 fluorescent microspheres (FM7). In this case 98.5% of all FM7 particles were correctly classified.

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430 Similarly, an efficient separation was achieved for riboflavin (Rib), NT (Talc) and LCB (*Lactobacillus*  
 431 *bulgaricus*). The remaining aerosols were divided into 3 separate groups that gather the most similar  
 432 substances (group 1-3) (Table 3). The subsequent groups up to 21 represent individual ANNs leading  
 433 to the final classification of the aerosol. In practice separation is done not by one confusion matrix  
 434 (ANN) but by all of them in sequence (22 ANN's combined in a decision tree). For example, if ANN

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443 classifies unknown substance into any of 22 groups it means that decision process is not ended but  
 444 from that moment another ANN classifies this substance. However, each new ANN is trained using  
 445 only subsection of the data excluding the data from other groups.  
 446

447 Table 3. Exemplary confusion matrix of all aerosols classified by the first ANN.  
 448

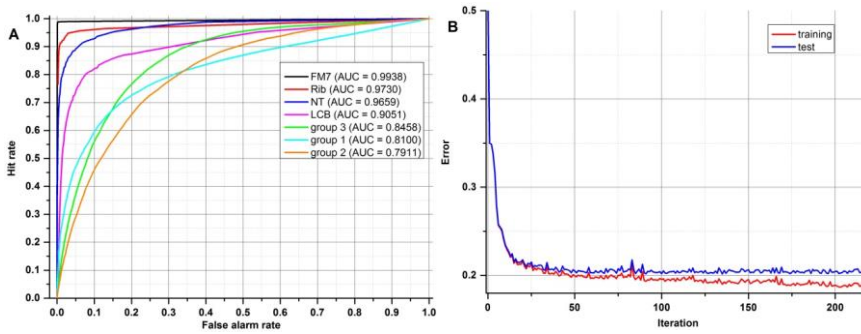
		predicted						
		FM7	Rib	NT	LCB	group 3	group 1	group 2
true	FM7	98.5	0	0	0.3	0.1	0	1.1
	Rib	0.1	91	0.5	3.1	1.2	0.6	3.4
	NT	0	0.1	86.5	0	9.3	0.3	3.8
	LCB	1	1.6	0.6	72.7	3.9	10.7	9.5
	group 3	0	0.7	6.6	0.6	63.3	12	16.8
	group 1	0.2	1	1	7.9	12.5	61.6	15.8
	group 2	0.1	1.2	3.8	6.6	17.6	13.2	57.4

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449 Figure 8. (A) ROC and (B) error progress of ANN that classifies all samples.  
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451 Table 4 and Figure 9 show results achieved for two substances that have very similar spectrum  
 452 and calculated AUCs are not much higher than in a random classifier. This example clearly shows why  
 453 we are not always able to classify each one particle of aerosol with 100% accuracy. However, just a  
 454 representative number (several dozen) of measured particles (cloud) allows the proper prediction of  
 455 aerosol types within a few seconds. This is easy to observe during real time detection, because  
 456 counts allocated in confusion matrix tend to reach a stable state quite quickly.  
 457

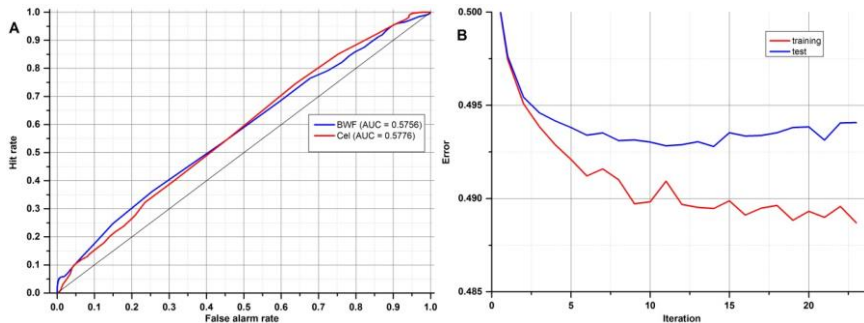
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		predicted	
		BWF	Cel
true	BWF	54.8	45.2
	Cel	45.6	54.4

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458 Table 4. Confusion matrix of two substances that have very similar spectra.



468

469

Figure 9. ROC (A) and error progress (B) of ANN that classifies two very similar samples.

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### 4.3. Classification tree

472

Finally, to achieve the best possible classification, the decision tree was created (Figure 10). It comprises not one, but 22 models. It is difficult to present confusion matrices and ROC graphs for all neural networks in this paper; therefore, only the most interesting one has been discussed. Here, each node represents a network that classifies a group of aerosols. The aerosols on the left side of the diagram show the most distinct differences, thus they are easy to classify (Level 0). In the right direction (Level 1-5) this task is much more demanding due to similar spectrum and the separation is less probable in accordance to single particles, although it is still very useful from a practical point of view for aerosol cloud discrimination.

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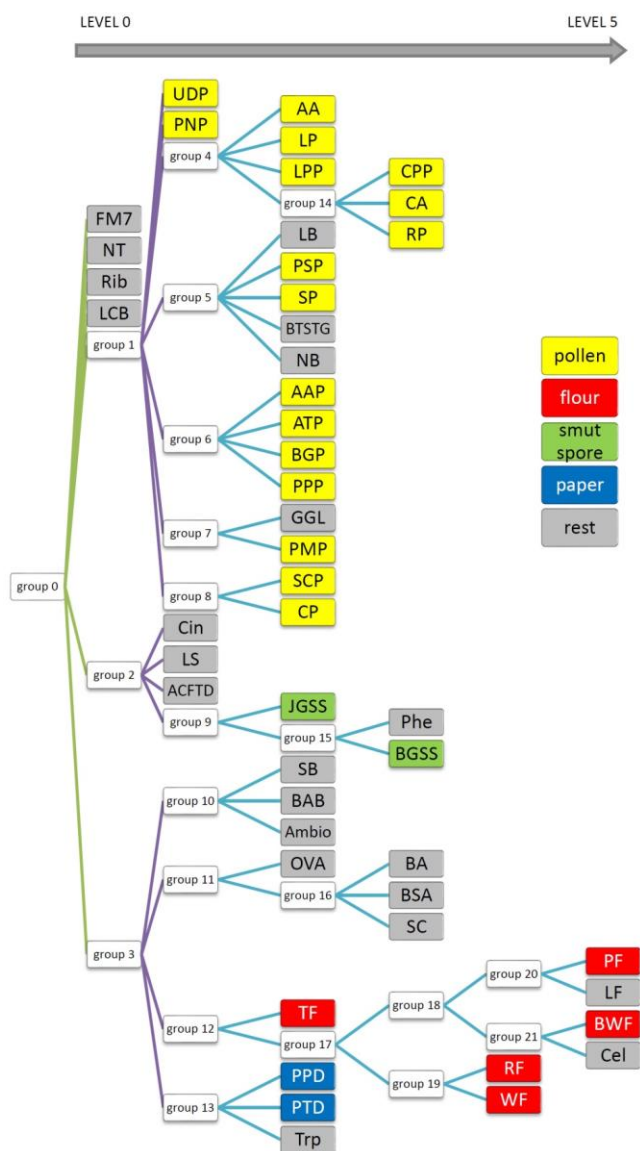
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484  
485 Figure 10. Decision tree consists of 22 ANN separating 48 substances.

486 At first glance one could see that FM7 and Rib are very well recognized, but that was expected,  
487 because these are standards of fluorescence. Surprisingly, NT and LCB aerosols were also separated  
488 from the others (Level 0 network). Further analysis of the tree structure identifies a correlation  
489 between samples and their real categories, especially it is noticeable for Pollens, which are allocated  
490 on a separate branch of that tree and all stems from group 1. Most of them were classified on the  
491 third level. Interestingly all grass pollens (AAP, ATP, BGP, PPP) belong to the same group 6. Similarly,

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493 both *Lycopodium* pollens from different regions of the word show close correlation, however *Abies*  
494 *alba*, which is a tree, was classified to the same group. Flours, Smut Spores and Papers are dispersed  
495 between different levels, but particular groups belong to the same branch of the tree. However, some  
496 of samples, are scattered on the whole tree area and do not correspond to any group.

497 It should be noted that the result is a system of 22 ANNs that works simultaneously. In  
498 comparison to the training process, which is rather time consuming and has to be empirically  
499 optimized, this cluster of learned ANN's delivers high performance. Input data is processed by a  
500 single ANN in milliseconds. This performance makes neural network a great tool as a splitting node in  
501 the classification tree. Comparing to our previous results, where Principal Component Analysis was  
502 applied to analyze data from BARDet (Kaliszewski et al., 2016), the ANN allowed much better  
503 discrimination between various bio-aerosols.

## 504 5. Summary

505 In this paper the possibility of an application of the Artificial Neural Network (ANN) for a real  
506 time classification of biological aerosols was investigated. The spectral characteristics of bio-aerosols  
507 were collected using the BARDet instrument. The database consisted of 48 substances. Finally, 22  
508 neural networks were trained and combined into a decision tree. It allowed to characterize aerosols  
509 in real time. Tests revealed that only several substances have such characteristic fluorescence  
510 spectra that allows correct classification of almost each particle. However, in all other cases the  
511 system was able to recognize a particular aerosol accurately with no mistake, but a representative  
512 number of several dozens of particles in a cloud was necessary. Further approximation was based on  
513 decision tree analysis where each node corresponded to a separate learned ANN. The best sets of  
514 ANN's for each group of similar aerosols were discovered utilizing confusion matrices and ROC  
515 analysis. Our intentions were to make a complete system which detects and classifies substances  
516 without creating groups *a priori*. This attitude helped to create a powerful analytical tool that works  
517 automatically and the results of classification are immediately available on the operator's screen.

518 This study proved that it is possible to create a tool for a highly effective analysis of bio-aerosols  
519 using multiple ANNs combined into decision tree. Our approach allowed to automate and speed up  
520 an analysis, which reduced time and the amount of needed computing power. In a future study the  
521 database will be extended to obtain possibly vast variety of samples including atmospherically  
522 relevant bacteria and fungi. In the next step, the actual performance of the system will be  
523 determined under real environmental conditions.

524 Data availability: The experimental aerosol data can be provided upon request. The software for  
525 automatic data analysis cannot be commonly provided at this moment since it is a subject of  
526 negotiations with a company.

## 529 Acknowledgments

530 Presented work was supported by grant from The National Centre of Research and Development  
531 (Poland), project: "Mobile laboratory for environmental sampling and identification of biological  
532 threats" (O ROB 0031 01/ID/31/1).

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characteristics)

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