

Review comment amt-2019-488-RC1

Reviewer: **Anonymous Referee #3**

Dear referee,

Thank you for your detailed review of our article. Our responses to your remarks, questions and considerations can be found in the table below. The performed changes to the manuscript are listed in the Section “Detailed Changes”.

Response

Item	Referee comment	Author's response
Section 5	The text states that in-flight linearity deviates from on-ground by no more than 1%. This seems rather large. Is this a statistically significant deviation? This deserves more discussion.	The text states 1‰, which is not large. Have you maybe misread the sentence?
Section 7	This is an important topic, but the authors choose to devote only a short qualitative discussion to it. It would be helpful to the reader to provide some idea of the errors involved. At what error level does the flagging occur?	Added sentence on level of saturation flagging. As described, the blooming flag is not based on an error threshold but on a pixel filling value.
Section 8	The authors state they have only addressed geolocation in Bands 4-7. Geolocation in the shorter bands, esp. Band 3, are also important and validation should be possible except for Band 1. The authors should at least discuss what their plans are to validate these bands.	A more detailed discussion on the results, their consequences and future plans has been added.
Section 9	A similar comment about wavelength registration. The authors imply there is no source of wavelength information other than from L2 products and there are no products providing this information for Bands 1 & 2. Yet the spectral registration in these bands is no less important than at longer wavelengths. The authors can at least acknowledge the problem and discuss their plans to deal with it.	The L1 wavelength assignment is based on on-ground calibration. The key data can be updated as described. Added specifically that this can also be done once data for other bands is available.
Section 11	The discussion in this section (esp. the paragraph starting at line 260) was somewhat confusing. The authors should consider two alternatives	This section has already been adapted following the initial review comments.

Item	Referee comment	Author's response
	to remedy this: provide a bit more explanation to the reader, or eliminate some of the details that are the source of the confusion. I recommend the latter because it's not clear what is to be learned from these details.	
Section 12	In Line 285 the authors seem to throw cold water on any technique, other than on-board calibrations, to derive or validate radiometric change. It is quite reasonable that the authors have not had a chance to implement any of the well-documented techniques for validating the calibration, but they should refrain from suggesting these were omitted because they lack useful information. I think I follow the 'competing change' argument described in Lines 330-335, but I doubt most readers will. The authors need to describe explicitly what about Figures 12 & 13 indicates increasing detector response competing with diffuser degradation.	Rephrased the sentence to make clear that it is about the operational L1b processor. Added a remark on validation of the correction with Earth targets. Rephrased to make the point clearer.
Table 3	These numbers appear to be in percent. The authors should say so explicitly	Added % to the header.
Section 13	The authors imply at the start of Section 12 that the reflectance calibration of TropOMI is an important quantity, but they fail to address its accuracy. If that is outside the purview of this paper, the authors should say so. The authors also fail to discuss in this section the effect that adjusting the irradiance calibration has on measured Earth TOA reflectances. Since the radiance calibration wasn't mentioned, the reader is left to assume that all the adjustments described in Section 13 are being applied in inverse to the instrument's reflectance calibration. What is the justification for doing so? The authors provide no insight as to why the pre-launch irradiance calibration might be so much in error. How do they know that the radiance calibrations are not in error by an equal or nearly equal amount?	Added explicitly that the reflectance is changing. As described in the beginning of Section 13, the on-ground calibration measurements for irradiance suffered from low SNR. As mentioned, the details about the on-ground calibration issues are discussed in Kleipool et al. (2018). Added more details on further comparison with on-ground sources.
Grammar comment	Use of the word "for" in connection with "corrected" should be accompanied by an object rather than a subject. "We correct for something" rather than "Something is corrected for."	Adapted.

Review comment amt-2019-488-RC2

Reviewer: **Anonymous Referee #2**

Dear referee,

Thank you for your detailed review of our article. Our responses to your remarks, questions and considerations can be found in the table below. The performed changes to the manuscript are listed in the Section "Detailed Changes".

Response

Item	Referee comment	Author's response
Fig 1-3,5,6,11-22	The used fonts are too small, enlarge	The plots have been adapted or enlarged. Captions have been adapted accordingly.
Fig. 7-9	At least the numbers at the color scales need to be larger. For not so young eyes, the numbers and labels in the printed paper are difficult to read. Please change.	The plots have been adapted or enlarged. Captions have been adapted accordingly.
p1 l13, abstract	"processing from 2020 on". From my knowledge, late 2020 is the current foreseen start for the version 2 L1b processor. Please adapt the date, also in the conclusions.	Yes, that is correct. Currently the planning is for <i>late</i> 2020. Adapted both occurrences.
p2 l41, introduction	please define the term "orbit types" (probably the measurement sequence along the orbit.)	Adapted.
P3 , introduction	Please add (at the end of the introduction) a sketch of the instrument design, it would be very useful for the following paragraphs: Where is the calibration unit, what are the light paths, where are the diffuser etc. Please also add a paragraph or a sketch to the detector layout: row and column is frequently used in the text, but nowhere the spatial and spectral direction is explicitly stated.	Added a new figure with the functional schematic of TROPOMI. The spatial and spectral direction is now added to the text several times.
Section 2 Thermal stability	It is observed, that the thermal stability is reduced after orbital manoeuvres. Is there a reason or at least an educated guess for this behaviour? If yes, please add.	Added. The thermal stability is reduced when the pointing of the radiant cooler is not optimal as can be the case during manoeuvres.

Item	Referee comment	Author's response
Section 7 Pixel saturation, blooming	Are there estimations available, how frequently saturation and charge blooming occur? Which are the suspect conditions (snow? tropical clouds? something else?). Please add.	Information on the pixel saturation has been added. For the blooming we do not have detailed statistics yet as the new version is not in use yet.
Section 8 Geolocation	p9 l161: ..or along-rack.. -> or along-track	Adapted.
Section 9 Spectral annotation	It is stated, that the calibration key data for the wavelength calibration are updated according to the wavelength fits in the Level 2 algorithms. Are the key data directly used as wavelength axis? There is no Level 1 wavelength calibration?	Currently there is no online Level 1 wavelength calibration. The L1 key data is based on on-ground calibration and adapted with the in-flight insights. L2 retrieval algorithms perform their own wavelength fitting where the L1 wavelength assignment is used as a starting point.
Section 10 Slit irregularity	Especially for this section, the definition of rows/columns versus spatial/spectral direction in the introduction would be very useful!	Adapted.
Figure 6	Change y-axis name to 'binned row counter' (this is the used name in the text). Please add in the caption, that the row 335-337 corresponds to the binned counter 144.	This has already been adapted following the initial review comments.
p 16 l 303 ff Section 12 absolute radiometry and instrument degradation	"The specific degradation curves ... are perfect exponential curves". Here it is assumed, that the degradation behaves exponential, so write something like: "It is assumed, that the diffuser degradation behaves exponential with time. Therefore, the specific degradation curves ... are modelled as exponential curves". Also the exponential behaviour of D_{com} is an assumption and should be stated as such.	It was found that the exponential fits resulted in a better fit than other functions. We made this clearer in the text that this is the model.
P 16 l 315 ff Section 12 absolute radiometry and instrument degradation	"For each of these super pixels the linear system in Eq. (1) is solved. For the UVIS, NIR and SWIR no spectrometer degradation D_{spec} could be determined and this term is therefore set to unity." I think, this is the wrong order: For UVIS, NIR, and SWIR, no spectrometer degradation can be derived, therefore D_{spec} is set to 1.0 for these channels. With this assumption, the linear equations system is solved for each super pixel if UVIS, NIR and SWIR. Right? Please also give a number, how many pixels are in one super-pixel.	For UVIS, NIR and SWIR no spectrometer degradation was found so the term was set to 1. Added the size of the super pixels and some more explanation on it.

Item	Referee comment	Author's response
p 16 317 Section 12 absolute radiometry and instrument degradation	<p>“The solutions for D_{q1}, D_{q2} and D_{com} are all three exponential decay functions and perfectly smooth in the temporal dimension.” Your model fits exponential decay functions for this quantities, therefore this is trivial message. What could be stated here is something like: The assumption of an exponential decay for D_{q1}, D_{q2} and D_{com} is approved by the small residuals R_k/P_k, as shown by the right plots in Fig 12-14. The explanation for estimating D_{spec} for the UV leaves a few questions open: D_{com} is extrapolated to the UV region. What about D_{q1}/D_{q2}? What type of extrapolation do you use, so what are the assumptions made? Towards shorter wavelengths, the degradation is expected to increase. According to the left plot in Fig. 11, this is not the case for D_{spec}.</p>	<p>The phrasing has been changed to make clear that the exponential decay is the model.</p> <p>For the ratio of $D_{q1}/q2$ the assumption is made, that the degradation is exposure based, so we use the total time of usage as input. The spectral ageing D_{spec} of the UV spectrometer does indeed behave different than the diffuser degradation. The signal increases with time.</p>
P 17, 347-352	<p>For the forward processing, an extrapolation of the degradation parameters is used. It is stated, that this new degradation parameters will be regularly updated by incorporating the recent measurements. With the update, also the extrapolation will change. This might introduce jumps in the irradiance time series, which might be an issue for users. Is there a strategy to monitor and/or avoid this? Please add some information about the details here.</p>	<p>Added remark on jumps in the data.</p>
P 22, Table 4	<p>The *mean* degradation per Band is given, right? Please clarify.</p>	<p>Yes for the bands/ wavelength it's the mean degradation. Rephrased the caption.</p>
13 Absolute irradiance calibration:	<p>Why is the OMPS irradiance measurement choosen as the reference measurement for the radiometric calibration? To my knowledge, OMPS is an unusual solar reference measurement. OMPS does not even distribute there irradiance measurements as regular product. The cited literature [Jaross 2014] gives no information about the absolute radiometric calibration except a plot together with an unnamed “synthetic” spectrum. If possible, at a reference for the radiometric calibration of the OMPS irradiance. Recently re-calibrated and published solar spectra are SOLSPEC (Meftah et al 2018) or SCIAMACHY (Hilbig et al, 2018), which would be a better choice. Both are also independent from other reference spectra. Nothing is said about the radiance calibration. Is the discontinuity observed in the overlap region also visible in radiances? What about the reflectance? The light path is the same for radiance and irradiance except the QVD. The QVD is the same for the the UV / UVIS overlap and cannot cause the discontinuity. Therefore, in the reflectance the discontinuity should</p>	<p>The OMPS solar irradiance is not distributed as a separate product, but is part of every L1b file, we added additional references.</p> <p>We now added explicitly that the reflectance is changing, and explained the observed inconsistencies from on-ground calibration.</p> <p>The OMPS spectrum was chosen for several reasons: it has similar instrument characteristics, it is an active mission and a single instrument spectrum and not a composite spectrum. This point is made clearer in the text now.</p> <p>As shown in the paper we compared the results to different references.</p>

Item	Referee comment	Author's response
	cancel. If only the irradiance is mitigated here, the discontinuity is introduced in the reflectance. The radiance calibration and the impact of the irradiance mitigation on the reflectance needs to be discussed here.	
Conclusions p 25, l 434/ 436: p 25, l 449	'v1' / 'v2' change to 'version 1' / 'version 2', radiometry -> radiometric	Adapted.
References	Many references contain both the the DOI based URL and a direct URL. Only the DOI URL as permanent URL is needed, skip the second URL (which is also not added consistently...).	Corrected.
References	For Ingmann et al. The URL is erroneous	Corrected.

Review comment amt-2019-488-RC3

Reviewer: **Rüdiger Lang**

Dear referee,

Thank you for your detailed review of our article. Our responses to your remarks, questions and considerations can be found in the table below. The performed changes to the manuscript are listed in the Section "Detailed Changes".

Response

Item	Referee comment	Author's response
Section 12/13	Section 12 and 13 describe the approach taken to correct for some partially significant, observed degradation effects especially in the UV. The overall approach seems sound (section 12). However it is not obvious for me how the degradation model approach and application in section 12 is related, or better decoupled, from the correction of the observed, partially quite significant offsets (up to 15%) in the absolute irradiance calibration of the solar port (section 13). My	From the on-ground calibration we are sure that the irradiance calibration is not correct for bands 1-3 (made this clearer in the text). For the on-ground calibration of the radiance there is no such evidence. By setting the reference for the (spectrally smooth) diffuser degradation and the absolute irradiance

Item	Referee comment	Author's response
	<p>understanding from the paper is that the derived spectrometer component (from the 312 to 330 nm region) has been accounted for by a degradation correction, which is, again to my understanding, applied spectrally neutral to the full UV detector irradiance. Is this correction then also applied for Earthshine measurements, as one would expect it to be, because it is considered an effect of the common optical path? In case yes, I guess that the normalization day/orbit 2818/2819 is then used for an adjustment to OMPS, such that any likely degradation happening to the irradiance signals until this point is corrected for by reference to OMPS. Again, one expects an unknown degradation to have happened also to the Earthshine path until orbit 2818/2819, which would then lead to a differential degradation in reflectance after adjustment of the solar irradiance, and especially in case nothing is done additionally for the Earthshine data (and probably there are also some finite yet different accuracies for the radiometric key-data to be taken into account).</p>	<p>adaptions on the same orbit, the diffuser degradation up to that point is taken into account. The spectral ageing in the UV spectrometer is corrected in radiance and irradiance for the entire mission. The spectral features already present in orbit 2818 are therefore removed and the smooth correction of the absolute irradiance takes care of the diffuser degradation. We made this point clearer in the text.</p> <p>If there is a remaining inconsistency in radiance this needs to be addressed in future validation for example via Earth targets, this has also been added to the text.</p>
Section 12/13	<p>The choice of OMPS seems also very subjective. While it is stated that OMPS irradiance has been "independently calibrated", it is not stated what "independently" would mean in this context (without adjustment to reference spectra? If yes, then this should be stated). I would maintain that it remains just a choice. The results show a close to 3% difference with the Dobber et al. spectrum after adjustment. In contrast, all three GOME-2 instruments have shown smaller residuals than 3% to the Dobber reference spectrum, above 300 nm at the beginning of live, without (!) adjustment (so using the on-ground derived key-data only). So this choice of a reference solar spectrum would leave a potential unknown "offset" of 2 to 3% with respect to other instruments and their absolute calibration after degradation correction. Since 2 to 3% accuracy is effectively the current limit on the knowledge of the solar irradiance accuracy in the UV and VIS wavelength region in general, such a choice for sure can be made, but it should be presented as the limit of the knowledge in the absolute calibration accuracy then also for this mission. Moreover, this would then also be the limit of knowledge on the Earthshine radiance accuracy, with a potentially even larger error on the reflectance. In this respect, the question is why an independent Earthshine degradation modelling has been ruled out. For previous missions GOME-1, 2 and</p>	<p>We made clearer in the text why OMPS was chosen. The idea was to be able to relate the changes to a single instrument and not a composite spectrum. And indeed, eventually it is a choice.</p> <p>We added a clarification on validation using Earth targets, this is future work. Therefore we are also not presenting any updated numbers for the radiance and reflectance accuracy yet.</p>

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	SCIAMACHY degradation modelling using global averages of cloud free Earthshine data showed quite some success, and also Libyan desert degradation modelling should not be ruled out.	
Section 12	Finally, the derived spectrometer component in Section 12 seems to be on the order of 1% per 1000 orbits (Figure 11). In contrast the observed WLS and LED signal degradations seem to be lower or on the same order. I am wondering why the use of the internal light sources then have been ruled out for degradation monitoring or even correction, or how their "output degradation" could have been identified as such, when the identified spectrometer component is on the same order or even more significant. Is there an optical component in the path (like another folding mirror) between the spectrometer and the WLS, such that any direct Earthshine degradation modelling using these sources cannot easily be done? It might be interesting to look at the ratio of calibrated SMR and calibrated WLS, and their (differential) evolution over time and spectrally in this context.	Analysing WLS data has given valuable insight on the spectral ageing in the UV and the spectral overlap with UVIS. The light path of the WLS includes additional optics and is not identical to the Sun or Earth path. When using WLS for calibration purposes WLS features could be introduced into the L1 radiance/irradiance. Added an explanation to the difference in light paths for DLED and WLS
Section 3, l 80ff	How exactly non-linear is the observed decrease of the light sources and can this decrease be attributed to the sources or is it already part of the optical chain for WLS? It should not be ruled out that this is simply a consequence of the spectrometer degradation observed in Section 12 (see before).	The decrease in signal can be caused by the source itself, the source's specific optical path and the instrument. Clarified this in the text.
Section 5, l.90ff	I would assume the temperature dependency of the dark current has been measured on-ground. From these measurements it could be stated here what is the projected dark current orbital dependency using the observed orbital detector thermal stability from HKTM.	The temperature dependency of the dark current has not been measured on-ground.
L.110ff:	The change in the gain during manoeuvres is not further explained. Can any reason be given for this?	So far no reason has been found to explain this behaviour. All available housekeeping parameters have been checked but no correlation was found.
l. 145ff	It would be interesting (and helpful for future missions) to get an idea (statistically) on the extend of blooming in pixel space. E.g. by providing a histogram (or table) on the number of occurrences over the number of pixels affected per event. Does such a statistic exist?	We have added numbers for the occurrence of pixel saturation. For the blooming itself a full statistical analysis is possible once version 2 of the L01b processor is active.
Section 8 on geo-referencing	Has any attempt be made for geo-rectification using VIIRS data? This should provide very accurate geo-referencing knowledge also on the point-spread function. Can anything be said about the alignment of the other bands not used in	We have not attempted any cross-validation of the geolocation with VIIRS or other satellites. Considering the limited spatial resolution of TROPOMI we don't

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	the geo-referencing analysis? Or can some qualitative assumption be derived from the optical setup (telescope) and alignment? A discussion would be needed here I think.	think that a comparison to higher resolution instruments would have added to the results. A discussion on qualitative assumptions for the other bands has been added.
Section 10 on slit irregularities	From Figure 6 it looks like the WLS exhibits significant spectral structure. Why is this? Actually, wouldn't a highly structured spectrum like the solar lead to a better correction?	Figure 6 is an irradiance image showing characteristic spectral lines. The data has been corrected with key data derived from WLS data.
Section 11 on goniometry	The azimuthal maximum variation of the sun should be reported in this Section in order to motivate/justify the restriction to 10 degrees, even though 15 degrees have been measured. Is the orbit stabilized, and for how long in the mission? Or in other words, is there any restriction in future ground track drifts concerning the validity range of this data?	The natural solar azimuth range during solar calibration measurements over one year is between -10° and +6.0° (range is 16°) for an ANX MLST of 13:30, which is the current mission requirement. The instrument requirements were therefore set to allow for measurements in the range -10° to +10° in azimuth and -4.25° to +4.25° in elevation. In reality the solar baffle allows light for a larger range, the measurements were therefore performed at the largest possible range achievable with the platform. The orbit is stabilized and follows Suomi NPP with a 3 to 5min delay. For nominal operations the solar port should not run out of its calibrated range. Furthermore the irradiance measurements are performed around a fixed azimuth angle using the reaction wheels. This is explained in Section 14, we added a reference to this and a sentence on the natural azimuth range.
Section 11	Section 11, on the origin of the remaining residuals in the goniometry key-data derived in-flight: I would guess that they are probably a combination of diffuser features, speckles, and especially instrument drifts between individual measurements and the temporal position of the normalization measurement. In addition, one should find the pattern of the observed degradation correction residual in such a potential drift, I would assume. Since the measurement period was quite long (400 orbits), and it was in an early state of the mission, can effects	The remaining residuals are - as you observe - connected to the residuals observed in the degradation correction. Added a clearer reference to the residual discussion in Section 12. Thermal effects can be excluded, the instrument was thermally stabilized since very early in the commissioning phase. The main part of electronic gain drifts is

Item	Referee comment	Author's response
	like gain drifts during this period, and as reported in the earlier sections, be ruled out? It would be good to discuss the status of the mission at the time of the dedicated measurement period (start orbit, overall platform thermal stability etc...), and if the measurements have been filtered for outliers.	corrected by the use of the normalization measurements. Added start orbit, remark on electronic drifts and in Section 2 a remark on thermal stability for the measurements.
Section 12, degradation model:	Why would one expect that all components are "perfectly exponential". At least in the long-run. Since this is not what is observed with other instruments, and for sure not in case of a potential mirror contribution. Is there a long-term trend observed in the Rk and Pk components?	Until now an exponential function was found to be the best fit. If this changes in the future we can adapt it. Added this remark to the text.
l. 364	"but especially in the UV range it is unclear if it is reliable": Which spectrum is referred here to? Since we have observed that the Dobber et al., spectrum shows clearly better results for GOME-2 for wavelength below 300 nm at the beginning of the mission and without any adjustments than all other available reference spectra. I fear that at this stage this is no discussion about the truth, but probably more about inter-instrument consistencies.	That is the difficulty with inter-instrument comparisons, in the end it is a matter of choice. We tried to base our choice on how comparable the spectral resolutions and ranges are and that the we are traceable to a single instrument and not a composite. This point was made clearer in the text.
General:	Although it has been describe multiple times elsewhere, a table of band numbering associated with source region "UV", "UVIS", "NIR" "SWIR" and associated wavelength ranges would be of help for the reader to have at hand up-front. Since band numbers, detector labels and source regions are used multiple times in exchangeable ways in the paper.	This has already been adapted following the initial review comments.
Figure 5/6	"...within the requirements" -> add black lines in brackets p. 10ff: The plots in Figure 6 and the reported row numbers in the text (e.g. line 208) are different. The caption indicates the Figure shows the binned count. Somewhere at least a written translation should be made. E.g. in the caption: bin x corresponds to pixels yy. Or similar.	This has already been adapted following the initial review comments.
p14, ;l263:	Check sentence: "For double processing, so (?) ..." l.380 switch -> with	This has already been adapted following the initial review comments.

Detailed changes

List of changes to version 2

The page and line numbering in the Table below is according to version 2 which was public on the discussion page. The comments on the version 1 (the one which was initially sent out to the reviewers) have already been included in version 2.

Item	Change
New figure	Added new figure and caption at the beginning of the article. It shows a functional schematic of TROPOMI. Added a reference to this figure in several places in the text.
Fig 1-3, 5-9, 11-22	Enlarged plots or adapted plots to increase fontsize and improve readability. Adapted captions and the references to the plots accordingly. For Fig.1 added "The triangles in the top panel show the gain ratio as derived from on-ground measurements."
p 1 13, abstract	Changed "processing from 2020 on" to "processing from late 2020 on".
p 1 22/23, Table 1	Adapted to be consistent with official PRF: 5.6-> 5.5, 7.2 ->7, 28.8->28
p2 27 ff	Replaced "The instrument is measuring the radiance on the day side of each orbit and once a day the irradiance via a dedicated solar port as described in detail in KNMI (2017) and Kleipool et al. (2018)." By " The instrument is measuring the radiance on the day side of each orbit and once a day the irradiance via a dedicated solar port as shown in Fig.1. Sun light passes through one of the two internal quasi volume diffusers (QVD1 and QVD2) and is coupled via the folding mirror into the telescope of the instrument. A detailed instrument description canbe found in KNMI (2017) and Kleipool et al. (2018)."
p 2 41, introduction	Changed "The timing and definition of the different orbit types was adapted to match the detected darkness of the eclipse. " to "The timing and definition for the measurement sequences of the different orbit types was adapted to match the detected darkness of the eclipse. "
p 3 58	Added " All measurements described in this article were performed at the nominal temperatures with active thermal stabilization."
p 3 62	Added "when the radiant cooler points in a sub-optimal direction"
p 4 75 ff	Replaced "output" by "observed signal"and "detector response".
p 4 86	Added "Depending on the source and its location in the instrument, the listed values can contain contributions from degradation of the source, its specific optics, the diffusers, the folding mirror, the telescope and the spectrometers."
p 6 114	Added "or other housekeeping parameters" .
p 7 138, 141	Added " in the tropics". Changed "and" to "-". Added " In the tropics typically about 0.2-0.5% of the pixels are flagged for saturation in bands 4-6, other regions and bands are hardly ever affected."
p7 140	Added: " For the CCD detectors spatial binning is applied: the charge of several successive detector rows is added in the register and then read out."

Item	Change
p 7 141	Replace “this” by “the saturation issue”
p 8 143	Added:“(spatial direction)”
p 9 157	Added: “ ..., so only a narrow spectral range is available per UVN band.”
p 10 183ff	Changed “For the SWIR and UVIS detectors the same effect is observed, so a mechanical change within the instrument during launch seems unlikely.” to “For the SWIR, UVIS and NIR spectrometers the same effect is observed, so a mechanical change within the instrument itself during launch seems highly unlikely. For UV the signal to noise of the high resolution measurements with their small spectral range is too small to draw conclusions. The light for the UV and SWIR takes the same path up to and including the instrument slit and the UV spectrometer is part of the UVN optical bench as shown in Fig. 1. As the SWIR spectrometer shows the same effect as the UVIS and the NIR spectrometers and no difference is observed between UVIS and NIR, due to the instrument design it is highly unlikely that the UV spectrometer should behave differently.”
p 10 188	Added “ A further validation is not foreseen, as the nominal radiance measurements have a larger groundpixel size.”
p 11 204	Added “or data for other bands becomes available”
p 11 208	Added “(spatial direction)”
p 11 210	Changed “ Therefore not the main instrument slit but the slit in the UV spectrometer is most likely causing the feature. “ to “ From the instrument design as shown in Fig. 1 it can be seen that not the main instrument slit but the slit in the UV spectrometer is most likely causing the feature.”
p 11 214	Added “as shown in Fig. 1”
p 11 217	Added “ (columns)”
p 12 236	Changed “400 orbits” to “ 400 consecutive orbits (starting in orbit 1247)”
p 13 241	Added “ possible electronic drifts”
p 14 261	Added “The fitting window covers the natural yearly solar azimuth variation for the reference orbit with equator crossing time of 13:30 local solar time.”
p 14 263	Changed “ see also Section 12”to “see also Section 12 for the description of the residuals”
p 15 272	Added “The slew manoeuvres are included in the nominal operations baseline as described in Section 14. This reduces the measured azimuth range to less than $\pm 1^\circ$ around the reference angle.”
p 15 286 ff	Changed to “To determine relative electronic drifts, the DLEDs which are situated close to the detectors are used. The optical path of the WLS includes additional elements which are not part of the optical path for light from the Earth or the Sun, and the WLS light does not pass through the QVDs. The internal light sources also show a decrease in output which cannot be separated from instrument degradation as described in Section 4. The internal light sources are therefore less suitable for the calibration of the degradation of the irradiance and radiance optical paths.
p 15 287	Changed to “Radiance measurements in general show much variability in themselves and would require too much input from atmospheric models to be useful for the derivation and regular update of an independent and sufficiently accurate degradation

Item	Change
	correction for operational L1b processing. In the future the derived correction needs to be validated by - for example - using sites with well known reflectance."
p 15 291	Changed from "the degradation of the diffusers (QVD1 and QVD2) used for irradiance measurements, a gradual spectrally dependent increase of the throughput in the UV spectrometer and a drift of the CCD gain for the UVN spectrometers." To "the degradation of the diffusers (QVD1 and QVD2) used for irradiance measurements, a drift of the CCD gain for the UVN spectrometers and a gradual spectrally dependent increase of the throughput in the UV spectrometer. This spectral ageing in the UV spectrometer is observed for irradiance, radiance and WLS data and cannot be found in on-ground data."
p 16 300	Changed "composed" to "modelled"
p 16 304	Changed "perfect" to "are best described"
p 16 315	Added "For UVN (SWIR) a super pixel stretches over 20 (12) rows in the spatial direction. In the spectral direction (columns) it is 5,10,20 and 20 pixels for UV, UVIS, NIR and SWIR respectively. Apart from the spectrometer degradation in the UV, the data is spatially and spectrally smooth, so the super-pixel size has no impact on the result apart from noise reduction."
p 16 316	Added "Following the postulate of the model, the" ..
p 16 318	Added "If the residuals show in the future that the assumption of exponential decay is not justified anymore, a different fitting function can be used."
p 16 333ff	Rephrased to " In the left part of Fig.13 it can be seen that this spectrometer ageing is stronger than the signal decrease due to the diffuser degradation. In this way the UV spectrometer ageing nullifies the diffuser degradation."
p 17 347	Added "diffuser"
p 17 349	Added "The spectrometer specific degradation Dspec in the UV spectrometer is derived for the entire mission so far and the correction is applied to both the radiance and irradiance. The correction is also applied to the reference orbits for the absolute irradiance calibration"
p 17 350	Added " and that the steps occurring in the data around updates are minimal."
p 22 Table 4	Changed "The degradation per band per 1000 orbits as determined up to orbit 9748" to "The mean degradation per 1000 orbits as determined up to orbit 9748." Added % to the header.
p 23 362	Added "An investigation of various on-ground illumination sources via the Sun and the Earth port showed that the discontinuity is exclusively observed for the absolute irradiance calibration with the FEL lamp. The absolute radiance calibration with the FEL lamp is consistent with other calibration sources."
p 23 364	Added "The correction to the absolute irradiance is derived for orbits 2818 (QVD1) and 2819 (QVD2), the same orbits the diffuser degradation is tied to. The UV spectrometer specific degradation has been corrected in the used data, see Section 12."
p 23 365	Changed "A well-known solar reference is the high resolution Dobber spectrum (0.014 nm per pixel) (Dobber et al., 2008) and the Kurucz spectrum (Chance and Kurucz, 2010), which are high resolution composites of different solar measurement campaigns. It covers the spectral range of the TROPOMI instrument, but especially in the UV range it is unclear if it is reliable." To "Well-known solar references are the high resolution Dobber spectrum (± 0.014 nm per pixel) (Dobber et al., 2008) and the Kurucz spectrum (Chance and Kurucz, 2010),

Item	Change
	which cover the spectral range of the TROPOMI instrument. They are both high resolution composites of different solar measurement campaigns and not based on a single instrument.”
p 23 375	Removed “independently calibrated”
p 23 376	Added two references: Seftor et al., 2014; NASA Goddard Space Flight Center, 2019
p 23 380	Replaced “spectral” by “radiometric”
p 24 402	Added “Adapting only the irradiance calibration for UV and UVIS changes the reflectance for these spectral ranges. Initial validations tests show that this has indeed a positive impact on the L2 retrievals. In the future a more extensive re-assessment of the radiometric accuracy can be performed and any potentially remaining inconsistencies in radiance and irradiance can be addressed.”
p 24 423/424	Adapted SSD to be consistent with table: 5.6-> 5.5, 7.2 ->7, 28.8->28
p 25, 434/436	Changed v1 / v2 to version 1 /2
p 449	Changed “radiometry” to “radiometric”
References	Removed urls where doi is present, removed doi prefix in bib-file.
Language	Removed phrase “corrected for”.

Changes to initial version 1

The changes below have been performed to the initial version 1 sent out to the referees. These changes were already included in the version 2 which was published on the discussion page and are listed below for completeness.

Line number Fig/Table (version1/version2)	Original (version 1)	Update (version 2)
/Table 1		Added table on main characteristics.
24/ 24		Added " The main characteristics of TROPOMI are listed in Table 1. "
23/23	5.5km x 3.5km	Put non-rounded number to be consistent with new table: 5.6km x 3.6km
420/423	“before it was approximately 7 km at nadir and it is now about 5.5 km. In across-track direction the minimal sampling distance	Put non-rounded number to be consistent with new table: “before it was approximately 7.1km at nadir and it is now about 5.6km. In across-track direction the minimal sampling distance at nadir is around 3.6km for bands 2–6, about 7.2km for bands 7–8 and around 28.8km for band 1.”

Line number Fig/Table (version1/version2)	Original (version 1)	Update (version 2)
	at nadir is around 3.5km for bands 2–6, about 7km for bands 7–8 and around 28km for band 1.”	
Caption Fig.5/Fig.5	“The differences for low and high row numbers are now mostly within the requirements and more symmetrical.”	Added "(black lines)": “The differences for low and high row numbers are now mostly within the requirements (black lines) and more symmetrical.”
208	335–337	Changed to em-dash: “335–337”
Caption Fig.6/Fig.6	"Note that the row numbering is showing the binned count."	Changed to " Note that the binned row count is shown in the plots, the affected detector rows are rows 335--337."
220/221		Added: "Detector rows 335 and 336 correspond in this example to the binned row counter 144."
263/265	"For double processing, so re-analysing data that is corrected with the derived relative irradiance CKD, the standard deviation reduces to the order of $\times 10^{-4}$, this is an order of magnitude better than what was achieved with the on-ground data."	Re-phrased to: "To validate the integration of processor and key data, double processing is performed: data that has already been corrected with the derived CKD is re-analysed for remaining effects. Double processing irradiance data with the derived relative irradiance CKD reduces the standard deviation to the order of $\times 10^{-4}$. This result is an order of magnitude better than what was achieved with double processing of the CKD derived from on-ground calibration data."

In-flight calibration results of the TROPOMI payload on-board the Sentinel-5 Precursor satellite

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Abstract. After the launch of the Sentinel-5 Precursor satellite on 13 October 2017 its single payload, the Tropospheric Monitoring Instrument (TROPOMI), was commissioned during 6 months. In this time the instrument was tested and calibrated extensively. During this phase the geolocation calibration was validated using a dedicated measurement zoom mode. With the help of spacecraft manoeuvres the solar angle dependence of the irradiance radiometry was calibrated for both internal 5 diffusers. This improved the results that were obtained on-ground significantly. Furthermore the orbital and long term stability was tested for electronic gains, offsets, non-linearity, the dark current and the output of the internal light sources. The CCD output gain of the UV, UVIS and NIR detectors shows drifts over time which can be corrected ~~for~~ in the L1b processor. In-flight measurements also revealed inconsistencies of the radiometric calibration and degradation of the UV spectrometer. Degradation is also detected for the internal solar diffusers. Since the start of the nominal operations (E2) phase in orbit 2818 10 on 30 April 2018, regularly scheduled calibration measurements on the eclipse side of the orbit are used for monitoring and updates to calibration key data. This article reports on the main results of the commissioning phase, the in-flight calibration and on the instrument's stability since launch. Insights from commissioning and in-flight monitoring led to updates to the Level 1b processor and its calibration key data. The updated processor is planned to be used for nominal processing from [late](#) 2020 on.

1 Introduction

15 The Sentinel-5 Precursor (S5P) mission is part of the Copernicus Earth observation programme by the European Union. It is the first atmospheric observing mission within this programme (Ingmann et al., 2012). With its launch on 13 October 2017 the S5P mission can avoid large gaps in the availability of global atmospheric products between the future missions Sentinel-4 and Sentinel-5 and earlier and on-going missions such as SCIAMACHY (Bovensmann et al., 1999), GOME-2 (Munro et al., 2016), and OMI (Levelt et al., 2006). The S5P satellite flies in a low Earth orbit (824 km) and is Sun-synchronous with an 20 equator crossing time of 13:30 local solar time. The TROPospheric Monitoring Instrument (TROPOMI) is the only payload on S5P. It was jointly developed by the Netherlands and ESA. With its push-broom imaging system with spatial sampling down to ~~5.6~~[about 5.5](#) km \times ~~3.63~~[3.5](#) km a daily global coverage is achieved for trace gases and aerosols important for air quality, climate forcing, and the ozone layer. TROPOMI contains four spectrometer with spectral bands in the ultraviolet (UV), the

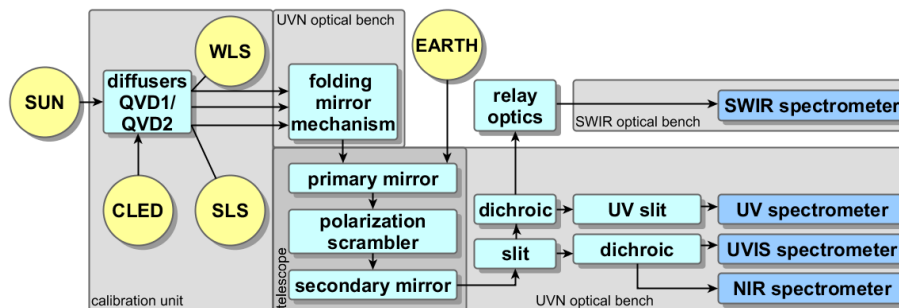


Figure 1. Functional diagram of the TROPOMI instrument. When the folding mirror is open, light from Earth enters the instrument's telescope. At the instrument slit light for the UV and SWIR spectrometers is reflected while it is transmitted for the UVIS and NIR spectrometers. Dichroics split the light further to the respective spectrometers. The SWIR spectrometer is housed in a separate optical bench connected by relay optics. When the folding mirror is closed, light can enter from the calibration unit. Light from the internal white light source (WLS) and the spectral line source (SLS) is reflected of the sides of the diffusers, while light from the common LED (CLED) or the Sun passes through either of the two quasi volume diffusers (QVD1 and 2).

Table 1. Main products and characteristics of the four TROPOMI spectrometers and the definition of the spectral bands with identifiers 1–8. The listed values are based on on-ground calibration measurements (see Kleipool et al. (2018)) and are valid at the detector centre. The performance range is the range over which the requirements are validated, the full range is larger. The nominal spatial sampling distance (SSD) is given at nadir for the updated operations scenario.

Spectrometer	UV		UVIS		NIR	
	1	2	3	4	5	6
Main level 2 products	O ₃		O ₃ , SO ₂ , CH ₂ O, aerosols		aerosols, clouds	
	NO ₂ , clouds					
Full spectral range [nm]	267–300	300–332	305–400	400–499	661–725	725–786
Performance range [nm]	270–320		320–490		710–775	
Spectral resolution [nm]	0.45–0.5		0.45–0.65		0.34–0.35	
Spectral sampling [nm]	0.065		0.195		0.125	
Nominal SSD [km ²]	28.828×5.655	3.635×5.655	3.635×5.655	3.635×5.655	3.635×5.655	3.635×5.655
Row binning factor	16	2	2	2	2	2

visible (UVIS), the near-infra-red (NIR), and the shortwave infra-red (SWIR) wavelengths (Veefkind et al., 2012). The main characteristics of TROPOMI are listed in Table 1 and a functional schematic is shown in Fig. 1.

This wavelength range allows for observation of key atmospheric constituents such as ozone (O₃), nitrogen dioxide (NO₂), carbon monoxide (CO), sulfur dioxide (SO₂), methane (CH₄), formaldehyde (CH₂O) aerosols and clouds. The instrument is measuring the radiance on the day side of each orbit and once a day the irradiance via a dedicated solar port as described

in-detail shown in Fig. 1. Sun light passes through one of the two internal quasi volume diffusers (QVD1 and QVD2) and is coupled via the folding mirror into the telescope of the instrument. A detailed instrument description can be found in KNMI (2017) and Kleipool et al. (2018).

The S5P mission is flying in constellation with the NOAA/NASA Suomi NPP (National Polar-orbiting Partnership) satellite. The difference in overpass time is 3–5 min, so high resolution cloud information and vertically resolved stratospheric ozone profiles from its instruments VIIRS (Visible Infra-red Imaging Radiometer Suite) and OMPS (Ozone Mapping and Profiler Suite) can act as supplementary input for TROPOMI data processing. Prior to launch the instrument was tested and calibrated as reported in Kleipool et al. (2018). Not all calibration data could be derived with the desired accuracy and had to be recovered during the E1 phase. For the solar angle dependence of the irradiance radiometry this could be done during the commissioning phase.

During the first 6 months of the mission the instrument was commissioned and dedicated measurements were scheduled to validate the geolocation, calibrate the angular dependency of the irradiance radiometry for both internal diffusers and calibrate detector and electronic effects such as gain, offsets and non-linearity. All instrument settings for all internal and external sources were checked and optimised for optimal signal to noise while leaving margin for changes in signal. The timing and definition for the measurement sequences of the different orbit types was adapted to match the detected darkness of the eclipse. The changes to the instrument settings and on-board procedures were extensively tested and burnt into the instrument's electrically erasable programmable read-only memory (EEPROM) before the start of the nominal operations (E2) phase. In regular intervals dedicated monitoring measurements were performed to assess the instrument's long term stability and its stability over an orbit. Also radiance and irradiance data was measured to optimise the nominal settings and allow for a testing of the S5P Payload Data Ground Segment (PDGS) and L2 processing. In two different zoom modes also high spatial sampling radiance data was measured for NO₂, clouds, CH₄ and CO retrievals.

Since orbit 2818 on 30 April 2018 the mission is in its nominal operations (E2) phase with a fully repetitive scenario and systematic processing and archiving of data products by the PDGS. The L2 products are disseminated to both operational users (e.g. Copernicus services, national Numerical Weather Prediction (NWP) centres, value adding industry) and the scientific user community. The repetitive scenario includes daily solar measurements and calibration measurements with internal light sources at the eclipse side of each orbit. In the following, the main results from the commissioning phase and in-flight monitoring will be presented.

2 Thermal stability

At the very beginning of the mission the instrument prime, Airbus Defence and Space Netherlands, could confirm that the thermal controls are within their predicted values and that the temperature setpoints can remain the same as used during on-ground calibration, see Kleipool et al. (2018). According to the prediction there is sufficient residual margin on all active thermal control channels to ensure temperature stability of the complete instrument over the entire mission lifetime. All measurements described in this article were performed at the nominal temperatures with active thermal stabilization. Monitoring shows that

the detector temperatures are stable within 10–30 mK, the lower values are for the SWIR and UV detectors. The NIR and UVIS detectors are within the larger range. The UVN optical bench module (OBM) with the common telescope and UVN optics as well as the SWIR specific OBM are stable within 60 mK. All values are well within their specifications. During nominal instrument operation, the only exceptions to the thermal stability occur during and after orbital manoeuvres [when the radiant cooler points in a sub-optimal direction](#). The UVN detectors and OBM recover their stability within 1–2 orbits. For the SWIR grating, the recovery takes the longest time: for out-of-plane manoeuvres up to 35 orbits have been observed. This leads to an estimated spectral shift of $0.12 \pm 0.01 \text{ nmK}^{-1}$. With version 2 of the L1b processor, measurements taken under non-nominal thermal conditions will be flagged.

70 3 Light tightness

The folding mirror mechanism (FMM) closes the Earth port of the instrument and relays light from the calibration unit (CU) to the instrument's telescope [as indicated in Fig. 1](#). When the FMM is closed the entire instrument can be closed off from external light for certain positions of the diffuser mechanism (DIFM). The closed position is however not entirely light tight as in-flight tests showed. For the UVN detectors signals up to 100 times the dark current could be observed when the instrument is in closed position. For the SWIR module no light leaks were detected, however the SWIR module is sensitive to hot spots such as gas flares on the eclipse side (see van Kempen et al. (2019)). The nominal operations baseline was therefore adapted such that all calibration measurements only start once the spacecraft is in full eclipse and the radiance background is only measured with a closed FMM, as described in Section 14.

4 Internal sources

80 The TROPOMI instrument contains several internal light sources. LED strings are placed close to each of the detectors (DLED) and in the calibration unit [\(see Fig. 1\)](#) are a white light source (WLS), a LED in the visible wavelength range (CLED) and a spectral line source (SLS). The SLS consists of 5 temperature tunable narrowband diode lasers in the SWIR wavelength range. During the commissioning phase, all internal sources were checked and compared to measurements performed during on-ground calibration. The differences in detector response in-flight relative to on-ground are close to 1 for DLED, CLED, 85 and SLS. The WLS shows the expected increase in brightness due to the micro-gravity environment, the signal is about 1.1–1.4 times larger in-flight. During nominal operations the internal light sources are used for calibration measurements and their output [signal](#) is monitored for ageing effects. For the DLED and the CLED the average detector response decreases approximately linearly. For the WLS the [average detector](#) response decreases linearly for all detectors but the SWIR. Both the CLED and WLS show variations in [output-observed signal](#) of $\pm 0.5\%$ from measurement to measurement, for the DLED 90 the variation is smaller than 0.05% . The average decrease in [output-measured signal](#) per 1000 orbits is shown in Table 2. [Depending on the source and its location in the instrument, the listed values can contain contributions from degradation of the](#)

Table 2. The observed average approximate decrease in [output signal](#) for the internal light sources per 1000 orbits.

Source	UV	UVIS	NIR	SWIR
DLED	0.64 %	0.59 %	0.74 %	0.15 %
WLS	0.90 %	0.77 %	0.22 %	0 %
CLED	n/a	0.33 %	n/a	n/a

[source, its specific optics, the diffusers, the folding mirror, the telescope and the spectrometers.](#) The output of the SLS is stable as already reported in van Kempen et al. (2019).

5 Orbital electronic stability

95 The orbital stability of electronic gains, offsets and noise was tested with dedicated measurements during the commissioning phase. For SWIR, as reported in van Kempen et al. (2019), no orbital dependencies were detected for offset, dark current and noise.

For UVN the orbital dependency of the dark current could not be established since the FMM is not sufficiently light tight. The dark current measurements on the eclipse side suggest a dark current of $2 e^{-} s^{-1}$, this is consistent with the on-ground
100 results. Also the offsets that are derived from in-flight data show the same behaviour as on-ground. There is no significant orbital dependency of the computed gains for the programmable gain amplifier (PGA), correlated double sampling gain (CDS) and CCD output node gain ratios, however there is a temporal drift of the CCD gain ratio and the gain alignment between bands, see Section 6 below. The non-linearity calibration key data (CKD) obtained from in-flight data differs from the on-ground key data no more than 1 % of the signal.

105 6 Gain drifts UVN detectors

The CCD output nodes of the UVN detectors convert the signal from charge to voltage. The CCD output nodes can be used with a high or a low electronic gain to optimize the signal. It has been found that the amplification can drift in time for the low CCD gain setting. The drift can be calculated from the relative change in the gain ratio between the high and low CCD gain setting. The CCD gain ratio is derived from the image-averaged signals of unbinned DLED measurements with four different
110 exposure times for both high and low CCD gain. Regression lines are fitted through these four data points for each gain setting. The ratio of the slopes of the regression lines for both CCD gain settings is the CCD gain ratio. The ratios are around 1.8, but are different for each band as shown in the top panel of Fig. 2. The figure shows the variation over time of the ratio of CCD gain settings and in the lower panel of Fig. 2 the ratio with respect to the gain ratio measured on-ground is shown. Compared to the on-ground value the ratio deviation is always at least 0.54 % and reaches more than 1.4 % in band 2. The biggest change
115 occurs around orbit 2765, when the instrument was switched off entirely to be able to burn the EEPROM. Before the EEPROM

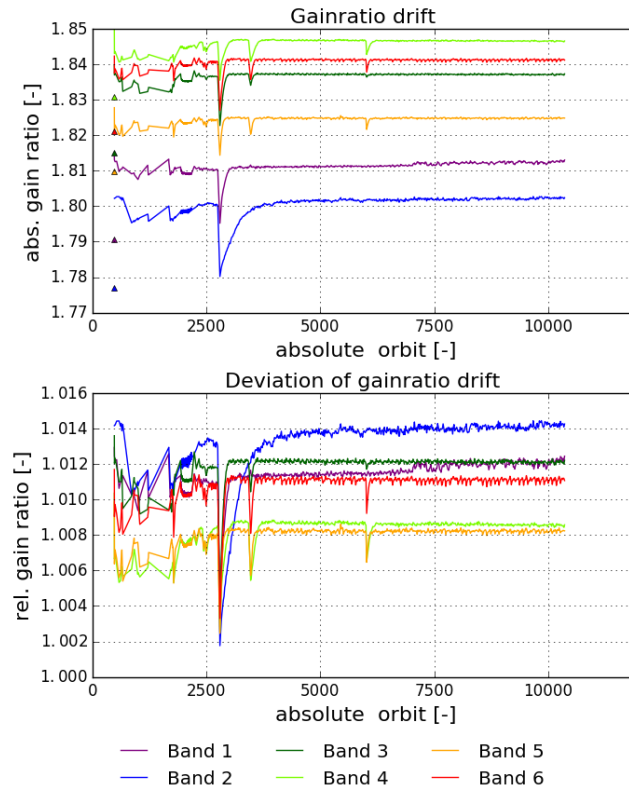


Figure 2. Gain drift of the UVN CCD detector output nodes. The top panel shows the ratio of high and low CCD gain setting over time and the lower panel shows this ratio over time with respect to the on-ground ratio. The different colours represent the different detector bands (see legend). The triangles in the top panel show the gain ratio as derived from on-ground measurements.

burn there are large variations and less measurement points. This is during the commissioning phase. Since the EEPROM burn the measurements are all taken according to the nominal operations baseline, so radiance measurements on the day side and calibration measurements on the eclipse side of each orbit. After the EEPROM burn the gain ratio relaxes at different rates to more constant values, however during spacecraft manoeuvres around orbits 3470 and 6010 the bands 3–6 – the UVIS and NIR detectors – show dips in the gain ratio. During the E2 phase eight other spacecraft manoeuvres were performed which do not show in the gain ratio. No correlations were found between the drift in the gain ratio and changes in temperatures ~~or voltages~~, voltages or other housekeeping parameters of the instrument.

Due to the separate read-out chains for each detector half, the band signals need to be aligned in the centre of the detector as described in KNMI (2017), a drift in the CCD gain also changes this gain alignment. When the gain alignment factor for each band is calculated, the ratio of these factors follows the ratio of the low-high gain ratio drift as can be seen in Fig. 3. The right bottom panel of Fig. 3 shows the drift relative to the first available in-flight measurement. The correlation between the interband gain ratio drift and the alignment gap becomes clear. The gain alignment for the high CCD gain setting changes

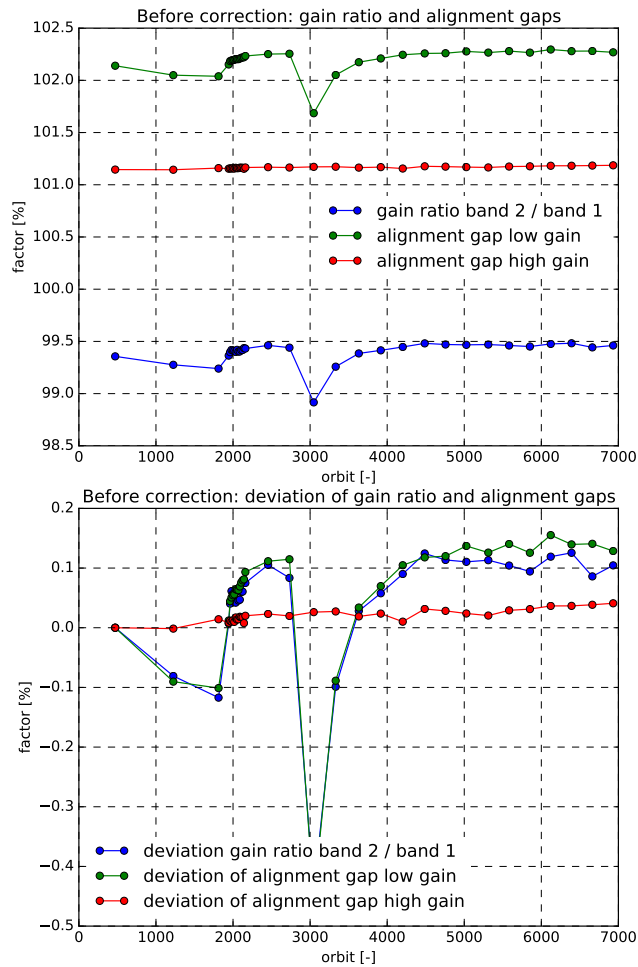


Figure 3. Without CCD gain drift correction: the alignment correction factor ratio between bands 1 and 2 for low (green) and high (red) CDD gain setting together with the relative drift of the computed gain ratios (blue). The top panel shows the absolute values and the bottom panel shows the values relative to the first available in-flight data. The alignment correction factor ratio for low gain follows the gain ratio drifts.

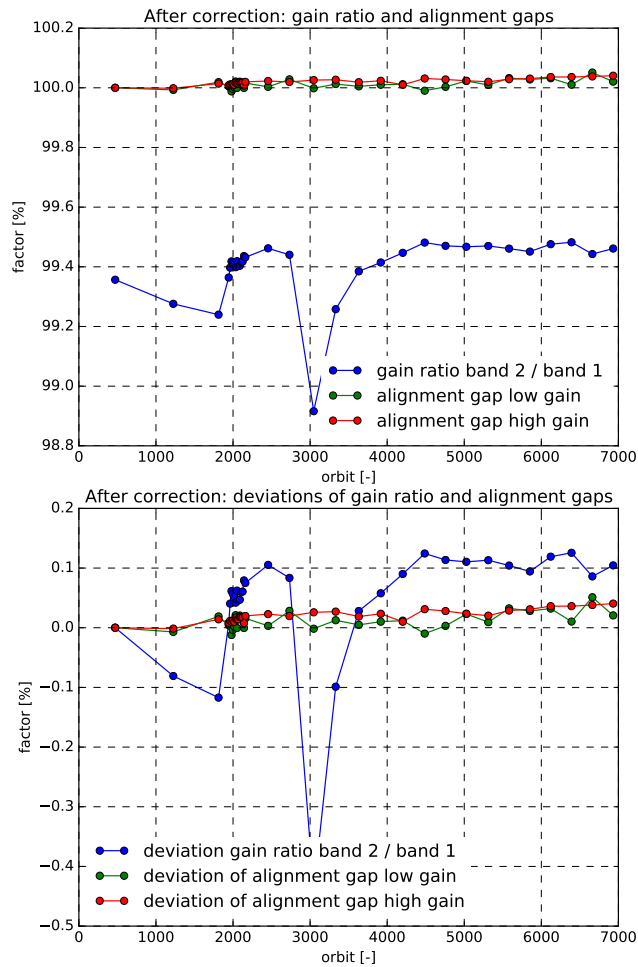


Figure 4. With CCD gain drift correction: the alignment correction factor ratio between bands 1 and 2 for low (green) and high (red) CDD gain setting together with the relative drift of the originally computed gain ratios (blue). The top panel shows the absolute values and the bottom panel shows the values relative to the first available in-flight data. The alignment correction factor ratio is around 1 after the gain drift correction.

by less than 0.05 %, while for the low gain setting changes up to 0.5 % occur. The UVIS and NIR detectors show similar behaviour. During the nominal operations phase E2 the CCD gain ratio is computed on a daily basis from dedicated DLED
130 measurements. The computation is automatically done by the in-flight calibration (ICAL) processor at the payload data ground segment (PDGS) and the result is therefore available in the calibration data product. The correction of the gain drift is done in the L1b processor with a regularly updated calibration key data (CKD) file. The signal for a specific UVN band is then corrected with the interpolated or extrapolated factor depending on the current orbit number.

After the gain drift correction, the recomputed alignment factor is indeed more or less one, for both the high and the low gain
135 measurement as shown in Fig. 4 and does not follow the initially derived gain ratio drift (blue line). The inter-band alignment gap now stays well below 0.1 % for all orbits and all bands. The deviation from the alignment will be continually monitored. If the deviations grow in spite of the gain drift correction, a re-alignment can be performed by a CKD update.

The simplest solution to increase the gain stability would be to only use the high CCD gain setting. This is however not possible for radiance measurements. A high CCD gain setting would require shorter exposure times to avoid saturation of
140 amplifiers in the electronic read-out chain. The high optical throughput of the UVIS and NIR spectrometers already require the shortest possible exposure times. For the UV spectrometer the high fixed gain in the analogue video chain and ozone hole conditions prevent that the high CCD gain can be used. To minimize the possible impact on the values of the Earth's reflectance, it was chosen to use the same instrument settings for both radiance and irradiance measurements where possible.

~~Without CCD gain drift correction: the alignment correction factor ratio between bands 1 and 2 for low (green) and high (red)
145 CDD gain setting together with the relative drift of the computed gain ratios (blue). The left panel shows the absolute values and the right panel shows the values relative to the first available in-flight data. The alignment correction factor ratio for low gain follows the gain ratio drifts. With CCD gain drift correction: the alignment correction factor ratio between bands 1 and 2 for low (green) and high (red) CDD gain setting together with the relative drift of the originally computed gain ratios (blue). The left panel shows the absolute values and the right panel shows the values relative to the first available in-flight data. The
150 alignment correction factor ratio is around 1 after the gain drift correction.~~

7 Pixel saturation and charge blooming

For very bright radiance scenes, for example above high clouds [in the tropics](#), the CCD pixels of bands ~~4 and 6~~ [4–6](#) can saturate. This is caused by the combination of the optical throughput, which is higher than designed, and the pixel and register full well values, which are lower than designed. [For the CCD detectors spatial binning is applied: the charge of several successive
155 detector rows is added in the register and then read out.](#) By adapting the binning schemes for the CCD detectors and minimizing the exposure time, [this the saturation issue](#) could be partly mitigated. However, it is impossible to completely avoid saturation for bands ~~4 and 6~~ [4–6](#). [In the tropics typically about 0.2–0.5 % of the pixels are flagged for saturation in bands 4–6, other regions and bands are hardly ever affected.](#) In case of heavy pixel saturation, charge blooming can occur: excess charge then flows from saturated pixels into neighbouring pixels in the detector row direction ([spatial direction](#)). For TROPOMI this means
160 that a bright, saturated scene will affect neighbouring scenes, resulting in higher signals for one or more spectral pixel in these

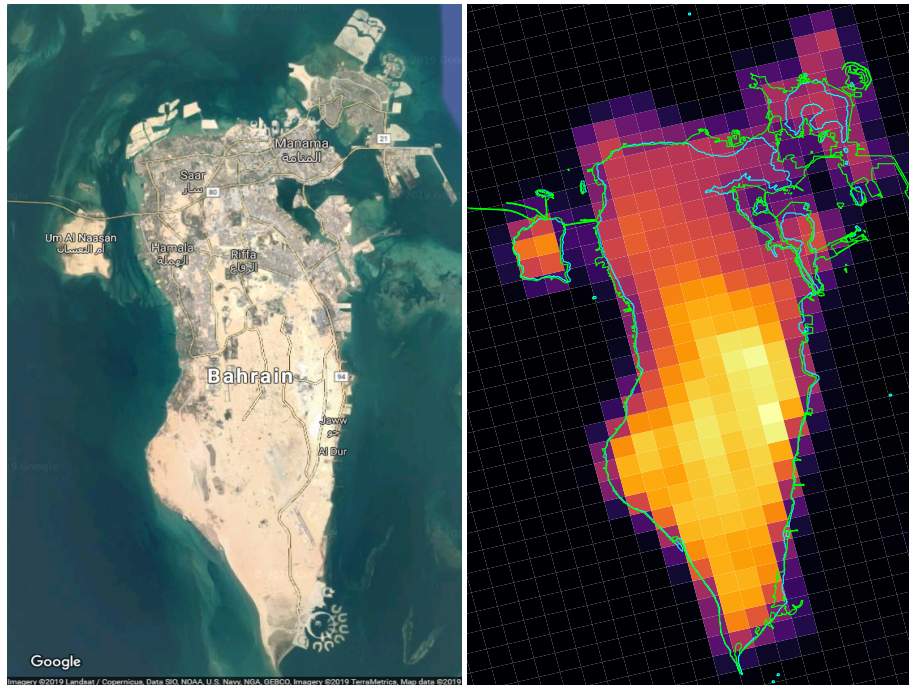


Figure 5. The left plot shows a Google maps satellite view of the island country of Bahrain. The smaller island Um Al Naasan to the west has a size of approximately 4×5.5 km. The colourmesh plot on the right hand side is made using TROPOMI geolocation zoom radiance data of band 6 for orbit 1305. The scene is situated at nadir and has a ground pixel size of approximately 1.8×1.8 km. The two reference coastline datasets described in the text are plotted in light-blue (500 m accurate WVS) and light-green (50 m accurate PGSD). The contrast between water and the desert type land is large. In the north and south-east newly created artificial islands can be seen which are measured by TROPOMI and are visible on Google maps but are not included in the coastline references, as these were produced using older satellite measurements.

neighbouring scenes. The internal light sources are not suitable to observe this effect, as they have a flat illumination pattern and charge blooming is best observed with a high contrast in the detector row (across-track) direction. Therefore reflectance data from saturated scenes was used to determine the extent of the blooming for various pixel fillings. A new dedicated L1b algorithm checks if pixel fillings exceed specific thresholds and then flags up to 24 pixels in row direction. This new algorithm is included in version 2 of the L1b processor.

8 Geolocation

During on-ground calibration the line-of-sight of each TROPOMI detector pixel was calibrated with a collimated white light source as described in Kleipool et al. (2018). As there is no comparable source available in-flight, a special measurement mode was developed for in-flight validation where data is acquired for all detectors with the highest possible spatial resolution. This is done by setting the binning factor for UVN to 1 for all illuminated rows and reducing the co-addition time for all detectors.

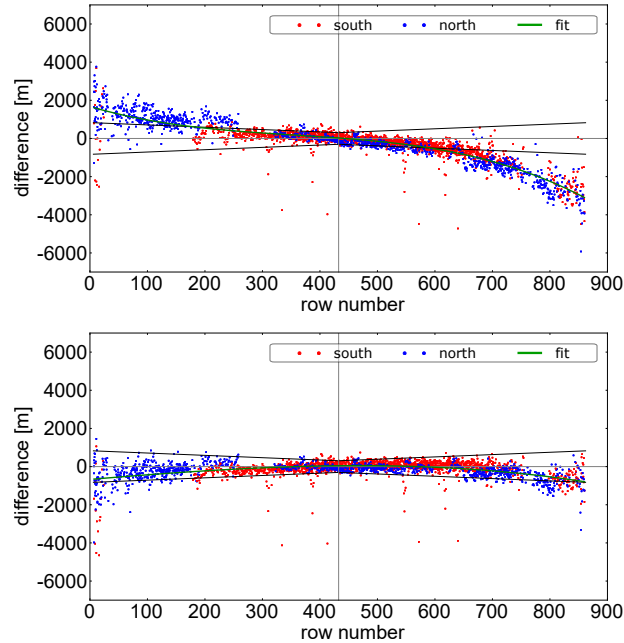


Figure 6. **Left-Top** plot: Along-track distance between the coastline points determined from TROPOMI (band 6) radiance and the 50 m accurate PGSD reference versus illuminated detector row number. The land-water orientation of the scenes are labelled by colour, while the black lines indicate the geolocation knowledge requirements, as extended linearly from nadir to edge-of-swath. Ignoring outliers, at nadir (indicated by a vertical line) the differences lie within the requirement. However, large positive differences for low row numbers and large negative differences for high row numbers are distributed linearly. **Right-Bottom** plot: The same data but now with a yaw-angle correction of 0.002 radians applied in the L1b processor. The differences for low and high row numbers are now mostly within the requirements (black lines) and more symmetrical.

This zoom-mode leads for the UVN spectrometers to ground pixels with a size of approximately 1.8×1.8 km in along-track \times across-track direction at nadir and 1.8×9.2 km at the edge of the swath. For the SWIR spectrometer 1.8×7.1 km is reached at nadir and 1.8×37.5 km at the edge of the swath. However, not all detector pixels can be read out with this high resolution, as both internal data rate limits and the data downlink limit would be reached. To circumvent that, only a small range of columns at the detector edges is read out for the UVN detectors, so only a narrow spectral range is available per UVN band. The SWIR module has a CMOS detector and pixel selection can only be done per band, so it was chosen to read out only band 7, the lower wavelength half of the SWIR detector.

For the analysis a number of latitude-longitude windows are selected with a straight coastline with a large radiance contrast in either across-track or along-track-along-track direction. Within these windows the four consecutive ground pixels with the largest radiance difference are found in the direction orthogonal to the coastline. A third-degree polynomial is fitted through these four points and its inflection point is calculated. If the inflection point lies between the second and third pixel, it is considered to be a measured coastline point by TROPOMI. Scenes where cloud coverage disturbs the coastline determination

are discarded by visual inspection. Two reference coastline datasets published by NOAA are used to determine the difference with the polyline formed by the valid inflection points: the (preliminary) circa 50 metre accurate high-water line prototype global shoreline data (PGSD) (NOAA, 2016a), and the circa 500 metre accurate average-water line world vector shoreline (WVS) (NOAA, 2016b) datasets released within the global self-consistent hierarchical high-resolution geography database (GSHHG). As can be seen on the right in Fig. 5 the differences between the high- and the average-water reference are quite large. Furthermore it can be seen that the used references are based on out-dated satellite imagery: the artificial island group Durrat Al Bahrain (construction start 2004) in the south-east is only partially visible in the PGSD reference. The accuracy of the available coastline data in combination with the deviating tidal level during the TROPOMI overpass is a source for errors in this analysis. Other possible error sources are shallow water with increased radiance levels, river estuaries, lagoons and clouds missed by the visual inspection. The analysis was performed for different scenes distributed all over Earth for bands 4–7, for bands 1–3 the contrast was found to be too small. The best land–sea contrast is observed for band 6.

The shortest distance between each determined coastline inflection point and a reference coastline polyline is determined, in longitude and latitude as well as absolute. Using an approximate spacecraft average heading angle of 12° around the equator, these differences are converted to along-track and across-track distances. The mission requirement on the ground pixel position knowledge is 305 m at nadir and 825 m (1500 m) at the edge of swath in along-track (across-track) direction. The distance in along-track direction is shown for band 6 in Fig. 6, the location of the landmass with respect to the sea is indicated in colours. In the [left-top](#) plot it is clear that the low row numbers, corresponding to the western part of the swath, display a bias towards the north (positive distance), while the eastern part of the swath (high row numbers) has a bias to the south. This corresponds to an error in the yaw-angle of the geolocation. For the ~~SWIR and UVIS detectors~~, [UVIS and NIR spectrometers](#) the same effect is observed, so a mechanical change within the instrument [itself](#) during launch seems ~~unlikely~~. ~~The highly unlikely~~. [For UV the signal to noise of the high resolution measurements with their small spectral range is too small to draw conclusions. The light for the UV and SWIR takes the same path up to and including the instrument slit and the UV spectrometer is part of the UVN optical bench as shown in Fig. 1. As the SWIR spectrometer shows the same effect as the UVIS and the NIR spectrometers and no difference is observed between UVIS and NIR, due to the instrument design it is highly unlikely that the UV spectrometer should behave differently.](#) The gravity release of the topfloor of the platform could cause the [observed](#) change in pointing. From the measurements the yaw-angle correction has been determined to be 0.002 radians. This correction has been implemented in the L1b processor since version 1 which has been operational before the start of the E2 phase. As can be seen in the [right-bottom](#) plot of Fig. 6, with the updated geolocation, the along-track differences are symmetrical and the ground pixel knowledge is mostly within the mission requirements. [A further validation is not foreseen, as the nominal radiance measurements have a larger groundpixel size.](#)

9 Spectral annotation

The L1b processor assigns a wavelength to every spectral pixel based on on-ground calibration data. In L2 processing this assignment is used as an initial value for wavelength fitting. After launch it was observed from L2 retrievals that the assignment

Table 3. The wavelength shift $\Delta\lambda$ as implemented in the update of the nominal wavelength annotation CKD. Note that only one value per detector has been chosen.

Detector Band	UVIS		NIR		SWIR	
	3	4	5	6	7	8
Observed shift [pm]	29	25	–	–2.5	–40	–
Observed shift [pixel]	$\frac{1}{7}$	$\frac{1}{8}$	–	$-\frac{1}{50}$	$-\frac{1}{2}$	–
Implemented $\Delta\lambda$ [pm]	27		0		–40	

is shifted with respect to the fitted values. From gravity release and the connected mechanical relaxation some impact on the spectral calibration can be expected. For SWIR spectrometer, the temperature of the grating plays a big role for the wavelength stability. The wavelength fit results from the algorithms for daily aerosol index (band 3), NO₂ (band 4), FRESCO (band 6) and CO (band 7) were used as input for a CKD update. For other bands no operational data, where only a wavelength shift and no wavelength squeeze is fitted, was available. The wavelength fits showed some variation both over the detectors and over time. For the UVN spectrometers both variations are within the accuracy of the on-ground calibration values of 9 pm. For the SWIR spectrometer, the change over time is much larger than the on-ground accuracy (0.06 pm) and is related to the very long thermalization time of the grating.

The nominal wavelength annotation CKD has been updated with a wavelength shift $\Delta\lambda$ based on radiance L2 fitting data. In Table 3 the averaged observed shifts and the implemented correction to the CKD are shown. A single shift per detector is added to the on-ground calibration data, but only where the shift exceeds the on-ground calibration accuracy. The value has been chosen from data at the middle of September 2018 for SWIR and at the beginning of October 2018 for UVN. The correction will become active with version 2 of the L1b processor. In case the wavelength calibration changes further [or data for other bands becomes available](#), the CKD can be updated.

10 Slit irregularity

When the slit in the optical path is locally obstructed, the instrument throughput is lowered for specific viewing angles corresponding to detector rows ([spatial direction](#)) and the instrument spectral response function (ISRF) can change for these angles. For the UV detector a lower signal was observed for detector rows 335–337 after launch. The other detectors show no signature of a slit-irregularity. ~~Therefore~~ [From the instrument design as shown in Fig. 1 it can be seen that](#) not the main instrument slit but the slit in the UV spectrometer is most likely causing the feature. A slit-irregularity correction had already been foreseen in the L1b processor, so only an update of the calibration key data (CKD) was needed. The CKD has been derived from unbinned measurements with the internal white light source (WLS). The WLS is located inside the calibration unit and its light reaches the main instrument telescope via the side of either one of the diffusers and the folding mirror mechanism (FMM) ~~as shown in~~ [Fig. 1](#). Unlike radiance or irradiance measurements, the WLS provides a smooth spectrum without spectral lines. The image is

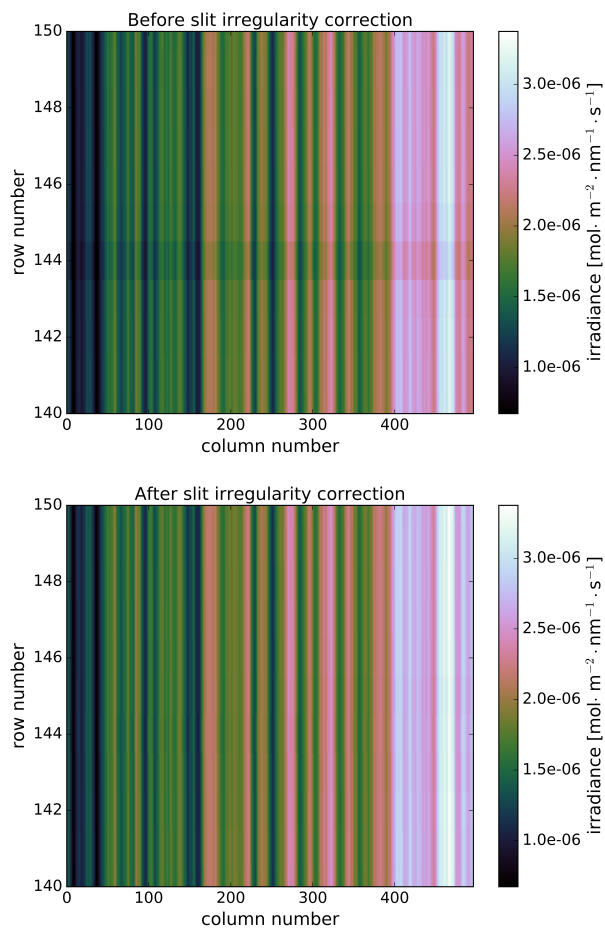


Figure 7. Zoom to the rows around the slit irregularity for binned irradiance data with ~~ICID-202~~ via diffuser QVD1 for band 2 before (left top) and after L1b correction (right bottom). Note that the binned row count is shown in the plots, the affected detector rows are rows 335–337. The correction is effective.

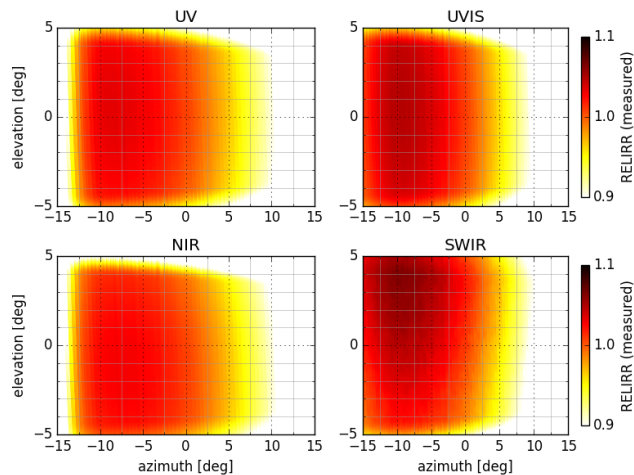


Figure 8. The relative irradiance in-flight measurements for diffuser 1 (QVD1) divided by their reference measurements. Shown are the values for the different solar angles for a super pixel in the detector corner (row 20, column 30) for each detector. The variation in azimuth direction is smooth. For both solar angles the signal cut-off is visible.

240 corrected for the pixel response non-uniformity (PRNU), normalized with the signal in an unaffected row in the vicinity of the
irregularity and then fitted linearly over 25 rows around the irregularity. To improve the fit, the signal is averaged over 5 spectral
pixels ([columns](#)). The derived correction is the largest in detector row 335 with 6 % and has been determined with a relative error
of 1.09 % for band 1 and 0.30 % for band 2. The error is larger in band 1 due to a lower signal-to-noise ratio of the available
measurements. Figure 7 shows the irradiance signal in band 2 before and after the correction. The shown measurement type
245 with instrument configuration identifier (ICID) 202 is the one also used for Level 2 processing with the same binning scheme
as the nominal radiance measurements. Detector rows 335 and 336 correspond in this example to the binned row counter 144.
The slit-irregularity is so far stable, both in location and magnitude. The stability and the remaining effects were determined
using corrected WLS measurements from different instrument settings and from different orbits during mission. The validation
confirms the uncertainty as derived for the CKD error. The on-board light sources for the UVN spectrometers are not suitable
250 to investigate a possible change of the ISRF for the affected rows. However, most Level 2 algorithms take small changes of the
ISRF into account, so the impact is expected to be small. The slit-irregularity correction will become active with version 2 of
the L1b processor.

11 Relative irradiance calibration

The relative angular radiometry of the TROPOMI solar port had been measured during the on-ground calibration campaign.
255 However the measurement suffered from instabilities of the optical stimulus and as a consequence only key data for one of the
two internal quasi volume diffusers (QVD), namely QVD2, could be derived with a reduced angular resolution, see Kleipool
et al. (2018). In-flight the entire elevation angle range of the solar port is covered during each solar measurement, however the

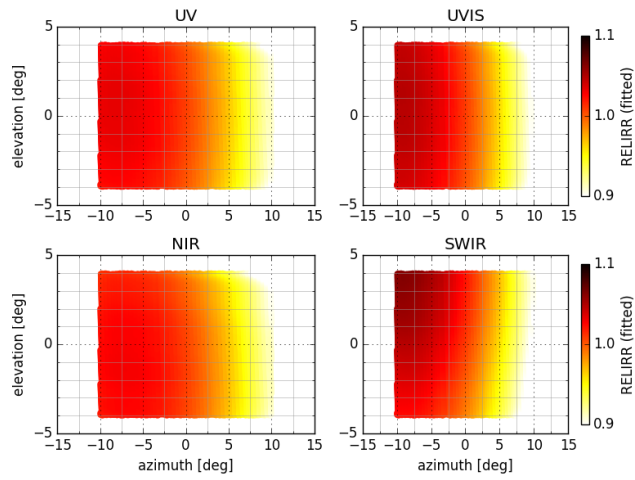


Figure 9. The fit for the values in Fig. 8 using an 8th order Chebyshev polynomial both in the azimuth and elevation direction. The polynomial was fitted to values between -10° and $+10^\circ$ in the azimuth direction and -4° and $+4^\circ$ in the elevation direction.

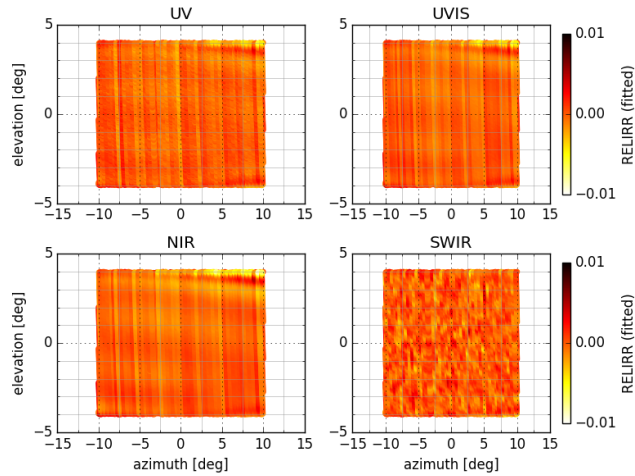


Figure 10. The residuals of the fit shown in Fig. 9. Orbit to orbit variations (visible as stripes) form the main contribution.

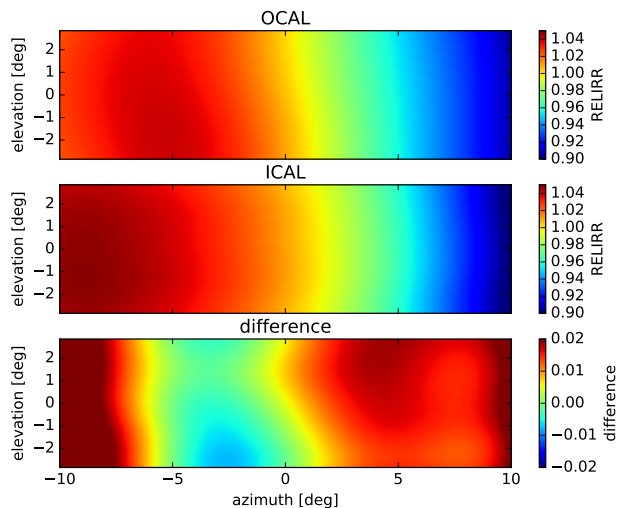


Figure 11. The CKD for band 3 for diffuser QVD1 as derived from the on-ground campaign data (top), the CKD derived from commissioning phase data (middle) and the difference between the two (lower panel). Shown is the value for a super pixel in the corner of the detector in row 20 and column 30. The in-flight CKD shows more detail and the CKDs differ up to 2 percent points.

azimuth angle range is only covered over the course of one year. To obtain valid key data for the entire solar angle range before the start of nominal operations, the different azimuth angles were obtained by moving the platform with a slew manoeuvre in successive orbits. Both internal solar diffusers QVD1 and QVD2 were re-calibrated with a higher sampling of the illumination angles than used on-ground. For QVD1, the main diffuser, 400 ~~orbits~~ consecutive orbits (starting in orbit 1247) were used for the solar calibration, this corresponds to azimuth angles every 0.15° between -15° and $+15^\circ$ with reference points in between. During on-ground calibration it was not possible to cover the entire azimuth range and the measurement grid was 10 times coarser than in-flight. For the elevation angle the in-flight grid is more than 25 times finer than on-ground. For QVD2, the backup diffuser, the sampling was reduced to 0.25° over 240 orbits in the same azimuth range and also with references in between. The reduction was chosen due to the observed degradation in QVD1 (see also Section 12). The reference points are measured to account for instrument degradation, possible electronic drifts and changes in solar output. The reference angle is 1.269° azimuth and 0° elevation, the same solar angle as used on-ground for the absolute irradiance calibration. The solar measurements are performed around the northern day-night terminator, where the solar zenith angle is approximately 90° .

During the solar measurements the azimuth angle drifts over a small range ($\approx 1.5^\circ$) around the commanded azimuth angle. The measurement duration is long enough to cover the full elevation range ($\approx -5^\circ - +5^\circ$). From each series of azimuth angles around the reference azimuth angle, the frame closest to the reference angle is chosen as the reference measurement. This frame is then used to determine the relative irradiance and degradation. The overall azimuth grid is sampled such that the full range is scanned several times with a successively finer resolution alternating with reference measurements. This is done to ensure the sampling of the entire solar angle range even if not all measurements can be performed or are missing due to downlink

issues. Both QVDs were measured without row binning in the illuminated region. The CKDs for QVD1 and QVD2 do not differ substantially, therefore only results for QVD1 are shown here.

For the analysis the same fitting approach was chosen as for the on-ground calibration analysis described in Kleipool et al. (2018): all measurements are processed up to and including the sun-distance correction, divided by the reference frame from the following orbit and transformed to an azimuth–elevation super pixel grid of size 10×10 pixels. In Fig. 8 the normalized measurements of such a super pixel (row 20, column 30) is shown for each detector for QVD1. There is a substantial, but smooth variation in the azimuth direction of about 15 % between -10° and $+10^\circ$.

In the elevation direction the variation between -4° and $+4^\circ$ is small, but the drop in signal for larger deviations is sudden. To derive the relative irradiance key data, a fit is performed on this super pixel grid using an 8th order Chebyshev polynomial both in the azimuth and elevation direction. The polynomial was fitted to values between -10° and $+10^\circ$ in the azimuth direction and -4° and $+4^\circ$ in the elevation direction as shown in Fig. 9. The higher angular sampling shows more detail and is best reflected with a polynomial higher in order than used for the on-ground data. [The fitting window covers the natural yearly solar azimuth variation for the reference orbit with equator crossing time of 13:30 local solar time.](#)

The residuals that remain after application of the Chebyshev fit as shown in Fig. 10 are largely caused by the variation between the orbits, see also Section 12 [for the description of the residuals.](#) Every track along the azimuth/elevation has a distinct amplitude. The origin of this variation is not yet exactly known. This random variation that is around $1-3 \times 10^{-3}$ poses a lower bound of the exactness of the fit for the available data. To validate the integration of processor and key data, double processing is performed: data that has already been corrected with the derived CKD is re-analysed for remaining effects. Double processing irradiance data with the derived relative irradiance CKD reduces the standard deviation to the order of $\times 10^{-4}$. This result is an order of magnitude better than what was achieved with double processing of the CKD derived from on-ground calibration data.

Calibrating the solar diffusers in-flight by moving the platform proved to be very successful: apart from the better accuracy, the new CKDs also show more detail than the on-ground CKDs. In Fig. 11 the CKDs from on-ground and in-flight calibration are shown with their difference which is up to 2 percent points. With version 2 of the L1b processor accurate key data for both internal diffusers will be available. [The slew manoeuvres are included in the nominal operations baseline as described in Section 14. This reduces the measured azimuth range to less than \$\pm 1^\circ\$ around the reference angle.](#)

12 Absolute radiometry and instrument degradation

In-flight the instrument is exposed to UV light and cosmic radiation potentially causing degradation of optical and electronic parts. Apart from the degradation, electronic drifts can occur that lower the radiometric accuracy of the radiance and irradiance. During nominal operations calibration and monitoring measurements are scheduled on a regular basis to be able to correct for degradation and drift effects. The TROPOMI instrument is designed such that all optical elements in the Earth view mode are included in the optical path when the Sun is measured. Thereby all degradation occurring in the spectrometers should cancel out when the reflectance is considered. To be able to determine the Earth's reflectance, the instrument measures the Sun via

internal quasi volume diffusers (QVDs) on a regular basis. The main diffuser (QVD1) is used every day and once a fortnight
310 and the backup diffuser (QVD2) every week during nominal operation.

Although in reflectance degradation effects should cancel, this only holds if the solar port degradation is corrected~~for~~. In addition, it is highly desirable to isolate the degradation of the spectrometers and correct for it separately. In this way irradiance, radiance and reflectance are all stand-alone products.

Thus, the challenge is to separate the various degradation and drift effects and identify where exactly in the instrument they
315 occur. For diagnostics the internal light sources and solar measurements can be used. ~~The~~ To determine relative electronic drifts, the DLEDs which are situated close to the detectors are used. The optical path of the WLS includes additional elements which are not part of the optical path for light from the Earth or the Sun, and the WLS light does not pass through the QVDs. The internal light sources also show a decrease in output which cannot be separated from instrument degradation as described in Section 4~~and are therefore not as useful as the irradiance measurements. The internal light sources are therefore less suitable~~
320 for the calibration of the degradation of the irradiance and radiance optical paths. Radiance measurements in general show ~~too~~ much variability in themselves and would require too much input from atmospheric models to be useful for the derivation and regular update of an independent and sufficiently accurate degradation correction ~~-for operational L1b processing. In the future the derived correction needs to be validated by - for example - using sites with well known reflectance.~~

During the commissioning phase of TROPOMI several effects were identified: the degradation of the diffusers (QVD1
325 and QVD2) used for irradiance measurements, a drift of the CCD gain for the UVN spectrometers and a gradual spectrally dependent increase of the throughput in the UV spectrometer~~and a drift of the CCD gain for the UVN spectrometers. This spectral ageing in the UV spectrometer is observed for irradiance, radiance and WLS data and cannot be found in on-ground data.~~ With the exception of the UV spectrometer, so far no degradation could be identified within the other spectrometers. If – in the future – also degradation can be identified for other spectrometers than the UV, the L1b processor has the capability to
330 correct spectrometer degradation for all bands provided that calibration key data can be derived.

To describe the spectrometer and solar port degradation for both internal diffusers QVD1 and QVD2, a model is used where the different contributions multiply to the total observed signal. For each (illuminated) detector pixel the total degradation D_{tot} is described by a linear system per QVD:

$$\begin{aligned} D_{\text{tot},q1}(k) &= D_{q1}(t_{q1}(k)) \cdot D_{\text{com}}(k) \cdot D_{\text{spec}}(k) \cdot R_k \\ D_{\text{tot},q2}(k) &= D_{q2}(t_{q2}(k)) \cdot D_{\text{com}}(k) \cdot D_{\text{spec}}(k) \cdot R_k \cdot P_k \end{aligned} \quad (1)$$

335 The total degradation is composed-modelled by a contribution from the specific diffuser D_{q1} or D_{q2} , a contribution which is common for both diffusers D_{com} , a contribution which can be attributed specifically to the spectrometer D_{spec} and the residuals R_k and P_k . The residuals describe mainly measurement to measurement variations, part of them are common to both diffusers (R_k) and some are specific for QVD2 (P_k). The variable k denotes the time in orbit numbers. The specific degradation curves D_{q1} and D_{q2} are perfect best described by exponential curves, where the decay rate for D_{q2} is about six times smaller than
340 for D_{q1} , the ratio of usage between QVD1 and QVD2. The component D_{com} denotes an exponential decay which is observed for irradiance measurements both via QVD1 and QVD2 and cannot be explained by the difference in usage. This common

degradation could have its cause in the folding mirror, which is part of the irradiance path for both diffusers, the telescope or within the spectrometers.

To solve the linear system in Eq. (1), the solar irradiance measurements for QVD1 and QVD2 are collected. Only the frames
345 at the solar reference angle at 1.269° azimuth and 0° elevation are used. Used are the weekly irradiance measurements for QVD2 and for QVD1 only the ones which are taken on the same day as the QVD2 measurements. The total usage time of the two QVDs $t_{q1}(k)$ and $t_{q2}(k)$ is extracted from the in-flight calibration database and is used to determine the ratio in degradation rate. After various corrections, such as electronic gain (and gain drift for UVN) and Earth–Sun distance, the images for all spectrometers are re-gridded on their respective wavelength grid to remove the spectral smile. The images are then divided
350 by the reference image (orbit 2818 for QVD1 and orbit 2819 for QVD2) and re-gridded onto a coarser grid of super pixels to reduce noise. For UVN (SWIR) a super pixel stretches over 20 (12) rows in the spatial direction. In the spectral direction (columns) it is 5,10,20 and 20 pixels for UV, UVIS, NIR and SWIR respectively. Apart from the spectrometer degradation in the UV, the data is spatially and spectrally smooth, so the super-pixel size has no impact on the result apart from noise reduction. For each of these super pixels the linear system in Eq. (1) is solved. For the UVIS, NIR and SWIR no spectrometer
355 degradation D_{spec} could be determined and this term is therefore set to unity. ~~The~~ Following the postulate of the model, the solutions for D_{q1} , D_{q2} and D_{com} are all three exponential decay functions and perfectly smooth in the temporal dimension. All temporal measurement to measurement variation is contained in the residual images R_k and P_k . If the residuals show in the future that the assumption of exponential decay is not justified anymore, a different fitting function can be used.

The UV spectrometer has a spectral overlap with the UVIS in the range 312–330 nm. In this spectral range the degradation
360 should be identical for UV and UVIS if the degradation is occurring within the optical path they have in common, so diffusers, folding mirror and telescope. By extrapolating the common degradation D_{com} derived for UVIS into the UV spectral range, the spectrometer specific degradation D_{spec} for UV can be isolated. Figure 12 shows the resulting modelled UV spectrometer degradation and the actual measured signal ratios ~~on the left side. On the right hand side in the top plot. In the lower panel~~ can
be seen that the ratio of signals as measured by UV and UVIS at 317 nm evolves smoothly once the residual temporal variations
365 (R_k and P_k) are ~~corrected for~~ removed. By using spatial and spectral filtering and some averaging in time the solutions for D_{q1} , D_{q2} , D_{com} and D_{spec} are turned into unbinned calibration key data for each spectrometer and QVD.

Figures 13–15 show for each UVN spectrometer irradiance signals from several orbits before and after correction with the new degradation key data. For the latest orbit the correction is based on extrapolation within the L1b processor. In this example the extrapolation is over about 3.5 months. The residuals after correction are smaller than 0.1 %. The degradation is highest for
370 short wavelengths in the UV (Fig. 13) and UVIS (Fig. 14), is low in the NIR (Fig. 15) and negligible for SWIR as visible in Fig. 16.

In the UV, the spectrometer specific degradation D_{spec} shows a characteristic spectral signature where the signal increases over time. In the ~~left top~~ left top part of Fig. 13 it can be seen that ~~the this~~ the this spectrometer ageing is stronger than the ~~diffuser degradation and negates the effect of the latter~~ signal decrease due to the diffuser degradation. In this way the UV spectrometer ageing
375 nullifies the diffuser degradation. The UVIS in Fig. 14 shows a clear spectral dependence but no increase in signal with time. For the NIR (Fig. 15) the measurement to measurement variations are larger than the degradation. Figure 16 shows that no

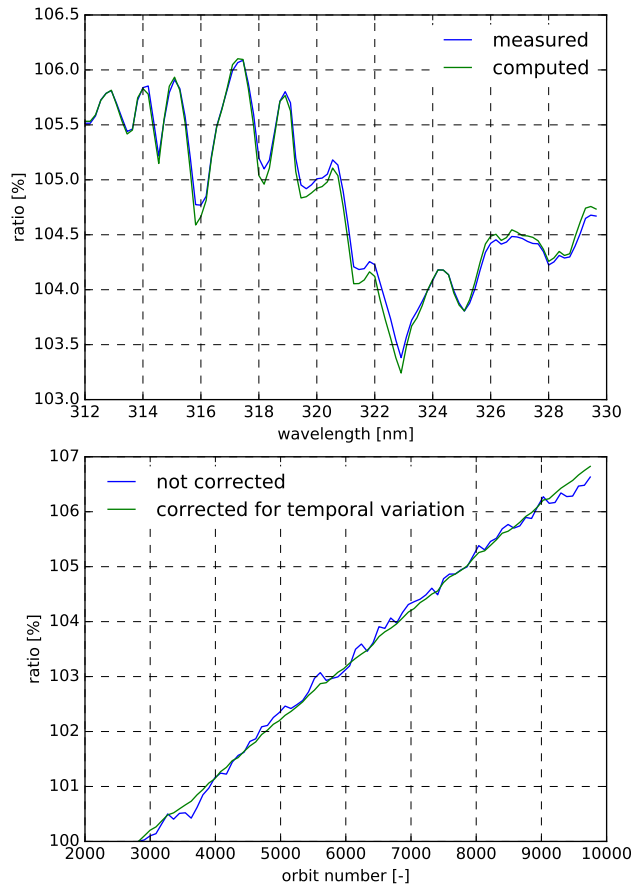


Figure 12. LeftTop: Spectral degradation in the UV spectrometer from measured irradiance ratios between band 2 and band 3 (blue) and D_{spec} as computed from the model (green) for orbit 8849. The other model contributions are not included here. RightBottom: Evolution of the ratio of measured signals at 317 nm, with (green) and without (blue) correction of the temporal variation ratios. Note the constant rate of increase of 1 % per 1000 orbits.

wavelength dependence of the degradation can be detected for the SWIR spectrometer. This is not unexpected considering the small covered wavelength range (90 nm) and the absolute wavelength scale (2400 nm). The observed change in irradiance signal shows measurement to measurement variations, in the model in Eq. 1 these are the residuals R_k and P_k and they are shown in Fig. 17. These temporal variations are spectrally and spatially smooth for each spectrometer and non-deterministic. There is a close correlation between the temporal variations of UVIS and NIR and the variations observed with UV and SWIR. The two pairs are not correlated and the UV-SWIR variations have about half the magnitude of of the UVIS-NIR variations. The residuals are not corrected for in the L1b processor. In the UV, UVIS and NIR the derived degradation keydata has the same character, only the amounts differ. For SWIR the spread of signal values from measurement to measurement is large compared to the average change over time, this can be clearly seen in Fig. 18. The signal spread in SWIR seems to be dominated by

Table 4. The [mean](#) degradation per ~~band-per~~ 1000 orbits as determined up to orbit 9748. Shown is the modelled degradation of the QVD1 (D_{q1}) and the QVD2 (D_{q2}), together with their common degradation D_{com} . Moreover, in the UV detector a spectral ageing component D_{spec} of up to 1% per 1000 orbits reverses the apparent degradation. The maximum and standard deviation of the residuals $\max(R_k)$ and $\sigma(R_k)$ in UV (and SWIR) are consistently lower than those in UVIS and NIR. The modelled degradation for NIR and SWIR is in the order of the maximum residual value. The values for SWIR have *not* been implemented in a L1b correction.

Per 1000 orbits	QVD1 & common $D_{q1} \cdot D_{com}$ [%]	QVD2 & common $D_{q2} \cdot D_{com}$ [%]	common D_{com} [%]	spectrometer D_{spec} [%]	max. residual $\max(R_k)$ [%]	std. residual $\sigma(R_k)$ [%]
Band 1	0.979	0.546	0.465	-0.189	0.036	0.014
Band 2	0.717	0.385	0.323	-0.701	0.025	0.010
Band 3	0.485	0.249	0.205	-	0.065	0.022
Band 4	0.203	0.101	0.082	-	0.062	0.022
Band 5	0.036	0.011	0.007	-	0.059	0.020
Band 6	0.034	0.011	0.007	-	0.057	0.020
Band 2 : 317nm	0.693	0.373	0.313	-0.995	-	-
Band 3 : 317nm	0.699	0.383	0.325	-	-	-
Band 7	-0.012	-0.033	-0.037	-	0.022	0.009
Band 8	-0.016	-0.031	-0.033	-	0.021	0.009

electronic noise and not by irradiance measurement variations. The observed degradation in SWIR is qualitatively not similar to the UVN degradation. A neutral degradation CKD will therefore be used for SWIR.

As a baseline for L1b processing the [diffuser](#) degradation is defined relative to the start of the E2 phase, this is orbit 2818 for QVD1 and orbit 2819 for QVD2. The corrections to the absolute irradiance calibration as described in Section 13 is tied to the same orbits, in this way all corrections are consistent. [The spectrometer specific degradation \$D_{spec}\$ in the UV spectrometer is derived for the entire mission so far and the correction is applied to both the radiance and irradiance. The correction is also applied to the reference orbits for the absolute irradiance calibration.](#) As degradation continues with time, the calibration key data will need regular updates to ensure that the accuracy is not lowered due to extrapolation of the key data in the L1b processor [and that the steps occurring in the data around updates are minimal](#). In Table 4 the degradation per 1000 orbits is shown per band and for the different contributions. For the UV spectral degradation at 317 nm the increase in signal amounts to almost 1 % per 1000 orbits.

13 Absolute irradiance calibration

The absolute irradiance calibration aims to ensure that the sensitivity of the TROPOMI instrument for each measured wavelength (i.e. at each detector pixel) is adjusted such that the measured irradiance reflects the solar output per wavelength. This was done during the on-ground calibration campaign (OCAL), but there were several issues with the stimuli as reported in

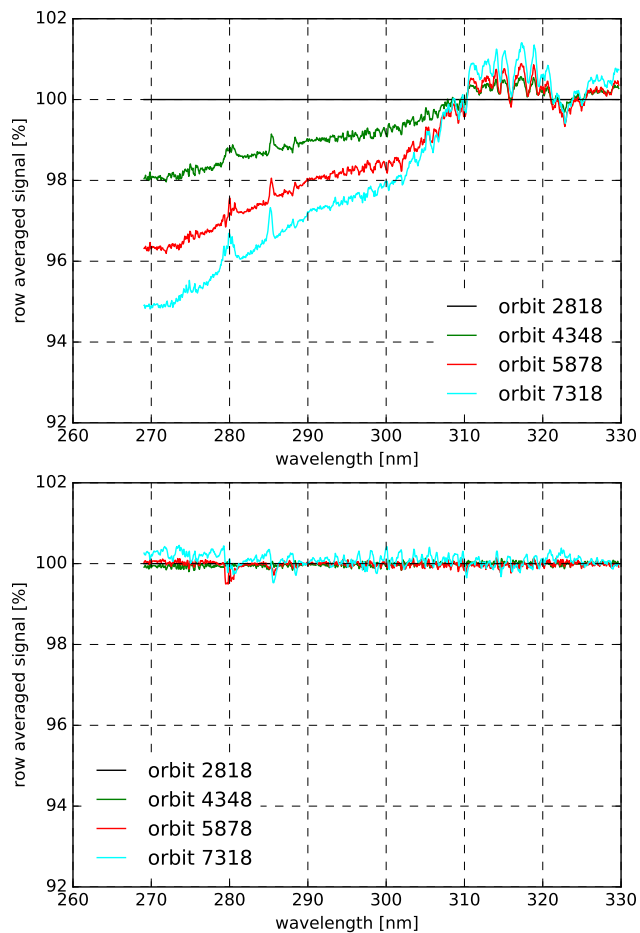


Figure 13. Row averaged irradiance signal of the UV detector via QVD1 for orbits 2818 (black flat line), 4348 (green), 5878 (red) and 7318 (cyan). The top plot on the left is without degradation correction and clearly shows the spectral dependence of the degradation and the increase in signal for some spectral ranges. The right-bottom plot shows the corrected signal where the degradation CKD used only the measurements up to and including orbit 5878. The latest orbit in the plot (cyan) is corrected using extrapolation in the L1b processor.

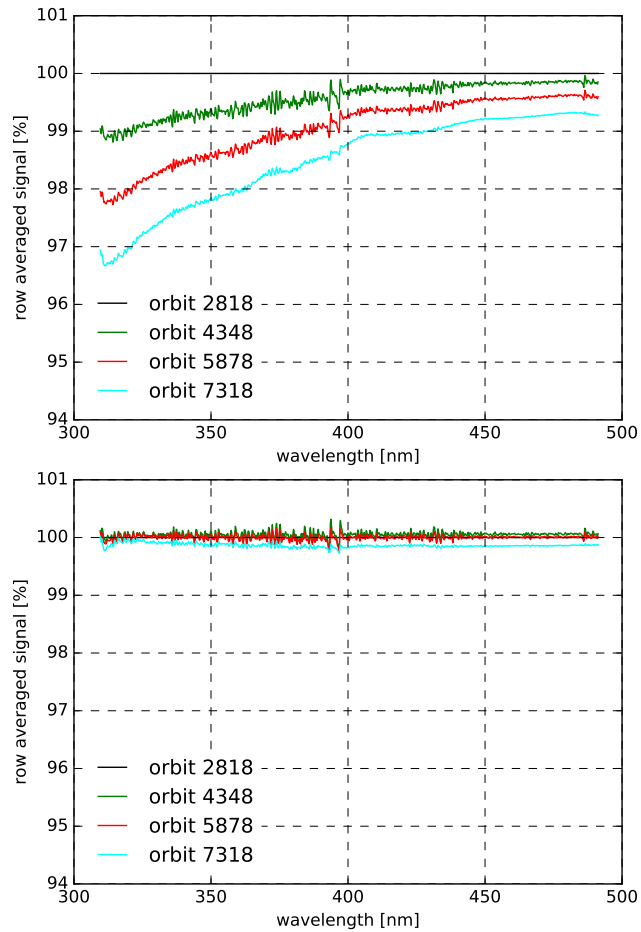


Figure 14. Row averaged irradiance signal of the UVIS detector via QVD1 for orbits 2818 (black flat line), 4348 (green), 5878 (red) and 7318 (cyan). The [top plot on the left](#) is without degradation correction and clearly shows the spectral dependence of the degradation but no increase in signal as the UV detector in Fig. 13. The [right bottom plot](#) shows the corrected signal where the degradation CKD used only the measurements up to and including orbit 5878. The latest orbit in the plot (cyan) is corrected using extrapolation in the L1b processor.

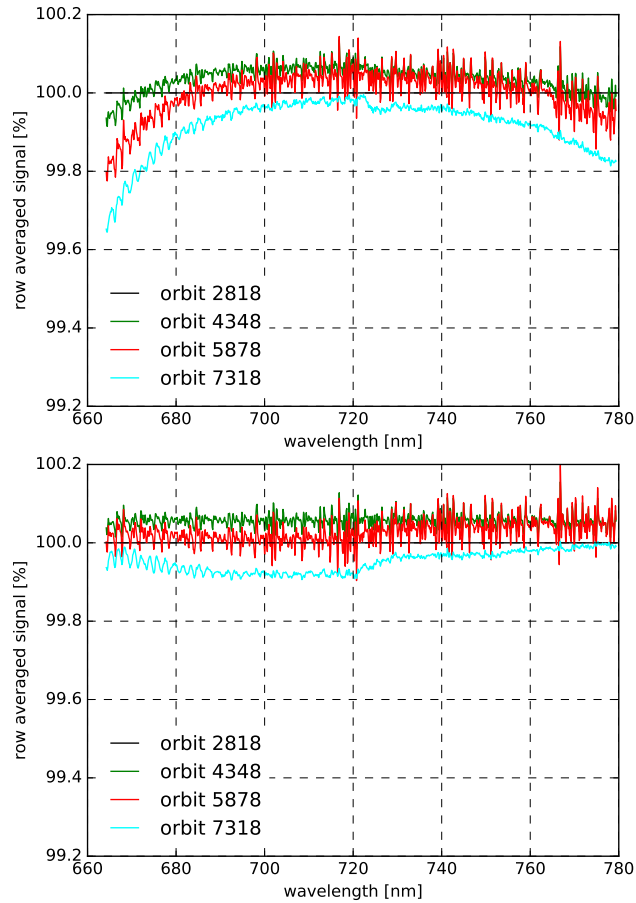


Figure 15. Row averaged irradiance signal of the NIR detector via QVD1 for orbits 2818 (black flat line), 4348 (green), 5878 (red) and 7318 (cyan). The [top](#) plot [on the left](#) is without degradation correction and shows much less degradation than in the UV and UVIS. The [right bottom](#) plot shows the corrected signal where the degradation CKD used only the measurements up to and including orbit 5878. The latest orbit in the plot (cyan) is corrected using extrapolation in the L1b processor.

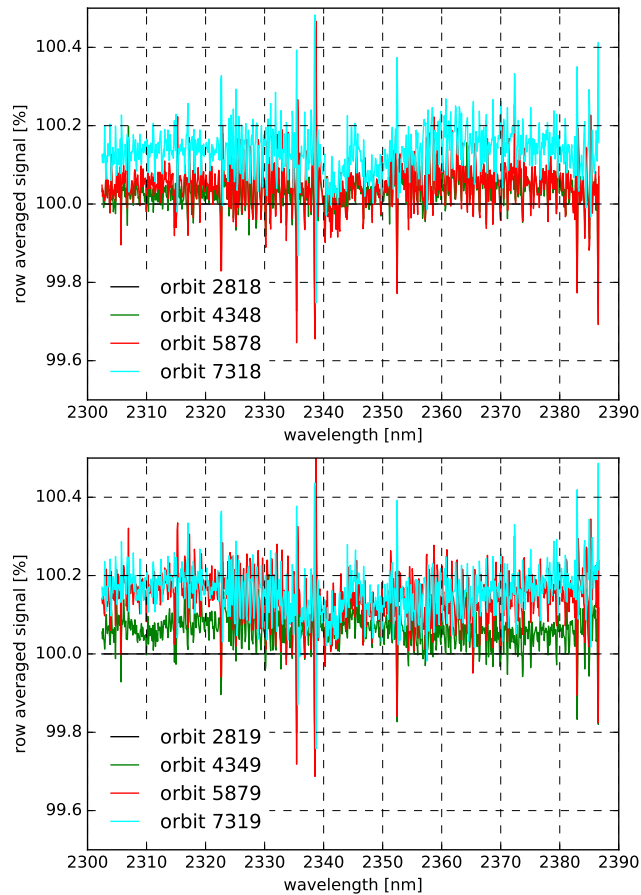


Figure 16. Normalized irradiance measurements for the SWIR detector for QVD1 ([left](#)[top](#)) and QVD2 ([right](#)[bottom](#)) over time. Signals are shown as row-averages versus wavelength. Shown are orbits 2818/2819 (black flat line), 4348/4349 (green) 5878/5879 (red) and 7318/7319 (cyan). The spread of signal values for the different orbits does neither show a trend nor a wavelength dependence.

Kleipool et al. (2018). Especially in bands 1–3 the calibration measurements were affected by a low signal-to-noise ratio. In-flight measurements revealed that the absolute irradiance calibration for UV and UVIS is inconsistent. Band 2 of the UV spectrometer and band 3 of the UVIS spectrometer have some spectral overlap, and correctly calibrated data should give the same irradiance values for both bands in this wavelength range. As can be seen in Fig. 20 from the uncorrected data, this is not the case. With only the on-ground calibration applied, the irradiance in the UV is visibly lower than that of other instruments and there is a discontinuity between the UV (270–330 nm) and UVIS (310–500 nm) in their overlap region. [An investigation of various on-ground illumination sources via the Sun and the Earth port showed that the discontinuity is exclusively observed for the absolute irradiance calibration with the FEL lamp. The absolute radiance calibration with the FEL lamp is consistent with other calibration sources.](#) To remove this inconsistency for UV and UVIS, the solar spectrum of TROPOMI is compared to different published solar reference datasets as shown in Fig. 19. [The correction to the absolute irradiance is derived for orbits](#)

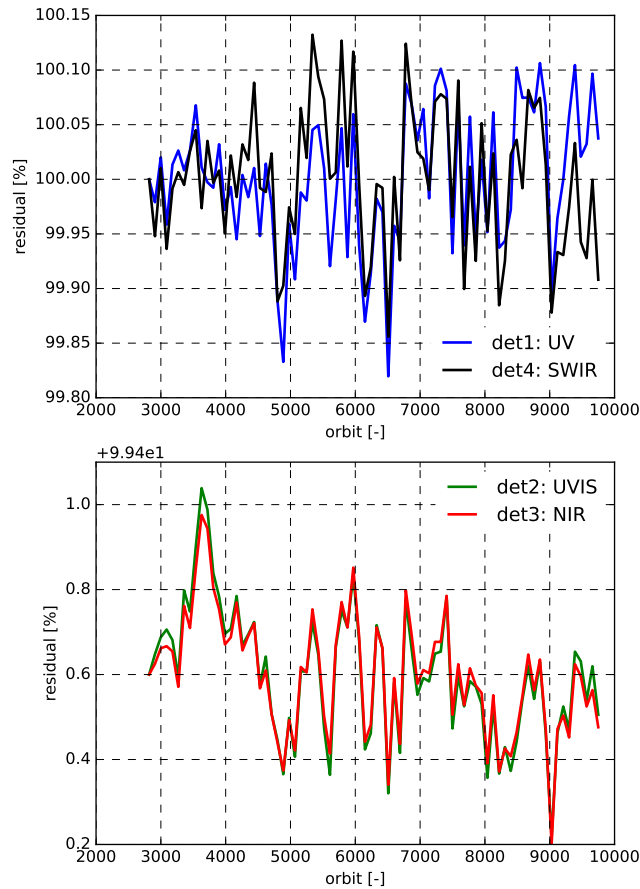


Figure 17. The residual temporal variation components R_k of the irradiance measurements as modelled in Eq. (1) over time. The residuals have been computed independently for all spectrometers and the similarity is not imposed by the model. **Left-Top** panel: UV (blue) and SWIR (black). The magnitude is notably smaller than for UVIS (green) and NIR (red) in the **right-bottom** panel.

2818 (QVD1) and 2819 (QVD2), the same orbits the diffuser degradation is tied to. The UV spectrometer specific degradation has been corrected in the used data, see Section 12.

~~A well-known solar reference is~~ Well-known solar references are the high resolution Dobber spectrum (± 0.014 nm per pixel) (Dobber et al., 2008) and the Kurucz spectrum (Chance and Kurucz, 2010), which ~~are~~ cover the spectral range of the TROPOMI instrument. They are both high resolution composites of different solar measurement campaigns ~~. It covers the spectral range of the TROPOMI instrument, but especially in the UV range it is unclear if it is reliable and not based on a single instrument.~~ Other datasets are from the TSIS instrument on board of the International Space Station (LASP Interactive Solar Irradiance Datacenter, 2019), and the SIM (Woods et al., 2009) and SOLSPEC data (Thuillier et al., 2003). The SIM spectrum was once corrected (Woods et al., 2009) with a bias to be in closer agreement to the Dobber (Dobber et al., 2008) and Thuillier SOLSPEC spectra 415 (Thuillier et al., 2003), but more recently the spectrum has been published in its original, uncorrected state (Harder et al., 2010), 420

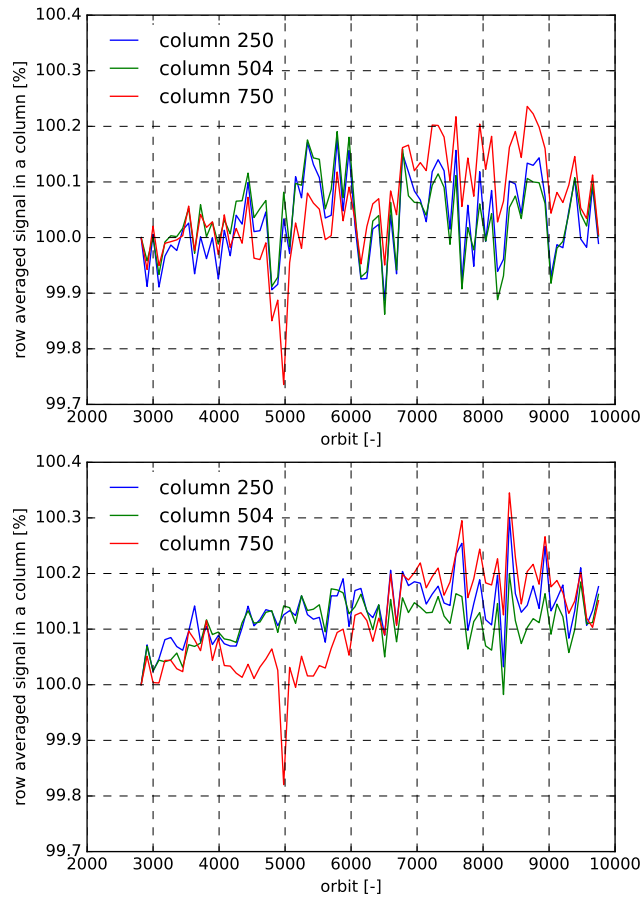


Figure 18. Normalized irradiance measurements for the SWIR detector for QVD1 ([lefttop](#)) and QVD2 ([rightbottom](#)). Signals are shown as row-averages for three columns. The spread of signal values is large compared to the average change over time.

showing much more resemblance to the SOLSPEC spectrum published by Meftah et al. (2018) and the TROPOMI spectrum. Other instruments that measure solar spectra in the TROPOMI UV and UVIS spectral range are the Ozone Monitoring Instrument (OMI) and the Ozone Mapping and Profiler Suite (OMPS). The former has been calibrated using the Dobber spectrum. To reduce possible interdependencies by using a composite spectrum, we have chosen to use the ~~independently-calibrated~~ OMPS solar irradiance spectrum (~~Jaross et al., 2014~~) ([Jaross et al., 2014](#); [Seftor et al., 2014](#); [NASA Goddard Space Flight Center, 2019](#)) as a reference level for the absolute calibration of the TROPOMI irradiance spectrum in the spectral range of bands 1–3. The OMPS instrument has very similar spectral characteristics as TROPOMI and the published spectrum is solely based on OMPS data. The difference between the TROPOMI and OMPS spectrum can be largely resolved by multiplying the TROPOMI spectrum with a (piecewise) linear function.

430 The on-ground ~~spectral-radiometric~~ calibration for the TROPOMI instrument was done using a FEL lamp, which has a spectrally smooth output suggesting that the calibration did not introduce spectral features. Speckle introduced by the internal

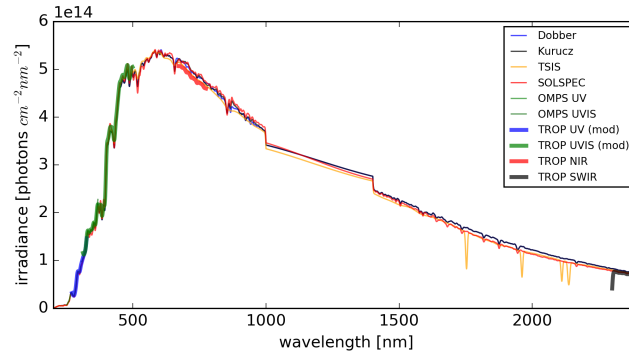


Figure 19. The solar spectrum according to reference spectra (thin lines) such as Dobber (red), Kurucz (black), TSIS (orange), SOLSPEC (red), and OMPS (green) and the four TROPOMI spectrometers via diffuser QVD1 (thick lines) in orbit 2818. The TROPOMI UV and UVIS spectra are modified as described in the text. All spectra were ah-convoluted with a Gaussian with a 3.0 nm standard deviation on the Dobber grid.

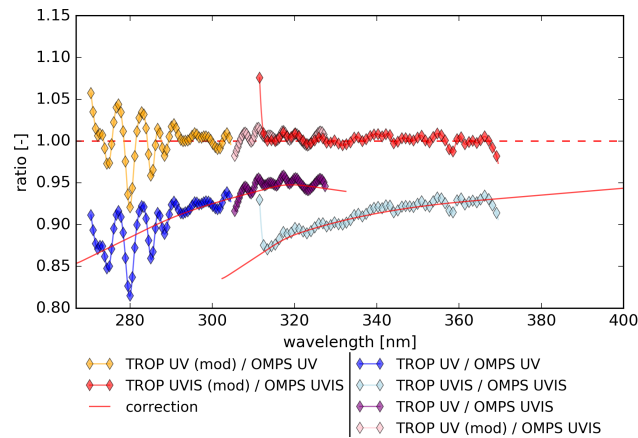


Figure 20. The TROPOMI UV and UVIS spectra via QVD1 (blue, purple and light blue), divided by the OMPS spectrum. The ratio differs between bands 2 (blue/purple) and 3 (light blue) in the spectral overlap region of TROPOMI. In orange, pink and red the modified spectra are shown. They were modified with the cubic spline-splines as indicated by the red lines. All spectra are convoluted with a 1.0 nm Gaussian kernel.

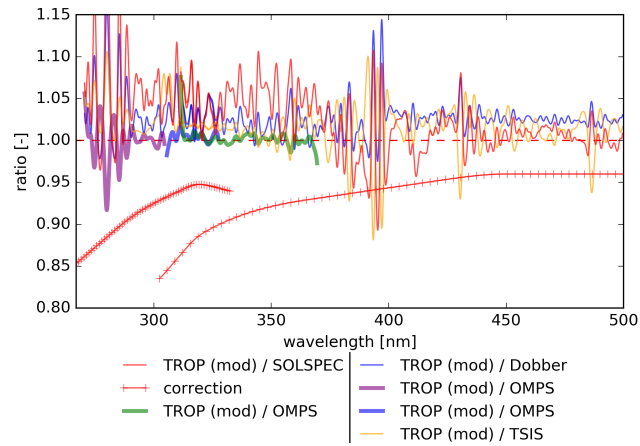


Figure 21. The ratio of the corrected spectrum via QVD1 [in orbit 2818](#) with respect to the various reference spectra, convolved with a $\sigma = 1.0$ nm Gaussian. The thick purple, blue and green lines indicate the ratio with OMPS data where the correction is based on. The correction splines (red crosses) bring the TROPOMI spectrum in good general agreement with several reference spectra. The spectrum shows similarity to the other spectra on a larger scale, except for a bias in the order of 0–5 %.

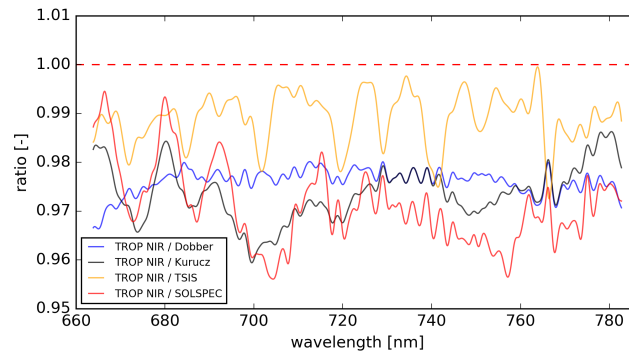


Figure 22. The ratio of the NIR spectrum via QVD1 [in orbit 2818](#) with respect to the Dobber spectrum (blue), Kurucz (green), TSIS (orange) and SOLSPEC (red). All NIR spectra are convolved with a $\sigma = 1.0$ nm Gaussian kernel.

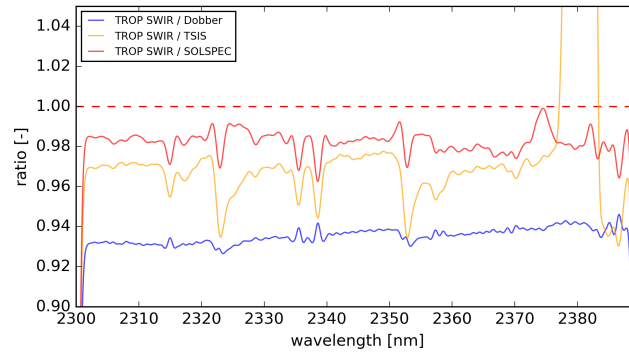


Figure 23. The ratio of the SWIR spectrum via QVD1 [in orbit 2818](#) with respect to the Dobber spectrum (blue), the TSIS (orange), and SOLSPEC (red). The TSIS spectrum deviates more than 15 % around 2380 nm, so it is off the scale. All SWIR spectra are convolved with a $\sigma = 0.5$ nm Gaussian kernel.

diffusers was filtered as described in Kleipool et al. (2018). Therefore, any adjustment of the absolute calibration should also be spectrally smooth. Each spectrum is convolved with a Gaussian kernel, with a standard deviation that is representative for the effective spectral resolution of the instrument or larger.

435 The TROPOMI solar spectral irradiance in UV and UVIS is adjusted by finding piecewise linear approximations of the ratio of TROPOMI and OMPS, and joining them with a cubic spline. The initial ratio and the corrected ratio together with the used cubic spline are shown in Fig. 20 for UV and the UVIS band 3. Band 4 of the UVIS spectrometer is outside the spectral range of OMPS. In Fig. 21 all the corrected UV and UVIS bands are shown relative to reference data. For validation and clarity, the data shown is convolved with a kernel with standard deviation of 1 nm, while the fit of the cubic splines used for the correction

440 is based on convolutions with a kernel with standard deviation of 3 nm to reduce the impact of spectral lines. It can be seen that the spectra of the UV and UVIS spectrometers have been modified by at least 5 % and at most 15 %. The gap between UV and UVIS in the spectral overlap region has disappeared. For wavelengths above 450 nm the correction is a bias, bringing the data in good agreement with SOLSPEC and TSIS. All the shown data is for diffuser QVD1, but the correction was derived for both diffusers and is very similar.

445 For the NIR and SWIR spectrometers the deviations from the reference spectra are much smaller than for the UV and also seem to consist mainly of a spectrally flat bias. As shown in Fig. 22 the spectrum of the NIR spectrometer is approximately 1.5–3.5 % lower than the reference spectra. The SWIR spectrum shown in Fig. 23 is approximately 1.5–7 % lower than the reference spectra, but it is closest to the SOLSPEC spectrum published by Meftah et al. (2018) which resembles the SIM spectrum in its uncorrected state (Harder et al., 2010) (not shown). Considering the spread of the reference spectra and the uncertainty of

450 the TROPOMI on-ground calibration of around 1 % it seems unwise to change the TROPOMI NIR and SWIR solar spectra to match any of the other references. Therefore no modifications of the irradiance on-ground calibration was performed for the NIR and SWIR spectrometers and their calibration remains independent from other instruments and references. [Adapting only the irradiance calibration for UV and UVIS changes the reflectance for these spectral ranges. Initial validation tests show that](#)

this has indeed a positive impact on the L2 retrievals. In the future a more extensive re-assessment of the radiometric accuracy can be performed and any potentially remaining inconsistencies in radiance and irradiance can be addressed.

14 Changes to the nominal operations baseline

Several of the findings from the commissioning phase resulted in changes to the planned nominal operations baseline. An overview of the nominal operations baseline can be found in KNMI (2017). The main change is that the matching background measurements for the radiance measurements are performed with a closed folding mirror (FMM) to ensure that remaining light from the eclipse side of the Earth is blocked off. The FMM is a life limited item, so this is only performed in orbits where the FMM is employed anyway to perform calibration measurements. The different orbit types were re-arranged such that the radiance background is measured 6–7 times per day. All calibration and background measurements are scheduled in full eclipse only. As a consequence some calibration measurements are occasionally performed inside the SAA and flagged as such. Another substantial change is that the irradiance measurements are performed close to the solar azimuth angle where the absolute calibration has been performed. To achieve this, the platform performs a slew manoeuvre with the on-board reaction wheels before the irradiance measurements as for the relative irradiance calibration described in Section 11. This reduces the angle range over which the relative irradiance correction needs to be applied and prepares for the possibility that the solar angle moves outside the design range, which can happen if – for example at the end of the mission lifetime – the orbit is changed.

The radiance signals vary over latitude during each orbit. During the commissioning phase the instrument settings for the different signal levels were fine-tuned for optimal signal while minimizing saturation. Small changes were also applied to instrument settings to measure irradiance and the internal light sources. All insights from the commissioning phase were already included in an updated nominal operations scenario before the start of the nominal operations (E2) phase in orbit 2818 on 30 April 2018.

The only change in nominal operations since the start of the E2 phase was the reduction of the radiance co-addition time from 1080 ms to 840 ms starting in orbit 9388 on 6 August 2019. This results in a shorter minimal along-track sampling distance: before it was approximately 7.17 km at nadir and it is now about 5.655 km. In across-track direction the minimal sampling distance at nadir is around 3.635 km for bands 2–6, about 7.27 km for bands 7–8 and around 28.828 km for band 1. The lower limits for the bands are due to different row binning values for UVN (bands 1–6) and the spectrometer’s instantaneous field of view (SWIR, bands 7–8).

15 Conclusions

The TROPOMI instrument on-board the Sentinel-5 Precursor satellite is functioning very well. The thermal and orbital stability is very good. Only during orbital manoeuvres instrument temperatures can increase, impacting mainly the spectral calibration of the SWIR spectrometer. Thermal instabilities will be flagged in the updated L1b processor. The internal light sources WLS, CLED and DLED show a continuous decrease in output of at most 0.9 % per thousand orbits. The CCD output gain of the

485 UVN detectors displays drifts. Based on regular performed calibration measurements with internal sources, these drifts can be corrected within better than 0.1 %. High signals can lead to pixel saturation and charge blooming for the UVN detectors. This occurs mainly in bands 4 and 6. ~~The v2~~ Version 2 of the L1b processor ~~version~~ includes a new algorithm where affected pixels are flagged. The validation of the geolocation showed that an additional yaw-angle correction 0.002 radians was needed to allow for changes due to gravity release after launch. This correction had already been implemented in ~~v1~~ version 1 of the
490 L1b processor and is active since the beginning of the nominal operations phase. Small corrections were also derived for the spectral annotation of the UVIS and SWIR spectrometers. In the UV spectrometer a slit irregularity was observed after launch. The drop in signal for several rows is corrected in the processor update.

The calibration of the solar angle dependence of the irradiance radiometry which was too inaccurate on-ground, was successfully performed in-flight by moving the platform to cover the different angles. The resulting key data has a higher sampling
495 and a higher accuracy than what was previously available.

In-flight several degradation effects have been observed, they are strongest in the UV spectral range and can be isolated and modelled. They will be corrected with the version 2 of the L1b processor using time-dependent calibration key data. Calibration key data for instrument properties which change over time, such as the diffuser degradation or the UVN gain drift, now have a time axis. The updated processor is also able to handle possible future degradation effects both for irradiance and radiance
500 data, the algorithms are in place for all detectors.

During in-flight commissioning some inconsistencies of the on-ground calibration results were found and corrections were developed. This concerns mainly the absolute irradiance ~~radiometry~~ radiometric calibration. From comparison with several reference solar irradiance spectra, a spectrally smooth correction was applied to the calibration of UV and UVIS.

The version 2 of the L1b processor with all updated and new key data presented in this paper, is planned to be in operation
505 from late 2020 on.

Data availability. The plots and analysis presented in this article contain modified Copernicus Sentinel-5 Precursor data [2017–2019]. The S5P user products are available via <https://scihub.copernicus.eu/>. In-flight monitoring and calibration data can be found via <http://www.tropomi.eu/data-products/level-1>.

Author contributions. RB performed data analysis and investigated the degradation in the UV spectrometer. QK is the instrument scientist and project lead of the L1b data processing and calibration development. RL was in charge of data processing chain and developed the transient flagging algorithm. JL developed all geometric calibration analysis software and is responsible for the geolocation annotation in the L1b data processor. AL is the optical expert and planned the in-flight commissioning and calibration activities and programmed the instrument settings. EL is the mathematical consultant and was responsible for all algorithm definitions, and he analysed and reported on most electronic calibrations and developed the degradation corrections. PM was responsible for all database engineering required for the calibration
515 processing. EvdP derived the relative radiometric response of the irradiance, which also included the detectors' PRNU, and corrections to the absolute irradiance. NR is system architect and acting lead of the L1b data processing development team and he has developed the blooming

correction. FV was system engineer for the overall software development and responsible for the release management. PV is acting principal investigator for the TROPOMI payload on-board the Sentinel-5 Precursor satellite.

Competing interests. The authors declare that they have no conflict of interest.

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