

# The 2018 fire season in North America as seen by TROPOMI: aerosol layer height ~~validation~~inter-comparisons and evaluation of model-derived plume heights

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**Abstract.** Before the launch of TROPOMI, only two other satellite instruments were able to observe aerosol plume heights globally, MISR and CALIOP. The TROPOMI aerosol layer height is a potential game changer, since it has daily global coverage and the aerosol layer height retrieval is available in near-real time. The aerosol layer height can be useful for aviation and air quality alerts, as well as for improving air quality forecasting related to wildfires. Here, TROPOMI's aerosol layer height product is evaluated with MISR and CALIOP observations for wildfire plumes in North America for the 2018 fire season (June to August). Further, observing system simulation experiments were performed to interpret the fundamental differences between the different products. The results show that MISR and TROPOMI are, in theory, very close for aerosol profiles with single plumes. For more complex profiles with multiple plumes, however, different plume heights are retrieved: the MISR plume height represents the top layer, and the plume height retrieved with TROPOMI tends to be an average altitude of several plume layers.

The comparison between TROPOMI and MISR plume heights shows, that on average, the TROPOMI aerosol layer heights are lower, by approximately 600 m, compared to MISR which is likely due to the different measurement techniques. From the comparison to CALIOP, our results show that the TROPOMI aerosol layer height is more accurate for over dark surfaces, for thicker plumes and plumes below approximately 4.5 between approximately 1-4.5 km.

MISR and TROPOMI are further used to evaluate the plume height of Environment and Climate Change Canada's operational forecasting system FireWork with fire plume injection height estimates from the Canadian Forest Fire Emissions Prediction System (CFFEPS). The modelled plume heights are similar compared to the satellite observations, but tend to be slightly higher with average differences of 270-580 m and 60-320 m compared to TROPOMI and MISR, respectively.

## 1 Introduction

Wildfires are a significant source of air pollution, that can adversely impact the air quality in populated areas (e.g. Landis et al., 2018; Meng et al., 2019). In recent years fire behaviour has also become more aggressive in North America due to increased temperatures, drought, high fuel loading and tree death (e.g. Kitzberger et al., 2007; Littell et al., 2009; Westerling, 2016). As such, the number and size of wildfires has been shown to increase with larger areas being burned (e.g. Landis et al., 2018). Wildfires emit fine particulate matter ( $PM_{2.5}$ ), and trace gases, including nitrogen oxides ( $NO_x$ ), carbon monoxide (CO) and ammonia ( $NH_3$ ) (Akagi et al., 2011; Andreae, 2019, and references therein). These traces and aerosols negatively impact air quality and are all harmful to people and their environment (e.g. Anenberg et al., 2018; Schraufnagel et al., 2019). The amounts released during the fire are highly variable and depend on the fuel type and intensity of the fire. Due to the nature of wildfires with plume heights reaching several kilometres, aerosol plumes produced by wildfires can be transported over vast distances (e.g. Damoah et al., 2004; Derwent et al., 2004; Duck et al., 2007; Lutsch et al., 2016, 2019). Plumes from larger fires can thus cause aviation hazards and affect regional air quality thousands of kilometres away from the source and even across continents (e.g. Colarco et al., 2004; Jaffe et al., 2004; Teakles et al., 2017).

With the increased fire intensity and number of fires, there is an increased necessity on modelling and forecasting smoke impacts from wildfires to be able to accurately predict the concentration of harmful pollutants, and to issue necessary alerts on time (e.g. Yue et al., 2015). The height of the smoke plumes has a large influence of where these pollutants are being transported. Thus, an extremely important component in predicting the air quality due to wildfires is to have a good understanding of the plume height and plume rise from wildfires. If the plume height and plume rise is not adequately simulated, the transport of pollutants, thus the final surface-level  $PM_{2.5}$  concentration will be incorrectly modelled.

Experimentally, plume height can be measured from the ground, aircraft and space. Satellite measurements of plume heights have the advantage of superior coverage than ground-based and aircraft-borne measurements would allow. As such, satellite-remote sensing measurements are an essential tool in observing the plume heights from wildfires. So far only two satellite instruments, namely the Multi-angle Imaging SpectroRadiometer (MISR; Diner et al., 1999) and the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP; Winker et al., 2003), were able to observe the altitude of smoke plumes on a global scale. The time of observation and method used to determine the height of the plume is very different for these two instruments, thus making them complementary. Because the observation methods are different, it is important to understand and quantify the differences of the of the plume height retrievals. MISR observes every scene from nine different angles which are then used to estimate the height of the plume. CALIOP is an active lidar instrument that can provide very detailed vertical profiles of clouds and aerosols, and can observe optically thin plumes. However, these two instruments have the disadvantage of very limited coverage where most fires are missed (Diner et al., 1999; Winker et al., 2007, 2003); MISR provides global coverage about once per week (8 days near the equator and every two days near the poles) and CALIPSO provides global coverage about every 16 days. The recently launched Tropospheric Monitoring Instrument (TROPOMI) can potentially fill this gap due to its daily

global coverage combined with its relatively high spatial resolution. TROPOMI is a passive sensor that provides daily global coverage. TROPOMI has a new product that is dedicated to retrieval of the height of tropospheric aerosols. The TROPOMI aerosol layer height product utilizes a very different method to than those used for MISR or CALIOP: the TROPOMI algorithm estimates the plume height based on the absorption by oxygen ( $O_2$ ) in the  $A$  band between 759 and 770 nm. A similar approach has been applied to the measurements from the Earth Polychromatic Imaging Camera (EPIC) on DSCOVR (Deep Space Climate Observatory) (Xu et al., 2017, 2019), however, this product is currently not operational and only a number of case studies are available. Very recently another plume height product has been created from MODIS observations, utilizing a thermal contrast technique (Lyapustin et al., 2019). These estimates are available globally, however, they are limited to plume heights near thermal hotspots.

Some studies have compared MISR and CALIOP plume heights, but very few coincident overpasses exist over fires, and the time difference of approximately 2 h can create additional challenges for comparing the plume heights, as the fire is expected to increase in intensity throughout the morning with the peak fire activity being in the early afternoon as well as changes in the planetary boundary layer that tends to be higher later in the afternoon (Kahn et al., 2008; Tosca et al., 2011; Gonzalez-Alonso et al., 2019). Caliop and (standard) MISR plume heights have also been validated with ground-based lidars (e.g. Moroney et al., 2002; Naud et al., 2004; Kim et al., 2008; Tao et al., 2008).

In this study, the TROPOMI aerosol layer height is evaluated for the first time with MISR and Caliop. The aerosol layer height from three satellite instruments (MISR, CALIOP, and TROPOMI) that can measure the plume height are compared for the 2018 fire season (June-August) in North America. Finally, we also compare the satellite observed plume heights to those from Environment and Climate Change Canada's (ECCC) air quality forecast modelling system, namely, FireWork with smoke plume injection heights based on the Canadian Forest Fire Emissions Prediction System (CFFEPS).

This paper is organized as follows: In Sect. 2, the three different satellite-borne instruments as well as the air quality model is described. Section 3 describes the effect of the different measurement techniques of the satellites on the plume height estimate using solely modelled aerosol profiles. The inter-comparison of the three satellites plume height observations and the modelled plume height are discussed in Sects. 4 and 5, respectively. A summary and conclusions are provided in Sect. 6.

## 2 Datasets

### 2.1 TROPOMI

TROPOMI is the single payload on the Copernicus Sentinel-5P satellite that was launched on October 13, 2017. The satellite has near full-surface coverage on a daily basis with a local overpass time of around 13:30 (Veefkind et al., 2012). The instrument has four spectrometers: three that cover the Ultra-Violet-Near Infra-Red (UV-NIR) with two spectral bands at 270-500 nm and 675-775 nm, and one for the Short-Wave Infra-Red (SWIR). The spatial resolution of TROPOMI varies with across-track

position and is between  $3.6 \times 7.2 \text{ km}^2$  (in UV-NIR) and  $7.2 \times 7.1 \text{ km}^2$  (SWIR). As of 6 August 2019, the along-track sampling has been improved to 5.6 km.

The TROPOMI Aerosol Layer Height (AER\_LH) algorithm was developed by the Royal Netherlands Meteorological Institute (KNMI) and utilizes the absorption in the oxygen *A* band of the spectrum between 759 and 770 nm (Sanders and de Haan, 2016). The oxygen *A* band is a highly structured line absorption spectrum with strongest absorption lines occurring between 760 and 761 nm. An aerosol layer aloft will decrease the photon path of the backscattered solar radiation, due to scattering by the aerosol layer, compared to backscattered radiation in a similar scene without the aerosol layer. This decreases the depths of the absorption lines in the oxygen *A* band of the measurements of the scene with the aerosol layer. The aerosol layer mid height is estimated from a fit of the measurements to a simplified, single aerosol layer model simulation of the oxygen *A* band reflectance, using an optimal estimation scheme, under cloud-free conditions. The final height reported is the difference between top pressure and bottom pressure of the assumed uniform scattering layer with a constant thickness of 50 hPa. The vertical data resolution is continuous and ranges from 1050-75 hPa. A more detailed description of the TROPOMI aerosol layer height product can be found in Nanda et al. (2019) and Sanders and de Haan (2016). Due to the importance of the backscatter signal in the retrieval, which can be dominated by the surface reflectance in case of bright surfaces and thin aerosol layers, the aerosol layer height is expected to be more robust over dark surfaces such as sea and oceans (Sanders and de Haan, 2016).

The aerosol layer height can give insight into the height of aerosols in the free troposphere of plumes from wildfires, volcanoes, and desert dust. This product could supply important information in a timely manner on aerosol location and transport of wildfire plumes for the purpose of air quality forecasting and aviation safety.

There are two versions available for the TROPOMI aerosol layer height: the near-real-time (NRTI) product is available approximately 3 h after the satellite overpass, and the offline (OFFL) product which is available approximately 2 weeks after the satellite overpass. The algorithm for the NRTI and OFFL product is the same, however, not all products needed for the retrieval are available in NRTI. Therefore, differences between the NRTI and OFFL products include:

- In NRTI the VIIRS cloud mask is not available, and a cloud mask is constructed from the FRESCO cloud product, brightness thresholds and scene homogeneity.
- In the OFFL product a different solar irradiance spectrum may be used (if a future irradiance spectrum is closer to the radiance measurement). This should not change the results much.

In general, the OFFL product should perform better and is a better choice if timeliness is not an issue. Here, we evaluate the OFFL version only, as the NRTI version was not available for the time period that we investigated. ~~A quality flag is provided in the files, which is 1 if a plume is present and 0 if a plume could not be detected.~~ As a first indication, the quality of each successful ALH retrieval is indicated by a quality assurance values (qa\_value). If the input data or measurement configuration becomes close to a predefined limit, first the qa\_value is lowered, if another limit is crossed, the pixel is filtered. E.g. all pixels

with a solar zenith angle below  $60^\circ$  should have a good quality retrieval. However, for  $SZA > 60^\circ$  the curvature of the Earth and the long photon path through the atmosphere may compromise a good retrieval. Above  $75^\circ$ , no retrievals are attempted. However, between  $60^\circ < SZA < 75^\circ$  the retrieval is performed, but the `qa` values are lowered to 20%, to indicate to the user to use caution. This is done for all pixels with a (small) cloud fraction (`qa` lowered to 50%), small AAI (50%), high surface roughness (50%), and within sunglint and south Atlantic anomaly regions (50%). Apart from the quantitative layer height, the quality flag provided alongside can be useful by itself. ~~Just this quality flag can be useful~~, e.g. to locate and identify the presence of aerosol plumes and its vertical shape.

## 2.2 MISR

The Multi-angle Imaging SpectroRadiometer (MISR) instrument is on NASA's Terra spacecraft that has been in orbit since 1999. MISR has nine fixed push-broom cameras and views every scene from nine different angles. Each of these cameras has four line-array charge-coupled devices (CCDs) covering spectral bands centred at 446, 558, 672, and 867 nm. Its highest spatial sampling is 275 m at all angles. This design allows it to measure the height of smoke plumes using stereoscopic techniques (Muller et al., 2002; Zakšek et al., 2013; Fisher et al., 2014; Val Martin et al., 2018). The height retrieval from MISR is not impacted by bright surfaces (Martonechik et al., 2004)(Moroney et al., 2002; Muller et al., 2002). MISR has approximately three overpasses daily over North America at around 10:30 am local time, with a swath width of approximately 360 km.

~~The plume height~~ An operational MISR cloud-top product is available, however, the operational algorithm uses fixed-parameters that are applied to all scenes equally (Muller et al., 2002; Nelson et al., 2013). Instead, the plume height used here is not a standard product of MISR, and we used the visualization and analysis program called MISR INTERactive eXplorer (MINX) tool to retrieve the plume heights (Nelson et al., 2008, 2013). This tool takes advantage of wind-direction information inherent in smoke plumes from active fires to determine plume heights and wind speeds at higher resolution and with greater accuracy than provided by the standard, operational MISR product (Kahn et al., 2007). MINX is an interactive visualization and analysis program written in IDL and designed to make MISR data more accessible to science users. Its principal use is to retrieve heights and motion for aerosol plumes and clouds using stereoscopic methods. Within MINX, each plume has to be processed individually and plume shapes have to be digitized manually. Moderate Resolution Imaging Spectroradiometer (MODIS) brightness temperature anomalies are used to help locate the fire plumes, and plume heights are retrieved for smoke plumes close to the fire hotspots. The red-band data have a higher horizontal resolution (275 m), however, where contrast is poor within plume features and between the plume and the surface, blue-band retrievals provide better results at 1.1 km resolution (Val Martin et al., 2018). In this study, we used the blue-band results with "good" and "fair" quality flags. Further details can be found in Kahn et al. (2007); Val Martin et al. (2010); Nelson et al. (2013). Limitations of the MISR instrument include: (1) the narrow MISR swath limits the frequency of global coverage, thus many smoke plumes can be missed, and (2) the local overpass time around 10:30 precedes the daytime peak in fire activity.

The MODIS thermal anomaly product (MOD14) (Giglio et al., 2003, 2006, 2016) is used here to locate the wildfires. We searched for clusters of thermal anomalies with a confidence of at least 75 %, and a minimum summed FRP (within a 5 km

radius) of at least 1000. These locations were then used to search for plumes using the MINX package. There are currently two MODIS instruments in space, on NASA's Terra and on NASA's Aqua satellites. Daytime measurements of Terra and Aqua are around 10:30 and 13:30 local time, respectively. For the MINX analysis, we utilized the thermal anomalies from MODIS Terra. Note that fires can potentially be missed for several reasons: due to cloud cover, under thick smoke plumes, as well as if the FRP signal is too low (e.g. small fires).

### 2.3 CALIOP

CALIOP, part of the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) satellite that was launched in 2006, is a two-wavelength (532 nm and 1064 nm) polarization-sensitive lidar. CALIOP can provide high-resolution vertical profiles of aerosols and clouds, as well as their optical properties (Winker et al., 2003, 2007). It is an active satellite instrument sensing pulses of light at 532 and 1064 nm. The back-scattered return is measured through a 1 m telescope measuring the intensities at 1064 nm and two at 532 nm (parallel and perpendicular to the polarization plane of the transmitted beam). The vertical resolution of the cloud and aerosol profiles is between 120-360 m and the footprint is 90 m. CALIOP can detect even very thin aerosol layers with an aerosol optical thickness of 0.01 with sufficient averaging (McGill et al., 2007). CALIOP has approximately 3 overpasses at 1:30 and 13:30 local time over North America, and has a very narrow swath width of just a few kilometres/hundred meters. In this study, we use the daytime aerosol layer product v4 ("Layer\_Top\_Altitude", "Layer\_Base\_Altitude") (McGill et al., 2007; Vaughan et al., 2009) which provides the top and base height of aerosol layers detected (between the surface and 30 km) averaged to a over 5 km horizontal resolution along the 100 m wide swath, and filter out all aerosol plumes except those containing smoke or polluted dust (Omar et al., 2009). While CALIOP has excellent vertical resolution and has the ability to resolve the layer heights of multiple plumes in a single profile, its swath width is very narrow and has a 16-day global coverage.

### 2.4 MODIS

~~The MODIS thermal anomaly product (MOD14) (Giglio et al., 2003, 2006, 2016) is used here to locate the wildfires. There are currently two MODIS instruments in space, on NASA's Terra and on NASA's Aqua satellites. Daytime measurements of TERRA and AQUA are around 10:30 and 13:30 local time, respectively. Here, we utilized the thermal anomalies for both MODIS instruments. Note, that fires can potentially be missed due to cloud cover.~~

### 2.4 GEM-MACH

We also make use of the satellite-derived plume heights to evaluate the modelled plume heights from an experimental version of ECCC's FireWork biomass burning air quality forecast modelling system. The core of the FireWork system is the Global Environmental Multiscale - Modelling Air-quality and Chemistry (GEM-MACH) coupled meteorology and chemical transport model. GEM-MACH contains a detailed representation of atmospheric chemistry, including emissions, dispersion, and removal processes of 42 gaseous and 8 particle species, which reside within the physics module of the Global Environmental

Multiscale (GEM) weather forecast model (Côté et al., 1998; Girard et al., 2014). The operational version of the model (Moran et al., 2010; Pendlebury et al., 2018) has a horizontal resolution of  $10 \times 10 \text{ km}^2$  for the North American domain and 80 vertical levels (from the surface to approximately 0.1 hPa) on a hybrid pressure grid. The forecast system produce air quality forecast conditions for 48-hours and is initialized every 12 hours at 00 and 12 UTC.

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The experimental GEM-MACH system was used as part of an ensemble of models contributing to the FIREX-AQ experiment at a resolution of 2.5km – here, the same system was used at 10km resolution, to simulate forest fire emissions, transformation and transport for the summer of 2018 (1 June to 31 August 2018), with an internal model “physics” time step of 7.5 minutes, and output provided every hour. The outputs for the simulations included  $\text{PM}_{2.5}$  fields, and estimates of the aerosol optical  
10 depth at a variety of wavelengths calculated internally using an on-line Mie lookup table (Makar et al., 2015b, a). [Differences between the operational and experimental version of GEM-MACH can be found in the supplement, Table S1.](#)

Near-real time fire hotspot information is obtained from three satellite sensors: MODIS, the Advanced Very High Resolution Radiometer (AVHRR), and Visible Infrared Imaging Radiometer Suite (VIIRS) processed through the Canadian Wildland  
15 Fire Information System operated by the Canadian Forest Service, Natural Resources Canada (<http://cwfis.cfs.nrcan.gc.ca>, last access: 1 October 2019 Lee et al., 2002). Hourly fire emissions and smoke plume injection heights were estimated with the CFFEPS module at individual hotspot location. [Fire plume injection height in GEM-MACH is parameterized in the CFFEPS module with hourly modelled meteorology as detailed in Chen et al. \(2019\). The injection height is determined based on the balance of estimated plume buoyancy and the modelled environmental lapse rate at fire location. Total heat flux from fire is determined from modelled fuel consumed per area and the heat of combustion of dry wood fuel \(Byram, 1959\). The fraction of energy that enters the plume for convection is further parameterized based on thermodynamic energy balance accounting for heat lost to fuel, moisture, radiation, conduction and incomplete combustion. The hourly plume injection height is determined based on the dry adiabatic equilibrium of the buoyant plume and the modelled environmental lapse rate at fire location.](#) Further  
20 details describing the implementation of the GEM-MACH wildfire component within the model can be found in e.g. Munoz-Alpizar et al. (2017); Pavlovic et al. (2016); Chen et al. (2019).  
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Previous work with CFFEPSv2.03 (Chen et al., 2019) showed a substantial improvement in forecast skill for daily maximum values of particulate matter,  $\text{NO}_2$  and  $\text{PM}_{2.5}$  relative to the previous ECCC operational forecast which employed a much simpler Briggs plume rise approach for forest fire emissions plume rise. Here, we investigate how the particulate mass and  
30 plume injection height calculated with GEM-MACH and from CFFEPSv4.0 and transported downwind over a short period of time by GEM-MACH near fire hotspot locations compares to satellite-derived plume heights. In order to allow a direct comparison between satellite-derived plume heights and those predicted by GEM-MACH/CFFEPSv4.0, the hourly modelled  $\text{PM}_{2.5}$  concentrations were interpolated temporally to the satellite overpass times. Only plumes due to fires are investigated: we subtracted the model run without fire emissions from the run with fire emissions to remove  $\text{PM}_{2.5}$  contributions from non-fire  
35 sources.



### 3 Observing System Simulation Experiments (OSSE)

The three satellite instruments are fundamentally very different and use three different parts of the radiative spectrum to determine the plume height. Here, we evaluate simulated plume heights from model output using similar techniques as MISR and TROPOMI, respectively, for several modelled aerosol profiles. This will help to interpret the fundamental differences between these retrieval techniques and to confirm whether the satellites are observing the same plume and to evaluate the methodology for model plume height estimation best suited for comparison to the satellite-derived plume heights. The aerosol profiles used here are 24 modelled profiles (from the GEM-MACH model) containing smoke at various altitudes between approximately 1 and 7 km with various Aerosol Optical Depths (AODs). Nine example profiles of these 24 are shown in Fig. 1d-l. Note, this section is using only modelled aerosol profiles (no satellite observations were used here) with the aim of evaluating the different retrieval algorithms and understanding what “simple” plume height definitions can be used to compare the model output to the satellite observations.

#### 3.1 OSSE MISR plume heights

In order to simulate the layer height retrieved by MISR using aerosol profiles from GEM-MACH, we rely on the concept that MISR’s layer height is defined as the layer contributing the most to the reflective contrast relative to the surrounding air (Kahn et al., 2007). Thus, to determine the MISR-equivalent plume layer height from the GEM-MACH profiles, we simply calculate the  $dI/dNz$  weighting function where  $I$  is the 672 nm monochromatic radiance at the top-of-the-atmosphere for a viewing zenith angle of  $26^\circ$ .  $Nz$  is the GEM-MACH aerosol number density at altitude  $z$  and the weighting function is calculated numerically by perturbing layers of the profile independently and determining the radiance difference relative to the unperturbed case. The  $PM_{2.5}$  aerosol number density vertical profile is obtained from GEM-MACH for these smoke cases. The number density is obtained from the model’s fine-mode mass density profile, assuming a typical mass of a fine-mode particle of  $1.55 \times 10^{-9} \mu\text{g}$  based on a particle density of  $1.35 \text{ g/cm}^3$  (Reid and Hobbs, 1998), and assuming spherical particles with a radius of 130 nm. These approximations used here may not necessarily reflect GEM-MACH’s predictions for particulate mass, radius or density, but those assumptions have been used to reflect that smoke particles tend to be small and to make the interpretation of the results less complicated by using the same assumptions for each simulated case. The retrieved layer heights will not depend on a multitude of aerosol properties. The VECTOR radiative transfer (RT) model is used (McLinden et al., 2002) and aerosol scattering is simulated using Mie theory. For the Mie calculations, a gamma distribution is used for the aerosol size distribution (Eq. 2.56 of Hansen and Travis (1974)) with an effective radius of 130 nm and an effective variance of 130 nm and a size range of 0.01 to 260 nm. The complex refractive index is appropriate for external mixed black carbon at 99 % relative humidity (which is expected near the fire source):  $1.68+0.36i$  (Kou, 1996). Note that this might not reflect the true aerosol size distribution of a fire smoke plume. However, the approximation can be used since the retrieved layer contributing the most to the reflective contrast does not depend on the exact size distribution used. We have also estimated the plume height assuming organic carbon (OC) with a refractive index of  $1.36 + 0.001i$  (at RH=99 %), and found negligible differences between the plume heights obtained assuming BC and OC refractive indices for most cases (see Figs. S1 and S2). The surface albedo provided in the



TROPOMI layer height product is used for each different scene (for MISR and TROPOMI) and five orders of scattering were computed. For the MISR and the TROPOMI OSSE (see below), it is critical to have fine layering in the radiative transfer model simulations that serve as the pseudo-observations in order to properly capture the shape of GEM-MACH aerosol profile. For MISR simulations using VECTOR, 100 m layering was used in the lowest 20 km of the atmosphere and, thus, the GEM-MACH aerosol profiles were interpolated to 100 m layers.

### 3.2 OSSE TROPOMI plume heights

To simulate the layer height retrieval from TROPOMI, we used MODTRAN 5.2 (Berk, 2013, and references therein) to take advantage of the correlated-k option for simulating radiances in an absorption band, namely the oxygen *A* band ( $\sim 762$  nm). The correlated-k absorption parameter data are specified at  $1 \text{ cm}^{-1}$  ( $\sim 0.06 \text{ nm at } 760 \text{ nm}$ ) resolution. The terrain height for the MODTRAN modelling is obtained from GEM-MACH for each scene. The radiance is convolved with a triangular slit function with a full width at half maximum of  $90.5 \text{ cm}^{-1} \text{ nm}$  to account for the TROPOMI spectral resolution in channel 6 (Veefkind et al., 2012), which covers the  $\text{O}_2$  *A* band. The discrete ordinates method is used to simulate the radiative transfer with 8 streams. The solar zenith angle and viewing nadir angle of each scene is taken into account (as was done for MISR-OSSE). MODTRAN expects an aerosol extinction profile as an input rather than an aerosol number density profile. This conversion involves scaling the number density profile determined in Sect. 3.1 such that the aerosol optical depth simulated by MODTRAN was equal to the aerosol optical depth simulated for MISR. The *A* band absorption depth is used as the observable in the retrieval and is computed using the following ratio:  $(I_{13107.762.95} + I_{13145.760.75}) / (I_{13005.768.94} + I_{13007.768.82} + I_{13175.759.01})$ , where the sub-scripted numbers are the ~~wavenumbers~~ wavelength (in nm) at which spectral radiances are simulated. The numerator is the sum of the radiance at two wavelengths for which  $\text{O}_2$  is strongly absorbing and the denominator contains three wavelengths in the continuum (i.e. minimal absorption). The retrieval method is iterative and seeks to match the “observed” absorption depth with the forward modelled one by solely varying the layer height during the retrieval. The “observations” involve using the GEM-MACH aerosol profile, whereas for the forward model simulations during the retrieval, the profile shape is not known and we assume that the aerosol layer has a vertical extent of 500 m with no aerosol outside this 500 m layer. ~~The here~~ Note that TROPOMI operational algorithm uses spectral fitting to retrieve AER\_LH whereas a simple ratio has been used here, similar to Xu et al. (2017, 2019). The reported layer height is the middle of this layer.

### 3.3 Plume height evaluation using pseudo-observations

In this section, the modelled plume heights, derived using five “simple” methods and the simulated plume height using modelled profiles with the MISR (Sect. 3.1) and TROPOMI (Sect. 3.2) retrieval methods, are compared. In Sect. 3.1 and 3.2, we described methods based on remote sensing for plume height estimation using modelled aerosol profiles. These simulations are, however, time consuming and therefore not practical for the model-satellite comparison as thousands of aerosol profiles would have to be simulated. Instead, several simpler methods are considered to define plume heights from model output, that can be used to compare the modelled output to satellite observations in the subsequent section. These methods include: (1) the altitude of the model layer of the maximum  $\text{PM}_{2.5}$  concentration (shown as red down-pointing triangles in Fig. 1), (2) the

altitude of the highest model layer that exceeds  $\text{PM}_{2.5}$  concentration of  $10 \mu\text{g}/\text{m}^3$  (shown as blue down-pointing triangles in Fig. 1), (3) the altitude of the highest model layer that exceeds 10 % of the maximum  $\text{PM}_{2.5}$  concentration (this definition has previously been used in Raffuse et al. (2012); shown as black dots in Fig. 1), (4) the average height between method (1) and (2) (shown as cyan right-pointing triangles in Fig. 1), and (5) a  $\text{PM}_{2.5}$  concentration weighted average of model layer heights (shown as magenta left-pointing triangles in Fig. 1). The results of this simulated plume height comparison are shown in Fig. 1 with the reference 1:1 line shown as a black-dash. These results show that the methodology in which the top layer of the plume is that exceeds  $10 \mu\text{g}/\text{m}^3$ , method (2), is closest to the MISR simulated plume heights (Fig. 1a) with a mean difference ( $\pm$  standard error) of  $-98 \text{ m}$  ( $\pm 181 \text{ m}$ ). Method (3) overestimates the plume height consistently for all plumes. Method (1), (2), (4), and (5) are very close for many aerosol profiles, but for profiles containing multiple plumes, method (2), (4), and (5) underestimate the “MISR”-simulated plume height. For the TROPOMI-OSSE (Fig 1b), simulated plume heights with method (4) is the closest with a mean difference of  $37 \pm 90 \text{ m}$  and except two profiles, the differences are all less than 200 m. For simple plumes with one strong aerosol peak (Fig. 1 d-h), method (2) is close to the simulated TROPOMI-OSSE plume height, but tends to overestimate the plume height of more complicated plumes with multiple aerosol layers, while method (1) and (5) tend to underestimate the TROPOMI-OSSE plume height. Using method (3), the altitude of 10 % of the maximum enhancement, overestimates the plume height for all plumes. Lastly, the simulated plume heights using the MISR and the TROPOMI approaches are compared over different AOD simulated using the VECTOR RT model (Fig. 1c). Overall, the plume heights estimated using the five different “simple” methods are consistent with the satellite retrievals for most plumes, however, there are cases where the TROPOMI-OSSE plume heights are lower in comparison to the MISR-OSSE plume heights. We have found these to be unrelated to the AOD of the plume. The average mean difference ( $\pm$  standard deviation) between the simulated aerosol layer heights between MISR and TROPOMI is  $0.52 \pm 0.84 \text{ km}$ . This difference can be attributed purely to the different observation/retrieval methods of the aerosol layer height between the two instruments.

The differences between the MISR-OSSE and TROPOMI-OSSE plume height was further investigated and Figs. 1d-l show examples of the profiles used, along with the retrieved plume heights. Profiles for which the MISR-OSSE and TROPOMI-OSSE plume heights agree well are displayed in Figs. 1d-h, and all show one single dominant plume. Profiles that result in differences between MISR-OSSE and TROPOMI-OSSE are more complicated profiles consisting of multiple aerosol layers (Figs. 1i-l). In these cases, MISR observes the altitude of the upper plume, whereas the *A* band method used for TROPOMI (and EPIC) retrieves an optical centroid altitude (Xu et al., 2019). Note that retrieving a single layer height can be difficult particularly when the volume enclosing the plume takes the shape of a column or when there are multiple plumes at different altitudes either due to multiple source locations (i.e. points of origin) or due to shifts in wind direction or atmospheric stability during the course of emissions. Large differences between TROPOMI and MISR might be an indicator that multiple plumes are present.

#### 4 TROPOMI aerosol layer heights

As discussed in the previous section, there are fundamental differences between the plume heights observed by the different satellites. Here, the differences and correlation between the satellite plume height observations are discussed in terms of what is expected from the OSSE results and due to different observation times.

#### 4.1 Comparison to MISR

5 In total, we found 115 fire plumes for which the MISR layer height retrieval was of good (87) to fair (29) quality and which were captured by both MISR and TROPOMI between June and August 2018 in North America. Most of the plumes were located in western Canada and western U.S. where fire activity was high to extreme for the year. There were few plumes in eastern Canada in provinces of Ontario and Quebec, as well as in the states Wyoming and Colorado in the central U.S. Due to the differences in sensor spatial resolution, each plume spanned many pixels, on the order of a several hundred for MISR and a dozen for TROPOMI. For the comparison, we investigated the maximum plume heights and the mean plume heights within those fire plumes. An example is shown in Fig. 2 for two fires (the fire hotspot is shown as red dots) in central British Columbia on 6 August 2018 at approximately 53°N, 126°W. The plume height pixels from MISR, TROPOMI and GEM-MACH are overlaid on the VIIRS true colour visible imagery showing the smoke plume (obtained from NASA Worldview, <https://worldview.earthdata.nasa.gov/>). For MISR a plume has to be digitally outlined in MINX (dashed red line in Fig. 2), this  
15 plume polygon was also used to define the spatial extend of the same smoke plume for TROPOMI. As MISR overpasses a location approximately 2 h earlier than TROPOMI, MISR and TROPOMI do not observe the fires at exactly the same time. Forest fire emissions typically follow a diurnal cycle with a decrease in emissions and intensity during the night and increase throughout the day until the late afternoon - hence the plume might be expected to grow between the two overpass times. To account for plume growth from atmospheric dispersion over this time, the plume shape derived for the MISR analysis was increased  
20 spatially in size by 0.15° in longitude/latitude for TROPOMI (see navy dashed line in Fig. 2). All pixels within this slightly enlarged plume outline were assumed to belong to the same fire plume, and the mean and the maximum of those observations were calculated for comparison with MISR. The enlarged polygon is used as a guidance which pixels from TROPOMI belong to the same plume that outlined in MINX; there is no manual input or outlining required for the TROPOMI algorithm. If the enlarged polygon is too large or the plume doesn't cover the whole area, the mean will not be affected as the TROPOMI plume  
25 heights are set to a fill value (and masked) if no plume has been detected or retrieval did not pass the quality control. Since the resolution of the MISR pixels is around 1 km<sup>2</sup> and much finer resolution than that of TROPOMI (5 × 7 km<sup>2</sup>), greater variability and extremes in plume heights are expected from MISR with significantly higher sampling of pixel within the same plume, as spatial smoothing of layer height is limited. To correct the impact of sensor resolution on the maximum plume height derived from a cluster of pixels in a given plume, the MISR pixels were averaged and binned on a 0.05° × 0.05° grid to approximately  
30 match the TROPOMI resolution.

The results of the comparison between the TROPOMI and MISR derived plume heights for 155 identified co-locating fire plumes from both sensors in North America are shown in Fig. 3. The average maximum plume heights above ground level for the 2018 fires in North America are, on average, 2 km (ranging between 0.4 and 5.5 km) and 1.6 km (ranging between

0.01 and 8.4 km) for MISR and TROPOMI, respectively. The mean plume heights (above ground level) from the 155 fire plumes are on average 1.4 km (ranging between 0.3 and 3.2 km for MISR) and 0.8 km (ranging between 0.01 and 2.8 km for TROPOMI). Overall, TROPOMI's maximum and mean plume height is on average  $0.59 \pm 1.3$  km and  $0.55 \pm 0.74$  km lower than the plume height derived from MISR, respectively, when horizontal resolution impacts have been removed by averaging, as noted above. The mean difference found for the TROPOMI and MISR observed plume heights is similar as found for the simulated plume heights of the OSSE. The maximum plume heights from all smoke plumes are similar, however, have a large spread ( $\sigma = 1.3$  km) and only a moderate correlation ( $R = 0.44$ ), even when taking the difference in resolution into account by binning the data. This is expected and in fact the results are reasonable, since the maximum plume height will only contain the observations of a single TROPOMI pixel and there is a time difference between 0.5 and 3 h between the TROPOMI and MISR overpass in which plume heights can change significantly. The average plume heights, a more aggregated quantity, have a better correlation with a correlation coefficient,  $R$ ,  $R = 0.61$  and slope of best fit,  $s$ ,  $s = 0.8$ , with TROPOMI biased low. This low bias of the TROPOMI observations of plume heights is expected based on the retrieval technique (see Sect. 3), where MISR observes the top plume height and TROPOMI observes an average plume height when multiple layers of aerosols are present. Furthermore, despite the spatial adjustment of expanding the sampling footprint of MISR plume, the 0.5 - 3 h earlier overpass time of MISR is likely sampling plume heights earlier in the day when planetary boundary layer (PBL) is not fully established, and of lower fire intensity. TROPOMI plume height observations are, therefore, expected to be slightly higher compared to MISR, because of generally increases with fire intensity in the afternoon enhancing plume advection. However, the differences between the satellite observations and the differences of the OSSE simulated plume heights based on the satellites retrieval algorithm (Sect. 3) are similar, no increasing plume height is apparent from this TROPOMI and MISR dataset.

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The regional distribution of the different plume heights are illustrated in a map over locations of fire hotspots during the analysis period (see Fig. 3 d). Table 1 summarizes the different plume heights found by MISR in comparison to TROPOMI. The average plume heights for the maximum and mean plume height within each of the 115 plumes are shown for fires in different types of biome as classified by the International Geosphere-Biosphere Programme (IGBP). Only enough observations within our dataset were found for evergreen fires for the comparison with MISR. To be able to do a quantitative regional comparison for additional vegetation types, more smoke plume observations are required.

## 4.2 Comparison to CALIOP

For the comparison between CALIOP and TROPOMI, only CALIOP ~~profiles-plume heights~~ over North America ~~that are flagged-are retained and filter out~~ ("Feature\_Classification\_Flags") ~~to contain the ones from clean marine, dust, polluted continental, clean continental, thus, only plume heights containing~~ smoke or polluted ~~aerosols were selected-dust were selected~~ (we found that fire plume aerosols are classed as either smoke or polluted dust). The maximum and mean of the TROPOMI aerosol layer height within  $\pm 0.15^\circ$  ( $\sim 15$  km) of ~~those profiles-the CALIOP observations~~ were compared. ~~The height of the plume-Similar to Gonzalez-Alonso et al. (2019) we use the~~ top and plume base ~~are-contained-in-from~~ the CALIOP L2 product (aerosol layer product v4)~~and those are on an averaged-, which are on a~~ horizontal resolution of 5 km, similar to that of

TROPOMI and thus no additional corrections to the sampling footprint were carried out. Note that the CALIOP data is averaged to 5 km, however along a narrow swath ( $\sim 100$  m), differences could arise due to the different sampling. On average there is a small ~~time-overpass~~ difference between these two sensors varying between -1 and 2 h (CALIOP-TROPOMI overpass) for this dataset, so the forest fire plume height comparisons may also be affected by plume evolution between overpasses. Unlike

5 TROPOMI that provides one plume height at each sampling pixel, the active lidar on CALIOP provides detail plume profile, some with multiple layers of aerosol in a profile. Here, we define the thickness of the plume as the difference between plume top and plume base; and CALIOP's mid layer height (average between plume top and base) are compared to TROPOMI's aerosol mid layer heights. We further found that very high plumes ( $>8$  km) observed by CALIOP were not captured by TROPOMI, likely because they are optically quite thin, and those have been removed from the comparison. Sometimes, multiple layers

10 of aerosols can occur in a CALIOP profile. We investigated additional CALIOP plume height interpretations to find the most representative layer height for the comparison to TROPOMI. They are: (1) the CALIOP top layer height, (2) the average of all CALIOP-identified aerosol layers, and (3) the thickest (geometrical thickness) CALIOP aerosol layer. Overall, we found that the first of these definitions is not appropriate for the TROPOMI comparison as the top aerosol layer in CALIOP can be a very thin plume in the upper troposphere/lower stratosphere that is not captured by TROPOMI. The second methodology comparing

15 CALIOP average of all aerosol plumes to TROPOMI was sometimes also biased by very low concentration layers of CALIOP aerosol at high elevations. The third methodology was not affected by the issues for the other two methods; using comparing the CALIOP geometrically thickest aerosol layer with the TROPOMI aerosol layer height seems the most applicable for the plume height comparison between those two different satellite instruments.

20 Figure 4 summarizes the CALIOP-TROPOMI plume height comparison for (a) geometrically thick plumes ( $>1.5$  km), (b) geometrically thin plumes, (c) a histogram of the differences, ~~and~~ (d) how the statistics of the comparison change for different plume thickness filters, and (e) how the statistics of the comparison change for different surface albedo. The results show that the difference between the plume height observed by TROPOMI and CALIOP depends significantly on the thickness of the plume (as derived from CALIOP). Thicker plumes seem to be better captured by TROPOMI and the thicker the plume the

25 smaller the difference between the CALIOP and TROPOMI plume height. TROPOMI was biased low, on average by 2.1 km, in comparison to CALIOP for thin smoke plumes (thickness of less than 1.5 km). Much better agreement and a improved correlation between the two satellite datasets is found for thicker plumes (see Fig. 4d). The mean difference reduces with the thickness of the plumes, the mean difference between the TROPOMI and CALIOP mid aerosol layer is just 50 m for very thick plumes ( $>3$  km). The geometrically thick plumes are typically optically thicker plumes, too. The reason for the

30 reduced bias with increasing layer thickness is probably the sensitivity of the TROPOMI AER\_LH algorithm to the scattering layer in the scene, which is more and more dominated by the surface if the aerosol layer is optically thinner. The correlation plot and histogram are shown in Fig. 4 for thin plumes (shown in blue) and thick plumes ( $>1.5$  km; shown in red). The distribution of the differences between the TROPOMI and CALIOP plume height is a normal distribution with a smaller spread for thick plumes. From this analysis it also appears that lower plumes, below approximately 4-4.5 km, are better captured by

35 TROPOMI (see Fig. 4). Figure 4e shows that the differences between CALIOP and TROPOMI increase with increasing

surface albedo, consistent with the idea that the TROPOMI retrieval algorithm is more sensitive over dark surfaces and possess smaller uncertainties (Sanders and de Haan, 2016; Xu et al., 2019).

## 5 Model plume height evaluation

The modelled plume heights are compared to satellite observations with the aim to evaluate the modelled plume injection heights and to determine the strengths or weaknesses of the model. In order to compare the FireWork model plume heights to the satellite observations, the model hourly output is interpolated to the time of the satellite overpass. The mean and maximum plume heights within individual fire plumes were compared. As mentioned in Sect. 2.4 MISR and TROPOMI detects smoke plume height differently, thus, the model plume height extracted for comparison are also different. For the comparison with the MISR plume heights, the model plume height is defined as the highest model layer containing a  $\text{PM}_{2.5}$  concentration greater than  $10 \mu\text{g}/\text{m}^3$ . For the comparison to TROPOMI, the model plume height was defined as the average height between the altitude of the maximum  $\text{PM}_{2.5}$  concentration within the grid column and the highest layer containing a  $\text{PM}_{2.5}$  concentration exceeding  $10 \mu\text{g}/\text{m}^3$ .

### 5.1 Comparison with MISR

Similar as for the TROPOMI-MISR comparison, the area of the plume is defined by the expanded MISR plume outline (by  $0.1^\circ$ ) and all points within this enlarged polygon (an example can be seen in Fig 2 - the enlarged polygon used for the model comparison is shown as a blue dashed line) are considered as part of the plume. Given that FireWork is a forecast product, this expanded polygon is used for the comparison to compensate for errors in wind forecast speeds and direction within the model and for uncertainties related to temporal interpolation between hourly output and satellite overpass. Furthermore, given the coarse model resolution compared to MISR pixel, the expanded plume footprint allows for more points to be extracted for comparison. All points with elevated  $\text{PM}_{2.5}$  within this extended polygon are considered part of the same plume. Again, to account for the difference in resolution when comparing the maximum plume height, MISR pixels have been binned to  $0.1 \times 0.1^\circ$  to the approximate resolution of the model.

We found that the modelled plume heights are very similar, but on average slightly higher than the ones observed by MISR. Overall, the modelled plume heights represent the observations very well in terms of mean and maximum plume heights with differences of  $-0.06 \pm 0.68 \text{ km}$  and  $-0.32 \pm 1.21 \text{ km}$ , respectively. Figure 5 summarizes the results for the comparison between MISR and CFFEPSv4.0 (a-c). In total 70 fire plumes were compared (all between June-August 2018) in terms of (a) maximum plume height and (b) mean plume height. A map illustrating the regional distribution of the mean plume heights is shown in Fig. 5d.

The FireWork modelled plume heights with forecast meteorology are on average less than 100 m higher compared to the MISR observations. The modelled plume heights correlate well with the satellite observations with  $R = 0.73$  for the

mean plume heights. The maximum plume height within one plume is also well represented with a correlation coefficient of  $R = 0.53$ , the model overestimates the maximum plume height on average by  $+0.32$  km. These are very encouraging results for modelled versus satellite-observed plume heights, especially, considering the assumptions that were parametrized in the modelling fire plume height, such as amount of fuel consumed, area burned, energy released, modelled atmospheric profiles and dispersion. Not only are the mean differences small but there is a good correlation between the observations and the model for both mean and maximum plume heights. The error difference for the plume plumes analysed here have a normal distribution (see Fig. 5c). Significant progress has been made in recent years in terms of modelling plume rise for biomass burning. For example, Raffuse et al. (2012) found that on average the modelled plume heights agreed with the observations, but correlations between observed and simulated plume heights was poor. However, model plume heights in Raffuse et al. (2012) were calculated using a Briggs plume rise approach as opposed to calculating the energy balance in multiple atmospheric layers. The latter approach, used in CFFEPS, was found to result in more accurate predictions of surface daily maximum  $\text{PM}_{2.5}$ ,  $\text{NO}_2$  and  $\text{O}_3$  than the use of Briggs formula (Chen et al., 2019). At least part of the improved model predictive performance of the ECCC FireWork forecast may be attributable to these radiative transfer calculations within CFFEPS, with the version used here (v4.0) also including a higher vertical resolution than the v2.03 version described in Chen et al. (2019). The differences between MISR and FireWork modelled plume heights for different biomes are summarized in Table 1 showing that for evergreen forest fires the modelled and MISR observations are well on average. There were not enough fire plume available from the FireWork-MISR comparison to compare other biomes. The number of fire plumes that have been compared to the FireWork is slightly lower than for the TROPOMI-MISR comparison, some smaller fires can be missed by the model or the modelled aerosol concentration does not reach  $10 \mu\text{g}/\text{m}^3$ .

## 5.2 Comparison with TROPOMI

For the comparison of FireWork modelled plume height to TROPOMI, the spatial extend of the plume is defined as the polygon surrounding TROPOMI's minimum and maximum latitude and longitude (shown as a purple dashed line in Fig. 2) in which a predefined smoke or aerosol layer was present near a fire hotspot as identified by MODIS Aqua. Similar to the process with MISR comparison, this polygon is then increased by  $0.1^\circ$  (black dashed line in Fig. 2) to account for the errors in forecast wind direction and speed within the model. As the resolution of TROPOMI is higher than the resolution of the GEM-MACH model, for the maximum plume height comparison the TROPOMI observations are binned to  $0.1^\circ \times 0.1^\circ$ , approximately the resolution of the model and the TROPOMI gridded data is paired with model output interpolated to the TROPOMI over-pass time. Overall for North America June-August 2018, 671 coincident fire plumes were found for the model comparison. This number is significantly higher than for the comparison between FireWork and MISR, because of the better geospatial coverage of TROPOMI compared to MISR and, thus, less fires are missed by TROPOMI. The results for the TROPOMI and model comparison are shown in Fig. 6 and the results summarizing the averages for different biomes are in Table 1.

Moderate correlation was found for the TROPOMI-model comparison ( $R$  in the 0.3 and 0.5 range; see Fig. 6). The model plume height is on average higher than the TROPOMI observations. The average difference (TROPOMI-model) of the maxi-



maximum and mean plume height is of  $-0.27 \pm 1.84$  km and  $-0.58 \pm 0.85$  km, respectively.

For the plume heights for different biomes, also with the increased number of fire plumes with TROPOMI, only minor differences are observed between the different biomes. Overall, it seems that CFFEPS struggles the most with grassland fires where the average plume height is about 0.8 km higher than the TROPOMI observations. Plume heights from evergreens and woody savannas seem to agree well with the observations. Looking at the TROPOMI plume height, fire plumes from all here presented biomes have on average a maximum plume height between 2.1 and 2.3 km, and an average mean plume height of 0.7 km.

## 6 Summary and Conclusions

We compared wildfire plume heights from TROPOMI and MISR-derived plume heights and CALIOP aerosol profiles, for the 2018 fire season in North America (June to August). The only satellites that could globally observe plume heights before the launch of TROPOMI were MISR and CALIOP. MISR and CALIOP are unique in their ability to vertically resolve the atmospheric aerosols globally, however, those two satellites have a narrow-swath with a global coverage every 3-week and 16 days, respectively. This means that many fire plumes are missed by these satellites. The plume height product from TROPOMI is a potential game changer in terms of frequency and availability of observations: aerosol plume heights from TROPOMI have the advantage of daily global coverage and a NRTI version exists that is available approximately 3 h after the overpass. CALIOP aerosol profiles are available with an approximately one-day delay, but MISR-derived plume heights on the other hand require time consuming manual input and are not available NRTI. As such, TROPOMI aerosol layer heights can provide value to the modelling communities for improving air quality forecasting and providing improved air quality and aviation warnings, as less fires will be missed.

We simulated MISR and TROPOMI aerosol layer heights (OSSE) from different aerosol profiles to better understand the differences between the two algorithms. The plume heights for profiles with a single aerosol peak agreed almost perfectly and the aerosol layer heights from TROPOMI-OSSE and MISR-OSSE were within a just few meters. However, this is not the case for profiles with multiple aerosol layers. From the plume height retrieval using the oxygen *A* band, the TROPOMI aerosol layer height tends to lie in between those aerosol layers. This is a significant limitation since the ~~exact~~-plume heights will remain unknown in cases where multiple aerosol layers are present in one profile. MISR on the other hand tends to respond to the upper aerosol layer if multiple plumes overlap the same pixel, if there are any layers beneath MISR will not be able to pick this up. However, often multiple layers of plumes do not overlap exactly the exact same area, so MISR will likely sense the lower plume heights over the plume area imaged by the instrument. Based on our OSSE, the different retrieval techniques of TROPOMI and MISR will result in differences of  $520 \pm 840$  m (based on 24 profiles), with TROPOMI typically returning lower plume heights. We found a very similar bias when comparing the actual satellite observations: the TROPOMI aerosol layer heights seem to be on average approximately 600 m lower compared to the MISR plume heights. We further found, by

comparing with the CALIOP aerosol profiles, that the TROPOMI aerosol layer heights are more accurate for thicker plumes ~~÷and over darker surfaces. As such,~~ the difference between the CALIOP and TROPOMI mid-plume height decreases and the correlation increases with increasing thickness of the plume and for a 3 km thick plume the average difference is only about 50 m. Further, the differences between Caliop and TROPOMI increase with increasing surface albedo. Plumes below 4.5 km  
5 are better retrieved with TROPOMI. Furthermore, very high (>8 km) or thin plumes can be missed by TROPOMI.

The satellite observations have been compared to the GEM-MACH model with input from CFFEPS. From the OSSE, we found that the top altitude with  $\text{PM}_{2.5} > 10 \mu\text{g}/\text{m}^3$  agrees best with the MISR-OSSE ( $-98 \pm 181$  m). On the other hand, TROPOMI-OSSE plume heights agree best with average between the altitude of the maximum and the top altitude with  $\text{PM}_{2.5}$   
10  $> 10 \mu\text{g}/\text{m}^3$  ( $37 \pm 90$  m). The comparison between the model and the satellite observation shows that the simulated plume heights with CFFEPS tend to be 60-580 m higher than the observed plume heights by MISR and TROPOMI. The biggest differences between CFFEPS and the TROPOMI observations were found for plumes from grassland fires, CFFEPS overestimates the plume height on average by nearly 1 km. With correlation coefficients between  $R = 0.28$  to  $R = 0.73$  between the satellite observations and the model, this is an encouraging result for modelled plume heights, as fire plumes are extremely variable  
15 and, as such, difficult to estimate and many assumptions were made to model the plume injection height.

Overall, TROPOMI aerosol layer height has been compared to MISR and CALIOP plume heights, showing moderate correlation and agreement. The TROPOMI ~~plume heights seems more accurate~~ aerosol layer height seems to be successful in retrieving high plumes up to 8 km, the uncertainties seem reduced for thicker and lower plumes ~~plumes (<4.5~~ between 1-4.5 km  
20 altitude), as well as dark surfaces. TROPOMI aerosol layer height seems to be biased low, this was seen for both, the comparison to MISR and CALIOP, and is likely due to the TROPOMI measurement technique's tendency to return an intermediate plume height if multiple aerosol layers are present. Also, the TROPOMI algorithm is sensitive to the surface, which will bias the retrievals low, especially for optically thin plumes (and bright surfaces) (Sanders and de Haan, 2016). Using the oxygen A band to retrieve the aerosol layer has significant limitations if multiple smoke layers are present, leading to an average plume  
25 height. This might limit its application for aviation safety as the exact altitude of the plume may be inaccurate. However, it is still a very valuable product if one plume dominates the profile, as well as for model comparison and to enhance model performance. The product can also be useful for satellite emission estimates from wildfires, where the approximate layer height of the plume needs to be known to get an accurate wind component of for the plume transport (e.g. Fioletov et al., 2015; Nassar et al., 2017; Adams et al., 2019; Dammers et al., 2019). For these estimates, the aerosol layer height can provide an approximate  
30 height of the plume. No significant dependencies of the fire classification, fire radiative power, ~~albedo,~~ or the TROPOMI solar and viewing zenith angles towards plume height estimates were found within this study, however, more data are needed for a more qualitative comparison.

*Data availability.* TROPOMI data can be downloaded from <https://s5phub.copernicus.eu>; CALIOP data can be downloaded from <https://eosweb.larc.nasa.gov>. NASA Near Real-Time and MCD14DL MODIS Active Fire Detections (TXT format) is available online at <https://earthdata.nasa.gov/active-fire-data>. The MISR plume heights retrieved with the MINX package (used in this study) and the GEM-MACH plume heights can be accessed at [http://collaboration.cmc.ec.gc.ca/cmc/arqi/Griffin\\_et\\_al\\_amt-2019-411/](http://collaboration.cmc.ec.gc.ca/cmc/arqi/Griffin_et_al_amt-2019-411/).

- 5 *Author contributions.* D.G. compiled the analysis; C.S. performed the observing system simulations; J.C., P.M., and A.A. worked on the development of CFFEPS as well as providing feedback on the methodology used within the manuscript; M.dG., P.V., and S.N. worked on the development of the TROPOMI AER\_LH product; N.D., A.K., E.D., helped develop and optimize the analysis codes. The publication was prepared by D.G., and all authors reviewed the manuscript and contributed to the discussion of the paper.

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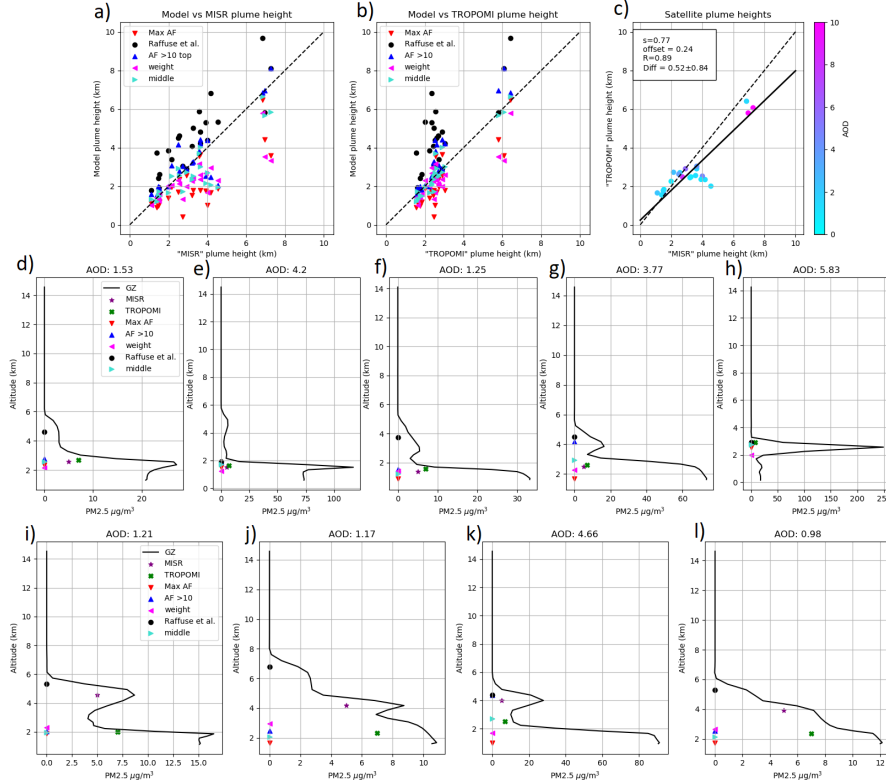


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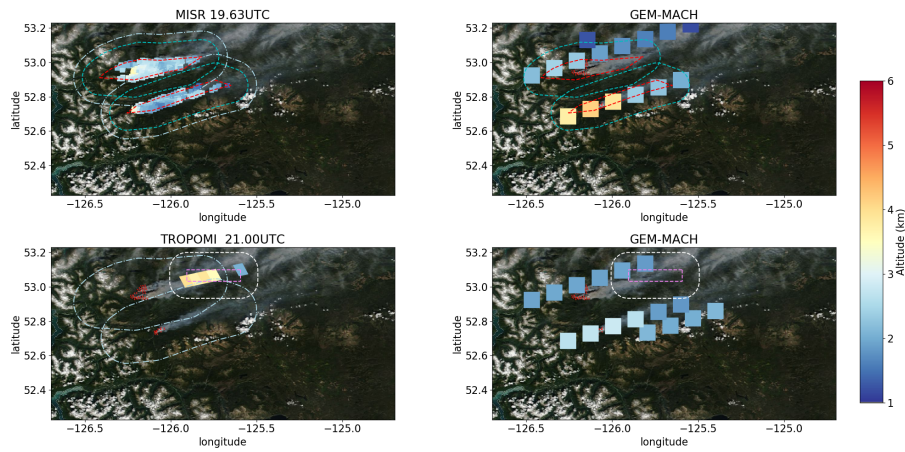
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**Table 1.** Summary of plume heights observed in different regions, shown is the mean (standard deviation) of the maximum and mean plume heights for all plumes for different types of wildfires (Biome IGBP). The reported altitudes are all in km above ground level.

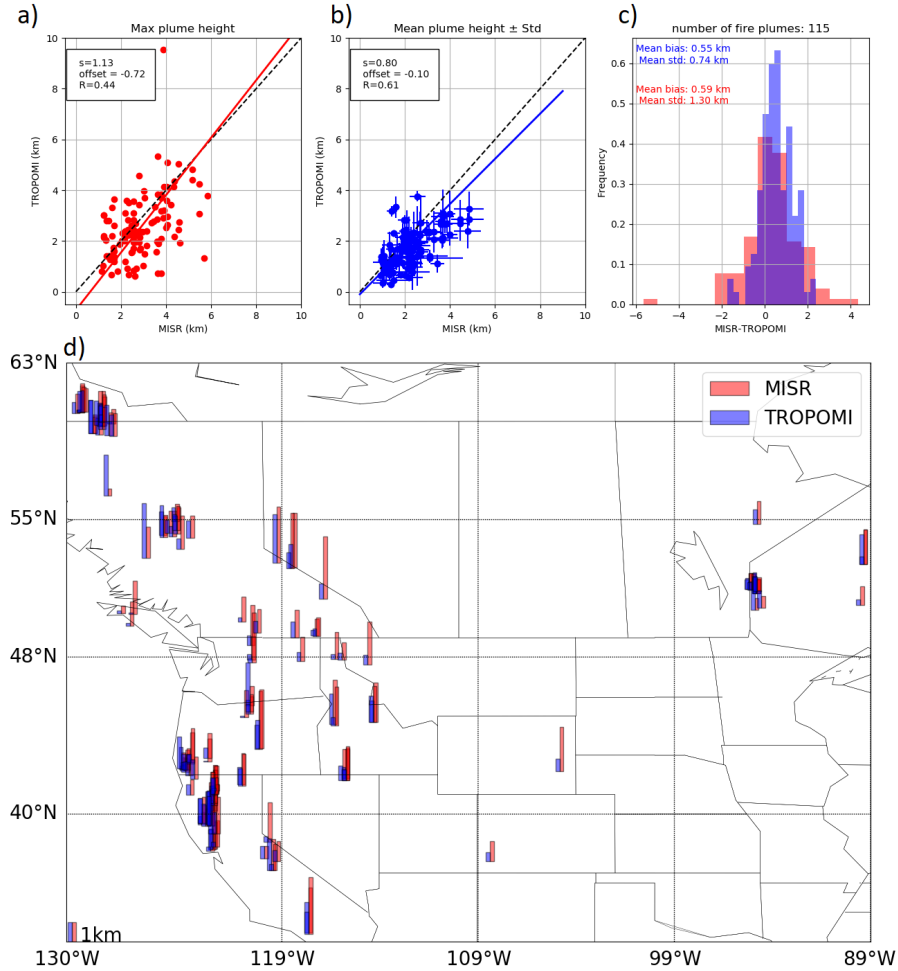
Biome name (class)	# plumes	Maximum (km)		Mean (km)	
		MISR	TROP	MISR	TROP
All	115	2.0 (0.09)	1.4 (0.05)	1.4 (0.06)	0.7 (0.11)
Evergreen (1)	84	1.8 (0.09)	1.4 (0.05)	1.3 (0.06)	0.8 (0.09)
		MISR	CFFEPS	MISR	CFFEPS
All	70	1.7 (0.9)	2.0 (1.0)	1.3 (0.6)	1.3 (0.4)
Evergreen (1)	25	1.6 (1.0)	1.6 (0.6)	1.2 (0.6)	1.1 (0.4)
		TROP	CFFEPS	TROP	CFFEPS
All	671	2.2 (1.6)	2.5 (1.2)	0.7 (0.5)	1.1 (0.6)
Evergreen (1)	263	2.1 (1.3)	2.3 (0.9)	0.7 (0.4)	1.1 (0.6)
Woody savannas (8)	197	2.3 (1.8)	2.3 (1.0)	0.7 (0.4)	1.1 (0.6)
Grassland (10)	136	2.2 (1.7)	3.0 (1.8)	0.7 (0.5)	1.5 (0.9)



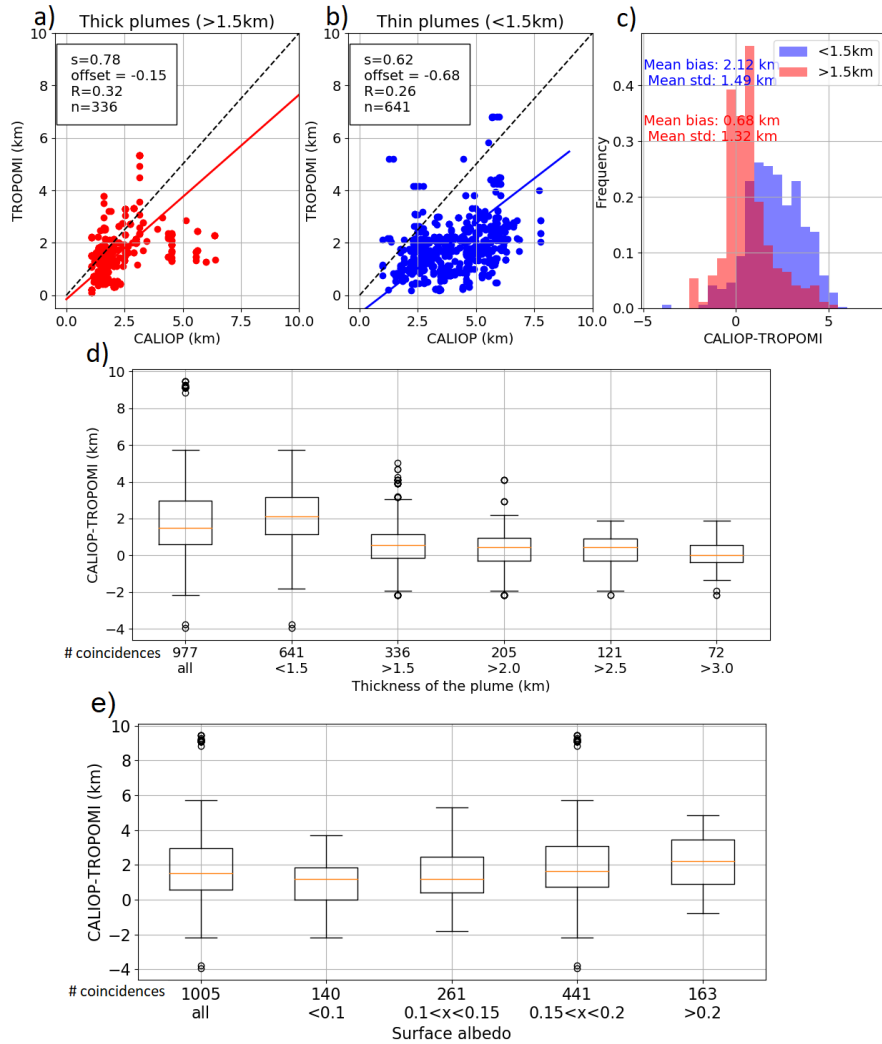
**Figure 1.** Observing System Simulation Experiments (OSSE) results for the simple methods (see text) versus simulated-MISR (a) and simulated TROPOMI heights (b). Simulated MISR versus TROPOMI plume heights are shown in (c), together with the statistics of the line of best fit (correlation coefficient  $R$ , slope  $s$ , as well as mean difference  $\pm$  standard deviation in km). Five example profiles where simulated MISR and TROPOMI plume heights agree well are shown in d-h, and four example profile where the are significant differences are shown in i-l. The TROPOMI-OSSE and MISR-OSSE heights (d-l) are plotted with a  $PM_{2.5}$  offset simply for visualization.



**Figure 2.** Example of two fire plumes on 6 August 2018 in British Columbia, Canada (approximately  $56^{\circ}\text{N}$ ,  $126^{\circ}\text{W}$ ). The color scheme illustrates the altitude of the plume (a) as observed by MISR at 19:38 UTC, (b) modeled in GEM-MACH using CFFEPS at 19:38 UTC, (c) observed by TROPOMI at 21:00UTC, and (d) modeled by GEM-MACH using CFFEPS at 21:00 UTC. The dashed lines outline the shape of the plume as used for the comparison (see text for further details). (Underlying VIIRS images obtained from NASA Worldview (<https://worldview.earthdata.nasa.gov/>))

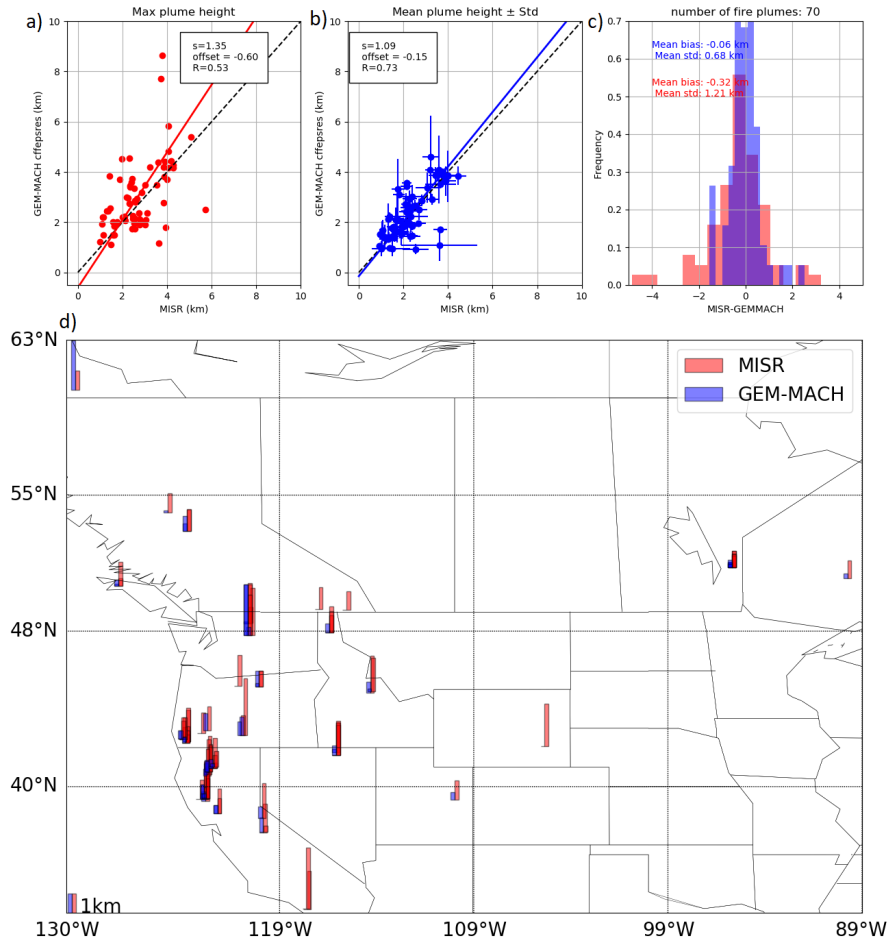


**Figure 3.** TROPOMI-MISR plume height comparison. In total 115 fire plumes were compared (all between June-August 2018) in terms of (a) maximum plume height and (b) mean plume height within one wildfire plume, together with the statistics of the line of best fit (correlation coefficient,  $R$  and slope,  $s$ ). (c) shows the histogram for the differences in plume height (MISR-TROPOMI) for the maximum (blue) and mean (red) plume height. (d) is a map showing the regional distribution of those fires with the mean plume height (above ground level) for TROPOMI (blue, left bar) and MISR (red, right bar).

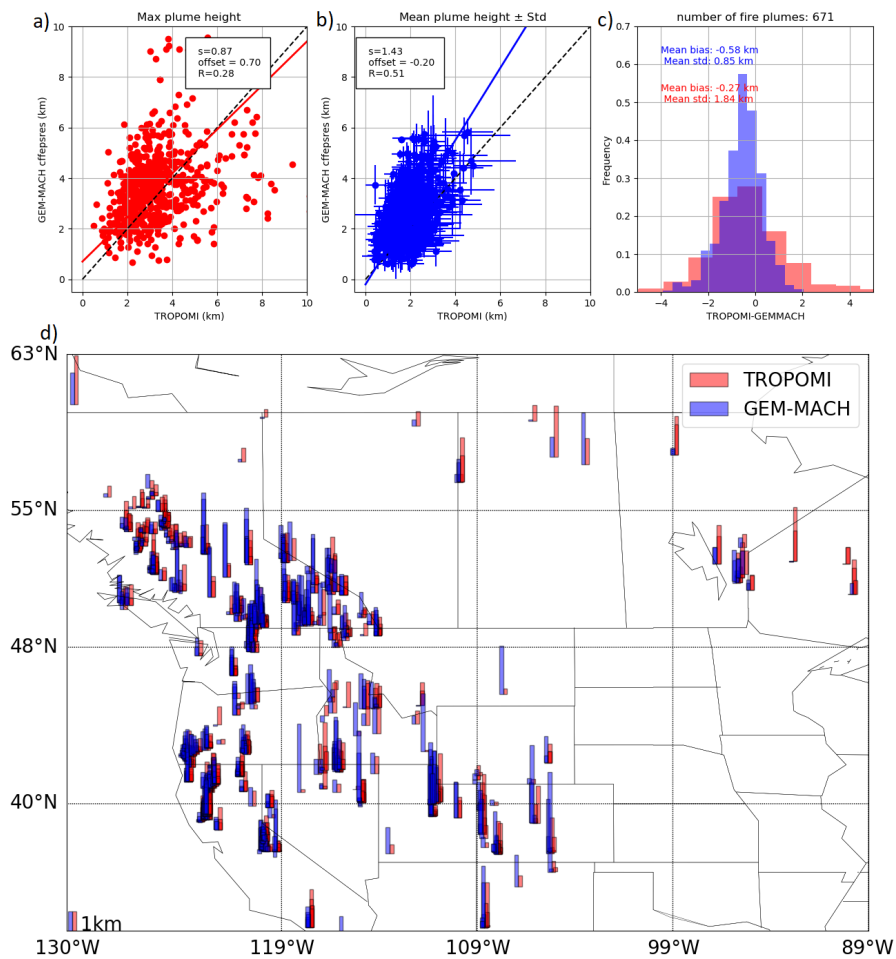


**Figure 4.** CALIOP-TROPOMI comparison for (a) geometrically thick plumes ( $>1.5$  km) and (b) geometrically thin plumes ( $<1.5$  km), together with the statistics of the line of best fit (correlation coefficient,  $R$ , slope,  $s$ , and number of observations,  $n$ ). The plume thickness is determined by the CALIOP top and base plume height. (c) shows the histogram for the differences in plume height (CALIOP-TROPOMI) for thick (red) and thin (blue) plumes. (d) shows the statistics for different plume thickness filters, and (e) for different ranges of surface albedo.





**Figure 5.** Modelled plume height versus MISR-derived plume height (a-c). In total 70 fire plumes were compared (all between June-August 2018) in terms of (a) maximum plume height and (b) mean plume height within one wildfire plume, together with the statistics of the line of best fit (correlation coefficient,  $R$  and slope,  $s$ ). (c) shows the histogram for the differences in plume height (MISR-model) for the maximum (blue) and mean (red) plume height. (d) shows the regional distribution of plume heights above ground level for MISR (red, left bar) and CFFEPS (blue, right bar).



**Figure 6.** Same as Fig. 5, but for the TROPOMI-model comparison.

We would like to thank reviewer #1 for his/her reviews. We addressed each comment below and highlighted our answers in red, the referee's comments are black.

1. Abstract, Conclusions, and Introduction paragraph 3. Recently a third global plume height product has been created. It is a thermal technique, similar to one used for volcanic plumes in the past, and has been applied to MODIS. The reference is: Lyapustin, A., Y. Wang, S. Korkin, R.A. Kahn, and D. Winker, 2019. MAIAC thermal technique for smoke injection height from MODIS. IEEE Geosci. Remt. Sens. Lett., doi: 10.1109/LGRS.2019.2936332.

We were unable to implement the MODIS plume heights, since our paper was submitted before the Lyapustin et al. paper was published. We have now included references to it in the introduction.

The MODIS plume heights are not a truly global product, since only plume heights are only valid near hotspots and exclusively for fire plumes (others should be filtered, see Lyapustin et al. Conclusions: "To exclude the transported smoke and ensure good quality of retrievals, we currently recommend to use  $H_a$  within  $\pm 75$ –150 km from the detected thermal hotspots as reported in the MAIAC quality assurance (QA) flag in the MCD19A2 product." Thus, we felt the sentence in our abstract "Before the launch of TROPOMI, only two other satellite instruments were able to observe aerosol plume heights globally, MISR and CALIOP." and in the conclusions "The only satellites that could globally observe plume heights before the launch of TROPOMI were MISR and CALIOP." are still valid.

We included a short discussion of the MODIS plume height in the introduction:

"Very recently another plume height product has been created from MODIS observations, utilizing a thermal contrast technique (Lyapustin et al., 2019). These estimates are available globally, however, they are limited to plume heights near thermal hotspots."

2. Introduction, P2, lines 30-31. Also Section 6, P15, lines 4-6. MISR provides global coverage about once per week (about every 8 days near the equator, every 2 days near the poles). CALIPSO covers effectively 10-4 of the global surface, once every 16 days. This difference could be made clearer.

We have changed the sentence on p.2 accordingly.

From:

"However, these two instruments have the disadvantage of very limited coverage where most fires are missed [...]."

To:

"However, these two instruments have the disadvantage of very limited coverage where most fires are missed [...]; MISR provides global coverage about once per week

(8 days near the equator and every two days near the poles) and CALIPSO provides global coverage about every 16 days.”

And on p. 15:

“those two satellites have a narrow-swath with a global coverage every week and 16 days, respectively.”

3. Introduction, P3, lines 6-10. MISR stereo heights have also been validated against ground lidars.

We included the following sentence:

“Calip and (standard) MISR plume heights have also been validated with ground-based lidars (e.g. Moroney et al., 2002; Naud et al., 2004; Kim et al, 2008; Tao et al.,2008).”

References:

Moroney, C., R. Davies, and J.-P. Muller (2002), MISR stereoscopic image matchers: Techniques and results, *IEEE Trans. Geosci. Remote Sens.*, 40, 1547– 1559.

Naud, C., J. Muller, M. Haeffelin, Y. Morille, and A. Delaval (2004), Assessment of MISR and MODIS cloud top heights through intercomparison with a back-scattering lidar at SIRTa, *Geophys. Res. Lett.*, 31, L04114, doi:10.1029/2003GL018976.

Kim, S.-W., Berthier, S., Raut, J.-C., Chazette, P., Dulac, F., and Yoon, S.-C.: Validation of aerosol and cloud layer structures from the space-borne lidar CALIOP using a ground-based lidar in Seoul, Korea, *Atmos. Chem. Phys.*, 8, 3705–3720, <https://doi.org/10.5194/acp-8-3705-2008>, 2008.

Tao, Z., McCormick, M. & Wu, D. A comparison method for spaceborne and ground-based lidar and its application to the CALIPSO lidar. *Appl. Phys. B* 91, 639 (2008) doi:10.1007/s00340-008-3043-1

4. Section 2.1, P4, lines 22-23. I don’t understand why a different (better) solar spectrum would be applied to the OFFL product than to the NRTI product. Once you have the better spectrum, can’t it be used for the NRTI product too?

At the time of the NRTI processing the different (better) irradiance spectrum is not available.

NRTI retrievals are delivered within three hours of sensing, so only data available at that time can be used. In the OFFL processing more data are available, such as an

irradiance measurement closer to, but after, the radiance measurement. This is used precisely as the reviewer suggest (to use it in the retrieval then too), but is then called OFFL data. The differences between the data streams are not really important for this paper. The OFFL data was used, which is the best choice, when the time delay is not an issue.

5. Section 2.1, P4, lines 25-26. As described, the “quality flag” sounds more like a plume detection flag; if so, this might be a better description. Have you evaluated its actual quality, e.g., by using the MODIS FRP product?

We have changed the description to the following to make the meaning of the quality flag a little clearer:

“In general, the OFFL product should perform better and is a better choice if timeliness is not an issue. Here, we evaluate the OFFL version only, as the NRTI version was not available for the time period that we investigated. As a first indication, the quality of each successful ALH retrieval is indicated by a quality assurance values (qa\_value). If the input data or measurement configuration becomes close to a predefined limit, first the qa\_value is lowered, if another limit is crossed, the pixel is filtered. E.g. all pixels with a solar zenith angle below 60° should have a good quality retrieval. However, for  $\text{SZA} > 60^\circ$  the curvature of the Earth and the long photon path through the atmosphere may compromise a good retrieval. Above 75°, no retrievals are attempted. However, between  $60^\circ < \text{SZA} < 75^\circ$  the retrieval is performed, but the qa\_values are lowered to 20%, to indicate to the user to use caution. This is done for all pixels with a (small) cloud fraction (qa lowered by 50%), small AAI (50%), high surface roughness (50%), and within sunglint and south Atlantic anomaly regions (50%).

Apart from the quantitative layer height, the quality flag provided alongside can be useful by itself, e.g. to locate and identify the presence of aerosol plumes and its vertical shape.”

The quality flag cannot be evaluated with the MODIS FRP for several reasons:

A TROPOMI AER\_LH may not be detected near a MODIS hotspot because:

- the plumes are typically downwind from the fires
- there might be clouds that interfere with the signal
- the SZA is too high to retrieve the TROPOMI AER\_LH

A MODIS hotspot may not be near a TROPOMI AER\_LH observation because:

- the TROPOMI AER\_LH is not restricted to fire plumes, but can be from any source (volcanoes, dust, ...)
- also some plume may be transported a long distance and can still be picked up by TROPOMI

6. Section 2.2, P5, line 2. Martonchik et al. (2004) did not evaluate the MISR plume height products. The main references for this product would be Muller et al. (2002) and Moroney et al. (2002).

We changed the references as suggested. Thank you for pointing this out and correcting this.

7. Section 2.2, P5, line 5. MISR actually has a standard stereo-height product, which is described in Muller et al. (2002) and Moroney et al. (2002). It runs on all the MISR data, and produces a reflectance-layer-reference-altitude, but does not call out aerosol plumes explicitly.

We have changed the following sentence in the manuscript, from:

“The plume height is not a standard product of MISR”

To:

“An operational MISR cloud-top product is available, however, the operational algorithm uses fixed-parameters that are applied to all scenes equally (Muller et al., 2002; Nelson et al., 2013). Instead, the plume height used here is not a standard product of MISR...”

8. Section 2.2, P5, line 17. The narrow MISR swath limits the frequency of global coverage.

We have changed the sentence as suggested, from:

“...(1) the swath limits the global coverage,..”

To:

“...(1) the narrow MISR swath limits the frequency of global coverage,..”

9. Section 2.3, P5, lines 27-28. The CALIOP “swath” is really a curtain, having a width of ~100m, not several km. The data are usually averaged to several kilometers, but only along-track.

Thank you for pointing out this mistake.

We have changed the sentence from:

“...and has a very narrow swath width of just a few kilometres. In this study, we use the daytime aerosol layer product v4 (“Layer\_Top\_Altitude”, “Layer\_Base\_Altitude”) (McGill et al., 2007; Vaughan et al., 2009) which provides the top and base height of aerosol layers detected (between the surface and 30 km) averaged to a 5 km horizontal resolution,..”

To:

“...and has a very narrow swath width of just a hundred meters. In this study, we use the daytime aerosol layer product v4 (“Layer\_Top\_Altitude”, “Layer\_Base\_Altitude”) (McGill et al., 2007; Vaughan et al., 2009) which provides the top and base height of aerosol layers detected (between the surface and 30km) averaged over 5 km along the 100 m wide swath,..”

10. Section 2.3, P5, lines 30-31. Here you are using the CALIOP aerosol classification scheme, for which the key reference is: Omar, A.H., et al., 2009. The CALIPSO Automated

Aerosol Classification and Lidar Ratio Selection Algorithm. J. Atm. Oce. Tech. 26, pp1994-2014, doi: 10.1175/2009JTECHA1231.1.

Thank you we included the reference as suggested.

11. Section 2.4, P6, line 5. Small fires are also missed often by FRP, as well as those under heavy smoke plumes.

We modified the text to reflect this.

From:

“Note, that fires can potentially be missed due to cloud cover.”

To:

“Note, that fires can potentially be missed for several reasons: due to cloud cover, under thick smoke plumes, as well as if the FRP signal is too low (e.g. small fires).”

12. Section 3.1, P7, line 23. For MISR, the contrast is assessed at a spatial scale of 1.1 km, which probably provides a lot more of the plume vertical structure than the model simulation – in particular, more extreme height maxima and minima.

Indeed, on a much finer resolution the minima and maxima would be more extreme. To reflect the resolution difference we averaged the MISR results to 10x10km (0.1x0.1deg) – the approximate resolution of the model - to be able to better compare the model and MISR plume heights. See text p. 11 l.16-18:

“To correct the impact of sensor resolution on the maximum plume height derived from a cluster of pixels in a given plume, the MISR pixels were averaged and binned on a 0.05x0.05 grid to approximately match the TROPOMI resolution.”

13. Section 3.1, P8, line 2. Note that these are very large indices of refraction, both real and especially imaginary. Might apply to BC near source, but probably not hydrated or aged smoke particles.

The reviewer is correct that the real and imaginary parts of the refractive index will both decrease as the particle ages, but we are looking at fire plumes near the source. Kou (1996) (cited in the manuscript) found the value is  $1.75 + 0.44i$  at 0% relative humidity [RH] for the complex refractive index of black carbon. This refractive index is unchanged up to 70% RH and is used by GEOS-CHEM. My value is for RH=99% is based on the assumption that there might be significant water from the combustion.

The thesis can be found here <https://dalspace.library.dal.ca/handle/10222/55517>; and further details can be found on p.12 of the thesis (p.32 if you use Adobe Reader's numbering).

Further, we realized that black carbon has a very high imaginary component in the refractive index. Other aerosols that might be part of a smoke plume is organic carbon (OC) which has a very low imaginary part – we used  $1.36 + 0.001i$  (at RH=99%). Overall, we found there was not much difference between these two extreme cases of refractive index. The truth is probably a combination of BC and OC refractive index.



Many “MISR OSSE” plume heights were unchanged, and on average, we found the plume heights were 100m lower for OC than for BC.

We included the following in the manuscript to address the reviewer's comments, p.x l.x:

“...at 99 % relative humidity (which is expected near the fire source):  $1.68+0.36i$ ...”

And p.8 l.23-25:

“We have also estimated the plume height assuming organic carbon (OC) with a refractive index of  $1.36 + 0.001i$  (at RH=99 %), and found negligible differences between the plume heights obtained assuming BC and OC refractive indices for most cases (see Fig. S1 and S2).”

And in the supplement:

### “MISR OSSE with different refractive indices

The black carbon (BC) reflective index ( $1.68+0.36i$ , RH: 99%) has an extremely large imaginary part, different to the refractive index of organic carbon (OC) where the refractive index is  $1.36 + 0.001i$  (at RH=99%).

Looking at these two extreme cases of refractive indices, little difference was found for the MISR OSSE plume heights, most plume heights were identical, see Fig.S1. Only for plume profiles with a small plume above a large plume we found differences: the estimates assuming BC returned the plume height of the upper plume whereas the estimates assuming OC picked up the lower plume (see Fig. S2).

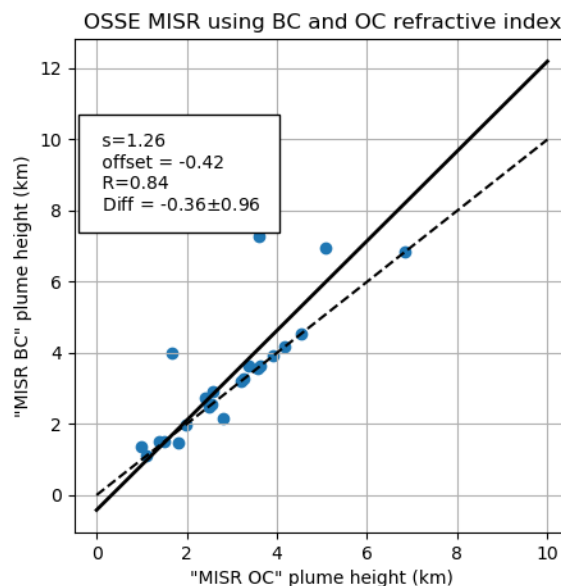


Fig. S1: MISR OSSE plume height estimates assuming a refractive index of BC and OC. The plume heights are identical (or very similar) except for three cases (shown in Fig. S2) where the plume height assuming BC is higher than the plume height assuming OC.

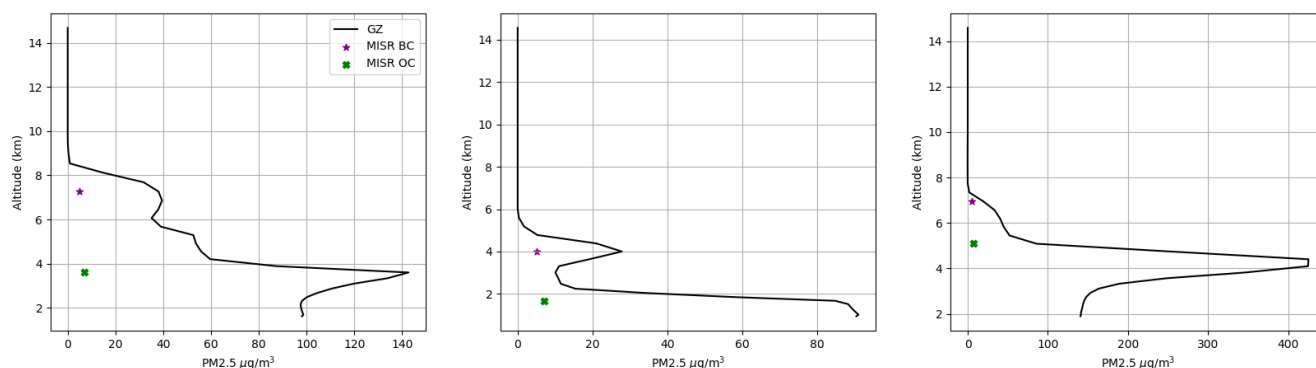


Fig. S2: The three profiles that lead to different plume heights when assuming a refractive index for OC versus BC. This happened when there is a secondary, smaller top plume that is more reflected for BC aerosols.

“

14. Section 4.2, P11, lines 29-30. CALIOP samples a curtain, so the data can be aggregated along-track to 5 km, but the cross-track width is still ~ 100m. There is nothing you can do about this, but it is worth noting that the sampling footprints of CALIOP and TROPOMI are still quite dissimilar.

We added the following sentence in the text to address this:

“Note that the Caliop data is averaged to 5 km, however along a narrow swath (~100 m), differences could arise due to the different sampling.”

15. Section 4.2, P12, lines 14-15 and Fig. 4. Here you might emphasize that by “thick,” you mean geometrically thick, and not optically thick. One would expect the differences in sampling among methods to be minimized for optically thick, geometrically thin plumes.

We have changed the sentences as suggested, and included “geometrically”.

We agree that probably geometrically thin but optically thick plumes should minimize the difference.

16. More generally, it might be helpful to identify explicitly the goal of the model and measurement comparisons in Sections 4 and 5. One would expect differences, due

to different spatial and temporal sampling, as well as sensitivity to optical depth and optical depth vertical distribution, among the measurements. The model assumptions contribute to differences among the simulations and with the measurements. So this is not really a “validation,” as these could all be “correct” in the context of what they measure or simulate. Rather, I think you are exploring the sensitivity of the “plume height” result to different plume properties, measurement techniques, and modeling assumptions. As such, I find most useful the conclusions presented where you interpret the differences in terms of attributes of the derivation methods and plume properties.

We have changed the title to “The 2018 fire season in North America as seen by TROPOMI: aerosol layer height inter-comparisons and evaluation of model-derived plume heights” to remove the word “validation”. The word “validation” is not mentioned in the manuscript.

The goal of the measurement comparisons in Sect. 4 and 5 can be found:  
p. 1, l. 15-17  
p. 3, l. 17-21

We further added a few sentences at the beginning of Sections 4 and 5 to highlight the purpose:  
Satellite comparisons:

We added the following to Sect.4:  
“As discussed in the previous section, there are fundamental differences between the plume heights observed by the different satellites. Here, the differences and correlation between the satellite plume height observations are discussed in terms of what is expected from the OSSE results and due to different observation times.”

Model comparison:  
The purpose of the model/satellite comparison is to evaluate if the model is “on the right track” or what it lacks. As discussed in the Sect. 5 and the conclusions, the modelled and observed plume heights correlate, however, especially over grassland, the model consistently overestimates the plume height, which is something that is helpful for the modelling community and something can be addressed in future releases of CFFEPS.

We added the following to Sect. 5:  
“The modelled plume heights are compared to satellite observations with the aim to evaluate the modelled plume injection heights and to determine the strengths or weaknesses of the model.”

17. Section 6, P15, lines 18-19. I’m wondering whether the “exact plume height” is really well defined when there are multiple layers.

We removed the word “exact” from the sentence.

18. Section 6, P15, lines 19-20. Actually, most aerosol plumes are not uniform in optical thickness, and when multiple layers are present, they rarely cover exactly the same area. As such, MISR will often pick up multiple layers, not in a single 1.1 km pixel, but over the plume area imaged by the instrument.

We have changed p.15, l.19-20, from:

“MISR on the other hand tends to respond to the upper aerosol layer, if there are any layers beneath MISR will not be able to pick this up.”

To:

“MISR on the other hand tends to respond to the upper aerosol layer if multiple plumes overlap the same pixel, if there are any layers beneath MISR will not be able to pick this up. However, often multiple layers of plumes do not overlap exactly the exact same area, so MISR will likely sense the lower plume heights over the plume area imaged by the instrument.”

We would like to thank reviewer #2 for his/her reviews. We addressed each comment below and highlighted our answers in red, the referee's comments are black.

The paper presents the first analysis of TROPOMI ALH retrieval. OSSE is conducted to guide the analysis, which is excellent. This reviewer would recommend moderate revision of the paper to shed some light of surface albedo that may affect the ALH accuracy, and to provide somewhat closure between the past theoretical error analysis of ALH and the finding in the paper.

Datasets.

What is the data resolution and range of TROPOMI ALH? In other words, in the retrieval, is ALH data continuous or in discrete values at different pressure level? Can ALH be 0.5 km or lower?

The vertical data resolution is continuous. It ranges from 1050-75 hPa. There is a data field that is fixed to the surface, but the original dataset, which can extend below the surface, is also available in the detailed results field. So, yes, the ALH can be lower than 0.5 km.

We have included the following sentence in the manuscript, Sect. 2.1:  
“The vertical data resolution is continuous and ranges from 1050-75 hPa. “

GEM-MATCH A few sentences describing how GEM-MACH estimate the injection height can be insightful. Is the fire radiative power used in CFFEPS?

FRP is not used in CFFEPS, instead fire energy is estimated based on modelled fuel consumed and the estimated heat of combustion of dry fuel. We included the following in the manuscript to describe how the CFFEPS (GEM-MACH) injection height is estimated, in Sect. 2.5 GEM-MACH:

“Fire plume injection height in GEM-MACH is parameterized in the CFFEPS module with hourly modelled meteorology as detailed in Chen et al. (2019). The injection height is determined based on the balance of estimated plume buoyancy and the modelled environmental lapse rate at fire location. Total heat flux from fire is determined from modelled fuel consumed per area and the heat of combustion of dry wood fuel (Byram, 1959). The fraction of energy that enters the plume for convection is further parameterized based on thermodynamic energy balance accounting for heat lost to fuel, moisture, radiation, conduction and incomplete combustion. The hourly plume injection height is determined based on the dry adiabatic equilibrium of the buoyant plume and the modelled environmental lapse rate at fire location. “

OSSE TROPOMI plume heights P8, L20. Using wavelength (instead of wavenumber) is suggested here. In addition, it is noted that TROPOMI uses the spectral fitting to

derive ALH, not a simple ratio. In contrast, Xu et al. (2017, 2019, already cited in the manuscript) used the ratio.

As suggested, we changed the reported wavenumber to wavelengths throughout this paragraph.

Further, we have added the following sentence:

“Note that TROPOMI operational algorithm uses spectral fitting to retrieve AER\_LH whereas a simple ratio has been used here, similar to Xu et al. (2017, 2019).”

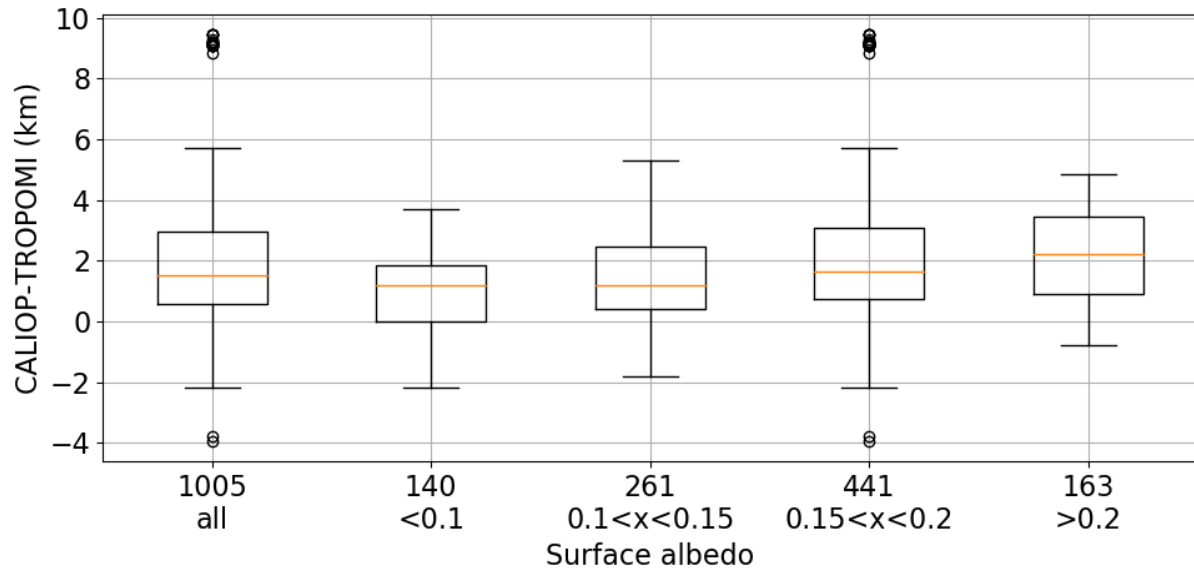
Section 4.1. Some discussion about the reasons for MISR vs. modeled plume height difference can be helpful. Note, most satellite-based fire products provide only pixel based FRP, where the plume rise model should use FRP over the fire area (not pixel area). This paper might be useful here to interpret the difference. Peterson et al., 2014, Quantifying the potential for high-altitude smoke injection in North American boreal forest using the standard MODIS fire products and sub-pixel-based methods, JGR.

We included further description on how the model plume injection height is estimated, FRP is not used to determine the injection height with CFFEPS (inside the GEM-MACH model). See the comment above.

Section 4.2 and results: There are multiple times, ‘thin’ layer is mentioned. How the thin layer is defined? By optical depth or geometric thickness? Past work has shown that O2-A type of ALH retrieval should be sensitive the high aerosol plumes provided a moderate value of AOD. The appendix in Xu et al. (2019) provides the ALH error estimates for different AOD and different ALH. It shows that retrieval is most sensitive to ALH at 2 km, and should be good to provide ALH from 1 – 8 km with retrieval error of less than .5km for AOD of 0.4 over dark surfaces. Anyhow, these past analyses should be helpful to interpret the physics behind the finding here. Afterall, past work have done several case studies to evaluate the ALH retrieved from O2 band (although not from TROPOMI). It is shown that the retrieval error can be affected not only AOD and ALH, but also by surface albedo. It might be interesting to stratify the ALH differences by surface albedo. As surface albedo increases, the ALH retrieval error can be large. Some comparison and contrasting of the results here with the results in the literature can be more revealing.

Here, we mean geometrical thickness. We have added the word “geometrical” in front of thickness throughout the text. Also, p. 12 I.26 describes how the geometrical thickness is defined.

We have looked into the differences based on surface albedo and we have included Fig. 5e to the manuscript.



Showing that the differences between Caliop and TROPOMI increase with an increasing surface albedo.

We have added the following text to the manuscript, p.13, l. 19ff:

“Figure 4e shows that the differences between CALIOP and TROPOMI increase with increasing surface albedo, consistent with the idea that the TROPOMI retrieval algorithm is more sensitive over dark surfaces and possess smaller uncertainties (Sanders and de Haan, 2016; Xu et al., 2019).”

And in the conclusions:

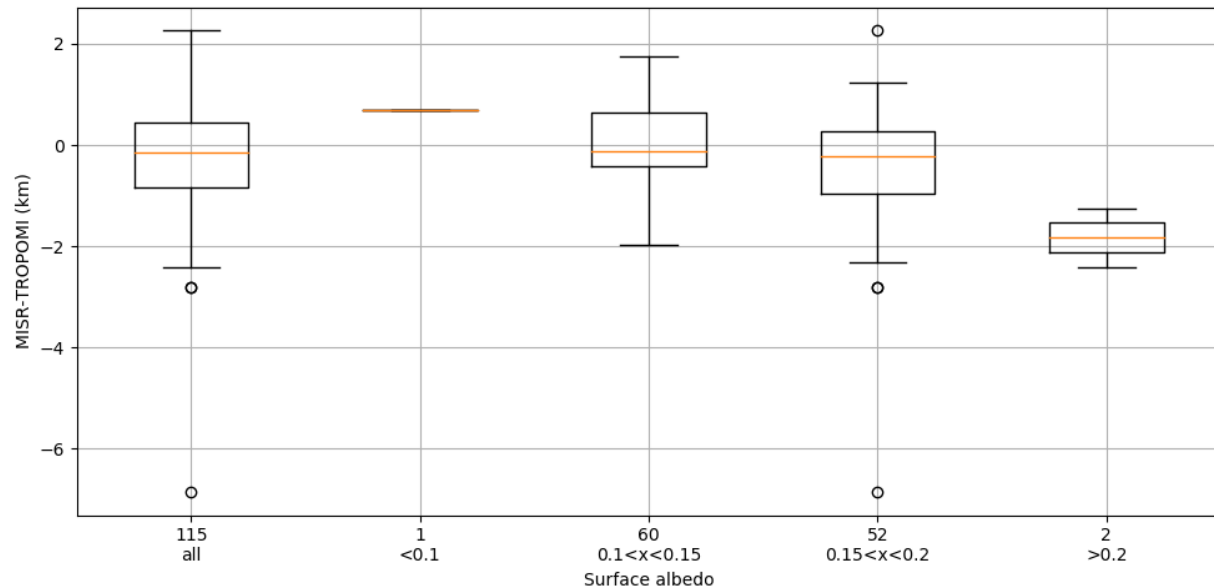
From:

“... TROPOMI aerosol layer heights are more accurate for thicker plumes: the difference between the CALIOP and TROPOMI mid-plume height decreases and the correlation increases with increasing thickness of the plume and for a 3 km thick plume the average difference is only about 50 m.”

To:

“...TROPOMI aerosol layer heights are more accurate for thicker plumes and over darker surfaces. As such, the difference between the CALIOP and TROPOMI mid-plume height decreases and the correlation increases with increasing thickness of the plume and for a 3 km thick plume the average difference is only about 50 m. Further, the differences between Caliop and TROPOMI increase with increasing surface albedo.”

We can see something similar for MISR vs TROPOMI, however, not as many observations are available for the analysis, and thus we did not add it to the manuscript.



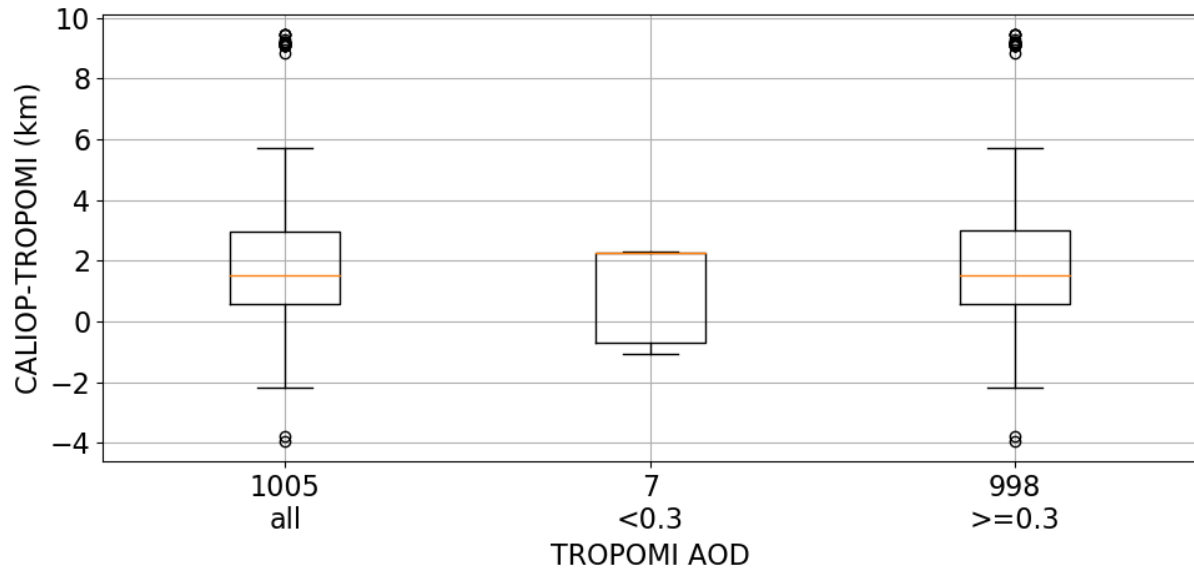
MISR mean plume height vs TROPOMI mean plume height. The differences are increasing for an increasing surface albedo. However, not as many observations are available and only 1-2 plumes have an albedo below 0.1 and above 0.2.

Summary L25-28, P15. Is your finding from the real data more or less consistent with the theoretical error analysis in Xu et al. (2019)?

We see that the differences between the instruments are larger for larger surface albedo, as mentioned in Xu et al., 2019, the error seems to increase with increasing surface albedo. Further, we looked into the AOD and found that we only have 7 cases (out of over 1000) that have AOD < 0.3. The differences for those are higher, however, it is only 7.

In terms of the surface albedo see the previous comment, as suggested by Xu et al., we can see that the TROPOMI plume height is closer to the plume height from MISR or Calipso for darker surfaces.





L5-15, P16. Again, surface albedo is briefly mentioned and discussed here. It might be nice to sort the ALH evaluation by surface albedo. In addition, it is worth mentioning that for thick plumes at the surface, ALH retrieval is expected to have large errors. The analysis presented in the papers show the retrieved ALH is at least 1 km above the surface. There are also cases where TROPOMI ALH is consistent with CALIOP for high and thin plumes (Fig. 4b). In other words, in both abstracts and conclusion, it is worth mentioning that the TROPOMI ALH has some success in retrieving high plumes up to 8 km (in addition to that the most accurate retrievals are for thick plumes from 1-4.5 km).

We have changed the following sentence in the abstract:

From:

“...our results show that the TROPOMI aerosol layer height is more accurate for thicker plumes and plumes below approximately 4.5 km.”

To:

“... our results show that the TROPOMI aerosol layer height is more accurate for over dark surfaces, for thicker plumes and plumes between approximately 1-4.5 km.”

We have changed the following sentence in the conclusions:

From:

“The TROPOMI plume heights seems more accurate for thicker and lower plumes plumes (<4.5 km altitude).”

To:

“The TROPOMI aerosol layer height seems to be successful in retrieving high plumes up to 8 km, the uncertainties seem reduced for thicker and lower plumes between 1-4.5 km altitude, as well as dark surfaces.”

We would like to thank reviewer #3 for his/her reviews. We addressed each comment below and highlighted our answers in red, the referee's comments are black.

This manuscript presents the first analysis and evaluation of smoke injection heights retrieved from TROPOMI, using fires in North America from June to August 2018. The Authors compare the TROPOMI smoke height retrievals with MISR and CALIOP observations

and plume heights derived from the CFFEPS. The manuscript presents results that are of interest to the readers of Atmos Meas Tech and the scientific community overall. As the Authors highlight, TROPOMI offers an additional smoke height plume product with higher spatial and temporal resolution than MISR and CALIOP, and with almost near real time availability. These characteristics are valuable for the modelling community, to forecast air quality impacts and for aviation safety, for example. I have added some comments and notes that will help improve the manuscript; I hope the Authors consider them during the revision process.

\*Introduction. The discussion about differences between the satellite needs to be clearer. I agree MISR and CALIOP are different instruments and use different methods to retrieve smoke heights. For example, MISR has a swath of 380 km common to all cameras, and global coverage is obtained every 9 days at the Equator and every 2 days at the poles. CALIOP swath is about 70 m wide, not kilometres as state in the manuscript, and this provides a global coverage every 16 days. However, they are at the same time complementary as they observe fires at different times and CALIOP is able to retrieve smoke from optically thinner plumes, whereas MISR offers a larger sample size, near the fire source.

We have changed the sentence on p.2 accordingly.

From:

“However, these two instruments have the disadvantage of very limited coverage where most fires are missed [...].”

To:

““However, these two instruments have the disadvantage of very limited coverage where most fires are missed [...]; MISR provides global coverage about once per week (8 days near the equator and every two days near the poles) and CALIPSO provides global coverage about every 16 days.”

We have changed the sentence on p. 5:

“...and has a very narrow swath width of just a few kilometres. In this study, we use the daytime aerosol layer product v4 (‘‘Layer\_Top\_Altitude”, ‘‘Layer\_Base\_Altitude”) (McGill et al., 2007; Vaughan et al., 2009) which provides the top and base height of aerosol layers detected (between the surface and 30 km) averaged to a 5 km horizontal resolution,...”

To:

“...and has a very narrow swath width of just a hundred meters. In this study, we use the daytime aerosol layer product v4 (“Layer\_Top\_Altitude”, “Layer\_Base\_Altitude”) (McGill et al., 2007; Vaughan et al., 2009) which provides the top and base height of aerosol layers detected (between the surface and 30km) averaged over 5 km along the 100 m wide swath,...”

And on p. 15:

“those two satellites have a narrow-swath with a global coverage every week and 16 days, respectively.”

To address the last point, we added the following to the instruction to highlight that MISR and CALIOP are complementary because they observe fires at different times and with different methods:

“The time of observation and method used to determine the height of the plume is very different for these two instruments, making them complementary. Because the observation methods are different, it is important to...”

\*Page 3-Line 9. The planetary boundary layer tends to be higher later in the afternoon and that may contribute to the difference between MISR and CALIOP smoke heights.

We have changed the sentence to:

“...difference of approximately 2 h can create additional challenges for comparing the plume heights, as the fire is expected to increase in intensity throughout the morning with the peak fire activity being in the early afternoon as well as changes in the planetary boundary layer that tends to be higher later in the afternoon...”

\*Page 4- Line 25. I don’t understand the TROPOMI quality flag. Does this flag provide an indication of retrieval quality, or is it simple to define if there is a smoke plume retrieved?

We have changed the description to the following to make the meaning of the quality flag a little clearer:

“In general, the OFFL product should perform better and is a better choice if timeliness is not an issue. Here, we evaluate the OFFL version only, as the NRTI version was not available for the time period that we investigated. As a first indication, the quality of each successful ALH retrieval is indicated by a quality assurance values (qa\_value). If the input data or measurement configuration becomes close to a predefined limit, first the qa\_value is lowered, if another limit is crossed, the pixel is filtered. E.g. all pixels with a solar zenith angle below 60° should have a good quality retrieval. However, for  $SZA > 60^\circ$  the curvature of the Earth and the long photon path through the atmosphere may compromise a good retrieval. Above 75°, no retrievals are attempted. However, between  $60^\circ < SZA < 75^\circ$  the retrieval is performed, but the qa\\_values is lowered by 80%, to indicate to the user to use caution. This is done for all pixels with a (small) cloud fraction (qa lowered by 50%), small AAI (50%), high surface roughness (50%), and within sunglint and south Atlantic anomaly regions (50%).

Apart from the quantitative layer height, the quality flag provided alongside can be useful by itself. Just this quality flag can be useful to locate and identify presence of aerosol plumes and its vertical shape.”

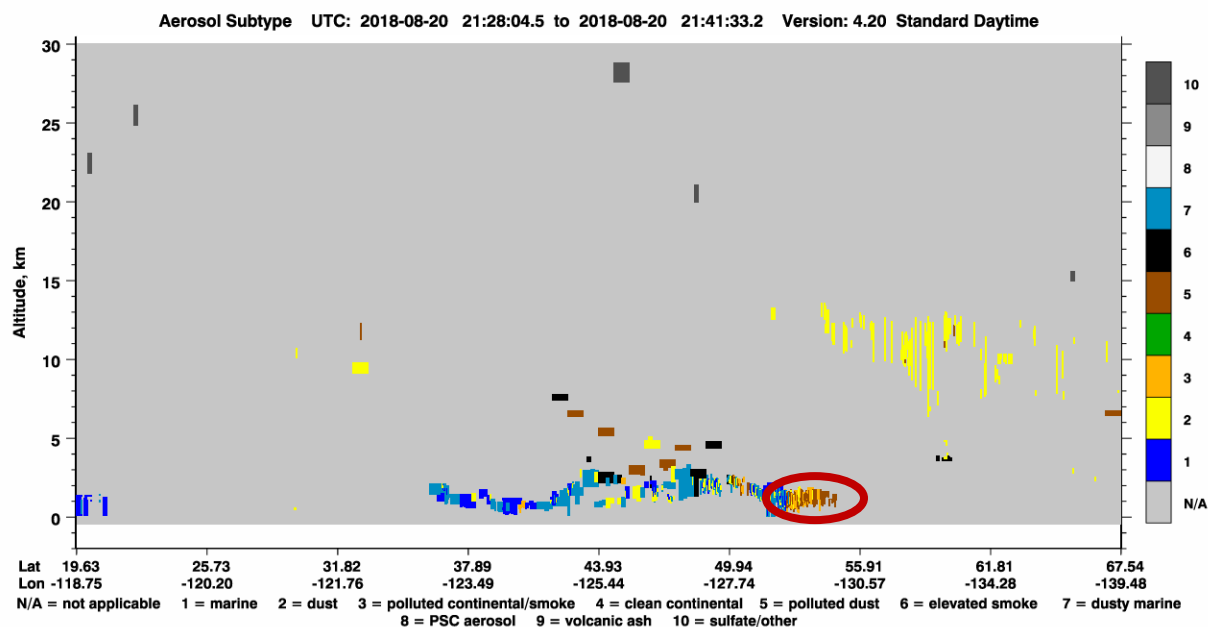
\*Page 5 Line 31. Why do you consider CALIOP aerosol plumes with polluted dust?  
The evaluation is for ‘smoke’ plumes.

We consider plumes containing either (or both) smoke or polluted dust. Looking at a few examples with clear contamination from fire plumes and we found that these can sometimes be classed as polluted dust rather than smoke; primarily we wanted to exclude all other aerosol types that cannot be from fires such as clean marine, dust, polluted continental, clean continental.

We changed the wording in the manuscript slightly, to:

“For the comparison between CALIOP and TROPOMI, only CALIOP plume heights over North America are retained and filter out (“Feature\_Classification\_Flags”) the ones from clean marine, dust, polluted continental, clean continental, thus, only plume heights containing smoke or polluted dust were selected (we found that fire plume aerosols are classed as either smoke or polluted dust).”

Here is an example from 2018-08-20 over British Columbia, Canada that was filled with smoke from fires, in the aerosol classification this plume appears as smoke (3, orange) and polluted dust (5, brown).



\*Page 6 Line 5. MODIS can also miss fires under high dense smoke.

We modified the text to reflect this.

From:

“Note, that fires can potentially be missed due to cloud cover.”

To:

“Note that fires can potentially be missed for several reasons: due to cloud cover, under thick smoke plumes, as well as if the FRP signal is too low (e.g. small fires).”

\*Page 6 Line 5. Do you use all MODIS thermo anomalies pixels or only those pixels with some confidence level that indicate active fire?

We use clustered MODIS thermal anomalies. We search for all thermal anomalies, with a confidence over 75%, the summed FRP of all thermal anomalies must be at least 1000 in the area (up to 5km radius) to be considered. We use these locations just as a starting point, of where potentially fires are. This results in a bunch of potential fires each day. Then we look at these spots if MISR overpasses these areas and use MINX to trace the plume.

We use this list of dates and locations of fires for multiple things, however, we realized that for this study, we actually only used the MODIS fire anomalies to find potential fires in MINX, thus we have revised the MODIS section and moved it into the MISR description.

The text has been changed to:

“The MODIS thermal anomaly product (MOD14) (Giglio et al., 2003, 2006, 2016) is used here to locate the wildfires. We searched for clusters of thermal anomalies with a confidence of at least 75%, and a minimum summed FRP (within a 5km radius) of at least 1000. These locations were then used to search for plumes using the MINX package. There are currently two MODIS instruments in space, on NASA's Terra and on NASA's Aqua satellites. Daytime measurements of Terra and Aqua are around 10:30 and 13:30 local time, respectively. For the MINX analysis, we utilized the thermal anomalies from MODIS Terra. Note that fires can potentially be missed for several reasons: due to cloud cover, under thick smoke plumes, well as if the FRP signal is too low (e.g. small fires).”

\*Page 6- GEM-MACH. It is not clear to me what type of smoke injection height scheme CFFEPS uses. A brief description indicating the parameterization and key drivers will really help.

We included the following in the manuscript to describe how the CFFEPS (GEM-MACH) injection height is estimated, in Sect. 2.5 GEM-MACH:

“Fire plume injection height in GEM-MACH is parameterized in the CFFEPS module with hourly modelled meteorology as detailed in Chen et al. (2019). The injection height is determined based on the balance of estimated plume buoyancy and the modelled environmental lapse rate at fire location. Total heat flux from fire is determined from modelled fuel consumed per area and the heat of combustion of dry wood fuel (Byram, 1959). The fraction of energy that enters the plume for convection is further parameterized based on thermodynamic energy balance accounting for heat lost to fuel, moisture, radiation, conduction and incomplete combustion. The hourly plume injection

height is determined based on the dry adiabatic equilibrium of the buoyant plume and the modelled environmental lapse rate at fire location. “

\*Page 11 Line 27. Again, CALIOP profiles are selected with smoke and polluted aerosols (aerosols, not dust?).

We meant dust, not aerosol and corrected it in the manuscript. See explanation above as to why we included polluted dust as well.

\*Page 12 Line 10. How does your definition of CALIOP smoke height (method 3) differ/compare from Huan et al., (2015) and Gonzalez-Alonso et al. (2019)?

Similar to Gonzalez-Alonso et al. (2019), we use the CALIOP Level 2 version 4 data, with the difference that we only use daytime (closest to the TROPOMI overpass), and that we filter for smoke and polluted dust (instead of just smoke). Our approach is different to that from Huang et al. (2015), since we do not define the plume heights from aerosol extinction profile itself, but use the L2 averaged plume height product.

We included the following in the manuscript, p. 12 l.19-20:

“Similar to Gonzalez-Alonso et al. (2019), we use the top and plume base from the CALIOP L2 product (aerosol layer product v4), which are on a horizontal resolution of 5 km...”

\*Page 12 Line 14. How do you define ‘thick’ and ‘thin’ plumes? Is it by size or by density?

Here, we are referring to geometrical thickness and included this (“geometrical”) in the manuscript to make it clearer.

We also describe on p.12 l.26 how this geometrical thickness is defined. We have looked at optical thickness using the AOD within Caliop and TROPOMI, but couldn’t find the same decreasing differences for increased AODs as found for the geometrical thickness. We believe this is likely due to the not very good AOD product from TROPOMI and Caliop.

\*There is a Table S1 (Supplementary Materials), but it is not referenced within the Manuscript

We included a reference to the table as suggested, Sec. 2.5 p.7 l.1 :

“Differences between the operational and experimental version of GEM-MACH can be found in the supplement, Table S1.”

\*The Authors mention the near-real time smoke plume height retrievals, but there is not mention within the text where the TROPOMI smoke height can be downloaded. A reference will be very useful for the readers.

We included a data availability section to the manuscript, pointing to the locations where TROPOMI, Calipso, MISR, MODIS and the GEM-MAXH plume heights can be downloaded.

References Gonzalez-Alonso, L., Val Martin, M., and Kahn, R. A.: Biomass burning smoke heights over the Amazon observed from space, *Atmospheric Chemistry and Physics*, 19, 1685–1702, <https://doi.org/10.5194/acp-19-1685-2019>, <https://www.atmos-chem-phys.net/19/1685/2019/>, 2019.

Huang, J., Guo, J., Wang, F., Liu, Z., Jeong, M.-J., Yu, H., and Zhang, Z.: CALIPSO inferred most probable heights of global dust and smoke layers, *J. Geophys. Res.-Atmos.*, 120, 5085–5100, 2015.