

1 Evaluation of the MODIS Collection 6 multilayer cloud detection algorithm through comparisons
2 with CloudSat CPR and CALIPSO CALIOP products

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9 **Abstract:**

10

11 Since multilayer cloud scenes are common in the atmosphere and can be an important
12 source of uncertainty in passive satellite sensor cloud retrievals, the MODIS MOD06/MYD06
13 standard cloud optical property products include a multilayer cloud detection algorithm to assist
14 with data quality assessment. This paper presents an evaluation of the Aqua MODIS MYD06
15 Collection 6 multilayer cloud detection algorithm through comparisons with active CPR and
16 CALIOP products that have the ability to provide cloud vertical distributions and directly classify
17 multilayer cloud scenes and layer properties. To compare active sensor products with an imager
18 such as MODIS, it is first necessary to define multilayer clouds in the context of their radiative
19 impact on cloud retrievals. Three main parameters have thus been considered in this evaluation:
20 (1) the maximum separation distance between two cloud layers, (2) the thermodynamic phase of
21 those layers, and (3) the upper layer cloud optical thickness. The impact of including the
22 Pavolonis-Heidinger multilayer cloud detection algorithm, introduced in Collection 6, to assist with
23 multilayer cloud detection has also been assessed. For the year 2008, the MYD06 C6 multilayer
24 cloud detection algorithm identifies roughly 20 percent of all cloudy pixels as multilayer

25 (decreasing to about 13 percent if the Pavolonis-Heidinger algorithm output is not used).
26 Evaluation against the merged CPR and CALIOP 2B-CLDCLASS-lidar product shows that the
27 MODIS multilayer detection results are quite sensitive to how multilayer clouds are defined in the
28 radar/lidar product, and that the algorithm performs better when the optical thickness of the upper
29 cloud layer is greater than about 1.2 with a minimum layer separation distance of 1km. Finally,
30 we find that filtering the MYD06 cloud optical properties retrievals using the multilayer cloud flag
31 improves aggregated statistics, particularly for ice cloud effective radius.

32

33 **I - Introduction**

34

35 Detection of multilayer clouds using passive sensors such as the Moderate-resolution
36 Imaging Spectroradiometer (MODIS) is a challenging but important remote sensing need. The
37 existence of multiple cloud layers can strongly impact retrievals of cloud optical, microphysical,
38 and cloud-top properties under single layer plane-parallel cloud assumptions. For example, the
39 MODIS Collection 6/6.1 (C6/C6.1) cloud optical property retrievals (MOD06/MYD06 for
40 Terra/Aqua, respectively), which assume a homogeneous plane-parallel cloud model as did
41 previous collections (Platnick et al. 2017), have been shown to have significant microphysical
42 cloud retrieval errors or outright failures for pixels that are identified as multilayer. As such, a
43 multilayer cloud detection algorithm (Wind et al. 2010) was first developed for Collection 5 as a
44 quality assurance metric to identify multilayer cloudy scenes. The MYD06 multilayer cloud flag
45 has subsequently been used synergistically with optical centroid cloud pressure derived from
46 Ozone Monitoring Instrument (OMI) UV observations to further identify multilayer and vertically
47 extended clouds (Joiner et al. 2010). Beyond MODIS, other passive multilayer cloud detection
48 techniques use the O₂ absorption bands, such as those from the Polarization and Directionality
49 of the Earth's Reflectance (POLDER) instrument (Desmons et al, 2017), in addition to spectral

50 signature differences between monolayer and multilayer cloud scenes determined from forward
51 radiative transfer models (Pavolonis and Heidinger, 2004; Heidinger and Pavolonis, 2005; Nasiri
52 and Baum, 2004; Jin and Rossow, 1997). Several studies have also been dedicated to the
53 inference of cloud optical properties for multilayer cloud scenes, e.g., Watts et al. (2011),
54 Sourdeval et al. (2014) and Chang and Li (2005). Those studies use a two-layer cloud model
55 approximation coupled with, e.g., optimal estimation, to derive the cloud optical properties
56 associated with the two cloud layers, and thus inherently require robust multilayer cloud detection.

57

58 Evaluating the performance of multilayer cloud detection algorithms requires appropriate
59 truth datasets and an understanding of the intent of the algorithm itself. For instance, the
60 MOD06/MYD06 multilayer cloud detection algorithm was initially evaluated using forward
61 radiative transfer simulations (Wind et al., 2010), though these cannot fully capture the complexity
62 of the real atmosphere. Active sensors, on the other hand, such as the CloudSat Cloud Profiling
63 Radar (CPR) and the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) onboard the
64 Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) satellite, both in the
65 afternoon “A-train” constellation, provide key details on cloud vertical structure. Merged
66 CPR/CALIOP products that exploit the different yet complementary sensitivities of radar and lidar
67 observations have demonstrated utility for evaluating passive multilayer cloud detection
68 algorithms. In fact, the MOD06/MYD06 multilayer cloud flag previously has been evaluated by
69 Wang et al. (2016) using the 2B-CLDCLASS-LIDAR product for the years 2007-2010, and by
70 Desmons et al. (2017), who in parallel evaluated the PARASOL-POLDER multilayer cloud
71 detection algorithm using the 2B-GEOPROF-lidar and CALIOP 5km cloud layer products for the
72 years 2006-2010. These investigations, however, broadly defined multilayer clouds in the
73 radar/lidar datasets and thus implicitly did not consider the intent of the MOD06/MYD06 multilayer
74 cloud detection algorithm, which is to identify scenes where a second cloud layer adversely

75 impacts the optical property retrievals of the radiatively dominant cloud layer (the primary example
76 being a thin ice cloud overlying an optically thicker liquid water cloud), rather than as a strict
77 multilayer detection algorithm. For example, Desmons et al. (2017) defined a multilayer cloud
78 when CPR and CALIOP detected two spatially distinct cloud layers, regardless of the separation
79 distance between the cloud layers and cloud thermodynamic phase, while Wang et al. (2016)
80 specified only that detected cloud layers must be separated vertically by at least 480m to be
81 considered multilayer.

82

83 In this paper, the main purpose is to present an evaluation of the Aqua MODIS (MYD06) C6
84 multilayer cloud detection algorithm through comparisons with CPR and CALIOP merged
85 products. In addition, we also will evaluate how multilayer clouds affect MYD06 cloud
86 thermodynamic phase results. In the first section we provide a short overview of the
87 MOD06/MYD06 multilayer cloud detection algorithm. The second section provides details about
88 the datasets and the methodology used for the evaluation. The third section presents evaluation
89 results as a function of three main parameters used to define a multilayer cloud scene in the
90 CPR/CALIOP merged products: (1) the separation distance d between the two radiatively
91 dominant cloud layers, (2) the thermodynamic phase of those layers, and (3) the layer optical
92 thicknesses, in particular of the upper cloud layer. Finally, in the last section, we show the impact
93 of multilayer clouds on cloud effective radius (CER) retrievals.

94

95 **II – The MOD06/MYD06 multilayer cloud detection algorithm**

96

97 Originally introduced in Collection 5 (C5), the MOD06/MYD06 multilayer cloud detection
98 algorithm was developed as a quality assurance (QA) flag to identify scenes where the single-
99 layer cloud forward model assumption is likely violated. Its primary targets are those scenes

100 where an optically thinner cloud overlies an optically thicker liquid cloud, either where the phases
101 of the two layers differ (ice over liquid) or the vertical separation is sufficiently large such that
102 retrievals of the optical properties of the radiatively dominant underlying cloud are adversely
103 impacted. The algorithm operates on a pixel-level basis (1km resolution at nadir), with cumulative
104 results reported in the Cloud_Multi_Layer_Flag Science Data Set (SDS) in the MOD06/MYD06
105 Level-2 files and individual test results reported as bit values in the Quality_Assurance_1km SDS.
106 Full details on the C5 algorithm can be found in Wind et al. (2010); updates for C6/C6.1 are
107 summarized in Platnick et al. (2017) and in the C6/C6.1 User's Guide (Platnick et al., 2018).

108

109 The algorithm is based primarily on four tests that are collectively used to classify a cloudy
110 pixel as monolayer or multilayer:

- 111 1. A cloud thermodynamic phase difference test, where divergent results between the IR
112 phase algorithm (Baum et al., 2012) and the shortwave/IR optical properties phase
113 algorithm (Marchant et al., 2016) yield a positive multilayer cloud result.
- 114 2. An above-cloud precipitable water (PW) difference test (ΔPW), using the relative difference
115 between above-cloud PW derived from the CO₂-slicing cloud-top pressure result and that
116 derived from the 0.94 μm channel with respect to the total PW (TPW) derived from ancillary
117 atmospheric profiles; a relative difference larger than 8% yields a positive multilayer cloud
118 result.
- 119 3. A second above-cloud PW difference test ($\Delta PW_{900\text{mb}}$), similar to the ΔPW test above but
120 assuming the cloud is located at 900mb when deriving above-cloud PW from the 0.94 μm
121 channel; again, a relative difference of 8% yields a positive multilayer cloud result.
- 122 4. A test based on the algorithm of Pavolonis and Heidinger (2004) (hereafter referred to as
123 PH04 for brevity), introduced in C6, that uses reflectance at 0.65 μm , 1.6 and 1.38 μm , 11
124 and 12 μm brightness temperatures and brightness temperature differences.

125

126 A test based on the divergence of cloud optical thickness (COT) retrievals from the standard
127 VNSWIR (Visible, near or shortwave infrared)-2.1 μm channel pair and the 1.6-2.1 μm channel
128 pair was also introduced in C6, but updates to the optical properties retrieval solution logic
129 rendered this test ineffective (see Platnick et al., 2018) and we do not consider it here. Note that
130 the MOD06/MYD06 multilayer cloud algorithm is only applied to pixels having COT larger than 4.
131 Moreover, during algorithm development, the above tests, when positive, were assigned pre-
132 defined confidence values, the summation of which is reported in the Cloud_Multi_Layer_Flag
133 SDS and was intended to provide a pseudo-confidence level; a value of 0 indicates no cloud was
134 detected, 1 indicates a monolayer cloud, and values 2-10 indicate the cumulative weight of the
135 positive multilayer tests. So, this analysis used MODIS MYD06 SDS with a value greater or equal
136 to 2 to define multilayer clouds and the MYD06 1km Quality Assurance to turn off the Pavolonis
137 and Heidinger test.

138

139 Figure 1 shows aggregated Aqua MODIS MYD06 Level 2 cloud products over the year 2008
140 (all data from C6.1 unless otherwise noted): (a) total cloud fraction from the MYD35 cloud mask
141 product after removing pixels identified as heavy aerosol or sun glint by the MYD06 clear sky
142 restoral (CSR) algorithm, (b) multilayer cloud fraction, (c) multilayer cloud fraction without the
143 PH04 test, and (d) C5.1 multilayer cloud fraction. The multilayer cloud fractions determined by
144 each individual C6/C6.1 multilayer cloud detection test are shown in the remaining panels: (e)
145 cloud phase difference test, (f) ΔPW test, (g) $\Delta\text{PW}_{900\text{mb}}$ test, and (h) PH04 test. Note that the
146 multilayer fraction shown in Fig. 1c uses a similar definition for multilayer clouds, i.e., excluding
147 the PH04 test, as does the MOD08/MYD08 C6/C6.1 Level-3 (L3) aggregated products; this test
148 was excluded during C6 L3 development after preliminary analysis indicated that it was overly
149 aggressive in some circumstances. For the year 2008, we find that about 20% of cloudy pixels

150 are flagged as multilayer clouds, a number that decreases to 13% if the PH04 test is excluded
151 (similar to MOD06/MYD06 C5 results, Fig. 1d). Considering the multilayer cloud fraction in Fig.
152 1b where all tests contribute to the results, we find that about 21% of all positive multilayer cloud
153 results have a positive cloud phase difference test, 28% have a positive ΔPW test, 44% have a
154 positive ΔPW_{900mb} test, and 74% have a positive PH04 test.

155

156 **III - Data Sets and Methodology**

157

158 We evaluate the MODIS C6 multilayer cloud detection algorithm using co-located A-Train
159 CloudSat CPR and CALIPSO CALIOP data during the year 2008. Due to its location in the A-
160 Train, only Aqua MODIS MYD06 data is used; note that the multilayer algorithm applied to Terra
161 MODIS is identical to the one applied to Aqua MODIS. Rather than consider CPR data separately,
162 we use the 2B-CLDCLASS-lidar CPR-CALIOP merged product in addition to the CALIOP Version
163 4 5km cloud layer products. The 2B-CLDCLASS-lidar product combines CPR and CALIOP
164 observations to provide cloud top and base heights jointly with cloud thermodynamic phase (ice,
165 liquid or mixed) for each cloud layer (more details can be found in Wang et al. (2012)). Note that
166 in 2B-CLDCLASS-lidar, mixed phase is defined when the lidar identifies a liquid layer cloud but
167 the layer top temperature is colder than -7°C and the corresponding CloudSat CPR Z_e is large,
168 implying the layer is dominated by ice particles. Figure 2 shows an example 2B-CLDCLASS-lidar
169 curtain for a 2008-07-01 data segment starting at 01h 23min. This product provides up to 10
170 vertical cloud layers at 1km horizontal resolution along-track. Since the upper cloud layer optical
171 thickness is critical in understanding the impact of multilayer cloud scenes on MYD06 cloud optical
172 property retrievals, cloud optical thickness from the CALIOP 5km layer product is merged with the
173 CLDCLASS-lidar product. This is accomplished by re-sampling the CALIOP product at 1km and
174 searching for matching cloud layers between the CALIOP 5km and 2B-CLDCLASS-lidar 1km

175 cloud layer products. Collocated files of MODIS and 2B-CLDCLASS-lidar have also been created
176 containing the pixel indices of 2B-CLDCLASS-lidar and the nearest MODIS pixel in terms of
177 spatial distance in the geographic coordinate system.

178

179 **IV - Evaluation of the MYD06 C6 multilayer cloud detection algorithm**

180

181 The global performance of the MYD06 multilayer cloud detection algorithm is shown in
182 Figure 3. Here, contingency tables comparing MYD06 multilayer classification results to those
183 from the 2B-CLDCLASS-lidar products are shown when the PH04 test is (a) included and (b)
184 excluded. Note that, for the 2B-CLDCLASS-lidar products, we use, in a first step, a naïve definition
185 of multilayer clouds here, namely all profiles where the merged product indicates more than one
186 cloud layer regardless of layer phase, optical thickness, or separation distance. Several
187 conclusions can be inferred from these tables. First, for the cloudy pixel population for which the
188 MYD06 multilayer detection algorithm is not applied ($COT < 4$, top rows), the 2B-CLDCLASS-lidar
189 product indicates a quite high percentage of multilayer clouds, 16.58% of the total cloudy
190 population. As we will show in the next section, this imposed multilayer detection limit in MYD06
191 can impact CER retrieval statistics. For the cloudy pixel population for which the MYD06 multilayer
192 detection algorithm is applied ($COT > 4$, middle and bottom rows), the MYD06 results including
193 the PH04 test agree with the 2B-CLDCLASS-lidar monolayer and multilayer classifications
194 33.75% of the time (21.31% for monolayer, 12.44% for multilayer), and disagree 20.03% of the
195 time (12.24% false multilayer detection rate, 7.79% false monolayer detection rate). When the
196 PH04 test is not included, the agreement and disagreement percentages remain roughly the
197 same, 34.95% and 18.82%, respectively, though the apportionment between true/false
198 mono/multilayer detection changes.

199

200 While it is evident in Figure 3 that MYD06 misses a relatively large percentage of multilayer
201 clouds that the radar/lidar merged product detects (7.79% or 11.40% when the PH04 test is
202 included or excluded, respectively), the active sensors are much more capable at detecting
203 multilayer cloud scenes than MODIS. More importantly, as we will see in the next section, in many
204 cases these missed multilayer scenes do not adversely impact the optical property retrieval
205 statistics and are thus beyond the intent of the algorithm. It is therefore important to evaluate the
206 algorithm's performance as a function of two parameters directly related to its intended targets,
207 namely the optical thickness of the upper layer cloud and the vertical separation distance of the
208 cloud layers.

209

210 To better understand the multilayer cloud scenes, we focus on multilayer cloud scenes with
211 only two cloud layers (which represent about 77% of the multilayer cloud population in our co-
212 located dataset). Figure 4 shows the probability that MYD06 correctly identifies a multilayer cloud,
213 using the 2B-CLDCLASS-lidar data as truth, given the separation distance d (the distance
214 between the cloud base of the upper cloud and the cloud top of the bottom cloud) and the upper
215 layer COT τ defined by the CALIOP 5km cloud layer products. Results are shown when (a)
216 including and (b) excluding the PH04 test. Note that all 2B-CLDCLASS-lidar multilayer cloud
217 scenes are included in the baseline here regardless of layer thermodynamic phase. One can see,
218 from Figure 4a, that the PH04 test is very sensitive to multilayer clouds, even if d and τ are quite
219 small, but at the expense of a larger false positive rate (see Figure 3a). On the other hand, if the
220 PH04 test is not used (Figure 4b), one can see that the probability of correctly detecting a
221 multilayer cloud scene increases with both d and τ . Regardless of the inclusion of the PH04 test,
222 however, the results shown here indicate that it is probable that MYD06 will detect a multilayer

223 cloud if the separation distance d is greater than 1km and the upper layer COT is greater than
224 about 1.2.

225

226 In addition to cloud layer detection, the 2B-CLDCLASS-lidar products also provide a cloud
227 thermodynamic phase classification, i.e., liquid, ice or mixed phase, for each detected cloud layer
228 that can be used to evaluate the performance of the MYD06 cloud optical properties phase
229 algorithm in multilayer scenes. Note that the C6/C6.1 MOD06/MYD06 phase algorithm was tuned
230 and validated against the CALIOP 1 and 5 km cloud layer products using two months of collocated
231 data, though only for scenes where CALIOP observed only a single phase in the profile (Marchant
232 et al., 2016). Figure 5a shows a similar single-phase validation using the 2B-CLDCLASS-lidar
233 products for monolayer clouds only with a single cloud phase in 2008. While agreement for liquid
234 and ice phase results is 65.22%, 26.62% of 2B-CLDCLASS-lidar monolayer clouds are identified
235 as mixed phase, of which MYD06 identifies 9.83% and 16.75% as ice and liquid phase,
236 respectively.

237

238 Extending this monolayer analysis to multilayer cloud scenes, two types of multilayer cases
239 can be distinguished in the 2B-CLDCLASS-lidar product, namely profiles where the multiple cloud
240 layers share the same thermodynamic phase and those where they do not. Figure 5b shows the
241 comparison between the MYD06 cloud optical properties phase and the 2B-CLDCLASS-lidar
242 product for two cloud layers sharing the same cloud phase (roughly 10% of the co-located
243 dataset). When 2B-CLDCLASS-lidar identifies two ice layers or two liquid layers in the profile, the
244 MYD06 phase agrees 82.59% of the time. However, in 12.03% of the multilayer cases, MYD06
245 misidentifies an ice cloud overlapping another ice cloud as liquid cloud phase.

246

247 Figure 6 shows phase comparison results for the cases where 2B-CLDCLASS-lidar
248 identifies two cloud phases in the vertical profile (roughly 20% of the co-located dataset). The
249 most frequent cloud scene is an ice cloud overlapping a liquid cloud (59.54% of these cases, first
250 column), for which MYD06 identifies fractions of 27.27% ice and 32.27% liquid clouds. For ice
251 clouds overlapping mixed phase clouds, the second most frequent scene (30.71% of these cases,
252 second column), MYD06 is more likely to identify ice phase (16.43%) rather than liquid phase
253 (14.28%).

254

255 The ambiguity of the results in Figure 6 underscores the difficulty of determining a single
256 phase in a multilayer scene using MODIS when there is no unique answer about the true column
257 phase. Moreover, because the MYD06 cloud optical properties phase is a radiatively derived
258 designation, it must depend on, for example, the upper layer COT and the sun/satellite viewing
259 geometry. Focusing only on the case of ice clouds overlapping liquid clouds, Figure 7 shows the
260 probability that MYD06 (a) correctly identifies a multilayer cloud (PH04 test excluded), and the
261 probabilities of (b) undetermined, (c) ice, and (d) liquid phase results, each as a function of layer
262 separation distance d and upper layer COT τ . The probability that MYD06 correctly identifies an
263 ice cloud overlapping a liquid cloud as multilayer (Fig. 7a) is similar in pattern to the probabilities
264 for all multilayer scenes regardless of the cloud layer phase in Figure 4b, though the magnitude
265 of the probabilities here is larger. The MYD06 phase result probabilities (Fig. 7b-d) are largely
266 what one would expect, in particular that the probability of an ice cloud result increases as the
267 upper ice COT increases, while the probability of a liquid cloud result shows the opposite pattern;
268 the probability of an undetermined phase result is largest when the two cloud layers are vertically
269 close and the upper layer COT is greater than 0.7.

270

271 **V - Assessing the MYD06 multilayer cloud flag as an optical property retrieval quality**
272 **indicator**

273

274 Given the intent of the MOD06/MYD06 multilayer cloud detection algorithm, namely to
275 identify scenes that do not conform to the single-layer cloud forward model assumption, we
276 assess the utility of the multilayer algorithm's results as a QA tool for the cloud optical property
277 retrievals. In particular, we focus on CER retrievals, where multilayer scenes are expected to have
278 retrieval artifacts or uninterpretable results due to the mixing of particle scattering properties from
279 multiple cloud layers having different phases and/or microphysics. To facilitate the analysis, we
280 again use the collocated MYD06 and 2B-CLDCLASS-lidar 2008 dataset, and consider two cloudy
281 pixel populations: (1) a reference population containing only monolayer clouds as determined by
282 the 2B-CLDCLASS-lidar product for which the cloud thermodynamic phase is in agreement with
283 that of MYD06; (2) a population of multilayer clouds, defined as those for which the 2B-
284 CLDCLASS-lidar product identifies more than one cloud layer regardless of the cloud layer
285 separation distance, the upper layer COT, or the cloud thermodynamic phase.

286

287 Figure 8 presents the results for liquid (left column) and ice (right column) clouds for the
288 three primary CER retrievals reported in the MYD06 cloud optical products, namely those
289 associated with three particle absorptive bands at 2.1, 1.6 and 3.7 μ m. One can see the
290 differences between the monolayer cloud (blue) and multilayer cloud (red) populations. The liquid
291 CER distributions have relatively small differences, with the multilayer cloud populations tending
292 towards larger CER, while ice CER populations exhibit the largest differences. In particular, the
293 ice CER distributions for the multilayer cloud population have a secondary mode at effective
294 radius around 10-15 μ m. This secondary mode can be explained by a large fraction of cases in
295 the co-located dataset having ice overlapping liquid clouds (see Figure 6, left column). Since liquid

296 droplets are less absorptive than ice crystals in these spectral channels for a given size, identifying
297 these scenes as ice phase can yield smaller ice CER retrievals. Indeed, if we remove from the
298 multilayer population those cloudy pixels classified by MYD06 as multilayer, as shown in Figure
299 9 for cases where MYD06 COT exceeds 4, one can see that the secondary peaks in the ice
300 effective radius distributions for multilayer clouds (red) have disappeared. Therefore, though the
301 MYD06 multilayer cloud detection is not able to detect all multilayer clouds, it can be used to filter
302 CER retrievals that are radiatively impacted by multilayer cloud scenes. Even if the PH04
303 algorithm is ignored in the MYD06 multilayer cloud detection algorithm (Figure 10), the multilayer
304 detection results remain useful for removing most of the differences between the two populations,
305 though some portion of the small ice cloud effective radii remain.

306

307 If the MODIS COT is lower than 4, there are important uncertainties in the CER retrievals
308 and the multilayer cloud detection algorithm is not applied since forward modeling indicated that
309 there is not enough information to discriminate monolayer and multilayer clouds (Wind et al.
310 2010). However, Figure 11 shows that some noticeable differences can still be found in the
311 MODIS CER distributions for monolayer and multilayer clouds as identified by the 2B-
312 CLDCLASS-lidar products. It is then not possible to directly screen out the CER strongly biased
313 by the presence of multilayer cloud scenes as we showed previously.

314

315 **VI – Conclusions**

316

317 This paper presented an evaluation of the Aqua MODIS MYD06 C6 multilayer cloud
318 detection algorithm by comparing with a merged CloudSat CPR and CALIOP products. As
319 expected, the results are quite sensitive to the definition of a multilayer cloud scene for active
320 sensor products. Therefore, three main parameters have been used to defined a multilayer cloud

321 scene: (1) the maximum separation distance d between the two cloud layers, (2) the
322 thermodynamic phase of those layers, and (3) the upper layer optical thicknesses. Overall, the
323 global MODIS multilayer cloud detection algorithm skill performs well when the optical thickness
324 of the upper layer is greater than about 1-2 and the separation distance d is greater than 1km. In
325 parallel, the impact of using a $1.38 \mu\text{m}$ channel in a multilayer algorithm (PH04, Pavolonis and
326 Heidinger, 2004) was studied; PH04 was added as a separate test to the MODIS multilayer
327 algorithm beginning with Collection 6. It was found that this algorithm flags too many cloudy
328 scenes as multilayer, leading to an increase in false positive occurrences, i.e. cloudy pixels
329 wrongly flagged as multilayer.

330 This study also allowed for an expanded evaluation of the MODIS cloud
331 thermodynamic phase (Marchant et al. 2016), that was based on single layer CALIOP
332 observations, to the more general case of multilayer cloud scenes. For monolayer clouds, the
333 current analysis based on CPR and CALIOP gives results similar to Marchant et al. (which used
334 a different time period) in terms of showing a phase agreement fraction of about 91%. For two
335 spatially separated cloud layers detected by the CPR and CALIOP sensors, scenes with the same
336 cloud phase in the two layers were analyzed separately from scenes having different layer
337 phases. When the cloud phase is liquid in both cloud layers, there is good agreement between
338 the MODIS and active sensor cloud phases. When an ice cloud layer overlies another ice layer,
339 the MODIS phase is often retrieved as liquid; further investigation is needed for these cases.
340 When the cloud phase is different in the two cloud layers, the preferred phase for MODIS should
341 be based on the radiative contribution from each layer to the observed signal. For instance, the
342 most frequent cases, according to 2B-CLDCLASS-lidar products, are ice overlying liquid clouds
343 for which the fraction of ice or liquid cloud retrieved by MODIS are about the same but this includes
344 radiatively thin upper cloud layers. MYD06 is more and more likely to identify ice phase rather
345 than liquid phase with the increase of the ice COT.

346

347 Even though the MODIS C6 multilayer cloud detection algorithm is not able to detect all
348 multilayer cloud scenes compared to the merged CPR and CALIOP product (MYD06 results
349 including the PH04 test agree with the 2B-CLDCLASS-lidar monolayer and multilayer
350 classifications 33.73% of the time, disagree 20.04% of the time), the algorithm is reasonably
351 skilled in its intended use, i.e., discriminating those pixels for which the CER may be biased by
352 layers having different microphysics (phase and/or effective particle size). MODIS ice phase
353 categorized clouds have effective radius retrievals that are most impacted by multilayer cloud
354 scenes, with a small radius bias. If the PH04 detection algorithm output is not used, the fraction
355 of multilayer clouds flagged by MODIS is smaller but the MODIS multilayer cloud algorithm then
356 has less skill to screen out CER impacted by the presence of multilayer clouds. Finally, it was
357 found that when the column COT is less than 4, cutoff used by the MODIS algorithm, CER
358 retrievals can still be impacted by multilayer clouds identified with the active sensor products.
359 Further work on extending the MODIS multilayer cloud detection algorithm to smaller column
360 cloud optical thicknesses is warranted.

361

362 So, the main practical implications and conclusions found during this analysis are:

- 363 - (1) MODIS MYD06 multilayer cloud detection (corresponding to MODIS MYD06 multilayer
364 cloud SDS greater or equal to 2) should primarily be used as a cloud optical property
365 retrieval quality indicator.
- 366 - (2) As a quality indicator, the MODIS MYD06 multilayer cloud SDS performs well when used
367 to remove cloud effective radius retrievals impacted by multilayer clouds, particularly for
368 ice clouds.
- 369 - (3) The Pavolonis and Heidinger multilayer cloud detection test (that can be found on
370 MODIS MYD06 C6 QA 1km flag) added in MODIS MYD06 C6 primarily goal is to detect

371 all multilayer clouds regardless the impact of the cloud optical retrievals. That explained
372 why this test increased substantially the fraction of MODIS C6 multilayer cloud compare
373 to MODIS C5 and that this test is turned off to aggregate MODIS C5 multilayer cloud to
374 L3.

375

376

377 **V - References**

378

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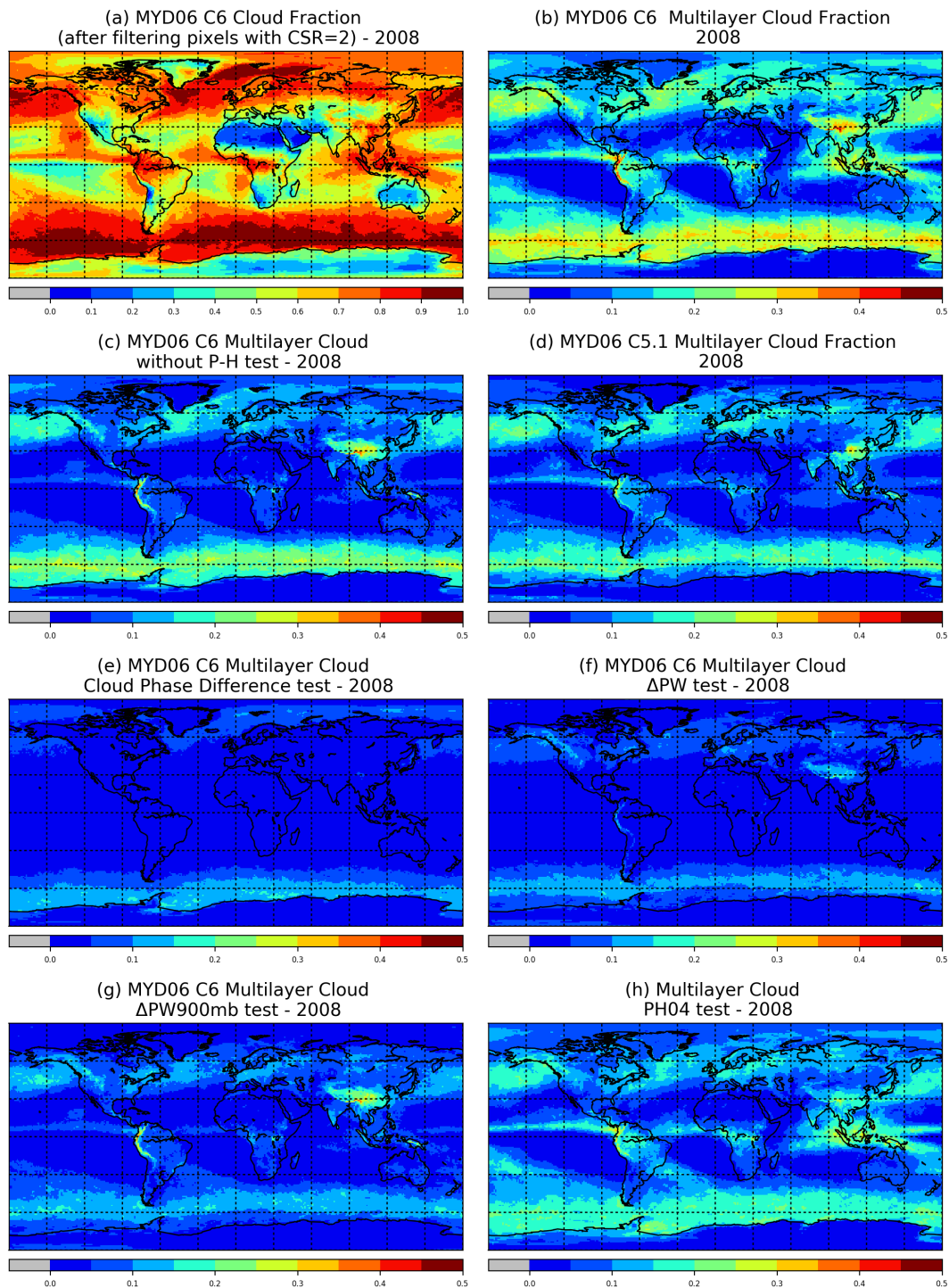


Figure 1: A collection of aggregated (all pixel) Aqua MODIS Level 2 cloud products over the year 2008: (a) cloud fraction, (b) C6.1 multilayer cloud fraction, (c) C6.1 multilayer cloud fraction excluding the Pavolonis and Heidinger (2004) (PH04) test, and (d) C5.1 multilayer cloud fraction; fractions determined from each individual C6.1 multilayer cloud detection test: (e) cloud phase difference test, (f) ΔPW test (g) ΔPW_{900mb} test, and (h) PH04 test. Note that panel (b) is a weighted combination of panel (e) to (h).

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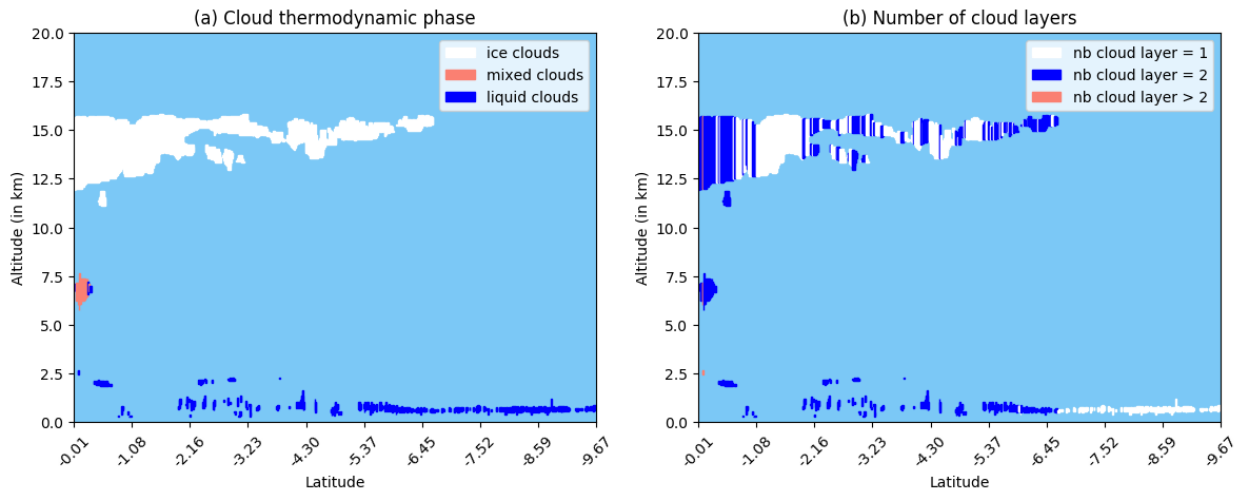


Figure 2: An example 2B-CLDCLASS-lidar curtain (2008183012329_11573_CS_2B-CLDCLASS-LIDAR_GRANULE_P_R04_E02.hdf): (a) cloud thermodynamic phase for each detected cloud layer (ice, liquid or mixed); (b) the number of cloud layers identified after merging cloud layers with a vertical separation distance less than 3km.

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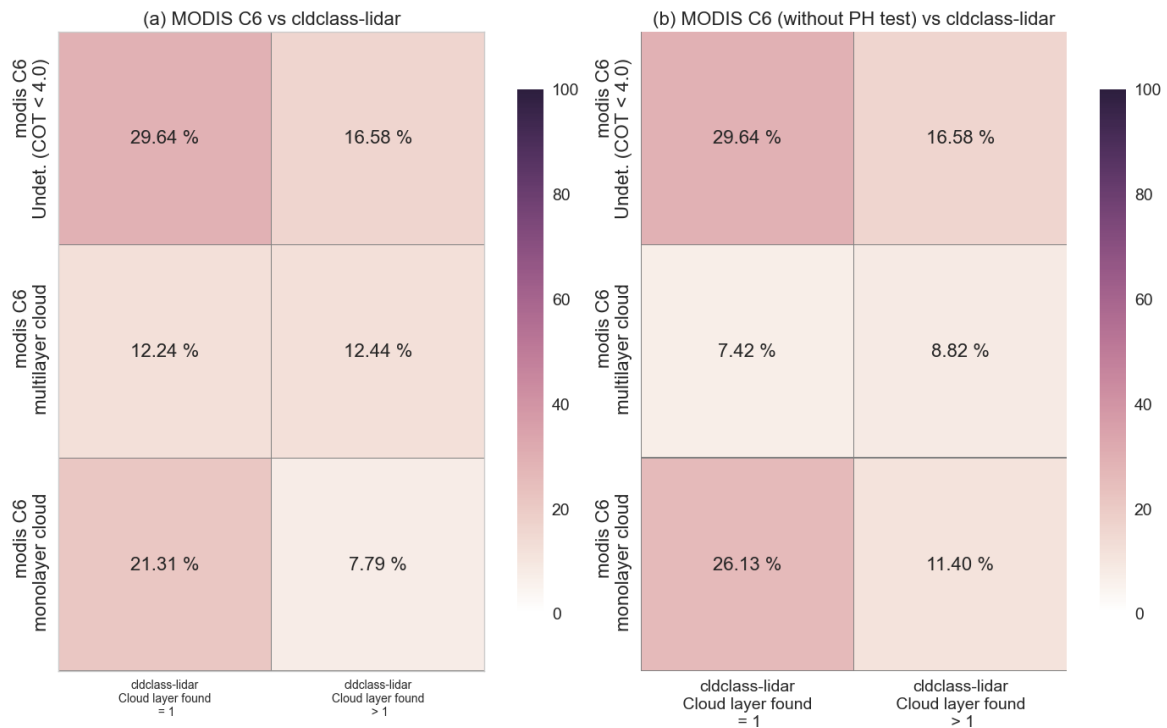


Figure 3: Contingency tables of the MYD06 C6.1 multilayer cloud detection algorithm compared against multilayer clouds defined by the 2B-CLDCLASS-lidar products: MYD06 with (a) and without (b) the Pavolonis-Heidinger (PH04) test. The 2B-CLDCLASS-lidar multilayer clouds are defined regardless of the separation distance between the cloud layers, the cloud thermodynamic phase or the COT.

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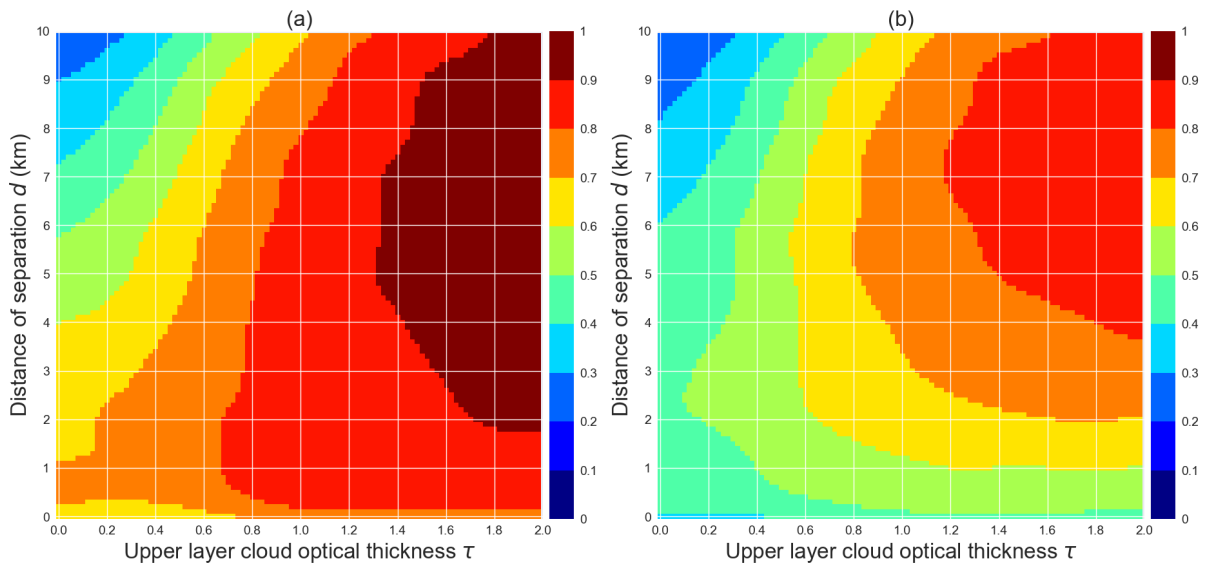


Figure 4: Probabilities that MYD06 detects a multilayer cloud, with (a) and without (b) the Pavlonis-Heidinger (PH04) test, given the separation distance between two cloud layers and the cloud optical thickness of the upper layer derived from 2B-CLDCLASS-lidar and CALIOP 5km cloud products, respectively.

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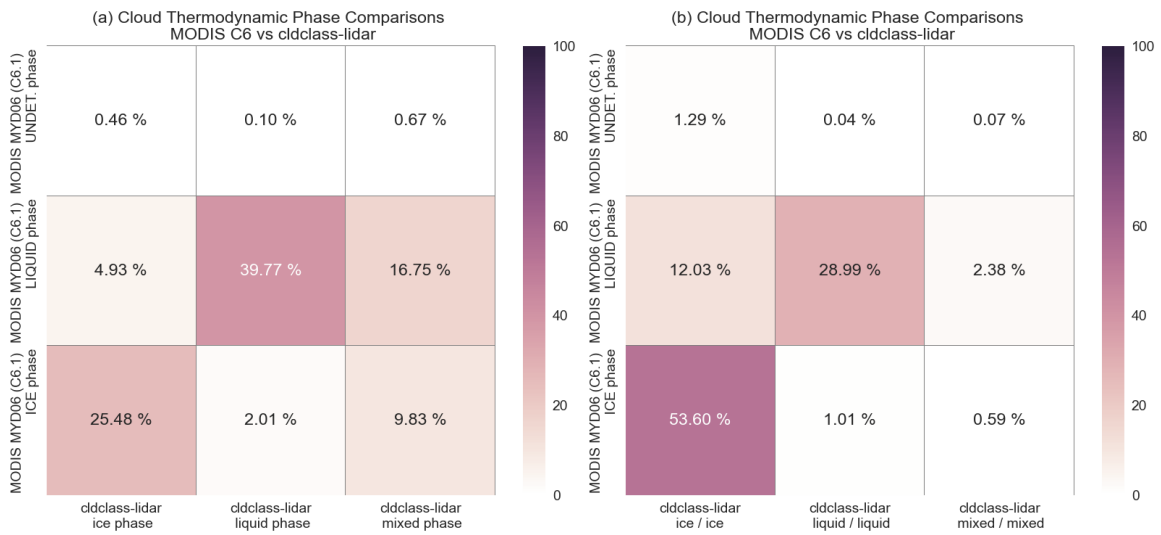


Figure 5: MYD06 C6.1 cloud thermodynamic phase compared to 2B-CLDCLASS-lidar cloud phase: (a) monolayer clouds (about 63% of the dataset), and (b) multilayer clouds having the same phase (about 10% of the co-located dataset). Here, mono/multilayer clouds are defined by 2B-CLDCLASS-lidar.

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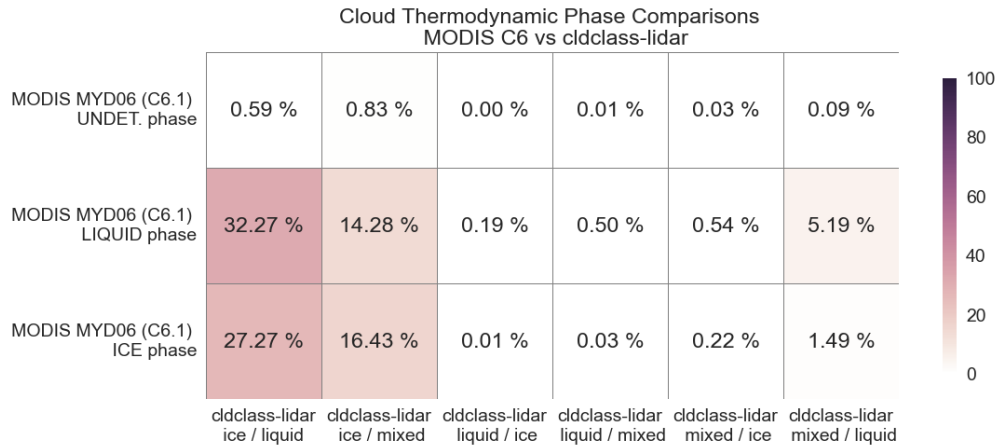


Figure 6: MYD06 C6.1 cloud optical properties thermodynamic phase compared to 2B-CLDCLASS-lidar cloud phase for multilayer clouds having a different cloud phase in the vertical profile. “Ice/liquid” refers to an upper ice layer overlying a liquid cloud layer, and similarly for other notions (about 20% of the co-located dataset).

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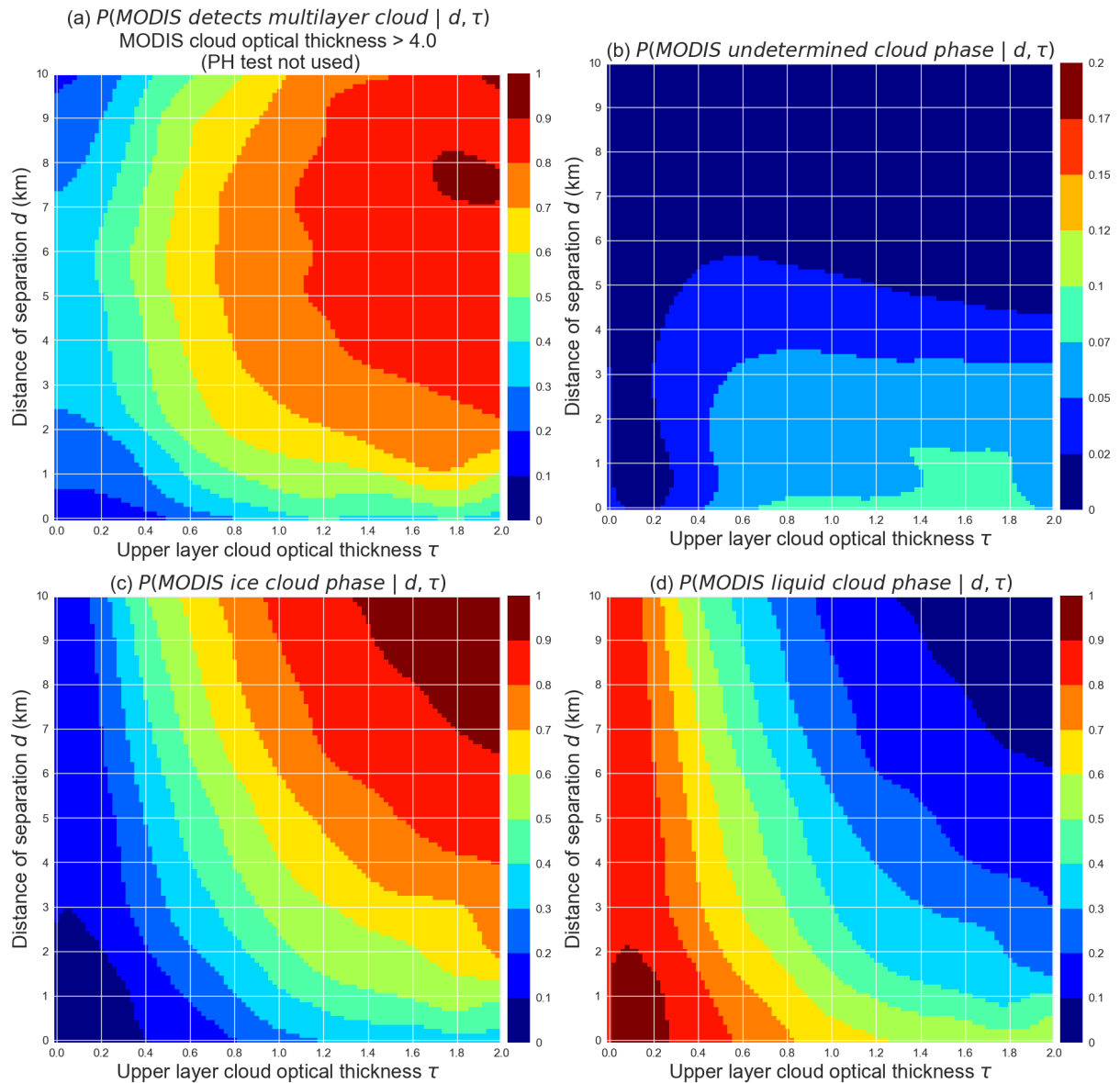


Figure 7: (a) Probability that the MYD06 multilayer cloud detection algorithm detects an ice cloud overlapping a liquid cloud (with the PH test turned off) given the separation distance “ d ” between the two cloud layers and the upper layer cloud optical thickness “ τ ” defined by the 2B-CLDCLASS-lidar products; probabilities that the MYD06 cloud optical properties phase algorithm provides an undetermined (b), ice (c) or liquid (d) cloud phase given “ d ” and “ τ ”.

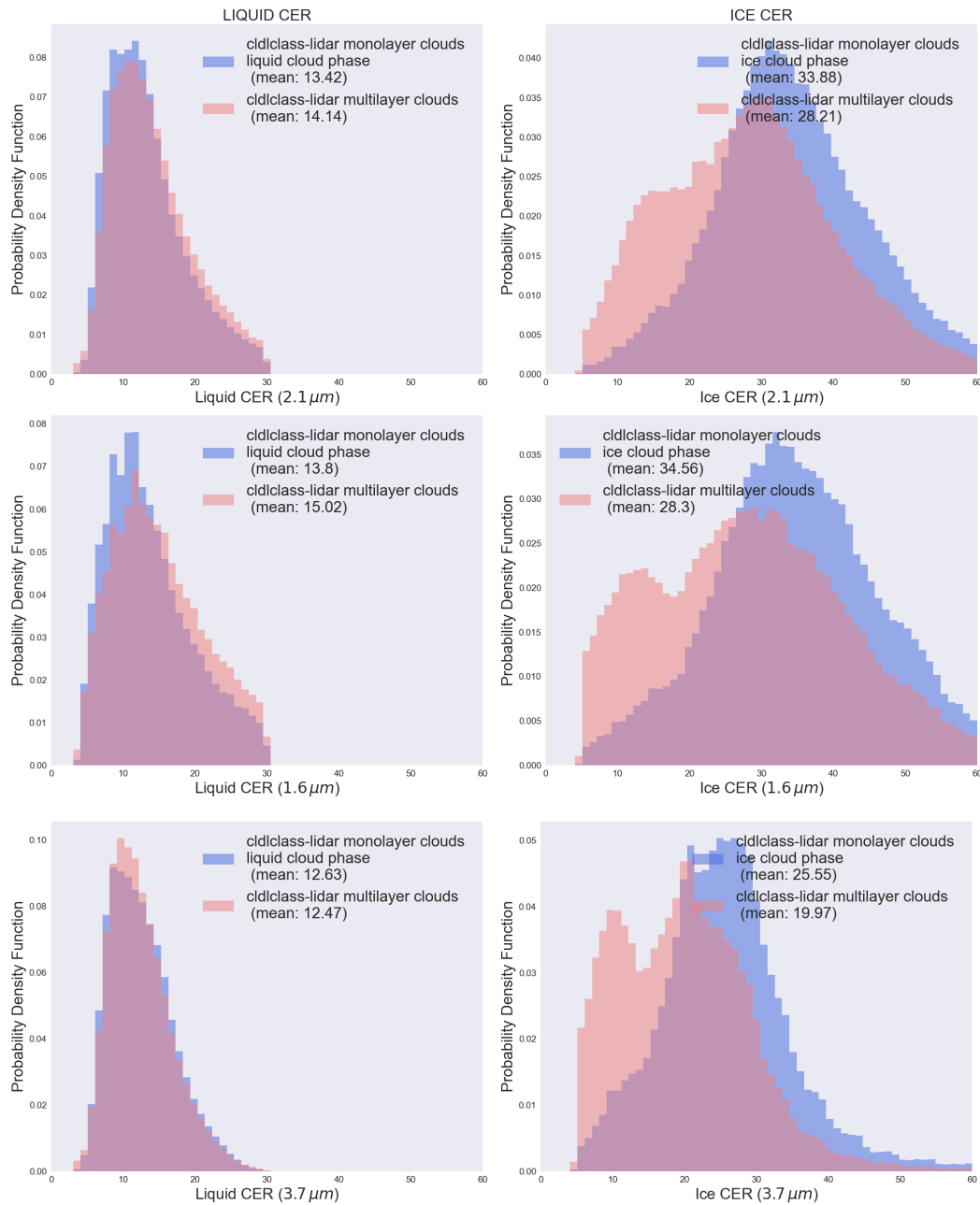


Figure 8: MYD06 1.6, 2.1, 3.7 μm liquid (left column) and ice (right column) CER retrieval distributions for monolayer (light blue) and multilayer (light red) cloud populations as determined by the 2B-CLDCLASS-lidar products regardless of the cloud layer separation distance or the upper layer cloud optical thickness.

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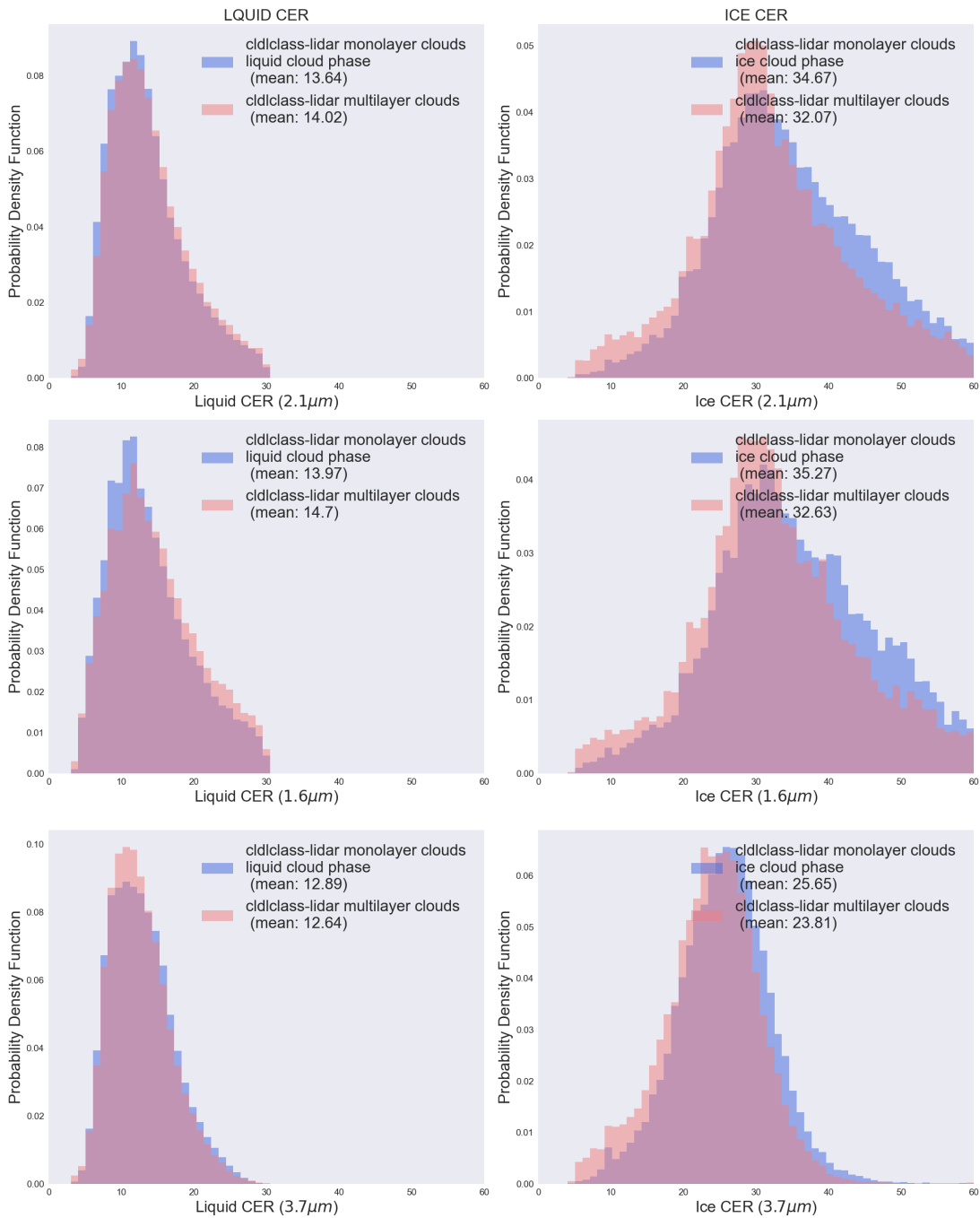


Figure 9: Same as Figure 8, but for the population having MYD06 cloud optical thickness larger than 4 and after removing from the multilayer cloud population (in red) the cloudy pixels classified by the MYD06 multilayer cloud detection algorithm as multilayer clouds.

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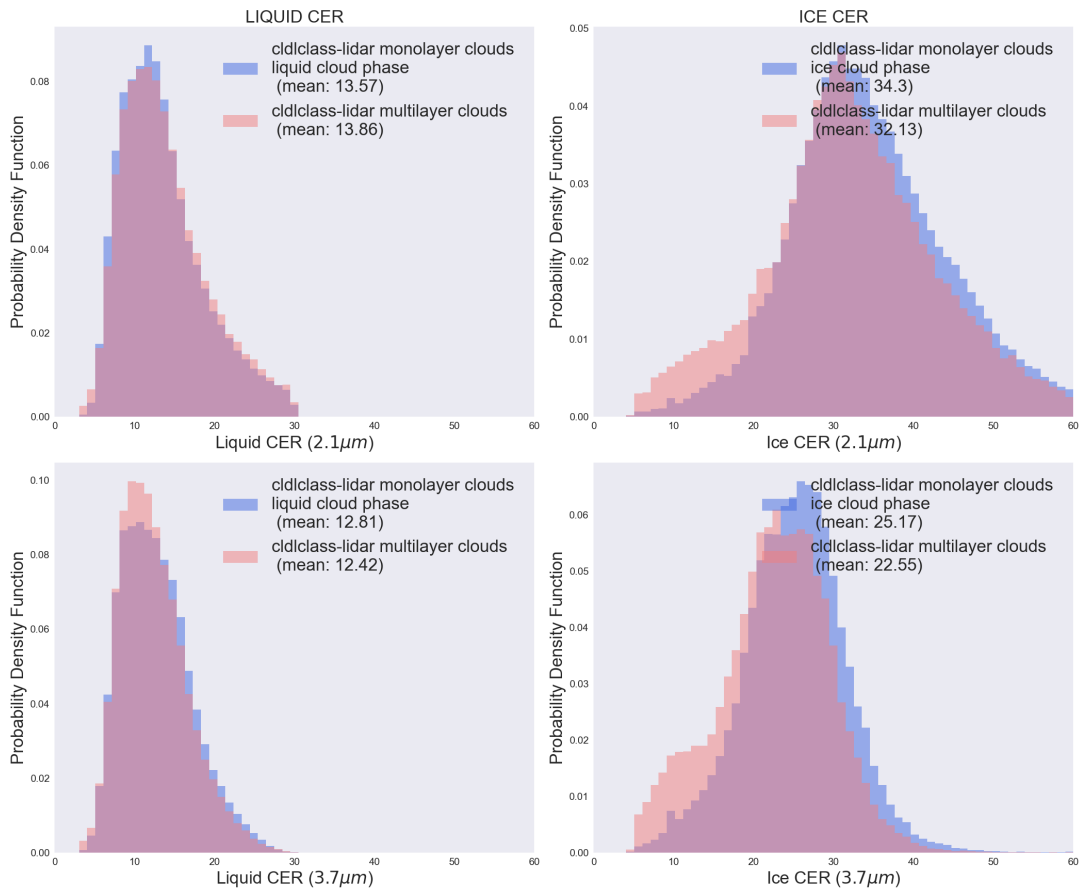


Figure 10: Same as Figure 9, but excluding the Pavolonis and Heidinger detection algorithm in the MYD06 multilayer cloud detection algorithm.

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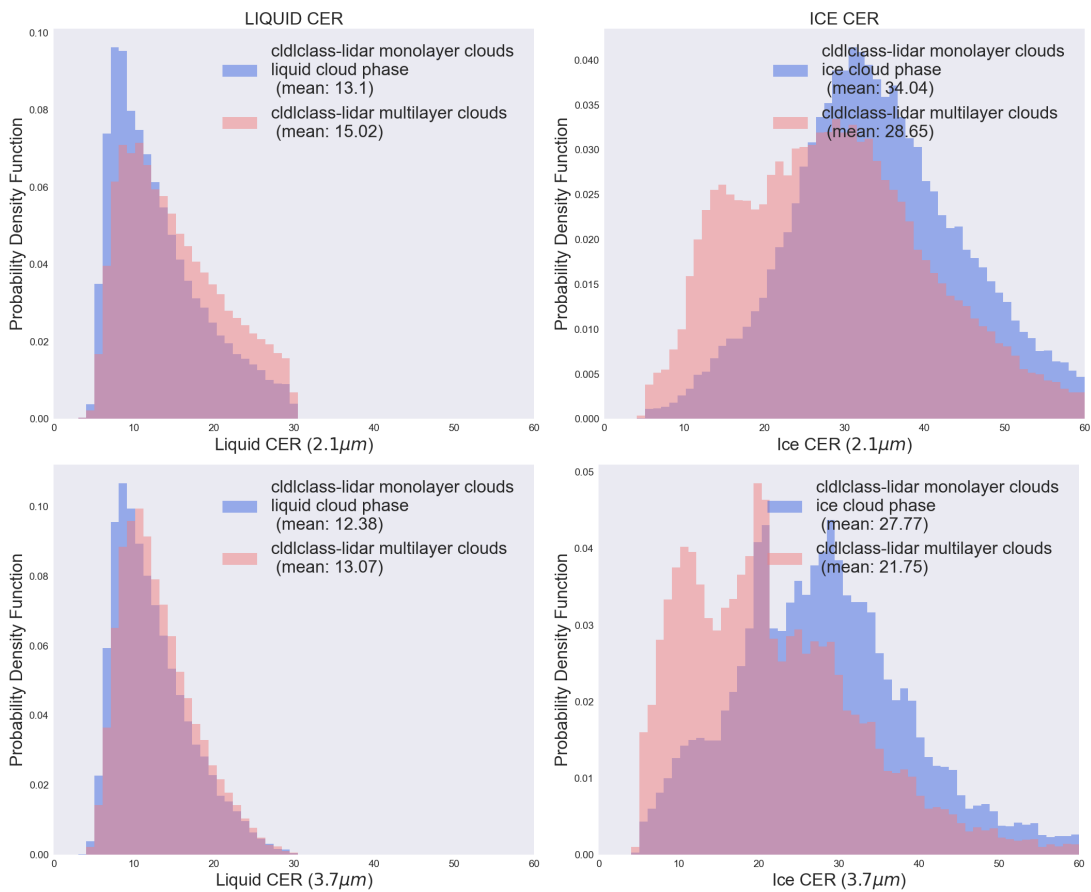


Figure 11: Differences in MYD06 CER distributions for monolayer (in blue) and multilayer (in red) clouds for the population having MYD06 cloud optical thickness lower than 4.

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