We thank the reviewers for their thoughtful comments, which we have addressed below. All page and line numbers refer to those in the revised manuscript. Reviewer comments are in *italics*, our response is in plain text, and text in the revised manuscript is in blue.

# **Response to Comments from Anonymous Referee #1**

1. In section 4.1, one discusses the results presented in Figure 3. Although one can "see" the plume in the retrieved images (center and right) for the homogeneous scene when one knows it is there, I am not convinced that an uneducated guest would detect the plume without a significant number of false detection. It seems rather clear that, if the source was 100 kg/h (and not 500 and 900 kg/h) as in the simulated images, the signal would be hardly distinguishable for the noise. Thus, the claim that one would be able to detect and quantify plumes from 100 kg/h source is definitely not founded.

We thank the reviewer for this helpful comment. We derive emission rates for each EeteS plume and describe the results in a new table, Table 2. We move the discussion of IME/emission rate derivation to Section 3, and give it its own section, Section 3.3.

We add the following analysis in the text:

Page 10, Line 343: "We examined the ability of the retrievals to quantify methane point source rates on the basis of the detected plumes, by applying the IME algorithm of Section 3.3 to the same ensemble of 5 WRF-LES plume realizations for each of the three different surfaces and for true source rates 100, 500, and 900 kg  $h^{-1}$ . Results are summarized in Table 2. We find that it is possible to quantify source rates as low as 100 kg h<sup>-1</sup> for the Bright scene, and as low as 500 kg h<sup>-1</sup> for the Grass scene, though the true source rates are underestimated by up to a factor of 2. There could be several factors behind this underestimate including (1) error correlation with surface reflectivity in the EnMAP retrieval that would cause some loss of the plume, and (2) use of the Varon et al. (2018a)  $U_{eff}$ - $U_{10}$  relationship in equation (10) without customization for the EnMAP conditions. As pointed out by Varon et al. (2018a), the  $U_{eff}$ - $U_{10}$  relationship should be customized to the plume mask definition and to the instrument pixel resolution and precision. This would require an ensemble of WRF-LES simulations specific to the EnMAP conditions and to the plume mask used here. The inability to quantify the 100 kg h<sup>-1</sup> plume over the Grass scene is properly diagnosed in our retrieval by the failure of the plume mask to detect a plume. However, the surface artifacts in the Urban scene lead to spurious retrievals of source rates as the surface features are mistakenly attributed to plumes. This is due to the error correlation between  $X_{CH4}$  and surface reflectivity (explained in greater detail in Section 4.2) and can be diagnosed by inspection of the off-diagonal terms of  $\hat{\mathbf{S}}$  (Equation 7)."

2. Lines 229-230, it is said that the "8% precision [...] should enable EnMAP to successfully quantify 500 kg/h point sources in a single pass." There is no attempt at estimating sources in this section, so that there is no ground for this claim

See response to comment #1.

3. Line 235, it is said that, for a 900 kg/h source, the plume is "well defined against the background" which is an overstatement.

We soften the language:

Page 9, Line 319: "The 900 kg h<sup>-1</sup> plume is better captured over both surfaces, though major retrieval artifacts remain in the Urban scene."

4. Line 284 "but a source rate can still be estimated successfully with EnMAP". There is no ground in the paper for that statement.

See response to comment #1.

5. Line 323 : "Nevertheless, the results do confirm that EnMAP should be able to detect plumes and quantify source rates down to  $\sim 100 \text{ kg}/h$ ". The analysis of the airborne data show overestimates by a factor up to 3 (mean 2). How can one see that as a confirmation that the source can be quantified?

We clarify that the underestimate was confirmed by both assessments:

Page 12, Line 435: "The EnMAP underestimate is consistent with the results in Table 2 and may reflect the same sources of bias, in part correctable through an improved  $U_{10}$ - $U_{eff}$  relationship. The results confirm that EnMAP should be able to detect plumes and estimate source rates down to ~100 kg h<sup>-1</sup> when the scene is sufficiently bright."

6. In the conclusion it is said that the space measurements can be used to "detect and quantify plumes of magnitude  $\sim 100$  kg/h over relatively bright surfaces". Yet, the simulations have been performed with larger sources (factor 5 to 9). In addition, it is rather ambiguous whether the objective is to quantify the plume (and what that really means) or to quantify the source that generate it. This should be clarified.

Thank the reviewer for this point and clarify in the text.

Page 13, Line 474: "We showed that these EnMAP-like images are able to detect actual plumes of magnitude  $\sim 100 \text{ kg h}^{-1}$  over relatively bright surfaces. Source rates inferred from the plumes with a generic Integrated Mass Enhancement (IME) method are a factor of 1.2 to 3 lower for EnMAP than for AVIRIS-NG, which could be due in part to unaccounted dependence of the IME method on instrument pixel size and precision. This should be improved in further work by customizing the IME method to the EnMAP specifications."

7. In addition, one major source of uncertainty for instrument with a "low" spectral resolution is the knowledge of the instrument response function. I understand that the authors have assumed that this response function is perfectly known. It would be nice to add a sensitivity test to analyze the impact of some uncertainty on this important parameter. To the very least, they should mention and discuss the potential impact.

We clarify the importance of spectral calibration and include spectral shift in the retrieval:

Page 6, Line 182: "We also correct for uncertainty in the instrument's wavelength calibration with a spectral shift parameter (Thorpe et al., 2017; Frankenberg et al., 2005).

We give more information about EnMAP's spectral calibration:

Page 6, Line 230: "EnMAP has strict requirements of 1 nm spectral calibration accuracy and 0.5 nm

spectral stability in the SWIR. Pre-flight calibration campaigns as well as onboard calibration means will be used to ensure the compliance with those requirements (Guanter et al., 2015)."

8. Also, the paper uses a method for plume mask through "median and Gaussian filters" which is not described. Some sentences do describe the principle of the method would be useful.

We clarify the purpose of the filters in the text:

Page 8, Line 289: "These filters help to remove spurious signals surrounding a plume and determine the spatial extent of the plume, which is needed for subsequent calculations"

9. The reviewer included many annotated comments directly on the manuscript. We update accordingly:

"livestock operations may not be point sources" "livestock operations may not be point sources"

Page 2, Line 42: "Anthropogenic emissions originate from a very large number of point sources (coal mine vents, oil/gas facilities, confined livestock operations, landfills, wastewater treatment plants) that are individually small, spatially clustered, temporally variable, and difficult to quantify (Allen et al., 2013; Frankenberg et al., 2016)"

"I assume "true" point sources, so that not like land fills for instance"

Page 5, Line 168. "This range is typical of large (but not unusually large) individual point sources (Jacob et al., 2016)."

"Not clear to me [reference to Page 5, Line 135 in original manuscript"

Page 5, Line 180. "We do not add noise or aerosol effects to the plume transmission spectra because the EeteS scene already accounts for those in the computation of back-scattered radiances, so that multiplying by the additional plume transmission already factors in the corresponding noise."

"The retrieval procedure assumes that the instrument spectral response is perfectly known? Please state so and discuss the resulting uncertainty"

See response to comment #7.

"I do not see this parameter in the equations. Unit? [in reference to Page 8, Line 203 in original draft]"

Since it the variance in a scaling factor, it is unitless. We clarify how it enters Equation 6:

Page 7, Line 277:  $S_{A}[1,1] = \sigma_{S_{CH4}}^{2} = 5$  (unitless)

"I would say these are rather optimistic comments with respect to the impression given by the figure. [in reference to Page 8, Line 217 in original draft]"

See response to comment #1

"??? There is realy no ground for this statement. One has no idea when "successfully quantify" means here. [in reference to Page 9, Line 229]"

See response to comment #1

"Rather optimistic to me [in reference to Page 9, Line 235]"

See response to comment #3.

"Not clear what the procedure is [in reference to Page 10, Line 279]"

See response to comment #8.

"how do I know that ? [in reference to Page 10, Line 284]"

See response to comment #1

"One finds source that are up to 3 times larger than the truth, and this is a confirmation that one can quantify source rates?"

See response to comment #5

# **Response to Comments from Gerrit Kuhlmann**

1. The authors use the (relative) root mean square error (RMSE) for evaluating the precision of the methane retrieval. However, the RMSE is the sum of accuracy (mean bias) and precision (variance)  $RMSE = sqrt(MB^2 + Variance)$  and thus the analysis of the precision is potentially biased by the mean bias the retrieval. The mean bias might be caused by the strong dependency surface reflectance as discussed by the authors that apparently results in increased XCH4 as seen in Figure 3. Consequently, the author should not use the term "precision" as synonym for the RMSE as done in the text and in Figs. 4 and 5. The authors also need to check how much the computed RMSE is affected by a mean bias and variance and revise their results, discussions and conclusions accordingly. Using the variance will make the results better comparable with the a posteriori retrieval noise (second method), even if the latter is of course not affected by other (random) error terms in the retrieval.

We thank the reviewer for this insightful comment. We switch to using just the relative residual standard deviation for precision estimates instead of RRMSE and theoretical precision.

# Figures 4 & 5 updated

Page 9, Line 323. "Here we characterize the EnMAP instrument precision as the relative residual standard deviation (RRSD) between the true and retrieved column methane concentrations for individual  $30 \times 30$  m<sup>2</sup> pixels in the scenes of Figure 2 including the WRF-LES plumes. Figure 4 summarizes the results for the four scenes of Figure 2. We find precisions of  $3.5 \pm 0.07\%$  for Grass,  $7.2 \pm 0.1\%$  for Urban, and  $2.6 \pm 0.08\%$  for Bright scenes."

We address how bias is not as important with a proper background definition:

Page 2, Line 57. "Bias may not be an issue if the plume enhancement is referenced to the local background."

2. The authors consider SNR of the instruments and other errors included in the EeteS simulator, but assume precise knowledge of wavelength positions. However, inaccurate spectral calibration is a potentially large error source not considered in the study. A further potential error source for the CH4 retrieval are radiometric calibration errors that can result in (systematic) high-frequency patterns in the spectra. The latter could in particular be a problem for instruments where the main application is not influenced by such high-frequency patterns. The authors should therefore discuss these limitations in their study and mention possible recommendation for the instrument developers, e.g. characterization in the lab, to make their instrument more suitable for measuring methane.

See response to comment #7 from Anonymous Reviewer #1.

3. *P3*, *L61* and *P10*, *L266*: *Please provide (rough) numbers of "most" and "majority of anthropogenic point sources"*.

See response to comment #9 from Anonymous Reviewer #1.

4. *P6*, *L146f*: *Please specify what you did here. Applying a Gaussian filter with 10.0nm FWHM to AVIRIS-NG spectra with 5.0 nm FWHM would result in a spectral resolution of 11.2 nm FWM.* 

We thank the reviewer for this point and clarify confusion in our workflow:

Page 6, Line 199: "...and further convolved these spectra with the appropriate Gaussian filter to match EnMAP spectral resolution and wavelength positions."

5. P7, L183ff: Since this seems to be the first time that Legendre polynomials have been used in a DOAS analysis, it is probably worthwhile to provide some additional information here.

Page 7, Line 249: "Orthogonal polynomials can potentially constrain surface reflectance with fewer terms, leading to better conditioning of the inverse solution"

6. P7, L190f: Please explain why you are testing separated convolutions <\*>. I assume this is due to the following inequality: <10 \* exp(-tau) > |ne| <10>\*<exp(-tau)> (Frankenberg et al. 2005, Eq. 16).

We add motivation for this analysis:

Page 7, Line 256: "Since the convolution operator is not linear (Frankenberg et al., 2005), ..."

7. P8, L223f: Please add parenthesizes, e.g.:  $(8.2 \pm 0.7)$ 

We keep as is because the reported numbers are objects of the preposition in the sentence.

8. P11 L312: Varon et al., 2018 -> Varon et al., 2018a

Fixed

9. Table 1: It might be better to use the term "undefined" (or something else) instead of "TBD" which is quite ambiguous.

We change the entry in Table 1 to read "Undefined"

## 1 Potential of next-generation imaging spectrometers to detect and quantify

## 2 methane point sources from space

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## 15 Abstract

We examine the potential for global detection of methane plumes from individual point sources with the new 16 generation of spaceborne imaging spectrometers (EnMAP, PRISMA, EMIT, SBG, CHIME) scheduled for launch in 17 2019-2025. These instruments are designed to map the Earth's surface at high spatial resolution  $(30 \times 30 \text{ m}^2)$ , and have 18 19 spectral resolution of 7-10 nm in the 2200-2400 nm band that should also allow useful detection of atmospheric methane. 20 We simulate scenes viewed by EnMAP (10 nm spectral resolution, 180 signal-to-noise ratio) using the EnMAP End-to-21 End Simulation Tool with superimposed methane plumes generated by large-eddy simulations. We retrieve atmospheric methane and surface reflectivity for these scenes using the IMAP-DOAS optimal estimation algorithm. We find an 22 23 EnMAP precision of 3-7% for atmospheric methane depending on surface type. This allows effective single-pass 24 detection of methane point sources as small as 100 kg h<sup>-1</sup> depending on surface brightness, surface homogeneity, and 25 wind speed. Successful retrievals over very heterogeneous surfaces such as an urban mosaic require finer spectral 26 resolution. We tested the EnMAP capability with actual plume observations over oil/gas fields in California from the airborne AVIRIS-NG sensor (3  $\times$  3 m<sup>2</sup> pixel resolution, 5 nm spectral resolution, SNR 200-400), by spectrally and 27 spatially downsampling the AVIRIS-NG data to match EnMAP instrument specifications. Results confirm that EnMAP 28 can successfully detect point sources of ~100 kg h<sup>-1</sup> over bright surfaces. Source rates inferred with a generic Integrated 29 30 Mass Enhancement (IME) algorithm were lower for EnMAP than for AVIRIS-NG. Better agreement may be achieved 31 with a more customized IME algorithm. Our results suggest that imaging spectrometers in space could play an important

32 role in the future for quantifying methane emissions from point sources worldwide.

33

### 34 1 Introduction

Methane is a powerful greenhouse gas, but the quantification of sources is highly uncertain. Better quantification is critical for developing strategies to reduce atmospheric methane levels. Anthropogenic emissions originate from a very large number of point sources (coal mine vents, oil/gas facilities, confined livestock operations, landfills, wastewater treatment plants) that are individually small, spatially clustered, temporally variable, and difficult to quantify (Allen et al., 2013; Frankenberg et al., 2016). Here we investigate the potential of new-generation satellite instruments designed to map the Earth's surface at high spatial resolution (imaging spectrometers) to also detect individual methane plumes in the shortwave infrared (SWIR) and from there to quantify the corresponding methane point sources.

42 There has been considerable interest in using SWIR satellite observations of atmospheric methane columns by 43 solar backscatter to detect methane sources and test emission inventories (Jacob et al., 2016). These observations are 44 traditionally made by atmospheric sensors with high spectral resolution (<1 nm) to capture the fine structure of methane 45 rovibrational absorption features (Table 1). The requirement of high spectral resolution has generally forced a coarse 46 pixel resolution (>1 km) to achieve satisfactory signal-to-noise ratios (SNR), but this limits the ability to identify, locate, 47 and quantify individual point sources. Inverse analyses of observations from the SCIAMACHY instrument with 60 km 48 pixel resolution, and from the GOSAT instrument with sparse sampling at 10 km pixel resolution, have quantified 49 emissions over regional scales (Bergamaschi et al., 2009; Kort et al., 2014; Turner et al., 2015). The recently launched TROPOMI instrument with global daily coverage at 7 km nadir pixel resolution (Hu et al., 2018) will refine the regional 50 51 characterization but still cannot resolve point sources (Sheng et al., 2018). Planned instruments with ~1 km pixel 52 resolution (MethaneSat, CEOS, 2018; Geo-FTS, Xi et al., 2016) should be able to detect large point sources after 53 inversion of several days of observations (Cusworth et al., 2018; Turner et al., 2018) but would not resolve densely 54 clustered or temporally variable sources.

Space-based methane sensors have previously focused on achieving high precision (<1%) and low relative bias (<0.3%) for measurements of the dry air column methane mixing ratio ( $X_{CH4}$ ), as is appropriate for regional characterization of sources (Buchwitz et al., 2015). However, these requirements can be relaxed if the focus is to observe individual plumes. Precision can be traded for pixel resolution because methane plumes are generally sub-kilometer in scale (Frankenberg et al., 2016), so that plume enhancements are larger when the pixel resolution is finer (Jacob et al., Moved down [7]: Anthropogenic emissions include a large number of point sources (coal mine vents, oil/gas facilities, confined livestock operations, landfills, wastewater treatment plants) that are individually small, spatially clustered, temporally variable, and difficult to quantify (Allen et al., 2013; Frankenberg et al., 2016)

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Deleted: include

2016). Bias may not be an issue if the plume enhancement is referenced to the local background. Two commercial instruments, GHGSat and Bluefield Technologies, have recently been developed to observe individual methane plumes (CEOS, 2018). The GHGSat instrument samples selected 12×12 km<sup>2</sup> scenes with 50×50 m<sup>2</sup> effective pixel resolution (McKeever et al., 2017). A demonstration GHGSat instrument (GHGSat-D) launched in 2016, with an estimated precision of about 13%, has proven able to detect large point sources in excess of 1000 kg h<sup>-1</sup> (Varon et al., 2018b).

71 Here we examine the potential of a different class of satellite instruments, imaging spectrometers, to detect and 72 quantify individual methane point sources. These instruments are designed for global land surface measurements, but 73 they may be repurposed for non-optimal methane remote sensing. They have fine pixel resolution (<100 m), with much 74 coarser spectral resolution than atmospheric sensors because surface reflectance spectra are relatively smooth. Some current imagers such as Landsat (Roy et al., 2014) and WorldView-3 (http://worldview3.digitalglobe.com) have 75 76 observing bands in the SWIR to retrieve soil moisture, mineral composition, and vegetation traits (Cleemput et al., 2018). 77 However, the SWIR spectral resolutions for Landsat (100 nm) and WorldView-3 (40-50 nm) are too coarse to usefully 78 resolve methane absorption features. The Hyperion instrument onboard NASA Earth Observing-1 had 10 nm spectral 79 resolution in the SWIR but a low signal to noise ratio (SNR) of 20 (Folkman et al., 2001). Hyperion was able to detect 80 the massive Aliso Canyon methane blowout (Thompson et al., 2016), but its SNR is too low for detection of smaller 81 point sources.

A new generation of imaging spectrometers set for launch over the next decade (EnMAP, PRISMA, EMIT, and 82 83 the anticipated SBG and CHIME investigations) will achieve ~10 nm or better spectral resolution in the SWIR with pixel 84 resolution in the range 30-60 m and SNR of 180-400 or beyond (Table 1). Experience with airborne imaging spectrometers of comparable specifications suggests that these satellite instruments should be able to observe methane 85 86 plumes from moderate to large sources. The Airborne Visible/Infrared Imaging Spectrometer (AVIRIS-C), with a 10 nm 87 spectral resolution and SNR of 70 (Green et al., 1998), was able together with Hyperion to detect the massive Aliso 88 Canyon methane leak in California (Thompson et al., 2016). The next generation AVIRIS instrument (AVIRIS-NG), with a finer spectral resolution of 5 nm and SNR of 200 (Thorpe et al., 2014), was able to detect a range of methane 89 90 plumes over the Four Corners region of New Mexico including from gas processing facilities, storage tanks, pipeline 91 leaks, well pads, and coal mine venting shafts (Frankenberg et al., 2016). AVIRIS-NG has since been flown over 272000 potential methane emitting point sources in California between 2016 and 2018 (CARB, 2017; Duren et al., 2019). 92

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94 **2** Imaging spectrometer spectra including methane plumes

95 Table 1 presents the next generation of spaceborne imaging spectrometers. These include the Italian Space 96 Agency's PRecursore IperSpettrale della Missione Applicativa (PRISMA; Loizzo et al., 2018), launched March 2019; 97 the German Space Agency's Environmental Mapping and Analysis Program (EnMAP; Guanter et al., 2015), scheduled 98 for launch in 2020; NASA's Earth Surface Mineral Dust Source Investigation (EMIT; Green et al., 2018), scheduled for launch to the International Space Station in 2022; as well as NASA's Surface Biology and Geology (SBG; Hochberg et 99 100 al., 2015) and the European Space Agency's Copernicus Hyperspectral Imaging Mission For The Environment (CHIME; 101 Nieke and Rast, 2018), both of which target launch readiness in the mid-2020s. We will focus our baseline analysis on 102 EnMAP, for which detailed documentation is available (Guanter et al., 2015), and examine other instruments through 103 sensitivity analyses. EnMAP is a push-broom style instrument with 10 nm resolution in the SWIR and an expected 180 104 SNR at 2300 nm. PRISMA has very similar instrument specifications as EnMAP (Loizzo et al., 2018). The EMIT 105 instrument is slated to have a 7-10 nm spectral resolution and 60 m pixel resolution (Green et al., 2018). Other 106 investigations, such as SBG, are called for in the NASA Earth Science and Applications Decadal Survey (National 107 Academies, 2018). The Airbone Methane Plume Spectrometer (AMPS) instrument concept would be tailored specifically 108 for methane detection and have 1 nm SWIR spectral resolution with 30 m pixel resolution (Thorpe et al., 2016).

109 Figure 1 shows simulated transmission spectra in the weak (~1650 nm) and strong (~2300 nm) SWIR methane 110 absorption bands at the spectral resolutions of TROPOMI (0.25 nm full width at half maximum (FWHM)), AVIRIS-NG (5 nm), and EnMAP (10 nm). EnMAP spectra are sampled following the precise wavelength positions given in Guanter 111 112 et al. (2015). The 1650 nm methane band has the advantage of being near a CO2 band, so that joint retrievals of methane 113 and CO2 can be combined with independent knowledge of the CO2 column mixing ratio to remove joint errors in surface 114 reflectivity and atmospheric scattering (the so-called "CO2 proxy" method; Frankenberg et al. 2005a). However, the 1650 115 nm band is much weaker than the 2300 nm band and only the 2v Q-branch can be detected at the EnMAP spectral 116 resolution. Sampling the transmission spectra at the EnMAP spectral resolution yields only 8 data points in the 1650 nm band as compared to 25 in the 2300 nm band. The 2300 nm band also exhibits more resolved structure. Our early attempts 117 to use the CO2 proxy method in the 1650 nm band with EnMAP synthetic spectra were unsuccessful. In what follows we 118 119 focus on the 2300 nm band as sampled in the useful 2210 - 2410 nm range.

We examined the sensitivity of EnMAP to atmospheric methane by generating synthetic top of atmosphere (TOA) EnMAP scenes with added methane plumes over a variety of surface types. We used for this purpose the EnMAP End-to-End Simulation Tool (EeteS; Segl, 2012), developed to generate EnMAP TOA solar backscattered spectra with expected instrument error included. EeteS takes surface information from another imaging instrument (e.g., SPOT-5), and passes the image through spatial, atmospheric, spectral, and radiometric modules to generate EnMAP spectra. The atmospheric module is based on the MODTRAN5 radiative transfer code (Berk et al., 2006). It assumes a horizontally invariant 1800 ppb  $X_{CH4}$  and here we add methane plumes simulated with the Weather and Research Forecasting Model Large Eddy Simulation (WRF-LES) at 30 × 30 m<sup>2</sup> resolution (Varon et al., 2018a).

Figure 2 shows a simulated red-blue-green (RGB) EeteS image over Berlin. We consider four scenes within this 128 129 domain to add WRF-LES methane plumes and perform subsequent retrievals. The scenes are labelled as Grass, Dark 130 (water), Bright, and Urban. They have mean SWIR surface reflectances of 0.09, 0.02, 0.30, and 0.13, respectively. The 131 urban scene is highly heterogeneous. The WRF-LES simulation is conducted with 30 × 30 m<sup>2</sup> resolution (the EnMAP pixel resolution), 100 W m<sup>-2</sup> sensible heat flux (moderately unstable meteorological conditions), and a mean wind speed 132 133 of 3.5 m s<sup>-1</sup>. We generate an ensemble of 15 instantaneous plumes by sampling the WRF-LES simulation at five time slices and for three source rates of 100, 500, and 900 kg h<sup>-1</sup>. This range is typical of large (but not unusually large) 134 135 individual point sources (Jacob et al., 2016).

136 We compute the optical depth of the methane plume  $\tau(\lambda)$  at wavelength  $\lambda$  by multiplying HITRAN absorption 137 cross sections ( $\sigma_{H}$ ; Kochanov et al., 2016) by the methane volume mixing ratio enhancement ( $\Delta$ VMR) and vertical 138 column density of dry air (VCD) in the 72-layered atmosphere of the MERRA-2 meteorological reanalysis (Gelaro et al., 139 2017):

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$$\tau(\lambda) = \sum_{i=1}^{72} \Delta \text{VMR}_i \text{VCD}_i \sigma_{H,i}(\lambda). \quad (1)$$

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142	Following Beer's law, the plume transmission $I(\lambda)$ is the negative exponential of $\tau(\lambda)$ weighted by the geometric airmass
143	factor A (AMF) for the backscattered solar radiation:
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145	$T(\lambda) = \exp\{-A\tau(\lambda)\}.$ (2)

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147 Each pixel's EeteS radiance spectrum is multiplied by this additional plume transmission. <u>We do not add noise or aerosol</u>

- 148 effects to the plume transmission spectra because the EeteS scene already accounts for those in the computation of back-
- 149 scattered radiances, so that multiplying by the additional plume transmission already factors in the corresponding noise.

150 Figure 3 shows an example WRF-LES plume (500 kg h<sup>-1</sup> source rate) superimposed over the Grass and Urban scenes.

**Moved down [8]:** We do not add noise or aerosol effects to the plume transmission spectra because the Eetes Scene already accounts for those in the computation of back-scattered radiances, so that multiplying by the additional plume transmission already factors in the corresponding noise.

Moved (insertion) [8]

We extended our analysis to other new-generation imaging spectrometers of Table 1 by adapting the EnMAP scenes to different spectral resolutions and SNRs. For this purpose, we interpolated EeteS surface radiance spectra to the desired spectral resolution assuming no instrument noise. We then multiplied these radiance spectra by the transmission spectra from the U.S Standard Atmosphere (Kneizys et al., 1996) with WRF-LES methane plumes added. The SNR values in Table 1 are for a specific reference solar zenith angle (30°) and reflectivity (0.3), but the EeteS radiometric module produces different noise estimates over different surfaces. Here we took the ratios of SNR values relative to EnMAP from Table 1 and applied these ratios to the EeteS noise fields.

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 To test our EnMAP retrievals with actual observations, we also downsampled AVIRIS-NG images taken from

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 aircraft over California (CARB, 2017) to match EnMAP spatial resolution, and further convolved these spectra with the

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 appropriate Gaussian filter to match EnMAP spectral resolution and wavelength positions (Guanter et al., 2015). AVIRIS 

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 NG flew at 3-4 km above the ground, so we simulated additional extinction at higher altitudes based on the U.S Standard

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 Atmosphere. We compared the retrieved methane from AVIRIS-NG and the synthetic EnMAP to determine the ability

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 of EnMAP to detect and quantify the methane point sources identified by AVIRIS-NG.

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#### 170 3 Methane retrieval

We retrieved methane from the synthetic imaging spectrometer spectra by adapting the Iterative Maximum A Posteriori - Differential Optical Absorption Spectroscopy (IMAP-DOAS) algorithm developed for AVIRIS (Frankenberg et al., 2005b; Thorpe et al., 2017; Ayasse et al., 2018). DOAS retrievals isolate higher frequency features resulting from gas absorption from lower frequency features that include surface reflectance as well as Rayleigh and Mie scattering (Bovensmann et al., 2011). A polynomial term accounts for the low frequency features (Thorpe et al., 2017).

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#### 177 3.1 State vector

In addition to methane (CH<sub>4</sub>), the retrieval must account for variable absorption by water vapor (H<sub>2</sub>O) and nitrous oxide (N<sub>2</sub>O) over the 2210-2400 nm spectral region. We parameterize low frequency spectroscopic features as a sum of Legendre polynomials of order k = [0, K] with coefficients  $a_k$ . The state vector (**x**) optimized through the retrieval is composed of the following elements:

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## $\mathbf{x} = (s_{CH4}, s_{H20}, s_{N20}, a_0, \dots, a_K)$

6

183 where s is a scaling factor applied to the column mixing ratio of each gas from the U.S Standard Atmosphere. <u>we also</u>

184 correct for uncertainty in the instrument's wavelength calibration with a spectral shift parameter (Thorpe et al., 2017;

**Moved down [11]:** To test our EnMAP retrievals with actual observations, we also downsampled AVIRIS-NG images taken from aircraft over California (CARB, 2017) to match EnMAP spatial resolution, and further convolved these spectra with the appropriate Gaussian filter to match EnMAP spectral resolution and wavelength positions (Guanter et al., 2015).

Moved (insertion) [11]

**Moved down [5]:** We also correct for uncertainty in the instrument's wavelength calibration with a spectral shift parameter (Thorpe et al., 2017; Frankenberg et al., 2005).

Moved (insertion) [5]

Frankenberg et al., 2005). EnMAP has strict requirements of 1 nm spectral calibration accuracy and 0.5 nm spectral stability in the SWIR. Pre-flight calibration campaigns as well as onboard calibration means will be used to ensure the compliance with those requirements (Guanter et al., 2015). We do not include aerosols in the retrieval as they play little role at the relevant spatial and spectral resolution (Ayasse et al., 2018). Methane point sources generally do not co-emit aerosols.

199

200 *3.2 Optimal estimation* 

201 To retrieve the state vector from the EeteS TOA radiances, we use a forward model similar to previous IMAP-202 DOAS algorithms (Thorpe et al., 2017, Ayasse et al., 2018), with a modification to the polynomial term for surface 203 reflectance:

 $F^{h}(\mathbf{x}) = I_{0}(\lambda) \exp\left(-A \sum_{n=1}^{3} s_{n} \sum_{l=1}^{72} \tau_{n,l}\right) \sum_{k=0}^{K} a_{k} P_{k}(\lambda) \quad (3)$ 

205 Here  $F^h$  is the high-resolution backscattered TOA radiance at wavelength  $\lambda$ ,  $I_0$  is the incident TOA solar intensity,  $\tau_{n,l}$  is the default optical depth from the U.S Standard Atmosphere for trace gas element n = [1,3] of the state vector at vertical 206 level l = [1,72],  $s_n$  is the scaling factor to that default optical depth optimized in the retrieval,  $P_k$  is the  $k^{th}$  Legendre 207 208 polynomial, and the  $a_k$  are coefficients optimized in the retrieval. The optical depth  $\tau_{n,l}$  is computed in the same fashion 209 as Equation 1, using information from the MERRA-2 reanalysis and HITRAN absorption cross sections. For satellite 210 retrievals, the AMF is a scalar describing the optical path through the atmosphere. In Section 4.3, we apply the IMAP-211 DOAS algorithm to airborne AVIRIS-NG scenes and use a vector-valued AMF that depends on the height of the aircraft. 212 Previous IMAP-DOAS algorithms used a simple polynomial approximation for the surface reflectance, but here 213 we use Legendre polynomials to exploit their orthogonality. Orthogonal polynomials can potentially constrain surface 214 reflectance with fewer terms, leading to better conditioning of the inverse solution. We find that K = 4 provides sufficient 215 spectral resolution whereas previous applications using simple polynomials required K = 6 (Ayasse et al., 2018). 216 We compute the TOA backscattered radiances  $F^{h}(\mathbf{x})$  over the 2210-2410 nm spectral range at 0.02 nm 217 resolution, and assemble these in a vector  $\mathbf{F}^{h}(\mathbf{x})$  representing the high-resolution spectrum as simulated by the forward 218 model for a given x. We convolve this spectrum with the instrument FWHM and then sample at the known wavelength positions. For example, for EnMAP, we convolve  $\mathbf{F}^{h}(\mathbf{x})$  with a 10 nm FWHM and sample the resulting spectra at 219 220 EnMAP's 10 nm intervals to get the low-resolution F(x), Since the convolution operator is not linear (Frankenberg et al

Moved down [12]: Orthogonal polynomials can potentially constrain surface reflectance with fewer terms, leading to better

conditioning of the inverse solution. Moved (insertion) [12]

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ļ	(Frankenberg et al., 2005)
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228	2005), we also explored performing separate convolutions on the high resolution transmission and polynomial terms in		
229	Equation 3, and then multiplying them together to get $F(x)$ . We found little difference in the results between methods.		
230	Observed backscattered TOA radiances $(\mathbf{y})$ can be represented as		
231	$\mathbf{y} = \mathbf{F}(\mathbf{x}) + \mathbf{\epsilon}  (4)$		
232	where the observational error $\pmb{\varepsilon}$ is the sum of instrument and forward model errors. As is commonly done for satellite		
233	retrievals, we assume that the forward model error is small compared to the instrument error characterized by the SNR.		
234	The forward model is non-linear so that the solution must be obtained iteratively. A Jacobian matrix is calculated for		
235	each iteration <i>i</i> of the state vector		
236	$\mathbf{K}_{i} = \left. \frac{\partial \mathbf{F}}{\partial \mathbf{x}} \right _{\mathbf{x} = \mathbf{x}_{i}} \tag{5}$		
237	and we employ a Gauss-Newton iteration to solve iteratively for the optimal state vector (Rodgers, 2000):		
238	$\mathbf{x}_{i+1} = \mathbf{x}_{\mathbf{A}} + (\mathbf{K}_{i}^{T} \mathbf{S}_{0}^{-1} \mathbf{K}_{i} + \mathbf{S}_{\mathbf{A}}^{-1})^{-1} \mathbf{K}_{i}^{T} \mathbf{S}_{0}^{-1} [y - \mathbf{F}(\mathbf{x}_{i}) + \mathbf{K}_{i} (\mathbf{x}_{i} - \mathbf{x}_{\mathbf{A}})] $ (6)		
239	Here $S_0 = [\epsilon \epsilon^T]$ is the observation error covariance matrix defined by the instrument SNR, $x_A$ is the prior estimate of the		
240	state vector, and $S_A$ is the prior error covariance matrix. We set a weak prior error variance for methane, $S_A[1,1] =$		<b>Moved down [9]:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ (unitless)
210	r ····································		AL, J SCH4
241	$\sigma_{SCH4}^2 = 5 \underline{\text{(unitless)}}$	(	Moved (insertion) [9]
			Moved (insertion) [9] Deleted: $S_A[1,1] = \sigma_{S_{CH4}}^2 = 5$
241	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$		Moved (insertion) [9]
241 242	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243	$\sigma_{SCH4}^{2} = 5_{\underline{\text{(unitless)}}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_{i}^{\mathrm{T}}\mathbf{S}_{0}^{-1}\mathbf{K}_{i} + \mathbf{S}_{A}^{-1}\right)^{-1} $ (7)		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}}  gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern$		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}}  gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern$		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245 246	$\sigma_{SCH4}^2 = 5_{\underline{\text{(unitless)}}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}}  gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245 246 247	$\sigma_{s_{CH4}}^2 = 5_{\underline{\text{(unitless)}}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}} \text{ gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$ $3.3 \text{ Inferring point source rates from methane plume observations}$		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245 246 247 248	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}} \text{ gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$ $3.3 \text{ Inferring point source rates from methane plume observations}$ The plume observations can be related to the corresponding source rates by computing the integrated mass		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245 246 247 248 249	$\sigma_{s_{CH4}}^2 = 5_{\underline{(unitless)}}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}} \text{ gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$ $3.3 \text{ Inferring point source rates from methane plume observations}$ The plume observations can be related to the corresponding source rates by computing the integrated mass enhancements (IME) within the plume mask (Frankenberg et al., 2016; Varon et al., 2018a). Following Varon et al.		<b>Moved (insertion) [9]</b> <b>Deleted:</b> $S_{A}[1,1] = \sigma_{S_{CH4}}^2 = 5$ <b>Deleted:</b> , to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the
241 242 243 244 245 246 247 248 249 250	$\sigma_{s_{CH4}}^2 = 5_{(unitless)}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{\mathrm{T}} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}} \text{ gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$ $3.3 \text{ Inferring point source rates from methane plume observations}$ The plume observations can be related to the corresponding source rates by computing the integrated mass enhancements (IME) within the plume mask (Frankenberg et al., 2016; Varon et al., 2018a). Following Varon et al. (2018a), we define the plume for the retrieved scenes with a plume mask that applies median and Gaussian filters to		Moved (insertion) [9]         Deleted: $S_A[1,1] = \sigma_{k_{CH4}}^2 = 5$ Deleted: to accommodate large plume enhancements. The prior $X_{CH4}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the posterior error covariance matrix ( $\hat{S}$ ) as part of the solution:         Moved down [6]: These filters help to remove spurious signals surrounding a plume and determine the spatial extent of the plume, which is needed for subsequent calculations.
241 242 243 244 245 246 247 248 249 250 251	$\sigma_{s_{CH4}}^2 = 5 \text{ (unitless)}$ $\hat{\mathbf{S}} = \left(\mathbf{K}_i^{T} \mathbf{S}_0^{-1} \mathbf{K}_i + \mathbf{S}_A^{-1}\right)^{-1}  (7)$ $\hat{\mathbf{S}} \text{ gives information on the error correlation between retrieved methane and surface reflectivity, which is a major concern for methane retrievals (Butz et al., 2012).$ $3.3 \text{ Inferring point source rates from methane plume observations}$ The plume observations can be related to the corresponding source rates by computing the integrated mass enhancements (IME) within the plume mask (Frankenberg et al., 2016; Varon et al., 2018a). Following Varon et al. (2018a), we define the plume for the retrieved scenes with a plume mask that applies median and Gaussian filters to pixels above the 80 <sup>th</sup> percentile of $X_{CH4}$ within the scene. <u>These filters help to remove spurious signals surrounding a</u>		Moved (insertion) [9]         Deleted: $S_A[1,1] = \sigma_{h_{CHA}}^2 = 5$ Deleted: , to accommodate large plume enhancements. The prior $X_{CHA}$ estimate is 1800 ppb. The iterative analytical solution to the inverse problem as described by equation (6) also provides the posterior error covariance matrix ( $\hat{S}$ ) as part of the solution:         Moved down [6]: These filters help to remove spurious signals surrounding a plume and determine the spatial extent of the plume,

where  $\Delta\Omega_i$  is the plume mass enhancement in pixel *i* relative to background (kg m<sup>-2</sup>),  $\Lambda_i$  is the corresponding area of the pixel, and the summation is over the *N* pixels within the plume mask. Here, we define the background as the median  $X_{CH4}$ within the scene. The point source rate *Q* is then inferred from the IME as (Varon et al., 2018a)

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$$Q = \frac{U_{eff}}{L} \text{ IME (9)}$$

where  $L = \sqrt{\sum_{i=1}^{N} \Lambda_i}$  is a characteristic plume size and  $U_{eff}$  is an effective wind speed describing the rate of turbulent dissipation of the plume ( $L/U_{eff}$  is the lifetime of the plume against turbulent dissipation to below the detection limit). Varon et al. (2018a) relate  $U_{eff}$  to the 10-m wind speed ( $U_{10}$ ) by fitting to WRF-LES simulations. Here we use their relationship derived for the GHGSat instrument with 50 m pixel resolution and 5% precision:

272  $U_{eff} = 1.1 \log U_{10} + 0.6 (10)$ 

where  $U_{eff}$  and  $U_{10}$  are in units of [m s<sup>-1</sup>]. The  $U_{eff}$ - $U_{10}$  relationship should depend on the instrument pixel resolution and precision, and on the plume masking procedure, which would require customized WRF-LES simulations and fitting. Here we simply apply equation (10) to the AVIRIS-NG and EnMAP plumes without further modification. In Section 4.3, we do not a priori know the wind speed, and obtain  $U_{10}$  from the HRRR-Reanalysis at 3-km hourly resolution (https://rapidrefresh.noaa.gov/).

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#### 280 4. Results and Discussion

281 4.1 EnMAP plume retrievals over different surfaces

Figure 3 shows examples of the IMAP-DOAS retrievals of 500 kg h<sup>-1</sup> and 900 kg h<sup>-1</sup> WRF-LES plumes over the Grass and Urban scenes. The 500 kg h<sup>-1</sup> plume is clearly defined in the Grass scene near the emission source. It is also detectable in the Urban scene but obscured by retrieval artifacts, as some of the variability in surface reflectivity is erroneously retrieved as methane variability. The 900 kg h<sup>-1</sup> plume is better captured over both surfaces, though major retrieval artifacts remain in the Urban scene.

Varon et al. (2018a) previously estimated the theoretical ability of a satellite instrument to quantify source rates from point sources as a function of instrument precision, assuming a uniform surface reflectance. They concluded that an instrument with 1-5% precision for  $X_{CH4}$  would be able to quantify point sources with an error of 70-170 kg h<sup>-1</sup>. <u>Here</u> <u>we characterize the EnMAP instrument precision as the relative residual standard deviation (RRSD) between the true</u> and retrieved column methane concentrations for individual 30 × 30 m<sup>2</sup> pixels in the scenes of Figure 2 including the

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<b>Moved down [2]:</b> The 900 kg h <sup>-1</sup> plume is better captured over
both surfaces, though major retrieval artifacts remain in the Urban
scene.

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**Moved down [10]:** Here we characterize the EnMAP instrument precision as the relative residual standard deviation (RRSD) between the true and retrieved column methane concentrations for individual  $30 \times 30$  m<sup>2</sup> pixels in the scenes of Figure 2 including the WRF-LES plumes. Figure 4 summarizes the results for the four scenes of Figure 2. We find precisions of  $3.5 \pm 0.07\%$  for Grass,  $7.2 \pm 0.1\%$  for Urban, and  $2.6 \pm 0.08\%$  for Bright scenes.

Moved (insertion) [10]

WRF-LES plumes. Figure 4 summarizes the results for the four scenes of Figure 2. We find precisions of  $3.5 \pm 0.07\%$ for Grass,  $7.2 \pm 0.1\%$  for Urban, and  $2.6 \pm 0.08\%$  for Bright scenes. The standard deviations refer to the RRSDs computed for the 15 different realizations of the WRF-LES plumes and for the 3 source rates of 100, 500, and 900 kg h<sup>-1</sup>. The Dark scene was consistently unsuccessful, with error of at least 100% for each realization, and we do not discuss it further. The Bright scene performs the best because of the large backscattered photon flux. The Urban scene performs worse than the Grass scene, even though its average SWIR surface reflectance is larger, due to the larger variability in reflectance over the scene including dark pixels.

309 We examined the ability of the retrievals to quantify methane point source rates on the basis of the detected 310 plumes, by applying the IME algorithm of Section 3.3 to the same ensemble of 5 WRF-LES plume realizations for each 311 of the three different surfaces and for true source rates 100, 500, and 900 kg h<sup>-1</sup>. Results are summarized in Table 2. We 312 find that it is possible to quantify source rates as low as 100 kg h<sup>-1</sup> for the Bright scene, and as low as 500 kg h<sup>-1</sup> for the 313 Grass scene, though the true source rates are underestimated by up to a factor of 2. There could be several factors behind 314 this underestimate including (1) error correlation with surface reflectivity in the EnMAP retrieval that would cause some 315 loss of the plume, and (2) use of the Varon et al. (2018a) Urg -U10 relationship in equation (10) without customization for 316 the EnMAP conditions. As pointed out by Varon et al. (2018a), the  $U_{eff}$ - $U_{10}$  relationship should be customized to the 317 plume mask definition and to the instrument pixel resolution and precision. This would require an ensemble of WRF-318 LES simulations specific to the EnMAP conditions and to the plume mask used here. The inability to quantify the 100 319 kg h-1 plume over the Grass scene is properly diagnosed in our retrieval by the failure of the plume mask to detect a 320 plume. However, the surface artifacts in the Urban scene lead to spurious retrievals of source rates as the surface features 321 are mistakenly attributed to plumes. This is due to the error correlation between X<sub>CH4</sub> and surface reflectivity (explained 322 in greater detail in Section 4.2) and can be diagnosed by inspection of the off-diagonal terms of  $\hat{\mathbf{S}}$  (Equation 7).

quantify methane point source rates on the basis of the detected plumes, by applying the IME algorithm of Section 3.3 to the same ensemble of 5 WRF-LES plume realizations for each of the three different surfaces and for true source rates 100, 500, and 900 kg h<sup>-1</sup>. Results are summarized in Table 2 We find that it is possible to quantify source rates as low as 100 kg h-1 for the Bright scene, and as low as 500 kg h<sup>-1</sup> for the Grass scene, though the true source rates are underestimated by up to a factor of 2. There could be several factors behind this underestimate including (1) error correlation with surface reflectivity in the EnMAP retrieval that would cause some loss of the plume, and (2) use of the Varon et al. (2018a)  $U_{eff}$ - $U_{10}$  relationship in equation (10) without customization for the EnMAP conditions. As pointed out by Varon et al. (2018a), the  $U_{eff}$ - $U_{10}$  relationship should eventually be customized to the plume mask definition and to the instrument pixel resolution and precision. This would require an ensemble of WRF-LES simulations specific to the EnMAP conditions and to the plume mask used here. The inability to quantify the 100 kg h<sup>-1</sup> plume over the Grass scene is properly diagnosed in our retrieval by the failure of the plume mask to detect a plume. However, the surface artifacts in the Urban scene lead to spurious retrievals of source rates as the surface features are mistakenly attributed to plumes. This is due to the error correlation between X<sub>CH4</sub> and surface reflectivity (explained in greater detail in Section 4.2) and can be diagnosed by inspection of the off-diagonal terms of **\$** (Equation 7).

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324 4.2 Sensitivity to instrument spectral resolution and SNR

We examine the potential of future imaging spectrometers with improved spectral resolution and SNR relative to EnMAP (Table 1) to achieve improved retrievals of point sources. Figure 5 shows the change in the methane retrieval precision as we vary the spectral resolution from 10 to 1 nm and the mean scene-wide SNR from 100 to 500. Specifications of the instruments in Table 1 are identified on the plot. Precision improves as spectral resolution and SNR increase, as expected. The dependencies are not linear, and the contours are concave, meaning that precision is more effectively improved by increasing spectral resolution by a certain factor than by increasing SNR by the same factor. Increasing the spectral resolution improves precision through multiple independent factors: by increasing the number of independent measurements across the useful spectral window; by increasing the effective squared depth of the sharpest methane absorptions, for improved spectral contrast relative to the continuum; and by better resolution of the unique methane absorption shape, which improves discrimination against potential surface confusers.

We saw in Figure 3 that the inability to decouple surface and methane features at low spectral resolution was a 362 363 major source of error over inhomogeneous surfaces such as the Urban scene. This is manifested in the retrieval by an error correlation between state vector elements s<sub>CH4</sub> (scaling factor for methane column mixing ratios) and a<sub>k</sub> (coefficients 364 for the surface reflectivity described by Legendre polynomials). This error correlation is described by the posterior error 365 366 covariance matrix  $\hat{\mathbf{S}}$  obtained as part of the retrieval (Equation 7). The bivariate probability density between retrieved 367  $X_{CH4}$  and the mean SWIR surface reflectivity can be obtained by summing the error covariances of the Legendre 368 polynomial terms. We find in this manner that the error correlation between  $X_{CH4}$  and the mean SWIR surface reflectivity for the Urban scene decreases between EnMAP (r = -0.33) and AMPS (r = -0.19). This is driven by the increase in 369 370 spectral resolution from 10 nm to 1 nm. We further find that simply increasing the SNR to 300 (as recommended for 371 SBG) while keeping spectral resolution constant does not improve the error correlation.

372 A related benefit of decoupling X<sub>CH4</sub> from the surface reflectance in the retrieval is to improve the capability for 373 plume pattern recognition, which is necessary to convert observed plume methane enhancements into source rates. Figure 374 6 illustrates this for the Grass and Urban scenes of Figure 3 including the plume from the 500 kg h<sup>-1</sup> point source. 375 Retrievals are performed with the specifications of the EnMAP instrument (10 nm spectral resolution, SNR 180), SBG (10 nm, 300), and AMPS (1 nm, 400). For the Grass scene we find that all three instruments can discern the plume pattern 376 377 near the emission source and separate it from surface features. SBG and AMPS capture larger plume domains because 378 of their higher precisions (Figure 5), which would improve the inference of the source rates. For the Urban scene, EnMAP 379 plume detection is swamped by surface artifacts. Simply increasing the SNR as in the SBG instrument does not improve the situation. Increasing the spectral resolution to 1 nm as in the AMPS instrument enables detection of the plume though 380 381 quantification is still compromised by surface artifacts.

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#### 383 4.3 Evaluation with AVIRIS-NG observations

To test the EnMAP retrieval capability with actual observations, we downsampled AVIRIS-NG airborne spectra taken over California methane emitting facilities (CARB, 2017). We chose three scenes observed by AVIRIS-NG on different days over oil and gas facilities. Figure 7 shows the RGB images, the AVIRIS-NG plume retrievals performed by applying the method of Section 3 with a variable AMF, and the downsampled EnMAP retrievals. Plume masks were applied as described in Section 3.3 and shown in Figure 6. At the altitudes used for the California survey, AVIRIS-NG has  $3\times3$  m<sup>2</sup> pixel resolution and hence features much sharper methane enhancements than EnMAP (note the different scales for the middle and right panels). Nevertheless, we see from Figure 7 that EnMAP is able to detect the same plumes as AVIRIS-NG (two plumes in the bottom panels). This is facilitated by the brightness of the surfaces. The surface reflectivities retrieved simultaneously with the methane enhancements in our IMAP-DOAS algorithm are 0.39-0.49, brighter than the Bright EeteS scene in Section 4.1.

Figure 7 shows the source rates inferred from the AVIRIS-NG and EnMAP retrievals for each point source. The AVIRIS-NG source rates are a factor of 1.2-3.0 greater (average 1.9) than the EnMAP source rates. <u>The EnMAP</u> underestimate is consistent with the results in Table 2 and may reflect the same sources of bias, in part correctable through an improved  $U_{10}$ - $U_{eff}$  relationship. The results confirm that EnMAP should be able to detect plumes and estimate source rates down to ~100 kg h<sup>-1</sup> when the scene is sufficiently bright.

**Moved down [3]:** The EnMAP underestimate is consistent with the results in Table 2 and may reflect the same sources of bias, in part correctable through an improved  $U_{10}-U_{eff}$  relationship. The results confirm that EnMAP should be able to detect plumes and estimate source rates down to ~100 kg h<sup>-1</sup> when the scene is sufficiently bright.

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## 400 5 Conclusions

We examined the potential of next-generation spaceborne imaging spectrometers (EnMAP, PRISMA, EMIT, SBG, CHIME) for observing atmospheric methane plumes from point sources and inferring the corresponding source rates. These instruments have launch dates of 2019-2025 and focus on observing the Earth surface with fine pixel resolution  $(30 \times 30 \text{ m}^2)$ , including observing channels at 2200-2400 nm with 7-10 nm spectral resolution that could also be used to retrieve methane plumes. This would achieve much finer spatial resolution than the standard satellite instruments designed to measure atmospheric methane and would provide a unique resource for global mapping of individual methane point sources.

We focused our baseline analysis on EnMAP (spectral resolution 10 nm, SNR 180, 2020 launch date) as its specifications are well documented (Guanter et al, 2015). We created synthetic spectra using the EnMAP End-to-End Simulation Tool (EeteS) to simulate various surface scenes (Grass, Urban, Bright) with instrument errors and with superimposed methane plumes generated by a WRF Large Eddy Simulation (LES). We then retrieved these scenes for atmospheric methane together with surface reflectivities (fitted with Legendre polynomials) using the Iterative Maximum A Posteriori - Differential Optical Absorption Spectroscopy (IMAP-DOAS) approach. The resulting precisions for methane are 3.5% for the Grass scene, 7.2% for Urban, and 2.6% for Bright. A 500 kg h<sup>-1</sup> methane plume (typical of very 421 large point sources) is readily detected over the relatively homogeneous Grass surface. The highly heterogeneous Urban

422 surface is much more challenging because of retrieval artifacts.

423 The limitation of EnMAP in detecting methane plumes over heterogeneous surfaces is caused by error 424 correlation between methane and surface reflectivity in the retrieval. We examined how precision and error correlation 425 could be improved by increasing spectral resolution and SNR. We find that increasing spectral resolution reduces the 426 error correlation more efficiently than increasing SNR by enabling separation of fine spectral structure (methane) from coarse spectral structure (surface). The Atmospheric Methane Plume Spectrometer (AMPS) instrument concept, which 427 bridges the gap between imaging spectrometers and spaceborne methane sensors (1 nm spectral resolution, SNR 400), 428 can greatly decrease surface artifacts and detect a 500 kg h<sup>-1</sup> plume even over the heterogeneous Urban surface. 429 430 Alternative retrieval parameterizations might also improve separation of methane and surface reflectivity features. (Thompson et al., 2018; Ong et al., 2019). 431

We tested the EnMAP capability with actual observations by downsampling AVIRIS-NG images taken from aircraft (3 × 3 m<sup>2</sup> pixels, 5 nm spectral resolution, SNR 200) over California methane emitting facilities (CARB, 2017). We showed that these EnMAP-like images are able to detect actual plumes of magnitude ~100 kg h<sup>-1</sup> over relatively bright surfaces. Source rates inferred from the plumes with a generic Integrated Mass Enhancement (IME) method are a factor of 1.2 to 3 lower for EnMAP than for AVIRIS-NG, which could be due in part to unaccounted dependence of the IME method on instrument pixel size and precision. This should be improved in further work by customizing the IME method to the EnMAP specifications.

In summary, our analysis shows that future spaceborne imaging spectrometers designed to map land surfaces in the SWIR also have potential for detecting methane plumes from point sources and quantifying source rates. The detection capability of 100-500 kg h<sup>-1</sup> over relatively bright and homogeneous land surfaces would allow accounting for a wide range of point sources. The fine spatial resolution of these instruments should make them a unique resource to contribute to tiered observing systems for greenhouse gases (Duren and Miller, 2012).

444

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Moved (insertion) [4]

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Table 1. Shortwave infrared (SWIR) remote sensors for observing methane point sources

Instrument	Pixel size (km <sup>2</sup> )	SWIR spectral range (nm) <sup>a</sup>	Spectral resolution (nm) <sup>b</sup>	Signal-to- noise ratio (SNR) <sup>c</sup>	Observing record
Aircraft					
AVIRIS-NG <sup>d</sup>	$0.003 \times 0.003$	1600-1700; 2200-2510	5.0	200-400 <sup>e</sup>	Campaigns
Satellite					
Atmospheric sensors					
SCIAMACHY <sup>f</sup>	$30 \times 60$	1630–1670	1.4	1500	2002-2012
<b>GOSAT</b> <sup>g</sup>	$10 \times 10$	1630–1700	0.06	300	2009-
GHGSat <sup>h</sup>	$0.05 \times 0.05$	1600–1700	$0.3 - 0.7^{i}$	N/A <sup>j</sup>	2016-
TROPOMI <sup>k</sup>	$7 \times 7$	2305–2385	0.25	100	2017-
AMPS <sup>1</sup>	0.03  imes 0.03	1990–2420	1.0	200-400	Concept
Imaging spectrometers					
PRISMA <sup>m</sup>	0.03  imes 0.03	1600-1700; 2200-2500	10	180	2019-
EnMAP <sup>n</sup>	0.03  imes 0.03	1600-1700; 2200-2450	10	180	2020-
EMIT <sup>o</sup>	$0.06 \times 0.06$	1600-1700; 2200–2510	7–10	200-300	2022-
$\mathrm{SBG}^{\mathrm{p}}$	$0.03 \times 0.03$	1600-1700; 2200–2510	7–10	200-300	2025-
CHIME <sup>q</sup>	0.03 × 0.03	1600-1700; 2200–2510	<10	In preparation	2025-

<sup>a</sup>Methane has absorption bands around 1650 and 2300 nm (Figure 1).

5 <sup>b</sup>Spectral resolution is represented by the full-width at half-maximum (FWHM).

<sup>c</sup>For SCIAMACHY and GOSAT, SNR is for the CO<sub>2</sub> band used in the CO<sub>2</sub>-proxy method retrieval. For other instruments, SNR is at 2300 nm. SNR estimates are for a reference 30° solar zenith angle and 0.3 surface reflectivity with clear sky.
<sup>d</sup>Airborne Visible/Infrared Imaging Spectrometer – Next Generation (Thorpe et al., 2017). AVIRIS-NG provides roughly a ground sampling distance (GSD) of 1 m per km altitude. The Frankenberg et al. (2016) and Duren et al. (2019) campaigns operated at 3-4 km altitude.

<sup>e</sup>Along-track oversampling increases SNR by  $\sqrt{N}$  where N = number of along-track frames. AVIRIS-NG typically has N > 4 so AVIRIS-NG effective SNR at 2300 nm can be as much as 400.

<sup>f</sup>SCanning Imaging Absorption SpectroMeter for Atmospheric CHartographY (Frankenberg et al., 2006) <sup>g</sup>TANSO-FTS instrument aboard the Greenhouse gases Observing SATellite (Kuze et al., 2016). Pixels are circles of 10 km diameter separated by about 250 km along track and cross-track. <sup>h</sup>GreenHouse Gases Satellite (McKeever et al., 2017).

<sup>5</sup> <sup>i</sup>GHGSat SNR is not comparable to other missions due to difference in instrument concept.
 <sup>j</sup>Spectral resolution differs on the demonstration instrument GHGSat-D vs. upcoming missions GHGSat-C1,C2.
 <sup>k</sup>TROPOspheric Monitoring Instrument (Hu et al., 2018)
 <sup>1</sup>Airborne Methane Plume Spectrometer (Thorpe et al., 2016)
 <sup>m</sup>PRecursore IperSpettrale della Missione Applicativa (<u>http://prisma-i.it</u>)
 <sup>n</sup>Environmental Mapping and Analysis Program (Guanter et al., 2015)

<sup>o</sup>Earth Surface Mineral Dust Source Investigation (Green et al., 2018)
 <sup>p</sup>Surface Biology and Geology, previously called HyspIRI (Hochberg et al., 2015)
 <sup>q</sup>Copernicus Hyperspectral Imaging Mission For The Environment (Nieke and Rast, 2018)

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Surface	True source rate	Retrieved source rate
type <sup>a</sup>	(kg h <sup>-1</sup> ) <sup>b</sup>	(kg h <sup>-1</sup> ) <sup>c</sup> ,
Grass	100	No plume detected
Grass	500	$279\pm101$
Grass	900	$542 \pm 38$
Urban	100	$1080\pm216$
Urban	500	$964 \pm 198$
Urban	900	$1060 \pm 134$
Bright	100	$93.5\pm18.3$
Bright	500	$338 \pm 83.1$
Bright	900	$577 \pm 115$

Table 2. True and retrieved point source rates from EnMAP scene simulations with WRF-LES methane plumes.

5 CMean and standard deviation of retrieved source rates for five WRF-LES plume realizations.

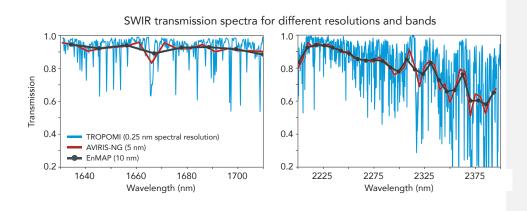
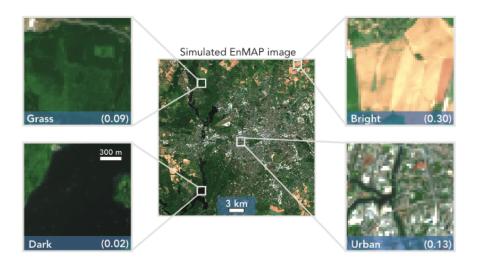


Figure 1. Simulated top of the atmosphere (TOA) transmission spectra for different spectral resolutions (FWHM = full-width at half-maximum) in the 1650 nm (left panel) and 2300 nm (right panel) shortwave infrared (SWIR) bands. High-resolution spectra were simulated for the U.S. Standard Atmosphere with 1800 ppb total column methane using the HITRAN
spectroscopic database and the HITRAN Application Programming Interface (HAPI) tool (Kochanov et al., 2016), and were then sampled with spectral resolutions of 0.25 nm (TROPOMI), 5 nm (AVIRIS-NG), and 10 nm (EnMAP) at the appropriate wavelength positions.



5 Figure 2. RGB image of a synthetic EnMAP scene simulated using the EnMAP End-to-End Simulation Tool (EetsS) over Berlin. Four scenes with 30×30 m<sup>2</sup> pixel resolution are shown (Grass, Dark, Bright, Urban) with average surface reflectances in the SWIR (2210-2410 nm) given in parentheses. These different scenes are used in Section 3 to evaluate the ability of EnMAP to retrieve atmospheric methane plumes.

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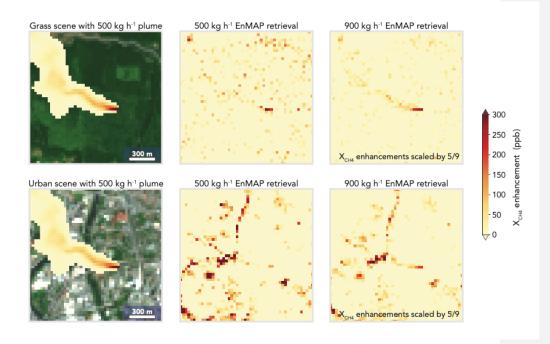


Figure 3. Retrieval of a methane plume over grass (top) and urban (bottom) EnMAP scenes. The plume was generated by WRF-LES at 30x30 m<sup>2</sup> resolution with a source rate of either 500 kg h<sup>-1</sup> or 900 kg h<sup>-1</sup>. The left panels show the dry air column mixing ratio (*X*<sub>CH4</sub>) enhancements relative to the 1800 ppb background for a 500 kg h<sup>-1</sup> methane plume superimposed on the RGB images of Figure 2. The middle panels show the retrieval of those enhancements using the IMAP-DOAS retrieval algorithm applied to the EnMAP instrument specifications. The right panels show the retrieval of the 900 kg h<sup>-1</sup> plume. The *X*<sub>CH4</sub> enhancements in the right panels are scaled by 5/9 to be comparable with the other panels. Negative enhancements are reset to equal the background.

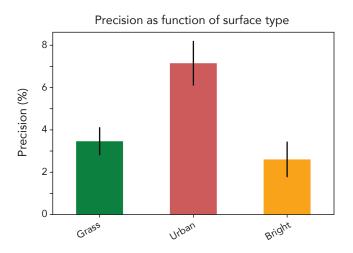


Figure 4. Precision of atmospheric methane retrievals from the EnMAP instrument (Table 1) over the Grass, Urban, and Bright surfaces of Figure 2. Precision is defined as the relative residual standard deviation (RRSD) between the "true" methane columns in synthetic scenes and values obtained from the IMAP-DOAS retrieval applied to the EnMAP top-of-atmosphere
5 (TOA) backscattered radiances. The error bars represent the standard deviation over 15 WRF-LES plume realizations and 3

source magnitudes for the plume (100, 500, 900 kg h<sup>-1</sup>). Precision over the Dark surface in Figure 2 is worse than 100%.

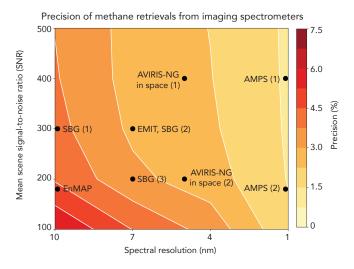
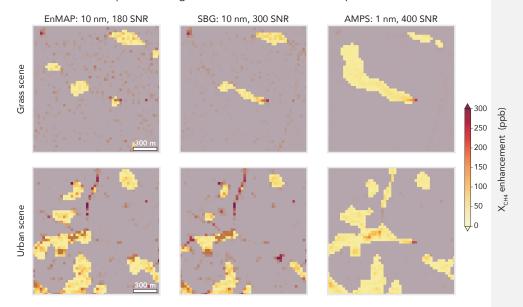


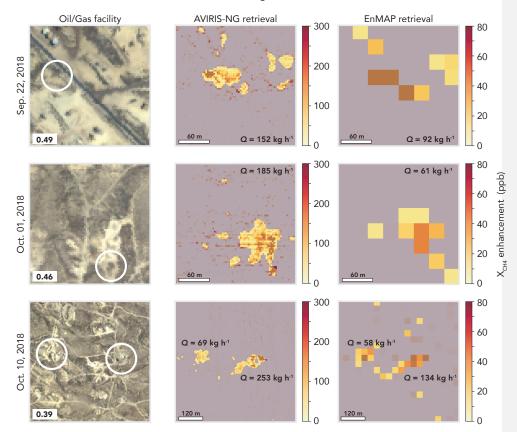
Figure 5. Precision of methane retrievals for spaceborne imaging spectrometers observing in the SWIR (2210-2400 nm), as a function of instrument signal-to-noise (SNR) and full-width half-maximum (FWHM) spectral resolution. The SNR values are
for a reference 30° solar zenith angle and 0.3 surface reflectivity with clear sky, same as in Table 1. Actual SNR for individual pixels may vary, depending in particular on surface reflectivity. Precision is expressed as the relative residual standard deviation (RRSD) of the difference between retrieved and true methane columns over three synthetic scenes of Figure 2 (Grass,

Urban, Bright) including point sources of 100-900 kg h<sup>-1</sup> and for 15 different WRF-LES plume realizations. Black dots show different instrument specifications from Table 1. Specifications for the SBG and AMPS instruments are still at the design stage
and values shown here are for the ranges under consideration. Results given for AVIRIS-NG are for a satellite instrument with 30×30 m<sup>2</sup> pixel resolution but other specifications (spectral resolution, SNR) same as the airborne instrument.



Plume pattern recognition for different instrument specifications

Figure 6. Plume pattern recognition algorithm applied to a point source of 500 kg h<sup>-1</sup> over Grass and Urban scenes as shown in Figure 3. The plume pattern is defined by applying median and Gaussian filters to pixels above the 80<sup>th</sup> percentile of *X*<sub>CH4</sub>
5 in the scene. Areas excluded by the mask are shown in gray. The panels show retrievals from the EnMAP, SBG, and AMPS instruments.



## Methane retrievals over oil/gas facilities in California

Figure 7. Retrieval of atmospheric methane plumes from facilities in the San Joaquin Valley of California imaged by the AVIRIS-NG instrument at 3-4 km altitude (CARB, 2017). The left panels show the RBG images mapped by AVIRIS-NG with the oil/gas facilities of interest circled. Inset in the bottom left corner is the mean retrieved SWIR surface reflectivity for the scene. The middle panels show the IMAP-DOAS retrieval applied to the AVIRIS-NG images with 3×3 m<sup>2</sup> pixel resolution and 5 nm spectral resolution. The right panels show the IMAP-DOAS retrieval applied to spectra that were spatially and

spectrally downsampled to match EnMAP instrument specifications  $(30 \times 30 \text{ m}^2 \text{ pixels}, 10 \text{ nm} \text{ spectral resolution})$ . Note the difference in color scale for the methane enhancements in the AVIRIS-NG and EnMAP retrievals, reflecting the coarser pixel resolution of EnMAP. The plume mask described in the text is overlaid on each. The source rates for each plume obtained from the IME method are inset.