

# Sources of error in open-path FTIR measurements of N<sub>2</sub>O and CO<sub>2</sub> emitted from agricultural fields

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**Abstract.** Open-path Fourier transform infrared spectroscopy (OP-FTIR) is susceptible to environmental variables which can become sources of errors for gas quantification. In this study, we assessed the effects of water vapour, temperature, path length, and wind speed on the uncertainty of nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>) concentrations derived from OP-FTIR spectra. The presence of water vapour resulted in underestimating N<sub>2</sub>O in both lab (−3 %) and field (−12 %) experiments at 30 °C using a classical least squares (CLS) model. Differences in temperature between the sample and reference spectra also underestimated N<sub>2</sub>O concentrations due to temperature broadening and the increased interferences of water vapour in spectra of wet samples. Changes in path length resulted in a non-linear response of spectra and bias (e.g. N<sub>2</sub>O and CO<sub>2</sub> concentrations were underestimated by 30 % and 7.5 %, respectively, at the optical path of 100 m using CLS models). For N<sub>2</sub>O quantification, partial least squares (PLS) models were less sensitive than CLS to the influence of water vapour, temperature, and path length, and provided more accurate estimations. Uncertainties in the path-averaged concentrations increased in low wind conditions (< 2 m s<sup>−1</sup>). This study identified the most common interferences that affect OP-FTIR measurements of N<sub>2</sub>O and CO<sub>2</sub>. The quantified errors can be used as references for current or future OP-FTIR users.

## 20 1 Introduction

Agriculture substantially contributes greenhouse gases (GHGs), mostly N<sub>2</sub>O and CH<sub>4</sub>, to the atmosphere (IPCC, 2007). In 2010, emissions led by agricultural activities (e.g. crop production and livestock management) were estimated between 5.2 and 5.8 Gt of CO<sub>2</sub> equivalent per year, accounting for 10-12 % global anthropogenic emissions (IPCC, 2014). Estimations of gas fluxes over an extended period (e.g. growing seasons) is complicated due to the dynamic and episodic nature of gas emissions and measurement complexities. The integrated uses of fast-response gas concentration sensors and micrometeorological techniques were developed to measure long-term gas fluxes continuously (Baldocchi, 2003; Denmead, 2008; Flesch et al., 2016). Open-path Fourier transform spectroscopy (OP-FTIR) is capable of measuring concentrations of multiple gases simultaneously with high temporal and spatial resolution through real-time measurements and path-averaged concentrations (Russwurm and Childers, 2002). OP-FTIR has been applied to measure GHGs, and other trace gases (e.g. NH<sub>3</sub>) emitted from agricultural fields (Childers et al., 2001a and 2001b; Bjerneberg et al., 2009; Flesch et al., 2016; Lam et al., 2017).

Using OP-FTIR to quantify gas concentrations, however, is a complicated process. Each step in data collection and spectral analyses influences the accuracy and precision of gas quantifications, including spectral resolution, apodization function, choice of background (e.g. zero-path or synthetic backgrounds), and chemometric models (Russwurm and Childers, 1999; Griffiths and de Haseth, 2007; Hart and Griffiths, 2000; Hart et al., 2000). Also, OP-FTIR spectra are sensitive to ambient environmental conditions (e.g. humidity, air temperature, optical distance, and wind speed), which interferes with spectral analyses and quantification of gas concentrations (Griffiths and de Haseth, 2007; Muller et al., 1999; Shao et al., 2007 and 2010).

Water vapour is a major interference in FTIR-derived trace gas quantification due to its strong absorption features within the mid-infrared region ( $400\text{-}4000\text{ cm}^{-1}$ ) (Russwurm and Childers, 1999; ASTM, 2013). For the interference-free spectra, a single absorption line can be easily isolated to calculate gas concentrations (i.e. univariate methods). Using this method, however, is challenging to adequately isolate the absorption feature of the gas of interest from water vapour (Muller et al., 1999; Briz et al., 2007). Multivariate methods have been proposed to improve gas quantification from spectral interferences including water vapour by selecting broad spectral windows of gases of interest (Haaland and Easterling, 1980; Haaland and Thomas, 1988; Hart and Griffiths, 1998 and 2000; Hart et al., 1999 and 2000; Muller et al., 1999). The most common method in commercial quantification packages is the classical least squares (CLS) quantitative model (Griffiths and de Haseth, 2007). Studies showed that the interference of water vapour was mitigated by either considering reference spectra of water vapour or through selection of appropriate spectral windows in CLS models (ASTM, 2013; Haaland and Easterling, 1980; Hart and Griffiths, 2000; Horrocks et al., 2001; Jiang et al., 2002; Du et al., 2004; Briz et al., 2007; Lin et al., 2019). Other studies, however, found that CLS models resulted in substantial quantification errors of the targeted gas under the interference of the non-targeted gases (mostly water vapour) even if the reference spectra of all gas species and the optimal spectral window were considered (Hart et al., 1999; Briz et al., 2007; Shao et al., 2010; Lin et al., 2019). As a result, the partial least squares (PLS) algorithm was proposed to improve the accuracy of gas quantification (Haaland and Thomas, 1988; Hart et al., 2000; Shao et al., 2010; Lin et al., 2019).

The features of gas rotation-vibrational absorption bands are temperature dependent (Lacome et al., 1984; Rothman et al., 2005). Ideally, sample and reference spectra should be collected at the same temperature to avoid temperature-related bias (Russwurm and Childers, 1999; ASTM, 2013). Training spectra for building quantitative models, such as CLS, were generally collected at the same temperature. The non-linear responses of spectral absorbance to significant diurnal variations in temperature will lead to errors in gas quantification (Russwurm and Phillips, 1999; de Castro et al., 2007; Smith et al., 2011). For continuous field measurements, it is time-consuming to create piecewise models to cover the entire ranges of 1) the path-integrated concentrations of gases of interest and interferences, and 2) temperature. A PLS model was developed to cover the wide ranges of environmental variables, including concentrations, path lengths, humidity, and temperature (Bjorneberg et al., 2009; Griffiths et al., 2009; Shao et al., 2010 and 2011).

Besides changes in water vapour content and temperature, the experimental configuration and optical parameters also influence OP-FTIR spectra. Compared with extractive methods, one of the advantages of OP-FTIR is the ability to use different path lengths to measure gases from multiple sources (Russwurm and Childers, 1999; Bacsik et al., 2006). OP-FTIR measurements require electronic gain to fill the analogue-to-digital converter of the instrument. Consequently, short optical path lengths can over saturate the detector that introduces error in gas quantification (Bartoli et al., 1974; Chase, 1984; Griffiths and de Haset, 2007). A long path length increases the sensitivity for gas quantification, but the increased length reduces the intensity of the incident signal and decreases the signal-to-noise ratio (Griffith and Jamie, 2006; Nelson et al., 1996; Kosterev et al., 2008). Spectral resolution and apodization also affect the spectral linearity (Griffiths, 1994; Zhu and Griffiths, 1998; Russwurm and Phillips, 1999; Childers et al., 2002). Lower resolution spectra are incapable of resolving absorption features. Even though the apodized interferogram (IFG) can reduce noises (or spurious oscillations) of a single-beam (SB) spectrum converted by Fourier transformation, different apodization functions affect spectrum linearity differently. The non-linear relationship between absorbance and concentrations disobeys Beer-Lambert Law and leads to biases in gas quantification (Haaland, 1987; Russwurm and Phillips, 1999; Childers et al., 2001a). Alternatively, the non-linear least squares (NLLS) regression function was proposed to correct non-linear behaviour of the Beer-Lambert law and improve the quantitative accuracy (Smith et al., 2011; Griffith et al, 2012; Paton-Walsh et al., 2014; Phillips et al., 2019).

For OP-FTIR measurements, many studies minimized environmental interferences (e.g. water vapour or wind speed) by developing methods for spectral analyses and gas quantification (Hong and Cho, 2003; Hart et al., 1999 and 2000; Muller et al., 1999; Childers et al., 2002; Briz et al., 2007; Shao et al., 2007; Griffiths et al, 2009; Shao et al., 2010; Lin et al., 2019). Briz et al. (2007) examined the difference in water vapour content (0.5 % vs. 2.5 %) on trace gas quantification but their study did not include N<sub>2</sub>O. Shao et al. (2007) investigated the effect of wind on spectrometer vibrations and spectra qualities but did not address its influence on gas quantification. The influences of temperature on trace gas absorption intensity and bandwidth have been established for some simple systems (e.g. single gas component shown in the high-resolution transmission molecular absorption (HITRAN) database published by Rothman et al., 2005); however, only limited studies assessed temperature effect on gas quantification using OP-FTIR. Horrocks et al. (2001) and Smith et al. (2011) used OP-FTIR spectrometer and a gas cell purged with dry samples to measure the temperature-related error in SO<sub>2</sub>, CO, CO<sub>2</sub>, and CH<sub>4</sub> quantification, but not for N<sub>2</sub>O.

To the best of our knowledge, there has never been a study of exploring the influence of changing temperature and path length on N<sub>2</sub>O quantification under interferences of water vapour in spectra. It is challenging to test the quality of OP-FTIR methods for multiple gas quantification due to interferences and the lack of proper measurement benchmarks. Also, the influence of water vapour is confounded by temperature and path length effects. In this study, the influence of water vapour, temperature, path length, and wind speed on N<sub>2</sub>O and CO<sub>2</sub> quantification are examined using lab and field-based (OP-FTIR) methods.

## 2 Materials and experimental methods

### 2.1 Lab FTIR experiment

The lab FTIR experiment was used to assess the effects of water vapour and air temperature on N<sub>2</sub>O quantification from spectra. An FTIR spectrometer equipped with a variable-path length gas cell was used to acquire reference spectra of N<sub>2</sub>O, water vapour, and N<sub>2</sub>O plus water vapour mixtures (i.e. wet N<sub>2</sub>O) as shown in Fig. 1a.

#### 2.1.1 Instrumentation setup

The lab FTIR spectrometer (Nexus 670, Thermo Electron Corporation, Madison, WI) was equipped with a KBr beam splitter, and a high-D\* MCT detector was used to analyse gas samples using a multi-pass gas cell (White cell) (model MARS-8L/40L, Gemini Scientific Instruments, CA) with an optical path length of 33 m. Spectra range of 700.0-4000.0 cm<sup>-1</sup>, optical velocity of 0.6 cm s<sup>-1</sup>, and a resolution of 0.5 cm<sup>-1</sup> were selected for spectra acquisition. Each spectrum was acquired by co-adding 64 IFGs using the OMNIC software package (Thermo Fisher Scientific, Inc.) A triangular function was applied to apodize an IFG for converting an SB spectrum using the Fourier transform. A sampled SB spectrum contained the visible information of gases of interest and interferences. A background SB spectrum was collected from pure N<sub>2</sub> and used to convert a sampled SB spectrum to an absorbance spectrum. The temperature of the gas cell was controlled and monitored (model 689-0005, Barnant Co., Barrington, IL). The spectrometer was purged with dry air (-20 °C dew point) from a zero air generator (model 701H, Teledyne, Thousand Oaks, CA). Gas samples were produced using a diluter (series 4040, Environics Inc, Tolland, CT). The mole fraction (ppbv) of N<sub>2</sub>O was diluted with ultra-pure N<sub>2</sub> gas. Water vapour concentrations (ppmv) were controlled by a Nafion tube (Perma Pure, Lakewood, NJ) enclosed within a sealed container containing saturated water vapour. The concentration of saturated water vapour in the container was adjusted by controlling temperature of the water bath. Wet N<sub>2</sub>O gas samples were produced by passing dry N<sub>2</sub>O from the diluter through Nafion tube with a constant flow rate (4 L·min<sup>-1</sup>). Gas samples were continuously introduced into the White cell. Humidity and temperature probes (model HMT330, Vaisala Oyj, Helsinki, Finland) were used to monitor the humidity and temperature of the introduced gas samples. In this study, N<sub>2</sub>O (dry and wet) was diluted from 30.0 ppmv (N<sub>2</sub>O in N<sub>2</sub>) to 310.0, 400.0, 500.0, 600.0, and 700.0 ppbv with various water vapour contents (a relative humidity of 20 %, 40 %, 60 %, and 80 % at 30 °C).

#### 2.1.2 Data collections and gas quantification

Spectra were collected when the N<sub>2</sub>O and water vapour concentrations and temperature of the introduced samples were steady. Concentrations of N<sub>2</sub>O and water vapour were calculated from the mixed-gas (wet N<sub>2</sub>O) absorbance spectra by CLS and PLS models using TQ Analyst software Version 8.0 (Thermo Fisher Scientific, Inc.) A total of 9 single-gas spectra of dry N<sub>2</sub>O (310.0-700.0 ppbv) and water vapour (7000-28 000 ppmv) were used to build CLS models. A total of 60 mixed-gas spectra were used to build PLS models. Spectral windows (Table 1) and linear baseline correction were applied in CLS and PLS models to calculate N<sub>2</sub>O. Two windows reported by Lin et al. (2019) were used for N<sub>2</sub>O quantification (W<sub>N1</sub>: 2170.0-2223.7

cm<sup>-1</sup> and W<sub>N3</sub>: 2188.7-2204.1 + 2215.8-2223.7 cm<sup>-1</sup>). In PLS models, optimum factors were determined by cross validation and justified by the prediction of residual error sum of squares (PRESS) function to avoid over-fitting issues (see Table S2 in the Supplement published by Lin et al., 2019). The influence of air temperature on N<sub>2</sub>O quantification using CLS and PLS was examined by collecting spectra at 30, 35, and 40 °C.

## 5 2.2 Open-path FTIR experiment

The OP-FTIR experiment was used to assess the effects of water vapour, air temperature, path lengths, and wind speed on the quantification of N<sub>2</sub>O and CO<sub>2</sub> from field spectra. The field instrumentation and configuration were shown in Fig. 1b.

### 2.2.1 Site description and instrumentation setup

The field study was conducted at Purdue University Agronomy Center for Research and Education of West Lafayette, Indiana, the United States (86°56′ W, 40°49′ N). The predominant soil series at the study site was a Drummer silty clay loam (fine-silty, mixed, mesic Typic Endoaquoll). The bulk density of topsoil (0-10 cm) and organic matter (0-20 cm) was measured 1.6 g·cm<sup>-3</sup> and 3.8 %, respectively. The experimental site (Purdue Field Trace Gas Flux Facility) was between two maize cropping fields (~10 m apart) with anhydrous ammonia applications. A monostatic OP-FTIR (IR source, interferometer, transmitting and receiving telescope, and detector combined in one instrument) spectrometer (model 2501-C, MIDAC Corporation, Irvine, CA) was used to collect field-IR spectra. A retroreflector with 26 corner-cubes (cube-length of 76 mm) was mounted on a tripod 150 m from the spectrometer corresponding to an optical path of 300 m. The experiment of varying path lengths was conducted using optical path lengths of 100, 200, and 300 m.

### 2.2.2 Data collections and gas quantification

The same sample collection parameters were used to collect both OP-FTIR and lab-FTIR spectra. OP-FTIR spectra were collected using the AutoQuant Pro4.0 software package (MIDAC Corporation, Irvine, CA). Each field spectrum was collected by co-adding 64 IFGs and a resolution of 0.5 cm<sup>-1</sup>. A zero-filling factor of two, triangular apodization, and Mertz phase correction were applied to convert an IFG into an SB spectrum. A stray-light SB spectrum was collected by pointing the spectrometer telescope away from the retroreflector and subtracted from sampled SB spectra for stray-light correction. Quality control and assurance procedures (Russwurm, 1999; ASTM, 2013; Russwurm and Childers, 1999; Childers et al., 2001b; Shao et al., 2007) were used to evaluate spectra qualities and the influence of wind-induced vibrations. The corrected field SB spectra were converted to absorbance spectra using the synthetic SB background (syn-bkg) spectra shown by Lin et al. (2019).

Gas concentrations derived from the OP-FTIR absorbance spectra were also calculated by CLS and PLS models. Single-gas reference spectra were generated from the HITRAN database (Rothman et al., 2005) and used to create CLS models. Identical parameters, including resolution, apodization, zero-filling factor, were used to acquire both reference and field spectra. The HITRAN reference spectra, including N<sub>2</sub>O, CO<sub>2</sub>, and water vapour, were generated at 30 °C to calculate N<sub>2</sub>O and CO<sub>2</sub>

concentrations from field spectra using optimum windows ( $W_{N3}$  for  $N_2O$  and  $W_{C2}$  for  $CO_2$  shown in Table 1) published by Lin et al. (2019). Both processes of the absorbance spectra conversion (based on the syn-bkg spectrum) and CLS models were conducted using the IMACC software (Industrial Monitoring and Control Corp., Round Rock, TX). The third-degree polynomial function was used to correct the non-linear response of the CLS-calculated concentration to the actual concentration. More details regarding the IMACC quantification package were described in the IMACC user manual attached as the supplementary material. The PLS models were built using lab-FTIR measurements and only used for estimating  $N_2O$  concentrations.

Ambient temperature and relative humidity in the field were measured using an HMP45C probe (Vaisala Oyj, Helsinki, Finland) at 1.5 m above ground level (a.g.l.). The mean wind speed was measured by a 3-D sonic anemometer (model 81000, RM Young Inc., Traverse City, MI) mounted at 2.5 m a.g.l. and recorded at 16 Hz. A 50-m synthetic open path gas sampling system (S-OPS) (Heber et al., 2006) was used to collect gas samples along the OP-FTIR optical path to analyse the path-averaged concentrations of  $N_2O$  and  $CO_2$  using a difference frequency generation mid-IR (DFG-IR) laser-based  $N_2O$  gas analyser (IRIS 4600, Thermo Fisher Scientific Inc., Waltham, MA) and a non-dispersive IR (ND-IR)  $CO_2$  gas analyser (LI-840, LI-COR Inc., Lincoln, NE), respectively. The  $N_2O$  and  $CO_2$  analysers provided a high precision for  $N_2O$  ( $< 0.15$  ppbv,  $1\sigma$ ) and  $CO_2$  ( $< 1.0$  ppmv,  $1\sigma$ ) measurements, so the path-averaged concentrations measured from the S-OPS were used as benchmarks to examine the accuracy and the sensitivity of OP-FTIR on gas quantification.

### 2.2.3 Path lengths experiment

A variable path length between an OP-FTIR spectrometer and a retroreflector resulted in different path-integrated concentrations and the depth of gas absorbance in SB spectra. The complexities of  $N_2O$  absorption features within the 2170.0-2224.0  $cm^{-1}$  range required high spectral resolution (Fig. 3). For  $N_2O$ , the increased absorbance, resulting from a longer path length, likely improves its quantitative sensitivity and accuracy. Spectra were collected from physical lengths of 50, 100, and 150 m (Fig. 1b) using the same parameters. During the measurement (14:30-18:30, local time (LT) on 6 May 2016), background concentrations of  $N_2O$  ( $349.0 \pm 0.5$  ppbv) and  $CO_2$  ( $400.0 \pm 4.4$  ppmv), ambient temperature and humidity (the relative humidity of 35.0 % at 25 °C) remained nearly constant. The spectra acquired from different path lengths were analysed by CLS models for  $N_2O$  and  $CO_2$  concentrations and by PLS models only for  $N_2O$ .

### 2.4 Quantitative accuracy

Quantitative accuracy/bias was determined by the relative error of the path-averaged concentrations between FTIR ( $x_i$ ) and the true gas concentrations ( $x_t$ ) of either the introduced gas (Lab) or the S-OPS measurements (Field), following Eq. (1):

$$\text{Bias} = [(x_i - x_t)/x_t] \times 100 \% \quad (1)$$

### 3 Results and discussion

Quantification of target gas concentrations from either lab- or field-based FTIR spectra requires knowledge of the optimum spectral window (the spectral region used for quantification). In general, broadening the spectral windows will contain more spectral features that can be used for quantification. At the same time, however, broader windows will also contain more contributions from interfering constituents (e.g. water vapour). The optimum window would have clean spectral features of the target species with minimal spectral interference from other gases. For N<sub>2</sub>O quantification, our previous work showed that the optimum window was to integrate two intervals of 2215.8-2223.7 and 2188.5-2204.1 cm<sup>-1</sup> (W<sub>N3</sub> shown in Lin et al., 2019). In this paper, two windows (W<sub>N1</sub> and W<sub>N3</sub>) and models (CLS and PLS) from the previous study were used to predict N<sub>2</sub>O concentrations.

#### 3.1 Lab FTIR experiment

##### 3.1.1 Water vapour effect

Water vapour interfered with spectral windows and resulted in underestimations of N<sub>2</sub>O concentrations using CLS models; increased water vapour increased the bias (Fig. 2). The PLS model provided more accurate predictions for N<sub>2</sub>O than the CLS. The CLS accurately predicted gas concentrations only when the water vapour was absent or limited in spectra (Hong and Cho, 2003; Esler et al., 2000; Shao et al., 2010; Smith et al., 2011). In open-path measurements, CLS was often observed to underestimate gas concentrations, as reported by Childers et al. (2002), Briz et al. (2007), Shao et al. (2010), and Lin et al. (2019). Absorbance spectra of dry and wet N<sub>2</sub>O showed that the interference of water vapour compromised the intensity of the N<sub>2</sub>O P-branch absorbance (Fig. 3). The reduced N<sub>2</sub>O absorbance in wet samples resulted in underestimations of N<sub>2</sub>O concentrations using CLS models created based on references of dry N<sub>2</sub>O samples and water vapour. PLS models, created by wet N<sub>2</sub>O references, showed improved accuracy in wet samples but overestimated N<sub>2</sub>O in dry samples (Fig. 2). It is still unclear how water vapour interfered with gas quantification. The N<sub>2</sub>O underestimation (based on the CLS model predictions) resulting from the attenuated absorbance was hypothesized due to the inadequate spectral resolution. High resolution is required to resolve rotation-vibrational gas spectral features (e.g. full-width at half height ~ 0.2 cm<sup>-1</sup>) to avoid spectral nonlinearity to concentrations (ASTM, 2013; Griffiths and de Haseth, 2007; Russwurm and Phillip, 1999; Muller et al., 1999). Absorption features of N<sub>2</sub>O were strongly overlapped by water vapour within 2170.0-2224.0 cm<sup>-1</sup>. In order to resolve absorbance spectra of multiple gases and spectral overlaps, spectral resolution higher than 0.2 cm<sup>-1</sup> would be suggested.

##### 3.1.2 Temperature effect

The temperature-sensitivity of gas-phase FTIR spectra results in non-linearity of absorbance to temperature. Bias will be introduced if there is a temperature difference between reference and sample spectra (Russwurm and Phillip, 1999; Smith et al., 2011). The effect of this delta temperature on N<sub>2</sub>O quantification is shown in Fig. 4a. Spectra of wet N<sub>2</sub>O (310 ppbv N<sub>2</sub>O blending with 21 000 ppmv water vapour) were collected at 30 °C, 35 °C, and 40 °C. Reference spectra of dry N<sub>2</sub>O, water

vapour, and wet N<sub>2</sub>O were acquired at 30 °C and used to calculate N<sub>2</sub>O concentrations from spectra collected at temperatures of 35 °C and 40 °C. The difference in temperature led to biases in N<sub>2</sub>O calculations (Fig. 4a). Smith et al. (2011) calculated concentrations of CO<sub>2</sub>, CH<sub>4</sub>, and CO using the Multi-Atmospheric Layer Transmission (MALT) (Griffith, 1996) and showed that temperature-related error was approximately 3.0 % when the delta temperature was within 10 °C. As mentioned, water vapour present in spectra resulted in underestimations of N<sub>2</sub>O by CLS models (Fig. 2), and this bias further increased with increasing the delta temperature. For instance, the bias increased from -3.0 to -5.0 % with increasing temperature from 30 to 40 °C using CLS models (Fig. 4a). Sources to this bias appeared to include 1) temperature-broadening of N<sub>2</sub>O and 2) temperature-induced interference of water vapour (i.e. greater interference at increased temperature). Increased temperature tends to broaden the width of absorption lines in the rotation-vibration N<sub>2</sub>O spectra and results in underestimations of gas concentrations. The increased strength of water vapour led to more interference in spectral analyses and great biases (Fig. 4b). PLS methods showed less sensitivity to temperature (Fig. 4a).

## 3.2 Open-path FTIR experiment

### 3.2.1 Water vapour effect

In fields, water vapour ranged from 5000 to 20 000 ppmv during 9-19 June 2014. The increased water vapour showed the increased N<sub>2</sub>O biases using CLS. In the lab experiment, water vapour increasing from 5000 to 20 000 ppmv at 30 °C only showed consistent underestimations of N<sub>2</sub>O by approximately 3.0 % using CLS (Fig. 2). The negligible correlation between water vapour content and the N<sub>2</sub>O bias ( $R^2 = 0.20$  shown in Fig. 5a) was because water vapour was confounded by temperature (i.e. temperature ranged from 10 °C to 35 °C in fields) and became insignificant when the calculated biases were categorized by temperature (e.g.  $R^2 = 0.01$  at the interval of 25-30 °C, data not shown). CO<sub>2</sub> measured by CLS was less sensitive to changing water vapour content ( $R^2 = 0.05$ ) than N<sub>2</sub>O (Fig. 5b) in field measurements, presumably due to the less water vapour absorption features in 2075.5-2084.0 cm<sup>-1</sup> than 2170.0-2224.0 cm<sup>-1</sup> (Lin et al., 2019). For PLS calculations, N<sub>2</sub>O biases became consistent but slightly increased with increasing water vapour (Fig. 5c).

### 3.2.2 Temperature effect

Increased air temperature increased both N<sub>2</sub>O and CO<sub>2</sub> biases from CLS models (Fig. 5d and 5e). A strong correlation of air temperature to N<sub>2</sub>O biases ( $R^2 = 0.86$ ) showed the N<sub>2</sub>O quantification was more sensitive to temperature effects than CO<sub>2</sub> ( $R^2 = 0.39$ ). The lab experiment (Fig. 4) showed that CLS underestimated N<sub>2</sub>O by 3.0 % in wet air for samples with a low delta-temperature. N<sub>2</sub>O calculations from OP-FTIR spectra, however, were underestimated by 12.0 % (approximately 36 ppbv less than the true value) even if the HITRAN reference and sample spectra were collected at the same temperature (i.e. 30 °C). The excess bias (12.0 % minus 3.0 %) resulted from interferences from CO and CO<sub>2</sub> in 2170.0-2224.0 cm<sup>-1</sup> and inherent uncertainties in line intensities and bandwidths of gas absorbance from HITRAN database (Rothman et al., 2005). The CLS-calculated CO<sub>2</sub> values were less influenced by temperature than N<sub>2</sub>O (Fig. 5e), attributed to the reduced complexity of CO<sub>2</sub>



absorption features in the 2075.0-2085.0  $\text{cm}^{-1}$  region, and less interference of water vapour within this region (Lin et al., 2019). Since the temperature-dependent absorption lines vary with species and wavelengths, the resolution parameter and gas quantification react differently to a changing environmental temperature (Smith et al., 2011). The PLS models were less influenced by temperature for  $\text{N}_2\text{O}$  quantification ( $R^2 = 0.05$ ) and provided better accuracy for  $\text{N}_2\text{O}$  estimations (Fig. 5f).

### 5 3.2.3 Path length effect

OP-FTIR spectra containing  $\text{N}_2\text{O}$  concentrations of  $349.0 \pm 0.5$  ppbv and  $\text{CO}_2$  concentrations of  $400.0 \pm 4.4$  ppmv were collected from optical lengths of 100, 200, and 300 m. As path lengths decreased, both  $\text{N}_2\text{O}$  and  $\text{CO}_2$  concentrations were underestimated (Fig. 6a and 6b). For  $\text{N}_2\text{O}$ , CLS-derived concentrations were more sensitive to changing path lengths than PLS (Fig. 6a). The Beer-Lambert law should show a linear response of absorbance to the path-integrated concentration.

10 Nevertheless, the path-averaged absorbance of  $\text{N}_2\text{O}$  and  $\text{CO}_2$  (i.e.  $\frac{\text{Absorbance}}{\text{Path length(m)}}$ ) did not conform to the Beer-Lambert law even though background concentrations of  $\text{N}_2\text{O}$  and  $\text{CO}_2$  were consistent (Fig. 6c and 6d), showing that there was a non-linear response of OP-FTIR spectra to the path-integrated concentrations. Several reasons may have caused non-linearity issues, such as detector saturation, spectral resolution, and apodization (ASTM, 2013; Russwurm and Childers, 1999; Griffiths and de Haset, 2007). Detector saturation at short distances was avoided by examinations of the IFG centre burst and SB spectra (i.e. 15 the elevated baseline below the detector cut-off, usually  $600.0 \text{ cm}^{-1}$ ) in this study (ASTM, 2013).

Presumably, this short-path-derived bias (Fig. 6a and 6b) resulted from the inadequate spectral resolution. Short path lengths reduced the absorbance depth in an SB spectrum and sensitivity for quantification. Poorly resolved absorbance spectra could lead to a spectral non-linear response with different path-integrated concentrations (Zhu and Griffiths, 1994; Russwurm and 20 Phillips, 1999). Also,  $\text{N}_2\text{O}$  quantification was more sensitive to path lengths than  $\text{CO}_2$ . With increasing optical path lengths from 100 m to 300 m, the accuracy of  $\text{N}_2\text{O}$  calculated from CLS models increased by approximately 20.0 % ( $\text{N}_2\text{O}$  biases reduced from  $-30.0$  % to  $-10.0$  % shown in Fig. 6a). For  $\text{CO}_2$ , the accuracy only increased 2.5 % ( $\text{CO}_2$  biases reduced from  $-7.5$  % to  $-5.0$  % shown in Fig. 6b). The difference in sensitivity between gas quantification and path length was attributed to the complexity of absorbance spectra.  $\text{N}_2\text{O}$  absorption features in  $2170.0\text{-}2224.0 \text{ cm}^{-1}$  were more complicated than  $\text{CO}_2$  in 25  $2075.0\text{-}2085.0 \text{ cm}^{-1}$ ; furthermore, more interfering gases ( $\text{CO}$ ,  $\text{CO}_2$ , and water vapour in  $2170.0\text{-}2224.0 \text{ cm}^{-1}$ ) interfered with  $\text{N}_2\text{O}$  quantification (Lin et al., 2019). A triangular apodization function applied in spectra results in a non-linear response (Russwurm and Phillips, 1999). The poorly-resolved spectra containing multiple gas species likely complicated the magnitude of the non-linearity led by apodization, which, however, was not evaluated by this study. The PLS methods reduced  $\text{N}_2\text{O}$  biases and showed less sensitivity to path length than CLS (Fig. 6a).

### 3.2.3 Wind speed effect

Nitrous oxide is predominately produced via soil microbial activities (nitrification and denitrification) and CO<sub>2</sub> is from respirations from soil microbes and vegetation (Mosier et al., 1996). As a result of soil and crop heterogeneities, multiple sources, and intermittent fluxes of N<sub>2</sub>O and CO<sub>2</sub> from soil and/or canopy result in inhomogeneous gas concentrations under low winds. Since the path length of the S-OPS (50-m) was different from the OP-FTIR (the physical length of 150 m), the gas uniformity across the 150 m influenced their path-averaged concentrations. The difference in the path-averaged N<sub>2</sub>O and CO<sub>2</sub> concentrations between the S-OPS and OP-FTIR was used to calculate quantification bias (Fig. 7). Variabilities of N<sub>2</sub>O and CO<sub>2</sub> biases were small but increased when the wind speed was less than 2 m s<sup>-1</sup> (Fig. 7c and Table S1 in the Supplement). This increased variability inferred the poorly-mixed air (< 2 m s<sup>-1</sup>). Thus, decreasing wind speed and turbulent mixing tended to increase gas concentration differences between the S-OPS and OP-FTIR. During the low wind environment, CO<sub>2</sub> bias showed higher variability than N<sub>2</sub>O presumably due to a greater environmental variation in CO<sub>2</sub> concentrations than N<sub>2</sub>O (Lin et al., 2019). Low wind conditions likely occurred during the night period. For instance, 22.0 % (57 out of 259) of the collected data (30-min-averaged N<sub>2</sub>O) collected from low winds (< 2 m s<sup>-1</sup>) was from daytime measurements (06:00-20:00, LT), and 36.2 % (47 out of 130) was from nighttime measurements (20:00-06:00, LT) (Fig. 7a).

## 4 Conclusion and recommendations

In this study, we have evaluated the effects of water vapour, temperature, path length, and wind speed on open-path FTIR measurements of N<sub>2</sub>O and CO<sub>2</sub> quantified by CLS and PLS models. Water vapour in spectra underestimated N<sub>2</sub>O concentrations by 3.0 % (lab experiment) and 12.0 % (field experiment) at 30 °C using CLS models. PLS models improved the accuracy of N<sub>2</sub>O quantification (lab bias = -0.6 ± 0.4 % and field bias = 2.0 ± 0.8 %). Differences in temperature between reference and sample spectra led to errors in gas quantification. Increased air temperature significantly increased quantification bias using CLS models. For wet N<sub>2</sub>O, 10 °C difference introduced 1.9 % (Lab) and 9.1 % (Field) more biases in gas concentrations. PLS models were less sensitive to temperature. Short path lengths reduced the sensitivity and accuracy for gas quantification, and CLS models were more sensitive to changing path lengths than PLS. These short-path-led biases were presumably due to the inadequate spectral resolution. CO<sub>2</sub> quantification using CLS model was less influenced by environmental variables than N<sub>2</sub>O likely due to the less complex absorption features. The wind affected the mixings of gases and the low wind speed (< 2 m s<sup>-1</sup>) led uncertainties in the path-averaged concentrations.

The partial least squares model generally provided more accurate measurements than CLS if the gas of interest is strongly interfered by water vapour (or other interferences) (e.g. strong overlap of water vapour absorbance features or broad spectral windows). PLS is also less sensitive to environmental variables than CLS. For OP-FTIR measurements, the CLS-calculated concentrations need to be verified carefully for quality assurance and to avoid substantial underestimations. Path lengths must be adequate, which can be checked by conducting a path length experiment before measurements. For the users interested in

multi-source measurements, we suggested avoiding a great difference in path lengths if CLS models are used for gas quantification. High spectral resolution ( $< 0.5 \text{ cm}^{-1}$ ) is recommended to resolve complex spectral features of either gas of interest or interferences. A high resolution also introduces more noises and increasing the scan time is suggested to increase the signal-to-noise ratio (Griffiths and de Haseth, 2007).

5

*Author contributions.* CHL, CTJ, RHG, and AJH designed the lab- and field-FTIR measurement experiments. CHL and RHG conducted the field experiment. CHL conducted the lab experiment, spectral and data analyses, and prepared the manuscript with contributions from CTJ, RHG, and AJH.

10 *Competing interests.* The authors declare that they have no conflict of interest.

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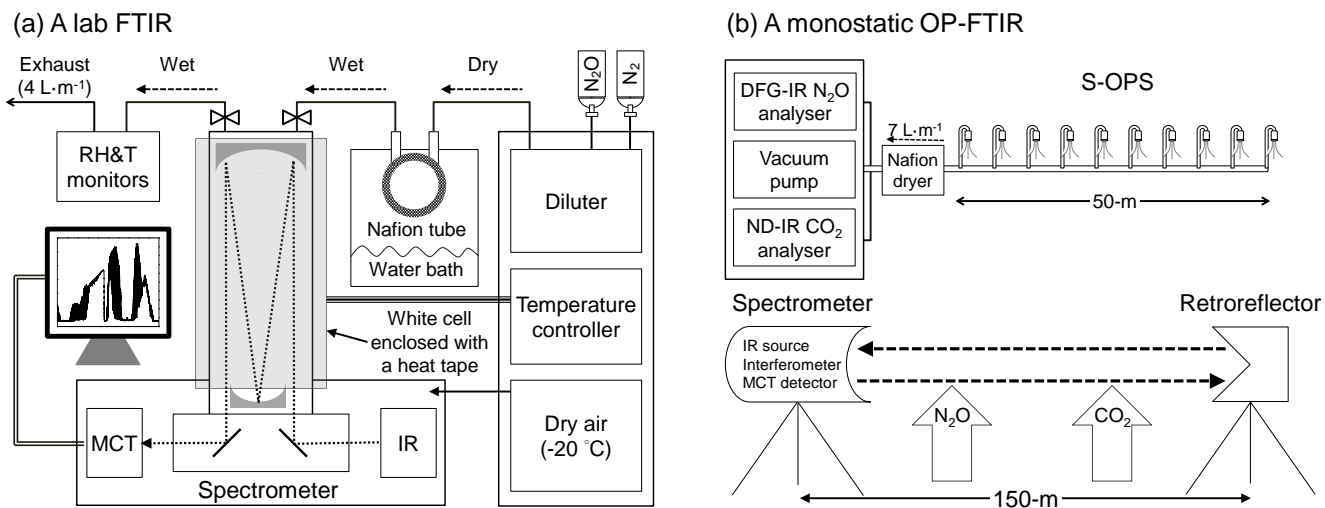
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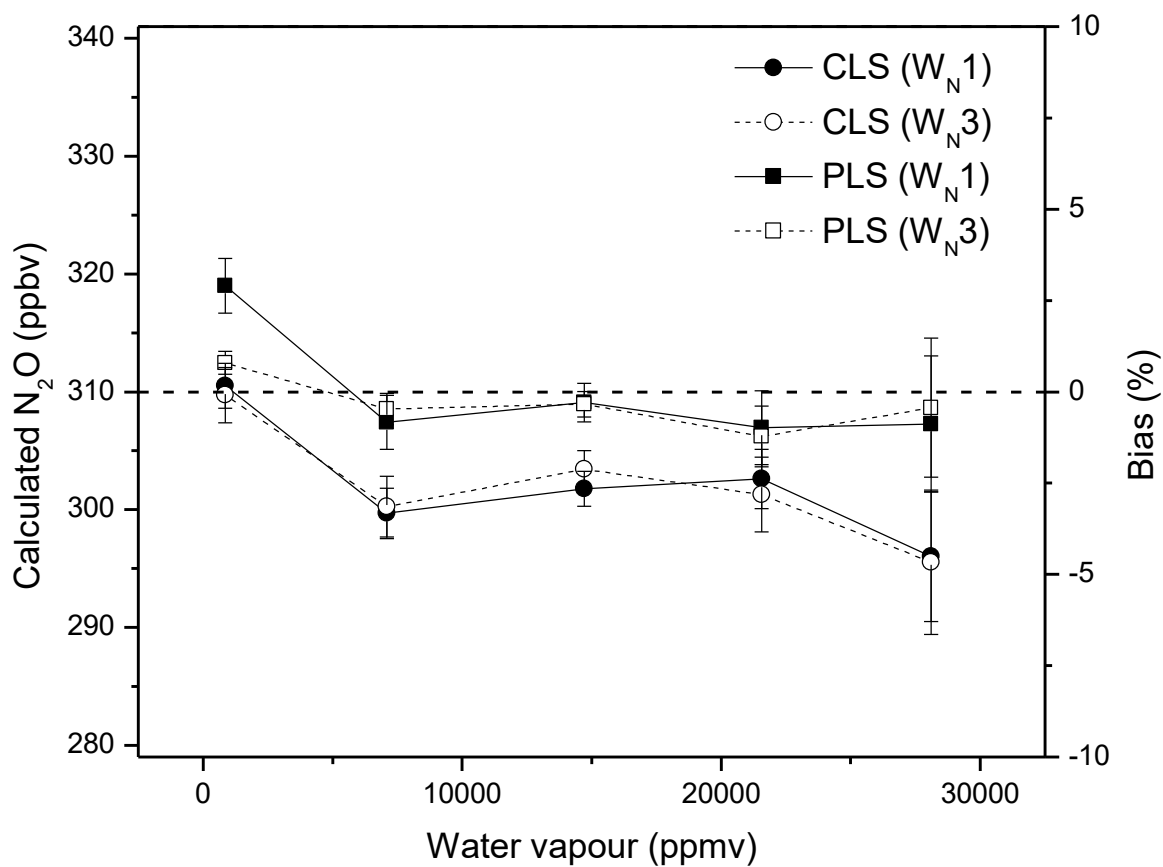
**Table1.** Spectral windows for quantification of N<sub>2</sub>O and CO<sub>2</sub>.

| Gas              | Windows (cm <sup>-1</sup> )                     | Interferences                         |
|------------------|---|---------------------------------------|
| N <sub>2</sub> O | W <sub>N</sub> 1: 2170.0-2223.7                 | H <sub>2</sub> O, CO, CO <sub>2</sub> |
|                  | W <sub>N</sub> 3: 2188.7-2204.1 + 2215.8-2223.7 | H <sub>2</sub> O, CO, CO <sub>2</sub> |
| CO <sub>2</sub>  | W <sub>C</sub> 2: 2075.5-2084.0                 | H <sub>2</sub> O                      |

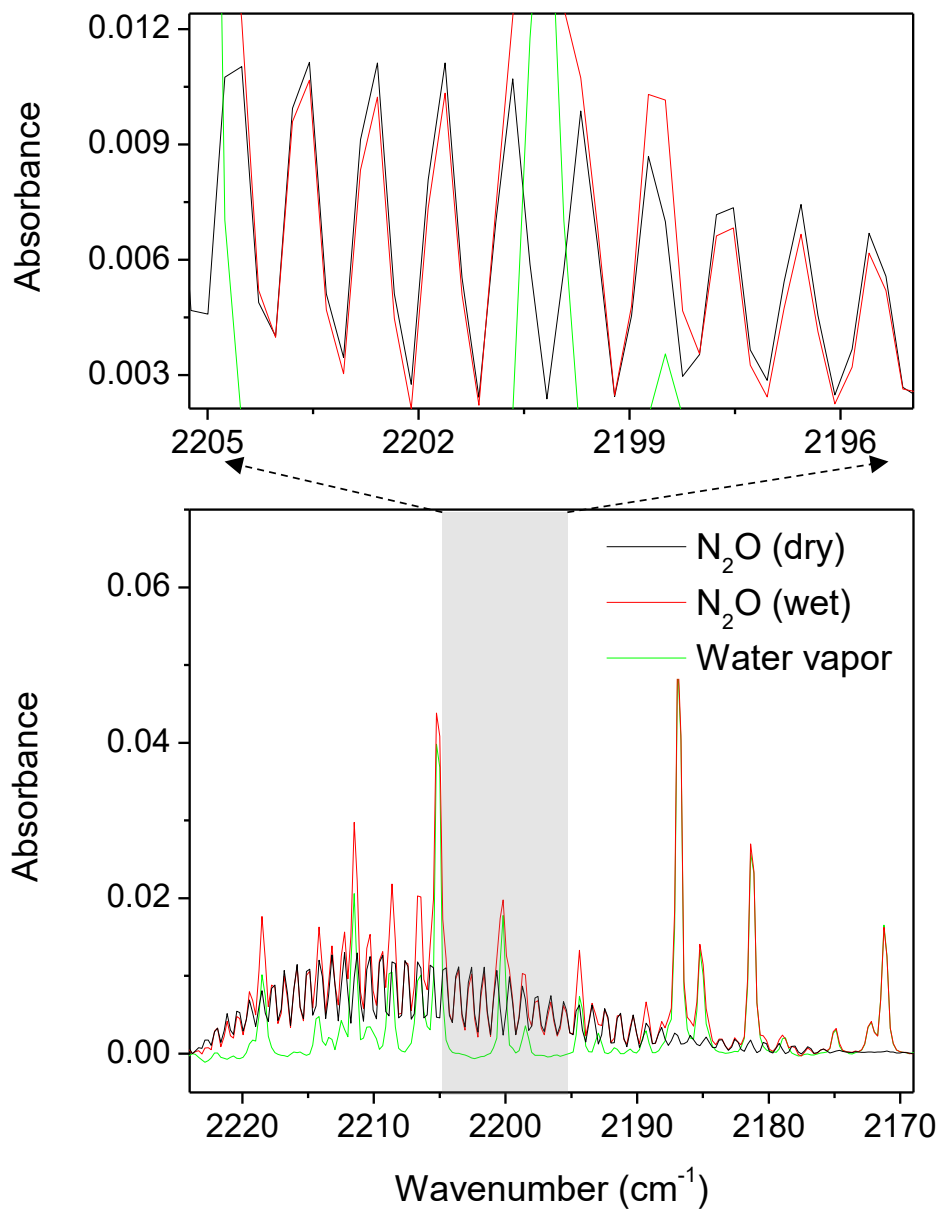




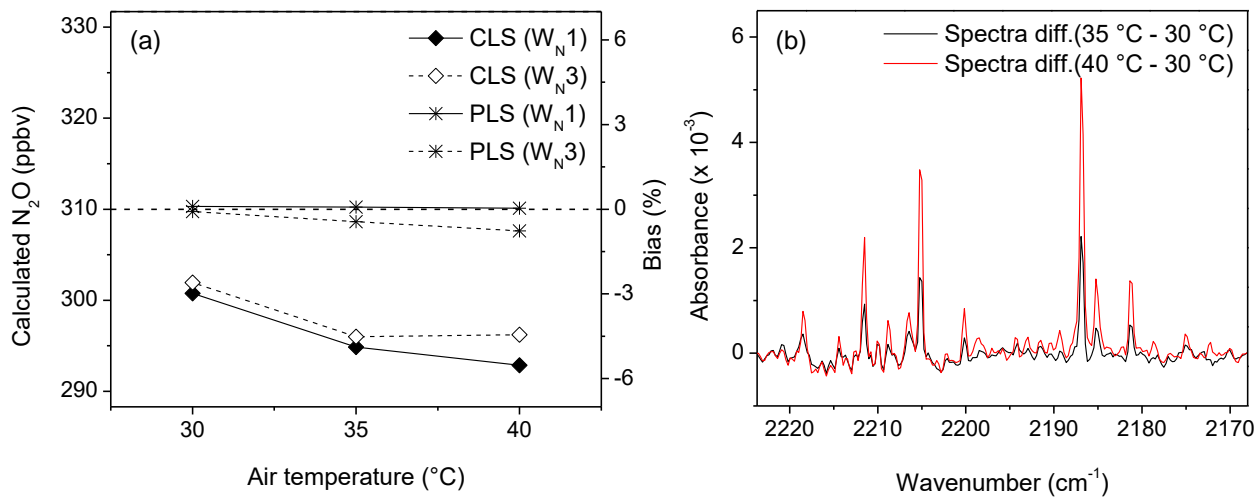
**Figure 1.** Schematic of the instrumentation used to assess the effects of water vapour and temperature on gas quantification: (a) lab-FTIR with a multi-pass gas cell (optical path length of 33 m); (b) DFG-IR N<sub>2</sub>O and ND-IR CO<sub>2</sub> analysers combined with synthetic open path gas sampling system (S-OPS) were used as benchmarks to assess quantification of N<sub>2</sub>O and CO<sub>2</sub> from OP-FTIR.



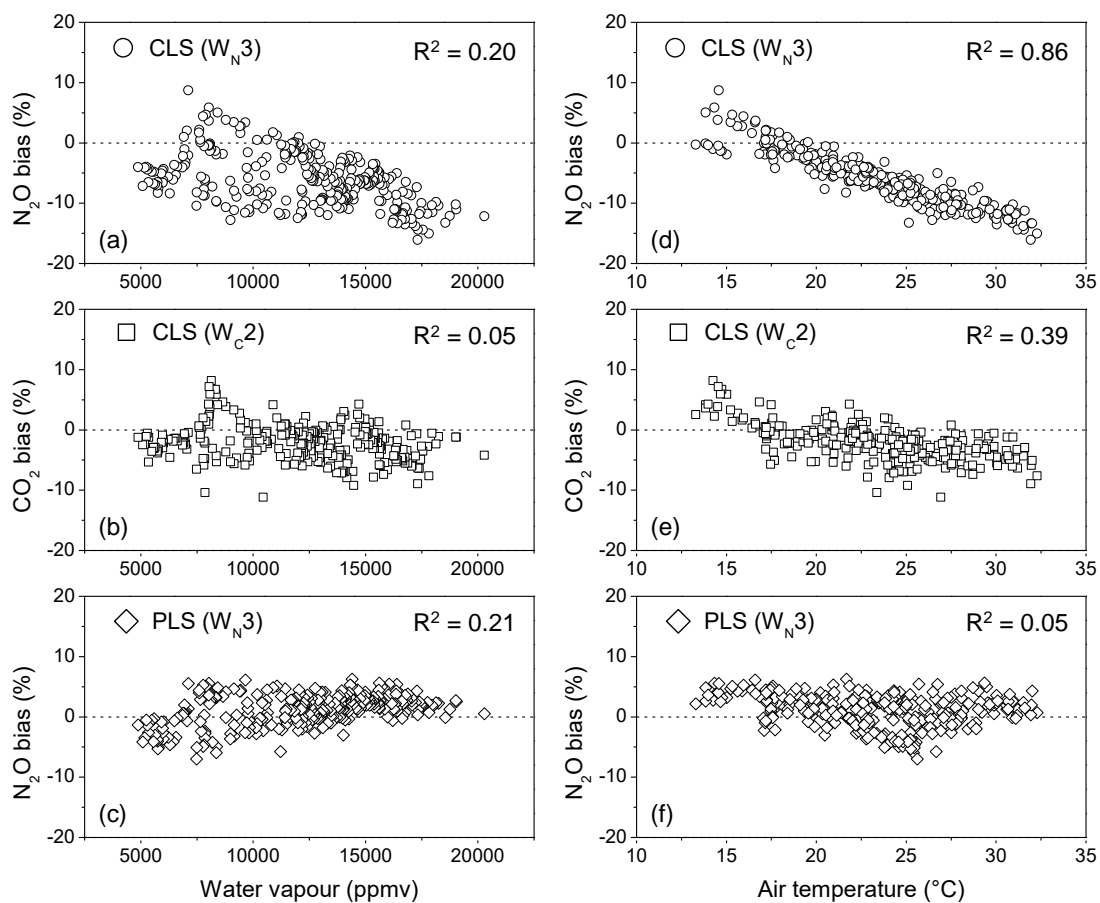
**Figure 2.** Effects of water vapour on N<sub>2</sub>O quantification: the lab-FTIR spectra of dry N<sub>2</sub>O and N<sub>2</sub>O/water vapour mixtures (310 ppbv N<sub>2</sub>O at the relative humidity of 20 %, 40 %, 60 %, and 80 % at 30 °C) were used to calculate N<sub>2</sub>O concentrations using CLS and PLS models and two spectral windows (W<sub>N1</sub> and W<sub>N3</sub>).



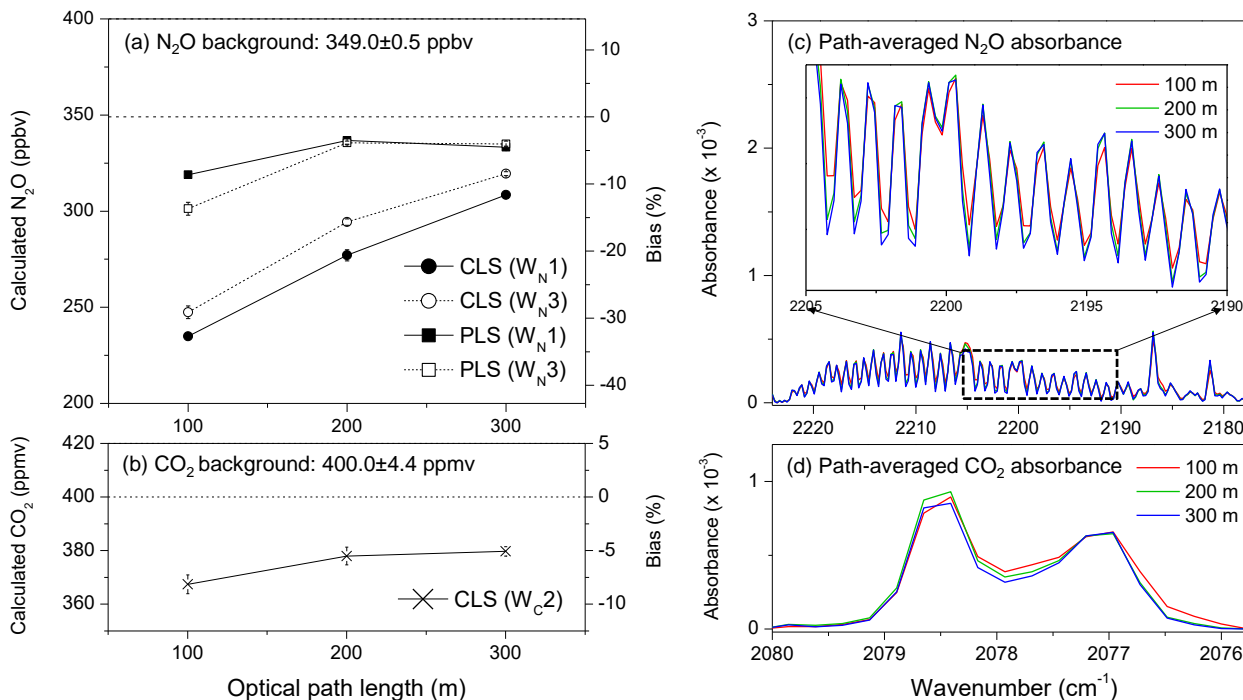
**Figure 3.** The lab-FTIR spectra of dry N<sub>2</sub>O (310 ppbv), wet N<sub>2</sub>O (310 ppbv N<sub>2</sub>O plus 28 000 ppmv water vapour), and water vapour (28 000 ppmv) were acquired at 30 °C.



**Figure 4.** Effects of temperature on N<sub>2</sub>O quantification: the lab FTIR spectra containing wet N<sub>2</sub>O (310 ppbv N<sub>2</sub>O plus 21 500 ppmv water vapour) were acquired at 30 °C, 35 °C, and 40 °C. Temperature affected (a) N<sub>2</sub>O concentrations calculated by the CLS and PLS models and two spectral windows (W<sub>N</sub>1 and W<sub>N</sub>3) and (b) spectral differences in wet N<sub>2</sub>O absorbance (310 ppbv N<sub>2</sub>O plus 21 500 ppmv water vapour) among different temperature.

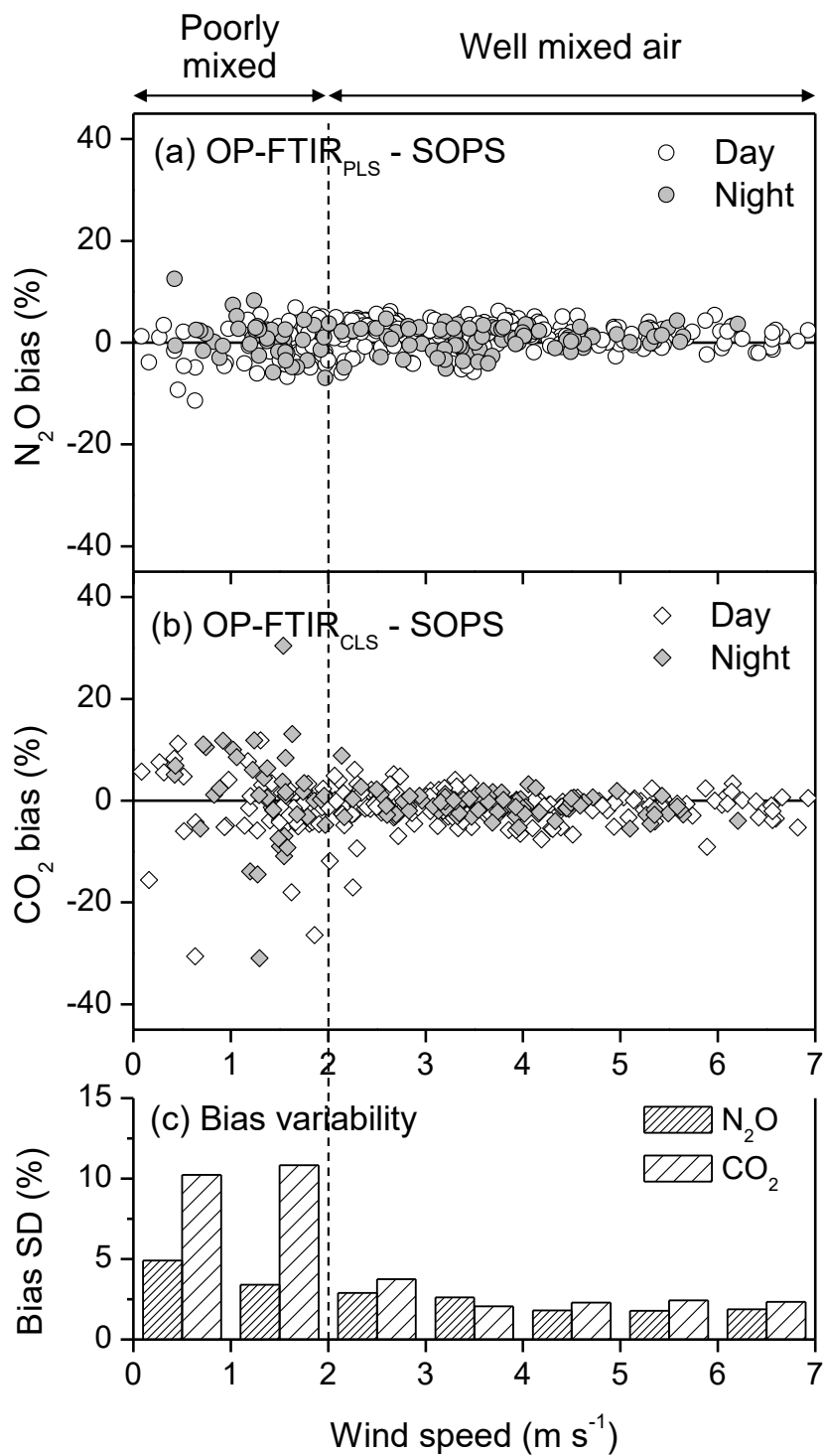


**Figure 5.** Effects of water vapour and temperature on  $N_2O$  and  $CO_2$  concentrations calculated from the OP-FTIR spectra using CLS and PLS models and the optimum windows ( $W_{N3}$  for  $N_2O$  and  $W_{C2}$  for  $CO_2$ ) during 9-19 June 2014. Assumed temperature and bias are a linear relationship.



**Figure 6.** Effects of path lengths on N<sub>2</sub>O and CO<sub>2</sub> quantification: OP-FTIR spectra were acquired under backgrounds of N<sub>2</sub>O ( $349.0 \pm 0.5$  ppbv) and CO<sub>2</sub> ( $400.0 \pm 4.4$  ppmv), and relative humidity of 35 % at 25 °C from optical path lengths of 100, 200, and 300 m for quantification of (a) N<sub>2</sub>O using CLS and PLS and windows of W<sub>N</sub>1 and W<sub>N</sub>3, (b) CO<sub>2</sub> using a CLS model and the window of W<sub>C</sub>2. The path-averaged absorbance spectra of (c) N<sub>2</sub>O and (d) CO<sub>2</sub> showed the inconsistency of absorbance spectra.

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**Figure 7.** Effects of the wind speed on differences in the path-averaged concentrations between the OP-FTIR and S-OPS: (a)  $\text{N}_2\text{O}$ , (b)  $\text{CO}_2$ , and (c) variability of biases (Standard deviation, SD).