Sun Yat-Sen University School of Atmospheric Sciences Zhuhai 519082, China

September 23, 2018

RE: Responses to reviewer's comments on manuscript amt-2018-92_RC2

Dr. Laura Bianco Associate Editor Atmospheric Measurement Techniques

Dear Dr. Bianco,

Thank you so much for your favorable consideration of our manuscript publication at this stage of review and revision. We have been really appreciated with both reviewers' inputs in the review processes. In particular, we have felt lucky to have the reviews of well-known scientist, Dr. Foken, on our work. Both reviewers have been appreciated by us in the section of Acknowledgement. In response to referee #1, we revised our manuscript to address his/her comments below.

Additionally, to minimize the errors throughout the manuscript, Dr. Zhou, Dr. Zheng, and I thoroughly reviewed the whole manuscript, again. To keep consistent in expressions and presentations throughout the manuscript, we edited several words and several sentences as well as updated reference list.

Again, thank you so much for your favorable consideration.

Sincerely,

Qinghua Yang, Ph.D. Professor on Atmospheric Sciences

Response to Referee #1

1. Following up on a comment by Dr. Foken. It seems that the firmware version of sonic anemometer that was used in the study should be clearly stated. I also disagree that Larry Jacobsen "fixed the problem" with CSAT3 OS 4.0. An empirical solution to the problem was determined, but the measured transit times with a CSAT3 using OS Version 4 are incorrect (in high winds). It's not clear to me that the correction method proposed here will work with CSAT3s using OS ver4 and this should be discussed/clarified.

Response: About Dr. Foken's comment on CSAT3 OS version 4, we had different interpretation from referee #1. Our interpretation was to indicate the sonic anemometer OS version (i.e. EC100 OS version for IRGASON anemometer, see line 31 on page 3) used by this physically deformed IRGASON sonic anemometer.

CSAT3 OS version 4 was an old defect version and was no longer to be used. Because CSAT3 using OS version 4 could not output the correct data, the equations and algorithms must not work for the data measured by a CSAT3 anemometer using OS version 4. In light of this comment, this issue was further clarified in discussion (see lines 13 and 14 on page 16).

2. Related to some comments in section 8.5: How far can a sonic be deformed and this method will still work? Do the authors have any idea about this? It might be worth some clarification if this method is only possible for relatively small deformations.

Response: A geometrically deformed sonic anemometer outputs erroneous data. These data may be recoverable or unrecoverable, depending on the degree of deformation. The geometric deformation of sonic anemometer is in three dimensions. We are not able to evaluate how far the geometric deformation of sonic anemometer in three dimensions could cause the data unrecoverable, but we do have an idea about this issue.

If the degree is too large, the sonic anemometer cannot perform its normal measurements for transmitting time of ultrasonic signals along every sonic path. In this case, a Campbell sonic anemometer sets high for one to six of its first six measurement warning flags [low amplitude, high amplitude, poor signal lock, large sonic temperature difference, ultrasonic signal loss, and calibration signature error. See Table 10-2 in Campbell Scientific Inc. (2018)]. The geometrical deformation in sonic paths could trigger one or two flags high that indicate poor signal lock and/or ultrasonic signal loss. Anyway, in case that any of the six warning flags from a deformed sonic anemometer was frequently, regularly, or continuously high, the erroneous data must not be recoverable. While all six warning flags are low under normal running conditions, the transmitting time of ultrasonic signals along each sonic path is correctly measured and the data should be recoverable. The 3D wind data can be recovered without uncertainty although there is little uncertainty in sonic temperature [see Eqs (33) and (40)]. The discussion about this issue was added to Section 8.5.

Campbell Scientific Inc.: IRGASON Integrated CO_2/H_2O Open-Path Gas Analyzer and 3D Sonic Anemometer, Instruction Manual, pp 63, Logan, UT, 2018.

3. Re: MATLAB program, I don't understand what is meant by this program should be

included in a "separate publication"? The EGU journals have the ability to include a "Supplement" with published papers...as I understand it, it would be easy and appropriate to include the actual program in the Supplement. If you want to leave the listing in Appendix C, that is fine, but please also include the actual program in the supplement as well.

Response: Dr. Foken suggested us to consult with the Editor to publish the code in a separate publication and she agreed his suggestion over an email on Aug 10. So far, we have not found an appropriate avenue to publish it. This code is simple and short although it is little long for an appendix. It would be easier to readers if it is published in the appendix to this manuscript. To fit to an appendix, this code was revised as an executable code if compiled in MATLAB. The electronic version of this code is available from the corresponding authors, which is noted in the beginning of code (see Appendix C).

Additionally, the code was reviewed again. The notation of c_{π} was replaced with c_{π} in consistent with those in the equations inside this manuscript.

4. It seems like there was a mistake in the Interactive Discussion where the author replies, "AC1" and "AC3" are exactly the same file? ie,

295906 Aug 20 12:38 amt-2018-92-AC1-supplement.pdf

295906 Aug 20 12:37 amt-2018-92-AC3-supplement.pdf

Perhaps there was a mistake in choosing the file when the authors uploaded in the reply?

Response: This was caused by my wrong operation during loading files, which was realized immediately after loading. To remove the wrong upload, I contacted with Editorial Support, Ms. Anna Wenzel, over an email on Aug 21. Her reply is given below:

Dear Qinghua,

Thank you very much for your email.

Since all interactive comments are fully citable, we should not simply delete them. For this reason, I kindly ask you to for your understanding that we cannot remove AC1 from the discussion.

Kind regards,

Anna

.

Recovery of the 3-dimensional wind and sonic temperature data from a physically deformed sonic anemometer

Xinhua Zhou^{1, 2, 3}, Qinghua Yang^{1, *}, Xiaojie Zhen⁴, Yubin Li⁵, Guanghua Hao⁶, Hui Shen⁶,

5 Tian Gao², Yirong Sun², Ning Zheng^{3, *}

Correspondence to: Qinghua Yang (yangqh25@mail.sysu.edu.cn) and/or Ning Zheng (ning.zheng@campbellsci.com.cn)

Abstract. A sonic anemometer reports 3-dimensional (3D) wind and sonic temperature (T_s) by measuring the time of ultrasonic signals transmitting along each of its three sonic paths whose geometry of lengths and angles in the sonic coordinate system was precisely determined through production calibrations and the geometry data were embedded into the sonic anemometer operating system (OS) for internal computations. If this geometry is deformed, although correctly measuring the time, the sonic anemometer continues to use its embedded geometry data for internal computations, resulting in incorrect output of 3D wind and T_s data. However, if the geometry is re-measured (i.e. recalibrated) to update the OS, the sonic anemometer can resume outputting correct data. In some cases, where immediate recalibration is not possible, a deformed sonic anemometer can be used because the ultrasonic signal-transmitting time is still correctly measured and the correct time can be used to recover the data through post processing. For example, in 2015, a sonic anemometer was geometrically deformed during transportation to the Antarctica. Immediate deployment was critical, so the deformed sonic anemometer was used until a replacement arrived in 2016. Equations and algorithms were developed and implemented into the post-processing software to recover wind data with/without transducer-shadow correction and T_s data with crosswind correction. Post-processing used two geometric datasets, production calibration and recalibration, to recover the wind and T_s data from May 2015 to January 2016. The recovery reduced the difference of 9.60 to 8.93 ℃ between measured and calculated T_s to 0.81 to -0.45 °C, which is within the expected range due to normal measurement errors. The recovered data were further processed to derive fluxes. Since data re-acquisition is time-consuming and expensive, this data-recovery approach is a cost-effective and time-saving option for similar cases. The equation development can be a reference for related topics.

10

15

20

¹ Guangdong Province Key Laboratory for Climate Change and Natural Disaster Studies, School of Atmospheric Sciences, Sun Yat-Sen University, Zhuhai 519082, China

²CAS-CSI Joint Laboratory of Research and Development for Monitoring Forest Fluxes of Trace Gases and Isotope Elements, Institute of Applied Ecology, Chinese Academy of Sciences, Shenyang 110016, China

³ Campbell Scientific Incorporation, Logan, Utah 84321, USA

⁴Beijing Techno Solutions Ltd., Beijing 100089, China

⁵ Nanjing University of Information Science and Technology, Nanjing 210044, China

⁶ National Marine Environmental Forecasting Center, Beijing 100081, China

1 Introduction

15

25

35

The three-dimensional (3D) sonic anemometer is commonly used for both micrometeorological research and applied meteorology (Horst et al., 2015). It directly measures boundary-layer flows at high measurement rates (10 to 50 Hz) and outputs wind speeds expressed in the 3D right-handed orthogonal anemometer coordinate system relative to its structure frame (see Appendix A, hereafter, referred as 3D anemometer coordinate system) and sonic temperature calculated from the speed of sound (Hanafusa et al., 1982). Its outputs are commonly used to estimate the fluxes of momentum and sonic temperature and, when combined with fast-response scalar sensors, the fluxes of CO₂/H₂O and other atmospheric constituents.

It has three pairs of sonic transducers forming three sonic paths (Fig. 1), each of which is between paired sonic transducers. The three paths are situated as optimized angles for wind measurements in the 3D anemometer coordinate system, structuring the geometry of sonic anemometer. This geometry is quantitatively defined by the path lengths and path angles that are precisely-measured during production calibration. A sonic anemometer measures the time of ultrasonic signals transmitting along each path (hereafter, referred as transmitting time). In reference to the sonic path length, the transmitting time is used to calculate the speeds of flow and sound along the path, which will be detailed in Section 4 as the following. According to the angles of three sonic paths, the speeds from the three paths are expressed in the 3D anemometer coordinate system for wind and as sonic temperature for air heat property.

A sonic anemometer has geometry information embedded into its operating system (OS) for internal data processing (see Appendix A), allowing output of 3D wind and sonic temperature. However, if it is geometrically deformed from manufacturer's setting at millimeter-scales, or even smaller, due to an unexpected physical impact in transportation, installation, or other handling, the geometry embedded in the OS is not representative to the current geometry of this sonic anemometer. As a result, the anemometer no longer outputs correct wind speeds and sonic temperatures because the deformation in geometry changes the relative spatial relationship among its six sonic transducers. If, an impact displaces a transducer relative to the others, the displacement must change at least one of the sonic path lengths and one of the sonic path angles. Fortunately, if geometrical deformation is the only problem, rather than physical damage to the transducers, the sonic anemometer can, according to its working physics (Schotland, 1955), correctly perform its transmitting-time measurements. Due to the change in a sonic path length, the speeds of air flow and sound along the path are incorrectly computed because the sonic path length embedded in the OS does not match the true length when the transmitting time was measured. As a result, the incorrect speeds along with the change in any sonic path angle might cause all 3D wind speeds as well as sonic temperature outputs to be incorrect. These incorrect outputs are recoverable because the transmitting time was correctly measured and the deformed geometry can be re-measured (i.e., recalibrated) by the manufacturer to which the anemometer can be shipped back with care. However, the equations and algorithms for the recovery are needed if a sonic anemometer is found to be geometrically deformed in a remote site where its use has to be continued. From such a site, it could take months, seasons, or even longer for a deformed anemometer to be transported back to the manufacturer for geometry re-measurements, recalibration, and shipped back to the site. In this case, if the measurements were not continued, a measurement-season or -year could be easily missed.

This study demonstrates data recovery from such a case when a sonic anemometer as a component of IRGASON (Integrated CO_2/H_2O Open-Path Gas Analyzer and 3D Sonic Anemometer, Campbell Scientific Inc., $201\underline{80}$) was geometrically deformed during transportation to Antarctic Zhongshan Station from China in early 2015 and had to be used until its replacement arrived at the site early the next year. If the deformed sonic anemometer was not used, one measurement-year

would have been missed because the only transportation of R/V Xue Long (i.e. Snow Dragon in English) from China to the Zhongshan Station served a round-trip to the site on an annual basis. More importantly, the 2015 data was also needed by related projects for collaborations. Therefore, the geometrically-deformed sonic anemometer was used to acquire the 2015 data. In early 2016, the deformed anemometer was shipped, with a pair of buffer bumpers for protection, to the manufacturer of Campbell Scientific Inc. in the US for re-measurements of its geometry to update its OS (i.e., recalibration).

Using the measurements of sonic path lengths and sonic path angles for this sonic anemometer from production calibration in April 2014 before its transportation and from recalibration in March 2016 after the field use in the Zhongshan Station, this study aims to develop and verify the equations and algorithms to recover the 2015 data measured using this geometrically deformed sonic anemometer to data as if measured with the this anemometer after recalibration although actually measured before the recalibration, providing a reference to similar cases and/or related topics.

2 Site, instrumentation, and data

The observation site was in the coastal landfast sea ice area of the Zhongshan Station (69°22′ S and 76°22′ E), East Antarctica (Yang et al., 2016; Yu et al., 2017; Zhao et al., 2017). In this area, as influenced by the unique solar cycles, the climate is characterized by the polar night from late March to mid-July and the polar day from mid-November to January. The polar day and the polar night are inhabitable to human life, but drive atmospheric dynamics in a way of interest to human beings (Valkonen et al., 2008); therefore, this region has attracted scientists to measure its surface heat balance; however, the measurements are not an easy task in financial support, technical infrastructure, and administrative management. As such, only few of studies on such measurements have been conducted in this region (e.g., Vihma et al., 2009; Liu et al., 2017).

The fluxes of CO₂/H₂O, heat, radiation, and momentum and atmospheric variables were measured so that the sea ice/snow surface energy budget during both melting and frozen periods can be quantified. For these measurements, the project established two open-path eddy-covariance (OPEC) flux stations in May 2015. One station (see Fig. 2) was configured with IRGASON (SN: 1131) for the fluxes, one four-component net radiometer (model: CNR4, Kipp & Zonen, Delft, The Netherlands) for net radiation and radiation fluxes; one temperature and relative humidity probe (model: HMP155A, SN: H5140031, Vaisala, Helsinki, Finland) inside a 14-plate naturally-aspirated radiation shield of model 41005 for air temperature and air relative humidity; and one infrared radiometer (model: SI-111, SN: 2962, Apogee, UT, USA) for surface temperature. In Ee arly 2016, a CSAT3B (Campbell Scientific Inc., UT, USA) was added for additional data of 3D wind and sonic temperature. This OPEC station is was also equipped with a built-in barometer (Model: MPXAZ6115A, Freescale Semiconductor, TX, USA) for atmospheric pressure and a built-in 107 temperature probe (Model: 100K6A1A, BetaTherm, Finland) inside a 6-plate naturally-aspirated radiation shield of model 41303-5A for air temperature, the IRGASON was connected to and controlled by an EC100 electronic module (SN: 1542, OS: EC100.04.10) that, in turn, was connected to and instructed by a central CR3000 Measurement and Control Datalogger (SN: 7720, OS 25) for these sensor measurements, data processing, and data output. While receiving the data output from EC100 at 10 Hz, the CR3000 also controlled and measured slow response sensors at 0.1 Hz such as the CNR4, HMP155A, and others in support to this study. EasyFlux_CR3OP (version 1.00, Campbell Scientific Inc., 2016) was used inside CR3000. The data of 3D wind, sonic temperature, CO₂ and H₂O amounts, atmospheric pressure, diagnosis codes for the 3D sonic anemometer and open-path infrared gas analyzer, air temperature, and relative humidity were stored 10 records per second (i.e., 10 Hz). The data from all sensors were computed and stored by the CR3000 every half-hour interval.

3 Data check and instrument diagnosis

15

30

Immediately after the station started to run, all measured values were checked. Unfortunately, the sonic temperature from the 3D sonic anemometer was incorrect because it was around 10 °C higher than the air temperature from HMP155A or 100K6A1A. Given H₂O density about 1.00 g m⁻³ and air temperature about -20 °C, sonic temperature should be around 0.13 °C higher than air temperature [see Eq. (5) in Schotanus et al., (1983)] if the sonic temperature was measured, although impossible, without an error. Further diagnosis for sonic anemometer measurements found that the sonic temperature values from the three sonic paths unexpectedly deviated around -12, 5, and -7 °C, respectively, as shown by Device Configuration (Campbell Scientific Inc., UT, USA) connected to EC100 through a notebook computer while the station was running. Apparently, the largest absolute difference in sonic temperature among the three paths reached 17 °C although this difference from an IRGASON sonic anemometer was expected < 1 ℃. Such a large unexpected absolute difference (e.g. 17 ℃) among the three values from the three sonic paths might be caused by the geometrical deformation of sonic anemometer. To confirm the diagnosis, the body of IRGASON was visually examined and painting on the knuckle of side one (i.e., first sonic path) among the top three claws was found off as apparently impacted (Fig. 3). Therefore, with confidence, it was concluded that the incorrect outputs of sonic temperature were caused by the geometrical deformation of sonic anemometer while being transported to Antarctica from China. The deformation also might cause the incorrect outputs of 3D wind. Therefore, this IRGASON should have been shipped back to manufacturer for re-measurements of its geometry to update its OS (recalibration). However, as addressed in Introduction, the 2015 data would have been missed if it were shipped back to the manufacturer then. To make measurements as planned, this IRGASON continued its field duty until next round-trip of R/V Xue Long to Antarctica from China by the end of 2015 when its replacement from the manufacturer arrived at the site.

In early 2016, it was replaced in the field and was shipped back to the manufacturer where it was re-measured for sonic geometry in recalibration process on March. The re-measurements verified our diagnosis conclusion that the IRGASON sonic anemometer was geometrically deformed (see Table A1 in Appendix A). Therefore, the 2015 data from this sonic anemometer needed to be recovered as if measured by the same anemometer after recalibration although the data were acquired from the measurements before the recalibration.

25 4 Algorithm to recover the data of 3D wind and sonic temperature

An IRGASON sonic anemometer measures wind flows along its three non-orthogonal sonic paths (i.e., the three sonic paths non-orthogonally situated each other, see Fig. 1), each of which is between a pair of sonic transducers. Sensing each other in each sonic path, the pair separately pulse two ultrasonic signals in opposite directions at the same time. The signal pulsed by the transducer facing to air flow direction along the sonic path takes less time to be sensed by its paired one than the one pulsed by the transducer against the air flow direction. In a path, the transmitting time of ultrasonic signal upward [t_{ui}] where subscript i can be 1, 2, or 3, denoting the sequential order of sonic path (Fig. 1). This subscript denotes the same throughout] and downward (t_{di}) are measured by the sonic anemometer (Hanafusa 1982; Foken, 2017). In the case as shown in Fig. 1 for the third sonic path, or i = 3, the transmitting time of ultrasonic signal upward in the path upward is given by:

$$t_{u3} = \frac{d_3}{c_3 + u_3} \tag{1}$$

where, along the third sonic path, d_3 is its length precisely measured during production or recalibration process using a Coordinate Measurement Machine (CMM), c_3 is the speed of sound, and u_3 is the speed of air flow (Fig. 1); and the transmitting time of ultrasonic signal downward is given by:

$$t_{d3} = \frac{d_3}{c_2 - u_2} \tag{2}$$

4.1 Recover 3D wind data

4.1.1 Algorithm of sonic anemometer to output the 3D wind data

5 Equations (1) and (2) lead to:

25

$$u_3 = \frac{d_3}{2} \left[\frac{1}{t_{u3}} - \frac{1}{t_{d3}} \right] \tag{3}$$

Using the same procedure, u_1 and u_2 (see Fig. 1) can be derived as the same form. In reference to Eq. (3), the equation for u_i ; where i = 1, 2, or 3; can be expressed as:

$$u_i = \frac{d_i}{2} \left[\frac{1}{t_{ui}} - \frac{1}{t_{di}} \right] \tag{4}$$

Similar to d_3 , d_1 and d_2 are also precisely measured using CMM. The three flow speeds of u_i (i = 1, 2, or 3) from the three non-orthogonal paths are expressed in the 3D anemometer coordinate system of x, y, and z; where x and y are the horizontal coordinate axes and z is the vertical axis; through a transform matrix \mathbf{A} as the 3D wind speeds (u_x , u_y , and u_z) commonly used in practices:

$$\begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix} = \mathbf{A} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} \tag{5}$$

where the 3D anemometer coordinate system (see Figs. 1 and A1) is defined by its origin at the center of sonic measurement volume, the u_x - u_y plain parallel to the imagery plain leveled by a built-in bulb in the anemometer structure, and the u_y - u_z plain through the first sonic path and **A** is a 3×3 matrix constructed using precisely measured geometry of the sonic paths in angles relative to the 3D anemometer coordinate system (see its derivations in Appendix A). Matrix **A** is unique for each sonic anemometer and is embedded in its OS; therefore, the 3D wind data outputted from the anemometer are the three components of u_x , u_y and u_z in the 3D anemometer coordinate system.

Due to shadowing from the sonic transducer itself (transducer shadowing), the measured u_i is assumed to be lower than its true value in magnitude (Wyngaard and Zhang, 1985; Kaimal and Finnigan, 1994). As denoted by u_{Ti_n} where subscript T indicates "True" and subscript T indicates that T indicates tha

$$\begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix} = \mathbf{A} \begin{bmatrix} u_{T1_n} \\ u_{T2_n} \\ u_{T3_n} \end{bmatrix}$$
 (6)

Following Host et al. (2015) based on Wyngaard and Zhang (1985), the correction equation for the sonic transducer size and sonic path geometry of IRGASON sonic anemometer is given by:

$$u_{T_{i-1}} = \frac{u_i}{0.84 + 0.16\sin\alpha_i} \tag{7}$$

where α_i is the angle of the total wind vector to the wind vector along sonic path i and is unknown before the two vectors are estimated, but, referencing Figs. 1 and 4, the $\sin \alpha_i$ in Eq. (7) can be alternatively expressed as a function of flow speed values to lead Eq. (7) as

20

25

$$u_{Ti} = \frac{u_i}{0.84 + 0.16 \frac{\sqrt{U_T^2 - u_{Ti}^2}}{U_T}}$$
(8)

where U_T is the magnitude of total true wind vector, given by

$$U_T = \sqrt{u_x^2 + u_y^2 + u_z^2} \tag{9}$$

In Eq. (8), all independent variables are actually related to the variables in Eq. (5). As such, using this equation, u_{Ti} can be computed; however, there are two inconvenient issues in this equation application to transducer-shadow corrections: 1) an analytical solution for u_{Ti} is not easily available because u_{Ti} is in a second order term under a square root in the right hand side of Eq. (8) although u_{Ti} is analytically expressed in its left hand side and 2) U_T is not available either because u_x , u_y , and u_z are derived from u_1 , u_2 , and u_3 before the transducer-shadow corrections. Fortunately, the corrections are small in magnitude as shown in Eq. (8); therefore, u_i is closed to u_{Ti} . As a result, u_x , u_y , and u_z from Eq. (5) are close to those from Eq. (6). Accordingly, iteration algorithm may be a right approach to the corrections using Eq. (8), or to estimation of u_{Ti} .

For the first iteration, u_{Ti} in the right side hand of Eq. (8) could be replaced with u_i as its estimation. Given that U_T should be calculated using u_x , u_y , and u_z from Eq. (6), before the transducer-shadow corrections, U_T can be estimated using u_x , u_y , and u_z from Eq. (5). See Appendix B: Iteration algorithm for sonic transducer-shadow corrections. The iterations ensure that the difference in u_x , u_y , or u_z between last and previous iterations are $< 1 \, \text{mm s}^{-1} \approx 1.96 \, \sigma < 1$ where σ is the maximum precision (i.e. standard deviation at constant wind) among u_x , u_y , and u_z (Campbell Scientific Inc., 20180). The u_{TI_D} , u_{T2_D} , and u_{T3_D} from the last interaction are finally used for Eq. (6) to compute the 3D wind of u_x , u_y , and u_z as sonic anemometer output.

4.1.2 Procedure to recover 3D wind data

As addressed in Eqs. (4) to (6), a sonic anemometer measures t_{ui} and t_{di} to calculate the 3D wind of u_x , u_y , and u_z ; therefore, sonic path lengths (d_i) in Eq. (4) and transform matrix **A** in Eqs. (5) and (6) are embedded into the OS of sonic anemometer in manufacture processes (see the embedded data for our study sonic anemometer in Appendix A). If the anemometer was physically deformed in transportation, installation, or other handling; the sonic path lengths and sonic path angles must be changed from what they were at the time when d_i and **A** were embedded into its OS; therefore, d_i in Eq. (4) and sonic path angles reflected by **A** in Eqs. (5) and (6) are no longer valid for this anemometer. Consequently; the output of u_x , u_y , and u_z still based on embedded d_i and **A** from production calibration or recalibration process are erroneous. To correct the erroneous output; u_x , u_y , and u_z need to be transformed back to t_{ui} and t_{di} and to be recalculated using t_{ui} and t_{di} based on the true sonic path lengths and true sonic path angles at the time when t_{ui} and t_{di} were measured in the field by the sonic anemometer physically deformed away from manufacturer's geometrical settings before its field deployment.

For the true sonic path lengths and true sonic path angles, IRGASON (SN: 1131) was returned to the manufacturer in the way as described in Section 3. In the same way as in the manufacture process, the lengths and angles were re-measured using CMM. The re-measured lengths are denoted by d_{Ti} (i = 1, 2, or 3) and the re-measured angles were used to reconstruct the

transform matrix A as A_T (see **Appendix A**). Both d_{Ti} and A_T are used to update the <u>firmware_OS</u> of this IRGASON for future field uses and to correct u_x , u_y , u_z and T_s (sonic temperature, see Section. 4.2) that were outputted in the field before the re-measurements. The correction procedures are different for the output of u_x , u_y , u_z with or without transducer-shadow corrections.

5 i. With transducer-shadow corrections

10

20

Transfer u_x , u_y , and u_z in the 3D anemometer coordinate system to the flow speeds along the sonic paths after transducer-shadow corrections.

$$\begin{bmatrix} u_{T1_n} \\ u_{T2_n} \\ u_{T3_n} \end{bmatrix} = \mathbf{A}^{\mathbf{1}} \begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix}$$
 (10)

Using Eq. (B5), flow speed along the i^{th} sonic path before transducer correction (u_i) can be expressed as

$$u_i = u_{Ti_n} \left(0.84 + 0.16 \frac{\sqrt{U_T^2 - u_{Ti_n}^2}}{U_T} \right)$$
 (11)

where U_T can be calculated using Eq. (9) and $u_{T_{i_m}}$ can be reasonably approximated using $u_{T_{i_m}}$ because $u_{T_{i_m}}$ and $u_{T_{i_m}}$ are close enough to ensure u_x , u_y , and u_z to converge at their measurement precisions (see Appendix B). Using u_i and d_i , the time term inside the square bracket in Eq. (4) can be recovered

$$\left[\frac{1}{t_{ii}} - \frac{1}{t_{di}}\right] = \frac{2u_i}{d_i} \tag{12}$$

Also according to Eq. (4) and using d_{Ti} , the speed of air flow along the i^{th} sonic path can be recalculated as u_{ci} :

$$u_{ci} = \frac{d_{Ti}}{2} \left[\frac{1}{t_{ui}} - \frac{1}{t_{di}} \right] \tag{13}$$

Further replacing u_i with u_{ci} in the iteration algorithm for sonic transducer-shadow corrections in Appendix B, u_{ci} is corrected for transducer-shadowing as u_{cTi_n} . Using Eq.(6), the recovered vector of 3D wind in the 3D anemometer coordinate system

$$\begin{bmatrix} u_{cx} & u_{cy} & u_{cz} \end{bmatrix}$$
 can be expressed as:

$$\begin{bmatrix} u_{cx} \\ u_{cy} \\ u_{cz} \end{bmatrix} = \mathbf{A}_T \begin{bmatrix} u_{cT1_n} \\ u_{cT2_n} \\ u_{cT3_n} \end{bmatrix}$$
(14)

ii. Without transducer-shadow corrections

Transfer u_x , u_y , and u_z in the 3D anemometer coordinate system to the flow speeds along individual sonic paths

$$\begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \mathbf{A}^{-1} \begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix}$$
 (15)

Using Eqs. (12) and (13), the speed of flow along the i^{th} sonic path (u_{ci}) is recalculated (i.e. recovered). Based on Eq. (5), the recovered speeds of flow along the three sonic paths can be expressed in the 3D anemometer coordinate system as

$$\begin{bmatrix} u_{cx} \\ u_{cy} \\ u_{cz} \end{bmatrix} = \mathbf{A}_T \begin{bmatrix} u_{c1} \\ u_{c2} \\ u_{c3} \end{bmatrix}$$
 (16)

4.2 Recover sonic temperature data

4.2.1 Algorithm of sonic anemometer to output sonic temperature

5 Equations (1) and (2) also lead to:

$$c_3 = \frac{d_3}{2} \left[\frac{1}{t_{u3}} + \frac{1}{t_{d3}} \right] \tag{17}$$

Using the same procedure, c_1 and c_2 (see Figs. 1 and 5) can be derived as the same form. In reference to Eq. (17), equation for c_i ; where subscript i = 1, 2, or 3; can be expressed as

$$c_i = \frac{d_i}{2} \left[\frac{1}{t_{ui}} + \frac{1}{t_{di}} \right] \tag{18}$$

Here, c_i is the measured speed of sound along the sonic path i (see Fig. 5). When the crosswind ($u_{\perp i}$), or wind normal to the sonic path i, is zero; c_i is the true speed of sound (c_{0i} where subscript 0 indicates the speed of sound at crosswind speed equal to zero). Unfortunately, crosswind rarely is zero and c_i needs to be corrected to c_{0i} . According to Figs. 1 and 5, the true speed of sound is given by:

$$c_{0i} = \frac{c_i}{\cos \alpha_i} = \frac{c_i}{c_i / \sqrt{c_i^2 + u_{\perp i}^2}} = \sqrt{c_i^2 + u_{\perp i}^2}$$
 (19)

15 Referencing the diagram for wind vectors in the left side of Fig. 5, this equation can be expressed as

$$c_{0i}^2 = c_i^2 + U_T^2 - u_{Ti}^2 (20)$$

According to the definition of sonic temperature (Kaimal and Finnigan, 1994), the sonic temperature (K) along the i^{th} sonic path (T_{si}) should be expressed as:

$$T_{si} = \frac{c_{0i}^2}{\gamma_d R_d} \tag{21}$$

where γ_d (1.4003) is the ratio of dry air specific heat at constant pressure (1,004 J K⁻¹ kg⁻¹) to dry air specific heat at constant volume (717 J K⁻¹ kg⁻¹) and R_d (287.04 J K⁻¹ kg⁻¹) is gas constant for dry air (287.04 J K⁻¹ kg⁻¹). The sonic temperature outputted from sonic anemometer (T_s in C) is the average from the three sonic paths (van Dijk, 2002), given by:

$$T_s = \frac{1}{3} \sum_{i=1}^{3} T_{si} - 273.15 = \frac{1}{3\gamma_d R_d} \sum_{i=1}^{3} c_{0i}^2 - 273.15$$
 (22)

Substituting c_{0i} with Eq. (20) and then substituting c_i with Eq. (18), T_s can be expressed as:

$$T_{s} = \frac{1}{3\gamma_{d}R_{d}} \left\{ \sum_{i=1}^{3} \left[\frac{d_{i}^{2}}{4} \left(\frac{1}{t_{ui}} + \frac{1}{t_{di}} \right)^{2} - u_{Ti}^{2} \right] + 3U_{T}^{2} \right\} - 273.15$$
 (23)

4.2.2 Procedure to recover sonic temperature data

25

Equation (23) indicates that, given d_i , a sonic anemometer estimates sonic temperature using its measured transmitting time of t_{ui} and t_{di} , the flow speeds along the sonic paths (u_i or u_{Ti} if corrected for transducer shadowing) that are also calculated from t_{ui} and t_{di} (see Eq. 4), and the resultant wind speed (U_T , i.e., the total wind) computed using Eq. (9) inside which the three wind components in the 3D anemometer coordinate system are transformed from u_i using \mathbf{A} as explained by Eq. (5) without transducer-shadow corrections or from u_{Ti} also using \mathbf{A} as explained by Eq. (6) with transducer-shadow corrections. As discussed in Section 4.1.2, if a sonic anemometer is geometrically deformed in an incident, the sonic path lengths and sonic path angles may be changed from what they were at the time when d_i and \mathbf{A} were embedded into its OS; therefore, d_i in Eq. (23) and \mathbf{A} in Eqs. (5) and (6) for u_i/u_{Ti} and U_T in Eq. (23) are no longer valid for this sonic anemometer. As a result; its output of u_x , u_y , u_z , and T_s still based on embedded d_i and \mathbf{A} must not be representative to the field wind and sonic temperature to be measured. In Section of 4.1, the procedure to recover 3D wind data was developed using re-measured sonic path lengths (d_{Ti}) and re-determined sonic path angles for \mathbf{A}_T . The procedure to recover sonic temperature data also needs to be developed using d_{Ti} and recovered 3D wind data in this section as follows.

Based on Eq. (20), the recovered speed of sound from sonic path i after crosswind corrections $(\underline{c_{c0i}})$ can be expressed as

$$c_{c0i}^2 = c_{ci}^2 + U_{cT}^2 - u_{cTi}^2 (24)$$

where c_{ci} is the recovered speed of sound along sonic path i and $U_{cT} = \sqrt{u_{cx}^2 + u_{cy}^2 + u_{cz}^2}$. After replacement of c_{0i}^2 with c_{c0i}^2 in Eq. (22), the recovered sonic temperature (T_{cs} in C) can be written as:

$$T_{cs} = \frac{1}{3\gamma_d R_d} \sum_{i=1}^{3} c_{c0i}^2 - 273.15 \tag{25}$$

Now, the term of c_{c0i}^2 needs to be derived. Subtracting Eq. (20) from (24) leads to:

$$c_{c0i}^2 = c_{0i}^2 + \left(c_{ci}^2 - c_i^2\right) + \left(U_{cT}^2 - U_T^2\right) - \left(u_{cTi}^2 - u_{Ti}^2\right) \tag{26}$$

Using this equation to substitute c_{c0i}^2 in Eq. (25), denoting $U_{cT}^2 - U_T^2$ with ΔU_{cT}^2 and denoting $u_{cTi}^2 - u_{Ti}^2$ with Δu_{cTi}^2 lead to:

$$T_{cs} = T_s + \frac{1}{3\gamma_d R_d} \sum_{i=1}^{3} \left[\left(c_{ci}^2 - c_i^2 \right) + \Delta U_{cT}^2 - \Delta u_{cTi}^2 \right]$$
 (27)

In this equation, the term of $c_{ci}^2 - c_i^2$ is still unknown. Based on Eq. (18), c_{ci}^2 is given by:

$$c_{ci}^2 = \frac{d_{Ti}^2}{4} \left[\frac{1}{t_{vi}} + \frac{1}{t_{di}} \right]^2 \tag{28}$$

Accordingly, the unknown term is given by:

$$c_{ci}^{2} - c_{i}^{2} = \frac{d_{Ti}^{2}}{4} \left[\frac{1}{t_{ui}} + \frac{1}{t_{di}} \right]^{2} - \frac{d_{i}^{2}}{4} \left[\frac{1}{t_{ui}} + \frac{1}{t_{di}} \right]^{2}$$

$$= \frac{1}{4} \left[\frac{1}{t_{ui}} + \frac{1}{t_{di}} \right]^2 \left(d_{Ti}^2 - d_i^2 \right)$$
 (29)

$$=c_i^2 \frac{\Delta d_{Ti}^2}{d_i^2}$$

In this equation, only unknown variable is c_i^2 . Based on Eq. (20), this equation can be expressed as:

$$c_{ci}^{2} - c_{i}^{2} = \left(c_{0i}^{2} - U_{T}^{2} + u_{Ti}^{2}\right) \frac{\Delta d_{Ti}^{2}}{d_{i}^{2}}$$
(30)

In the right hand-side of this equation, c_{0i}^2 is unknown only. However, the whole term in the right side hand-of Eq. (30) mathematically is a differential term in which c_{0i}^2 can be reasonably approximated using its neighbor value as close as possible to c_{0i}^2 . The average of c_{01}^2 , c_{02}^2 , and c_{03}^2 can be calculated from Eq. (22) because T_s is an output variable of sonic anemometer. Without a measurement error and random error, the three c_{0i} should be the same independent of flow speed because they are the true speed of sound instead of measured speed of sound along an individual sonic path (Schotanus et al., 1983; Liu et al., 2001); Therefore, c_{0i}^2 can be reasonably approximated using the average of three c_{0i}^2 as c_{0i}^2 , given by:

$$c_{ci}^{2} - c_{i}^{2} = \left(c_{0}^{2} - U_{T}^{2} + u_{Ti}^{2}\right) \frac{\Delta d_{Ti}^{2}}{d_{i}^{2}}$$
(31)

where c_0^2 can be computed from Eq. (22) as.

$$c_0^2 = \gamma_d R_d (T_s + 273.15) \tag{32}$$

Due to the replacement of c_{0i}^2 with c_0^2 , the relative error of whole term in the right hand side of Eq. (31) would be < 4% even if the variability in sonic temperature due to the difference among c_{0i}^2 values reaches 10 °C at air temperature of -30 °C without wind (i.e., $U_T = 0$ and $u_{Ti} = 0$), which would be the worst case. Substituting the term of $c_{ci}^2 - c_i^2$ in Eq. (27) with Eq. (31) leads to

$$T_{cs} = T_s + \frac{1}{3\gamma_d R_d} \sum_{i=1}^{3} \left[\left(c_0^2 - U_T^2 + u_{Ti}^2 \right) \frac{\Delta d_{Ti}^2}{d_i^2} + \Delta U_{cT}^2 - \Delta u_{cTi}^2 \right]$$
(33)

In the right hand side of this equation, the whole term after T_s is the sonic temperature recovery term interpretable.

5 Application

15

20

For our case without a transducer-shadow correction, Eqs. (15), (12), (13), and (16) were sequentially used to recover the 3D wind data. In a case of transducer-shadow correction in option, Eqs. (10) to (16) are used. Based on the data of 3D wind from the recovery process, Eqs. (9), (32), and (33) were used to recover the sonic temperature data. The whole recovery processes large data files (10 records per second), not only using these equations, but also operating the matrixes (A3) to (A5) (see Appendix A) for Eqs. (15) and (16) along with the data of sonic paths lengths in Table A1 for Eqs. (12) and (13). Apparently, the recovery process is a huge work load in computation. As such, these equations, matrixes, and data were implemented into a software package: "Sonic Data Recovery for IRGASON/CSAT3/A/B Used in Geometrical Deformation after Production/Calibration" whose interface is shown in Fig. 6 and (Appendix C and Fig. 6). As long as the path lengths and matrixes from production/calibration and from recalibration are input into the software as instructed by the interface (see Fig. 6Appendix C), the software automatically recover the data in batches.

6 Verification

In our station, an additional anemometer for wind was not under deployment when this studied IRGASON was used in its deformed state; therefore, no data were available to verify the recovered 3D wind data. However, the algorithms as addressed using Eq. (10) to Eq. (16) to recover the 3D wind data are solid without any estimation and the recovered 3D wind data are not necessary to be verified.

- Fortunately, the data to verify sonic temperature are available in this station. Air temperature, relative humidity, and atmospheric pressure were measured using research grade sensors of HMP155A and IRGASON built-in barometer and the data of these variables also stored at 10Hz (10 records per second). These data can be used to estimate the sonic temperature (see Appendix D: Sonic temperature from air temperature, relative humidity, and atmospheric pressure). The recovered data of sonic temperature using Eq. (33) were compared to the calculated sonic temperature over the range of sonic temperature for three representative values: -20.01 ± 0.14 °C in Fig. 7a, -9.06 ± 0.13 °C in Fig. 7b, and -1.90 ± 0.22 °C in Fig. 7c. The difference between measured (i.e., unrecovered) and calculated sonic temperature values of 9.60 ± 0.14 K in Fig. 7a, 9.53 ± 0.17 K in Fig. 7b, and 8.93 \pm 0.24 K in Fig. 7c was narrowed to 0.99 \pm 0.14 K, 0.57 \pm 0.17 K, and -0.25 \pm 0.24 K, respectively, as the difference between recovered and calculated sonic temperature values. Given the accuracy of ±0.5 K in sonic temperature from IRGASON sonic anemometer (Personal communication with Larry Jacobsen who is the designer of sonic anemometer) and the accuracy of $\pm 0.2 \sim 0.3$ K in air temperature below 0 °C and 1.2% in relative humidity from HMP155A (Vaisala, 2017), from which the calculated sonic temperature was derived (see Appendix D), recovered sonic temperature data can be reasonably judged as satisfactory if the difference in mean sonic temperature between recovered and calculated ranges within ±0.80 °C or even wider that could be considered a likelihood range of possible difference between correctly measured and calculated sonic temperature. As shown in Fig. 7, Eq. (33) apparently did an excellent job in recovering the sonic temperature data measured using sonic anemometer in its deformed state, but was is less satisfactory in case of Fig. 7a (i.e., 0.99 ± 0.14 °C, the difference in sonic temperature between recovered and calculated) although the range of 0.99 ± 0.14 °C was is not significantly different from ±0.80 °C. The less satisfactory recovery might be caused by the approximation of c_{0i} from c_0 that is fully valid if all c_{0i} are not measured by a sonic anemometer in its deformed state, but not a case in this study.
- According to Eq. (22), it is impossible to have an individual c_{0i} from T_s which is the sole output for sonic temperature from any sonic anemometer. Now, the average of c_{01}^2 , c_{02}^2 , and c_{03}^2 is known and the changes in sonic path lengths are known. It is possible to estimate the difference among the three speeds of sound and to adjust their average (c_0^2) to c_{01}^2 , c_{02}^2 , and c_{03}^2 in approximation although the exact values are impossible to know. The adjusted values can reflect the variability among c_{0i}^2 at some degree and are reasonably expected to improve the data recovery.

30 7 Adjustment

10

15

The measured speed of sound after crosswind correction (c_{0i}) is independent of wind speed (Schotanus et al., 1983, Liu et al., 2001). Given while depending on moist air density and atmospheric pressure (Barrett and Suomi, 1949). $\underline{}$ $\underline{}$

$$c_{0i} \equiv \frac{d_i}{t_i} \tag{34}$$

In Eq. (33), c_0^2 is the average of three squared c_{0i} [see Eqs. (22) and (32)], but an individual c_{0i} is unknown; therefore, for recovery improvement, it has to be estimated from c_0^2 through a reasonable adjustment. The difference in magnitude between c_0^2 and c_{0i}^2 must be related to the c_{0i}^2 error due to the geometrical deformation of sonic anemometer. Squaring both sides of Eq. (34) leads to

$$c_{0i}^2 = \frac{d_i^2}{t_i^2} \tag{35}$$

The total differentiation of c_{0i}^2 is given by

$$\Delta c_{0i}^2 = \frac{2d_i}{t_i^2} \Delta d_i - \frac{2d_i^2}{t_i^3} \Delta t_i$$
 (36)

Given the transmitting time is correctly measured by a sonic anemometer (i.e., $\Delta t_i = 0$) even in its geometrical deformation, this equation becomes

10
$$\Delta c_{0i}^2 = \frac{2d_i}{t_i^2} \Delta d_i = c_{0i}^2 \frac{2\Delta d_i}{d_i} = c_{0i}^2 \frac{2(d_i - d_{Ti})}{d_i}$$
 (37)

Mathematically in differentiation, c_{0i}^2 can be reasonably approximated by c_0 , given by

$$\Delta c_{0i}^2 \approx 2c_0^2 \left(1 - \frac{d_{Ti}}{d_i}\right) \tag{38}$$

This is the error of c_{0i}^2 away from c_0^2 . This error can be reasonably used to represent the deviation of c_{0i}^2 away from c_0^2 . The deviations of three c_{0i}^2 values away from c_0^2 are the measures of variability among three c_{0i}^2 away from c_0^2 .

Although an individual c_{0i}^2 is unknown, the average of three c_{0i}^2 is known as c_0^2 . This average should be unchanged after adjustments because of the adjustment within the variability among c_{0i}^2 away from c_0^2 . If the average of adjusted c_{0i}^2 is not equal to c_0^2 , all adjusted c_{0i}^2 should be added or subtracted with the same constant to make the average of three adjusted c_{0i}^2 values as c_0^2 , but the variability among c_{0i}^2 values is kept the same. This constant must be the mean of three Δc_{0i}^2 values. Based on these analyses, the adjustment of c_0^2 to c_{0i}^2 can be constructed as

$$c_{0i}^{2} \equiv c_{0}^{2} + \left(\Delta c_{0i}^{2} - \frac{1}{3} \sum_{i=1}^{3} \Delta c_{0i}^{2}\right)$$
(39)

Using this equation to replace c_{0i}^2 in Eq. (30) and the resultant equation with this replacement then is used to for $c_{0i}^2 - c_i^2$ in Eq. (27) as

$$T_{cs} = T_{s} + \frac{1}{3\gamma_{d}R_{d}} \sum_{i=1}^{3} \left\{ \left[c_{0}^{2} + \left(\Delta c_{0i}^{2} - \frac{1}{3} \sum_{j=1}^{3} \Delta c_{0j}^{2} \right) - U_{T}^{2} + u_{Ti}^{2} \right] \frac{\Delta d_{Ti}^{2}}{d_{i}^{2}} + \Delta U_{cT}^{2} - \Delta u_{cTi}^{2} \right\}$$
(40)

In the right side of the this equation, the whole term after T_s is the adjusted sonic temperature recovery term.

The data ever recovered using Eq. (33) also were recovered again using Eq. (40). Apparently, this equation did a better job than Eq. (33). The difference in sonic temperature between the recovered and calculated values was reduced to 0.81 ±

0.14 °C, 0.38 \pm 0.17 °C, and -0.45 \pm 0.24 °C, respectively, as shown from panels a to c in Fig. 7. These values for the difference <u>fell fall</u> into the range of \pm 0.80 °C in statistical sense. Equation (40) does a better job than Eq. (33), although, that is satisfactory. Eventually, Eq. (40) was used for data recovery and was incorporated into the software as shown in Fig. 6 and (Appendix D).

5 8 Discussion

10

15

20

8.1 Verification of 3D wind recovery

Although not explicitly verified, the recovered 3D wind data were implicitly verified through the verification of recovered sonic temperature data because 1) sonic temperature is more sensitive than wind speeds in ultrasonic sonic measurements (Thomas Foken, 2018, review comment) and 2) the recovery of sonic temperature data must rely on recovered 3D wind data [Eqs. (33) and (40)]. According to Eq. (3), (17), and (21), it is apparent that sonic temperature is sensitive at one order higher than wind speed to the errors in measurements of sonic path lengths and ultrasonic signal travel transmitting times values. If the recovered sonic temperature is within the accuracy limits of sensors, this should be realized for the wind data recovery as well (Thomas Foken 2018, review comment). Additionally, the cross wind correction for sonic temperature needs 3D wind data (Liu et al., 2001). If 3D wind had not been well recovered, sonic temperature data could not have been recovered satisfactorily. Therefore, the satisfactory recovery of sonic temperature data in this study implicitly verified the satisfactory recovery of 3D wind data.

8.2 Comparability of recovered to calculated sonic temperature

The recovered sonic temperature was sourced from the measurements of a fast response sonic anemometer, and the calculated sonic temperature was sourced from the measurements of a slow response air temperature and relative humidity probe as well as barometer built into IRGASON (see Appendix D). Therefore, the former reflected the fluctuations in the sonic temperature at high frequency, and the latter reflects the same fluctuations at lower frequency. As such, a pair of recovered and calculated sonic temperature values from simultaneous measurements (i.e., the same records in a time series data file) were not comparable. The difference between the pair is meaningless; therefore, the mean difference between recovered and calculated sonic temperature values over a half-hour period was used for their data comparison.

25 8.3 Recovered higher than calculated sonic temperature at lower temperature

See Fig. 7. Compared to calculated sonic temperature, the recovered sonic temperature from Eq. (40) is 0.81 ± 0.14 K higher at -20.01 °C (Fig. 7a) and 0.38 ± 0.17 K higher at -9.06 °C (Fig. 7b), however, at -1.90 °C, even 0.45 ± 0.24 K lower (Fig. 7c). This trend of difference with temperature may be related to the performance of sonic anemometer at different temperature and the lower accuracy of temperature and humidity probe in a lower temperature range (Vaisala, 2017).

The sonic path lengths and geometry of sonic anemometer were measured at the manufacture environment of air temperature around 20° C (i.e., manufacture temperature) and embedded into its OS for field applications. However, above or below the manufacture temperature, the sonic path lengths must become, due to thermo-expansion or -contraction of sonic anemometer structure, longer or shorter than those at manufacture temperature while the length values of sonic paths inside the OS are unchanged. As a result, the sonic anemometer could under- or over-estimate the speed of sound, thus sonic temperature. The under- or over-estimation may be insignificant when temperature is not much above or below the manufacture temperature while the anemometer must work best around the manufacturer temperature. In this study, the working air temperature for the sonic anemometer was as low as -20 $^{\circ}$ C, within which the sonic paths become shorter at some degree so that its

measurement performance possibly was impacted. Although an assessment on the measurement performance of sonic anemometer at low or high air temperature could not be found in literature, overestimation of the speed of sound from a sonic anemometer at centigrade of tens below manufacture temperature and thus sonic temperature is anticipated as shown in Figs. 7a to Fig. 7c.

Although, at different air temperature, the performance of the temperature and relative humidity probe and barometer built into IRGASON, whose measurements are used to calculate the sonic temperature (see Appendix D), is more stable than a sonic anemometer while although their accuracies are the best at 20 °C, too, and become lower with temperature away from 20 °C (Vaisala, 2017). For example, HMP155A has an accuracy in air temperature to be ± 0.1 °C at 20 °C and to be ± 0.25 °C at -20 °C as well as an accuracy in relative humidity (*RH*) to be $\pm (1.0+0.008RH)$ % at 20 °C and to be $\pm (1.2+0.012RH)$ % at -20 °C. The greater disagreement between recovered and calculated sonic temperature values at lower temperature in Fig. 7a may also be contributed by the fact that the lower the air temperature, the lower the accuracies of HMP155A and the barometer.

8.4 Radiation on calculated sonic temperature

5

10

15

20

25

30

35

Compared to the recovered sonic temperature using Eq. (40), the calculated sonic temperature was 0.45 ± 0.24 °C higher over a whole period of 12:00 to 12:30 and even 0.65 ± 0.19 °C higher over a partial period of 12:15 to 12:27, which may be contributed in part by higher incoming solar radiation of 750 W m⁻² in short-wave on the radiation shield of HMP155A (Fig. 7c). As addressed in Appendix D, the calculated sonic temperature was sourced from the measurements of air temperature and relative humidity from HMP155A as well as atmospheric pressure from barometer built into IRGASON. The HMP155A housed inside a radiation shield (Fig. 2) was subject to contamination from solar radiation. Even a radiation shield was used to shade HMP155A from sunlight, when such a shield was used, any heat generated from the shield under sunlight and the sensor under electronic power was dissipated inefficiently (Lin et al., 2001). As a result, the air and HMP155A sensing elements inside the shield were warmer than ambient air of interest. How warm the air is inside the radiation shield depended on shield structure, ambient wind speed, and other environmental conditions (Blonquist et al., 2009). In the case of Fig. 7c at 750 W m⁻² of incoming short-wave radiation, a degree warmer higher of air inside the radiation shield was not unusual (Lin et al., 2001). In our study, this higher air temperature could directly cause the overestimation of calculated sonic temperature (Eq. D1 in Appendix D).

8.5 <u>Possibility and Nn</u>ecessity to recover the data from a geometrically deformed sonic anemometer for fluxes

A geometrically deformed sonic anemometer outputs erroneous data. These data may be recoverable or unrecoverable, depending on the degree of deformation. If the degree is too large, the sonic anemometer cannot perform its normal measurements for the transmitting time. In this case, a Campbell sonic anemometer sets high for one to six of its first six measurement warning flags [low amplitude, high amplitude, poor signal lock, large sonic temperature difference, ultrasonic signal loss, and calibration signature error. See Table 10-2 in Campbell Scientific Inc. (2018)]. The geometrical deformation in sonic paths could trigger one or two flags high that indicate poor signal lock and/or ultrasonic signal loss. Anyway, in case that any of the six warning flags from a deformed sonic anemometer was frequently, regularly, or continuously high; the erroneous data must not be recoverable (i.e. the data recovery is not possible). While all six warning flags are low under normal measurement conditions, the transmitting time of ultrasonic signals along each sonic path is correctly measured and the data should be recoverable. The 3D wind data can be recovered without uncertainty although there is little uncertainty in sonic temperature [see Eqs (33) and (40)]. The subsequent question is the necessity to recover the recoverable data.

A sonic anemometer is used primarily for the fluxes of momentum and heat from the fluctuations in 3D wind speeds and sonic temperature. If the fluctuations are not significantly influenced by the geometric deformation of sonic anemometer, the data from this anemometer may not need to be recoveringed although the data are recoverable. Certainly, the influence depends on the degree of deformation. If the deformation is larger or very little, the influence would be significant or insignificant. The fluctuations in a wind speed component or sonic temperature are measured by variance. Therefore, this influence of sonic anemometer deformation on fluctuations in wind speed and sonic temperature can be tested through analyzing the homogeneity in variance of each wind component and sonic temperature between unrecovered and recovered data.

For this study case, the two-day data without missing a record and <u>any high</u> warning <u>flag</u> <u>diagnosis</u> from May 10 and 11, 2015 were used for the analyses. After data recover processing (Fig. 6), two datasets, unrecovered and recovered, were acquired. In the unrecovered dataset, for each wind speed component or sonic temperature, the data of 1800 values from each half-hour were used to compute its variance (S_k^2), given by:

$$s_k^2 = \frac{1}{1800} \sum_{j=1}^{1800} \left(x_{kj} - \bar{x}_k \right)^2 \tag{41}$$

where x represents u_x , u_y , u_z or T_s ; subscript j denotes the jth values in kth half-hour interval, and over bar indicates the average over the interval. In the recovered dataset, this variance was similarly computed and denoted by S_{Rk}^2 where subscript R indicates that this variance was computed from recovered dataset. For each wind component or sonic temperature, 96 variance values were available in each datasets and 192 variance values were available in both datasets. The 192 variance values for each wind components or sonic temperature can be used to construct an F-statistic (Snedecor and Cochran, 1989) to analyze the homogeneity in variance of each wind component or and sonic temperature between unrecovered and recovered data, given by:

$$\sum_{k=1}^{96} s_k^2 / \sum_{k=1}^{96} s_{Rk}^2 \sim F(72704, 72704)$$
 (42)

From this statistic, four F-values were acquired for three wind components and sonic temperature. The four F-values were either > 1.00 or <1.00, showing the inhomogeneity in variance between unrecovered and recovered data (P < 0.001), which indicates that the geometrical deformation of sonic anemometer did significantly influence the fluctuations in each of its measured variables.

Further, using EddyPro (LI-COR Biosciences, 2016), the same datasets were used to compute two sets of sensible heat flux, latent heat flux, and CO_2 flux for each half-hour interval. One set was computed using unrecovered data and the other set from recovered data. The two sets of flux data were shown in Fig. 8. Compared to the flux from unrecovered data, the flux from recovered data was 1.5 W m⁻² lower for sensible heat (P = 0.031), 0.14 W m⁻² higher for latent heat (P = 0.001), and 0.08 μ mol m⁻² s⁻¹ higher for CO_2 (P = 0.000). These values were small in magnitude, but significant in comparison to these flux values over the ice surface in Antarctica.

Analyses of the F-tests and Fig. 8 show that the data measured from a geometrically deformed sonic anemometer need to be recovered; otherwise, there were significant uncertainties in the wind speed and sonic temperature fluctuations for flux estimations.

8.6 Applicability of equations and algorithms in this study

10

20

35

Any sonic anemometer is slender (e.g., < 1.00 cm in each diameter of six claws to hold individual sonic transducers) and light as possible to minimize its aerodynamic resistance to air flows and to maximize its stability on supporting infrastructure (e.g., tripod) to wind momentum load, which sacrifices its durability in keeping its geometrical shape. Therefore, a sonic anemometer is easily deformed if not well cared in transportation (e.g., the case in this study), installation, or other handlings. As shown in this study, a slight geometrical deformation of sonic path length as small as millimeters or less (see Table A1 in Appendix A) could cause significant errors in 3D wind and, especially, in sonic temperature. According to our recalibration experience with 3D sonic anemometers at Campbell Scientific Inc., these cases as addressed in this study have been not unusual, but the equations and algorithms to recover the data measured by a deformed 3D sonic anemometer were not available. Since requisitions of these datasets are expensive, their recovery would be the a cost-effective and time-saving option.

The equations and algorithms in this study were developed based on the measurement working physics and sonic path geometry of IRGASON sonic anemometer. The physics is the same as those for other models of Campbell Scientific 3D sonic anemometers such as CSAT3, CSAT3A, and CSAT3B (Campbell Scientific Inc., UT, USA) that are used in the world (Horst et al., 2015). The sonic path geometry of IRGASON sonic anemometer, however, is different from other models in the assigned azimuth angle of the first sonic path in the 3D anemometer coordinate system. This angle was assigned as 90 ° in IRGASON sonic anemometer, but as 0 ° in other models (e.g., CSAT3, CSAT3A, and CSAT3B). Even so, given the sonic path lengths and transfer matrixes of sonic anemometer that were measured and determined in the manufacture or calibration process [d_i in Eq. (12) and **A** in Eq. (15)] and in the recalibration process after using it use in the geometrical deformation state [d_{Ti} in Eqs. (13), (33), and (40) and A_{T} in Eqs. (14) and (16)], the equations and algorithms from this study are applicable to all models of Campbell Scientific 3D sonic anemometers (Fig. 6) except for CSAT3 if its OS version 4 with a bug (Burns et al. 2012) is used. The derivation procedures and even equations based on the measurement working physics are applicable as a reference to the development of the equations and algorithms to recover the data measured using other brands of 3D sonic anemometers that incurred deformations or to studies on similar topics.

9 Conclusion remarks

10

An IRGASON 3D sonic anemometer (SN: 1131) was geometrically deformed by an impact during transportation to Antarctica from China in early 2015. To fulfill the field measurement plans for the year, it had to be deployed there in the Zhongshan Station until early 2016 when it was replaced in the field with another IRGASON provided by the manufacturer and was returned to the manufacturer, Campbell Scientific Inc., for recalibration through the re-measurements of its sonic path geometry (lengths and angles), re-determination of transfer matrix, and update of operating system (OS). To recover the 3D wind and sonic temperature data measured by this sonic anemometer in its deformed state before the recalibration, equations and algorithms were developed and implemented into a software package: "Sonic Data Recovery for IRGASON/CSAT3/A/B Used in Geometrical Deformation after Production/Calibration" (Fig. 6 and Appendix C). Given two sets of sonic path lengths and two transfer matrixes of sonic anemometer that were measured and determined in manufacture/calibration process and also in recalibration process after the use in its deformed state, the data measured by the IRGASON 3D sonic anemometer even in its deformed state were recovered as if measured by the same anemometer recalibrated immediately after its deformation.

Inside a Campbell Scientific sonic anemometer, the transducer-shadow correction for 3D wind (Wyngaard and Zhang, 1985) is a programmable option to a user. However, the crosswind correction for sonic temperature (Liu et al., 2001) is internally applied as default by its OS. In a case of transducer-shadow correction in option, the 3D wind data are recovered using Eqs.

(10) to (16). If not, Eqs. (15), (12), (13), and (16) are sequentially used. Based on the data from the recovery process of 3D wind, the sonic temperature data are recovered using Eqs. (9), (32), (38), and (40); therefore, the satisfactory recovery for both 3D wind data and sonic temperature can be reflected eventually by the satisfactory of sonic temperature data recovery. The software based on the equations and algorithms from this study can recover the 3D wind data with or without the transducer-shadow correction inside the sonic anemometer and sonic temperature data with crosswind correction also inside the sonic anemometer. It was verified by comparing the recovered to calculated sonic temperature data (Appendix D). As shown in Fig. 7, the recovered data of sonic temperature using Eq. (33) and Eq. (40) were compared to the calculated sonic temperature of three representative values over the range of measured sonic temperature from -20.01 to -1.90 °C. The difference of 9.60 to 8.93 K between unrecovered and calculated sonic temperature (i.e., unrecovered minus calculated) was narrowed by Eq. (40) to 0.81 to -0.45 K (i.e., recovered minus calculated), which was satisfactory for measurements of sonic anemometer below 0 to -20 °C. After verification, the software was used to recover the data measured by the IRGSON (SN: 1131) 3D sonic anemometer in its deformed state from May 2015 to January 2016. The eight-month data were recovered using three days of one engineer's time. Further using EddyPro 6.2.0 (LI-COR Inc., 2016), the recovered data were further processed for the fluxes of CO₂/H₂O, sensible heat, and momentum. The data quality (Foken et al., 2012) mostly ranged in 1 to 3 and the energy closure without considering surface heat flux into ice were >83% when friction velocity was >0.2 m s⁻¹. Although energy balance closure is not a good indicator for data quality (Foken et al., 2012), this closure rate is fair. The use of a deformed 3D sonic anemometer is a practical case. The analyses of our study case indicated that the measured fluctuations in wind speeds and sonic temperature as well as fluxes were significantly influenced by the deformation. If the data from such a use cannot be recovered, the requisition of these data are expensive and their recovery would be a costeffective and time-saving option. The equations, algorithms, and software are applicable to all models of Campbell Scientific 3D sonic anemometers such as CSAT3, CSAT3A, and CSAT3B that are used around the world. The derivation procedures and even equations based on the measurement working physics of sonic anemometers are applicable as a reference to the development of the equations and algorithms to manage the data measured using other brands of 3D sonic anemometers or

25 Appendix A Transform matrixes

recover the data measured by an anemometer in its deformed state.

10

In micrometeorological applications, the wind speeds are expressed in a three-dimensional (3D) orthogonal coordinate system of anemometer instrument or natural wind, but a sonic anemometer measures flow velocities along its three non-orthogonal sonic paths (i.e. situated non-orthogonally each other, see Figs. 1 and A1); therefore, for applications, the flow velocities along the three sonic paths need to be transformed into a 3D right-handed orthogonal coordinate system in reference to the geometry of sonic anemometer as shown in Fig. A1 (i.e., the 3D orthogonal anemometer coordinate system). Given u_x and u_y are two horizontal velocities in the x- and y-direction, respectively, and u_z is vertical velocity in the z-direction (Fig. A1); x, y, and z are the three coordinate axes in the 3D orthogonal anemometer coordinate system. This system is defined with the x-y plain parallel to the anemometer bulb-leveled instrument plain, with the first sonic path on the y-z plain, and with origin in the center of measurement volume. A flow speed along the ith (i = 1, 2, or 3) sonic path is a combination of component velocities of along the path from u_x , u_y , and u_z ; given by:

$$u_i = \left(u_x \cos \varphi_i + u_y \sin \varphi_i\right) \sin \theta_i + u_z \cos \theta_i \tag{A1}$$

where θ_i and φ_i are the zenith and azimuth angles of the *i*th sonic path in the 3D orthogonal anemometer coordinate system. In this system (see Fig. A1), given the first sonic path has an azimuth angle of φ_1 equal to 90° as fixed on the *x-y* plain, Eq. (A1) can be expressed in a matrix form of

$$\begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix} = \begin{bmatrix} 0 & \sin \theta_1 & \cos \theta_1 \\ \sin \theta_2 \cos \varphi_2 & \sin \theta_2 \sin \varphi_2 & \cos \theta_2 \\ \sin \theta_3 \cos \varphi_3 & \sin \theta_3 \sin \varphi_3 & \cos \theta_3 \end{bmatrix} \begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix} = \mathbf{A}^{-1} \begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix}$$
(A2)

where **A** is a matrix expressing the flow speeds along the three non-orthogonal sonic paths in the 3D orthogonal anemometer coordinate system. Nominally for the sonic paths of IRGASON, θ_1 , θ_2 , and θ_3 are all 30° and φ_2 and φ_3 are 330° and 210° (see Fig. A1). Given $\varphi_1 = 90$ °, these angles are calculated using measured data from Coordinate Measurement Machine and, along with the sonic path lengths, are listed in Table A1 for IRGASON Serial Number of 1131 before and after its geometrical deformation.

Table A1: The lengths, zenith angles, and azimuth angles of sonic paths in IRGASON (Serial Number: 1131) anemometer coordinate system before and after its geometrical deformation (measured using Coordinate Measurement Machine in September 09, 2014 before the deformation and in March 06, 2016 after use in deformation)

	Geometrical	First path	Second path	Third path
	deformation	i = 1	i = 2	i = 3
Path length	before	11.6486	11.5240	11.4968
$(d_i \text{ in cm})$	after	11.6160	11.1245	11.3548
Zenith angle	before	29.935379	29.026608	29.612041
$(\theta_i \text{ in } ^\circ)$	after	29.925878	25.226585	28.772601
Azimuth angle	before	90.000000	329.527953	206.80477
$(\varphi_i \text{ in } ^\circ)$	after	90.000000	324.736084	209.23382

Using the data in this table, matrix A in Eq. (A2) and its inversion A^{-1} for this IRGASON before its geometric deformation (i.e., as used in IRGASON OS although not valid in the field after deformation) are given

$$\mathbf{A} = \begin{bmatrix} 0.034785 & 1.142665 & -1.183914 \\ 1.365505 & -0.696580 & -0.660515 \\ 0.367627 & 0.401124 & 0.380356 \end{bmatrix}$$
(A3)

and

10

15

20

$$\mathbf{A}^{-1} = \begin{bmatrix} 0.00000 & 0.499023 & 0.866589 \\ 0.418196 & -0.246062 & 0.874394 \\ -0.441030 & -0.222826 & 0.869391 \end{bmatrix}$$
(A4)

After the IRGASON geometrical deformation, matrix A became:

$$\mathbf{A}_{\mathbf{T}} = \begin{bmatrix} 0.006035 & 1.276412 & -1.323287 \\ 1.363991 & -0.724862 & -0.600545 \\ 0.368690 & 0.417250 & 0.345690 \end{bmatrix}$$
(A5)

where subscript T indicates "True" because, after IRGASON deformation, it should be used in the field although it was not used. The inversion of this matrix is given as

$$\mathbf{A_{T}^{-1}} = \begin{bmatrix} 0.000000 & 0.498879 & 0.866672 \\ 0.347992 & -0.246063 & 0.904629 \\ -0.420029 & -0.235072 & 0.876537 \end{bmatrix}$$
(A6)

Matrixes A^{-1} , A_T , and A_T^{-1} were used for our data recovery and A was also used in the sonic anemometer OS.

Appendix B Iteration algorithm for sonic transducer-shadow corrections

Given transform matrix **A**, using Eq. (5), the measured wind vector $\begin{bmatrix} u_1 & u_2 & u_3 \end{bmatrix}$ along the sonic paths is transformed to the wind vector in the 3-dimensioanl orthogonal anemometer coordinate system $\begin{bmatrix} u_x & u_y & u_z \end{bmatrix}$. Subsequently, U_T is calculated using Eq. (9). Replace u_{Ti} with u_i under the square root in the right side hand of Eq. (8), an approximate equation for the first iteration is given:

$$u_{Ti_{-1}} \approx \frac{u_i}{0.84 + 0.16 \frac{\sqrt{U_T^2 - u_i^2}}{U_T}}$$
(B1)

where i is 1, 2 or 3 and subscript $_1$ of u_{Ti} indicates that it is calculated from the first iteration.

First iteration

Equation (B1) is used for sonic transducer-shadow corrections in the first iteration.

10 Second iteration

$$\begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix} = \mathbf{A} \begin{bmatrix} u_{T1_1} \\ u_{T2_1} \\ u_{T3_1} \end{bmatrix}$$
(B2)

Using Eq. (9), U_T is recalculated. Replace u_i with u_{Ti_I} under the square root in the right side hand of Eq. (B1), an approximate equation for the second iteration is given:

$$u_{Ti_{-2}} = \frac{u_i}{0.84 + 0.16 \frac{\sqrt{U_T^2 - u_{Ti_{-1}}^2}}{U_T}}$$
(B3)

15 Third iteration

......

nth iteration

$$\begin{bmatrix} u_x \\ u_y \\ u_z \end{bmatrix} = \mathbf{A} \begin{bmatrix} u_{T1_m} \\ u_{T2_m} \\ u_{T3_m} \end{bmatrix}$$
(B4)

where subscript m = n - 1. Using Eq. (9), U_T is also recalculated. Similar to the calculation for u_{Ti_2} , u_{Ti_n} is calculated using equation

$$u_{Ti_{-n}} = \frac{u_i}{0.84 + 0.16 \frac{\sqrt{U_T^2 - u_{Ti_{-m}}^2}}{U_T}}$$
(B5)

to ensure that the difference in u_x , u_y , or u_z between last and previous iterations are $< 1 \,\mathrm{mm}$ s⁻¹ $\approx 1.96 \sigma$ where σ is the maximum precision (i.e. standard deviation at constant wind) among u_x , u_y , and u_z (Campbell Scientific Inc., 20189). Our numerical testes within the measurement ranges in u_x , u_y , and u_z concluded that the iterations mostly converged at n=2 and all at $n \leq 3$.

Appendix C: MATLAB code: Sonic data recovery for IRGASON/CSAT3/A/B used in geometrical deformation after production/calibration (Code lines were formatted for readability and the dialog interface related lines was removed for proprietary. The electronic version of operational this code is available from the corresponding authors).

Note: This code can be compiled in MATLAB as an executable file: Data recovery.exe.

% sonicdatarecovery Sonic Data Recovery for IRGASON/CSAT3/A/B Used in Geometrical Deformation after

10 Production/Calibration

%Syntax:

function [Ux,Uy,Uz,Ts,Ts1,Ts2,Raw]= sonicdatarecovery(RAW)

% Inputs:

- % um Measured 3D wind speeds in the orthogonal anemometer coordinate system (OCS)
- 15 % Ts Measured sonic temperature
 - % A Matrix of sonic to OCS before geometrical deformation
 - % AT Matrix of sonic to OCS after geometrical deformation
 - % di Sonic path length before geometrical deformation (i =1,2, or 3)
 - % dTi Sonic path length after geometrical deformation (i =1,2, or 3)

20 % Constants

shadow_correction_flag =1; %%Shadow correction has been done (=1) or not (=0) inside OS

gama_d=1.4003; %% the ratio of dry air specific heat at constant pressure to that at constant volume

Rd=287.04; %% gas constant for dry air

RV=4.61495e-4; %% gas constant for water vapor

25 Av=60.064621; Bv=60.973392; Cv=60.387959; Ah=0.000000; Bh=59.527953; Ch=63.195226;

Avt=60.074122; Bvt=64.773415; Cvt=61.227399; Aht=0.000000; Bht=54.736084; Cht=60.766176;

% Browse to the raw data file directory to load files in a batch

hwait=waitbar(0, 'Please select the file to be processed');

pause(0.5)

[name,path]=uigetfile('*.*','stabilitylect a folder');

fname=[path name];

close(hwait);

RAW=dlmread(fname,',', 4, 1);

% Extract sonic anemometer and other meteorological data

5 UX=RAW(:,2); UY=RAW(:,3); UZ=RAW(:,4);

```
TRAW = RAW(:,5); H2O = RAW(:,8); Temp = RAW(:,10); P = RAW(:,11); \\
```

amb e=RV.*H2O.*(Temp+273.15); TS emp=(Temp+273.15).*(1+0.32*amb e./P)-273.15;

% Load transform matrix of eq. (A2) and data of Table A1 before geometrical deformation

The1=((90-Av)/180)*pi; The2=((90-Bv)/180)*pi; The3=((90-Cv)/180)*pi;

```
Phi1=((90-Ah)/180)*pi; Phi2=((270+Bh)/180)*pi; Phi3=((270-Ch)/180)*pi;
    A_inversion=[0 sin(The1) cos(The1); sin(The2)*cos(Phi2) sin(The2)*sin(Phi2) cos(The2);
    sin(The3)*cos(Phi3) sin(The3)*sin(Phi3) cos(The3)];
    A=A_inversion^(-1); d=[11.6486;11.5240;11.4968];
    % Load transform matrix of eq. (A5) and data of Table A1 after geometrical deformation
    The1=((90-Avt)/180)*pi; The2=((90-Bvt)/180)*pi; The3=((90-Cvt)/180)*pi;
    Phi1=((90-Aht)/180)*pi; Phi2=((270+Bht)/180)*pi; Phi3=((270-Cht)/180)*pi;
    AT_inversion=[0 sin(The1) cos(The1); sin(The2)*cos(Phi2) sin(The2)*sin(Phi2) cos(The2); sin(The3)*cos(Phi3)
    sin(The3)*sin(Phi3) cos(The3)];
10 AT= AT_inversion ^(-1); dT=[11.6159;11.1245;11.3548];
     % Prompt data processing is in progress
    hwait=waitbar(0,'Processing>>>>>')
     %Recover 3D wind data
     %Get measured flow speeds along each of 3 sonic paths
15 [mRaw,nRaw]=size(RAW);
    for i=1:mRaw;
    um=[UX(i);UY(i);UZ(i)];
    %With transducer-shadow corrections (TSC):
    UT=(um(1)^2+um(2)^2+um(3)^2)^n(1/2); %% Calculate the total wind magnitude
20 if isequal(shadow_correction_flag, 1)
                                            %% TSC has been done (=1) inside firmware
                                            %% Calculate the vector of the three flow speeds using Eg (10)
    u=A inversion*um;
    ut1(1)=u (1)/(0.84+0.16.*((UT^2-u (1)^2)^(1/2))./UT); %% Eq (11), recover flow speed along sonic path 1 before TSC
    ut2(1)=u (2)/(0.84+0.16.*((UT^2-u (2)^2)^(1/2))./UT); %% Eq (11), recover flow speed along sonic path 2 before TSC
    ut3(1)=u (3)/(0.84+0.16.*((UT^2-u (3)^2)^(1/2))./UT); %% Eq (11), recover flow speed along sonic path 3 before TSC
25 uc=[ut1.*(dT(1)./d(1));ut2.*(dT(2)./d(2));ut3.*(dT(3)./d(3))]; \%\% Eq (13)
    uts1=ut1; uts2=ut2; uts3=ut3;
    %%Corrected 3D wind speed
    um_c=AT*uc; %%Eq (16)
    %Iteration algorithm of sonic TSC (Appendix B) for recovered data
30 UT_C=(um_c (1)^2+um_c (2)^2+um_c (3)^2)^(1/2); %% Total wind magnitude
    % 1st iteration
    uct1=uc (1)/(0.84+0.16.*((UT^2-uc (1)^2)^(1/2))./UT); %%flow speed 1
    uct2=uc (2)/(0.84+0.16.*((UT^2-uc (2)^2)^(1/2))./UT); %%flow speed 2
    uct3=uc (3)/(0.84+0.16.*((UT^2-uc (3)^2)^(1/2))./UT); %% flow speed 3
35 % 2nd iteration
    for q=2:5;
                                                         %% 5 steps of iterations after 1st iteration are adequate
    %TSC for flow speed 3
    uct_m=[uct1(q-1);uct2(q-1);uct3(q-1)];
                                                         %% Vector of three path flow speeds
    um_C=AT*uct_m;
                                                          %% Vector in 3D orthogonal system
40 UT_C=(um_C (1)^2+um_C (2)^2+um_C (3)^2)^(1/2); %% Total wind magnitude, again
```

uct3(q)=uc (3)/(0.84+0.16.*((UT_C^2-uct3 (q-1)^2)^(1/2))./UT_C); %% TSC for flow speed 3

```
% TSC for flow speed 2
    uct_mm=[uct1(q-1);uct2(q-1);uct3(q)];
                                                        %%Vector of three flow speeds, again
    um_C=AT*uct_mm;
                                                        %% Vector in 3D orthogonal system, again
    UT_C=(um_C (1)^2+um_C (2)^2+um_C (3)^2)^(1/2); %% Recalculated the total wind magnitude
 5 uct2(q)=uc (2)/(0.84+0.16.*((UT_C^2-uct2 (q-1)^2)^(1/2))./UT_C); %%% TSC for flow speed 2
    %TSC for flow speed 1
    uct_mm=[uct1(q-1);uct2(q);uct3(q)];
                                                        %% Vector of three flow speeds, again
                                                        %% Vector in 3D orthogonal system
    um_C=AT*uct_mm;
    UT_C=(um_C (1)^2+um_C (2)^2+um_C (3)^2)^(1/2); %% Total wind magnitude, again
10 uct1(q)=u (1)/(0.84+0.16.*((UT_C^2-uct1 (q-1)^2)^(1/2))./UT_C); %%%TSC for flow speed 1
    % Judge the steps of iterations
    uct_n=[uct1(q);uct2(q);uct3(q)];
                                                         %% Vector from current iteration
    ABS C=uct n-uct m;
                                                         %%Difference between two iterations
     % Exit condition
15
     if(abs(ABS_C(1)) \le 0.001 \& abs(ABS_C(2)) \le 0.001 \& abs(ABS_C(3)) \le 0.001);
     %Finalize recovered 3D wind speed
         ucm=AT*uct_n;
                                                         %% Eq (14)
         ucts1=uct1(q); ucts2=uct2(q); ucts3=uct3(q);
       break;
                                                         %% %Exit iterations
20
       end
    end
    else
    %Recover 3D wind data without TSC
    u=A_inversion*um;
                                                           %% Acquire the flow speeds along 3 sonic paths, Eq (10)
25 uc=[dT(1)./d(1).*u(1); dT(2)./d(2).*u(2); dT(3)./d(3).*u(3)]; %%Correction
    ucm=AT*uc;
                                                             %%3D orthogonal data after recovery
    uts1=uc(1); uts2=uc(2); uts3=uc(3);
    ucts1=ucm(1); ucts2=ucm(2); ucts3=ucm(3);
    end
30 %Recover sonic temperature data
    Ts=TRAW(i);
    UcT = (ucm (1)^2 + ucm (2)^2 + ucm (3)^2)^(1/2);
                                                             %% Total wind
    C02 = gama d*Rd*(Ts + 273.15);
                                                             %% Eq (32)
    DELTUcT2 = UcT^2 - UT^2;
35 DELTucT21 = ucts1^2 - uts1^2; DELTucT22=ucts2^2 - uts2^2; DELTucT23=ucts3^2 - uts3^2;
    DELTC21=(C02 - UT^2 + uts1^2)*((dT(1)^2 - d(1)^2)/d(1)^2); \%\% Eq (30)
    DELTC22=(C02 - UT^2 + uts2^2)*((dT(2)^2 - d(2)^2)/d(2)^2); %% Eq (30)
    DELTC23=(C02 - UT^2 + uts3^2)*((dT(3)^2 - d(3)^2)/d(3)^2); %% Eq (30)
    AAA=(DELTC21 + DELTUcT2 - DELTucT21);
40 BBB=(DELTC22 + DELTUcT2 - DELTucT22);
    CCC=(DELTC23 + DELTUcT2 - DELTucT23);
```

```
DDD=(AAA + BBB + CCC);
                            EEE=3*gama_d*Rd;
                            Tcs=Ts+(DDD/EEE);
                                                                                                                                                                                                                                                                                                                                                                             %% Eq (33)
                            DELTC021_ad=C_{\underline{1}\underline{0}}^{2*2*(1-dT(1)/d(1))};
                                                                                                                                                                                                                                                                                                                                                                            %% Eq (38)
                            DELTC022_ad=C_{\underline{10}}^22*2*(1-dT(2)/d(2));
                                                                                                                                                                                                                                                                                                                                                                            %% Eq (38)
                            DELTC023_ad=C_{\frac{1}{2}}^{2}2*2*(1-dT(3)/d(3));
                                                                                                                                                                                                                                                                                                                                                                            %% Eq (38)
                             AAA\_ad = ((dT(1)^2 - d(1)^2)/d(1)^2) * (CT\underline{0}2 - (DELTCT\underline{0}21\_ad + ((DELTCT\underline{0}21\_ad + DELTCT\underline{0}22\_ad + DELTCT\underline{0}23\_ad)/3)) - (dT(1)^2 - d(1)^2)/d(1)^2) * (dT(1)^2) * (dT(1)^2 - d(1)^2)/d(1)^2) * (dT(1)^2 - d(1)^2)/d(1)^2) * (dT(1)^2 - d(1)^2)/d(1)^2) * (dT(1)^2 - d(1)^2)/d(1)^2) * (dT(1)^2) * (dT(1
                                                                                 UT^2+uts1^2)+DELTUcT2-DELTucT21;
                            BBB\_ad = ((dT(2)^2 - d(2)^2)/d(2)^2) * (C + \underline{0}2 - (DELTC + \underline{0}22\_ad + ((DELTC + \underline{0}21\_ad + DELTC + \underline{0}22\_ad + DELTC + \underline{0}23\_ad)/3)) + (C + \underline{0}24\_ad + ((DELTC + \underline{0}24\_ad + DELTC + \underline{0}24\_ad + DELTC + \underline{0}24\_ad + DELTC + \underline{0}24\_ad)/3)) + (C + \underline{0}24\_ad + DELTC + \underline{0}24\_ad + \underline{0}24\_
 10
                                                                                  UT^2+uts2^2)+DELTUcT2-DELTucT22;
                            CCC\_ad = ((dT(3)^2 - d(3)^2)/d(3)^2) * (CT\underline{0}2 - (DELTCT\underline{0}23\_ad + ((DELTCT\underline{0}21\_ad + DELTCT\underline{0}22\_ad + DELTCT\underline{0}23\_ad)/3)) - (dT(3)^2 - d(3)^2)/d(3)^2) * (dT(3)^2) * (dT(3)^2 - d(3)^2)/d(3)^2) * (dT(3)^2 - d(3)^2)/d(3)^2) * (dT(3)^2 - d(3)^2)/d(3)^2) * (dT(3)^2 - d(3)^2)/d(3)^2) * (dT(3)^2) * (dT(3)^2 - d(3)^2) * (dT(3)^2) * (dT(3)^2
                                                                                  UT^2+uts3^2)+DELTUcT2-DELTucT23;
                            DDD ad=(AAA \ ad + BBB \ ad + CCC \ ad);
                            Tcs ad=Ts+(DDD ad/EEE);
                                                                                                                                                                                                                                                                                                                                                                          %% Eq (40)
                         Data_recovery(i,1)= ucm(1);
                                                                                                                                                                                                                                                                                                                                                                            %%Recovered 3D wind speed in x-direction
                                                                                                                                                                                                                                                                                                                                                                              %% Recovered 3D wind speed in y-direction
                            Data_recovery(i,2)= ucm(2);
                            Data_recovery(i,3) = ucm(3);
                                                                                                                                                                                                                                                                                                                                                                              %% Recovered 3D wind speed in z-direction
                            Data_recovery(i,4)= Tcs;
                                                                                                                                                                                                                                                                                                                                                                               %% Recovered Ts from raw Ts, Eq (33)
                            Data_recovery (i,5)= Tcs_ad;
                                                                                                                                                                                                                                                                                                                                                                                 %% Recovered Ts from raw Ts, Eq (40)
                         Data recovery (i,6)= TS emp(i);
                                                                                                                                                                                                                                                                                                                                                                                 %% Recovered T, Eq (D1)
                            Data recovery (i,7)= TRAW(i);
                                                                                                                                                                                                                                                                                                                                                                                 %% Raw Ts
                            End
                              % Output the final processing result in excel format
                            title={'Recovered ux','Recovered uy','Recovered uz','Recovered Tcs','Recovered Tcs_ad','Recovered T','RAW Ts'};
                            fname=[path '\ Data_recovery'];
                              xlswrite(fname,title,'sheet1');
                              xlswrite(fname,Data_recovery,'sheet1','A2');
                              waitbar(0,hwait,'Done');
                              pause(2);
30
                               close(hwait);
```

Appendix D Sonic temperature from air temperature, relative humidity, and atmospheric pressure

In case that air temperature (T in \mathbb{C}), relative humidity (RH in %), and atmospheric pressure (P in kPa) are measured in the field, sonic temperature (T_s in \mathbb{C}) can be calculated using the well-known equation (Kaimal and Gaynor, 1991):

35
$$T_s = (T + 273.15)(1 + 0.32\frac{e}{P}) - 273.15$$
 (D1)

where e is air water vapor pressure (kPa) and can be computed from T, RH, and P as following. Given T and P, saturated water vapor pressure (e_s in kPa) can be calculated using Buck (1981):

$$e_{s} = \begin{cases} 0.61121 \exp(\frac{17.368T}{T + 238.88}) f_{w}(T, P) & T \ge 0 \\ 0.61121 \exp(\frac{17.966T}{T + 247.15}) f_{w}(T, P) & T < 0 \end{cases}$$
 (D2)

where $f_w(T, P)$ is the enhancement factor:

$$f_w(T, P) = 1.00041 + P \left[3.48 \times 10^{-5} + 7.4 \times 10^{-9} (T + 30.6 - 0.38P)^2 \right]$$
 (D3)

Using the definition of air relative humidity, air water vapor pressure is given by:

$$e = e_s \frac{RH}{100} \tag{D4}$$

Submit the measured T and P as well as the calculated e into Eq. (D1), the sonic temperature can be calculated.

Acknowledgment

5

This study was supported by the National Key R&D Program of China (Grant #: 2016YFA0600704), and the National Natural Science Foundation of China (Grant #: 41376005, 41406218, 41505004, and 31200432). We thank the Chinese Arctic and Antarctic Administration and the Polar Research Institute of China for their field logistical support; Campbell Scientific and Beijing Techno Solutions Limited for their customer supports; Steve Harston and Antoine Rousseau for technical graphic work; Carolyn Ivans, Bo Zhou, Mark Blonquist, and Hayden Mahan for their English polishing; and Linda Worlton-Jones for her professional English checks and revisions. We greatly thank Dr. Thomas Foken and the anonymous reviewer for their comments and inputs that improve our presentations and interpretations in this manuscript.

15 References

Barrett, E.W., Suomi V.E.: Preliminary report on temperature measurement by sonic means, J. Atmos. Sci., 6, 273-276, doi: 10.1175/1520-0469(1949)006<0273: PROTMB>2.0.CO;2, 1949.

Blonquist, J.M.J., Norman, J.M., and Bugbee, B.: Automated measurement of canopy stomatal conductance based on infrared temperature, Agric. For. Meteorol. 149(12), 2183-2197, doi: 10.1016/j.agrformet.2009.06.021, 2009.

20 Buck, A.L.: New equations for computing vapor pressure and enhancement factor, J. Appl. Meteorol., 20, 1527-1532, doi: 10.1175/1520-0450(1981)020<1527: NEFCVP>2.0.CO;2, 1981.

Burns, S. P., Horst, T. W., Jacobsen, L., Blanken, P. D., and Monson, R. K.: Using sonic anemometer temperature to measure sensible heat flux in strong winds, Atmos. Meas. Tech., 5, 2095-2111, 10.5194/amt-5-2095-2012, 2012.

Campbell Scientific Inc.: EasyFlux DL CR3000OP for CR3000 and Open-Path eddy-Covariance System, Instruction Manual, pp 140, Logan, UT 2016.

Campbell Scientific Inc.: IRGASON Integrated CO_2/H_2O Open-Path Gas Analyzer and 3D Sonic Anemometer, Instruction Manual, pp <u>6380</u>, Logan, UT, 201<u>80</u>.

Foken, T.: Micrometeorology, 2nd ed., Springer, Berlin, Heidelberg, 362 pp., 2017.

Foken, T., Leuning, R., Onley, S.R., Mauder, M., and Aubinet, M.: Corrections and data quality control, In Aubient, M.,

Vesala, T., and Papale, D. (eds). Eddy Covariance: A Practice Guide to Measurement and Data Analysis, pp. 85-131, Springer, New York, NY., doi: 10.1007/978-94-007-2351-1_4, 2012.

Hanafusa, T., Fujitana, T., Kobori, Y., and Mitsuta, Y.: A new type sonic anemometer-thermometer for field operation,

Papers Meteorol. Geophys., 33(1): 1-19, doi: 10.2467/mripapers.33.1, 1982.

- Horst, T. W., Semmer, S. R. and Maclean, G.: Correction of a Non-orthogonal, Three-Component Sonic Anemometer for Flow Distortion by Transducer Shadowing, Boundary-Layer Meteorol., 155(3), 371–395, doi:10.1007/s10546-015-0010-3, 2015.
- Kaimal, J.C. and Finnigan, J. J.: Atmospheric Boundary Layer Flows: Their Structure and Measurements, pp. 289, Oxford University Press, New York, NY., 1994.
 - Kaimal, J.C. and Gaynor, J.E.: Another look at sonic thermometry. Boundary-Layer Meteorol., 56(4), 401-410, doi: 10.1007/BF00119215, 1991.
 - LI-COR Biosciences.: EddyPro: Eddy Covariance Software, Instruction Manual, V6.2.0. pp 263-265, Lincoln, NE, 2016.
 - Lin, X., Hubbard, K.G., Walter-Shea, E.A., Brandle, J.R., Meyer, G.E.: Some perspectives on recent in-situ air temperature
- observations: Modeling the microclimate inside the radiation shields, J. Atmos. Ocean. Tech, 18(9), 1470-1484, doi: 10.1175/1520-0426(2001)018<1470: SPORIS>2.0.CO;2, 2001
 - Liu, C., Li, Y., Yang, Q., Wang, L., Wang, X., Li, S., and Gao, Z.: On the surface fluxes characteristics and roughness lengths at Zhongshan station, Antarctica, Int. J. Digit. Earth., (1), 1-15, doi: 10.1080/17538947.2017.1335804, 2017.
- Liu, H., Peter, G. and Foken, T.: New equations for sonic temperature variance and buoyancy heat flux with an omnidirectional sonic anemometer, Boundary-Layer Meteorol., 100(3), 459-468, doi: 10.1023/A:1019207031397, 2001.
 - Schotanus, P., Nieuwstadt, F. T. M. and de Bruin H. A. R.: Temperature measurement with a sonic anemometer and its application to heat and moisture fluxes, Boundary-Layer Meteorol., 26(1), 81-93, doi: 10.1007/BF00164332, 1983.
 - Schotland, R.M.: The measurement of wind velocity by sonic means, J. Atmos. Sci., 12(4), 386-390, doi: 10.1175/1520-0469(1955)012<0386: TMOWVB>2.0.CO; 2, 1955.
- 20 Snedecor, G.W, Cochran, W.G.: Statistical Methods, 8th ed. Iowa State University Press, Ames, 502pp, 1989.
 - Valkonen, E., Ven älänen, E., Rossow, L. and Valaja, J.: Effects of Dietary Energy Content on the Performance of Laying Hens in Furnished and Conventional Cages, Poultry Sci., 87(5), 844-852, doi: 10.3382/ps.2007-00237, 2008.
 - Vaisala.: HUMICAP® Humidity and Temperature Probe HMP155, Helsinki, Finland, 2pp, 2017.
 - Vihma, T., Johansson, M. M. and Launiainen, J.: Radiative and turbulent surface heat fluxes over sea ice in the western
- 25 Weddell Sea in early summer, J. Geophys. Res.: Oceans, 114(C4), doi: 10.1029/2008JC004995, 2009.
 - van Dijk, A.: Extension to 3D of "The effect of line averaging on scalar flux measurements with a sonic anemometer near the surface" by Kristensen and Fizjarrald. J. Atmos. Ocean. Tech., 19, 80-82, doi: 10.1175/1520-0426(2002)019<0080:ETOTEO>2.0.CO;2, 2002.
 - Wyngaard, J.C., and Zhang S.-F.: Transducer-shadow effects on turbulence spectra measured by sonic anemometers, J.
- 30 Atmos. Ocean. Technol., 2(4): 548-558, doi: 10.1175/1520-0426(1985)002<0548: TSEOTS>2.0.CO; 2, 1985.
 - Yang, Q., Liu, J., Lepparanta, M., Sun, Q., Li, R., Zhang, L., Jung, T., Lei, R., Zhanhai, Z., Li, M., Zhao, J., and Cheng, J.: Albedo of coastal landfast sea ice in the Prydz Bay, Antarctica: Observations and parameterization, Adv. Atmos. Sci., 33(5), 535-543, doi: 10.1007/s00376-015-5114-7, 2016.
- Yu, L., Yang, Q., Zhou, M., Lenschow, D. H., Wang, X., Zhao, J., Sun, S., Tian, Z., Shen, H., and Zhang, L.: The variability of surface radiation fluxes over landfast sea ice near Zhongshan station, East Antarctica during austral spring, Int. J. Digit. Earth., (1)1-18, doi:/10.1080/17538947.2017.1304458, 2017.
 - Zhao, J., Cheng, B., Yang, Q., Vihma, T., and Zhang, L.: Observations and modelling of first-year ice growth and simultaneous second-year ice ablations in the Prydy Bay, East Antarctica, Ann. Glaciol., doi: 10.1017/aog.2017.33, 2017.

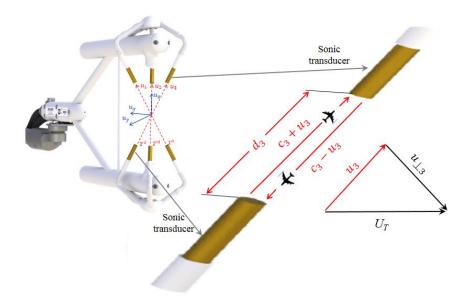


Figure 1 Diagram of IRGASON for the three sonic measurement paths (red dash lines) along which ultrasonic signals transmit and the three dimensional (3D) right-handed orthogonal anemometer instrument coordinate system (blue lines) in which 3D wind is expressed (i.e. u_1 , u_2 , and u_3 are the flow speeds along the first, second, and third sonic paths, respectively. These three flow speeds are expressed as u_x , u_y , and u_z in this 3D anemometer instrument coordinate system; d_3 is the third sonic path length; c_3 is the measured speed of sound along the third sonic path; and U_T is the total flow vector whose magnitude is equal to $\sqrt{u_3^2 + u_{\perp 3}^2}$ or $\sqrt{u_x^2 + u_y^2 + u_z^2}$).

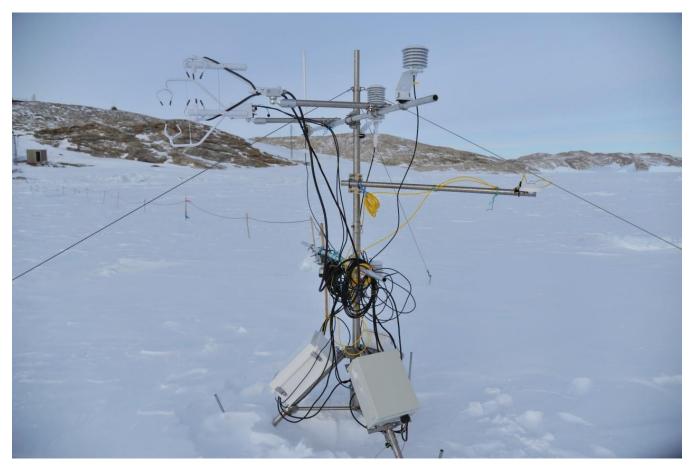


Figure 2: The eddy-covariance station located in the coastal landfast sea ice area of Antarctica Zhongshan Station (69 $^{\circ}$ 22′ S, 76 $^{\circ}$ 22′ E). It was configured with IRGASON integrated CO₂/H₂O open-path gas analyzer and three-dimensional sonic anemometer, CNR4 4-Way four-component Nnet Rradiometer, HMP155A air temperature and relative humidity probe, and SI-111 infrared radiometer.

10



Figure 3: Painting off as apparently impacted on the knuckle of side claw (first sonic path) among the top three sonic transducer claws of IRGASON sonic anemometer (Serial Number: 1131).

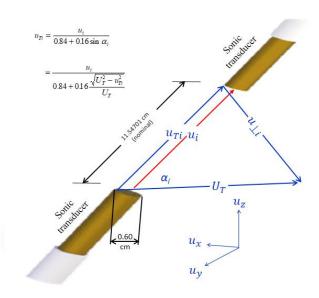


Figure 4: Sonic transducer shadowing [Along the *i*th (i=1,2, or 3) sonic path between the two sonic transducers, u_i is the measured magnitude of flow vector whose true magnitude is u_{Ti} ; $u_{\perp i}$ is the flow speed normal to the i^{th} sonic path; u_x , u_y , and u_z are the wind speeds expressed in the three-dimensional orthogonal anemometer coordinate system; and a_i is the angle between sonic path i and the total flow vector (U_T) equal to $\sqrt{u_i^2 + u_{\perp i}^2}$ or $\sqrt{u_x^2 + u_y^2 + u_z^2}$]. See Wyngaard and Zhang (1985) and Kaimal and Finnigan (1994) for the equation to calculate u_{Ti} .

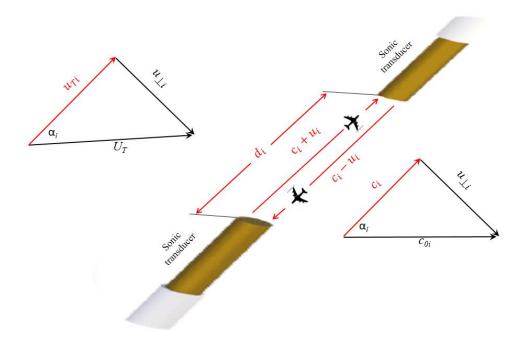
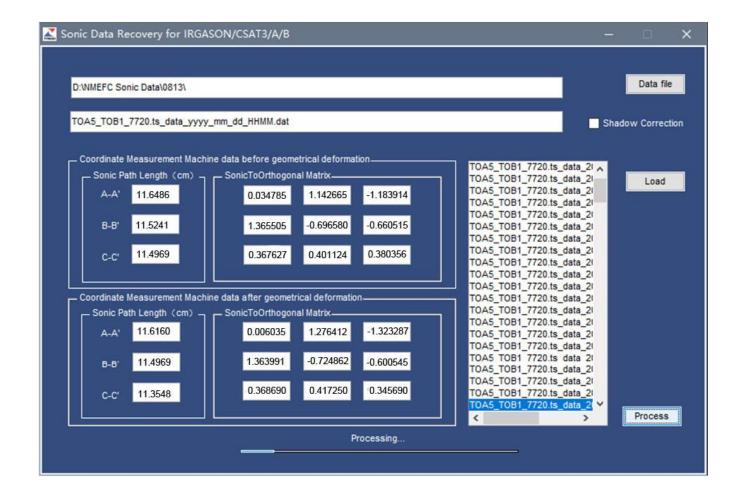


Figure 5: Crosswind on speed of sound. Along the *i*th (i = 1, 2, or 3) sonic path between the two sonic transducers, u_i is the measured magnitude of flow vector whose true magnitude is u_{Ti} , and c_i is measured speed of sound; u_{Li} is the crosswind vector

normal to sonic path \underline{i} ; U_T is the magnitude of total flow vector whose magnitude is equal to $\sqrt{u_i^2 + u_{\perp i}^2}$ or $\sqrt{u_x^2 + u_y^2 + u_z^2}$ where u_i and u_i are the wind speeds in the three-dimensional right-handed orthogonal anemometer instrument coordinate

where u_x , u_y , and u_z are the wind speeds in the three-dimensional right-handed orthogonal <u>anemometer instrument</u> coordinate systems; c_{0i} is the speed of sound at crosswind equal to zero; and α_i is the angle between sonic path i and the total flow vector.



5 Figure 6: Dialogue interface of software: Sonic Data Recovery for IRGASON/CSAT3/A/B Used in Geometrical Deformation after Production/Calibration.

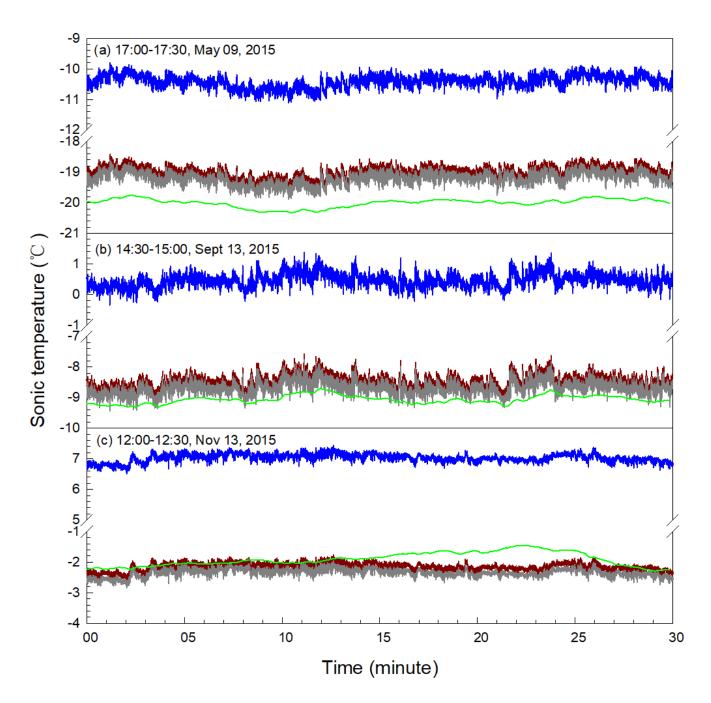


Figure 7: Verification of sonic temperature (T_s) recovered against calculated (see Appendix D) from the air temperature (T), relative humidity (RH), and atmospheric pressure (P) that were measured using a HMP155A air temperature and relative humidity probe as well as IRGASON built-in-barometer { T_s measured by the IRGASON sonic anemometer in geometrical deformation (raw T_s), T_s recovered from raw T_s using equation (33), T_s recovered also from raw T_s using equation (40) [i.e., adjusted equation (33)], T_s calculated from T, T_s and T_s ?

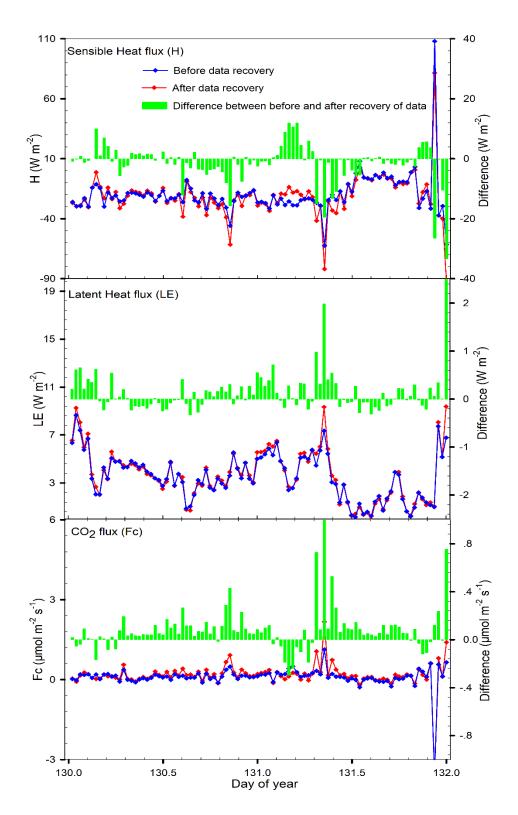


Figure 8 Comparision of sensible heat flux, latent heat flux, and CO_2 flux from recovered data (red curves) to those from unrecovered data (blue curves). The mean difference (green bar = red curve minus blue curve value) is -1.5 W m⁻² < 0 (P = 0.031) for sensible heat flux, 0.14 W m⁻² > 0 (P = 0.001) for latent heat flux, and 0.08 μ mol m⁻² s⁻¹ > 0 (P=0.000) for CO_2 flux.

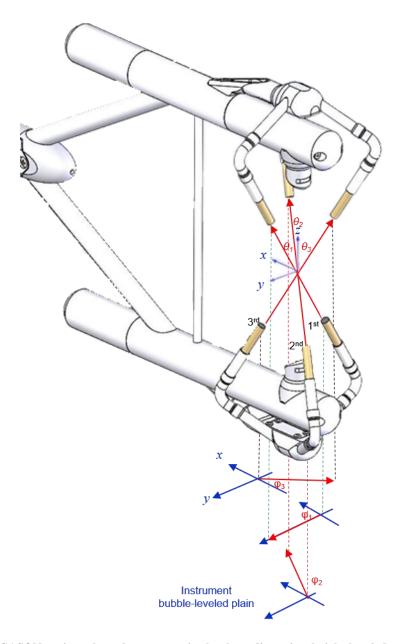


Figure A1: IRGASON sonic path angle geometry in the three-dimensional right-handed anemometer coordinate system of x, y, and z (Blue arrows are coordinates; a red arrow between a pair of sonic transducers is the sonic path vector whose direction is defined for air flow direction, the red arrow below the IRGASON is the projection of the corresponding sonic path vector on the x-y plain, i.e. anemometer bubble-leveled plain. As indicated by their subscript of 1, 2, or 3 for the first, second, or third sonic path, θ_1 , θ_2 , and θ_3 are their zenith angles and φ_1 , φ_2 , and φ_3 are their azimuth angles)