

We thank the referee for his careful critique of our manuscript. We were pleased to read that the referee found, “The manuscript is well written and easy to follow.” and also that, “The topic fits perfectly to the scope of the journal. The described approach further tackles a key question in atmospheric science presenting a possibility to relate surface structure to ice forming ability.” We address the referee’s broader and detailed comments below in blue Cambria font.

Referee #4: Thorsten Bartels-Rausch, thorsten.bartels-rausch@psi.ch

Suggestions for revision or reasons for rejection (will be published if the paper is accepted for final publication):

The manuscript by Friddle and Thürmer presents the development of a method to observe ice formation on well characterized surfaces under controlled temperature and humidity settings. The ice formation is then related to the observed surface features such as steps and defects.

The manuscript has two foci, it presents and characterized the novel set-up and it discusses whether or not ice formation is triggered on specific surface sites or not. I understand that this is an instrumental paper presenting a novel measurement approach. The discussion on ice formation serves as proof of concept. I therefore judge the manuscript mainly on how rigorous the set-up and procedure is described and tested. Also because, the novelty and uniqueness of the scientific discussion compared to the submitted manuscript Friddle 2019a is not possible to assess. That said, I find that the presentation of the set-up and approach lacks crucial information and supporting data.

The manuscript is well written and easy to follow. The length is appropriate while the level of details might be increased. The topic fits perfectly to the scope of the journal. The described approach further tackles a key question in atmospheric science presenting a possibility to relate surface structure to ice forming ability. What keeps me from accepting the manuscript in its current form is

- the missing detailed characterization of temperature and relative humidity in the cell. Temperature and water vapor pressure are crucial for ice nucleation and growth behavior and I felt that both issues require additional discussion and/or reference measurements.

Response #1

We agree that the paper will benefit from additional details regarding the temperature and humidity in the cell. We have addressed the referee’s detailed comments in this regard below. Specifically, we’ve addressed the error in our measurements, variations in temperature during an experiment, and the range of temperature and humidity we can explore. We’ve also expanded the explanation of our sample cooling approach.

We also agree that variations in temperature and humidity in our setup are likely to affect rates of ice nucleation and growth. However, as we also address below in our responses to the referee’s detailed comments, our manuscript does not attempt to quantify nucleation events or growth rates, but rather reports a pathway to condensation and freezing which is supported by our structural AFM data and the corresponding video microscopy. Variations in temperature and humidity that may exist in our case, clearly do not invalidate those experimental findings. In particular, the relationship between surface-step patterns and measured step heights on the one hand, and ice-formation patterns on the other hand, is robust enough not to be obscured by possible small variations in temperature and humidity.

- That I don't understand how observation of ice larger in size than the defects/surface features says anything about the ice nucleating or forming at the defect. It could just have nucleated next to the defect and grow over it. I think there might be an issue with resolution to draw sound conclusions. In this respect, I suggest to at least mention Knopf, *npj Climate and Atmospheric Science*, 2020 in the manuscript.

Response #2: The referee is right that, due to the limited resolution of optical microscopy, we cannot directly determine the nucleation site with nanometer precision, and we discuss these limitations in section 5 in the paragraph beginning "*In the current implementation of the AFM/optical technique presented in this paper....*", where we state, for example, that "*Ultimately, advanced high-speed AFM may be the key to direct observations of ice nucleation events,...*" Although we are not there yet, our approach does represent progress in that direction. By preparing cleavage surfaces that contain rather flat surface regions, we create configurations in which most steps are separated far enough to be optically resolved. This allows us to obtain unambiguous evidence relating ice-propagation patterns to surface steps, and to employ AFM to quantify the role of the steps' height. It is true that we do not have direct evidence that ice could not just have nucleated next to a step and have grown over it. However, the strong correlation of ice-formation patterns with surface-step patterns, in particular the persistence of the flattest regions (with fewer steps) to remain ice free until the ice spreads from the stepped regions, makes it implausible that steps do not facilitate ice formation. The mechanism for this facilitation we propose, and explain in more detail in (Friddle and Thürmer 2019a), is that at saturation (with respect to liquid water) the lower side of the steps will be filled with wedges of supercooled water, creating an interface between feldspar and supercooled water, where ice nucleation is more likely than at the feldspar-vapor interface. We thank the referee for pointing out the relevance of (Knopf, *npj Climate and Atmospheric Science*, 2020) to the discussion in our paper. We revised our manuscript to include part of the discussion above. We also now point out the connection to the reference by adding the following text to the discussion section: "*A step height-dependence of ice growth can be attributed to two causes. First, if the probability of a given body of supercooled water to freeze at any given moment is roughly proportional to the surface area of the feldspar substrate immersed in the supercooled water, consistent with the models for immersion freezing considered in (Knopf, npj Climate and Atmospheric Science, 2020), then the probability of a given step edge segment to initiate ice nucleation is roughly proportional to its height*".

Detailed comments:

Page 1, Line 6: "cloud like atmosphere": What is the operational range of your set-up both for temperature and for water vapour pressure that you have tested. Please specify the conditions.

The system is operated at ambient atmospheric pressure. By adjusting the relative flow rates we were able to achieve humidities between 0 and 90% RH at room temperature. The temperature can be controlled to as low -70C. We have now specified this in the last sentence of section 2.1.1, "*With this setup we are able to adjust the room-temperature RH_w between 0 – 90 %, and the temperature of the sample stage to as low as -70 °C.*"

Page 1, Line 9: "relate the likelihood of ice formation to nanoscale properties of a mineral substrate". This is true but misleading. Surface features can be resolved down to a nanometer scale, but the

smallest ice patch is a few μm in diameter (Figure 6). I would strongly argue that the lower resolution determines the overall performance of the approach which would be μm and not nanometer in this case. However, please specify the resolution of both methods in the abstract.

We completely agree with the fact that lateral resolution of ice nucleation is limited by our optical resolution. In this paper we do not claim to resolve actual nucleation sites. Instead, in the case of ice formation that is clearly templated by surface steps, we can quantify useful details about those steps at the nanometer length scale (specifically their step-height in this case). (See also our response #2 above).

Page 2, line 4 (and throughout the manuscript): relative humidity to what? Water or ice? Please specify. RH with respect to water. Thank you for pointing this out, we have clarified this to read “RH_w” throughout the paper.

Page 2, line 16: “Meanwhile at RH Just 6 ms.” Interesting fact about the set-up. Please move to the discussion and expand.

We agree with the referee’s suggestions and we moved this sentence from the introduction, “A typical AFM scan of sub-micron size can take between 10 seconds to 10 minutes depending on flatness of the substrate, the field of view (FOV), and the desired resolution. Meanwhile at RH_w \approx 100 % and \approx -30 °C we estimate that a new ice crystal reaches an effective diameter of 1 μm in just 6 ms.” and worked it into the second to last paragraph of the discussion/outlook as, *“Ultimately, advances in high-speed AFM (HS-AFM) may be the key to direct observations of ice nucleation events. A typical commercial AFM scan takes 10 seconds to 10 minutes depending on flatness of the substrate, the field of view (FOV), and the desired resolution. Meanwhile at RH_w \approx 100 % and \approx -30 °C we estimate that a new ice crystal reaches an effective diameter of 1 μm in just 6 ms. Progress in HS-AFM development has proven the tool to be capable of performing fasthigh-speed imaging of dynamic processes at nanometer resolution under various environments (Yamashita et al. 2009; Payton, Picco, and Scott 2016; Pyne et al. 2009; Picco et al. 2008; Kodera et al. 2010; Uchihashi et al. 2011; Casuso et al. 2010).”*

Page 2 line 30: I miss a introduction to surface features/defects with focus on typical sizes and a discussion on which of these have been found to nucleate ice or foster the formation of ice.

We believe the reviewer is referring to Page 5 here. This portion of the paper briefly discusses our observations of ice which formed as isolated crystal clusters as opposed to the majority of ice which formed as extended filaments. The point here is that, in the few cases we observed, the surface under isolated ice clusters have short step edges on island-like terraces, whereas the surface under extended ice filaments have long running step edges. We did not do a complete study on surface features that lead to discrete ice crystal formation; however, these observations support the concept that ice is forming by freezing the pre-existing water condensed in step edges. That is, short step edges (like those found on small islands) will result in isolated clusters of ice because the source of water is limited to the short step edge length. This is discussed at the end of the first paragraph in section 5. To further clarify this discussion we have added “*step edges having short lengths, such as*” to the last sentence to read, “*Here, ice filaments grow when step edges maintained tall heights for extended distances, whereas isolated ice crystals were observed at step edges having short lengths, such as protrusions or depressions surrounded by flat areas.*”

Page 3, line 10 “The humidity of the ... ThermoWorks). Taken that relative humidity has such a profound impact on ice nucleation, growth and stability; I think that this short statement is not enough.

- What is the precision of the sensor?
- Do you achieve equilibrium vapor pressure in the bubbler filled with water? This could be achieved by dispersing smaller droplets; then you could calculate the RH based on the water temperature. From my experience this is much more reliable than sensors.
- Have you cross-checked the sensor with a dew-point sensor? That would be another option to verify.

The humidity sensor has an accuracy of $\pm 3\%$ RH_w at 25 C, which is approximately where we operate the sensor (room temperature) at the outlet of the bubbler. We flow a stream of humid gas from the bubbler over the sensor for an extended period of time to reach a steady state humidity. It is this steady state humidity that flows into the chamber and mixes with cold nitrogen gas during an experiment. We emphasize that we provide the estimated absolute humidity (AH) input to the cell because the RH is unknown at the surface location where we observe the ice formation/growth. Since we are not calculating quantitative kinetic parameters related to ice nucleation, we show AH values for conveying the trend in increasing humidity without declaring that we have accurate knowledge of the actual humidity where the events take place. We have included the error of the sensor in section 2.1.1 as, *"The humidity of the resulting room-temperature vapor is measured using a humidity sensor (ThermaData Series II – HTF, ThermoWorks) with an accuracy of $\pm 3\%$ RH_w at 25 °C."*

Page 3, line 13: What is real time? Please specify sample rate.

We have changed this sentence to explicitly state the sampling rate, *"... readings are sampled at 1 Hz using a TC-720 thermoelectric temperature controller..."*

Page 3, line 26: Which range of gas flows can be explored. Please specify.

We now specify the range of flow rates we explore by including the range in this sentence as, *"a range of flow rates can be explored (here 0.9 – 1.32 L/min),"*

Section 2.1.2

May I ask you to specify the temperature distribution and trend with time more detailed. The temperature at any location in the chamber is basically given by the cooling and heat flux. Isolating materials add complexity to this as their cooling rate is slow. Nevertheless, if you constantly cool your chamber with cold N₂ gas, at some point the whole chamber will reach the temperature of the gas unless you actively heat from the outside. In your case, heating comes from the outside air. Given this complexity, I can't follow your arguments on why your set-up guarantees that the coldest spot is the sample. Can you specify and give some experimental proof. One possibility might be to set the partial pressure of water to let's say 1 mbar which corresponds to the the vapour pressure of ice at -20°C, set T(sample) to -30°C to trigger ice growth, then to -20°C (or close to). Now, if you keep this running for hours, the ice will only remain or cover all parts that are at -20°C. Warmer parts will not be ice covered. Thus the spread of ice will show you which parts of the set-up are at -20°C and which are warmer.

We do not claim that our setup guarantees the coldest spot is the sample. We only mention that in a cold stage approach, measures must be taken to ensure this. In our setup the sample is cooled by the cold N₂ gas flowing into the chamber and also by a large flux of cold N₂ gas impinging on the underside of the sample. In Figure 1b we show that the total flow rate of the cold N₂ gas is 14.1 L/min, with 0.66 L/min of this stream used to flow into the chamber, and the remaining 13.44 L/min is directed at the underside of the sample puck. The cold gas flowing into the chamber is only 1/20 in flux than the gas cooling the underside of the sample, and the chamber gas mixes with a warmer humid gas upon starting the experiment. It is therefore reasonable to assume the sample puck and sample are at colder temperature than the rest of the cell. We measured the temperature under the sample puck and the temperature of the exiting chamber gas and find the chamber exit

gas reading to be about 5 to 6 C warmer than the puck, although some of this warming arises from the small exit tube that the gas passes through which exchanges any outside heat efficiently (Figure 1a). The objective in our setup is not to create a location that is the coldest, but to minimize temperature differences within the chamber in order to closer mimic realistic conditions.

We have included a new second paragraph in section 2.1.2 to provide these details, “As mentioned in the previous section, the sample is cooled by the cold N₂ gas entering the chamber and by cold N₂ gas impinging on the underside of the sample. In our experiments, 0.66 L/min of the cold N₂ flows into the chamber, while 13.44 L/min of cold N₂ is directed at the underside of the sample puck. Measurements of the temperature of the gas exiting the chamber find it to be about 5 to 6 C warmer than the reading directly under the sample puck. Some of the exit gas warming arises from exchanging heat with the small diameter outlet tube that the gas passes through before contacting the sensor (Figure 1a).”

Page 3, Line 28-31. All this is a result and should be moved to the results/discussion section. We feel that this brief justification of our approach is more easily understood when following the explanation of the method and its comparison to other approaches.

Page 3 line 37. If you switch off the flow of water vapour, do you then not change the mixing ratio of cold to warm gas (as the water vapour flow is part of the warm gas) and thus change the temperature? Please specify the “constant temperature” in line 37.

By constant temperature we simply mean the temperature is not varied during the course of the icing experiment. Some small change in the temperature of the gas does occur due to mixing with the vapor. However we also have the strong flux of cold gas impinging under the sample which is not mixed with vapor. We have changed “constant” to “set” and include a clearer explanation of the setting a few sentences later in the paragraph as,

“The temperature is not actively controlled, therefore a small change in the inlet gas temperature will occur when the vapor is mixed into the stream. During the course of an icing experiment the temperature measured at the chamber outlet warms by about 1 °C, while the sample stage cools by approximately 0.5 °C due to the diverting of a portion of cold gas back to the stage when the vapor flow is turned on.”

Page 4, line 14-16. “note that ... values”. This is correct and does not need the “can be” before the “much lower”. Once you have ice in the chamber, its temperature determines the partial pressure of water in the cell which is always 100% relative to ice near the ice. If AH_{in} is larger, the ice grows.

We thank the reviewer for this helpful insight. We have changed “can be” to “is” in this sentence.

Page 4 line 17 – 20. I’m sorry, but I can’t follow you here. Any onset of condensation might be kinetically hindered. Wouldn’t it be better to perform this calibration at equilibrium conditions.

“Onset of condensation” is indeed inappropriate terminology. Due to its kinetic limitations and its dependence on the strongly varying local surface morphology this onset cannot straightforwardly be associated with a fixed humidity value. Also, due to the limited resolution of the optical microscope we cannot see the initial condensation occurring at step edges. What we do instead is adjusting the humidity inlet flow until we see droplets of ~1-5 μm diameter neither grow nor shrink, until after a few seconds the first ice crystals appear, causing nearby droplet to disappear quickly.

To correct this misleading terminology, we changed the text (in the last paragraph of section 2.2) to “We estimate AH_{in} by calibrating against the saturation ($RH_w \approx 100\%$) condition. To establish the $RH_w \approx 100\%$ condition, we adjust the humidity inlet flow until we see droplets of ~1-5 μm diameter

neither grow nor shrink, until after a few seconds the first ice crystals appear, causing nearby droplet to shrink and disappear. We then calculate the absolute humidity... “

Page 5 line 5: Please detail why you expect liquid below 0°C. This comes a little abrupt and might sound odd to those not familiar with freezing point depression by inverse Kelvin effect which I assume you refer to here.

We address this by introducing the pore condensation and freezing properly. For this we rewrote the appropriate part of the first paragraph of section 3.

Page 6 line 25 “ice is more likely to form” should that not be ice is more likely to grow.

Discussion. Please discuss and mention temperature gradients along the sample. Can you rule out that those set the direction into which the ice grows or the locations where the ice forms. I’m convinced you can, but please specify.

To clarify our use of “ice formation” we inserted this footnote at the very beginning of the introduction: “Throughout this manuscript we use the term “ice formation” for the entire process that produces ice, i.e., ice nucleation and growth and/or freezing.” At page 6 line 25 “ice...to form” refers specifically to the process shown in Fig. 4 and quantified in Figure 6c: capillary water condensation and freezing at the substrate steps. We describe this process briefly in this paragraph, which now also includes the connection to Ref. Knopf et al 2020. We kept this brief to avoid redundancy with Friddle and Thürmer 2019a, which is now available to the public.

Regarding temperature gradients, we added the following paragraph after the first paragraph of the discussion:

“The surface region we analyzed quantitatively is smaller than 500 μm x 300 μm, hence the temperature differences within this area are expected to be small. As can be seen directly in the images of fig. 4, and the analysis in Fig. 6c, any possible temperature effects were not able to obscure the very strong correlation between ice-formation patterns and surface-step patterns. Hence, the rapid emergence and propagation of ice filaments is clearly not driven by temperature gradients, but rather governed by the surface-step patterns. The subsequent, much slower, expansion of the clustered ice crystals decorating the steps, which was not examined quantitatively, could in principle, be more affected by temperature gradients, although visual inspection of the optical images does not reveal strong evidence for this.”

Mapping ice formation to mineral-surface topography using a micro mixing chamber with video and atomic-force microscopy

Raymond W. Friddle¹ and Konrad Thürmer¹

¹Sandia National Laboratory, Livermore, 94550, USA

5 *Correspondence to:* Raymond W. Friddle (rwfridd@sandia.gov), Konrad Thürmer (kthurme@sandia.gov)

Abstract. We developed a method for examining ice formation on solid substrates exposed to cloud-like atmospheres. Our experimental approach couples video-rate optical microscopy of ice formation with high-resolution atomic force microscopy (AFM) of the initial mineral surface. We demonstrate how colocating stitched AFM images with video microscopy can be used to relate the likelihood of ice formation to nanoscale properties of a mineral substrate, e.g., the abundance of surface steps of a certain height. We also discuss the potential of this setup for future iterative investigations of the properties of ice nucleation sites on materials.

1 Introduction

Ice formation[§] in the atmosphere initiates most precipitation and strongly affects Earth's radiation balance (Pruppacher and Klett 1997; Rogers and Yau 1989; Lohmann and Feichter 2005; DeMott et al. 2010; Lau and Wu 2003). Ice emerges via various microscopic processes (Kanji et al. 2017; Pruppacher and Klett 1997; Rogers and Yau 1989; Vali et al. 2015); some require the presence of a foreign material (heterogeneous nucleation) while others proceed unaided by any foreign substance (homogeneous nucleation). For ice nucleation to occur above $\approx -36^\circ\text{C}$, a suitable ice nucleating particle (INP) must provide a surface onto which an ice nucleus can grow to a critical size without being impeded by an insurmountable activation barrier. Most ice nucleation events occur at atmospheric conditions where the critical-nucleus size ranges from ~ 1 nm to ~ 50 nm (Pruppacher and Klett 1997).
20 Uncovering the mechanisms involved in these events thus requires nanometer-resolution techniques.

While structure, morphology, particle size, and the presence of defects or functional groups have been found to determine the ice-nucleating ability of aerosols, the role of these properties and how they interact remains poorly understood (Coluzza et al. 2017; DeMott et al. 2011; Kanji et al. 2017; Koop and Mahowald 2013; Pruppacher and Klett 1997; Welti et al. 2014). Nevertheless, recent ice-nucleation parameterizations, relating the concentration of INPs to the concentration of aerosol particles above a threshold size (DeMott et al. 2010), the aerosols' chemical composition (Vergara-Temprado et al. 2018), or water/substrate contact angles (Wang et al. 2014) and averaged field measurements, have succeeded in improving the accuracy of global climate models. However, as is true for extrapolations in general, such models are expected to be less accurate when applied to conditions outside the range of measured values used to fine tune these models, e.g., to predict a changing environment due to global warming or to predict the behavior under extreme regional conditions, say, in plumes of dust or contamination. Developing a capability to predict ice formation at these uncharted atmospheric conditions with some confidence will require a quantitative understanding of the underlying mechanisms. Since macroscopic measurements of nucleation rates often cannot distinguish clearly between nucleation

[§] [Throughout this manuscript we use the term “ice formation” for the entire process that produces ice, i.e., ice nucleation and growth and/or freezing.](#)

mechanisms (Kanji et al. 2017; Marcolli 2014; Pruppacher and Klett 1997; Welti et al. 2014; Vali et al. 2015), innovative microscopy aimed at uncovering the local structural and chemical properties of ice nucleation sites is needed.

The technical requirements to adequately resolve ice nucleation in time and space are indeed demanding. The imaging system must operate in humid environments, at sub-0 °C temperatures, be non-destructive, and offer spatial resolution on the order of nanometers. Finally, to locate the ice nucleation site to within nanometers, the frame rate must be fast enough to capture the earliest emergence of the crystalline phase. As a point of reference, our data at ≈ -30 °C and relative humidity $RHRH_w \approx 100$ % suggest that capturing just one frame of a new ice crystal smaller than 10 nm would require imaging at rates greater than 1 Mfps (< 1 μ s per image). This minimum frame rate decreases with lower temperature and humidity. Various groups recently demonstrated the resolving power of environmental scanning electron microscopy (ESEM) for studying ice nucleation (Kiselev et al. 2016; Wang et al. 2016; Zimmermann et al. 2008). Unfortunately, ESEM is unable to operate under realistic atmospheric pressures and is prone to introducing electron-beam damage and local heating of condensed water (Rykaczewski, Henry, and Fedorov 2009).

AFM is unique among high-resolution microscopies in that it non-destructively generates the 3-dimensional topography of a surface. Furthermore, the force-based imaging principle of AFM permits it to operate in a broad range of environmental conditions, including exposure to gases, liquids, and varied temperatures. This environmental versatility would appear to make AFM well-suited for *in situ* imaging of cloud-like icing processes on the surface of particulates or other samples. However, a key limitation of most commercial AFMs is their slow imaging speed. ~~A typical AFM scan of sub-micron size can take between 10 seconds to 10 minutes depending on flatness of the substrate, the field of view (FOV), and the desired resolution. Meanwhile at RH \approx 100 % and ≈ -30 °C we estimate that a new ice crystal reaches an effective diameter of 1 μ m in just 6 ms^{**}.~~ Current developments in high-speed AFM (HS-AFM) are encouraging, and have the potential to significantly advance heterogenous nucleation research (Ando 2014; Russell-Pavier et al. 2018), if emerging ice crystals could be observed directly with ~ 10 nm resolution, thus improving significantly the accuracy of locating nucleation sites.

By combining the speed of optical microscopy with the spatial resolution of AFM, the limitations of the individual instruments can be mitigated by colocation. Here we present an approach to connect optical images of ice forming locations to AFM data that resolve mineral substrate surface structures at the nanometer scale. While studying aerosol particles collected from the atmosphere would provide a more direct connection to atmospheric conditions. The typically complex structure and chemistry of these particles often precludes identifying the individual nanoscale processes that are important. For this study, instead, we choose extended flat substrates of known composition on which the role of individual topographic features can be examined. We demonstrate our approach on a substrate of K-feldspar (orthoclase), where we observe and quantify how surface steps facilitate ice formation— a phenomenon pertinent to ice nucleation and growth in mixed-phase clouds.

^{**}~~Based on video observations of projected areal growth rate of an ice crystal of 130 μ m²/s treated effectively as a circle.~~

2 Experimental apparatus and methods

2.1 Setup of a small mixing chamber AFM with video microscopy

2.1.1 Overview of AFM, video, and gas flow components

The experimental setup (Figure 1) is built around a Multimode 8 AFM operated by a Nanoscope V controller (Bruker, Santa Barbara, CA). The AFM is seated on a Nikon top-down microscopy stage equipped with a 10X long-working-distance lens (WD = 49.5mm, NA = 0.2, resolution approximately 1.6 μm). Video microscopy is recorded with an Infinity3-3URC 2.8 MP, 53 fps, color CCD camera. The AFM scanner, head, and objective lens are maintained in a dry nitrogen environment by a cylindrical acrylic atmospheric hood (MMAH2, Bruker). Thermally conductive epoxy (KONA 870FT LVDP, Henkel) is used to adhere the sample to a glass slide which is glued to a copper standoff stage. The standoff stage creates open space between the sample and the AFM piezo for underside cooling and placement of a thermistor.

Cold nitrogen gas is used for cooling both the substrate and the gas above the substrate. Ultrapure nitrogen gas, initially at room temperature, flows through a heat exchanging copper coil immersed in a dewar of liquid nitrogen. The final temperature of the cooled nitrogen is controlled by mixing with room temperature nitrogen. The cooled nitrogen is then divided into two paths: one cools the underside of the copper standoff stage, the other enters an inlet of the AFM sample cell. Water vapor is generated by flowing ultrapure dry nitrogen through a water bubbler. The humidity of the resulting room-temperature vapor is measured using a humidity sensor (ThermaData Series II – HTF, ThermoWorks) with an accuracy of $\pm 3\% \text{ RH}_w$ at 25°C . This vapor is then piped directly into the second inlet of the AFM sample cell. The temperature of the sample cell is monitored by thermistors placed at the underside of the copper standoff and the outlet of the sample cell. The thermistor readings are recorded in real time sampled at 1 Hz using a TC-720 thermoelectric temperature controller (TE Technology, Inc.). With this setup we are able to adjust the room-temperature RH_w between 0 – 90 %, and the temperature of the sample stage to as low as -70°C .

2.1.2 Micro Mixing Chamber

To create a cloud-like atmosphere in a small-volume sample chamber requires humidity near saturation ($\text{RH}_w \approx 100\%$) at sub-zero temperatures ($< 0^\circ\text{C}$). This is difficult to achieve in practice since delivering water vapor through a small tube at freezing temperatures will inevitably clog the tube with ice. Therefore, the vapor must remain above freezing temperatures during transport to the sample cell, then immediately cooled to the desired temperature. To achieve this combination of cold and humid gases we use a glass AFM fluid cell with three ports (Bruker, model ECFC): two ports located next to each other deliver the gases which combine upon entry into the sample chamber, while the third port serves as an outlet. The port arrangement is shown in Figure 1a. This configuration separates the sub-zero dry nitrogen from the water vapor until reaching a small mixing column at the entry into the sample chamber. The volume of the sample chamber is approximately 30 μL , enabling rapid exchange of gases.

As mentioned in the previous section, the sample is cooled by the cold N_2 gas entering the chamber and by cold N_2 gas impinging on the underside of the sample. In our experiments, 0.66 L/min of the cold N_2 flows into the chamber, while 13.44 L/min of cold N_2 is directed at the underside of the sample puck. Measurements of the temperature of the gas exiting the chamber find it to be about 5 to 6 C warmer than the reading directly under the sample puck. Some of the exit gas warming arises from exchanging heat with the small diameter outlet tube that the gas passes through before contacting the sensor (Figure 1a).

A number of advantages come with the mixing-chamber approach to observing ice formation. Since the entry gas is already at the desired temperature, a range of flow rates can be explored; [\(here 0.9 – 1.32 L/min\)](#), without concern for cooling by interaction with a cold surface. In a cold stage approach, measures must be taken to ensure that the coldest part of the cell volume is the sample under study (Wang et al. 2016), otherwise water will condense on unwanted components. In our setup, a cold atmosphere flows into the small $\sim 30 \mu\text{L}$ volume cooling the sample from above and below, thus minimizing temperature differences laterally and from the sample-gas interface upwards. The last point has the potential benefit of keeping the AFM tip near the same temperature as the sample surface, allowing, in principle, to image ice or the sample surface without raising their temperature (not explored here).

2.2 Experimental procedure

10 First, we pre-record detailed maps of the mineral-surface morphology with AFM at room temperature using a Tap150Al-G probe (Budget Sensors) without introducing humidity. To be able to capture a relatively large surface area of typically $\sim 750 \times 570 \mu\text{m}^2$ while maintaining sub-nanometer height resolution, we developed an AFM stitching procedure described in Section 2.3. Subsequently, we performed ice growth experiments by exposing the same mineral surface region to a cold and humid environment at atmospheric pressure. Here, we optically monitor the icing process at [constant a set](#) temperature while switching on and off the flow of water vapor. Following the diagram in Figure 1, a single source of compressed nitrogen supports three primary gas pathways: the humidifying bubbler, the warm mixing line, and the LN_2 cooling dewar. The sample temperature is set by adjusting the mixing ratio of room-temperature nitrogen to LN_2 -cooled nitrogen until the sample thermistor reads the desired temperature. [The temperature is not actively controlled, therefore a small change in the inlet gas temperature will occur when the vapor is mixed into the stream.](#) During the course of an icing experiment the temperature measured at the [chamber outlet warms by about 1 °C .](#) [while the](#) sample stage [decreases/cool](#)s by approximately 0.5 °C due to the diverting of a portion of cold gas back to the stage when the vapor flow is turned on. Most of [this/the](#) cold dry nitrogen stream is delivered to the base of the sample holder, while a small fraction is directed to one of the sample cell inlets. During temperature settling, a 3-way diverting valve passes the humidified nitrogen gas exiting the bubbler through a humidity sensor to measure its relative humidity. This maintains a dry, cold sample chamber while the vapor stream reaches a steady-state humidity. To inject water vapor into the cell, the 3-way valve above the bubbler is switched to divert the vapor stream into one of the mixing inlets of the cell. The vapor mixes with the steady stream of cold gas to supply cold vapor to the sample chamber. To halt the experiment, the vapor is again diverted to the humidity sensor. The ice is then removed from the surface before the next experiment through sublimation under dry N_2 and increasing the temperature above 0 °C .

30 Absolute humidity values (AH_{in}) provided hereafter represent estimates of the water content of the gas stream injected into the environmental chamber. Note that after condensation and especially ice formation has started, the atmosphere in the microliter environmental chamber enters a non-equilibrium stage, in which the local humidity, especially near growing ice features, [can be is](#) much lower than the given AH_{in} values. We estimate AH_{in} by calibrating against the saturation ($\text{RHRH}_{\text{w}} \approx 100 \%$) condition. [That is](#) [To establish the \$\text{RH}_{\text{w}} \approx 100 \%\$ condition,](#) we [find/adjust](#) the [lowest vapor/humidity inlet](#) flow [rate which results in the onset/until](#) [we see droplets](#) of [condensation on \$\sim 1\text{-}5 \mu\text{m}\$ diameter neither grow nor shrink, until after a few seconds](#) the [feldspar surface first](#) [ice crystals appear, causing nearby droplet to shrink and disappear.](#) We then calculate the absolute humidity at $T = -29.5 \text{ °C}$ and $\text{RHRH}_{\text{w}} = 100 \%$ to find $\text{AH}_{100} = 0.48 \text{ g/m}^3$ at a flow rate of $Q_{100} = 0.28 \text{ L/min}$, where AH_{100} and Q_{100} represent the absolute humidity and flow rate *at saturation* for that temperature. For constant dry cold flow rate, we assume the fraction of humid gas

incorporated into the total inlet gas to be linear over the flow rates employed here (0.28 – 0.66 L/min). Therefore, for a humid line flow rate, Q , the humidity injected into the cell is approximated by,

$$AH_{in} = AH_{100} \frac{Q}{Q_{100}}.$$

5

We estimate that a 0.05 L/min error exists in our measurement of flow rate leading to an error for AH_{in} of ± 0.08 g/m³.

2.3 AFM image stitching

Several AFM images covering a relatively large area of the feldspar surface were acquired on a Dimension 3100 (Bruker), with a
10 Nanoscope V controller, in tapping mode. This AFM has a motorized XY stage that allows programming a grid of images to be
acquired at locations that cover the desired surface region. A mosaic is produced by stitching together a 6x9 array of 54 individual
AFM images, each with a 100×100 μm^2 scan size and typical overlap of 10 μm with neighboring images. The large scan size and
acquisition time result in appreciable background warping of the individual images. To optimize stitching of adjacent images with
minimal seam lines requires flattening each image. In most cases we subtracted a 2D polynomial of first order in x and second
15 order in y , $\sum_{j=0}^1 \sum_{k=0}^2 a_{j,k} x^j y^k$, which is fitted to masked areas of constant height. The resulting image is then levelled by an
iterative routine which optimizes levelling of surface facets (software: Gwyddion). Image borders are seamed by alpha blending
such that the image height, h_i , across the overlap of images $i = 1,2$ is blended by $h_{tot} = \alpha h_1 + (1 - \alpha) h_2$, where α varies linearly
from 0 to 1 across the width of the overlap. Note that quantitative analysis of step heights is performed on the original individual
images to avoid errors caused by seams. Image alignment and blending is performed using a custom routine in Igor Pro
20 (Wavemetrics).

3 Experimental results

We used the system described here to examine ice formation on a sample mechanically cut from single crystal K-feldspar along
the (001) easy-cleavage plane (Orthoclase, KAlSi_3O_8 , Yavapai County, Arizona, USA, vendor: VWR/Eric Miller). In this study
we maintain a fixed temperature of approximately -29.5 ± 0.5 °C while recording video of ice formation at different humidities.
25 At humidity below saturation ($AH_{in} = 0.40 \pm 0.08$ g/m³), we find growth of isolated ice crystals on the feldspar surface ~~with no
precursor condensation of liquid water resolved optically (Fig. 2a-c). While this is consistent with a direct vapor deposition
nucleation mechanism we are unable to rule out capillary condensation at sub-micron length scales within confined surface
structures. This observation would be consistent with the classic mechanism of deposition nucleation, where ice nucleates directly
from vapor without prior formation of liquid (Vali et al. 2015). However, as Marcolli (Marcolli 2014) has pointed out, many
30 observations that appear to be deposition could actually be pore condensation and freezing of water, stabilized in cavities at
 $RH_w < 100\%$ due to the inverse Kelvin effect (Christenson 2013; David et al. 2019; Fukuta 1966; Marcolli 2014; Pach and Verdaguer
2019). Since such capillary condensation could have occurred on confined surface structures just a few nanometers wide, optical
microscopy would not have been able to detect it.~~ Near saturation with respect to water ($RHRH_w \approx 100\%$, $AH_{in} = 0.48 \pm 0.08$
g/m³), we observe condensation of water droplets on the K-feldspar surface (Fig. 2f-h). Ice formation at various sites occurs either
35 concomitantly with condensation or after a short induction period. Alongside the optical images of ice formation in Fig. 2 are AFM
images of the same locations. We find that many distinct surface sites repeatedly nucleate ice across multiple experiments, and
over varied humidities, which agrees with ESEM findings of active sites on orthoclase for heterogeneous ice nucleation (Kiselev

et al. 2016). However, we also observed that after covering the surface with liquid water, then subsequently drying the surface before repeating an ice experiment, some sites lost their ice nucleating ability while previously inactive locations became sites for nucleation.

5 Above saturation, we observe a very different pathway to ice formation. As shown in Fig. 3, the initially dry surface is first darkened by the condensation of water droplets on the sample surface. Shortly thereafter, rough filaments of ice branch out across the surface. A denuded zone is established as a halo absent of water droplets around these ice filaments. After the elongation of the filaments halts, the width of the ice filaments continues to grow as water from the surrounding vapor attaches to the crystals. Qualitatively comparing the optical images to the colocated AFM image in Figure 3, it appears that the ice filaments follow the contour of
10 surface step edges. In Figure 4 we show that this is indeed the case. There, a frame of optical data taken when the ice filament extensions have ceased (Fig. 4b), is overlaid on a mosaic AFM image of the same area (Fig. 4a) to produce a colocated composite (Fig. 4c). Clearly, the ice decorates many of the prominent step edges on the surface. The emergence of these ice-filament patterns has been described in more detail in (Friddle and Thürmer 2019a). We also find a few isolated ice crystals (two are labelled in Fig. 4b), which despite having been surrounded by nearby droplets supplying water, did not merge with the main continuous system of
15 ice filaments. Comparing the surface structures underlying these isolated crystals to that for filaments in Figs. 4d-g, we see that for the surfaces where ice forms extended filaments the surface presents tall step edges that run uninterrupted along the extension of the ice filament's path (Figs. 4f,g). The substrate surfaces underlying the isolated ice crystals, on the contrary, display island-like protrusions spanning relatively short distances (Figs. 4d,e). Our data neither reveal nor rule out any preferred crystal orientation of the observed ice structures.

20 4 Data processing

4.1 Analysis of surface steps

Figure 5 illustrates the process we developed to extract step heights from the AFM data and relate those to the optically-observed ice-forming locations. An AFM image (Fig. 5a) is first converted to an image of step-heights (Fig. 5b), where traces outline where the step edges lie, and the value of each pixel along the trace corresponds to the step's height at that point. This step-edge image is
25 generated by the following custom routine (Igor Pro, Wavemetrics) operating on the row and column 1D arrays of the 2D image matrix: After background subtraction, the derivative of the 1D array is taken. An edge is found when the rate-of-change of the differentiated array crosses a threshold of $d^2z/dx^2 = 6 \text{ nm/mm}^2$, which detects step heights greater than 2 nm. Once a crossing is found, the local peak and two floor points of the derivative array are used to determine the step height from the original array. The step edge location and height are assigned to a pixel on the new image. This process is repeated, line-by-line, along the rows and
30 columns of the AFM image.

The step edge image is interactive (Fig. 5b) to facilitate manually collecting step-height statistics over many step segments. Contiguous pixels of similar step height are grouped into clickable trace segments which change color and thickness to indicate selection (see Fig. 5d). Selection is reversible, and trace segments can be cut into smaller segments where needed to match the iced
35 segment lengths observed optically.

4.2 Relating ice formation to step heights

Once registration between the AFM step height image and optical image is established, the routine discussed in section 4.1 is applied to collect statistics on the heights of step edges along which ice forms. As shown in Figure 5, the selected step edges in panel 5d are chosen to coincide with the filaments of ice in panel 5c. The selected trace segments contain step height values for each pixel along the segment. This is repeated for all the images across the desired analysis area. The selected step heights are binned into a histogram and compared against a histogram of all step heights presented in the image. Binning is counted as pixels or physical length.

Figure 6 shows an example of processed step height data collected over 15 images, each covering $100 \times 100 \mu\text{m}^2$. Here we show histograms for ice formation on K-feldspar at four different humidities, all at a temperature of $-29.5 \pm 0.5 \text{ }^\circ\text{C}$. Each histogram is derived from one video frame for each humidity which is chosen based on when ice propagation across the surface has halted. Figure 6 shows the distribution of step heights for a) all steps observed and b) steps on which ice propagates. The ratio of these two histograms – total length of iced steps within a step-height bin over total length of *all* steps (within the same step-height bin) – provides the probability of finding ice on a step of a given height (Fig. 6c).

5 Discussion and outlook

The data in Figure 6c reveals that, above saturation, ice is more likely to form along taller steps than shorter steps. Furthermore, the sigmoidal probability distribution in Fig. 6c shifts with changing humidity: as the humidity is increased, the curve moves towards smaller step heights. As detailed in (Friddle and Thürmer 2019a), the formation of ice filaments along step edges can be explained by capillary water condensation, with water filling the bottom corner where the step edge meets the underlying terrace. The orthoclase water contact angle is $\sim 45^\circ$ (Karagüzel et al. 2005), and thus, at saturation, perpendicular steps of all heights will be lined with water wedges when the surface's water contact angle $\leq 45^\circ$ (Brinkmann and Blossy 2004; Moosavi, Rauscher, and Dietrich 2006; Seemann et al. 2011). Therefore, steps of any height are pre-filled with liquid water which can freeze in place once a nucleation event occurs anywhere along the step. This process can be viewed as an extension of the pore condensation and freezing mechanism (Christenson 2013; David et al. 2019; Fukuta 1966; Marcolli 2014; Pach and Verdaguer 2019) to higher humidity. [A step height-dependence of ice growth can be attributed to two causes. First, if the probability of a given body of supercooled water to freeze at any given moment is roughly proportional to the surface area of the feldspar substrate immersed in the supercooled water, consistent with the models for immersion freezing considered in \(Knopf et al. 2020\)A step height-dependence of ice growth, then the probability of a given step edge segment to initiate ice nucleation is roughly proportional to its height. The second cause](#) arises from the subsequent dehydration of water wedges as denuded zones form around existing ice crystals. The taller steps contain more water, and thus can retain water wedges longer than their shorter neighbours. Hence the transformation of water wedges to ice, due to heterogeneous nucleation or contact with a nearby ice filament, has a greater window in time to occur with taller steps. This capillary-based mechanism is consistent with the ice formation shown in Figure 4. Here, ice filaments grow when step edges maintained tall heights for extended distances, whereas isolated ice crystals were observed at [step edges having short lengths, such as](#) protrusions or depressions surrounded by flat areas.

[The surface region we analyzed quantitatively is smaller than \$500 \mu\text{m} \times 300 \mu\text{m}\$, hence the temperature differences within this area are expected to be small. As can be seen directly in the images of fig. 4, and the analysis in Fig. 6c, any possible temperature effects were not able to obscure the very strong correlation between ice-formation patterns and surface-step patterns. Hence, the](#)

[rapid emergence and propagation of ice filaments is clearly not driven by temperature gradients, but rather governed by the surface-step patterns. The subsequent, much slower, expansion of the clustered ice crystals decorating the steps, which was not examined quantitatively, could in principle, be more affected by temperature gradients, although visual inspection of the optical images does not reveal strong evidence for this.](#)

5

Typically, most aerosol particles are completely immersed in a cloud droplet already at very modest supersaturations. As discussed in detail in (Friddle and Thürmer 2019a), the step-facilitated mechanism described above is expected to be relevant when a cavity-free feldspar particle, initially devoid of ice, is suspended in air colder than -20°C that becomes slowly saturated. According to Fletcher's estimate (Fletcher 1962; Pruppacher and Klett 1997) that a humidity of $\text{RH}_w > 130\%$ is required for a measurable nucleation rate of water droplets with a contact angle of $\approx 45^{\circ}$ on a planar insoluble substrate. Hence condensation of supercooled water will be confined to step edges, where the water will freeze rapidly, thus initiating ice formation.

10

In the discussed example of ice formation, the step-height analysis is used to corroborate the involvement of the liquid phase of water during the observed rapid formation and propagation of ice on feldspar, while the link between surface-step height and the ability of an isolated aerosol particle to initiate ice nucleation is neither direct nor obvious. Nevertheless, such step-height analysis might benefit future studies in fields of material science, like corrosion and aircraft icing (Gent, Dart, and Cansdale 2000; Kreder et al. 2016), where the behaviour of the examined materials is affected by the abundance of surface steps.

15

In the current implementation of the AFM/optical technique presented in this paper, the two microscopies are performed sequentially on the same surface area, and the data are subsequently merged for quantitative analysis of surface structure as it pertains to ice formation. [Due to the limited resolution of optical microscopy, we cannot directly determine the ice-nucleation site with nanometer precision. But by preparing cleavage surfaces that contain rather flat surface regions, we create configurations in which most steps are separated far enough to be optically resolved, allowing us to relate ice-propagation patterns to surface step patterns, and to employ AFM to quantify the role of the steps' height.](#) Future experiments will explore simultaneous operation of

20

AFM and optical microscopy, which may improve spatial localization of ice nucleation sites and resolving their morphologies. The setup described here is also applicable to studying deposition mode nucleation at sub -36°C and sub-saturation (relevant to cirrus cloud formation), and optical observations of immersion mode nucleation on substrates. As previously demonstrated (Yang et al. 2015; Gurganus, Kostinski, and Shaw 2011; Yang et al. 2018; Holden et al. 2019), higher frame rates than used here are imperative when the sample is immersed in water because the ice can spread to millimetre length-scales on the order of milliseconds

25

after the nucleation event. Nevertheless, higher video frame rates do not improve the resolving power of the microscope which is fundamentally restricted by the diffraction limit $\sim \lambda/2\text{NA}$. In our system the small numerical aperture of our objective ($\text{NA} = 0.2$) follows from the large working distance lens required to fit within the clearance of the AFM. Dedicated microscopy systems can improve resolution by using higher NA and by implementing blue filters to limit the wavelength λ . Ultimately, ~~advanced high-speed AFM~~ [advances in highspeed AFM \(HS-AFM\)](#) may be the key to direct observations of ice nucleation events, ~~as it. A typical commercial-AFM scan takes 10 seconds to 10 minutes depending on flatness of the substrate, the field of view (FOV), and the desired resolution. Meanwhile at $\text{RH}_w \approx 100\%$ and $\approx -30^{\circ}\text{C}$ we estimate that a new ice crystal reaches an effective diameter of $1\ \mu\text{m}$ in just $6\ \text{ms}^{\dagger\dagger}$. Progress in HS-AFM development has proven [the tool to be](#) capable of performing [high-speedfast](#) imaging of~~

30

[the tool to be](#) capable of performing [high-speedfast](#) imaging of

35

^{††} [Based on video observations of projected areal growth rate of an ice crystal of \$130\ \mu\text{m}^2/\text{s}\$ treated effectively as a circle.](#)

dynamic processes at nanometer resolution under various environments (Yamashita et al. 2009; Payton, Picco, and Scott 2016; Pyne et al. 2009; Picco et al. 2008; Kodera et al. 2010; Uchihashi et al. 2011; Casuso et al. 2010).

Finally, the rapid spreading propagation of ice we observed on feldspar, is expected to play a role should also occur in other circumstances where extended surfaces, covered with continuous networks of steps or grooves, are exposed to a supersaturated atmosphere, providing a microscopy-based argument for avoiding rough surfaces with large steps or grooves in efforts to prevent suppress aircraft icing (Gent, Dart, and Cansdale 2000; Kreder et al. 2016).

10 *Video supplement.* Videos corresponding to the optical frames presented in figures 2a, 2b, 3a, and 4b can be found online at <https://doi.org/10.7910/DVN/DZUZ6P>.

Data availability. Raw AFM and optical video data supporting the findings of this study are available from RWF (rwridd@sandia.gov) or KT (kthurme@sandia.gov) on request.

15

Author contributions. RWF and KT conceived of and performed the experiments, analysed the data, and wrote the paper.

Competing interests. The authors declare that they have no conflict of interest.

20 *Acknowledgments.* We thank Norman C. Bartelt for insightful discussions. This work was supported by the Sandia Laboratory Directed Research and Development Program. Sandia National Laboratories is a multi-mission laboratory managed and operated by National Technology and Engineering Solutions of Sandia LLC, a wholly owned subsidiary of Honeywell International Inc. for the U.S. Department of Energy's National Nuclear Security Administration under contract DE-NA0003525.

25 **References**

- Ando, T. 'High-speed AFM imaging', *Curr. Opin. Struc. Biol.*, 28: 63-68. 2014.
- Brinkmann, M., and R. Blossey. 'Blobs, channels and "cigars": Morphologies of liquids at a step', *Eur. Phys. J. E*, 14: 79-89. 2004.
- Casuso, I., P. Sens, F. Rico, and S. Scheuring. 'Experimental Evidence for Membrane-Mediated Protein-Protein Interaction', *Biophys. J.*, 99: L47-L49. 2010.
- 30 Christenson, H. K. 'Two-step crystal nucleation via capillary condensation', *CrystEngComm*, 15: 2030-39. 2013.
- Coluzza, I., J. Creamean, M. J. Rossi, H. Wex, P. A. Alpert, V. Bianco, Y. Boose, C. Dellago, L. Felgitsch, J. Frohlich-Nowoisky, H. Herrmann, S. Jungblut, Z. A. Kanji, G. Menzl, B. Moffett, C. Moritz, A. Mutzel, U. Poschl, M. Schauperl, J. Scheel, E. Stopelli, F. Stratmann, H. Grothe, and D. G. Schmale. 'Perspectives on the Future of Ice Nucleation Research: Research Needs and Unanswered Questions Identified from Two International Workshops', *Atmosphere*, 8: 28. 2017.
- 35 David, R. O., C. Marcolli, J. Fahrni, Y. Q. Qiu, Y. A. P. Sirkin, V. Molinero, F. Mahrt, D. Bruhwiler, U. Lohmann, and Z. A. Kanji. 'Pore condensation and freezing is responsible for ice formation below water saturation for porous particles', *Proc. Natl. Acad. Sci. U. S. A.*, 116: 8184-89. 2019.
- DeMott, P. J., O. Mohler, O. Stetzer, G. Vali, Z. Levin, M. D. Petters, M. Murakami, T. Leisner, U. Bundke, H. Klein, Z. A. Kanji, R. Cotton, H. Jones, S. Benz, M. Brinkmann, D. Rzesanke, H. Saathoff, M. Nicolet, A. Saito, B. Nillius, H. Bingemer, J. Abbat, K. Ardon, E. Ganor, D. G. Georgakopoulos, and C. Saunders. 'Resurgence in ice nuclei measurement research', *Bull. Am. Meteorol. Soc.*, 92: 1623-35. 2011.
- 40 DeMott, P. J., A. J. Prenni, X. Liu, S. M. Kreidenweis, M. D. Petters, C. H. Twohy, M. S. Richardson, T. Eidhammer, and D. C. Rogers. 'Predicting global atmospheric ice nuclei distributions and their impacts on climate', *Proc. Natl. Acad. Sci. U. S. A.*, 107: 11217-22. 2010.
- 45 Fletcher, N. H. *The physics of rainclouds* (Cambridge University Press: New York). 1962.

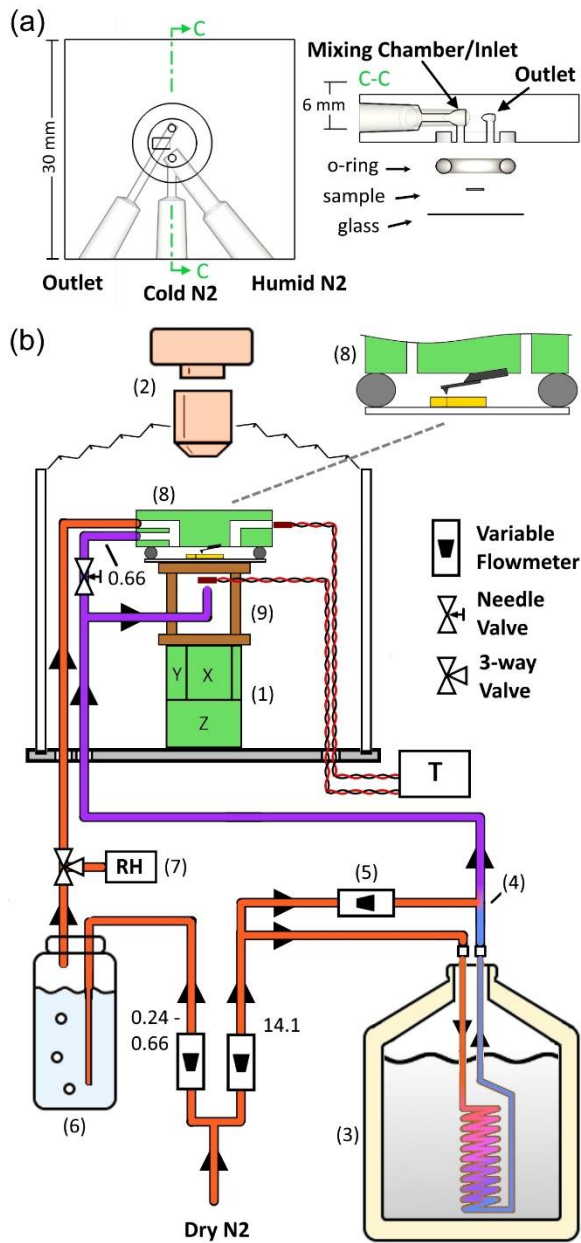
- Friddle, R. W., and K. Thürmer. 'Direct observation of morphology-enhanced condensation and freezing on feldspar: How nanoscale surface steps promote ice formation', Manuscript submitted for publication. 2019a.
- Friddle, Raymond, and Konrad Thürmer. 'Video microscopy of ice nucleation and growth on the (001) face of orthoclase at -30 C', Harvard Dataverse, <https://doi.org/10.7910/DVN/DZUZ6P>. 2019b.
- 5 Fukuta, N. 'Activation of atmospheric particles as ice nuclei in cold and dry air', *J. Atmos. Sci.*, 23: 741-50. 1966.
- Gent, R. W., N. P. Dart, and J. T. Cansdale. 'Aircraft icing', *Philos. Trans. R. Soc. Lond. Ser. A-Math. Phys. Eng. Sci.*, 358: 2873-911. 2000.
- Gurganus, C., A. B. Kostinski, and R. A. Shaw. 'Fast Imaging of Freezing Drops: No Preference for Nucleation at the Contact Line', *J. Phys. Chem. Lett.*, 2: 1449-54. 2011.
- 10 Holden, M. A., T. F. Whale, M. D. Tarn, D. O'Sullivan, R. D. Walshaw, B. J. Murray, F. C. Meldrum, and H. K. Christenson. 'High-speed imaging of ice nucleation in water proves the existence of active sites', *Sci. Adv.*, 5. 2019.
- Kanji, Zamin A., Luis A. Ladino, Heike Wex, Yvonne Boose, Monika Burkert-Kohn, Daniel J. Cziczo, and Martina Krämer. 'Overview of Ice Nucleating Particles', *Meteor. Monogr.*, 58: 1.1-1.33. 2017.
- Karagüzel, C., M. F. Can, E. Sönmez, and M. S. Çelik. 'Effect of electrolyte on surface free energy components of feldspar minerals using thin-layer wicking method', *J. Colloid Interface Sci.*, 285: 192-200. 2005.
- 15 Kiselev, Alexei, Felix Bachmann, Philipp Pedevilla, Stephen J. Cox, Angelos Michaelides, Dagmar Gerthsen, and Thomas Leisner. 'Active sites in heterogeneous ice nucleation—the example of K-rich feldspars', *Science*. 2016.
- Knopf, D. A., P. A. Alpert, A. Zipori, N. Reicher, and Y. Rudich. 'Stochastic nucleation processes and substrate abundance explain time-dependent freezing in supercooled droplets', *Npj Clim Atmos Sci*, 3. 2020.
- 20 Kodera, N., D. Yamamoto, R. Ishikawa, and T. Ando. 'Video imaging of walking myosin V by high-speed atomic force microscopy', *Nature*, 468: 72-76. 2010.
- Koop, Thomas, and Natalie Mahowald. 'Atmospheric science: The seeds of ice in clouds', *Nature*, 498: 302-03. 2013.
- Kreder, M. J., J. Alvarenga, P. Kim, and J. Aizenberg. 'Design of anti-icing surfaces: smooth, textured or slippery?', *Nat. Rev. Mater.*, 1: 15. 2016.
- 25 Lau, K. M., and H. T. Wu. 'Warm rain processes over tropical oceans and climate implications', *Geophys. Res. Lett.*, 30: 5. 2003.
- Lohmann, U., and J. Feichter. 'Global indirect aerosol effects: a review', *Atmos. Chem. Phys.*, 5: 715-37. 2005.
- Marcilli, C. 'Deposition nucleation viewed as homogeneous or immersion freezing in pores and cavities', *Atmos. Chem. Phys.*, 14: 2071-104. 2014.
- Moosavi, A., M. Rauscher, and S. Dietrich. 'Motion of nanodroplets near edges and wedges', *Phys. Rev. Lett.*, 97: 4. 2006.
- 30 Pach, E., and A. Verdaguer. 'Pores Dominate Ice Nucleation on Feldspars', *J. Phys. Chem. C*, 123: 20998-1004. 2019.
- Payton, O. D., L. Picco, and T. B. Scott. 'High-speed atomic force microscopy for materials science', *Int. Mater. Rev.*, 61: 473-94. 2016.
- Picco, L. M., P. G. Dunton, A. Ulcinas, D. J. Engledew, O. Hoshi, T. Ushiki, and M. J. Miles. 'High-speed AFM of human chromosomes in liquid', *Nanotechnology*, 19. 2008.
- 35 Pruppacher, H. R., and J. D. Klett. *Microphysics of clouds and precipitation* (Kluwer Academic Publishers, Dordrecht, The Netherlands). 1997.
- Pyne, A., W. Marks, L. M. Picco, P. G. Dunton, A. Ulcinas, M. E. Barbour, S. B. Jones, J. Gimzewski, and M. J. Miles. 'High-speed atomic force microscopy of dental enamel dissolution in citric acid', *Arch. Histol. Cytol.*, 72: 209-15. 2009.
- Rogers, R.R., and M.K. Yau. *A short course in cloud physics* (Pergamon Press: Oxford). 1989.
- 40 Russell-Pavier, F. S., L. Picco, J. C. C. Day, N. R. Shatil, A. Yacoot, and O. D. Payton. 'Hi-Fi AFM': high-speed contact mode atomic force microscopy with optical pickups', *Meas. Sci. Technol.*, 29. 2018.
- Rykaczewski, K., M. R. Henry, and A. G. Fedorov. 'Electron beam induced deposition of residual hydrocarbons in the presence of a multiwall carbon nanotube', *Appl. Phys. Lett.*, 95. 2009.
- Seemann, R., M. Brinkmann, S. Herminghaus, K. Khare, B. M. Law, S. McBride, K. Kostourou, E. Gurevich, S. Bommer, C. Herrmann, and D. Michler. 'Wetting morphologies and their transitions in grooved substrates', *J. Phys.-Condes. Matter*, 23: 16. 2011.
- Uchihashi, T., R. Iino, T. Ando, and H. Noji. 'High-Speed Atomic Force Microscopy Reveals Rotary Catalysis of Rotorless F-1-ATPase', *Science*, 333: 755-58. 2011.
- Vali, G., P. J. DeMott, O. Mohler, and T. F. Whale. 'Technical Note: A proposal for ice nucleation terminology', *Atmos. Chem. Phys.*, 15: 10263-70. 2015.
- 50 Vergara-Temprado, J., M. A. Holden, T. R. Orton, D. O'Sullivan, N. S. Umo, J. Browse, C. Reddington, M. T. Baeza-Romero, J. M. Jones, A. Lea-Langton, A. Williams, K. S. Carslaw, and B. J. Murray. 'Is Black Carbon an Unimportant Ice-Nucleating Particle in Mixed-Phase Clouds?', *J Geophys Res Atmos*, 123: 4273-83. 2018.
- Wang, Bingbing, Daniel A. Knopf, Swarup China, Bruce W. Arey, Tristan H. Harder, Mary K. Gilles, and Alexander Laskin. 'Direct observation of ice nucleation events on individual atmospheric particles', *Phys. Chem. Chem. Phys.*, 18: 29721-31. 2016.
- 55 Wang, Y., X. Liu, C. Hoose, and B. Wang. 'Different contact angle distributions for heterogeneous ice nucleation in the Community Atmospheric Model version 5', *Atmos. Chem. Phys.*, 14: 10411-30. 2014.
- Welti, A., Z. A. Kanji, F. Luond, O. Stetzer, and U. Lohmann. 'Exploring the Mechanisms of Ice Nucleation on Kaolinite: From Deposition Nucleation to Condensation Freezing', *J. Atmos. Sci.*, 71: 16-36. 2014.
- 60

Yamashita, H., K. Voitchovsky, T. Uchihashi, S. A. Contera, J. F. Ryan, and T. Ando. 'Dynamics of bacteriorhodopsin 2D crystal observed by high-speed atomic force microscopy', *J Struct Biol*, 167: 153-58. 2009.

Yang, F., O. Cruikshank, W. L. He, A. Kostinski, and R. A. Shaw. 'Nonthermal ice nucleation observed at distorted contact lines of supercooled water drops', *Phys. Rev. E*, 97. 2018.

5 Yang, F., R. A. Shaw, C. W. Gurganus, S. K. Chong, and Y. K. Yap. 'Ice nucleation at the contact line triggered by transient electrowetting fields', *Appl. Phys. Lett.*, 107. 2015.

Zimmermann, F., S. Weinbruch, L. Schutz, H. Hofmann, M. Ebert, K. Kandler, and A. Worringer. 'Ice nucleation properties of the most abundant mineral dust phases', *J. Geophys Res. Atmos.*, 113: D23204. 2008.

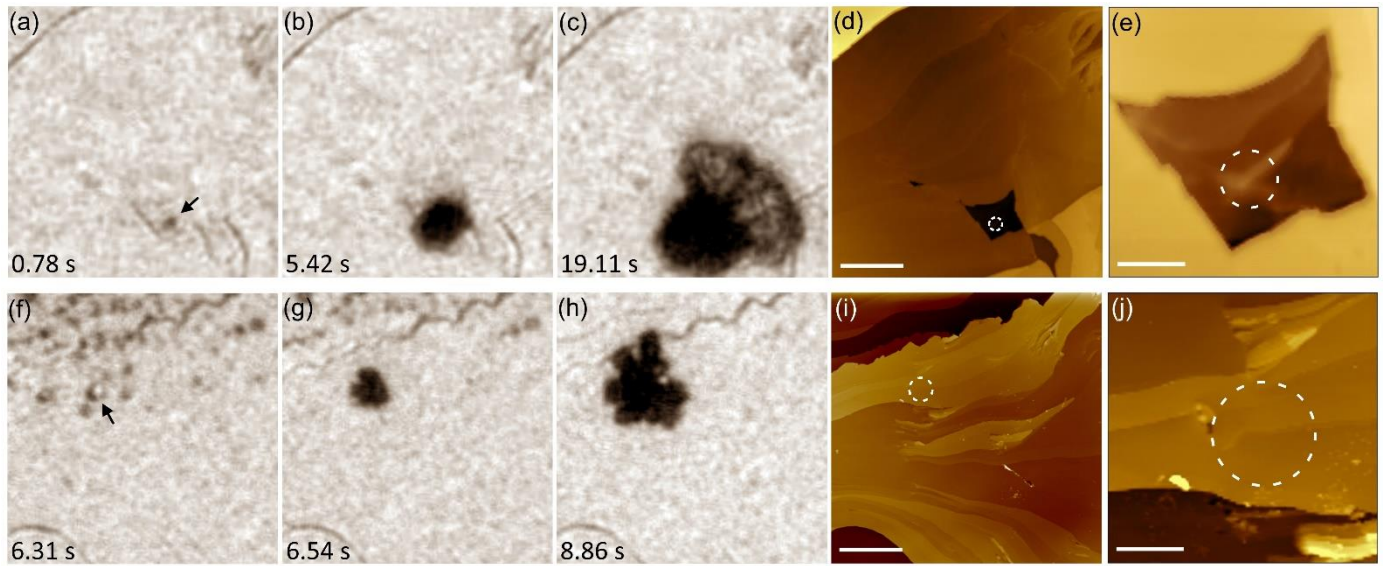


10

Figure 1: Experimental Setup. (a) The 3-port fluid cell. The port design separates the humid and cold gases before injection into the cell, which are then mixed to form a cold humid atmosphere just before entry to the sample volume. (b) The overall setup is built around an existing AFM (8) with top-down optics (2). Dry nitrogen is divided into cold and humid streams. The cold stream is nitrogen gas cooled in a liquid nitrogen-filled dewar (3), mixed at (4) with a dosage of room temperature nitrogen gas varied by (5). The total flow rate of the cold stream is unchanged, only the proportions of cold and warm flows are adjusted. The humid stream is generated by flowing nitrogen through a bubbler filled with water (6). The humid gas leaving the bubbler is directed by a 3-way valve towards either a humidity sensor (7), or to the sample cell. The cold and humid streams of nitrogen gas enter an acrylic bell jar which houses the AFM scanner with a cellophane bellows bridging the optical objective (2) to the top rim of the jar. The humid stream enters one port of the sample cell while the cold stream is divided to cool the underside of the sample, by way of a copper stand-off stage (9), and flow a smaller proportion into the other port of the sample cell. The temperature of the underside of the sample stage and the gas exiting the cell are measured with thermistors. The decimal values next to flow line segments are flow rates for those segments in L/min.

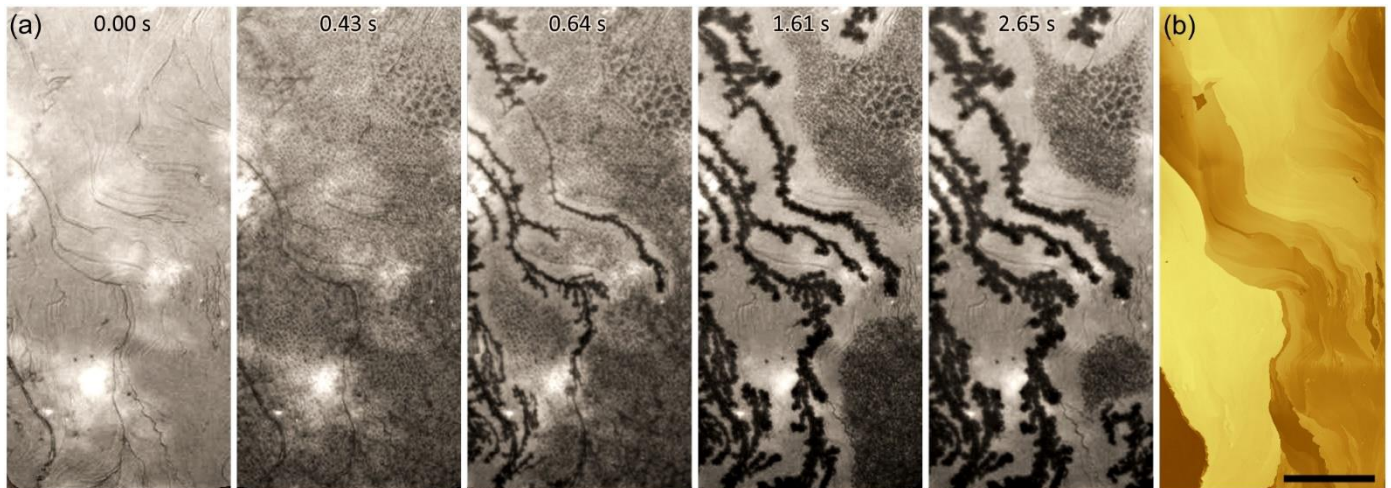
15

20



5 **Figure 2:** Colocating isolated nucleation events at -29.5 ± 0.5 C to AFM topography. (a)-(c) Three frames of an ice crystal nucleating and growing under $AH_{in} = 0.40 \pm 0.08$ g/m³. (d) AFM image of the same location in a-c) with dashed circle around the area of nucleation. Scale bar 20 μ m. (e) Expanded view of a portion of panel (d) showing a small protrusion within a larger pit. Scale bar 5 μ m. (f)-(h) Frames taken under $AH_{in} = 0.48 \pm 0.08$ g/m³ which show a collection of droplets on the surface in panel (f). The arrow points to the droplet which initiates an ice crystal. (i) AFM of the same area as in (f)-(h) with a circle around the original droplet in (f). Scale bar 20 μ m. (j) Expanded view of panel (i). Scale bar 5 μ m. Time stamps in lower left corner of optical frames are relative to the start time of their respective movies, however the time when water vapor fills the cell is not measured with significant precision. Accompanying videos can be found at (Friddle and Thürmer 2019b).

10



15 **Figure 3:** Ice growth on the feldspar surface at -29.5 ± 0.5 C and $AH_{in} = 0.80 \pm 0.08$ g/m³. (a) Video frames at the noted times show the progression from a dry surface (0.00 s), to surface water condensation (0.43 s), and finally to propagation of ice across the surface. From 0.64 – 2.65 s the denuded zone (dehydrated halo) around the ice filaments expands with ice growth. (b) AFM mosaic of the same area as in a) where lighter color indicates higher surface topography. Note the prominent step edges follow the same path as many of the ice filaments. Scale bar 100 μ m. Accompanying video can be found at (Friddle and Thürmer 2019b).

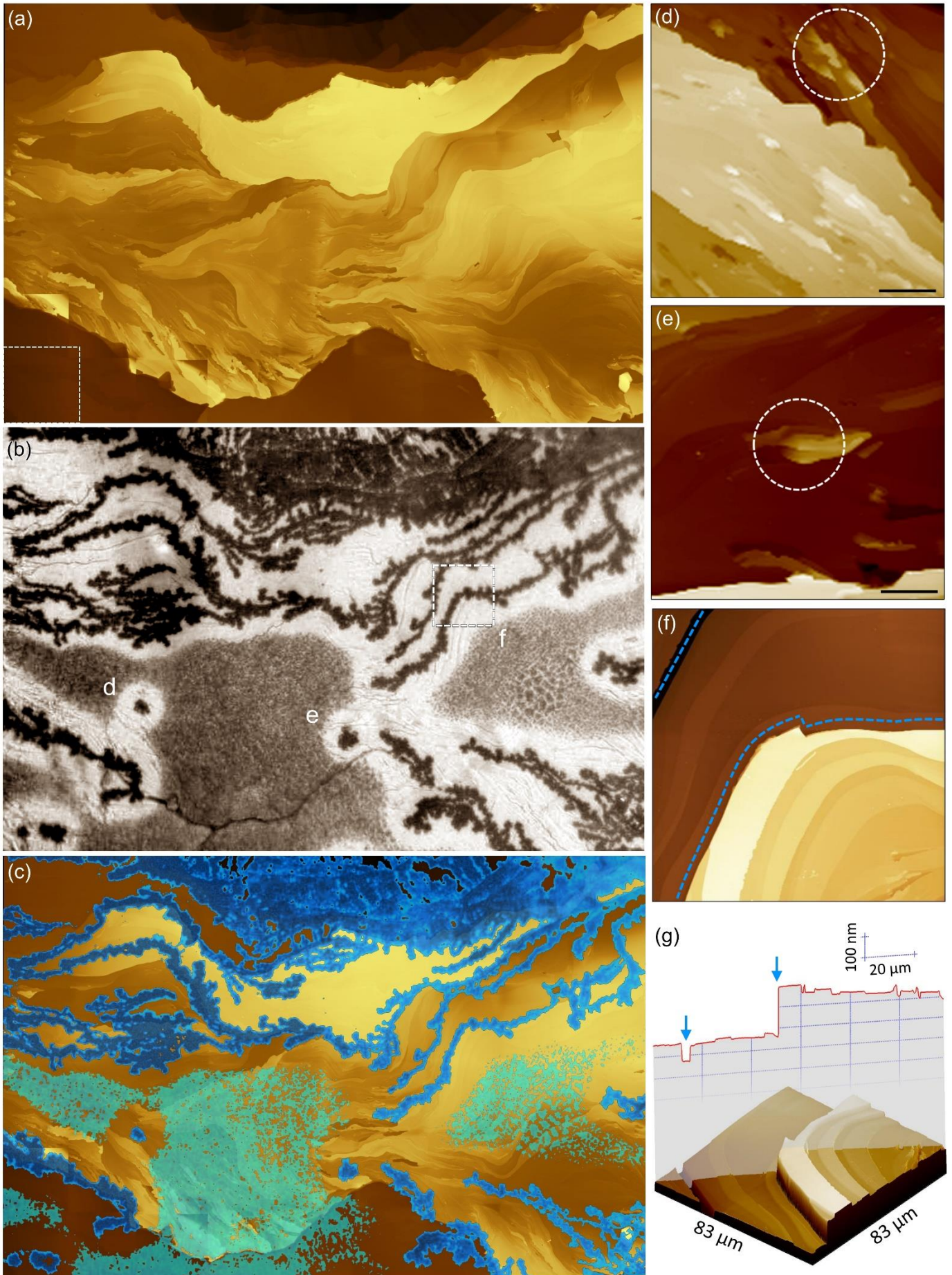


Figure 4: Wide field of view collocation of AFM data and optical video of ice growth at -29.5 ± 0.5 C and $AH_{in} = 0.80 \pm 0.08$ g/m³. **(a)** A mosaic composed of 54 individual AFM images stitched together to form a topographical map spanning 750×570 μm^2 . The dashed box in the lower left represents the 100×100 μm^2 size of each individual AFM image. Brighter color represents higher topography. **(b)** An optical video frame captured over the same area as in a) showing ice growth. Three locations of interest are marked on the optical image (d, e, and f) and AFM images corresponding to their locations are shown in the panels at the right with the same labels. **(c)** The optical image in panel (b) is thresholded and false-colored, then overlaid on the AFM data shown in panel (a). The resulting overlay demonstrates the preference of ice formation along prominent step edges. **(d),(e)** Two example regions where ice nucleated on the surface and grew as isolated crystals without propagating across steps. Dashed circles enclose the locations where ice nucleation occurred. Scale bars are 5 μm . **(f)** Planar and **(g)** 3D view of the region marked f in panel (b). Dashed lines in (f) represent the path followed by ice, and the arrows in (g) mark locations of ice formation. Video data accompanying figure 4b can be found at (Friddle and Thürmer 2019b).

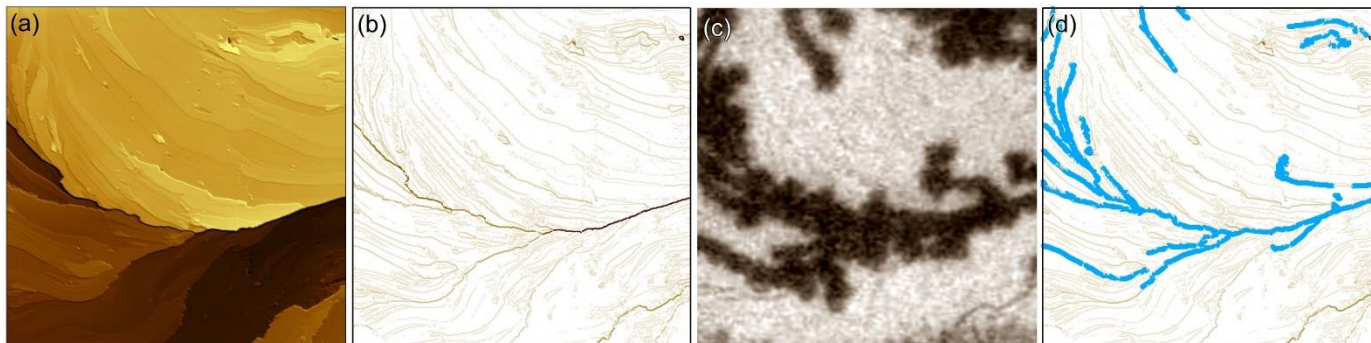


Figure 5: Processing video and AFM data into step-height statistics. An AFM image **(a)** is converted to an image of step-heights **(b)** and compared to the corresponding optical image of ice formation **(c)**. The step-height image in panel (b) is interactive in that the traces corresponding to ice-covered step segments can be selected by mouse click, shown as blue traces in **(d)**.

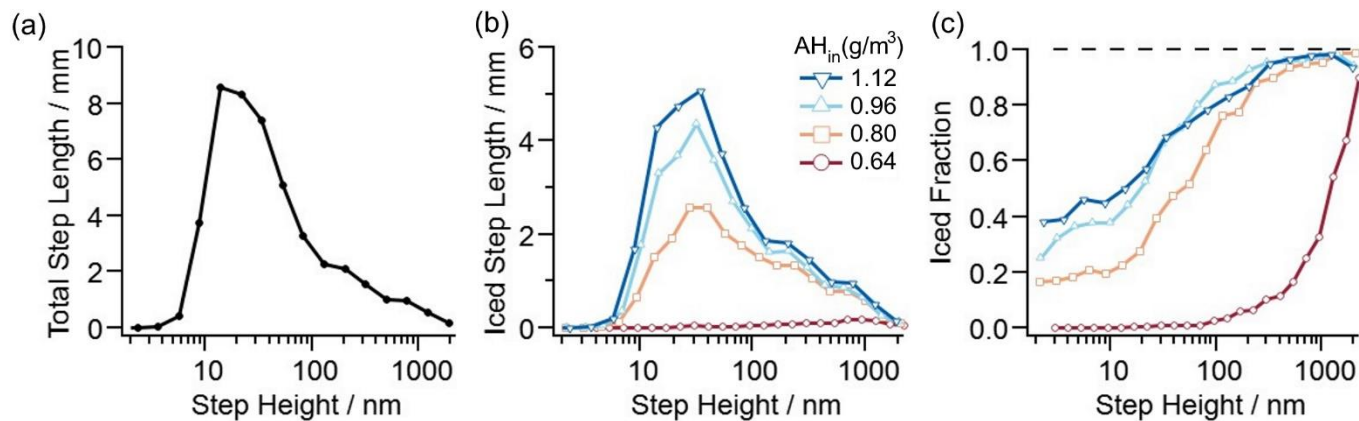


Figure 6: Step-height statistics. **(a)** Histogram of *all* step heights as measured by the total length of *all* steps within a step-height bin. **(b)** Histogram of step heights as measured by the total length of *iced* steps within a step-height bin. The histograms evaluate 15 images, each image covering 100×100 μm^2 . The bins are logarithmically spaced, and binning for each step height is counted up as the sum of step-edge lengths. **(c)** Probability of finding ice on a step of a given height, computed as the total length of iced steps within a step-height bin divided by the total length of *all* steps (within the same step-height bin). The data show that increasing humidity shifts the distribution to the left, thus increasing the probability of finding ice on smaller step heights. Fluctuations in the ice fraction curves, particularly at high AH_{in} and large steps, reflect the random dehydration of some larger step heights which are present in far fewer numbers than smaller step heights.