# Author's response

We would like to thank the referees for their comments. We attach the responses to both referees (blue) with their original comments (black). Below this, we attach the latest tracked version of the manuscript.

# **Responses to Referee 1 (R1)**

The manuscript of Sanchez-Marroquin et al. deals with the characterization of an aircraft inlet frequently used in the British BAE-146 research aircraft. Despite of the importance of inlet characterization, it happens frequently that aerosol inlets are built and used, but remain uncharacterized. Therefore, these type of studies is valuable to rate the results of aerosol research done with the according systems, in particular with respect to their (size) representativity.

The authors compare an experimental approach for inlet transmission characterization with a theory-based one and come to the conclusion of a general approximate agreement. They propose a range of operational conditions based on their results.

The paper is mostly well-written; the methods are explained and applied. Some unclear sections remain (detailed below). References are adequate. However, some effort should be placed into the thermodynamic considerations, and the SEM part should be structured partly into a second publication. Also, some intentions for future work are given scattered through the paper, which should be either moved to the motivation section or omitted.

Thank you very much for this comments. They are very useful and will definitely improve the manuscript. We address the specific comments below.

#### General remarks

The paper goes into details about aerosol flows, but the properties and values reported in the text should be treated with more precision. E.g., flow rates are reported in L per minute, but it is unclear, whether this means volumetric L at the outside conditions, volumetric L at the inlet conditions, mass equivalent L at standard conditions. Line Added in Sect. 2.1: "The air flow through the filter (filter flow) is measured by a mass flow meter, which measures the sampled air mass and reports it in equivalent litres at standard conditions (273.15 k, 1013.529 hPa)". Line Added in Sect. 2.2: (volumetric L at standard conditions: 273.15 k, 1013.529 hPa).

Line Added in Sect. 2.2: (all the flow rates of our calculations are given in L min-1 at standard conditions: 273.15 k, 1013.529 hPa).

636-637: "All calculation were done under standard conditions" – Why? Most aerosol/carrier gas interactions depend on the air viscosity and free mean path, some on Reynolds number and therefore density. As result, most efficiency functions at the end have temperature and density in them. It doesn't seem to be a wise choice to neglect these dependencies, in particular not for aircraft measurements with their strong variation. Also, the thermodynamic conditions change considerably from the outside conditions through in inlet and tubing to the filter. Estimates were done using 'classical' aerosol aspiration / transmission formulas, which don't appear to be relevant in all cases (see detailed comments). A major question in this context is why the authors decided not to use computational fluid dynamics modelling. While these techniques are work-intensive and in turbulent situations also not necessarily precise, in particular for the inlet, diffusor and bend / inertial separator section, they might have been more useful.

#### C2

A temperature and pressure dependence test was performed. In the figure below, one can see the total efficiency for the 40L/min case (including the diffusion) for standard conditions, International standard atmosphere conditions at 0 and 3000m (the range in which the filter inlet system works. The differences are negligible. A similar negligible dependence was calculated for another inlet on board of the FAAM aircraft in Trembath (2012).



Line added at the third paragraph of Appendix A: "The effect of changes in pressure and temperature (and therefore air density and dynamic viscosity) that normally occur in the filter inlet system sampling range (0 to 3000 m) are negligible in all the used equations".

Further thermodynamic analysis in order to estimate the heating losses are impossible to carry out because of the lack of temperature and pressure measurement instruments through the inlet, which are not possible to have because of certification issues. This is a frequent problem of aircraft research. This is now mentioned in section:

Added in fourth paragraph of Sect. 5: "Also, volatilization of certain type of aerosol particles (which are more abundant in the submicron fraction (Seinfeld and Pandis, 2006)) can happen during heating (in this case produced by deceleration of the flow in the inlet) or sampling..."

The authors are aware that CFD (if carried out properly) would be a good way to characterise the inlet system, but decided not to include any CFD because it is far beyond the scope of the PhD project of the main author and it is very unlikely that it would change the conclusions of the paper. In addition, we think that the use of the appropriate empirical equations in combination with the comparison with underwing optical probes is an effective means of determining the best method of using the inlet and what biases can be expected.

The section 8 and 9 appear as a misfit in the context of this technical paper. I suggest removing it here and extending it into a standalone paper or letter in another journal. A proof of capability of SEM and measurements with the filter system on board the aircraft is not really required, as this has been done during decades (Johnson et al., 1991; Formenti et al., 2003; Chou et al., 2008; Johnson et al., 2012). In addition, the plots are shown, but remain un-discussed, and no context (e.g., meteorology, trajectories, campaign aims) is given. If anything of the SEM compositional results should be included, I suggest including the sensitivity tests for the classification scheme as function of the detection limit (currently in the supplement), as from this you can derive recommendations with respect to element quantification settings.

We interpreted that this comments refers to the sections 7 and 8 (SEM compositional categories, and an example of an application).

Sensitivity tests have now been included in the main paper (Appendix C).

Sect. 7 (The Section regarding to the compositional categories) has been moved to the Appendix B, in order to make the paper flow better.

Sect. 8 (now Sect 6), which includes some examples of what the technique can do has been kept just as an example without further discussion since this is a techniques paper. Further publications including all the SEM data collected by the authors and its discussions are already in preparation, and they will refer to this publication, rather than describing the technique in multiples SI sections of these future publications. The SEM technique has been used by other parallel projects in ground collected samples, which will also refer to this work.

Add in Sect. 8: "The purpose of this section is purely to give examples of the capabilities of the technique, further analysis is planned for subsequent papers"

On the comment about the SEM technique being used in the past. Yes, it has been used in the past, but our approach draws on elements of a number of previous studies and the classification scheme is novel. It therefore needs to be described somewhere. Hence, we think this techniques paper is the perfect place to include this.

#### Detailed remarks / corrections

Abstract 28-30: While this is surely true, it's not part of the paper. Removed

40 Missing "." Corrected

## 55 "... has been limited." was not carried out?

Some efforts have been made, we reviewed these previously in Price et al. (2018), but they are very limited. We are more specific in section 8 where we refer to the relevant papers. We have added the relevant references to this statement in the introduction as well.

57-67: These lines are more a summary than an introduction. As it is partly redundant to section 9, I suggest removing it.

Yes, this paragraph is the summary of what will come in the paper. We usually structure our papers in this way since it helps focus in on the specific objectives of the paper.

88: It doesn't get clear from the picture: does the 0.7 cm inlet have the inner edges rounded? From the references literature I would think that it is.

The word curved has been added to the text, as done in the given references.

97: The numbers indicate a high precision, which is usually not achieved by mass flow meters (1 - 3 % uncertainty). How water vapour was treated, which influences the reading?

The uncertainty of the MFM has been added (See caption of Fig. 4). Since the error is 1 % of the full scale (and this one is 400 L/min), the errors are above 1%.

Water vapour was neglected, since its effect is negligible. The difference in the heat capacity of dry air and saturated air at 20  $^{\circ}$ C is about 2%. The difference in the molar mass of dry air and saturated air at 25  $^{\circ}$ C is about 1.2%.

Add in Sect. 2.2: "The presence of water vapour hasn't been corrected since its effect is negligible."

#### 98: It probably reports the gas mass, not volume.

It measures the gas mass but reports the equivalent volume at standard conditions.

101: Rietschle? Added in Sect. 2.1: Elmo Rietschle (Gardner Denver Inc.)

110: Particles are not necessarily lost (to the wall), but can be diluted (i.e. not entering the inlet). It is not clear how aerosol would be diluted in this inlet.

112-113: and depending on pressure and temperature...?

Added to second paragraph of Sect. 2.2: "The sampling efficiency of any inlet depends on the flow rates, and the flow regime (laminar vs turbulent), the pressure and the temperature."

122: It seems that to rate the importance of a mechanism, its effect needs to be compared to all others. Was this done, or were the only most probably important mechanisms selected? Please explain the reasoning.

We have included and excluded the same mechanisms as described in von der Weiden et al. (2009) (the reference has been added to this line in the text).

Add in third paragraph of Sect. 2.2: (a discussion on the choice of equations, how they have been applied and the excluded mechanisms can be found in Appendix A)

Added in last paragraph of Appendix A: "Other losses: Some mechanisms (thermophoresis, diffusiophoresis, interception, coagulation and re-entrainment of deposited particles) have not been considered, since they are second order mechanisms under our conditions when compared with the calculated mechanisms (Brockmann, 2011; von der Weiden et al., 2009) and for one of them (electrostatic deposition) it is not possible to quantify them. Electrostatic deposition is normally avoided by using grounded conductive materials so no electrical field exists within the tubing (Brockmann, 2011). Since the FAAM BAe-146 research aircraft is not grounded during the flight, we cannot state this mechanism is irrelevant. However, the experimental agreement between the SEM and optical probes suggest that this is a minor loss mechanism."

126-162: Too redundant with the appendix. Suggestion: either refer to the appendix and remove all short explanations here, or include the full discussion currently in the appendix. For a technical journal, also the latter would be appropriate.

Most of the explanations have been removed.

181-182: How does the bypass change the temperature in the inlet (probably mitigate heating by less deceleration)? Is the effect strong enough to impact on volatile particles?

The fact that it is not possible at all to have temperature and pressure measurements through the inlet system (for certification reasons) limits our understanding of the bypass system. We can only state qualitatively that, as you mention, they bypass will decrease heating trough less deceleration (and maybe removing some heat from the system).

213: It is somewhat surprising that the filter flow appears to be unregulated. Maybe, a regulation system should be included in future as well.

Yes, we are recommending this as a part of a mid-life upgrade of the FAAM aircraft.

217: "microscope" Fixed

244: "highly unlikely" instead of "not likely"? Added 262: "regarded" instead of "shown"? Added

263: Regarding the "reference": just recently, there was a publication showing size distribution distortion for the 'free-stream' instruments, too, (Spanu et al., 2019), which might be worth checking.

Although we are aware that the probes might have some sampling biases, as stated in Rosenberg et al. (2012), we still decided to use them as a reference, as in previous works (Chou et al., 2008; Young et al., 2016; Ryder et al., 2018; Price et al., 2018).

281: Kandler et al. used mostly backscatter electron, except for small particles on TEM grids. Check also the other references please.

Corrected, and all the references were checked and updated

284: Is it possible to quantify the undercounting of backscatter versus secondary electron? That might be valuable information for people dealing with similar questions.

We thought about it, however, this would be extremely dependent on the aerosol sample so we decided to state it in a qualitative way.

283-298: Can you include an image showing the benefit of the Ir coating and the potential size increase? Again that appears to be valuable information.

The only thing we could do is taking some carbon coated images of some areas and some Ir coated images of different areas of the same filter (we cannot take Carbon coated images of an area, recoat it with Ir and go to the same area to take more images). The comparison would also be dependent upon the specific settings of the

instrument, hence we feel that a qualitative statement that we found Ir to be a better coating material is warranted, but a more detailed comparison is not.

301-304: it appears to be more meaningful to specify the pixel size in nm (scanning grid size), instead of the magnifications, which are screen-related.

310: Was the ECD converted into aerodynamic or optical equivalent diameter or just used "as it is"? Please discuss, as this might introduce certain biases.

Added in third paragraph of Sect. 4: "This equivalent circular diameter hasn't been corrected or transformed into an optical or other equivalent diameter"

Added to fourth paragraph of Sect. 5: "Disagreement in the measurements can be also produced by the fact that the techniques are measuring different diameters; (optical and geometric)"

# 316: "evenly": In Fig. 7, a min/max variation of a factor of three is visible, interestingly without a size bias. Was it the same in all radial directions, or is that random fluctuations?

Added to fourth paragraph of Sect. 4: "In Fig. 7 one can see the radial distribution of aerosol particles on top a filter collected using the inlet system. In spite of some fluctuations (which are up to a factor 3 and appear to be random), one can see that the particles are homogenously distributed all over the central ~30mm of the filter. The areas were chosen by the user from all over the surface of the selected fraction of the filter".

320: Please 'link' "ECD" to "equivalent circular diameter".

#### 322-323: Where these charging problems observed despite the relative thick Ir coating?

Added to fifth paragraph of Sect. 4: "This reduces the likelihood of image defocusing over the SEM automated run".

We observed a frequent image focusing problem during long overnight runs, when the filters we were scanning had large numbers (above 20) of particles per image. We performed some tests and long exposure to the electron beam seemed to be the only reason of this defocusing effect. After adding the 12-15 particle limitation, this defocusing as a consequence of beam exposure effect was mostly eliminated.

414-415: I suggest treating this more precisely. At 10  $\mu$ m, there is a disagreement of about a factor of 10 or slightly more, and the theory predicts between 2 and 5. Considering the uncertainties, it's probably fair to call this agreement. At 2  $\mu$ m, there is the same factor > 10 difference, but the theory says 1. Here, 'agreement' becomes stretched. However, the optical particle counter curves have persistent minima (3  $\mu$ m, 10  $\mu$ m) and maxima (2  $\mu$ m, 5  $\mu$ m), where the SEM curve is smooth. Are these minima/maxima realistic or potentially an artifact of a failing Mie inversion?

We would rather keep this discussion qualitative for several reasons, not least that we are contrasting optical sizes with geometric sizes and also that the flow rates in the theoretical calculations were not identical to those in these specific experiments. However, the qualitative conclusion that the bypass being open reduces the isokinetic enhancement is valid and this should be written more clearly. We have amended the line to read:

(Added to second paragraph of Sect. 5) "The results of these comparisons are in qualitative agreement with the theoretical calculations in Sect. 2.2, i.e. that the sub-isokinetic enhancement is reduced with the bypass open."

In addition we have stated why we do not make a quantitative comparison or use the theory to 'correct' the data:

Added to end of Sect. 5 "Given the uncertainties on both techniques and the fact that they measure different diameters (optical diameter in the case of the PCASP-CDP and geometric equivalent circular diameter in the case of the SEM), this comparisons cannot be used to quantify the biases in the system, but can be used to make a qualitative comparison. For similar reasons, the SEM data hasn't been corrected using the theoretical efficiency."

In addition, on reviewing section 5 in light of the referee's comments we decided to restructure it. We now present the information in a more logical manner, which reflects the order of the figures. Please refer to the revised section 5 for the changes.

479: "sulphate aerosol particles, which are solid or liquid sulphuric acid particles" If it is sulphate (probable), then it is a salt as reaction product from an acid with something else. Solid sulphuric acid on a filter is improbable. Please correct the phrasing. Also, particles in this category could be organo-sulphates.

Yes, this description was poor. We have replaced this text with the following (Appendix B3): "Aerosol particles in this category contained a substantial amount of S. This S might be in the form of inorganic or organic sulphate compounds. Some sulphate compounds, such as sulphuric acid, are relatively volatile and will be lost in the SEM chamber.'

501: "chloride". Potassium-rich Cl- (and/or S-) containing particles are known from biomass burning (Li et al., 2003; Lieke et al., 2011), and other Cl-rich from (waste) incineration (Willison et al., 1989; Graedel and Keene, 1995).

Added to Sect 7.5

#### 512: How about fractionated crystallization of a sea-water droplet on the filter, leading to separate NaCl, MgCl, CaCO3 or CaSO4 particles?

Added to Appendix B6: "Some Ca rich particles could originate from the crystallization of sea water, loosely attached to NaCl. The latter component would dominate over the rest of the elements of the conglomerate and they would appear as Na rich particles, unless they shatter in the air (Parungo et al., 1986){Andreae, 1986 #503}(Hoornaert et al., 1996)"

648-650: This approach appears to be questionable, as turbulence for an increasing diameter tube probably has an additional generation mechanism (inertia), compared to turbulence in a constant diameter tube (mostly by shear). Please comment.

Added to appendix A: "This approach doesn't account for potential additional inertial losses that could occur as a consequence of the enlargement of the flow in the conical section."

However, the angle of enlargement is small (5.7°). It was designed to be below 7° in order to avoid flow separation (Andreae et al., 1988). In addition, the bending towards the wall that the particles could experience as a consequence of this 5.7° expansion is smaller than the bending towards the wall that the particles already have before entering the nozzle because of the sub-isokinetic expansion of the flow, which has already been quantified.

654-669: The bend approximation assumes a smooth tube, too. If it was used for the droplet separator, the conditions are not met. Also, if the flow is decelerated during the bend, large particles might become accumulated on the outer side, which is not accounted for by the simple approximation. Please discuss. In Brockmann (2011) (page 94) they suggest to use this approach for flow constrictions such as a tee.

Add line: "This assumption might underestimate the losses since some large aerosol particles will become accumulated in the bypass".

683: For diffusion a constant diameter bend can probably be well-approximated by a straight tube. That is what we did. This is stated in Appendix A (9th paragraph).

691-703: While it is correct that the particles are retained by the filter, not necessarily all particle sizes can be analyzed by microscopy techniques (representatively), as the smaller particles might be deposited inside the pores, too.

Added in Appendix A (9th paragraph): "However, the fact that some aerosol particles with diameters below the pore size could be deposited in the filter pores and therefore not be detected by the SEM technique could contribute to the undercounting'

707: The referred equations apply to sharp-edged nozzles, while in the setup blunt and probably rounded ones are used (according to the aircraft engine inlet description). In particular the inlet rounding is done to mitigate misalignment effects (Hermann et al., 2001).

The criteria of the classification appears again in Belyaev and Levin (1974), where they state that inlets which had certain ratios in between the diameters of the inlet edge, thickness and angles could be considered thin-walled or thick-walled. According to them, the problem with the thick-walled nozzles is that the air streamlines are

distorted when they approach the inlet edge. Belyaev and Levin (1974) state that the ratio in between the external and the internal diameter of the inlet edge must be below 1.1, but we cannot really define this parameter because of the curved profile of the edge. An alternative criteria is that the ratio in between the thickness of the inlet edge and the diameter of the edge is below 0.05. Again, it is not possible to define the thickness of the edge. The numerical criteria thin/thick walled seems to be designed for truncated conical sections, not for curved edges like our case.

However, the inlet we are considered has been designed to "avoid distortion of the pressure field at the nozzle tip and the resulting problems associated with flow separation and turbulence" (Andreae et al., 1988), and it has been described as thin-walled in the literature (Talbot et al., 1990; Andreae et al., 2000; Formenti et al., 2003), because this design that avoids flow separation and turbulence places it closer to the "thin-walled" category than the "thickwalled" category. As a consequence, we decided to apply the thin-walled equations to it.

The fact that the experimental data shows the same trends in the inlet behaviour than predicted using the thin wall assumption helps to strengthen this assumption.

#### A short explanation of this has been added to the text (Fourth paragraph of Appendix A).

924: Caption "Polycarbonate". As many effects discussion above might be closer related to the volumetric flow rate than to the mass flow rate, it should be shown in addition. The Iceland/Cape Verde ratio is inverted for the two filter types or two inlet types. How can this be explained?

It is true that for the polycarbonate case, the Cape Verde sampling was consistently about 10 L min-1 above the Icelandic sampling. However, we don't believe there is enough Icelandic samples to say there is an inverse trend for the Teflon case.

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# **Responses to Referee 2 (R2)**

This paper presents a characterization of the filter inlet system of the research aircraft BAe146. It includes calculated inlet sampling and transmission efficiency, a description of the analysis of the filter samples by scanning electron microscopy (SEM), and a comparison of the size distributions obtained by SEM with underwing aerosol and cloud probes.

Unfortunately, the manuscript suffers from being vague at important points. Especially for a technical journal, a comparison between calculations and measurements needs to discussed in more detail. Also, expressions like "in agreement" are used frequently where a precise numbers (with error limits) would have been necessary. Thus, I cannot recommend publication in the current stage and suggest some major revision before publication.

#### Major points:

As said above, the manuscript lacks precise numbers. Many statements are vague, like "in agreement" or "minor fraction" etc. This is not sufficient for a technical journal.

We have amended Sect. 4 and in Sect. 5 in order to remove subjective statements where possible and replace them with more quantitative statements.

Furthermore, the SEM part is a description of the classification, but no further validation is done. Additional aircraft-based gas (e.g. CO) and particle measurements (mass spectrometers?) may help to characterize the air mass origin and the particle properties and thereby validate the composition.

We gave this some thought when planning these experiments, but concluded that it was not possible to find an established technique that we could quantitatively validate our SEM technique against. Validation of the size resolved composition would require significantly more detailed particle by particle information than could be inferred from tracers like CO or even from the available aerosol mass spectrometers. The AMS for example only provides information on the non-refractory components of fine mode aerosol.

In order to address the comment we have included a new figure (Fig 11) where we show an additional six size resolved compositions. This is accompanied by a new discussion in Sect. 6. Sect. 6 now focuses on examples and the paragraph on mass spec techniques has been removed. Fig. 7 includes data for SE England and Alaska (three samples for each). The extremely good agreement between the Figure 11c and 10a, which were samples from the same flight, helps to demonstrate the reproducibility. We also, show that the composition of the aerosol in the two locations is different in ways which we would expect, which shows that we are sensitive to different aerosol types and the composition varies in a consistent way.

Of course, the ideal situation would be to have a standard instrument to compare against, but given this standard instrument does not exist, we suggest that the best way forward would be to take part in a suitable inter-comparison at some point in the future.

The comparison of SEM size distribution with the PMS probes is not very conclusive, because only qualitative statements ("in good agreement") are made.

The discussion of the SEM-Optical probes (Sect. 5) comparisons has been restructured and improved.

Furthermore, the size distributions of the PCASP (Fig. 5) seem to have a problem at 300 nm and above 2 \_m. The PCASP shows decreasing number concentrations above 2 \_m while the CDP starts at 5 \_m with much higher number concentrations. Does the PCASP underestimate particle number above 2 \_m? If so, would it be better to omit these points and use a lognormal fit to the reliable CDP and PCASP data to obtain realistic fine and coarse mode distributions? To what extend can such size distributions validate the inlet efficiency if the uncertainties are so high?

Unfortunately there were some errors in Fig 5, which have now been corrected. Nevertheless, we sometime see an apparent discrepancy between the PCASP and CDP at above 2 um (the discrepancy at 300 nm is resolved using the correction detailed in Rosenberg et al. (2012) which is already discussed in the text (we accidently plotted the uncorrected data). In addition, horizontal error bars have been added to all the PCASP-CDP data.

In the reference paper for the PCAS\_CDP calibration, one can read: "Some bumps seen in the PCASP distribution have been accentuated by the calibration and refractive index correction presented here. It could be the case that these are real modes or there is the potential that this is an artefact caused by imperfect knowledge of the particle scattering properties" (Rosenberg et al., 2012). Hence, it is very difficult to address if the feature at 2 um is physical or just an artefact. However, in other data (Fig 8b, Fig 9a, b and c), these bumps cannot be seen as easily and in all the cases as in Fig 5 (which is the same data as Fig 8a), so they are likely to be physical.

Added to end of Sect. 5: "Some of the PCASP size distributions contain some bumps (above 2  $\mu$ m), but it is not possible to address if they are physical or just an artefact produced by the calibration (Rosenberg et al., 2012)."

We strongly disagree that it is a good idea to show fits for the comparisons instead of the data with errors. The fitting can have some subjective parameters (number of modes and restrictions on the fit) and not showing the actual data would potentially omit a lot of information. As a consequence we decided to keep only the data without any fitting on it and understand the uncertainties and potential artefacts of the system. In addition, Rosenberg et al. (2012) does not recommend showing a fit instead of the data as a way to deal with the bumps.

Figure 8-10: Have the SEM data been corrected for the calculated inlet transmission and aspiration efficiency? I could not find a statement on this in the text. If not, then an overestimation of about a factor 3 - 4 around  $10 \_m$  should be observed (from Fig 3b). Is that the case? By bare eye, the factor seems to be larger than three, but there is no discussion in the text, except for a "good agreement" statement.

We do not correct the data for the inlet efficiency. This is now clearly stated in the text (fifth paragraph of Sect 5):

"Given the uncertainties on both techniques and the fact that they measure different diameters (optical diameter in the case of the PCASP-CDP and geometric equivalent circular diameter in the case of the SEM), this comparisons cannot be used to quantify the biases in the system, but can be used to make a qualitative comparison. For similar reasons, the SEM data hasn't been corrected using the theoretical efficiency"

The referee refers to a factor of 3-4 enhancement. Based on the calculations we recommend that sampling is performed with total flow rates greater than 50 L min<sup>-1</sup> with the bypass open, which result in enhancement smaller than about a factor of 2.

Regarding the 'good agreement' comment, we have made an effort to be more quantitative throughout the manuscript, particularly in discussion of the size distributions. We have reorganised section 5, also in light of the other referee's comments.

## Minor

I was a bit confused by the mixture of sampling efficiency study and chemical composition study. I see that both needs to be done, but I needed some time to realize that the manuscript focuses on these two topics. Maybe a change of the title would help the reader.

Both aspects of the study are mentioned in the title, so it is not clear how we would change it to make it clearer. We reinforce this in the abstract and also in the (revised) final paragraph of the introduction.

#### Specific comments

Line 353: "This happens more frequently for smaller particles, but it can also happen with some larger particles..." What is "smaller" and "larger" here? Please be more precise and give a size range. Done.

Added to 6<sup>th</sup> paragraph of Sect 4.

Line 366-368: "The number of particles is very low, typically about the order of magnitude of one particle per 100 by 100 \_m square, which is well below the typical particle loading on a filter exposed to the atmosphere" Please give numbers for typical particle loading. "Well below" is not quantitative. Added to end of Sect. 4: "The number of particles is typically about the order of magnitude of one particle per 100 by 100  $\mu$ m square, which is more than an order of magnitude below all the samples in this study (apart from the sample shown in Fig 9c, where it is only about a factor 2)"

Line 373: "...from the analysis of atmospheric aerosol (it was only ever a very minor component)." Please specify "very minor".

Sentence was deleted for simplicity. The only purpose of the explanation was stating that that element is not very necessary for most of the aerosol studies.

Line 374: "By doing this, we make sure that we excluded more than half of the artefacts of the analysis" I don't understand. Before that, you said that >90% contained Cr, so you would remove >90 of the artefact, isn't it?

#### Now it has been better explained:

Added to end of Sect. 4: "In Fig. S2 one can see that about half of particles found in both blank filters and the handling blank belong to the metallic rich category. However, further examination of the composition of these metal rich particles revealed that almost all of them were Cr rich particles (about 97% in the case of the blank filters and about 96% in the case of the handling blank). As a consequence, we excluded all the Cr rich particles from the analysis of atmospheric aerosol. By doing this, we make sure that we exclude about half of the artefacts of the analysis"

# Section 7. Did you observe any signs of meteoric material (see Murphy et al., 2014)? Particles dominated by Fe, Mg, Si and S ?

Although we did observe particles dominated by these elements, we cannot conclude that they are meteoric material since most of them were taken in the troposphere (most of them in the first kilometre), rather than the stratosphere where meteoric material has been observed. Analysis of meteoric material with the SEM seems more complicated since it only provides the weight percentages of the elements in the aerosol particles without any information about the isotope or the mass to charge ratio of what it is in the sample, but we will consider this while analysing the composition data which will be included in future papers.

# Line 501: "sodium chlorine" -> sodium chloride Done

#### Fig 4, caption: "FAAM core datasets" have not been explained before:

Added to first paragraph of Sect. 3: "All the PCASP-CDP data shown here has been extracted from the FAAM cloud datasets corresponding to each specific flight via the Centre for Environmental Data Analysis"

Added to caption of Fig. 4: "The altitude data was extracted from the FAAM core datasets C019, C022, C024, C025, C058, C059, C060, C061, C062, C063, C085, C086, C087, C088, C089, C090 and C091 (via the Centre for Environmental Data Analysis)"

Fig 5 + lines 257-264: As already written above, the size distributions of the PCASP (Fig. 5) seem to have a problem at 300 nm and above 2  $\_$ m. The PCASP shows decreasing number concentrations above

2 \_m while the CDP starts at 5 \_m with much higher number concentrations. Does the PCASP underestimate particle number above 2 \_m? If so, would it be better to omit these points and use a lognormal fit to the reliable CDP and PCASP data to obtain realistic fine and coarse mode distributions? What happens at 10 \_m with the CDP?

We addressed the first points above.

In most cases, the CDP counting decreases around 10 um, but this is likely to be an actual measurement and not an artefact since particles above those sizes are relatively rare in the atmosphere.

Figs 8 and 9: I suggest combining Figs 8 and 9 into one figure with 4 graphs. Done

Fig 8, 9, 10 and line 415: "The results of these comparisons are in agreement with the theoretical calculations in Sect. 2.2." Did you correct the SEM size distribution with the calculated sampling efficiency? Can you divide SEM dN / PMS dN and derive an "experimental" sampling efficiency and compare that to the calculated curves in Sect. 2.2? One of the above should be done, otherwise your statement "are in agreement" is too weak.

We regard the efficiency calculations as qualitative, i.e. they provide a qualitative indication of losses and how to best use the inlet while minimising sampling biases. We therefore cannot use them to 'correct' the data, doing so would likely introduce a unquantifiable error to the data.

Added to end of Sect. 5: "Given the uncertainties on both techniques and the fact that they measure different diameters (optical diameter in the case of the PCASP-CDP and geometric equivalent circular diameter in the case of the SEM), this comparisons cannot be used to exactly quantify the biases on the system but understand its presence. For similar reasons, the SEM data hasn't been corrected using the theoretical efficiency"

#### References

Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., and Petzold, A.: Particle sizing calibration with refractive index correction for light scattering optical particle counters and impacts upon PCASP and CDP data collected during the Fennec campaign, Atmos. Meas. Tech., 5, 1147-1163, 2012.

# 1 Manuscript

2 Characterisation of the filter inlet system on the BAE-146 research aircraft and its use for size 3 resolved aerosol composition measurements 4 Alberto Sanchez-Marroquin<sup>1</sup>, Duncan H. P. Hedges<sup>1</sup>, Matthew Hiscock<sup>2</sup>, Simon T. Parker<sup>3</sup>, Philip D. Rosenberg<sup>1</sup>, Jamie 5 Trembath<sup>4</sup>, Richard Walshaw<sup>1</sup>, Ian T. Burke<sup>1</sup>, James B. McQuaid<sup>1</sup>, Benjamin J. Murray<sup>1</sup> 6 <sup>1</sup>School of Earth and Environment, University of Leeds, Woodhouse Lane, Leeds, LS2 9JT, UK 7 <sup>2</sup> Oxford Instruments NanoAnalysis, High Wycombe, HP12 3SE 8 <sup>3</sup>Defence Science and Technology Laboratory, Salisbury, SP4 0JQ, UK 9 <sup>4</sup>Facility for Airborne Atmospheric Measurements, Building 146, Cranfield University, College Road, Cranfield, Bedford 10 MK43 0AL 11

#### 12 Abstract

13 Atmospheric aerosol particles are important for our planet's climate because they interact with 14 radiation and clouds. Hence, having characterised methods to collect aerosol from aircraft for detailed 15 offline analysis are valuable. However, collecting aerosol, particularly coarse mode aerosol, onto 16 substrates from a fast moving aircraft is challenging and can result in both losses and enhancement in 17 particles. Here we present the characterisation of an inlet system designed for collection of aerosol 18 onto filters on board the Facility for Airborne Atmospheric Measurements (FAAM), BAe-146, research 19 aircraft. We also present an offline Scanning Electron Microscopy (SEM) technique for quantifying 20 both the size distribution and size resolved composition of the collected aerosol. We use this SEM 21 technique in parallel with online underwing optical probes in order to experimentally characterise the 22 efficiency of the inlet system. We find that the coarse mode aerosol is sub-isokinetically enhanced, 23 with a peak enhancement at around 10 µm up to a factor of three under typical operating conditions. Calculations show that the efficiency of collection then decreases rapidly at larger sizes. In order to 24 25 minimise the isokinetic enhancement of coarse mode aerosol we recommend sampling with total flow 26 rates above 50 L min<sup>-1</sup>; operating the inlet with the bypass fully open helps achieve this by increasing 27 the flow rate through the inlet nozzle. With the inlet characterised, we also present single particle 28 chemical information obtained from X-ray spectroscopy analysis which allows us to group the particles 29 into composition categories,

#### 30 1 Introduction

31 Atmospheric aerosol particles are known to have an important effect on climate through directly 32 scattering or absorbing solar and terrestrial radiation as well as through indirect effects such as acting 33 as Cloud Condensation Nuclei (CCN) or Ice-Nucleating Particles (INPs) {Albrecht, 1989 #403;Haywood, 34 2000 #404;DeMott, 2010 #406;Hoose, 2012 #317;Lohmann, 2017 #409;Lohmann, 2006 #582}. Aerosol 35 particles across the fine (diameter < 2  $\mu$ m) and coarse (> 2  $\mu$ m) modes are important for these atmospheric processes. For example, aerosol in the accumulation mode are important CCN {Seinfeld, 36 37 2006 #473}, whereas supermicron particles are thought to contribute substantially to the INP 38 population {Pruppacher, 1997 #594;Mason, 2016 #595}{Creamean, 2018 #604}. Hence, being able to 39 sample across the fine and coarse modes is required to understand the role aerosol play in our 40 atmosphere. However, sampling aerosol particles without biases can be challenging, this being especially so on a fast moving aircraft {Wendisch, 2013 #501;McMurry, 2000 #411;Baumgardner, 1993 41 42 #413;Baumgardner, 2011 #596}.

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Deleted: . Our intention is to use the composition information in parallel with filter based ice nucleating particle measurements in order to correlate composition and ice nucleating particle concentrations.¶ \_\_\_\_\_\_Page Break 51 It is necessary to sample aerosol from aircraft because in many cases aircraft offers the only 52 opportunity to study aerosol and aerosol-cloud interactions at cloud relevant altitudes {Wendisch, 53 2013 #501}. However, the relatively high speeds involved present a set of unique challenges for 54 sampling aerosol particles. This is especially so for coarse mode aerosol which are prone to both losses 55 as well as enhancements because their high inertia inhibits their ability to follow the air stream lines 56 when they are distorted by the aircraft fuselage and the inlet {McMurry, 2000 #411;von der Weiden, 2009 #325;Brockmann, 2011 #344}. Therefore, inlet design and characterisation becomes extremely 57 58 important when sampling aerosol particles.

59 In this study we characterise the inlet system used for collecting filter samples (known as the Filters system) on board the UK's BAe-146-301 Atmospheric Research Aircraft, Facility for Airborne 60 61 Atmospheric Measurements (FAAM), This system has been used for many years, but its 62 characterisation has been limited <u>{Chou, 2008 #447}{Young, 2016 #75;Price, 2018 #450}{Ryder, 2018</u> 63 <u>#541</u>]. Our goal in this characterisation work was to define recommendations for the use of the inlet 64 system to minimise sampling biases and define the size limitation and the biases that exist. While the 65 filter samples could be used for a variety of offline analyses, we have done this characterisation with 66 two specific goals in mind: firstly, we want to use this inlet system for quantification of INP (the 67 technique for this analysis has been described previously {Price, 2018 #450} and will not be further 68 discussed here); secondly, we have adapted and developed a technique for quantification of and the 69 size resolved composition of the samples using Scanning Electron Microscopy (SEM). We use this 70 technique in order to test the inlet efficiency. These experiments are underpinned by calculations 71 which elucidate how the biases are impacted by variables such as flow speed, angle of attack and use 72 of the bypass system. Finally we present an example of the use of the inlet for determining the size 73 resolved composition of an aerosol sample collected from the FAAM aircraft.

# 2. Description and theoretical sampling characteristics of the filter inlet system on the Facility for Airborne Atmospheric Measurements (FAAM) aircraft

76 Ideally, aerosol particles would be sampled through inlets without enhancement or losses. However, 77 this is typically not the case when sampling from aircraft, hence it is important to know how the size 78 distribution of the aerosol particles is affected by the sampling. Generally, an aircraft moves at high 79 velocities with respect to the air mass that it is being sampled. During sampling on the FAAM aircraft 80 the indicated airspeed is 100 m s<sup>-1</sup>, which yields to a true airspeed that fluctuates between 100 and 81 120 m s<sup>-1</sup>. The air mass has to decelerate when passing through the inlet {Baumgardner, 1993 #413} 82 and this tends to result in inertial enhancement of coarse mode aerosol. There are also losses through 83 the inlet system, for example, through inertial impaction at bends or gravitational settling in horizontal 84 sections of pipework. These inlet characteristics need to be considered if the subsequent analysis of 85 the aerosol samples is to be quantitative. In this section we first describe the existing inlet system and 86 then present theoretical calculations for the size dependent losses and enhancements.

#### 87 2.1 Description of the Filters system

88 The FAAM BAe-146 aircraft has two identical inlets for sampling aerosol onto filters for offline analysis. 89 This inlet system was used to sample aerosol particles on board of the C-130 aircraft before being installed on the FAAM BAe-146 {Andreae, 1988 #296;Talbot, 1990 #453;Andreae, 2000 #294}, and it 90 91 has been used to sample aerosol particles on the FAAM Bae-146 e.g. {Chou, 2008 #447;Young, 2016 92 #75;Price, 2018 #450;Hand, 2010 #444}. A diagram of the inlet system can be seen in Fig. 1. The two 93 parallel inlet and filter holder systems, which each have a nozzle whose curved leading edge profile 94 follows the criteria for aircraft engine intakes at low Mach numbers (low speeds when compared with 95 the speed of sound; for FAAM during sampling this is ~0.3), and it is designed to avoid the distortion

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#### Deleted: size distributions and

**Deleted:** the SEM derived size distributions in comparison with the size distributions obtained from the underwing probes to experimentally

103 of the pressure field at the end of the nozzle, flow separation and turbulence {Andreae, 1988 104 #296}{Talbot, 1990 #453}. The inlet has a bypass to remove water droplets or ice crystals through 105 inertial separation and also enhance the flow rate at the inlet nozzle {Talbot, 1990 #453}. The flow 106 through the bypass (bypass flow) can be regulated using a valve and it is driven passively by the 107 pressure differential between the ram pressure inlet and the Venturi effect on the exhaust. After 108 turning inside the aircraft, the airstream containing the aerosol particles continue through the filter 109 stack after passing a valve. The air flow through the filter (filter flow) is measured by a mass flow 110 meter, which measures the sampled air mass and reports it in equivalent liters at standard conditions 111 (273.15 k, 1013.529 hPa). The uncertainty for this flow meter is 1% of the full scale (400 L min<sup>-1</sup>). The 112 effect of water vapour on the mass flow has not been corrected since its effect is negligible. The signal 113 is integrated by an electronics unit to give the total volume of air sampled for any given time period. 114 There is also a valve between the pump and the flow meter. The valve allows the inlet and pump to 115 be isolated from the filter holder when changing the filter. The system uses a double-flow side channel 116 vacuum pump model SAH55 made by Elmo Rietschle (Gardner Denver Inc.), aided by the ram effect 117 of the aircraft. The flow rate at the inlet nozzle (total flow) is the sum of the bypass flow and the filter flow. The inlet nozzle is located at 19.5 cm of the aircraft fuselage, so the sampling is carried out in 118 the free stream, outside the boundary layer. 119

120

## 121 2.2 Sampling efficiency

122 We present theoretical estimates of the losses and enhancements due to aspiration, inlet inertial

deposition, turbulent inertial deposition, inertial deposition in bends and gravitational effects in Fig 2a. We used the term 'efficiency' to define the ratio between the number concentrations of particles

124 2a. We used the term 'efficiency' to define the ratio between the number concentrations of particles 125 after they were perturbed relative to the unperturbed value. If the efficiency is above one, the number

of particles is enhanced whereas if it is below 1, particles are lost before they reach the filter.

127 The sampling efficiency of any inlet depends on the flow rates and the flow regime (laminar vs 128 turbulent), the pressure and the temperature. Filter flow rates for 0.4 µm polycarbonate filters 129 normally vary between 10 and 50 L min<sup>-1</sup> depending on altitude (see section 2.3 for a discussion of 130 flow rates). The bypass flow rate (when it is fully open) can go up to 35 L min<sup>-1</sup> at 30 m and 22 L min<sup>-1</sup> 131 at 6 km (volumetric L at standard conditions: 273.15 k, 1013.529 hPa), but it is not measured routinely. 132 In the 2.5 cm diameter section of the inlet, just after the inlet nozzle, the Reynolds number (Re) is 133 below the turbulent regime threshold (Re > 4000) for flow rates below 65 L min<sup>-1</sup>. For larger values of 134 Re, the flow starts becoming turbulent. At the inlet nozzle, where the diameter 0.7 cm, Re is above 135 4000 for flow rates above 20 L min<sup>-1</sup>, so the flow is briefly in the turbulent regime at the inlet for most 136 sampling conditions. Fully characterising the losses and enhancements of aerosol particles passing 137 through the inlet is very challenging since there are several aerosol size dependent mechanisms that 138 can enhance or diminish the amount of aerosol particles that arrive at the filter. 139 Here we have considered the most important of these mechanisms {von der Weiden, 2009 #325} in

139 Here we have considered the most important of these mechanisms (von der Weiden, 2009 #325) in 140 order to estimate the inlet efficiency (see Fig. 2a) for a total flow rate of 50 L min<sup>-1</sup>(all the flow rates 141 of our calculations are given in L min<sup>-1</sup> at standard conditions: 273.15 k, 1013.529 hPa). These loss 142 mechanisms and their importance in this inlet system are defined as follows (a discussion on the 143 choice of equations, how they have been applied and the excluded mechanisms can be found in 144 Appendix A):

145Aspiration efficiency has been calculated using the empirical equation as develop in {Belyaev, 1972146#499@@author-year} and {Belyaev, 1974 #498@@author-year}. As one can see in Fig. 2a this

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**Deleted:** accounts for the fact that the speed of the sampled air mass (U<sub>0</sub>) and the speed of the air through the beginning of the inlet nozzle (U) are different. In the case of the filter inlet system on the FAAM aircraft, the speed of the air mass is greater than the speed through the inlet (sub-isokinetic conditions), which leads to an enhancement of larger aerosol particles. Here, we have

159 mechanism enhances aerosol particles, tending to 1 for small diameters and to the ratio <u>in between</u> 160 <u>the air speed inside the nozzle and outside the aircraft</u> for large ones.

Inlet inertial deposition has been characterised using the equation given in {Liu, 1989 #458@@author year} which quantifies this effect. In Fig. 2a one can see that it produces some losses, with a minimum
 efficiency of down to 50% for sizes about 6 μm, without affecting the lower and upper limit of the
 aerosol size.

Turbulent inertial deposition occurs throughout the whole inlet system for flow rates above 65 L min<sup>-1</sup> and only occurs in the inlet nozzle for flow rates below this threshold. We have used the equation given by {Brockmann, 2011 #344@@author-year} in order to account for this mechanism. In Fig. 2a one can see an example of the turbulent inertial losses at the nozzle. This mechanism gradually

169 decreases the efficiency for aerosol particles above 5 μm.

Bending inertial deposition has been characterised using the equation given in {Brockmann, 2011
#344}. This efficiency mechanism, which can be seen in Fig. 2a, adds a size cut off with a D50 value at
~25 μm.

173 Gravitational settling of aerosol particles was considered using the equations developed in {Heyder,

174 1977 #466@@author-year} and {Thomas, 1958 #465@@author-year}, as stated in {Brockmann, 2011

#344@@author-year}. This efficiency mechanism adds another size cut off with a D50 value of 35 μm,
as one can see in Fig. 2a.

Diffusional efficiency and filter collection efficiency have not been included in Fig. 2. The first mechanism has been calculated using the analytical equation given by {Gormley, 1948 #468@@author-year}, but it is not shown since it is very close to 1 for all the considered size range. For the filter types and pore sizes we used, filter collection efficiency is also close to a 100% across the relevant size range {Lindsley, 2016 #415;Soo, 2016 #462}.

Anisoaxial losses are losses produced by the fact that the inlet is not aligned with the velocity of the air mass, being offset by an angle, θ (related to the angle of attack). The anisoaxial sampling can affect the sub-isokinetic efficiency, but using the equations given by {Hangal, 1990 #534@@author-year}, we calculated that this effect is minimal for our conditions. In addition, anisoaxial sampling can lead to inertial losses when particles impact the inner walls of the inlet. This phenomena has been quantified using the equations in {Hangal, 1990 #535@@author-year} and the results can be seen in Fig. 3. As one can see, this efficiency mechanism adds an additional cut off for large aerosol particles

189 (with values of D50 down to  $\sim$ 20  $\mu$ m), depending on the value of the sampling angle.

190 One can see all the efficiency mechanisms combined for four different flow rates in Fig. 2b. These have 191 been derived by multiplying all the efficiencies for the individual mechanisms. This overall efficiency 192 is the ratio between the particles that reach the filter and the particles in the ambient air. The sampling efficiency for the submicron aerosol is close to 1. At sizes above 1  $\mu\text{m},$  the different loss 193 194 mechanisms become increasingly significant. For the range of flow rates considered, the efficiency 195 approaches zero between 20 and 50  $\mu$ m, with D50 values in between ~13 and ~33  $\mu$ m (although these 196 values could be lower under certain values of angles of attack if considering the anisoaxial losses of from Fig. 3, which haven't been included). For the 80 L min<sup>-1</sup>case, the flow is turbulent through all the 197 198 pipe, leading to enhanced losses of coarse aerosol particles which partially compensate the sub-199 isokinetic enhancement of the system.

200 One can also see that the sub-isokinetic enhancement of large aerosol particles increases when 201 decreasing the flow rate of the system. This effect is about a factor 3.5 for 10 μm particles when Deleted: U/U<sub>0</sub>

**Deleted:** is the inertial loss of aerosol particles within the nozzle because the flow expands inside the nozzle and the trajectory is therefore bent towards the wall. It

**Deleted:** happens when some particles are collected by the wall due to turbulences in the system. In our case, this

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**Deleted:** of aerosol particles is important in this inlet system at the  $45^{\circ}$  bend, where some particles are not able to follow air streamlines at bend. We have

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213 sampling at 15 L min<sup>-1</sup>, but only a factor of two at 50 L min<sup>-1</sup>. The sub-isokinetic enhancement can be 214 mitigated using the bypass, which enhances the flow through the nozzle. This can be seen in Fig. 2c 215 where one can see a comparison between the total efficiency of a 20 L min<sup>-1</sup> flow rate through the 216 filters with no bypass flow and the same case when the bypass is open. Since the considered bypass 217 flow is comparable to the flow rate through the filters, the difference between the total flows for the 218 two cases is approximately a factor 2. As a consequence, the maximum sub-isokinetic enhancement 219 of large aerosol particles is almost a factor 2 larger when sampling with the bypass closed. Hence, the 220 sub-isokinetic enhancement can be reduced by keeping the bypass fully open.

221

### 222 2.3 Sampling flow rate

223 Here we show flow rate data from four field campaigns in order to examine how the flow rate of the 224 filter inlet system varied based on different factors. We have used the data collected during the ICE-D 225 campaign, in Cape Verde during August 2015 {Price, 2018 #450}. The rest of the data is from some 226 flight test carried out during 2017 and 2018, and three field campaigns. The first one was EMERGE, 227 based in south east England, in July 2017. The second one was VANAHAEIM, based in Iceland in 228 October 2017. The last campaign was MACSSIMIZE, based in Alaska in 2018. The flow rate of the inlet 229 system is known to vary with altitude, with a lower flow rate at high altitudes because of the reduced 230 pressure differential across the filter and the fact that the pump efficiency decreases at low pressure. In addition, it changes depending on the filter type and the pore size. 231

232 In Fig. 4, where all the flow rate data has been presented, one can see that the flow rate tends to 233 decrease with altitude and change with filter type as expected, but the flow rates are not always 234 consistent for each altitude and filter type, varying up to a factor two for each filter 235 type/line/altitude/campaign. The filter type effect on flow rate can be seen in Fig. 4, where the 236 average flow rate for 0.4  $\mu$ m polycarbonate filters is about twice the flow rate of the 0.45  $\mu$ m PTFE 237 filters. In order to investigate the inconsistency in the flow rate at each altitude, we analysed the flow 238 rate data by comparing it with different parameters (ambient air and cabin temperature, ambient air 239 and cabin pressure, wind direction and speed with respect to the aircraft movement), but there was 240 no correlation with any of these variables. Different mesh supports were used, but this does not affect 241 the flow rate significantly according to some ground based tests. We checked the flow rate through 242 each sampling period and found it did not change over time on a particular filter set (even after 243 stopping the sampling and starting it again). In addition, we performed some tests on the ground and 244 during flights to study the effect of potential leaks by inserting paper disks of the same dimension as 245 the filters in the filter holders and found no evidence of leaks in the system.

We conclude that this variability in the flow rate comes from variability in the pump performance in combination with subtle differences in individual filter pairs. The side displacement pump is not the ideal pump for this system and operates at its maximum capacity. Hence, we suggest that to improve the performance of the system that flow rates are actively controlled and also the side displacement pump is replaced with a more appropriate design. This would also have the advantage that flow rates would be maintained at smaller pressure drops and allow sampling at higher altitudes.

252

#### 253 3. FAAM underwing optical particle counters

Later in the paper we compare results from the underwing optical particle counters with our electron <u>microscope</u> derived size distributions, hence we describe the optical instruments here. The <u>FAAM</u> BAetable aircraft operates underwing optical particle counters to measure aerosol size distributions. These include the Passive Cavity Aerosol Spectrometer Probe 100-X (PCASP) and the Cloud Droplet Probe
(CDP). The PCASP measures particles with diameters in the approximate range 0.1-3 µm and the CDP
measures the particles with diameters in the range of 2-50 µm. These instruments are placed outside
the aircraft fuselage, below the wings. These instruments and the methods for calibration are
described in {Rosenberg, 2012 #456}. All the PCASP-CDP data shown here has been extracted from
the FAAM cloud datasets corresponding to each specific flight via the Centre for Environmental Data
Analysis.

264 The instruments were calibrated and had optical property corrections applied as per {Rosenberg, 2012 265 #456@@author-year}. We used a refractive index of 1.56 + 0i and a spherical approximation (Mie 266 theory) in the optical property corrections. In Fig. 5, one can see a sensitivity test on the refractive 267 index value we used in order to examine how variability in refractive index affect the bin centres 268 position, their width, and therefore the size distribution obtained from the PCASP and CDP. As one 269 can see in Fig. 5a, modification of the real part of the refractive index from 1.5 to 1.7 can change the 270 position of the PCASP bin centres up to a factor 1.5, but its effect on the CDP is not significant. When 271 varying the imaginary part of the refractive index from 0 to 0.01, the bin centre positions of the first 272 half of the range of the PCASP and CDP do not change but it can change the position of the bins of the 273 end of the range of both instruments (less than a factor 1.5). However, for the purposes of this work, 274 the differences produced by the variation in the refractive index are not large enough to modify the 275 conclusions of the analysis, therefore we use a value of 1.56 + 0i.

276 The chosen refractive index range for this sensitivity analysis can be justified on the basis that the SEM 277 compositional analysis showed that the composition of the aerosol samples used in this study was 278 very heterogeneous, dominated by carbonaceous particles (biogenic, organic and black carbon) and 279 with some contributions of mineral dust and other particle types. Values of the real part of the 280 refractive index in the 1.5 to 1.6 range are compatible with sodium chloride and ammonium sulphate 281 {Seinfeld, 2006 #473}, as well as most mineral dusts {McConnell, 2010 #493}. The range is very close 282 to values for the real part of the refractive index of organic carbon but below the values for black 283 carbon {Kim, 2015 #494}. As a consequence, the refractive index choice might not be accurate for a 284 black carbon dominated sample. However, black carbon is highly unlikely to dominate in the size range 285 where a value of the real part of the refractive index of 1.7 dramatically changes the size distribution 286 (diameters above 0.5 μm) {Seinfeld, 2006 #473}, so our refractive index choice is valid. In Fig. 5b one 287 can see that changing the imaginary part of the refractive index from 0 to 0.01 only produces small 288 changes in the distribution. The imaginary part of the refractive index of many aerosol types as sodium 289 chloride, sulphates and mineral dust falls within the shown range {Seinfeld, 2006 #473}, {McConnell, 290 2010 #493}. For values of the imaginary part of the refractive index above 0.01 (not shown in the 291 image), the size distribution dramatically changes for sizes above 1  $\mu$ m (but not for smaller values of 292 it), overlapping and disagreeing with the CDP. However, values above 0.01 in the imaginary part of the 293 refractive index\_are only associated with strongly absorbing aerosol like, black carbon, which will 294 dominate only in the submicron sizes {Seinfeld, 2006 #473}. The submicron part of the size distribution 295 doesn't change for values of the imaginary part of the refractive index above 0.01, so our refractive 296 index choice is still acceptable even for samples with significant contributions from black carbon in 297 submicron sizes.

For the PCASP-CDP, we have considered two uncertainty sources. The first one is the Poisson counting uncertainty in the number of particles in each bin and the second one is the uncertainty in the bin width that is given by the applied optical property corrections. Both sources have been propagated in order to obtain the errors of dN/dlogDp and dA/dlogDp. The errors in the bin centre position were given by the calibration. In order to avoid the problems with the transition in between different gain Deleted: can only happen in

304 stages in the PCASP, some bins were merged or eliminated (5 and 6 as well as 15 and 16 were merged, 305 while the bin 30 was eliminated), as indicated by {Rosenberg, 2012 #456@@author-year}. Other 306 uncertainties such as the refractive index assumption or particle shape effect, as well as the 307 uncertainty in the bin position haven't been <u>regarded</u> in this study. Sampling biases haven't been 308 quantified or corrected yet so they haven't been included. The size distributions produced by the 309 PCASP-CDP have been taken as a reference value for the purposes of this study.

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#### 311 4. Scanning Electron Microscopy technique for aerosol characterization

312 Scanning Electron Microscopy is used in order to study composition and morphology of aerosol 313 particles, in a similar way to previous works such as {Krejci, 2005 #316@@author-year}\_{Kandler, 2007 314 #443@@author-year},\_{Chou, 2008 #447@@author-year},\_{Kandler, 2011 #442@@author-year},\_ 315 {Young, 2016 #75@@author-year},\_\_{Price, 2018 #450@@author-year}\_\_\_and\_{Ryder, 2018 #541@@author-year}. We use a Tescan VEGA3 XM scanning electron microscope (SEM) fitted with an 316 317 X-max 150 SDD Energy-Dispersive X-ray Spectroscopy (EDS) system controlled by an Aztec 3.3 318 software by Oxford Instruments, at the Leeds Electron Microscopy and Spectroscopy Centre (LEMAS) 319 at the University of Leeds. In order to get data from thousands of particles in an efficient way, data 320 collection was controlled by the AztecFeature software expansion.

321 Aerosol particles were collected with the filter inlet of the FAAM aircraft on polycarbonate track 322 etched filters with 0.4 µm pores (Whatman, Nucleopore). Samples for SEM are usually coated with 323 conductive materials in order to prevent the accumulation of charging on the sample surface {Egerton, 324 2005 #426}. For aerosol studies, materials like gold {Hand, 2010 #444}, platinum {Chou, 2008 #447}, 325 or evaporated carbon {Reid, 2003 #445;Krejci, 2005 #316;Young, 2016 #75} have been used. When it 326 comes to choosing which signal to detect, some previous studies used mainly backscattered electrons 327 {Reid, 2003 #445}{Kandler, 2007 #443}{Gao, 2007 #451}{Kandler, 2011 #442}{Young, 2016 #75;Price, 328 2018 #450}{Kandler, 2018 #602} and some others choose secondary electrons {Krejci, 2004 329 #316 [Hamacher-Barth, 2013 #500]. We started the development of this analysis using a carbon 330 coating and the backscattered electron detector. This technique produced reproducible images and 331 almost no artefacts from the pore edges, consistent with {Gao, 2007 #451@@author-year}. However, 332 we noticed that we <u>sometimes</u> undercounted a significant fraction of the small carbon based particles 333 (this strongly depended on the sample), which looked transparent under the backscattered electron 334 imaging but not under the secondary electron detector, as seen in Fig. 6. This likely happened because the contrast in the secondary electron images mainly depends on the topography of the sample 335 336 whereas the contrast in the backscattered electron images depends on the mean atomic number of 337 each sample phase {Egerton, 2005 #426}. Since the polycarbonate filters are made of C and O, particles 338 containing only these elements in a similar proportion to the background did not exhibit a high 339 contrast under the backscattered electron detector {Laskin, 2001 #439}. However, when using 340 secondary electron imaging with carbon coatings, images were less reproducible and contained 341 artefacts from the pore edges, probably resulting from charging or topographical effects. We found 342 that coating the samples with 30 nm of iridium helps to improve the secondary electron image 343 reproducibility and reduced the pore edge artefacts as well as allowing us to locate small organic 344 particles. An increase in the size of the particle as a consequence of the coating may introduce an 345 uncertainty in the size of the smallest particles. An additional advantage of using Ir is that the energy 346 dispersive X-ray spectrum of Ir does not overlap greatly with the elements of interest.

In the SEM the sample was positioned at a working distance of 15 mm. The SEM's electron beam had an accelerating voltage of 20 KeV and a spot size chosen to produce the optimum number of input Deleted: and

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355 counts in the EDS detector. Images are taken at two different magnifications with a pixel dwell time 356 of 10 µs and a resolution of 1024 x 960 pixels per image. High magnification images (40 nm per pixel 357 or smaller) were used to identify particles down to 0.3 or 0.2 µm depending on the sample, and 358 medium magnification images (about 140 nm per pixel) are used to identify particles down to 1 µm. A 359 brightness threshold with upper and lower limits that correspond to pixels of certain shades of grey 360 was manually adjusted for each image by the operator to discriminate particles from the background. 361 Based on the manually set brightness threshold, AztecFeature identified the pixels that fall within the 362 limits as aerosol particles and calculated several morphological properties of the particle as cross 363 sectional area, length, perimeter, aspect ratio, shape factor or equivalent circular diameter. The 364 equivalent circular diameter is defined as  $\sqrt{4 A \pi^{-1}}$ , where A the cross sectional area of the aerosol 365 particle. This equivalent circular diameter has not been corrected or transformed into an optical or 366 other equivalent diameter.

367 For this analysis we placed a section of the 47 mm filter on a 25 mm stub. In order to collect 368 morphological and chemical information from a few thousand particles, we only scanned a fraction of 369 the filter (typically up to 1% of the filter at low magnification and up to 0.01% for high magnification). 370 We collected information from 5 to 20 different areas, and each area consisted of a montage of several 371 SEM images. In Fig. 7 one can see the radial distribution of aerosol particles on top of a filter collected 372 using the inlet system. In spite of some fluctuations (which are up to a factor 3 and appear to be 373 random), one can see that the particles are homogenously distributed all over the central ~30 mm of 374 the filter. As a consequence, the areas were chosen by the user from all over the surface of the 375 selected fraction of the filter. Each area was selected in the software, manually adjusting the particle 376 detection threshold. The Z position of the stage was also adjusted manually for each image in order 377 to produce properly focused images. After doing this, the image scanning and EDS acquisition was 378 performed in an automated way. Morphological information was recorded for all particles with an 379 equivalent circular diameter greater than the specified size threshold (typically 0.2 or 0.3 µm).

380 EDS analysis was restricted to the first 12 or 15 particles detected in each image. This reduces the 381 likelihood of image defocusing over the SEM automated run. The software performed EDS in the 382 centre of the particles, obtaining around 50,000 counts per particle. The raw data for any given particle 383 were matrix corrected and normalised by the AZtec software to produce element weight percent 384 values with a sum total of 100%, using a value of the confidence interval of 2 (a further discussion on 385 the confidence interval can be seen in the Appendix C). Then particles were categorised based on their 386 chemical composition using a classification scheme which can be created and modified within the 387 AztecFeature software. The characteristic X-rays taken at one point are emitted by a certain 388 interaction volume which is bigger than some of the analysed particles (typically <  $2_{\mu}\mu^{3}$ , decreasing 389 with atomic number and increasing with incident electron energy). As a consequence, a part of the X-390 ray counts attributed to each particle come from the background (C and O from the polycarbonate 391 filter and Ir from the coating) and the weight percentages obtained from the X-ray spectra do not 392 match the actual weight percentages of the particle itself. As a consequence, when categorising the 393 particles based on their composition, we only use the presence or absence of certain elements, and 394 the ratio between the weight percentages of non-background elements. The classification scheme 395 works by checking if the composition of each particle falls within a range of values which are manually 396 defined by the user. Particles not matching the first set of rules are tested again for a second set of 397 rules, and so on, until reaching the last set of rules. A few sets of rules can be merged into a category. 398 In the supplementary information (Fig. S3), we give the details of the 32 sets of rules used, which are 399 then summarised into 10 composition categories. A description of the most abundant elements in 400 each category and an interpretation of these categories is included in Appendix B.

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the surface of the selected fraction of the filter, since aeroso particles were evenly distributed all over the central ~30mm of the filter (the area which exposed to the air) as one can see in Fig. 7.

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416 The detection of particles has certain limitations. The edges of the pores can look brighter than the 417 rest of the filter in the SE images (probably because they consist of a larger surface area from which 418 secondary electrons can be generated, hence a larger signal). As a consequence, they can look like 419  $\sim 0.2 \,\mu m$  particles, which is the main reason why particles below 0.3-0.2  $\mu m$  (depending on the sample) 420 are not included in this analysis. These artefacts had a chemical composition similar to the filter, so 421 they were labelled as "Carbonaceous" by the classification scheme, falling at the same category as 422 most biogenic and black carbon particles. However, these artefacts were only around 1 to 10 percent 423 depending on the sample. If they appear in larger quantities, they can be removed manually after or 424 during the analysis. Another limitation arises from the fact that some aerosol particles did not have 425 sufficient brightness in the SE image and were not detected as a particle. This happens more 426 frequently for submicron particles (especially the ones closer to the limit of detection), but it can also 427 happen with some coarse mode aerosol particles, particularly if they are only composed of Na and Cl 428 or S. This issue can be addressed if necessary by setting a very low limit of detection, which adds lots 429 of artefacts as well as the low brightness particles, and then removing the artefacts manually (the 430 artefacts can be easily identified by the user). In other infrequent instances, only a fraction of the particle had a brightness above the threshold, so they were detected as a smaller particle or multiple 431 432 smaller particles, or if two particles are close enough, they can be detected as a single larger particle. 433 However, we feel that in the vast majority of the cases a representative cross sectional area of the 434 particle was picked by the software.

435 Blank polycarbonate filters can contain some particles on them from manufacturing or transport 436 before being exposed to the air. In addition, handling and preparing the filters can introduce additional 437 particles to it. In order to assess these artefacts, we scanned a few clean blank filters. We also 438 examined a filter that had been brought to the flight, loaded in the inlet system (but not exposed to a 439 flow of air), and then stored at -18 °C for a few months (like most of the aerosol samples on filters). 440 The results of both the handling blank and the blank can be seen in Fig. S2. The number of particles is 441 typically about the order of magnitude of one particle per 100 by 100  $\mu$ m square, which is more than 442 an order of magnitude below all the samples in this study (apart from the sample shown in Fig 9c 443 which was taken in a very low aerosol environment, where it is only about a factor 2). In Fig. S2 one 444 can see that about half of particles found in both blank filters and the handling blank belong to the 445 metal rich category. However, further examination of the composition of these metal rich particles 446 revealed that almost all of them were Cr rich particles (about 97 % in the case of the blank filters and 447 about 96% in the case of the handling blank). As a consequence, we excluded all the Cr rich particles 448 from the analysis of atmospheric aerosol.\_By doing this, we make sure that we exclude about half of 449 the artefacts of the analysis. There was a contribution of mineral dust origin particles (Al-Si rich, SI rich 450 and Si only) for sizes in between 0.7 and 5 µm in the handling blank (less than 10% of the number in 451 the handling blanks). Generally, the composition of the particles present in the blank filters and in the handling blank was very similar, suggesting that most of these artefacts are not produced by the 452 453 loading, manipulation and storage of the filter.

454

## 455 5. Inlet characterisation and sampling efficiency using Scanning Electron Microscopy

In order to experimentally test the inlet efficiency, to complement the efficiency calculations presented in Section 2.2, we have used SEM to quantify the size distribution of particles collected on filters (Sect. 4) and compare this with the measurements from the under-wing optical probes (Sect. 3). The calculations in Sect. 2.2 suggest that there is an enhancement of the coarse mode aerosol particles, which is larger when sampling with the bypass closed. To test this we have collected aerosol onto 0.4 µm pore size polycarbonate filter in both lines in parallel\_and show these results in Fig. 8. In

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478 one of the lines, the bypass was kept open, and in other line the bypass was kept closed. Using our 479 SEM approach described in the Sect. 4, we calculated the size distribution of the aerosol particles on 480 top of each filter. We compared these size distributions with the ones measured by the underwing 481 optical probes (PCASP-CDP), as described in Sect. 3. We performed the comparison twice in two 482 different test flights based in the UK.

### 483

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484 One can see that the concentration of aerosol particles measured by the SEM on the filters was higher 485 than the particles detected by the optical probes for sizes above  $\sim 8 \ \mu m$  in Fig. 8 (reaching about an 486 order of magnitude in number around 10 µm in both cases). These results are consistent with {Price, 487 2018 #450@@author-year} and {Ryder, 2018 #541@@author-year}, where they observed an 488 enhancement of coarse aerosol particles in mineral dust dominated samples collected close to Cape 489 Verde. In addition, the enhancement was larger when sampling with the bypass closed (about a factor 490 2-3). The results of these comparisons are in qualitative agreement with the theoretical calculations 491 in Sect. 2.2, i.e. that the sub-isokinetic enhancement is reduced with the bypass open.

492

After establishing that having the bypass open produces a more representative sampling of coarse 493 mode aerosol we then had the bypass open for the subsequent sampling. In Fig. 9 we have presented 494 some other bypass open SEM size distributions compared with the PCASP-CDP data from three 495 different aerosol samples in contrasting locations. Since these data were taken during the scientific 496 field campaigns and not test flights, we only collected one polycarbonate filter for SEM since the other 497 line was used for INPs analysis on Teflon filters (not shown here). In Fig 9a, one can see a sample 498 collected in the UK where there is an enhancement of the coarse mode which reaches almost an order 499 of magnitude at 10  $\mu$ m. The sample shown in Fig 9b was collected in Iceland, and the enhancement of 500 coarse aerosols can be seen through most of its range, reaching even the first two bins of the 501 submicron aerosol range. In Fig 9c one can see a sample collected in North Alaska where the coarse 502 mode aerosol concentration was one to two orders lower than the examples from the UK and Iceland. 503 In this case the SEM size distribution is only about a factor 2 above the size distribution of the handling 504 blank, nevertheless the SEM and optical probes both produce similarly low numbers of coarse mode 505 aerosol. The low number concentration results in the Jack of data in the SEM above 7 µm and the large 506 uncertainties in the PCASP-CDP above 1.5  $\mu$ m, <u>We do not observe a coarse mode enhancement in this</u> 507 sample, probably because of the low aerosol concentration in the size range where we expect the 508 largest biases and large uncertainties.

510 In the submicron range, one can see that in all the comparisons shown in Fig. 8 and Fig. 9 there is 511 sometimes an undercounting in the SEM size distribution when compared with the optical probes. 512 Generally, the undercounting increases with decreasing size and reaches an order of magnitude or 513 more, as one can see in Fig. 8, Fig. 9a and Fig. 9c; this is qualitatively similar to {Young, 2016 514 #75@@author-year}. There are several potential reasons for this. We can rule out particles simply 515 being lost by passing through the 0.4 µm polycarbonate filters, since they are known have a high 516

collection efficiency {Lindsley, 2016 #415;Soo, 2016 #462}, although some of them might deposit 517 inside the pores and therefore not be detected. In addition, it is likely that some small particles are 518 not sufficiently bright to be detected, despite the fact we made efforts to mitigate this problem with

519 the use of secondary electrons and the Ir coating (see figure 6). Also, volatilization of certain types of 520 aerosol particles (which are more abundant in the submicron fraction {Seinfeld, 2006 #473}) can occur

521 during heating (in this case produced by deceleration of the flow in the inlet) or sampling {Bergin, 1997

522 #537;Nessler, 2003 #538;Hyuk Kim, 2015 #531} and this effect could be enhanced by the fact that Deleted: Some of the shown PCASP size distributions present some bumps (particularly above 2 µm), but it is not possible to address if they are physical or just an artefact produced by the calibration {Rosenberg, 2012 #456}. Given the uncertainties on both techniques and the fact that they measure different diameters (optical diameter in the case of the PCASP-CDP and geometric equivalent circular diameter in the case of the SEM), this comparisons cannot be used to exactly quantify the biases on the system but understand its presence. For similar reasons, the SEM data hasn't been corrected using the theoretical efficiency. ¶ The results of these comparisons can be seen in Fig. 88 and Fig. 9 for both number and surface area size distribution. There are some discrepancies between the optical probes and the SEM size distributions from the filters, which has also been reported in previous works {Chou, 2008 #447}, {Young, 2016 #75; Price, 2018 #450}{Ryder, 2018 #541}. The SEM detected less submicron aerosol particles on the filter

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samples are exposed to high vacuum during the SEM analysis. In addition, the SEM techniques
 measure the dry diameter and the optical probes measure the aerosol diameter at ambient humidity.
 This hygroscopic effect shifts the dry size distributions to smaller sizes, which might also explain part
 of the disagreement {Nessler, 2003 #538;Young, 2016 #75}. Disagreement in the measurements can
 also be produced by the fact that the techniques are measuring different diameters (optical and
 geometric).

Some of the PCASP size distributions contain some 'bumps' (particularly above 2 µm), but it is not
possible to address if they are physical or just an artefact produced by the refractive index correction
{Rosenberg, 2012 #456}. Given the uncertainties on both techniques and the fact that they measure
different diameters (optical diameter in the case of the PCASP-CDP and geometric equivalent circular
diameter in the case of the SEM), this comparisons cannot be used to quantify the biases in the system,
but can be used to make a qualitative comparison. For similar reasons, the SEM data has not been
corrected using the theoretical efficiency.

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663 <u>6</u>. Application to samples collected from the atmosphere above S.E. England and North Alaska

664 The SEM technique to produce size resolved composition of aerosol samples described in Sect. 4 has 665 been applied to samples collected from the FAAM aircraft in various locations. In Fig. 10 we show an 666 example of some of the capabilities of this technique applied to a sample collected in S. E. England, 667 The purpose of this section is purely to give examples of the capabilities of the technique, further 668 analysis is planned for subsequent papers. The fraction of particles corresponding to each 669 compositional category described in Appendix B for each size can be seen in Fig. 10a and the 670 corresponding number size distribution of each composition category can be seen in Fig. 10b. By 671 looking at this analysis, one can see that the sample carbonaceous aerosol particles made a substantial 672 contribution to the number across the full distribution and there was a clear mineral dust mode (Si 673 only, Si rich Al-Si rich and Ca rich) for particles larger than about 1 µm. There was also a smaller 674 contributions of metal rich and S rich aerosol particles, particularly in the fine mode. A potentially 675 useful application of the size resolved composition is calculating the surface area or mass of an 676 individual component of a heterogeneous aerosol. As an example, we have grouped the mineral dust 677 categories Si only, Si rich Al-Si rich and Ca rich to produce the surface area size distribution of mineral 678 dust (and potentially ash) in Fig. 10c.

679

680 In Fig. 11 we show six examples of the size-resolved composition of different aerosol samples in two 681 locations (South East England and North Alaska). We can see that the aerosol samples are very 682 different depending on the location. The aerosol samples collected in the UK shown in Fig 11a, c and 683 d are very similar to the sample shown in Fig. 10a. In fact the sample in Figure 10a was taken on the 684 same day in a similar location as the sample in Fig 11b and the similarity between the two helps to 685 demonstrate the reproducibility of our technique. Generally, these samples from S.E. England 686 contained carbonaceous aerosol throughout the size distribution, particularly in the fine mode. This 687 is consistent with typical urban influenced aerosol {Seinfeld, 2006 #473}. There is also a substantial 688 proportion of mineral dust and only a small proportion of Na rich aerosol. In contrast, the samples 689 collected in North Alaska (close or above the Arctic Ocean) generally contained a smaller proportion 690 of carbonaceous particles, but much larger contributions of Na rich aerosol (very likely sea salt 691 particles, since they were collected in a marine environment). The S-rich category was also substantial

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In Fig. 10 we have presented some other SEM size distributions compared with the PCASP-CDP data from three different aerosol samples in contrasting locations. Since these data were taken during the scientific field campaigns and not test flights, we only collected one polycarbonate filter for SEM since the other line was used for INPs analysis on Teflon filters. All the sampling was done with the bypass open. The agreement between the optical and SEM obtain

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930 in the fine mode in Alaska, consistent with some samples collected in other areas of the Arctic (Young,

931 2016 #75}, and some samples collected in a similar location {Creamean, 2018 #604}. Notably, the

932 coarse mode in Alaska, while generally smaller in number than in S.E. England, contained a high

933 proportion of mineral dusts. This is also consistent with other measurements in the Arctic {Young,

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2016 #75}{Creamean, 2018 #604}.

# 935

# 936 6. Recommendations for aerosol sampling with the Filters system on the FAAM aircraft

937 Based on the calculations in Sect. 2.1 and the experimental findings in the subsequent sections, we 938 suggest keeping the total flow rate (including the flow through the filters measured by the electronics 939 box plus the bypass flow, which can be between 20 and 35 L min<sup>-1</sup>) above 50 L min<sup>-1</sup>. Below this range, 940 the sub-isokinetic enhancement of large aerosol particles is above a factor 2, according to the 941 calculations in Sect. 2.2 that can be seen in Fig. 2b. For total flow rates above 65 L min<sup>-1</sup>, the flow 942 becomes turbulent throughout the line, which associated losses. However, the calculations shown in 943 Fig. 2c indicate that the combination of the isokinetic enhancements and turbulent losses at 80 L min-944 <sup>1</sup> lead to a reasonably representative sampling, but when it reaches 150 L min<sup>-1</sup>, the position of the 945 D50 drops to 6.5 µm (not shown in the graph) so such a high flow rate would not be appropriate if the 946 user wants to sample coarse aerosol particles. Hence, we recommend an operational upper limit of 947 80 L min<sup>-1</sup>. For 0.45 µm PTFE filters and the 0.4 µm polycarbonate filters presented in Fig. 4, sampling 948 close to this flow rate range is often achievable by keeping the bypass open, since this increases the 949 total flow rate and brings it closer to the suggested range, as one can see in Fig. 2c. If other filter types 950 are used, the flow rates will be different to those presented here and these flow rates should be taken 951 in consideration when choosing the pore size (or equivalent pore size) in order to avoid dramatic 952 sampling biases.

953 <u>We already mentioned in Sect. 2.3 that we recommend replacing the side displacement pump with a</u>
 954 <u>design that would provide a greater pressure drop. In addition, we also recommend that the bypass</u>
 955 <u>flow rate is also routinely measured and controlled in order that the flow at the inlet nozzle can be</u>
 956 <u>optimised while sampling.</u>

# 957

## 958 9. Conclusions

In this work we have characterised the filter inlet system on board the FAAM BAe-146 research aircraft which is used for the collection of atmospheric aerosol particles for off line analysis. Our primary goal is to use this inlet system for quantification of INP concentrations and size resolved composition measurements, but it could also be used to derive other quantities with other analytical techniques.

963 In order to characterise the inlet system we made use of an electron microscope technique to study 964 the inlet efficiency, by comparing the SEM size distributions with the in situ size distributions 965 measured with underwing optical probes (PCASP-CDP). In spite of the discrepancies and uncertainties, 966 the sub-isokinetic enhancement of large aerosol particles predicted by the calculations in Sect. 2.2 967 was observed in these comparisons. We also experimentally verify that this enhancement is minimised 968 by operating the inlet with the bypass open which maximised the flow rate through the inlet nozzle. 969 In addition, we note that we performed tests with three very different aerosol distributions and the 970 size distribution of the particles on the filters had comparable features and concentrations to those 971 measured by the underwing optical probes. Overall, the inlet tends to enhance the concentration of

972 aerosol in the coarse mode with a peak enhancement at ~10  $\mu$ m, but when operated with the

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978 recommended flow conditions this enhancement is minimised. The inlet efficiency decreases rapidly
 979 for sizes above about 20 μm and becomes highly dependent upon the specifics of the sampling such
 980 as flow rates and angle of attack. Based on the calculations we recommend that the total flow rates
 981 at the nozzle are maintained at between 50 and 80 l min<sup>-1</sup>, and also that improvements are made to

the pump and bypass flow control (see Sect. 2.3).

We also established an SEM technique to determine the size resolved composition of the aerosol
sample. Each particle can be categorized based on its chemical composition using a custom made
classification scheme. Using this technique we showed that the filter system on board of the FAAM
BAe-146 spreads the particles evenly across the filter surface, which is necessary for the SEM size
distribution analysis.

988 Having a well characterised inlet allows us to sample aerosol particles up to around 20  $\mu m$  with

989 knowledge of the likely biases from the aircraft. Hence, we can use this inlet system to collect aerosol

990 for offline analysis at altitudes which are relevant for clouds. For example, this may allow us to use the

size resolved aerosol composition to quantify the size distribution of individual aerosol componentsat a particular location and combine this information with INP measurements to quantify the surface

993 area normalised ice nucleating ability of a specific class of aerosol.

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#### 998 Appendix A: discussion of the inlet efficiency calculations

999 Here we include a further description of the efficiency mechanisms used in the inlet model described 1000 in Fig. 2 and discuss the choice of the equations and their limits of validity:

1001 Aspiration efficiency accounts for the fact that the speed of the sampled air mass  $(U_0)$ , and the speed 1002 of the air through the beginning of the nozzle (U) are different. When these two speeds are equal, the 1003 sampling is called "isokinetic", whereas when the speeds don't match, the sampling is called super 1004 isokinetic or sub-isokinetic depending on if  $U_0$  is smaller or larger than U respectively. In our case, the 1005 air mass moves at the flying speed, which varies with the altitude (110 m s<sup>-1</sup> is a typical value for 1006 sampling altitudes), and the speed at the start of the inlet is almost always below 35 m s<sup>-1</sup> (sub-1007 isokinetic conditions). As a consequence, some air streamlines will be forced around the inlet, while 1008 high inertia particles won't, which will lead to an aspiration efficiency above 1 for coarse mode aerosol 1009 particles. This enhancement is greater for large particles due to their large inertia which makes difficult 1010 their ability to follow the air streamlines. The enhancement reaches a maximum value of  $U_0/U$  in its 1011 high diameter limit (when none of the particles in the sampled air mass follow the streamlines that escape from the inlet and all of them are sampled). The aspiration efficiency tends to 1 (no 1012 1013 enhancement) for small diameters.

1014 This behaviour has been characterised by several studies (we will only look at the sub-isokinetic range 1015 of the equations since it is impossible to reach the super isokinetic range during flight). An empirical 1016 equation was developed based on laboratory experiment by {Belyaev, 1972 #499@@author-year} and 1017 {Belyaev, 1974 #498@@author-year} (referred as B&L) for certain range of U/ U<sub>0</sub> ratio and Stokes 1018 number. However, for ratios below its experimental range (U/  $U_0>0.2$ ), the B&L function doesn't make 1019 physical sense since it converges to values above 1 for small particle sizes. The aircraft inlet system 1020 works at smaller U/U<sub>0</sub> ratios sometimes, so this function is not very accurate to describe the behaviour 1021 of the system in such conditions. {Liu, 1989 #458@@author-year} developed another function 1022 (referred as LZK) by means of a numerical simulation based on computational fluid mechanics. The U/ 1023  $U_0$  ratio and Stokes number valid range is wider than the B&L expression (down to 0.1). It agrees with 1024 the B&L expression in the U/  $U_0$  ratio the latter was developed for. For smaller values of the ratio, the 1025 LZK function are believed to be more accurate, since it predicts the known physical behaviour (no sub-1026 isokinetic enhancement for small particle sizes). It reaches U/  $U_0$  ratios down to 0.2, which is enough 1027 to cover most of the total flow rates achieved in the inlet system. {Krämer, 2004 #459} developed 1028 another expression (referred as K&A) for 0.007< U/  $U_0$ <0.2 based on computational fluid dynamics. 1029 However, for low particle sizes, the efficiency doesn't converge to 1. As a consequence, we have used 1030 the LZK {Liu, 1989 #458} function since it covers most of the U/  $U_0$  ratios we get in the inlet system, it agrees with the experimental data in {Belyaev, 1972 #499@@author-year} and {Belyaev, 1974 1031 1032 #498@@author-year and it converges to U<sub>0</sub>/U for large particles sizes and 1 for small particle sizes. 1033 Outside its valid range (U /  $U_0 < 0.1$ ), the LZK function agrees with the K&A function for large radius 1034 and converges to 1 for small particle sizes. The equation is valid for 0.01<Stks<100, which is enough 1035 to cover the range in between 1 and 100  $\mu m.$  As already stated, it tends to 1 for small particles sizes 1036 and to  $U_0/U$  for large particles sizes (At 50 L min<sup>-1</sup>, the ratio  $U/U_0$  is 0.2). All the calculations were done 1037 under standard conditions (0 °C and 1 bar). The effect of changes in pressure and temperature (and 038 therefore air density and dynamic viscosity) that normally occur in the filter inlet system sampling 1039 range (0 to 3000 m), are negligible in all the used equations

1040The used equations (as well as the ones used for anisoaxial losses) have been developed for thin-<br/>walled nozzles, (this criteria was defined first in {Belyaev, 1974 #498@@author-year}). The inlet has<br/>been described as thin-walled in the literature {Talbot, 1990 #453}{Andreae, 2000 #294}{Formenti,

1043 2003 #271} but we haven't used this terminology here since it is not possible to numerically quantify

1044 this using the criteria given in {Belyaev, 1974 #498} because the edge of the nozzle is curved. However, 045 the inlet has been designed to avoid distortion of the pressure field at the nozzle tip and the resulting 1046 problems associated with flow separation and turbulence {Andreae, 1988 #296} which is the main 047 caveat of inlet nozzles that are not thin-walled {Belyaev, 1974 #498}. As a consequence, we used these 1048 sets of equations for thin-walled nozzles to describe the filter inlet system considered in this study. 049 The fact that the calculations done using this equations show that the filter inlet system has biases 1050 with similar characteristics as the ones estimated experimentally for coarse aerosol particles helps to 1051 support this assumption.

Inlet inertial deposition is defined as the inertial loss of aerosol particles when they enter nozzle. It is produced by the fact that the streamlines bend towards the walls at the moment they enter the nozzle, some large inertia particles can impact the walls and get deposited. Here, we have used the equation given in {Liu, 1989 #458@@author-year} which quantifies this effect. It is also valid for 0.01<Stks<100, which is enough to cover the range in between 1 and 100 μm.

1057 Turbulent inertial deposition happens when some particles are collected by the wall when travelling in a pipe in the turbulent regime because some of the particles cannot follow the eddies of the 1058 1059 turbulent flow. In order to include this mechanism, we used the equation given in {Brockmann, 2011 1060 #344@@author-year}, using the relation in between the deposition velocity and dimensionless 1061 particle relaxation time given by {Liu, 1974 #461@@author-year}. These calculations are valid for a 1062 cylindrical pipe, whereas the turbulent section of the inlet considered here is the nozzle, which has a 1063 conical shape. In order to account for this, we divided the conical nozzle into 90 conical sections with 1064 an increasing diameter and a length of 1mm, and combined the effect of all the sections. This approach 1065 does not account for the additional inertial losses that could occur as a consequence of the 1066 enlargement of the flow in the conical section. As already mentioned, above 65 L min<sup>-1</sup>, turbulent flow 1067 occurs in the whole inlet tube. This has been taken into account in the 80 L min<sup>-1</sup> case in Fig. 2b. The 1068 equation used here has been tested for size ranges in between 1.4 and 20 µm, and doesn't depend on 1069 the Reynolds number values it was tested for (10000 and 50000) {Liu, 1974 #461}.

1070 Bending inertial deposition was also considered, since the line curves with an angle of 45° in order to 1071 bring the airstream into the cabin. The inertia of some particles may keep them in their original track 1072 and they are not able to follow the air streamlines that are bending towards the cabin, following the 1073 inlet tubes. In order to account for these losses, we have used the empirical equation given in 1074 {Brockmann, 2011 #344@@author-year} based on the data from {Pui, 1987 #454@@author-year} for 1075 laminar flow. This equation was developed for Reynold numbers of 1000, and we have used it for 1076 higher values. However, in {Brockmann, 2011 #344@@author-year}, one can see that the data from 1077 {Pui, 1987 #454@@author-year} for Re=6000 (beginning of the turbulent flow regime) doesn't differ 1078 that much from the fit we have used (valid for Re=1000). Since our Re numbers for the thick section 1079 of the tube almost never go above 5000, we can still use the laminar flow fit. This model has been 1080 tested for 0.08 < Stks < 1.2, which is enough to cover most of the range where the inertial deposition 1081 efficiency drops from 1 to 0. The main caveat of this calculation is that the model considers a smooth 1082 tube where that the flow rate before and after the bending is the same, while in the inlet system, if 1083 the bypass flow is on, the flow rate before and after the bending is different (before it, it would be 1084 equal to the total flow rate, whereas after the bending, it would be equal to the filter flow rate). As a 1085 consequence we assumed that the flow rate after the bending is equal to the total flow rate. This 1086 assumption might underestimate the losses since some large aerosol particles will become 1087 accumulated in the bypass.

1088Gravitational settling was also considered. We used the analytical equation given by {Thomas, 19581089#465@@author-year}, as stated in {Brockmann, 2011#344@@author-year}. We applied this equation

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1093 for the section of the pipe from the nozzle to the bend (15 cm long). We used the modification (also 1094 analytical) of the previous equation given in {Heyder, 1977 #466@@author-year} in order to account 1095 for the losses in the second section of the tube which is 40 cm long and it is bended 45°. The 1096 gravitational losses in the nozzle were neglected since the settling distance is much shorter and the 1097 time the air takes to pass it is smaller since it travels quicker. As stated previously, the lower part of 1098 the turbulent regime can be reached for high flow rates through all the tube. For these cases, we still use this equation which is only valid for the laminar regime, since the gravitational settling efficiencies 1099 1100 for the turbulent regime are very close to the laminar regime ones {Brockmann, 2011 #344} and 1101 wouldn't make a significant difference in our calculations.

1102 Diffusional efficiency accounts for the fact that small aerosol particles could diffuse to the walls of the 1103 pipe via Brownian motion. In order to account for this phenomenon, we have used the analytic 1104 equation by {Gormley, 1948 #468@@author-year} as stated in {Brockmann, 2011 #344@@author-1105 year}. We have assumed that diffusion happens only in the tube (before and after the bend) and 1106 excluding the diffusion in the nozzle since it is negligible because these losses are a function of the 1107 residence time and the residence time of the aerosol particles in the nozzle is much smaller than the 1108 rest of the tube. F or this calculation, we have assumed 0 °C and 1 atm. We didn't show the efficiency 1109 associated to diffusion in Fig. 2a because it was very close to 1 for all considered sizes. It only becomes 1110 slightly smaller than 1 for sizes below 20 nm at 50 L min<sup>-1</sup>. As a consequence, the inlet could be potentially used to sample nucleation mode aerosol particles, even though for this study we will only 1111 focus on the particles larger than  $0.1 \,\mu$ m. 1112

1113 Filter collection efficiency accounts for the fact that some particles can pass through the pores of the 1114 filter, if they are smaller than the pores. However, filter pore size (in the case of polycarbonate 1115 capillarity filters) and filter equivalent pore size (in the case of PTFE porous filters) is sometimes 1116 misunderstood as a size cut off at which smaller particles are lost and larger particles are captured. 1117 However, particle collection on filters happens through several mechanisms including interception, 1118 impaction, diffusion, gravitational settling or by electrostatic attraction under certain conditions 1119 {Flagan, 1988 #419;Lee, 1993 #429}. As a consequence, particles with diameters below the pore size 1120 are normally collected {Lindsley, 2016 #415;Soo, 2016 #462}. 99.48% of the generated sodium chloride 1121 particles with sizes in between 10.4 and 412 nm were collected by a 0.4 µm polycarbonate filter at 1122 flow rates below 11.2 L min<sup>-1</sup> (smaller than most of the flow rates at which the air passes through the 1123 same filters in the FAAM filter inlet system) {Soo, 2016 #462}. As a consequence, we assumed a filter 1124 collection efficiency of 100% across the whole considered size range (0.1 to 100 µm). However, the 1125 fact that some aerosol particles with diameters below the pore size could be deposited in the filter 1126 pores and therefore not be detected by the SEM technique could contribute to the undercounting.

1127 Anisoaxial losses have not been considered in the analysis shown in Fig. 2, after estimating that they 1128 would only affect particles significantly larger than 10  $\mu m$  and the fact that the alignment of the inlet 1129 is difficult to quantify and the angle of attack changes during the flight. Using the equations explained 1130 in {Hangal, 1990 #534@@author-year}, we calculated that the modification of the sub-isokinetic 1131 behaviour of the inlet produced by small values of  $\theta$  is negligible. The equation was used beyond its 1132 experimental limit, but this extrapolation was justified by the fact that the equation for  $\theta = 0$  made 1133 asymptotic physical sense at the low and high Stokes number limits and produced very similar results 1134 to the ones showed in Fig. 2a. Anisoaxial sampling can also produce inertial losses when particles impact the walls of the inlet. These ones have been quantified using the expression given by {Hangal, 1135 1136 1990 #535@@author-year} for different values of  $\theta$  and they can be seen in Fig. 3. This mechanism 1137 looks very similar to the gravitational and bend deposition efficiency shown in Fig. 2a. Anisoaxial 1138 inertial losses add a cut off that prevents large particles to be sampled. As one can see in Fig. 3, the

1139 1140 1141 1142	effect is very dependent on the angle and only affects particles significantly larger than 10 $\mu$ m in most cases, so it hasn't been included in the total analysis shown in the Fig 2. One can see in Fig. 3 that the position of the D50 of the anisoaxial cut off decreases when increasing values of $\theta$ up to 2°. For values of $\theta$ between 2° and 6°, it increases when increasing $\theta$ .	
1143 1144 1145	Other losses: Some mechanisms (thermophoresis, diffusiophoresis, interception, coagulation and re- entrainment of deposited particles) have not been considered, since they are second order mechanisms under our conditions when compared with the calculated mechanisms (von der Weiden, 2000 #23E)/Brockmann, 2011 #241) and for one of them (electrostatic deposition) it is not possible to	Deleted: '
1140	guantify them. Electrostatic deposition is normally avoided by using grounded conductive materials	 Delated: or
1148	so no electrical field exists within the tubing {Brockmann, 2011 #344}. Since the FAAM BAe-146	
1149	research aircraft is not grounded during the flight, we cannot state this mechanism is irrelevant.	Deleted: e
1150	However, the experimental agreement between the SEM and optical probes suggest that this is a	 <b>Deleted:</b> is mechanism is impossible to quantify because it
1151	minor loss mechnanism,	is not possible to know the distribution of charge in the
1152		 aerosol particles or the aircraft so it hasn't been included.
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1153	Appendix B. SEM compositional categories	 Moved (insertion) [1]
1154	Here we describe the 10 categories we have used in our compositional analysis, which are a summary	Deleted: 7.
1155	of the 32 rules described in the supplementary information. The approach has some similarities with	
1156	the ones in previous studies {Krejci, 2005 #316;Chou, 2008 #447;Kandler, 2011 #442;Hand, 2010	
1157	#444;Young, 2016 #75}, but it is distinct. Because of the fact that the filter is made of C and O,	
1158	background elements (C and O) were detected in all the particles. Particles in each category can	
1159	contain smaller amounts of other elements apart from the specified ones. This classification scheme	
1160	has been designed a posteriori to categorise the vast majority of the aerosol particles in the three field	
1161	campaigns previously described and some ground collected samples in the UK and Barbados. The main	
1162	limitation of the classification scheme is the difficulty to categorise internally mixed particles. The	
1163	algorithm has been built in a way it can identify mixtures of mineral dust and sodium chloride (they	
1164	appear as mineral dust but they could be split into a different category if necessary) and sulphate or	
1165	nitrate ageing on sodium chloride (they appear as Na rich but it could also be split into a different	
1166	category). However, other mixtures of aerosol wouldn't be identified, and they would be categorised	
1167	by the main component in the internal mixture in most cases.	
1168	<u>B.1. Carbonaceous</u>	 Deleted: 7
1169	The particles in this category contained only background elements (C and O). The components of the	
1170	carbonaceous particles consist in either black carbon from combustion processes or organic material,	
1171	which can be either directly emitted from sources or produced by atmospheric reactions {Seinfeld,	
1172	2006 #473}. Particles containing certain amount of K and P in addition to the background elements	
1173	were also accepted in these category. These elements are consistent with biogenic origin aerosol	
1174	particles {Artaxo, 1995 #472}. Distinction between organic and black carbon aerosol unfortunately	
1175	could not reliably be done. Since N is not analysed in our SEM set up, any nitrate aerosol particle would	
1176	tail into this category if it is on the filter. However, since these particles are semi-volatile, some of	
1170	these aerosol particles would not resist the low pressure of the SEM chamber. This could be further	
11/8 8	<u>nivesugateu in the luture.</u>	
1179	<u>B.2. S rich</u>	 Deleted: 7
1		

Aerosol particles in this category contained a substantial amount of S. This S might be in the form of		
inorganic or organic sulphate compounds. Some sulphate compounds, such as sulphuric acid, are		
relatively volatile and will be lost in the SEM chamber		<b>Deleted:</b> Aerosol particles in this category contained a
<u>B.3 Metal rich</u>		substantial amount of S. These EDS signals are compatible with sulphate aerosol particles, which are solid or liquid culphuic acid particles (Kumar, 2017 #E32) in the same un
The composition of particles in this category is dominated by one of the following metals: Fe, Cu, Pb,	$\backslash$	as the nitrates, this particles are semi-volatile and some of
Al, Ti, Zn or Mn. These EDS signatures are compatible with metallic oxides or other metal rich particles.		them might not resist the low pressure of the SEM chamber
These metal containing particles can originate from both natural sources and anthropogenic sources.		Deleted: 7
Some metallic oxides are common crustal materials that could go into the atmosphere but are also		
produced during some combustion processes {Seinfeld, 2006 #473}. In addition, many types of metal		
and metallic derivatives particles are produced as component of industrial emissions and other		
anthropogenic activities {Buckle, 1986 #475} {Fomba, 2015 #476}		
<u>B.4. Na rich</u>		Deleted: 7
Sodium chloride particles are the main component of the sea spray aerosol particles which are emitted		
through wave breaking processes {Cochran, 2017 #477}. These particles can age in the atmosphere by		
reacting with atmospheric components such as sulphuric or nitric acid {Graedel, 1995 #478}, {Seinfeld,		
2006 #473}. As a consequence of this reaction, a part of their Cl content will end up in the gaseous		
phase (as HCl), leading to an apparent chlorine deficit in the aged sea spray aerosol particles. Particles		
in this category have an EDS signature compatible with sea spray aerosol particles since they are		
identified by the presence of Na, containing in most cases Cl and/or S (N is not included in our SEM		
<u>analysis).</u>		
<u>B.5 Cl rich</u>		Deleted: 7
Particles in this category contained mainly Cl and sometimes also K but never Na. so they are not		
sodium chlorine particles. Significant concentrations of Cl and metals in aerosol particles have been		
linked to industrial activities, coal combustion, incineration and automobile emissions (Paciga, 1975		
#480}{Graedel, 1995 #478}, whereas Cl and K in aerosol particles could be originated by the use of		
fertilisers {Angyal, 2010 #479}, biomass burning {Li, 2003 #501}{Lieke, 2017 #502}, or emitted during		
pyrotechnic events {Crespo, 2012 #481}.		
B.6 Ca rich		Deleted: 7
The composition of the particles in this category is dominated by Ca. In this category, particles		
containing only Ca (plus C and O, the background elements) are consistent with calcium carbonate, a		
major component of mineral dust (Gibson, 2006 #482). If other elements such as Mg and S are present.		
the signature of the particles compatible with some mineral origin elements as gypsum and dolomite		
respectively. In addition, presence of minor amounts of Si. Al and other elements could indicate mixing		
of these Ca rich particles with some other soil components as silicates. However, since Ca is a biogenic		
element, we cannot discard the biogenic origin of some of the Ca-rich particles {Kreici, 2005 #316}.		
Some Ca rich particles could originate from the crystallization of sea water, loosely attached to NaCl.		
The latter component would dominate over the rest of the elements of the conglomerate and they		
would appear as Na rich particles, unless they shatter in the air {Parungo, 1986 #603}{Andreae, 1986		
#503}{Hoornaert, 1996 #504}.		
<u>B.7 Al-Si rich</u>		Deleted: 7
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Particles in the Al-Si rich category were detected by the presence of Al and Si as major elements. Very

1243 often, this particles also contained smaller amounts of Na, Mg, K, Ca, Ti, Mn and Fe. Particles in this

1244 <u>category are very likely to have mineral origin and are commonly described as aluminosilicates which</u>

1245 <u>include a range of silicates such as feldspars and clays</u> {Chou, 2008 #447;Hand, 2010 #444}. <u>Mixed</u>

mineral origin particles containing both Al and Si can also fall into this category. Strong presence of Na
 and Cl could indicate internal mixing with some sea spray aerosol, whereas a strong S presence could

1248 indicate atmospheric acid ageing.

249 <u>B.8 Si only</u>

250 <u>The particles in this category contained only Si apart from the background elements. Particles in this</u>

251 <u>category are very likely to be a silica polymorph (mainly quartz), one of the major components of the</u> 252 earth's crust. Since we cannot determine if the C signal in the EDS of these particles is produced from

earth's crust. Since we cannot determine if the C signal in the EDS of these particles is produced from the background or from the particle itself, a particle containing only C, Si and O would fall into this

<u>a category</u>, however, mineral phases containing these elements are extremely rare.

<u>category, nowever, millerar phases containing these elements an</u>

255 <u><u>B.9 Si rich</u></u>

256 The composition of these particles was dominated by Si, and other elements Na, Mg, K, Ca, Ti, Mn and 257 Fe. The main difference with the particles in <u>B</u>7 is that the ones described here didn't contain Al above 258 the limit of detection. The EDS signal of particles in this category is compatible with any silicate that 259 does not contain Al as a major component in its phase such as talc or olivine. The only exception is 260 quartz, which falls in the 'Si only' category described above. They could also be internal mixtures of 261 silica or silicates without aluminium as a major component in its phase. Because of the high limit of 262 detection of the AI (See the SI), some particles in this category could contain small amounts of AI, and 263 should belong to Al-Si rich category. As in the Al-Si rich particles case, strong presence of Na and Cl 264 could indicate internal mixing with some sea spray aerosol, whereas a strong S presence could indicate 265 atmospheric acid ageing.

266Some of these categories could be further grouped. For example, the particles in the Ca rich, Al-Si267rich, Si only and Si rich categories could be considered as "mineral dust". However, if the sample268contains ash from combustion processes or volcanic origin, it will also appear in these last categories

1269 <u>since its composition is similar to mineral dust {Chen, 2012 #483;Nakagawa, 2003 #486}.</u>

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274	Appendix C.	Weight	percentage	confidence le	evel sensitivity	/ test
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275 The software calculates the weight percentage (wt %) of each detected element with its statistical

276 error (σ). In our classification scheme, we have imposed the rule that all the detected elements must

277 be statistically significant in order to be considered as present (the wt % of each detected element

278 <u>needs to be a certain confidence level above the σ. We explored the appropriate value of sigma for</u>

1279 <u>our application below.</u>

297

298

280 Our analysis is distinguished from others in the literature in that we use a relatively thick Ir coating 281 (30 nm) as well as a relatively low EDS integration time in order to get data from many particles in a 282 session. Some of the secondary EDS peaks of Ir overlap in some cases with some of the atmospherically 1283 relevant elements (the primary peak does not). This produces some issues like a larger  $\sigma$  in some 284 elements. This effect is quite noticeable for Al and S, where some clear peaks of these elements were 285 not statistically significant at a confidence level of 3. In Fig. C1 we show the results of a test where we 286 studied the effect of changing the confidence level from 3 to 2  $\sigma$  in the particle categorisation carried 287 out by the classification scheme. The only effect of this change yields on the Al and S. When going 288 from 3 to 2 o as a confidence level, more Al is detected in the sample, so some Si-rich particles (from 289 rule 25) are detected as Al-Si rich particles (rule 5) instead. Manual inspection of a subset of these 290 particles revealed that the Al peak that wasn't being identified at 3  $\sigma$  is an actual Al signal that was 291 detected at 2 o. Likewise, some significant S peaks were not being detected at a confidence level of 3 292  $\sigma$  but they were at 2  $\sigma$ , leading to more S rich particles (rule 14) that were labelled as Other from the 293 rule 32 at a higher confidence level. The variation in the confidence level didn't modify the number of 294 particles in other categories, so we recommend the use a 2  $\sigma$  value in order to minimise the 295 underestimation of Al-Si and S rich particles. 296

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#### 1301 Acknowledgements

1302 We a grateful for helpful discussions with Paola Formenti (Centre National de la Recherche 1303 Scientifique), Hannah Price (FAAM) and Eduardo Morgado (School of Earth and Environment, 1304 1305 University of Leeds). Airborne data were obtained using the FAAM\_BAe-146-301 Atmospheric Research Aircraft, flown by Airtask Ltd and managed by FAAM Airborne Laboratory, jointly operated 1306 by UKRI and the University of Leeds. We thank all the people involved in the EMERGE, VANAHEIM and 1307 MACSSIMIZE campaigns, as well as Avalon. We acknowledge the Leeds Electron Microscopy and 1308 Spectroscopy Centre for the use of their microscopy facilities and the Centre for Environmental Data 1309 Analysis for providing us with the FAAM datasets used here. We are grateful for funding from the 1310 European Research Council (ERC) (648661 Marinelce).

1312 References







1316 Fig. 1. Schematic diagram of one of the two parallel lines of the <u>Filters</u> inlet system.





Fig. 2. *Theoretical efficiencies of the Filter inlet system*. (a) Efficiencies of the four mechanisms considered in this work for a total flow rate of 50 L min<sup>-1</sup>. We have assumed a dynamic viscosity of  $1.82 \times 10^{-5}$  kg m<sup>-1</sup> s<sup>-1</sup> (value for 0 °C) and a particle density of 1000 kg m<sup>-3</sup>. The speed of the air mass (U<sub>0</sub>) was 110 m s<sup>-1</sup>, a typical FAAM flying speed at low altitudes. (b) Total efficiency for four different total flow rates. For the 80 L min<sup>-1</sup> case, turbulent deposition through the whole line was considered since the flow was turbulent through the whole pipe. (c) Total efficiency considering all the described mechanisms for a 20 L min<sup>-1</sup> filter flow rate with the bypass closed and a 20 L min<sup>-1</sup> filter flow rate with the bypass open (considering a bypass flow of 25 L min<sup>-1</sup>).





Fig. 3. Anisoaxial inertial losses of the sampling carried out by the Filters inlet system for different values of the angle in between the inlet and the flight direction. The calculations have been presented by themselves (a) and combined with the aspiration efficiency (b), which one can see in Fig. 2a. The anisoaxial calculations have been done using the equations given by {Hangal, 1990 #535}, using the same parameters and dimensions than in Fig. 2, apart from the flow rate, which was set to 65 L min<sup>-1</sup> in order to be within the valid range of U/U<sub>0</sub> that was used to develop the equation. For smaller or larger values

1336 of the flow rate (under which most of the sampling is carried out), the differences in the efficiency from the ones show here

1337 are minimal.



1338<br/>1339Figure 4. Filter flow rate of different samplings carried out in different campaigns at each altitude (m)1300





 Secondary Electron
 Backscattered

 a
 0.4 μm pore
 0.4 μm pore

 aerosol
 aerosol
 aerosol

 particles
 10 μm
 10 μm



Figure 6. Secondary electron image (a) and Back Scattered Electron image (b) of the same area of the same filter, collected In S.E. England on the 2018/07/05 from 13:32 to 13:47 in the upper line with the bypass open. As one can see, some of the small particles in the SE image appear almost transparent under the BSE image. Even the 10µm soot particle in the bottom left of the image shows a very low contrast in the BSE image.



1364Figure. 7. Radial distribution of particles test on the sample collected on the 2017/10/02 (flight C059) from 16:24 to 16:401365UTC about 320 m high in south Iceland, using the lower line and open bypass, sampling 432 L. Number of submicron and1366supermicron particles in same size areas (~160x190 µm²) radially distributed versus the distance from the approximate1367centre through a radius of the filter (a) and another trajectory from the centre of the filter deviated 30° from the first radius1368(b). The analysis was done at 20 KeV and x5000. The number of both supermicron and submicron particles remains very1369constant all over the surface of the filter, until reaching the edges of it (which are cover by a rubber O-ring during the sample)1370and the number of particles drops to the limit of the detection within a few millimetres. The error in the number of particles1371comes from Poisson counting statistics.



**Moved down [4]:** The optical probes are the PCASP-CDP, using the closest calibration to the sampling date and a refractive index of 1.56 as stated in the Sect. 2.3.

Moved (insertion) [3]

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#### Deleted: analysis in Fig. 8.

**Deleted:** The optical probes are the PCASP-CDP, using the closest calibration to the sampling date and a refractive index of 1.56 as stated in the Sect. 2.3.

#### Moved (insertion) [4]

**Moved up [3]:** Bypass test carried out during the C057 flight on the 2017/09/27 from 13:33 to 13:50 UTC. The lower line sampled 555 L with the bypass open, whereas the upper line sampled 499 L with the bypass closed. The flow rates were 34.7 L min<sup>-1</sup> and 31.2 L min<sup>-1</sup> respectively. The position of the closed and open line was swapped with respect to the first analysis in Fig. 8. The optical probes are the PCASP-CDP, using the closest calibration to the sampling date and a refractive index of 1.56 as stated in the Sect. 2.3. The sampling was interrupted for a minute to avoid a turn.

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Figure 9. Bypass test



 1414
 Diameter (µm)
 Diameter (µm)

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 Figure 9. SEM obtained size distribution compared with PCASP-CDP online size distribution for three different sampling

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 periods in three different aerosol environments. Close to London, on the 2017/07/19 (flight C024) from 15:20 to 15:51 UTC,

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 sampling 953 L (a), south of Leeland on the 2017/10/02 (flight C059) from 16:24 to 16:40 UTC, sampling 432 L at an altitude

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 of about 320 m, (b) and in north Alaska on the 2018/03/20 (flight C090) from 20:15 to 20:37, sampling 724 L (c). All the

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 Lmin<sup>-1</sup> respectively. The optical probes are the PCASP-CDP, using the closest calibration to the sampling date and a refractive

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 index of 1.56 as stated in Sect. 2.3.

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1429 1430 1431 1432 1433 1434 1435 1436 1437 Figure 10. Size-segregated compositional and morphological analysis of a sample collected close to London (S.E. England) on the 2017/07/19 from 15:20 to 15:52 UTC by the lower line with the bypass open, sampling a total of 953L at 350 m altitude. (a) Fraction of particles corresponding to each compositional category (described in the Appendix B) for each size. The

number of particles per bin can be seen in the top of the figure. (b) Number size distribution for each composition. Cl rich

particles were not included since only two particles in this category were found. The errors have been calculated from the

Poisson counting statistics (applying it to both the size distribution and the compositional measurements). (c) Surface area

of both all the detected aerosol particles and the ones whose composition was consistent with mineral dust. Errors have been calculated in the same way as before. By integrating the green curve in the figure (c) we obtained the total surface area of mineral dust in the sample (19.1  $\mu$ m<sup>2</sup> cm<sup>-3</sup>).

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1442 Figure 11. Six examples of the size resolved composition of aerosol sampled from the BAe-146 aircraft above South England 1443 (a, c and e) and North Alaska (b, d and f). All the samples were taken with the bypass open. The dates and sampling times (in 1444 1445 UTC) are: (a) 2017/07/17 (flight C022) from 9:29 to 9:41, sampling a total of 182 L at an altitude of about 240 m, (b)

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2018/03/18 (flight C089) from 19:28 to 19:48, sampling a total of 404 L at an altitude of about 600 m, (c) 2017/07/19 (flight 1445 1446 1447 1448 1449 C024) from 15:20 to 15:52, sampling a total of 256 L at an altitude of about 350 m (this sample was taken on the same that as the one shown in Fig. 10), (d) 2018/03/20 (flight C090) from 20:15 to 20:37, sampling a total of 724 L at an altitude of

about 520 m, (e) 2017/07/20 (flight C025) from 12:51 to 13:09, sampling a total of 425 L, (f) 2018/03/21 (flight C091) from 18:27 to 18:56, sampling a total of 1187 L at an altitude of about 120 m at an altitude of about 940 m.



1455Figure C1. Size-segregated composition of two aerosol samples for different element detection confidence levels. The<br/>samples are 2018/03/18 from 19:28 to 19:48 UTC in north Alaska (a) and 2017/10/02 from 16:24 to 16:40 UTC in Iceland (b).1456samples are 2018/03/18 from 19:28 to 19:48 UTC in north Alaska (a) and 2017/10/02 from 16:24 to 16:40 UTC in Iceland (b).1457The two samples are very different since the first sample presented a very low aerosol loading and it is dominated by Na rich<br/>particles, Carbonaceous and mineral origin aerosol (Si rich, Si only, Al-Si rich) with significant contributions of S rich particles<br/>whereas the second sample presented a high aerosol loading and it was mainly dominated by mineral origin aerosol. The<br/>different in the confidence mainly affected the Si and Al-Si rich particles as well as the S rich particles in the sample (a).1461whereas it only affected the Si and Al-Si rich particles in the sample (b).