# **A new discrete wavelength BUV algorithm for consistent volcanic SO2 retrievals from multiple satellite missions**

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**Abstract.** This paper describes a new discrete wavelength algorithm developed for retrieving volcanic sulfur dioxide (SO<sub>2</sub>) vertical column density (VCD) from UV observing satellites. The Multi-Satellite SO<sub>2</sub> algorithm (MS SO2) simultaneously retrieves column densities of sulfur dioxide, ozone, 20 Lambertian Effective Reflectivity (LER) and its spectral dependence. It is used operationally to process measurements from the heritage Total Ozone Mapping Spectrometer (TOMS) on board NASA's Nimbus-7 satellite (N7/TOMS: 1978-1993) and from the current Earth Polychromatic Imaging Camera (EPIC) on board Deep Space Climate Observatory (DSCOVR: 2015-) from the Earth-Sun Lagrange (L1) orbit. Results from MS\_SO2 algorithm for several volcanic cases were assessed using the more

- 25 sensitive principal component analysis (PCA) algorithm. The PCA is an operational algorithm used by NASA to retrieve SO2 from hyperspectral UV spectrometers, such as Ozone Monitoring Instrument (OMI) on board NASA's Earth Observing System Aura satellite and Ozone Mapping and Profiling Suite (OMPS) on board NASA-NOAA Suomi National Polar Partnership (S-NPP) satellite. For this comparative study, the PCA algorithm was modified to use the discrete wavelengths of the
- 30 Nimbus7/TOMS instrument, described in S1 of the paper supplement. Our results demonstrate good agreement between the two retrievals for the largest volcanic eruptions of the satellite era, such as 1991 Pinatubo eruption. To estimate  $SO<sub>2</sub>$  retrieval systematic uncertainties we use radiative transfer simulations explicitly accounting for volcanic sulfate and ash aerosols. Our results suggest that the

discrete-wavelength MS SO2 algorithm, although less sensitive than hyperspectral PCA algorithm, can be adapted to retrieve volcanic  $SO<sub>2</sub> VCDs$  from contemporary hyperspectral UV instruments, such as OMI and OMPS, to create consistent, multi-satellite, long-term volcanic SO<sub>2</sub> climate data records.

# **1 Introduction**

- 5 Volcanic eruptions are an important natural driver of global climate change, but unlike other natural climate forcing (e.g., changes in Earth's orbit, solar irradiance), the magnitude of volcanic forcing is highly variable, largely unpredictable, and the effects are typically more transient. Of most interest are the episodic, large injections of volcanic sulfur dioxide  $(SO<sub>2</sub>)$  into the Earth's stratosphere by major explosive volcanic eruptions, the most recent example being the eruption of Pinatubo (Philippines) in
- 10 June 1991 (e.g., Bluth et al., 1992; Guo et al., 2004). Stratospheric loading of volcanic SO<sub>2</sub> by major eruptions leads to the formation of sulfuric acid (or sulfate) aerosols that scatter incoming solar shortwave radiation and absorb outgoing thermal radiation over timescales of months to years, cooling the troposphere and warming the stratosphere (e.g., Robock, 2000). Primary volcanic emissions of aerosols such as volcanic ash can also have atmospheric and climate impacts, but these are typically
- 15 more short-lived. Volcanic eruptions can also release reactive halogen species into the atmosphere, such as chloride and bromide (Mankin and Coffey, 1984; Bobrowski et al., 2003; Kern et al., 2008). Halogens can impact the total column ozone amount and profile shape if injected into the lower stratosphere (Solomon et al. 1998, Klobas et al. 2017), but sulfate aerosols are also required to catalyze the heterogeneous chemical reactions that can efficiently deplete ozone. Hence, to understand the
- 20 impacts of volcanic eruptions on climate, and in order to predict possible outcomes in the event of a major eruption, long-term satellite measurements of volcanic  $SO<sub>2</sub>$  emissions are essential.

The satellite record of volcanic  $SO_2$  emissions by major volcanic eruptions extends back to 1978, and has been derived from instruments operating in both the ultraviolet (UV) and infrared (IR) spectral bands (Fig. 1; e.g., Carn et al., 2003, 2016, 2019; Prata et al., 2003). Measurements in the UV

- 25 have a longer heritage, since the first satellite detection of volcanic  $SO<sub>2</sub>$  was achieved by the UV Total Ozone Mapping Spectrometer (TOMS) in 1982 following the eruption of El Chichon (Mexico; Krueger, 1983; Krueger et al., 2008), and interference from volcanic SO2 must be accounted for in order to produce accurate, long-term UV measurements of ozone. UV measurements have greater sensitivity to the total atmospheric SO2 column than IR retrievals and hence the former have been the mainstay of
- 30 volcanic  $SO_2$  monitoring during the satellite era to date. The volcanic  $SO_2$  climatology from 1978present (Fig. 1, Carn 2019) reveals highly variable inter-annual volcanic  $SO_2$  forcing dominated by two major eruptions (El Chichon in 1982 and Pinatubo in 1991), with the post-2000 period dominated by smaller eruptions. Although none of these smaller eruptions have, individually, produced measurable climate effects, collectively they have garnered significant interest as they may play an important role in
- 35 sustaining the persistent, background stratospheric aerosol layer, which is an important factor in global climate forcing (e.g., Solomon et al., 2011; Vernier et al., 2011; Ridley et al., 2014).

One of the key challenges in assembling a long-term, consistent, satellite-based volcanic  $SO_2$ emissions climatology (e.g., Fig. 1) is merging measurements from sensors with different spectral

coverage and resolution. This complicates any analysis of 'trends' in volcanic  $SO_2$  loading (e.g., in the post-2000 period of moderate volcanic eruptions; Fig. 1) or comparisons of eruptions of similar magnitude in different satellite instrumental eras. A step change in  $SO<sub>2</sub>$  sensitivity occurred when the multi-spectral, six-channel TOMS instruments were superseded by hyperspectral UV sensors, such as

- 5 the Global Ozone Monitoring Experiment (GOME, 1995-2003; Khokhar et al., 2005), the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY, 2002-2012; Lee et al., 2008), the Ozone Monitoring Instrument (OMI, 2004- ; Krotkov et al., 2006), the Ozone Mapping and Profiler Suite (OMPS, 2012- ; Carn et al., 2015), and EU/ESA Copernicus Sentinel 5 precursor (S5P) (Veefkind et al., 2012). This is manifested in Figure 1 by an increased number of detected
- 10 volcanic eruptions with low  $SO_2$  loading (<10 kt) after 2004 (note that GOME and SCIAMACHY measurements are not shown in Fig. 1), whereas rates of global volcanic activity have not changed significantly. UV  $SO<sub>2</sub>$  retrieval algorithms have also evolved substantially since the 1980s in response to advances from multi-spectral to hyperspectral sensors, improvements in ozone retrievals, and efforts to account for volcanic ash and aerosol interference (e.g., Krueger et al., 1995, 2000; Krotkov et al.,
- 15 1997, 2006; Yang et al., 2007, 2010; Li et al., 2013, 2017; Theys et al., 2015). However, to date there has been no attempt to develop a single algorithm that could be used to generate a long-term, consistent SO2 climatology across multiple UV satellite missions. In this paper we describe a new Multi-Satellite  $SO_2$  algorithm (MS  $SO_2$ ) that is applicable to both multi-spectral (e.g., TOMS) and hyperspectral (e.g., OMI) UV measurements. As a first step in the generation of a multi-satellite volcanic  $SO_2$  record, we
- 20 apply the MS SO2 algorithm to the Nimbus-7 TOMS (N7/TOMS) measurements (1978-1993) and present a reanalysis of some of the most significant eruptions of the N7/TOMS mission.



Figure 1. Multi-decadal record of  $SO_2$  emissions by volcanic eruptions observed by NASA's fleet of satellites observing TOA UV radiances. Eruptions (*star symbols*) are color-coded by estimated plume altitude, derived from a variety of sources, including Smithsonian Institution Global Volcanism

- 5 Program volcanic activity reports, volcanic ash advisories, and satellite data. The annual total explosive volcanic SO2 production (omitting SO2 discharge from effusive eruptions) is shown in black. *Orange lines* above the plot indicate the operational lifetimes of NASA UV satellite instruments: Nimbus-7 (N7), Meteor-3 (M3), ADEOS (AD), and Earth Probe (EP) TOMS, OMI (currently operational), and SNPP/OMPS (currently operational), along with the ESA/EU Copernicus S5P/TROPOMI (currently
- 10 operational). Data shown in this plot are available from the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) as a level 4 MEaSUREs (Making Earth System Data Records for Use in Research Environments) data product (Carn 2019).

#### **2 Heritage satellite ozone and SO2 algorithms**

Ozone and SO2 are the two main absorbers in the near UV spectral region between 300 and 340 nm. The relative contributions of each gas to the satellite backscattered ultraviolet (BUV) measurements at the three absorbing TOMS channels (317, 331, 340 nm) used in the retrieval, depend on the spectral

- 5 structure of the absorption cross sections, which are measured as functions of wavelength and temperature (Bogumil et al., 2003, Daumont et al. 1992). Figure 2 shows the  $O_3$  and  $SO_2$  cross sections and the  $SO<sub>2</sub>/O<sub>3</sub>$  cross section ratio as a function of wavelength for a spectral UV region spanning the three absorbing channels of TOMS. At the instrument's spectral resolution ( $\sim$ 1 nm FWHM) the SO<sub>2</sub> molecule is 2.5 times more absorbing than  $O_3$  at 317 nm, while  $O_3$  is 6 times more absorbing at 331 nm.
- 10 These differences allow for simultaneous multispectral retrievals of  $O_3$  and  $SO_2$ .



Figure 2. Spectral dependence of laboratory measured  $SO<sub>2</sub>$  (black) and  $O<sub>3</sub>$  (red) cross sections between 310-340 nm at TOMS FWHM~1nm. The  $SO<sub>2</sub>/O<sub>3</sub>$  ratio (green) is shown with the scale on the right axis. The nominal locations of the N7/TOMS absorbing bands (317, 331, 340 nm) 15 are shown by vertical blue lines (blue).

# **2.1 Heritage BUV Ozone algorithms**

Dave and Mateer (1967) first proposed a technique to estimate total ozone column from nadir backscatter UV measurements taken in the Huggins ozone absorption band (310-340 nm), assuming no SO2 is present. Their algorithm was inspired by the pioneering Dobson Spectrophotometer which 20 measures attenuation of solar irradiance by UV wavelength pairs from which total ozone is derived, using the Beer-Lambert law. However, unlike the direct sun technique, radiative transfer calculations show that the top-of-the atmosphere BUV radiances (I) do not follow the Beer-Lambert law. In general,  $log(I)$  varies non-linearly with ozone column amount  $(\Omega)$ , and this relationship is sensitive to the shape

of the ozone profile (defined as the ozone density profile normalized to total ozone). To account for this effect Dave and Mateer (1967) proposed constructing a set of lookup tables (LUT) based on standard ozone profiles with different total ozone amounts using ozonesonde and Dobson Umkehr data. Since the shape of the profiles also vary with latitude, they proposed using three sets of profiles for low, mid and

5 high latitudes. These profiles are then used to estimate I, which varies with wavelength  $(\lambda)$ , observational geometry, surface pressure and surface reflectivity (R). Following the Dobson convention,  $log(I)$  is converted to N-value which is defined in Eq. (1) as,

$$
N = -100\log_{10}\left(\frac{l}{F}\right) \tag{1}
$$

10

F is the extra-terrestrial solar irradiance. By linearly interpolating N between total ozone nodes, one forms the N- $\Omega$  curves that are a single valued function of  $\Omega$  representative of a given latitude band and observational geometry. This approach allows  $\Omega$  to be estimated by matching the measured N-value to the interpolated N-values.

- 15 Over the years several modifications have been introduced to this basic concept. Mateer et al. (1971) proposed a Lambert-equivalent reflectivity (LER) concept to estimate the combined contribution of surface, clouds and aerosols to BUV radiance. In this concept, the scene at the bottom of the atmosphere is assumed to be a Lambertian reflector whose reflectivity  $(R_s)$  is derived from the measurements at 380 nm where the ozone and  $SO<sub>2</sub>$  absorption is negligible. The effective pressure of
- 20 this reflecting surface is assumed to vary with  $R_s$ , from a surface pressure at  $R_s < 0.2$  to a cloud pressure 0.4 atm at  $R_s > 0.6$ , linearly interpolated at intermediate  $R_s$ . The algorithm assumed that  $R_s$ , thus derived, did not vary with wavelength. Although in the earlier versions of this algorithm wavelength pairs (313/331, 318/340) were used to derive  $\Omega$ . R<sub>s</sub> was later derived at 331 nm to minimize errors due to the spectral dependence of  $R_s$ . This made pairing unnecessary (McPeters et al, 1996).
- 25 By explicitly modelling the effect of aerosols using a radiative transfer code, Dave (1966) showed that Rs did not vary significantly with wavelength for non-absorbing aerosols, hence they produced no ozone error. However, for aerosols that might have strong absorption in the UV, he predicted that Rs would decrease at shorter wavelengths, producing an overestimation of ozone. However, since aerosol properties in the UV were not known at that time, no correction for aerosol
- 30 absorption was applied until the mid 90s when the effect predicted by Dave (1966) was detected in the Nimbus-7 TOMS data launched in October 1978.

Since the TOMS instrument had three reflectivity channels (331, 340, 380 nm), it was possible to compare the reflectivities derived from them. This comparison showed that  $R_s$  increased significantly with wavelength for moderately thick clouds causing a significant underestimation of  $\Omega$  (up to 3%). A

35 modified LER (MLER) concept assuming two Lambertian surfaces, one at the surface and the other at the cloud top was applied to minimize this error (Ahmad et al., 2004).

The most recent version of the TOMS ozone algorithm reverts back to the LER model, but it assumes that clouds are at the surface, which reduces the  $R_s$  wavelength dependence (Ahmad et al., 2004). This simple LER (SLER) model is used in our  $SO<sub>2</sub>$  algorithm. However, since there are many

40 other reasons for such a dependence including ocean color, non-Lambertian surfaces, such as ocean

glint and fogbow, and most importantly the absorbing aerosol effect predicted by Dave  $(1966)$ , R<sub>s</sub> is assumed to vary linearly with  $\lambda$ ; its slope is derived using 340 and 380 nm radiances. This simple omnibus approach works well for most cases, except when the UV absorbing aerosols (smoke, dust and volcanic ash) are very thick. Such data are flagged in the TOMS ozone algorithm. The new MS\_SO2 5 algorithm is an extension of this algorithm into two dimensions (section 3).

**2.2 Heritage TOMS SO2 algorithms**

Krueger (1983) was the first to suggest that TOMS could be used to retrieve sulfur dioxide from explosive volcanic events. He correctly interpreted the large positive ozone anomaly observed following the explosive eruption of El Chichon in 1982 as being due to the  $SO<sub>2</sub>$  released into the atmosphere 10 during the event. To estimate the  $SO_2$  inside the plume region, he separated the  $SO_2$  and  $O_3$  signals by computing a residual reflectance, estimated as the difference between the interpolated unperturbed background reflectances outside the plume and the reflectance anomaly inside the plume. This early technique for retrieving  $SO<sub>2</sub>$  from TOMS ozone estimates became known as the residual method. The residual method, however, failed when the background could not be clearly separated from the ozone

15 anomaly. Krueger subsequently developed the first BUV algorithm that separated the  $O_3$  and  $SO_2$ radiance contributions, based on an earlier methodology developed by Kerr (1980) to retrieve the  $SO_2$ column from the ground with a Brewer spectrophotometer. This method assumed that the BUV radiation was attenuated by the two absorbing species  $(O_3, SO_2)$ , leading to equation describing BUV radiance, I, for a given wavelength,  $\lambda$ , corresponding to the TOMS field of view (FoV):

20

$$
I(\lambda) = aF(\lambda) \exp[-b\lambda + S_g(\tau_{0_3} + \tau_{SO_2})],
$$
\n(2)

In Eq. (2), *F* is the incoming solar flux,  $S_g$  is the geometrical optical path (air-mass factor, AMF), and  $\tau_{\text{O3}}$  and  $\tau_{\text{SO2}}$  are the vertical optical thicknesses for  $\text{O}_3$  and  $\text{SO}_2$ , while the coefficients a and b depend on 25 the satellite viewing geometry, cloud/surface reflectance and volcanic ash and sulfate aerosols (Krueger et al. 1995, Krotkov et al., 1997). Equation 2 can be expressed in matrix form, which is then inverted to obtain estimates for the  $SO_2$  and  $O_3$  vertical column densities and the dimensionless parameters a and b. This algorithm is generally referred to as the Krueger-Kerr algorithm (Krueger et al., 1995). Krotkov et al. (1997) developed radiative transfer path correction, which explicitly accounted for the  $R_s$ , ozone and 30 SO2 vertical profiles, replacing the geometrical AMF in Eq. (2). The modified algorithm with empirical background correction has been used off-line on a case-by-case basis for the past two decades to retrieve SO<sub>2</sub> mass tonnage from medium to large explosive eruptions using TOMS BUV measurements (Krueger *et al.,* 2000; Carn *et al*., 2003).

#### **3 New MS\_SO2 algorithm**

35 The new discrete wavelength SO<sub>2</sub> algorithm (MS SO2) builds on the heritage of the TOMS total ozone algorithm (section 2.1) but adds sulfur dioxide  $(SO<sub>2</sub>)$  as a second absorber. The BUV

radiance is simulated with the TOMRAD forward vector RT model (Dave 1964) from a known viewing geometry by assuming a vertically inhomogeneous, pseudo-spherical Rayleigh scattering atmosphere with standard ozone profiles (Klenk et al., 1983 and OMI Algorithm Theoretical Basis Document, vol. II, 1997) and a priori  $SO_2$  vertical profiles (Krueger et al., 1995). The underlying reflecting surfaces

- 5 (land/ocean, clouds and aerosols) are approximated with the simple LER reflecting surface at terrain height pressure (section 2.1). TOMRAD accounts for all orders of polarized Rayleigh scattering and for the gaseous absorption (e.g.,  $O_3$  and  $SO_2$ ), using a priori vertical profiles of the gas concentrations and laboratory measured temperature dependent gaseous cross sections (Dave and Mateer, 1967; Bogumil et al., 2003, Daumont et al., 1992). Improvements to the TOMRAD model include corrections for
- 10 molecular anisotropy (Ahmad and Bhartia, 1995), rotational Raman scattering (Joiner et al., 1995) and pseudo-spherical corrections to account for changes to the solar and viewing zenith angles due to the sphericity of the earth.

Performing on-line radiative transfer calculations for every satellite field-of-view (FoV) can greatly increase the time required to process full orbits of data. To improve the computational efficiency

15 of the operational algorithm, N7TOMS-specific look-up-tables (N7TOMS-LUT) were produced off-line using the inputs listed in Table 1 and convolved with the triangular band pass at each of the six Nimbus-7 TOMS wavelengths (FWHM~1 nm).

<b>LUT</b> Node	Number of	Values
	Nodes	
<b>Surface Pressure</b>	2	1013.25 and 500 hPa
Wavelength	6	312.5, 317, 331, 340, 360 and 380
<b>Standard Ozone</b>	21	3 low-, 8 middle- and 10 high-
profiles (TOMS		latitude bands
version 8)		
Gaussian SO <sub>2</sub> profiles	12 for each	0, 5, 10, 50, 100, 150, 200, 250,
	$CMA$ (8 $km$ ,	350, 450, 550, 650 DU
	13km, 18km)	
<b>SZA</b>	10	0, 30, 45, 60, 70, 77, 81, 84, 86, 88
VZA	6	0, 15, 30, 45, 60, 70

**Table 1:** Input parameters used in construction of the Nimbus-7 TOMS LUTs

20

The TOMRAD was configured to account for two absorbing trace gases:  $O_3$  and  $SO_2$ . The LUTs include twenty-one total ozone nodes and twelve total  $SO_2$  nodes for each of the three assumed  $SO_2$ heights. For ozone, the total column amounts and profile shapes vary between latitude bands (see Table

1). For sulfur dioxide, we assumed a Gaussian vertical profile shape, which is determined by two parameters: a center of mass altitude (CMA) and a geometrical standard deviation. The CMA represents the altitude of the peak  $SO_2$  concentration. LUTs for  $SO_2$  are generated for three different CMAs: 8 km (middle troposphere, TRM), 13 km (Upper Tropospheric/Lower Stratospheric, TRU), and 18 km (lower

5 stratospheric, STL). A constant standard deviation of  $\sigma = 2$  km is assumed for each SO<sub>2</sub> profile.

The MS\_SO2 algorithm retrieves a four-parameter state vector, **x,** defined below as,

$$
\mathbf{x} = \begin{pmatrix} \Sigma \\ \Omega \\ dRs/d\lambda \\ R_S \end{pmatrix},\tag{3}
$$

10 where  $\Sigma$  is the retrieved total column sulfur dioxide,  $\Omega$  is the total column ozone, *dR* /*d* $\lambda$  characterizes the Rs spectral dependence between 340 and 380 nm, and *RS* is the LER at 380nm. The retrieval of sulfur dioxide is carried out in one or two steps described in the next sections, referred to as step 1 and step2.

# **3.1 Step 1 retrieval**

15 Our step 1 inversion starts with an initial state vector  $\mathbf{x}_0$ , consisting of first guesses for  $\Sigma_0$ ,  $\Omega_0$ , and  $dR_{s0}/d\lambda$  shown in Table 2. The final state vector, x, is determined iteratively by inverting the Jacobian matrix **K** at each iteration step:

$$
dN = \text{K}dx,\tag{4}
$$

where **dx** represents the relative changes in the state vector from the previous iteration and **dN** represents the residual vector equal to  $N_m - N_c$ , computed as the difference between the measured Nvalues, N<sub>m</sub>, and the calculated N-values,N<sub>c</sub> at the four TOMS channels at 317, 331, 340 and 380 nm. **K** represents a 4 x 4 Jacobian matrix computed from the LUTs. These matrix elements are defined as:

25

20

$$
K_{i,j} = \frac{\partial N_{ci}}{\partial x_j}, \quad i, j = 1,4
$$
 (5)

where  $N_{c,i}$  is the forward model calculated N-value at wavelength *i*.

The reflectivity ZR<sub>s</sub> is computed analytically using the measured BUV radiance at 380 nm (see Supplement, Eq. S4). Note that since the  $O_3$  and  $SO_2$  cross-sections are negligible at 380 nm, the R<sub>S</sub> and 30  $\partial N_{380}/\partial R_s$  do not change with the iterations (*i.e.*,  $dR_s = 0$ ).

Equation (4) is solved iteratively by zeroing the residuals,  $dN=N_m-N_c$ , and re-computing the  $N_c$ and the Jacobians at each iteration step for the four used channels. The state vector is then adjusted after each iteration,  $\mathbf{x}_k = \mathbf{x}_{k-1} + \mathbf{dx}_k$ , k=1,2,.. until it converges on a solution as described below:

$$
dN_k = N_m - N_{c,k-1} = K_{k-1} dx_k
$$
\n(6a)

$$
dx_k = x_k - x_{k-1} = K_{k-1}^{-1} dN_k
$$
 (6b)

5 Since O<sub>3</sub> and SO<sub>2</sub> exhibit small absorption at 340 nm, a non-zero R spectral slope (i.e.,  $dR/d\lambda \neq 0$ ) accounts for the radiative effects of aerosols and surface reflectance (e.g., sun glint).

As indicated in Table 2, the algorithm initially assumes zero R- $\lambda$  dependence (i.e.,  $dR/d\lambda=0$ ), however, absorbing aerosols (smoke, dust and volcanic ash) cause  $dR/d\lambda \neq 0$ .



<b>Retrieved Parameter</b>	Wavelength	Symbol	First
	(nm)		Guess*
Total Column SO <sub>2</sub>	317	Σ	$\Sigma_0=0$
Total Column $O_3$	331	Ω	$\Omega_0$
<b>Spectral Reflectivity Dependence</b>	340	$dR/d_\lambda$	$dR_0/d_\lambda=0$
Reflectivity	380	$R_{S}$	N/A

 $^{\ast}\Omega_{0}$  is a climatological value for each of three latitude bands

The algorithm uses retrieved spectral slope  $dR/d\lambda$  in Eq (7) below to update the calculated LERs after each iteration:

15 
$$
R_j = R_S + \frac{\partial R}{\partial \lambda} (\lambda_j - \lambda_R), \quad j = 1, 4
$$
 (7)

where  $\lambda_i = 312, 317, 331, 340$  nm and  $\lambda_R = 380$ nm. When SO<sub>2</sub> or aerosol loading is high non-linear R- $\lambda$ dependence can cause systematic errors in the retrieval state vector. For this reason, we do not use the shortest 312 nm channel in the retrievals (equations 5-6), but the final residual *dN312* is used as a 20 diagnostic of the non-linearity. A step 2 empirical procedure, described in the next section, was developed to correct for the retrieval bias resulting from these errors.

#### **3.2 Step 2 retrieval**

The MS SO2 forward model accounts for  $O_3$  and  $SO_2$  absorption and linear spectral changes in Rs due to the presences of aerosols. The algorithm, however, does not explicitly characterize the

25 absorption and scattering effects of volcanic ash (absorbing) and sulfate (non-absorbing) aerosols. The retrieval errors in  $\Sigma$  and  $\Omega$  caused by volcanic ash during the first days after an explosive eruption can

be significant in the case of major volcanic eruptions like Pinatubo and El Chichon (Krueger et al., 1995; Krotkov et al., 1997). A step 2 procedure was developed primarily to handle explosive eruptions (VEI > 3), in which large  $\Omega$  anomalies are identified to occur in conjunction with high ash concentrations. In step 2, a corrected total ozone  $\Omega_{cor}$  inside the SO<sub>2</sub> cloud is interpolated using the

5 retrieved  $\Omega$  outside the plume along the orbit for each cross-track position. Even if ozone destroying chemicals are present, such effects can still be considered negligible over the relatively short time periods that SO2 concentrations are high enough to affect TOMS observations.

In deciding whether to apply step 2, the algorithm considers the retrieved  $\Sigma$ ,  $\Omega$  and Aerosol Index (AI) in Step 1. The AI is estimated from the  $dR/d\lambda$  and the calculated Jacobian  $dN/dR$  at 340 nm:

10

$$
AI = \frac{\partial N_{340}}{\partial R} \frac{\partial R}{\partial \lambda} (\lambda_{340} - \lambda_{380}) = -40 \cdot \frac{\partial N_{340}}{\partial R} \frac{\partial R}{\partial \lambda}.
$$
 (8)

Positive AI ( $dR/d\lambda$ >0) identifies spatial regions affected by absorbing aerosols (dust, smoke, ash). The step 2 selection criteria first select FoVs where either  $SO_2 > 15$  DU (inside the plume) or AI > 6. The

- 15 additional AI criterion allows for the selection of FoVs around the edges of the cloud, where the  $SO<sub>2</sub>$ can be less than 15 DU due to high aerosol concentrations. In this case, it is assumed that the step  $1 \text{ SO}_2$ may have been underestimated due to the ozone error caused by high aerosol concentrations (in these cases, the SO2 retrieved in step 2 may still not exceed 15 DU, and therefore would be excluded from the plume in subsequent mass calculations). We describe the methodology for interpolating  $\Omega_{cor}$  in
- 20 equations S5-S7 of the supplement. A second retrieval of  $SO_2$  and  $dR/d\lambda$  is then performed by inversion using the measured 317 and 340 nm radiances while treating the ozone  $\Omega_{\rm cor}$  as a constant. This constraint on the ozone bounds the  $SO<sub>2</sub>$  Jacobians computed from the forward model LUTs. The operational MS  $SO2$  product files include a step 2 algorithm flag (not applied = 0, applied = 1).

To illustrate the effects of the step 2 procedure, we consider the 1982 explosive eruption of El 25 Chichon, which emitted  $\sim$ 7 Tg SO<sub>2</sub> (Krueger et al., 2008) the second largest observed in the satellite era (Fig.1). Figure 3 shows the retrieved AI map during TOMS overpass of the volcano on April 4, 1982, while it was still erupting. High AI values exceeding a value of 10 correspond to biased high step 1 ozone values (Fig. 4a) and underestimated  $\Sigma$  values (Fig 4c). Figure 4b shows the step 2 corrected  $\Omega_{\text{cor}}$ , making it consistent with the  $\Omega$  field outside of the volcanic cloud. Figure 4d shows the step 2  $\Sigma$ , which

30 is much higher than step 1. As can be seen in this particular example, the step 2 correction can significantly increase the  $SO_2$  mass. In this case, the  $SO_2$  mass increased from 2475 kilotons (step 1) to 3637 kilotons (step 2). Peak  $\Sigma$  values increased from 396 DU to 549 DU in the aerosol affected region. The biases,  $d\Omega$  and  $d\Sigma$ , for this case are shown in Fig. S1 of the Supplement. Step 2 was developed

primarily to handle extreme eruptions (VEI > 3), such as El Chichon and Pinatubo, where large  $\Omega$ anomalies sometimes occur in conjunction with high ash concentrations. In practice, step 2 corrections tend to be small (or none at all) for most of the eruptions detected observed during the observation period covered by TOMS.

- 5 The corrected step 2  $\Omega$  values inside the volcanic cloud shown in Fig. 4b appear to be fairly consistent with the regional unperturbed ozone field, but it should be noted that there still exist a few remaining high  $\Omega$  values in the boundary of the plume, which were not selected for step 2 (Fig. 4b). These pixels were not corrected because the threshold criteria were not met, so that  $\Sigma$  may be underestimated. However, their contribution to the total  $SO<sub>2</sub>$  cloud mass is insignificant.
- 10 Step 2 follows a methodology similar to the original residual method developed by Krueger (1983), which separated the  $O_3$  and  $SO_2$  contributions by subtracting the measured BUV reflectance in the unperturbed region from the BUV radiance anomaly associated with the  $SO_2$  cloud. The MS  $SO_2$ algorithm corrects the overestimated step 1 ozone inside the plume by correcting the positive ozone bias. Our step 2 procedure is typically only applied when the ash and /or  $SO_2$  loading causes the
- 15 reflectivity dependence to become non-linear, as the forward model does not explicitly account for volcanic aerosol absorption. This scenario typically lasts for about 1-3 days following a major explosive eruption, during which total retrieved SO<sub>2</sub> mass is likely to be underestimated, and in some cases, could even increase with time due to ash and ice fallout and plume dispersion. For such extreme cases we recommend estimating  $SO_2$  to sulfate conversion e-folding life-time using weeks' worth of
- 20 measurements of the total  $SO_2$  cloud daily mass and extrapolating it back in time to estimate total  $SO_2$ mass emitted on eruption day. This "day one" time extrapolated  $SO<sub>2</sub>$  mass is typically larger than retrieved on days immediately following the eruption (Krotkov et al., 2010).



Figure 3. Aerosol Index for the El Chichon eruption on April 4, 1982, computed from retrieved  $dR_{\lambda}$ .



**Figure 4.** MS SO2 maps showing a) Step 1 total column O<sub>3</sub>, b) Step 2 total column O<sub>3</sub> c) Step 1 total column SO<sub>2</sub> and d) Step 2 total column SO<sub>2</sub> from El Chichon eruption on April 4, 1982.

# **3.3 Soft Calibration: N-value bias correction**

We assume that the background sulfur dioxide is below TOMS detection limit in regions of the 5 atmosphere far away from  $SO<sub>2</sub>$  sources (e.g., volcanic, anthropogenic). Random errors associated with the retrieval process, however, are normally distributed around zero. We expect that the true volcanic SO<sub>2</sub>,  $\Sigma$ <sub>true</sub>, and the mean of the distribution,  $\langle \Sigma \rangle$ <sub>clean</sub>, to equal zero such that:

$$
\Sigma_{true} = \langle \Sigma \rangle_{clean} = 0 \tag{9}
$$

We examined a sample of 90 TOMS orbits in clean regions of the Central Pacific Ocean and found a positive bias of about 3 DU (i.e.,  $\langle \Sigma \rangle_{clean}$  3 DU, Figure 5). A soft calibration procedure was developed for correcting this bias by applying a small constant N<sub>340</sub>-value adjustment to the measured 340nm BUV radiances. The details of this procedure are described in section S3.3 of the supplement.

15 Figure 5 shows probability density functions (PDF) of the step  $1 \text{ SO}_2$  before (dashed) and after (solid) applying the correction for November 11 1981. The mean bias is reduced to <1DU after applying the correction .



Figure 5. a) probability density function of  $SO_2$  background before (dashed) and after (solid) applying 20 N340-value correction.

10

# **4. Error analysis**

# **4.1. Random errors and SO2 detection limit.**

The random errors in the MS\_SO2 retrieval were estimated from the standard deviation in the SO2 from a large data sample that included 90 central Pacific orbits, spanning a ten-year period between 5 1980 and 1990. Data were restricted to  $\Sigma$  values between -20 and 20 DU (Fig. 6a). Standard deviations

- were then computed as a function of the TOMS swath position as shown in Fig. 6b. Figure 6b can be used to characterize the  $SO<sub>2</sub>$  detection limits for TOMS. In this section, we compare the TOMS error distribution with the UV Ozone Mapping Profile Suite Nadir Mapper (OMPS-NM), a hyperspectral UV instrument on board the Suomi National Polar-orbiting Partnership (NPP) and NOAA 20 satellites. For
- 10 this comparison, we selected one month of NPP/OMPS spectral data (central Pacific) and applied the MS SO2 algorithm using the same four wavelength bands on TOMS (Table 2), which were first convolved with the TOMS bandpass function.

Figure 6b shows that TOMS retrieval noise depends on the swath position, varying from  $\sim$  6 DU at nadir to  $\sim$ 4 DU at higher viewing angles, while OMPS is 2-3 times smaller ( $\sim$ 2 DU) and is relatively

15 independent of the cross-track position (Figure S3 in supplement). Using the MS\_SO2 algorithm, we subsequently estimate the  $SO<sub>2</sub>$  detection limit for TOMS and OMPS-NM to be about 15 DU and 6 DU  $(\sim)99\%$  confidence level), respectively. We note that applying the Principal Component Algorithm (PCA) (Li et al., 2013) to all the 100-200 wavelengths available from OMPS-NM hyperspectral measurements, the noise spectrum is reduced by an order of magnitude to  $\sim 0.2$ -0.5 DU, allowing

20 detection of large anthropogenic points sources (emissions more than  $\sim 80$  kt yr<sup>-1</sup>) (Zhang et al., 2017).



Figure 6. a) PDF of SO2 background (noise distribution) for TOMS and OMPS based on orbits from clean regions of the central Pacific; and b) standard deviations of background 5 SO2 for TOMS and the OMPS nadir mapper as a function of the swath position. OMPS noise is more than a factor of 2-3 less than TOMS and less dependent on cross-track position.

#### **4.2 Systematic errors in volcanic SO2 plumes**

In this section, we evaluate systematic errors of the MS SO2 retrievals of volcanic SO<sub>2</sub>. The two most significant errors are caused by volcanic aerosols (ash and sulfate) and incorrect assumptions regarding the SO<sub>2</sub> profile, namely the plume height. The radiance tables used by the algorithm account

5 for ozone and  $SO_2$  absorption, but do not account for the absorption and scattering by aerosols. The ash errors can be significant during the first couple days after the initial eruption phase (Rose, 2003, Guo et al., 2004). The pre-computed radiance tables used by MS  $SO<sub>2</sub>$  assume an  $SO<sub>2</sub>$  column amount and an a priori CMA and standard deviation (Section 3). An incorrect CMA assumption can cause significant SO2 errors that vary with viewing geometry, ozone and SO2 column amounts. We characterize these

10 error sources by applying the MS\_SO2 algorithm to synthetic radiances.

# **4.2.1 Uncertainties due to SO2 plume height**

To understand retrieval errors in MS\_SO2 algorithm due to assumed *a priori* SO2 profiles, we conducted sensitivity tests using the VLIDORT radiative transfer code for the typical observational conditions in the tropics, mid latitudes, and high latitudes. Figure 7 shows column  $SO<sub>2</sub>$  Jacobians

- 15  $\partial N/\partial \Sigma$  at 317 nm, for different SO<sub>2</sub> amounts,  $\Sigma$ , nadir angles and scene reflectance as function of the assumed SO2 height (center of mass altitude, CMA). The Jacobians generally increase with the CMA, meaning that satellite BUV measurements are more sensitive to SO<sub>2</sub> at higher altitudes. This means that the MS\_SO2 algorithm will overestimate (underestimate) the SO2 column amount, if the CMA of the *a priori profile* is lower (higher) than that of the actual SO<sub>2</sub> profile. On the other hand, the sensitivity of
- 20  $SO_2$  Jacobians with respect to CMA is affected by several other factors, particularly  $SO_2$  column amounts, geometry (solar zenith angle and viewing zenith angle), the reflectivity of the underlying surface  $(R_s)$ , and the CMA itself. In general, the sensitivity of SO<sub>2</sub> Jacobians to CMA is greater for SO<sub>2</sub> plumes with large SO2 loading (e.g., 300 DU *vs.* 50 DU), at relatively low altitudes (e.g., CMA of 13 km *vs.* 18 km), and for lower reflectivity (e.g., Rs of 0.05 *vs.* 0.50) or are near the edge of the swath
- 25 (e.g., VZA of 60° *vs.* 0°). For calculations assuming typical mid- and high-latitude conditions, we found similar sensitivities of  $SO<sub>2</sub>$  Jacobians to CMA. From these calculations, we can estimate the errors in the SO2 Jacobians at 317 nm, assuming that the standard *a priori* profiles used in MS\_SO2 retrievals (CMA: 13 and 18 km) have a  $\pm 2$  km error in CMA. The results for the tropics, mid latitudes, and high latitudes are summarized in Tables S1, S2, and S3, respectively, in the supplement. As shown in the
- 30 tables, for SO<sub>2</sub> plumes from relatively moderate eruptions ( $\sim$ 50 DU), the relative errors in SO<sub>2</sub> Jacobians due to the error in the CMA are mostly within  $\pm 10\%$ . But for plumes with large SO<sub>2</sub> loading  $(\sim 200-300 \text{ DU})$  from explosive eruptions such as Pinatubo, the relative error in SO<sub>2</sub> Jacobians may reach as high as 30% for pixels near the edge of swath that have low reflectivity. Additionally, for pixels with the same reflectivity and VZA, the relative errors due to SO2 height are greater for mid- and
- 35 high-latitude eruptions than for tropical eruptions.

To quantify the retrieval errors due to inaccuracies in the *a priori* profiles, we used the top-ofthe-atmosphere synthetic radiance data generated by VLIDORT, as input to the MS\_SO2 algorithm. The retrieved  $SO_2$  and  $O_3$  column amounts were compared with assumed in VLIDORT calculations

(Tables S4-S7 in the supplement). As shown in the tables, for  $SO_2$  plumes with a modest loading (~50) DU), the relative errors in SO<sub>2</sub> column amounts, due to a 2-km error in the *a priori* profile are typically 10% or less, whereas the relative errors in  $O_3$  are within 1%. For plumes with large  $SO_2$  loadings (200-300 DU), the errors in SO2 amounts due to a 2-km bias in the *a priori* profile are typically 5-15%, but

- 5 can reach as high as 30-40% for high latitude plumes with large SZA and VZA. For extreme conditions at high latitudes (Supplement Table S5, 13 km *a priori* profile *vs.* 15 km actual profile,  $SO_2=300$  DU), the MS\_SO2 algorithm failed to converge after 20 iterations, due to a signal saturation caused by strong absorption at 317 nm. In these relatively rare cases, it is beneficial to use longer wavelengths (e.g.,  $>$ 320 nm) for SO2 retrievals (Li et al., 2017; Theys et al., 2015), which are available from the current 10 hyperspectral instruments such as OMI and OMPS, but not TOMS.
	- We also calculated the residual at 312 nm ( $res_{312} = N_m N_c$ ), defined here as the difference between the "measured" synthetic  $N_m$  and the  $N_c$  at 312 nm using MS SO2 retrieved ozone and SO<sub>2</sub> column amounts. Note that the 312 nm channel was not used in the MS\_SO2 algorithm, and the residuals at other wavelengths are essentially zero since we are retrieving four parameters from four
- 15 wavelengths. As shown in the Supplement Tables S4-S7, a positive bias in the  $SO<sub>2</sub>$  height (CMA too high as compared with the actual profile) leads to negative residuals at 312 nm, whereas a negative bias in *a priori* profile (CMA too low) causes positive residuals. The residuals are generally within 1-2 *N* value (2% -5% error in radiance) for SO<sub>2</sub> column amounts of 50-100 DU, but can reach 3-7 *N* value  $(6\% -15\%)$  for large SO<sub>2</sub> amounts of 200-300 DU. While the 312 nm channel may potentially be used to
- 20 retrieve SO2 plume height for large volcanic eruptions, it is strongly affected by volcanic aerosols as demonstrated in the next section.



Figure 7. VLIDORT calculated SO<sub>2</sub> column Jacobians ( $\partial N/\partial SO_2$ ) at 317 nm for typical conditions in the tropics (SZA=10°, RAZ=90°,  $O_3$  = 275 DU) but different SO<sub>2</sub> column amounts (50, 100, 200, and 300 DU), center mass altitudes  $(11{\text -}20 \text{ km})$ . For these calculations, Gaussian SO<sub>2</sub> profiles with the same 5 standard deviation (2 km) were assumed; a)  $VZA = 0$  and  $R = 0.05$ ; b)  $VZA = 0$  and  $R = 0.50$ ; c)  $VZA$  $= 60$  and R = 0.05; d) VZA = 60 and R = 0.50.

# **4.2.2 Ash and sulfate aerosol effects on MS\_SO2 retrievals**

To test the sensitivity of the MS\_SO2 algorithm to ash and sulfate aerosols, an Observing System Simulation Experiment (OSSE) was conducted. The experiment used the GEOS-5 earth system 10 model (Molod et al., 2012, Buchard et al., 2017, Colarco, et al, 2012), coupled with the online Goddard Chemistry Aerosol and Radiation (GOCART) (Chin et al., 2000; Colarco et al., 2010) and the Community Aerosol and Radiation Model for Atmospheres (CARMA) (Toon et al. 1988; Ackerman et al. 1995; Colarco et al., 2014). In this experiment, we considered three separate cases for a Pinatubolike eruption scenario: 1) 12 Mt of SO<sub>2</sub> and no aerosols; 2) 12 Mt of SO<sub>2</sub> plus 4 MT of sulfate aerosols

15 (as reported by Guo et al., 2004) and 3) 12 Mt of SO<sub>2</sub>, 4 Mt of sulfate aerosols plus 5 Mt of ash uniformly distributed between 18 km and 22km above the location of Pinatubo volcano, on June 15, 1991, from 06:00 – 15:00 UTC.

The GEOS-5 simulated 4D profiles of ozone,  $SO_2$ , sulfate aerosols, and volcanic ash were used as input to a VLIDORT RT model (Spurr, 2008). The model generated synthetic radiances at 317, 331, 340 and 380 nm TOMS bands, using the actual SNPP/OMPS-NM viewing geometry, assuming cloudfree conditions. The synthetic radiances produced by the VLIDORT were used as input to the MS\_SO2 5 algorithm to generate "retrieved" columns of ozone and  $SO_2$ . We note that MS  $SO_2$  algorithm uses LUTs produced using a different TOMRAD RT model.

Figure 8 compares retrieved versus true  $SO<sub>2</sub>$  column amounts for the three cases considered. The retrieval bias is inferred from the differences between the model  $SO_2$  input and the  $SO_2$  retrieved by MS SO2, using the radiances from the model run. The no aerosol case confirms unbiased  $SO_2$  retrievals

- 10 for  $SO_2$  column amounts less than  $\sim$ 150 DU and small positive bias for larger  $SO_2$  amounts. For aerosol cases where sulfates and ash were included in the simulation, we observe a negative bias for  $SO<sub>2</sub>$ column amounts exceeding  $\sim$ 100 DU. These negative biases (retrieval saturation) are expected as the MS SO2 forward model does not explicitly account for volcanic aerosols. This OSSE experiment shows the effects of heavy aerosol loading on the retrieval, but also increases confidence in MS\_SO2
- 15 retrievals between 15-100 DU, under nominal conditions, even in the presence of high aerosol concentrations.



**Figure 8.** Comparison of the OMPS retrieval SO<sub>2</sub> against the GEOS-5 model SO<sub>2</sub>. The TOA radiances 20 for the OMPS retrieval were generated assuming no aerosol (left panel), only sulfate aerosols (center panel), and both ash and sulfate aerosols (right panel).

# **5 Comparison with PCA SO2 retrievals**

We directly compared MS  $SO2$  retrievals with the principal component analysis (PCA)  $SO<sub>2</sub>$ algorithm adapted to the TOMS 6 spectral channels. In the PCA approach (Li et al., 2013; 2017), a set 25 of principal components (PCs) is first extracted from the measured radiances using a PCA technique and ranked in descending order according to the spectral variance they each explain. If derived from SO2-free areas, these PCs represent geophysical processes (*e.g.*, ozone absorption) and measurement details (*e.g.*, wavelength shift) that are unrelated to  $SO_2$ , but may interfere with  $SO_2$  retrievals. Next, we fit the first  $n_v$  (non-SO<sub>2</sub>) PCs and the SO<sub>2</sub> Jacobians ( $\partial N/\partial \Omega_{SO_2}$ ) to the measured radiances (in *N* value) described in Eq. (10). This allows us to simultaneously estimate the coefficients of the PCs ( $\omega$ ) and SO<sub>2</sub> column amount  $(\Omega_{SO})$ , and helps to minimize the impacts of various interfering processes:

> $N(\omega, S O_2) = \sum_{i=1}^{n_v} \omega_i v_i + \Sigma \frac{\partial N}{\partial S O_2}$  $\sum_{i=1}^{n_v} \omega_i v_i + \sum_{\partial SO_2} \frac{\partial N}{\partial N}.$  (10)

5

A more detailed introduction to the PCA  $SO<sub>2</sub>$  retrieval technique for hyperspectral instruments such as the Ozone Monitoring Instrument (OMI) and the Ozone Mapping and Profiler Suite Nadir Mapper (OMPS-NM) can be found elsewhere (e.g., Li et al., 2013, 2017; Zhang et al., 2017).

- For this comparison we adapt the PCA to discrete wavelength of N7/TOMS. The Nimbus-7 10 TOMS PCA SO2 algorithm is similar to the OMI and OMPS-NM version in terms of its overall structure but differs in some implementation details. Specifically, unlike the OMI/OMPS volcanic  $SO<sub>2</sub>$ retrievals that use a dynamic spectral fitting window (Li et al., 2017), the TOMS PCA SO<sub>2</sub> algorithm uses all six wavelengths available from TOMS in fitting. Also due to the small number of wavelengths, in the TOMS PCA SO<sub>2</sub> algorithm, we always use  $n_v = 5$  PCs in Eq. (10), less than the number of PCs
- 15 used for OMI (*nν* ≤ 20) or OMPS (*nν* ≤ 15). For OMI and OMPS retrievals, SLER is derived at three wavelengths (342, 354, and 367 nm) and extrapolated to other wavelengths using a second-degree polynomial function fitted to these three wavelengths. As for TOMS, SLER is determined at 340 and 380 nm and extrapolated linearly. Additionally, while the Jacobians lookup tables are constructed using the VLIDORT radiative transfer code (Spurr, 2008) for both OMI/OMPS and Nimbus-7 TOMS,
- 20 different, instrument-specific slit functions are used to band-pass the  $SO<sub>2</sub>$  Jacobians from the lookup tables.

We compared retrievals from the two algorithms for the first six days of Mount Pinatubo eruption (June 16-21, 1991). The Pinatubo case provides a large sample of FoVs spanning a broad range of SO2 amounts from 15 DU (minimum threshold) to over 400 DU. In this test of the algorithm,

25 MS SO2 and PCA retrievals were generated assuming a CMA =18 km.

#### **5.1 June 1991 eruption of Mount Pinatubo**

Mount Pinatubo is a large stratovolcano located at  $15^{\circ}08'$  N,  $120^{\circ}21'E$  in western Luzon, Philippines, that erupted explosively on June 15, 1991, following weeks of precursory activity. TOMS  $SO<sub>2</sub>$  imagery on June 15 shows a narrow, elongated  $SO<sub>2</sub>$ -ash plume extending to the west from the

- 30 location of the volcano. On the following day TOMS measured a massive  $SO_2$  plume to the west of the volcano (Bluth, 1992). TOMS continued tracking the daily evolution of the Pinatubo volcanic cloud as it encircled the earth over a period of about 22 days. Previous estimates of the Pinatubo  $SO_2$  height (CMA) range between 18 and 25 km (Self et al., 1994; Guo et al., 2004).
- Figure 9 shows TOMS daily  $SO_2$  maps produced with the MS  $SO_2$  and the PCA algorithms for 35 the six-day period from June 16 to June 21. Corresponding Ash Index (AI) imagery from MS\_SO2 are shown in Figure 10. SO<sub>2</sub> and AI imagery for June 16 show a large  $SO_2$ -ash cloud propagating to the west. AI values range from 4 to above 12 across the plume. The AI values decreased over the following days due to wind advection and wet deposition (Guo et al., 2004). As the  $SO<sub>2</sub>$  cloud area continues to expand, total  $SO_2$  mass remain high, while  $SO_2$  peak values decrease, which is expected from cloud

dispersion. The MS SO2 and the PCA imagery show excellent qualitative agreement in resolving the plume area and internal  $SO<sub>2</sub>$  plume structure, as inferred from the  $SO<sub>2</sub>$  gradients across the peak regions of the cloud. Note that for June 16-19, part of the observed cloud is missing due to a known mechanical problem with the TOMS instrument. These missing regions can be clearly identified in the AI imagery.

- 5 Figure 11 shows a scatterplot comparing the MS\_SO2 and PCA retrievals for the 6-day time series, which included over 7000 matching FoVs. These results show the retrievals are in close quantitative agreement, with a correlation of 0.993 and a slope of 1.00. Since the two algorithms apply fundamentally different approaches to retrieving SO<sub>2</sub>, this level of agreement is impressive considered over such a broad range of values.
- 10 We further compared quantitative estimates of SO<sub>2</sub> cloud mass, peak SO<sub>2</sub> and plume area. For this comparison, we also considered results from the Krueger-Kerr algorithm (KK), based on the published results of Guo et al. (2004). Table 3 displays daily estimates of the  $SO_2$  cloud mass and peak SO2 amounts for the MS\_SO2, PCA and KK algorithms for the six-day period. Guo et al. (2004) applied a modified version of the KK algorithm that assumes a radiative transfer air mass factor (AMF),
- 15 which accounts for the a priori ozone and  $SO_2$  absorption profiles (Krotkov et al., 1997). The early  $SO_2$ mass estimates by Bluth (1992) derived from Pinatubo eruption assumed a geometrical AMF. Also note that Guo et al. interpolated across the missing data regions of the plume on June 16, June 18 and June 19 using a Punctual Kriging statistical analysis. Here, we did not correct for the missing data. The three algorithms are in good overall agreement for the period from June 17 to June 21, with the differences
- 20 within 10% compared to MS\_SO2. The most significant differences between the three algorithms are observed on June 16 under conditions of heavy ash loading. KK mass tonnage estimates exceeded MS SO2 by over 24%, and though MS SO2 and the PCA differ by just 2%. Some of the difference between KK and the other two algorithms can be attributed to the fact that the Guo et al. (2004) estimates include contribution from the missing data region at the northern boundary of the plume
- 25 (compare  $SO_2$  and aerosol imagery), but this contribution does not nearly account for the total difference in Table 3.

The differences can be explained by considering how each algorithm are affected by aerososl. MS SO2 accounts for ash by retrieving the spectral dependence at 340 nm, which is then used iteratively to correct the reflectivity at the two absorbing channels. As explained in Sec. 3.2, absorbing

- 30 aerosols in the column can cause possible ozone anomalies, which decrease  $\Sigma$ . The KK algorithm [Krueger et al., 1995] accounts for ash implicitly by retrieving two linear spectral parameters that adjust calculated N<sub>c</sub> to match measured N<sub>m</sub>. Like MS SO2, the KK radiative path LUTs are based on TOMRAD calculations that do not explicitly account for ash (Krotkov et al., 1997). Krueger et al. (1995) estimated that ash aerosols can cause errors in the retrieval up to +30%, depending on the ash
- 35 size distribution. The PCA algorithm, in contrast, accounts for ash in the separation and ordering of the principal components. The differences between MS SO2 and KK on June 16 and June 17 can be partly ascribed to the effects of aerosols on the retrievals.

By June 18, the ash and  $SO_2$  clouds have mostly separated, though, aerosol indices over 4 are still observed in some regions of the plume. Pinatubo did not erupt again after the major eruption on

40 June 15, yet the three algorithms show retrieved SO2 mass increases on June 17 and June 20 (the PCA and KK retrievals also indicate a small increase on June 18). Guo et al. (2004) attribute these increases

to the sequestering of volcanic  $SO_2$  by ice-ash mixtures in the plume. They propose the sequestered  $SO_2$ was released at a later time through sublimation of ice in the lower stratosphere. The oxidation of hydrogen sulfide offers another mechanism to account for the observed mass increases in the days following the eruption. The combined results of the three algorithms support the conclusions of Guo et 5 al., (2006) that the observed mass increases in the temporal evolution of the plume are real.

Overall, the PCA retrieved 3% more total mass tonnage than MS\_SO2. These differences are attributed to differences in how MS\_SO2 algorithms handle aerosols and differences in the area of the plume due to differences in the retrieval near the sensitivity threshold  $(-15 \text{ DU})$ . Ash, sulfates and high  $SO<sub>2</sub>$  amounts impact the ozone retrieval, for as was seen in 3.2, systematic errors in  $SO<sub>2</sub>$  are

10 anticorrelated with errors in O3 (see Fig. S1 in supplement). For the case of the KK algorithm, the total ozone retrieved inside the SO2 plume can be unrealistically low, and even negative in an extreme event like Mount Pinatubo shown in Figures S4 and S5 of the supplement. Figure S4 compares the KK ozone retrieval with MS\_SO2 step 2 ozone retrieval and Fig. S5 compares scatterplots of SO2 and total ozone for June 17 and June 18.



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Figure 9. Daily  $SO_2$  imagery for MS  $SO_2$  and the PCA using data from TOMS overpasses of the Pinatubo eruption cloud between June 15 and June 21, 1991; a) MS SO2 for June 16 and b) PCA for June 16; c) MS\_SO2 for June 17 and d) PCA for June 17; e) MS\_SO2 for June 18 and f) PCA for June 18; g) MS  $SO2$  for June 19 and h) PCA for June 19; i) MS  $SO2$  for June 20 5 and j) PCA for June 20; k) MS SO2 for June 21 and l) PCA for June 21.

Table 4 provides estimates of the plume area for the MS\_SO2 and PCA. The area of the plume is most sensitive to the minimum detection threshold around the edges of the  $SO<sub>2</sub>$  cloud. MS  $SO<sub>2</sub>$  and the PCA algorithms were directly compared by computing the areal sum of all the pixels where  $\Sigma > 15$ DU (Fig. 9). For the six-day study period, the plume increased in size from about a little over  $2 \times 10^6$ 

- 10 km<sup>2</sup> to  $\sim$ 9 x 10<sup>6</sup> km<sup>2</sup> The PCA tends observed a larger cloud area for five of the six days, with most of the observed differences within 7%. On June 16, shortly after the major eruption of June 15, the estimated area for the PCA is about 15% greater than for MS SO2. The fresh plumes are opaque, which result in underestimating of SO2 mass by all BUV algorithms due to the mixing of aerosols (Krotkov et al., 1997). The PCA appears slightly more sensitive to SO2 near the edges of the cloud, where aerosol
- 15 loading is high  $(AI > 1.5)$ . It should be noted that the soft calibration applied to the 340 nm channel, described in 3.3, may also contribute to the lowering the sensitivity around the edges of the plume. This correction effectively lowered the background by about 3 DU.



Figure 10. Daily AI imagery retrieved using MS\_SO2 between June 16 and June 21, 1991. 20 Contours show SO2 levels from figure 9. Positive AI values over India and Arabia peninsula are due to dust aerosols, not related to the Pinatubo ash cloud; a) June 16; b) June 17; c) June 18; d) June 19; e) June 20; and e) June 21.

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Figure 11. Scatterplot of retrieved SO<sub>2</sub> using PCA and MS SO2 algorithms for the period June 15-21, 1991.

Table 3: Daily SO<sub>2</sub> mass and maximal SO<sub>2</sub> values for MS\_SO2, PCA and KK algorithms for the five days following the Pinatubo eruption on June 15, 1991.

	MS SO <sub>2</sub>		PCA algorithm		Krueger Kerr			Percent
	algorithm				Algorithm			Difference $(\%)$
					(Guo et al., 2004)			
Day in	SO <sub>2</sub>	Max	SO <sub>2</sub>	Max	SO <sub>2</sub>	Max	<b>PCA</b>	KK
June 1991	Mass	SO <sub>2</sub>	Mass	SO <sub>2</sub>	<b>Mass</b>	SO <sub>2</sub>		
	(Mt)	(DU)	(Mt)	(DU)	(Mt)	(DU)		
06/16	9.8	410	10.0	418	$12.0*$	537	$-2.0$	24.3
06/17	12.1	389	12.1	399	13.0	423	0.0	7.4
06/18	12.0	279	12.4	280	$13.1*$	350	3.3	9.2
06/19	10.9	173	11.6	180	$11.4*$	207	6.2	4.6
06/20	12.6	148	13.2	157	12.2	180	4.7	$-4.0$
06/21	11.8	125	12.5	130	11.9	137	5.9	0.8

\* Guo et al., (2004) interpolated values in the missing data region seen in maps for June 16, 18, and 19

**Table 4**: SO<sub>2</sub> plume area and number of FoVs where the retrieved SO<sub>2</sub> exceeded 15 DU for the 5 MS SO2, PCA and KK algorithms for the five days following for the Pinatubo eruption on June 15, 1991.

	MS SO <sub>2</sub>		<b>PCA</b>	Percent	
				Difference	
					$(\%)$
Day	Area	<b>NFovs</b>	Area	<b>NFovs</b>	<b>PCA</b>
	$(x10^6 \text{ km}^2)$	$(\Sigma > 15 \text{ DU})$	$(x10^6 \text{ km}^2)$	$(\Sigma > 15 \text{ DU})$	
06/16	2.13	442	2.48	519	15.2
06/17	4.19	1006	4.04	971	$-3.6$
06/18	5.05	1062	5.31	1088	5.0
06/19	5.09	910	5.30	957	4.0
06/20	7.27	1407	7.59	1487	4.3
06/21	8.44	1674	9.02	1805	6.6

### **6 Conclusions**

This paper describes, a discrete multi-satellite UV wavelength algorithm (MS\_SO2) for retrieving volcanic  $SO_2$  that was used operationally to process measurements from the heritage Nimbus-10 7 TOMS and the Deep Space Climate Observatory Earth Polychromatic Imaging Camera (Carn et al., 2018; Marshak et al., 2018). The MS SO2 algorithm can process data from current hyperspectral UV spectrometers, such as SNPP/OMPS and Aura/OMI, using a convolved, discrete set of wavelengths, offering a viable means for intercomparing volcanic  $SO<sub>2</sub>$  retrievals from different missions.

- We estimated random (noise) and systematic errors, related to the effects of volcanic aerosols 15 and uncertainties in SO2 height and partly corrected for absorbing ash, using positive aerosol index (AI) as a proxy for applying a Step 2 correction to the  $SO<sub>2</sub>$  retrievals. The correction could still underestimate  $SO<sub>2</sub>$  mass during the first days after extremely large eruptions (VEI > 3) due to BUV saturation. In such cases we recommend estimating e-folding time of the SO<sub>2</sub> decay, using later measurements and extrapolating  $SO_2$  mass exponentially back in time to the eruption day (Krotkov et al., 2010).
- 20 TOMS Observing System Simulation Experiment simulation using synthetic radiances shows unbiased MS SO2 retrievals of for  $SO_2$  < 100-150 DU, but low biases for larger  $SO_2$  amounts due to the

presence of ash and sulfate aerosols. Therefore, operational MS\_SO2 retrievals should provide a low boundary constraint on the  $SO<sub>2</sub>$  mass injected into the atmosphere from large eruptions during first days after an eruption. The algorithm can be further improved by explicitly accounting for volcanic ash and sulfate aerosols, which was not feasible in the operational processing.

- 5 The MS  $\text{SO2}$  retrieval is also sensitive to differences between the a priori and actual  $\text{SO}_2$  center of mass altitude. Since this key parameter is not retrieved, the TOMS  $SO<sub>2</sub>$  product provides separate  $SO<sub>2</sub>$  column amounts assuming three different  $SO<sub>2</sub>$  altitudes (8, 13 and 18 km). Users should base their analysis on the altitude that is most appropriate for a particular eruption.
- To assess the overall accuracy of the TOMS SO<sub>2</sub> retrievals, we compared MS SO2 and 10 independent PCA algorithms for the first six days following the 1991 Pinatubo eruption. The daily time series of SO<sub>2</sub> retrievals showed high correlation ( $R^2$ =0.986) and excellent agreement between the two retrievals over a broad  $SO_2$  range between 15 and 400 DU. We also compared the  $SO_2$  mass, peak  $SO_2$ amounts and plume area with the heritage Krueger-Kerr algorithm. This 3-way comparison showed the SO2 mass within 10% for all days, except on June 16, when the Krueger-Kerr algorithm retrieved 24%
- 15 higher  $SO<sub>2</sub>$  mass. This could be explained by interpolation over a region of missing TOMS measurements on June 16 (Guo et al., 2004). The remaining differences between current MS\_SO2 and the PCA algorithms (3-7%) are attributed to the differences in handling of aerosols, and different sensitivity thresholds of the algorithms.
- The re-processed Nimbus-7 TOMS volcanic  $SO_2$  data set (TOMSN7SO2) is now publicly 20 available through the Goddard Earth Sciences Data and Information Services Center (GES DISC) as part of the NASA's Making Earth System Data Records for Use in Research Environments (MEaSUREs) program (Krotkov et al., 2019). We plan to reprocess all follow-up multi-spectral UV (TOMS) and hyperspectral UV (OMI, OMPS) missions (Figure 1) with MS\_SO2 and PCA algorithms to keep updating our multi-satellite volcanic  $SO<sub>2</sub>$  mass database archived at GES DISC (Carn 2019). It
- 25 is important to continue quantifying  $SO_2$  emissions from small explosive eruptions, as they may, collectively, play an important role in sustaining the persistent, background stratospheric aerosol layer, which is an important factor in global climate forcing.

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