



1 **On-flight intercomparison of three miniature aerosol absorption sensors using**  
2 **Unmanned Aerial Systems (UAS)**

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21 The present study investigates for the first time, the ground and flight performances  
22 of three miniaturized aerosol absorption sensors integrated on-board of Unmanned  
23 Aerial Systems (UAS). These sensors were evaluated during two contrasted field  
24 campaigns performed respectively at an urban site (Athens, Greece) impacted mainly  
25 by local traffic and domestic wood burning sources and at a remote regional background  
26 site (Agia Marina, Cyprus) impacted by long-range transported sources including dust.

27 The three sensors were intercompared at the ground level against two commercially  
28 available instruments (MAAP and AE33) used as a reference. The measured signal of  
29 the three sensors was converted into absorption coefficient, equivalent black carbon  
30 concentration (eBC) and, when applicable, to signal saturation corrections following  
31 the suggestions of the manufacturers. Despite the diversity of the aerosol origin,  
32 chemical composition, sources and concentration levels during the two campaigns, the  
33 aerosol absorption sensors exhibited similar behavior against the reference instruments.  
34 The deviation from the reference during both campaigns concerning (eBC) mass was  
35 less than 8%, suggesting that those miniature sensors that report BC mass are  
36 tuned/corrected to measure more accurately eBC rather than the absorption coefficient  
37 which deviated at least 15%.

38 The overall potential use of miniature aerosol absorption sensor on-board UAS is  
39 also illustrated here. UAS-based absorption measurements were used to investigate the  
40 vertical distribution of eBC over Athens up to 1 km above sea level during January  
41 2016, reaching the top of the planetary boundary layer (PBL). Our results highlighted  
42 a heterogeneous boundary layer concentration of absorbing aerosol especially in the  
43 early morning hours with the concurrent peak traffic emissions at ground-level and fast  
44 development of the boundary layer. Vertical homogeneity was achieved when the  
45 boundary layer depth became stable.

46



47

## 48 **1. Introduction**

49 Atmospheric aerosol particles scatter and absorb incoming solar radiation, thus  
50 directly affecting the radiative balance of the atmosphere (Haywood and Boucher,  
51 2000). Their contribution to climate change is still associated with large uncertainties  
52 when estimating their radiative forcing (RF) (Bond et al., 2013; IPCC, 2013). A major  
53 contributor to these uncertainties is the RF induced by black carbon (BC), which among  
54 different numerical climate models exhibits a relative standard deviation exceeding  
55 40% (Myhre et al., 2013), while in a more recent study it ranged from 0.14 to 1.19 (90%  
56 C.I) with an average value of  $0.53 \text{ Wm}^{-2}$  (Wang et al., 2016). Major factors responsible  
57 for the wide range of the BC's RF include the inaccurately predicted BC emission rates,  
58 the interaction of BC with clouds and its vertical distribution (Bond et al., 2013). In  
59 addition, BC has been identified to reduce the albedo of snow surfaces (Hadley and  
60 Kirchstetter, 2012) and suppress the turbulence of the boundary layer (Wilcox et al.,  
61 2016).

62 An array of techniques and instruments is employed worldwide for increasing the  
63 spatial/temporal resolution of BC observations to help reducing these uncertainties. The  
64 instrumentation employed uses different operating principles, including off-line or  
65 near-real-time on-line methods for measuring Elemental Carbon such as the thermal  
66 and gas-analytical method (EC; Birch and Cary, 1996; Zíková et al., 2016), as well as  
67 on-line, near real-time methods, which are mainly based on the aerosol light absorbing  
68 properties of BC (cf., Petzold et al., 2013, Moosmüller et al., 2009 and references  
69 therein for more details).

70 Most of the aerosol absorption observations available in the literature are usually  
71 conducted at ground level. Consequently, they miss critical information regarding the  
72 vertical distribution of aerosol absorption which is a critical parameter to constrain  
73 atmospheric models and accurately assess aerosol radiative forcing effects. One way to  
74 fill this gap is by conducting manned airborne aerial absorption measurements  
75 (Kassianov et al., 2018; Katich et al., 2018; Sedlacek et al., 2018). However, these are  
76 costly and cover a limited time period. The use of small scale-miniaturized sensors on-  
77 board of small unmanned aerial systems (UAS) or tethered balloons could provide cost-  
78 effective alternatives able to fill this gap and to enhance the vertical and temporal  
79 density of aerosol absorption observations.

80 Aerosol absorption instrumentation operating on-board manned aircrafts has been  
81 qualified through many intercomparison studies; contrary, their miniaturized  
82 counterparts' behavior is yet poorly demonstrated. The measurement quality delivered  
83 by these sensors during flight is challenged by fast changes in pressure, temperature,  
84 and humidity; which are difficult to assess at ground level.

85 UAS is a viable option to obtain valuable information on aerosol absorption vertical  
86 distribution. In fact, the reduced size, weight, and power needs of these systems, along  
87 with the reduced cost of the platforms and instrumentation, make them suitable for these  
88 operations with huge potentials currently poorly demonstrated. In addition, they have  
89 the advantage of better controllability over balloons and zeppelins, since the latter are  
90 more delicate at stronger winds (Jensen et al., 2007, Inoue et al., 2000). Even though  
91 small UAS are subject to significant payload restrictions compared to manned aircrafts,  
92 they have a distinct advantage over their manned counterparts in terms of relatively low



93 platform cost, capability to perform autonomous flight operations and spatially dense  
94 data collection (due to low speed operation), to fly (closer to the ground) with greater  
95 spatial accuracy, and less workload (Villa et al., 2016). They have also the potential  
96 (yet not demonstrated) of the ground-based monitoring networks capabilities in  
97 providing long-term atmospheric observations.

98 In this work, we focus on vertical distribution of aerosol absorption performed  
99 during two intensive field studies at contrasted locations in the Eastern Mediterranean;  
100 an urban site (Athens, Greece) and a remote regional background site (Cyprus  
101 Atmospheric Observatory, CAO, Cyprus). The vertical distribution of aerosols in the  
102 Eastern Mediterranean is of particular importance because it lies at the crossroads of  
103 diverse air masses (Lelieveld et al., 2002), including mineral dust from Africa and the  
104 Middle East, pollution from Europe and nearby Middle East, and marine aerosol  
105 (Gerasopoulos et al., 2006; Erel et al., 2006, Kalivitis et al., 2007). The sites were  
106 selected to represent two different and contrasted sources of ambient aerosol, with high  
107 concentration levels of freshly emitted BC from traffic and/or biomass burning  
108 (domestic heating) in Athens and low concentration levels of aged regionally  
109 transported aerosol occasionally mixed with moderate levels of dust in Cyprus.

110 Aerosol vertical profiles were performed using several types of fixed and rotary  
111 wings unmanned aerial systems (UAS). In this work, three miniature attenuation  
112 monitors were characterized against ground based commercial instruments. Instead of  
113 characterizing their performances under controlled conditions, these monitors were  
114 tested and intercompared on-flight with different UASs and diverse absorbing aerosol  
115 concentrations and types.

116

## 117 **2. Sampling Sites**

118 Sampling was conducted at two contrasted locations in the Eastern Mediterranean  
119 basin; an urban site (Athens, Greece) for a 1-week intensive period starting from 14  
120 January 2016 and a background location in Cyprus for a 1-month intensive campaign  
121 in April 2016.

122

### 123 **2.1 Athens campaign**

124 In the framework of the European project ACTRIS 2 (Aerosols, Clouds, and Trace  
125 Gases Research Infrastructure), three miniaturized absorption instruments were tested  
126 and intercompared for a period of one week (14-21 January 2016) onboard UAS over  
127 Athens, a city highly impacted by strong UV absorbing domestic heating biomass  
128 burning aerosols during winter (Florou et al., 2017; Fourtziou et al., 2017). Flights  
129 were conducted at Lofos Nymphon (37°58'19.68"N - 23°43'5.32"E) situated at the  
130 historical center of Athens, a metropolitan area of more than 4,000,000 inhabitants.  
131 Lofos Nymphon is a small rock plateau inside a small forested area (Fig. 1), at a 50 m  
132 elevation from its surroundings. Traffic roads, marked with red lines on Fig. 1, are  
133 located westerly of the site. The closest traffic road is 150 m away from the measuring  
134 site. In order to comply with air space restrictions made by the Hellenic civil aviation  
135 authorities at Lofos Nymphon, a multicopter, described in detail in Section 3.1.3, was  
136 selected for its capacity to take-off and land vertically.



137 A total of 26 flights were performed during periods without precipitation or strong  
138 winds, lasted for 15min each reaching as high as 1 km above sea level (a.s.l.) altitude  
139 limit set by the Hellenic civil aviation authorities.

140 During this campaign, the flight strategy has been elaborated as the following: Two  
141 early morning flights were performed at an interval of c.a. one hour starting at sunrise  
142 (05:00 UTC) to investigate the stratification of the atmosphere (boundary layer, low  
143 free troposphere). Two late afternoon flights ending approximately at sunset (16:00  
144 UTC) were performed to investigate the vertical mixing of urban emissions in the  
145 atmospheric column. On 19 January 2016, intensive (hourly) flights were performed to  
146 investigate the impact of the diurnal development of the boundary layer on the vertical  
147 distribution of absorbing aerosols. These flights are further discussed in Section 7.

148 Due to payload restrictions (2 kg maximum for scientific instrumentation), not all  
149 the miniature monitors could fly simultaneously. The monitors that could not fly were  
150 operated at the co-located National Observatory monitoring station at Lofos Nymphon,  
151 together with two commercially available instruments (Magee Scientific AE33 and  
152 Thermo MAAP). In addition, the absorption monitor on board the multi-copter has been  
153 measuring at ground level during 2-3 min before and after each flight for a direct  
154 comparison against ground-based instruments.

155

## 156 2.2 Cyprus campaign

157 In the framework of the European project BACCHUS (Impact of Biogenic versus  
158 Anthropogenic emissions on Clouds and Climate; towards a Holistic UnderStanding) a  
159 1-month campaign (30 March - 28 April 2016) was performed at the Cyprus  
160 Atmospheric Observatory (CAO, 35° 2'17.97"N - 33° 3'28.50"E), a remote regional  
161 background site at the Agia Marina Xyliatou in Cyprus.

162 Vertical profiles of aerosol absorption were performed in a dedicated UAS airfield  
163 (35° 5'41.93"N - 33° 4'54.26"E) located at approximately 7 km north of the Cyprus  
164 Atmospheric Observatory (Fig. 1). The airfield, shown in Fig. 2, is associated with a  
165 500 m radius (in x-y plane) UAS airspace and an additional 500 m radius buffer zone,  
166 yielding a total of 1 km radius flight zone granted by the Cypriot civil aviation  
167 authorities and extending up to a height of approximately 2.4 km a.g.l. (2.7 km a.s.l.).

168 The UAS flight strategy was designed at characterizing the boundary layer and free  
169 troposphere with respect to aerosol absorption, number size distribution, and ice nuclei  
170 (IN) concentrations. More information from the UAS-based IN measurements can be  
171 found in Schrod et al. (2017). UAS-based aerosol number size distribution are presented  
172 and discussed in Mamali et al. (2018). The typical UAS flight period usually spanned  
173 from sunrise (05:00 UTC) to 09:00 UTC. Two types of fixed wing UASs were used  
174 during this campaign; two skywalker UAS (Model X8) and one Cruiser UAS (see  
175 section 3.1). Skywalker X8 flights typically lasted 30 min, while each Cruiser flight  
176 lasted between 1-1.5 h. Vertical profiles were performed almost on a daily basis  
177 provided meteorological conditions were favorable, and engaged a team of eight  
178 persons (two pilots, two ground control station operators, two electronic/mechanical  
179 engineers and two scientific staff for the operation of the miniaturized instruments). In  
180 this work, only the absorption measurements will be examined corresponding to a total  
181 of 17 flights performed with Skywalker X8 and 6 flights with the Cruiser.



182 Ground based absorption measurements were conducted at CAO using two  
183 commercially available instruments (Magee Scientific AE33 and Thermo MAAP, see  
184 section 3.2.2). CAO is located 6.74 km southerly and at a 200 m elevation higher of the  
185 airfield (Fig. 1). Because of no significant local contamination sources in the  
186 surrounding area (Kleanthous et al., 2014; Pikridas et al., 2018), it has been assumed  
187 that atmospheric composition at CAO and the UAS airfield were similar, allowing a  
188 direct comparison between ground and airborne measurements. During this campaign,  
189 regional dust transport originating from Africa was identified on two occasions, during  
190 9<sup>th</sup> and 20<sup>th</sup> of April 2016 respectively (Schrod et al., 2017).

191

### 192 **3. Instrumentation**

#### 193 **3.1 Unmanned Aerial System Types**

194 As mentioned above, three types of unmanned aerial systems (UAS) have been used in  
195 this study; they differ with respect to payload, autonomy, wing type and landing  
196 requirements. Their specifications and capabilities, described below, are summarized  
197 in Table 1. Despite having the ability to reach altitudes higher than 2 km a.g.l., the  
198 UASs were limited to 1 and 2 km during the Athens and CAO campaigns, respectively,  
199 due to restrictions posed by the civil aviation authorities.

200

##### 201 **3.1.1 UAS “Cruiser”**

202 The Cruiser is a medium-size fixed-wing UAS (Table 1) with a payload capacity up to  
203 12 kg which includes both the weight of the fuel to power the engine and the battery  
204 used for the instrumentation. The Cruiser’s payload bay, the available space inside the  
205 UAS, measures 1.3×0.23×0.34 m (L×W×H) and it comes with a wingspan of 3.8 m. It  
206 has been configured with internal combustion two-stroke engine placed in a pusher  
207 configuration that can climb up to 3-4 km altitude with a maximum take-off weight of  
208 35 kg. Depending on payload and environmental conditions the Cruiser can reach a  
209 flying endurance up to 8 hours. During flight, atmospheric sampling occurs at a velocity  
210 of  $28 \pm 5 \text{ m s}^{-1}$  which is the typical cruising air-speed of this type of UAS. Under its  
211 current configuration the environmental conditions to ensure a safe operation are  
212 limited to winds up to  $13 \text{ m s}^{-1}$  and temperatures below the dew point in order to prevent  
213 icing on the engine’s carburettor. However, the engine can be upgraded with an  
214 electronic fuel injection system in order to fly under icing conditions and thus to higher  
215 altitudes. The Cruiser is equipped with an autopilot system (Micropilot MP2128G2)  
216 which includes all the sensors and telecommunication systems (e.g. GPS, barometric  
217 altimeter, accelerometer, air-speed sensor, electronic compass, modems, antennas) that  
218 allows autonomous flights with real-time monitoring and control from ground  
219 providing that predetermined flight plans are set. At any time the UAS operator is able  
220 to modify the active flight plan in real-time. In addition, the system is capable of  
221 detecting faults and alter its flight plan accordingly (e.g. automatically return to home  
222 upon communication loss). The modular design of the Cruiser facilitates switching  
223 instruments between scientific missions provided that the total mass does not exceed  
224 the payload limit. To support its multi-instrument capability, a central data acquisition  
225 system built around the National Instruments controller, myRIO with a variety of  
226 interface possibilities and a Graphical User Interface (GUI) has been developed. The  
227 NI graphical programming language of Labview has been utilized to develop the GUI



228 with capabilities of real-time visualization of the instrumentation data as well as  
229 controlling and automation of the on-board instruments. All the instruments and  
230 avionics sensitive to vibration shake have been mounted into the Cruiser fuselage using  
231 special anti-vibration dampers in order to isolate the high-frequency oscillations  
232 produced by the UAS engine. Vibration isolation is essential in order to improve the  
233 flying reliability of the UAS as well as to keep quality of the scientific measurements  
234 to its higher standards.

235 Due to the Cruiser's size, a flat (ideally paved) runway is required for take-off and  
236 landing. During the Cyprus campaign, the Cruiser was taking-off and landing on the  
237 Cyl's private runway (see Fig. 2). Along with other aerosol instruments (Ice Nuclei  
238 sampler, Optical particle counter), the Cruiser UAS carried one miniature absorption  
239 instrument (AE51, see section 3.2.3).

### 240 **3.1.2 UAS "Skywalker X8"**

241 The Skywalker X8 is a small delta-wing type UAS with an electric motor providing the  
242 propulsion. Made by foam, it is a much smaller and lower cost UAS comparing to the  
243 Cruiser. Its wingspan is 2.10 m and its maximum take-off weight is about 5.5 kg. It can  
244 fly for approximately one hour up to 3 km altitude with a payload of c.a. 3 kg, which  
245 includes the battery (14.8V Lithium Polymer, 9Ah) that powers the motor. This UAS  
246 is equipped with the same avionics as the Cruiser. The Skywalker X8 can take-off using  
247 a bungee launcher catapult system and can land on its belly on any flat surface. The  
248 skywalker X8 UAS has been operating exclusively during the Cyprus campaign and  
249 carried only one miniature absorption instrument (DWP, see section 3.2.3).

### 250 **3.1.3 UAS "Multicopter S1000+"**

251 A modified version of the commercially available octocopter DJi S1000+ was used  
252 during the Athens campaign to overcome strong constraints related to a limited ground  
253 area to take-off and landing, and flying in the limited air space. This platform has been  
254 optimized to reach an altitude up to 1 km a.s.l. for a maximum take-off weight of 11 kg  
255 and a payload of 4 kg including the motor battery (22V Lithium Polymer, 22Ah). In  
256 order to ensure that sampling was not influenced by the turbulence created by the  
257 octocopter's blades, the sampling inlet was extended by 1 m out of the propeller flow.  
258 This distance ensured representative sampling during ascend. However, during descent,  
259 this length was not sufficient to avoid the created vortex if a columnar path was  
260 followed. During the Athens campaign, the landing site was near the edge of a cliff and  
261 inside an archaeological area where pedestrians could freely access, prohibiting  
262 deviation from a columnar flight path (Fig. 1). As a result the quality of the descent  
263 flights was compromised at the expense of safety and only ascending flights are used  
264 in this work.

265

## 266 **3.2 Aerosol absorption instrumentation**

267

### 268 **3.2.1 Principle of operation**

269 Aerosol absorbing component can be apportioned in real-time using optical  
270 methods. The most widely used method, utilizes an aerosol sample-laden filter area  
271 where light is transmitted through. Additionally, light is simultaneously transmitted via



272 an aerosol-free (unloaded) filter spot (reference signal) and the attenuation is calculated  
273 based on Eq. 1.

$$274 \quad ATN(\lambda) = 100 \times \ln\left(\frac{I_{ref}(\lambda)}{I_{sample}(\lambda)}\right) \quad \text{Eq. 1}$$

275  
276 where  $I_{ref}(\lambda)$  and  $I_{sample}(\lambda)$  are the reference and sample light signals, respectively, and  
277  $ATN(\lambda)$  the attenuation at wavelength  $\lambda$ . The attenuation rate  $dATN(\lambda)/dt$  determines  
278 the attenuation coefficient ( $b_{atn}(\lambda)$ ) based on Eq. 2.

$$279 \quad b_{atn}(\lambda) = \frac{A \cdot dATN(\lambda)}{100Q \cdot dt} \quad \text{Eq. 2}$$

280 where  $A$  is the sample spot area,  $Q$  the air flow rate and  $dt$  the time period the attenuation  
281 change is considered, which typically equals to 1s for all the miniaturized instruments  
282 examined in this study. The instrument specific  $b_{atn}(\lambda)$  can be converted to absorption  
283 coefficient  $b_{abs}(\lambda)$ , when accounting for the multiple scattering effect caused by the  
284 filter and/or by the sampled particles, together with the filter loading effects that the  
285 latter are causing. Due to lack of a reference method for providing the aerosol  
286 absorption coefficient and because every manufacturer is using different filter  
287 materials, several empirical corrections have been proposed in the literature (e.g.,  
288 Weingarter et al., 2003; Virkulla et al., 2005; Collaud Coen et al., 2010; Ogren, 2010,  
289 Drinovec et al., 2015). For instance, many studies reporting aethalometer measurements  
290 have been calculating  $b_{abs}(\lambda)$  based on Eq. 3 (Weingarter et al., 2003):

$$291 \quad b_{abs}(\lambda) = \frac{b_{atn}(\lambda)}{C \cdot R(ATN(\lambda))} \quad \text{Eq. 3}$$

293 where  $C$  is the optical enhancement factor due to multiple scattering within the filter  
294 medium and  $R(ATN(\lambda))$  describes any other effects caused by the particles loaded on  
295 the filter.

296 The equivalent black carbon (eBC) mass concentration (expressed in  $\mu\text{g m}^{-3}$ ) can be  
297 calculated solely based on 880 nm wavelength  $b_{atn}(\lambda)$  (Ramachandran and Rajesh,  
298 2007), using either Eq. 4 or 5,

$$299 \quad 300 \quad eBC = \frac{b_{atn}(880nm)}{\sigma_{atn}(880nm)} \quad \text{Eq. 4}$$

$$301 \quad 302 \quad eBC = \frac{b_{abs}(880nm)}{MAC(880nm)} \quad \text{Eq. 5}$$

303  
304 where  $\sigma_{atn}(\lambda)$  is the mass attenuation cross section and  $MAC$  the mass absorption  
305 coefficient. Table 2 summarizes  $C$  and  $\sigma_{atn}(\lambda)$  factors used for each instrument in this  
306 study.

307 Factor  $C$  is considered to be constant during each campaign as it is, relevant to the filter  
308 tape only, while  $R$  is unity for an unloaded filter and reduces when particles are  
309 deposited onto the filter (Weingarter et al., 2003). Other absorption monitor  
310 manufacturers are using different approaches for deriving  $b_{abs}(\lambda)$ , which can be found



311 in sections 3.2.2 and 3.2.3 for the instruments used in this study. The filter strip of the  
312 miniaturized instruments evaluated in this study, is changed manually before every  
313 flight so to keep the attenuation during the mission below a threshold value of 10-20%  
314 for which loading correction is not required (Weingartner et al., 2003; Ferrero et al.,  
315 2011).

### 316 3.2.2 Ground-based (reference) instruments (AE33, MAAP)

317 To overcome the filter loading effect discussed previously, Drinovec et al. (2015)  
318 developed the "dual spot" aethalometer (Magee Scientific, model AE33), which uses  
319 two sample spots where particles are deposited with different flow rates and one 'blank'  
320 spot as reference. The principle idea behind this approach is that any artifact induced  
321 by the accumulation of the particles onto the filter will have the same characteristics  
322 (i.e., both sample spots are probing the same particles) but the magnitude of saturation  
323 on each spot will differ. By combining the results from both sample spots the  
324 measurements are extrapolated to zero loading and the compensated/corrected eBC  
325 mass and light absorption can be obtained without using any assumptions on the  
326 physicochemical properties of the measured particles.

327 Another approach for reducing the measuring biases in particle absorption  
328 coefficient induced by the accumulation of particles collected on the filter sample spot  
329 is employed by the Multiangle Absorption Photometer (MAAP) instrument (Thermo  
330 Fisher Scientific), which measures absorption of the collected particles and corrects it  
331 based on their scattering at different angles (Petzold and Schönlinner, 2004).

332 In this study, these two commercially available absorption monitors (Magee  
333 Scientific - Model AE33; Thermo Scientific Fisher - Multi Angle Absorption  
334 Photometer Model 5012) were used as a ground-based reference for UAS-based  
335 absorption measurements. Nominally MAAP measurements, which have been shown  
336 to agree well against reference methods (Sheridan et al., 2005), were used after being  
337 corrected based on Eq. 6 (Muller et al., 2011).

$$338 \quad b_{abs}(637) = 1.05 MAC_{BC}^{MAAP} \cdot eBC \quad \text{Eq. 6}$$

339 where  $b_{abs}(637)$  is the absorption coefficient at 637 nm (expressed in  $Mm^{-1}$ ),  $MAC_{BC}^{MAAP}$   
340 the specific mass absorption coefficient of black carbon proposed by the MAAP  
341 manufacturer equal to  $6.6 \text{ m}^2 \text{ g}^{-1}$  (Petzold and Schönlinner, 2004) and  $eBC$  the  
342 equivalent mass concentration of black carbon reported by the instrument (in  $\mu\text{g m}^{-3}$ ).  
343 Equation 6 assumes that the nominal wavelength MAAP operates not at 670 nm, as  
344 proposed by the manufacturer, but at 637 nm as measured by Muller et al. (2011).  
345 The absorption coefficient at wavelengths different than 637nm was calculated based  
346 on the Angstrom law (Eq. 7).

$$347 \quad \tau(\lambda) = \tau(\lambda_0) \left( \frac{\lambda}{\lambda_0} \right)^{-\alpha} \quad \text{Eq. 7}$$

348 where  $\tau(\lambda)$  and  $\tau(\lambda_0)$  are the calculated and reference absorption parameters and  $\alpha$   
349 the angstrom exponent. For the MAAP instrument, the reference absorption ( $\lambda_0$ ) is the  
350 one based on Eq. 6 at 637nm. The angstrom exponent was calculated by linear  
351 regression of the natural logarithm of the seven wavelength absorption coefficients  
352 measured by AE33 (370, 470, 520, 590, 660, 880 and 950 nm) and used for  
353 extrapolating into shorter and longer wavelengths of the absorption coefficients  
354 measured by the MAAP. The reported  $eBC$  measurements of AE33 were used to





355 calculate  $b_{\text{atn}}(\lambda)$  and  $b_{\text{abs}}(\lambda)$  based on Eq. 3 and 4 and using values of mass attenuation  
356 cross section and optical enhancement factor reported in the literature (Table 2).  
357 Loading correction was not applied to the AE33 measurements as it incorporates a  
358 loading compensation scheme (Drinovec et al., 2015).

359 The AE33 was always operated at a 1 min time resolution; the MAAP operated at  
360 a 30 min time resolution during the Athens campaign and at a higher (2 min) time  
361 resolution during the CAO campaign.

362 During both campaigns lidar measurements at 532 nm from the EARLINET  
363 PollyXT-NOA system, described by Engelmann et al., 2016, was used to detect the  
364 PBL depth. During the Athens campaign, measurements were collocated with the in-  
365 situ measurements described above. During the Cyprus campaign, the PollyXT  
366 measurements were located 21 km east of the ground based measurements.  
367 Nevertheless, spatiotemporal homogeneity has been observed between the two sites for  
368 that specific period (Mamali et al., 2018; Marinou et al., 2018). The PollyXT lidar  
369 quicklooks from both campaigns can be found online (<http://polly.tropos.de>).

370

### 371 3.2.3 Miniature Absorption Monitors (AE51, DWP, STAP)

372 Three miniaturized instruments having optimal specifications to fly onboard UAS  
373 were evaluated. They consist of 1) a single wavelength commercially available  
374 absorption monitor (Aethlabs, Model AE51), 2) a Dual Wavelength Prototype (DWP)  
375 monitor based on AE51 model concept and 3) a Tricolor Absorption Photometer  
376 (Brechtel Inc - Model 9406). These 3 instruments will be referred in the following as  
377 AE51, DWP and STAP respectively. Table 3 summarizes the characteristics of each  
378 monitor.

379 The AE51 is the lightest instrument (150 g) which is a major asset for UAS  
380 observations. On the other hand, and due to a relatively low air sampling flow rate (0.1-  
381 0.2 L min<sup>-1</sup> set by the user), it may lack sensitivity for low concentrations of absorbing  
382 material which can be an issue when investigating the low amounts of aerosols usually  
383 met in the free troposphere. The two other instruments (DWP and STAP) have a higher  
384 flow rate (2 and 1.3 L min<sup>-1</sup>, respectively) which may improve sensitivity for low  
385 concentrations. These two instruments have also the potential to derive additional  
386 information regarding absorbing material other than black carbon using the  
387 Aethalometer model reported by Sandradewi et al. (2008). On the other hand, they are  
388 significantly heavier (660 g and 1.1 kg for STAP and DWP, respectively) which may  
389 represent a major constrain for UAS flights.

390 The STAP (Single channel Tri-color Absorption Photometer, Brechtel Model 9406),  
391 formerly named ABS (see Bates et al., 2013) has been manufactured following the  
392 design of the Particle Soot Absorption Photometer (PSAP; Bond et al., 1999), except  
393 that the detection electronics has been completely redesigned to significantly improve  
394 signal-to-noise and provide a detection limit of  $\sim 0.2 \text{ Mm}^{-1}$ . Light from three LED  
395 sources with wavelengths centered at 445, 515 and 633 nm (Table 3) is alternatively  
396 transmitted through glass windows with 50 Hz frequency. The diffused light, which is  
397 transmitted through two filter-holding spots that typically carry glass fiber filters, is  
398 continuously monitored by two photodetectors. One filter spot is only loaded with the  
399 sample aerosol while the other remains sample-free, acting as a reference. The highest  
400 sampling rate achieved is 1 Hz. The glass fiber filters minimize light from being  
401 transmitted in the forward direction (forward scattering) thus reducing the bias due to  
402 scattering by the collected aerosol, while they allow the sampled particles to be



403 embedded within the filter, integrating them in the optically diffusive environment. A  
404 laminar flow element is used to measure the sample volumetric flow rate in real time  
405 and an on-board software automatically controls the small integrated vacuum pump to  
406 maintain a constant sample volume flow independent of UAV altitude. The sample flow  
407 is dried to eliminate artifacts due to water uptake by the filters.

408 Calculated absorption from the 3 miniature instruments was derived directly from  
409 the sample and reference signals, using Eq 1, 2 and 3 without taking into account the  
410 computed eBC or  $b_{atm}(\lambda)$  reported by the instruments. For AE51 and DWP the difference  
411 between the calculated and reported absorption values was 0.01% or less. The  $b_{atm}(\lambda)$   
412 reported by STAP was initially processed with a 60 s moving average which was  
413 deemed too long. To address that issue, a custom-made moving average was applied to  
414 the raw (1Hz time resolution)  $b_{abs}(\lambda)$  signal in order to reduce the signal-to-noise ratio  
415 (more details in Section 4). Furthermore, this custom moving average allowed a more  
416 accurate determination of  $b_{abs}(370)$  and  $b_{abs}(880)$  based on Eq. 7 for STAP. The STAP  
417 manufacturer suggests conversion from  $b_{atm}(\lambda)$  to  $b_{abs}(\lambda)$  based on Eq. 8 (Ogren et al.,  
418 2010), which also accounts for loading artifacts. This conversion has been applied only  
419 on STAP measurements instead of Eq. 3.

$$420 \quad b_{abs}(\lambda) = \frac{0.85b_{atm}(\lambda)}{1.22(1.0796\frac{I(t)}{I_{wf}} + 0.71)} \quad \text{Eq. 8}$$

421 where  $I(t)$  is the attenuation at given time and  $I_{wf}$  the measured attenuation under particle  
422 free air after changing the filter medium.

423

#### 424 **4. Data exploitation: Improvement of the Optimized Noise-reduction Averaging** 425 **(ONA) Smoothing Algorithm**

426 The three miniature absorption monitors were set to sample at a rate of 1 Hz.  
427 However, all measurements were subjected to non-negligible instrumental noise  
428 (defined as one single standard deviation of the absorption coefficient) making the data  
429 exploitation for short time intervals challenging. The use of a standard averaging  
430 method (average, rolling average, least squares fit) would require setting a fixed time  
431 step upon which all measurements will be averaged regardless of the signal-to-noise  
432 ratio. This will result to reduced noise but may compromise the need for high time  
433 (spatial) resolution as it is the case for UAS-based measurements. Instead, Hagler et al.,  
434 (2011) proposed a method where the averaging step is not defined by the time, but is  
435 based on the measured attenuation. In that method, named Optimized Noise-reduction  
436 Averaging (ONA),  $dATN(\lambda)/dt$  should only be positive or zero (but not negative). As a  
437 result, for a predefined configuration (sample volume, sample spot area), the same  
438 averaging attenuation step ( $\Delta ATN$ ) will require more data points to be averaged during  
439 periods with low atmospheric concentrations (i.e lower time resolution) compared to  
440 periods with high atmospheric concentrations. Therefore, using ONA, the averaging  
441 time step is dynamically set to be inversely proportional to the sampled concentration  
442 (see also Eq. 2). Since the method is based on attenuation changes, it can only be applied  
443 to individual spots, where the sample is accumulated, in a continuous monitor or an  
444 individual filter in semi-continuous monitors such as the miniature absorption monitors  
445 investigated in this work.



446 The algorithm proposed by Hagler et al. (2011) results in an integrated-like  
447 (fragmented) data structure which significantly lowers the vertical resolution of our UAS-  
448 based absorption measurements (blue dots in Fig. 3). Additionally the algorithm  
449 assumes that the same attenuation step will be used for each wavelength independently  
450 of the fact that attenuation of shorter wavelengths usually progresses faster.

451 To cope with the above issues, an improvement of the ONA algorithm is proposed  
452 here. A moving average is implemented instead of the one applied in the ONA  
453 algorithm, resulting in a more continuous-like data structure and improved vertical  
454 resolution (red dots in Fig. 3). If more than one wavelength is monitored, then the  
455 improved ONA algorithm is applied to all wavelengths but based on the attenuation of  
456 the larger one, so to produce comparable averaging results.

457 The flow diagram of the proposed improved ONA algorithm is presented in Suppl.  
458 Fig. 1. A link to the actual code is also provided, via a file sharing portal, in the  
459 supplement. The user supplies attenuation, and instrument response (e.g. eBC mass,  
460  $b_{\text{abs}}$ ) as time series along with the desired attenuation step ( $\Delta\text{ATN}$ ). If more than one  
461 wavelength is to be examined, they have to be specified. Initially, the longer wavelength  
462 is automatically selected and a time interval based on the desired  $\Delta\text{ATN}$  value is  
463 calculated for each data point. The calculated time interval includes attenuation values  
464 in the range  $[-0.5 \times \Delta\text{ATN}, +0.5 \times \Delta\text{ATN}]$  centered at the selected data point. The time  
465 interval is limited to correspond to only one sample spot. The same averaging times are  
466 then applied to the remaining wavelengths, if any. Discrepancies could arise when  
467 abrupt concentration gradients are sampled e.g. monitoring the vertical profile of a  
468 polluted boundary layer followed by clean air masses. In this case the rate of attenuation  
469 change will decrease, since the air mass contains less absorbing aerosol. If the  
470 concentration gradient between the two layers is large enough the algorithm may lead  
471 to fictitious shift of the boundary layer height because more data points from the clean  
472 air mass than the polluted boundary layer will be accounted for in the average. The  
473 discrepancy is solved if weights inversely proportional to the number of data points  
474 used for the average before ( $-0.5 \times \Delta\text{ATN}$ ) and after ( $+0.5 \times \Delta\text{ATN}$ ) the sample point to  
475 be examined are applied. The improved ONA algorithm incorporates filters that cope  
476 with this problem. Erroneous results may also arise from outliers in the time series,  
477 especially if small  $\Delta\text{ATN}$  are applied or if the time series are oversmoothed. An  
478 example of oversmoothing is shown in Fig.3 (green dots). For all the reasons discussed  
479 above, it is advised to examine the result using different  $\Delta\text{ATN}$  and against the raw  
480 input.

481 High  $\Delta\text{ATN}$  values will reduce noise but reduce the time (vertical) resolution. A  
482  $\Delta\text{ATN}$  equal to 0.01, 0.03, 0.03 is suggested for AE51, DWP and STAP, respectively  
483 and these values take into account the air face velocity set for each instrument. Vertical  
484 profile case studies are therefore discussed later in Section 6 with the above proposed  
485 attenuation steps. Note that Hagler et al. (2011) suggests a higher  $\Delta\text{ATN}$ , equal to 0.05,  
486 for all monitors regardless of individual face velocity.

## 487 488 **5. Quality Assurance**

489 Despite that all the available methods have the scope of reporting the mass  
490 concentration of BC, discrepancies between the different techniques or even  
491 instruments that are based in the same operating principles have been reported (e.g.,



492 Watson et al., 2005, Slowik et al., 2007, Müller et al., 2011). These discrepancies are  
493 not only attributed to the different measurement techniques/instruments used but also  
494 to the large variability of the physicochemical properties of atmospheric or laboratory  
495 generated carbonaceous particles. For instance, the optical properties of carbonaceous  
496 particles depend on their size and morphology (Bond and Bergstrom, 2006, Fernández  
497 et al., 2015), on their mixing state and/or coating thickness with other atmospheric  
498 relevant species, including sulfate, water, organic or dust (Lack et al., 2009, Lack and  
499 Cappa, 2010, Shiraiwa et al., 2010, Zhang et al., 2015, Liu et al., 2015). As a result,  
500 aerosol absorption measurements need to be associated with a comprehensive  
501 understanding of the methods and uncertainties associated with each instruments and  
502 how they have been operating in the field. Condensation or volatilization of water on  
503 the filter spot of the miniature sensors may greatly affects absorption measurements  
504 (Hale and Query, 1972). In order to minimize this artifact, a custom-built (lightweight)  
505 silica-gel dryer was installed at the inlet of each miniature sensor and regenerated before  
506 each flight. It is noted that each sensor operated with its own inlet and dryer during both  
507 campaigns and even in when two sensors were airborne simultaneously in one UAS.  
508 However, to reduce weight, no size-selective inlet was employed. Ground based sensors  
509 were similarly configured; at least during UAS flights were ongoing.

### 511 **5.1 Aerosol Absorption derived by AE33 and MAAP**

512 During the Athens campaign AE33 and MAAP showed excellent agreement  
513 ( $R^2=0.98$ ,  $N=381$ ) with respect to the *eBC* mass concentration trend at a 30 min time  
514 resolution (Fig. 4). However, AE33 reported higher *eBC* by  $20\pm 11\%$  compared to  
515 MAAP, and higher absorption coefficient at 370 and 880 nm of more than a factor 2.  
516 During the Cyprus campaign, the both monitors also showed very good agreement  
517 ( $R^2=0.89$ ,  $N=1434$ ) at a 30 min time resolution. However, similar to the Athens  
518 campaign, AE33 showed *eBC* mass concentration by  $13\pm 5\%$  higher compared to  
519 MAAP, and higher absorption coefficient at 370 and 880 nm by almost a factor 2.  
520 Drinovec et al. (2015) suggested that AE33 could overestimate *eBC* up to  
521 approximately 7% when compared to MAAP. Muller et al. (2011) calculated the  
522 absorption coefficient at 637 nm of single spot aethalometers measuring ambient air  
523 and showed that it can be up to  $60\pm 20\%$  overestimated when compared to MAAP.  
524 Finally, MAAP has been reported to underestimate *eBC* in polluted environments  
525 (Hyvärinen et al., 2013) when the measured *eBC* concentration exceeds  $3 \mu\text{g m}^{-3}$ . Table  
526 4 summarizes the results from both campaigns (illustrated in Fig 4). Clearly, this  
527 comparison suggests that AE33 and MAAP exhibit a better match with respect to *eBC*  
528 mass rather than with the absorption coefficient.

529 In the comparison presented above, MAAP was chosen as the reference instrument  
530 because it has been shown to exhibit good agreement against ambient absorption  
531 methods (Sheridan et al., 2005) that do not require correction schemes (e.g.  
532 photoacoustic spectrometers) and because its unit-to-unit variability reported to be  
533 small (approximately 5%; Muller et al., 2011). However, MAAP monitors absorption  
534 at a single wavelength and samples at lower temporal resolution than the one desired  
535 for this study (30 min in the Athens campaign and 2 min in the Cyprus campaign).

536 In the following sections, we investigate how measurements from miniature  
537 attenuation monitors relate to the commercial ones discussed in this section. AE33 is



538 always utilized as a reference because of its high temporal resolution (1 min). For this  
539 purpose, AE33 results are first scaled to match those of MAAP, to approximate, at least  
540 on average, the suggested “reference” values taking advantage the excellent trend  
541 agreement between these two instruments. The  $eBC$  by the AE33 was consequently  
542 decreased by 20% and 13%,  $b_{abs}(370)$  was decreased by a factor of 2.4 and 1.93, and  
543  $b_{abs}(880)$  was decreased by a factor of 2.2 and 1.83 during the Athens and CAO  
544 campaigns, respectively.

545

## 546 5.2 UAS-based absorption measurements

547 The loading correction term in Eq. 3 was neglected in our study, assuming a value  
548 equal to unity when attenuation was low. It is noted that currently, most loading  
549 correction schemes are applied to continuous monitors that change sample spots  
550 automatically. Attenuation of AE51, provided by the instrument never exceeded 1%  
551 during the Athens campaign due to the combination of low sampling flow rate and  
552 limited sampling times (approximately 15 min) of each flight. During the Cyprus  
553 campaign it reached up to 2% because sampling time was higher (1-1.5 h) despite the  
554 lower measured  $eBC$  concentrations. Because of its higher sampling flow rate, the  
555 attenuation of DWP at 880 nm exceeded 15%, five times in each of the two campaigns.  
556 In order to examine whether measurements by DWP exceeding 10% attenuation were  
557 significantly affected by the filter loading effect, a comparison with respect to  $b_{abs}(880)$   
558 was conducted against both AE51 and AE33. The comparison results, shown in the  
559 supplementary material (Suppl Fig. 2), support the assumption of a loading correction  
560 ( $R$ ) equal to unity was valid during both campaigns.

561 A second DWP monitor was installed in series behind the one which is been  
562 evaluated here in order to assess the possible impact of changes in Relative Humidity  
563 on the attenuation measurements. The second DWP was measuring signals downstream  
564 of the filter strip of the DWP; the hypothesis here is that both DWP should be similarly  
565 affected by artifacts induced by water absorption/desorption onto the filter strips. An  
566 underlying assumption is that both monitors were operating under the same  
567 temperature. Under normal (dry) conditions the second DWP should always report zero  
568 concentrations; this was the case during the Athens campaign with the exception of one  
569 flight performed on the 15<sup>th</sup> January 2016 when the silica gel dryer was removed.  
570 During this flight, the second DWP provided attenuation measurements deviating from  
571 zero, as high as 30  $Mm^{-1}$  at 880 nm, suggesting that the first DWP measurements may  
572 also have been affected by sampling bias (Suppl. Fig. 3).

573

## 574 6. Comparison of miniature attenuation monitors against reference instruments

575 Since most the commercially available sensors provide BC readings (instead of  
576 absorption like STAP), we have decided to extend our absorption intercomparison to  
577  $eBC$ . Despite BC being the most absorbing material in ambient air, others components,  
578 such as brown carbon and dust could also contribute especially at shorter wavelengths  
579 (Andreae et al., 2006). In this work, the term  $eBC$  was chosen instead of BC (Petzold  
580 et al. 2013) to stress that BC is not the only absorbing material. In addition to  $eBC$ ,  
581 aerosol absorption coefficients at 370 and 880 nm were also selected because two of  
582 the three miniaturized sensors measured at least at one of those wavelengths (see Table



583 3). Extrapolation based on angstrom law (Eq. 7) was applied for the monitors that did  
584 not measure at these two specific wavelengths.

585

### 586 **6.1 Overview of the temporal and diurnal variability of eBC during the Athens** 587 **and Cyprus campaigns**

588 During the Athens campaign the average eBC concentration determined by AE33  
589 was  $1.5 \pm 2.1 \mu\text{g m}^{-3}$ , ranging from 0.3 to  $15 \mu\text{g m}^{-3}$ . The presence of BC related to  
590 biomass burning ( $\text{BC}_{\text{bb}}$ ), was identified and quantified throughout the campaign (Supp.  
591 Fig. 4), using the Sandradewi et al. (2008) model, but never exceeded 20% of the total  
592 eBC during daytime (05:00-15:00). During nighttime,  $\text{BC}_{\text{bb}}$  concentration was always  
593 elevated, reaching 40-60% of the total eBC that typically remained below  $2 \mu\text{g m}^{-3}$ . On  
594 two occasions (14/01 16:00 – 15/01 05:00 and 21/01 15:00 – 22/01 00:00) eBC  
595 exceeded  $5 \mu\text{g m}^{-3}$  for several hours dominated by  $\text{BC}_{\text{bb}}$ . On average,  $\text{BC}_{\text{bb}}$  was  
596 identified from 16:00 UTC till 04:00 UTC of the following day and was enhanced by  
597 the low boundary layer observed during those hours and the need for heating due to low  
598 temperatures. Similar behavior attributed to biomass burning aerosol has been reported  
599 previously in Athens (Florou et al., 2017, Fountziou et al., 2018) and other major Greek  
600 cities (Petraakis et al., 2013; Pikridas et al., 2013). BC related to fossil fuel also  
601 exhibited a distinct diurnal pattern that included two maxima. The first was observed  
602 approximately 06:00 UTC that was attributed to the rush hour traffic period and the  
603 second during the night (after 16:00 UTC) simultaneously with the period when  
604 biomass burning related BC was observed. Increased biomass burning, especially  
605 during nighttime for domestic heating purposes, due to the economic crisis in Greece,  
606 has been reported for another major greek city (Saffari et al., 2013).

607 During the Cyprus campaign eBC measured by AE33 did not exceed  $2 \mu\text{g m}^{-3}$  and  
608 most of the time it was found below  $0.8 \mu\text{g m}^{-3}$ . The highest hourly concentration ( $1.9$   
609  $\mu\text{g m}^{-3}$ ) was observed on the 10<sup>th</sup> April 2016 (Supp. Fig. 5) when the site was influenced  
610 by air masses from N. Africa, and the lowest ( $<0.1 \mu\text{g m}^{-3}$ ) on the 12 and 14<sup>th</sup> of April  
611 2016. During the Cyprus campaign, dust transport from the Saharan desert was  
612 identified on 3 occasions (7-10, 15-17 and 21-27 April 2016) based on combined  
613 information from i) elevated coarse-mode particulate matter concentrations, ii) aerosol  
614 spectral properties of the entire atmospheric column measured by sun photometry and  
615 iii) back-trajectory analysis and iv) satellite pictures (MODIS AOD product). The  
616 diurnal pattern of eBC during the Cyprus campaign was relatively flat as expected in a  
617 remote background site, characterized by an almost invariable concentration  
618 approximately at  $0.4 \mu\text{g m}^{-3}$  (campaign average equal to  $0.39 \pm 0.24 \mu\text{g m}^{-3}$ ).

619

### 620 **6.2 Ground-based intercomparison of aerosol absorption**

621 During the Athens campaign, each miniature sensor not performing vertical  
622 profiling, was operating at ground level in parallel with AE33 and MAAP, allowing a  
623 direct comparison. Additionally, the miniature sensors on board the multi-copter were  
624 measuring at ground level (2-3 min) before take-off and after landing. It is noted that  
625 the same setup (sampling lines, diffusion dryer) was utilized whether the miniature  
626 samples were mounted in the UAS platform or not. Based on the combination of these  
627 datasets resampled to 1 min (the time resolution of AE33), DWP exhibited good  
628 correlation, with respect to eBC against AE33 ( $R^2=0.90$ , slope=0.93, N=417) shown in



629 Fig. 5a, while the AE51 performed slightly poorer correlation ( $R^2=0.76$ , slope=0.94,  
630  $N=125$ ) (see Table 4). One possible explanation is the lower signal-to-noise ratio of  
631 AE51. Both monitors measured *eBC* concentrations lower by 6-7% compared to the  
632 reference measurements. STAP does not report *eBC* mass concentration and was  
633 excluded from this comparison for that purpose.

634 With respect to  $b_{abs}(\lambda)$  at 370 and 880 nm, both STAP and DWP showed good  
635 correlation ( $R^2=0.89$  and  $0.87$ ,  $N=519$  and  $417$  for STAP and DWP, respectively)  
636 against AE33, while the correlation with AE51 was slightly poorer ( $R^2=0.76$ ,  $N=125$ )  
637 at 880 nm (Fig. 5c).

638 However DWP overestimated  $b_{abs}(880)$  by  $29\pm 20\%$  compared to the corresponding  
639 reference measurements, even though the *eBC* mass, calculated from the same  
640 wavelength, was underestimated by 7%. Similar to DWP, AE51 overestimated  
641  $b_{abs}(880)$  by  $30\pm 12\%$  even though *eBC* mass was underestimated by 6%. Both  
642 miniature sensors underestimate with respect to *eBC* but at the same time overestimate  
643 with respect to the absorption coefficient mainly due to the higher correction factor  
644 applied to the AE33 measurements concerning the latter (approximately a factor of 2)  
645 compared to the former ( $\approx 20\%$ ) to match those of MAAP as discussed in Section 5.1.

646 STAP was found to overestimate  $b_{abs}(880)$  by  $6\pm 8.5\%$  and underestimate  $b_{abs}(370)$   
647 by  $7\pm 7\%$ . During a laboratory comparison (Muller et al., 2011) reported that a  
648 continuous single spot aethalometer (Magee Model AE31) overestimated  $b_{abs}$  compared  
649 to MAAP by 37-60% at 660 nm. The same study also reported underestimation of the  
650 absorption coefficient at 650 and 585 nm against MAAP of the Particle Soot Absorption  
651 Photometer (Radiance Research Model PSAP, the rack mounted equivalent of STAP)  
652 by 1-14%. These laboratory comparison results are similar to those reported in this  
653 study (AE51 overestimates and STAP underestimates by similar extent against the  
654 reference).

655 These results suggest that the miniature sensors intercompared during the Athens  
656 campaign, exhibit better agreement with respect to the parameter they report.  
657 Concerning AE51 and DWP this parameter was *eBC* concentration, which was within  
658 10%, rather than the absorption coefficient, suggesting that the absorption coefficient  
659 should be preferentially calculated based on MAC values (Eq. 5) instead, if these are  
660 known or can be calculated. On the other hand, STAP that does not report *eBC* but  $b_{abs}$   
661 exhibited good agreement, within 10%, against the reference on that property. The  
662 discrepancies discussed above lead to an average underestimation in the calculated  
663 angstrom exponent of DWP and STAP against that of AE33 by 13% and 12%  
664 respectively.

665 During the Cyprus campaign, aerosol absorption was also monitored at the ground  
666 by an AE33 and a MAAP located at CAO, approximately 7 km away and at 200 m  
667 higher elevation from the UAS airfield. Only DWP and AE51 were used during this  
668 campaign. Assuming homogeneity between the two sites, a direct comparison was  
669 conducted between ground and UAS measurements.

670 The comparison results, shown in Fig. 6, indicate that the correlation between the  
671 ground measurements and UAS (AE51 and DWP) measurements led to less satisfactory  
672 results compared to the Athens campaign (see also Table 4). The correlation between  
673 AE33 and DWP was still acceptable ( $R^2=0.71$ ;  $N=91$ ) with respect to *eBC* and the  
674 absorption coefficient at 370 and 880 nm at 1 min time resolution. But correlation



675 between AE33 and AE51 was found as poor ( $R^2=0.32$ ,  $N=48$ ) with respect to  $eBC$  and  
676  $b_{abs}(880)$ .

677 Atmospheric concentration of absorbing material (with respect to eBC) was found  
678 on average 4 times lower in Cyprus (mean of  $0.39\pm 0.24 \mu\text{g m}^{-3}$ ) compared to Athens  
679 (mean of  $1.5\pm 2.1 \mu\text{g m}^{-3}$ ), limiting the performance of the miniature sensors.  
680 Additionally, the range of atmospheric concentrations was also reduced by a factor of  
681 6 in Cyprus (maximum hourly averaged eBC was  $1.9 \mu\text{g m}^{-3}$ ) compared to Athens  
682 (maximum hourly averaged eBC was  $12.2 \mu\text{g m}^{-3}$ ), leading to less favorable conditions  
683 for direct instrument-by-instrument comparisons. These conditions had a direct impact  
684 in the uncertainty related to the measurement agreement between the AE33 and the  
685 miniature monitors. During the Cyprus campaign, the uncertainty was always greater  
686 than the respective of the Athens campaign. As an example during the Cyprus  
687 campaign, DWP underestimated  $eBC$  by  $6\pm 20\%$  and overestimated  $b_{abs}(880)$  by  
688  $20\pm 26\%$ , while during the Athens one the respective numbers were  $7\pm 15\%$  and  $29\pm 20\%$   
689 (Table 4). The effect was greater concerning AE51, which overestimated  $eBC$  by  
690  $22\pm 52\%$  and  $b_{abs}(880)$  by  $55\pm 66\%$ , while during the Athens campaign the respective  
691 numbers were  $6\pm 9\%$  and  $30\pm 12\%$  (Table 4). It is unclear whether the absorbing  
692 properties of the sampled aerosol (fresh at Athens and aged at Cyprus) had any effect  
693 on this comparison.  
694

### 695 **6.3 On flight intercomparison of aerosol absorption**

696 During flights, vibrations as well as strong gradients of pressure, temperature, and  
697 RH may affect the performance of the miniature sensors. Due to extra weight issues,  
698 STAP and DWP could not fly simultaneously. However, the lower weight of AE51  
699 enabled on-flight cross comparison with DWP and STAP, respectively during 8 flights  
700 of the Athens campaign. The correlation of AE51 airborne with both DWP and STAP  
701 was very good ( $R^2=0.65$ ,  $N=493$  and  $R^2=0.87$ ,  $N=1875$ , respectively) provided that the  
702 sampled air was dried (Fig. 7) and the dataset conditioned as suggested in Section 4.  
703 Note that, if no smoothing is applied then the agreement deteriorates sharply ( $R^2=0.01$ )  
704 for either DWP or STAP. The  $\Delta\text{ATN}$  used for this comparison were 0.01, 0.03, and  
705 0.03 for AE51, DWP, and STAP, respectively as suggested in Section 4. STAP is shown  
706 to underestimate  $b_{abs}$  by 12% compared to AE51 (Fig. 7), consistent with the  
707 comparison against AE33 discussed in Section 6.2. The very good correlation  
708 (comparison slope = 0.87) between the two when airborne also suggests that on average,  
709 no significant bias during the flights was present.

### 710 **7. Diurnal Vertical Profiles of Black Carbon above Athens: A case study**

711 As part of the Athens campaign, intensive vertical absorption profiles were  
712 performed with the objective to assess the influence of the diurnal development of the  
713 planetary boundary layer (PBL) on the vertical dispersion of ground-based black carbon  
714 emissions. UAS-based measurements were conducted for that purpose on the 19<sup>th</sup> of  
715 January at sunrise (05:38 UTC) and were continued on an hourly basis till the PBL  
716 depth exceeded the maximum height allowed to operate (1 km a.s.l.) approximately at  
717 10:00 UTC. Two additional flights were conducted later in that day; one hour before  
718 and during sunset (15:38 UTC). The reconstructed vertical distribution of eBC based  
719 on the six ascending vertical profiles from 05:30 till 09:45 (UTC) is shown in Fig. 8,  
720 complemented by ground measurements during the same day by AE33. The actual





721 vertical profiles for the entire day (N=8) are also shown in Fig. 9. To the best of our  
722 knowledge, this is the first time that the vertical dispersion of ground-based black  
723 carbon emissions is dynamically assessed above a city. Our results suggest a non-  
724 homogeneous boundary layer that evolved at a rate of  $132 \text{ m h}^{-1}$  during 19<sup>th</sup> January  
725 2016 starting from an elevation of 265 m a.s.l. before sunrise. On the ground, *eBC* from  
726 the miniature monitor followed the diurnal pattern also observed on the ground by the  
727 reference instrument. At this low elevation and since 05:00 UTC *eBC* increased by a  
728 factor of 8, maximizing at 07:00 UTC. The emission's pattern and the angstrom  
729 exponent, calculated based on AE33 measurements, which was equal to 1.1 when  
730 concentrations maximized, suggest that this increase was due to local traffic emissions  
731 (see also Fig. 8). After 10:00 UTC *eBC* remained relatively stable at  $1.5 \mu\text{g m}^{-3}$  ( $\approx 5$   
732  $\text{Mm}^{-1}$  at 880 nm).

733 Above the PBL, which was determined by Polly-XT measurements (Baars et al.,  
734 2008; dashed red lines in Fig. 9), the measured concentration of *eBC* was always lower  
735 than the respective one measured within, by at least 20%. The highest *eBC*  
736 concentrations above the PBL were observed during sunrise and sunset (first and last  
737 diurnal profile in Fig. 9) equal to  $1.9$  and  $2.0 \mu\text{g m}^{-3}$ , respectively. The lowest *eBC*  
738 concentration in this layer, equal to  $0.3 \mu\text{g m}^{-3}$ , was observed at 06:30 UTC but steadily  
739 increased to  $0.4$ ,  $0.9$  and  $1.7 \mu\text{g m}^{-3}$  during 07:38, 08:39 and 09:44 UTC, respectively.  
740 Due to flight restrictions, free tropospheric measurements could not be monitored after  
741 10:00 UTC. PBL was also identified by vertical profiles of potential temperature which  
742 are in good agreement with those derived by Polly-XT

743 Before sunrise, our results suggest the presence of stable boundary layer in contact  
744 with the ground that has been radiatively cooled, and on top a residual layer. As the sun  
745 rises, the stable boundary layer's depth increases and simultaneously the residual layer  
746 is mixed with the free troposphere. During the 19<sup>th</sup> January 2016, mixing took place  
747 between 05:45-06:30 UTC. The concentration of *eBC* in the residual layer drops to near  
748 zero because the trapped pollutants are now diluted in the free troposphere.

749 However, the concentration of *eBC* above the boundary layer exhibited an increasing  
750 trend suggesting either convection of pollutants from the PBL or advections of  
751 regionally transported PM involving absorbing material that did not intrude the PBL.  
752 During the period when absorbing material was directly emitted from the ground and  
753 the boundary layer height increased (from 05:30-08:30 UTC), *eBC* dispersion inside  
754 the PBL was not homogeneous but was gradually decreasing with increasing altitude.  
755 The effect is more evident when emissions from the ground exhibited an increasing  
756 trend (approximately from 06:30-07:40 UTC). Once ground emissions reached their  
757 minimum and the PBL stabilized, the concentration inside the PBL became  
758 homogeneous (from 10:00 UTC till sunset). During sunset, stratification of a new stable  
759 boundary layer was observed and on top of it a new residual layer forming.

760 The vertical absorption distribution was reconstructed based on the absorption  
761 profiles shown in Fig.8, during 19 January 2016 between 05:34 and 09:36 (UTC) and  
762 also shown in Fig. 9 against PollyXT's range corrected signal.

763

## 764 8. Conclusions

765 Two field campaigns were conducted in Athens (Greece) and in CAO (Cyprus) in  
766 order to i) study the vertical distribution of aerosol absorption and ii) to evaluate the



767 performance of three miniature absorption sensors in contrasted atmospheric  
768 environments against ground based reference instruments (MAAP and AE33).  
769 Measurements were conducted on the ground and air using three different models of  
770 UASs.

771 UAS-based aerosol sampling was dried to minimize the influence of water  
772 absorption/desorption on the filter strips and attenuation measurements. The influence  
773 of water on attenuation measurements was confirmed during the Athens campaign, by  
774 placing two DWP in series, with the second measuring filtered air from the exhaust of  
775 the first.

776 During January 2016, the miniature sensors sampled urban aerosols at the center of  
777 Athens, Greece. On the ground, STAP and DWP followed well the observed variations  
778 in absorbance ( $R^2 \approx 0.90$ ) against an AE33, while AE51's performance ( $R^2 = 0.76$ ) was  
779 poorer due to low sampling flow rate. STAP, was found to overestimate absorption  
780 coefficient at 880 nm by 10%, while AE51 and DWP overestimate it by 40% and 30%,  
781 respectively. However, with respect to eBC mass, the agreement was closer (within  
782 7%). Taking advantage of the light weight AE51, on-flight intercomparison could be  
783 achieved for STAP and DWP. No correlation between the AE51 and STAP or DWP  
784 could be achieved for unconditioned high-time resolution (1 Hz) measurements. An  
785 improvement of the smoothing algorithm suggested by Hagler et al. (2011) was applied  
786 here leading to improved correlations ( $R^2 > 0.70$ ) between miniature sensors (AE51,  
787 DWP and STAP). Based on four UAS flights, DWP and AE51 agreed very well  
788 (comparison slope equal to 0.92) with respect to the absorption coefficient at 880 nm  
789 ( $b_{abs}(880)$ ), while STAP was found to underestimate  $b_{abs}(880)$  by 12% which was  
790 consistent with the intercomparison performed at ground level against the AE33.

791 The Cyprus campaign took place at the Cyprus Atmospheric Observatory, a remote  
792 location distant by 7 km from the UAS runway and two of the miniature sensors (DWP  
793 and AE51) were evaluated on-flight against ground-based reference instruments, taking  
794 advantage of the elevation difference between the two sites. By comparison to the  
795 Athens campaign, the correlation of both sensors (against reference instruments)  
796 deteriorated because of low atmospheric aerosol concentrations (4 times lower) and  
797 reduced atmospheric variability (6 times lower). While DWP showed relatively good  
798 performance ( $R^2 = 0.71$ ;  $N = 91$  data points), the poor performance of AE51 ( $R^2 = 0.32$ ,  
799  $N = 91$ ) was attributed to a lack of sensitivity of this sensor operating at a flow rate c.a.  
800 10 times lower compared to DWP.

801 The overall potential use of miniature aerosol absorption sensor on-board UAS was  
802 illustrated with results of the campaign performed in Athens. During this campaign, the  
803 diurnal variability of the vertical distribution (0-1 km) of equivalent Black Carbon was  
804 investigated. It was found that eBC concentrations are not homogeneous in the  
805 boundary layer when it develops (PBL depth increases) and simultaneously absorbing  
806 material is emitted at ground level by traffic. Vertical homogeneity of eBC is reached  
807 in the afternoon, when the boundary layer height is stabilized and emissions at the  
808 ground are reduced.

809  
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816

## 817 9. Nomenclature

Abbreviation	Description
ACTRIS	Aerosols, Clouds, and Trace Gases Research Infrastructure
ATN	Attenuation
$b_{\text{atn}}$	Attenuation coefficient
BACCHUS	Impact of Biogenic versus Anthropogenic emissions on Clouds and Climate; towards a Holistic UnderStanding
$b_{\text{abs}}$	Absorption coefficient
BC	Black carbon
BC <sub>bb</sub>	BC related to biomass burning
C	Optical enhancement factor
CAO	Cyprus atmospheric observatory
DWP	Dual wavelength prototype
EARLINET	European Aerosol Research Lidar Network
eBC	Equivalent black carbon
EC	Elemental carbon
GUI	Graphical user interface
MAAP	Multiangle Absorption Photometer
MAC	Mass absorption coefficient
ONA	Optimized Noise-reduction Averaging
PBL	Planetary boundary layer
R	Filter loading parameter
STAP	Single channel Tri-color Absorption Photometer
UAS	Unmanned aerial systems
$\alpha$	Angstrom exponent
$\lambda$	Wavelength
$\sigma_{\text{atn}}$	Mass attenuation cross section

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833 **10. References**

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**Table 1.** Summary of UAS used during the Athens and Cyprus campaigns.

USRL Fleet of UAS	Type	MTOW	Payload*	Endurance*	Ceiling*
 Cruiser	Medium Size Fixed Wing	35 kg	12 kg	4 h	3k asl
 Skywalker X8	Small Size Fixed Wing	5 kg	3 kg	1 h	3 km asl
 DJI S1000+	Small Size Rotary Wing	11 kg	4 kg	30 min	1 km asl

\* UAS characteristics as configured particularly for BACCHUS and ACTRIS campaigns

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**Table 2.** Summary of standardized properties of each attenuation monitor.

Manufacturer	Instrument name	Mass attenuation cross section ( $\text{m}^2 \text{g}^{-1}$ )	Optical enhancement factor (C)	Reference
Magee Scientific	AE33	$10730.48/\lambda$	1.57	Drinovec et al., 2015
Magee Scientific	AE51	$11000/\lambda$	2.05	Ferrero et al., 2011
Brechtel	STAP	N/A*	N/A*	Ogren et al., 2010
Thermo Scientific	MAAP	6.6 at 670 nm	N/A	Petzold and Schönlinner, 2004
Custom made from AE51	Dual Wavelength Prototype (DWP)	$11000/\lambda$	2.05	N/A

1099 \* Equation 7 is used instead

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1119 **Table 3.** Characteristics of the miniature absorption instruments.

<b>Instrument Name</b>	<b>Flowrate (LPM)</b>	<b>Spot Area (m<sup>2</sup>)</b>	<b>Wavelengths (nm)</b>	<b>Face Velocity (m s<sup>-1</sup>)</b>	<b>Weight (g)</b>	<b>Time Response (s)</b>
AE51	0.1-0.2	$7.1 \times 10^{-6}$	880	0.5	280	1, 10, 30
DWP	2	$7.1 \times 10^{-6}$	370, 880	4.7	1100	1
STAP	1.3	$17.7 \times 10^{-6}$	445, 515, 633	1.2	660	1

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**Table 4.** Results from the comparison of the miniature sensors with ground based commercial instruments (AE33 and MAAP) shown in Fig. 5, 6 and 7

	<i>eBC</i>		<i>b<sub>abs</sub>(370) Mm<sup>-1</sup></i>		<i>b<sub>abs</sub>(880) Mm<sup>-1</sup></i>		<i>Angstrom Exponent</i>	
	<u>slope ±95% CI</u>	<u>Quality of fit (R<sup>2</sup>)</u>	<u>slope ±95% CI</u>	<u>Quality of fit (R<sup>2</sup>)</u>	<u>slope ±95% CI</u>	<u>Quality of fit (R<sup>2</sup>)</u>	<u>slope ±95% CI</u>	<u>Quality of fit (R<sup>2</sup>)</u>
<b>Athens campaign</b>								
<b>AE33</b>	1.20±0.11	0.98	2.45±0.21	0.99	2.25±0.19	0.99	N/A	N/A
<b>DWP</b>	0.93±0.15	0.90	1.22±0.20	0.87	1.29±0.20	0.90	0.87±0.22	0.21
<b>AE51</b>	0.94±0.09	0.76	N/A	N/A	1.30±0.12	0.76	N/A	N/A
<b>STAP</b>	N/A	N/A	0.93±0.07	0.89	1.06±0.08	0.88	0.88±0.17	0.27
<b>Cyprus campaign</b>								
<b>AE33</b>	1.13±0.05	0.89	1.93±0.09	0.88	1.83±0.08	0.89	N/A	N/A
<b>DWP</b>	0.94±0.20	0.71	0.83±0.18	0.68	1.20±0.26	0.71	0.44±0.28	0.1
<b>AE51</b>	1.22±0.52	0.32	N/A	N/A	1.55±0.66	0.32	N/A	N/A



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1179 **Figure 1.** Upper panel: Location of the sampling sites in the Eastern Mediterranean  
1180 (top) of the two sampling sites. During the Athens campaign sampling was conducted  
1181 at Lofos Nymphon (bottom left) surrounded by busy traffic roads (red line) and a  
1182 touristic area (blue line) free of motor vehicles. During the Cyprus campaign (bottom  
1183 right) measurements using UAS was conducted at the Orounda airfield and ground  
1184 based monitoring at the Agia Marina Xyliatou Observatory at the foothills of the  
1185 Troodos mountain complex. The elevation difference between these sites is noted.

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1200 **Figure 2:** Aerial view of the Orounda runway in Cyprus.

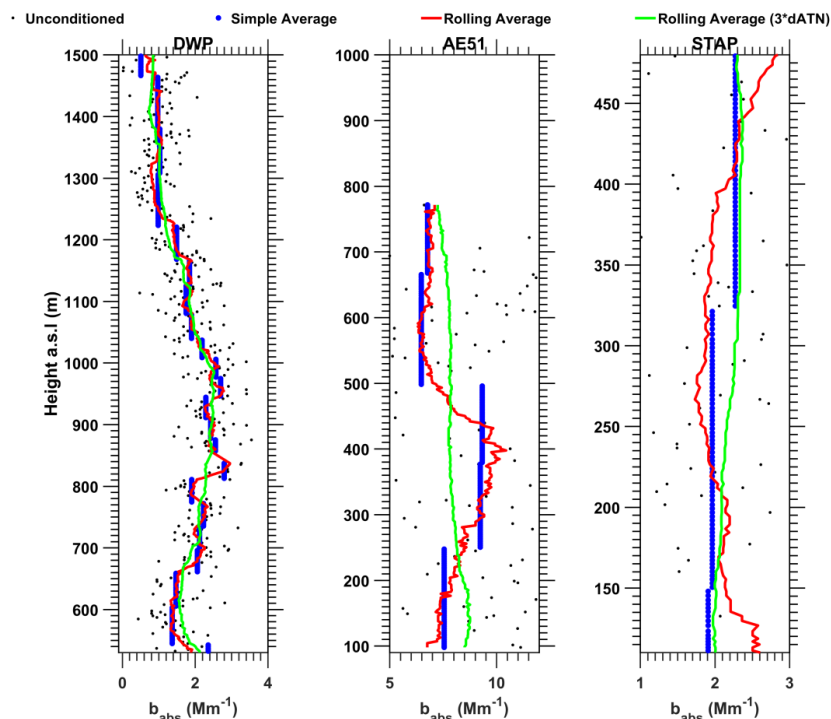
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1207 **Figure 3.** Examples of the use of the improved ONA algorithm for the three attenuation  
1208 monitors examined in this study. Raw data (black dots) are shown against the traditional  
1209 ONA algorithm (Hagler et al., 2011; blue dots), the improved ONA using a rolling  
1210 average and the  $\Delta\text{ATN}$  proposed in Section 5 (red line), and the improved ONA using  
1211 the rolling average but with increased  $\Delta\text{ATN}$  by a factor of 3 (oversmoothed- green  
1212 line). The proposed  $\Delta\text{ATN}$  used are 0.01, 0.03, 0.03 for AE51, DWP and STAP,  
1213 respectively.

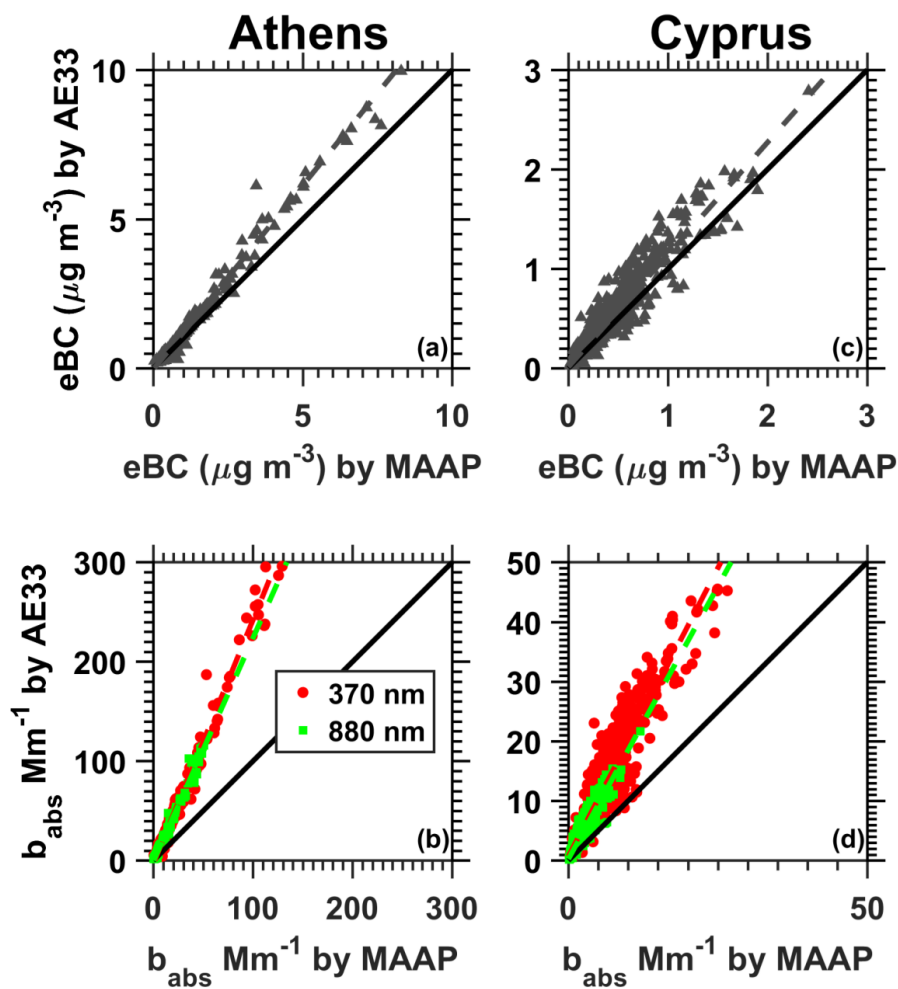
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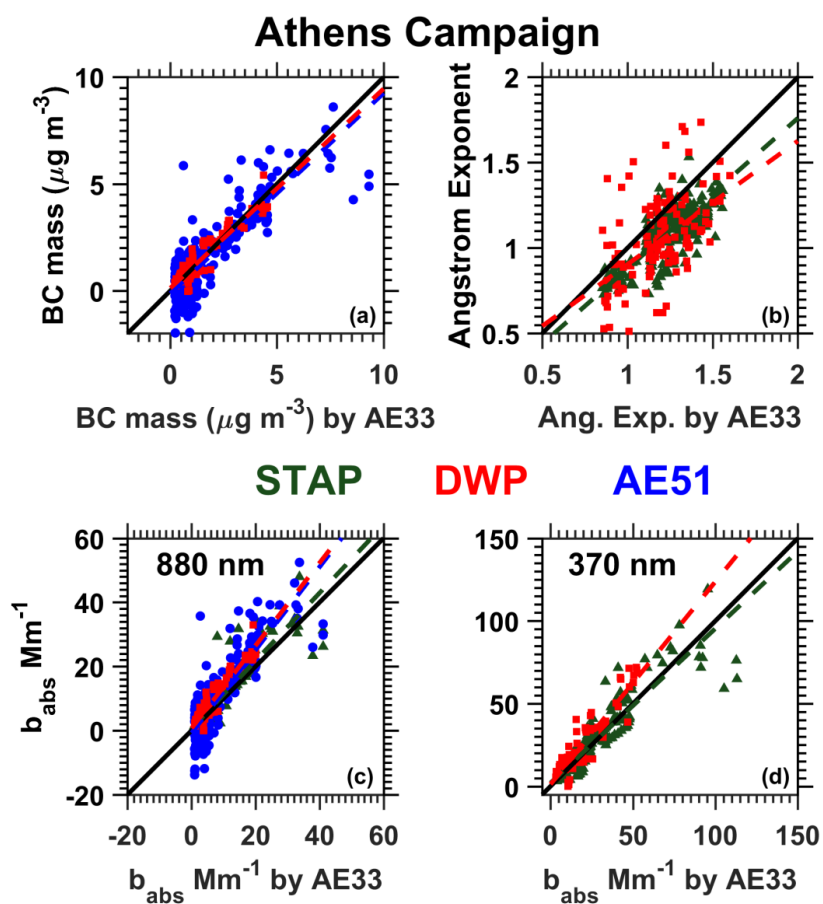
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1220 **Figure 4.** Comparison of AE33 against MAAP for eBC (a,c panels) and  $b_{\text{abs}}$  (b, d  
1221 panels) at 370nm (red dots) and 880nm (green dots) during the Athens (a,c panels) and  
1222 Cyprus (b,d panels) campaigns, respectively. Results are shown in Table 4.

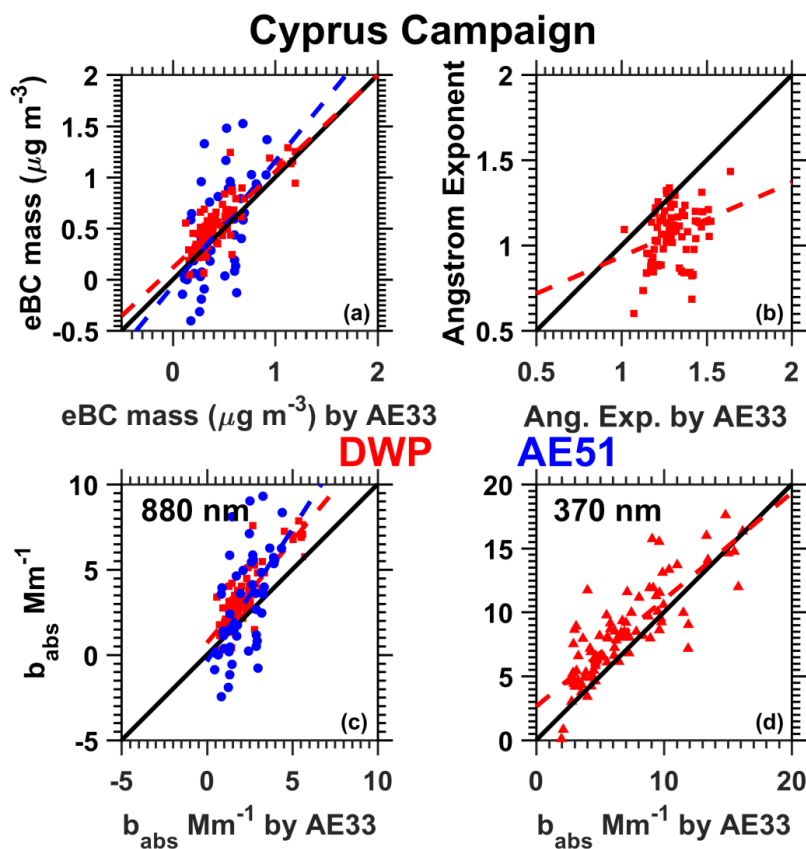




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1224 **Figure 5.** Comparison of miniature attenuation monitors whilst on the ground against  
1225 the corrected AE33 during the Athens campaign with respect to eBC mass (a),  
1226 absorption angstrom exponent (b), and the absorption coefficient at 880 nm (c) and 370  
1227 nm (d). Results are shown in Table 4.

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1231 **Figure 6.** Comparison of miniature attenuation monitors while airborne against the  
1232 corrected AE33 during the Cyprus campaign with respect to eBC mass (a), absorption  
1233 angstrom exponent (b), and the absorption coefficient at 880 nm (c) and 370 nm (d).  
1234 Miniature monitors sampled airborne. Results are shown in Table 4.

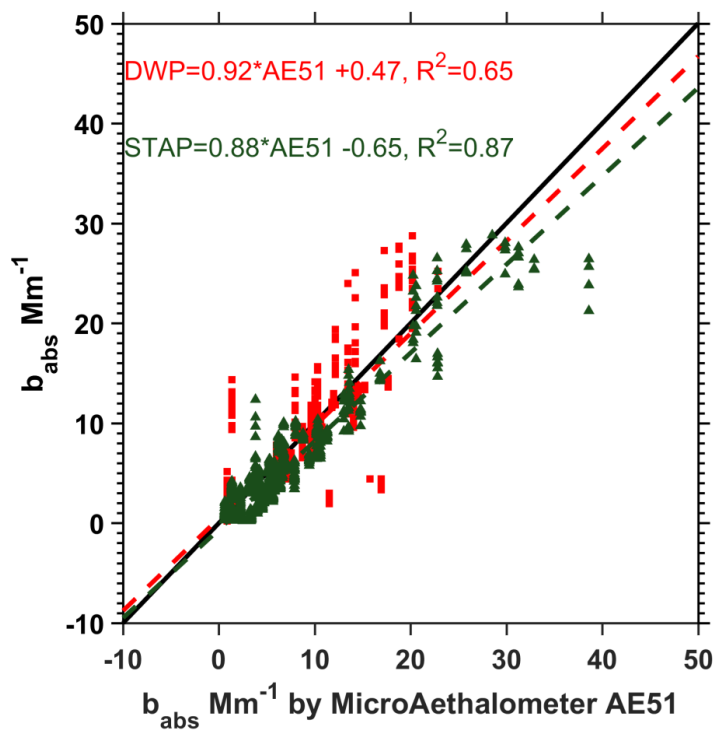
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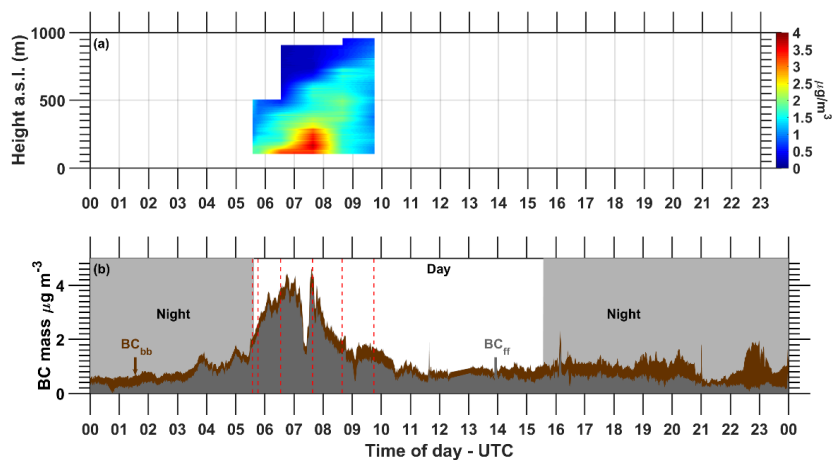
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1241 **Figure 7.** Comparison of AE51 against STAP (green triangles) and DWP (magenta  
1242 squares) during eight flights of the Athens campaign. The reported agreement in the  
1243 correlation suggests that no significant bias affected the monitors. The correlation  
1244 deteriorates to ( $R^2=0.01$ ) if data are not conditioned as suggested in Section 5.

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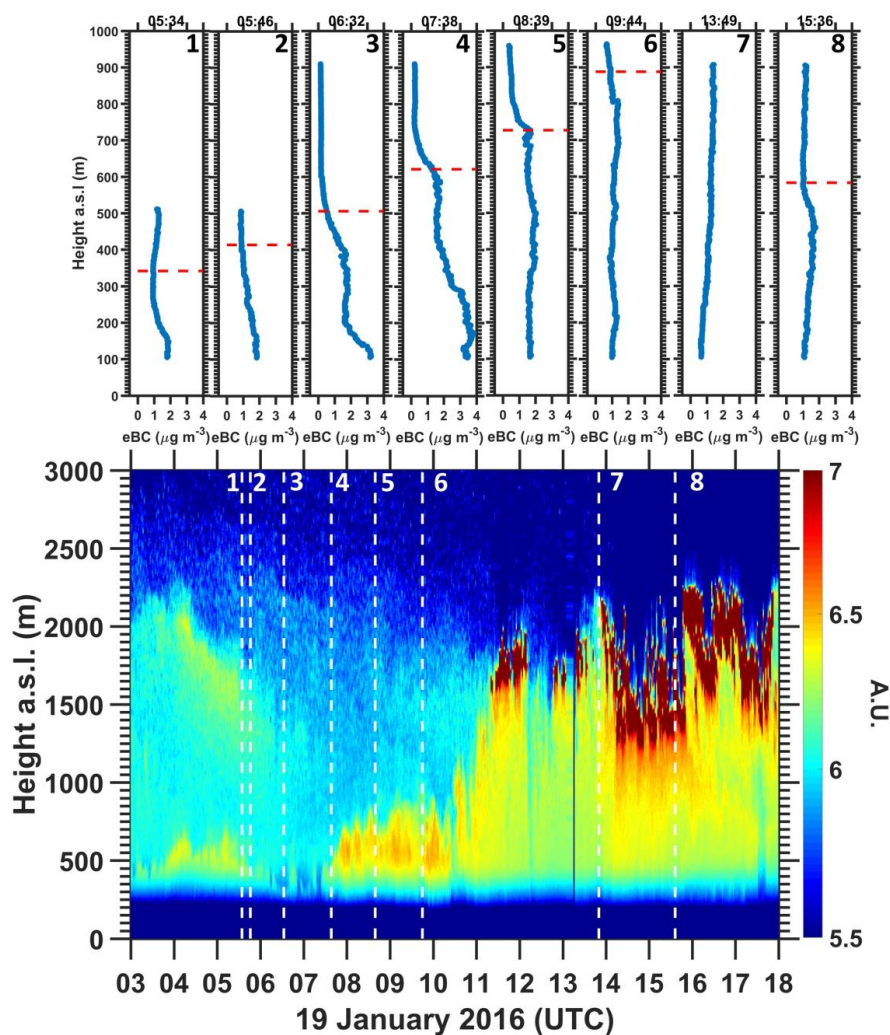
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1249 **Figure 8.** Reconstruction of eBC mass vertical distribution (a) based on 6 flights  
1250 between 19<sup>th</sup> January 2016 (Athens campaign) 05:30 and 09:30 (UTC). The actual  
1251 vertical distributions are shown in Fig. 9. The corresponding ground measurements are  
1252 also shown on panel (b). The concentration of BC from fossil fuel (ff) and biomass  
1253 burning (bb) are shown with grey and brown colour, respectively. Dashed red lines  
1254 indicate the start of each of the 6 flights the reconstructed eBC profiles was based upon.

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1257 **Figure 9.** Vertical profiles (blue lines) of the eBC mass, measured during 19<sup>th</sup> January  
1258 2016 (Athens campaign) accompanied by the mixing height (dashed red line) of the  
1259 lower layer derived by Polly-XT measurements. During the 13:49 flight, mixing height  
1260 was higher than the maximum altitude of flight and it is not shown. The corresponding  
1261 time-height display of the 1064-nm range corrected signal measured with Polly-XT is  
1262 also shown. Dashed white lines correspond to the start of each of the 8 flights performed  
1263 during that day.

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