Authors considerations regarding the use of the information given in the responses to the Referees to improve the manuscript

Associate Editor Decision: Publish subject to minor revisions (review by editor) (22 Oct 2018) by Piet Stammes Comments to the Author:

"Dear Authors,

Thanks for your response to the referees. However, please use the information given in the responses to the Referees to improve the manuscript. This holds specifically for the responses to Referee #1: R#01, R#02 and R#03. For the response to Referee #2, this holds for R#03, R09 and R#10. Currently it is not clear if anything is done with these useful comments from the referees."

We would like to thank the Associate Editor for his attentive on the points highlighted.

Response to Referee #01

R#01 (to Referee #1) – We added the extraterrestrial response calibrations estimation based on median (**included in the Table 3, pag. 16**) and provided a discussion in the manuscript comparing the median results with the mean based values (**Pag. 14 from Line 7 to Pag. 15, line 7**).

R#02 (to Referee #1) – A explanation regarding the reasons to select the years 2012 and 2015 was included in the manuscript (**Pag. 8, Line 7 – 11**)

R#03 (to Referee #1) – Despite the restriction (majority of the individual Langley plot limited to the dry season) to provide an evaluation whether there is or not a seasonal dependence in the estimated extraterrestrial response, we added a discussion in the manuscript highlighting this aspect and, based on the referee hypothesis, observed that the stable temperature throughout the seasons in Central Amazonia is likely to diminish the seasonal dependence of the calibration constant. (**Pag. 15, Line 16 – 21**)

Response to Referee #02

R#03 (to Referee #2) – We believe that the addition in the manuscript related to the response **R#02(to Referre#1)** attend the editor consideration regarding **R#03 (to Referee#2). (Pag. 8, Line 7 – 11**)

Additionally, aiming to attend the Referee#2 query about whether our numbers of individual Langley plot are robust, we included short revision regarding the number o individual Langley plot typically in our support. (**Pag. 11, Line 20 to Pag. 12– 5**)

R#09 (to Referee #2) – Question#09 from Referee#2 resemble part of his own Question#03 and also Question#02 of Referee#1, both already considered above in **R#02 (to Referee #1)** and **R#03 (to Referee #2)**.

R#10 (to Referee #2) – The cirrus potential to contaminate MFRSR and AERONET sunphotometer retrieval in Central Amazonia is better contextualized in the manuscript (**Pag. 17, Line 13 – 17**)

Filter Rotating Shadow-band Radiometer on-site calibrated 2 3 Nilton Rosário¹, Tamara Sauini¹, Theotonio Pauliquevis¹, Henrique Barbosa², Marcia 4 5 Yamasoe³, Boris Barja⁴ 6 ¹Universidade Federal de São Paulo - Rua São Nicolau 210 - Diadema - SP - CEP 09913-030 - Brazil ²Instituto de Física da Universidade de São Paulo - Rua do Matão 1371 - São Paulo - SP - CEP 05508-090 - Brazil 7 8 ³Instituto de Astronomia, Geofísica e Ciências Atmosféricas - Universidade de São Paulo - Rua do Matão 1226 - São Paulo -9 SP - CEP 05508-090 - Brazil 10 ⁴Universidade de Magallanes - Manuel Bulnes 01855, Punta Arenas, Region de Magallanes y de la Antártica Chilena, Chile 11 Correspondence to: Nilton E. Rosario (nrosario@unifesp.br) 12 13 Abstract 14 Extraterrestrial spectral response calibration of a Multi-Filter Rotating Shadow band Radiometer (MFRSR) under 15 Amazonian Forest atmosphere pristine conditions using the Langley plot method was performed and evaluated. 16 The MFRSR is installed in Central Amazonia as part of a long-term monitoring site, which was used in the context 17 of the GoAmazon2014/5 Experiment. It has been operating continuously since 2011 without regular extraterrestrial 18 calibration, preventing its application to accurate monitoring of aerosol particles. Once calibrated, the MFRSR 19 measurements were applied to retrieve aerosol particles columnar optical properties, specifically Aerosol Optical 20 Depth (AOD₂) and Ångström Exponent (AE), which were evaluated against retrievals from a collocated CIMEL sunphotometer belonging to the AErosol RObotic NETwork (AERONET). Results obtained revealed that 21 22 Amazonian pristine conditions are able to provide MFRSR extraterrestrial spectral response with relative

Aerosol Optical Depth retrievals in Central Amazonia from a Multi-

1

uncertainty lower than 1.0% at visible channels. The worst estimate (air mass = 1) for absolute uncertainty in AOD_{λ} retrieval varied from ~0.02 to ~0.03, depending on the assumption regarding uncertainty for MFRSR direct-normal irradiance measured at the surface. Obtained Root Mean Square Errors (RMSE ~ 0.025) from the evaluation of MFRSR retrievals against AERONET AOD_{λ} were, in general, lower than estimated MFRSR AOD_{λ} uncertainty, and

27 close to the uncertainty of AERONET field supphotometers (~ 0.02).

1 1. Introduction

2 Aerosol Optical Depth (AOD) is an important variable to characterize atmospheric particles 3 columnar abundance and is also fundamental to estimate their direct radiative forcing in the climate system (Shaw, 1983, Kaufman et al., 2002, Menon, 2004, Satheesh and Srinivasan, 2005). Its relevance is 4 5 also growing in the context of air quality monitoring from satellite (Hoff and Christopher, 2009, van 6 Donkelaar et al., 2010, van Donkelaar et al., 2013). However, the so called Extraterrestrial Response 7 Calibration (ERC) of the radiometers designed to monitor AOD, for instance sun tracking and shadow-8 band radiometers (Holben et al., 1998, Harrison and Michalsky, 1994), is a critical issue to the accuracy 9 of AOD retrievals (O'Neill et al., 2005, Sinyuk et al., 2012, di Sarra et al., 2015). Therefore, regular and 10 adequate calibration of sun-tracking and shadow-band radiometers dedicated to monitor AOD is vital (Holben et al., 1998, Eck et al., 1999, Michalsky et al., 2001). The ERC consists in the estimation of the 11 12 solar energy that would be measured by the instrument at the top of the atmosphere (TOA) or in 13 hypothetical absence of the atmosphere. It remains one of the most critical calibrations to the accuracy of 14 AOD retrieval (Forgan, 1994, Michalsky et al., 2001, Eck et al., 1999, Chen et al., 2013). The classical way to perform ERC is based on the Langley plot method, for which measurements on high mountain 15 tops, under clean air and stable conditions are recommended (Shaw et al., 1973, Holben et al., 1998). 16 17 However, very often, regular trips to very high and clean mountain tops to perform ERC are not possible, 18 either due to the lack of resources or to avoid data collection interruption. Consequently, with the spread of ground based AOD monitoring networks, on site calibration based on multiple Langley plots has been 19 20 successfully adopted elsewhere (Michalsky et al., 2001, Augustine et al., 2008, Rosario et al., 2008, Mazzola et al., 2010, Michalsky and LeBaron., 2013). 21

During the last decades, Amazonia has been a stage for various intensive and mid to long term atmospheric experiments (Avissar et al., 2002, Silva Dias et al., 2002, Andreae et al., 2004, Martin et al., 2016), performing a large number of field measurements, and regularly including ground-based monitoring of AOD. Given the inherent complex logistics that characterize field experiments in Amazonia, regular trips to distant clean mountain tops, to perform ERC of AOD monitoring devices

operating inside the forest, are a challenge, mainly for long-term sites. Unlike AErosol RObotic NETwork 1 2 (AERONET) sunphotometers, which have a regular calibration logistic supported by NASA (Holben et al., 1998), other ground-based devices for AOD monitoring operating inside the Amazonia have to find 3 alternative ways to provide a regular calibration. Multi-Filter Rotating Shadow-band Radiometers 4 5 (MFRSR, Harrison and Michalsky, 1994) have been also deployed recurrently in the Amazon basin to 6 monitor spectral and broadband solar irradiance and AOD during specific seasons (Yamasoe and Rosario, 7 2009, Rosario et al., 2009, Yamasoe et al., 2014, Martin et al., 2016), and more recently focusing in mid 8 and long-term monitoring (Barbosa et al., 2014). An experimental site, located in central Amazonia, and 9 included in the context of the Observations and Modelling of the Green Ocean Amazon 10 (GoAmazon2014/5, Martin et al., 2016), under the reference of T0e, is operating since the year of 2011 a MFRSR as part of a set of instruments to perform long term atmospheric monitoring of convection, 11 12 radiation, aerosols and cloud properties in Central Amazonia (Barbosa et al., 2014). GoAmazon experimental sites range from time point zero (T0) upwind of pollution associated with Manaus city, 13 14 Brazil (Figure 1) to sites in the midst (T1) and downwind (T2, T3) of the pollution plume (Martin et al., 15 2016). The MFRSR is being operated at the T0e site since 2011 without performing its ERC, which prevent its application to retrieve AOD. In this context, the question that drives the focus of the present 16 17 study is: Does Central Amazonia pristine atmosphere conditions provide successful scenarios for Extraterrestrial Response Calibration? Amazonia atmosphere under pristine conditions have been 18 19 denominated as Green Ocean due to its very low pollution concentration, comparable to remote ocean 20 areas (Robert et al., 2001, Andreae et al., 2004), which is a fundamental requirement to apply Langley plot method. To answer the question posed, the present paper describes and discusses methods and results 21 of an effort to calibrate, on site, the cited MFRSR. Its subsequent application to characterize the AOD 22 23 variability is evaluated against AOD retrievals from a collocated Cimel sunphotometer from AERONET 24 (Holben et al., 1998) also operated at the T0e site. The manuscript is organized as follow: section 2 describes the experimental site, provides a brief overview on MFRSR, Langley plot method and AOD 25 26 retrieval theory, section 3 consists of results and discussion and final remarks are exposed in section 4.

1 2. Experimental site, instruments and methods

2 2.1 Experimental site T0e

The T0e site has been operating continuously since February 2011 in Central Amazonia, up-wind from 3 Manaus city (59° 58' 12''W and 02° 53' 27''S, Figure 1), with a set of collocated atmospheric 4 5 monitoring instruments that include a MFRSR, a Cimel sunphotometer and a Raman lidar (Barbosa et al., 2014). The site main goal is to provide long term characterization of diurnal and seasonal cycles of clouds 6 and convection and the interactions and feedback mechanisms between water vapour, clouds, radiation 7 8 and aerosol particles. It was incorporated as part of the GoAmazon 2014/15 experiment (Martin et al., 9 2016) network sites, an international experiment designed to investigate the interactions between 10 Amazonia natural atmosphere conditions and the air pollution plume from Manaus city.



11

Figure 1- T0e site location in Central Amazonia from a zoom in showing the site location upwind of the Manaus City. During the wet season (December to May) the dominant wind direction is from northeast (blue arrow) and during the dry season (June to November) from east (brown arrow). GoAmazon 2014/15 experiment sites relative position to the Manaus city: T2 at downwind, T1 in the midst and T0e upwind of the city (map source: Google Earth maps).

The GoAmazon2014/5 sites were classified from time point zero (T0) upwind of the plume, to T1 in the 1 2 midst of the plume, to T2 just downwind of the Manaus, to T3 furthest downwind of Manaus (70 km). 3 Manaus city pollution plume composition includes nitrogen and sulphur oxides, and high concentrations of submicron aerosol particles and soot (Kuhn et al., 2010), which is consistent with the nature of the 4 5 local major anthropogenic sources of air pollution, vehicle fleet, power plants, and industrial activities. Sá 6 et al. (2017) found that the submicron particles composition is dominated by organic material across the 7 sites upwind and downwind of Manaus, independently of the levels of pollution. However, their study 8 pointed out that, among the sites, the absolute mass concentrations of pollutants vary significantly. 9 Average concentrations downwind of Manaus are 100% to 200% higher than those upwind.

In general, during the wet season, the atmosphere at T0e site is a clean reference, since its location upwind of Manaus prevents the site of being strongly affected by the city pollution plume. Meanwhile, during the dry season the atmospheric column at T0e, likewise large portion of the atmosphere across Central Amazonia, eventually is influenced by smoke from biomass burning emissions that occur throughout the Amazon basin.

15

16 2.2 Instruments

Multifilter Rotating Shadow-band Radiometer is designed to monitor global-horizontal, diffuse-horizontal 17 18 and direct-normal solar irradiances at narrow and broadband channels (Harrison et al., 1994). It has been 19 used worldwide to derive columnar aerosol optical properties (Harrison and Michalsky, 1994; Alexandrov et al., 2002; Rosario et al., 2008, Michalsky et al., 2010, Mazzola et al., 2010, Michalsky and LeBaron, 20 21 2013), water vapour (Michalsky et al., 1995, Schneider et al., 2010) and cloud optical properties (Min and 22 Harrison, 1996, Kassianov et al., 2011). Direct-normal spectral irradiance $(I_{DN,\lambda})$ at the surface, needed to perform AOD retrievals, is obtained via the difference between global-horizontal and diffuse-horizontal 23 irradiances divided by the cosine of the solar zenith angle (Harrison et al., 1994). Once MFRSR angular 24 25 and spectral responses are properly characterized and the automated shadow-band system adequately

adjusted, accuracy in $I_{DN,\lambda}$ is expected to be comparable to sunphotometers (Harrison et al., 1994). 1 However, once in field, MFRSR filters transmission may suffer degradation with time (Mychalsky et al., 2 3 2001, Michalsky and LeBaron, 2013), which makes regular ERC critically necessary to keep the accuracy 4 of AOD retrievals. The MFRSR of the present study has been operating with sporadic interruptions at 5 T0e providing irradiances measurements at time interval of 1 minute at five narrow-band channels (415, 6 500, 610, 670 and 870 nm) with half-bandwidth of 10 nm and able to permit AOD retrieval. Given the high cloud cover in central Amazonia, the MFRSR high frequency measurements are crucial to improve 7 8 the frequency of AOD retrieval under cloudy sky and, therefore, minimizes the AERONET AOD product 9 known bias toward clear-sky condition (Levy et al., 2010).

10

11 **2.3 Langley plot calibration and uncertainties**

Langley plot calibration method is based on Lambert-Beer law (Shaw, 1983), which describes the
 attenuation of a monochromatic beam propagating through a medium.

14
$$I_{DN,\lambda} = f(d) I_{0,\lambda} e^{-m\tau_{\lambda}} \qquad \text{Eq. (1)}$$

where, considering the full atmospheric column as the medium, $I_{DN,\lambda}$ is the direct solar spectral irradiance at wavelength λ measured at the surface by the MFRSR, $I_{o,\lambda}$ is the solar spectral irradiance that would be measured in the absence of the atmosphere at Earth-Sun mean distance (d_o), f(d) is a correction factor related to Earth-Sun distance variation (Iqbal, 1983), and m and τ_{λ} represent the atmosphere relative optical air mass and total optical depth, respectively. Linearization of the Eq.(1) by applying the natural logarithms to the both sides of the equation leads to a linear relation between m and ln(I_{DN, λ}), on which τ_{λ} and ln(f(d)I_{o, λ}) represent, respectively, the angular and linear coefficients.

22
$$\ln(I_{DN,\lambda}) = \ln(f(d)I_{o,\lambda}) - m\tau_{\lambda}$$
 Eq. (2)

Knowing $\ln(I_{DN,\lambda})$ over a range of m, during which the atmosphere remained clean and stable, the leastsquares regression method can be applied to provide a linear fit formulation between both variables, 1 where the angular coefficient is the mean atmosphere optical depth, and the linear coefficient represents 2 the case of m equal to zero, a hypothetical absence of atmosphere, from which an estimation of the solar 3 extraterrestrial spectral irradiance $(I_{o\lambda})$ can be made.

4 In the present study, the atmosphere relative optical air mass (m) was calculated as a function of 5 Solar Zenith Angle (SZA) based on Kasten and Young (1989) formulation and $ln(I_{DN,\lambda})$ taken from 6 MFRSR direct-normal irradiance measurements for the years of 2012 and 2015. As we assumed that both 7 the response variable, $ln(I_{DN,\lambda})$, and the predictor variable, m, are subject to errors, it was applied the 8 least square regression treatment that consider errors in both adjusted variables (Irvin and Quickenden, 9 1983). The errors in $\ln(I_{DN\lambda})$ were obtained through error propagation theory considering Harrison et al. (1994) estimate of uncertainty to MFRSR direct-normal irradiance ($\sigma_{I_{DN,\lambda}} = 2\%$). Regarding error in the 10 airmass (σ_m) we based on the study of Tomasi and Petkov (2014), which compared atmospheric airmass 11 12 results from Kasten and Young (1989) formulation against rigorous calculation and found differences lower than 0.8%. Therefore, we assumed 0.8% as an estimate of uncertainty to the airmass calculated 13 using Kasten and Young (1989). Following previous studies suggestion (Mazzola et al., 2010 and 14 15 Alexandrov et al., 2004), to apply least square regression we adopted the airmass range from 2.0 to 5.0. 16 For airmass larger than 5.0, high solar energy incident angles, calibration may be affected by the 17 uncertainty of the MFRSR cosine angle correction and the shadow-band correction, meanwhile low 18 airmasses, near 1.0, increase the probability of turbulent atmospheric conditions and, therefore, the 19 reduction of the optical depth stability (Chen et al., 2013).

The quality of the linear fit derived using least-square regression is highly dependent on optical depth temporal stability, which is more likely to be observed under aerosol background conditions and stable atmosphere. To obtain a set of linear fit able to provide high quality Langley plot calibration samples, for both selected years 2012 and 2015, were selected only morning cases, to avoid the afternoon vigorous convection, and only linear fit with correlation coefficients (R²) higher than 0.990. This is the minimal value usually obtained for calibration performed at high mountain top (Schmid and Wehrli, 1995). Also, considering Schafer et al. (2008) study on AOD climatology across the Amazon basin, only 1 AOD values typical of background conditions were selected. For both years studied, 2012 and 2015, the 2 MFRSR final extraterrestrial spectral response calibration ($\langle I_{o,\lambda} \rangle$) was estimated from the mean of the 3 correspondent set of extraterrestrial response calibration ($I_{o,\lambda}$) obtained from individual Langley plot 4 calibrations. The uncertainties of the derived final calibrations were estimated as the standard error of the 5 mean ($\sigma_{\langle I_{o,\lambda} \rangle}$). Subsequently, the final calibrations results were applied to retrieve AOD_{λ} over the T0e 6 site using the MFRSR.

7 It is worth mentioning that the selection of the years 2012 and 2015 to answer the question 8 whether it is possible to obtain accurate extraterrestrial calibration constants derived from Langley plot 9 method in Central Amazonia was based on the evaluation that two independents years, temporally distant, 10 would be adequate to provide findings to support our answer to the question. The temporal distance 11 between the two years meant to detect a potential scenario of filter degradations.

12

13 2.4 Aerosol Optical Depth (AOD_{λ}) inversion and uncertainty estimate

14 From Eq.(2), the atmospheric total optical depth (τ_{λ}) can be separated as follow:

$$\tau_{\lambda} = \tau_{m,\lambda} + AOD_{\lambda} + \tau_{g,\lambda}$$
 Eq. (3)

Where $\tau_{m,\lambda}$ and $\tau_{g,\lambda}$ represent, respectively, molecular scattering and gas absorption optical depths. All MFRSR channels are affected by molecular scattering, while gas absorption is highly selective, therefore affects specific channels. The most relevant influence of gas absorption on MFRSR channels is produced by ozone (O₃) at 610 and 670 nm channels and by nitrogen dioxide (NO₂) at 415 nm channel. Therefore, combination of the Eq. (3) and Eq. (2) leads to the AOD_{λ} retrieval equation

21
$$AOD_{\lambda} = -\frac{1}{m} \ln \left[\frac{I_{DN,\lambda}}{f(d) < I_{o,\lambda} >} \right] - \tau_{m,\lambda} - \frac{m_{O3}}{m} \tau_{O3,\lambda} - \tau_{NO2,\lambda} \qquad \text{Eq. (4)}$$

where $\tau_{m,\lambda}$ was calculated using the Kasten and Young (1989) formulation as a function of the climatological surface atmospheric pressure. Given its unique vertical distribution, ozone relative optical 1 air mass (m_{03}) was estimated separately based on Staehelin et al. (1995). Ozone (O_3) and nitrogen 2 dioxide (NO_2) absorption optical depths over T0e site were obtained considering their spectral cross 3 section absorption and average column content $(O_3 = 267.6\pm5.8$ Dobson Units, $NO_2=0.076\pm0.012$ 4 Dobson Units) over the years between 2011 and 2015, taken from the SCanning Imaging Absorption 5 spectroMeter for Atmospheric CHartographY (SCIAMACHY, Bovensmann et al., 1999) and Ozone 6 Monitoring Instrument (OMI, Levelt et al., 2006) products, respectively.

In general, the accuracy of the AOD_{λ} inversion is dominated by the uncertainty in the extraterrestrial response calibration ($\langle I_{o,\lambda} \rangle$) and $I_{DN,\lambda}$ measurements (Michalsky et al., 2001, Alexandrov et al., 2007, Mazzola et al., 2010). Typically, uncertainties in both terms are at least one order of magnitude greater than the contributions of the other terms (Mazzola et al., 2010). Considering only the uncertainties in extraterrestrial response calibration ($\sigma_{<I_{o,\lambda}>}$) and in $I_{DN,\lambda}$ measurement ($\sigma_{I_{DN,\lambda}}$), an estimate of uncertainty ($\sigma_{AOD_{\lambda}}$) of the retrieved AOD_{λ} can be evaluated as

13
$$\sigma_{AOD_{\lambda}} = \sqrt{\left[\frac{1}{m}\frac{\sigma_{}}}{< I_{0,\lambda}>}\right]^2 + \left[\frac{1}{m}\frac{\sigma_{I_{DN,\lambda}}}{I_{DN,\lambda}}\right]^2} \qquad \text{Eq. (5)}$$

14 where $\sigma_{<I_{o\lambda}>}$, as described, is based on the standard error of the mean of multiple extraterrestrial 15 responses obtained from a set of individual Langley plot calibration. Evaluation of the uncertainty in $I_{DN\lambda}$ 16 is a challenge given its dependency on multiple factors, i.e., shadow-band adjustment, accuracy of the 17 angular response and MFRSR positioning regarding misalignment and tilt (Harrison et al., 1994, 18 Alexandrov et al., 2007). Harrison et al. (1994) estimated MFRSR I_{DNA} typical uncertainty to vary 19 between 2 and 3%. Alexandrov et al. (2007) achieved lower estimation, roughly 1.5% for all channels. 20 Assuming Harrison et al. (1994) maximum uncertainty (3%), the final uncertainty in MFRSR AOD_{λ} , for 21 all channels, was evaluated for the worst case scenario, i.e., for unit relative air mass (m = 1).

Additionally, considering AOD_{λ} at two spectral channels ($\lambda 1, \lambda 2$) as reference, the spectral dependence of AOD_{λ} was evaluated using Ångström exponent ($\alpha_{\lambda 1,\lambda 2}$), calculated using the following equation

$$\alpha_{\lambda 1,\lambda 2} = -\frac{\ln[AOD_{\lambda 1}/AOD_{\lambda 2}]}{\ln(\lambda 1/\lambda 2)} \qquad \text{Eq. (6)}$$

1

2 Due to its dependency on aerosol particle size distribution (Eck et al., 1999), $\alpha_{\lambda 1,\lambda 2}$ can be used as a qualitative indicator to evaluate the predominance of submicrometric (fine particles) or micrometric 3 4 aerosol particles (coarse mode) in the atmosphere. High values of $\alpha_{\lambda 1,\lambda 2}$, greater than 2.0, indicate 5 dominance of fine aerosol particles, while values lower than 1.0 are typically related to coarse aerosol particles dominance (Eck et al., 1999). In central Amazonia, for regions upwind of Manaus urban area, 6 such as the T0e site, air masses rich in fine aerosol particles are typically associated with smoke transport 7 from biomass burning regions. Air masses dominated by coarse particles fraction are in general associated 8 9 with local and regional biogenic and soil particles (Artaxo et al., 1998). Eventually, under favourable 10 atmospheric circulation, air mass containing coarse dust particles transported from Sahara Desert may also affect T0e site atmospheric column (Koren et al., 2006, Ben-Ami et al., 2010, Moran-Zuloaga et al., 11 12 2018).

13 Retrievals of AOD_{λ} and $\alpha_{\lambda 1,\lambda 2}$ from MFRSR measurements were validated against AERONET 14 direct Sun products Level 2.0 retrieved by a Cimel sunphotometer also installed at TOe site. AERONET provides AOD at seven wavelengths 340, 380, 440, 500, 670, 870 and 1020 nm, being three coincident 15 with MFRSR wavelengths, 500, 670 and 870 nm. In order to evaluate the MFRSR AOD_{λ} at the remaining 16 17 channels, 415 and 610 nm, the Ångström Exponent from AERONET was used to perform interpolation to derive AOD_{λ} in those channels for the network. Specifically, for comparison purpose, MFRSR AOD_{λ} at 1 18 19 minute rate was averaged within a 5 minute interval centered on AERONET sun-photometer retrieval, large standard deviations from the mean, i.e. higher than 0.08 (considering 4x AERONET field 20 sunphotometer AOD uncertainty, which is 0.02), were interpreted as potential cloud contamination in 21 22 MFRSR, therefore excluded from the analysis. Afterwards, MFRSR results were used to describe and analyse the seasonal variability of columnar aerosol particles optical properties over T0e site. 23

The statistical metrics used to compare MFRSR AOD (AOD_{MFR}) with AERONET sun-photometer AOD
 (AOD_{Aer}), assuming the later as the reference, are the root mean square error (RMSE), a measure of
 average deviation from the reference, and Bias, a measure of overall bias error or systematic error:

4

5

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} \left(\frac{AOD_{MFR,i} - AOD_{Aer,i}}{AOD_{Aer,i}}\right)^{2}} \qquad Eq. (7)$$

6

7
$$Bias = \frac{1}{N} \sum_{i=1}^{N} \frac{AOD_{MFR,i} - AOD_{Aer,i}}{AOD_{Aer,i}} \quad Eq. (8)$$

8

9 3. Results

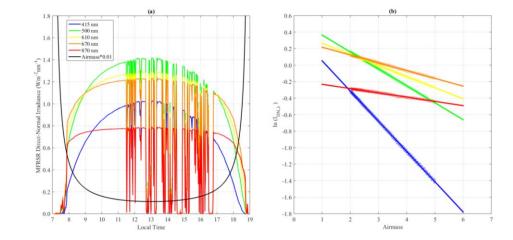
10 **3.1 MFRSR Langley plot calibration and uncertainty**

11 An example of the diurnal cycle of the spectral solar direct-normal irradiance measured (20 June 2012) by the MFRSR prone to a successful Langley plot is presented in Figure 2. In the morning period, 12 13 before vigorous convection initiates, the direct-normal irradiance at all channels is characterized by a 14 continuous increase. The suitability for a successful Langley plot is evidenced in the quality of the linear fit achieved, as can be confirmed in Table 1 for the 500 nm channel. Table 1 and Table 2 present for the 15 16 500 nm channels, respectively, for the years 2012 and 2015, the obtained extraterrestrial response calibrations $(I_{o,\lambda})$ for each individual Langley plot that met the criteria defined, i.e. $R^2 \ge 0.990$ and 17 background AOD_{550 nm} (≤ 0.15). The tables with the results for the remaining channels (415, 610, 670 and 18 19 870 nm) are presented in the supplementary material.

Depending on the year and wavelength, the number of individual Langley calibrations constants obtained varied from 14 to 22, which are figures able to provide consistent statistics for calibration constants according to previous studies (Schmid and Wehrli,1995; Michalsky et al., 2001; Augustine et al., 2003).

Another important aspect to corroborate the quality of the individual Langley plots performed is that more 1 2 than 60 points per individual Langley plot were obtained, when 20 is suggested as a minimum to obtain 3 good results (Augustine et al., 2003). It is also worth to mention that the slopes derived from Langley plot 4 and presented in Tables 1 and 2 represent the daily average of total atmospheric optical depth (including molecular, gaseous absorption and aerosol optical depths). Mean molecular and ozone absorption optical 5 6 depth in Central Amazonia at the visible spectrum are ~0.14 and ~0.01, respectively. Therefore, assuming 7 these typical values, the subtraction of ozone and molecular optical depth from the total atmospheric 8 optical depth (slopes) would result in daily mean AOD values in the range of 0.05 - 0.15, which is 9 typically observed in Amazonia background atmosphere (Schafer et al., 2008).

10



11

Figure 2 - (a) Diurnal cycle of air mass and direct-normal spectral solar irradiance measured by the MFRSR operating at the T0e site in Central Amazonia. (b) Example of Langley plot calibration applied to MFRSR spectral irradiance measurements taken under the clear sky period (08:00 to 11:00 Local Time) of the diurnal cycle shown in (a). (Day: 20 June, 2012)

12

13 **Table 1** – Individual extraterrestrial calibration results ($I_{0,500nm}$) applying Langley Plot technique to 14 measurements of solar direct-normal irradiance at 500 nm from a MFRSR operating at T0e site in Central 15 Amazonia for the year 2012. The individual uncertainty [$\sigma_1 O_{\lambda}$] used to obtain the relative error [$\sigma_1 O_{\lambda}$ (%)]

Date	slope	σ_slope	intercept	σ_intercept	I _{0.500 nm}	σ_Ιο.λ (%)	R ²	N
17-may-12	-0.2426	0.0016	0.5709	0.0043	1.814	0.434	-0.9992	63
16-jun-12	-0.2450	0.0019	0.6058	0.0055	1.895	0.549	-0.9939	64
17-jun-12	-0.2237	0.0016	0.5560	0.0046	1.803	0.464	-0.9990	61
20-jun-12	-0.2117	0.0015	0.5846	0.0043	1.856	0.434	-0.9992	64
21-jun-12	-0.2261	0.0017	0.5722	0.0047	1.834	0.474	-0.9996	65
22-jun-12	-0.2265	0.0018	0.5362	0.0050	1.769	0.501	-0.9995	71
25-jun-12	-0.2585	0.0019	0.6461	0.0055	1.975	0.546	-0.9992	78
3-jul-12	-0.2493	0.0020	0.5848	0.0058	1.858	0.577	-0.9978	61
4-jul-12	-0.2436	0.0019	0.6060	0.0054	1.898	0.542	-0.9998	63
8-jul-12	-0.2430	0.0020	0.5668	0.0058	1.824	0.581	-0.9996	64
11-jul-12	-0.2420	0.0021	0.5456	0.0059	1.785	0.590	-0.9995	62
1-aug-12	-0.2616	0.0021	0.5843	0.0058	1.848	0.580	-0.9997	64
2-aug-12	-0.2401	0.0020	0.5221	0.0055	1.736	0.549	-0.9920	62
3-aug-12	-0.2775	0.0021	0.6313	0.0058	1.935	0.584	-0.9912	65
4-aug-12	-0.2359	0.0017	0.5751	0.0048	1.829	0.482	-0.9991	62
6-aug-12	-0.2880	0.0025	0.5561	0.0070	1.793	0.700	-0.9987	63
21-dec-12	-0.2658	0.0016	0.6294	0.0042	1.815	0.418	-0.9996	63

1	was estimated from the intercept and its respective uncertainty (σ _intercept) derived from the least square
2	regression method.

3

4 $\label{eq:constraint} Table \ 2 \ - \ \mbox{Individual extraterrestrial calibration results} (I_{0,500nm} \) \ \mbox{applying Langley Plot technique to}$ 5 measurements of solar direct-normal irradiance at 500 nm from a MFRSR operating at T0e site in Central 6 Amazônia for the year 2015. The individual uncertainty $[\sigma_{I0.\lambda}]$ used to obtain the relative error $[\sigma_{I0.\lambda} (\%)]$ 7 was estimated from the intercept and its respective uncertainty (σ _intercept) derived from the least square regression method.

Date	slope	σ_slope	intercept	σ_intercept	I0.500 nm	σ_Ιο.λ (%)	R ²	N
19-feb-15	-0.2045	0.0014	0.5723	0.0041	1.734	0.412	-0.9959	62
27-mar-15	-0.2335	0.0015	0.5957	0.0039	1.809	0.395	-0.9941	69
4-jun-15	-0.2787	0.0021	0.6436	0.0058	1.963	0.583	-0.9923	68
24-jun-15	-0.1900	0.0013	0.5545	0.0039	1.802	0.394	-0.9996	63

1-jul-15	-0.2301	0.0016	0.6247	0.0048	1.933	0.478	-0.9989	62
2-jul-15	-0.2039	0.0015	0.5530	0.0043	1.800	0.433	-0.9995	62
6-jul-15	-0.2397	0.0019	0.6022	0.0054	1.890	0.542	-0.9979	61
10-jul-15	-0.2513	0.0019	0.6256	0.0055	1.934	0.546	-0.9988	61
11-jul-15	-0.2487	0.0019	0.6169	0.0056	1.917	0.556	-0.9996	61
12-jul-15	-0.2634	0.0022	0.5949	0.0063	1.876	0.634	-0.9993	61
15-jul-15	-0.2896	0.0026	0.6070	0.0074	1.898	0.745	-0.9994	61
28-jul-15	-0.2606	0.0020	0.6344	0.0056	1.945	0.555	-0.9982	62
29-jul-15	-0.2496	0.0021	0.5611	0.0059	1.807	0.585	-0.9901	62
30-jul-15	-0.2406	0.0018	0.5912	0.0051	1.862	0.510	-0.9964	62
1-aug-15	-0.2500	0.0019	0.6162	0.0054	1.908	0.536	-0.9954	62
2-aug-15	-0.2907	0.0024	0.6385	0.0066	1.950	0.657	-0.9983	62
7-aug-15	-0.2535	0.0018	0.6151	0.0051	1.902	0.508	-0.9997	64
23-aug-15	-0.2652	0.0018	0.6047	0.0048	1.870	0.482	-0.9987	69
5-sep-15	-0.2623	0.0018	0.5373	0.0044	1.737	0.438	-0.9983	74
9-sep-15	-0.2411	0.0014	0.6266	0.0038	1.895	0.376	-0.9996	75
22-sep-15	-0.2825	0.0018	0.5998	0.0045	1.831	0.454	-0.9992	75

2	The final extraterrestrial response estimations $< I_{o,\lambda} >$, for both years and all channels, based on average
3	of all individual Langley plot calibration, are presented in Table 3 along with the standard error from the
4	mean as the uncertainty $(\sigma_{})$, sample number (N) for 2012 and 2015. The relative uncertainties
5	among the channels varied from 0.7% (870 nm) to 1.0% (415 nm) in 2012, and from 0.4% (870 nm) to
6	1.0% (415 nm) in 2015, which are surprisingly satisfactory for conditions diverse from those
7	recommended (clean top mountain). Additionally, alternative final extraterrestrial response estimations
8	were calculated based on median of the set of individual Langley plot calibration. In general, the
9	differences between median and mean based final extraterrestrial response estimations were less than 1%,
10	which would result in AOD differences lower than 0,01, i.e. half of the typical uncertainty of AOD
11	derived from AERONET field sunphotometer measurements. In our case, extraterrestrial response

1	estimations based on mean revealed consistent with estimations based on median, therefore we used mean
2	based values as reference to estimate MFRSR AOD. Optional techniques may be applied to derive
3	extraterrestrial response calibrations, Michalsky et al (2001) used Forgan (1988) ratio Langley technique
4	based on rationing values of individual Langley plot calibration of 500 nm channel to those of 860 nm
-	
5	channel, to select best individual Langley plot calibration in order to improve the final extraterrestria
6	response estimations. In the current study, the lower stability of the 870 nm channel prevents Michalsky
7	et al. (2001) method application.

8 Regarding the relative difference (-0.4%) between mean calibration constants derived for the two 9 years, the difference for the channel 415 nm is not statistically significant, suggesting that between 2012 10 and 2015 the correspondent transmission filter did not suffer relevant degradation. Meanwhile, a drift of 4.8 % was observed for the 870 nm channel, an indication of the lower stability of its transmission filter. 1112 The remaining channels (500, 613, 670 nm) calibrations constant, opposite to the 870 nm channel, presented positive trend between 2012 and 2015 calibrations. However, given the values of the 13 uncertainty $(\sigma_{<I_{o,\lambda}>})$ in their calibration constants, we are not able to attest that 500, 613 and 670 nm 14 15 channels have statistically suffered degradation.

16	Concerning the seasonal dependence seen in extraterrestrial response calibration from other
17	MFRSRs (Michalsky et al., 2001), we were not able to provide an evaluation since most of the individual
18	Langley plot performed consisted of days in the dry season (see Table 1 and 2). Out of the dry season,
19	mainly during the Central Amazonia wet season, the high frequency of cloudy avoid favourable
20	atmospheric condition to perform Langley plot method. Nonetheless, a lack of seasonal dependence is
21	very likely since the temperature of the Central Amazonia is rather stable throughout the year.

- 22
- 24

			Year 2012		Year 2015				
Channels	N	<i<sub>0\$> (mean)</i<sub>	σ _{<lo,λ< sub="">> (Std)</lo,λ<>}	<iot> (median)</iot>	N	<i_0%> (mean)</i_0%>	σ _{<lo,λ< sub="">> (Std)</lo,λ<>}	<i۵۵> (median</i۵۵>	
415 nm	21	1.586	0.015 (1.0 %)	1.586	22	1.579	0.017 (1.0%)	1.582	
500 nm	17	1.839	0.015 (0.8 %)	1.829	21	1.870	0.015 (0.8%)	1.890	
613 nm	14	1.545	0.010 (0.7%)	1.537	17	1.572	0.011 (0.7%)	1.592	
670 nm	15	1.416	0.010 (0.7%)	1.405	18	1.433	0.008 (0.6%)	1.443	
870 nm	15	0,842	0.008 (0.9%)	0.846	20	0.802	0.003 (0.4%)	0.804	

1**Table 3** – MFRSR final extraterrestrial calibrations estimates $< I_{0,\lambda} >$ the years 2012 and 2015 based on the mean2results and median of individual Langley plot calibration from Table 1, Table 2 and tables in the supplementary

material. The uncertainty estimation $(\sigma_{<los})$ is based on the correspondent standard error of the average.

Comentado [NR1]: In order to include Extraterrestrial calibration based on median we excluded the column related to the difference between calibrations based on mean for the years 2012 and 2015 since this can be easily inferred.

4

5 Considering the estimate uncertainties in the obtained extraterrestrial calibration constant (0.4% -6 1.0%), and the Harrison et al. (1994) maximum uncertainty (3%) for MFRSR $I_{DN,\lambda}$ measurements, 7 accordingly to the error propagation analysis (Eq.(6)), the worst estimative (i.e., for unit airmass) for our 8 absolute uncertainty in AOD_{λ} is ~ 0.03, which is comparable with uncertainty of AOD_{λ} retrieved from 9 AERONET field sunphotometers measurements (~0.02, Eck et al., 1999). However, if a lower uncertainty 10 in $I_{DN,\lambda}$ is assumed, for instance 1.5% (as suggested by Alexandrov et al., 2007), that would reduce 11 MFRSR AOD_{λ} uncertainty from ~0.03 to ~ 0.02.

In general, perfect linear Langley plots are associated with stable AOD, however it is possible that not all nearly linear Langley plots are able to provide correct calibration. Airmass assumption, mainly regarding aerosol particles airmass (Schmid and Wehrli, 1995), instruments induced artefact, the shadowband system alignment (Chen et al., 2013), may contribute to error in calibration. These influences are all challenge to estimate. Therefore, taking the mean (or median) of a set of individual Langley plot calibration as the estimate for the final calibration constant, along with the comparison of the AOD results with AERONET sunphotometer retrievals, should provide a good reference to evaluate the quality of the 1 calibration constant obtained. The results obtained for RMSEs derived from the comparison between 2 MFRSR retrievals and AERONET sunphotometer AOD are lower than the estimated uncertainty for 3 MFRSR AOD_{λ} retrievals (i.e., ~0.02 - 0.03, depending on the $I_{DN,\lambda}$ uncertainty assumed, 1.5 or 3 %) and 4 just above the maximum uncertainty for AERONET field instrument (~0.02), demonstrating that, in spite 5 of eventual error associated with assumption made during the Langley plot application, the final derived 6 constants are able to provide reliable AOD retrievals.

7 3.2 Aerosol Optical Depth (AOD_{λ}) inversion and uncertainty estimate

Once determined the MFRSR channels final extraterrestrial response calibration, direct-normal 8 9 irradiance measurements taken along 2012 and 2015 were applied to retrieve AOD_{λ} and to calculate 10 Ångström Exponent. Figure 3 illustrates, for a specific day (22 November, 2012), results of cloud screening and a comparison between the diurnal variability of AOD_{λ} from MFRSR and AERONET 11 12 sunphotometer. The cloud screening criteria captured the majority of contaminated measurements, but 13 few suspicious remaining points are likely related to subvisible and optically thin cirrus. Using Lidar 14 measurements performed at the T0e site., Gouveia et al. (2017) showed that the frequency of subvisible 15 cirrus (optical depth < 0.03) in Central Amazonia can be as high as 42%, while for thin cirrus (0.03< optical depth < 0.3) can be as high as 38%. Therefore, both MFRSR and Cimel operational AOD 16 retrievals are exposed to the influence of this subvisible and thin cirrus. A more conservative cloud 17 18 screening algorithm would remove a significant amount of cloud free cases, as seems to be the case for AERONET sunphotometer retrievals. The intercomparison showed the consistency of MFRSR retrievals 19 20 regarding AOD_{λ} diurnal variability. It is worth to emphasize the higher frequency of MFRSR retrieval during the afternoon when compared with AERONET product. This is a critical aspect regarding the 21 22 representativity of AOD_{λ} diurnal variation in regions marked by strong diurnal cycle of convection and 23 cloud cover such as Central Amazonia. The MFRSR 1-min frequency is expected to improve the statistic of AOD under cloudy conditions, since AERONET sunphotometer current statistics are recognized to be 24 25 biased toward cloudless sky conditions (Levy et al., 2010).

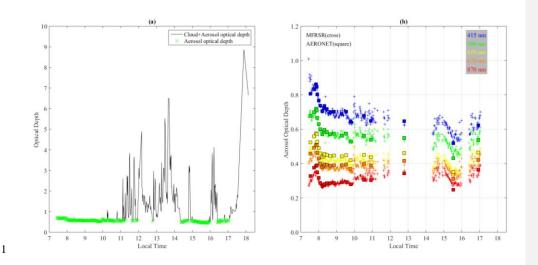


Figure 3 - (a) Example of the cloud screening procedure applied to the MFRSR aerosol optical depth retrievals (22 November 2012). (b) Cloud screened diurnal cycle of multichannel aerosol optical depth from MFRSR compared with AOD retrievals from AERONET Level 2.0 product.

2

A comparison focusing on seasonal variability was also performed. Figure 4 presents the 2012 seasonal 3 variability of $AOD_{500\,nm}$ and $\alpha_{415,670\,nm}$ over T0e site as seen by MFRSR (based on 1 min time 4 5 resolution) and AERONET sunphotometer. When all MFRSR instantaneous retrievals are analysed against AERONET sunphotometer AOD there is an apparent overestimation of AOD and underestimation 6 7 of Ångström Exponent (AE). However, when analysing only coincident retrievals in time of both MFRSR 8 and AERONET sunphotometer, the AOD and most of AE results are consistent. Therefore, the apparent 9 higher AOD retrievals and low AE seen in MFRSR results are related to period during which AERONET 10 AOD product does not provide retrieval. MFRSR retrievals were able to represent consistently the major seasonal features. From March to June, central Amazonia presents its lowest AOD_{500 nm} levels, ranging 11 12 from ~0.05 to ~0.20. In a completely opposite scenario, during the biomass burning season (August to 13 November), AOD_{500 nm} hardly goes down below 0.20 and values above 0.50 are quite frequent. During the transition periods, from background conditions to biomass burning (June to July) and from biomass 14 15 burning to background (December to February), AOD_{500 nm} values oscillated between typical background

and biomass burning season. Considering that the enhancement of AOD_{λ} during the biomass burning 1 2 season across central Amazonia is dominated by increase in small particles (Eck et al., 1999, Rosario, 3 2011), $\alpha_{415,670 \text{ nm}}$ variability (Figure 4) is consistent with the AOD₅₀₀ discussion, i. e., as the aerosol loading increases from July to the biomass burning months (Aug-Sep-Oct-Nov), $\alpha_{415,670 \text{ nm}}$ also shows 4 5 an enhancement. Ångström Exponents ranging from 0.4 to 0.8, which are dominant under background 6 conditions, became rare throughout the biomass burning season and intermittent during the transition 7 periods, a feature consistently described by MFRSR and AERONET sunphotometer. Similar results, for 8 both AOD₅₀₀ and $\alpha_{415,670 \text{ nm}}$ were observed regarding the year 2015 (not shown here).

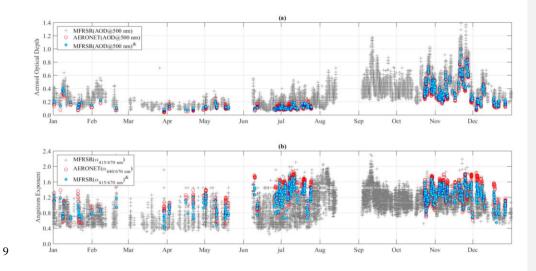


Figure 4 –Seasonal variability of (a) Aerosol Optical Depth and (b) Ångström exponent (AE) at the visible spectrum region in Central Amazonia for the year 2012. MFRSR (AOD@500 nm) represents MFRSR instantaneous AOD retrieval at 1 min rate; MFRSR (AOD@500 nm)[&] represents only MFRSR AOD retrieved colocated in time with AERONET sunphotometer AOD retrieval (AERONET (AOD@500 nm)). MFRSR ($\alpha_{415,670 nm}$) represents MFRSR instantaneous AE at 1 min rate; MFRSR ($\alpha_{415,670 nm}$) represents only MFRSR AE retrieved colocated in time with AERONET sunphotometer AE retrieval (AERONET ($\alpha_{440,670 nm}$)).

- 10
- 11 Figures 5 and 6 show scatter plots and statistic metrics (Bias, RMSE and correlation coefficient)
- 12 comparing MFRSR and AERONET sunphotometer retrievals for 2012 and 2015, respectively. In general,

there is a good agreement between both AOD_{λ} retrievals. However, non-negligible trends are seen, 1 especially for 2012, and in particular for the lower and higher AOD edges. For low AOD_{λ} values, a 2 3 systematic underestimation by MFRSR is observed for all channels, while for high AOD_{λ} , the longer 4 wavelength channels (610 and 670 nm) tend to underestimate AOD. The year 2015 trends are less 5 evident, mainly for the low aerosol loading when compared with 2012. Nevertheless, overall, the statistics metrics used to evaluate MFRSR retrievals performance against AERONET sunphotometer suggest that, 6 7 when is not possible to perform high top mountain calibration, the extraterrestrial response calibration 8 performed at Central Amazonia has reliability to support consistent retrievals of AOD. The obtained RMSEs are lower than the estimated uncertainty for MFRSR AOD_{λ} retrievals (i.e., ~0.02 - 0.03, 9 depending on the $I_{DN,\lambda}$ uncertainty assumed) and slightly above the maximum uncertainty for AERONET 10 11 field instrument (~0.02).

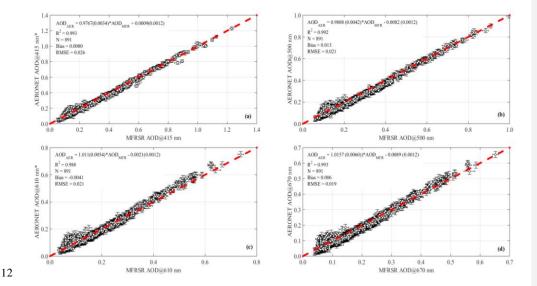


Figure 5 - Spectral AOD retrieval from the on-site calibrated MFRSR as a function of AOD from AERONET direct Sun product level 2.0 for the year 2012. The asterisk (*) indicates that the AOD at that wavelength was estimated using Ångström Exponent and the red dashed line represents the 1:1 line.

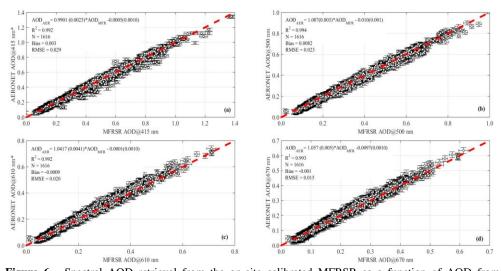


Figure 6 - Spectral AOD retrieval from the on-site calibrated MFRSR as a function of AOD from
 AERONET direct Sun product level 2.0 for the year 2015. The asterisk (*) indicates that the AOD at that
 wavelength was estimated using Ångström exponent and the red dashed line represents 1:1 line.

Figure 7 compares Ångström Exponents derived using AOD retrieved from AERONET sunphotometer
and MFRSR measurements, although comparisons are not as good as that observed for AOD, MFRSR
results provides consistent range of Ångström Exponent in respect to the AERONET results.

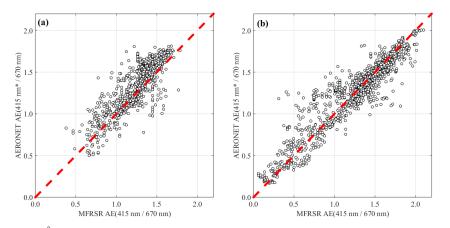


Figure 7 – Ångström Exponent (AE) for visible spectrum derived using AOD at 415 nm and 670 nm
from the on-site calibrated MFRSR as a function of AE derived from AOD at 415 nm* and 670 nm
corresponding to the AERONET direct Sun product level 2.0 for the years (a) 2012 and (b) 2015. The

asterisk (*) indicates that AERONET AOD at 415 nm was estimated using AE since this channel is not
 present in network sunphotometers. The red dashed line represents 1:1 line.

3 4. Conclusions

Does Central Amazonian pristine atmosphere provide successful extraterrestrial response calibration 4 5 based on Langley plot method? This question emerged from the challenge to maintain regular calibration of a MFRSR dedicated to long-term retrieval of columnar aerosol optical properties in central Amazon. 6 7 To answer the question, the MFRSR was calibrated on site using the Langley plot method for two distinct 8 and temporally distant years, 2012 and 2015, and subsequently applied to retrieve aerosol columnar 9 optical properties, i.e., AOD and Ångström Exponent (AE). Retrievals were evaluated against direct sun 10 inversion products (Level 2.0) from a collocated Cimel sunphotometer belonging to AERONET. Results 11 obtained show that on site calibration using Langley plot method, under Amazonian pristine conditions, is 12 able to provide extraterrestrial response with relative uncertainties varying from ~ 0.4 to ~ 1.0 % at MFRSR visible channels. The worst estimative (airmass = 1) for absolute uncertainty in retrieved AOD_{λ} 13 can vary from ~0.03 to ~0.02, depending on the assumption regarding the uncertainty assumed for 14 15 MFRSR direct-normal irradiance measured at the surface $(I_{DN,\lambda})$, which in the literature varies from 1.5% to 3.0%. All Root Mean Square Error (RMSE), obtained from the comparison of MFRSR retrievals 16 17 against AERONET supphotomer AOD_{λ} for coincident channels (500 and 670 nm), were lower (< 0.025) than the estimated MFRSR AOD_{λ} uncertainties (0.03) and close to AERONET field supphotometers (~ 18 19 0.02). Under the point of view of the question posed, these results suggest that on site calibration in 20 central Amazonia pristine conditions is able to provide consistent retrieval of AOD_{λ} . Another relevant 21 aspect of the results provided by the MFRSR, due to its high measurement frequency (one minute), is the 22 improvement of the statistics of AOD under cloudy conditions, which is critical for Amazonia. 23 AERONET sunphotometer current statistics are expected to be biased to cloudless sky conditions, which are dominant during the morning period and dry season. 24

1 **Competing interests**. The authors declare that there are no competing interests.

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