

Interactive comment on “Chemical discrimination of the particulate and gas phases of miniCAST exhausts using a two-filter collection method” by Linh Dan Ngo et al.

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We would like to thank the referee for his/her careful reading of the manuscript and the detailed comments that were provided. We think we addressed all the referee's concerns and we edited our manuscript when further details or clarifications were needed.

1) Collection system and experimental set-up. The collection system poses some serious problems. Positive artefacts are well known on quartz filters. Some of the filters were highly charged (PF1, PF2, PF3). We could expect that

C1

a kind of “soot cake” is formed on the front filters increasing the filtration efficiency and causing more adsorption of the gaseous compounds onto the deposited particles (see Fig. 1 images front filters).

Authors' response to comment 1):

The referee explains that the trapping of particulate matter on the first filter could subsequently increase the filtration efficiency and therefore cause more gaseous compounds to adsorb onto the particles. While the referee's comment is true, another artefact is also reported in the literature: species originally adsorbed on the PM can also desorb during sampling and be retained on the back filter, which would lead to an overestimation of the gas-phase fraction (Paolini et al., 2017). We acknowledge and mention in the main text of our article that particulate matter likely consists of an adsorbed layer of organics onto an elemental carbon core (e.g. abstract l.19 or p.4, l. 117: “In the present study, the sampling line was designed to collect PM (including adsorbed species) on the Front Filter [..]”). Our experiment has not been designed to identify for certain whether heavy PAHs are part of the particulate matter (chemisorbed or physisorbed) or “free” in the gas phase, insofar as they are both concomitantly present in the exhaust line and that we cannot avoid the fact that heavy PAHs may condense along with/onto the particulate matter when the latter is trapped by the first filter. However, what our experimental set-up reveals is that different classes of compounds are almost solely found on each of the two filters. To highlight this observation, a “contrast function” defined as the $(S_{FF} - S_{BF}) / (S_{FF} + S_{BF})$ ratio is represented for the 266 nm L2MS data in Fig. 1, where S_{FF} and S_{BF} are to the ion signals associated to a mass peak detected on the Front and the Back Filters. This representation clearly outlines that small aromatic species are found solely on Back Filters, whereas large PAHs are mostly on Front Filters. This suggests that the lightest species do not condense significantly onto the first filter and do pass through. As

C2

what we referred to as semi-volatiles are found on both filters, it is indeed possible that PAHs included in that category are affected by an increased filtration efficiency of the first filter due to the concomitant particulate matter adsorption (thus forming a thick soot deposit). This would result in a lower concentration of these PAHs on the back filter with respect to the front one, which would appear in our data as a lower absolute intensity of the corresponding mass peaks. However, this effect would not affect the covariance between mass peaks (m/z) as similar diffusion behaviors can be expected within SP1 and SP3 samples, for instance, because of similar soot porosity (e.g. the porosity of the soot “cake” deposited on silicon wafers for SP1 and SP3 set points were calculated to be about 98.1% and 97.4%, respectively, Ikhenazene et al., 2019). We therefore expect from a statistical standpoint that for each detected mass peak (m/z), the covariance will only negligibly be affected by diffusion.

Author’s changes in the manuscript:

We first acknowledged this possibility in the article (page 4, line 118) by adding 2 sentences and the corresponding reference: “Note that particles build-up on the Front Filter could potentially increase its filtration efficiency and consequently trap PAHs that would rather pass through if the thickness of the PM collected on the Front Filter was not that high. Alternatively, species originally adsorbed on the PM can also be desorbed during the sampling and be retained on the back filter, which would lead to overestimate the gas-phase fraction (Paolini et al., 2017). However, our results will show that if this is the case, only specific PAHs of intermediate volatility are impacted by this phenomenon. In addition, this effect would not affect our statistical analysis (e.g. the covariance between mass peaks (m/z)) as similar diffusion behaviors can be expected within SP1 and SP3 samples, which exhibit similar soot porosity (e.g. the porosity of the soot material deposited on silicon wafers for SP1 and SP3 set points were calculated to be about 98.1% and 97.4%, respectively, Ikhenazene et al.,

C3

2019). We therefore expect, from a statistical standpoint that for each given m/z , the covariance will only negligibly be affected by diffusion.”

In addition, we introduced a figure and its description to demonstrate that some species are present specifically on either the Front Filter or the Back Filter, showing that the mentioned artefact is not dominant in our two-filter system for the non-volatile and volatile species, respectively (Fig. 4 in the main text and attached in copy to this Authors’ response). The text page 9 line 261 reads now: *“The various CAST set points exhibit different PAH mass distributions on their Front and Back Filters, which likely relates to the different volatility properties of PAHs and probably affects their subsequent trapping on Front and Back Filters. Distinct volatility properties have been observed in the past on particles originating from wood combustion by Bari et al. (2010), who classified the PAHs on the basis of their number of aromatic rings resulting in the detection of three different PAHs categories. The authors classified the PAHs consisting of two aromatic rings as volatiles as they were mostly found in the gas phase, while those made of three and four rings were classified as semi-volatiles. PAHs comprising more than four rings were classified as non-volatile as they were observed in the PM in their study. Note that slightly different classes have also been defined in the literature (An et al., 2016; Elghawi et al., 2010; Sun et al., 2006). In our study, we largely found compounds consisting of one and two aromatic rings on Back Filters, while PAHs of m/z 176 – 242 were found on both Back and Front filters and those of $m/z \geq 252$ predominantly on Front Filters. Such PAH partitioning between Front and Back Filters is in line with the work of Bari et al. (2010). Similarly, we categorized the PAH distributions found on CAST samples into a volatile, semi-volatile, and non-volatile fraction (Fig. 3), where the volatile fraction encompasses here aromatic species made of one to two aromatic rings (m/z 78 – 166), the semi-volatile fraction comprises PAHs with a mass range of m/z 176 – 242, and the non-volatile fraction includes PAHs of $m/z \geq 252$. The boundaries of these intervals have been refined using the representation of Fig. 3 in which the “contrast function” defined as*

C4

the $(S_{FF} - S_{BF})/(S_{FF} + S_{BF})$ ratio is represented for the 266 nm L2MS data, where S_{FF} and S_{BF} are the ion signals associated to a mass peak on the Front Filter and the Back Filter respectively. This representation clearly outlines that small aromatic species are found solely on the Back Filters, whereas large PAHs are mostly on the Front Filters."

2) In fig. 2 front and back filters mass spectra (L2MS) are presented. The m/z 202 ion partition on both the gas and particle phases (for SP1, SP2, SP4 but not for SP3) even though the signal is very high in the mass spectrum of the particle phase it almost not visible in the gas phase. How is it possible if for the other SPi it did partition? So why the partitioning of m/z 202 is so different for SP3 with respect to the other?

Authors' response to comment 2):

The referee is concerned about specific behaviors observed for SP3. In the literature, various analyses converge towards the fact that the SP3 set point is distinct from the others in that i) the organic to total carbon ratio is higher (87% versus $\leq 47\%$ for the other setpoints) – information that we confirmed with via our mass spectrometry measurements of the total PAH content (see Fig. 3 and Fig. 6), and ii) the crystallites of the particles produced in these conditions are significantly smaller and form a distinct disordered arrangement exhibiting many carbon edges (Bescond et al., 2016; Marhaba et al., 2019; Ouf et al., 2016; Yon et al., 2015). Such smaller crystallites suggest that SP3 may undergo nucleation and growth processes different from those of the other set points, thus leading to a different chemical composition for both particulate and gas phases.

C5

The partitioning of SP3's m/z 202 ion between the Front and Back Filters is different from that of the other CAST set points. Specifically, the referee points out that, according to our L2MS mass spectrum, m/z 202 is almost exclusively found on the Front Filter in contrast to what is observed for SP1, SP2, and SP4. The partitioning of m/z 202 between FF and BF can be explained by thermodynamic and chemical considerations. First, it is useful to recall that mass spectrometry provides information about the mass of detected species (i.e. the chemical formula) but does not permit a direct determination of the structure of the detected molecules. In fact, for m/z 202 ($C_{16}H_{10}^+$), many different isomers (NIST Chemistry WebBook) can potentially contribute to the signal. The volatility of different isomers can vary, for instance the vapor pressure of fluoranthene is two times higher than that of pyrene (between 320 K and 390 K, Goldfarb and Suuberg, 2008). The relative contribution of different isomers to the detected signal highly depends on combustion conditions (i.e. CAST set points) and therefore on the chemistry. The high signal at m/z 202 observed for the SP3 Front Filter can be explained by a much higher contribution from isomers with a lower volatility (e.g. pyrene) produced in this regime. Second, it is clear that the chemistry in the reactive medium and the nature of the soot particles produced in the different set points of the miniCAST can lead to a large diversity in the relative chemical composition of the particulate phase and the gas phase.

Author's changes in the manuscript:

To address the referee's concern, we now discuss this particularity of m/z 202 in the main text of our article. We added on page 8, l. 253 the following discussion:

"Literature data converge towards the fact that the SP3 set point is distinct from the others in that i) the organic to total carbon ratio is higher (87% versus $\leq 47\%$ for the other set points), and ii) the crystallites of the particles produced in these conditions are significantly smaller and form a distinct disordered arrangement exhibiting many

C6

carbon edges (Bescond et al., 2016; Marhaba et al., 2019; Ouf et al., 2016; Yon et al., 2015). Such smaller crystallites suggest that SP3 may undergo nucleation and growth processes different from those of the other set points, subsequently leading to distinct chemical compositions (e.g. different isomeric distributions) of the PM. The relative ion signals observed between the Front and Back Filters hence depend upon the relative volatilities and the response of the chemical compounds present on the samples to the 266 nm R2PI L2MS."

3) Another issue is the dilution system and the temperature in the sampling line. Low dilution at room temperature will enhance adsorption of relatively light PAHs onto the particles, while once in the atmosphere high dilution will alter this partitioning. This aspect has not been addressed.

Authors' response to comment 3):

The referee is concerned that the low dilution/room temperature conditions in the sampling line as those used in our experiment induce the preferential adsorption of light PAHs on soot particles. For the sake of clarity, we would like to specify that the sole dilution system in our experimental setup is that of the miniCAST generator itself (dilution airflow 20 l min⁻¹, Fig. 1). We added a sentence on p.3, l.93 to make that fact clear: *"Note that the sole dilution system in our experimental setup is that*

Some studies are interested in probing the particulate matter in a chemical state as close as possible as that generated during the combustion process. In order to do so, it is necessary to avoid as much as possible any coating (PAHs, water) that could result from the adsorption of gaseous species during the collection, and accordingly, it is common to use additional dilution stages and denuders. In contrast, the goal of this study is to fully characterize the particulate and gas phase of combustion

C7

by-products as they are emitted from the source, i.e. at the exhaust of a model soot generator without adding any dilution stage. We agree that the lower dilution of our sampling line plays on the partitioning of chemical species compared to systems having greater dilution factors. However, this phenomenon can be modeled (Lohmann and Lammel, 2004; Pankow, 1994) once the initial partitioning of chemical compounds (at the exhaust port) is known – information provided by this study. It is hence useful to characterize combustion by-products in the vicinity of the standardized source as it allows gathering data for subsequent simulations of the partitioning evolution in the atmosphere (at a different ambient temperature, air humidity, and pressure). In addition, Fig. 3 highlights that without using any extra dilution system, our two-filter approach is able to reproduce the separation commonly found in the literature regarding PAHs volatility properties, where the lightest are only found in the gas phase and do not condense on the PM collected on the Front Filters, and the non-volatile PAHs are predominantly found on the Front Filter.

Author's changes in the manuscript:

We added a sentence on p.3, l.93 to specify that we did not use an extra dilution system: *"Note that the sole dilution system in our experimental setup is that of the miniCAST generator itself (dilution airflow 20 l min⁻¹, Fig. 1)."*

4) It is relatively common, when working on filter samples, to remove the gaseous phase using denuders. This test has not been done apparently, and it would have been an easy test to verify the presence of organic volatile of the front filter.

Authors' response to comment 4):

C8

As suggested by the referee, a commonly used approach for avoiding positive artifacts is the use of a denuder upstream of the quartz fiber filter to remove organic gases from the incoming air stream (e.g. Fitz, 1990). However, the removal of the organic gases also alters the gas-particle equilibrium and leads to a partial volatilization of the particle-bound organic phase, thus significantly changing the chemical composition of the particulate phase. Note that negative artifacts induced by the volatilization of species on the quartz fiber filter can also appear (Paolini et al., 2017). These artifacts can be accounted for, in particular for OC measurements of particulate matter, if the species that were vaporized are then trapped by an absorbing medium/filter (the total OC is the sum of OCs from two filters). However, since the efficiency of denuders is less than 100%, the gas phase will not only contain species that were vaporized from particles after the denuder but also a fraction of the original gas phase, and therefore the OC measured for the absorbing filter will be higher (positive artifacts). In other words, as the concentration of gas-phase organics is typically an order of magnitude higher than that of the particle-bound organic species, gas-phase material escaping the denuder can potentially create substantial positive sampling artifacts on the adsorbent backup filter (denuder breakthrough) (Subramanian et al., 2004). Sampling combustion by-products with the experimental system we propose, that is without a denuder, offers information about the unaltered gas and particulate phases, and therefore is more preferable to identify their presence on filters collected directly in the exhaust line, as is often the case in the literature (Crawford et al., 2011; Ess et al., 2016; Yon et al., 2015). Thermo-optical methods are often used to calculate the OC/TC ratio of CAST soot samples collected in the exhaust line. Our study provides information about the nature of the organic fraction and evidences the phase from which PAH molecules are most likely to originate. We do not exclude the condensation of the gas-phase on the particles once they are trapped by the Front quartz fiber filter, in fact, it is clear from the analysis of the two filters that PAHs classified as semi-volatile compounds and to a lesser extent as non-volatile compounds are found on both filters

C9

with the largest fraction on the Front Filter, which could possibly be indicative of a preferential adsorption on the particles. This shows also that there are indeed PAHs of intermediate volatility on the particulate matter trapped on the Front Filter. However, it is also clear from our analyses that the lightest PAHs are not found on the Front Filter, which suggests that when gas-phase condensation occurs, it does not affect significantly the fraction constituted of the lightest PAHs.

5) Environmental relevance. The authors claim that their approach allows to identify distinct surface chemical compositions of aerosols discriminating semi-volatile and nonvolatile polycyclic aromatic hydrocarbon (PAH) contents as a function of the combustion process. However modern engines are equipped with after-treatment devices that highly alter the exhaust emissions (oxidation of hydrocarbons and particle bound compounds). So in which way these results can be extrapolated to nowadays diesel engine emissions?

Authors' response to comment 5):

As stated by the referee, it is true that modern certified engines are equipped with after-treatment systems (e.g. particle filters, catalytic strippers) that significantly change the chemical composition of both phases (particulate and gas phase). In a recent study we showed that a catalytic stripper that complies with European regulations for PMP systems (> 99 % removal of ≥ 30 nm tetracontane particles) successfully removes the majority of particle-bound organic species (Focsa et al., 2019), and more specifically removed the volatile particles as well as the organic species from the surface of nonvolatile particles and subsequently increased the contribution of EC (carbon clusters) (Focsa et al., 2019). The proposed two-filter method can therefore be used to assess the efficiency of after-treatment systems by simultaneously measuring their impact on both particulate and gas phases. Moreover,

C10

besides on-road vehicles (with certified engines), there are a large number of other sources of combustion by-products that do not have any after-treatment systems and for which our analyses are relevant. Aircraft jet engines, wood combustion stoves, biomass burning are just a few sources of combustion by-products whose exhaust is not subjected to an active after treatment system. Therefore, using a miniCAST soot generator operated with different parameters as a source of combustion by-products, which can mimic some of the physico-chemical properties of aircraft emissions for instance (Bescond et al., 2014; Marhaba et al., 2019; Moore et al., 2014), allows for potential real-world extrapolations of our results for combustion devices not equipped with after-treatment systems. The proposed method of sampling and characterizing concomitantly the particulate and gas phases can thus be extremely useful when evaluating the impact of these sources on the environment, as the gas/particulate partitioning conditions the overall reactivity. As a perspective it would be very interesting test the efficiency of such devices especially when the engine starts.

Author's changes in the manuscript:

To account for the referee's comment we modified the original sentence, which now reads: *"The CAST (Combustion Aerosol Standard) generator is often chosen to produce combustion-generated particles as it is easy to implement for systematic laboratory experiments with, the fuel and oxidation air flows being easily modifiable, and hence enables the investigation of a variety of chemistries. Therefore, using a miniCAST soot generator operated with different parameters as a source of combustion by-products, which can mimic some of the physico-chemical properties of aircraft emissions for instance (Bescond et al., 2014; Marhaba et al., 2019; Moore et al., 2014), allows for potential real-world extrapolations of our results for combustion devices not equipped with after-treatment systems. The proposed method of sampling and characterizing concomitantly the particulate and gas phases can thus be extremely useful*

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when evaluating the impact of various sources (aircraft jet engines, wood combustion stoves, biomass burning) on the environment, as the gas/particulate partitioning conditions the overall reactivity. As it can simultaneously measure the particulate and gas phases, the proposed two-filter method can therefore be utilized to assess the efficiency of after-treatment systems, which are known to successfully remove the majority of particle-bound organic species (Focsa et al., 2019), and more specifically to remove the volatile particles and the organic species from the surface of nonvolatile particles while increasing the contribution of EC (carbon clusters) (Focsa et al., 2019)."

6) Quantification issues: since the author states that using three different ionization wavelengths, it is possible to target various classes of compounds and to reach sub-fmol limit of detection, e.g. for PAHs (Faccinnetto et al., 2008, 2015). So why the results are not present in a quantitative way?

Authors' response to comment 6):

The referee refers to the sentence on page 3, l. 77: "Using three different ionization wavelengths, it is possible to target various classes of compounds and reach sub-fmol limit of detection, e.g. for PAHs (Faccinnetto et al., 2008, 2015)." Semi-quantitative results can be obtained with L2MS using external standards (synthetic soot), which consist of black carbon covered with chemical compounds whose surface concentration is well defined, and to which the signal collected in a sample can be compared (see Faccinnetto et al., 2011, 2015) for a detailed description of the procedure). This approach can be used for PAHs exhibiting little to no fragmentation upon desorption and ionization, and is consequently more delicate to implement for aliphatic compounds, which undergo greater fragmentation after laser ionization (e.g. 118 nm). Moreover, this quantification step is complexified in the case of real soot by the presence of multiple PAH isomers for the same molecular formula. Such quantification study is out

C12

of the scope of the present paper but will be in our priorities for future publications. Here we are only providing information about the chemical species present on the sample surface and their relative contribution with respect to their volatility. The limit of detection corresponds to the minimal desorbed amount of a specific compound that results in a detectable signal. Even though the limit of detection has been already measured for various PAHs (Faccinnetto et al., 2011, 2015), this information cannot be used for quantification purposes. The limit of detection changes from isomer to isomer, therefore, for a reliable quantification, the relative contribution of all present isomers should be known – information that cannot be retrieved only from mass spectra. Since we are using desorption and ionization conditions akin to those described in Faccinnetto et al. (2015), we assume that our detection limit is similar.

Author's changes in the manuscript:

To account for the reviewer's comment and dissociate the ideas of quantitative results and detection limit, we modified the sentence page 3, l. 77, which now reads: *"Using three different ionization wavelengths, it is possible to target various classes of compounds such as aromatic and aliphatic compounds. In addition, it is possible to reach a sub-fmol limit of detection for PAHs upon specific desorption and ionization conditions (Faccinnetto et al., 2011, 2015)."*

7) Preparation of the filters. Prior to sampling the authors prepared the filters by heating them at 150°C for 16 hours. It seems to be a relatively low temperature with respect to the common procedure found in the literature for quartz filters (often up to 400°C).

Authors' response to comment 7):

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The preparation procedure described above was primarily used to remove the pre-adsorbed species from the black carbon layer. The referee points out that the temperature of 150°C is lower than that commonly used (400°C). While this is true, all filters (bare quartz fiber filters and carbon-covered quartz fiber filters) have been analyzed in L2MS using the aromatic-selective 266 nm wavelength and in SIMS before they were placed in the exhaust line. As no signal could be evidenced in L2MS and only negligible signal was recorded in SIMS, we believe that our pre-treatment procedure prevented the adsorption of any unwanted compounds. In addition, it is worth recalling that the mass spectrometry techniques that we use are surface sensitive, which means that the signal we recover from Front and Back filters is that of the uppermost layer and thus for such thick samples our results are not affected by the composition of the substrate before sampling.

8) Poor English and paper structure. The paper is far too long and should be reduced in length and simplified. We need to understand what is important and not and to get few strong messages expressed in a clear and synthetic way. This paper is written as a scientific report or a student PhD thesis. I strongly suggest to revise completely the paper and possibly make nicer figures and plots.

Authors' response to comment 8):

We followed the referee's comment and improved the Results and Discussion section of our article in where we outlined the most important observations and shortened the extended descriptions. Specifically, we added 2-3 lines of conclusion at the end of each section (namely sections 3.1.1., 3.1.2., 3.2.1., 3.2.2.), we rewrote to a great extent sections 3.1.2 and 3.2.2. to outline the main findings, and we turned some

C14

supporting materials to supplementary information (PCA for 157 nm and 118 nm). We think these changes improved the overall readability of our article. In addition, we greatly improved figures quality in order to make them clearer and nicer. Finally, the article will be read and corrected by a native English speaker before submission of the revised version. However, we do think that the actual length of our article is necessary to fully describe the comprehensive analyses that we performed. Author's changes in the manuscript: Please refer to our response to comment number 8) for the short description of our changes or to the main text for detailed information.

9) PAC analysis. The added value was “to highlight variation and patterns in a data set, and in this case was used to reveal the differences in chemical composition of the samples, and in particular between (i) Front and Back Filters and (ii) miniCAST set points.” I can only partly agree to the added value of PCA analysis nevertheless the discussion is far too long. Please revise it.

Authors' response to comment 9):

We recently showcased the advantages of using advanced statistical techniques (Duca et al., 2019; Irimiea et al., 2018, 2019) to highlight subtle differences in the chemical composition of various samples. It is also very useful to confirm initial trends deduced from a descriptive approach of the mass spectra with a more quantitative approach such as that provided by the statistical analyses. However, to make the paper clearer and more synthetic, we did move PCA for 118 and 157 nm L2MS data to the supplementary information. PCA discussions for 266 nm L2MS and SIMS data have been rewritten to highlight the main conclusions and added values of these analyses.

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Author's changes in the manuscript:

Subsections 3.1.2 and 3.2.2. have been rewritten as follows:

"3.1.2 Principal component analysis of L2MS spectra

In order to better discriminate the chemical composition of the various samples, particularly (i) the Front and Back Filters and (ii) the miniCAST set points, principal component analysis (PCA) was applied to mass spectra recorded for all three individual ionization wavelengths. A full description of this statistical method is provided in Sect. S2. Here, the covariance matrix was built from the integrated areas of all the detected peaks with a signal-to-noise ratio $SNR > 3$. The physical meaning of all derived principal components can be inferred from the contribution of the various molecular species to the loadings (see Sect. S2 and Fig. 5b and S3). By identifying the molecular families contributing to this variance, we can interpret the PCA score plots (Fig. 5) and grasp the nature of the subtle chemical differences between the samples. In L2MS data generated with 266 nm ionization wavelength, the scree and loading plots presented in Fig. 5b and S2a, respectively, show that PC1 expresses the largest variance (58.86%) in the dataset and differentiates samples represented by a greater amount of high-mass PAHs (positive contribution: $m/z \geq 189$) from those containing more of low-mass aromatic species (negative contribution: up to three aromatic rings), especially naphthalene (m/z 128). PC2 (19.30%) denotes the relative contribution between high-mass PAHs (positive contribution: $m/z \geq 216$) bearing four and more aromatic rings and aromatic compounds containing up to m/z 202, especially m/z 178 and 202, and benzene and its alkyl-derivatives. The dataset, in terms of PC1 and PC2, is illustrated in a score plot in Fig. 5a. According to PC1, the largest separation appears between sample groups $SP2 - 4_{FF}$ and $SP1 - 4_{BF}$. It can be attributed to the greater amount of high-mass PAHs ($m/z \geq 189$) relative to smaller aromatic species for the former group compared to the latter. The first conclusion is that our samples are mainly separated regarding their chemical composition (non-volatile and semi-volatile

C16

fractions vs volatile fraction) because of the two-filter collection system rather than the CAST operating conditions. However, a refined observation in the PCs can help to interpret composition variations between the different set points. For Back Filters, the PC1 score decreases along with the oxidation air flow indicating a greater contribution of small aromatic species, especially naphthalene, for lower oxidation air flows. Data points for Front Filters generally display a positive PC2 component except for SP1_{FF}, a phenomenon possibly explained by the very small fraction of non-volatile PAHs produced in this regime relatively to m/z 178 and 202. This almost constant score of PC2 for SP2 – 4_{FF} on one hand, which is in contrast with the very different scores for the Back Filters (Fig. 5a), and the high contribution of semi-volatile species to the PC2 loadings (Fig. 5b) highlights that the ratios of semi-volatile compounds vary between the Front and Back Filters for the different set points. This observation demonstrates that the partitioning between the Front and Back Filters is not only driven by thermodynamic conditions (volatility) but also on the nature of the soot matrix produced at the different set points. Note that details about the PCA applied to the 157 nm and 118 nm L2MS data can be found in the supplementary material. The statistical approach developed in this section confirms from a quantitative standpoint the descriptive results obtained in Sect. 3.1.1."

"3.2.2 Principal component analysis of SIMS spectra

PCA was applied to the positive mode SIMS spectra. All hydrocarbon fragments and the most representative peaks for PAHs were chosen for the analysis (see Sect. S3 and Fig. S4). The PCA score plot for the first two components (PC1 and PC2, responsible for 92% of the variance) is presented in Fig. 9a, and their corresponding loadings in Fig. 9c. PC1 represents 73% of the variance and is associated with small fragment ions with $m/z < 160$ (e.g. $C_nH_3^+$ with $n = 1 - 3$, $C_nH_m^+$ with $m > n$, $C_7H_7^+$, positive coefficients), and with polyaromatic species with $m/z \geq 165$ (negative coefficients). All Back Filter samples (containing gas-phase PAHs) but SP4_{BF} have positive PC1 scores, whereas all Front Filters but SP1_{FF} exhibit negative PC1 scores

C17

due to their high PAH contents. From this result, it can be determined that SP3_{FF} has the highest relative PAH content. Among Front Filters, SP1_{FF} has the smallest contribution from PAHs. SP3_{BF} has the highest contribution from fragments associated with both aliphatic and aromatic species. The negative contribution of PC2 (19% of the variance) is associated with the hydrogen-poor fragments ($C_nH_m^+$ with $m < n$) and volatile and semi-volatile PAHs (m/z 128 – 228) with the exception of m/z 165. The positive coefficients of PC2 are associated with aliphatic fragments (e.g. $C_nH_m^+$ with $m > n$) and large PAHs ($m/z \geq 239$). As most of the variance was contained in only two principal components (92%), there are only two available criteria for differentiating between samples: PC1, corresponding to the amount of PAHs relative to fragments, and PC2, depending partially on the hydrogen content of fragments originating from aromatic and aliphatic species. SP1_{FF} and SP1_{BF} are located in almost the same position due to their positive scores in PC1 and negative scores in PC2 that corresponds to their limited high-mass PAH content and high fragment content on one hand, and small PAHs and hydrogen-rich fragments on the other hand. PCA was also applied to the negative mode SIMS spectra for selected mass peaks, including carbon clusters C_n^- , C_nH^- , and some oxygenated and nitrogenated fragment ions. The first two components were determined to represent more than 85% of the variance. The score plot of PC1 vs PC2 is presented in Fig. 9b, along with their corresponding loadings (Fig. 9d). The first component, which accounts for over 67% of the variance, separates the samples containing low-mass carbon clusters C_n^- (with $n \leq 3$), nitrogen and oxygen bearing compounds (e.g. CN^- , C_3NH^- , CH_3O^-) (positive PC1) from the samples containing species with a higher mass ($C_nH_{0-2}^-$ with $n \geq 4$, oxygenated, and nitrogenated fragments – negative contribution). The opposite contributions to PC1 of small carbon clusters in comparison to larger ones, with a transition size of $n = 3 - 4$, strengthen their dichotomous origin as already discussed in Sect. 3.2.1. In the light of the score plots, SP1_{FF} and SP1_{BF} samples are characterized by low surface coverages where small carbon clusters are associated with the soot matrix and the black carbon respectively, whereas the other samples are featured by

C18

PAH-rich surfaces. PC2, accounting for 18% of the variance, separates data points based on the contribution from hydrocarbon compounds ($C_nH_m^-$, negative PC2) and oxygen/nitrogen bearing fragments (positive contribution). PC2 distinguishes $SP3_{BF}$, and $SP2_{BF}$ to a lesser extent, by their coverage in oxygen and nitrogen-containing species. PCA on SIMS results confirm the existence of various families of carbon clusters on the PM that can be associated either with the soot matrix or the surface PAH coating."

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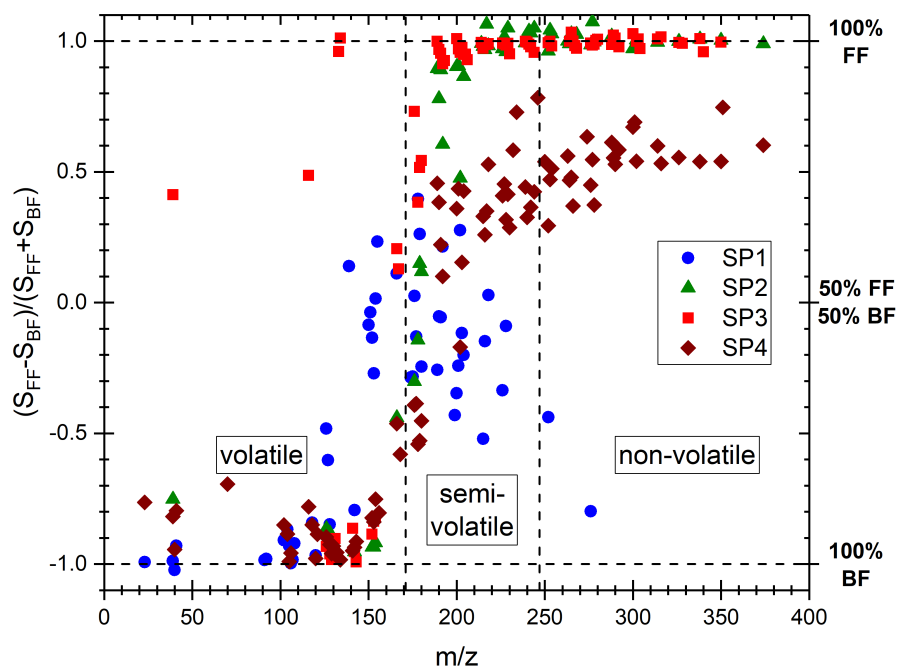


Fig. 1. “Contrast plot” representing the variation in PAH signal detected with L2MS at $\lambda_i = 266$ nm for the 4 CAST set points.

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