# 1 Author's Response

2 Reviewer comments have been aggregated at the beginning of this marked-up manuscript, along with author's responses to

3 them. Following these comments and responses is a summary of all changes to the most recent version of the manuscript.

4

5 Nathan Hilker

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# 7 Referee Comments and Responses

8 Referee comments are displayed below in *italics* with author's responses following each comment. Actions taken are written
9 in **bold**.

## 10 Anonymous Referee # 1

11 This is a thorough application of three methods to distinguish traffic-related air pollutants from background concentrations,

12 applied to three road-side stations in two cities in Canada. The results are novel, interesting, well presented. The manuscript 13 should be accepted for AMT after authors' response to the issues as raised below.

14 Of course, it makes a big difference if a roadside station is located 6 m away from the nearest traffic line or 10 m. Actually,

15 any different position may lead to different concentrations, because the variability of air pollutant concentrations varies

16 largely spatially. The authors are aware of that. However, the tone sometimes suggests that the results (concentrations!) are

17 transferable to other locations or situations. For example, the last sentence of the abstract ("Downwind conditions enhanced

18 local concentrations by a factor of 2 relative to their mean, while upwind conditions suppressed them by a factor of 4") is, one

19 the one hand, perfectly fine. On the other hand, there is no caveat saying: "This applies to this very specific situation, don't

20 generalize!" Also, referring to lines 289-299 and Table 2, the absolute number of CL are not comparable to each other between

21 sites, even though drastic differences between the sites are apparent. The authors are asked to go through their manuscript

22 and find more cautious wording in this respect.

23

Reply: Thank you for underlining this point, and we agree that the wording should be as explicit as possible so as to not imply generalizability where it may not be applicable. The expectation with this analysis is that, since the local components of the concentrations are normalized with respect to their mean values, the shape of the curves of these normalized concentrations w.r.t. wind direction and wind speed ought to be somewhat generalizable for receptors near roadways with varying rates of

28 emission. I.e., the areas above and below unity for these curves is always equivalent thanks to the following property:

$$\int_{0}^{N} \left(\frac{x(\theta)}{x} - 1\right) \cdot d\theta = \frac{1}{x} \cdot \int_{0}^{N} x(\theta) \cdot d\theta - \int_{0}^{N} d\theta = \frac{N \cdot \bar{x}}{x} - N = 0$$
However, as is pointed out, the distance of the receptor from the roadway along with the height of the sampling inlet will almost certainly impact the shapes of these curves, even if they are less impacted by source strength.
  
Action:
  
L44-50: Abstract has been reworded to address this caveat.
  
Various parts of the manuscript also have been cautiously edited so as to not imply generalizability.
  
In the eyes of this reviewer, the data set allows much more interesting analysis of emission factors, for example between NOX and CO2. How do NOxCO2 ratios or UFP/CO2 ratios compare o the results of similar studies? Similar applies to CO. It is however acknowledged that this is outside the scope of this study.
  
Reply: Yes, we agree. In fact, emission factor analysis from this study has already been performed and reported by Wang et al. (2018) (see: doi.org/10.1021/acs.est.8b01914). This was the originally intended use for the background subtraction algorithm.
  
L206-298 edited to highlight this work for interest readers.
  
Section 4.3 and Table 4: Why not did authors apply his method for ozone? When using maxima instead of minima for the time series analysis this should be no problem to do. The justification given in lines 362-364 is no convincing. The urban background concentration of O3 could have been quantified that way, and be compared with the respective results of methods 1 and 2.
  
Reply: This is a great suggestion and results will be updated accordingly to include it. For added simplicity, the same method of of oiling minima interpolation can be applied to -1\*O3(t), and once calculated the sign can be flipped again, thereby allowing the same algorithm to be applied. Figure 1 shows an example of this algorithm applied to O3 at NR-TOR-2. We can see that the difference between near-road O3 and inferred background is generally greatest when there are larger concentrations of NOs, which should be expec

60 Added section 3.3.2 which explains how the methodology can be applied to ozone.

#### 61 Results in Tables 2-4 now include O3 concentrations.

62

Eq. 2 seems screwed. Probably, a parenthesis is missing on the right-hand side, opening before CNR[i] and closing after
 CBG[i].

- 65
- 66 Reply: Agreed.
- 67
- 68 Action:
- 69 Eq. 2 updated.
- 70

71 Line 187: A justification should be given as of why M and N are typically not identical. It is a bit counterintuitive.

72

73 Reply: The reason why M and N are typically not identical is that there will be a prevailing wind direction at most air quality 74 monitoring locations. For example, if sampling is done continuously and data are not excluded based on wind direction, it 75 should be expected that downwind data will occur more frequently than upwind data, for example, if it aligns with the 76 prevailing wind direction of the site. The important point here is that N and M constitute sets of data that are inherently mutually

77 exclusive (i.e. one cannot sample upwind and downwind of a road simultaneously with a single receptor) and may occur under

78 different conditions (e.g. time of day).

79

80 Action:

#### 81 L222-229 updated for greater clarity as to why M and N are not typically identical.

82

83 Line 194: Why did you chose 75 % here? Likely, the results are more reliable if 100 % is used. See also line 413.

84

Reply: Thank you for pointing this out. This was actually a mistake in the text. Hourly averages in general were included only if  $\geq$  75% of minutely data were available, and this applied also to the meteorological data. For classifying whether a given hour was downwind or upwind, the hourly vector averages were used directly. The only hours omitted were stagnant hours in which the wind speed was < 1.0 [m/s].

89

#### 90 Action: Section 3.2 has been updated to remove sentences referring to this.

91

#### 92 The PM2.5 results are puzzling indeed. It could be the precision and accuracy of the analyzers not being able to resolve the

93 small differences in concentrations between stations and within time series. If so, the results are not statistically robust. This

94 issue should be analyzed in more detail and presented and discussed in the manuscript.

96 Reply: You raise a good point regarding the precision between instruments, especially the PM2.5 monitors, and whether the 97 background-subtraction methods are able to distinguish what is likely a very minor contribution to the total near-road signal. 98 Regarding PM2.5 specifically, more in-depth results have been reported already by Sofowote et al. (2018) at NR-TOR-1 using 99 an Aerodyne ACSM, XACT 625, AE33, and SHARP 5030, and their findings suggested the major component of PM2.5 100 responsible for these "local" fluctuations was black carbon, which is measured also using an AE33 here. Indeed, Table 2 would 101 also suggest that BC is a major subset of this "local" PM2.5.

The SHARP 5030 manual specifies an hourly precision of " $\pm 2 \ \mu g/m3 < 80 \ \mu g/m3$ ;  $\pm 5 \ \mu g/m3 > 80 \ \mu g/m3$ ", and a precision between two monitors of " $\pm 0.5 \ \mu g/m3$  (2- $\sigma$ , 24-hour time resolution)" (Thermo Scientific, 2013). So, the average site differences between near-road and background sites, which are all around 2  $\mu g/m3$  or less and calculated over 2 years of data, are likely statistically significant results (Table 2). However, this raises an important issue as to why methods of backgroundsubtraction applied to an hourly near-road time-series fails to properly pick out the local component: if the average local component is 2  $\mu g/m3$ , and the hourly precision of the instrument is  $\pm 2 \ \mu g/m3$ , then the signal-to-noise ratio of this local component on an hourly time scale is likely quite small and perhaps not detectable.

109

95

#### 110 Action:

111 L493-495 added to address this issue.

112

#### 113 References

Sofowote, U. M., Healy, R. M., Su, Y., Debosz, J., Noble, M., Munoz, A., Jeong, C-H., Wang, J. M., Hilker, N., Evans, G. J.,
and Hopke, P. K.: Understanding the PM2.5 imbalance between a far and near-road location: Results of high temporal
frequency source apportionment and parameterization of black carbon, Atmos. Env., 173, 277-288,
doi:10.1016/j.atmosenv.2017.10.063, 2018.

118

Thermo Scientific Model 5030 SHARP Synchronized Hybrid Ambient, Realtime Particulate Monitor data sheet, Thermo
 Scientific, Obtained online: https://assets.thermofisher.com/TFS-Assets/LSG/Specification-Sheets/D19419.pdf, 25JUN2019.

122 Wang, J. M., Jeong, C-H., Hilker, N., Shairsingh, K. K., Healy, R. M., Sofowote, U., Debosz, J., Su, Y., McGaughey, M.,

123 Doerksen, G., Munoz, T., White, L., Herod, D., and Evans, G. J.: Near-Road Air Pollutant Measurements: Accounting for

124 Inter- Site Variability Using Emission Factors, Environ. Sci. Technol., 52, 9495-9504, doi:10.1021/acs.est.8b01914, 2018.



127 Fig. 1. Example of background-subtraction algorithm applied to ambient O3 concentrations at the near-road downtown Toronto 128 site, NR-TOR-2, wherein a rolling maximum is calculated rather than a rolling minimum

# 139 Anonymous Referee # 2

140	1) The first paragraph in Introduction about exposure is not that relevant to the rest of the paper, so would better focus on the
141	topic of traffic-related pollutants close to the road.
142	
143	Reply: Being that this is a methodology-focussed paper, and the primary interest is in better understanding traffic's contribution
144	to traffic-related pollutant concentration in the near-road environment, we tend to agree with this comment. While the
145	motivation for better understanding this is in part exposure-driven, it is not the main topic of the paper.
146	
147	Action:
148	Much of the first paragraph has been removed (i.e. those parts pertaining to health effects).
149	
150	2) In L174, why the calculated average difference is expected to converge the true average difference between sites? Are the
151	differences between sites normally distributed? Is this convergence non-trivial and not simply a property of averages or central
152	limit theory?
153	
154	Reply: Perhaps the wording in the manuscript is unnecessary and/or confusing in this section. The concept of random sampling
155	and error theory has been addressed by others in the context of air quality monitoring (Xu et al., 2007), where the amount of
156	data needed for a sample mean to converge to a "true" mean has been understood. The point to this statement was to imply a
157	trivial convergence: as the number of samples increases so does the certainty in the mean of the difference (as a result of more
158	variability due to seasonal effects, meteorology, etc.), similar to central limit theory as is pointed out.
159	
160	Action:
161	L199-202: wording has been altered to better clarify this point.
162	
163	3) In L190, since the authors have realized the downwind and upwind scenarios may encompass different time frames and may
164	influence the results, why not do some statistical tests? It seems important to the final outcomes.
165	
166	Reply: Thank you for pointing out this lapse in analysis. Indeed, if downwind periods occur largely at night time, for example,
167	then its average will be biased low. This is a relatively straight forward analysis and will be implemented in a revised version
168	of the manuscript. As an example, refer to Fig. 1 in this document. At NR-TOR-1 downwind data are mostly uniformly
169	distributed w.r.t. hour of day. Upwind conditions, however, are more likely to occur in the afternoon compared with the
170	morning, meaning the upwind average will be defined by afternoon pollutant concentrations more so than morning

171 concentration.

172	This is a potential issue as certain times of day will influence the mean values more so than others. One means of addressing
173	this is to randomly sample an equivalent number of hours for each hour of day and compare the resulting distribution with the
174	case in which all data is used to see if they are significantly different. As a proof of concept, observe the distributions of UFP
175	concentrations at NR-TOR-1 in Figs. 2-3, which were generated by randomly sampling an equivalent number of points from
176	each hour of the day (this number was determined from the minimum values in Fig. 1). The resulting downwind and upwind
177	averages were 5.64E+4 $\pm$ 240 [cm-3] and 1.46E+4 $\pm$ 260 [cm-3] ( $\pm$ 1 $\sigma$ ), respectively (compare with values in Table 3 of the
178	manuscript: 5.70E+4 and 1.53E+4, respectively). More rigorous statistical analyses will involve tests on whether these
179	bootstrapped populations are significantly different than those reported in Table 3 of the manuscript.
180	
181	Action:
182	L427-429 address this issue.
183	Fig. S2 added to the supplementary information.
184	Tables S5 and S6 added to the supplementary information.
185	
186	4) In L238-274, the method3 was not explained properly.
187	
188	Reply: While the algorithm has been described in detail already in Wang et al. (2018), we agree that a more mathematical
189	description of the algorithm is necessary.
190	
191	Action:
192	Section 3.3.1 has been almost entirely updated so as to describe the algorithm with greater mathematical rigor.
193	
194	Firstly, 'Time-series analysis' seems too general and may not be a good subtitle here and in the rest part of the MS. It often
195	implies decomposition and forecasting.
196	
197	Reply: Agreed. Time-series analysis does seem too vague for this section, especially considering only one algorithm is really
198	explored for signal deconvolution. Perhaps more appropriate is "baseline estimation" or "moving minimum" as you have
199	suggested.
200	
201	Action:
202	Section 3.3 title changed to "Background subtraction using time series data"
203	
204	Secondly, the authors talked about the frequency of signals very often in the first two paragraphs (L238-254) and allude to the

205 wavelet decomposition algorithm used by Sabaliauskas (2014) as similar to their method. But I think this is not quite right and

206 misleading. What I expected after the description is a frequency analysis, but method 3 is approximately a 'moving minimum'

207 baseline algorithm. As an example of signal processing and a spatial frequency domain in the road-environment can be seen

208 in Xing and Brimblecombe (2019). Although wavelet analysis can also be used to exact baselines as shown by Liland et al.

209 (2010), the underlying theory is different. There have been many baseline algorithms in Liland et al review (2010), method3

210 doesn't seem more accurate although it may be efficient. Besides, could the authors validate the extent to which the baselines

211 derived using this algorithm represent the background?

212

#### 213 Action:

Wording in the introduction and Section 3.3.1 has been changed to avoid any emphasis on frequency-domain analysis.
To address the second point, Section S4 has been added to the supplementary and is discussed in Section 4.3 of the manuscript.

217

218Thirdly, many details about method3 were not shown in the paper but presented in Wang et al., 2018. I understand this is a219method in the published paper, but since this is a journal about measurement techniques, I think more details should be220provided, especially the setting of the time window. Wang et al. (2018) used 8h, but is it appropriate here since a new station221near a highway (NR-TOR-1) is added in this MS? As mentioned in L243-244, characteristics of emission sources determine222the frequency of signals. Thus should the time window for a station near highway may need to be different from that near223streets, intersections or bus stops, which have their own frequency components (probably higher)? In addition, would different224pollutant species require a different setting of window, especially a secondary pollutant such as ozone?

225

Reply: In response to the first point made regarding choice of time window and different receptors, while different near-road environments will inevitably affect higher frequency signals in a pollutant time-series (due to closer source proximities) as mentioned, the choice of time window was intended to be more of a reflection of the spatial scale differentiation between what is considered "background" and "local". As a first-order approximation, consider a primary pollutant affected only by physical dispersion. If this rate of dispersion is proportional to wind speed, then the pollutant's length of influence would in some way be proportional to:

232

#### $d \approx u \cdot t$

where 'u' is wind speed and 't' is time since emission. Then, for a wind speed of 1.0 [m/s] and a time of 8 [hrs], for example, the pollutant's range of influence would be approximately 30 [km]. Thus, an argument could be made that utilizing a time window of 8 [hrs] in the background-subtraction algorithm is effectively distinguishing between emissions from sources within approximately 30 [km] of the receptor (those originating from nearest roadways will have the greatest influence on the signal) and those from outside of 30 [km]. Of course, this is a gross approximation and is likely not physically accurate, but it emphasizes the spatiotemporal relationship between signal frequency (choice of time window, which is related to signal cutoff frequency) and source distance. Moreover, given that these measurements were made within urban regions with relative 240 homogeneous distributions of roads, averaging the background over a smaller or larger spatial area, should not make much 241 difference.

242 Regarding the second point made (time window and different pollutants): you have raised an important issue, and we believe

243 further analysis is necessary to support the time windows used in this study. A sensitivity analysis showing the distribution of

 $244 \qquad \text{measured urban background concentrations vs. the distribution of derived backgrounds as a function of time window, pollutant,}$ 

245 and site would better support the time windows used in this study.

246

#### 247 Action:

248 L496-526 in Section 4.3 have been updated to discuss the point regarding the choice of time window for method 3.

250 5) In L380-384, I don't understand why method1 and method3 are better as they both provide lower difference values. In my 251 opinion, method3 has more disadvantages than method2, because the outcomes highly depend on the choice of time window 252 and it's hard to determine if the baselines represent a real background.

253

Reply: The choice of wording here is perhaps inappropriate then, as the intention was not to claim one method being "better" than the other, but to highlight the advantages and disadvantages of each along with the fundamental differences between them. For example, methods 1 and 3 may be more appropriate for understanding traffic's influence to a 24 hour-averaged exposure from an epidemiological perspective (as all meteorological conditions are considered), whereas method2 may be better for extracting data whose impact from local traffic is greatest for use in fleet-averaged emission factor calculations, for example.

259

260 Action:

#### 261 L534-538: wording updated to better discuss the pros and cons of the methods used.

262

# As I understand it, method2 only used part of the data and clearly gave the largest difference between roadside and background concentrations. While the other two methods used the data even when the roadside stations experience background concentrations (e.g. under upwind conditions). Literally, the output from method2 over-predicts average local concentrations (L131 in supplementary information). If the aim of this MS is determining the averaged concentration difference, method2 should be revised, otherwise, the difference between method2 and method13 is just caused by the difference in the methods.

268

Reply: We fully agree that what method 2 is measuring is inherently different from the other two methods. We will revise the text to better emphasise this important point. However, quantifying this difference is still of importance so that others might better understand the extent to which they differ. Moreover, method 2 provides an upper limit of the impact of the road on exposure. Section S3 proposes an alternative methodology for utilizing meteorological data that falls in line better with

273 methods 1 and 3.

## 275 Action: see previous.

276 6) L410-412, it seems the increase of pollutant concentrations under downwind conditions compared to upwind conditions is

277 a main finding in this MS (as also mentioned in abstract). Could the authors provide the factors for each station and pollutant

278 species? Theoretically, this factor should be a function of distance between source and receptor, wind speed, eddy diffusivity

279 etc. Is it possible to add some tests about this?

280

281 Reply: In the manuscript we have chosen to express this as the ratio of the local portion of the upwind and downwind 282 concentration to the average value (Figure 4). This inherently makes more physical sense to use than directly comparing the 283 ratio of the downwind to upwind concentrations, given that they both contain a "background" that is not related to the road. 284 Values can be calculated and reported for each site and pollutant as a supplement to Figure 4, which just shows an agglomerated 285 average for all species. Hypothetically, if these primary pollutants disperse similarly in the near-road regime and are not 286 significantly impacted by secondary processes in the time it takes for them to be detected, then these curves should be similar 287 between species. We agree that differences in dispersion between gas and particle-phase pollutants, and post-tailpipe transformation (e.g. UFP dynamics), for example, may lead to differences between pollutants. 288

The reason these trends were analysed with respect to normalized local concentrations was so that they would be invariant with respect to source strength. I.e., the area above and below unity for each curve are equivalent thanks to the property:

291 
$$\int_{0}^{N} \left( \frac{x(\theta)}{\bar{x}} - 1 \right) d\theta = 0$$

However, as you have mentioned, the shape will be impacted by distance of receptor to source, wind speed, eddy diffusivity, receptor height, atmospheric stability, etc. While we agree that the siting of these near-road stations along with meteorological conditions will have a theoretical impact on these data, it is out of the scope of this manuscript (the focus of which is a comparison of background subtraction methodologies) to attempt to model these results in a theoretical manner.

296

297 7) In L484, why is method3 accurate and robust? Is it because the outputs agreed with those derived from method1?298

Reply: It is deemed accurate because it agrees with those values derived from method 1 which is the closest estimate to a real background. In terms of robustness, it appeared to be applicable across all near-road monitoring locations and data did not need to be filtered by meteorology, for example. How robust this algorithm is, exactly, will be available following the aforementioned time window sensitivity analysis.

303

304 Action: See above response regarding time window sensitivity analysis.

#### 305 References

Wang, J. M., Jeong, C-H., Hilker, N., Shairsingh, K. K., Healy, R. M., Sofowote, U., Debosz, J., Su, Y., McGaughey, M.,
Doerksen, G., Munoz, T., White, L., Herod, D., and Evans, G. J.: Near-Road Air Pollutant Measurements: Accounting for
Inter- Site Variability Using Emission Factors, Environ. Sci. Technol., 52, 9495-9504, doi:10.1021/acs.est.8b01914, 2018.

309

310 Xu, X., Brook, J. R., and Guo, Y.: A Statistical Assessment of Saturation and Mobile Sampling Strategies to Estimate Long-

Term Average Concentrations across Urban Areast, J. Air Waste. Manag. Assoc., 57, 1396-1406, doi:10.3155/1047 3289.57.11.1396, 2007.

313





316 day.



318 Fig. 2. Distribution of downwind UFP concentrations at NR-TOR-1, generated by bootstrapping (N = 100) an equivalent number of

Fig. 2. Distribution of downwirhours from each hour of day.



Fig. 3. Distribution of upwind UFP concentrations at NR-TOR-1, generated by bootstrapping (N = 100) an equivalent number of

323 hours from each hour of day.

## 332 Anonymous Referee # 3

333 Major comments

334

# I. In Tables 2-4, the authors should reorganize their presentation to show and directly compare results of all three methods for estimating local contributions (CL) to measured concentration at NR-TOR-1 (Table 2), NR-TOR-2 (Table 3), and NR-VAN (Table 4). The current organization of these tables emphasizes comparisons across the measurement sites, whereas the main point of the paper is to compare methods for estimating CL.

339

## Reply: We agree with this suggestion. Condensing the information into a singular table as you have suggested is likely the best way of presenting relevant information as efficiently as possible. Alternatively, the suggested table could be shown visually in

342 a figure, in which the local concentrations determined by each method are compared between pollutants and sites.

343

#### 344 Action: Tables 2-4 have been rearranged as suggested.

345

2. I suggest the authors verify their regression coefficients relating pollutant concentrations to wind speed are consistent via
 separate analysis of weekday and weekend conditions: traffic conditions and emissions change on weekends, whereas average
 meteorology should be the same.

349

Reply: This is a great suggestion and there is no reason not to include it in an updated manuscript version. As you have pointed out, since average meteorology should be similar between weekdays and weekends, regression between these two subsets should yield similar results. The primary difference between weekdays and weekends (aside from the frequency of data) are the volumes of traffic, which would yield greater local concentrations with respect to mean values, so the regression would effectively be modelling higher and lower ranges.

355

#### 356 Action: Section S5.1 added to the supplementary information to address this.

357

3. The presentation of NO/NO2 ratios is unconventional. I suggest reporting NO2/NOx instead, where NOx = NO + NO2. The
 reasons for variations in NO2/NOx among sites should consider differences in background ozone, transit/residence time in
 near-roadway setting, differences in diesel truck fractions (diesel has higher NO2/NOx ratio in primary emissions). Also it

361 appears the calibration of the chemiluminescent NOx analyzers was only checked regularly for NO. Was there any checking

362 of NO2 converter efficiencies?

Reply: We agree that it is more sensible to instead report the ratio of NO2/NOx and will update the discussion of results in accordance with this.

Thank you for pointing out the converter efficiencies of the NOx analyzers. Indeed, the NO and NOx channels were calibrated 366 367 using an NO standard located on-site. The manuscript needs to be updated to indicate that each station had either a Thermo 146i gas calibrator or an Environics 6100 multi-gas calibration system (only NR-TOR-2 used the Thermo). In addition to 368 mixing various flow rates of zero and span gasses, these calibrators also have UV lamps, allowing O3 to be generated by a 369 370 calibrated amount. This was how the O3 analyzers were calibrated. Additionally, following each NO/NOx calibration, a significant amount of O3 was generated (about 50% of NO by mole) to test the efficiency of the molybdenum converters. 371 Generally, the efficiency of these converters was very close to 100%, and the test was only done to ensure a conversion 372 373 efficiency of > 99.5%. The NO2 coefficients were left at 1.000, and if the instrument's converter looked like it was struggling 374 (i.e. < 99.5%) then it was sent back to Thermo Scientific for calibration/maintenance. The fact that molybdenum converters 375 were used is another important point as they cannot distinguish between NO2 and more oxidized forms of nitrogen: NOy (NOz 376 - NOx). Being that local NO2 was defined by short-term temporal fluctuations, however, it is doubtful that NOy (which is 377 primarily affected by secondary chemistry) contributed to it substantially. 378 379 L170-172: Wording updated to include mention of Environics 6100 and NO<sub>2</sub> converter checks. 380 L393: Ratios changed from NO/NO2 to NO2/NOx. 381 382 383 Minor Comments and Technical Corrections 384 385 Line 158, 193: minutely should be rewritten as one-minute 386 387 Action: changed. 388 389 Line 242: many such algorithms (omit "of") 390 391 Action: changed 392 393 Line 302: non-tailpipe PM emissions such as brake and tire wear and road dust are expected to be predominantly in the coarse

394 mode and should not contribute much to fine particle mass (PM2.5).

396	Reply: While it is true that nontailpipe emissions are generally greater than 2.5 microns in diameter, these sources still
397	contribute enough to the PM2.5 size range to produce discernible differences between sites, and these differences are generally
398	more heterogeneous than things such as secondary organics, for example (see Jeong et al., 2019).
399	
400	Action: N/A.
401	
402	Lines 319-320: fix wording: the reason these values: : : is believed to be due the following reason
403	
404	Action:
405	This sentence has been reworded

#### 407 References

Jeong, C-H., Wang, J. M., Hilker, N., Debosz, J., Sofowote, U., Su, Y., Noble, M., Healy, R. M., Munoz, T., Dabek
Zlotorzynska, E., Celo, V., White, L., Audette, C., Herod, D., and Evans, G. J.: Temporal and spatial variability of trafficrelated PM2.5 sources: Comparison of exhaust and non-exhaust emissions, Atmos. Env., 198, 55-69,

- 411 doi:10.1016/j.atmosenv.2018.10.038, 2019.

# 429 List of all Changes

- 430 (Line numbers are with respect to non-marked up manuscript version)
- 431

#### 432 Abstract

- 433 L40: "...in good agreement with method 1 for all pollutants..."
- 434 L44-46: wording added.
- 435 L48-50: wording added ("Site specific factors...different near-road monitoring environments")
- 436

#### 437 1. Introduction

- 438 Removed first two sentences in first paragraph.
- 439 L67: "understand" changed to "isolate".
- 440 L79-86: Wording of end of paragraph changed.
- 441 L92: removed "for example,"
- 442
- 443 2. Methods
- 444 2.1 Measurement Locations
- 445 L119: inserted "of it".
- 446

#### 447 2.2 Instrumentation

- 448 L133: description of 840A operating principle added.
- 449 L138: "counter" changed to "counting".
- 450 L139: added "filter-based".
- 451 L170-172: added mention of Environics 6100 gas-phase calibrator, as well as O<sub>3</sub> generation for calibration of the 49i monitors
- 452 and NO<sub>2</sub> conversion efficiency tests for the 42i monitors.
- 453

#### 454 3 Data analysis

- 455 L156: "performed" changed to "accomplished".
- 456 L159: "minutely" changed to "one-minute".
- 457 L160: inserted "Data". Changed "accomplished" to "done".
- 458 L164: "time-series analysis" changed to "interpolation of minimum concentrations".
- 459
- 460 3.1 Average site differences
- 461 L167: "methodology" changed to "method".

462	L173: Eq. (2) updated to include parentheses.
463	L175-177: Wording changed.
464	
465	3.2 Downwind-upwind analysis
466	L188-192: Explanation regarding M and N being different added. Reference to Fig. S1 added.
467	L195-200: Wording altered. Sentences regarding conversion of one-minute meteorological data to hourly removed.
468	
469	3.2.2 Wind sector definitions at NR-TOR-2
470	L213: removed "with respect to the street axis".
471	L221: inserted ", also.".
472	
473	3.3 Background subtraction using time series data
474	Section title changed from "Time-series analysis" to "Background subtraction using time series data".
475	L243: "Many of" deleted.
476	L249-251: Wording changed to refer readers to emission factor analysis by Wang et al. (2018).
477	
478	3.3.1 Interpolation of windowed minima
479	Entire section was redone so as to explain the algorithm with as much mathematical rigour as possible.
480	
481	3.3.2 Application to near-road ozone concentrations
482	Section added.
483	
484	4 Results
485	4.1 Average differences between near-road and background sites
486	L300: Table reference changed.
487	L308: UFP values updated. "in part" added.
488	L311-317: Paragraph updated to discuss NO <sub>2</sub> /NO <sub>x</sub> ratios, rather than NO/NO <sub>2</sub> .
489	
490	4.2 Downwind-upwind pollutant differences
491	L337-341: Wording added and table references changed to refer readers to updated analysis in the SI.
492	L342-344: Wording changed for greater clarity. Table reference changed.
493	L353: added "(C <sub>L1</sub> )".
494	L366: Table reference changed.

496	4.3 Local concentrations inferred from baseline subtraction
497	Section title reworded from "Local concentrations determined using time-series analysis" to "Local concentrations inferred
498	from baseline subtraction".
499	L377: Table references changed. Added "for each near-road site.".
500	L385-386: Wording changed.
501	L391-393: Discussion regarding SHARP 5030 sensitivity added.
502	L394-412: Discussion regarding choice of time window for method 3 added.
503	
504	4.4 Comparison of background subtraction methods
505	L420-424: Wording changed.
506	
507	4.5 Application of local concentrations
508	L430: "all" changed to "most".s
509	
510	4.5.1 Effect of meteorology on local TRAP variability
511	L445-448: Wording changed.
512	
513	4.5.2 Wind direction
514	L451: Figure reference changed.
515	L455: Wording changed.
516	L457: "roadside" changed to "near-road".
517	L459: Figure reference changed.
518	L470-471: SI Table reference changed.
519	L472: Figure reference changed.
520	L472: SI Table reference changed.
521	L478: SI Table reference changed.
522	L479: "likely" changed to "apparently".
523	
524	4.5.3 Wind speed
525	L485: Figure reference changed.
526	L495-496: SI Table reference changed. Sentence added to refer readers to weekday/weekend differences in regression.
527	
528	4.6 Fraction of near-road pollution attributable to local sources

529 L507-509: Figure references changed.

## 531 5 Conclusions

- 532 L533: Added "for example."
- 533 L536: Added "similar between sites and".

### 535 References

- 536 Removed: Brook et al. (2004), Kaufman et al. (2016), Wolf et al. (2015), Oudin et al. (2016), Clifford et al. (2016), Andersen
- 537 et al. (2011), Brauer et al. (2007), Kunzli et al. (2009), Hamra et al. (2015), and Lelieveld et al. (2015), as these papers pertained
- 538 to health effects of TRAP exposure and were deemed less relevant to the findings of this study.
- 540 Added: Gomez-Losada et al. (2018).

# 

- 542 Tables
- 543 Tables2-4 changed.

## 545 Figures

- 546 Figure 4 added.

- ---

# 589 Traffic-related air pollution near roadways: discerning local impacts

# 590 from background

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614 Abstract. Adverse health outcomes related to exposure to air pollution have gained much attention in recent years, with a 615 particular emphasis on traffic-related pollutants near roadways, where concentrations tend to be most severe. As such, many projects around the world are being initiated to routinely monitor pollution near major roads. Understanding the extent to 616 617 which local on-road traffic directly affects these measurements, however, is a challenging problem, and a more thorough 618 comprehension of it is necessary to properly assess its impact on near-road air quality. In this study, a set of commonly measured air pollutants (black carbon; carbon dioxide; carbon monoxide; fine particulate matter, PM<sub>2</sub>s; nitrogen oxides; ozone; 619 620 and ultrafine particle concentrations) were monitored continuously between June 01st, 2015 and March 31st, 2017 at six stations 621 in Canada: two near-road and two urban background stations in Toronto, Ontario, and one near-road and one urban background station in Vancouver, British Columbia. Three methods of differentiating between local and background concentrations at 622 623 near-road locations were tested: 1) differences in average pollutant concentrations between near-road and urban background 624 station pairs, 2) differences in downwind and upwind pollutant averages, and 3) interpolation of rolling minima to infer 625 background concentrations. The latter two methods use near-road data only, and were compared with method 1, where an 626 explicit difference was measured, to assess accuracy and robustness. It was found that method 2 produced average local 627 concentrations that were biased high by a factor of between 1.4 and 1.7 when compared with method 1 and was not universally 628 feasible, whereas method 3 produced concentrations that were in good agreement with method 1 for all pollutants except ozone 629 and PM2.5, which are generally secondary and regional in nature. The results of this comparison are intended to aid researchers 630 in the analysis of data procured in future near-road monitoring studies. Lastly, upon determining these local pollutant concentrations as a function of time, their variability with respect to wind speed (WS) and wind direction (WD) was assessed 631 632 relative to the mean values measured at the specific sites. This normalization allowed generalisation across the pollutants and 633 made the values from different sites more comparable. With the exception of ozone and  $PM_{2.5}$ , local pollutant concentrations 634 at these near-road locations were enhanced by a factor of 2 relative to their mean in the case of stagnant winds and were shown 635 to be proportional to WS<sup>-0.6</sup>. Downwind conditions enhanced local concentrations by a factor of ~2 relative to their mean, while upwind conditions suppressed them by a factor of ~4. Site specific factors such as distance from roadway and local meteorology 636 637 should be taken into consideration when generalizing these factors. The methods used to determine these local concentrations, 638 however, have been shown to be applicable across pollutants and different near-road monitoring environments. 639 640

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#### 649 **1 Introduction**

Traffic-related air pollutants (TRAPs) are of concern because on-road traffic is often a major source of air pollution in urban
environments (Belis et al., 2013; Molina and Molina, 2004; Pant and Harrison, 2013) where population densities are greatest—
in Canada, it is estimated that one third of the population live within 250 m of a major roadway (Evans et al., 2011)—and it is
within these near-road regions TRAP concentrations are generally highest (Baldwin et al., 2015; Jeong et al., 2015; Kimbrough
et al., 2018; Saha et al., 2018).

As such, there is a growing interest in measuring air pollutant concentrations near roadways in order to better understand TRAP exposure levels in these environments. However, in order to <u>isolate</u> the underlying sources and reasons for elevated concentrations, further processing of raw measurement data is necessary. In general, near-road TRAP concentrations are influenced by both regional and local emissions, and being able to distinguish the contributions of these sources allows their relative impacts to be more properly assessed. Of particular importance to near-road measurements is understanding the role of on-road traffic. For TRAPs whose source(s) cannot be readily identified from their measurement at a singular location, concurrent samples at various locations and/or algorithmic methods can be used to enable apportionment.

662 Often, determining TRAP background concentrations is accomplished through monitoring at remote, representative locations

663 that are minimally impacted by nearby sources; properly siting background stations in urban environments is in itself a 664 challenge, and not always feasible. This practice, while useful in providing confidence in information regarding background 665 air quality, is expensive because it requires additional monitoring stations and personnel to maintain them. The value of these 666 background stations is lessened if similar knowledge is extractable from near-road locations alone. Various time-series analysis 667 algorithms have been proposed for this purpose, many of which make use of the inverse relation between source proximity 668 and signal frequency. For example, the technique of interpolating minima across time windows of varying length has been 669 applied successfully to data from both mobile laboratories (Brantley et al., 2014; Shairsingh et al., 2018) and stationary 670 measurements (Wang et al., 2018) for the purposes of estimating urban background pollutant concentrations. Additionally, 671 work by Klems et al., (2010) and Sabaliauskas et al., (2014) made use of the discrete wavelet transform, an algorithm used 672 widely in signal compression and denoising, to ultrafine particle time-series data to determine the time-dependent contribution 673 of local sources to roadside concentrations. Another technique, statistical clustering of air quality data in urban environments, 674 was utilized by Gomez-Losada et al. (2018) to characterize background air quality. Indeed, there are many promising avenues 675 of background-subtracting near-road air quality data.

Given the diversity of techniques available for differentiating local and background pollutant concentrations, as well as the large variety of instrumentation available, it is not clear which approaches are most generalizable or applicable, or whether it is necessary to invest in concurrent measurements at many versus few locations. In addition, the exact definition of what is background air quality is somewhat unclear, and in the context of this study, given the spatial separation between sites (on the order of 10 km or less), it is assumed to be a measure of background air quality in the urban airshed. Ma and Birmili (2015), in a study of ultrafine particle nucleation, defined measurement locations in their study which were 4.5 km and 40 km from an

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Deleted: Exposure to elevated air pollutant concentrations is an ongoing concern as it has been identified as a risk factor for a variety of adverse health outcomes, including: cardiovascular disease (Brook et al., 2004), increased arterial calcification (Kaufman et al., 2016) and myocardial infarction incidence rates (Wolf et al., 2015); vascular dementia, Alzheimer's disease (Oudin et al., 2016), and impaired brain development in children (Clifford et al., 2016); chronic obstructive pulmonary disease (Andersen et al., 2011), asthma in both adults and children (Brauer et al., 2007; Kunzli et al., 2009), and lung cancer (Hamra et al., 2015). Lelieveld et. al (2015) estimated that exposure to air pollution resulted in 3.3 million premature deaths globally in 2010, with land traffic sources being a primary contributor in North America and some European countries.

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**Deleted:** work by Klems et al., (2010) and Sabaliauskas et al., (2014) made use of the discrete wavelet transform, an algorithm used widely in signal compression and denoising, to ultrafine particle time-series data to determine the time-dependent contribution of local sources to roadside concentrations. Additionally,

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robin urban roadside station as urban background and regional background, respectively. The former was presumed to be a measure of regional air quality superimposed with diffuse urban emissions, and it is this definition that best characterizes the background air quality measured in this study. To evaluate whether information regarding this urban background was attainable from nearroad measurements alone, two strategies for quantifying the contribution of local on-road traffic to near-road air quality were compared, and their reliability and accuracy were assessed through comparison with tandem measurements in both environments.

In this study, data were collected continuously at three near-road and three urban background monitoring locations for close to two years (namely, between June 01<sup>st</sup>, 2015, and March 31<sup>st</sup>, 2017). Various gas and particle-phase pollutants along with meteorological parameters were measured using an array of instrumentation. Concentrations in excess of the urban background were calculated from the near-road data using three techniques, one of which calculated an explicit difference between sites, whereas the other two made use of only near-road data. Comparison of these methodologies addresses whether information regarding background air quality is readily inferable from measurements made in the near-road environment.

#### 717 2 Methods

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#### 718 2.1 Measurement locations

719 Data were collected from six separate monitoring locations: four of which were in Toronto, Ontario (two situated near 720 roadways and two in urban background environments), with the remaining two located in Vancouver, British Columbia (one 721 situated near a roadway and another in the urban background). The location of each station, along with information regarding 722 the major roadway next to which they were located (for the near-road sites), is summarized in Table 1. The two near-road 723 stations in Toronto, NR-TOR-1 (43.7111, -79.5433) and NR-TOR-2 (43.6590, -79.3954), and their respective instrumentation 724 setups have been utilized and reported by others and are described therein (Sabaliauskas et al., 2012; Sofowote et al., 2018; 725 Wang et al., 2015). The NR-TOR-1 site was positioned 10 m from Highway 401, the busiest highway in North America in terms of Annual Average Daily Traffic (AADT) with over 400,000 vehicles per day distributed across eight eastbound and 726 727 eight westbound lanes. The Southern Ontario Centre for Atmospheric Aerosol Research (SOCAAR) served as the second near-728 road site (NR-TOR-2), and was located 15 m from College Street in downtown Toronto which experienced traffic volumes of 729 17,200 vehicles per day on average. The northernmost station in Toronto, BG-TOR-1, was located at Environment and Climate 730 Change Canada (43,7806, -79,4675), 180 m from the nearest roadway, and the measurements from this station served as an 731 urban background/baseline for NR-TOR-1, which was located 9.8 km to the southwest of it. The second background station, 732 BG-TOR-2, was positioned on the southernmost point of the Toronto Islands on Lake Ontario (43.6122, -79.3887), and was 733 5.2 km south of NR-TOR-2. Since vehicular traffic on the Toronto Islands was limited to a small number of service vehicles, 734 the BG-TOR-2 station was well removed from tailpipe emissions.

The near-road station in Vancouver, NR-VAN, was situated 6 m from Clark Drive (49.2603, -123.0778), a major roadway that

experienced on average 33,100 vehicles per day across four southbound and three northbound lanes. Additionally, located 65

737 m south of the station was a major intersection, Clark Drive and 12th avenue, at which there were two gas stations located on

738 the northwest and northeast sides. The effect this intersection had on traffic patterns (stop-and-go, especially) directly next to

739 the station, and its effect on measured TRAP concentrations are explored in this study. Lastly, the urban background station

in Vancouver, BG-VAN, was located 2.2 km east of NR-VAN at Sunny Hill Children's Hospital (49.2529, -123.0492). This

741 area was relatively removed from traffic emissions because it was located within a neighbourhood zoned predominately for

742 single unit family dwellings.

#### 743 2.2 Instrumentation

744 A common suite of instrumentation was employed at all stations. Gas-phase pollutants measured include: carbon dioxide (CO<sub>2</sub>;

840A, LI-COR Biosciences; attenuation of infrared radiation at wavelengths of 4,26 μm and 2.95 μm for H<sub>2</sub>O differentiation),

carbon monoxide (CO; 48i, Thermo Scientific; attenuation of infrared radiation at a wavelength of  $4.6 \mu$ m), ozone (O<sub>3</sub>; 49i, 747 Thermo Scientific; attenuation of ultraviolet radiation at a wavelength of 254 nm), and nitrogen oxides (NO<sub>3</sub>; 42i, Thermo

 $(10^{\circ})$  include of a latter of a latter of a latter of the latter of  $20^{\circ}$  mm), and multiple of  $(10^{\circ})$ ,  $(20^{\circ})$ ,

748 Scientific; infrared chemiluminescence). Particle-phase pollutant properties measured include: mass concentration of particles

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751 Magee Scientific; filter-based attenuation of 880 nm wavelength light) mass concentration. Additionally, a meteorological

752 sensor (WXT520, Vaisala; ultrasonic anemometer) recorded wind direction, wind speed, ambient temperature, pressure, and

relative humidity at each station. Traffic intensities, velocities, and approximate vehicle lengths were measured continuously

754 (SmartSensor HD, Wavetronix; dual beam radar) at the three near-road stations.

755 Gas-phase instruments were calibrated on-site every two months using cylinders of compressed gasses at certified 756 concentrations (Linde). One cylinder contained SO<sub>2</sub>, CO, and CO<sub>2</sub>, while the other contained NO; both contained N<sub>2</sub> as an 757 inert makeup gas. Dilution and mixing of the gasses was accomplished using a dynamic gas calibrator (146i, Thermo Scientific; 758 6100, Environics) to produce zero checks and span concentrations that were similar to ambient ranges. Additionally, these 759 dynamic gas-phase calibrators contained ultraviolet (UV) based O3 generators which were used to calibrate the 49i monitors 760 as well as test the efficiencies of the molybdenum NO<sub>2</sub> converters in the 42i monitors. SHARP 5030 instruments were zero checked using a HEPA filter, had their temperature and relative humidity sensors calibrated, and were span checked using 761 762 mass standards supplied by Thermo Fisher Scientific twice annually. In addition to recommended monthly maintenance

procedures for the API 651, each instrument underwent routine annual calibration by the manufacturer. Flow rates at each

station were verified on a monthly basis, and a variable flow rate pump was attached to a stainless steel particle manifold, from

which all particle-phase instruments sampled, to ensure a constant flow rate of 16.7 LPM to satisfy the 2.5 <u>um cut-off</u> conditions of the inlet cyclone.

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#### 3 Data analysis 768

769	Data acquisition was accomplished using Envidas Ultimate software (DR DAS Ltd.). Quality assurance of the data was	Deleted: performed
770	performed by the primary operators of each station. This included, among other things: discounting data in which instrument	
771	diagnostic parameters were outside of acceptable ranges, omitting calibration times, and flagging suspect periods. Data from	
772	this study was acquired at a <u>one-minute</u> resolution, and further averaged to hourly resolution. Only hours containing at least	Deleted: minutely
773	45 minutes (≥ 75%) of valid data are reported. Data processing and analysis was done through a combination of SQL	Deleted: P
774	(Microsoft), SAS 9.4 (SAS Institute Inc.), and IGOR Pro 6.37 (Wavemetrics Inc.) software. Using the hourly concentrations	Deleted: accomplished
775	in the finalized dataset, three methods of separating local and background concentrations from the near-road measurements	
776	were tested. One of these methods made use of the urban background measurements to explicitly infer background	
777	concentrations, whereas the other two, downwind/upwind comparison and interpolation of minimum concentrations, estimated	Deleted: time-series analysis
778	background concentrations from the near-road measurements alone.	
779	3.1 Average site differences	
780	The first method, for determining local pollutant concentrations explored in this paper, henceforth referred to as method 1, is	Deleted: ology
781	through the difference between concentrations measured at a near-road location, $C_{\text{NR}}$ , and at the nearest urban background	
782	location, C <sub>BG</sub> , for some concurrent observation, i. Concentrations associated with local influences determined using method 1,	
783	C <sub>L,1</sub> , rely on the assumption:	
784	$C_{NR}[i] = C_{L,1}[i] + C_{BG}[i]. $ <sup>(1)</sup>	
785	Average $C_{L,1}$ values for each near-road location were then determined using Eq. (2):	
786	$\bar{C}_{L,1} = \frac{1}{N} \sum_{i=1}^{N} (C_{NR}[i] - C_{BG}[i]), $ <sup>(2)</sup>	
787	again, C <sub>NR</sub> [i] and C <sub>BG</sub> [i] are near-road and urban background measurements, respectively, made over a concurrent time interval,	
788	i, As N, the number of observations used in calculating the temporal average increases, the calculated average difference will	Deleted: is expected to converge to the true average difference
789	encompass more of the variability from, meteorological and traffic conditions, and therefore be more representative of an	between sites—a result encompassing
790	average site difference,	Deleted:
791	3.2 Downwind-upwind analysis	

Through association with meteorology at a near-road measurement location, it is possible to assess traffic's influence on TRAP 792 concentrations from the differences between downwind and upwind conditions. For example, Galvis et al. (2013) utilized 793 794 average downwind and upwind concentrations of CO2, BC, and PM2.5 from a railyard to calculate local pollutant concentrations 795 for use in fuel-based emission factor calculations. A similar approach is used here to isolate concentrations emitted from a roadway, henceforth referred to as method 2. Defining ranges of wind directions as corresponding to downwind and upwind 796

807 of the major street next to which a station is located, average local concentrations from method 2, C<sub>L,2</sub>, can be estimated using
808 Eq. (3):

809 
$$\bar{C}_{L,2} = \frac{1}{N} \sum_{i=1}^{N} C_{DW}[i] - \frac{1}{M} \sum_{i=1}^{M} C_{UW}[i],$$

(3)

where C<sub>DW</sub> and C<sub>UW</sub> are near-road TRAP concentrations measured when winds originate from downwind and upwind of the 810 major roadway, respectively. Note that the number of points used to compute the averages of these conditions, N and M, are 811 812 not necessarily equivalent, and the times that comprise these two averages are mutually exclusive by definition. For example, 813 if the prevailing wind at a site is downwind of the roadway, then downwind data will naturally occur more frequently than 814 upwind. Fig. S1 in the supplementary information shows wind frequency data as measured at each near-road site throughout 815 the monitoring campaign. Similar to method 1, as the averaging time for both conditions is increased, confidence in  $C_{L,2}$  will 816 improve. It is also important to note that because these two meteorological scenarios encompass different time frames, it is 817 possible for certain times of day, etc. to be overrepresented in either average. 818 In all analyses in which meteorological data are utilized, stagnant periods (wind speed (WS) < 1.0 m s<sup>-1</sup>) were omitted Local

concentrations cannot be estimated as a function of time using this method, as downwind and upwind concentrations cannot be measured simultaneously with a single near-road station. Also, stagnant time periods, as well as time periods that are not within the downwind/upwind ranges are omitted, thereby increasing the amount of time needed to attain a representative average. Lastly, an inherent assumption to this method is that upwind concentrations on either side of the roadway are similar.

average. Lastry, an innerent assumption to this include is that upwind concentrations on child side of the roadway are similar

823 Depending on the site, however, this assumption may not be accurate.

#### 824 3.2.1 Wind sector definitions at NR-TOR-1

825 Defining downwind and upwind sectors at NR-TOR-1 was straightforward, owing to the flat terrain of the area and the lack

826 of nearby TRAP sources excluding those from Highway 401. Hence, 90° quadrants perpendicular to the highway axis were

827 chosen. These definitions were further supported by average ambient  $CO_2$  concentrations—an indicator of combustion

828 associated with traffic emissions-measured as a function of wind direction, shown in Fig. 1. Thus, downwind conditions at

829 NR-TOR-1 were defined as WD  $\ge$  295° or WD  $\le$  25° and upwind as 115°  $\le$  WD  $\le$  205°, where WD denotes wind direction

830 as measured locally at the station atop a 10 m mast.

## 831 3.2.2 Wind sector definitions at NR-TOR-2

832 Unlike the NR-TOR-1 site, wind dynamics at NR-TOR-2 were complicated by urban topography; namely, the roadside inlet

833 was within an urban canyon (aspect ratio of ~0.5: building heights of ~20 m on either side and a street width of ~40 m) resulting

834 in more stagnant conditions roadside and introducing micrometeorological effects such as in-canyon vortices (Oke, 1988). The

835 effect of urban canyon geometry on micrometeorology is an effect that has been known for some time, and in general, for city-

scale wind patterns perpendicular to the street axis, ground-level winds tend to be opposite to those above the urban canopy
(Vardoulakis et al., 2003).

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**Deleted:** Furthermore, because wind direction may vary on the order of minutes, only hourly averages, generated from minutely data, in which winds originated from a given sector over 75% of the time were deemed to be upwind or downwind.

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Given the urban canyon's effect on ground-level wind direction, downwind/upwind quadrants at NR-TOR-2 were determined based on wind direction measurements made above the urban canopy, and are defined as:  $WD \ge 300^{\circ}$  or  $WD \le 30^{\circ}$  and  $120^{\circ} \le WD \le 210^{\circ}$  for downwind and upwind conditions, respectively. Figure 2 shows a satellite image of the site with these respective quadrant definitions, along with average CO<sub>2</sub> concentrations as a function of wind direction, similar to Fig. 1. From the range of CO<sub>2</sub> concentrations seen here, it is clear that obtaining a precise definition of what exactly is downwind or upwind of College Street is non-trivial. Impact from the intersection southwest (winds from ~230°) of the receptor is somewhat apparent in Fig. 2, also

#### 854 3.2.3 Wind sector definitions at NR-VAN

855 While the presence of 2-3 story buildings within the immediate vicinity of the NR-VAN station may have complicated meteorological measurements to some extent, the role of wind direction on the impact of local traffic emissions was much 856 more evident at this site than it was at NR-TOR-2. Other streets in the vicinity of Clark Drive affected the driving patterns near 857 858 the station—a major intersection (Clark Drive and 12<sup>th</sup> Avenue) approximately 65 m south of the station had an impact on 859 average measured CO<sub>2</sub> concentrations (Fig. 3) originating from the SSE direction. Because of this, the downwind and upwind sector definitions for this site were not taken to be orthogonal: instead, downwind was defined as  $135^\circ \leq WD \leq 195^\circ$  and 860 861 upwind as  $235^{\circ} \leq WD \leq 315^{\circ}$ ; these definitions were chosen in accordance with surrounding land usage. While the upwind definition does include 12th avenue, a major roadway within 120 m of the station, it is suspected that lower TRAP 862 concentrations from this sector are due to: lower traffic volumes on 12th compared with Clark Drive, truck restrictions on 12th, 863 and mechanical mixing from surface roughness (i.e. winds carrying TRAPs emitted on 12<sup>th</sup> being pushed up over the densely 864 spaced buildings between the roadway and monitor, resulting in diluted or no TRAPs measured at ground-level). Contrasting 865 866 this upwind definition with measurements from the sector 315°-345° in Fig. 3, which includes the major roadway Broadway 250 m from the receptor (farther than  $12^{\text{th}}$ ), there is a difference in average CO<sub>2</sub> concentrations of about 15 ppm, and this 867 868 difference is likely due to reduced surface roughness NNW of the receptor. Both NR-TOR-2 and NR-VAN provide examples 869 of the complexity of siting near-road stations, and how site-specific considerations must be made when associating data with 870 meteorology.

#### 871 3.3 Background subtraction using time series data

872 Extracting information from one-dimensional ambient pollution time-series data (i.e. concentration as a function of time) for

873 the purpose of source apportionment is appealing as it allows the possibility of obtaining local and background estimates

874 without the need for more rigorous chemical analysis, computationally expensive multivariate analyses, or measurements made

875 at multiple locations. Such algorithms make use of the underlying principle that signal frequency is inversely related to source

876 distance. Regional or background sources (farther away from a receptor) produce slower varying, lower frequency signals,

877 whereas local (nearby) sources, such as traffic, produce faster varying, higher frequency signals (Tchepel and Borrego, 2010).

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**Deleted:** Time-series analysis

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881	The frequency at which data is acquired limits the highest frequencies separable by such a method. Daily averages, for example,		
882	are too lengthy to capture processes whose time scales are much shorter—a plume from a nearby on-road vehicle, for example,		
883	would have a characteristic time on the order of seconds to minutes. Therefore, in order to isolate these local temporal		
884	fluctuations, relatively high time resolution data are necessary, A <u>technique recently developed</u> by Wang et al. (2018) <u>applied</u>		<b>Deleted:</b> Sabaliauskas et al. (2014) made use of the wavelet
885	to hourly near-road measurements in order to determine above-background pollutant concentrations for use in calculating fleet-		decomposition algorithm applied to one-minute particle numbe concentrations in an urban environment—a technique described
886	averaged emission factors is explored further in this paper.		originally by Klems et al. (2010) and used historically in signal denoising and compression—in order to obtain local and backg UFP concentrations as a function of time.
887	3.3.1 Interpolation of windowed minima	$\langle \rangle \rangle$	Deleted: similar technique was pioneered more recently
			Deleted: in order to determine
888	The algorithm explored in this paper is an interpolation of minimum values across a variable time window, the duration of	, \'	Deleted: the determination of
889	which effectively defines, in a sense, a cut-off frequency for local and urban background signal differentiation. This algorithm	/	Deleted: , and it is this technique that
890	was developed, validated, and utilized by Wang et al. (2018), and is described in full detail therein along with code compatible	//)	Formatted: Font: (Default) +Body (Times New Roman)
891	with IGOR Pro 6.37.		Deleted: time-series analysis
892	The background-determining function, $\psi$ , takes as arguments: near-road pollutant concentrations as a function of time, $C_{NR}$ , a		<b>Deleted:</b> An average of linear interpolations taken across varitime windows was used here, as
893	window length in hours, W, and a smoothing factor a. Its output is an inferred baseline for the near-road environment, b:		Formatted: Font: +Body (Times New Roman), Not Itali
894	$\boldsymbol{b} = \psi(\mathcal{C}_{NR}, W, \alpha), \qquad \alpha \ge 1, W \ge 3_{}, \tag{4}$		
895	In the case for which the smoothing factor, $\alpha$ , is equal to 1, the baseline function, <b>b</b> , simplifies to an interpolation of minimum		Formatted: Font: (Default) +Body (Times New Roman)
896	values determined across M windows of width W, where M is the total number of measurements divided by We In order to		Formatted: Font: (Default) +Body (Times New Roman)
897	account for the detection of minima being biased by the range of each window, this process is repeated three times, in which		Formatted: Font: (Default) +Body (Times New Roman)
898	the window is offset in time by $floor(W/3)$ each time. This yields three separate functions, $\mathbf{b}_1, \mathbf{b}_2$ , and $\mathbf{b}_3$ , with the final baseline,		
899	b, determined from the average:		
900	$\boldsymbol{b} = \psi(C_{NR}, W, \alpha = 1) = \frac{1}{3} \cdot \sum_{i=1}^{3} \boldsymbol{b}_{i-1}, $ (5)		
901	For the case in which $\alpha > 1$ , the process in Eq. (4) and Eq. (5) is repeated $\alpha$ times, and the window for determining minimum		Formatted: Font: (Default) +Body (Times New Roman)
902	values increases by a factor of W each time, giving window lengths of: W, 2W,, aW. Then, the final baseline function		Formatted: Font: +Body (Times New Roman)
903	becomes the mean of $\underline{\alpha}^*W$ baseline functions, $\mathbf{b}_{i,j}$ :		Formatted: Font: +Body (Times New Roman)
904	$\boldsymbol{b} = \psi(\mathcal{C}_{NR}, W, \alpha) = \frac{1}{3\alpha} \cdot \sum_{j=1}^{\alpha} \sum_{i=1}^{3} \boldsymbol{b}_{i,j}, $ (6)		Formatted: Font: +Body (Times New Roman)
905	Thus, in addition to creating a smoother baseline output, the magnitude of the parameter $\underline{\rho}$ , in conjunction with that of W,		Formatted: Font: +Body (Times New Roman)
906	determines how slowly-varying the resultant baseline, <b>b</b> , becomes. The effect of these input parameters can be observed in		
907	Fig. 4, in which $\psi$ is applied to CO <sub>2</sub> data at NR-TOR-2 for various values of $\varphi$ and W. If the resulting baseline function, <b>b</b> , is		Formatted: Font: +Body (Times New Roman)

908 greater than C<sub>NR</sub> for any point in time, it is instead set equal to C<sub>NR</sub>. gorithm applied to one-minute particle number an urban environment—a technique described ns et al. (2010) and used historically in signal npression—in order to obtain local and background ns as a function of time. technique was pioneered more recently

erage of linear interpolations taken across variable s used here, as

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922	Henceforth, this algorithm shall be referred to as method 3. This method yields a baseline function, b, based on input near-
923	road concentrations, C <sub>NR</sub> , constrained to yield non-negative solutions for each observation, i. Average local concentrations
924	from method 3, $C_{L,3}$ , were then calculated using Eq. (2) and Eq. (8):

925  $C_{L,3}[i] = C_{NR}[i] - \mathbf{b}[i], \qquad \mathbf{b}[i] \le C_{NR}[i] \forall \mathbf{j}$ 926  $\overline{C}_{L,3} = \frac{1}{N} \sum_{i=1}^{N} C_{L,3}[i],$ 

927	Again, bii are background concentrations determined algorithmically, and are a function of C <sub>NR</sub> , whereas C <sub>BG</sub> , as in Sect. 3.1,
928	are physically measured concentrations. It is worth noting that while the constraint $b \leq C_{NR}$ was applied in this algorithm, it is
929	not always the case that a background station will measure less than a near-road station during a given hour for a number of
930	different reasons. For example, Sofowote et al. (2018) showed that a receptor 167 m from the edge of Highway 401 measured
931	PM2.5 concentrations that exceeded concurrent measurements at NR-TOR-1 (10 m from the edge of the highway) ~5% of the
932	time based on half-hourly measurements. Regardless, the impact of this assumption on estimated average local concentration
933	is likely minimal. In using this algorithm, the width of the averaging window will affect the resulting baseline-windows that
934	are shorter in duration will result in more temporally varying baselines, while longer windows will result in flatter baselines.
935	For information regarding function input parameters please refer to Wang et al. (2018). This study used the parameters $\alpha = 4$
936	and $W = 8 hr.$

937 3.3.2 Application to near-road ozone concentrations

938 Near roadways O3 concentrations, unlike most other pollutants considered in this study, are generally less than background 939 concentrations. This is because O3 is formed through secondary chemistry in the troposphere, and one of its sinks is through 940 reaction with NO, which is a primary pollutant emitted by vehicles and is therefore often abundant near roadways. Hence, 941 transient emissions of NO from passing vehicle plumes will result in decreases in O3 concentrations during a similar time scale. 942 Background O3 concentrations in the near-road environment were instead estimated by interpolating maximum values rather 943 than minima. A baseline for -O<sub>3</sub>(t) was established, and the resulting output's sign flipped, effectively yielding an interpolation 944 of maxima.

#### 945 4 Results

#### 946 4.1 Average differences between near-road and background sites

947 Over the duration of the study period average  $C_{L,1}$  values were calculated using method 1, as described in Sect. 3.1, with resulting differences summarized in Tables 2-4. Note that no CO2 difference was calculated between Vancouver stations 948 because CO2 was not measured at BG-VAN. 949

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965 VAN saw greater CL1 concentrations in comparison. This pattern is consistent with the lower traffic volumes at NR-TOR-2. Surprisingly, despite the drastic difference in traffic intensities between NR-VAN and NR-TOR-1, CL1 values at both sites 966 967 were remarkably similar for most TRAPs. This similarity was in part due to NR-VAN's closer proximity to the roadway (6 m) compared with NR-TOR-1 (10 m), in conjunction with the significant fraction of diesel vehicles passing along Clark Drive 968 (Wang et al., 2018). While most  $C_{1,1}$  concentrations were similar between these two locations. UFPs at NR-TOR-1 were 969 970 significantly greater (3.0E+4 vs. 1.2E+4 cm<sup>-3</sup>). However, this may in part be due to seasonal bias in UFP data availability (Table S1) between NR-TOR-1 and BG-TOR-1 (note especially the lack of concurrent data during summer months when 971 972 ambient UFP concentrations are often lowest). 973 The NO<sub>2</sub>/NO<sub>4</sub> ratios for C<sub>1</sub> at NR-TOR-2 were also markedly lower than the other near-road sites: these ratios at NR-VAN. 974 NR-TOR-1, and NR-TOR-2 were, on average, 0.18, 0.29, and 0.61, respectively. A potential explanation for this is the relative

The background-subtracted differences were smallest at NR-TOR-2; for every TRAP measured, both NR-TOR-1 and NR-

975 residence times of vehicle plumes prior to detection at each site: because NR-VAN was positioned closest to the roadway, it

976 is likely that vehicle plumes were fresher upon detection, whereas NR-TOR-2 sampled within an urban canyon where air tends

977 to stagnate and recirculate. These results emphasize an important implication for near-road monitoring policies: while NO2

978 alone is often regulated because of associated health effects, measurements of only NO<sub>2</sub> may not be a reliable metric for

979 assessing near-road health impacts, as characteristics of the site may result in  $NO_2$  being a negligible fraction of total  $NO_{x_2}$ 

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980 The average differences for  $O_3$  were negative, indicating that ozone concentrations tend to be lower near major roads. Ozone 981 is presumably being titrated due to the higher near-road concentrations of NO. Furthermore, O<sub>3</sub> production in downtown 982 Toronto and metropolitan Vancouver generally occurs in a VOC-limited regime, meaning that the additional NO<sub>x</sub> near roads

983 does not enhance local ozone formation (Ainslie et al., 2013; Geddes et al., 2009).

984 While  $PM_{25}$  is generally considered to be a more regional and homogenous pollutant in urban environments, the observed 985 values of CL1 (1.48, 0.27, and 2.26 µg m<sup>-3</sup> at NR-TOR-1, NR-TOR-2, and NR-VAN, respectively) were found to be significantly greater than zero, and may be indicative of both primary tailpipe and non-tailpipe (e.g. brake wear, road dust 986 987 resuspension, etc.) emissions. A recent study by Jeong et al. (2019) characterized the sources and composition of PM25 at both 988 NR-TOR-1 and NR-TOR-2 using an X-ray fluorescence continuous metals monitor. They found that while concentrations of 989 aged organic aerosol, sulfate, and nitrate were similar between the two sites, contributions from sources such as traffic exhaust, 990 brake wear, and road dust differed significantly, and were the primary factors responsible for differences in average PM<sub>2.5</sub> 991 concentrations. Another study by Sofowote et al. (2018), examined in more detail the reasons for elevated PM25 constituents 992 at NR-TOR-1, with particular emphasis on BC, relative to another receptor 167 m from Highway 401.

993 4.2 Downwind-upwind pollutant differences

964

994 As stated previously, NR-TOR-1 was the most ideal near-road monitoring location in this study for associating TRAP

995 measurements with local meteorology, as it was positioned on flat terrain, and the major roadway which it was stationed next

to was the only significant source of TRAPs in the immediate area. Thus, the direction of wind at this site had a significant 996

1004 impact on measured pollutant concentrations (Fig. 1). Using the methods described in Sect. 3.2, hourly TRAP concentrations 1005 were aggregated based on wind direction, and were classified as either being downwind, upwind, or neither. Downwind and 1006 upwind averages were calculated across the entirety of the study period and their differences, CL2 are summarized also in

007

Tables 2-4. Additional information regarding the number of downwind/upwind hours and confidence intervals are provided in 008

the supplementary information (Sect. S2). Note that downwind and upwind conditions were generally not uniform with respect 009 to time of day (Fig. S2); however, it was found that even if downwind and upwind data occurred uniformly with respect to

010 time of day the impact it would have on average  $C_{1,2}$  values is minimal for most pollutants (Tables S5 and S6).

011 The  $C_{1,2}$  values reported in Table 2 for NR-TOR-1 correspond relatively well with, but are higher than, respective  $C_{1,1}$  values

012 This is true for most pollutants, with the exception of  $O_3$  and  $PM_{2.5}$ . The reason local concentrations generated via method 2

013  $(C_{L,2})$  are generally greater than those generated via method 1  $(C_{L,1})$  is believed to be due to the following; when a site is

1014 directly downwind from a road it will generally experience greatest TRAP concentrations, as it is this case in which there is

1015 the smallest distance for dilution between the road and the site. In contrast, CL, 1 values were averaged across all meteorological 1016 scenarios. The fundamental differences between methods 1 and 2 is explored further in Sect. S3 in the supplementary

1017 information

Unlike NR-TOR-1, NR-TOR-2 was not an ideal site for applying method 2 in a straightforward manner, as it measured air 1018 1019 samples within an urban canyon where micrometeorology was complicated by vortices, stagnation, and recirculation effects. 1020 Using the downwind and upwind sector definitions in Sect. 3.2.2, CL2 values were calculated at NR-TOR-2 and are 1021 summarized in Table 3. This methodology of contrasting downwind and upwind pollutant averages at NR-TOR-2 was unable 1022 to produce meaningful differences and the resulting disagreement with the near-road-urban-background differences  $(C_{L_1})$  is 1023 evident. Associating ground-level TRAP concentrations with city-scale meteorology at this site was complicated by 1024 surrounding urban architecture and the presence of an intersection approximately 50 m SW of the receptor. In actuality, the 1025 difference calculated for this site was between that of leeward and windward in-canyon concentrations, and this difference was not as substantial as the NR-TOR-2 and BG-TOR-2 average site difference. For these reasons, associating near-road pollutant 1026 1027 concentrations with meteorological data was not an effective way of differentiating between local and regional influences on 1028 pollutant concentrations at this particular near-road site. In general, in order to attain this differentiation for measurements made in urban canyons, more complicated meteorological models are necessary; hence, simple downwind/upwind differences 1029 1030 are not universally applicable to near-road monitoring data, especially for locations in heavily urbanized landscapes.

1031 Lastly, the siting of NR-VAN was somewhere between NR-TOR-1 and NR-TOR-2 in terms of complexity in associating 1032

TRAP concentrations with meteorology. The presence of densely spaced residential buildings within the immediate vicinity 1033 of the measurement station resulted in surface roughness having an effect on winds carrying TRAPs from major roadways

1034 farther away. Despite this, the differences between average downwind and upwind TRAP concentrations at NR-VAN were

1035 similar to, albeit larger, than the NR-VAN/BG-VAN differences in Table 4, a result similar to that for NR-TOR-1. The fact

1036 that consistent results were seen for NR-VAN and NR-TOR-1 but not NR-TOR-2 underlines the importance of a station's 1037 location, surrounding obstructions to winds, and location of traffic sources, and that associating near-road TRAP

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1052 concentrations with meteorological variability should be done with caution, taking into account the subtleties of each site's 1053 environ. The apparent stronger influence of the intersection rather than traffic directly next to NR-VAN (i.e. winds originating 1054 from 90°; see Fig. 3), despite Clark Drive being 6 m vs the intersection being 65 m away, may seem paradoxical. We speculate 1055 that the acceleration of southbound traffic along Clark Drive at this intersection was the main source of emissions, while

1056 coasting past the site, particularly when slowing down for the stop light, would have contributed much less.

1057 4.3 Local concentrations inferred from baseline subtraction

Method 3, as described in Sect. 3.3.1, was applied to hourly pollutant concentrations, and the algorithm input parameters used were  $\alpha = 4$  and W = 8 hr, From the output,  $C_{L,3}$  was determined as a function of time, and then averaged across the entirety of the measurement campaign; the resultant averages are summarized in Table <u>2-4 for each near-road site</u>.

A penefit to this method was that it was able to estimate local and background CO<sub>2</sub> concentrations at NR-VAN, where CO<sub>2</sub> measurements were made only in the near-road environment and not at the background site. This emphasizes a key advantage to approaches such as these: traffic-related signal can be isolated from near-road measurements alone, without the need for background or even meteorological measurements. Furthermore, this differentiation was performed on an hourly basis, thereby retaining information in the time domain, which was not possible with method 2.

1066 Across all near-road locations, average  $C_{L,3}$  concentrations were quite similar to respective average  $C_{L,1}$  values, implying that 1067 method 3, which uses only near-road data, is a robust means of estimating urban background and local traffic-related pollutant 1068 concentrations. This was true even for NR-TOR-2, where micrometeorology complicated analysis using method 2. Fine 1069 particulate matter was an exception to this, however, Regarding PM2.5, because its signal was largely dominated by regional-1070 scale sources and dynamics, temporal fluctuations in roadside PM2.5 concentrations generally varied more slowly than those 1071 of primary pollutants such as NO or BC, for example. Furthermore, this variability is generally meteorologically-driven and 1072 occurs homogeneously over large areas (10s of kilometres); we posit that these variabilities associated with meteorology were 073 falsely attributed to local signal, causing local PM<sub>2.5</sub> concentrations ascertained through this method to be much higher than 074 respective  $C_{L_1}$  concentrations. Lastly, for ambient concentrations < 80 µg m<sup>-3</sup>, the hourly precision of the SHARP 5030 is  $\pm 2$ 075  $\mu g$  m<sup>-3</sup>, So, the average site differences between near-road and background sites, which are all around 2  $\mu g$  m<sup>-3</sup> or less, are 076 likely too small for method 3 to isolate as the signal-to-noise ratio on an hourly basis is quite small, 077 The choice of time window parameter, when comparing results obtained from method 1, is both site-specific and pollutant-078 dependent. For example, shorter time windows will produce results that are in better agreement with stations that are closer in 079 proximity, Further, the role of secondary chemistry will affect agreement between method 1 and method 3, Variability in CL.3 080 is shown in Table S9, where average  $C_{L,3}$  values are reported for W = 6 and W = 14. When comparing average  $C_{L,3}$  values to

081 average C<sub>L1</sub> values as a function of W, it appears as though some pollutants produce better agreement for smaller W values

082 (e.g. CO<sub>2</sub> and PM<sub>2.5</sub>), whereas others agree better for larger values of W (e.g. UFPs). This is likely due to the relative

083 homogeneity of PM<sub>2.5</sub> and CO<sub>2</sub> and heterogeneity of UFP concentrations in urban environments. Generally, however, it

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1095	appears that the values $\alpha = 4$ and W = 8 hr are an appropriate middle-ground for the pollutants considered in this study, and	
1096	likely represent an urban background spatial scale of between 5 and 10 km.	
1097	Although application of method 3 was less suitable for some pollutants (i.e. PM2.5), it appears to behave in an accurate and	_
1098	robust manner for most others. Comparing $C_{L,1}$ and $C_{L,3}$ values in Tables 2-4, it appears that method 3 produced similar results	
1099	when compared with method 1, with the added benefit of retaining information in the time domain and not requiring a second	1
1100	site. It is worth emphasizing that method 3 was an independently developed method for background-subtracting near-road data	
1101	without the need for concurrent background measurements. The parameters $\alpha = 4$ and $W = 8$ hr were originally chosen to be	
1102	generalizable for near-road measurements, and to differentiate similar local/regional scales. While a direct comparison with	
1103	method 1 to assess the accuracy of method 3 is tempting, method 1 is not without its own limitations (i.e. differences in distance	
1104	between near-road and background stations, difficulty in removing background stations from local sources, etc.). Thus, while	
1105	this comparison is useful for understanding the spatial scales of different pollutants, background-subtraction parameters should	

106 not necessarily be chosen based on this alone,

#### 1107 4.4 Comparison of background subtraction methods

1108 Three techniques were applied to the near-road monitoring locations in this study to extract information regarding local TRAP

- 1109 concentrations: 1. Average differences between near-road and urban background locations, 2. Downwind-upwind differences
- 1110 in near-road measurements, and 3. Average concentrations inferred through time-series analysis of near-road data. Generally,
- 1111 methods 1 and 3 agreed well with one another, whereas method 2 produced values that were high in comparison with the other
- two methods at NR-TOR-1 and NR-VAN, and generated results that were close to zero at NR-TOR-2. A comparison of the three methodologies is summarized graphically in the supplementary information (Fig. S4-S6). The close agreement of
- 1114 methods 1 and 3, which describe the average concentrations attributed to local traffic, is encouraging, suggesting a background
- 1115 is inferable from near-road data alone using method 3. Method 2 was able to isolate traffic-related pollutant signal for NR-
- 116 VAN and NR-TOR-1, but was not feasible for NR-TOR-2, thus highlighting a drawback of relying exclusively on wind
- direction data for source apportionment efforts. It is believed that method 2, while useful for isolating traffic-related pollution,
- is less relevant for epidemiological purposes as it only considers certain meteorological scenarios,

#### 1119 4.5 Application of local concentrations

1120 Subtraction of background concentrations allows the influences of local traffic on near road TRAP concentrations to be

- 1121 assessed. The benefits in terms of improved understanding were examined and illustrated by applying the local concentrations
- 1122 thereby derived in two ways. The degree to which traffic influences TRAP concentrations beside a road can vary day-to-day
- 1123 depending on the prevailing meteorology. Using the local signal allowed the magnitude of this source of variability to be
- assessed in a manner that is consistent across most TRAPs and across all near-road sites. In contrast, the contribution of traffic
- 1125 to the total concentration will differ across pollutants. For example, some pollutants such as NO may be predominantly from
- 1126 traffic while others such as CO<sub>2</sub> will be dominated by the background. Separating the local and background concentrations

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-	<b>Deleted:</b> that both methods are applicable for the estimation of local traffic impacts on ambient air quality at near-road stations
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allowed assessment of how the portion from local traffic varied between sites and across the pollutants. Effectively, the 1141 1142

background subtraction methodology provided estimates that illustrate how much concentrations beside a road would drop if

1143 all the traffic on that road were to be removed, as concentrations would converge to that of the urban background in that case.

#### 1144 4.5.1 Effect of meteorology on local TRAP variability

Using the hourly values of CL3 at each near-road station determined using method 3 in Sect. 3.3.1, the roles of individual 1145 meteorological parameters on the variability of these local concentrations were explored. While roadside concentrations are 1146 affected by meteorology in a number of ways, local pollutant quantities-of interest are those from vehicular exhaust-are 1147 1148 expected to behave in a more predictable manner in comparison, and indeed there are many means in which to predict the 1149 evolution of these exhaust plumes, from simple dispersion models to computational fluid dynamics. Here, however, a more 1150 simplified means of underlining the effect of wind on above-background TRAP concentrations was utilized: local TRAP concentrations normalized to their mean values were associated with both the direction and speed of local winds, the former 1151 1152 showing the effect of downwind/upwind variability and the latter showing that of dilution. Normalization allowed results to 1153 be more comparable between sites and pollutants where mean emission rates of TRAPs may differ. While different receptor 154 distances from a roadway will lead to different absolute concentrations measured, it is assumed here that when these

155 concentrations are normalized to their mean that the trends with respect to meteorology will be similar. Because NR-TOR-2

1156 was situated within an urban canyon, the effect of meteorology on its measured concentrations was not relatable to the other

two stations in this study; for this reason it is omitted from this section. 1157

#### 4.5.2 Wind direction 1158

1164

1159 Wind direction can have a large influence on roadside TRAP concentrations. Shown in Fig. 5 is the dependence of normalized

local pollutant concentrations on wind direction at both NR-VAN and NR-TOR-1. Generally, downwind measurements have 1160

1161 the effect of enhancing local concentrations by a factor of ~1.5-2.0, whereas upwind conditions suppress local concentrations

1162 by a factor of ~4.0, with respect to the mean. Note that these upwind concentrations did not necessarily converge to zero as

1163 hourly averages were used to create these trends. It is also conceivable that during upwind periods, local turbulence from traffic

and/or brief shifts in wind direction resulted in some degree of plume capture. It would appear that, on an hourly-averaged basis, traffic's contribution to local TRAP variability (i.e. irrespective of background pollution) at a near-road receptor may 1165

1166 change by a factor of six to eight depending on the average direction of wind.

1167 As shown in Fig. 5, a clear sinusoidal wind direction dependency is apparent at NR-VAN and NR-TOR-1, with similar ranges

1168 in enhancement and suppression at both sites. However, at NR-VAN, there appears to be two modes in concentration

1169 enhancement. The Clark Drive and 12th Avenue intersection, located approximately 65 m from the receptor, had an influence

1170 on local TRAPs originating from the south. However, given its distance, west/eastbound traffic along 12<sup>th</sup> avenue should not

1171 have had an influence similar to that of Clark Drive which was only 6 m away. We postulate that the traffic lights at the

intersection caused stop-and-go patterns in which southbound traffic on Clark Drive was often backed up to the monitoring 1172

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1181 location, and it is these driving patterns that are believed to be associated with the enhancement seen between the wind 1182 directions of 100°-200° at NR-VAN.

1183 When comparing methods of background subtraction, it was shown that method 2 vielded higher estimates of the local 1184 concentrations in comparison with the other two methodologies, as further explored in Sect. S3 of the supplementary data. 1185 Across pollutants, it was found that on average this downwind/upwind difference resulted in local TRAP concentrations that 1186 were factors of 1.3 and 1.4 times greater than those inferred from method 1 at NR-VAN and NR-TOR-1, respectively (Table 187 SE). In short, this corresponds well with above-average normalized local pollutant concentrations during downwind conditions 188 at both sites (Fig. 5), during which conditions values of  $C_{1,3}$  were found to be similar factors greater than the mean at both sites 189 (Table S8). 1190 Lastly, it is of interest to note that hourly upwind CL3 concentrations at either site yielded non-zero local concentrations. It is

1191 indeed likely that at an hourly time-resolution some plume capture will occur during predominately upwind conditions; 1192 however, this seems to carry with it the implication that upwind analysis at a near-road location may overestimate background 1193 concentrations. To test this, average upwind concentrations were compared with average concentrations measured at each

1194 nearest background location, the results of which are summarized in Table S2. Generally, the two appear to agree well with 195 one another, and so any plume capture during upwind conditions apparently produced a negligible impact on total

1196

#### 4.5.3 Wind speed 1197

concentrations.

1198 Similar to the analysis in the previous section, the effect of wind speed on roadside TRAP concentrations was explored at NR-1199 TOR-1 and NR-VAN, and consistent results were found between them. Under stagnant conditions (wind speeds of ~1.0 m s<sup>-1</sup> 1200 1), local pollutant quantities were found to be enhanced by factors of ~2.0 and ~1.7 at NR-VAN and NR-TOR-1, respectively, 1201 and high wind speeds (> 10 m s<sup>-1</sup>) suppressed these quantities by a factor of ~2.0 at both sites (Fig. 6), giving an overall 1202 influence factor of 3.4 to 4. The maximum levels of enhancement and suppression were slightly smaller than the results found 1203 for wind direction, implying a slightly smaller or equivalent importance on local TRAP concentrations at a given roadside 1204 receptor. The relation used to model the effect of wind speed on normalized local concentrations was the following: I.

1205 
$$\frac{c_{L,3}}{\bar{c}_{L,3}} = \frac{c_1}{WS^{c_2}},$$

1206 where  $C_{L,3}$  are local pollutant concentrations determined through method 3,  $c_1$  and  $c_2$  are regression parameters, and WS is 1207 wind speed as measured at the station. Indeed, more involved models have been shown to better represent the wind speed dependency of specific pollutants (Jones et al., 2010); however, simplicity is preferred here so as to generalize results across 1208 1209 sites and pollutants.

1210 On average, the regression parameters c1 and c2 were found to be ~2.0 and ~0.6 for NR-VAN, and ~1.6 and ~0.5 for NR-TOR-

1211 1, respectively (Table S10). Section S5.1 in the supplementary information compares these results between weekdays and

1212 weekends. While different c1 parameters were determined for both sites, presumably due to their difference in roadway

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1222 proximity, similar c2 parameters between 0.5-0.6 were found. The c2 parameter, which embodies the wind speed-pollutant

1223 decay relationship, is expected to be independent of a station's proximity to the roadway. As with the wind direction analysis

1224 in the previous section, these associations with respect to wind speed were averaged from two years of hourly data across the

1225 entire study domain, meaning they were acquired from a range of pollutants, traffic conditions, wind directions, and times of

1226 day. While less descriptive from a mechanistic perspective, these results are intended to be more representative of the ranges

1227 of variability in average above-background exposure levels in the immediate area.

#### 1228 4.6 Fraction of near-road pollution attributable to local sources

1229 The time-series based estimates of the background concentrations were also applied to estimate the portion of the pollutant 1230 concentrations that were due to local traffic. For example approximately half of total BC concentrations were estimated to be 1231 due to local sources at NR-TOR-1 with lower and higher percent contributions at NR-TOR-2 and NR-VAN, respectively (Fig. 1232 7). The contribution of local sources varied across the pollutants; NO had the highest local contribution at the near road sites 233 while CO2 had the lowest (Fig. 3). Further, this methodology was able to replicate trends in weekday/weekend background 1234 pollution variability-shown in Fig. 7 is BC, for example, with others in the supplementary (Fig. S7-S12). Local components 1235 of air pollution showed far greater differences between weekdays and weekends at each near-road monitoring location, 1236 emphasizing the effect of different on-road traffic conditions between these two sets of days. Generally, TRAP concentrations 1237 measured at urban background sites were slightly higher on weekdays compared to weekends, and this change in regional 1238 pollution was captured in the background contributions extracted from the near-road data. It should be expected that average 1239 concentrations measured at BG-TOR-1 should match the background elements of NR-TOR-1 reasonably well, with a similar 1240 argument to be made for BG-TOR-2 and NR-TOR-2; however, these urban background concentrations are likely not perfectly 1241 homogeneous throughout the city. The spatial difference between BG-TOR-1 in north Toronto and BG-TOR-2 in south 1242 Toronto was 20 km, and the difference in average pollutant levels between the two reflects this.

#### 1243 5 Conclusions

In this study TRAP concentrations were measured continuously at time resolutions of one hour or finer for over two years at 1244 1245 three near-road and three urban background locations. Three methods were explored for estimating the contribution of local 1246 and regional/background sources on near-road measurements: differences between average measurements taken near-road and 1247 at a nearby urban background location, downwind-upwind analysis at the near-road location, and time-series analysis of nearroad pollutant data. Generally, the near-road vs urban background and time-series analysis methods produced results that were 1248 1249 in good agreement; these values represent contributions to TRAP due to local traffic averaged over all wind directions. The 1250 downwind-upwind method yielded local concentrations that were higher than the average station differences by approximately 1251 40%; this was attributable to the downwind/upwind analysis isolating the conditions where traffic has the greatest impact on 1252 a site while the average differences included data across all wind conditions.

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1258 The time-series analysis method was an accurate and robust means of differentiating local and regional signal, with the added

1259 benefits of being applicable across all near-road sites, not being constrained to certain meteorological scenarios or requiring a

1260 separate background site, and retaining information in the time domain. This methodology is recommended for future use in

1261 applications such as: determining the impact of local on-road traffic to a roadside receptor, isolating background concentrations

1262 from ambient data for use in dispersion modelling, and obtaining above-background concentrations for fleet emission factor

1263 calculations, for example,

1264 Lastly, to demonstrate the value in isolating the influence of local sources at an hourly time resolution, local TRAP 1265 concentrations determined using time-series analysis were compared with meteorological variables at two of the near-road sites, NR-VAN and NR-TOR-1. This analysis yielded trends that were similar between sites and generalizable across all 1266 1267 measured pollutants, with the exception of PM2.5 and O3. Wind direction had a factor of influence of approximately seven at 1268 both near-road sites, while the effect of wind speed was found to be slightly smaller, varying local hourly concentrations by a 1269 factor of four, with highest concentrations seen during stagnant conditions and lowest concentrations as wind speed became 1270 large. Both sites exhibited similar decays in local concentration with respect to wind speed; proportionality to wind speed was found to be between WS<sup>-0.5</sup> and WS<sup>-0.6</sup>. 1271

#### 1272 Author contribution

1273 AM, LW, CA, DH, JRB, and GJE designed and initiated the near-road monitoring study. Data collection and quality assurance

from Torontonian stations was performed by: NH, JMW, CHJ, RMH, US, JD, YS, and MN, while GD was responsible for the
 two stations in Vancouver. NH prepared the manuscript, with contributions from all co-authors, and performed all data

1276 analysis.

#### 1277 Acknowledgements

1278 We would like to thank all partners involved in the near-road monitoring pilot project in Canada, including staff from Metro

1279 Vancouver, the Ontario Ministry of the Environment Conservation and Parks, and Environment and Climate Change Canada

1280 for their assistance in formulating the design of the study, as well as, deploying and maintaining the air quality instruments

1281 used in this study.

#### 1282 Competing interests

1283 The authors declare they have no conflict of interest.

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_	Station ID	Latitude	Longitude	Major Roadway	Distance from Roadway [m]	
_	NR-TOR-1	43.7111	-79.5433	Highway 401	405,500	10
	BG-TOR-1	43.7806	-79.4675	-	-	-
	NR-TOR-2	43.6590	-79.3954	College Street	17,200	15
	BG-TOR-2	43.6122	-79.3887	-	-	-
	NR-VAN	49.2603	-123.0778	Clark Drive	33,100	6
_	BG-VAN	49.2529	-123.0492	-	-	-
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1461	"Table 1: IDs, locations, name of major roadway, and average daily traffic intensity for each monitoring location.

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409	Table 2: Wean local poin	utant concen	trations at NK-10	K-1 determin	ed using each	I Dackground	-subtraction me	unoa.		Deleted: Local
		<u>M</u>	ethod 1		Method 2		M	ethod 3		<b>Deleted:</b> determined using method 1 for each near-road and urban background station pair $(C_{L,1})$ . Number of coincidental hours, N, and mean values with their respective 95% confidence intervals are also reported.
	Pollutant							•		Formatted Table
		N (hours)	<u>C<sub>L1</sub> ± 95%CI</u>	C <sub>DW</sub>	<u>C<sub>UW</sub></u>	<u>CL2</u>	N (hours)	<u>C<sub>L3</sub> ± 95%CI</u>	-	Formatted: Subscript
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1	<u>NO [ppb]</u>	<u>14169</u>	$\underline{21.5\pm0.4}$	<u>37.8</u>	<u>2.9</u>	<u>34.9</u>	<u>15524</u>	$\underline{18.3\pm0.4}$		Formatted: Subscript
Ì	<u>NO2 [ppb]</u>	<u>13765</u>	<u>8.7 ± 0.1</u>	<u>21.2</u>	<u>10.7</u>	<u>10.5</u>	<u>15087</u>	<u>9.2 ± 0.1</u>		
İ	<u>CO [ppb]</u>	<u>6479</u>	$103.2 \pm 2.7$	<u>364.4</u>	226.6	<u>137.9</u>	<u>13008</u>	$114.6 \pm 2.2$		
l	<u>CO<sub>2</sub> [ppm]</u>	<u>7900</u>	$\underline{14.4\pm0.6}$	<u>437.3</u>	<u>416.4</u>	<u>20.9</u>	<u>14812</u>	$19.6 \pm 0.4$		
İ	<u>O<sub>3</sub> [ppb]</u>	<u>13753</u>	$\underline{-5.9\pm0.1}$	<u>15.3</u>	<u>33.2</u>	<u>-17.9</u>	<u>15181</u>	<u>-12.3 ± 0.2</u>		
İ	<u>РМ<sub>2.5</sub> [µg m<sup>-3</sup>]</u>	<u>14170</u>	$\underline{1.48\pm0.06}$	7.68	<u>9.01</u>	<u>-1.33</u>	<u>15484</u>	$\underline{4.30\pm0.08}$		
İ	UFP [cm <sup>-3</sup> ]	<u>5212</u>	$\underline{29600\pm800}$	<u>57000</u>	<u>15300</u>	<u>41700</u>	<u>12683</u>	<u>22754 ± 449</u>		
İ	<u>ВС [µg m<sup>-3</sup>]</u>	<u>8036</u>	$\underline{1.03\pm0.03}$	<u>2.13</u>	<u>0.73</u>	<u>1.4</u>	<u>15443</u>	$\underline{1.01} \pm 0.02$		
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#### 1480 Table 2. M AND TOD 1 . . . . ... . ...

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1512	Table 3: Mean local,	pollutant <u>conce</u>	entrations at NR-T	OR-2 determi	ined using ea	ich backgroun	d-subtraction me	ethod.	 Deleted: P
		Me	thod 1		Method 2		Me	thod 3	$\label{eq:Deleted:averages aggregated by downwind, C_{\rm DW}, and upwind, C_{\rm UW}, conditions at each near-road site, along with the respective differences, C_{\rm L,2}.$
İ	Pollutant								 Formatted Table
		<u>N (hours)</u>	<u>C<sub>L,1</sub> ± 95%CI</u>	<u>C<sub>DW</sub></u>	<u>Cuw</u>	<u>C<sub>L2</sub></u>	<u>N (hours)</u>	<u>C<sub>L3</sub> ± 95%CI</u>	Formatted: Subscript
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1	NO [ppb]	<u>13768</u>	$3.5 \pm 0.1$	<u>6</u>	<u>3.2</u>	2.8	<u>14937</u>	$3.8 \pm 0.1$	Formatted: Subscript
	<u>NO<sub>2</sub> [ppb]</u>	<u>11211</u>	$5.4 \pm 0.1$	<u>8.5</u>	<u>10.4</u>	<u>-1.9</u>	<u>12359</u>	$5.3 \pm 0.1$	
Ì	CO [ppb]	<u>13603</u>	$\underline{72.3\pm1.5}$	<u>247.9</u>	<u>246.8</u>	<u>1.1</u>	<u>15152</u>	<u>68.7 ± 1.3</u>	
İ	<u>CO2 [ppm]</u>	<u>10686</u>	$\underline{10.6\pm0.4}$	<u>423.1</u>	<u>421.4</u>	<u>1.7</u>	<u>14626</u>	$13.3 \pm 0.2$	
Ì	<u>O<sub>3</sub> [ppb]</u>	<u>15109</u>	$-2.9 \pm 0.1$	<u>24.2</u>	<u>28.7</u>	<u>-4.5</u>	<u>15827</u>	<u>-9.0 ± 0.1</u>	
	<u>PM<sub>2.5</sub> [μg m<sup>-3</sup>]</u>	<u>15193</u>	$\underline{0.27 \pm 0.05}$	<u>3.8</u>	<u>9.01</u>	<u>-5.21</u>	<u>15730</u>	$2.92 \pm 0.06$	
	<u>UFP [cm<sup>-3</sup>]</u>	<u>7400</u>	$\underline{7400\pm200}$	<u>12900</u>	<u>16700</u>	<u>-3800</u>	<u>14931</u>	$\underline{7088 \pm 108}$	
	<u>ВС [µg m<sup>-3</sup>]</u>	<u>14740</u>	$\underline{0.34 \pm 0.01}$	<u>0.63</u>	<u>0.81</u>	<u>-0.18</u>	<u>15451</u>	$0.41 \pm 0.01$	 Formatted Table
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ble 4: Mean local	pollutant conce	entrations at NR-V	AN determin	ed using eac	h background	-subtraction met	hod.	Formatted: Normal
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Pollutant	Method 1		Method 2			Method 3		<b>Deleted:</b> Average TRAP concentrations associated influences at each near-road monitoring location cal using method 3, C <sub>L-3</sub> , along with number of hours (N confidence intervals (CI) on the means (µ).
	N (hours)	<u>C<sub>L,1</sub> ± 95%CI</u>	<u>C</u> <sub>DW</sub>	<u>C</u> uw	<u>C</u> L,2	<u>N (hours)</u>	<u>C<sub>L.3</sub> ± 95%CI</u>	Deleted: Pollutant
								Formatted Table
<u>NO [ppb]</u>	<u>10647</u>	$\underline{23.0\pm0.5}$	<u>56.6</u>	<u>9.7</u>	<u>46.8</u>	<u>15134</u>	$\underline{27.6\pm0.6}$	Formatted Table
<u>NO2 [ppb]</u>	<u>10666</u>	$\underline{5.1\pm0.1}$	<u>21.9</u>	<u>11.5</u>	<u>10.4</u>	<u>15148</u>	$9.7 \pm 0.1$	
CO [ppb]	<u>9435</u>	$\underline{95.7\pm2.3}$	<u>414.3</u>	210.1	<u>204.2</u>	<u>13935</u>	$\underline{153.3\pm3.4}$	
<u>CO2 [ppm]</u>	Ξ	=	<u>461.6</u>	<u>414.5</u>	<u>47.1</u>	<u>13503</u>	$39.0 \pm 0.7$	
<u>O<sub>3</sub> [ppb]</u>	<u>10535</u>	$-3.9 \pm 0.1$	<u>9.4</u>	<u>19.7</u>	<u>-10.3</u>	<u>15016</u>	<u>-10.6 ± 0.1</u>	
<u>PM<sub>2.5</sub> [μg m<sup>-3</sup>]</u>	<u>10491</u>	$\underline{2.26\pm0.07}$	<u>8.81</u>	<u>5.57</u>	<u>3.23</u>	<u>14879</u>	$\underline{3.99\pm0.10}$	
<u>UFP [cm<sup>-3</sup>]</u>	<u>9452</u>	<u>11600 ± 300</u>	<u>30000</u>	<u>14000</u>	<u>16000</u>	<u>14463</u>	$15252 \pm 251$	
<u>ВС [µg m<sup>-3</sup>]</u>	<u>10728</u>	$\underline{1.18\pm0.02}$	<u>2.48</u>	<u>0.84</u>	<u>1.64</u>	<u>15312</u>	$\underline{1.26\pm0.02}$	
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1566Figure 1: Satellite image of the NR-TOR-1 site, along with upwind (blue) and downwind (red) quadrant definitions. Meteorological1567measurements were taken on top of a 10 m mast at the location of the station (labelled: NR-TOR-1) (a). Average ambient CO21568concentrations by wind direction, with upwind and downwind definitions again highlighted in blue and red, respectively. Error bars1569are 95% confidence intervals on the mean (b).



1583 Figure 2: Satellite image of the NR-TOR-2 site, along with upwind (blue) and downwind (red) quadrant definitions. Meteorological 1584 measurements were recorded on the roof of the facility (labelled: NR-TOR-2) (a). Average ambient CO<sub>2</sub> concentrations by wind 1585 direction, with upwind and downwind definitions again highlighted in blue and red, respectively. Error bars are 95% confidence 1586 intervals on the mean (b).





1606Figure 3: Satellite image of the NR-VAN site, along with upwind (blue) and downwind (red) sector definitions. Meteorological1607measurements were recorded on a 10 m mast above the station's location (labelled: NR-VAN) (a). Average ambient CO21608concentrations by wind direction, with upwind and downwind definitions again highlighted in blue and red, respectively. Error bars1609are 95% confidence intervals on the mean (b)

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Figure 5: Normalized local pollutant concentrations determined using method 3 as a function of wind direction at NR-VAN (a) and
 NR-TOR-1 (b). Solid lines indicate the average trend amongst all TRAPs, and shaded areas indicate the range of variability between
 TRAPs.

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672Figure 6: Normalized local pollutant concentrations determined using method 3 as a function of wind speed at NR-VAN (a) and NR-673TOR-1 (b). Solid lines indicate the average trend amongst all TRAPs, and shaded areas indicate the range of variability between

1674 TRAPs.

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Figure 7; Black carbon concentrations at each monitoring location in this study. Each site is separated by weekday and weekend, and bars are stacked according to concentrations attributed to local and regional sources. Background stations are presumed fully 

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- regional and therefore contain no local component.



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 Figure & Average fraction of near-road measurements attributed to local sources, as determined by method 3, for each near-road monitoring location.

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