1 Multifactor colorimetric analysis on pH-indicator papers: an

optimized approach for direct determination of ambient aerosol pH

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Abstract

11 Direct measurement of the acidity (pH) of ambient aerosol particles/droplets has long been a challenge for 12 atmospheric scientists. A novel and facile method was introduced recently by Craig et al. (2018), where the pH 13 of size-resolved aerosol droplets was directly measured by two types of pH-indicator papers (pH ranges: 0 - 2.514 and 2.5 - 4.5) combined with RGB-based colorimetric analyses using a model of G-B (G minus B) versus pH². 15 Given the wide pH range of ambient aerosols, we optimize the RGB-based colorimetric analysis on pH papers 16 with a wider detection range (pH \sim 0 to 6). Here, we propose a new model to establish the linear relationship 17 between RGB values and pH: $pH_{predict} = a \times R_{normal} + b \times G_{normal} + c \times B_{normal}$. This model shows a wider applicability 18 and higher accuracy than those in previous studies, and is thus recommended in future RGB-based colorimetric 19 analyses on pH papers. Moreover, we identify one type of pH paper (Hydrion[®] Brilliant pH dip stiks, Lot Nr. 20 3110, Sigma-Aldrich) that is more applicable for ambient aerosols in terms of its wide pH detection range (0 to 6) 21 and strong anti-interference capacity. Custom-made impactors are used to collect lab-generated aerosols on this 22 type of pH paper. Preliminary tests show that, with a collected particle size range of ~ $0.4 - 2.2 \,\mu\text{m}$, the pH paper 23 method can be used to predict aerosol pH with an overall uncertainty ≤ 0.5 unit. Based on laboratory tests, a 24 relatively short sampling time (~ 1 to 4 hours) is speculated for pH prediction of ambient aerosols. More 25 importantly, our design of the impactors minimizes potential influences of changed environmental conditions 26 during pH paper photographing processes on the predicted aerosol pH. We further show that the routinely adopted 27 way of using pH color charts to predict aerosol pH may be biased by the mismatch between the standard colors on the color charts and the real colors of investigated samples. Thus, instead of using the producer-provided color 28 29 charts, we suggest an in-situ calibration of pH papers with standard pH buffers.

31 1 Introduction

32 Aerosol particles have vital impacts on atmospheric chemistry, human health and global climate (Pöschl, 2005; 33 Baltensperger et al., 2008; Pósfai and Buseck, 2010; von Schneidemesser et al., 2015; Shiraiwa et al., 2017). 34 Understanding the basic physicochemical properties of aerosols can provide insights into various aerosol 35 processes in the atmosphere and may further help to establish measures against air pollution (Su et al., 2020). 36 Aerosol acidity, usually quantified by aerosol pH, is one of the most important basic properties of liquid-phase 37 aerosols. Aerosol pH has multiple effects on the other properties of aerosols, e.g., aerosol composition (Cheng et 38 al., 2016), reactivity (Gao et al., 2004; Iinuma et al., 2004; Northcross and Jang, 2007), toxicity (Fang et al., 2017; 39 Chowdhury et al., 2018), phase transition (Dallemagne et al., 2016; Losey et al., 2018) and their related climatic 40 effects (Dinar et al., 2008; Hinrichs et al., 2016; Cai et al., 2018). It also plays a critical role during secondary 41 organic aerosol (SOA) formation (e.g., Surratt et al., 2007; Gaston et al., 2014; Han et al., 2016) and in many 42 other chemical processes in the atmosphere (Hennigan et al., 2015; Cheng et al., 2016; Wang et al., 2016a; Keene

- 43 et al., 2004; Ahrens et al., 2012).
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45 Despite its essential importance, currently there is few aerosol pH measurement data set available. One main 46 reason is the small sizes (with an aerodynamic diameter range of 2 nm - 10 μ m, see McNeill, 2017) of these 47 atmospheric particles, rendering measurements of aerosol pH not as easy as for bulk solutions. Moreover, the 48 non-conservative nature of H⁺, i.e., H⁺ concentrations do not scale in proportion to the dilution levels due to 49 buffering effects (Zheng et al., 2020) and the partial dissociation of weak acids, further makes probe of aerosol 50 pH a challenging topic (Hennigan et al., 2015). For direct measurements of aerosol pH, two types of methods 51 have been employed: filter-based sample extraction (Koutrakis et al., 1988; Keene et al., 2002; Jang et al., 2008) 52 and spectroscopic/microscopic analysis (Li and Jang, 2012; Dallemagne et al., 2016; Rindelaub et al., 2016; Craig 53 et al., 2017; Wei et al., 2018). As the former method is offline, it suffers from both poor time resolution and 54 intensive labor work (Hennigan et al., 2015). Moreover, it cannot account for the water in the aerosol droplets, 55 and involves extraction with solvents that can shift the equilibria of present ions, leading to high uncertainties. 56 The latter method is normally used for laboratory-generated particles with simple compositions that cannot fully 57 represent ambient aerosols (Craig et al., 2018). Due to these limitations of direct measurements, thermodynamic 58 equilibrium models such as ISORROPIA-II (Fountoukis and Nenes, 2007) and E-AIM (Clegg and Seinfeld, 2006b, 59 a) have been widely used to estimate the acidity of ambient aerosol droplets, although comprehensive evaluations 60 of the acidity are hampered by lack of observational data. Thus, developing new methods to directly measure 61 ambient aerosol pH is imminently needed to constrain the output of thermodynamic models.

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63 In a recent study, Craig et al. (2018) reported an intriguing way to directly measure aerosol pH using pH-indicator 64 papers, which in the past are the most common and convenient tool to test the pH of bulk solutions. To measure 65 aerosol pH, the generated size-resolved aqueous aerosol samples ((NH₄)₂SO₄-H₂SO₄) were firstly collected on 66 pH-indicator papers. Then the color of the samples on pH papers was analyzed quantitatively through a 67 colorimetric image processing program (Matlab). In this way, the standard pH color chart of the indicator papers 68 was used as a reference to finally derive the aerosol pH. In terms of aerosol sampling, Craig et al. (2018) collected 69 aerosols generated in laboratory and from ambient air onto pH papers using a microanalysis particle sampler 70 (MPS-3). The MPS-3 had three stages with aerodynamic diameter cutoff sizes (d_{50}) of 2.5 – 5.0, 0.4 – 2.5 and <

71 0.4 µm for stages 1, 2 and 3, respectively, enabling analysis of size-resolved aerosol pH. An interesting finding 72 from their measurements based on both pH papers and Raman spectroscopy was that, for systems with pH < 2, 73 the smaller particles (i.e., < 0.4 and $0.4 - 2.5 \mu m$) displayed a markedly lower pH than the larger particles (i.e., 74 $2.5-5 \mu m$). These results were attributed to ammonia partitioning and water loss caused by the increased surface-75 area-to-volume ratios of smaller particles (Craig et al., 2018). The use of pH-indicator papers and the related 76 color processing technique introduced by Craig et al. (2018) tactfully circumvents the challenges and difficulties 77 in aerosol pH measurements. However, Craig et al. (2018) only reported two types of pH papers with relatively 78 high precision for pH measurements (one with pH range 0 - 2.5 and the other 2.5 - 4.5, see Craig et al., 2018), 79 whereas in the atmosphere the aerosol pH may vary in a wide range. Note that the authors indeed employed 80 another type of pH paper with a larger pH range from 0 to 6 for ambient aerosol sampling, unfortunately they 81 found that this paper was not compatible with their Matlab script for more quantitative analysis (Craig et al., 2018). 82 Additionally, due to the small area and various shape of different types of pH papers, collection of aerosols on 83 these materials is quite distinct from that on commonly used filters. The collected particles may induce a color 84 change only on a small spot (Craig et al., 2018), differing from the color variation on a much larger scale caused 85 by bulk solutions. Moreover, the environment under which aerosols are collected can indirectly affect the 86 measured aerosol pH: In an environment different from that the aerosols were originally in, 87 evaporation/condensation of water on pH papers might happen, which may further lead to changes in ion activities 88 and/or water dispersion/homogeneity on pH papers. Thus, to have accurate aerosol pH measurements, special 89 techniques/instruments need to be developed for effective aerosol collection and pH paper color recognition, and 90 meanwhile careful design should be made to avoid potential impacts of varied environmental factors on the 91 predicted aerosol pH.

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The colorimetric method used by Craig et al. (2018) was based on analyzing the red (R), green (G) and blue (B) 93 94 channels of the sample images, where a linear dependence of the difference between G and B (G-B) on pH² was 95 found. According to trichromatic theory, RGB are the three primary colors and their combination in varying 96 proportions can generate any other specific color (Su et al., 2008). The standard RGB scale is represented by the 97 values of R, G and B, and each has a range from 0 to 255. For example, the number [0, 0, 0], i.e., R = 0, G = 0, 98 B = 0, corresponds to absolute black and [255, 255, 255] to true white. RGB-based image analysis has been 99 applied in the fields of inorganic and analytical chemistry. For instance, Selva Kumar et al. (2018) found a good 100 linearity between concentrations of Thorium ions (Th^{4+}) and the ratio of R and G (R/G), and Wan et al. (2017) 101 reported a relation between bovine serum albumin (BSA) concentrations and the normalized values of R, G and B, respectively. In these previous studies, different RGB models (i.e., ways to interpret the RGB values) were 102 103 adopted, however with few detailed explanations on the intrinsic reasons. To further enhance the reliability and 104 comparability of the data associated with RGB analysis, a unified model/method to deal with the RGB information 105 is needed, especially for the pH determination of aerosols where high uncertainty of measured pH values can have 106 a huge impact on the pH-dependent multiphase chemical processes.

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108 Considering that the pH values of ambient aerosols can cover a wide range (up to ~ 6) (von Glasow and Sander,
109 2001; Pszenny et al., 2004; Song et al., 2018; Shi et al., 2019), the goal of the present study is to optimize the

110 RGB-based colorimetric analysis on pH-indicator papers for direct determination of ambient aerosol pH in a wider

- 111 detection range (pH ~ 0 to 6). We thus propose a new way to analyze the RGB values and establish the relationship
- between RGB and pH. We further compare our proposed RGB model with the models used in previous studies
- in terms of evaluating the established linear relationship between RGB and pH. In addition, the routine way of
- using a pH color chart to derive the pH of samples is inspected, and the results reveal some deficiencies of this
- 115 method. Therefore, we suggest an optimized way to use pH papers for aerosol pH prediction with higher precision
- and accuracy. Nine types of pH papers are tested for their potential of probing pH of ambient aerosols. Among
- these pH papers, only one type is found to be the most suitable and is further tested for its capability of predicting
- 118 the pH of lab-generated aerosols by using two custom-made impactors.

119 2 Materials and Methods

120 2.1 pH-indicator papers

121 Nine types of pH-indicator papers were adopted in this study. Each type has a pH color chart that is accompanied 122 with the pH papers and supposed to serve as a reference to quantify the pH of a sample through colorimetric 123 analysis. Details about the pH paper detection ranges and the corresponded type classification used in this work 124 can be found in Table S1. The first two types are the same as used by Craig et al. (2018), aiming to compare our 125 results with those from Craig et al. and validate our colorimetric image processing method. The others have larger 126 pH detection ranges covering the generally observed pH range of ambient aerosols. Note that in this study, we 127 mainly focused on the first five types of pH papers and the remaining four types were also evaluated and compared 128 with the first five types in terms of their resistance to chemical interference and potential capability to measure 129 the pH of ambient aerosols.

130 2.2 pH buffers, aerosol sample solutions and lab-generated aerosols

131 To examine the correlation between RGB and pH, eight standard pH buffer solutions were used as purchased and 132 meanwhile several other buffers (with different pH values as the purchased ones) were obtained by mixing the 133 commercial buffers with solutions of sodium hydroxide or hydrochloric acid (prepared using de-ionized water, 134 18.2 M Ω cm). pH values of all the buffers were further checked by a pH bench meter (model: HI 2020-02, Hanna 135 Instruments Inc., USA). Prior to the check, the pH meter was calibrated with a three-point calibration mode using 136 the standard buffer solutions provided by Hanna Instruments Inc., USA. The measured pH values and their 137 standard derivations are listed in Table S2, and the measured pH values show a small deviation from those 138 specified on the buffer solution bottles, within the displayed uncertainties concomitant with these specified values. 139 Considering that some inorganic/organic components of ambient aerosols might interfere with the dyes on pH 140 papers and cause biased estimation of pH, salt systems with varying inorganic and/or organic acids common in 141 aerosols and pH levels (as measured by the pH bench meter) were employed to test the applicability of different 142 types of pH papers combined with our RGB model. Details about the composition of the tested salt systems can 143 be found in Table S3. In general, the inorganic systems were similar to those used by Craig et al. (2018). Here, 144 we further tested the influence of organic acids on pH paper performance by adding organic acids into the 145 inorganic systems. As oxalic acid $(C_2H_2O_4)$ and malonic acid $(C_3H_4O_4)$ were frequently detected in tropospheric 146 aerosols and found to be the dominant short dicarboxylic acids in aerosol composition (Abbatt et al., 2005; 147 Falkovich et al., 2005), they were adopted in this study. For the solution preparation of each system, varying

- amounts of 1 M inorganic/organic acids were added into 30 mM inorganic salt solution to achieve different pH
- 149 levels (Surratt et al., 2008; Craig et al., 2018). To prepare the inorganic and organic mixtures, the amount of
- added organic acids was generally two times larger than the inorganic acids and the final salt concentration could
- be as low as 15 mM due to the dilution effect of added acids. To prepare the solutions, all chemicals were used
- as purchased: NaOH (≥ 99.0%, Roth, Germany), Na₂SO₄ (≥ 99.0%, Merck, Germany), NaNO₃ (≥ 99.0%, Merck,
 Germany), Na₂CO₃ (≥ 99.5%, Sigma-Aldrich, USA), (NH₄)₂SO₄ (≥ 99%, Sigma-Aldrich, USA), NH₄NO₃ (≥
- 154 98.0%, Fisher Chemical, USA), MgSO₄ (> 98%, neoFroxx GmbH, Germany), H₂SO₄ (98%, Merck, Germany),
- 155 HNO₃ (65%, Merck, Germany), HCl (37%, Merck, Germany), $C_2H_2O_4 \cdot 2H_2O$ (\geq 99%, Sigma-Aldrich, USA) and
- 156 $C_3H_4O_4$ (99%, Sigma-Aldrich, USA).
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158 To test the feasibility of the colorimetric analysis method towards real aerosols, the prepared aerosol sample 159 solutions (i.e., the inorganic and organic mixtures) were further used to generate aerosol particles through an 160 aerosol generator under laboratory conditions. The lab-generated aerosols were collected onto the type V pH 161 paper through two custom-made impactors, which had different cutoff sizes and were connected in series. Before 162 collection, the nebulized aerosols were firstly mixed with humidified and HEPA-filtered air to reach a relative 163 humidity (RH) of $90 \pm 1.5\%$ and a total flow rate of 28.6 L min⁻¹. To minimize water exchange between the 164 generated aerosol flow and the humidified aerosol-free air flow, the RH of the air flow was maintained similar to 165 that of the aerosol flow. With the sampling flow rate of 28.6 L min⁻¹, the upstream impactor had a cutoff diameter 166 (d_{50}) of ~ 2.2 µm (identified by an UV-APS, model 3314, TSI Inc.) and the downstream impactor had a d_{50} of ~ 0.40 µm (identified by a SMPS, model 3082, TSI Inc.). These two impactors produced a total pressure drop of 167 168 57 mbar in the aerosol line (measured by a digital pressure meter, model GMH 3111, GHM Messtechnik GmbH, 169 Germany). To validate our method, one wifi endoscope camera was installed on the top of the downstream 170 impactor (with a collected particle size range of $0.40 - 2.2 \,\mu$ m) to capture the images of one pH paper (5 × 5 mm) 171 fixed on the impactor bottom plate. In practice, we could install a camera for each impactor. In order to apply our 172 RGB model (Sect. 2.4), a series of standard buffers were also adopted to generate aerosols with the same 173 experimental configuration mentioned above.

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175 Given that in real ambient case some light-absorbing particles, such as black carbon (BC), may interfere with the 176 displayed color of pH papers and thereof cause biased pH prediction, commercial soot samples (fullerene soot, 177 Lot Nr. L20W054, Alfa Aesar, Germany) were additionally mixed into the aerosol sample solutions for aerosol 178 generation to check their potential impact on the predicted aerosol pH. To achieve that, pure BC suspension was 179 firstly prepared with de-ionized water and then a 15-minute ultrasonic treatment was performed to enhance the 180 dispersion of BC particles inside the suspension. The mass concentration of BC particles (measured under dry 181 conditions with a RH = 14%) generated from this suspension was quantified by the SMPS as ~ 240 μ g m⁻³ using 182 the density of fullerene soot of 1.72 g cm⁻³ (Kondo et al., 2011). 5 mL of this suspension was additionally mixed 183 into 10 mL of pre-prepared aerosol sample solution, and this mixture was finally used for aerosol generation. A total mass concentration of the generated aerosols (measured under dry conditions with a RH = 14%) was 184 185 determined by the SMPS as ~ 800 μ g m⁻³ using a density of 1.7 g cm⁻³. This density was obtained by averaging 186 the densities of different components weighted by their respective volume in the aerosol sample solution mixed

with BC. Note that the BC mass fraction was ~ 10%, representing a typical BC contribution in ambient aerosols
(Wang et al., 2016b; Chen et al., 2020).

189 2.3 Correlation between RGB and pH

190 Figure 1 shows the procedure of how to use a colorimetric analysis to obtain the correlation between RGB and pH. First, 2 µL of liquid samples was dripped onto each piece of pH paper held by a clean transparent glass plate 191 192 (with the other side coated by a piece of graph paper). This adopted small volume $(2 \mu L)$ was based on a general estimation of the available amounts of liquid aerosols for aerosol sampling under a typically polluted conditions 193 (with PM_{2.5} mass concentration around 100 μ g m⁻³) with high RH (60% – 80%), and assuming an aerosol 194 195 collection efficiency of 50% and a sampling flow rate of several hundred liter per minute (e.g., can be achieved 196 by a Tisch Environmental PM_{2.5} high volume air sampler, see https://tisch-env.com/high-volume-air-197 sampler/pm2.5) with a sampling time of a few (2 - 4) hours. Here, the used PM_{2.5} mass concentration and RH 198 refer to the conditions during haze events which are frequently occurring in China. For example, during the most 199 severe haze episodes in January 2013, monthly averaged $PM_{2.5}$ concentration in Beijing reached 121 µg m⁻³ and 200 the RH was constantly at a level of 60% – 80% (Zheng et al., 2015). Even the air quality in China has significantly 201 improved in recent years, the number of days with moderate haze (with daily mean PM2.5 concentration in the 202 range of $100 - 200 \,\mu g \,\mathrm{m}^{-3}$) in the North China Plain shows on obviously decreasing trend from 2004 to 2018 with 203 an average of 113 d (Zhang et al., 2020). Note that, we further estimated the minimum sample volume and mass 204 needed to generate a measurable color change on the suggested pH paper. The related results are shown below. 205 Then an image of the sample was captured by a smartphone camera (Apple iPhone 5s in this study) immediately. 206 Similar to Craig et al. (2018), the corresponding color chart of the used pH paper was included into each image 207 to correct for potential influences of variations of light source and angle during photographing. The digital images 208 were processed by an Adobe Photoshop software to crop a square with 100×100 pixels at the center of the sample 209 (as well as each color chip on the color chart). The RGB information of the cropped square was then obtained 210 and further analyzed by Matlab (The MathWorks, Inc. version R2018b).

211 **2.4 RGB model**

Considering that a color is represented by combination of R, G and B values, a linear combination of these three primary colors should be able to reflect the characteristics of the color and therefore represent the pH related to the color. Su et al. (2008) reported a good correlation between the linearly combined RGB and the contents of chlorophyll *a* and lipid, respectively in microalgae. To further account for the effect of changing light intensity on the obtained RGB values, each color channel should be normalized at first (Yadav et al., 2010). The normalization can be achieved through Eqns. (1) - (3) shown below:

218 219 $R_{normal} = R/(R + G + B)$ (1) 220 $G_{normal} = G/(R + G + B)$ (2) 221 $B_{normal} = B/(R + G + B)$ (3) 222 where *R*, *G* and *B* are the mean value of each primary color on the entire 100×100 pixels image, respectively. Note that every pixel has an RGB value vector: [R, G, B]. Then a model describing the linear combination of RGB can be given as follows:

(4)

(5)

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227
$$pH_{\text{predict}} = aR_{normal} + bG_{normal} + cB_{normal}$$

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where the linear combination $aR_{normal} + bG_{normal} + cB_{normal}$ essentially represents the color information and here can be treated as equivalent to the predicted pH ($pH_{predict}$) based on RGB analysis; *a*, *b* and *c* are the coefficients, which can be determined by linear regression analysis through Matlab. The linear regression function is expressed as:

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$$Y = aX_1 + bX_2 + cX_3$$

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where Y is the dependent variable vector, X_1 , X_2 and X_3 are independent variable vectors. These vectors can be 235 236 achieved from a standard color chart or a series of buffer samples (with known pH values) on pH papers: Y is the 237 series of pH values (i.e., reference pH, pH_{reference}) shown on the color chart or of buffer samples (as shown in Fig. 238 1, the pH papers with different pH buffer solutions are collected together to form a pH series); X_1 , X_2 and X_3 are 239 the normalized average of R, G and B respectively, based on analysis on the detected colors. As a color chart is 240 normally used as a reference for pH measurements using pH papers, a linear regression analysis on the color chart can provide the coefficient vector [a, b, c] as an answer. Then the same set of coefficient vector (i.e., [a, b, c]) are 241 242 used to predict the pH (i.e., $pH_{predict}$) of samples using Eqn (4). Thus, with this RGB model, a linear relationship 243 between RGB-predicted pH ($pH_{predict}$) and reference pH ($pH_{reference}$) is expected for the calibration (as shown in 244 Fig. 1), in order to finally predict the sample pH on a pH paper.

245 3 Results

246 3.1 Validation of the new RGB model

247 As the RGB model (i.e., G-B vs pH²) used by Craig et al. (2018) produced good linear correlations for the two 248 types of pH papers that the authors adopted, we first examined the validity of this RGB model against the first 249 five types of pH papers used in this work. Note that here the first two types of pH papers are the ones used and 250 recommended by Craig et al. (2018). Figure S1 shows the relationship between average G-B and pH² derived 251 from the color charts of these five types of pH papers, respectively. Relatively good linear correlations can be 252 found for the first three types, which is consistent with Craig et al. (2018). However, non-monotonic correlations 253 are encountered for the last two types of pH papers, which are the ones with wider pH detection ranges (0.5 - 5.5)254 and 0-6, respectively). These results indicate a limited feasibility of the RGB model proposed by Craig et al. 255 (2018).

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Thus, the validity of our new RGB model was further checked through the five types of pH papers. The colors on the color chart for each type of pH paper were firstly analyzed through our RGB model and then the calculated $pH_{predict}$ were compared with the reference pH shown on the color chart. As shown in Fig. 2a-e (the 'color chart' column on the left-hand side), good linearity between pH_{predict} and $pH_{\text{reference}}$ can be observed for all these pH paper types.

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263 As aforementioned, besides the RGB model used by Craig et al. (2018), other models have also been adopted to 264 create a linear correlation between RGB and concentrations of the chemicals of interest in previous colorimetric 265 analyses (Su et al., 2008; Yadav et al., 2010; Wan et al., 2017; Selva Kumar et al., 2018). However, few 266 comparisons have been made regarding the goodness of the established linearity using these RGB models. Here 267 we further compared our model with the other two models (i.e., R/G vs pH and G-B vs pH²) proposed by Selva 268 Kumar et al. (2018) and Craig et al. (2018) respectively, in terms of evaluating their correlation coefficient, R^2 . 269 Figure S2 (the 'color chart' panel on the left-hand side) displays the R^2 of the established linear correlation between pH_{predict} and $pH_{\text{reference}}$ when the three models are used for the five types of pH papers, respectively. For the color-270 271 chart-derived linear correlation, the model G-B vs pH² presents poor goodness-of-fit for type IV and V pH papers 272 (consistent with the results shown in Fig. S1). The model R/G vs pH shows relatively high R^2 for all the pH paper 273 types. Nevertheless, this RGB model still underperforms our model. Overall, our RGB model could provide a 274 high R^2 (> 0.95) for all the five types of pH papers, which demonstrates the universal validity of our RGB model.

275 3.2 Calibration with standard buffer solutions

276 A good linearity, however, may not always be obtained from the color chart of some types of pH papers in some 277 pH ranges. For example, in the 'color chart' column of Fig. 2, the pH_{predict} present small but discernable deviations 278 from $pH_{\text{reference}}$ for types I, III and IV pH papers. And the type V pH paper shows even larger differences at both 279 ends of the pH range. Similar phenomenon was also observed in the study of Craig et al. (2018) with their RGB 280 model, where they argued that the pH paper dye became less effective at the limits of the pH paper range, due to 281 the pK_a values of the dye were normally at the middle of the pH range. But it may also originate from some color 282 bias due to the differences between the experiment conditions and the ones under which the color chart is made 283 by the producer.

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Thus, following the same procedure as for the color chart (see Fig. 1), pH papers with samples of a series of 2 μ L standard buffer droplets were also measured. The pH values of the standard buffers were known beforehand and further checked with a pH meter (also denoted as '*pH*_{reference}', see Table S2). Figures 2f-j (the '2 μ L buffer' column on the right-hand side) show the comparison between *pH*_{predict} and *pH*_{reference} for the samples of 2 μ L buffers. Much better linearity between *pH*_{predict} and *pH*_{reference} can be observed for all the five types of pH papers. Especially, the significant deviation of *pH*_{predict} from *pH*_{reference} found in the left panel (the 'color chart' column) disappear for the

- type I and V pH papers. This means that the deviations at the edge of the pH range in the color-chart-derived
- calibration curves are mainly due to the color bias of the color chart itself or caused during photographing.
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Actually, even small deviations found in the color-chart-derived calibration curves (the 'color chart' column in

Fig. 2) may lead to significant or non-negligible errors in measuring aerosol pH. We conducted a case study using

- the type IV pH paper combined with our RGB model to predict the pH of buffer samples by using the color-chart-
- derived coefficient vector [a, b, c], i.e., the color-chart-calibration method (Fig. 2d). The blue symbols in Fig. S3
- 298 represent $pH_{predict}$ versus $pH_{reference}$ of the standard buffer samples. Systematical underestimation of $pH_{predict}$ can

- be found at the lower $pH_{\text{reference}}$ values (i.e., $pH_{\text{reference}} = 1$, 1.68 and 2) but a slight overestimation is observed at $pH_{\text{reference}} = 5$. This significant discrepancy may be attributed to the mismatch between the reference colors on the color chart and the real colors of the samples, due to the differences between our experiment conditions and the ones under which the color chart is made by the producer. This gives us a hint that the coefficient vector derived from the color chart is not suitable for predicting the pH of aerosol samples.
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For the established linear relationship using 2 μ L standard buffers, the performances of different RGB models were further compared and the results are shown in Fig. S2 (the '2 μ L buffer' panel on the right-hand side). Our RGB model still outperforms the other two models for all the five types of pH papers employed in this work. Overall, the good agreement between $pH_{predict}$ and $pH_{reference}$ for all these tested pH papers verifies the wide applicability of our RGB model to the pH paper calibration using standard buffers. In the following section, we will examine the quality of predicting samples pH with the standard-buffer-calibration method.

311 3.3 pH estimation for aerosol surrogates ((NH₄)₂SO₄-H₂SO₄) with the type IV and V pH papers

In order to test the feasibility of pH papers with larger pH detection ranges for pH prediction of aerosols, we 312 313 further used the type IV and V pH papers to estimate the pH of lab-prepared aerosol surrogates ((NH₄)₂SO₄ -314 H₂SO₄). To minimize the effect of varying photographing conditions (e.g., angle, light variation) on the colors of 315 the captured image, experiments were carried out in a cupboard with a constant light source. In addition, the pH 316 paper samples as well as the smartphone were fixed on a small glass plate and a metal holder respectively, to keep 317 their position unchanged throughout the experiment. Note that applying the standard-buffer-derived coefficients 318 (Fig. 2i and 2j) for pH prediction of samples required the same constant light source conditions for sample imaging 319 processes as for standard buffers.

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321 pH_{predict} versus $pH_{\text{reference}}$ for the 2-µL-droplet samples on the type IV pH paper are shown in Fig. S4. Generally, 322 the pH_{predict} by the type IV pH paper are comparable with the $pH_{\text{reference}}$ at a lower pH range (i.e. $pH_{\text{reference}} = 0.46$, 323 1.52 and 3.0). However, an anomalous point (highlighted by the arrow in Fig. S4) with 1.5 unit of overestimation 324 in pH_{predict} can be found at $pH_{\text{reference}}$ around 4. This overestimation was proved to be reproducible by our six 325 replicate experiments and it was even found for samples of diluted H₂SO₄ solutions with reference pH around 4 326 on the type IV pH paper. Such overestimation may be due to the chemical interferences caused by the samples 327 or the low buffering levels of the samples. Thus, the type IV pH paper is not recommended for future pH 328 measurements of aerosols. However, it may still work well for the other sample types, such as found for our self-329 prepared phosphate buffers (Fig. S4). On the other hand, the type V pH paper shows decent agreements between 330 pH_{predict} and $pH_{\text{reference}}$ within the examined pH range, as shown in Fig. 3a. Moreover, the pH_{predict} are also 331 compared with the results by Craig et al. (2018). The orange and blue bars in Fig. 3a represent the measured pH 332 ranges for the lab-generated (NH₄)₂SO₄ - H₂SO₄ aerosols with particle sizes larger than 2.5 µm using pH papers 333 (the same as the type I and II pH papers used here) and Raman spectroscopy, respectively.

334 4 Discussion

335 4.1 Chemical interference

- 336 As aforementioned, aerosol samples with different compositions may have interferences on the indicating color
- of a pH paper and thereby cause its poor performance for aerosol pH prediction, e.g., the overestimation of pH of
- aerosol surrogates ((NH₄)₂SO₄-H₂SO₄) with the Type IV pH paper. To test the capability of chemical resistance
- of the Type V pH paper, we further tested its performance of predicting the pH of lab-prepared aerosol surrogates
- 340 with varying inorganic/organic compositions commonly exist in ambient aerosols.
- 341

342 Figure 4 displays pH_{predict} versus $pH_{\text{reference}}$ for our lab-prepared droplet samples (2 µL) under different pH levels 343 using the Type V pH paper. As shown in Fig. 4a, systematic divergences between $pH_{predict}$ and $pH_{reference}$ (i.e., 344 overestimation of pH_{predict} when $pH_{\text{reference}}$ is in the range of 2.5 – 3.5 whereas underestimation of pH_{predict} when 345 $pH_{\text{reference}} > 4.5$) can be found for these tested inorganic systems. Interestingly these mismatches disappear when 346 the organic acids are introduced into these inorganic systems (Fig. 4b), and also for the cases when the inorganic 347 acids are replaced by organic acids (Fig. S5). In Fig.4b, this good agreement for pH_{predict} versus $pH_{\text{reference}}$ is 348 observed not only for systems containing oxalic acid (C₂H₂O₄, solid markers) but also for those having malonic 349 acid (C₃H₄O₄, hollow markers) with an average deviation (of pH_{predict} from $pH_{\text{reference}}$) < 0.5 unit. The fact that the 350 existence of organic acids significantly improves the quality of pH_{predict} may be attributed to the enhanced 351 buffering levels of the samples (Fillion et al., 1999; Li et al., 2016). Actually, good agreement between pH_{predict} 352 and $pH_{\text{reference}}$ is found for both the inorganic and organic phosphate systems (Fig. S6) based on our further tests, 353 which is probably due to the high buffering levels of these systems maintained by the phosphate itself (Hourant, 354 2004). Nevertheless, the solvent effect of the added organics on acid dissociation equilibria may also play a role 355 (Padró et al., 2012). The detailed mechanisms may need to be explored in future studies. Given the large 356 contribution of organics (Jimenez et al., 2009) and the well-known dominance of both organic acids (i.e., oxalic 357 acid and malonic acid) in ambient aerosols (Abbatt et al., 2005; Falkovich et al., 2005), the potential interferences 358 found for the inorganic systems can be expected to become vanished when organics are concomitant under 359 ambient conditions. Additionally, the interference check was also performed for the other pH paper types (type 360 III and VI-IX) that have larger pH detection ranges. Similar to the type IV pH paper, significant deviations of 361 pH_{predict} from $pH_{\text{reference}} (\geq 1.5 \text{ unit})$ were observed for these types (see SI text and Fig. S7).

362 4.2 Black carbon (BC) interference

To apply the pH paper method to ambient aerosols, another potential interference on the captured pH paper color would come from some light-absorbing aerosols such as black carbon (BC) or brown carbon (BrC). Therefore, we further examined the potential interference of BC on the predicted pH of lab-generated aerosols. Details regarding the aerosol generation and collection can be found in Sect. 2.2.

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Figure 5 shows pH_{predict} versus $pH_{\text{reference}}$ for the generated aerosol particles (i.e., $(NH_4)_2SO_4-H_2SO_4-C_3H_4O_4$) with and without the co-existence of BC. Note that $pH_{\text{reference}}$ refers to the pH of bulk solutions used for aerosol

- **370** generation. Generally, within the examined pH range no significant difference can be found between the pH_{predict}
- of aerosols with BC and that of the aerosols without BC. The linear fitting (i.e., the orange and blue dashed lines
- in Fig. 5) for each type of dataset shows that the pH_{predict} for aerosols with BC is slightly lower than the samples
- 373 without BC at the low pH side but an opposite trend can be found in the high pH side. This statistically small

difference is further confirmed by running two-sample *t*-tests with Matlab, as shown in Table S4. Even this difference (≤ 0.5 unit) is slight and acceptable, it indicates the existence of potential interferences of BC on the predicted aerosol pH, and related mechanisms may need to be explored in future studies. Note that for our lab experiments the adopted BC amount accounted for ~ 10 % of the total aerosol mass, which reflects the typical BC contributions in ambient aerosols (Wang et al., 2016b; Chen et al., 2020).

379

380 Moreover, both types of aerosols display a lower pH_{predict} than $pH_{\text{reference}}$ in the low pH range as $pH_{\text{reference}} < 2.5$ 381 (Fig. 5). Within the same lower pH range, significantly reduced aerosol pH (versus the pH of bulk solutions) 382 predicted by both pH papers and Raman spectroscopy were also found in Craig et al. (2018) for lab-generated 383 aerosols, as indicated by the neighbored orange and blue bars in Fig. 5. Their results (Craig et al., 2018) further 384 revealed that the markedly-lower- pH_{predict} trend weakened at the higher pH range (i.e., $2.5 < pH_{\text{reference}} < 4.5$, see 385 the orange bars in Fig. 5). The authors argued that the decreased aerosol pH found for smaller-size particles (with 386 aerodynamic diameter $< 2.5 \mu$ m) could be attributed to ammonia partitioning and water loss (Craig et al., 2018). 387 Even with controlled RH for the aerosol dilution air flow in this study (Sect. 2.2), we cannot totally exclude the 388 impact of water loss on the predicted aerosol pH, considering that under such a high RH (~ 90 %) a small 389 difference between the RH of the generated aerosol flow and that of the dilution flow may cause non-negligible 390 water exchange between aerosols and the carrying gas.

391

In addition, the results shown in Fig. 5 further demonstrate the technical feasibility of using our custom-made impactors for aerosol collection. More importantly, with this impactor setup, we could monitor the change of the pH paper color at any sampling time without interrupting the sampling. Thus, when used for future ambient aerosol collection we would expect a small difference between the surrounding environment of aerosols inside the impactors and ambient conditions.

4.3 Identification of the needed minimum sample amount and sampling time for the type V pH paper

398 The pH of ambient aerosols can be changing due to the varying atmospheric composition (e.g., some important 399 trace gases like SO₂, NO₂, NH₃ and organic acids) and physical characteristics (e.g., ambient relative humidity 400 (RH) and temperature (T)). Thus, reflecting the temporal evolution of aerosol pH requires a pH measurement 401 method with a high time resolution. As aforementioned, to collect 2 µL of liquid aerosol samples, a sampling 402 time of 2-4 hours is needed even using a high-volume air sampler with a sampling flow rate of several hundred 403 liter per minute. Here, in order to have a higher time resolution and/or a lower sampling flow rate, we further 404 identified the minimum sample volume needed to generate a measurable color change on the type V pH paper. 405 Figure 3b shows the results for 0.1µL of lab-prepared aerosol sample solutions. Similar to the RGB analysis 406 procedure used for the 2 μ L samples (e.g., in Fig. 3a), the pH_{predict} in Fig. 3b are calculated with the averaged 407 coefficient vector [a, b, c] derived from three replicate calibration experiments with 0.1 µL standard buffers (Fig. 408 S8). Generally, pH_{predict} agrees well with $pH_{\text{reference}}$, with biases (averaged pH_{predict} versus $pH_{\text{reference}}$) within 0.5 409 unit. Note that these experiments were carried out under laboratory conditions with a relatively stable RH of 50 410 \pm 1 % and T of 23 \pm 1 °C. To avoid fast water exchange between the lab air and our samples as well as potential 411 interfering effects (absorption/reaction) caused by the lab air, the 0.1 μ L samples were transferred (through a

412 pipette) directly onto the pH paper surface and each sample was immediately photographed ($\leq \sim 3$ seconds) after

it got contact with the pH paper dye. Due to this extremely small sample volume, the influence of lab air on
sample pH could become prominent because a significant sample color change was frequently observed after the
sample was exposed to the lab air for > ~ 5 seconds.

416

417 This tiny volume corresponds to a sample mass of ~ $180 \,\mu g$ assuming an effective density of $1.8 \,g \,cm^{-3}$ for ambient 418 aerosols (Sarangi et al., 2016; Geller et al., 2006), which is comparably low to the needed minimum particulate 419 masses in Craig et al. (2018), i.e., ~ 65 µg to ~ 2.5 mg for PM_{2.5} or larger particles with pH from 0 - 2.5 to 2.5 - 4. 420 Note that, as we pipetted 0.1 μ L (~ 180 μ g) samples on the type V pH paper, this amount cannot be directly used 421 for estimations of the time needed for ambient aerosol sampling, which also depends on how aerosols will be 422 collected on the pH paper. However, this minimum-sample-amount test could provide us a general estimation on 423 the lower limit of the needed volume/mass of collected ambient aerosols, which can further guide us to search for 424 new techniques/instruments for aerosol collection as well as color recognition. As described in Sect. 2.2, two 425 custom-made impactors were employed to collect lab-generated aerosols on the type V pH paper. Since sampling 426 time determined the amount of collected aerosols and thereby affected the displayed color on pH papers, an 427 optimal sampling time of 30 minutes was identified in this study by examining the established linearity between 428 pH_{predict} and $pH_{\text{reference}}$ (with the Eqn (5)) for aerosols generated from standard buffers. With this sampling time, a 429 good linearity with $R^2 > 0.95$ was established (Fig. S9). Taking the sampling time and the mass concentration of lab-generated aerosols (~ 800 μ g m⁻³, measured under RH = 14%) into account, we would infer a sampling time 430 431 of ~ 4 hours will be needed to generate one predicted aerosol pH for ambient aerosols under typically polluted conditions (with $PM_{2.5}$ mass concentration around 100 μ g m⁻³). Since this time estimation is based on a sampling 432 433 flow rate of 28.6 L min⁻¹, future samplings with a higher time resolution (e.g., ~ 1 hour) probably can be achieved 434 by adopting a much larger sampling flow rate (e.g., $\sim 120 \text{ Lmin}^{-1}$). Our preliminary tests have indicated that the 435 cutoff size, collection efficiency and pressure drop of the impactors strongly depended on the sampling flow rate 436 and flow direction (i.e., switch between inlets and outlets of the impactors). More characterizations on the 437 impactors will be done in our future work.

438

439 These results confirm the feasibility of the type V pH paper as well as our RGB model for pH estimation of the 440 aerosol sample solutions with a volume even down to 0.1 μ L and of the lab-generated aerosols collected by 441 impactors. The speculated low sampling time (i.e., ~ 1 hour) at high sampling flow rates and the large pH detection 442 range of the type V pH paper highlight its potential for future development of real-time aerosol pH measurements. 443 Moreover, instead of using a color chart to calibrate pH papers for each sample (Craig et al., 2018), our results 444 demonstrate that the in-situ calibration method of using standard buffers as well as standard-buffer-generated 445 aerosols (independent of different samples) can derive an averaged coefficient vector [a, b, c] which can be 446 uniformly applied to pH prediction of different samples provided the photographing conditions are kept constant. 447 This unique feature further facilitates the application of the type V pH paper under ambient cases.

448 5 Conclusions

We proposed a new model to establish the correlation between the color of droplet/aerosol samples on pHindicator papers and their measured pH. The model was based on RGB analysis of the images of samples.
Comparison of our model and another two RGB models verified the high reliability of our model. Using our RGB

model, good agreement between the model-predicted pH $(pH_{predict})$ and reference pH $(pH_{reference})$ for pH paper 452 453 color charts as well as standard buffers were observed for all the tested types of pH papers. Different types of pH 454 papers with larger pH detection ranges were further examined for their performance to predict the pH of 2-µL-455 droplet samples with varying inorganic/organic compositions common in ambient aerosols. Only the type V pH 456 paper (with a pH range of ~ 0 - 6) performed well and therefore was further used to estimate the pH of lab-457 generated aerosols. The results showed that, even under the potential interference of BC, the type V pH paper 458 could derive aerosol pH with an uncertainty within 0.5 unit, suggesting that it deserves practical applications for 459 pH measurements of ambient aerosols. The minimum liquid sample mass/volume needed for the type V pH paper 460 was identified as ~ 180 μ g/0.1 μ L. And the current-stage tests on aerosol collection and pH estimation under lab 461 conditions helped to infer an ambient sampling time of ~ 4 hours will be needed for typically polluted conditions, 462 which, however, probably could be further improved to ~ 1 hour by using a high sampling flow rate. Whereas, 463 the other pH paper types might suffer from some chemical interferences during pH measurements and therefore 464 could generate large biases for the measured pH of aerosols. The routine procedure of using pH papers to estimate 465 a sample pH was also examined in a case study using the type IV pH paper. The results showed that referring to 466 the color chart for pH estimation (i.e. the color-chart-calibration method) might cause a bias of the predicted pH. 467 To use the pH papers in a more proper and accurate way, here we further demonstrated that the in-situ calibration 468 method of using standard buffers and standard-buffer-generated aerosols (independent of different samples) could 469 derive an averaged coefficient vector [a, b, c], which can be uniformly applied to pH prediction of different droplet 470 and aerosol samples provided the photographing conditions are kept constant.

471 Data availability

- 472 The underlying research data and Matlab code can be accessed upon contact with Guo Li (guo.li@mpic.de),
- 473 Yafang Cheng (yafang.cheng@mpic.de) or Hang Su (h.su@mpic.de).

474 Supplement

475 The supplement is available in a separate file.

476 Author contributions

- 477 Y.C. and H.S. conceived and led the study. G.L. performed experiments and data analysis. Y.C., H.S., U.P., U.K.,
- 478 N.M., G.Z., M.L., T.K. discussed the results. G.L. and Y.C. wrote the manuscript with inputs from all co-authors.

479 Competing interests

480 The authors declare that they have no conflict of interest.

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Figure 1: Schematic of using the RGB-based colorimetric method for pH estimation. For the color-chart-calibration method,
both the color chart and the standard buffer samples are imaged into one digital photo for subsequent processing. For the
standard-buffer-calibration method, only the standard buffer samples are used for imaging. Note that when using the standardbuffer-calibration results to predict the pH of aerosol samples, the photographing conditions for the samples are the same as
those of the buffer calibration.













725Figure 2: Predicted pH (pH_{predict}) using our RGB model versus the reference pH shown on the color chart and the pH-meter-**726**probed-pH of the buffer samples (all denoted as $pH_{reference}$) respectively, for the five different pH papers: (a) and (f) Type I: 0**727**-2.5, (b) and (g) Type II: 2.5 - 4.5, (c) and (h) Type III: 4.0 - 7.0, (d) and (i) Type IV: 0.5 - 5.5 and (e) and (j) Type V: 0 - 6.0. Blue symbols denote the established relationship based on color charts only. Red symbols represent the results for 2 μ L**729**of buffer droplets on pH papers. Both vertical and horizontal error bars represent the standard deviation of five to six replicate**730**experiments. Note that the error bars in most of the panels are smaller than the symbols.



Figure 3: pH estimation using the type V pH paper for samples with different volumes: (a) $2 \mu L$ and (b) $0.1 \mu L$. pH_{predict} are calculated with the averaged coefficient vector [a, b, c] derived from three to six replicate experiments with the same amounts of standard buffers as of the samples under constant photographing conditions. The error bars represent the standard deviation of three to six replicate experiments. In (a), the heights of the orange and blue bars indicate the reported pH ranges measured with pH papers and Raman spectroscopy respectively, for (NH₄)₂SO₄ - H₂SO₄ aerosols with particle sizes larger than 2.5 µm in Craig et al. (2018). Each orange or blue bar has the same pHreference as of the red symbol close to it. In (b), for processing the digital images of the 0.1 μ L samples, a square with 20 \times 20 pixels at the center of the samples is cropped for subsequent colorimetric analyses.





Figure 4: pH estimation using the type V pH paper for salt systems with only inorganic acids (a) and both inorganic and organic acids (b). $pH_{predict}$ are calculated with the averaged coefficient vector [*a*, *b*, *c*] derived from three replicate calibration experiments with standard buffers and under constant photographing conditions. The error bars represent the standard deviation of three to four replicate experiments. The dotted line in (a) is used to guide the eye.



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Figure 5: pH estimation using the type V pH paper for lab-generated aerosols with or without the co-existence of black carbon
(BC). pH_{predict} are calculated with the averaged coefficient vector [a, b, c] derived from five replicate calibration experiments
with standard-buffer-generated aerosol samples. The error bars represent the standard deviation of three replicate experiments.
The heights of the orange and blue bars indicate the reported pH ranges measured with pH papers and Raman spectroscopy
respectively, for (NH4)₂SO₄ - H₂SO₄ aerosols with particle sizes in the range of 0.4 - 2.5 µm in Craig et al. (2018). At pH_{reference}
experiment of the orange or blue bar has the same pH_{reference} as of the orange symbol close to it. Image processing of the collected

782 aerosol samples follows a similar procedure as described in Sect. 2.3.