

Aerosol Optical Depth retrievals in Central Amazonia from a Multi-Filter Rotating Shadow-band Radiometer on-site calibrated

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Abstract

Extraterrestrial spectral response calibration of a Multi-Filter Rotating Shadow band Radiometer (MFRSR) under Amazonian Forest atmosphere pristine conditions using the Langley plot method was performed and evaluated. The MFRSR is installed in Central Amazonia as part of a long-term monitoring site, which was used in the context of the GoAmazon2014/5 Experiment. It has been operating continuously since 2011 without regular extraterrestrial calibration, preventing its application to accurate monitoring of aerosol particles. Once calibrated, the MFRSR measurements were applied to retrieve aerosol particles columnar optical properties, specifically Aerosol Optical Depth (AOD_{λ}) and Ångström Exponent (AE), which were evaluated against retrievals from a collocated CIMEL sunphotometer belonging to the AErosol RObotic NETwork (AERONET). Results obtained revealed that Amazonian pristine conditions are able to provide MFRSR extraterrestrial spectral response with relative uncertainty lower than 1.0% at visible channels. The worst estimate (air mass = 1) for absolute uncertainty in AOD_{λ} retrieval varied from ~ 0.02 to ~ 0.03 , depending on the assumption regarding uncertainty for MFRSR direct-normal irradiance measured at the surface. Obtained Root Mean Square Errors (RMSE ~ 0.025) from the evaluation of MFRSR retrievals against AERONET AOD_{λ} were, in general, lower than estimated MFRSR AOD_{λ} uncertainty, and close to the uncertainty of AERONET field sunphotometers (~ 0.02).

1 **1. Introduction**

2 Aerosol Optical Depth (AOD) is an important variable to characterize atmospheric particles
3 columnar abundance and is also fundamental to estimate their direct radiative forcing in the climate
4 system (Shaw, 1983, Kaufman et al., 2002, Menon, 2004, Satheesh and Srinivasan, 2005). Its relevance is
5 also growing in the context of air quality monitoring from satellite (Hoff and Christopher, 2009, van
6 Donkelaar et al., 2010, van Donkelaar et al., 2013). However, the so called Extraterrestrial Response
7 Calibration (ERC) of the radiometers designed to monitor AOD, for instance sun tracking and shadow-
8 band radiometers (Holben et al., 1998, Harrison and Michalsky, 1994), is a critical issue to the accuracy
9 of AOD retrievals (O'Neill et al., 2005, Sinyuk et al., 2012, di Sarra et al., 2015). Therefore, regular and
10 adequate calibration of sun-tracking and shadow-band radiometers dedicated to monitor AOD is vital
11 (Holben et al., 1998, Eck et al., 1999, Michalsky et al., 2001). The ERC consists in the estimation of the
12 solar energy that would be measured by the instrument at the top of the atmosphere (TOA) or in
13 hypothetical absence of the atmosphere. It remains one of the most critical calibrations to the accuracy of
14 AOD retrieval (Forgan, 1994, Michalsky et al., 2001, Eck et al., 1999, Chen et al., 2013). The classical
15 way to perform ERC is based on the Langley plot method, for which measurements on high mountain
16 tops, under clean air and stable conditions are recommended (Shaw et al., 1973, Holben et al., 1998).
17 However, very often, regular trips to very high and clean mountain tops to perform ERC are not possible,
18 either due to the lack of resources or to avoid data collection interruption. Consequently, with the spread
19 of ground based AOD monitoring networks, on site calibration based on multiple Langley plots has been
20 successfully adopted elsewhere (Michalsky et al., 2001, Augustine et al., 2008, Rosario et al., 2008,
21 Mazzola et al., 2010, Michalsky and LeBaron., 2013).

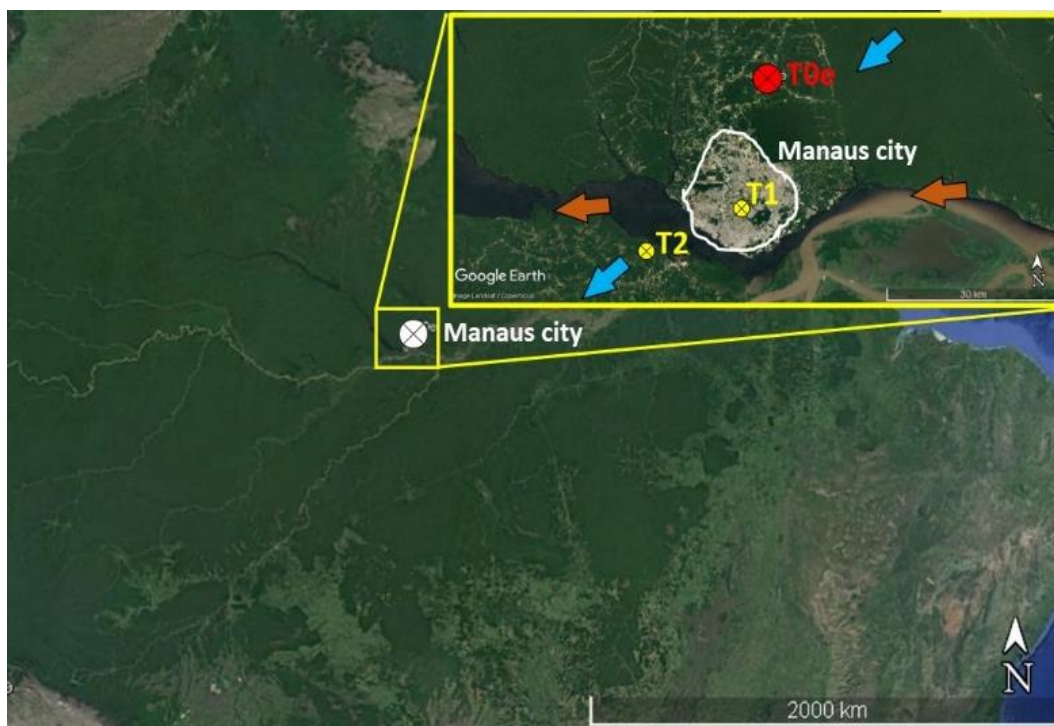
22 During the last decades, Amazonia has been a stage for various intensive and mid to long term
23 atmospheric experiments (Avisar et al., 2002, Silva Dias et al., 2002, Andreae et al., 2004, Martin et al.,
24 2016), performing a large number of field measurements, and regularly including ground-based
25 monitoring of AOD. Given the inherent complex logistics that characterize field experiments in
26 Amazonia, regular trips to distant clean mountain tops, to perform ERC of AOD monitoring devices

1 operating inside the forest, are a challenge, mainly for long-term sites. Unlike AErosol RObotic NETwork
2 (AERONET) sunphotometers, which have a regular calibration logistic supported by NASA (Holben et
3 al., 1998), other ground-based devices for AOD monitoring operating inside the Amazonia have to find
4 alternative ways to provide a regular calibration. Multi-Filter Rotating Shadow-band Radiometers
5 (MFRSR, Harrison and Michalsky, 1994) have been also deployed recurrently in the Amazon basin to
6 monitor spectral and broadband solar irradiance and AOD during specific seasons (Yamasoe and Rosario,
7 2009, Rosario et al., 2009, Yamasoe et al., 2014, Martin et al., 2016), and more recently focusing in mid
8 and long-term monitoring (Barbosa et al., 2014). An experimental site, located in central Amazonia, and
9 included in the context of the Observations and Modelling of the Green Ocean Amazon
10 (GoAmazon2014/5, Martin et al., 2016), under the reference of T0e, is operating since the year of 2011 a
11 MFRSR as part of a set of instruments to perform long term atmospheric monitoring of convection,
12 radiation, aerosols and cloud properties in Central Amazonia (Barbosa et al., 2014). GoAmazon
13 experimental sites range from time point zero (T0) upwind of pollution associated with Manaus city,
14 Brazil (Figure 1) to sites in the midst (T1) and downwind (T2, T3) of the pollution plume (Martin et al.,
15 2016). The MFRSR is being operated at the T0e site since 2011 without performing its ERC, which
16 prevent its application to retrieve AOD. In this context, the question that drives the focus of the present
17 study is: Does Central Amazonia pristine atmosphere conditions provide successful scenarios for
18 Extraterrestrial Response Calibration? Amazonia atmosphere under pristine conditions have been
19 denominated as Green Ocean due to its very low pollution concentration, comparable to remote ocean
20 areas (Robert et al., 2001, Andreae et al., 2004), which is a fundamental requirement to apply Langley
21 plot method. To answer the question posed, the present paper describes and discusses methods and results
22 of an effort to calibrate, on site, the cited MFRSR. Its subsequent application to characterize the AOD
23 variability is evaluated against AOD retrievals from a collocated Cimel sunphotometer from AERONET
24 (Holben et al., 1998) also operated at the T0e site. The manuscript is organized as follow: **section 2**
25 describes the experimental site, provides a brief overview on MFRSR, Langley plot method and AOD
26 retrieval theory, **section 3** consists of results and discussion and final remarks are exposed in **section 4**.

1 **2. Experimental site, instruments and methods**

2 **2.1 Experimental site T0e**

3 The T0e site has been operating continuously since February 2011 in Central Amazonia, up-wind from
4 Manaus city (59° 58' 12''W and 02° 53' 27''S, **Figure 1**), with a set of collocated atmospheric
5 monitoring instruments that include a MFRSR, a Cimel sunphotometer and a Raman lidar (Barbosa et al.,
6 2014). The site main goal is to provide long term characterization of diurnal and seasonal cycles of clouds
7 and convection and the interactions and feedback mechanisms between water vapour, clouds, radiation
8 and aerosol particles. It was incorporated as part of the GoAmazon 2014/15 experiment (Martin et al.,
9 2016) network sites, an international experiment designed to investigate the interactions between
10 Amazonia natural atmosphere conditions and the air pollution plume from Manaus city.



11

Figure 1- T0e site location in Central Amazonia from a zoom in showing the site location upwind of the Manaus City. During the wet season (December to May) the dominant wind direction is from northeast (blue arrow) and during the dry season (June to November) from east (brown arrow). GoAmazon 2014/15 experiment sites relative position to the Manaus city: T2 at downwind, T1 in the midst and T0e upwind of the city (map source: Google Earth maps).

12

1 The GoAmazon2014/5 sites were classified from time point zero (T0) upwind of the plume, to T1 in the
2 midst of the plume, to T2 just downwind of the Manaus, to T3 furthest downwind of Manaus (70 km).
3 Manaus city pollution plume composition includes nitrogen and sulphur oxides, and high concentrations
4 of submicron aerosol particles and soot (Kuhn et al., 2010), which is consistent with the nature of the
5 local major anthropogenic sources of air pollution, vehicle fleet, power plants, and industrial activities. Sá
6 et al. (2017) found that the submicron particles composition is dominated by organic material across the
7 sites upwind and downwind of Manaus, independently of the levels of pollution. However, their study
8 pointed out that, among the sites, the absolute mass concentrations of pollutants vary significantly.
9 Average concentrations downwind of Manaus are 100% to 200% higher than those upwind.

10 In general, during the wet season, the atmosphere at T0e site is a clean reference, since its location
11 upwind of Manaus prevents the site of being strongly affected by the city pollution plume. Meanwhile,
12 during the dry season the atmospheric column at T0e, likewise large portion of the atmosphere across
13 Central Amazonia, eventually is influenced by smoke from biomass burning emissions that occur
14 throughout the Amazon basin.

15

16 **2.2 Instruments**

17 Multifilter Rotating Shadow-band Radiometer is designed to monitor global-horizontal, diffuse-horizontal
18 and direct-normal solar irradiances at narrow and broadband channels (Harrison et al., 1994). It has been
19 used worldwide to derive columnar aerosol optical properties (Harrison and Michalsky, 1994; Alexandrov
20 et al., 2002; Rosario et al., 2008, Michalsky et al., 2010, Mazzola et al., 2010, Michalsky and LeBaron,
21 2013), water vapour (Michalsky et al., 1995, Schneider et al., 2010) and cloud optical properties (Min and
22 Harrison, 1996, Kassianov et al., 2011). Direct-normal spectral irradiance ($I_{DN,\lambda}$) at the surface, needed to
23 perform AOD retrievals, is obtained via the difference between global-horizontal and diffuse-horizontal
24 irradiances divided by the cosine of the solar zenith angle (Harrison et al., 1994). Once MFRSR angular
25 and spectral responses are properly characterized and the automated shadow-band system adequately

1 adjusted, accuracy in $I_{DN,\lambda}$ is expected to be comparable to sunphotometers (Harrison et al., 1994).
 2 However, once in field, MFRSR filters transmission may suffer degradation with time (Mychalsky et al.,
 3 2001, Michalsky and LeBaron, 2013), which makes regular ERC critically necessary to keep the accuracy
 4 of AOD retrievals. The MFRSR of the present study has been operating with sporadic interruptions at
 5 T0e providing irradiances measurements at time interval of 1 minute at five narrow-band channels (415,
 6 500, 610, 670 and 870 nm) with half-bandwidth of 10 nm and able to permit AOD retrieval. Given the
 7 high cloud cover in central Amazonia, the MFRSR high frequency measurements are crucial to improve
 8 the frequency of AOD retrieval under cloudy sky and, therefore, minimizes the AERONET AOD product
 9 known bias toward clear-sky condition (Levy et al., 2010).

10

11 **2. 3 Langley plot calibration and uncertainties**

12 Langley plot calibration method is based on Lambert-Beer law (Shaw, 1983), which describes the
 13 attenuation of a monochromatic beam propagating through a medium.

$$14 \quad I_{DN,\lambda} = f(d) I_{o,\lambda} e^{-m\tau_\lambda} \quad \text{Eq. (1)}$$

15 where, considering the full atmospheric column as the medium, $I_{DN,\lambda}$ is the direct solar spectral irradiance
 16 at wavelength λ measured at the surface by the MFRSR, $I_{o,\lambda}$ is the solar spectral irradiance that would be
 17 measured in the absence of the atmosphere at Earth-Sun mean distance (d_o), $f(d)$ is a correction factor
 18 related to Earth-Sun distance variation (Iqbal, 1983), and m and τ_λ represent the atmosphere relative
 19 optical air mass and total optical depth, respectively. Linearization of the Eq.(1) by applying the natural
 20 logarithms to the both sides of the equation leads to a linear relation between m and $\ln(I_{DN,\lambda})$, on which
 21 τ_λ and $\ln(f(d)I_{o,\lambda})$ represent, respectively, the angular and linear coefficients.

$$22 \quad \ln(I_{DN,\lambda}) = \ln(f(d)I_{o,\lambda}) - m\tau_\lambda \quad \text{Eq. (2)}$$

23 Knowing $\ln(I_{DN,\lambda})$ over a range of m , during which the atmosphere remained clean and stable, the least-
 24 squares regression method can be applied to provide a linear fit formulation between both variables,

1 where the angular coefficient is the mean atmosphere optical depth, and the linear coefficient represents
2 the case of m equal to zero, a hypothetical absence of atmosphere, from which an estimation of the solar
3 extraterrestrial spectral irradiance ($I_{o,\lambda}$) can be made.

4 In the present study, the atmosphere relative optical air mass (m) was calculated as a function of
5 Solar Zenith Angle (SZA) based on Kasten and Young (1989) formulation and $\ln(I_{DN,\lambda})$ taken from
6 MFRSR direct-normal irradiance measurements for the years of 2012 and 2015. As we assumed that both
7 the response variable, $\ln(I_{DN,\lambda})$, and the predictor variable, m , are subject to errors, it was applied the
8 least square regression treatment that consider errors in both adjusted variables (Irvin and Quickenden,
9 1983). The errors in $\ln(I_{DN,\lambda})$ were obtained through error propagation theory considering Harrison et al.
10 (1994) estimate of uncertainty to MFRSR direct-normal irradiance ($\sigma_{I_{DN,\lambda}} = 2\%$). Regarding error in the
11 airmass (σ_m) we based on the study of Tomasi and Petkov (2014), which compared atmospheric airmass
12 results from Kasten and Young (1989) formulation against rigorous calculation and found differences
13 lower than 0.8%. Therefore, we assumed 0.8% as an estimate of uncertainty to the airmass calculated
14 using Kasten and Young (1989). Following previous studies suggestion (Mazzola et al., 2010 and
15 Alexandrov et al., 2004), to apply least square regression we adopted the airmass range from 2.0 to 5.0.
16 For airmass larger than 5.0, high solar energy incident angles, calibration may be affected by the
17 uncertainty of the MFRSR cosine angle correction and the shadow-band correction, meanwhile low
18 airmasses, near 1.0, increase the probability of turbulent atmospheric conditions and, therefore, the
19 reduction of the optical depth stability (Chen et al., 2013).

20 The quality of the linear fit derived using least-square regression is highly dependent on optical
21 depth temporal stability, which is more likely to be observed under aerosol background conditions and
22 stable atmosphere. To obtain a set of linear fit able to provide high quality Langley plot calibration
23 samples, for both selected years 2012 and 2015, were selected only morning cases, to avoid the afternoon
24 vigorous convection, and only linear fit with correlation coefficients (R^2) higher than 0.990. This is the
25 minimal value usually obtained for calibration performed at high mountain top (Schmid and Wehrli,
26 1995). Also, considering Schafer et al. (2008) study on AOD climatology across the Amazon basin, only

1 AOD values typical of background conditions were selected. For both years studied, 2012 and 2015, the
 2 MFRSR final extraterrestrial spectral response calibration ($\langle I_{o,\lambda} \rangle$) was estimated from the mean of the
 3 correspondent set of extraterrestrial response calibration ($I_{o,\lambda}$) obtained from individual Langley plot
 4 calibrations. The uncertainties of the derived final calibrations were estimated as the standard error of the
 5 mean ($\sigma_{\langle I_{o,\lambda} \rangle}$). Subsequently, the final calibrations results were applied to retrieve AOD_{λ} over the T0e
 6 site using the MFRSR.

7 It is worth mentioning that the selection of the years 2012 and 2015 to answer the question
 8 whether it is possible to obtain accurate extraterrestrial calibration constants derived from Langley plot
 9 method in Central Amazonia was based on the evaluation that two independent years, temporally distant,
 10 would be adequate to provide findings to support our answer to the question. The temporal distance
 11 between the two years meant to detect a potential scenario of filter degradations.

12

13 **2.4 Aerosol Optical Depth (AOD_{λ}) inversion and uncertainty estimate**

14 From Eq.(2), the atmospheric total optical depth (τ_{λ}) can be separated as follow:

$$15 \quad \tau_{\lambda} = \tau_{m,\lambda} + AOD_{\lambda} + \tau_{g,\lambda} \quad \text{Eq. (3)}$$

16 Where $\tau_{m,\lambda}$ and $\tau_{g,\lambda}$ represent, respectively, molecular scattering and gas absorption optical depths. All
 17 MFRSR channels are affected by molecular scattering, while gas absorption is highly selective, therefore
 18 affects specific channels. The most relevant influence of gas absorption on MFRSR channels is produced
 19 by ozone (O_3) at 610 and 670 nm channels and by nitrogen dioxide (NO_2) at 415 nm channel. Therefore,
 20 combination of the Eq. (3) and Eq. (2) leads to the AOD_{λ} retrieval equation

$$21 \quad AOD_{\lambda} = -\frac{1}{m} \ln \left[\frac{I_{DN,\lambda}}{f(d) \langle I_{o,\lambda} \rangle} \right] - \tau_{m,\lambda} - \frac{m_{O_3}}{m} \tau_{O_3,\lambda} - \tau_{NO_2,\lambda} \quad \text{Eq. (4)}$$

22 where $\tau_{m,\lambda}$ was calculated using the Kasten and Young (1989) formulation as a function of the
 23 climatological surface atmospheric pressure. Given its unique vertical distribution, ozone relative optical

1 air mass (m_{O_3}) was estimated separately based on Staehelin et al. (1995). Ozone (O_3) and nitrogen
 2 dioxide (NO_2) absorption optical depths over T0e site were obtained considering their spectral cross
 3 section absorption and average column content ($O_3 = 267.6 \pm 5.8$ Dobson Units, $NO_2 = 0.076 \pm 0.012$
 4 Dobson Units) over the years between 2011 and 2015, taken from the SCanning Imaging Absorption
 5 spectroMeter for Atmospheric CHartography (SCIAMACHY, Bovensmann et al., 1999) and Ozone
 6 Monitoring Instrument (OMI, Levelt et al., 2006) products, respectively.

7 In general, the accuracy of the AOD_λ inversion is dominated by the uncertainty in the
 8 extraterrestrial response calibration ($\langle I_{o,\lambda} \rangle$) and $I_{DN,\lambda}$ measurements (Michalsky et al., 2001,
 9 Alexandrov et al., 2007, Mazzola et al., 2010). Typically, uncertainties in both terms are at least one order
 10 of magnitude greater than the contributions of the other terms (Mazzola et al., 2010). Considering only
 11 the uncertainties in extraterrestrial response calibration ($\sigma_{\langle I_{o,\lambda} \rangle}$) and in $I_{DN,\lambda}$ measurement ($\sigma_{I_{DN,\lambda}}$), an
 12 estimate of uncertainty (σ_{AOD_λ}) of the retrieved AOD_λ can be evaluated as

$$13 \quad \sigma_{AOD_\lambda} = \sqrt{\left[\frac{1}{m} \frac{\sigma_{\langle I_{o,\lambda} \rangle}}{\langle I_{o,\lambda} \rangle} \right]^2 + \left[\frac{1}{m} \frac{\sigma_{I_{DN,\lambda}}}{I_{DN,\lambda}} \right]^2} \quad \text{Eq. (5)}$$

14 where $\sigma_{\langle I_{o,\lambda} \rangle}$, as described, is based on the standard error of the mean of multiple extraterrestrial
 15 responses obtained from a set of individual Langley plot calibration. Evaluation of the uncertainty in $I_{DN,\lambda}$
 16 is a challenge given its dependency on multiple factors, i.e., shadow-band adjustment, accuracy of the
 17 angular response and MFRSR positioning regarding misalignment and tilt (Harrison et al., 1994,
 18 Alexandrov et al., 2007). Harrison et al. (1994) estimated MFRSR $I_{DN,\lambda}$ typical uncertainty to vary
 19 between 2 and 3%. Alexandrov et al. (2007) achieved lower estimation, roughly 1.5% for all channels.
 20 Assuming Harrison et al. (1994) maximum uncertainty (3%), the final uncertainty in MFRSR AOD_λ , for
 21 all channels, was evaluated for the worst case scenario, i.e., for unit relative air mass ($m = 1$).

22 Additionally, considering AOD_λ at two spectral channels (λ_1, λ_2) as reference, the spectral
 23 dependence of AOD_λ was evaluated using Ångström exponent ($\alpha_{\lambda_1, \lambda_2}$), calculated using the following
 24 equation

$$\alpha_{\lambda_1, \lambda_2} = - \frac{\ln[\text{AOD}_{\lambda_1} / \text{AOD}_{\lambda_2}]}{\ln(\lambda_1 / \lambda_2)} \quad \text{Eq. (6)}$$

Due to its dependency on aerosol particle size distribution (Eck et al., 1999), $\alpha_{\lambda_1, \lambda_2}$ can be used as a qualitative indicator to evaluate the predominance of submicrometric (fine particles) or micrometric aerosol particles (coarse mode) in the atmosphere. High values of $\alpha_{\lambda_1, \lambda_2}$, greater than 2.0, indicate dominance of fine aerosol particles, while values lower than 1.0 are typically related to coarse aerosol particles dominance (Eck et al., 1999). In central Amazonia, for regions upwind of Manaus urban area, such as the T0e site, air masses rich in fine aerosol particles are typically associated with smoke transport from biomass burning regions. Air masses dominated by coarse particles fraction are in general associated with local and regional biogenic and soil particles (Artaxo et al., 1998). Eventually, under favourable atmospheric circulation, air mass containing coarse dust particles transported from Sahara Desert may also affect T0e site atmospheric column (Koren et al., 2006, Ben-Ami et al., 2010, Moran-Zuloaga et al., 2018).

Retrievals of AOD_{λ} and $\alpha_{\lambda_1, \lambda_2}$ from MFRSR measurements were validated against AERONET direct Sun products Level 2.0 retrieved by a Cimel sunphotometer also installed at T0e site. AERONET provides AOD at seven wavelengths 340, 380, 440, 500, 670, 870 and 1020 nm, being three coincident with MFRSR wavelengths, 500, 670 and 870 nm. In order to evaluate the MFRSR AOD_{λ} at the remaining channels, 415 and 610 nm, the Ångström Exponent from AERONET was used to perform interpolation to derive AOD_{λ} in those channels for the network. Specifically, for comparison purpose, MFRSR AOD_{λ} at 1 minute rate was averaged within a 5 minute interval centered on AERONET sun-photometer retrieval, large standard deviations from the mean, i.e. higher than 0.08 (considering 4x AERONET field sunphotometer AOD uncertainty, which is 0.02), were interpreted as potential cloud contamination in MFRSR, therefore excluded from the analysis. Afterwards, MFRSR results were used to describe and analyse the seasonal variability of columnar aerosol particles optical properties over T0e site.

1 The statistical metrics used to compare MFRSR AOD (AOD_{MFR}) with AERONET sun-photometer AOD
 2 (AOD_{Aer}), assuming the later as the reference, are the root mean square error (RMSE), a measure of
 3 average deviation from the reference, and Bias, a measure of overall bias error or systematic error:

$$4 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N \left(\frac{AOD_{MFR,i} - AOD_{Aer,i}}{AOD_{Aer,i}} \right)^2} \quad Eq. (7)$$

$$7 \quad Bias = \frac{1}{N} \sum_{i=1}^N \frac{AOD_{MFR,i} - AOD_{Aer,i}}{AOD_{Aer,i}} \quad Eq. (8)$$

9 **3. Results**

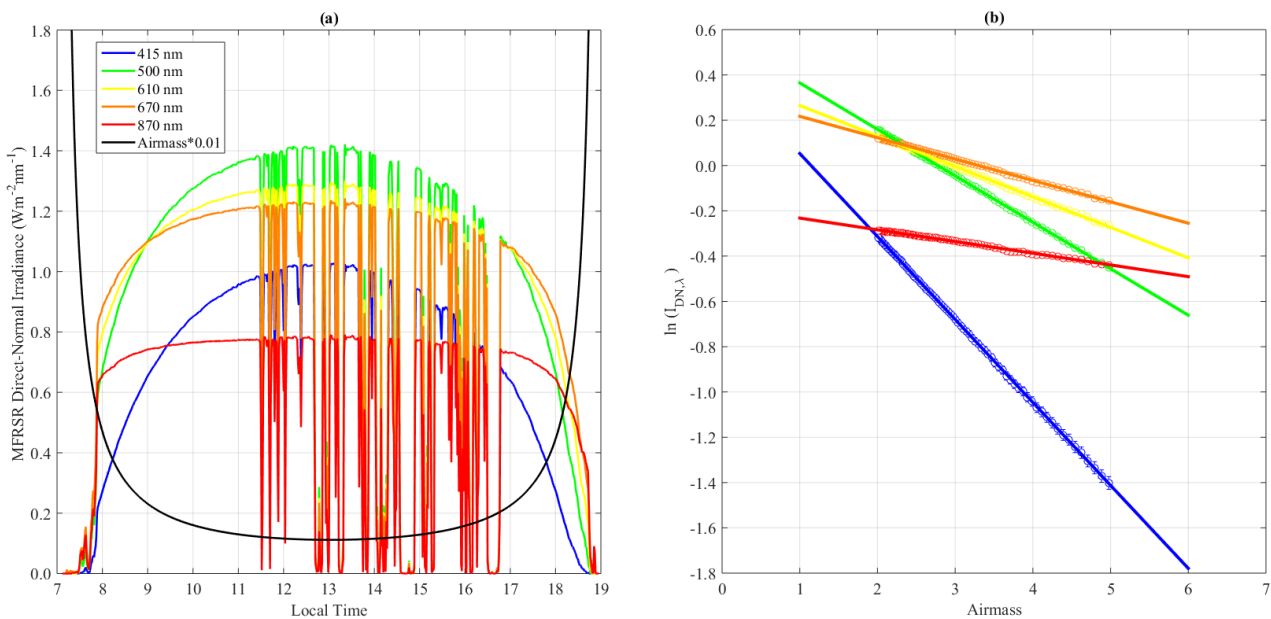
11 **3.1 MFRSR Langley plot calibration and uncertainty**

12 An example of the diurnal cycle of the spectral solar direct-normal irradiance measured (20 June
 13 2012) by the MFRSR prone to a successful Langley plot is presented in **Figure 2**. In the morning period,
 14 before vigorous convection initiates, the direct-normal irradiance at all channels is characterized by a
 15 continuous increase. The suitability for a successful Langley plot is evidenced in the quality of the linear
 16 fit achieved, as can be confirmed in **Table 1** for the 500 nm channel. **Table 1** and **Table 2** present for the
 17 500 nm channels, respectively, for the years 2012 and 2015, the obtained extraterrestrial response
 18 calibrations ($I_{o,\lambda}$) for each individual Langley plot that met the criteria defined, i.e. $R^2 \geq 0.990$ and
 19 background $AOD_{550\text{ nm}} (\leq 0.15)$. The tables with the results for the remaining channels (415, 610, 670 and
 20 870 nm) are presented in the supplementary material.

21 Depending on the year and wavelength, the number of individual Langley calibrations constants obtained
 22 varied from 14 to 22, which are figures able to provide consistent statistics for calibration constants

1 according to previous studies (Schmid and Wehrli,1995; Michalsky et al., 2001; Augustine et al., 2003).
 2 Another important aspect to corroborate the quality of the individual Langley plots performed is that more
 3 than 60 points per individual Langley plot were obtained, when 20 is suggested as a minimum to obtain
 4 good results (Augustine et al., 2003). It is also worth to mention that the slopes derived from Langley plot
 5 and presented in **Tables 1** and **2** represent the daily average of total atmospheric optical depth (including
 6 molecular, gaseous absorption and aerosol optical depths). Mean molecular and ozone absorption optical
 7 depth in Central Amazonia at the visible spectrum are ~ 0.14 and ~ 0.01 , respectively. Therefore, assuming
 8 these typical values, the subtraction of ozone and molecular optical depth from the total atmospheric
 9 optical depth (slopes) would result in daily mean AOD values in the range of 0.05 - 0.15, which is
 10 typically observed in Amazonia background atmosphere (Schafer et al., 2008).

11



12

Figure 2 - (a) Diurnal cycle of air mass and direct-normal spectral solar irradiance measured by the MFRSR operating at the T0e site in Central Amazonia. (b) Example of Langley plot calibration applied to MFRSR spectral irradiance measurements taken under the clear sky period (08:00 to 11:00 Local Time) of the diurnal cycle shown in (a). (Day: 20 June, 2012)

13

Table 1 – Individual extraterrestrial calibration results ($I_{o,500nm}$) applying Langley Plot technique to measurements of solar direct-normal irradiance at 500 nm from a MFRSR operating at T0e site in Central

15

1 Amazonia for the year 2012. The individual uncertainty [$\sigma_{I_o.\lambda}$] used to obtain the relative error [$\sigma_{I_o.\lambda}$ (%)]
 2 was estimated from the **intercept** and its respective uncertainty ($\sigma_{intercept}$) derived from the least square
 3 regression method.

Date	slope	σ_{slope}	intercept	$\sigma_{intercept}$	$I_{o,500\text{ nm}}$	$\sigma_{I_o.\lambda}$ (%)	R^2	N
17-may-12	-0.2426	0.0016	0.5709	0.0043	1.814	0.434	-0.9992	63
16-jun-12	-0.2450	0.0019	0.6058	0.0055	1.895	0.549	-0.9939	64
17-jun-12	-0.2237	0.0016	0.5560	0.0046	1.803	0.464	-0.9990	61
20-jun-12	-0.2117	0.0015	0.5846	0.0043	1.856	0.434	-0.9992	64
21-jun-12	-0.2261	0.0017	0.5722	0.0047	1.834	0.474	-0.9996	65
22-jun-12	-0.2265	0.0018	0.5362	0.0050	1.769	0.501	-0.9995	71
25-jun-12	-0.2585	0.0019	0.6461	0.0055	1.975	0.546	-0.9992	78
3-jul-12	-0.2493	0.0020	0.5848	0.0058	1.858	0.577	-0.9978	61
4-jul-12	-0.2436	0.0019	0.6060	0.0054	1.898	0.542	-0.9998	63
8-jul-12	-0.2430	0.0020	0.5668	0.0058	1.824	0.581	-0.9996	64
11-jul-12	-0.2420	0.0021	0.5456	0.0059	1.785	0.590	-0.9995	62
1-aug-12	-0.2616	0.0021	0.5843	0.0058	1.848	0.580	-0.9997	64
2-aug-12	-0.2401	0.0020	0.5221	0.0055	1.736	0.549	-0.9920	62
3-aug-12	-0.2775	0.0021	0.6313	0.0058	1.935	0.584	-0.9912	65
4-aug-12	-0.2359	0.0017	0.5751	0.0048	1.829	0.482	-0.9991	62
6-aug-12	-0.2880	0.0025	0.5561	0.0070	1.793	0.700	-0.9987	63
21-dec-12	-0.2658	0.0016	0.6294	0.0042	1.815	0.418	-0.9996	63

4

5 **Table 2** – Individual extraterrestrial calibration results ($I_{o,500\text{ nm}}$) applying Langley Plot technique to
 6 measurements of solar direct-normal irradiance at 500 nm from a MFRSR operating at T0e site in Central
 7 Amazônia for the year 2015. The individual uncertainty [$\sigma_{I_o.\lambda}$] used to obtain the relative error [$\sigma_{I_o.\lambda}$ (%)]
 8 was estimated from the **intercept** and its respective uncertainty ($\sigma_{intercept}$) derived from the least square
 9 regression method.

Date	slope	σ_{slope}	intercept	$\sigma_{intercept}$	$I_{o,500\text{ nm}}$	$\sigma_{I_o.\lambda}$ (%)	R^2	N
19-feb-15	-0.2045	0.0014	0.5723	0.0041	1.734	0.412	-0.9959	62
27-mar-15	-0.2335	0.0015	0.5957	0.0039	1.809	0.395	-0.9941	69
4-jun-15	-0.2787	0.0021	0.6436	0.0058	1.963	0.583	-0.9923	68

24-jun-15	-0.1900	0.0013	0.5545	0.0039	1.802	0.394	-0.9996	63
1-jul-15	-0.2301	0.0016	0.6247	0.0048	1.933	0.478	-0.9989	62
2-jul-15	-0.2039	0.0015	0.5530	0.0043	1.800	0.433	-0.9995	62
6-jul-15	-0.2397	0.0019	0.6022	0.0054	1.890	0.542	-0.9979	61
10-jul-15	-0.2513	0.0019	0.6256	0.0055	1.934	0.546	-0.9988	61
11-jul-15	-0.2487	0.0019	0.6169	0.0056	1.917	0.556	-0.9996	61
12-jul-15	-0.2634	0.0022	0.5949	0.0063	1.876	0.634	-0.9993	61
15-jul-15	-0.2896	0.0026	0.6070	0.0074	1.898	0.745	-0.9994	61
28-jul-15	-0.2606	0.0020	0.6344	0.0056	1.945	0.555	-0.9982	62
29-jul-15	-0.2496	0.0021	0.5611	0.0059	1.807	0.585	-0.9901	62
30-jul-15	-0.2406	0.0018	0.5912	0.0051	1.862	0.510	-0.9964	62
1-aug-15	-0.2500	0.0019	0.6162	0.0054	1.908	0.536	-0.9954	62
2-aug-15	-0.2907	0.0024	0.6385	0.0066	1.950	0.657	-0.9983	62
7-aug-15	-0.2535	0.0018	0.6151	0.0051	1.902	0.508	-0.9997	64
23-aug-15	-0.2652	0.0018	0.6047	0.0048	1.870	0.482	-0.9987	69
5-sep-15	-0.2623	0.0018	0.5373	0.0044	1.737	0.438	-0.9983	74
9-sep-15	-0.2411	0.0014	0.6266	0.0038	1.895	0.376	-0.9996	75
22-sep-15	-0.2825	0.0018	0.5998	0.0045	1.831	0.454	-0.9992	75

1

2 The final extraterrestrial response estimations $\langle I_{o,\lambda} \rangle$, for both years and all channels, based on average
3 of all individual Langley plot calibration, are presented in **Table 3** along with the standard error from the
4 mean as the uncertainty ($\sigma_{\langle I_{o,\lambda} \rangle}$), sample number (N) for 2012 and 2015. The relative uncertainties
5 among the channels varied from 0.7% (870 nm) to 1.0% (415 nm) in 2012, and from 0.4% (870 nm) to
6 1.0% (415 nm) in 2015, which are surprisingly satisfactory for conditions diverse from those
7 recommended (clean top mountain). Additionally, alternative final extraterrestrial response estimations
8 were calculated based on median of the set of individual Langley plot calibration. In general, the
9 differences between median and mean based final extraterrestrial response estimations were less than 1%,
10 which would result in AOD differences lower than 0,01, i.e. half of the typical uncertainty of AOD

1 derived from AERONET field sunphotometer measurements. In our case, extraterrestrial response
2 estimations based on mean revealed consistent with estimations based on median, therefore we used mean
3 based values as reference to estimate MFRSR AOD. Optional techniques may be applied to derive
4 extraterrestrial response calibrations, Michalsky et al (2001) used Forgan (1988) ratio Langley technique,
5 based on rationing values of individual Langley plot calibration of 500 nm channel to those of 860 nm
6 channel, to select best individual Langley plot calibration in order to improve the final extraterrestrial
7 response estimations. In the current study, the lower stability of the 870 nm channel prevents Michalsky
8 et al. (2001) method application.

9 Regarding the relative difference (-0.4%) between mean calibration constants derived for the two
10 years, the difference for the channel 415 nm is not statistically significant, suggesting that between 2012
11 and 2015 the correspondent transmission filter did not suffer relevant degradation. Meanwhile, a drift of
12 4.8 % was observed for the 870 nm channel, an indication of the lower stability of its transmission filter.
13 The remaining channels (500, 613, 670 nm) calibrations constant, opposite to the 870 nm channel,
14 presented positive trend between 2012 and 2015 calibrations. However, given the values of the
15 uncertainty ($\sigma_{<I_{o,\lambda}>}$) in their calibration constants, we are not able to attest that 500, 613 and 670 nm
16 channels have statistically suffered degradation.

17 Concerning the seasonal dependence seen in extraterrestrial response calibration from other
18 MFRSRs (Michalsky et al., 2001), we were not able to provide an evaluation since most of the individual
19 Langley plot performed consisted of days in the dry season (see **Table 1** and **2**). Out of the dry season,
20 mainly during the Central Amazonia wet season, the high frequency of cloudy avoid favourable
21 atmospheric condition to perform Langley plot method. Nonetheless, a lack of seasonal dependence is
22 very likely since the temperature of the Central Amazonia is rather stable throughout the year.

23

24

1 **Table 3** – MFRSR final extraterrestrial calibrations estimates $\langle I_{o,\lambda} \rangle$ the years 2012 and 2015 based on the mean
 2 results and median of individual Langley plot calibration from Table 1, Table 2 and tables in the supplementary
 3 material. The uncertainty estimation ($\sigma_{\langle I_{o,\lambda} \rangle}$) is based on the correspondent standard error of the average.

Channels	Year 2012				Year 2015			
	N	$\langle I_{o,\lambda} \rangle$ (mean)	$\sigma_{\langle I_{o,\lambda} \rangle}$ (Std)	$\langle I_{o,\lambda} \rangle$ (median)	N	$\langle I_{o,\lambda} \rangle$ (mean)	$\sigma_{\langle I_{o,\lambda} \rangle}$ (Std)	$\langle I_{o,\lambda} \rangle$ (median)
415 nm	21	1.586	0.015 (1.0 %)	1.586	22	1.579	0.017 (1.0%)	1.582
500 nm	17	1.839	0.015 (0.8 %)	1.829	21	1.870	0.015 (0.8%)	1.890
613 nm	14	1.545	0.010 (0.7%)	1.537	17	1.572	0.011 (0.7%)	1.592
670 nm	15	1.416	0.010 (0.7%)	1.405	18	1.433	0.008 (0.6%)	1.443
870 nm	15	0.842	0.008 (0.9%)	0.846	20	0.802	0.003 (0.4%)	0.804

4

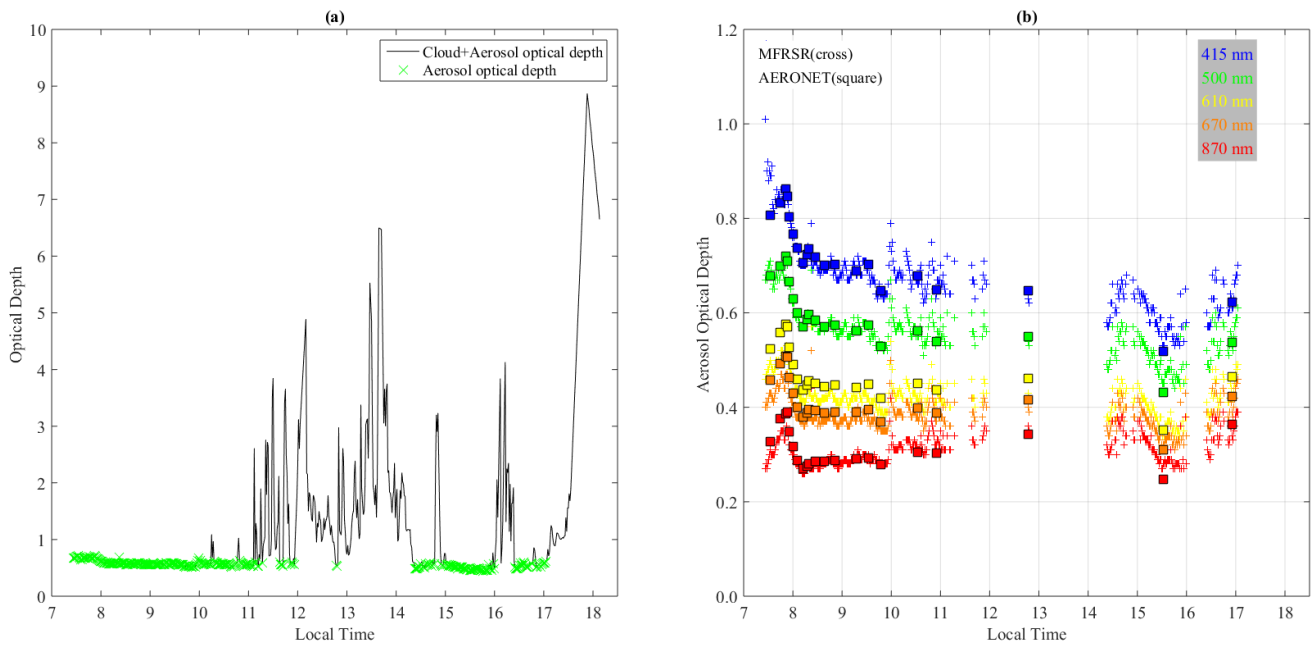
5 Considering the estimate uncertainties in the obtained extraterrestrial calibration constant (0.4% -
 6 1.0%), and the Harrison et al. (1994) maximum uncertainty (3%) for MFRSR $I_{DN,\lambda}$ measurements,
 7 accordingly to the error propagation analysis (Eq.(6)), the worst estimative (i.e., for unit airmass) for our
 8 absolute uncertainty in AOD_{λ} is ~ 0.03 , which is comparable with uncertainty of AOD_{λ} retrieved from
 9 AERONET field sunphotometers measurements (~ 0.02 , Eck et al., 1999). However, if a lower uncertainty
 10 in $I_{DN,\lambda}$ is assumed, for instance 1.5% (as suggested by Alexandrov et al., 2007), that would reduce
 11 MFRSR AOD_{λ} uncertainty from ~ 0.03 to ~ 0.02 .

12 In general, perfect linear Langley plots are associated with stable AOD, however it is possible that
 13 not all nearly linear Langley plots are able to provide correct calibration. Airmass assumption, mainly
 14 regarding aerosol particles airmass (Schmid and Wehrli, 1995), instruments induced artefact, the shadow-
 15 band system alignment (Chen et al., 2013), may contribute to error in calibration. These influences are all
 16 challenge to estimate. Therefore, taking the mean (or median) of a set of individual Langley plot
 17 calibration as the estimate for the final calibration constant, along with the comparison of the AOD results
 18 with AERONET sunphotometer retrievals, should provide a good reference to evaluate the quality of the

1 calibration constant obtained. The results obtained for RMSEs derived from the comparison between
2 MFRSR retrievals and AERONET sunphotometer AOD are lower than the estimated uncertainty for
3 MFRSR AOD_{λ} retrievals (i.e., $\sim 0.02 - 0.03$, depending on the $I_{DN,\lambda}$ uncertainty assumed, 1.5 or 3 %) and
4 just above the maximum uncertainty for AERONET field instrument (~ 0.02), demonstrating that, in spite
5 of eventual error associated with assumption made during the Langley plot application, the final derived
6 constants are able to provide reliable AOD retrievals.

7 **3.2 Aerosol Optical Depth (AOD_{λ}) inversion and uncertainty estimate**

8 Once determined the MFRSR channels final extraterrestrial response calibration, direct-normal
9 irradiance measurements taken along 2012 and 2015 were applied to retrieve AOD_{λ} and to calculate
10 Ångström Exponent. **Figure 3** illustrates, for a specific day (22 November, 2012), results of cloud
11 screening and a comparison between the diurnal variability of AOD_{λ} from MFRSR and AERONET
12 sunphotometer. The cloud screening criteria captured the majority of contaminated measurements, but
13 few suspicious remaining points are likely related to subvisible and optically thin cirrus. Using Lidar
14 measurements performed at the T0e site., Gouveia et al. (2017) showed that the frequency of subvisible
15 cirrus (optical depth < 0.03) in Central Amazonia can be as high as 42%, while for thin cirrus ($0.03 <$
16 $\text{optical depth} < 0.3$) can be as high as 38%. Therefore, both MFRSR and Cimel operational AOD
17 retrievals are exposed to the influence of this subvisible and thin cirrus. A more conservative cloud
18 screening algorithm would remove a significant amount of cloud free cases, as seems to be the case for
19 AERONET sunphotometer retrievals. The intercomparison showed the consistency of MFRSR retrievals
20 regarding AOD_{λ} diurnal variability. It is worth to emphasize the higher frequency of MFRSR retrieval
21 during the afternoon when compared with AERONET product. This is a critical aspect regarding the
22 representativity of AOD_{λ} diurnal variation in regions marked by strong diurnal cycle of convection and
23 cloud cover such as Central Amazonia. The MFRSR 1-min frequency is expected to improve the statistic
24 of AOD under cloudy conditions, since AERONET sunphotometer current statistics are recognized to be
25 biased toward cloudless sky conditions (Levy et al., 2010).



1

Figure 3 - (a) Example of the cloud screening procedure applied to the MFRSR aerosol optical depth retrievals (22 November 2012). (b) Cloud screened diurnal cycle of multichannel aerosol optical depth from MFRSR compared with AOD retrievals from AERONET Level 2.0 product.

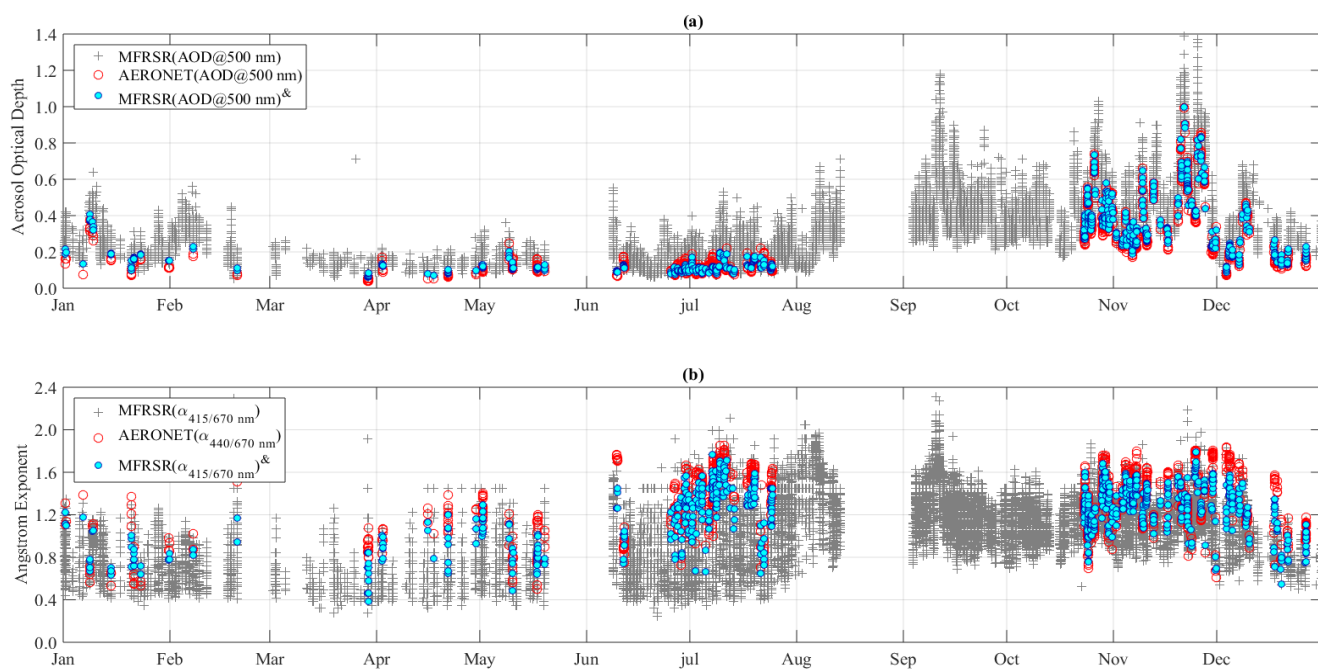
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A comparison focusing on seasonal variability was also performed. **Figure 4** presents the 2012 seasonal variability of $AOD_{500\text{ nm}}$ and $\alpha_{415,670\text{ nm}}$ over T0e site as seen by MFRSR (based on 1 min time resolution) and AERONET sunphotometer. When all MFRSR instantaneous retrievals are analysed against AERONET sunphotometer AOD there is an apparent overestimation of AOD and underestimation of Ångström Exponent (AE). However, when analysing only coincident retrievals in time of both MFRSR and AERONET sunphotometer, the AOD and most of AE results are consistent. Therefore, the apparent higher AOD retrievals and low AE seen in MFRSR results are related to period during which AERONET AOD product does not provide retrieval. MFRSR retrievals were able to represent consistently the major seasonal features. From March to June, central Amazonia presents its lowest $AOD_{500\text{ nm}}$ levels, ranging from ~ 0.05 to ~ 0.20 . In a completely opposite scenario, during the biomass burning season (August to November), $AOD_{500\text{ nm}}$ hardly goes down below 0.20 and values above 0.50 are quite frequent. During the transition periods, from background conditions to biomass burning (June to July) and from biomass burning to background (December to February), $AOD_{500\text{ nm}}$ values oscillated between typical background

15

1 and biomass burning season. Considering that the enhancement of AOD_{λ} during the biomass burning
 2 season across central Amazonia is dominated by increase in small particles (Eck et al., 1999, Rosario,
 3 2011), $\alpha_{415,670\text{ nm}}$ variability (**Figure 4**) is consistent with the AOD_{500} discussion, i. e., as the aerosol
 4 loading increases from July to the biomass burning months (Aug-Sep-Oct-Nov), $\alpha_{415,670\text{ nm}}$ also shows
 5 an enhancement. Ångström Exponents ranging from 0.4 to 0.8, which are dominant under background
 6 conditions, became rare throughout the biomass burning season and intermittent during the transition
 7 periods, a feature consistently described by MFRSR and AERONET sunphotometer. Similar results, for
 8 both AOD_{500} and $\alpha_{415,670\text{ nm}}$ were observed regarding the year 2015 (not shown here).

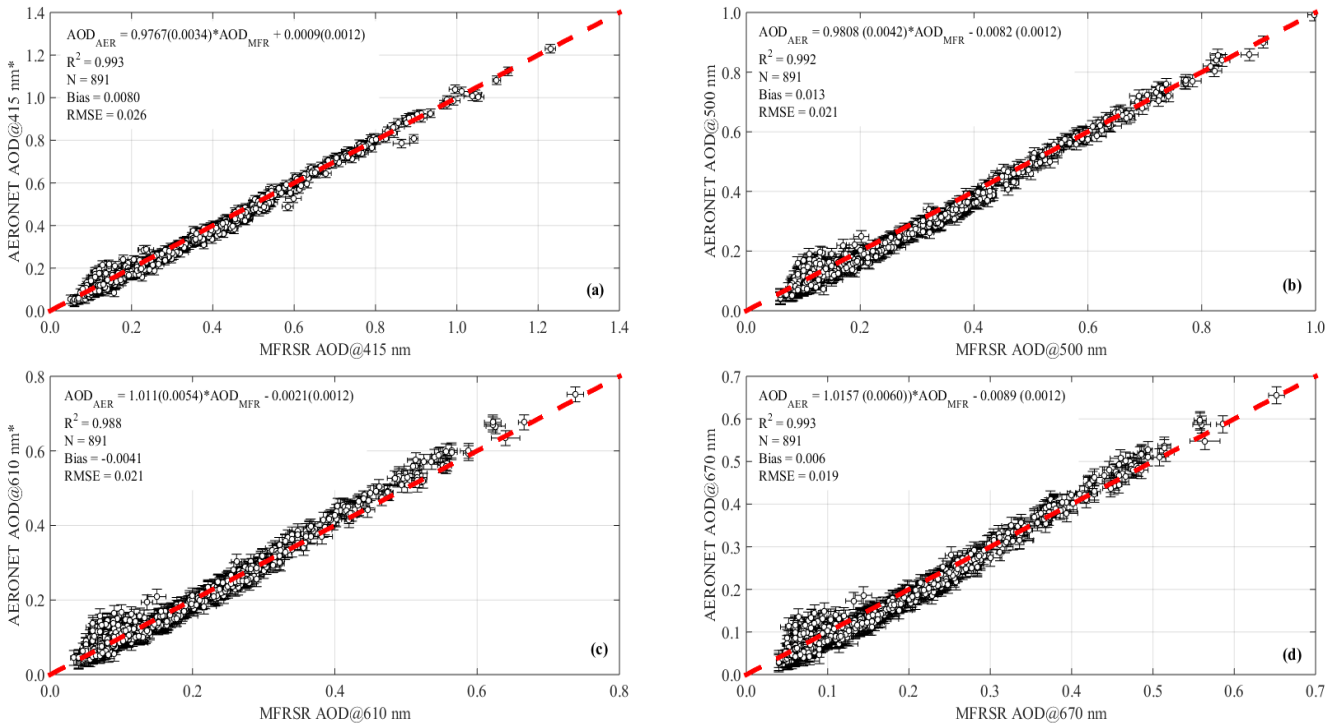


9 **Figure 4** –Seasonal variability of (a) Aerosol Optical Depth and (b) Ångström exponent (AE) at the
 visible spectrum region in Central Amazonia for the year 2012. MFRSR ($AOD@500\text{ nm}$) represents
 MFRSR instantaneous AOD retrieval at 1 min rate; MFRSR ($AOD@500\text{ nm}$)[&] represents only MFRSR
 AOD retrieved collocated in time with AERONET sunphotometer AOD retrieval (AERONET
 ($AOD@500\text{ nm}$)). MFRSR ($\alpha_{415,670\text{ nm}}$) represents MFRSR instantaneous AE at 1 min rate; MFRSR
 ($\alpha_{415,670\text{ nm}}$)[&] represents only MFRSR AE retrieved collocated in time with AERONET sunphotometer
 AE retrieval (AERONET ($\alpha_{440,670\text{ nm}}$)).

10

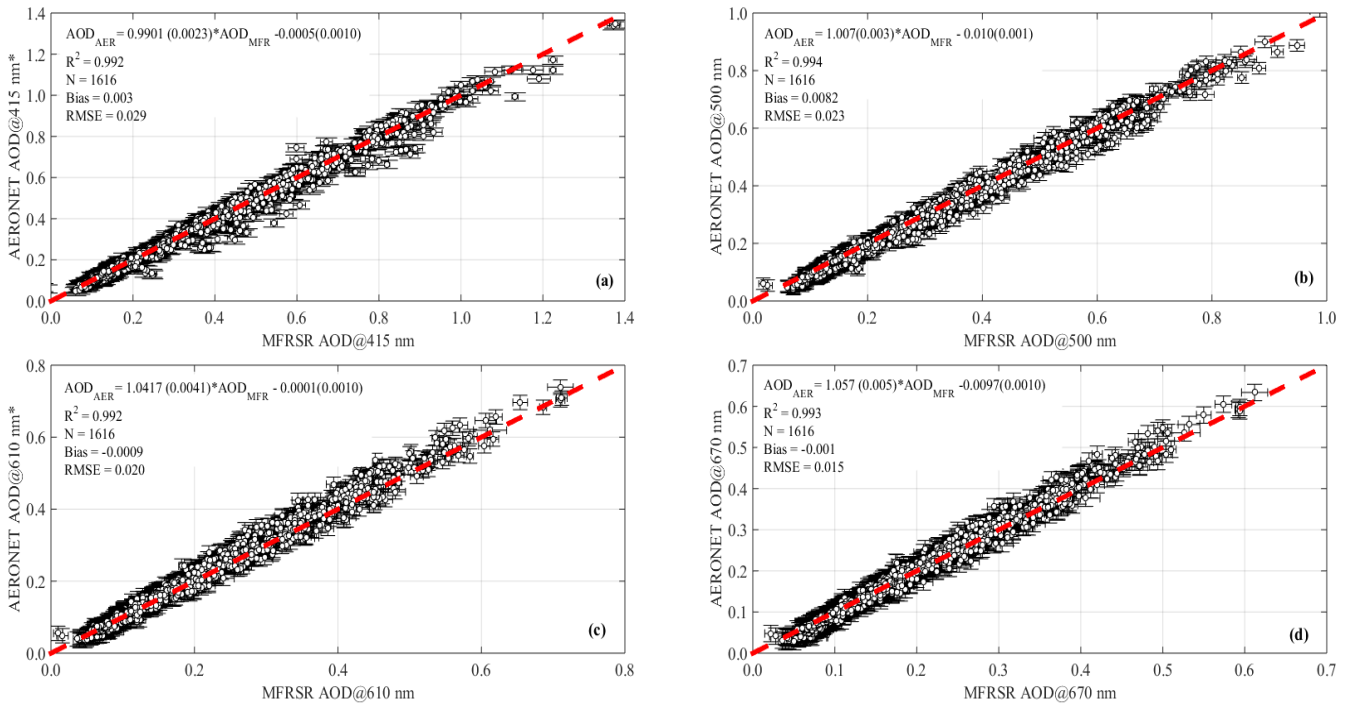
11 **Figures 5** and **6** show scatter plots and statistic metrics (Bias, RMSE and correlation coefficient)
 12 comparing MFRSR and AERONET sunphotometer retrievals for 2012 and 2015, respectively. In general,

1 there is a good agreement between both AOD_{λ} retrievals. However, non-negligible trends are seen,
 2 especially for 2012, and in particular for the lower and higher AOD edges. For low AOD_{λ} values, a
 3 systematic underestimation by MFRSR is observed for all channels, while for high AOD_{λ} , the longer
 4 wavelength channels (610 and 670 nm) tend to underestimate AOD. The year 2015 trends are less
 5 evident, mainly for the low aerosol loading when compared with 2012. Nevertheless, overall, the statistics
 6 metrics used to evaluate MFRSR retrievals performance against AERONET sunphotometer suggest that,
 7 when is not possible to perform high top mountain calibration, the extraterrestrial response calibration
 8 performed at Central Amazonia has reliability to support consistent retrievals of AOD. The obtained
 9 RMSEs are lower than the estimated uncertainty for MFRSR AOD_{λ} retrievals (i.e., $\sim 0.02 - 0.03$,
 10 depending on the $I_{DN,\lambda}$ uncertainty assumed) and slightly above the maximum uncertainty for AERONET
 11 field instrument (~ 0.02).



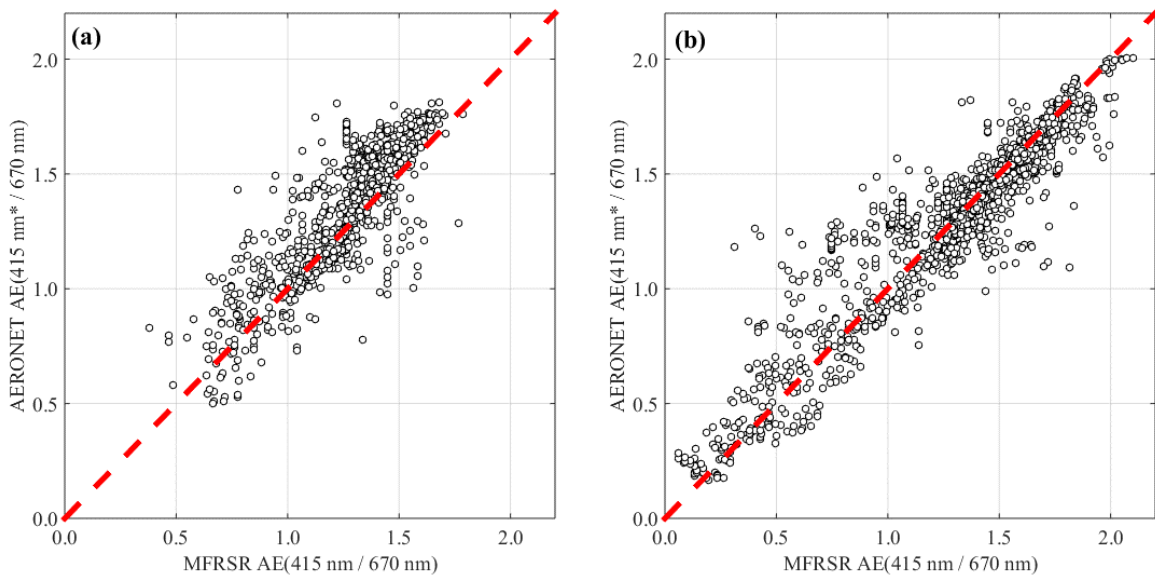
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Figure 5 - Spectral AOD retrieval from the on-site calibrated MFRSR as a function of AOD from AERONET direct Sun product level 2.0 for the year 2012. The asterisk (*) indicates that the AOD at that wavelength was estimated using Ångström Exponent and the red dashed line represents the 1:1 line.



1 **Figure 6** - Spectral AOD retrieval from the on-site calibrated MFRSR as a function of AOD from
 2 AERONET direct Sun product level 2.0 for the year 2015. The asterisk (*) indicates that the AOD at that
 3 wavelength was estimated using Ångström exponent and the red dashed line represents 1:1 line.

4 **Figure 7** compares Ångström Exponents derived using AOD retrieved from AERONET sunphotometer
 5 and MFRSR measurements, although comparisons are not as good as that observed for AOD, MFRSR
 6 results provides consistent range of Ångström Exponent in respect to the AERONET results.



7 **Figure 7** – Ångström Exponent (AE) for visible spectrum derived using AOD at 415 nm and 670 nm
 8 from the on-site calibrated MFRSR as a function of AE derived from AOD at 415 nm* and 670 nm
 9 corresponding to the AERONET direct Sun product level 2.0 for the years (a) 2012 and (b) 2015. The

1 asterisk (*) indicates that AERONET AOD at 415 nm was estimated using AE since this channel is not
2 present in network sunphotometers. The red dashed line represents 1:1 line.

3 **4. Conclusions**

4 Does Central Amazonian pristine atmosphere provide successful extraterrestrial response calibration
5 based on Langley plot method? This question emerged from the challenge to maintain regular calibration
6 of a MFRSR dedicated to long-term retrieval of columnar aerosol optical properties in central Amazon.
7 To answer the question, the MFRSR was calibrated on site using the Langley plot method for two distinct
8 and temporally distant years, 2012 and 2015, and subsequently applied to retrieve aerosol columnar
9 optical properties, i.e., AOD and Ångström Exponent (AE). Retrievals were evaluated against direct sun
10 inversion products (Level 2.0) from a collocated Cimel sunphotometer belonging to AERONET. Results
11 obtained show that on site calibration using Langley plot method, under Amazonian pristine conditions, is
12 able to provide extraterrestrial response with relative uncertainties varying from ~0.4 to ~1.0 % at
13 MFRSR visible channels. The worst estimative (airmass = 1) for absolute uncertainty in retrieved AOD_{λ}
14 can vary from ~0.03 to ~0.02, depending on the assumption regarding the uncertainty assumed for
15 MFRSR direct-normal irradiance measured at the surface ($I_{DN,\lambda}$), which in the literature varies from
16 1.5% to 3.0%. All Root Mean Square Error (RMSE), obtained from the comparison of MFRSR retrievals
17 against AERONET sunphotometer AOD_{λ} for coincident channels (500 and 670 nm), were lower (< 0.025)
18 than the estimated MFRSR AOD_{λ} uncertainties (0.03) and close to AERONET field sunphotometers (~
19 0.02). Under the point of view of the question posed, these results suggest that on site calibration in
20 central Amazonia pristine conditions is able to provide consistent retrieval of AOD_{λ} . Another relevant
21 aspect of the results provided by the MFRSR, due to its high measurement frequency (one minute), is the
22 improvement of the statistics of AOD under cloudy conditions, which is critical for Amazonia.
23 AERONET sunphotometer current statistics are expected to be biased to cloudless sky conditions, which
24 are dominant during the morning period and dry season.

25

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