## Revision of amt-2019-507

MIMiX: A Multipurpose In-situ Microreactor system for X-ray microspectroscopy to mimic atmospheric aerosol processing by J.-D. Förster *et al.* 

This document includes:

- I. Point-by-point response to Anonymous Referee #1
- II. Point-by-point response to Anonymous Referee #2
- III. Revised manuscript (changes marked by latexdiff)

## I. Point-by-point response to Anonymous Referee #1

We appreciate the very positive feedback and the inquisitive questions by Referee #1, which helped us to improve the quality of our manuscript. The referee's comments and our responses are detailed below.

<u>1. Referee Comment:</u> Emphasis is placed on the low profile in the front enabling C K-edge analysis. Is there sufficient room to enable S K-edge as well? If not, what aspect is limiting this?

<u>Author response</u>: With the current setup the sulfur edge cannot be reached. However it would be possible with only minor modifications. To our knowledge only STXM beamlines 11.0.2 at the Advanced Light Source (Berkeley, CA, USA) and UE46 at BESSY II (Berlin, Germany) are currently capable of providing photons with energies below 250 eV and high quality imaging at such a low photon energy is still challenging due to the shallow depth of field and the high demands on the quality of suitable x-ray optics. The corresponding paragraph on p.5–6 was changed accordingly:

With a typical focal length of  $\frac{1.21.36}{1.21.36}$  mm at 280 eV, the space between the focal plane and the zone plate (compare Fig. 3a and Fig. 1 in Huthwelker et al. (2010)), with the order sorting aperture (OSA) in between, is very limited. The OSA ( $\frac{60}{100}$  µm)

120 diameter) is located approximately 350320 µm away from the sample plane to prevent unfocused X-rays and stray light from passing through the sample and from entering the detector. Subtracting the silicon frame thickness of the front window leaves just 150120 µm between the OSA and the microreactor. We therefore avoided a sample holder design that adds more material to this side of the microreactor. Due to inherent geometric restrictions it is not possible to reach energies below 200 eV with the current setup. However, silicon nitride windows with just 100 µm thick frames are commonly available and their use together

125 with a modified mounting disk would in principle bring the sulfur L-edge at 170 eV into range, neglecting any limitation by X-ray optics and insertion devices. It has been shown that it is feasible to image the sulfur distribution in aerosol particles at the STXM beamline 11.0.2 at the Advanced Light Source (Berkeley, CA, USA) (Hopkins et al., 2008) and at MAXYMUS (Pöhlker et al., 2014, Fig. S6).

2. Referee Comment: It is noted that "We could not detect any carbonaceous contaminants from potential outgassing of the O-rings, from the lubricant or from the glued components". Under what systems was this probed? Was it only for the systems shown in the manuscript or have a broader range been tested? I am specifically concerned about the glue and any fumes that could end up partitioning into organic aerosol particles.

<u>Author response</u>: In initial tests the "KaWeS - Joint grease without silicon" from J.P. Pöllath - Labor-Technologie was used to lubricate the O-rings. Its vapor pressure is  $10^{-6}$  hPa vs. the lower vapor pressure  $10^{-9}$  hPa for Apiezon N. The figure below was added to the supplement to illustrate how contamination appears under the microscope. It is clearly visible how carbonaceous material is dissolved in the aqueous phase and additionally accumulates in a ring around the particle.

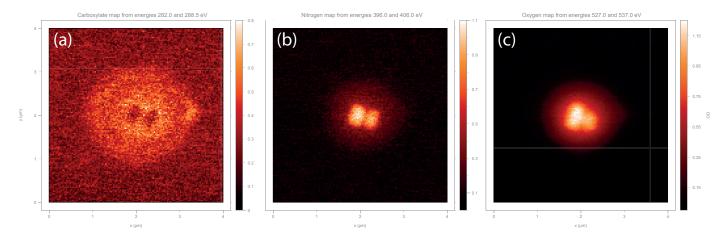


Figure S3: Carbonaceous contamination on ammonium sulfate particles at 65% RH using "KaWeS - Joint grease without silicon" as lubricant. a) Carboxylate map from energies 282.0 and 288.5 eV; b) Nitrogen map from energies 396.0 and 406.0 eV; c) Oxygen map from energies 527.0 and 537.0 eV

The corresponding paragraph on p.8 was rephrased to give more background information on our development process and to convince the reader of our awareness of the issue.

gas stream through an O-ring-sealed recess in the metal body (Fig. 3B). A total number of three O-rings seal the microreactor from the microscope. The O-rings were slightly lubricated with Apiezon N Cryogenic High Vacuum Grease to assure a tight seal and a smooth rotation of the sample locking mechanism. We could This solved the initial problem we had with a different high vacuum grease, which introduced an organic contamination into the samples (Fig. S3). We regularly conduct carbon K-edge spectroscopy to identify beam damage and potential sources of contamination in our analysis, but do not detect any carbonaceous contaminants from a potential outgassing of the O-rings, from the lubricant or from the glued components. The microreactor gets impurities originating from microreactor components, even in studies where particles were processed over many hours (e.g. Alpert et al., 2019). We attribute this to the fact that the microreactor is constantly flushed with fresh process gas at comparably high flow rates-, which keeps the amount of impurities originating from the lubricant, O-rings, or glued components at a low concentration or at least below our detection ability using STXM-NEXAFS spectroscopy.

<u>3. Referee Comment:</u> On page 11 you state: "This deviation might be attributed to the presence of the silicon nitride substrate but likely is a result of the hysteresis of the sensor, which was operated under fairly extreme conditions here and needs a long time to equilibrate after operation close to saturation conditions". How long is a "long time"? What are the typical time scales for these experiments and what else (if anything) limits the time scales (aside from the length of time it takes to raster scan and collect the spectrum)?

<u>Author response</u>: In our experiments we usually allowed the RH to stabilize for a few minutes before we recorded images or spectra. In Fig. 4a the response characteristics at approximately 80 % RH are shown, where, after each step, the system became sufficiently stable again after 2 minutes at maximum. The response time is almost independent of the increment. Only at higher relative humidities, i.e., close to saturation conditions, the equilibration takes longer due to surface ad- and absorption of water molecules but never exceeded ten minutes. Accordingly, we rephrased "a long time" to "up to ten minutes" on p.10, l.213. A good example of a common experiment is given by the description of Fig. 6 in lines 221–232 where we added more information about the duration of the overall hydration/dehydration experiment.

As a second proof-of-performance application, presented in Fig. 6, the hygroscopic growth curve of AS was recorded using fine-pitched RH steps at the MAXYMUS instrument. The figure contains data from two independent measurements on four AS particles each. Again, the increase of optical density at the O-oxygen K-edge served as a measure for the water mass-uptake, in analogy to the NaNO<sub>3</sub> measurements by Ghorai and Tivanski (2010) and the (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> water uptake study by Zelenay et al. (2011a). Considering that the data obtained are based on the small number of just four single AS particles, a A good agreement with the AIM model by Clegg et al. (1998), II by Clegg et al. (1998) for the deliquescence point and the overall trend was found. In terms of representative statistics of the number of analyzed particles, methods based on STXM-NEXAFS are inherently limited by comparatively long scan times. As a rough measurement time estimate, the recording of a full hydration/dehydration cycle with 22 RH steps took two hours in case of dataset 1. The scan time itself was about two minutes at each RH step for recording images at two different energies (65 nm pixel size, 1 ms dwell time per pixel on a 154×122 px<sup>2</sup> area). The remaining time was spent on waiting for the RH to stabilize, which is particularly important during dehydration experiments and at high relative humidities, as was mentioned above.

In addition, we reanalyzed the data for the corresponding Fig. 6. We now integrated the single particles visible in the field of view instead of taking the average across all particles and we added a second dataset to give more statistical weight to our analysis and to demonstrate that a hygroscopic growth curve with a reasonable amount of data points can be recorded in a reasonable amount of time with this technique. Moreover, we found an error in our AIM model calculation, which resulted in an incorrect model curve. Since the method we used here only gives us a measure of the oxygen mass, the model now includes only the oxygen containing species, resulting in an Oxygen Mass Growth Factor instead of a total Mass Growth Factor. The corresponding raw data and calculations have been added to the Edmond repository mentioned in the data availability section. The new figure is attached below:

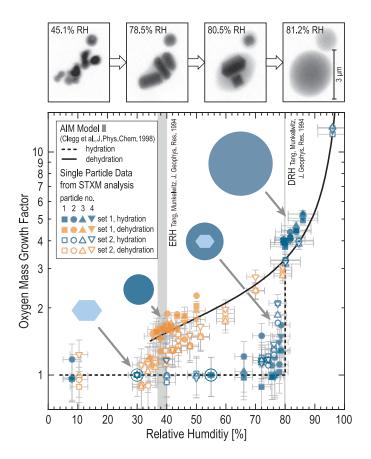


Figure 6: Hygroscopic growth curve of individual ammonium sulfate (AS,  $(NH_4)_2SO_4$ ) erystals, based on the oxygen uptake of two datasets with four lab-prepared micron sized aerosol AS particles each, imaged at the oxygen K-edgeranging from 500 nm – 2 µm in size. The image sequence above at different humidities above, taken from Pöhlker et al. (2014), shows similar AS particles at the oxygen pre-edge (528 eV) and nicely-illustrates the water uptake and the Ostwald ripening. The water oxygen mass uptake was uptakes of individual particles were calculated from OD maps in analogy (527/560 eV) at the oxygen K-edge. All data were normalized to the method used by Ghorai and Tivanski (2010) for dry particles (encircled data points). Only masses from oxygen containing species contributed to the AIM model II curves (Clegg et al., 1998). Error bars represent the sensor accuracy ( $\pm 3\%$  RH below and by Zelenay et al. (2011a) for  $\pm 5\%$  RH above 80% RH) and an estimated measurement error ( $\pm 0.2$  oxygen mass growth units).

Besides that, as mentioned by the referee, only scan times and, in addition, the times for tuning the instrument (e.g., focusing, selection of energies, search for a region of interest on the sample) limit the data aquisition speed.

<u>4. Referee Comment:</u> For the isoprene experiment, how quickly was the system cooled? How much variation in this cooling rate do you have? What about for warming rates? Is there any equilibration time needed between cooling and warming cycles?

Author response: The cooling rate was not constant. The average cooling rate between 289 K and 273 K was about 1 K min<sup>-1</sup> and the target temperature of 261 K was reached after one hour. Due to the setting of the PI regulation the temperature approached the setpoint asymptotically in this experiment. No equilibration time is needed between cooling and warming cycles as the circulation pump can be started, stopped and varied in speed immediately. However, the Stirling cooler needs an initial startup time of about 30 minutes before cooling experiments can be conducted due to the mass of the cooling head and its corresponding heat capacity. The cooling and warming rates are approximately equivalent, but the warming rate depends on the quality of the surrounding vaccum. To better quantify cooling and warming rates we rephrased p.14, 1.244–248

by Lambe et al. (2015), and subsequently impacted on a silicon nitride membrane window. In the course of the experiment, the microreactor was cooled from room temperature to about -12starting from 289 K, the target temperature of 261 K was reached within an hour with an initial average cooling rate of about 1 K min<sup>-1</sup> between 289 K and 273 K and with the humidified gas flow fully open enabled to initiate a fast hygroscopic particle growth. The maximum cooling rate achieved with this system was 2.5 K min<sup>-1</sup>.

## and p.14, l.269–271, respectively.

Still, it is surprising to find the observed even though the temperature fluctuated by ±0.2 K. During warming up from 261 K
to 269 K at a rate of 2.7 Kmin<sup>-1</sup> a restructuring of the crystalline structure could be observed. (The warming rate largely depends on the quality of the surrounding vacuum since no active heating is involved.)

5. <u>Referee Comment:</u> For the isoprene study did any other particles nucleate ice? How wide of a field of view is possible with this apparatus? Can you still do larger scan areas to enable a search for "exemplary" particles? Are there any limitations to this in the current design?

<u>Author response</u>: Unfortunately, we had no time to study more particles during this experiment, but we would like to investigate this further in follow-up studies. Since data are sparse, we rephrased the corresponding paragraph on p.14–15, l.264–279:

- be attributed to  $H_2O$  molecules only. The observed ice crystal crystalline structure could be observed over many hours and remained stable in shape over many hours. Further crystal growth was probably kinetically impeded through the viscosity of the matrix solution and/or thermodynamically by the depression of the residual liquid phase's freezing point, which is gradually lowered by precipitation of the ice phase and consequent solute enrichment in the liquid phase, until the freezing stops at a certain composition. This slowing down of the crystal growth was also described by Budke et al. (2009) for sucrose solutions.Still, it is surprising to find the observed even though the temperature fluctuated by  $\pm 0.2$  K. During warming up
- from 261 K to 269 K at a rate of 2.7 K min<sup>-1</sup> a restructuring of the crystalline structure could be observed. (The warming rate largely depends on the quality of the surrounding vacuum since no active heating is involved.) It should be noted that it is unlikely to find immersion freezing in aqueous isoprene SOA (seeded with AS), as it occurred at an unusually high temperature of -12261 K, compared to model predictions (e.g., Hoose and Möhler, 2012; Berkemeier et al., 2014). However, other parameters like the humidification rate, for instance, can considerably influence the upper temperature boundary for
- 275 immersion freezing (Berkemeier et al., 2014). Besides that, the potential-presence of ice nucleation-active contaminants, the role of the sample substrate, and an influence of the ionizing X-ray beam itself can not be excluded. Due to the loss of beam and the subsequent end of the beamtime, the melting process could not be followed till the end. Although the data are sparse for giving actual proof for ice formation, we found our observation worth reporting and feel encouraged to conduct further investigations on this in follow-up studies.

In general, there is no limitation of the field of view. The whole sample window could be scanned. However, the fine stage, which allows a more efficient data aquistion due to fast piezo motors is limited to movements of about 30 micrometers (in case of the MAXYMUS instrument). A common mode of operation is to 'jump' to a new location with the coarse stage and record scans with the fine stage in the newly selected area. Our microreactor design does not limit movements of the stages as far as required for scanning the sample window. In Fig. 3c the 1 mm clearance around the detector tip is shown, which is the hard limit for the coarse stage movement. Since the technical limitations like scan ranges of the instruments are generally not influenced by our microreactor and are very instrument specific, we would like refer to the mentioned literature for MAXYMUS (Follath et al., 2010; Nolle et al., 2011; Weigand, 2014) and PolLuX (Flechsig et al., 2007; Raabe et al., 2008; Frommherz et al., 2010).

6. Referee Comment: How long is a typical experiment for both RH only and the ice nucleation studies (T and RH varying)?

Author response: We hope that our answers to questions 3-5 are sufficiently detailed to give a rough estimate of the time consumption of such experiments. The cooling system control is independent of the RH control system. The cooling system can be driven with a cooling/warming rate of about 2.5 K min<sup>-1</sup> as was mentioned above, while the humidity can be increased or lowered much faster. We are stating on p.8, 1.166-169:

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Steep p and RH gradients (e.g., from dry conditions to above 80 % RH within less than a minute) can be achieved in a reliable and reproducible manner. Besides a stepwise increase of the humidity, it is possible to run preprogrammed ramps or periodically repeated hydration/dehydration 168 cycles to mimic dynamic atmospheric processes.

and on p.9, l.178–180:

avoid undesired water condensation inside the microreactor. Therefore, the T control system was designed for high-precision 178 regulation and not for high cooling ( $^{22.5}$  K min<sup>-1</sup>) or warming rates. In Fig. 4b, the high stability of the regulator set to a target T of  $\frac{10283.2}{10283.2}$  K is shown over a 4-hour time span. 180

7. Referee Comment: Some of the font sizes in the figures are rather small and difficult to read. I particularly recommend improvements in Figure 1 and the axis on Figure 4 (the pale colors are very hard to read when printed in black and white).

Author response: Thank you for pointing this out. Figures 1 and 4 have been replaced, the font sizes as well as the saturation of the colors has been increased. The new figures are shown below:

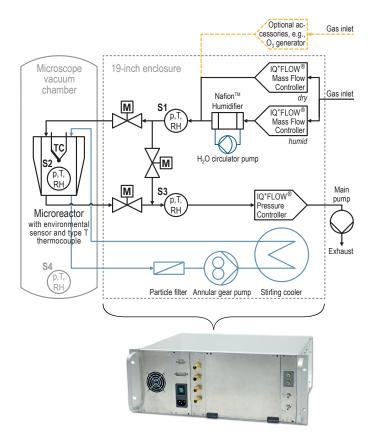


Figure 1: Gas Flow and cooling system schematics of the entire system. Left: X-ray microscope chamber with sketched microreactor. Refer to to Fig. 2 for a detailed view of the microreactor. Right: 19-inch enclosure that includes the control system. S1-S4: p, T, RH environmental sensors (Bosch BME280); M: Solenoid operated shut off valves (Bronkhorst<sup>®</sup> EV-02-NC-V); TC: Thermocouple (Omega<sup>™</sup> 5TC-TT-TI-4)

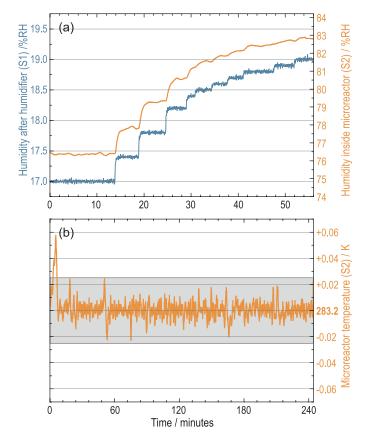


Figure 4: a) Response characteristic of the humidifier at different setpoints. blue: humidity measured at S1 (control variable); orange: humidity measured at S2 (compare with compare with Fig. 1) at an ambient temperature between 301.2-302.2 K and a microreactor T of 283.2 K. b) Microreactor T stability over  $\sim$  240 min at a setpoint of 283.2 K. The gray shading emphasizes a  $\pm 0.025 \text{ K}$  margin.

# II. Point-by-point response to Anonymous Referee #2

We appreciate the short and helpful comments by referee #2, which have been considered carefully and helped to improve the quality of our manuscript. The referees' comments and our responses are outlined below.

<u>1. Referee Comment:</u> What is the time resolution and space resolution for the measurements?

<u>Author response</u>: The answer to this question highly depends on the required resolution in space and time. It also depends on the desired amount of pixel statistics and energy resolution. In the description of our newly composed Fig. 6 we provided a rough time estimate by stating on p.11–13, l.227–232:

point and the overall trend was found. In terms of representative statistics of the number of analyzed particles, methods based on STXM-NEXAFS are inherently limited by comparatively long scan times. As a rough measurement time estimate, the recording of a full hydration/dehydration cycle with 22 RH steps took two hours in case of dataset 1. The scan time itself was

230 about two minutes at each RH step for recording images at two different energies (65 nm pixel size, 1 ms dwell time per pixel on a 154×122 px<sup>2</sup> area). The remaining time was spent on waiting for the RH to stabilize, which is particularly important during dehydration experiments and at high relative humidities, as was mentioned above.

Our microreactor does not alter the performance and resolution of STXM instruments. In the literature mentioned in the manuscript the achievable spatial resolution reported varies between 15-25 nm for MAXYMUS (Follath et al., 2010; Nolle et al., 2011; Weigand, 2014) and PolLuX (Raabe et al., 2008; Frommherz et al., 2010).

 $\underline{2. \text{ Referee Comment:}}$  The authors mentioned that "We could not detect any carbonaceous contaminants from potential outgassing of the O-rings, from the lubricant or from the glued components", more evidences are needed for this claim.

<u>Author response</u>: Indeed we detected carbonaceous contaminants in very initial tests. We analyzed the problem thoroughly and could trace it back to the lubricant we first used. After replacing the lubricant, no contamination was visible to us in subsequent experiments. A clear sign of carbonaceous contamination is shown in Fig. S3, which we added to the supplement. On p.8 we provided additional information to address our learning curve with this issue:

gas stream through an O-ring-sealed recess in the metal body (Fig. 3B). A total number of three O-rings seal the microreactor from the microscope. The O-rings were slightly lubricated with Apiezon N Cryogenic High Vacuum Grease to assure a tight seal and a smooth rotation of the sample locking mechanism. We could This solved the initial problem we had with a different

high vacuum grease, which introduced an organic contamination into the samples (Fig. S3). We regularly conduct carbon K-edge spectroscopy to identify beam damage and potential sources of contamination in our analysis, but could not detect any carbonaceous contaminants from a potential outgassing of the O-rings, from the lubricant or from the glued components. The microreactor gets impurities originating from microreactor components, even in studies where particles were processed over many hours (e.g. Alpert et al., 2019). We attribute this to the fact that the microreactor is constantly flushed with fresh

<sup>155</sup> process gas at comparably high flow rates-, which keeps the amount of impurities originating from the lubricant, O-rings, or glued components at a low concentration or at least below our detection ability using STXM-NEXAFS spectroscopy.

Please also refer to our answer to question #2 of Referee #1 for additional information.

<u>3. Referee Comment:</u> Is it possible to perform in-situ heterogeneous reaction study using this equipment?

<u>Author response</u>: The in-depth study of diffusion limited reactions relevant in atmospheric multiphase chemistry was one motivation to build this setup. We already observed the diffusion limited oxidation of  $Fe^{2+}$ in aerosol particles composed of xanthan gum and  $FeCl_2$  and are planning to conduct more experiments under atmospherically relevant pressure, temperature, and humidity regimes. Accordingly, we reorganized the paragraph on p.15 l.280–292 and added the study (Alpert et al., 2019) as a reference:

- In terms of representative statistics of the number of analyzed particles, methods based on Furthermore, MIMiX was used in a study on the diffusion limited oxidation of Fe<sup>2+</sup> in particles composed of xanthan gum and FeCl<sub>2</sub> by ozone (Alpert et al., 2019) supplied by an external ozone generator (compare Fig. 1). In this study, a direct comparison between *in-situ* observed and modeled chemical changes in micron- and submicron-sizes particles was achieved. This study shows the potential of STXM-NEXAFS are inherently limited by comparatively long scan times. Accordingly, some of the results on the standard compounds
- shown here can be obtained more efficiently and probably more precisely with other techniques, such as Hygroscopicity Tandem Differential Mobility Analyzer (HTDMA) systems (Brechtel and Kreidenweis, 2000a, b), and Differential Mobility Analysers coupled to a Humidified Centrifugal Particle Mass Analyser (DMA-HCPMA) (Vlasenko et al., 2017). Also single particle traps, e.g., the electrodynamic balance (EDB)(Cohen et al., 1987; Tang et al., 1995), and the aerosol optical tweezers are highly accurate, well-established techniques and do not require a substrate (Mitchem and Reid, 2008; Krieger et al., 2012).
- <sup>290</sup> Therefore, the main purpose of showing these results here is to illustrate the analytical capabilities of the system, particularly at high RH and low *T*in combination with MIMiX towards the *in-situ* investigation of atmospherically relevant multiphase reactions and may inspire more studies such as this on the topic of diffusion limitation and surface reactions.

# MIMiX: A Multipurpose In-situ Microreactor system for X-ray microspectroscopy to mimic atmospheric aerosol processing

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**Abstract.** The dynamic processing of aerosols in the atmosphere is difficult to mimic under laboratory conditions, particularly on a single particle level with high spatial and chemical resolution. Our new microreactor system for X-ray microscopy facilitates observations under *in-situ* conditions and extends the accessible parameter ranges of previously reported setups to very high humidities and low temperatures. With the parameter margins for pressure (180-1000 hPa), temperature ( $-23 \sim 250$  K to

- 5 room temperature), and relative humidity (~0% to above 98%), a wide range of tropospheric conditions is covered. Unique features are the mobile design and compact size that make the instrument applicable to different synchrotron facilities. Successful first experiments were conducted at two X-ray microscopes, i) MAXYMUS, located at beamline UE46 synchrotron BESSY II, Berlin, Germany, and ii) PolLux, located at beamline X07DA of the Swiss Light Source in the Paul Scherrer Institute, Villigen, Switzerland. Here we present the design and analytical scope of the system, along with first results from
- 10 hydration/dehydration experiments on ammonium sulfate and potassium sulfate particles and the <u>tentative</u> observation of water ice at low temperature and high relative humidity in a secondary organic aerosol particle from isoprene oxidation.

## 1 Introduction

Aerosol particles play crucial roles in various atmospheric processes and the Earth's climate system (e.g., Pöschl, 2005; Andreae and Rosenfeld, 2008; Kolb and Worsnop, 2012; IPCC, 2013). Precise knowledge of their physical and chemical properties

15 on a single particle level (i.e., mixing state, hygroscopicity, viscosity, occurrence of phase separation) is needed to correctly evaluate the aerosols' atmospheric influence. Accordingly, a focal point of current aerosol research is to retrace the dynamic life cycle of aerosol particles in the atmosphere upon cloud processing, chemical aging, and the associated multiphase processes (e.g., Mikhailov et al., 2009; Koop et al., 2011; Shiraiwa et al., 2013; Pöschl and Shiraiwa, 2015).

Scanning transmission X-ray microscopy with near-edge X-ray absorption fine structure analysis (STXM-NEXAFS) in the

- 20 soft X-ray regime (270-2000 eV) has become a widely used and powerful technique to resolve the micromorphology and chemistry of laboratory and ambient aerosol particles on submicron scales (e.g., Moffet et al., 2011; Shakya et al., 2013; O'Brien et al., 2015). However, most analyses of this kind were conducted on dried particles impacted on sampling substrates, representing a strongly altered state in relation to the particles' microphysical conditions in the atmosphere. Accordingly, some studies on laboratory generated standard aerosols have combined STXM-NEXAFS analyses with observations under more
- 25 authentic atmospheric conditions, such as varying relative humidity (RH) levels (e.g., Ghorai and Tivanski, 2010; Zelenay et al., 2011a, b, c; Steimer et al., 2014). Ambient aerosol particles, which we investigated with STXM under varying RH conditions, showed remarkable changes in microstructure and phase state as a function of RH (Pöhlker et al., 2014). While these initial studies have provided interesting insights into the dynamic life cycle of aerosol particles in the atmosphere, results of this kind particularly on collected ambient particles have remained sparse due to technical challenges in reliably controlling the
- 30 temperature (*T*), pressure (*p*), and RH over the sample throughout the course of the already challenging STXM experiments. As an example, the comparatively simple experimental setup in Pöhlker et al. (2014) was inherently limited by low *p* conditions, RH < 87 %, and unregulated *T*.

Here, we present the development of a gas flow system coupled with a microreactor as an accessory for STXM instruments for *in-situ* studies of particles in a controlled gas-phase environment, we emphasize its analytical capabilities and show initial

- 35 results. The instrument's design and construction was inspired by previous developments of environmental chambers for X-ray microscopes, namely by Drake et al. (2004), de Smit et al. (2008), Huthwelker et al. (2010), and Kelly et al. (2013). The microreactor system has been developed according to the following requirements:
  - Compactness and portability:

facilitating compatibility of the system with different STXM instruments and application at different synchrotron sites

40 – Minimal optical path length:

accounting for short focal lengths in STXM optics, e.g., to allow measurements at the carbon (C) *K*-edge and at even lower energies

- Maximum sample compatibility:

suitable for standard silicon nitride membrane windows ( $500 \times 500 \,\mu\text{m}^2$ ) operated at up to 1000 hPa pressure difference between the inside of the microreactor and the surrounding STXM enclosure, quick and safe sample (un-)mounting

Reliable and stable parameter control:
 environmental parameters *p*, *T*, and RH tunable over a wide value range; particularly, humidity control in high RH regime
 (i.e., 80 % RH up to saturation) and control over *T* below 0 down to 250 K for kinetic studies and freezing experiments

- Extension options:
- interfaces to the gas supply circuit for the introduction of reactive atmospheres to study particle/gas phase reactions (e.g., ozonolysis)

## 2 Technical Description

## 2.1 Control System Design

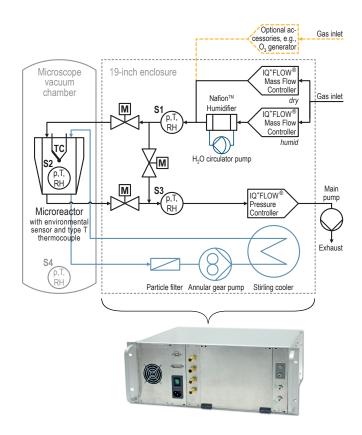
The control system is of compact size: The gas mixing and cooling circuits, along with the power converters and electronics are integrated into a 19-inch enclosure with a height of 4 rack units (total dimensions:  $37 \times 48 \times 17.5$  cm<sup>3</sup>). The relevant parts of these circuits and the positions of the environmental sensors (BME280 by Bosch Sensortec (2019)) therein, which were used throughout the system to trace changes of the *p*, *T*, and RH values are shown in Fig. 1. The only external supplies needed, besides mains voltage, are a vacuum pump and a source of pressurized process gas, such as nitrogen or synthetic air, but preferably helium to achieve the best signal-to-noise ratio across all reachable absorption edges. However, provision was made

60 for operation under reactive (e.g., ozone-enriched) atmospheres by using external gas supplies, indicated by the orange dashed line in Fig. 1, which can be attached to the system via designated ports.

The tasks of control and data acquisition are performed by the so called 'VBUS system', which has been developed at the Max Planck Institute for Chemistry (MPIC). This miniature measurement system consists of microcontroller-based electronic modules and a flexible software environment including scripts and a graphical user interface (GUI). An example GUI screen-

- 65 shot is provided in the supplementary Fig. S1. The gas humidification system is similar to the one used by Huthwelker et al. (2010) and mixes wet and dry gas flows to provide a process gas of desired humidity. Upstream, two Bronkhorst<sup>®</sup> IQ<sup>+</sup>FLOW<sup>®</sup> IQFD-200C mass flow controllers (MFC) assure that the combined flows equal 20 mL min<sup>-1</sup>. By running one of the gas streams through a Permapure Nafion humidifier (MH-110-24F-4, 60 cm length), the RH directly after the humidifier can be increased to up to 70 % RH at ambient system temperature and should not exceed this value to avoid condensation inside the control sys-
- tem. The RH values are measured, along with *p* and *T*, at three locations within the circuit by Bosch BME280 environmental sensors (Bosch Sensortec, 2019), indicated by circles in Fig. 1, labeled with "p, T, RH" and named accordingly: S1) is located directly downstream of the humidifier. Its RH value is taken as the control variable, which is continuously checked at a rate of 4 Hz against a desired set point. The RH reading typically fluctuates by about  $\pm 0.05$  % RH, which can be taken as the relative accuracy of the software-implemented proportional-integral (PI) controller that steers the MFCs' flows; S2) measures *p*, *T*, and

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**Figure 1.** Gas Flow and cooling system schematics of the entire system. Left: X-ray microscope chamber with sketched microreactor. Refer to Fig. 2 for a detailed view of the microreactor. Right: 19-inch enclosure that includes the control system. S1-S4: p, T, RH environmental sensors (Bosch BME280); M: Solenoid operated shut off valves (Bronkhorst<sup>®</sup> EV-02-NC-V); TC: Thermocouple (Omega<sup>TM</sup> 5TC-TT-TI-4)

75 RH values inside the microreactor close to the sample; S3) sits symmetrical to S1 in the return flow. This sensor is particularly useful to detect losses due to leaks or condensation inside the flow system. S1 and S3 are interfaced via the Inter-Integrated Circuit (I<sup>2</sup>C) bus, S2 is mounted onto a separate printed circuit board (PCB) with Serial Peripheral Interface (SPI) capabilities (compare with Fig. 2).

Stable temperature, *T*, is critical for accurate RH control. Therefore, our design includes a closed circuit active cooling system, which combines a Twinbird Corp. SC-UB04 Free Piston Stirling Cooler with a HNP Mikrosysteme mzr<sup>®</sup>-2921 micro annular gear pump. As a coolant the hydrofluoroether  $C_4F_9OC_2H_5$  was used, commercially available as  $3M^{TM}$  Novec<sup>TM</sup> 7200 Engineered Fluid. By controlling the pump speed between 0.3 and 18 mL min<sup>-1</sup> via a second software PI-regulator that takes the *T* value from inside the microreactor as the control variable, temperatures between the ambient *T* and <u>-23250</u> K can be set. The *T* control system stability, the sensor characteristics, and possible applications for the cooling capabilities will be detailed

85 in section 3.

The system's pressure, p, can be controlled between ~180 hPa and 1000 hPa, by using a Bronkhorst<sup>®</sup> IQ<sup>+</sup>FLOW<sup>®</sup> IQPD-700C Back Pressure Controller downstream of the flow circuit. This controller is also active during the evacuation of the microscope chamber to keep the differential pressure between the microreactor and the surrounding, monitored by S2 and S4 (refer to Fig. 1), respectively, at a minimum, if not desired otherwise. Not shown in the flow scheme in Fig. 1 are ports for

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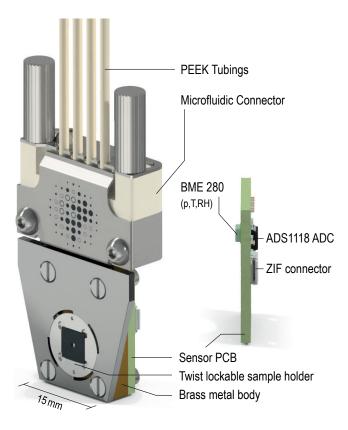
venting the STXM vacuum chamber for the reverse case. Three Bronkhorst® solenoid operated shut off valves (EV-02-NC-V) can be used to bypass the microreactor, e.g., for leak testing. If not stated otherwise, the gas and fluid system components, fittings, filters and connectors used in this system were purchased from Swagelok. The essential parts of the flow circuit are surface mounted to an aluminum circuit board, which interconnects them via internal channel structures . A detailed view is given in the supplement in as displayed in Fig. S2.

#### 95 2.2 Microreactor Design

The microreactor, displayed in Fig. 2, serves multiple purposes: It holds the sample in place at a defined T, and exposes it to a process gas previously mixed inside the control system. All custom designed parts were computer-numerical-control (CNC)machined in the mechanical workshop of the MPIC. The individual components were conceptualized in Autodesk<sup>®</sup> Inventor 2014 as computer-aided design (CAD) models, which were used for the renderings in Fig. 2 and 3.

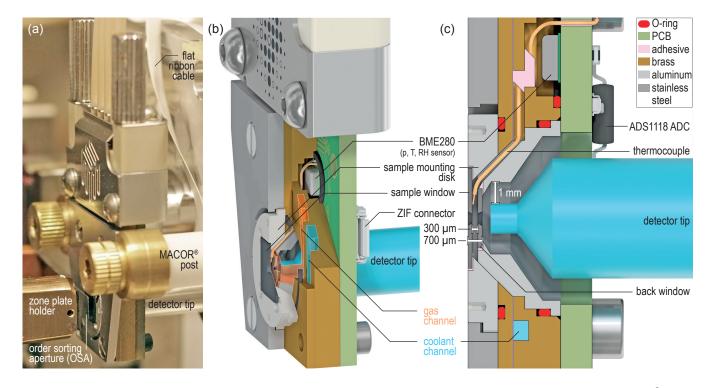
- 100 The fluidic connections between the front panel of the control box and the microreactor were realized via four Upchurch Scientific (IDEX Health & Science) PEEK tubings (1.6 mm outer diameter x 1.0 mm inner diameter x 1.5 m length) for the gas and coolant flows, guided through a custom-made vacuum feed-through. A 4-way microfluidic connector (Dolomite Ltd., Part number 3000024) then seals the microreactor body (Fig. 2) from the interior of the STXM chamber. The reactor body itself consists of two CNC-machined brass metal parts with internal channel structures, glued together with LOCTITE® EA 9497 two-
- component epoxy adhesive. The microreactor features a quick-change mechanism via twist-lockable sample mounting disks, 105 which are 1.5 mm thick, manufactured from an aluminum alloy. The usage of these disks allow a tension-free sample exchange and minimizes the number of separate parts, such as screws. Standard silicon nitride membrane windows ( $500 \times 500 \times 0.1 \,\mu m^3$ membranes with  $5 \times 5 \times 0.2 \text{ mm}^3$  outer silicon frame dimensions) act as the sample substrate and are mounted to these plates, which simultaneously act as the microreactor's front cover. For exchanging the sample, the mounting plate needs to be pressed
- downwards against the brass metal body to compress the underlying O-ring seal. A 45° rotation then locks or unlocks the 110 sample, respectively. For instance, this can be done with sharp tweezers. After mounting the sample, the expanding O-ring in its groove presses the sample holder against its counterpart, the front steel plate, which keeps the sample at a defined position and well-sealed.
- Sectional views in Fig. 3b and c illustrate how the parts are assembled. A rendered image sequence, composed to a video clip by using the video editing software DaVinci Resolve 16, shows the assembly of the microreactor, emphasizes its internal 115 structures, the locations of the sensors, and the O-ring seals, and visualizes the sample mounting process. It is provided as a Video supplement below.

With a typical focal length of  $\frac{1.21.36}{1.21.36}$  mm at 280 eV, the space between the focal plane and the zone plate (compare Fig. 3a and Fig. 1 in Huthwelker et al. (2010)), with the order sorting aperture (OSA) in between, is very limited. The OSA (60 µm



**Figure 2.** Rendered views of the microreactor assembly and the sensor PCB with the most important parts labeled. The 4-way microfluidic connector (Dolomite Ltd.) connects to the internal channel structure in the brass metal body of the microreactor via a compression seal at the top face. The signals from the BME280 (p,T,RH) sensor (S2 in Fig. 1) and the ADS1118 16 bit analog-to-digital converter (ADC) with internal T reference sensor for the thermocouple readout are available through an Serial Peripheral Interface (SPI) at the 6-pin ZIF connector.

- 120 diameter) is located approximately 350320 µm away from the sample plane to prevent unfocused X-rays and stray light from passing through the sample and from entering the detector. Subtracting the silicon frame thickness of the front window leaves just 150120 µm between the OSA and the microreactor. We therefore avoided a sample holder design that adds more material to this side of the microreactor. Due to inherent geometric restrictions it is not possible to reach energies below 200 eV with the current setup. However, silicon nitride windows with just 100 µm thick frames are commonly available and their use together
- 125 with a modified mounting disk would in principle bring the sulfur L-edge at 170 eV into range, neglecting any limitation by X-ray optics and insertion devices. It has been shown that it is feasible to image the sulfur distribution in aerosol particles at the STXM beamline 11.0.2 at the Advanced Light Source (Berkeley, CA, USA) (Hopkins et al., 2008) and at MAXYMUS (Pöhlker et al., 2014, Fig. S6).



**Figure 3.** a) The microchamber adapted to the MAXYMUS instrument on a customized holder, thermally insulated on MACOR<sup>®</sup> posts. This photo emphasizes the spatial restrictions due to the integral parts of the microscope (zone plate, OSA, detector). b) Sectional view through the microchamber, revealing the internal channel structures, the positions of O-rings, the sensor cavity and the groove into which the thermocouple wires are firmly glued. c) Cross-sectional view at a larger scale, emphasizing the physical thickness of the microreactor along the optical axis (700  $\mu$ m), given by the thicknesses of the silicon nitride window frames, 200  $\mu$ m on each side, and the gap width of 300  $\mu$ m, in which the gas stream flows. The microreactor can be freely driven by one-1 mm in every direction in the focal planewithout colliding with the detector.

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For a gas-tight seal, the silicon nitride windows must be glued into the sample holder disks. We either used IMI 7031, also known as GE-varnish, and let it cure at room temperature or Apiezon Wax W, which melts at about 100373 K and therefore is only suitable for empty windows prior to sampling or temperature insensitive samples.

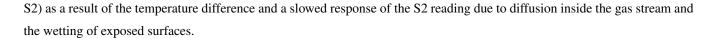
Behind the front window, the process gas flows in a 300 µm wide gap, as emphasized in Fig. 3b. This specific gap width was chosen for maintaining sufficient X-ray transparency while having enough room for a thermocouple (Omega<sup>TM</sup> 5TC-TT-TI-40), which is in loose contact with the silicon frame of the front window to provide a *T* reading from as close to the sample as possible. The pressurized gap is closed towards the detector side by a second window with a circular frame. The detector-side window, 3 mm in diameter with 0.2 mm frame thickness, was glued to the tapered aluminum insert, which surrounds the detector tip, with the same adhesive as the front window. Consequently, the physical thickness of the microchamber along the optical axis only measures 700 µm (compare Fig. 3c). With a back-window membrane size of  $1000 \times 1000 \times 0.05 \,\mu\text{m}^3$ , unobstructed views of the front membrane at the carbon *K*-edge with differential pressures to up to 500 hPa are possible.

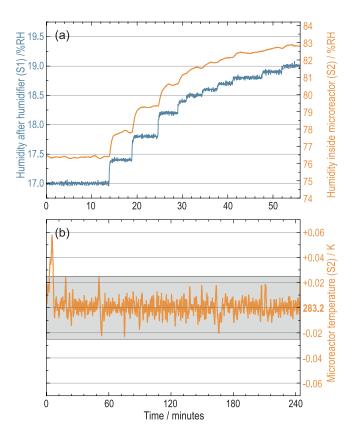
- 140 Usually membranes with  $500 \times 500 \times 0.05 \,\mu\text{m}^3$  were used, as vignetting in the outer regions of the sample window was less important to us compared to increased burst resistance at atmospheric *p*. Please note that the inward facing of the silicon nitride windows is crucial for assuring the best *p* resistance, i.e. a safe operation at 1000 hPa differential pressure. An outward facing front window as shown in Huthwelker et al. (2010) is prone to delamination of the silicon nitride film and subsequent burst of the membrane.
- 145 The rearmost part of the microreactor is a PCB, which is screwed to the brass metal body. It includes read-out electronics (ADS1118 ADC) for the thermocouple, an electrical connector, as well as the environmental sensor S2, which reaches into the gas stream through an O-ring-sealed recess in the metal body (Fig. 3B). A total number of three O-rings seal the microreactor from the microscope. The O-rings were slightly lubricated with Apiezon N Cryogenic High Vacuum Grease to assure a tight seal and a smooth rotation of the sample locking mechanism. We could This solved the initial problem we had with a different
- 150 high vacuum grease, which introduced an organic contamination into the samples (Fig. S3). We regularly conduct carbon K-edge spectroscopy to identify beam damage and potential sources of contamination in our analysis, but do not detect any carbonaceous contaminants from a potential outgassing of the O-rings, from the lubricant or from the glued components. The microreactor gets impurities originating from microreactor components, even in studies where particles were processed over many hours (e.g., Alpert et al., 2019). We attribute this to the fact that the microreactor is constantly flushed with fresh process
- 155 gas at comparably high flow rates-, which keeps the amount of impurities originating from the lubricant, O-rings, or glued components at a low concentration or at least below our detection ability using STXM-NEXAFS spectroscopy.

## **3** Performance evaluation

## 3.1 Parameter control and stability

- A wide range of atmospheric conditions present in the troposphere can be reproduced by the microreactor system. More
  precisely, the system uses pressures ranging from 180 hPa to 1000 hPa and has cooling capabilities for highly stable *T* controlling between room temperature and approximately -23250 K, depending on the insulation quality in the individual setup. All parameters are actively regulated via feedback control systems. The (controllable) working range for the RH spans from dry conditions to above 98% RH, depending on the residual moisture of the process gas, and the temperature difference between the humidifier and the microreactor body. Due to heat dissipation by electronic components, a control system *T* of 28-29301.2–302.2 K, measured inside the aluminum circuit board, is typical. A 65 % RH at sensor S1 directly after the humidifier the humidifier
- midifier, therefore translates into saturation conditions at a microreactor *T* of 222295.2 K. Steep *p* and RH gradients (e.g., from dry conditions to above 80 % RH within less than a minute) can be achieved in a reliable and reproducible manner. Besides a stepwise increase of the humidity, it is possible to run preprogrammed ramps or periodically repeated hydration/dehydration cycles to mimic dynamic atmospheric processes.
- 170 In Fig. 4a the RH trend upon a stepwise increase of the setpoint over a time period of ~40 minutes is shown. Noticeable is the discrepancy between the RH measured at the exit of the humidifier (sensor S1) and inside the microreactor body (sensor





**Figure 4.** a) Response characteristic of the humidifier at different setpoints. blue: humidity measured at S1 (control variable); orange: humidity measured at S2 (compare with Fig. 1) at an ambient temperature between 301.2-302.2 K and a microreactor *T* of 283.2 K. b) Microreactor *T* stability over ~240 min at a setpoint of 283.2 K. The gray shading emphasizes a  $\pm 0.025$  K margin.

In the particular example shown here, the microreactor was held at  $\frac{10283.2}{28-29301.2-302.2}$  K. This experiment can be seen as a typical example for sensing the deliquescence point of ammonium sulfate (AS) at 82 % RH at  $\frac{10283.2}{10283.2}$  K, as reported by Tang and Munkelwitz (1993).

A *T* control with the least possible fluctuation margin is crucial, especially at high RH close to saturation conditions to avoid undesired water condensation inside the microreactor. Therefore, the *T* control system was designed for high-precision regulation and not for high cooling (~22.5 K min<sup>-1</sup>) or warming rates. In Fig. 4b, the high stability of the regulator set to a target *T* of 10283.2 K is shown over a 4-hour time span.

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The *T*, as well as the *RH* regulation is based on software PI-controllers running as scripts inside the 'VBUS' software environment. In a test case, *T* as low as -23250.2 K could be reached inside the microreactor. For details, refer to Fig. S1 in the

supplement. Besides kinetic studies for the measurement of reaction rates, with this capability, freezing experiments, and the study of diffusion-controlled reactions at a single particle level, come into reach.

185 Note that the thermocouple in principle is redundant for the temperature measurement inside the microreactor body, as the BME280 sensor (compare Fig. 2) usually gives the same temperature readings, but with a higher resolution. Any heat transfer mechanisms besides radiant heat transfer are minimized within the microreactor. Convective heat transfer is suppressed by the surrounding vacuum and the microreactor body is clamped to the microscope's stage, thermally well insulated by MACOR® ceramic posts, which can be seen in Fig. 3a. However, for very low temperatures, a discrepancy of a few tenths of degrees at -23Kelvin at 283.2 K between both sensors was present, increasing when temperatures are lowered. We attribute this to the 190

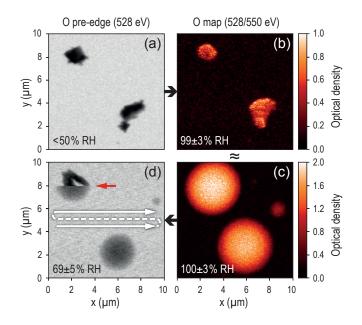
radiant heat transfer between the OSA and the sample window.

#### 3.2 Sensor calibration and initial tests

The BME280 environmental sensors used in this setup were chosen because of their very small dimensions of  $2.5 \times 2.5 \times$ 0.93 mm<sup>3</sup> (Bosch Sensortec, 2019). The BME280 sensors' and the thermocouple's temperature readings were calibrated in a 195 cooling bath between -10and 27263.2 K and 300.2 K against a reference thermometer (Fluke 2180A, Fluke Deutschland GmbH with 0.01 K resolution and a minimum uncertainty of  $\pm 0.08$  K. The humidity readings were calibrated using the deliquescence relative humidities (DRH) of salt standards documented in the literature (e.g. NaCl,  $(NH_4)_2SO_4$ ,  $K_2SO_4$  with DRH values of 75.3±0.1 % RH (Tang and Munkelwitz, 1993), 80 % RH (Tang and Munkelwitz, 1994), and 97.6±0.6 % RH (Greenspan, 1977), respectively). For test measurements, the setup was successfully adapted to two STXM instruments, i) MAXYMUS, located at beamline UE46-PGM-2 of the synchrotron BESSY II, Berlin, Germany (Follath et al., 2010; Nolle et al., 2011; 200 Weigand, 2014), and ii) PolLux, located at beamline X07DA of the Swiss Light Source in the Paul Scherrer Institute, Villigen, Switzerland (Flechsig et al., 2007; Raabe et al., 2008; Frommherz et al., 2010).

A hydration/dehydration experiment with  $K_2SO_4$  particles was measured at PolLux as a first proof of performance application for the microreactor system. The results shown in Fig. 5 illustrate the operation of the system in the high RH regime as

- reliable humidity control was possible even beyond the high deliquescence RH of  $K_2SO_4$ . The humidity values in the individ-205 ual panels of the figure are uncorrected and represent averaged raw sensor data. The water uptake can be easily recognized from the changes in particle morphology and by the optical density (OD) increase at the oxygen K-edge. (For a definition of the OD, please refer to Ghorai and Tivanski (2010).) Full deliquescence occurred between 99 and 100 % RH (between Fig. 5b-c), which agrees well with the aforementioned literature value taking into account the sensor's uncertainty of at least  $\pm 3$  % RH (specified
- only up to 80 % RH by Bosch Sensortec (2019)). The efflorescence happened suddenly during dehydration at 69±5 % RH (see 210 red arrow in Fig. 5d). In the literature, a value of 60 % RH was reported for the efflorescence relative humidity (DRH) by Freney et al. (2009). This deviation might be attributed to the presence of the silicon nitride substrate but likely is a result of the hysteresis of the sensor, which was operated under fairly extreme conditions here and needs a long time to up to ten minutes to equilibrate after operation close to saturation conditions. In general, it is therefore recommended to take temperature readings,
- as well as the RH values of the two other environmental sensors into consideration when evaluating the humidity inside the 215 microreactor, instead of relying on just one sensor.



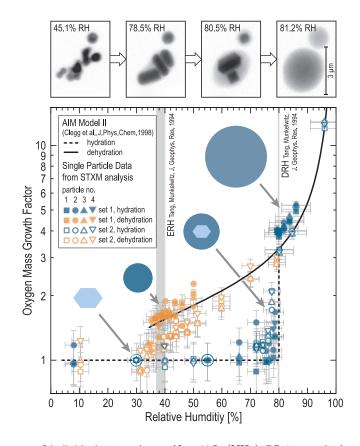
**Figure 5.** Image sequence showing the hydration ( $a \rightarrow c$ ) and dehydration ( $c \rightarrow d$ ) of K<sub>2</sub>SO<sub>4</sub>. The RH-values here represent raw sensor data. Panel (a) represents dry conditions, (b) shows the situation shortly before deliquescence. An aqueous shell has already formed around the particles and crystal edges are rounded off. In panel (c) full deliquescence has already occurred. The deliquescence point was reached between 99-100 % RH at 21°C294.2 K. This is in good agreement with the literature value of 97.6±0.6 % RH (Greenspan, 1977) taking into account the sensor accuracy. Efflorescence was observed during the scan of the image in panel d) with a sharp transition (red arrow) at 69±5 % RH, in contrast to the literature value 60 % RH, reported by (Freney et al., 2009). Note that white arrows indicate the direction of the raster scan pattern during the measurements. The images in the left column (a & d) represent raw x-ray absorption data at 528 eV and in the right column (b & c) optical density (OD) maps are shown to emphasize the oxygen distribution. The maps were generated with the Multivariate ANalysis Tool for Spectromicroscopy software (MANTiS v.3.0.0.1) (Lerotic et al., 2004, 2005, 2014) in commit version #5847171 (Lerotic et al., 2019).

A pressure calibration was not done for the measurements presented here, as the RH is independent from p. Furthermore, the absolute accuracy of  $\pm 1.7$  hPa, as reported by Bosch Sensortec (2019), was considered sufficiently accurate. However, note in this context that using helium as a process gas can cause a gradual drift of the measured pressure values, due to helium permeating the sensor, as was reported by Sparks et al. (2013).

As a second proof-of-performance application, presented in Fig. 6, the hygroscopic growth curve of AS was recorded using fine-pitched RH steps at the MAXYMUS instrument. The figure contains data from two independent measurements on four AS particles each. Again, the increase of optical density at the  $\Theta$ -oxygen K-edge served as a measure for the water mass-uptake, in analogy to the NaNO<sub>3</sub> measurements by Ghorai and Tivanski (2010) and the (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> water uptake study by Zelenay et al. (2011a). Considering that the data obtained are based on the small number of just four single AS

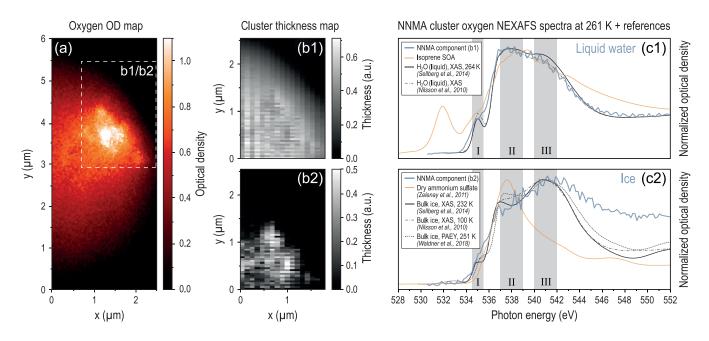
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particles, a A good agreement with the AIM model by Clegg et al. (1998), II by Clegg et al. (1998) for the deliquescence



**Figure 6.** Hygroscopic growth curve of individual ammonium sulfate (AS,  $(NH_4)_2SO_4$ ) erystals, based on the oxygen uptake of two datasets with four lab-prepared micron sized aerosol AS particles each, imaged at the oxygen K-edgeranging from 500 nm – 2 µm in size. The image sequence above at different humidities above, taken from Pöhlker et al. (2014), shows similar AS particles at the oxygen preedge (528 eV) and nicely-illustrates the water uptake and the Ostwald ripening. The water oxygen mass uptake was uptakes of individual particles were calculated from OD maps in analogy (527/560 eV) at the oxygen K-edge. All data were normalized to the method used by Ghorai and Tivanski (2010) for dry particles (encircled data points). Only masses from oxygen containing species contributed to the AIM model II curves (Clegg et al., 1998). Error bars represent the sensor accuracy (±3% RH below and by Zelenay et al. (2011a) for ±5% RH above 80% RH) and an estimated measurement error (±0.2 oxygen mass growth units).

point and the overall trend was found. In terms of representative statistics of the number of analyzed particles, methods based on STXM-NEXAFS are inherently limited by comparatively long scan times. As a rough measurement time estimate, the recording of a full hydration/dehydration cycle with 22 RH steps took two hours in case of dataset 1. The scan time itself was about two minutes at each RH step for recording images at two different energies (65 nm pixel size, 1 ms dwell time per pixel on a  $154 \times 122 \text{ px}^2$  area). The remaining time was spent on waiting for the RH to stabilize, which is particularly important during dehydration experiments and at high relative humidities, as was mentioned above.



**Figure 7.** Water-Tentative observation of ice observed at -12261 K in an aqueous isoprene SOA particle. (a) Optical density map at the oxygen K-edge composed from five single images at different energies: 528.2 and 532.0 eV (pre-edge) and 544.2, 546.2 and 553.2 eV (post-edge). Results from the non-negative matrix approximation (NNMA) analysis are displayed in panels b1, b2, c1, and c2. The cluster thickness maps (weights for hyperspectral pixels) are shown in panels b1 for the matrix component (aqueous phase) and in b2 (ice-enriched phase). Panels c1 and c2 show the corresponding spectra along with reference spectra. Accordingly, the three main spectral features are labeled I, II, III, and emphasized by a grey background in analogy to Bartels-Rausch et al. (2017) and Waldner et al. (2018). All spectra were shifted by 3.2 eV to larger energy values with respect to the reference spectra. The OD map, the thickness maps and the spectral components were extracted from raw data by using the NNMA analysis feature included in MANTIS v.3.0.0.1 (Lerotic et al., 2004, 2005, 2014), commit version #5847171 (Lerotic et al., 2019). (NNMA parameters chosen: k = 4, spectra similarity = 2; spectra smoothness = 0; sparseness = 2.2; number of iterations = 1200; delta error threshold = 0.001)

Accordingly, some of the results on the standard compounds shown here can be obtained more efficiently and probably more precisely with other techniques, such as Hygroscopicity Tandem Differential Mobility Analyzer (HTDMA) systems (Brechtel and Kreidenweis, 2000a, b), and Differential Mobility Analysers coupled to a Humidified Centrifugal Particle Mass Analyser (DMA-HCPMA) (Vlasenko et al., 2017). Also single particle traps, e.g., the electrodynamic balance (EDB) (Cohen et al., 1987; T

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, and the aerosol optical tweezers are highly accurate, well-established techniques and do not require a substrate (Mitchem and Reid, 2008; I . Therefore, the purpose of showing these results here is to illustrate the analytical capabilities of the system, particularly its operation at high RH.

- As a third proof-of-performance application, a water freezing experiment with isoprene SOA particles at high RH was conducted. These results illustrate that the system can be used for controlled freezing experiments to investigate ice nucleation as well as for kinetic deceleration of fast processes. The particles were produced using a Potential Aerosol Mass (PAM) chamber (Kang et al., 2007; Lambe et al., 2011) in the presence of AS seed particles to increase the SOA yield, as described by Lambe et al. (2015), and subsequently impacted on a silicon nitride membrane window. In the course of the experiment, the
- 245 microreactor was cooled from room temperature to about -12starting from 289 K, the target temperature of 261 K was reached within an hour with an initial average cooling rate of about 1 K min<sup>-1</sup> between 289 K and 273 K and with the humidified gas flow fully open enabled to initiate a fast hygroscopic particle growth. The maximum cooling rate achieved with this system was 2.5 K min<sup>-1</sup>. At the desired temperature the gas flow through the microreactor was stopped by closing the two shut-off valves (compare Fig. 1) to prevent more water from condensing and to prevent ice from nucleating inside the microreactor body
- and potentially blocking the gas channel. As the RH reading of sensor S2 quickly went into saturation during the experiment, we can only estimate the relative humidity to be likely above 95 % at the sample location. Subsequently, in-depth X-ray microspectroscopic analysis at the oxygen K-edge of one exemplary SOA particle revealed an embedded crystalline structure (Fig. 7a). The recorded hyperspectral NEXAFS data obtained from a subregion of the entire particle were separated by the NNMA analysis feature of the MANTIS software (Mak et al., 2014) into two main spectral components, which are clearly
- 255 localized, either at the matrix or the embedded structure, visualized by the cluster thickness maps (Fig. 7b1 & b2). A comparison of the corresponding spectra (Fig. 7c1 & c2) with reference spectra of liquid water (Sellberg et al., 2014; Nilsson et al., 2010) and ice (Sellberg et al., 2014; Nilsson et al., 2010; Waldner et al., 2018), suggests that the spectral components represent coexisting water-enriched and ice-enriched phases. Their spectra differ significantly from the spectrum of isoprene SOA under dry conditions (orange spectrum in Fig. 7c1), which was obtained from the same sample prior to wetting, and also does not
- 260 match the AS reference spectrum (orange spectrum in Fig. 7c2) taken from Zelenay et al. (2011a). We therefore assume that the observed droplet is highly diluted with water and that the characteristic reversal of the intensity ratios of the spectral features II and III (compare blueish spectra in Fig. 7c1 with c2), as a result of a change in coordination geometry and bond strength as observed by, e.g., Sellberg et al. (2014); Nilsson et al. (2010), and, Waldner et al. (2018), can to a large extent be attributed to  $H_2O$  molecules only. The observed ice crystal crystalline structure could be observed over many hours and
- 265 remained stable in shape over many hours. Further crystal growth was probably kinetically impeded through the viscosity of the matrix solution and/or thermodynamically by the depression of the residual liquid phase's freezing point, which is gradually lowered by precipitation of the ice phase and consequent solute enrichment in the liquid phase, until the freezing stops at a certain composition. This slowing down of the crystal growth was also described by Budke et al. (2009) for sucrose solutions. Still, it is surprising to find the observed even though the temperature fluctuated by ±0.2 K. During warming up from 261 K
- 270 to 269 K at a rate of 2.7  $K min^{-1}$  a restructuring of the crystalline structure could be observed. (The warming rate largely depends on the quality of the surrounding vacuum since no active heating is involved.) It should be noted that it is unlikely

to find immersion freezing in aqueous isoprene SOA (seeded with AS), as it occurred at an unusually high temperature of -12261 K, compared to model predictions (e.g., Hoose and Möhler, 2012; Berkemeier et al., 2014). However, other parameters like the humidification rate, for instance, can considerably influence the upper temperature boundary for immersion freezing

- 275 (Berkemeier et al., 2014). Besides that, the potential presence of ice nucleation-active contaminants, the role of the sample substrate, and an influence of the ionizing X-ray beam itself can not be excluded. Due to the loss of beam and the subsequent end of the beamtime, the melting process could not be followed till the end. Although the data are sparse for giving actual proof for ice formation, we found our observation worth reporting and feel encouraged to conduct further investigations on this in follow-up studies.
- 280 In terms of representative statistics of the number of analyzed particles, methods based on Furthermore, MIMiX was used in a study on the diffusion limited oxidation of Fe<sup>2+</sup> in particles composed of xanthan gum and FeCl<sub>2</sub> by ozone (Alpert et al., 2019) supplied by an external ozone generator (compare Fig. 1). In this study, a direct comparison between *in-situ* observed and modeled chemical changes in micron- and submicron-sizes particles was achieved. This study shows the potential of STXM-NEXAFS are inherently limited by comparatively long scan times. Accordingly, some of the results on the standard compounds
- 285 shown here can be obtained more efficiently and probably more precisely with other techniques, such as Hygroscopicity Tandem Differential Mobility Analyzer (HTDMA) systems (Brechtel and Kreidenweis, 2000a, b), and Differential Mobility Analysers coupled to a Humidified Centrifugal Particle Mass Analyser (DMA-HCPMA) (Vlasenko et al., 2017). Also single particle traps, e.g., the electrodynamic balance (EDB)(Cohen et al., 1987; Tang et al., 1995), and the aerosol optical tweezers are highly accurate, well-established techniques and do not require a substrate (Mitchem and Reid, 2008; Krieger et al., 2012)
- 290 . Therefore, the main purpose of showing these results here is to illustrate the analytical capabilities of the system, particularly at high RH and low *T* in combination with MIMiX towards the *in-situ* investigation of atmospherically relevant multiphase reactions and may inspire more studies such as this on the topic of diffusion limitation and surface reactions.

One of the real analytical strengths of STXM-NEXAFS <u>analysis</u> in combination with MIMiX emerges in the analysis of ambient aerosol particles, since detailed single particle studies can typically not be conducted on site, particularly at remote locations. Thus, sampling of ambient particles onto suitable substrates for a subsequent investigation by offline techniques is

required. Such samples are well-suited for in-depth studies with the MIMiX system. Another analytical strength of the system relates to the unique combination of microstructural, hygroscopic, and chemical information, which can be obtained on the level of individual particles in the submicron particle size range, while being relatively damage-free through the use of soft X-rays in a dose-efficient scanning system.

## 300 4 Conclusions

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This study presents the design, construction, and initial testing of a microreactor system for *in-situ* STXM-NEXAFS analyses of aerosol particles under controlled environmental conditions. Its compact size ensures high portability of the setup, without sacrificing functionality. The operating ranges cover a wide spectrum of p, T, and RH conditions, representing large parts of the troposphere. Due to the integrated cooling system, the accessible T and RH are wider than the corresponding ranges in previ-

- 305 ously reported setups. Moreover, through a compact design of the microreactor, a measurement of the essential environmental parameters very close to the sample has been realized. The microreactor can be operated safely at atmospheric pressure inside the sample chamber, i.e., at a differential pressure of at least 1000 hPa relative to the microscope chamber. Despite significant spatial limitations in the STXM optics, the microreactor has been kept compatible to a variety of STXM instruments. For initial measurements, it has been installed at the Helmholtz-Zentrum Berlin (BESSY/MAXYMUS) and at the Swiss Light Source
- 310 (SLS/PolLux). The sample exchange mechanism allows quick and convenient substrate changes and minimizes mechanical stress on the fragile samples.

The results from initial experiments (i.e., hygroscopic growth of  $(NH_4)_2SO_4$ , deliquescence and efflorescense of  $K_2SO_4$ , as well as the observation of water ice in an aqueous isoprene SOA droplet) confirm that the microreactor is a promising and flexible tool for a variety of *in-situ* particle processing studies in environmental STXM experiments. Particularly, the system

315 allows controlled studies under high RH and/or low T conditions, which are relevant for in-depth investigation of various atmospheric processes.

Future development of the MIMiX system is intended to include an extension of the cooling capabilities for *in-situ* ice nucleation observations and the introduction of an optical fiber to study photochemically driven multiphase reactions. On the software side, integration with the Experimental Physics and Industrial Control System (EPICS) and the Pixelator software is

320 planned in order to store environmental parameters in parallel with the X-ray microscopic data at per pixel resolution.

*Data availability.* The STXM-NEXAFS data used for Fig. 5, 6, and 7, the NNMA analysis results, and the corresponding spectra have been deposited in Edmond, the Max Planck Society's open-access data repository under https://dx.doi.org/10.17617/3.39 (Förster and Pöhlker, 2019). For specific data requests beyond the deposited data, please contact the corresponding authors.

*Video supplement.* A video of the microreactor assembly can be found in the same repository as the scientific data and is available in 720p and 1080p resolution under https://dx.doi.org/10.17617/3.39 (Förster and Pöhlker, 2019).

*Author contributions.* JDF was responsible for the mechanical and electrical design of the microreactor, the conceptual design of the control system and the assembly. CP supervised the construction work. CG and ML developed the microcontroller-based electronic system and helped JDF with the programming of scripts for the graphical user interface. MA, SSS, MW, and BW were consulted in an early design stage and influenced the final design of the microreactor. HT, CP, and JDF prepared the samples. JDF led the writing of the paper. CP, MOA, and UP supervised the paper writing. The adaptation of the microreactor to the STXM instruments were conducted by JDF, CP, and MOA, with

330 UP supervised the paper writing. The adaptation of the microreactor to the STXM instruments were conducted by JDF, CP, and MOA, with the technical assistance of MW, BW, and JR. The measurements were led by JDF, CP, and MOA and supported by FD and PAA. All authors contributed to the paper finalization. Competing interests. There are no conflicts to declare.

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