- Determining the Daytime Earth Radiative Flux from National
- Institute of Standards and Technology Advanced Radiometer
- 3 (NISTAR) Measurements
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ABSTRACT

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The National Institute of Standards and Technology Advanced Radiometer (NISTAR) onboard Deep Space Climate Observatory (DSCOVR) provides continuous full disc global broadband irradiance measurements over most of the sunlit side of the Earth. The three active cavity radiometers measures the total radiant energy from the sunlit side of the Earth in shortwave (SW, 0.2-4 μ m), total (0.4-100 μ m), and near-infrared (NIR, 0.7-4 μ m) channels. 10 The Level 1 NISTAR dataset provides the filtered radiances (the ratio between irradiance 11 and solid angle). To determine the daytime top-of-atmosphere (TOA) shortwave and long-12 wave radiative fluxes, the NISTAR measured shortwave radiances must be unfiltered first. 13 An unfiltering algorithm was developed for the NISTAR SW and NIR channels using a spectral radiance data base calculated for typical Earth scenes. The resulting unfiltered NISTAR radiances are then converted to full disk daytime SW and LW flux, by accounting for the anisotropic characteristics of the Earth-reflected and emitted radiances. The anisotropy fac-17 tors are determined using scene identifications determined from multiple low Earth orbit and 18 geostationary satellites and the angular distribution models (ADMs) developed using data 19 collected by the Clouds and the Earth's Radiant Energy System (CERES). Global annual 20 daytime mean SW fluxes from NISTAR are about 6% greater than those from CERES, and 21 both show strong diurnal variations with daily maximum-minimum differences as great as 20 Wm⁻² depending on the conditions of the sunlit portion of the Earth. They are also highly correlated, having correlation coefficients of 0.89, indicating that they both capture the diurnal variation. Global annual daytime mean LW fluxes from NISTAR are 3% greater than those from CERES, but the correlation between them is only about 0.38.

₂₇ 1. Introduction

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lar radiation absorbed and the outgoing longwave radiation (OLR) emitted by the Earth. 29 Satellite observations of Earth Radiation Budget (ERB) provide critical information needed 30 to better understand the driving mechanisms of climate change; the ERB has been moni-31 tored from space since the early satellite missions of the late 1950s and the 1960s (House 32 et al. 1986). Currently, the Clouds and the Earth's Radiant Energy System (CERES) in-33 struments (Wielicki et al. 1996; Loeb et al. 2016) have been providing continuous global 34 top-of-atmosphere (TOA) reflected shortwave radiation and OLR since 2000. CERES data 35 have been crucial to advance our understanding of the Earth's energy balance (e.g., Tren-36 berth et al. 2009; Kato et al. 2011; Loeb et al. 2012; Stephens et al. 2012), aerosol direct 37 radiative effects (e.g., Satheesh and Ramanathan 2000; Zhang et al. 2005; Loeb and Manalo-38 Smith 2005; Su et al. 2013), aerosol-cloud interactions (e.g., Loeb and Schuster 2008; Quaas et al. 2008; Su et al. 2010b), and to evaluate global general circulation models (e.g., Pincus et al. 2008; Su et al. 2010a; Wang and Su 2013; Wild et al. 2013). 41 The Earth's radiative flux data record is augmented by the launch of the Deep Space 42 Climate Observatory (DSCOVR) on February 11, 2015. DSCOVR is designed to continu-43 ously monitor the sunlit side of the Earth, being the first Earth-observing satellite at the Lagrange-1 (L1) point, ~ 1.5 million km from Earth, where it orbits the Sun at the same 45 rate as the Earth (see Figure 1a). DSCOVR is in an elliptical Lissajous orbit around the L1 point and is not positioned exactly on the Earth-sun line, therefore only about $92\sim97\%$ 47 of the sunlit Earth is visible to DSCOVR. As illustrated in Figure 1b, the daytime portion (A_h) is not visible to the DSCOVR. Strictly speaking, the measurements from DSCOVR are not truly 'global' daytime measurements. However, for simplicity we refer to them as global daytime measurements. Onboard DSCOVR, the National Institute of Standards and Technology Advanced Radiometer (NISTAR) provides continuous full disc global broadband irradiance measurements over most of the sunlit side of the Earth (viewing the sunlit side of

The Earth's climate is determined by the amount and distribution of the incoming so-

the Earth as one pixel). Besides NISTAR, DSCOVR also carries the Earth Polychromatic Imaging Camera (EPIC) which provides 2048 by 2048 pixel imagery 10 to 22 times per day in 10 spectral bands from 317 to 780 nm. On June 8, 2015, more than 100 days after launch, DSCOVR started orbiting around the L1 point.

The NISTAR instrument was designed to measure the global daytime shortwave (SW) 58 and longwave (LW) radiative fluxes. The original objective of NISTAR was to monitor the energy from the sunlit side of the Earth continuously, and to understand the effects of weather systems and clouds on the daytime energy. However, one limitation of NISTAR is 61 its relatively low signal-to-noise ratios, which necessitates averaging significant time periods to adequately reduce the instrument noise levels. This constrains the temporal resolution 63 of meaningful results to about 4 hours, thus prevent us from "continuously" monitoring the sunlit side of the Earth. Nevertheless, NISTAR measurements can still be useful for assessing 65 the hourly fluxes produced by combining the observations from multiple low-Earth orbit and 66 geostationary satellites (Doelling et al. 2013) and for model evaluation using the spectral 67 ratio information (Carlson et al. 2019). NISTAR measures an irradiance at the L1 point at 68 a small relative azimuth angle, ϕ_o , which varies from 4° to 15°, as shown in Figure 1a. As 69 such, the radiation it measures comes from the near-backscatter position, which is different 70 from that seen at other satellite positions as indicated in Figure 1a by the varying arrow 71 lengths corresponding to scattering angles, $\Theta_1 - \Theta_3$. Other types of Earth-orbiting satellites view a given spot on the Earth from various scattering angles that vary as a function of local time (e.g., geostationary) or overpass time (e.g., Sun-synchronous). When averaged over the 74 globe, the uncertainties in the anisotropy corrections are mitigated by compensation. That 75 is, any small biases at particular angles are balanced by observations taken at other angles. 76 In contrast, instruments on DSCOVR view every spot on the Earth from a single scattering 77 angle that varies slowly within a small range over the course of the Lissajous orbit. Thus, the 78 correction for anisotropy is critical. The biases in the anisotropy correction for the DSCOVR 79 scattering angle are mitigated and potentially minimized by the wide range of different scene 80

types viewed in a given NISTAR measurement (Su et al. 2018).

Su et al. (2018) described the methodology to derive the global mean daytime shortwave (SW) anisotropic factors by using the CERES angular distribution models (ADMs) and a cloud property composite based on lower Earth orbiting satellite imager retrievals. These SW anisotropic factors were applied to EPIC broadband SW radiances, that were estimated from EPIC narrowband observations based upon narrowband-to-broadband regressions, to derive the global daytime SW fluxes. Daily mean EPIC and CERES SW fluxes calculated using concurrent hours agree with each other to within 2%. They concluded that the SW flux agreement is within the calibration and algorithm uncertainties, which indicates that the method developed to calculate the global anisotropic factors from the CERES ADMs is robust and that the CERES ADMs accurately account for the Earth's anisotropy in the near-backscatter direction.

In this paper, the same global daytime mean anisotropic factors developed by Su et al. (2018) are applied to the NISTAR measurements to derive the global daytime mean SW and longwave (LW) fluxes. The NISTAR data and the unfiltering algorithms developed for the NISTAR shortwave and near-infrared channels are detailed in section 2. The data and methodology used to derive the global daytime mean anisotropic factors are presented in section 3. Hourly daytime SW and LW fluxes calculated from NISTAR measurements and comparisons with the CERES Synoptic flux products (SYN1deg, Doelling et al. 2013) are detailed in section 4, followed by conclusions and discussions in section 5.

2. NISTAR observation

The NISTAR instrument measures Earth irradiance data for an entire hemisphere using cavity electrical substitution radiometers (ESRs) and filters covering three channels: short-wave (SW, 0.2-4.0 μ m), near-infrared (NIR, 0.7-4.0 μ m), and total (0.2-100 μ m). Each channel has a dedicated ESR, that by itself is sensitive to radiation from 0.2-100 μ m. For

the NIR and SW channels, filters are positioned in front of each ESR to limit the incident radiation to spectral bands. The filters reside in a filter wheel that, during normal operation, 107 configures each ESR to measure contemporaneously in a different band. Additionally, each 108 ESR has a shutter that modulates the Earth signal by cycling between open and closed 109 states continually with a 50% duty cycle and a period of 4 minutes. The modulation is nec-110 essary as the ESRs only measure changes in the incident optical power and, being thermal 111 detectors, they have large offsets (background signals) which drift over relatively short time 112 frames (hours) but not significantly over a shutter cycle. Demodulating the resulting signal 113 removes those offsets and the associated drifts/noise. What remains is a much more stable shutter modulated background that is measured during periodic views of dark space and 115 subsequently subtracted from the signal. The shutter modulated background is largest for 116 the total channel and much smaller for the SW and NIR channels. 117

The NISTAR calibrated Level 1B data products are derived from pre-launch system level 118 optical calibration and on-orbit offset measurements. The former involved optical response 119 measurements of each active cavity radiometer without a filter in place using a narrow band 120 calibration source whose irradiance was measured with a NIST calibrated reference detector. 121 Those measurements establish the irradiance responsivity of each spectrally flat broadband 122 radiometer. Additionally, measurements of the transmittance of the SW and NIR filters 123 were made. This was done at NIST prior to installation into the NISTAR filter wheel at 124 wavelengths ranging from 200 nm to approximately 18 micrometers. Further, system-level 125 filter transmittance measurements at discrete visible and near-infrared wavelengths were made using the external light source and the NISTAR photodiode channel as a detector. The 127 two transmittance measurements agreed to within a few tenths of a percent. Radiometric 128 offsets are measured on-orbit monthly when NISTAR briefly views dark space. The offset 129 measurement uncertainty is determined by the instrument noise level and the relatively short 130 time allotted to the space-views. 131

NISTAR Level 1B radiometric products are derived by first subtracting the offsets from

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Earth-view measurements and then dividing by the laboratory measured responsivity. The result is irradiance measured at the instrument aperture. Radiance (I) is then calculated from the irradiance data and the solid angle (Θ) determined from the DSCOVR-to-Earth distance and the Earth dimensions. When averaging over a 4-hour period, the NISTAR total and SW channel uncertainties (k=1) are 1.5% and 2.1%, respectively. As the LW is derived from the difference between the total and unfiltered-SW channels, it contains noise contributions from both. The LW uncertainty is about 3.3% (8 Wm^{-2}) given that the daytime mean LW and SW fluxes are approximately 210 Wm⁻² and 240 Wm⁻², respectively, and that the uncertainties between the Total and SW channels are largely uncorrelated.

As mentioned before, Filters are placed in front of the radiometers to measure the energies from the SW and NIR portions of the spectrum. Since no corrections for the impact of filter transmission were applied to the NISTAR L1B data, the SW and NIR radiances from NISTAR must first be unfiltered before they can be used to derive daytime Earth's radiative flux. Here we follow the algorithm developed by (Loeb et al. 2001) to convert measured NISTAR filtered radiances to unfiltered radiances.

Unfiltered SW and NIR radiances are defined as follows:

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$$I_u^{band} = \int_{\lambda_1}^{\lambda_2} I_{\lambda} d\lambda, \tag{1}$$

where 'band' represent either SW or NIR, $\lambda(\mu m)$ is the wavelength, and I_{λ} (Wm⁻² sr⁻¹ μ m⁻¹) is the spectral SW radiance. The filtered radiance is the radiation that passes through the spectral filter and is measured by the detector:

$$I_f^{band} = \int_{\lambda_1}^{\lambda_2} S_{\lambda}^{band} I_{\lambda} d\lambda, \tag{2}$$

where S_{λ}^{band} is the spectral transmission function. Figure 2 shows the NISTAR SW and NIR spectral transmission functions. These functions are determined from ground testing done in 1999 and 2010 at the National Institute of Standards and Technology (NIST). The spectral radiance database is calculated using high-spectral-resolution radiative transfer model (Kato et al. 2002). Unfiltered radiances are determined by integrating spectral radiances over the

appropriate wavelength intervals using Gaussian quadrature. Similarly, filtered radiances are computed by integrating over the product of spectral radiance and spectral transmission function. The regression coefficients are derived at 480 angles: 6 solar zenith angles (0.0, 29.0, 41.4, 60.0, 75.5, 85.0 degrees), 8 viewing zenith angles (0, 12, 24, 36, 48, 60, 72, 84 degrees), and 10 relative azimuth angles (0 to 180, at every 20 degrees). For angles between those given above, the regression coefficients are derived by linear interpolation.

The database includes spectral radiances calculated over ocean, land/desert, snow/ice surfaces for clear and cloudy conditions. Table 1 summarizes the number of each variable that are included in the database, there are a total of 142 clear-sky cases and a total of 931 cloudy-sky cases for each Sun-viewing geometry. This is a much larger database comparing with that used by Loeb et al. (2001).

For CERES unfiltering, regression coefficients between filtered and unfiltered radiances 168 were derived as functions of scene type and Sun-viewing geometry (Loeb et al. 2001). Given 169 that NISTAR views the Earth as a single pixel, a mix of scenes and many Sun-viewing 170 geometries are observed at the same time. The method used for CERES is not feasible for 171 unfiltering NISTAR observation. We instead investigated the feasibility of using the ratio, κ , 172 between filtered and unfiltered radiances for unfiltering the NISTAR observations. Table 2 173 lists the mean and the standard deviations of the ratios at different solar zenith angles. The 174 ratios for the SW band are extremely stable, varying less than 0.3% among the scenes and 175 Sun-viewing geometries considered (the smallest ratio, 0.8659, occurs for clear ocean under overhead sun and the largest ratio, 0.8694, occurs for clear/cloudy land under overhead 177 sun). Furthermore, the ratios are not sensitive to the atmospheric profile and the aerosol 178 type used. For example, using tropic profile instead of the standard atmosphere, and using 179 the maritime clean instead of maritime tropical aerosol type for clear ocean, only change the 180 ratios to the fourth decimal point. As the ratio is not sensitive to the scene type and the 181 Sun-viewing geometry, the SW unfiltering for NISTAR can be accomplished by: 182

$$I_u^{sw} = \frac{I_f^{sw}}{\kappa^{sw}},\tag{3}$$

Here I_f^{sw} is the filtered radiances directly from the NISTAR L1B data. As the NISTAR view always contains clouds, we choose to use the mean ratios of the cloudy ocean and land cases in Table 2, which is 0.8690 for the SW band. The estimated uncertainty of using this single ratio for unfiltering the SW band is less than 0.3%.

On the other hand, the variability in the ratios of the NIR band can be as large as 6%. Fortunately, the large variability only occurs between clear ocean and clear land. As mentioned earlier, NISTAR view always contains clouds and the mean ratios of the cloudy ocean and land cases, which is 0.8583, is used to unfilter the NISTAR NIR observations. This mean ratio can differ with the individual ratios for different solar zenith angles under cloudy conditions by about 1~2%. The mean ratio of the NIR bands is used to convert the filtered radiances to unfiltered radiances:

$$I_u^{nir} = \frac{I_f^{nir}}{\kappa^{nir}}. (4)$$

In this paper, the measurements from NISTAR NIR channel are not used. The unfiltering of NIR channel is reported here for readers who intend to use this channel.

As there is no filter placed in front of the total channel, the radiance from the total channel does not need to be unfiltered. The LW (4-100 μ m) radiance can be derived by subtracting the unfiltered SW radiance from the total:

$$I_u^{lw} = I^{tot} - I_u^{sw}, (5)$$

The unfiltered radiances (I_u^{sw} and I_u^{lw}) will be used hereafter to derive the daytime mean radiative flux. Although NISTAR L1B data provide observations every second, hourly data (smoothed with 4-hour running mean) are used to derive fluxes because of the level of noise presented in the measurements (DSCOVR NISTAR data quality report v02).

3. Global daytime shortwave and longwave anisotropic factors

To derive the global daytime mean SW and LW fluxes from the NISTAR unfiltered 205 radiances, the anisotropy of the TOA radiance field must be considered. The CERES Edition 4 empirical ADMs and a cloud property composite based upon lower Earth orbit satellite retrievals are used here to estimate the global mean shortwave and longwave anisotropic factors.

CERES ADMs 210

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The Edition 4 CERES ADMs (Su et al. 2015) are constructed using the CERES ob-211 servations taken during the rotating azimuth plane (RAP) scan mode. In this mode, the instrument scans in elevation as it rotates in azimuth, thus acquiring radiance measurements 213 from a wide range of viewing combinations. The CERES ADMs are derived for various scene 214 types, which are defined using a combination of variables (e.g., surface type, cloud fraction, 215 cloud optical depth, cloud phase, aerosol optical depth, precipitable water, lapse rate, etc). 216 To provide accurate scene type information within CERES footprints, imager (Moderate 217 Resolution Imaging Spectroradiometer (MODIS) on Terra and Aqua) cloud and aerosol re-218 trievals (Minnis et al. 2010, 2011) are averaged over CERES footprints by accounting for 219 the CERES point spread function (PSF, Smith 1994) and are used for scene type classifica-220 tion. Over a given scene type (χ) , the CERES measured radiances are sorted into discrete 221 angular bins. Averaged radiances (\hat{I}) in all angular bins are calculated and all radiances in the upwelling directions are integrated to provide the ADM flux (\hat{F}) . The ADM anisotropic factors (R) for scene type χ are then calculated as:

$$R(\theta_0, \theta, \phi, \chi) = \frac{\pi \hat{I}(\theta_0, \theta, \phi, \chi)}{\int_0^{2\pi} \int_0^{\frac{\pi}{2}} \hat{I}(\theta_0, \theta, \phi, \chi) cos\theta sin\theta d\theta d\phi} = \frac{\pi \hat{I}(\theta_0, \theta, \phi, \chi)}{\hat{F}(\theta_0, \chi)},$$
 (6)

where θ_0 is the solar zenith angle, θ is the CERES viewing zenith angle, and ϕ is the relative azimuth angle between CERES and the solar plane.

b. EPIC composite data

As stated in the section above, anisotropy of the radiation field at the TOA was con-228 structed for different scene types, which were defined using many variables including cloud 229 properties such as cloud fraction, cloud optical depth, and cloud phase (Loeb et al. 2005; 230 Su et al. 2015). Although the EPIC L2 cloud product includes threshold-based cloud mask, 231 which identifies the EPIC pixels as high confident clear, low confident clear, high confident 232 cloudy, and low confident cloudy (Yang et al. 2018), the low resolution of EPIC imagery 233 $(24\times24 \text{ km}^2)$ and its lack of infrared channels diminish its capability to identify clouds and 234 to accurately retrieve cloud properties. As EPIC lacks the channels that are suitable for 235 cloud size and phase retrievals (Meyer et al. 2016), two cloud optical depths are determined 236 assuming the cloud phase is liquid or ice using constant cloud effective radius ($14\mu m$ for 237 liquid and $30\mu m$ for ice) for cloudy EPIC pixels. These cloud properties are not sufficient 238 to provide the scene type information necessary for ADM selections. Therefore, more accu-239 rate cloud property retrievals are needed to provide anisotropy characterizations to convert 240 radiances to fluxes. 241

To accomplish this, we take advantage of the cloud property retrievals from multiple im-242 agers on low Earth orbit (LEO) satellites and geostationary (GEO) satellites. The LEO satel-243 lite imagers include the MODerate-resolution Imaging Spectroradiometer (MODIS) on the 244 Terra and Aqua satellites, the Visible Infrared Imaging Suite(VIIRS) on the Suomi-National 245 Polar-orbiting Partnership satellite, and the Advanced Very High Resolution Radiometer 246 (AVHRR) on the NOAA and MetOps platforms. The GEO imagers are on the Geostation-247 ary Operational Environmental Satellites (GOES), the Meteosat series, and Himawari-8 to provide semi-global coverage. All cloud properties were determined using a common set of 249 algorithms, the Satellite ClOud and Radiation Property retrieval System (SatCORPS, Min-250

nis et al. 2008a, 2016), based on the CERES cloud detection and retrieval system (Minnis 251 et al. 2008b, 2010, 2011). Cloud properties from these LEO/GEO imagers are optimally 252 merged together to provide a seamless global composite product at 5-km resolution by us-253 ing an aggregated rating that considers five parameters (nominal satellite resolution, pixel 254 time relative to the EPIC observation time, viewing zenith angle, distance from day/night 255 terminator, and sun glint factor to minimize the usage of data taken in the glint region) and selects the best observation at the time nearest to the EPIC measurements. About 80% of the LEO/GEO satellite overpass times are within 40 minutes of the EPIC measurements, while 96% are within two hours of the EPIC measurements. Most of the regions covered by 259 GEO satellites (between around 50°S and 50°N) have a very small time difference, in the range of ± 30 minutes, because the availability of hourly GEO observations. The polar re-261 gions are also covered very well by polar orbiters. Thus, larger time differences are generally 262 occurred over the 50° to 70° latitude regions. Given the temporal resolution of the currently 263 available GEO/LEO satellites, this is the best collocation possible for those latitudes. 264

The global composite data are then remapped into the EPIC FOV by convolving the 265 high-resolution cloud properties with the EPIC point spread function (PSF) defined with 266 a half-pixel accuracy to produce the EPIC composite. As the PSF is sampled with half-267 pixel accuracy, the nominal spacing of the PSF grid is about the same size as in the global 268 composite data. Thus, the accuracy of the cloud fraction in the EPIC composite is not 269 degraded compared to the global composite (Khlopenkov et al. 2017). PSF-weighted averages 270 of radiances and cloud properties are computed separately for each cloud phase, because the LEO/GEO cloud products are retrieved separately for liquid and ice clouds (Minnis et al. 272 2008a). Ancillary data (i.e. surface type, snow and ice map, skin temperature, precipitable 273 water, etc.) needed for anisotropic factor selections are also included in the EPIC composite. 274 These composite images are produced for each observation time of the EPIC instrument 275 (typically 300 to 600 composites per month). Detailed descriptions of the method and the 276 input used to generate the global and EPIC composites are provided in Khlopenkov et al. 277

278 (2017).

Figure 3(a) shows an image from EPIC taken on May 15, 2017 at 12:17 UTC, the corresponding total cloud fraction (the sum of liquid and ice cloud fractions) from the EPIC composite is shown in 3(b). The liquid and ice cloud fraction, optical depth, and effective height are shown in Figure 3(c-h). For this case, most of the clouds are in the liquid phase.

Optically thick liquid clouds with effective heights of 2 to 4 km are observed in the northern Atlantic ocean and in the Arctic. Ice clouds with effective heights of 8 to 10 km are observed off the west coast of Africa and Europe.

286 c. Calculating global daytime anisotropic factors

To determine the global daytime mean anisotropic factors, we use the anisotropies characterized in the CERES ADMs and they are selected based upon the scene type information
provided by the EPIC composite for every EPIC FOV. For a given EPIC FOV (j), its
anisotropic factor is determined based upon the Sun-EPIC viewing geometry and the scene
identification information provided by the EPIC composite:

$$R_j(\theta_0, \theta^e, \phi^e, \chi^e) = \frac{\pi \hat{I}_j(\theta_0, \theta^e, \phi^e, \chi^e)}{\hat{F}_j(\theta_0, \chi^e)},\tag{7}$$

where θ^e is the EPIC viewing zenith angle, ϕ^e is the relative azimuth angle between EPIC and the solar plane, and χ^e is the scene identification from the EPIC composite. Here \hat{I}_j is the radiance from CERES ADMs and \hat{F}_j is the flux from CERES ADMs (see Eq. 6). To derive the global mean anisotropic factor, we follow the method developed by Su et al. (2018) and calculate the global daytime mean ADM radiance as:

$$\overline{\hat{I}} = \frac{\sum_{j=1}^{N} \hat{I}_j(\theta_0, \theta^e, \phi^e, \chi^e)}{N}.$$
(8)

To calculate the global mean ADM flux, we first grid the ADM flux (\hat{F}) for each EPIC pixel into 1° latitude by 1° longitude bins $(\hat{F}(lat, lon))$. These gridded ADM fluxes are then

weighted by *cosine* of latitude to provide the global daytime mean ADM flux:

$$\overline{\hat{F}} = \frac{\sum_{j=1}^{M} \hat{F}_j(lat, lon)cos(lat_j)}{\sum cos(lat_j)}.$$
(9)

The global mean anisotropic factor is calculated as:

$$\overline{R} = \frac{\pi \overline{\hat{I}}}{\overline{\hat{F}}}.$$
(10)

We use $\overline{R_{sw}}$ and $\overline{R_{lw}}$ to denote the mean SW and LW anisotropic factors. The mean SW anisotropic factor is then used to convert the NISTAR SW unfiltered radiance to flux:

$$F_n^{sw} = \frac{\pi I_u^{sw}}{\overline{R_{sw}}}. (11)$$

The LW flux is similarly derived from the following:

$$F_n^{lw} = \frac{\pi I_u^{lw}}{\overline{R}_{lw}}. (12)$$

Figure 4 shows an example of SW and LW anisotropic factors for every EPIC FOV. The SW anisotropic factors are generally smaller over clear than over cloudy oceanic regions.

Over land, however, the SW anisotropic factors are larger over clear regions than over cloudy regions because of the hot spot effect, which leads to anisotropic factors greater than 1.6 over clear land regions at large viewing zenith angles. The LW anisotropic factors show much less variability compared to the SW anisotropic factors, with limb darkening being the dominant feature. The mean SW and LW anisotropic factors for this case are 1.275 and 1.041, respectively.

₂ 4. NISTAR shortwave and longwave flux

The temporal resolution of the NISTAR Level 1B data is one second, however, meaningful changes in the data only occur over many shutter cycles (each shutter cycle is 4 minutes) due to the demodulation algorithm, which includes a box car filter having the width of a

shutter period. The filter reduces noise and rejects higher harmonics of the shutter fre-316 quency. Following demodulation, significant instrument noise remains. Therefore, further 317 averaging in time over a minimum of 2 hours is recommended to further reduce the noise levels 318 (https://eosweb.larc.nasa.gov/project/dscovr/DSCOVR_NISTAR_Data_Quality_Report_V02.pdf). 319 In this study, we use hourly radiances averaged from 4-hour running means as suggested by 320 the NISTAR instrument team. The hours that are coincident with the EPIC image times 321 are converted to fluxes using the global anisotropic factors calculated using the EPIC composites for scene identification. Figure 5 shows the hourly SW and LW fluxes derived from 323 NISTAR for April (a) and July (b) 2017. For both months, the SW fluxes fluctuate around 324 210 Wm⁻², with the difference between daily maximum and minimum as large as 30 Wm⁻². 325 The LW fluxes fluctuate around 260 Wm⁻², and exhibit surprisingly large diurnal variations. 326 These NISTAR fluxes are compared to the CERES Synoptic radiative fluxes and clouds 327 product (SYN1deg, Doelling et al. 2013), which provides hourly cloud properties and fluxes 328 for each 1° latitude by 1° longitude. Within the SYN1deg data product, fluxes between 329 CERES observations are inferred from hourly GEO visible and infrared imager measure-330 ments between 60°S and 60°N using observation-based narrowband-to-broadband radiance 331 and radiance-to-flux conversion algorithms. However, the GEO narrowband channels have 332 a greater calibration uncertainty than MODIS and CERES. Several procedures are imple-333 mented to ensure the consistency between the MODIS-derived and GEO-derived cloud prop-334 erties, and between the CERES fluxes and the GEO-based fluxes. These include calibrating GEO visible radiances against the well-calibrated MODIS 0.65 μm radiances by ray-matching 336 MODIS and GEO radiances; applying similar cloud retrieval algorithms to derive cloud prop-337 erties from MODIS and GEO observations; and normalizing GEO-based broadband fluxes 338 to CERES fluxes using coincident measurements. Comparisons with broadband fluxes from 339 Geostationary Earth Radiation Budget (GERB, Harries et al. 2005) indicate that SYN1deg 340 hourly fluxes are able to capture the subtle diurnal flux variations. Comparing with the 341 GERB fluxes, the bias of the SYN SW fluxes is 1.3 Wm⁻², the monthly regional all-sky SW 342

Opelling et al. 2013). These uncertainties could be overestimated, as the GERB domain has a disproportionate number of strong diurnal cycle regions as compared with the globe.

To account for the missing energy from the daytime portion that is not observed by the NISTAR (A_h in Figure 1b), and the energy from the nighttime sliver that are within the DSCOVR view (A_d in Figure 1b, only applicable to LW flux), the hourly gridded SYN fluxes are integrated by considering only the grid boxes that are visible to NISTAR to produce the global mean daytime fluxes that are comparable to those from the NISTAR measurements:

flux RMS error is $3.5~{\rm W~m^{-2}}$, and the daily regional all-sky SW flux RMS error is $7.8~{\rm W~m^{-2}}$

$$\overline{F_{syn}} = \frac{\sum F_j cos(lat_j)\omega_j}{\sum cos(lat_j)\omega_j}.$$
(13)

Here F_j is the gridded hourly CERES SYN fluxes, lat is the latitude, and ω indicates whether 351 a grid box is visible to NISTAR (=1 when visible, =0 when not visible). Figure 6a) shows 352 an example of the gridded SYN SW fluxes at 13 UTC on February 1, 2017. SW fluxes for 353 the daytime grid boxes are shown in color, while all nighttime grid boxes are shown in white. 354 Figure 6b) shows the daytime areas (in red) and the nighttime areas (in grey) visible to the 355 NISTAR view. Daytime areas of northern high latitude and North America are not within 356 the NISTAR view and are therefore not included in the comparison with the NISTAR fluxes, and the nighttime slivers in the southern high latitude of Indian Ocean and Pacific Ocean are included in the LW flux comparison with the NISTAR. 359

Figure 7 compares the SW fluxes from NISTAR with those from CERES SYN1deg product integrated for the NISTAR view (Eq. 19) for April (a) and July (b) 2017. The 361 CERES SW fluxes oscillate around $200~\mathrm{Wm^{-2}}$ and $195~\mathrm{Wm^{-2}}$ for April and July, whereas 362 the NISTAR counterparts are about 10 to 20 Wm⁻² greater. The maxima and minima of 363 SW fluxes from NISTAR align well with those from CERES, though the differences between 364 daily maximum and minimum from NISTAR appear to be larger than those from CERES. 365 The diurnal variations of SW flux derived from EPIC showed a much better agreement with 366 those from CERES (Su et al. 2018). The exact cause for these larger diurnal variations 367 from NISTAR SW flux is not known. LW flux comparisons are shown in Figure 8. The daily maximum-minimum LW differences from CERES are typically less than 15 Wm⁻² and exhibit small day-to-day and month-to-month variation. However, the daily maximum-minimum LW differences from NISTAR can vary from 10 Wm⁻² to 50 Wm⁻². These larger than expected variability of NISTAR LW fluxes are due to the fact that noise and offset variabilities from both the NISTAR total and SW channel are present in the NISTAR LW radiances. The NISTAR LW fluxes are consistently greater than CERES LW fluxes by about 10 to 20 Wm⁻² in April. The LW fluxes agree better for July, but the NISTAR LW fluxes show larger diurnal variations than the CERES fluxes.

Figure 9 compares the SW and LW fluxes from CERES SYN1deg product with those 377 from NISTAR at all coincident hours of 2017. The mean SW fluxes are 203.7 $\rm Wm^{-2}$ and 378 217.0 Wm⁻², respectively, for CERES and NISTAR, and the RMS error is 14.6 Wm⁻² (Fig-379 ure 9a). The mean LW fluxes are $246.0~\mathrm{Wm^{-2}}$ and $252.8~\mathrm{Wm^{-2}}$ for CERES and NISTAR, 380 and the RMS error is 10.5 Wm⁻² (Figure 9b). Tables 3 and 4 summarize the flux com-381 parisons between NISTAR and CERES for all months of 2017. The NISTAR SW fluxes 382 are consistently greater than those from CERES SYN1deg by about 3.4% to 7.8%, and the 383 NISTAR LW fluxes are also greater than those from CERES SYN1deg by 1.0% to 5.0%. 384 Furthermore, the SW fluxes from NISTAR are highly correlated (correlation coefficient of 385 about 0.89) with those from CERES SYN1deg, but the correlation for the LW fluxes are 386 rather low (correlation coefficient is about 0.38). Note when inverting fluxes from hourly 387 mean NISTAR radiances (instead of 4-hour running mean radiances), it changed monthly mean SW and LW fluxes by less than 1.0 Wm⁻² and 0.5 Wm⁻², respectively. However, the 389 RMS errors increased for both SW and LW fluxes due to the noise presented in the NISTAR 390 observation. 391

NISTAR fluxes derived at the EPIC image times are averaged into daily means and are compared with the daily means from CERES SYN1deg using concurrent hours (Figure 10).

The NISTAR SW fluxes are consistently higher than those from CERES by about 10 to 15 Wm⁻². CERES SW fluxes show a strong annual cycle, which is driven by the incident solar

radiation that is affected by the Earth-Sun distance. This annual cycle is also evident in the NISTAR SW fluxes, albeit the fluxes during the period from April to August are flatter than 397 those from CERES. The NISTAR LW fluxes are greater than those from CERES except 398 during the boreal summer months, with the largest difference of 10 Wm⁻² in February and 399 the smallest difference of a few Wm⁻² during the boreal summer months. The CERES LW fluxes show an annual cycle of about 10 Wm⁻², with the largest LW fluxes occurring during the boreal summer when the vast land masses of the northern hemisphere are warmer than during the other seasons. The annual cycle of the NISTAR LW fluxes shows less seasonal variation. From April to October, the NISTAR LW fluxes oscillate around $255~\mathrm{Wm^{-2}}$, and oscillate around 250 Wm⁻² for other months. Additionally, the CERES LW fluxes exhibit much smaller day-to-day variations than their NISTAR counterparts. Note some of the variations of daily mean fluxes shown in Figure 10 are due to temporal sampling changes 407 when data transmissions encountered difficulties and/or during spacecraft maneuvers. 408

5. Conclusions and discussions

The SW radiances included in the NISTAR L1B data are filtered radiances and the effect 410 of the filter transmission must be addressed before these measurements can be used to derive 411 any meaningful fluxes. A comprehensive spectral radiance database has been developed 412 to investigate the relationship between filtered and unfiltered radiances using theoretically 413 derived values simulated for typical Earth scenes and the NISTAR spectral transmission 414 functions. The ratio between filtered and unfiltered SW radiances is very stable, varying 415 less than 0.3% for the scenes and the Sun-viewing geometries included in the database. The 416 mean ratio of 0.8690 is used to derive the unfiltered SW radiance from the NISTAR L1B 417 filtered SW radiance measurements. 418

To convert these unfiltered radiances into fluxes, the anisotropy of the radiance field must be taken into account. We use the scene-type dependent CERES angular distribution models

to characterize the global SW and LW anisotropy. These global anisotropies are calculated 421 based upon the anisotropies for each EPIC pixel. To accurately account for the anisotropy for 422 each EPIC pixel, an EPIC composite was developed which includes all information needed 423 for angular distribution model selections. The EPIC composite includes cloud property 424 retrievals from multiple imagers on LEO and GEO satellites. Cloud properties from these 425 LEO and GEO imagers are optimally merged together to provide a global composite product 426 at 5-km resolution by using an aggregated rating that considers several factors and selects the best observation at the time nearest to the EPIC measurements. The global composite data 428 are then remapped into the EPIC FOV by convolving the high-resolution cloud properties with the EPIC PSF to produce the EPIC composite. PSF-weighted averages of radiances 430 and cloud properties are computed separately for each cloud phase, and ancillary data needed 431 for anisotropic factor selections are also included in the EPIC composite. 432

These global anisotropies are applied to the NISTAR radiances to produce the global 433 daytime SW and LW fluxes and they are validated against the CERES Synoptic 1° latitude 434 by 1° longitude flux product. Only the grid boxes that are visible to the NISTAR view 435 are integrated to produce the global mean daytime fluxes that are comparable to the fluxes 436 from the NISTAR measurements. The NISTAR SW fluxes are consistently greater than 437 those from CERES SYN1deg by 10 $\mathrm{Wm^{-2}}$ to 15 $\mathrm{Wm^{-2}}$ (3.3% to 7.8%), but these two SW 438 flux datasets are highly correlated indicating that the diurnal and seasonal variations of 439 the SW fluxes are fairly similar for both of them. The NISTAR LW fluxes are also greater than those from CERES SYN1deg, but the magnitude of the difference has larger month-441 to-month variations than that for the SW fluxes. The largest difference of about 14 Wm⁻² 442 $(\sim 5.5\%)$ occurred in April 2017 and the smallest difference of about $\sim 4~{\rm Wm}^{-2}~(\sim 1.6\%)$ 443 occurred during July. Furthermore, the NISTAR LW fluxes have very low correlations with 444 the CERES LW fluxes. NISTAR LW fluxes exhibit a nearly flat annual variation, whereas 445 the CERES LW fluxes exhibit a distinct annual cycle with the highest LW flux occurs in 446 July when the vast northern hemisphere land masses are warmest. The NISTAR LW fluxes 447

also exhibit unrealistically large day-to-day variations.

The SW flux discrepancy between NISTAR and CERES is caused by: 1) CERES instru-449 ment calibration uncertainty, 2) CERES flux algorithm uncertainty, 3) NISTAR instrument 450 measurement uncertainty, and 4) NISTAR flux algorithm uncertainty. The CERES SW 451 channel calibration uncertainty is 1% (1σ , McCarthy et al. 2011; Priestley et al. 2011; Loeb 452 et al. 2018), which corresponds to about 2.1 Wm⁻² for daytime mean SW fluxes. The CERES algorithm uncertainty includes radiance-to-flux conversion error, which is $1.0~\mathrm{Wm^{-2}}$ according to Su et al. (2015), and diurnal correction uncertainty, which is estimated to be 455 $1.9~\mathrm{Wm^{-2}}$ when Terra and Aqua are combined (Loeb et al. 2018). The NISTAR SW channel measurement uncertainty is 2.1%, which corresponds to 4.4 Wm⁻². The NISTAR algorithm 457 uncertainty is essentially the radiance-to-flux conversion error. The estimation of this error 458 source is not readily available given the unique NISTAR viewing perspective. However, if 459 we assume the discrepancy between EPIC derived SW flux and CERES SW flux (Su et al. 460 2018) is also from uncertainty sources 1) and 2) listed above, plus the EPIC calibration, 461 narrowband-to-broadband conversion, and radiance-to-flux conversion for EPIC, then we 462 can deduce that the radiance-to-flux conversion uncertainty for the NISTAR viewing geom-463 etry should be less than 2 Wm⁻². Thus the total difference expected from these uncertainty 464 sources should be $(2.1^2 + 1.9^2 + 1.0^2 + 4.4^2 + 2.0^2)^{1/2} = 5.7 \text{ Wm}^{-2}$. 465 Similarly, the LW flux discrepancy between NISTAR and CERES is due to the same 466 sources of error. The day time CERES LW flux uncertainty from calibration is $2.5~\mathrm{Wm^{-2}}$ $(1\sigma, \text{ Loeb et al. } 2009)$. The CERES LW radiance-to-flux conversion error is about 0.75 468

sources of error. The daytime CERES LW flux uncertainty from calibration is 2.5 Wm^{-2} (1σ , Loeb et al. 2009). The CERES LW radiance-to-flux conversion error is about 0.75 Wm^{-2} (Su et al. 2015), and diurnal correction uncertainty is estimated to be 2.2 Wm^{-2} (Loeb et al. 2018). However, the CERES LW ADMs were developed without taking the relative azimuth angle into consideration, which has little impact on the CERES LW flux accuracy because of its Sun-synchronous orbit. Given that the NISTAR only views the Earth from the backscattering angles, the LW flux uncertainty due to radiance-to-flux conversion could be larger for the clear-sky footprints (Minnis et al. 2004). As the clear-sky occurrences

are small at the EPIC footprint size level, our best estimate of this uncertainty is no more 475 than 0.4 Wm⁻². The calibration uncertainty for NISTAR LW is deduced from the calibration 476 uncertainties of total and SW channels. The total channel calibration uncertainty is 1.5%, 477 which is about 6.8 Wm⁻² assuming the total radiative energy of 450 Wm⁻². The SW channel 478 measurement uncertainty is 4.4 Wm⁻². The resulting LW channel measurement uncertainty 479 is thus equal to $(6.8^2 + 4.4^2)^{1/2} = 8.1 \text{ Wm}^{-2}$. Although no direct estimation of the radiance-480 to-flux conversion uncertainty for LW is available, we do not expect that it exceeds its SW counterpart of 2.0 Wm⁻². Thus the total difference expected from these uncertainty sources 482 should be $(2.5^2 + 0.75^2 + 0.4^2 + 2.2^2 + 8.1^2 + 2.0^2)^{1/2} = 9.1 \text{Wm}^{-2}$.

The uncertainty sources listed above can explain part of the SW flux differences and 484 all of the LW flux differences between CERES and NISTAR. The error sources related to 485 NISTAR are preliminary and are under careful evaluation. Although the LW flux differences 486 between CERES and NISTAR are within the uncertainty estimation, the correlation between 487 NISTAR and CERES is rather low, about 0.38. This is because the NISTAR LW radiance 488 is derived as the difference between total channel radiance and SW channel radiance, thus 489 noise and offset variability of both the NISTAR total and SW channels are present in the 490 NISTAR LW fluxes. As a result, more variability is expected in the LW data which leads to 491 the low correlation. Although the noise level present in the NISTAR measurements prevent 492 the production of high frequency SW flux, the current 4-hour running mean fluxes are highly 493 correlated with the CERES product. The NISTAR SW flux can be used to test the diurnal variations of SW flux in the high-temporal resolution model outputs from the Coupled Model 495 Intercomparison Project. Furthermore, the spectral ratio information from NISTAR presents 496 a new way to evaluate the models and opens a new perspective on exoplanet observations 497 (Carlson et al. 2019). 498

Data availability. The data presented in this paper can be obtained by emailing the corresponding author.

501

Author contributions. WS and PM designed the research; WS developed the radiance-

- to-flux calculation algorithm; WS wrote the paper with contributions from PM, LL, AS,
- 503 DPD; LL developed the unfiltering algorithm and contributed to data process; DPD, KK,
- and MMT developed the EPIC composite product; YY, AS, and SL produced the NISTAR
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670		flux (in $\mathrm{Wm^{-2}}$), and the root mean square (RMS) error between them (in	
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TABLE 1. Summary of the cases included in the spectral radiance database. AOD is for aerosol optical depth, COD is for cloud optical depth.

			Clear	
	AOD	Aerosol type	Surface	Atmosphere
Ocean	8	Maritime tropical	4	Standard
Land	6	Continental	15	Standard
Snow	5	Continental	2	Arctic winter/summer
			Cloudy	
	COD	Cloud type	Surface	Atmosphere
Ocean	7	4 liquid and 3 ice	4	Standard
Land	7	4 liquid and 3 ice	15	Standard

Table 2. Mean ratio and standard deviation (in parenthesis) of filtered radiance to unfiltered radiance for SW and NIR bands over different scene types.

	SW ratio (standard deviation \times 1000)									
	0.0	29.0	41.4	60.0	75.5	85.0				
Clear Ocean	0.8659(1.0)	0.8660(1.0)	0.8661(1.1)	0.8664(1.2)	0.8669(1.0)	0.8674(0.8)				
Clear Land	0.8694(0.6)	0.8693(0.6)	0.8692(0.6)	0.8690(0.5)	0.8687(0.5)	0.8685(0.8)				
Clear Snow	0.8689(0.1)	0.8689(0.1)	0.8689(0.2)	0.8688(0.2)	0.8688(0.3)	0.8687(0.4)				
Cld Ocean	0.8687(1.0)	0.8687(1.0)	0.8688(0.9)	0.8688(0.8)	0.8688(0.7)	0.8687(0.6)				
Cld Land	0.8694(0.4)	0.8693(0.3)	0.8693(0.3)	0.8692(0.3)	0.8690(0.4)	0.8689(0.5)				
		NIR ratio (standard deviation \times 1000)								
	0.0	29.0	41.4	60.0	75.5	85.0				
Clear Ocean	0.8293(23.1)	0.8270(24.0)	0.8253(25.5)	0.8235(28.3)	0.8238(28.4)	0.8229(26.4)				
Clear Land	0.8790(9.6)	0.8777(10.4)	0.8764(10.7)	0.8730(10.8)	0.8663(10.1)	0.8501(12.4)				
Clear Snow	0.8360(1.7)	0.8360(1.8)	0.8361(1.9)	0.8363(2.1)	0.8370(2.8)	0.8365(6.0)				
Cld Ocean	0.8557(3.2)	0.8555(2.6)	0.8562(2.4)	0.8567(3.1)	0.8565(4.4)	0.8539(7.9)				
Cld Land	0.8627(8.2)	0.8624(7.8)	0.8621(7.3)	0.8613(6.2)	0.8598(4.8)	0.8566(6.2)				

Table 3. SW flux comparisons between NISTAR and CERES SYN1deg for all coincident observations of 2017. F_n is the NISTAR flux (in Wm⁻²), F_s is the SYN flux (in Wm⁻²), and the root mean square (RMS) error between them (in Wm⁻²).

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
F_s		208.1	203.4	199.8	201.0	200.2	194.4	193.0	198.7	2089	221.6	228.2
F_n	_	218.5	215.4	211.5	214.1	213.5	209.2	208.7	211.2	222.8	235.1	240.0
RMS		11.9	14.0	12.9	14.0	14.6	16.0	16.8	13.9	15.5	14.5	14.0

TABLE 4. LW flux comparisons between NISTAR and CERES SYN1deg for all coincident observations of 2017. F_n is the NISTAR flux (in Wm⁻²), F_s is the SYN flux (in Wm⁻²), and the root mean square (RMS) error between them (in Wm⁻²).

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
F_s		242.0	241.1	243.0	246.3	249.1	251.5	248.9	245.5	242.9	239.8	240.6
F_n		253.1	248.1	257.7	255.8	255.2	255.6	253.2	255.5	253.5	250.4	253.3
RMS		13.4	10.0	16.0	11.5	10.3	8.7	10.0	12.2	12.5	12.4	14.4

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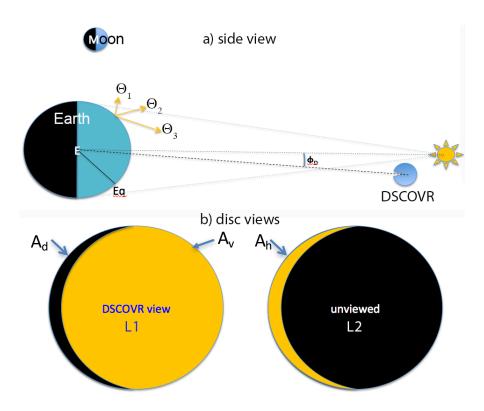


FIG. 1. Schematic of a) Earth-Sun-DSCOVR geometry and b) Earth disc that are visible to the L1 DSCOVR view (left with an area fraction of A_t) and to the L2 view (right). The golden area on the left shows the daytime area fraction (A_v) that are visible to DSCOVR, the black area on the left shows the night portion (A_d) that are within the DSCOVR view, and the golden area on the right is the daytime portion (A_h) missed by the DSCOVR. Not to scale.

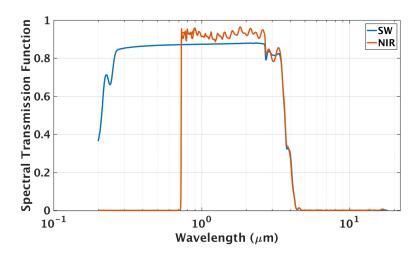


Fig. 2. NISTAR SW and NIR spectral transmission function.

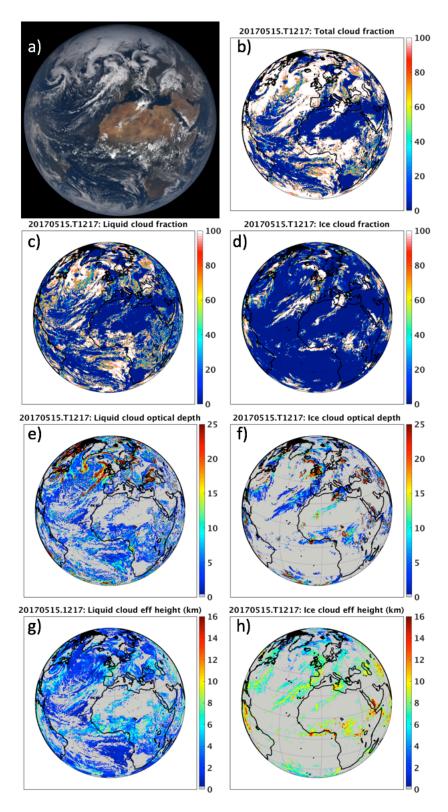


FIG. 3. EPIC RGB image for May 15, 2017 at 12:17 UTC (a), and the corresponding total cloud fraction (b, in %). Liquid and ice cloud fractions are shown in (c) and (d), liquid and ice cloud optical depths are shown in (e) and (f), and liquid and ice cloud effective height (in km) are shown in (g) and (h). (b) to (h) are all derived from the EPIC composite.

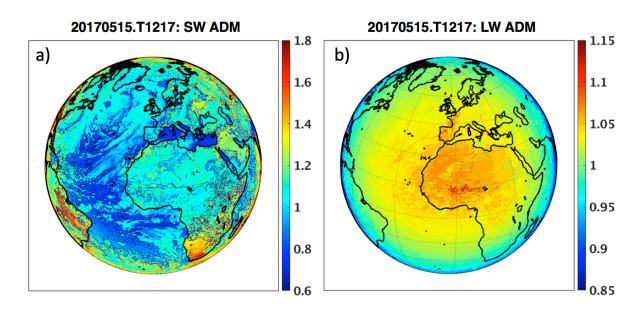


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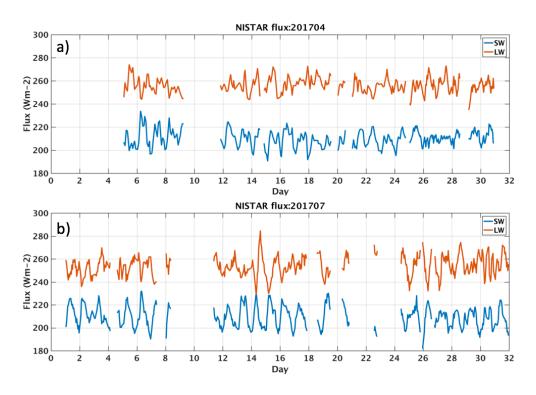


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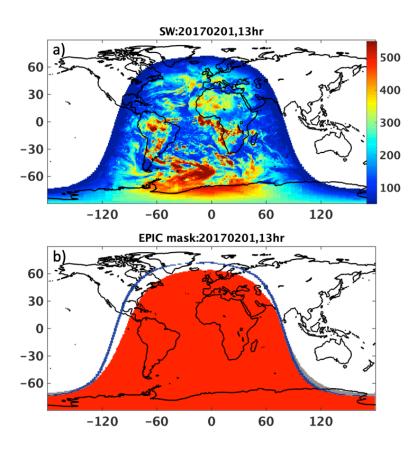


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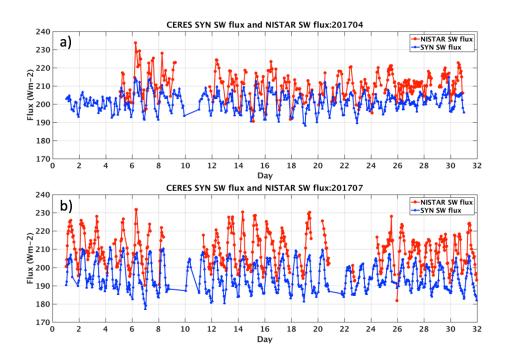


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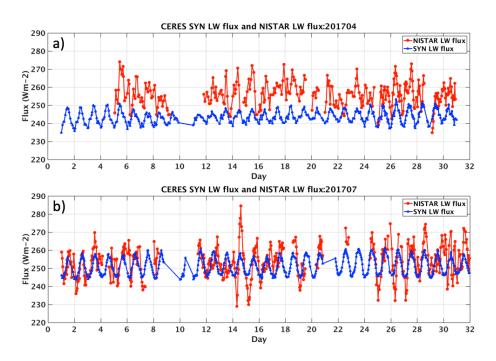


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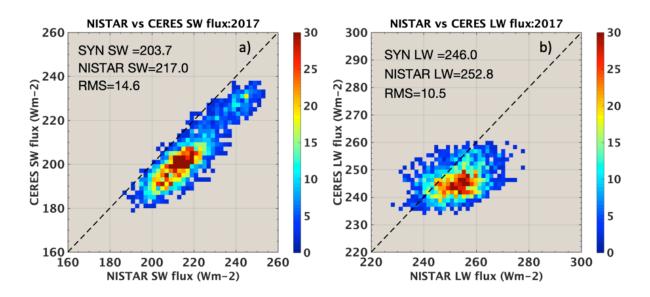


Fig. 9. Comparison of coincident hourly SW and LW fluxes from NISTAR and CERES SYN1deg for 2017. Color bar indicates the number of occurrence.

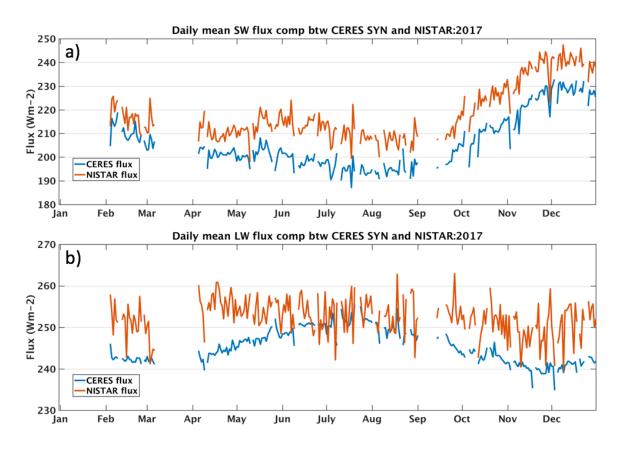


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