

Response reviewer #1 on “Inter-calibrating SMMR brightness temperatures over continental surfaces”

The authors would like to thank the anonymous reviewer for his comments on the paper “Inter-calibrating SMMR brightness temperatures over continental surfaces”. Indeed the Scanning Multichannel Microwave Radiometer (SMMR) is an important piece in the story of earth radiometric measurement that can still be useful for the remote sensing community.

Response to the review:

The authors have developed a method for adjusting the calibration of the Scanning Multichannel Microwave Radiometer (SMMR) on the Nimbus 7 satellite. This instrument was plagued with a multitude of problems making it very difficult to work with. However, problematic or not, it was the only microwave imager operating for almost a decade in the 1970s and 1980s. It is incumbent on the scientific community to salvage the data from this instrument as well as possible. The present paper is a significant contribution to this effort. In spite of the lack of a common observing period, they have chosen to use the GPM Microwave Imager (GMI) on the Global Precipitation Measurement satellite as a reference. The GMI is exceedingly well calibrated and is suitable as a reference instrument. They have to make assumptions in order to get around the lack of a common observation period. They have only dealt with two of the five frequencies on SMMR. The radio frequency interference problem would make any use of the 6.6 GHz channel very difficult and the 21 GHz channel is not very useful over land. However, it is disappointing that they did not include the 10.7 GHz channel in their study. The writing is not exactly native English but there is no problem with understanding. **Response** The 10.7 GHz channel was not included in the study for two main reasons: First the goal to provide continuous times series of measurements cannot be achieved with this channel as the SSM/I and SSMIS radiometers do not include it. Therefore a 40 year series of 10.7GHz measurements is not possible. Second, the 10.7GHz channel is not used in our retrieval of the Land Surface Temperature that was the initial goal of this study. It could be studied in a similar fashion as GMI also has a channel at 10.65GHz (against 10.7GHz for SMMR).

The authors have tried to improve the writing to better fit the English standard.

Detailed comments:

P4 Line20: “Njoku et al. (1980)” This reference is for the SMMR on SeaSat which only lasted for 99 days. It is of limited applicability to the SMMR on Nimbus 7 which is the topic of this paper.

R The reference was mixed up with another paper by E. Njoku in 1980. It will be replaced with the following “Antenna pattern correction procedures for the Scanning Multichannel Microwave Radiometer (SMMR)” E. Njoku 1980.

P5 Line 6: “...upgraded to SSMIS...” It’s not good to lump the SSM/I and the SSM/IS together. They were manufactured by different companies and were very different in terms of the problems. In particular, the SSM/IS had a very large problem with emission from the main reflector. From a calibration point-of-view they are not at all the same sensor.

R Although the two instruments series differ on many points they still share some common characteristics such as the channels used, this was the intended meaning of this sentence. The updated sentence will make the distinction between these instruments more apparent. p.5 l.6 “In the following years multiple instruments were launched such as the Tropical Rainfall Measuring Mission’s (TRMM) Microwave Imager (TMI) in 1997, or the Special Sensor Microwave - Imager/Sounder (SSMIS)”

P5 Line 13 “The fundamental... changes in the environmental conditions...” This is a necessary assumption for them to proceed, but it is also a severe limitation. The results cannot be used to look at secular changes

over the 3 decade time difference between the two satellites. While this is a seemingly obvious limitation, they should highlight it. Otherwise somebody will waste a lot of time and effort drawing specious conclusions. **R** As the reviewer noticed it is a very important assumption for us to proceed with the method. And as it is it should prevent any one from trying to perform trend analysis using the corrected SMMR data. The limitation has been more clearly stated to leave no doubt. p.12, l.22: “However, given the use of the more recent GMI instrument as a calibration reference any comparison between different epochs should be conducted with extreme care.”

P6: They compare the various channels of SMMR and GMI directly with no algorithm to account for small frequency and view angle differences. They argue that these differences are small. Given the problems of the SMMR, these differences are probably small relative to the other uncertainties in the comparison. However, for comparisons of higher quality sensors (e.g. Windsat vs GMI), this would not be adequate. When I agreed to review this paper, I was hoping that I would see some land surface modeling to support the intercomparison. Alas, 'twas not to be.

R The change of frequency and incidence angle are the two major differences between the two instruments. The change of surface emissivity with regard to these parameter has not been modeled but it could be done if trying to inter-calibrate other MW instruments that have a more reliable calibration.

P8 Line 2. “...erroneous warm calibration load temperature” Note that an error in correcting for the portion of the antenna pattern that misses the Earth would have the same form. Either one would result in an intercept of 2.7K and only slightly different slopes than given in Table 3.

R That is a good point, the sentence was changed to take into account this possible error source as well. p8, l1: “Different sources could cause such errors, for instance an erroneous warm calibration load temperature or an error in the correction of antenna pattern that misses the Earth.”

Again the authors are grateful for the very good review that offered improvement to various parts of the paper as well as correcting some mistakes. The overall quality of the paper has been improved after taking into account these comments.

Response to reviewer #2 on the paper “Inter-calibrating SMMR brightness temperatures over continental surfaces”:

The authors are grateful for the review by Pr. Linwood Jones of the paper “Inter-calibrating SMMR brightness temperatures over continental surfaces”. The comments were specific and definitely helped the authors improve the paper for future readers.

Response to the review

This paper presents the results of an inter-satellite radiometric calibration for SSMR over land using the GPM instrument. The authors’ approach is novel in that they perform the inter-calibration of two satellite radiometers without near-simultaneous collocated observations. This method is justified because the SMMR was the first conical scanning radiometer in space, and therefore did not have the usual over-lapping period for comparisons with other space-borne instruments. Given this situation, I feel that the authors have made a reasonable case for their statistical method developed to compare the current GMI with the previous SSMR. However, I have some comments, which I feel would strengthen their case if included in their paper.

- 1) Concerns the three available SMMR Tb datasets that exist. In section 2.1 the CM SAF FCDR was described but not the others? Were they available? It would have been better to compare the three different data sets in their statistical analysis or at the very least to discuss why they were not considered.

Response : We only described the dataset released by the CM SAF as it is the one we used. The reason for that choice is twofold : First the CM SAF released the original data as well as their inter-calibration layer, making it easy to use an uncalibrated dataset. The unprocessed dataset would have been the same from any of the other providers. We still compared the inter-calibration layer from CM SAF as it was available with adequate documentation. Furthermore, the contact with CM SAF researcher was easy and they helped us through various steps in using their dataset.

- 2) Concerns the oceans and/or Antarctic sea ice data sets. I suspect that a similar statistical comparison could have been made (as presented for land). I recognize that this expands the scope of the analysis, but it also makes the paper stronger. I suspect that similar results would have been found, which would provide confidence to the conclusions.

R The study of sea-ice datasets is indeed a very important topic, and it could be the subject of a specific study. However, the need for inter-calibration for the retrieval of sea ice parameters is more limited, as most sea ice concentration algorithms include inter-calibration processes, with the use of what is called dynamic tie-points. Within the algorithms, changes in the instrument responses is already taken into account (e.g., Tonboe et al., 2016). The ocean comparison was rapidly tested and the results showed that the methodologies from the different centers yield similar results.

- 3) Concerns the selection of the two 2-month periods, namely: Jan/Feb and Jul/Aug. Some discussion was provided in section 2.2, but I recommend more information be provided to inform the reader specifically why these were selected (as opposed to monthly comparisons)?

R The two periods selected (6 months apart) offer a large sample of possible Earth states, that are needed for a robust statistical comparison. A month by month comparison could have also been done, but it would most likely only add complexity to the paper without improving the proposed inter-calibration. Section 2.2 was updated to improve readers understanding of the underlying choice in the methodology.

- 4) The SMMR biases, relative to GMI (SSMI), are presented in Fig-1, -2 & -4, but I recommend that they also are included in a Table of results.

R The Figures 1,2 and 4 only show SMMR biases on specific locations. A global evaluation of the bias is indirectly included in Table 3, with the temperature dependant correction.

Specific corrections:

The following corrections are suggested:

P-2 Line-3 following Seasat insert “Nimbus-G”

R Added to the corrected paper

P-2 same pp WindSat and TMI were not mentioned in the list of radiometers? Since only SSMI and GMI were involved in the direct comparisons, the others could have been omitted?

R Indeed the other radiometers are not used and have been removed from the paper.

P-2 Line-12 . . . Fennig et al. 2019) than that . . .

R Corrected in the paper

P-2 Line-25 Insert “However”, this method is very

R Sentence changed.

P-4 Line-5 . . . CM SAF FCDR insert “SMMR” . . .

R Corrected in the paper.

The authors appreciate the thorough review by Pr. Linwood Jones that offered precise comments to improve possible blurry areas in the paper. We hope that this answer will remove any doubts concerning the methodology and choices made in the study.

Inter-calibrating SMMR brightness temperatures over continental surfaces.

Samuel Favrichon^{1,2}, Carlos Jimenez^{2,1}, and Catherine Prigent^{1,2}

¹Sorbonne Université, Observatoire de Paris, Université PSL, CNRS, LERMA, Paris, France

²Estellus, Paris, France

Correspondence: Favrichon Samuel (samuel.favrichon@obspm.fr)

Abstract.

Microwave remote sensing can be used to monitor the time evolution of some key parameters over land, such as land surface temperature or surface water extent. Observations are made with ~~instrument~~instruments such as the Scanning Microwave Multichannel Radiometer (SMMR) before 1987, the Special Sensor Microwave / Imager (SSM/I) and the following Special Sensor Microwave Imager / Sounder (SSMIS) from 1987 and still operating, to the more recent Global Precipitation Mission Microwave Imager (GMI). As these instruments differ on some of their characteristics and use different calibration schemes, they need to be inter-calibrated before long time series products can be derived from the observations. Here an inter-calibration method is designed to remove major inconsistencies between the SMMR and other microwave radiometers for the 18GHz and 37 GHz channels over continental surfaces. Because of a small overlap in observations and a ~ 6 h difference in overpassing times between SMMR and SSM/I, GMI was chosen as a reference despite the lack of a common observing period. The diurnal cycles from three years of GMI brightness temperatures are first calculated, and then used to evaluate SMMR differences. Based on a statistical analysis of the differences, a simple linear correction is implemented to calibrate SMMR on GMI. This correction is shown to also reduce the biases between SMMR and SSM/I, and can then be applied to SMMR observations to make them more coherent with existing data record of microwave brightness temperatures over continental surfaces.

15 *Copyright statement.* TEXT

1 Introduction

Since 1978, passive microwave satellite imagers provide Earth observations at multiple frequencies, over ocean and land, for atmospheric or surface applications such as cloud and precipitation monitoring, surface temperature estimation, ocean wind speed or sea ice concentration retrievals (Ulaby et al. 1986). With now more than 40 years of data record, climate analysis can be performed from these measurements, provided that the observation time series are well calibrated, consistent, and homogeneous.

The successive microwave imagers share common characteristics, but with technological changes from a generation to the next and possible calibration issues between instruments even from the same series. Major microwave imagers include the Seasat [Nimbus-G](#) Scanning Multichannel Microwave Radiometer (SMMR) from 1978 to 1987 (Gloersen and Barath 1977), the Special Sensor Microwave Imagers (SSM/I) ~~and the Special Sensor Microwave Imager / Sounder (SSMIS)~~ from 1987 up to now (Hollinger et al. 1990) ~~, the Advanced Microwave Scanning Radiometer (AMSR-E and AMSR2) since 2002 (Kawanishi et al. 2003)~~, or the more recent Global Precipitation Microwave Imager (GMI) since 2014 (Hou et al. 2014). To create homogeneous measurements from different instruments, each instrument has to be carefully calibrated first and the different instruments have then to be inter-calibrated. The changes between instruments include differences in overpassing times, in Earth Incidence Angles (EIA), in channel center frequencies or in bandwidths. All these aspects need to be accounted for in the inter-calibration process.

Multiple teams have worked to provide corrections for the brightness temperatures of microwave imagers to ensure homogeneous data records over time (e.g., Berg et al. 2013; Wentz 2013; Fennig et al. 2019) ~~than that~~ can be used as Fundamental Climate Data Records (FCDR). FCDR are calibrated data from multiple sensors that have been made coherent and quality controlled to be accurate and stable over long time periods. These are the basic data records from which geophysical products can be computed and aggregated to form long term records of Essential Climate Variables (Bojinski et al. 2014).

Different methods exist to inter-calibrate sensors. Quasi-direct comparisons of observations can be performed at the poles, where overpassing of polar satellites are frequent and the surface responses rather stable. However, this calibration is limited to rather cold brightness temperatures (Sapiano et al. 2013). The statistics of the coldest or warmest scenes have also been analyzed to inter-calibrate the sensors. This is the so-called vicarious calibration, applied over ocean for the cold end (Ruf 2000) and on the Amazon forest for the warm end (Brown and Ruf 2005).

More recently this vicarious method has been extended to other forested sites, taking into account their seasonal variability (Yang et al. 2016). Over ocean, double difference methods are often adopted, using radiative transfer simulations as the reference, to bridge the gap between instruments with different characteristics (Kroodsma et al. 2012). The radiative transfer model is usually fed by atmospheric and surface information from reanalyses and it can theoretically account for changes in EIA, in channel characteristics (e.g., frequency, bandwidth), as well as differences in overpassing times. ~~This~~ ~~However, this~~ method is very challenging over continental surfaces. First, microwave radiative transfer models over land, along with all their necessary input parameters (e.g., soil moisture, vegetation density, snow water equivalent), are not available with the required quality over a large range of surface types. Second, the possibly strong diurnal variability of the land surface temperatures is not described with enough accuracy and temporal resolution to account for differences in the satellite overpassing times. Nevertheless, Dai and Che (2009) tested a modeling of the diurnal variation of the surface temperature to inter-calibrate instruments with different over-passing times over land.

Another inter-calibration method consists in using matchups with a reference instrument that has a different orbit type, making it possible to provide quasi-direct comparisons over a large range of latitudes, even for satellites with different overpassing times. With their low orbits that sample the diurnal cycle, the Tropical Rainfall Measuring Mission Microwave Imager (TMI), and more recently the Global Precipitation Mission Microwave Instrument (GMI) can be used as intermediate references to

inter-calibrate Sun-synchronous instruments with drastically different overpassing times such as the SSM/I series (equator overpassing time around dusk and dawn) and the AMSR series (equator overpassing times at midnight and midday). Berg et al. (2018) applied the different methods to inter-calibrate the SSM/I series. The different methods agreed well with each other, offering an increased confidence in the proposed inter-calibration.

Instrument	Reference	SMMR	SSM/I	SSMIS	TMI	AMSR-E	AMSR2	GMI
CDR CSU V01	Berg et al. (2013)	-	✓	✓	*	-	-	-
CSU V03	Berg et al. (2018)	-	✓	✓	✓	✓	✓	*
CDR RSS	Wentz (2013)	-	✓	✓	-	-	-	-
CM SAF FCDR	Fennig et al. (2019)	✓	*	✓	-	-	-	-

Table 1. The characteristics of the available Fundamental Climate Data Records from passive microwave imagers. The ✓ denotes an inter-calibrated instrument while the * is for the reference instrument.

- 5 Table 1 lists the major FCDRs from passive microwave imagers available to the community, indicating the inter-calibrated instruments, and the reference instrument. EUMETSAT Climate Monitoring Satellite Application Facility (CM SAF), Colorado State University (CSU), and Remote Sensing Systems (RSS) all include SSM/I and SSMIS in their inter-calibrations. CSU uses for the first time GMI in their recent inter-calibration scheme (Berg et al. 2018). They simultaneously employ multiple calibrations methods to reduce the uncertainty on the data record. Their initial work (V01) is improved by adding more satellites
- 10 and by using GMI as the reference for its description of the diurnal cycle over land. RSS inter-calibration effort is essentially based on the use of a radiative transfer model over ocean (Wentz 2013). The CM SAF uses SSM/I F11 as their reference satellite. The inter-calibration is a scene dependent correction. It is done by correcting the cold end of the observed $SMMR - ERA T_b$ differences to match the $SSM/I - ERA T_b$ differences but keeping the warm calibration end at the observed hot load target temperature. It is therefore not expected to have an impact on the warm T_b range.
- 15 So far, only the CM SAF includes SMMR in their FCDR. The inter-calibration was developed initially for the monitoring of fluxes over the ice-free ocean (Andersson et al. 2010). To extend the climate record of satellite-derived land surface parameters in time, here we propose to analyze the possibility of inter-calibrating the SMMR instrument over land. The SMMR instrument failed in August 1987 and the first SSM/I (F08) was launched in 1987, with an overlapping time of only a few weeks, and with ~6 h differences in their overpassing times at the equator. Here, we suggest to use GMI as a reference instrument, assuming
- 20 that the environmental conditions have not changed drastically from the SMMR to the GMI era, to allow the comparison of a

large set of observations averaged over time. This strategy does not allow to perform a detailed inter-calibration but it makes it possible to correct for major biases that so far hamper the use of SMMR over land for the generation of climate record of geophysical parameters. We will concentrate on the channels that are common to all the microwave imagers used in the FCDR in Table 1, the Ku (around 18 GHz) and Ka (around 36 GHz) channels. These are key observations for the retrieval of several land surface parameters (e.g., surface water extent (Prigent et al. 2007), snow water equivalent (Pulliainen 2006), or land surface temperature (Jiménez et al. 2017)). We will use the CM SAF FCDR ~~datasets~~ for SMMR dataset as the starting point of our developments.

In Section 2, the satellite observations used in this study are briefly described, along with their preprocessing for the analysis. The result of the inter-comparison is presented in Section 3, along with the proposed inter-calibration procedure and its evaluation. Section 4 concludes this study.

2 Data and Method

2.1 The satellite data

Table 2 summarizes the major characteristics of the SMMR and GMI instruments for the Ku and Ka channels. SSM/I on board F08 is also included, as it is the only instrument with an overlap period with SMMR (albeit of only 28 days) and it will be used for evaluation. GMI, with its non Sun-synchronous orbit, observes the full diurnal cycle, including the SMMR and SSM/I overpassing times.

SMMR was launched in 1978 on the Nimbus-7 satellite, and operated until August 1987. It is the first multichannel microwave imager, designed mainly for oceanic applications to estimate the surface wind speed and sea surface temperature (Gloersen and Barath 1977). Due to power limitation onboard, measurements were performed only every other day. The EIA decreases from 50.2° to 49.3° starting in 1986. The initial SMMR record used by the CM SAF is the Level 1B data, not the raw counts as for the other instruments. The SMMR Level 1B data is described by Njoku (1980) and it includes the antenna pattern and spill-over correction, as well as sensor drift correction. The calibration uses the cosmic background temperature as a cold reference (2.7 K), an on-board hot calibration load around 300 K as well as climatological means to estimate biases in the calibrations. A linear calibration was performed to reduce instrument bias in the target domain, i.e. for ocean surface parameter estimations. The inter-calibration performed by the CM SAF is based on the double difference technique between the SSM/I F08 and SMMR brightness temperatures (T_{bs}), using radiative transfer simulations from reanalysis to account for the changes in frequencies, bandwidths, and EIA. This correction is computed only over cloud-free water surfaces. It is described in details in Fennig et al. (2019). In this study, we will only use SMMR data showing the best quality (data with sun intrusion, field-of-view, or scan error are removed).

GMI is a recent microwave imager launched in 2015. It observes between 10 and 190 GHz to measure precipitation across the globe. It will be used here as a reference standard for calibration. The instrument characteristics are summarized in Table 2, listing only the channels relevant to this study. The satellite has a 65° inclination, allowing non Sun-synchronous observations of the Earth, from the tropics to the high latitudes. The antenna has an EIA of 52.8° and a swath of ~900 km. This swath width

Instrument	SMMR	SSM/I (F08)	GMI
Earth Incidence Angle (°)	50.2 to 49.3*	53.1	52.8
Channels (GHz)	18.0 (V,H), 21.0 (V,H)*, 37 (V,H)	19.35 (V,H), 22.235 (V), 37 (V,H)	18.7 (V,H), 23.8 (V), 36.5 (V,H)
Instantaneous Field Of View (km x km at 37 GHz)	17×29	24×36	8.6×14
Ascending equator overpassing time (h)	00:00	06:00	non synchronous
Operating years	Oct 1978 - Aug 1987	Jun 1987 - 2006	Sep 2014-

Table 2. The major characteristics of the passive microwave imagers, directly relevant to this study. The instruments include other channels but are not used here. *failure of the 21 GHz channel in 1985 and drift from 1986.

associated with the inclination means that polar regions are not fully covered. The GMI calibration is described by Wentz and Draper (2016). In addition to the usual hot load, GMI uses noise diodes improving calibration accuracy. The satellite can also perform flight maneuvers to correct drift and improve calibration. Lean (2017) found low biases for all channels, as compared to ECMWF simulations (lower than 0.8 K). All these technical specificities make GMI an excellent reference for inter-calibration purposes. In this study, the calibrated T_b Level 1C (Hou et al. 2014) are used.

The first SSM/I was launched in 1987 on-board the F08 satellite of the Defense Meteorological Satellites Program (DMSP). ~~The SSM/I~~ In the following years multiple instruments were launched such as the Tropical Rainfall Measuring Mission’s (TRMM) Microwave Imager (TMI) in 1997, or the Special Sensor Microwave - Imager ~~I~~ instruments were upgraded to SSMIS in 2003, with more channels for atmospheric profiling of temperature and water vapor. ~~Sounder (SSMIS) in 2003. Both will be referred to as the SSM/I series here.~~ The DMSP polar orbiters cannot correct orbital degradation and as a consequence, the instruments are subject to drifts in the overpassing times, making instrument inter-calibration more challenging. Here, the SSM/I data provided by the CM SAF without the ~~intere~~calibration inter-calibration layer will be used to evaluate the consistency of our SMMR land calibration.

2.2 The method

SMMR and GMI do not have any common observing period. Therefore we need to rely on a statistical analysis of SMMR and GMI observations from different years to provide a correction of the SMMR biases over continental surfaces. The fundamental hypothesis here is that the changes in the environmental conditions affecting the microwave signals are limited between the 1980s and 2010s, as compared to the SMMR and the GMI instrument calibration differences.

The SMMR and GMI EIA difference is $\sim 3^\circ$. Over ocean, this can strongly affect the signal, due to the sensitivity of the ocean emissivity to the incidence angle as well as to the changing atmospheric contribution with angle. Over land, the surface emissivity is usually high for both polarizations, with values of 0.9 and higher for most surface types (Prigent et al. 2006), with very limited changes with EIA (Prigent et al. 2000). With a high land surface emissivity, the atmospheric contribution to the

signal is small compared to the surface one and the changes in the atmospheric contribution with angle will not significantly affect the measurement. By the same token, the land surface emissivities being rather high and showing very smooth variations with frequency (Prigent et al. 2000), the differences in frequencies between the Ku and Ka channels of SMMR and GMI is not expected to significantly affect the signals.

- 5 To facilitate the comparison, each dataset is projected on the Equal Area EASE 2.0 grid globally between 60°S and 70°N and on the EASE southern hemisphere azimuthal grid over the Antarctica area (Brodzik et al. 2012). A land mask is used to filter out water pixels at the 25 km resolution.

- GMI data are collected for two months in July and August (respectively January and February) from 2015 to 2017. This allows to reproduce selection includes the boreal summer and winter T_b diurnal cycles, and with data sampled from the whole Earth most of the possible surface conditions are represented in the analysed dataset. As GMI has a higher spatial resolution than the target grid, all observations falling within a grid cell of ~ 12 km radius are averaged to derive one value per grid cell. Regarding the temporal dimension, the values are averaged with a 15 minutes window for each grid cell to suppress small scale variability in the signal. If no observation in a given grid cell is available in a particular 15 minutes interval, a linear interpolation with the closest existing measurements is performed. Finally, to get a smooth diurnal cycle, a moving average is applied over each grid cell with a 75 minutes window (two data points before and after the target one).

- For SMMR, T_{bs} are collected from 1981, 1982, and 1987 for the same months as GMI. The observations are available twice a day (for the ascending and descending overpasses). Given the comparable resolutions of SMMR observations and the target grid, a nearest-neighbour technique is used to project the T_{bs} into the EASE grid. Using several years of data for each instrument can alleviate possible effects of strong inter-annual variability in the signals (related to Niño or Niña events for instance).

The resulting datasets contain almost 2 million data points spanning all the continents and two contrasted seasons (summer and winter).

3 Results

3.1 SMMR and GMI comparisons at regional scale

- 25 At regional scales, a set of different areas have been selected to represent a large variability in environmental conditions. The averaged T_{bs} diurnal cycle derived from GMI is calculated for sample areas covering 25 grid cells, over two months, over 3 years (2015-2017). For the same areas, the averaged SMMR T_{bs} for the ascending and descending orbits are also calculated, over the same months, for 1981, 1982 and 1987.

- Figure 1 presents the results for areas in the North Hemisphere, during winter (January and February), at 18 and 37 GHz, for both orthogonal polarizations. It includes cold mountainous regions, arid deserts with high T_{bs} during the day and a large amplitude of the diurnal cycle, as well as warm rainforest with a limited variation in T_{bs} . Forested regions show a low diurnal cycle amplitude of the T_{bs} (< 10 K), with almost no difference between V and H polarizations due to the emission and scattering effect of the dense vegetation. Arid regions (cold or warm) have large diurnal variations in T_{bs} , directly related to the diurnal

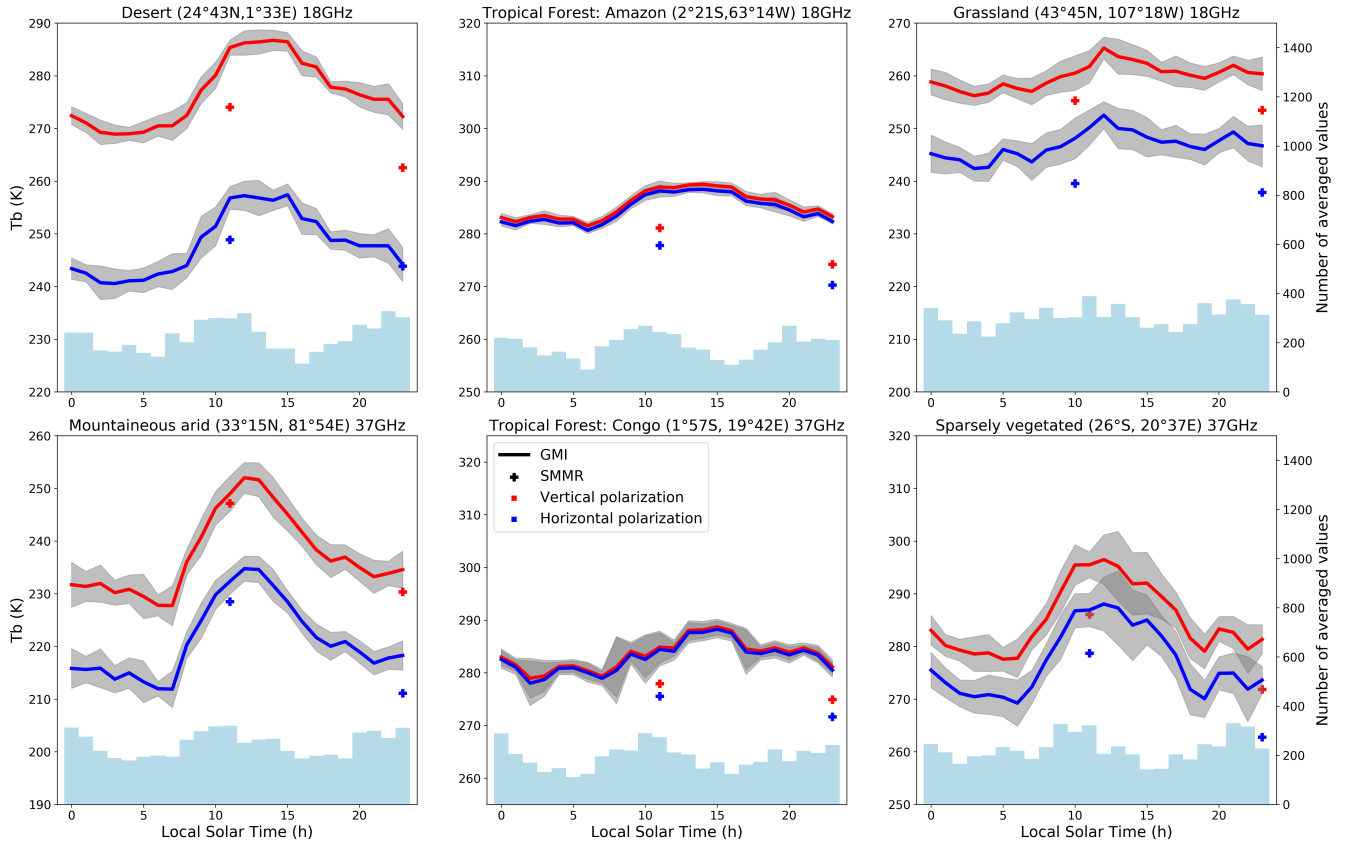


Figure 1. Diurnal cycles from GMI compared to SMMR values at different frequencies and locations averaged for the January and February months (horizontal polarization in blue and vertical in red). Measurements are averaged over 25 grid cells and by hour with the standard deviation of each average temperature in the diurnal cycle and the associated number of measurements displayed below.

cycle of the land surface temperature. The polarization difference is significant, due to an almost specular behavior of these rather flat surfaces. With increasing vegetation cover (sparsely vegetated or grassland) an intermediate behavior is observed. The variability associated to each GMI average is computed and also displayed (the grey shades around the diurnal cycle indicate one standard deviation). The number of individual pixels used in the diurnal cycle calculation is also indicated (blue shades). The low variability of the signals over the Amazon confirms the high stability of the T_{bs} in this region that is regularly used as a warm radiometric reference (e.g., Brown and Ruf (2005)). The SMMR values have been calculated for the initial Level 1B data as well as for the CM SAF inter-calibrated results but only the level 1B data is displayed on Fig. 1. Note that the CM SAF inter-calibration is not designed nor validated for observations over land.

The SMMR day and night values follow the same diurnal pattern as GMI, but with a systematic underestimation of the T_{bs} , regardless of the frequency and polarization. These differences are usually significantly larger than what is expected from the GMI signal variability, indicated by the standard deviation around the average. The difference between SMMR and GMI

appears to be almost the same for both polarizations. The difference seems to decrease for the coldest locations. The variability is higher under mid latitudes where year-on-year variations are possible and meteorological ~~variations~~ events can impact the measurements. The differences cannot be explained solely by the instrument different characteristics (EIA or frequencies), or by environmental changes between the periods covered by the two instruments (the 1980s for SMMR and the 2010s for GMI).

5 ~~A possible source of error could be~~ Different sources could cause such errors, for instance an erroneous warm calibration load temperature or an error in the correction of antenna pattern that misses the Earth.

The inter-calibration layer from CM SAF for SMMR does not seem to improve the results. Over land the mean correction added by the calibration is below 0.5 K for the 18 GHz vertical and horizontal polarization and 37 GHz horizontal channel. The correction for the 37 GHz vertically polarized channel is on average around 2 K. The inter-calibration has been designed
10 mainly for ocean observations with low T_{bs} and not for ~~our land application~~ land applications so it does not adequately correct for calibration issues over land (not shown on the figure). Other locations and seasons were analyzed, with similar conclusions (not shown here).

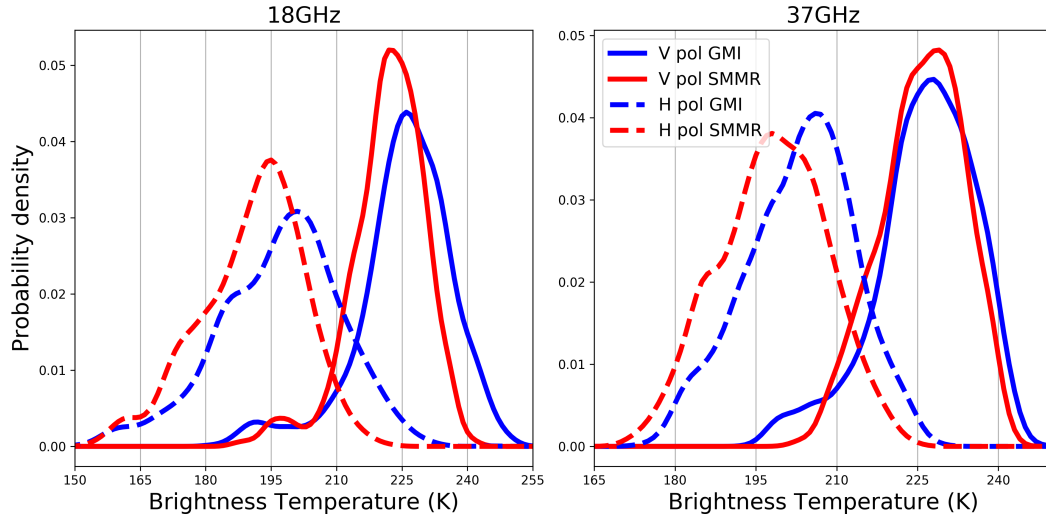


Figure 2. Probability density functions of July/August brightness temperatures over Antarctica for GMI in 2015 and SMMR in 1987.

The observations over the Antarctic ice sheet are also explored, to extend our investigation to lower T_{bs} . Because of the GMI coverage, the comparison is limited to the edge of Antarctica. Care is exercised to avoid contamination by the ocean and
15 sea ice. South Hemisphere winter months are selected (July and August). During this long night, there is no diurnal cycle and the T_{bs} distribution for the two satellites are directly compared (Figure 2). The delta between SMMR level 1B and GMI T_{bs} distribution is between 5 and 10 K for all channels except the 37 GHz vertical polarization that shows a lower difference.

Here as well, the significant differences in the SMMR level 1B and GMI T_{bs} cannot be attributed only to changes in environmental conditions, even over ~ 30 years. Jezek et al. (1991) compared SMMR and SSM/I over the Antarctic ice sheet
20 during their overlap period and also found significant differences in both Ku and Ka bands. They discussed the impact of the

change in EIA and frequency between the instruments but concluded that it cannot explain the large observed differences. Only calibration issues can explain the observed differences, with the SMMR T_{bs} colder than the GMI ones.

3.2 Derivation of a SMMR correction over continental surfaces

In order to assess the possibility to correct for the SMMR calibration issue, SMMR and GMI observations are compared at global scale. The SMMR observations (both ascending and descending orbits) are compared to the corresponding GMI values for the same times in the day, for January and February and for July and August, over 3 years for the two instruments (1981-1982-1987 for SMMR and 2015 to 2017 for GMI, as before). Points for which the difference between the GMI and SMMR values is outside 3 standard deviations from the mean difference are suppressed. We checked that these points were essentially located over coastal regions: GMI has a better spatial resolution than SMMR and over the coasts the SMMR observations will likely include more contributions from the surrounding ocean. Figure 3 displays the distribution of the GMI against the SMMR T_{bs} , at 18 and 37 GHz, both polarizations. The underestimation of the SMMR T_{bs} compared to the GMI ones clearly increases with T_{bs} . Here we suggest a simple linear correction to inter-calibrate the SMMR observations toward the GMI ones. A more sophisticated correction would not be justified. First, we are aware that this is a first order inter-calibration, as the comparison involves different years. Second, it would be more complex to implement [especially in production pipelines](#). Lastly, it would likely overfit some part of the signal rather than correcting the T_{bs} .

A simple correction is proposed for all channels with the form $\hat{T}_{SMMR} = a \times T_{SMMR} + b$. The coefficients slope a and the intercept b are estimated through the minimization of the sum of squared difference : $\sum_{i=1}^N (T_{GMI} - a \times T_{SMMR} - b)^2$ derived from the assumption that $\hat{T}_{SMMR} = T_{GMI}$, with N the number of data points used for the regression coefficient estimation. The data collection covers the full T_b range observable over continental surfaces, including some polar regions.

The distribution of the data points from the cold and warm ends is uneven, with less points for lower T_{bs} . To alleviate this issue, we randomly sample the points from both the cold and warm ends to simulate an even distribution over the full T_b range. Different samplings were tested to confirm the stability of the estimated coefficients. The resulting regression lines are added to Fig. 3. The mean squared errors of the linear regression are indicated with grey shades. It appears clearly that the uncertainty on the areas with less data (between the Antarctica temperatures and the land data points) or near the edge of the range of values are the ones with the highest errors. The coefficients of the linear regressions are provided in Table 3. The uncertainties on the regression coefficients are computed with a t-test for a 99% confidence level.

3.3 Evaluation

The suggested corrections are applied to SMMR values and the results can be compared to other imager observations. The inter-calibration being derived from GMI, a good agreement is expected with that sensor. The first ~~consistency~~ check consists in comparing the calibrated SMMR observations to the SSM/I observations, for their overlapping period. The GMI-derived diurnal cycle of T_{bs} is used as a bridge between the SMMR and SSM/I observations that have different overpassing times. Figure 4 presents the comparisons of SMMR and SSM/I F08, for different locations, averaged over their common period in July and August 1987, along with the GMI-derived diurnal cycle of the T_{bs} (estimated over three different years). It shows

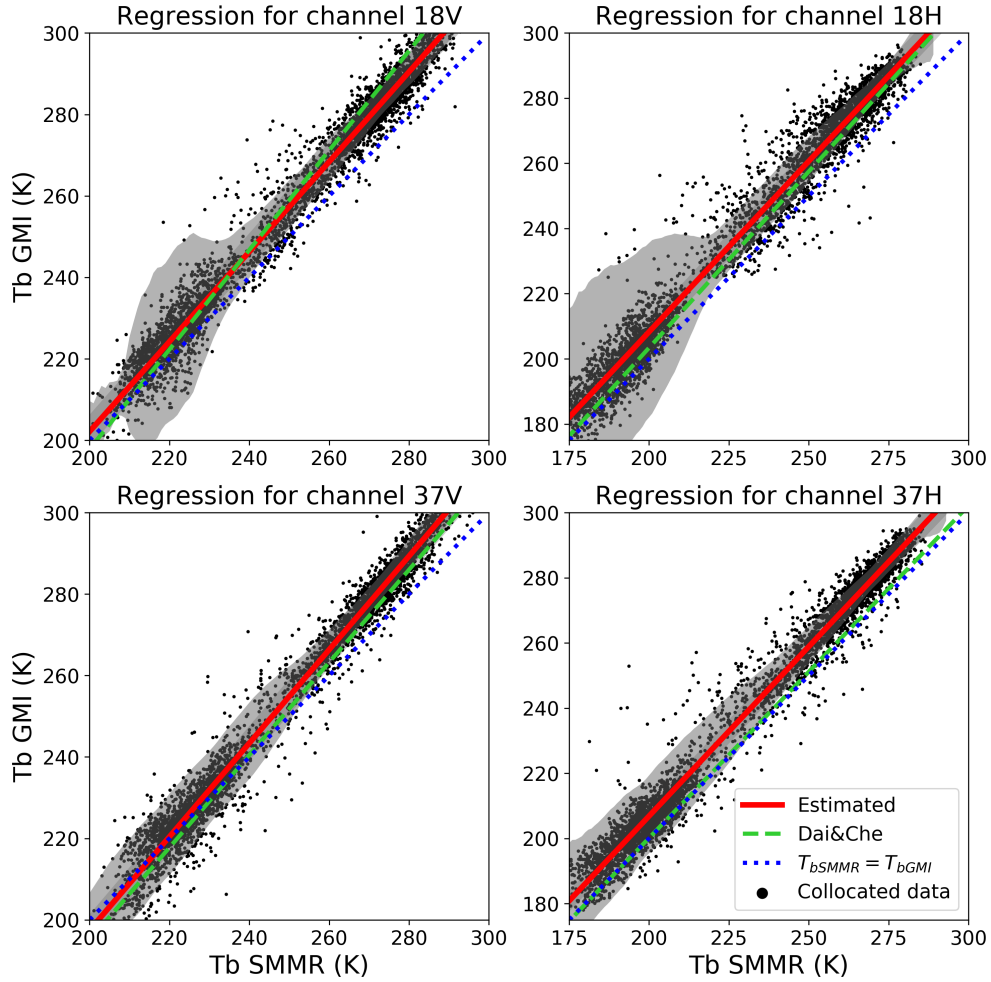


Figure 3. Linear regression on SMMR values against GMI after filtering points. In addition the correction derived by Dai and Che (and corrected for the SSM/I F08 to GMI calibration) is displayed.

that SSM/I T_{bs} , used without any inter-calibration, are in good agreement with the T_b diurnal cycle estimated from GMI. Contrarily to the SMMR observations before inter-calibration, no obvious large bias is observed between SSM/I and GMI, even for the very warm scenes, at 18 and 37 GHz for both polarizations. The average difference between SSM/I F08 (without any inter-calibration scheme applied) is around 2.5 K for the 18 GHz channels, and around 0.5 K for the 37 GHz channels.

- 5 A bias is expected between measurements that have not been inter-calibrated, but it is lower than the one detected for SMMR and confirms our assumptions regarding the emissivity behavior over continental surfaces as well as the small variation in [environmental conditions in](#) the 30 year gap between the 1980s and the 2010s.

Channel	Slope	Intercept	R^2
18V	1.10 ± 0.01	-18.7 ± 2.2	0.976
18H	1.05 ± 0.01	-1.29 ± 1.9	0.971
37V	1.15 ± 0.01	-32.2 ± 2.2	0.976
37H	1.04 ± 0.01	-1.23 ± 1.9	0.976

Table 3. Estimated linear correction coefficients, for the inter-calibration of the SMMR observations at 18 and 37 GHz, vertical and horizontal polarizations, with respect to GMI observations over the continents. The uncertainties are also added (computed with a t-test at 99% confidence level).

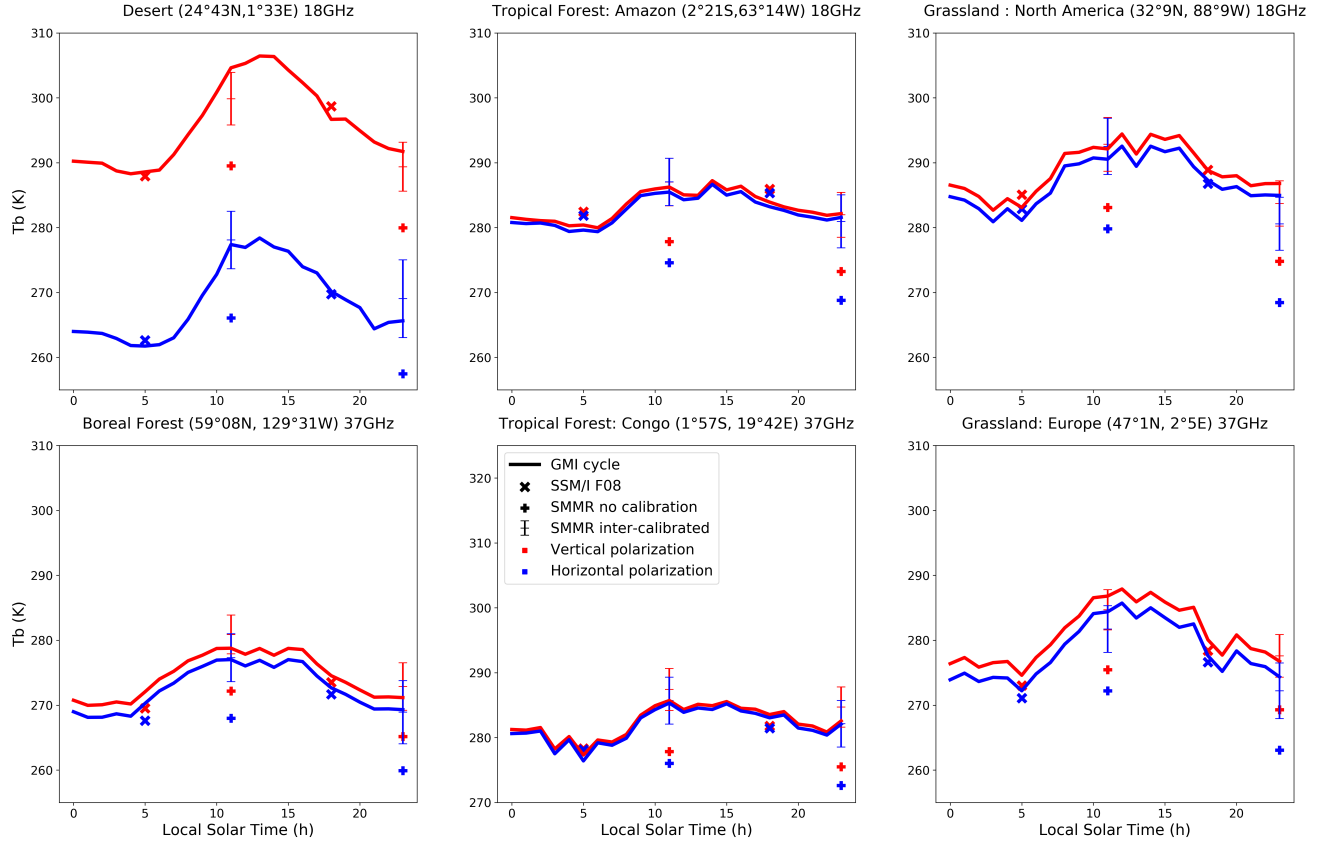


Figure 4. Diurnal cycle of GMI in July/August compared to values measured by SMMR with and without the proposed correction, and SSM/I values for the months of July/August 1987 over various locations and at different frequencies.

The agreement between the SMMR inter-calibrated values and GMI is clearly improved, as compared to the previous SMMR Level 1B results. These results show that the SSM/I F08 and the newly inter-calibrated SMMR are consistent, at least within the uncertainty provided with this inter-calibration. More cases have been tested, with similar results ([not shown here](#)).

In addition to the evaluation with other sensors, the results of our inter-calibration are compared with an alternative method developed for SMMR. Dai and Che (2009) built their inter-calibration scheme upon observations in desert and polar areas. The SSM/I F08 instrument is adopted as the reference and a model is developed to account for the diurnal changes in temperatures. The resulting linear regressions are added on Fig. 3 (green dotted lines). The two inter-calibration methods are based on very different principles. Nevertheless, they agree well for all channels, with similar slopes and slightly different intercepts. This adds confidence to our methodology.

4 Conclusions

Several FCDRs from passive microwave imagers have been produced, from pioneer instruments such as SMMR to the AMSR series. So far, the efforts essentially focussed over ocean where radiative transfer simulations fed by reanalysis can serve as a reference to bridge the differences between the instruments, in terms of frequency, EAI, and overpassing time. Over continental surfaces, inter-calibration of passive microwave imagers is challenging, especially for Sun-synchronous polar orbiting satellites with different over-passing times at the equator. Here we derived a method to extend the FCDR collection to SMMR over land at 18 and 37 GHz, using the non Sun-synchronous GMI instrument as a reference, despite the lack of a common observing period. GMI observations are used to reconstruct the diurnal cycles of T_{bs} that should be observed with SMMR, with the assumption that the environmental conditions have not changed drastically over the last 30 years and that the differences in frequencies and EIA between the two instruments can be neglected over land. With these hypotheses, the objective is to correct for the large differences between the sensors. Before inter-calibration, the comparison of the SMMR and GMI observations ~~show~~ shows a significant underestimation of the T_{bs} with SMMR, and this underestimation tends to increase with increasing T_{bs} . A linear regression is suggested for the 18 and 37 GHz channels, vertical and horizontal polarizations, to calibrate the SMMR observations toward the GMI estimates. The SMMR correction is evaluated with respect to the SSM/I F08 observations over their overlapping period in orbit, in July and August 1987. The GMI-derived diurnal cycle of T_{bs} acts as a bridge between the two instruments that have different overpassing times. A good agreement is reached between all sensor measurements. This inter-calibration of the SMMR instrument over land will make it possible to extend the passive microwave estimations of land surface variables over 9 more years backward, from 1978 to 1987. However, given the use of the more recent GMI instrument as a calibration reference any comparison between the different epochs should be conducted with extreme care. This will be practically tested in the near future for the estimates of e.g., surface water extent and land surface temperatures from microwave observations, two variables that rely on the 18 and 37 GHz observations for their retrieval, under clear and cloudy sky conditions.

Data availability. The Satellite Application Facility on Climate Monitoring provides access to the Fundamental Climate Data Record of Microwave Imager Radiances (10.5676/EUM_SAF_CM/FCDR_MWI/V003; Fennig et al. (2019)). The Global Precipitation Measurement

Mission Microwave Imager GMI_R Common Calibrated Brightness Temperatures Collocated L1C 1.5 h 13 km V05 (GPM_1CGPMGMI_R 10.5067/GPM/GMI/R/1C/05; Berg et al. (2016)) is provided by NASA.

Author contributions. All authors have been involved in interpreting the results, discussing the findings, and editing the paper. SF conducted the main analysis and wrote the draft of the paper. CJ and CP provided guidance on using the data sets and expertise on analysing the results.

5 *Competing interests.* The authors declare that they have no conflict of interest.

Acknowledgements. The authors would like to acknowledge the data providers [for the discussion on their intercalibration method](#): Wesley Berg and Christian Kummerow from Colorado State University ~~for the discussion on their intercalibration method~~, and special thanks are due to Karsten Fennig and Marc Schröder for their help on using the CM SAF FCDR [data](#) and the comments on the work done.

References

- Andersson, A., Fennig, K., Klepp, C., Bakan, S., Graßl, H., and Schulz, J.: The Hamburg ocean atmosphere parameters and fluxes from satellite data-HOAPS-3, *Earth System Science Data*, 2, 215–234, <https://doi.org/10.5194/essd-2-215-2010>, 2010.
- Berg, W., Sapiano, M. R., Horsman, J., and Kummerow, C.: Improved geolocation and earth incidence angle information for a
5 fundamental climate data record of the SSM/I sensors, *IEEE Transactions on Geoscience and Remote Sensing*, 51, 1504–1513, <https://doi.org/10.1109/TGRS.2012.2199761>, 2013.
- Berg, W., Bilanow, S., Chen, R., Datta, S., Draper, D., Ebrahimi, H., Farrar, S., Jones, W. L., Kroodsma, R., McKague, D., Payne, V., Wang, J., Wilheit, T., and Yang, J. X.: Intercalibration of the GPM microwave radiometer constellation, *Journal of Atmospheric and Oceanic Technology*, 33, 2639–2654, <https://doi.org/10.1175/JTECH-D-16-0100.1>, 2016.
- 10 Berg, W., Kroodsma, R., Kummerow, C., and McKague, D.: Fundamental Climate Data Records of Microwave Brightness Temperatures, *Remote Sensing*, 10, 1306, <https://doi.org/10.3390/rs10081306>, <http://www.mdpi.com/2072-4292/10/8/1306>, 2018.
- Bojinski, S., Verstraete, M., Peterson, T. C., Richter, C., Simmons, A., and Zemp, M.: The concept of essential climate variables in support of climate research, applications, and policy, *Bulletin of the American Meteorological Society*, 95, 1431–1443, <https://doi.org/10.1175/BAMS-D-13-00047.1>, 2014.
- 15 Brodzik, M. J., Billingsley, B., Haran, T., Raup, B., and Savoie, M. H.: EASE-Grid 2.0: Incremental but significant improvements for earth-gridded data sets, *ISPRS International Journal of Geo-Information*, 1, 32–45, <https://doi.org/10.3390/ijgi1010032>, 2012.
- Brown, S. T. and Ruf, C. S.: Determination of an Amazon hot reference target for the on-orbit calibration of microwave radiometers, *Journal of Atmospheric and Oceanic Technology*, 22, 1340–1352, <https://doi.org/10.1175/JTECH1769.1>, <http://journals.ametsoc.org/doi/abs/10.1175/JTECH1769.1>, 2005.
- 20 Dai, L. and Che, T.: Cross-platform calibration of SMMR, SSM/I and AMSR-E passive microwave brightness temperature, *Data Processing*, 7841, 784 103–784 103–10, <https://doi.org/10.1117/12.873150>, [{&}Agg=doi](http://link.aip.org/link/PSISDG/v7841/i1/p784103/s1), 2009.
- Fennig, K., Schröder, M., Andersson, A., and Hollmann, R.: A Fundamental climate data record of SMMR, SSM/I, and SSMIS brightness temperatures, *Earth System Science Data Discussions*, 15, 1–54, <https://doi.org/10.5194/essd-2019-146>, 2019.
- Gloersen, P. and Barath, F. T.: A Scanning Multichannel Microwave Radiometer for Nimbus-G and SeaSat-A, *IEEE Journal of Oceanic
25 Engineering*, 2, 172–178, <https://doi.org/10.1109/JOE.1977.1145331>, 1977.
- Hollinger, J. P., Peirce, J. L., and Poe, G. A.: SSM/I instrument evaluation, *IEEE Transactions on Geoscience and Remote Sensing*, 28, 781–790, <https://doi.org/10.1109/36.58964>, 1990.
- Hou, A. Y., Kakar, R. K., Neeck, S., Azarbarzin, A. A., Kummerow, C. D., Kojima, M., Oki, R., Nakamura, K., and Iguchi, T.: The global precipitation measurement mission, *Bulletin of the American Meteorological Society*, 95, 701–722, <https://doi.org/10.1175/BAMS-D-13-00164.1>, <http://journals.ametsoc.org/doi/abs/10.1175/BAMS-D-13-00164.1>, 2014.
- 30 Jezek, K., Merry, C., Cavalieri, D., Grace, S., Bedner, J., Wilson, D., and Lampkin, D.: Comparison Between SMMR and SSM/I Passive Microwave Data Collected over the Antarctic Ice Sheet, *BPRC Technical Report Number 91-03*, 1991.
- Jiménez, C., Prigent, C., Ermida, S. L., and Moncet, J. L.: Inversion of AMSR-E observations for land surface temperature estimation: 1. Methodology and evaluation with station temperature, *Journal of Geophysical Research*, 122, 3330–3347, <https://doi.org/10.1002/2016JD026144>, <http://doi.wiley.com/10.1002/2016JD026144>, 2017.
- 35 Kawanishi, T., Sezai, T., Ito, Y., Imaoka, K., Takeshima, T., Ishido, Y., Shibata, A., Miura, M., Inahata, H., and Spencer, R. W.: The Advanced Microwave Scanning Radiometer for the Earth observing system (AMSR-E), NASDA’s contribution to the EOS for global energy and

- water cycle studies, *IEEE Transactions on Geoscience and Remote Sensing*, 41, 184–193, <https://doi.org/10.1109/TGRS.2002.808331>, 2003.
- Kroodasma, R. A., McKague, D. S., and Ruf, C. S.: Inter-calibration of microwave radiometers using the vicarious cold calibration double difference method, *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, 5, 1006–1013, <https://doi.org/10.1109/JSTARS.2012.2195773>, 2012.
- Lean: Assimilation of Global Precipitation Mission (GPM) Microwave Imager (GMI) in all-sky conditions, *Boletin Tecnico/Technical Bulletin*, 55, 255–262, <http://www.ecmwf.int/en/research/publications>, 2017.
- Njoku, E. G.: Antenna pattern correction procedures for the Scanning Multichannel Microwave Radiometer (SMMR), *Boundary-Layer Meteorology*, 18, 79–98, <https://doi.org/10.1007/BF00117912>, 1980.
- 10 Prigent, C., Wigneron, J. P., Rossow, W. B., and Pardo-Carrion, J. R.: Frequency and angular variations of land surface microwave emissivities: Can we estimate SSM/T and AMSU emissivities from SSM/I emissivities?, *IEEE Transactions on Geoscience and Remote Sensing*, 38, 2373–2386, <https://doi.org/10.1109/36.868893>, 2000.
- Prigent, C., Aires, F., and Rossow, W. B.: Land surface microwave emissivities over the global for a decade, *Bulletin of the American Meteorological Society*, 87, 1573–1584, <https://doi.org/10.1175/BAMS-87-11-1573>, <http://journals.ametsoc.org/doi/10.1175/BAMS-87-11-1573>, 2006.
- 15 Prigent, C., Papa, F., Aires, F., Rossow, W. B., and Matthews, E.: Global inundation dynamics inferred from multiple satellite observations, 1993-2000, *Journal of Geophysical Research Atmospheres*, 112, 1–13, <https://doi.org/10.1029/2006JD007847>, 2007.
- Pulliainen, J.: Mapping of snow water equivalent and snow depth in boreal and sub-arctic zones by assimilating space-borne microwave radiometer data and ground-based observations, *Remote Sensing of Environment*, 101, 257–269, <https://doi.org/10.1016/j.rse.2006.01.002>, 2006.
- 20 Ruf, C. S.: Detection of calibration drifts in spaceborne microwave radiometers using a vicarious cold reference, *IEEE Transactions on Geoscience and Remote Sensing*, 38, 44–52, <https://doi.org/10.1109/36.823900>, 2000.
- Sapiano, M. R., Berg, W. K., McKague, D. S., and Kummerow, C. D.: Toward an intercalibrated fundamental climate data record of the SSM/I sensors, *IEEE Transactions on Geoscience and Remote Sensing*, 51, 1492–1503, <https://doi.org/10.1109/TGRS.2012.2206601>, 2013.
- 25 Ulaby, F. T., Moore, R. K., and Fung, A. K.: *Microwave Remote Sensing Active and Passive-Volume III: From Theory to Applications*, Artech House, Inc, 1986.
- Wentz, F. J.: SSM/I version-7 calibration report, *Remote Sensing Systems Tech. Rep.*, 11012, 46, 2013.
- Wentz, F. J. and Draper, D.: On-orbit absolute calibration of the global precipitation measurement microwave imager, *Journal of Atmospheric and Oceanic Technology*, 33, 1393–1412, <https://doi.org/10.1175/JTECH-D-15-0212.1>, 2016.
- 30 Yang, J. X., McKague, D. S., and Ruf, C. S.: Boreal, Temperate, and Tropical Forests as Vicarious Calibration Sites for Spaceborne Microwave Radiometry, *IEEE Transactions on Geoscience and Remote Sensing*, 54, 1035–1051, <https://doi.org/10.1109/TGRS.2015.2472532>, 2016.